- Dramatic changes in atmospheric pollution source contributions for a coastal
   megacity in <u>nNorthern</u> China from 2011 to 2020
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### 19 Abstract

20 Understanding the effectiveness of long-term air pollution regulatory measures is 21 important for control policy formulation. Efforts have been made using chemical transport modelling and statistical approaches to evaluate the efficacy of the Clean Air 22 Action Plan (2013-2017, CAAP) and the Blue Sky Protection Campaign (2018-2020, 23 BSPC) enacted in China. Changes in air quality due to reduction in emissions can be 24 25 masked by meteorology, making it highly challenging to reveal the real effects of control measures. Knowledge gap still existed with respect to how sources changed 26 before and after the CAAP and BSPC implemented, respectively, particularly in 27 coastal area where anthropogenic emissions mixed with additional natural sources 28 (e.g., marine aerosol). This work applied a machine learning-based meteorological 29 30 normalization approach to decouple the meteorological effects from air quality trend 31 in a coastal city in northern China (Qingdao). Secondly, the relative changes in source contributions to ambient PM2.5 with a ~10-year observation interval (2011-2012, 2016, 32 33 and 2019) were also investigated. We discovered that the largest emission reduction section was likely from coal combustions, as the meteorologically normalized SO<sub>2</sub> 34 35 dropped by ~15.5% yr<sup>-1</sup>per year and dispersion normalized  $SO_4^{2-}$  decreased by ~41.5% 36 for annual average. Change in the meteorologically normalized NO<sub>2</sub> was relatively 37 stable (~1.0% yr<sup>-1</sup>), and NO<sub>3</sub><sup>-</sup> changed inappreciable in 2016-2019 but significantly higher than that prior to the CAAP. Crustal dust decreased remarkably after the CAAP 38 began. Industrial emissions, for example, steel-related smelting, decreased after 2016 39 due to the relocation of steelmaking enterprises. Note that vehicle emissions were 40 41 increased in importance, as opposed to the other primary sources. Similar to other 42 mega cities, Qingdao also risks increased ozone pollution that in turns facilitate 43 secondary particles formation in the future. The policy assessment approaches applied in this work also work for other places where air quality management is highly in 44 demand to reduce air pollution. 45 46 47 Key words: Air quality; Random forest; Dispersion normalization; Source

48 49 apportionment; Coastal megacity

# 50 1 Introduction

51	Rapid industrial development and energy consumption in China over the past several	
52	decades have resulted in severe air pollution (Dai et al., 2021; Huang et al., 2014; Zhang et al.,	
53	2012). Fine particulate matter (PM_{2.5}, particles with aerodynamic diameter $\leq$ 2.5 $\mu m)$ is the	
54	leading health-risk factor for attributable mortality in China (Cohen et al., 2017). It is well-	
55	documented that exposure to $PM_{2.5}$ has been associated with increased mortality (Joshi et al.,	
56	2021: Liu et al., 2021b; Joshi et al., 2021; Vodonos and Schwartz, 2021). The Wworld	
57	<u>H</u> health <u>O</u> organization (WHO)recently set the annual average concentration of $PM_{2.5}$ to 5	
58	$\mu g$ m $^{-3}$ . Most countries or regions are facing a great challenge now to meet the guideline since	
59	their current PM <sub>2.5</sub> levels are well above the latest threshold.	
60	To alleviate the severe impact of air pollution on the living environment and public	
61	health, the State Council of China released a five-year "Air Pollution Prevention and Control	
62	Action Plan" in 2013 (hereinafter the "Clear Air Action Plan, CAAP")	
63	(http://www.gov.cn/zwgk/2013-09/12/content_2486773.htm, last access: 29 October 2021).	
64	This was followed by the tighter "Three-year Action Plan to the Blue Sky Protection	
65	Campaign" (hereinafter the "Blue Sky Protection Campaign, BSPC") in 2018	
66	(http://www.gov.cn/zhengce/content/2018-07/03/content_5303158.htm, last access: 29	
67	October 2021). The executions of these measures significantly improved air quality (Jiang et	
68	al., 2021), thus gained appreciable health benefits (Huang et al., 2018). Vu et al. (2019)	
69	demonstrated that the control measures requested by the CAAP have tremendously reduced	
70	the emissions-(after meteorologically normalized pollutants) in PM2.5, PM10, NO2, SO2, and	
71	CO in Beijing from 2013 to 2017 by approximately 34%, 24%, 17%, 68%, and 33%,	
72	respectively. Xu et al. (2021) found that by 2020, PM <sub>2.5</sub> reduction measures avoided 3561	域代码已更改
73	thousand morbidity cases and 24 thousand premature deaths in the Beijing-Tianjin-Hebei	
74	region.	
75	Evaluation of the effectiveness of air pollution controls is important for control policy	
76	formulation to further improve future air quality (Dai et al., 2020). Many studies have been	
77	carried out to evaluate the efficacy of control measures around the world. For example,	
78	assessments on short-term control measures were made for the 2008 Olympic Games	
79	(Schleicher et al., 2012), 2013 Second Asian Youth Games in Nanjing (Qi et al., 2016), 2014	
	3	

80	Asia Pacific Economic Cooperation (Xu et al., 2019b), 2015 Military Parade (Wang et al.,	
81	2017), and 2017 Belt and Road Forum for International Cooperation (Ma et al., 2020), as	
82	well as the 2020 COVID-19 worldwide lockdown (Beloconi et al., 2021; Chen et al., 2020ba;	
83	Cucciniello et al., 2022; Shi et al., 2021; Wang et al., 2021a). Medium-term (3–5 years)	
84	evaluations on the validity of control measures have also been examined (Li et al., 2021b; Yu	
85	et al., 2019; Zhang et al., 2019). In contrast, long-term (~10 years) evaluations on controls	
86	were rarely reported (Masiol et al., 2019). The majority of such studies have focused	
87	primarily on the changes in concentrations of criterion air pollutants to qualitatively deduce	
88	the efficacy of source control (Cheng et al., 2019; Lyu et al., 2017; Li et al., 2020b; Wang et	
89	al., 2014). For example, Vu et al. (2019) and Liang et al. (2016) applied random forest and	
90	non-parametric methods to normalize the impact of meteorological factors to evaluate the	
91	changes in air pollutant concentrations and the effect of control measures in Beijing and other	
92	cities in China over recent time periods. However, quantitative evaluations of source	
93	emissions have not been common (Gulia et al., 2018), due to the lack of long-term particle	
94	composition monitoring (Hopke et al., 2020) and only a handful of studies quantitatively	
95	assessing source contributions smoothed the disturbance of weather conditions.	
96	Qingdao, as an economically developed coastal megacity in northern China, has suffered	
97	severe air pollution (Bie et al., 2021; Gao et al., 2020; Li et al., 2017). It has been reported	
98	by Li et al. (2021a) that meteorology plays a critical role in the formation of pollution for this	
99	coastal region. In addition, based on measures taken in accordance with the "CAAP" since	
100	2013 and the "BSPC" since 2018, source interventions such as the relocation and	
101	transformation of businesses and industries from the Old Town to port regions (Liu et al.,	
102	2021a) have been implemented to improve the air quality in Qingdao. Up to now, the air	
103	quality in Qingdao has been greatly improved. Liu et al. (2020a) assessed the changes in $O_3$	<b>设置了格式:</b> 下标
104	concentrations during the Shanghai Cooperation Organization (SCO) Summit in Qingdao and	
105	analyzed the impact of control measures on the emissions reduction of its precursors, and Liu	
106	et al. (2020b) also analyzed the reasons for the increase of O <sub>2</sub> concentration at nighttime	<b>设置了格式:</b> 下标
107	during the SCO Summit. However, there is no report to date has evaluated the effectiveness	
108	of these control measures based on a long-term time scale after these control measures were	
109	put into practice, especially for quantitating the changes in source contributions by smoothing $\frac{4}{4}$	

the influences of weather conditions. In view of this, our work was mainly to evaluate the implementation of control measures utilizing the data of weather-normalized air pollutants, changes in chemical compositions in  $PM_{2.5}$  and source contributions as well as extra source origins from 2011 to 2020. Findings of this work are expected to provide the basis for policy development for a coastal megacity in the future.

115

#### 116 2 Materials and methods

117 2.1 Study region and sampling site

118 Qingdao is an economically developed coastal megacity of Shandong province, China (Fig. S1). The variation of local economic and social developments from 2011 to 2019 were 119 counted and are shown in Fig. S2. During this period, the local resident population continued 120 to rise, reaching 9499.8 thousand in 2019. The developed area and the possession of civil 121 122 motor vehicles also showed upward tendency, attaining 758.2 km<sup>2</sup> and 3062 thousand units in 123 2019, respectively. The total energy consumption had a maximum of 16891 thousand tons standard coal in 2012 and maintained comparable levels from 2014 to 2019. The industrial 124 125 coal burning capacity above the designated scale and the volume of liquefied petroleum 126 supply both presented downward trend with values of 10965.7 and 30.2 thousand tons in 2019, respectively. The emissions of sulfur dioxide, nitrogen oxide, and dust basically 127 showed a downward trend from 2011 to 2019, especially in 2017, and the emissions of these 128 129 pollutants remained at relatively low levels after 2017, reflecting that the pollution sources for these particular pollutantseontaminants had been effectively controlled in Qingdao. 130 131 In this study, in order to evaluate the effectiveness of control measures targeted for polluted sources in the past decade in Qingdao, ambient PM2.5 samples were collected at 132 133 urban sites over three time periods during 2011-2012, 2016, and 2019. The 2011-2012 samples were collected before the "CAAP" was enacted in 2013, and the 2016 samples were 134 collected at the end of the "CAAP", while the 2019 samples were collected during the 135 middle of the "BSPC" policy period. The sampling plan (detailed in the next section) was 136 designed to capture changes in the data during these periods, as any changes could reflect 137 changes in the pollution sources during different stages of China's air pollution control 138 measures. The sites of Licang and Shinan were sampled in 2011-2012, while five additional 139

sites, Shibei, Laoshan, Chengyang, Huangdao, and Jiaonan were sampled in 2016 and 2019
(Fig. S1). All collection sites were situated on building rooftops ~10–15 m above ground
level and used to collect ambient PM<sub>2.5</sub> samples. Further descriptions of the seven sampling
sites are shown in Table S1.
2.2 Sampling and analysis
The sampling periods covered all seasons per year and lasted 41, <u>556</u>, and 6<u>0</u>4 days for

146 2011-2012, 2016, and 2019, respectively (Table S2). Particles were gathered simultaneously 147 to polypropylene filters and quartz filters loaded to sampling instruments. The details of the 148 sampling instruments and filters in the different years are listed in Table S3. Samples were 149 collected for a duration of 22 h from 11:00 to 09:00 of the next day. Field blanks and parallel samples were synchronously collected at each site. Before sampling, to remove some volatile 150 compounds and impurities, the quartz and polypropylene filters were baked in an oven at 500 151 °C and 60 °C for 2 h, respectively. After sampling, all the filters were stored at 4 °C before 152 153 gravimetric and chemical analyses were conducted. Before gravimetric analysis, filter equilibration for 48 h was needed under a constant 154 temperature ( $20 \pm 1$  °C) and humidity (45–55%). All filters were weighed by the 155 156 microbalances with a resolution of 1 or 10  $\mu$ g during different sampling periods; detailed information is listed in Table S4. To ensure the accuracy, static was eliminated before 157 158 weighting and all filters were weighed at least twice to meet error requirements (Table S4). For chemical analysis, the elements of Na, Mg, Al, Si, K, Ca, Ti, V, Mn, Fe, Ni, Cu, Zn, and 159 160 Pb were analyzed in different years. For samples collected in 2011-2012 and 2016, inductively coupled plasma-mass spectrometer (ICP-MS) was applied to determine these 161 elements. For samples collected in 2019, inductively coupled plasma-optical emission 162 163 spectrometer (ICP-OES) was used to measure all related elements. Water-soluble inorganic ions of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, and Cl<sup>-</sup> were determined using the ion chromatographs during 164 different years. The organic carbon (OC) and elemental carbon (EC) of samples during 165

- 166 different years were determined using a thermal/optical carbon analyzer, based on the
- 167 IMPROVE (in 2011-2012) and IMPROVE\_A (in 2016 and 2019) thermal/optical reflectance
- 168 protocol. The detailed instrumental information is listed in Table S5 and analysis procedures
- and quality controls are described in Text S1 in the supplemental materials as well as prior

170 works from Liu et al., 2021a), Huang et al. (2021), Wang et al. (2021b), and Tian et al., (2014). 171 172 2.3 Random forest (RF) based weather normalization 173 From 1 January 2015 to 31 December 2020, the hourly concentrations of six air pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub>) at the nine national air quality monitoring 174 175 stations in Qingdao were collected from the China National Environmental Monitoring 176 Network (CNEM) (http://106.37.208.233:20035, last access: 29 October 2021). Data 177 collected from the nine monitoring stations were averaged to represent the pollution level at 178 city scale. The explanatory variables including the meteorological variables and time 179 variables were used to build the RF model and predict the air pollutant concentrations Hourly surface meteorological data including wind speed, wind direction, temperature, 180 dewpoint, relative humidity, and pressure recorded at Qingdao Liuting International Airport 181 182 were downloaded using the "worldMet" R package (Carslaw, 2017). Time variables 183 included Unix time (number of seconds since 1 January 1970), Gregorian day (day of the year), month, week, weekday, and hour of the day. Data were analyzed in RStudio with a 184 185 series of packages, and the details of the random forest (RF) model and weather 186 normalization using the RF model are provided in Vu et al. (2019). The training data set was comprised of 80% of the whole data, with the rest as testing data. After the RF predictive 187 188 model was built for every pollutant, the model was then fed with a new dataset comprised of 189 time variables same with the original dataset and meteorological variables that resampled 190 from the whole observation. The prediction process was repeated 500 times to predict the concentration of a pollutant. The 500 predicted concentrations were then averaged to 191 calculate the weather normalized concentration. The RF based weather normalization 192 193 technique has been extensively used to decouple meteorology from the observed concentrations, thus can detect interventions in emissions over time (Dai et al., 2020; 194 Grange et al., 2018, 2019). 195 196 197 2.4 Theil-Sen regression

The Theil-Sen regression technique has been commonly used to explore the long-termtrend of pollutants over years. This approach assumes monotonic linear trends (Masiol et al.,

200 2019). Its principle is to calculate the slopes of all possible pairs of pollutant concentrations,
201 select the median value, and give accurate confidence intervals (Munir et al., 2013; Sen,
202 1968). In this study, the data of air pollutants obtained from RF modelling with weather
203 normalization was de-seasonalized as the Theil-Sen regression being performed. The Theil204 Sen function is provided by the "openair" R package.

205 2.5 Dispersion normalization

206 The concentrations of ambient particles are affected by both local emissions and meteorological dispersion (Sujatha et al., 2016). Dispersion normalization helps stabilize the 207 208 variation of concentrations due to atmospheric dispersion (Sofowote et al., 2021); therefore, 209 in this study, the contributions of local emissions to particle concentrations were highlighted. Research suggests that the quantities for particles dispersion can be determined by the 210 ventilation coefficient (VC) (Kleinman et al., 1976; Iyer and Raj, 2013), which is defined as 211 212 the multiplication of mixed layer height (MLH) and the mean wind speed (WS) within the 213 mixed layer (Eq. (1)). Basing on a VC at a given time interval *i*, the normalized concentration can be obtained by Eq. (2): 214 ......  $VC_{i}$ 215

216

$$VC_{i} = MLH_{i} \times WS_{i}$$
(1)  
$$C_{\nu c,i} = C_{i} \times \frac{VC_{i}}{VC_{mean}}$$
(2)

217 where  $VC_i$  (m<sup>2</sup> s<sup>-1</sup>) is the ventilation coefficient during period *i*,  $VC_{mean}$  (m<sup>2</sup> s<sup>-1</sup>) is the mean

VC during the whole study period, and  $C_{vc,i}$  (µg m<sup>-3</sup>) and  $C_i$  (µg m<sup>-3</sup>) are the normalized and

- 219 observed concentrations, respectively. In this study, the dispersion normalization was
- 220 conducted for ambient PM<sub>2.5</sub> and chemical compositions and the resolved source
- 221 contributions. The surface wind speed at 10 m was replaced with the mean wind speed
- through MLH because of the absence of wind speed at different heights (Dai et al., 2020;
- 223 Ding et al., 2021). The 3 h resolution data of MLH and WS was derived from archived
- 224 meteorology of the National Ocean and Atmospheric Administration
- 225 (https://www.ready.noaa.gov/READYamet.php, last access: 29 October 2021), and the
- 226 calculated daily MLH and WS data were used in this study.
- 227 2.6 Positive matrix factorization (PMF)
- 228 In order to assess the effectiveness of pollution control, source categories and their

229	contribution were estimated by the PMF method. The PMF decomposes a sample	;
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- 230 composition dataset (X) into two matrices including source profiles (F) and source
- 231 contributions (*G*) (Paatero and Tapper, 1994). This principle can be refined as follows:

232 
$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
(3)

where  $x_{ij}$  is the concentration (µg m<sup>-3</sup>) of the *j*th component from the *i*th sample;  $g_{ik}$  means the 233 contribution ( $\mu g m^{-3}$ ) of the kth source to the *i*th sample;  $f_{ki}$  represents the source profile ( $\mu g$ 234  $\mu g^{-1}$ ) of the *j*th component from the *k*th source;  $e_{ij}$  is the residual ( $\mu g m^{-3}$ ) of the *j*th 235 component of the *i*th sample; and *p* means the number of sources. In this study, US EPA PMF 236 237 v5.0 was applied to carry out source apportionment, and the details in treatment of input data and method detection limits of chemical compositions are described in Table S6 and Text S2, 238 239 respectively. Bootstrap (BS) and displacement (DISP) analyses were used to investigate the 240 effects of measurement error and rotation ambiguity on the resulting solutions. 241 2.7 Potential source contribution function (PSCF) 242 We performed PSCF to further investigate the origin of polluted sources. First, the 72 h backward trajectories were calculated at 6 h intervals every day with starting height of 100m 243 244 above ground level in Qingdao (36.10° N, 120.32° E), using the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model in the GIS-based software of TrajStat 245 (Wang et al., 2009). The weather data was acquired from Global Data Assimilation System 246 247 with horizontal resolution of one-degree latitude-longitude (available at http://www.arl.noaa.gov/, last access: 29 October 2021). PSCF was then analyzed based on 248 the trajectories added to source concentrations. The study region was divided into equal-sized 249 250 grid cells, thus the number of endpoints for given specific values in every cell could be 251 obtained. According to pre-set threshold criterion, the PSCF value was the proportion of the number of endpoints beyond the threshold criterion in each cell. To improve the accuracy of 252 the result, weighted PSCF was calculated. More details are given in Text S3 of supplementary 253 254 material.

# **3 Results and discussion**

# **3.1 Variation characteristics of the air quality**

258 3.1.1 Trend analysis and annual changes

259	The annual mean concentration of $PM_{2.5}$ and $PM_{10}$ in Qingdao decreased by 38% and
260	38% from 51 and 98 $\mu g~m^{\text{-3}}$ in 2015 to 32 and 61 $\mu g~m^{\text{-3}}$ in 2020, respectively. The annual
261	mean $PM_{2.5}\!/PM_{10}$ was 0.47 $\pm$ 0.02, with little change from 2015 to 2020, suggesting the
262	significant impact of coarse particle sources (e.g. dust) in Qingdao. The annual mean levels of
263	$SO_2$ and $NO_2$ declined by 72% and 8% from 27 and 33 $\mu g~m^{\text{-3}}$ in 2015 to 8 and 31 $\mu g~m^{\text{-3}}$ in
264	2020, respectively. The concentration of SO <sub>2</sub> showed a significant downward trend, while
265	that of NO <sub>2</sub> changed little, probably indicating that the impact of coal-fired sources was
266	significantly reduced, while the impact of mobile sources might still be obvious. The annual
267	mean level of CO decreased by 32% from 0.91 mg m <sup>-3</sup> in 2015 to 0.62 mg m <sup>-3</sup> in 2020, while
268	that of $O_3$ changed little with ranging from 71 to 69 µg m <sup>-3</sup> .
269	In order to shield the impact of meteorological dispersion, the normalized air quality
270	parameters were acquired using the RF algorithm under 30-year average (1990-2020)
271	meteorological conditions. The Theil-Sen trends of air pollutant concentrations after weather
272	normalization by RF modelling are shown in Fig. 1. The decreasing real trend for air
273	pollutants except for $O_3$ was found after the weather normalization (Fig. 1), indicating that
274	the air quality is gradually improving in Qingdao. The trends of the normalized air quality
275	parameters represent the effects of emission control and, in some cases, associated chemical
276	processes (Vu et al., 2019). The Theil-Sen trend analysis of air pollutant concentrations and
277	$PM_{2.5}/PM_{10}$ and $SO_2/NO_2$ after the weather normalization is shown in Fig. 1. Compared with
278	other air pollutants, the decline rate of $SO_2$ concentration was the highest (15.5% yr <sup>-1</sup> ),
279	whereas that of $O_3$ concentration was the lowest (0.2% yr <sup>-1</sup> ). Note that the decline rate of
280	$PM_{2.5}$ concentration (6.0% yr <sup>-1</sup> ) was higher than that of $PM_{10}$ concentration (5.6% yr <sup>-1</sup> ),
281	which led to a slight downward trend for $PM_{2.5}/PM_{10}$ (0.6% yr <sup>-1</sup> ), indicating that the impact
282	of coarse particle sources such as dust might be prominent. The decline rate of $\mathrm{SO}_2$
283	concentration was higher than that of NO <sub>2</sub> concentration (1.0% yr <sup>-1</sup> ), resulting in a higher
284	$SO_2/NO_2$ decline rate of 15.3% yr <sup>-1</sup> , indicating that the control effect of stationary sources
285	was better than that of mobile sources (Nirel and Dayan, 2001). It was found that CO $10$

286	concentration also performed an obvious decreasing trend, with the decreasing rate reaching
287	5.2% yr <sup>-1</sup> , whereas the downward trend of $O_3$ concentration was not prominent. The
288	normalized medians of PM <sub>2.5</sub> , PM <sub>10</sub> , SO <sub>2</sub> , NO <sub>2</sub> , CO and O <sub>3</sub> decreased by 2.8, 5.4, 3.4, 0.3,
289	42.8, and 0.1 $\mu$ g m <sup>-3</sup> yr <sup>-1</sup> , respectively (Table S7). Similar to this study, Vu et al. (2019) found
290	that primary emission controls required by the CAAP in Beijing have led to substantial
291	reductions in PM <sub>2.5</sub> , PM <sub>10</sub> , NO <sub>2</sub> , SO <sub>2</sub> , and CO from 2013 to 2017 of approximately 34%, 24%,
292	17%, 68%, and 33%, respectively, after meteorological normalization. Zhai et al. (2019)
293	suggested that the mean PM <sub>2.5</sub> decreased across China was 4.6 µg m <sup>-3</sup> yr <sup>-1</sup> in the
294	meteorology-corrected data from 2013 to 2018, and the Beijing-Tianjin-Hebei, the Yangtze
295	River Delta, the Pearl River Delta, the Sichuan Basin, and the Fenwei Plain decreased 8.0,
296	$6.3, 2.2, 4.9,$ and $5.0 \mu g  m^{-3}  yr^{-1}$ , respectively. Overall, the concentrations of most air
297	pollutants (i.e., PM2.5, PM10, SO2, NO2, and CO) in China have showed a decreasing trend in
298	recent years (Zhao et al., 2021a; Fan et al., 2020, while that of O <sub>2</sub> has performed an
299	increasing trend (Li et al., 2020a; Ma et al., 2021), which further facilitated secondary
300	particles formation (Wang et al., 2016; Nøjgaard et al., 2012).
301	Figure S3 compares the trends of air pollutants before and after normalization from 2015
302	to 2020, which are largely different depending on meteorological conditions (Vu et al., 2019).
303	The annual average concentrations of $PM_{2.5}$ , $PM_{10}$ , $SO_2$ , $NO_2$ , $CO$ , and $O_3$ after normalization
304	were higher than the actual observed concentrations. Compared with 2018, the observed
305	concentrations of air pollutants in 2019 showed an increase in varying degrees; however, the
306	increasing values of annual average concentrations for PM <sub>2.5</sub> , PM <sub>10</sub> , SO <sub>2</sub> , CO, and O <sub>3</sub> after
307	normalization decreased, and even the NO <sub>2</sub> concentration after normalization also decreased.
308	This indicates that the meteorological conditions in 2019 reduced the effect of actual control
309	to some extent. Up to that point, emission control had resulted in reductions of $PM_{2.5}$ , $PM_{10}$ ,
310	$SO_2$ , $NO_2$ , $CO$ , and $O_3$ concentrations by 17.7%, 31.9%, 18.4%, 1.7%, 0.3%, and 0.4% from
311	2015 to 2020, respectively, highlighting that much work is still needed to ensure the decrease
312	of NO <sub>2</sub> and O <sub>3</sub> concentrations in the future.
313	
314	3.1.2 Changes in the air quality in the two control stages

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In order to assess the changes in ambient air quality in Qingdao during different policy

control periods, this study analyzed the changes in air pollutant concentrations during two 316 317 stages: the CAAP period (stage 1: 20155-2017) and the BSPC period (stage 2: 2018-2020). 318 The observed annual mean concentrations for PM2.5 and PM10 during stage 1 were 45 and 89 319 μg m<sup>-3</sup>, respectively (Table S8), and their annual average decline rates were 11.9% and 8.0% 320 after weather normalization, respectively. Li et al. (2019b) found that PM2.5 decreased by 30-321 40% across China during 2013-2017 in response to the governmental Clean Air Action. 322 Compared with stage 1, the annual average concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> observed in stage 2 were 35 and 71  $\mu$ g m<sup>-3</sup>, respectively (Table S8), and the decline range after 323 324 normalization was reduced, with the decline rates of 5.3% and 7.0%, respectively (Fig. 2). 325 However,  $PM_{2.5}/PM_{10}$  ratios during two stages were less than 0.5, suggesting that the impact of dust sources might be obvious in the two stages. Note that the mean observed annual 326 concentration of SO<sub>2</sub> was 21 µg m<sup>-3</sup> in the stage 1 (Table S8) and its annual average decline 327 328 rate reached 25.05% after normalization (Fig. 2), which was significantly higher than that of 329 other pollutants. Compared with stage 1, the observed annual average concentration of SO<sub>2</sub> in stage 2 was only 8  $\mu$ g m<sup>-3</sup> (Table S8), and the annual decline rate of SO<sub>2</sub> concentration after 330 331 normalization still reached 17.1% (Fig. 2), indicating that Qingdao had achieved remarkable 332 results in the control of coal combustion during the two stages. The observed annual mean concentrations for NO<sub>2</sub> and O<sub>3</sub> during stage 1 were 34 and 73 µg m<sup>-3</sup>, respectively (Table S8), 333 334 and their annual increasing rates after normalization were 1.5% and 2.8%, respectively (Fig. 335 2). Study showed that surface ozone pollution in China worsened over the stage 1 (Li et al., 2019b). The observed annual mean concentrations of NO<sub>2</sub> and O<sub>3</sub> in stage 2 were 32 and 71 336  $\mu g$  m<sup>-3</sup>, respectively (Table S8), while their annual decline rates after normalization were only 337 2.7% and 2.0%, respectively (Fig. 2). This indicates that the impact of motor vehicles in 338 339 Qingdao could be greater than expected. Meanwhile, NO2 and volatile organic compounds emitted from motor vehicles are important precursors for the formation of O<sub>3</sub> (Pugliese et al., 340 2014; Tsai et al., 2010), which were found to have further enhanced the  $O_3$  concentration in 341 342 Qingdao's atmosphere. The mean observed annual concentrations for CO were 0.80 and 0.64 mg m<sup>-3</sup> in stages 1 and 2, respectively (Table S8), and the annual average decline rate were 343 11.4% and 3.2% after normalization, respectively (Fig. 2), suggesting that there might have 344 345 been a benefit from the significant control effect of coal-fired sources.

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346	Diurnal variations of concentrations of air pollutants and $PM_{2.5}/PM_{10}$ and $SO_2/NO_2$
347	after normalization in the two stages are shown in Fig. S4. The diurnal variation in $PM_{2.5}$
348	concentration in the two stages was basically the same; however, the concentration of $PM_{2.5}$
349	in stage 2 was significantly lower than that in stage 1. Diurnal variation of $PM_{10}$
350	concentration in the two stages was similar to $PM_{2.5}$ . The daily variations of $PM_{2.5}/PM_{10}$ in
351	the two stages were basically the same, and the $PM_{2.5}/PM_{10}$ between 06:00-20:00 in stage 2 $$
352	was slightly lower than that in stage 1, probably suggesting that the impact of dust increased
353	slightly during this period. The diurnal variations of SO2 and CO concentrations during
354	stages 1 and 2 were generally consistent, whereas their concentrations in stage 2 were
355	substantially lower than those in stage 1, which might indicate that the control effects of coal
356	combustion in Qingdao in stage 2 was obvious. In contrast, the diurnal variations of $NO_2$
357	concentrations in stages 1 and 2 were basically consistent with the values at each time,
358	suggesting that the impact of motor vehicles in Qingdao might still be significant, especially
359	the morning and evening peaks and between 21:00 and 23:00 at night. The daily variations of
360	$O_3$ concentrations were highly consistent in the two stages, especially between 14:00 and
361	17:00, $O_3$ pollution was still severe. In general, compared with stage 1, the concentrations of
362	$PM_{2.5}$ , $PM_{10}$ , $SO_2$ , and $CO$ in stage 2 decreased remarkedly at all times, while those of $NO_2$
363	and O <sub>3</sub> remained basically unchanged at all times, indicating that the control effect of coal-
364	fired sources in Qingdao was significant, whereas the impact of motor vehicles and $O_3$
365	pollution were more obvious.
366	
367	3.1.3 Changes in air quality after the COVID-19 lockdown
368	In response to the COVID-19 outbreak, a series of lockdown measures were
369	implemented in China to curb the virus transmission, resulting in a significant decrease in
370	traffic and industrial activities. These limitations provided an opportunity to investigate
371	critical pollution sources that could potentially be better managed in the future to further
372	improve the air quality. In order to explore the changes of air quality in Qingdao during the
373	COVID-19 lockdown period, combined with the specific lockdown situation of Qingdao

374 (http://wsjkw.shandong.gov.cn/ywdt/xwtt/202001/t20200124\_3420319.html;

375 http://www.shandong.gov.cn/art/2020/3/7/art\_119816\_350607.html; last access: 29 October

376 2021), this study divided the lockdown period into three stages: pre-lockdown (1 to 24 377 January, 2020), full lockdown (25 January\_-to 7 March, 2020), and -partial lockdown (8 to 378 31 March, 2020). The time series and average values of air pollutant concentrations and 379 PM2.5/PM10 and SO2/NO2 during different lockdown stages and their corresponding periods in 2018 and 2019 are shown in Fig. 3 and Tables S9-S10. According to the weather 380 381 normalization data, compared with that before the lockdown, the concentrations of PM<sub>2.5</sub>, 382  $PM_{10}$ , SO<sub>2</sub>, NO<sub>2</sub>, and CO decreased substantially during the full lockdown, among which the concentrations of PM<sub>10</sub> and NO<sub>2</sub> decreased the most (49.5% and 49.0%, respectively), 383 384 followed by PM<sub>2.5</sub> (47.8%) (Table S11), which was closely related to the significant decrease 385 in traffic and construction activities during the full lockdown (Collivignarelli et al. 2021; Hong et al. 2021; Wang et al. 2021a). Note that the O<sub>3</sub> concentration increased apparently by 386 50.8% during the full lockdown (Table S11), suggesting that the atmospheric oxidation might 387 be enhanced during this period, similar to the study of Chu et al. (2021), Ding et al., (2021), 388 389 He et al., (2020), and Le et al. (2020). PM<sub>10</sub> and NO<sub>2</sub> concentrations rebounded significantly during partial lockdown, increasing by 20.3% and 21.1% compared with the full lockdown, 390 391 respectively, likely due to the increased impacts of traffic activities and related road dust. The 392 concentrations of PM<sub>2.5</sub>, SO<sub>2</sub>, and CO further decreased during the partial lockdown. The study from Yin et al. (2021) showed that the decrease of PM<sub>2.5</sub> concentration might be mainly 393 394 due to the meteorological conditions. Compared with the same period in 2018, the concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, 395 CO, and O3 decreased obviously during the full lockdown, of which the reduction range of 396  $SO_2$  concentration was the greatest (39.8%), whereas that of  $O_3$  concentration was relatively 397 lowest (1.8%) (Table S12). Compared with the corresponding period in 2019, the 398 399 concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO decreased by 34.5%, 44.8%, 27.0%, 32.6%, and 22.3% during the full lockdown, respectively, while that of  $O_3$  increased by 3.9% (Table 400 S12). This shows that the COVID-19 lockdown measures led to the marked decrease of the 401 402 primary emissions of air pollutants. Meanwhile, the concentrations of particulate matter and NO2 decreased substantially during the full lockdown. Since there are relatively few 403 404 industrial enterprises in urban area of Qingdao, NO2 is mainly emitted from motor-vehicles. Therefore, this suggested that the control of motor-vehicles under normal conditions should 405 14

406	play an important role in the improvement of air quality in Qingdao.	
407		
408	3.2 Changes in meteorological conditions and chemical compositions	
409	In this study, the ventilation coefficient VC in the same period was used to normalize the	
410	concentrations of chemical compositions in PM2.5. After reducing the impacts of	
411	meteorological dispersion, the changes in the concentrations of major chemical compositions	
412	in the different years were analyzed to better reflect the impacts of source emissions (Dai et	
413	al., 2020; Ding et al., 2021). In 2011-2012, 2016, and 2019, the annual average MLHs in	
414	Qingdao were 399, 383, and 414 m, respectively (Fig. S5). However, the average wind speed	
415	in 2016 was significantly higher than that in other years, reaching 3.3 m s <sup>-1</sup> . The ventilation	
416	coefficient <u>VC</u> showed an increasing trend year by year, from 1292.7 to 1555.4 m s <sup>-2</sup> (Fig. S5),	
417	suggesting that the atmospheric dispersion conditions in Qingdao were gradually increasing.	
418	The average $\frac{\text{ventilation coefficient}}{\text{VC}}$ of Qingdao in three years was 1432.6 m s <sup>-2</sup> , and higher	
419	MLH usual corresponds to higher wind speed. Time series of observed concentrations and	
420	normalized concentrations of $PM_{2.5}$ and chemical compositions are shown in Fig. S6. The	
421	observed and $\underline{VC}$ normalized concentrations of PM <sub>2.5</sub> during the whole study period were 93	
422	and 83 µg m <sup>-3</sup> , respectively, suggesting that the unfavorable unfavorable meteorological	
423	conditions might increase generatedapproximately 10 $\mu$ g m <sup>-3</sup> of growth of PM <sub>2.5</sub> , which was	
424	significantly substantially lower than that reported by the study of Ding et al. (2021) during	
425	the COVID-19 lockdown-in Tianjin. Zhai et al. (2019) found that the mean PM <sub>2.5</sub> in the	<b>设置了格式:</b> 下标
426	meteorology-corrected data from 2013 to 2018 was 12% lower than in the original data,	
427	meaning that 12% of the PM <sub>2.5</sub> decrease in the original data was attributable to the	<b>设置了格式</b> : 下标
428	meteorology. However, Gong et al. (2022) suggested that the meteorology can explain	
429	approximately 20-33% of the PM <sub>2.5</sub> variations.	设置了格式:下标
430	The annual changes in the observed and dispersion normalized concentrations and	<b>设置了格式:</b> 字体:
431	percentages of main chemical compositions in ambient $PM_{2.5}$ are shown in Fig. 4 and Fig. S7.	
432	From 2011-2012 to 2019, the observed concentrations of $\mathrm{SO}_4{}^{2\text{-}}$ showed an obvious downward	
433	trend, from 23.5 to 6.7 $\mu g$ m $^{\text{-3}}$ . The trend of concentrations of $\text{SO}_4{}^{2\text{-}}$ after dispersion	
434	normalization was consistent with the observed concentrations, and the annual average	
435	decline rate was approximately 41.5% (38.1% in 2016 and 44.8% in 2019) (Table S13),	
	15	

06 play an important role in the improvement of air quality in Qingdao

436	probably suggesting that the impacts of coal-fired sources in Qingdao has decreased
437	substantially in recent years. In contrast, the observed concentrations and percentages of $NO_3^-$
438	increased significantly from 2011-2012 (3.5 $\mu g$ m^-3) to 2019 (10.0 $\mu g$ m^-3), and NO_3^-/SO_4^{2-}
439	increased from 0.14 to 1.50. After dispersion normalization, the concentrations and
440	percentages of NO3 <sup>-</sup> changed inappreciable in 2016-2019 but significantly higher than that
441	prior to the CAAP. It has been found that ambient NO <sub>3</sub> <sup>-</sup> in urban mainly originates from the
442	secondary conversion of NOx emitted by motor-vehicles (Alexander et al., 2020; Liu et al.,
443	2017; Meng et al., 2008), thereby indicating that the impacts of motor-vehicles in Qingdao
444	might become increasingly obvious. The observed and normalized concentrations and
445	percentages of OC and EC basically performed a downward trend from 2011 to 2019. The
446	OC concentration decreased significantly, and the observed and normalized concentrations
447	decreased from 13.1 to 7.6 $\mu$ g m <sup>-3</sup> and 12.9 to 7.2 $\mu$ g m <sup>-3</sup> , respectively, which might be related
448	to the significant decrease in the impacts of coal-fired sources in Qingdao. Note that the
449	annual variations of observed and normalized concentrations of NH4 <sup>+</sup> were consistent with
450	that of SO4 <sup>2-</sup> , but contrary to that of NO3 <sup>-</sup> , which might indicate that ammonium mainly
451	existed in the form of ammonium sulfate and ammonia hydrogen sulfate in Qingdao.
452	Crustal elements (Si, Al, and Mg) decreased remarkably after the CAAP were in place.
453	The observed and normalized concentrations of these elements in 2011-2012 were higher
454	than those in 2016 and 2019, while their concentrations in 2019 were slightly higher than
455	those in 2016. From 2011-2012 to 2019, the observed concentrations of Si, Al, and Mg
456	decreased from 10.7 to 1.0 $\mu g$ m^-3, 3.1 to 0.5 $\mu g$ m^-3, and 1.9 to 0.2 $\mu g$ m^-3, respectively, and
457	the trends of normalized concentrations were consistent with the observed concentrations,
458	likely suggesting that the impact of dust in 2011-2012 was apparently higher than that in
459	2016 and 2019, and 2019 rebounded compared with 2016. The trends of the observed and
460	normalized concentrations and percentages of Ca were consistent. The concentrations and
461	percentages in 2011-2012 were remarkedly higher than that in 2016 and 2019, and the
462	concentration in 2019 rebounds compared with that in 2016, with the increasing rate of 77.1%
463	in terms of normalized data (Table S13). This suggests that the impact of construction
464	activities in 2011-2012 might have been significantly higher than that in 2016 or 2019. The
465	annual trends of observed and normalized concentrations of Fe were also consistent. The $^{16}$

466	observed and normalized concentrations in 2011-2012 were 4.0 and 4.6 $\mu$ g m <sup>-3</sup> , respectively.	
467	After 2016, the concentrations and percentages of Fe decreased substantially, which might be	
468	closely related to the relocation of iron and steel enterprises in Qingdao (Liu et al., 2021a).	
469	The observed and normalized concentrations and percentages of Ni and V basically showed a	
470	downward trend from 2011-2012 to 2019. The concentrations in 2011-2012 were	
471	significantly higher than that in 2016 and 2019, which might indicate that the impact from	
472	ships in 2011-2012 was more obvious. Of course, it might also be related to the impact of	
473	manual dust sources. From 2011-2012 to 2019, the observed and normalized concentrations	
474	and percentages of Na showed a downward trend. The concentration and percentage in 2011-	
475	2012 were significantly higher than those in 2016 and 2019, suggesting that the impact of sea	
476	salt might have decreased in Qingdao in recent years.	
477		
478	3.3 Changes in source contributions	
479	3.3.1 Source identification	
480	Given that the differences of source profiles during different periods, PMF analysis was	
481	conducted for three data sets corresponding to separate sampling periods (i.e., 2011-2012,	
482	2016, and 2019). The solutions from five to nine factors were examined in terms of scaled	
483	residuals, factor interpretability, and displacement acceptability (Brown et al., 2015; Dai et al.,	
484	2020). An eight-factor solution was chosen as the optimal fits for each data set. The	
485	correlation coefficients (R <sup>2</sup> ) between the observed and calculated concentrations were 0.91,	
486	0.83, and 0.91, respectively (Fig. S8). There were no DISP swaps, and all BS runs had at least	
487	87% agreement with the base case values (Table S14).	
488	The factor profiles estimated from PMF during different periods are shown in Figs. S9-	
489	S11. The first factor was identified as vehicle emissions, because OC and EC both had high	
490	concentrations and explained variations as well as narrow DISP bounds. It is known that the	
491	OC and EC are important tracers for vehicle emissions (Bi et al., 2019; Gao et al., 2016;	
492	Ryou et al., 2018; Xu et al., 2019a). The second factor was characterized by higher	
493	concentration and explained variation of Si, and high Al concentrations, and they all had	
494	narrow DISP ranges. Si and Al were the indicators for fugitive dust (Begum et al., 2011; Jain	
495	et al., 2018; Zhao et al., 2021b). The third factor featured relatively high concentrations and	

496	explained variations of OC, SO42-, and Cl- with tight DISP intervals. These species were
497	distinctive tracers for coal combustion (Huang et al., 2017; Song et al., 2021; Tao et al., 2014).
498	The fourth factor was characterized by high explained variations of Fe and Mn, and
499	relatively high concentrations of Cu and Zn. Tsai et al. (2020) found that Fe and Mn were
500	related to basic oxygen, iron ore sintering and steel oxidation refining. Querol et al. (2007)
501	and Kuo et al. (2007) have reported that Cu and Zn were released from multiple metal
502	smelting. Therefore, this factor was identified as steel-related smelting. The fifth factor was
503	dominated by high concentrations and explained variations of $\mathrm{NO}_3^-$ and $\mathrm{NH}_4^+$ with small
504	DISP bounds, which was identified as secondary nitrate (Esmaeilirad et al., 2020). It was
505	found that $\mathrm{SO_4^{2-}}$ and $\mathrm{NH_4^+}$ presented the highest explained variations and concentrations with
506	narrow DISP bounds in the sixth factor. Therefore, this factor was assigned as secondary
507	sulphate (Bove et al., 2016; Jain et al., 2020). The seventh factor was featured by high
508	concentration and explained variation of Ca with a small DISP bound, which was identified
509	as construction dust (Liu et al., 2016; Zhang et al., 2005). The final factor was characterized
510	by highly explained variations of Na, Ni, and V with narrow DISP intervals. In addition, the
511	concentrations of Mg, $NO_3^-$ , $SO_4^{2-}$ , and $Cl^-$ in this factor were also relatively high. Zhang et al.
512	(2021), Liu et al. (2018b), Choi et al. (2013), and Police et al. (2016) have found that sea salt
513	involves high amounts of Na, Mg, $NO_3^-$ , $SO_4^{2-}$ , and $Cl^-$ . Meanwhile, Ni and V are the markers
514	of ship emissions (Manousakas et al., 2017; Zong et al., 2018; Xu et al., 2018). Therefore,
515	this factor was recognized as a mixed source of sea salt and ship emissions.
516	
517	3.3.2 Change in source contributions

The source apportionment results of ambient PM2.5 in Qingdao from 2011-2012 to 518 2019 are shown in Fig. 5 and Figs. S12-S15. For vehicle emissions, its contribution showed 519 an increasing trend with each year, from 12.1  $\mu g$  m  $^3$  (7.9%) to 13.6  $\mu g$  m  $^3$  (22.5%). The 520 contribution of coal combustion performed a significant downward trend, from 21.3  $\mu g \ m^{\text{-3}}$ 521 (13.9%) in 2011-2012 to 4.5  $\mu g~m^{\text{-3}}$  (7.5%) in 2019. The contribution of fugitive dust in 2011-522 2012 was up to 35.3  $\mu g$  m  $^{\text{-3}}$  (23.1%), significantly higher than 8.5  $\mu g$  m  $^{\text{-3}}$  (13.2%) in 2016 and 523 10.2  $\mu$ g m<sup>-3</sup> (16.8%) in 2019, and the contribution in 2019 rebounded compared with 2016. 524 The contribution of construction dust showed a downward trend year after year, from 14.2  $\mu g$ 525 18

 $m^{\text{-3}}$  (9.3%) in 2011-2012 to 2.4  $\mu g\ m^{\text{-3}}$  (4.0%) in 2019. The contribution of steel-related 526 smelting also showed a downward trend year by year, from 15.9  $\mu g~m^{\text{-3}}$  (10.4%) in 2011-527 528 2012 to 3.0 µg m<sup>-3</sup> (4.9%) in 2019. The significant decline in the impact of steel-related smelting after 2016 might be closely related to the relocation of iron and steel enterprises in 529 Qingdao (Liu et al., 2021a). The contribution of secondary nitrate basically performed a 530 significant upward trend, increasing from 14.5  $\mu$ g m<sup>-3</sup> (9.5%) in 2011-2012 to 15.2  $\mu$ g m<sup>-3</sup> 531 532 (25.2%) in 2019, which might be related to the high concentration of precursor (NO<sub>2</sub>) (Fig. 1) 533 and the increase in atmospheric oxidation in recent years (Chen et al., 2020cb; Fu et al., 534 2020). In contrast, the contribution of secondary sulphate showed a significant downward 535 trend, from 34.2  $\mu$ g m<sup>-3</sup> (22.3%) in 2011-2012 to 9.7  $\mu$ g m<sup>-3</sup> (16.0%) in 2019, likely due to the significant decrease in the concentration of its precursor (SO<sub>2</sub>) (Fig. 1). For sea salt and ship 536 emissions, the contribution basically performed a downward trend, from 5.7  $\mu$ g m<sup>-3</sup> (3.7%) in 537 2011-2012 to 2.0 µg m<sup>-3</sup> (3.2%) in 2019. 538 539 To shield the impact of meteorology on the source apportionment results, this study used Eq. (2) to conduct the treatment of dispersion normalization for the source apportionment 540 541 results, and then analyzed the annual changes in the contributions of different source 542 categories, as shown in Fig. S16. The annual changes in the contributions of multiple sources in Qingdao were basically consistent with the results of direct PMF calculation. The 543 544 contribution of vehicle emissions was increasing year by year, and the annual average increase rate of contribution concentration was 12.1%. However, the contribution of coal 545 combustion showed a continuous yearly downward trend, with the average annual decline 546 rate of contribution concentration being 56.8%. For fugitive dust, compared with 2011-2012, 547 the contribution in 2016 decreased substantially, with a decline rate of contribution 548 549 concentration of 68.9%, while it rebounded in 2019, with an increase rate of 25.2%. The contribution of construction dust performed a continuous yearly downward trend, with the 550 average annual decline rate of contribution concentration being 55.9%. For the steel-related 551 552 smelting, and sea salt and ship emissions, the average annual decline rates of their contribution concentrations were 55.3% and 46.0%, respectively. In contrast, the contribution 553 of secondary nitrate showed an increasing trend, and the increase rate of its contribution 554 concentration was 1.7%, while the contribution proportion increased by more than 70%. The 555 19

556	contribution of secondary sulphate showed a continuous yearly downward trend, and the
557	average annual decline rate of contribution concentration was 38.7%. Overall, the impacts of
558	coal combustion and steel-related smelting industrial sources in Qingdao decreased
559	substantially over the last decade, suggesting that the controlling effects of these sources were
560	obvious. The impact of motor-vehicles was prominent each year. Qingdao also risks increased
561	emissions from the increased vehicular population and ozone pollution that facilitate
562	secondary particles formation in the future. The impact of fugitive dust had decreased in
563	recent years, whereas its contribution was still obvious. Therefore, the control of motor-
564	vehicles and dust should be the focus of pollution source control in Qingdao in the future,
565	while that of coal combustion and industrial sources also should not be ignored.
566	Furthermore, with the beginning of heating season in northern cities in China (Liu et
567	al., 2016; Li et al., 2019a), the atmospheric pollutant emissions increased substantially (Chen
568	et al., 2020a). Coupled with the adverse meteorological conditions (Li et al., 2019a), haze
569	episodes occurred frequently during this period (Liu et al., 2018a; Yang et al., 2020).
570	Therefore, the control effects of pollution sources and key control sources in the specific
571	period can be better highlighted through analyzing the changes in the contributions of
572	emission sources during heating seasons over the years. In this study, the heating season in
573	2011-2012 was defined from 15 to 29 February, 2012, that in 2016 was defined from 17 to 20
574	December, 2016, and that in 2019 referred from 12 to 26 January, 2019. The contributions of
575	different sources during different heating seasons in Qingdao are shown in Figs. S17-S18.
576	Compared with the heating season in 2011-2012, the contribution of coal combustion
577	decreased significantly in the heating seasons of 2016 and 2019, from 50.2 $\mu g~m^{\text{-3}}$ (31.7%) to
578	$10.9 \ \mu g \ m^{-3}$ (6.4%) and $10.6 \ \mu g \ m^{-3}$ (10.8%). The contribution percentages after
579	dispersion normalization showed a consistent trend. For vehicle emissions, the contribution
580	percentages in the heating season increased continuously each year, from 3.9% in 2022-2012
581	to 22.3% in 2019. The results after normalization had the same trend, suggesting that the
582	impact of motor vehicles in heating season was gradually prominent. The contribution of
583	fugitive dust in the heating season in 2011-2012 (14.2 $\mu g$ m $^{\text{-3}}$ ) was substantially higher than
584	that in 2016 (3.9 $\mu g$ m $^{-3})$ and 2019 (12.0 $\mu g$ m $^{-3}). The contribution in the heating season in$
585	2019 rebounded remarkedly compared with that in 2016, and the results of dispersion $\frac{20}{20}$

586	normalization were consistent. The contribution of construction dust in the heating season in
587	2019 was markedly lower than that in 2011-2012 and 2016. The contribution of steel-related
588	smelting in the heating season showed a continuous yearly downward trend, from 22.6 $\mu g \ m^{\text{-3}}$
589	in the heating season from 2011-2012 to 4.6 $\mu g \ m^{\text{-3}}$ in 2019. However, its contribution
590	percentage in the heating season in 2019 was higher than that in the heating season in 2016,
591	which was consistent with the normalized results, indicating that the impact of steel-related
592	smelting in the heating season had increased, though the contribution percentage was low.
593	The contribution of secondary nitrate in heating season in 2016 was up to 61.3 $\mu g \ m^{\text{-3}}$
594	(36.3%), which was significantly higher than that of 28.4 $\mu g$ m $^{\text{-3}}$ (28.9%) in 2019 and 16.8 $\mu g$
595	$m^{-3}$ (10.6%) in 2011-2012. This was consistent with the results of the dispersion
596	normalization. It can be seen that although the contribution of secondary nitrate in the heating
597	season in 2019 was reduced, its contribution was significantly higher than that of other
598	sources. Similarly, the contribution of secondary sulphate was also higher in the heating
599	season of 2016 than other years; however, its contribution was clearly lower than that of
600	secondary nitrate. After dispersion normalization, the contributions of secondary sulphate
601	basically showed a continuous yearly downward trend. The contribution of sea salt and ship
602	emissions in the heating season also showed an obvious downward trend, from 10.0 $\mu g \mbox{ m}^{\text{-3}}$
603	(6.3%) in 2011-2012 to 1.4 $\mu g$ m $^{-3}$ (1.5%) in 2019, and the results after dispersion
604	normalization were basically consistent. The average decline rate of contribution
605	concentration was approximately 70%, including 88% in 2016. From this analysis, the
606	impacts of coal combustion and steel-related smelting in Qingdao were relatively low after
607	the heating season in 2016, while that of vehicle emissions was prominent each year.
608	Although the impact of fugitive dust had rebounded in the heating season in 2019, the
609	contribution was relatively low. The contribution of secondary nitrate in heating season was
610	substantially higher than that of other sources, and the influence of secondary sulfate
611	decreased each year. The influence of sea salt and ship emissions in heating season showed a
612	continuous yearly downward trend.
613	
614	3.4 Changes in potential source areas

Similar to the studies of Liu et al. (2021a) and Dai et al. (2020), according to the source 21

apportionment results, this study used the PSCF method to analyze the changes in the 616 potential impact areas of emission sources in Qingdao from 2011-2012 to 2019, and the 617 618 results are shown in Fig. 6. For vehicle emissions, the potential impact areas changed greatly 619 from 2011-2012 to 2019. The potential impact areas in 2011-2012 were located at the junction of Shandong, Henan, Anhui, and Jiangsu provinces, and the potential impact areas 620 621 were mainly located in the south part of Jiangsu in 2016, while in 2019, Tianjin and the 622 northwest part of Shandong were important impact areas. The potential impact areas for fugitive dust showed a trend of westward migration from 2011-2012 to 2019. For 2011-2012, 623 624 the potential impact areas were located at the junction of Shandong, Henan, Anhui, and Jiangsu, as well as in the northern part of Shandong. The potential impact areas were located 625 in the northwestern part of Shandong in 2016, while they were at the junction of Shandong 626 and Henan in 2019. For coal combustion, the potential impact areas for 2011-2012 were 627 located at the junction of Shandong, Henan, Anhui, and Jiangsu. In 2016, they moved to the 628 629 northwest of Shandong Province and Beijing Tianjin and Hebei region, and the northwest of Shandong was an important impact area in 2019. For steel-related smelting, Beijing and 630 631 Tianjin were the potential impact areas for 2011-2012, while the potential impact area was 632 located in the Yellow Sea in 2016, which might be related to the relocation of iron and steel enterprises to a port area in the south of Qingdao (Liu et al., 2021a). This suggests that the air 633 634 mass transport in the coastal area could lead the nearby sea areas to become potential impact 635 areas. The potential impact area in 2019 was mainly located at the junction of Hebei, Henan, and Shandong. 636 For secondary nitrate, the potential impact area for 2011-2012 was the junction of 637

Shandong, Henan, Jiangsu, and Anhui provinces. The potential impact area was mainly 638 639 located in the central and southern parts of Shandong in 2016, while two areas were located in Beijing, Tianjin, and the junction of Hebei, Henan, and Shandong provinces in 2019. For 640 secondary sulphate, the main potential impact areas for 2011-2012 were located at the 641 642 junction of Shandong, Henan, Jiangsu, and Anhui Provinces and the western part of Jilin Province. The impact of the Middle East of Shandong Province was more obvious in 2016, 643 while the impact was greater in the south part of Shandong Province, and the junction of 644 Henan and Jiangsu Provinces in 2019. For construction dust, the main potential impact areas 645

for 2011-2012 were Beijing, Tianjin, and the western part of Shandong Province, and the 646 southeastern part of Hebei Province, Shanghai, and the eastern part of Hubei Province in 647 648 2016, while the central and western parts of Shandong Province, the junction of Henan and 649 Shandong Provinces, and the central and southern parts of Anhui Province were the main impact areas in 2019. For sea salt and ship emissions, the potential impact areas for 2011-650 651 2012 were mainly located in coastal areas of Jiangsu and Shanghai, which were closely 652 related to the impacts of ship emissions from ports and sea salt in these cities. The Yellow Sea was the main impact area in 2016 and 2019, and the impact areas in 2019 moved to the south. 653 654 Bie et al. (2021) also analyzed the potential impact areas of ship emissions in Qingdao Port 655 from 2018 to 2019 using the PSCF method, and found that they were mainly located in the Bohai Bay, Yellow Sea, and Yangtze River Delta. Overall, from 2011-2012 to 2019, the 656 potential impact areas of different emission sources in Qingdao have changed markedly. In 657 2019, the potential impact areas for most of the emission sources were mainly located in 658 659 Shandong Province and along the border areas between the western or southwest parts of Shandong and other provinces, while sea salt and ship emissions were mainly affected by 660 661 transport on the Yellow Sea.

662

#### 663 4 Conclusions

A machine learning-based meteorological normalization and a dispersion normalization-664 665 based on ventilation coefficient approaches were applied to decouple the meteorological deduced variations in air quality time series and multiple source contributions of a coastal 666 city in northern China (Qingdao), respectively. The concentrations of air pollutants other than 667 ozone in Qingdao decreased substantially and the air quality improved continuously after the 668 "CAAP" period, indicating that the control strategies of air pollution in Qingdao over the 669 670 years have been proper. The largest emission reduction sections were likely from coal combustions and industrial emissions from 2011-2012 to 2019, and the decrease of steel-671 672 related smelting after 2016 due to the relocation of iron and steel enterprises. The contribution of dust in Qingdao decreased remarkedly after the "CAAP", but the impact was 673 still outstanding until 2019. Vehicle emissions were increased in importance, as opposed to 674 the other primary sources. Qingdao risks increased emissions from the increased vehicular 675

676	population and ozone pollution that facilitate secondary particles formation in the future. In
677	addition, the influence of ship emissions should be gradually reduced. The control of motor-
678	vehicles and dust should be the focus of pollution source control in Qingdao in the future,
679	while that of coal combustion and industrial sources cannot be ignored. In addition, the
680	potential impact areas of different emission sources in Qingdao have changed markedly from
681	2011-2012 to 2019. The potential impact areas for most of emission sources were mainly
682	located in Shandong and the border areas between western or southwest Shandong and other
683	provinces in 2019, while sea salt and ship emissions were mainly affected from the transport
684	of the Yellow Sea.
685	
686	Author contributions
687	Baoshuang Liu: Data curation, Writing - original draft, Yanyang Wang: Data curation and
688	Investigation, He Meng: Data collection, Qili, Dai: Supervision and Review, Liuli Diao: Data
689	curation, Jianhui Wu: Supervision, Laiyuan Shi: Supervision, Jing Wang: Supervision, Yufen
690	Zhang: Supervision – review & editing, Yinchang Feng: Supervision – review & editing.

#### 691 Competing interests

692 The authors declare no competing financial interests.

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PSCF based source apportionment of PM2.5 at a regional background site in North China, Atmos. Res., 203, 207-215, https://doi.org/10.1016/j.atmosres.2017.12.013, 2018.

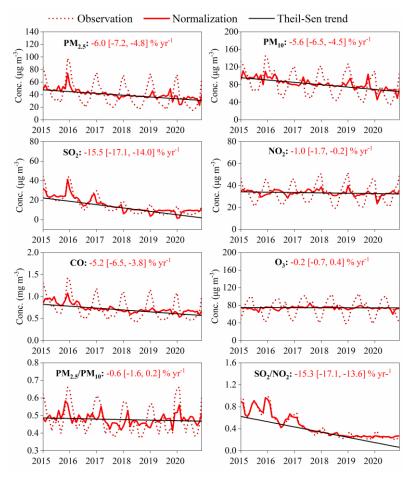




Figure 1. Trends of air pollutant concentrations and PM2.5/PM10 and SO2/NO2 from 2015 to 2020. "Observation" represents the observed data, and "Normalization" in represents the modelled concentrations of air pollutants after weather normalization. The black line shows 

the Theil-Sen trend after weather normalization.

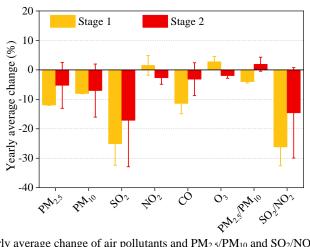


Figure 2. Yearly average change of air pollutants and  $PM_{2.5}/PM_{10}$  and  $SO_2/NO_2$  during 

different pollution-control stages based on the weather normalized data.

2020 Pre-lockdown Full lockdown Partial Lockdown 2019 -

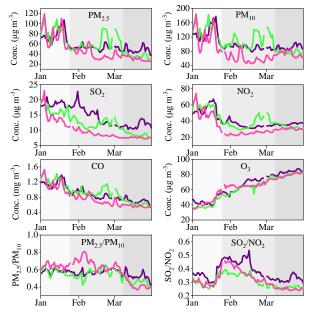
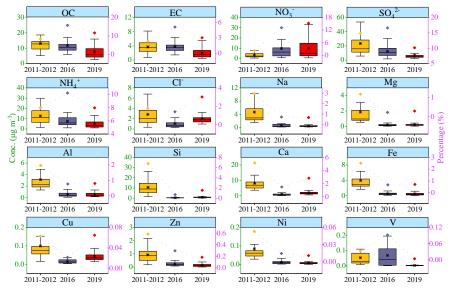




Figure 3. Time series of air pollutants concentrations and PM2.5/PM10 and SO2/NO2 during the different stages of COVID-19 lockdown start dates or equivalent in 2020 versus 2018 and 2019 based on the weather normalization data.



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Figure 4. Variations of the average concentrations and percentages of major chemical
 compositions of PM<sub>2.5</sub> in 2011-2012, 2016, and 2019. Box charts represent concentrations,
 and line charts represent percentages.

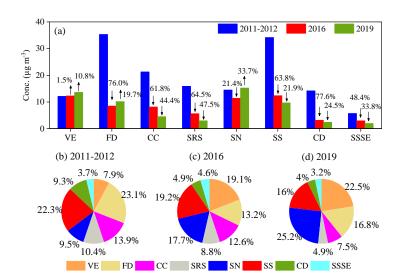




Figure 5. Changes in source contributions for 2011-2012, 2016, and 2019. VE represents
 vehicle emissions, FD represents fugitive dust, CC represents coal combustion, SRS

- represents steel-related smelting, SN represents secondary nitrate, SS represents secondary
- 1091 sulphate, CD represents construction dust, and SSSE represents sea salt and ship emissions.

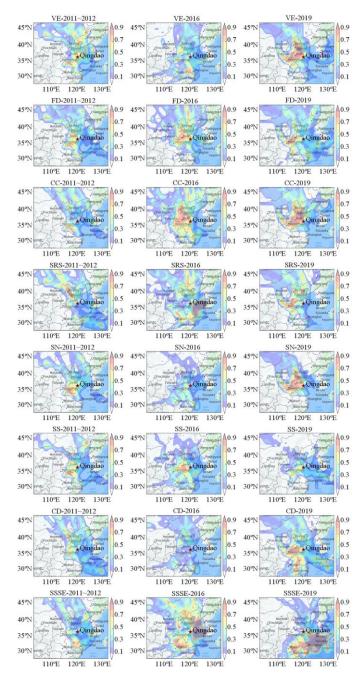


Figure 6. WPSCF plots for various emission sources during different periods (base map from
 Yahoo Maps). VE represents vehicle emissions, FD represents fugitive dust, CC represents

coal combustion, SRS represents steel-related smelting, SN represents secondary nitrate, SS
 represents secondary sulphate, CD represents construction dust, and SSSE represents sea salt
 and ship emissions.