

**Dramatic changes in atmospheric pollution source contributions for a coastal megacity in northern China from 2011 to 2020**

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## Abstract

Understanding the effectiveness of long-term air pollution regulatory measures is important for control policy formulation. Efforts have been made using chemical transport modelling and statistical approaches to evaluate the efficacy of the Clean Air Action Plan (2013-2017, CAAP) and the Blue Sky Protection Campaign (2018-2020, BSPPC) enacted in China. Changes in air quality due to reduction in emissions can be masked by meteorology, making it highly challenging to reveal the real effects of control measures. Knowledge gap still existed with respect to how sources changed before and after the CAAP and BSPPC implemented, respectively, particularly in coastal area where anthropogenic emissions mixed with additional natural sources (e.g., marine aerosol). This work applied a machine learning-based meteorological normalization approach to decouple the meteorological effects from air quality trend in a coastal city in northern China (Qingdao). Secondly, the relative changes in source contributions to ambient  $\text{PM}_{2.5}$  with a ~10-year observation interval (2011-2012, 2016, and 2019) were also investigated. We discovered that the largest emission reduction section was likely from coal combustions, as the meteorologically normalized  $\text{SO}_2$  dropped by  $\sim 15.5\% \text{ yr}^{-1}$  and dispersion normalized  $\text{SO}_4^{2-}$  decreased by  $\sim 41.5\%$  for annual average. Change in the meteorologically normalized  $\text{NO}_2$  was relatively stable ( $\sim 1.0\% \text{ yr}^{-1}$ ), and  $\text{NO}_3^-$  changed inappreciable in 2016-2019 but significantly higher than that prior to the CAAP. Crustal dust decreased remarkably after the CAAP began. Industrial emissions, for example, steel-related smelting, decreased after 2016 due to the relocation of steelmaking enterprises. Note that vehicle emissions were increased in importance, as opposed to the other primary sources. Similar to other mega cities, Qingdao also risks increased ozone pollution that in turns facilitate secondary particles formation in the future. The policy assessment approaches applied in this work also work for other places where air quality management is highly in demand to reduce air pollution.

**Key words:** Air quality; Random forest; Dispersion normalization; Source apportionment; Coastal megacity

## 1 Introduction

Rapid industrial development and energy consumption in China over the past several decades have resulted in severe air pollution (Dai et al., 2021; Huang et al., 2014; Zhang et al., 2012). Fine particulate matter (PM<sub>2.5</sub>, particles with aerodynamic diameter  $\leq 2.5 \mu\text{m}$ ) is the leading health-risk factor for attributable mortality in China (Cohen et al., 2017). It is well-documented that exposure to PM<sub>2.5</sub> has been associated with increased mortality (Joshi et al., 2021; Liu et al., 2021b; Vodonos and Schwartz, 2021). The World Health Organization recently set the annual average concentration of PM<sub>2.5</sub> to  $5 \mu\text{g m}^{-3}$ . Most countries or regions are facing a great challenge now to meet the guideline since their current PM<sub>2.5</sub> levels are well above the latest threshold.

To alleviate the severe impact of air pollution on the living environment and public health, the State Council of China released a five-year “Air Pollution Prevention and Control Action Plan” in 2013 (hereinafter the “Clear Air Action Plan, CAAP”) ([http://www.gov.cn/zwggk/2013-09/12/content\\_2486773.htm](http://www.gov.cn/zwggk/2013-09/12/content_2486773.htm), last access: 29 October 2021). This was followed by the tighter “Three-year Action Plan to the Blue Sky Protection Campaign” (hereinafter the “Blue Sky Protection Campaign, BSPC”) in 2018 ([http://www.gov.cn/zhengce/content/2018-07/03/content\\_5303158.htm](http://www.gov.cn/zhengce/content/2018-07/03/content_5303158.htm), last access: 29 October 2021). The executions of these measures significantly improved air quality (Jiang et al., 2021), thus gained appreciable health benefits (Huang et al., 2018). Vu et al. (2019) demonstrated that the control measures requested by the CAAP have tremendously reduced the emissions in PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO in Beijing from 2013 to 2017 by approximately 34%, 24%, 17%, 68%, and 33%, respectively. Xu et al. (2021) found that by 2020, PM<sub>2.5</sub> reduction measures avoided 3561 thousand morbidity cases and 24 thousand premature deaths in the Beijing-Tianjin-Hebei region.

Evaluation of the effectiveness of air pollution controls is important for control policy formulation to further improve future air quality (Dai et al., 2020). Many studies have been carried out to evaluate the efficacy of control measures around the world. For example, assessments on short-term control measures were made for the 2008 Olympic Games (Schleicher et al., 2012), 2013 Second Asian Youth Games in Nanjing (Qi et al., 2016), 2014 Asia Pacific Economic Cooperation (Xu et al., 2019b), 2015 Military Parade (Wang et al.,

2017), and 2017 Belt and Road Forum for International Cooperation (Ma et al., 2020), as well as the 2020 COVID-19 worldwide lockdown (Beloconi et al., 2021; Chen et al., 2020b; Cucciniello et al., 2022; Shi et al., 2021; Wang et al., 2021a). Medium-term (3–5 years) evaluations on the validity of control measures have also been examined (Li et al., 2021b; Yu et al., 2019; Zhang et al., 2019). In contrast, long-term (~10 years) evaluations on controls were rarely reported (Masiol et al., 2019). The majority of such studies have focused primarily on the changes in concentrations of criterion air pollutants to qualitatively deduce the efficacy of source control (Cheng et al., 2019; Lyu et al., 2017; Li et al., 2020b; Wang et al., 2014). For example, Vu et al. (2019) and Liang et al. (2016) applied random forest and non-parametric methods to normalize the impact of meteorological factors to evaluate the changes in air pollutant concentrations and the effect of control measures in Beijing and other cities in China over recent time periods. However, quantitative evaluations of source emissions have not been common (Gulia et al., 2018), due to the lack of long-term particle composition monitoring (Hopke et al., 2020) and only a handful of studies quantitatively assessing source contributions smoothed the disturbance of weather conditions.

Qingdao, as an economically developed coastal megacity in northern China, has suffered severe air pollution (Bie et al., 2021; Gao et al., 2020; Li et al., 2017). It has been reported by Li et al. (2021a) that meteorology plays a critical role in the formation of pollution for this coastal region. In addition, based on measures taken in accordance with the “CAAP” since 2013 and the “BSPC” since 2018, source interventions such as the relocation and transformation of businesses and industries from the Old Town to port regions (Liu et al., 2021a) have been implemented to improve the air quality in Qingdao. Up to now, the air quality in Qingdao has been greatly improved. Liu et al. (2020a) assessed the changes in O<sub>3</sub> concentrations during the Shanghai Cooperation Organization (SCO) Summit in Qingdao and analyzed the impact of control measures on the emissions reduction of its precursors, and Liu et al. (2020b) also analyzed the reasons for the increase of O<sub>3</sub> concentration at nighttime during the SCO Summit. However, there is no report to date has evaluated the effectiveness of these control measures based on a long-term time scale after these control measures were put into practice, especially for quantitating the changes in source contributions by smoothing the influences of weather conditions. In view of this, our work was mainly to evaluate the

implementation of control measures utilizing the data of weather-normalized air pollutants, changes in chemical compositions in PM<sub>2.5</sub> and source contributions as well as extra source origins from 2011 to 2020. Findings of this work are expected to provide the basis for policy development for a coastal megacity in the future.

## **2 Materials and methods**

### **2.1 Study region and sampling site**

Qingdao is an economically developed coastal megacity of Shandong province, China (Fig. S1). The variation of local economic and social developments from 2011 to 2019 were counted and are shown in Fig. S2. During this period, the local resident population continued to rise, reaching 9499.8 thousand in 2019. The developed area and the possession of civil motor vehicles also showed upward tendency, attaining 758.2 km<sup>2</sup> and 3062 thousand units in 2019, respectively. The total energy consumption had a maximum of 16891 thousand tons standard coal in 2012 and maintained comparable levels from 2014 to 2019. The industrial coal burning capacity above the designated scale and the volume of liquefied petroleum supply both presented downward trend with values of 10965.7 and 30.2 thousand tons in 2019, respectively. The emissions of sulfur dioxide, nitrogen oxide, and dust basically showed a downward trend from 2011 to 2019, especially in 2017, and the emissions of these pollutants remained at relatively low levels after 2017, reflecting that the pollution sources for these particular pollutants had been effectively controlled in Qingdao.

In this study, in order to evaluate the effectiveness of control measures targeted for polluted sources in the past decade in Qingdao, ambient PM<sub>2.5</sub> samples were collected at urban sites over three time periods during 2011-2012, 2016, and 2019. The 2011-2012 samples were collected before the “CAAP” was enacted in 2013, and the 2016 samples were collected at the end of the “CAAP”, while the 2019 samples were collected during the middle of the “BSPC” policy period. The sampling plan (detailed in the next section) was designed to capture changes in the data during these periods, as any changes could reflect changes in the pollution sources during different stages of China's air pollution control measures. The sites of Licang and Shinan were sampled in 2011-2012, while five additional sites, Shibei, Laoshan, Chengyang, Huangdao, and Jiaonan were sampled in 2016 and 2019

(Fig. S1). All collection sites were situated on building rooftops ~10–15 m above ground level and used to collect ambient PM<sub>2.5</sub> samples. Further descriptions of the seven sampling sites are shown in Table S1.

## 2.2 Sampling and analysis

The sampling periods covered all seasons per year and lasted 41, 56, and 60 days for 2011-2012, 2016, and 2019, respectively (Table S2). Particles were gathered simultaneously to polypropylene filters and quartz filters loaded to sampling instruments. The details of the sampling instruments and filters in the different years are listed in Table S3. Samples were collected for a duration of 22 h from 11:00 to 09:00 of the next day. Field blanks and parallel samples were synchronously collected at each site. Before sampling, to remove some volatile compounds and impurities, the quartz and polypropylene filters were baked in an oven at 500 °C and 60 °C for 2 h, respectively. After sampling, all the filters were stored at 4 °C before gravimetric and chemical analyses were conducted.

Before gravimetric analysis, filter equilibration for 48 h was needed under a constant temperature ( $20 \pm 1$  °C) and humidity (45–55%). All filters were weighed by the microbalances with a resolution of 1 or 10 µg during different sampling periods; detailed information is listed in Table S4. To ensure the accuracy, static was eliminated before weighting and all filters were weighed at least twice to meet error requirements (Table S4). For chemical analysis, the elements of Na, Mg, Al, Si, K, Ca, Ti, V, Mn, Fe, Ni, Cu, Zn, and Pb were analyzed in different years. For samples collected in 2011-2012 and 2016, inductively coupled plasma-mass spectrometer (ICP-MS) was applied to determine these elements. For samples collected in 2019, inductively coupled plasma-optical emission spectrometer (ICP-OES) was used to measure all related elements. Water-soluble inorganic ions of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, and Cl<sup>-</sup> were determined using the ion chromatographs during different years. The organic carbon (OC) and elemental carbon (EC) of samples during different years were determined using a thermal/optical carbon analyzer, based on the IMPROVE (in 2011-2012) and IMPROVE\_A (in 2016 and 2019) thermal/optical reflectance protocol. The detailed instrumental information is listed in Table S5 and analysis procedures and quality controls are described in Text S1 in the supplemental materials as well as prior works from Liu et al., (2021a), Huang et al. (2021), Wang et al. (2021b), and Tian et al.,

(2014).

## 2.3 Random forest (RF) based weather normalization

From 1 January 2015 to 31 December 2020, the hourly concentrations of six air pollutants ( $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ ,  $CO$ , and  $O_3$ ) at the nine national air quality monitoring stations in Qingdao were collected from the China National Environmental Monitoring Network (CNEM) (<http://106.37.208.233:20035>, last access: 29 October 2021). Data collected from the nine monitoring stations were averaged to represent the pollution level at city scale. The explanatory variables including the meteorological variables and time variables were used to build the RF model and predict the air pollutant concentrations. Hourly surface meteorological data including wind speed, wind direction, temperature, dewpoint, relative humidity, and pressure recorded at Qingdao Liuting International Airport were downloaded using the “worldMet” R package (Carslaw, 2017). Time variables included Unix time (number of seconds since 1 January 1970), Gregorian day (day of the year), month, week, weekday, and hour of the day. Data were analyzed in RStudio with a series of packages, and the details of the random forest (RF) model and weather normalization using the RF model are provided in Vu et al. (2019). The training data set was comprised of 80% of the whole data, with the rest as testing data. After the RF predictive model was built for every pollutant, the model was then fed with a new dataset comprised of time variables same with the original dataset and meteorological variables that resampled from the whole observation. The prediction process was repeated 500 times to predict the concentration of a pollutant. The 500 predicted concentrations were then averaged to calculate the weather normalized concentration. The RF based weather normalization technique has been extensively used to decouple meteorology from the observed concentrations, thus can detect interventions in emissions over time (Dai et al., 2020; Grange et al., 2018, 2019).

## 2.4 Theil-Sen regression

The Theil-Sen regression technique has been commonly used to explore the long-term trend of pollutants over years. This approach assumes monotonic linear trends (Masiol et al., 2019). Its principle is to calculate the slopes of all possible pairs of pollutant concentrations,

select the median value, and give accurate confidence intervals (Munir et al., 2013; Sen, 1968). In this study, the data of air pollutants obtained from RF modelling with weather normalization was de-seasonalized as the Theil-Sen regression being performed. The Theil-Sen function is provided by the “openair” R package.

## 2.5 Dispersion normalization

The concentrations of ambient particles are affected by both local emissions and meteorological dispersion (Sujatha et al., 2016). Dispersion normalization helps stabilize the variation of concentrations due to atmospheric dispersion (Sofowote et al., 2021); therefore, in this study, the contributions of local emissions to particle concentrations were highlighted. Research suggests that the quantities for particles dispersion can be determined by the ventilation coefficient (VC) (Kleinman et al., 1976; Iyer and Raj, 2013), which is defined as the multiplication of mixed layer height (MLH) and the mean wind speed (WS) within the mixed layer (Eq. (1)). Basing on a VC at a given time interval  $i$ , the normalized concentration can be obtained by Eq. (2):

$$VC_i = MLH_i \times WS_i \quad (1)$$

$$C_{vc,i} = C_i \times \frac{VC_i}{VC_{mean}} \quad (2)$$

where  $VC_i$  ( $\text{m}^2 \text{s}^{-1}$ ) is the ventilation coefficient during period  $i$ ,  $VC_{mean}$  ( $\text{m}^2 \text{s}^{-1}$ ) is the mean VC during the whole study period, and  $C_{vc,i}$  ( $\mu\text{g m}^{-3}$ ) and  $C_i$  ( $\mu\text{g m}^{-3}$ ) are the normalized and observed concentrations, respectively. In this study, the dispersion normalization was conducted for ambient  $\text{PM}_{2.5}$  and chemical compositions and the resolved source contributions. The surface wind speed at 10 m was replaced with the mean wind speed through MLH because of the absence of wind speed at different heights (Dai et al., 2020; Ding et al., 2021). The 3 h resolution data of MLH and WS was derived from archived meteorology of the National Ocean and Atmospheric Administration (<https://www.ready.noaa.gov/READYamet.php>, last access: 29 October 2021), and the calculated daily MLH and WS data were used in this study.

## 2.6 Positive matrix factorization (PMF)

In order to assess the effectiveness of pollution control, source categories and their contribution were estimated by the PMF method. The PMF decomposes a sample



composition dataset ( $X$ ) into two matrices including source profiles ( $F$ ) and source contributions ( $G$ ) (Paatero and Tapper, 1994). This principle can be refined as follows:

$$x_{ij} = \sum_{k=1}^p g_{ik} f_{kj} + e_{ij} \quad (3)$$

where  $x_{ij}$  is the concentration ( $\mu\text{g m}^{-3}$ ) of the  $j$ th component from the  $i$ th sample;  $g_{ik}$  means the contribution ( $\mu\text{g m}^{-3}$ ) of the  $k$ th source to the  $i$ th sample;  $f_{kj}$  represents the source profile ( $\mu\text{g m}^{-3}$ ) of the  $j$ th component from the  $k$ th source;  $e_{ij}$  is the residual ( $\mu\text{g m}^{-3}$ ) of the  $j$ th component of the  $i$ th sample; and  $p$  means the number of sources. In this study, US EPA PMF v5.0 was applied to carry out source apportionment, and the details in treatment of input data and method detection limits of chemical compositions are described in Table S6 and Text S2, respectively. Bootstrap (BS) and displacement (DISP) analyses were used to investigate the effects of measurement error and rotation ambiguity on the resulting solutions.

## 2.7 Potential source contribution function (PSCF)

We performed PSCF to further investigate the origin of polluted sources. First, the 72 h backward trajectories were calculated at 6 h intervals every day with starting height of 100m above ground level in Qingdao (36.10° N, 120.32° E), using the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model in the GIS-based software of TrajStat (Wang et al., 2009). The weather data was acquired from Global Data Assimilation System with horizontal resolution of one-degree latitude-longitude (available at <http://www.arl.noaa.gov/>, last access: 29 October 2021). PSCF was then analyzed based on the trajectories added to source concentrations. The study region was divided into equal-sized grid cells, thus the number of endpoints for given specific values in every cell could be obtained. According to pre-set threshold criterion, the PSCF value was the proportion of the number of endpoints beyond the threshold criterion in each cell. To improve the accuracy of the result, weighted PSCF was calculated. More details are given in Text S3 of supplementary material.

### 3 Results and discussion

#### 3.1 Variation characteristics of the air quality

##### 3.1.1 Trend analysis and annual changes

The annual mean concentration of PM<sub>2.5</sub> and PM<sub>10</sub> in Qingdao decreased by 38% and 38% from 51 and 98  $\mu\text{g m}^{-3}$  in 2015 to 32 and 61  $\mu\text{g m}^{-3}$  in 2020, respectively. The annual mean PM<sub>2.5</sub>/PM<sub>10</sub> was  $0.47 \pm 0.02$ , with little change from 2015 to 2020, suggesting the significant impact of coarse particle sources (e.g. dust) in Qingdao. The annual mean levels of SO<sub>2</sub> and NO<sub>2</sub> declined by 72% and 8% from 27 and 33  $\mu\text{g m}^{-3}$  in 2015 to 8 and 31  $\mu\text{g m}^{-3}$  in 2020, respectively. The concentration of SO<sub>2</sub> showed a significant downward trend, while that of NO<sub>2</sub> changed little, probably indicating that the impact of coal-fired sources was significantly reduced, while the impact of mobile sources might still be obvious. The annual mean level of CO decreased by 32% from 0.91  $\text{mg m}^{-3}$  in 2015 to 0.62  $\text{mg m}^{-3}$  in 2020, while that of O<sub>3</sub> changed little with ranging from 71 to 69  $\mu\text{g m}^{-3}$ .

In order to shield the impact of meteorological dispersion, the normalized air quality parameters were acquired using the RF algorithm under 30-year average (1990–2020) meteorological conditions. The Theil–Sen trends of air pollutant concentrations after weather normalization by RF modelling are shown in Fig. 1. The decreasing real trend for air pollutants except for O<sub>3</sub> was found after the weather normalization (Fig. 1), indicating that the air quality is gradually improving in Qingdao. The trends of the normalized air quality parameters represent the effects of emission control and, in some cases, associated chemical processes (Vu et al., 2019). The Theil–Sen trend analysis of air pollutant concentrations and PM<sub>2.5</sub>/PM<sub>10</sub> and SO<sub>2</sub>/NO<sub>2</sub> after the weather normalization is shown in Fig. 1. Compared with other air pollutants, the decline rate of SO<sub>2</sub> concentration was the highest (15.5%  $\text{yr}^{-1}$ ), whereas that of O<sub>3</sub> concentration was the lowest (0.2%  $\text{yr}^{-1}$ ). Note that the decline rate of PM<sub>2.5</sub> concentration (6.0%  $\text{yr}^{-1}$ ) was higher than that of PM<sub>10</sub> concentration (5.6%  $\text{yr}^{-1}$ ), which led to a slight downward trend for PM<sub>2.5</sub>/PM<sub>10</sub> (0.6%  $\text{yr}^{-1}$ ), indicating that the impact of coarse particle sources such as dust might be prominent. The decline rate of SO<sub>2</sub> concentration was higher than that of NO<sub>2</sub> concentration (1.0%  $\text{yr}^{-1}$ ), resulting in a higher SO<sub>2</sub>/NO<sub>2</sub> decline rate of 15.3%  $\text{yr}^{-1}$ , indicating that the control effect of stationary sources was better than that of mobile sources (Nirel and Dayan, 2001). It was found that CO

concentration also performed an obvious decreasing trend, with the decreasing rate reaching 5.2% yr<sup>-1</sup>, whereas the downward trend of O<sub>3</sub> concentration was not prominent. The normalized medians of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub> decreased by 2.8, 5.4, 3.4, 0.3, 42.8, and 0.1 µg m<sup>-3</sup> yr<sup>-1</sup>, respectively (Table S7). Similar to this study, Vu et al. (2019) found that primary emission controls required by the CAAP in Beijing have led to substantial reductions in PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO from 2013 to 2017 of approximately 34%, 24%, 17%, 68%, and 33%, respectively, after meteorological normalization. Zhai et al. (2019) suggested that the mean PM<sub>2.5</sub> decreased across China was 4.6 µg m<sup>-3</sup> yr<sup>-1</sup> in the meteorology-corrected data from 2013 to 2018, and the Beijing-Tianjin-Hebei, the Yangtze River Delta, the Pearl River Delta, the Sichuan Basin, and the Fenwei Plain decreased 8.0, 6.3, 2.2, 4.9, and 5.0 µg m<sup>-3</sup> yr<sup>-1</sup>, respectively. Overall, the concentrations of most air pollutants (i.e., PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO) in China have showed a decreasing trend in recent years (Zhao et al., 2021a; Fan et al., 2020, while that of O<sub>3</sub> has performed an increasing trend (Li et al., 2020a; Ma et al., 2021), which further facilitated secondary particles formation (Wang et al., 2016; Nøjgaard et al., 2012).

Figure S3 compares the trends of air pollutants before and after normalization from 2015 to 2020, which are largely different depending on meteorological conditions (Vu et al., 2019). The annual average concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub> after normalization were higher than the actual observed concentrations. Compared with 2018, the observed concentrations of air pollutants in 2019 showed an increase in varying degrees; however, the increasing values of annual average concentrations for PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, CO, and O<sub>3</sub> after normalization decreased, and even the NO<sub>2</sub> concentration after normalization also decreased. This indicates that the meteorological conditions in 2019 reduced the effect of actual control to some extent. Up to that point, emission control had resulted in reductions of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub> concentrations by 17.7%, 31.9%, 18.4%, 1.7%, 0.3%, and 0.4% from 2015 to 2020, respectively, highlighting that much work is still needed to ensure the decrease of NO<sub>2</sub> and O<sub>3</sub> concentrations in the future.

### 3.1.2 Changes in the air quality in the two control stages

In order to assess the changes in ambient air quality in Qingdao during different policy

control periods, this study analyzed the changes in air pollutant concentrations during two stages: the CAAP period (stage 1: 2015-2017) and the BSPC period (stage 2: 2018-2020). The observed annual mean concentrations for PM<sub>2.5</sub> and PM<sub>10</sub> during stage 1 were 45 and 89  $\mu\text{g m}^{-3}$ , respectively (Table S8), and their annual average decline rates were 11.9% and 8.0% after weather normalization, respectively. Li et al. (2019b) found that PM<sub>2.5</sub> decreased by 30-40% across China during 2013-2017 in response to the governmental Clean Air Action. Compared with stage 1, the annual average concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> observed in stage 2 were 35 and 71  $\mu\text{g m}^{-3}$ , respectively (Table S8), and the decline range after normalization was reduced, with the decline rates of 5.3% and 7.0%, respectively (Fig. 2). However, PM<sub>2.5</sub>/PM<sub>10</sub> ratios during two stages were less than 0.5, suggesting that the impact of dust sources might be obvious in the two stages. Note that the mean observed annual concentration of SO<sub>2</sub> was 21  $\mu\text{g m}^{-3}$  in the stage 1 (Table S8) and its annual average decline rate reached 25.0% after normalization (Fig. 2), which was significantly higher than that of other pollutants. Compared with stage 1, the observed annual average concentration of SO<sub>2</sub> in stage 2 was only 8  $\mu\text{g m}^{-3}$  (Table S8), and the annual decline rate of SO<sub>2</sub> concentration after normalization still reached 17.1% (Fig. 2), indicating that Qingdao had achieved remarkable results in the control of coal combustion during the two stages. The observed annual mean concentrations for NO<sub>2</sub> and O<sub>3</sub> during stage 1 were 34 and 73  $\mu\text{g m}^{-3}$ , respectively (Table S8), and their annual increasing rates after normalization were 1.5% and 2.8%, respectively (Fig. 2). Study showed that surface ozone pollution in China worsened over the stage 1 (Li et al., 2019b). The observed annual mean concentrations of NO<sub>2</sub> and O<sub>3</sub> in stage 2 were 32 and 71  $\mu\text{g m}^{-3}$ , respectively (Table S8), while their annual decline rates after normalization were only 2.7% and 2.0%, respectively (Fig. 2). This indicates that the impact of motor vehicles in Qingdao could be greater than expected. Meanwhile, NO<sub>2</sub> and volatile organic compounds emitted from motor vehicles are important precursors for the formation of O<sub>3</sub> (Pugliese et al., 2014; Tsai et al., 2010), which were found to have further enhanced the O<sub>3</sub> concentration in Qingdao's atmosphere. The mean observed annual concentrations for CO were 0.80 and 0.64  $\text{mg m}^{-3}$  in stages 1 and 2, respectively (Table S8), and the annual average decline rate were 11.4% and 3.2% after normalization, respectively (Fig. 2), suggesting that there might have been a benefit from the significant control effect of coal-fired sources.

Diurnal variations of concentrations of air pollutants and  $\text{PM}_{2.5}/\text{PM}_{10}$  and  $\text{SO}_2/\text{NO}_2$  after normalization in the two stages are shown in Fig. S4. The diurnal variation in  $\text{PM}_{2.5}$  concentration in the two stages was basically the same; however, the concentration of  $\text{PM}_{2.5}$  in stage 2 was significantly lower than that in stage 1. Diurnal variation of  $\text{PM}_{10}$  concentration in the two stages was similar to  $\text{PM}_{2.5}$ . The daily variations of  $\text{PM}_{2.5}/\text{PM}_{10}$  in the two stages were basically the same, and the  $\text{PM}_{2.5}/\text{PM}_{10}$  between 06:00-20:00 in stage 2 was slightly lower than that in stage 1, probably suggesting that the impact of dust increased slightly during this period. The diurnal variations of  $\text{SO}_2$  and CO concentrations during stages 1 and 2 were generally consistent, whereas their concentrations in stage 2 were substantially lower than those in stage 1, which might indicate that the control effects of coal combustion in Qingdao in stage 2 was obvious. In contrast, the diurnal variations of  $\text{NO}_2$  concentrations in stages 1 and 2 were basically consistent with the values at each time, suggesting that the impact of motor vehicles in Qingdao might still be significant, especially the morning and evening peaks and between 21:00 and 23:00 at night. The daily variations of  $\text{O}_3$  concentrations were highly consistent in the two stages, especially between 14:00 and 17:00,  $\text{O}_3$  pollution was still severe. In general, compared with stage 1, the concentrations of  $\text{PM}_{2.5}$ ,  $\text{PM}_{10}$ ,  $\text{SO}_2$ , and CO in stage 2 decreased remarkably at all times, while those of  $\text{NO}_2$  and  $\text{O}_3$  remained basically unchanged at all times, indicating that the control effect of coal-fired sources in Qingdao was significant, whereas the impact of motor vehicles and  $\text{O}_3$  pollution were more obvious.

### 3.1.3 Changes in air quality after the COVID-19 lockdown

In response to the COVID-19 outbreak, a series of lockdown measures were implemented in China to curb the virus transmission, resulting in a significant decrease in traffic and industrial activities. These limitations provided an opportunity to investigate critical pollution sources that could potentially be better managed in the future to further improve the air quality. In order to explore the changes of air quality in Qingdao during the COVID-19 lockdown period, combined with the specific lockdown situation of Qingdao ([http://wsjkw.shandong.gov.cn/ywdt/xwt/202001/t20200124\\_3420319.html](http://wsjkw.shandong.gov.cn/ywdt/xwt/202001/t20200124_3420319.html); [http://www.shandong.gov.cn/art/2020/3/7/art\\_119816\\_350607.html](http://www.shandong.gov.cn/art/2020/3/7/art_119816_350607.html); last access: 29 October

2021), this study divided the lockdown period into three stages: pre-lockdown (1 to 24 January, 2020), full lockdown (25 January to 7 March, 2020), and partial lockdown (8 to 31 March, 2020). The time series and average values of air pollutant concentrations and  $PM_{2.5}/PM_{10}$  and  $SO_2/NO_2$  during different lockdown stages and their corresponding periods in 2018 and 2019 are shown in Fig. 3 and Tables S9-S10. According to the weather normalization data, compared with that before the lockdown, the concentrations of  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO decreased substantially during the full lockdown, among which the concentrations of  $PM_{10}$  and  $NO_2$  decreased the most (49.5% and 49.0%, respectively), followed by  $PM_{2.5}$  (47.8%) (Table S11), which was closely related to the significant decrease in traffic and construction activities during the full lockdown (Collivignarelli et al. 2021; Hong et al. 2021; Wang et al. 2021a). Note that the  $O_3$  concentration increased apparently by 50.8% during the full lockdown (Table S11), suggesting that the atmospheric oxidation might be enhanced during this period, similar to the study of Chu et al. (2021), Ding et al., (2021), He et al., (2020), and Le et al. (2020).  $PM_{10}$  and  $NO_2$  concentrations rebounded significantly during partial lockdown, increasing by 20.3% and 21.1% compared with the full lockdown, respectively, likely due to the increased impacts of traffic activities and related road dust. The concentrations of  $PM_{2.5}$ ,  $SO_2$ , and CO further decreased during the partial lockdown. The study from Yin et al. (2021) showed that the decrease of  $PM_{2.5}$  concentration might be mainly due to the meteorological conditions.

Compared with the same period in 2018, the concentrations of  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , CO, and  $O_3$  decreased obviously during the full lockdown, of which the reduction range of  $SO_2$  concentration was the greatest (39.8%), whereas that of  $O_3$  concentration was relatively lowest (1.8%) (Table S12). Compared with the corresponding period in 2019, the concentrations of  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and CO decreased by 34.5%, 44.8%, 27.0%, 32.6%, and 22.3% during the full lockdown, respectively, while that of  $O_3$  increased by 3.9% (Table S12). This shows that the COVID-19 lockdown measures led to the marked decrease of the primary emissions of air pollutants. Meanwhile, the concentrations of particulate matter and  $NO_2$  decreased substantially during the full lockdown. Since there are relatively few industrial enterprises in urban area of Qingdao,  $NO_2$  is mainly emitted from motor-vehicles. Therefore, this suggested that the control of motor-vehicles under normal conditions should

play an important role in the improvement of air quality in Qingdao.

### 3.2 Changes in meteorological conditions and chemical compositions

In this study, the VC in the same period was used to normalize the concentrations of chemical compositions in  $PM_{2.5}$ . After reducing the impacts of meteorological dispersion, the changes in the concentrations of major chemical compositions in the different years were analyzed to better reflect the impacts of source emissions (Dai et al., 2020; Ding et al., 2021). In 2011-2012, 2016, and 2019, the annual average MLHs in Qingdao were 399, 383, and 414 m, respectively (Fig. S5). However, the average wind speed in 2016 was significantly higher than that in other years, reaching  $3.3 \text{ m s}^{-1}$ . The VC showed an increasing trend year by year, from 1292.7 to  $1555.4 \text{ m s}^{-2}$  (Fig. S5), suggesting that the atmospheric dispersion conditions in Qingdao were gradually increasing. The average VC of Qingdao in three years was  $1432.6 \text{ m s}^{-2}$ , and higher MLH usual corresponds to higher wind speed. Time series of observed concentrations and normalized concentrations of  $PM_{2.5}$  and chemical compositions are shown in Fig. S6. The observed and VC normalized concentrations of  $PM_{2.5}$  during the whole study period were  $93$  and  $83 \text{ } \mu\text{g m}^{-3}$ , respectively, suggesting that the unfavorable meteorological conditions might increase approximately  $10 \text{ } \mu\text{g m}^{-3}$  of  $PM_{2.5}$ , which was substantially lower than that reported by the study of Ding et al. (2021) in Tianjin. Zhai et al. (2019) found that the mean  $PM_{2.5}$  in the meteorology-corrected data from 2013 to 2018 was 12% lower than in the original data, meaning that 12% of the  $PM_{2.5}$  decrease in the original data was attributable to the meteorology. However, Gong et al. (2022) suggested that the meteorology can explain approximately 20-33% of the  $PM_{2.5}$  variations.

The annual changes in the observed and dispersion normalized concentrations and percentages of main chemical compositions in ambient  $PM_{2.5}$  are shown in Fig. 4 and Fig. S7. From 2011-2012 to 2019, the observed concentrations of  $SO_4^{2-}$  showed an obvious downward trend, from  $23.5$  to  $6.7 \text{ } \mu\text{g m}^{-3}$ . The trend of concentrations of  $SO_4^{2-}$  after dispersion normalization was consistent with the observed concentrations, and the annual average decline rate was approximately 41.5% (38.1% in 2016 and 44.8% in 2019) (Table S13), probably suggesting that the impacts of coal-fired sources in Qingdao has decreased substantially in recent years. In contrast, the observed concentrations and percentages of  $NO_3^-$

increased significantly from 2011-2012 ( $3.5 \mu\text{g m}^{-3}$ ) to 2019 ( $10.0 \mu\text{g m}^{-3}$ ), and  $\text{NO}_3^-/\text{SO}_4^{2-}$  increased from 0.14 to 1.50. After dispersion normalization, the concentrations and percentages of  $\text{NO}_3^-$  changed inappreciable in 2016-2019 but significantly higher than that prior to the CAAP. It has been found that ambient  $\text{NO}_3^-$  in urban mainly originates from the secondary conversion of  $\text{NO}_x$  emitted by motor-vehicles (Alexander et al., 2020; Liu et al., 2017; Meng et al., 2008), thereby indicating that the impacts of motor-vehicles in Qingdao might become increasingly obvious. The observed and normalized concentrations and percentages of OC and EC basically performed a downward trend from 2011 to 2019. The OC concentration decreased significantly, and the observed and normalized concentrations decreased from  $13.1$  to  $7.6 \mu\text{g m}^{-3}$  and  $12.9$  to  $7.2 \mu\text{g m}^{-3}$ , respectively, which might be related to the significant decrease in the impacts of coal-fired sources in Qingdao. Note that the annual variations of observed and normalized concentrations of  $\text{NH}_4^+$  were consistent with that of  $\text{SO}_4^{2-}$ , but contrary to that of  $\text{NO}_3^-$ , which might indicate that ammonium mainly existed in the form of ammonium sulfate and ammonia hydrogen sulfate in Qingdao.

Crustal elements (Si, Al, and Mg) decreased remarkably after the CAAP were in place. The observed and normalized concentrations of these elements in 2011-2012 were higher than those in 2016 and 2019, while their concentrations in 2019 were slightly higher than those in 2016. From 2011-2012 to 2019, the observed concentrations of Si, Al, and Mg decreased from  $10.7$  to  $1.0 \mu\text{g m}^{-3}$ ,  $3.1$  to  $0.5 \mu\text{g m}^{-3}$ , and  $1.9$  to  $0.2 \mu\text{g m}^{-3}$ , respectively, and the trends of normalized concentrations were consistent with the observed concentrations, likely suggesting that the impact of dust in 2011-2012 was apparently higher than that in 2016 and 2019, and 2019 rebounded compared with 2016. The trends of the observed and normalized concentrations and percentages of Ca were consistent. The concentrations and percentages in 2011-2012 were remarkably higher than that in 2016 and 2019, and the concentration in 2019 rebounds compared with that in 2016, with the increasing rate of 77.1% in terms of normalized data (Table S13). This suggests that the impact of construction activities in 2011-2012 might have been significantly higher than that in 2016 or 2019. The annual trends of observed and normalized concentrations of Fe were also consistent. The observed and normalized concentrations in 2011-2012 were  $4.0$  and  $4.6 \mu\text{g m}^{-3}$ , respectively. After 2016, the concentrations and percentages of Fe decreased substantially, which might be



closely related to the relocation of iron and steel enterprises in Qingdao (Liu et al., 2021a). The observed and normalized concentrations and percentages of Ni and V basically showed a downward trend from 2011-2012 to 2019. The concentrations in 2011-2012 were significantly higher than that in 2016 and 2019, which might indicate that the impact from ships in 2011-2012 was more obvious. Of course, it might also be related to the impact of manual dust sources. From 2011-2012 to 2019, the observed and normalized concentrations and percentages of Na showed a downward trend. The concentration and percentage in 2011-2012 were significantly higher than those in 2016 and 2019, suggesting that the impact of sea salt might have decreased in Qingdao in recent years.

### **3.3 Changes in source contributions**

#### **3.3.1 Source identification**

Given that the differences of source profiles during different periods, PMF analysis was conducted for three data sets corresponding to separate sampling periods (i.e., 2011-2012, 2016, and 2019). The solutions from five to nine factors were examined in terms of scaled residuals, factor interpretability, and displacement acceptability (Brown et al., 2015; Dai et al., 2020). An eight-factor solution was chosen as the optimal fits for each data set. The correlation coefficients ( $R^2$ ) between the observed and calculated concentrations were 0.91, 0.83, and 0.91, respectively (Fig. S8). There were no DISP swaps, and all BS runs had at least 87% agreement with the base case values (Table S14).

The factor profiles estimated from PMF during different periods are shown in Figs. S9-S11. The first factor was identified as vehicle emissions, because OC and EC both had high concentrations and explained variations as well as narrow DISP bounds. It is known that the OC and EC are important tracers for vehicle emissions (Bi et al., 2019; Gao et al., 2016; Ryou et al., 2018; Xu et al., 2019a). The second factor was characterized by higher concentration and explained variation of Si, and high Al concentrations, and they all had narrow DISP ranges. Si and Al were the indicators for fugitive dust (Begum et al., 2011; Jain et al., 2018; Zhao et al., 2021b). The third factor featured relatively high concentrations and explained variations of OC,  $\text{SO}_4^{2-}$ , and  $\text{Cl}^-$  with tight DISP intervals. These species were distinctive tracers for coal combustion (Huang et al., 2017; Song et al., 2021; Tao et al., 2014).

The fourth factor was characterized by high explained variations of Fe and Mn, and relatively high concentrations of Cu and Zn. Tsai et al. (2020) found that Fe and Mn were related to basic oxygen, iron ore sintering and steel oxidation refining. Querol et al. (2007) and Kuo et al. (2007) have reported that Cu and Zn were released from multiple metal smelting. Therefore, this factor was identified as steel-related smelting. The fifth factor was dominated by high concentrations and explained variations of  $\text{NO}_3^-$  and  $\text{NH}_4^+$  with small DISP bounds, which was identified as secondary nitrate (Esmaeilirad et al., 2020). It was found that  $\text{SO}_4^{2-}$  and  $\text{NH}_4^+$  presented the highest explained variations and concentrations with narrow DISP bounds in the sixth factor. Therefore, this factor was assigned as secondary sulphate (Bove et al., 2016; Jain et al., 2020). The seventh factor was featured by high concentration and explained variation of Ca with a small DISP bound, which was identified as construction dust (Liu et al., 2016; Zhang et al., 2005). The final factor was characterized by highly explained variations of Na, Ni, and V with narrow DISP intervals. In addition, the concentrations of Mg,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ , and  $\text{Cl}^-$  in this factor were also relatively high. Zhang et al. (2021), Liu et al. (2018b), Choi et al. (2013), and Police et al. (2016) have found that sea salt involves high amounts of Na, Mg,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ , and  $\text{Cl}^-$ . Meanwhile, Ni and V are the markers of ship emissions (Manousakas et al., 2017; Zong et al., 2018; Xu et al., 2018). Therefore, this factor was recognized as a mixed source of sea salt and ship emissions.

### 3.3.2 Change in source contributions

The source apportionment results of ambient  $\text{PM}_{2.5}$  in Qingdao from 2011-2012 to 2019 are shown in Fig. 5 and Figs. S12-S15. For vehicle emissions, its contribution showed an increasing trend with each year, from  $12.1 \mu\text{g m}^{-3}$  (7.9%) to  $13.6 \mu\text{g m}^{-3}$  (22.5%). The contribution of coal combustion performed a significant downward trend, from  $21.3 \mu\text{g m}^{-3}$  (13.9%) in 2011-2012 to  $4.5 \mu\text{g m}^{-3}$  (7.5%) in 2019. The contribution of fugitive dust in 2011-2012 was up to  $35.3 \mu\text{g m}^{-3}$  (23.1%), significantly higher than  $8.5 \mu\text{g m}^{-3}$  (13.2%) in 2016 and  $10.2 \mu\text{g m}^{-3}$  (16.8%) in 2019, and the contribution in 2019 rebounded compared with 2016. The contribution of construction dust showed a downward trend year after year, from  $14.2 \mu\text{g m}^{-3}$  (9.3%) in 2011-2012 to  $2.4 \mu\text{g m}^{-3}$  (4.0%) in 2019. The contribution of steel-related smelting also showed a downward trend year by year, from  $15.9 \mu\text{g m}^{-3}$  (10.4%) in 2011-

2012 to  $3.0 \mu\text{g m}^{-3}$  (4.9%) in 2019. The significant decline in the impact of steel-related smelting after 2016 might be closely related to the relocation of iron and steel enterprises in Qingdao (Liu et al., 2021a). The contribution of secondary nitrate basically performed a significant upward trend, increasing from  $14.5 \mu\text{g m}^{-3}$  (9.5%) in 2011-2012 to  $15.2 \mu\text{g m}^{-3}$  (25.2%) in 2019, which might be related to the high concentration of precursor ( $\text{NO}_2$ ) (Fig. 1) and the increase in atmospheric oxidation in recent years (Chen et al., 2020c; Fu et al., 2020). In contrast, the contribution of secondary sulphate showed a significant downward trend, from  $34.2 \mu\text{g m}^{-3}$  (22.3%) in 2011-2012 to  $9.7 \mu\text{g m}^{-3}$  (16.0%) in 2019, likely due to the significant decrease in the concentration of its precursor ( $\text{SO}_2$ ) (Fig. 1). For sea salt and ship emissions, the contribution basically performed a downward trend, from  $5.7 \mu\text{g m}^{-3}$  (3.7%) in 2011-2012 to  $2.0 \mu\text{g m}^{-3}$  (3.2%) in 2019.

To shield the impact of meteorology on the source apportionment results, this study used Eq. (2) to conduct the treatment of dispersion normalization for the source apportionment results, and then analyzed the annual changes in the contributions of different source categories, as shown in Fig. S16. The annual changes in the contributions of multiple sources in Qingdao were basically consistent with the results of direct PMF calculation. The contribution of vehicle emissions was increasing year by year, and the annual average increase rate of contribution concentration was 12.1%. However, the contribution of coal combustion showed a continuous yearly downward trend, with the average annual decline rate of contribution concentration being 56.8%. For fugitive dust, compared with 2011-2012, the contribution in 2016 decreased substantially, with a decline rate of contribution concentration of 68.9%, while it rebounded in 2019, with an increase rate of 25.2%. The contribution of construction dust performed a continuous yearly downward trend, with the average annual decline rate of contribution concentration being 55.9%. For the steel-related smelting, and sea salt and ship emissions, the average annual decline rates of their contribution concentrations were 55.3% and 46.0%, respectively. In contrast, the contribution of secondary nitrate showed an increasing trend, and the increase rate of its contribution concentration was 1.7%, while the contribution proportion increased by more than 70%. The contribution of secondary sulphate showed a continuous yearly downward trend, and the average annual decline rate of contribution concentration was 38.7%. Overall, the impacts of

coal combustion and steel-related smelting industrial sources in Qingdao decreased substantially over the last decade, suggesting that the controlling effects of these sources were obvious. The impact of motor-vehicles was prominent each year. Qingdao also risks increased emissions from the increased vehicular population and ozone pollution that facilitate secondary particles formation in the future. The impact of fugitive dust had decreased in recent years, whereas its contribution was still obvious. Therefore, the control of motor-vehicles and dust should be the focus of pollution source control in Qingdao in the future, while that of coal combustion and industrial sources also should not be ignored.

Furthermore, with the beginning of heating season in northern cities in China (Liu et al., 2016; Li et al., 2019a), the atmospheric pollutant emissions increased substantially (Chen et al., 2020a). Coupled with the adverse meteorological conditions (Li et al., 2019a), haze episodes occurred frequently during this period (Liu et al., 2018a; Yang et al., 2020).

Therefore, the control effects of pollution sources and key control sources in the specific period can be better highlighted through analyzing the changes in the contributions of emission sources during heating seasons over the years. In this study, the heating season in 2011-2012 was defined from 15 to 29 February, 2012, that in 2016 was defined from 17 to 20 December, 2016, and that in 2019 referred from 12 to 26 January, 2019. The contributions of different sources during different heating seasons in Qingdao are shown in Figs. S17-S18.

Compared with the heating season in 2011-2012, the contribution of coal combustion decreased significantly in the heating seasons of 2016 and 2019, from  $50.2 \mu\text{g m}^{-3}$  (31.7%) to  $10.9 \mu\text{g m}^{-3}$  (6.4%) and  $10.6 \mu\text{g m}^{-3}$  (10.8%). The contribution percentages after dispersion normalization showed a consistent trend. For vehicle emissions, the contribution percentages in the heating season increased continuously each year, from 3.9% in 2022-2012 to 22.3% in 2019. The results after normalization had the same trend, suggesting that the impact of motor vehicles in heating season was gradually prominent. The contribution of fugitive dust in the heating season in 2011-2012 ( $14.2 \mu\text{g m}^{-3}$ ) was substantially higher than that in 2016 ( $3.9 \mu\text{g m}^{-3}$ ) and 2019 ( $12.0 \mu\text{g m}^{-3}$ ). The contribution in the heating season in 2019 rebounded remarkably compared with that in 2016, and the results of dispersion normalization were consistent. The contribution of construction dust in the heating season in 2019 was markedly lower than that in 2011-2012 and 2016. The contribution of steel-related smelting in the

heating season showed a continuous yearly downward trend, from  $22.6 \mu\text{g m}^{-3}$  in the heating season from 2011-2012 to  $4.6 \mu\text{g m}^{-3}$  in 2019. However, its contribution percentage in the heating season in 2019 was higher than that in the heating season in 2016, which was consistent with the normalized results, indicating that the impact of steel-related smelting in the heating season had increased, though the contribution percentage was low. The contribution of secondary nitrate in heating season in 2016 was up to  $61.3 \mu\text{g m}^{-3}$  (36.3%), which was significantly higher than that of  $28.4 \mu\text{g m}^{-3}$  (28.9%) in 2019 and  $16.8 \mu\text{g m}^{-3}$  (10.6%) in 2011-2012. This was consistent with the results of the dispersion normalization. It can be seen that although the contribution of secondary nitrate in the heating season in 2019 was reduced, its contribution was significantly higher than that of other sources. Similarly, the contribution of secondary sulphate was also higher in the heating season of 2016 than other years; however, its contribution was clearly lower than that of secondary nitrate. After dispersion normalization, the contributions of secondary sulphate basically showed a continuous yearly downward trend. The contribution of sea salt and ship emissions in the heating season also showed an obvious downward trend, from  $10.0 \mu\text{g m}^{-3}$  (6.3%) in 2011-2012 to  $1.4 \mu\text{g m}^{-3}$  (1.5%) in 2019, and the results after dispersion normalization were basically consistent. The average decline rate of contribution concentration was approximately 70%, including 88% in 2016. From this analysis, the impacts of coal combustion and steel-related smelting in Qingdao were relatively low after the heating season in 2016, while that of vehicle emissions was prominent each year. Although the impact of fugitive dust had rebounded in the heating season in 2019, the contribution was relatively low. The contribution of secondary nitrate in heating season was substantially higher than that of other sources, and the influence of secondary sulfate decreased each year. The influence of sea salt and ship emissions in heating season showed a continuous yearly downward trend.

### 3.4 Changes in potential source areas

Similar to the studies of Liu et al. (2021a) and Dai et al. (2020), according to the source apportionment results, this study used the PSCF method to analyze the changes in the potential impact areas of emission sources in Qingdao from 2011-2012 to 2019, and the results are shown in Fig. 6. For vehicle emissions, the potential impact areas changed greatly

from 2011-2012 to 2019. The potential impact areas in 2011-2012 were located at the junction of Shandong, Henan, Anhui, and Jiangsu provinces, and the potential impact areas were mainly located in the south part of Jiangsu in 2016, while in 2019, Tianjin and the northwest part of Shandong were important impact areas. The potential impact areas for fugitive dust showed a trend of westward migration from 2011-2012 to 2019. For 2011-2012, the potential impact areas were located at the junction of Shandong, Henan, Anhui, and Jiangsu, as well as in the northern part of Shandong. The potential impact areas were located in the northwestern part of Shandong in 2016, while they were at the junction of Shandong and Henan in 2019. For coal combustion, the potential impact areas for 2011-2012 were located at the junction of Shandong, Henan, Anhui, and Jiangsu. In 2016, they moved to the northwest of Shandong Province and Beijing Tianjin and Hebei region, and the northwest of Shandong was an important impact area in 2019. For steel-related smelting, Beijing and Tianjin were the potential impact areas for 2011-2012, while the potential impact area was located in the Yellow Sea in 2016, which might be related to the relocation of iron and steel enterprises to a port area in the south of Qingdao (Liu et al., 2021a). This suggests that the air mass transport in the coastal area could lead the nearby sea areas to become potential impact areas. The potential impact area in 2019 was mainly located at the junction of Hebei, Henan, and Shandong.

For secondary nitrate, the potential impact area for 2011-2012 was the junction of Shandong, Henan, Jiangsu, and Anhui provinces. The potential impact area was mainly located in the central and southern parts of Shandong in 2016, while two areas were located in Beijing, Tianjin, and the junction of Hebei, Henan, and Shandong provinces in 2019. For secondary sulphate, the main potential impact areas for 2011-2012 were located at the junction of Shandong, Henan, Jiangsu, and Anhui Provinces and the western part of Jilin Province. The impact of the Middle East of Shandong Province was more obvious in 2016, while the impact was greater in the south part of Shandong Province, and the junction of Henan and Jiangsu Provinces in 2019. For construction dust, the main potential impact areas for 2011-2012 were Beijing, Tianjin, and the western part of Shandong Province, and the southeastern part of Hebei Province, Shanghai, and the eastern part of Hubei Province in 2016, while the central and western parts of Shandong Province, the junction of Henan and

Shandong Provinces, and the central and southern parts of Anhui Province were the main impact areas in 2019. For sea salt and ship emissions, the potential impact areas for 2011-2012 were mainly located in coastal areas of Jiangsu and Shanghai, which were closely related to the impacts of ship emissions from ports and sea salt in these cities. The Yellow Sea was the main impact area in 2016 and 2019, and the impact areas in 2019 moved to the south. Bie et al. (2021) also analyzed the potential impact areas of ship emissions in Qingdao Port from 2018 to 2019 using the PSCF method, and found that they were mainly located in the Bohai Bay, Yellow Sea, and Yangtze River Delta. Overall, from 2011-2012 to 2019, the potential impact areas of different emission sources in Qingdao have changed markedly. In 2019, the potential impact areas for most of the emission sources were mainly located in Shandong Province and along the border areas between the western or southwest parts of Shandong and other provinces, while sea salt and ship emissions were mainly affected by transport on the Yellow Sea.

#### **4 Conclusions**

A machine learning-based meteorological normalization and a dispersion normalization-based on ventilation coefficient approaches were applied to decouple the meteorological deduced variations in air quality time series and multiple source contributions of a coastal city in northern China (Qingdao), respectively. The concentrations of air pollutants other than ozone in Qingdao decreased substantially and the air quality improved continuously after the “CAAP” period, indicating that the control strategies of air pollution in Qingdao over the years have been proper. The largest emission reduction sections were likely from coal combustions and industrial emissions from 2011-2012 to 2019, and the decrease of steel-related smelting after 2016 due to the relocation of iron and steel enterprises. The contribution of dust in Qingdao decreased remarkably after the “CAAP”, but the impact was still outstanding until 2019. Vehicle emissions were increased in importance, as opposed to the other primary sources. Qingdao risks increased emissions from the increased vehicular population and ozone pollution that facilitate secondary particles formation in the future. In addition, the influence of ship emissions should be gradually reduced. The control of motor-vehicles and dust should be the focus of pollution source control in Qingdao in the future,

while that of coal combustion and industrial sources cannot be ignored. In addition, the potential impact areas of different emission sources in Qingdao have changed markedly from 2011-2012 to 2019. The potential impact areas for most of emission sources were mainly located in Shandong and the border areas between western or southwest Shandong and other provinces in 2019, while sea salt and ship emissions were mainly affected from the transport of the Yellow Sea.

#### **Author contributions**

Baoshuang Liu: Data curation, Writing – original draft, Yanyang Wang: Data curation and Investigation, He Meng: Data collection, Qili, Dai: Supervision and Review, Liuli Diao: Data curation, Jianhui Wu: Supervision, Laiyuan Shi: Supervision, Jing Wang: Supervision, Yufen Zhang: Supervision – review & editing, Yinchang Feng: Supervision – review & editing.

#### **Competing interests**

The authors declare no competing financial interests.

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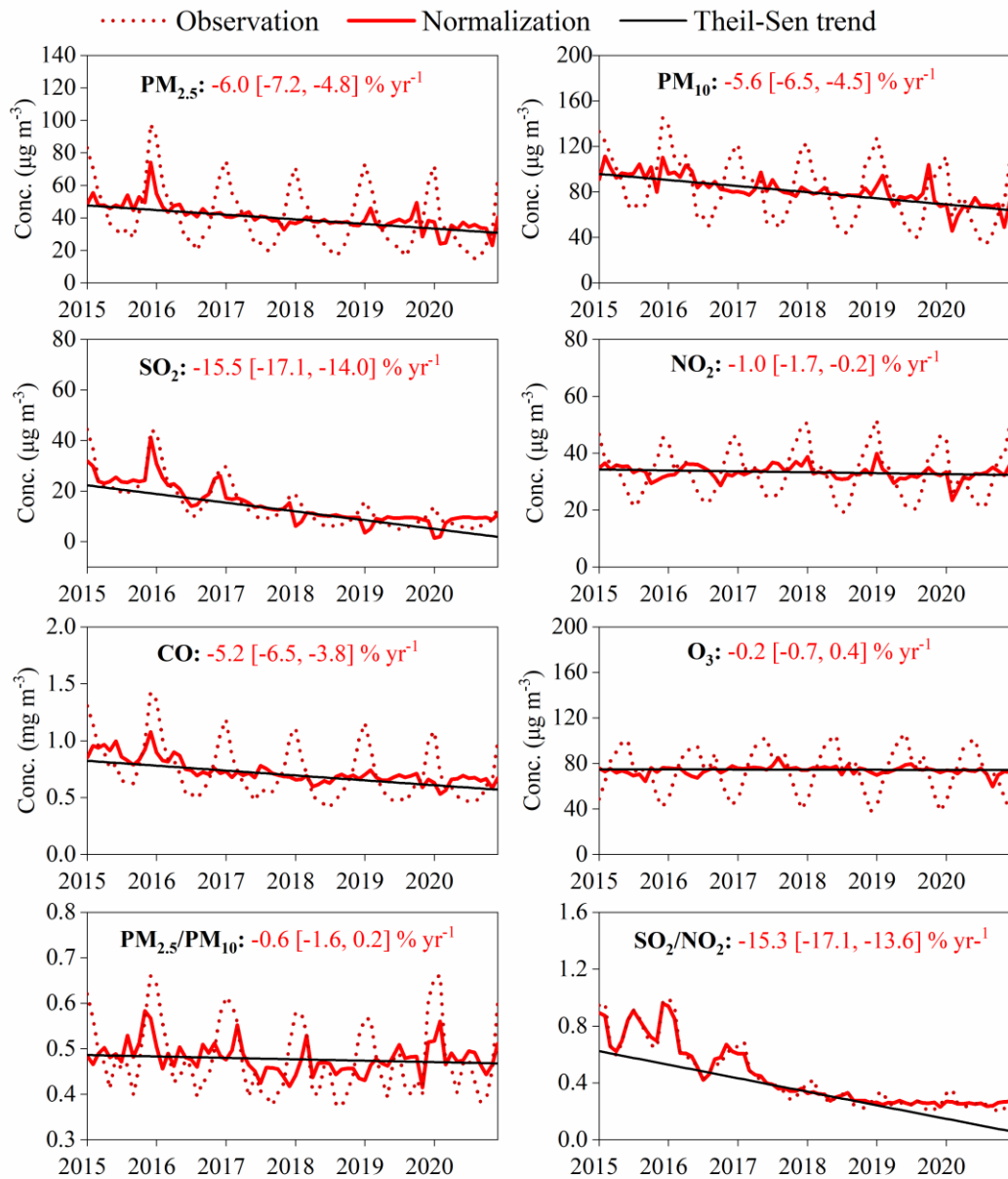
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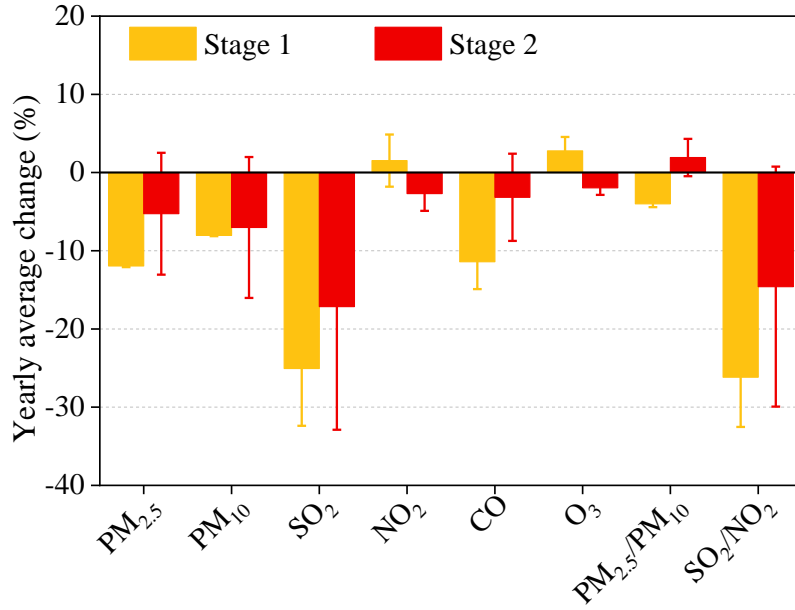
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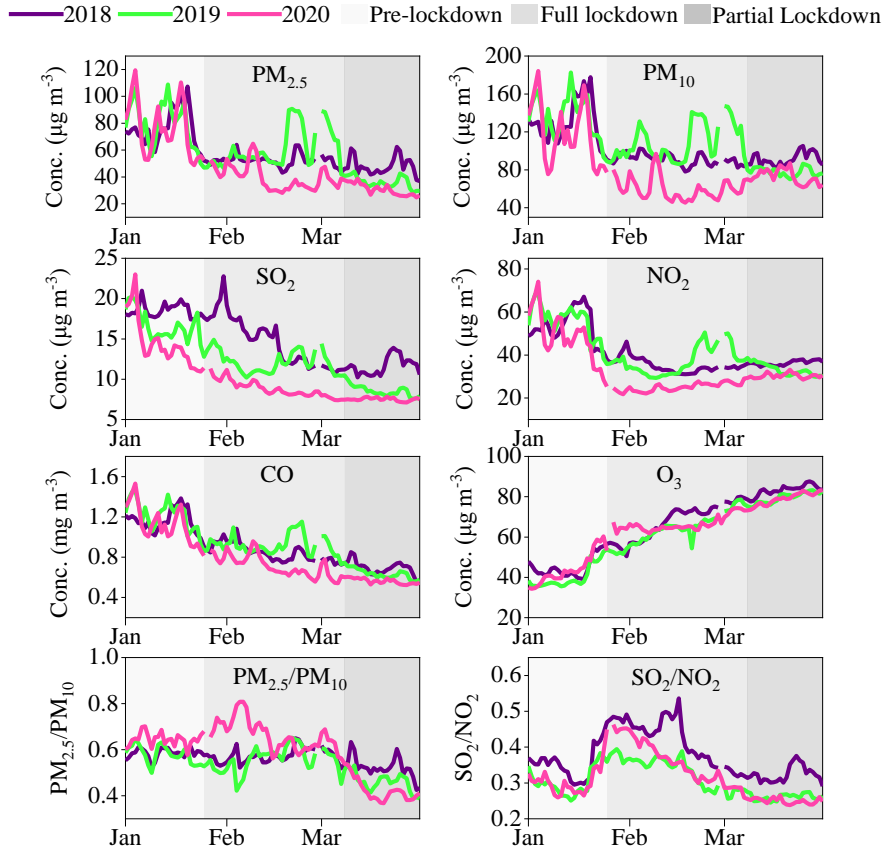




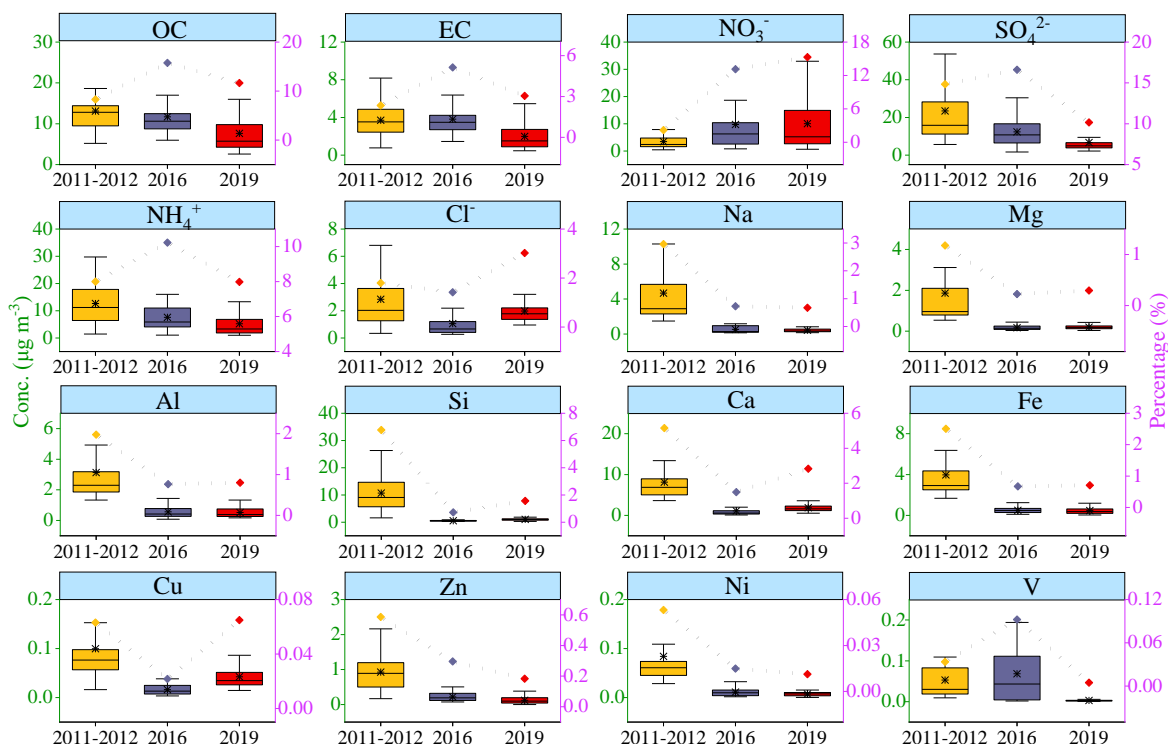
**Figure 1.** Trends of air pollutant concentrations and PM<sub>2.5</sub>/PM<sub>10</sub> and SO<sub>2</sub>/NO<sub>2</sub> from 2015 to 2020. “Observation” represents the observed data, and “Normalization” in represents the modelled concentrations of air pollutants after weather normalization. The black line shows the Theil–Sen trend after weather normalization.



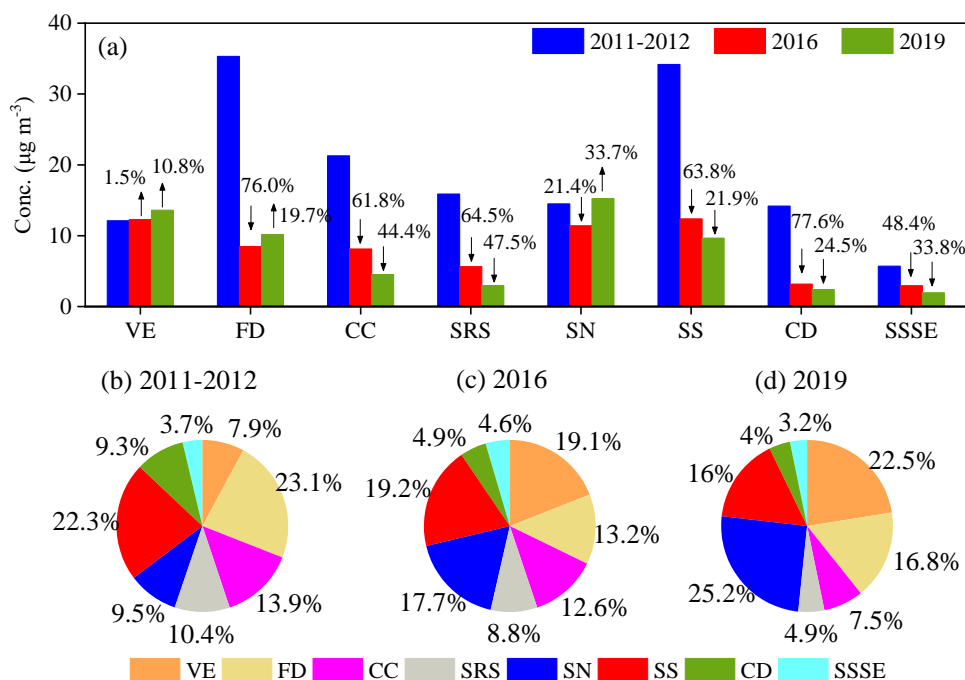
**Figure 2.** Yearly average change of air pollutants and PM<sub>2.5</sub>/PM<sub>10</sub> and SO<sub>2</sub>/NO<sub>2</sub> during different pollution-control stages based on the weather normalized data.



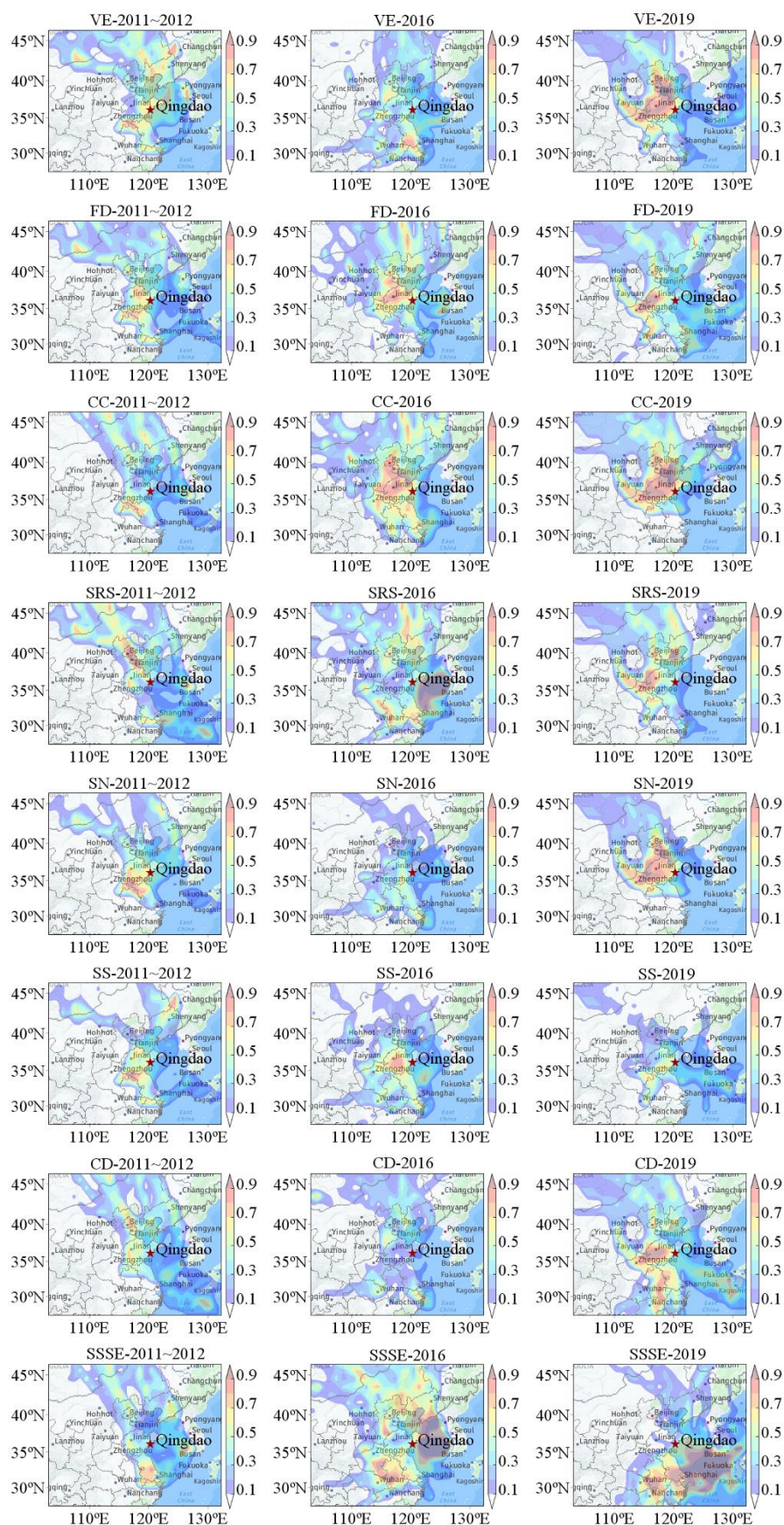
**Figure 3.** Time series of air pollutants concentrations and PM<sub>2.5</sub>/PM<sub>10</sub> and SO<sub>2</sub>/NO<sub>2</sub> during the different stages of COVID-19 lockdown start dates or equivalent in 2020 versus 2018 and 2019 based on the weather normalization data.



**Figure 4.** Variations of the average concentrations and percentages of major chemical compositions of PM<sub>2.5</sub> in 2011-2012, 2016, and 2019. Box charts represent concentrations, and line charts represent percentages.



**Figure 5.** Changes in source contributions for 2011-2012, 2016, and 2019. VE represents vehicle emissions, FD represents fugitive dust, CC represents coal combustion, SRS represents steel-related smelting, SN represents secondary nitrate, SS represents secondary sulphate, CD represents construction dust, and SSSE represents sea salt and ship emissions.



**Figure 6.** WPSCF plots for various emission sources during different periods (base map from Yahoo Maps). VE represents vehicle emissions, FD represents fugitive dust, CC represents

1094 coal combustion, SRS represents steel-related smelting, SN represents secondary nitrate, SS  
1095 represents secondary sulphate, CD represents construction dust, and SSSE represents sea salt  
1096 and ship emissions.  
1097