

We thank the two reviewers for their comments and provide our specific responses below in blue with new additions in bold.

Reviewer #1

This paper presented a detailed model study of the aerosol composition in Korean during the NASA KORUS-AQ aircraft campaign. The study tried to improve the model simulated PM_{2.5} and use it to quantify the contribution from long range transport and local emission to the observed PM composition. The topic is relevant to ACP and study is well designed and presented. I suggest ACP publish it with some clarification and improvement.

Science related:

- Nitrate, sulfate, and ammonium often show a dynamic and complicated balance. Maybe the analysis can focus more on total sulfate+nitrate+ammonium, where overall model shows better agreement with the observations. Your conclusion on missing local source during the so called ‘Transport/Haze’ period is probably still hold.

We respectfully disagree with the reviewer that it would be better to focus on sulfate+nitrate+ammonium.

We show the total of all aerosol components in Fig. 1 but have added the following sentence on line 237 to make our argument that it is important to consider components separately.

“On average, the model simulates SNA within 20%. However, this is due to compensating biases which has implications for controlling precursor species.”

We also discuss model errors in simulating individual components separately as they have different impacts on aerosol properties (i.e. aerosol water, pH etc.) as well as different precursor species. We refer the reviewer to line 239 where we state: “The excess model nitrate is the primary driver of overestimated ALWC (+82%).”

To explain further, a key motivation for the KORUS-AQ campaign was to collect data to help South Korean policy makers improve air quality. That requires an understanding of the primary sources (emissions) and secondary atmospheric processes that produce problematic trace gases and aerosols. Good model agreement with observed PM_{2.5} can be obtained, with discrepancies in its apportionment across the constituents that comprise total PM_{2.5} mass and this has implications for which sources should be controlled. That was the motivation behind this study. To guide policy makers a better understanding of the different precursors, the separate and coupled atmospheric processes driving large accumulations of PM_{2.5} in haze is required.

- How is the model vs observation comparison for SO₂? Only SOR is showed in Figure 12. How is the direct comparison of SO₂, esp. in different test runs? SO₂ is the primary pollutant and precursor of sulfate, its performance should be relevant.

We agree with the reviewer that this is an important comparison. These comparisons were included in the manuscript as described below.

We show the base model performance of SO₂ on line 258: “The corresponding profiles for SO₂ and nitric acid are shown in Fig. S3.”

We show the model performance for sensitivity studies in Fig. S17, starting on line 564. “During the Transport/Haze period, this improves model agreement with sulfate observations at the surface (~15 μg m⁻³ vs. Table 3: 15 μg m⁻³) and aloft (Fig. S17). Model agreement with daytime aircraft SO₂ observations is degraded, implying that model emissions during the Transport/Haze period are insufficient to produce both the amount of observed SO₂ and sulfate.”

- Heterogenous reaction of SO₂ to sulfate has been proposed and studied for long time. Early work should be acknowledged.
 - Summarized by: Ravishankara, Science, Heterogeneous and Multiphase Chemistry in the Troposphere, 1997.
 - Initial work: Chameides & Davis, The free radical chemistry of cloud droplets and its impact upon the composition of rain, JGR, <https://doi.org/10.1029/JC087iC07p04863>, 1982,

- Application in the CTM: P. Kasibhatla, W. L. Chameides, J. St. John, A three-dimensional global model investigation of seasonal variations in the atmospheric burden of anthropogenic sulfate aerosols, <https://doi.org/10.1029/96JD03084>, 1997

We thank the reviewer for these citations. The third citation is most relevant to this work, and has been added on line 105, **“Early modeling work suggested that this chemistry must be occurring generally in the polluted boundary layer in the United States and Europe (Kasibhatla et al., 1997).”**

- Based on Figure 11, the ‘Increased nighttime mixing’ is not necessary improved the model performance systematically. On 05-23 and 05-24 nighttime improvement can be seen for NO₂ and ozone. But for other days, the blue line compared worse to observations: phase shift for NO₂ on 05-27, 05-28, 05-29 nighttime, and for Ozone on 05-26, 05-27, 05-28, 05-29 ‘Increased nighttime mixing’ degraded the performance.

We agree with the reviewer that the “increased nighttime mixing” does not systematically improve the model performance. This was acknowledged in the paper and we refer the reviewer back to line 533:

“Extending this sensitivity test past the haze buildup results in excess nighttime ozone. This may be due to the increased cloud cover during the haze buildup (Fig. S16), that could cause additional nighttime mixing over average conditions through enhancement of the urban heat island effect (Theeuwes et al., 2019).”

- Please list all model experiments you have done in a table. Quite some model experiments results are discussed, e.g. ‘Model’, ‘Increased nighttime mixing’, ‘HetSO₂’, ‘No nighttime production’, ‘Old wet scavenging’, ‘5x dry deposition’, etc. What is the difference between those experiments? There is only one thing different between those runs vs the control run, or they are accumulated? 5x dry deposition is global? Or just for urban area or certain particular landuse? Test runs are for both coarse and fine resolution?

Thank you for this suggestion. Table 4 has been added describing the sensitivity simulations in the main text.

- Section 5.3 discussion can be shortened and focused on the new findings from this analysis.

We have removed the discussion about Figure S12 and the associated sensitivity study and put in in the supplement (lines 486-493 are now Section S6). We have replaced that discussion with this line starting on 482 to refer to the supplement: **“We illustrate in Section S6 that reducing the collapse of the evening MLH without a change to the drivers of mixing (i.e., heat fluxes, friction velocity) has negligible impact on decreasing model ozone titration (Fig. S12).”**

To further address the reviewers concern about length, we have moved the paragraph on lines 220-231 to the supplement (Section S2).

As you have shown, even just for the inorganic aerosol components, there are many parameters you can adjust to move some aspect of the model simulation closer to observations. Overall, the model sulfate+nitrate+ammonium show reasonable agreement with observations. If your purpose is to significantly improve the nitrate and sulfate simulation, more evaluations are required, including other region and time.

We agree with the reviewers that further evaluations in other time periods are needed, and this paper lays the groundwork for those studies. We added the following sentences on line 593,

“Follow-up work will include consideration of improvements to the model sulfate and nitrate simulation with a coupled model system such as WRF-GC (Lin et al., 2020) that is able to better simulate the urban scale as well as long-range transport.”

and line 668:

“Follow-up studies to this work will evaluate model performance during other seasons (i.e., winter) using a model system with online meteorology to determine whether factors driving model errors in this work are occurring throughout the year.”

Model related:

- GEOS-Chem has been used in many studies, including multiple aircraft campaigns. It typically shows good performance. It seems the main GEOS-Chem development team is not part of this paper. Have you discussed your findings with the GEOS-Chem development group? 5 times increase of dry deposition and adding a new chemical pathway of SO₂ to sulfate seem quite significant changes for a mature model like GEOS-Chem.

GEOS-Chem is a model that undergoes continuous development (<https://geos-chem.seas.harvard.edu/geos-new-developments>) and encourages community input. The lead author and several of the co-authors have contributed to those developments, including Xuan Wang, Erin McDuffie, and Shixian Zhang. The primary author trained with the GEOS-Chem model scientist as her dissertation advisor.

We respectfully disagree that the GEOS-Chem model typically shows good performance. We refer the reviewer to our discussion of the other GEOS-Chem papers that show similar issues to what we show here: overestimated HNO₃ and underestimated sulfate during haze.

On line 353: “Previous attempts to improve model nitrate invoked an unknown sink of HNO₃ in the model (Heald et al., 2012; Weagle et al., 2018), as uncertainties in precursor emissions, the rate of N₂O₅ hydrolysis (R2/R3) or gas-phase production (R1), OH concentrations, and HNO₃ dry deposition velocity (V_{dHNO₃}) could not explain model nitrate biases.”

On line 501: “The failure of models to simulate sulfate production in haze in East Asia is a current topic of intensive research and is attributable to missing sulfate production in aerosol water (Wang et al., 2014; Zheng et al., 2015a; Chen et al., 2016; Shao et al., 2019; Miao et al., 2020).”

- Model limitation. This study used a global CTM GEOS-Chem. The model resolution, 0.25x0.3125 is high for a global model, while it might not be the best choice for urban pollution study. Based on Figure 1, most of the ground stations probably are within one grid point of the model. What is the landuse and topography of that model point? What is the reported setting (landuse and elevation) of the stations showed in Figure 1? Do they generally agree? Since GEOS-Chem should be able to capture the chemical and physical processes on the regional scale, maybe the model-observation comparison should focus on sites that are representative over the regional conditions?

We agree with the reviewers that the ground stations are within one grid point of the model. In the paper, we average all the ground stations in Figure 1 for comparison to the model. This is stated on line 213, “Figure 1a shows the model simulation of daily average PM_{2.5} (Eq. 1) compared to the observed average of the 15 AirKorea sites within the GEOS-Chem grid box containing the major SMA monitoring sites (KIST and Olympic Park).”

We respectfully disagree that the model resolution is insufficient for an urban pollution study, as the motivation for this work is to better understand the impact of long-range transport which requires a global CTM. In the paper, we state the following on line 77: “Quantifying the effect of long-range transport relies on regional to global-scale models that trade-off the high resolution needed to resolve urban scales with a large enough domain to represent both the study area and upwind source regions.”

To address the reviewer’s question about land-use, we add the following sentence on line 376 to clarify our argument that the model poorly treats the urban landcover characteristics: “**The model surface roughness, an important parameter governing turbulence, is just 0.1 m in Seoul, compared to values measured between 1 and 3 for forested or urban parts of the city (Hong and Hong, 2016).**”

- Model set up: this is a nested simulation, right? From 2x2.5 to 0.25x0.3125? Nesting is a good idea but based on experience with nested model like WRF/WRF-Chem the spatial resolution of ~ 1:3 or 1:4 will provide a smoother and more consistent transition between outer and inner domains. 1:8 is probably too much, for both dynamic and chemical processes.

We respectfully disagree with the reviewers that the nesting setup is inappropriate. GEOS-Chem uses assimilated meteorology, thus dynamical processes are pre-calculated by the GEOS-FP system. Nesting in GEOS-Chem from 2x25 to 0.25x0.3125 is standard practice. We state in the paper on line 143: “The model is driven by assimilated meteorological data from the NASA Global Modeling and Assimilation Office (GMAO) Goddard Earth Observing System Forward-Processing (GEOS-FP) atmospheric data assimilation system. GEOS-FP has a native horizontal

resolution of $0.25^\circ \times 0.3125^\circ$, which we apply with the nested version of GEOS-Chem (Chen et al., 2009) over East Asia ($70^\circ - 140^\circ\text{E}$, $15^\circ\text{S} - 55^\circ\text{N}$) using boundary conditions from a global simulation at $2.0^\circ \times 2.5^\circ$ with a 1-month initialization period.”

- Other: Figure 12, ‘from aircraft below 1km for the same domain as Fig. 3.’ Figure 3 didn’t show domain info about the aircraft.

We revised to **“for the descents over Olympic Park”**.

Reviewer #2

This is a very comprehensive study elucidating the causes of model biases in $\text{PM}_{2.5}$ inorganic components simulated by the GEOS-Chem chemical transport model, using observations from the Korean United States-Air Quality (KORUS-AQ) field campaign. Model deficiencies, including overestimates of daytime HNO_3 and nighttime nitrate, too rapid uptake of NO_2 by aerosols at night, and underestimates of sulfate during pollution events, were identified and analyzed. A series of model sensitivity simulations were carefully designed in order to lower the model biases and to interpret the possible causes of these model biases.

The study is well conducted and presented, and is an important piece of work advancing our understanding on the processes affecting the inorganic aerosol simulation. I thus recommend publish on ACP. Below are several comments for clarification in the manuscript.

Specific comments:

1) Page 7, Line 25,

The linkage of excess model nitrate with overestimated ALWC (aerosol liquid water content) needs some explanation. How ALWC is estimated in the GEOS-Chem model and in the observations? Why overestimated nitrate lead to higher ALWC, although model $\text{PM}_{2.5}$ is biased low? Please clarify.

We refer the reviewer to our description of the ALWC calculation on line 232. “Figure 2 and Table 3 include the ALWC associated with $\text{PM}_{2.5}$, calculated for the observations using the E-AIM IV thermodynamic model (Clegg and Brimblecombe, 1990; Clegg et al., 1998; Massucci et al., 1999; Wexler and Clegg, 2002; Nault et al., 2021b), and ISORROPIAv2.2 (Pye et al., 2009) in GEOS-Chem.

The model is biased low in sulfate and OA but high in nitrate, as discussed on line 238: “The model underestimated sulfate (-64%), overestimated nitrate (+36%), and underestimated SOA (-43%)”. Thus $\text{PM}_{2.5}$ is less biased due to compensating errors. We also refer the reviewer to the statement on line 239 that “The excess model nitrate is the primary driver of overestimated ALWC (+82%)”. See also the line added to address Reviewer #1’s comment on line 237:

“On average, the model simulates SNA within 20%. However, this is due to compensating biases which has implications for controlling precursor species.”

2) Page 10, 2nd paragraph,

As shown in Figure 5, the model epsilon- NO_3 values are overall biased low suggesting excess partitioning to the gas phase. Are there any sensitivity simulations conducted in the study that can improve the simulated NO_3 gas-aerosol partitioning? How about the one with enhanced dry deposition velocities?

We thank the reviewer for asking this question. We incorrectly stated that the error in model partitioning could be due to overestimated HNO_3 . We have removed the following sentences starting on line 317: “This low bias in ϵNO_3 could be due to overestimated HNO_3 , as the lower RH and associated higher temperatures generally prevent excess HNO_3 (denominator of Eq. 2) from partitioning to the aerosol-phase. We discuss the possibility of overestimated model HNO_3 below.”

We added the following text on line 317 to address the reviewer’s comment about what would improve model partitioning:

“This could be a result of underestimated ammonia, not measured during the campaign, or errors in model temperature and RH.”

3) Page 16, 2nd paragraph,

It is not clear how the model treated the simulation with increased sensible heat flux as GEOS-Chem used assimilated meteorology. Which processes and parameters would be affected in this simulation? Would the other sensitivity simulation with increased PBL have the same effect?

We have added the following text on line 535 as clarification **“As the meteorology in GEOS-Chem is calculated offline (Section 3), increasing surface sensible heat flux only impacts the boundary layer mixing parameterization but not the simulation of other meteorological fields. Future work should use a coupled system to investigate other effects of the urban heat island effect on air quality.”**

4) Page 36, Figure 6,

The panels c and d of Figure 6 did not show the simulated results from the other three simulations, e.g., 5x dry deposition. Was there any reason?

We thank the reviewer for pointing out this potentially confusing omission. We revised Figure 6 to show all sensitivity simulations for all panels, and add the following text to the caption of Figure 6 – **“Model sensitivity simulations that are not significantly different than the base model run are plotted underneath the base model line.”**

5) 9th line of Page 11, 4th line of Page 15, Here “Section 2” should be “Section 3”.

Fixed.

Other revisions

We have made edits for language clarity throughout the paper to further address Reviewer #1’s concern about length, and revised the following numbers:

Line 59 – We intended to reference the fraction of local sulfate in local PM_{2.5} (25%), but incorrectly wrote the fraction of local sulfate in total sulfate (46%).

Table 2 – We found a rounding error in our calculations that revises the fossil fuel NO_x down by 1 Gg but has no impact on any paper statements or conclusions.

Line 264: We were using relative humidity observations from the wrong instrument. The revised RH numbers are now using the DLH relative humidity. As a result we have removed the following sentence which is no longer valid: “If the model RH simulation was unbiased, we would expect an improved simulation of nitrate as the minimal RH bias during the Dynamic period corresponds to the best nitrate simulation (Fig. 3, Fig. S3).”

Line 299: We were using inconsistent definitions of nighttime and daytime for these numbers. The revised temperature and RH values are now using the definitions given in the text (6am and 6pm KST (daytime), 6pm to 6am KST (nighttime)).

Figure 11 – We edited the gray shading to have a consistent nighttime (6pm to 6am KST) definition with the text.

Line 442: We found a small error in the calculation of these ozone numbers, they have been revised but have no impact on the conclusions.

Figure 12 – We edited the inset sensitivity study label to be consistent with Table 4.