# 1 Volcanic SO<sub>2</sub> Layer Height by TROPOMI/S5P; evaluation against

## 2 IASI/MetOp and CALIOP/CALIPSO observations.

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- Abstract. Volcanic eruptions eject large amounts of ash and trace gases such as sulphur dioxide (SO<sub>2</sub>) into the atmosphere. A
- 15 significant difficulty in mitigating the impact of volcanic SO<sub>2</sub> clouds on air traffic safety is that these gas emissions can be
- 16 rapidly transported over long distances. The use of space-borne instruments enables the global monitoring of volcanic SO<sub>2</sub>
- emissions in an economical and risk-free manner. Within the European Space Agency (ESA) Sentinel-5p+ Innovation project,
- the S5P SO<sub>2</sub> Layer Height (S5P+I: SO2LH) activities led to the improvements on the retrieval algorithm and generation of the
- 19 corresponding near-real-time S5P SO<sub>2</sub> LH products. These are currently operationally provided, in near-real-time, by the

German Aerospace Center (DLR) in the framework of the Innovative Products for Analyses of Atmospheric Composition,

- 21 INPULS, project. The main aim of this paper is to present its extensive verification, accomplished within the S5P+I: SO2LH
- 22 project, over major recent volcanic eruptions, against collocated space-born measurements from the IASI/Metop and
- 23 CALIOP/CALIPSO instruments, as well as assess its impact on the forecasts provided by the Copernicus Atmospheric
- Monitoring Service, CAMS. The mean difference between S5P and IASI observations for the Raikoke 2019, the Nishinoshima
- 25 2020 and the La Soufrière-St Vincent, 2021 eruptive periods is ~0.5±3 km, while for the Taal 2020 eruption, a larger difference
- was found, between  $3\pm3$  and  $4\pm3$  km. The comparison of the daily mean  $SO_2$  layer heights further demonstrates the capabilities
- of this near-real-time product, with slopes between 0.8 and 1 and correlation coefficients ranging between 0.6 and 0.8.
- 28 Comparisons between the S5P SO<sub>2</sub> LH and the CALIOP/CALIPSO ash plume revealed an expected bias at -2.5±2 km,

- 29 considering that the injected SO<sub>2</sub> and ash plumes' locations do not always coincide over an eruption. Furthermore, the CAMS
- 30 assimilation of the S5PSO<sub>2</sub> LH product led to much improved model output against the non-assimilated IASI layer heights,
- with a mean difference of 1.5±2 km, compared to the original CAMS analysis, and improved the geographical spread of the
- Raikoke volcanic plume following the eruptive days.

#### 1 Introduction

- 34 Ten years have passed since the ash cloud from the 2010 Icelandic Eyjafjallajokull volcano caused an unprecedented disruption
- to air traffic across Europe, affecting the flight schedules of approximately 10 million passengers and resulting in nearly 2
- 36 billion US dollars in lost airline revenue (Bolić and Sivčev, 2011). This eruption led to increased awareness of the threat of
- 37 volcanic ash to air traffic in Europe, and numerous advances have taken place since then with regard to research, regulation,
- and cooperation (Reichardt et al., 2017). Apart from the ash cloud, the volcanic sulphur dioxide (SO<sub>2</sub>) plume is also hazardous
- 39 to aircraft, as it forms the corrosive sulphuric acid and can further deposit sulphates in the engines (Prata, 2009). Since the ash
- 40 particles will deposit faster than SO<sub>2</sub> after the first post-eruption hours, the two clouds typically separate in elevation, making
- 41 the reliable detection, dispersal and forecast of both clouds during significant explosive eruptions on a global basis equally
- 42 important (ICAO, 2019).
- 43 The disruption that the Eyjafjallajökull & Grímsvötn 2010 and 2011 eruptions had on airborne traffic has led the International
- 44 Civil Aviation Organization, ICAO, to change the previous zero tolerance policy on volcanic ash to establishing ash
- 45 concentration thresholds over Europe. Zehner et al., 2012, have translated these thresholds into specific requirements for
- 46 improved volcanic ash monitoring and forecasting services. These include the early detection of volcanic emissions and the
- 47 near real-time, NRT, global monitoring of volcanic plumes, with open access and delivery of data (Brenot et al., 2014; 2021),
- 48 but also the quantitative retrievals of volcanic ash as well as SO<sub>2</sub> concentration and altitude from satellite instruments, and
- 49 their validation.
- 50 Quantifying the SO<sub>2</sub> load emitted during explosive eruptions provides insight into volcanic processes, assists in volcanic hazard
- 51 mitigation and permits the climatic impact quantification of major eruptions (Carn et al., 2016). However, it is the accurate
- 52 retrieval of the SO<sub>2</sub> plume injection height that drives the majority of current scientific advancements in the field. Numerous
- eruptions have already been used as demonstrational case studies using a variety of space-borne observations and modelling
- techniques to infer the layer height such as eruptions by Mt Etna, Italy, (Boichu et al., 2015), Nabro, Erithrea, (Clarisse et al.,
- to make to mile the layer holds of the Bush, they, (Botton et al., 2010), thereby Chillips
- 55 2014), Jebel at Tair, Yemen (Eckhardt et al., 2008), Eyjafjallajökull and Grimsvötn, Iceland (Carboni et al., 2016), Calbuco,
- Chile (Pardini et al., 2018), to name but a few.
- 57 Within the European Space Agency (ESA) Sentinel-5p+ Innovation SO<sub>2</sub> Layer Height project (S5P+I: SO2LH) activities have
- 58 led to the generation of a near-real-time SO<sub>2</sub> Layer Height product based on the Sentinel-5P/TROPOMI observations, hereafter
- 59 referred to as S5P SO<sub>2</sub> LH. In this work, we present the direct evaluation of the retrieved SO<sub>2</sub> layer heights for four recent

major eruptions against independent satellite information as well as its indirect verification via its assimilation into the

61 Copernicus Atmospheric Monitoring Service, CAMS, forecast system.

#### 2 S5P SO<sub>2</sub> Layer Height

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publicly available.

63 The retrieval of the SO<sub>2</sub> layer height based on Sentinel-5P/TROPOMI measurements is performed using the already established 64 "Full-Physics Inverse Learning Machine" algorithm (hereafter referred to as FP\_ILM). It is based on Hedelt et al., 2019 and 65 is an improvement of the FP ILM algorithm developed by Efremenko et al., 2017 for the retrieval of the SO<sub>2</sub> LH based on the 66 Global Ozone Monitoring Experiment, GOME-2, instrument data using a Principal Component Regression (PCR) technique. 67 In general, the FP\_ILM algorithm creates a mapping between the spectral radiance and atmospheric parameters using machine 68 learning methods. The main advantage of the FP ILM algorithm over classical direct fitting approaches is that the time-69 consuming training phase involving complex Radiative Transfer (RT) modelling and Neural Network (NN) training is 70 performed offline; the final trained inversion operator itself is robust and computationally simple and therefore extremely fast 71 and can be applied in near-real-time (NRT) processing environments, as discussed in detail below. The FP ILM algorithm 72 was originally developed for the retrieval of cloud properties (Loyola et al., 2006) and has also been used for the retrieval of 73 ozone profile shapes (Xu, et al., 2017) as well as the retrieval of surface properties accounting for bidirectional reflectance 74 distribution function (BRDF) effects (Loyola, et al., 2020.) Recently, Fedkin et al., 2020 have applied the FP\_ILM algorithm 75 to retrieve the SO<sub>2</sub> LH based on Ozone Monitoring Instrument, OMI/Aura, observations. 76 The S5P SO<sub>2</sub> LH algorithm was further optimized in the framework of the ESA S5P+I: SO2LH project. The S5P+I project has 77 been initiated to develop novel scientific and operational applications, products and retrieval methods that exploit the potential 78 of the Sentinel-5P mission's capabilities beyond its primary objective and was kicked-off at the end of June/beginning of July 79 2019 and successfully finished at the end of 2021 and addresses seven themes related to atmospheric composition and ocean 80 colour. The SO2LH theme is dedicated to the generation of an SO<sub>2</sub> layer height product for Sentinel-5p considering data

#### 2.1 The optimised FP ILM algorithm description

The FP\_ILM S5P SO<sub>2</sub> LH algorithm combines a Principal Component analysis (PCA) and a Neural Network (NN) approach

to retrieve the SO<sub>2</sub> LH based on Sentinel-5P/TROPOMI backscattered UV Earthshine measurements in the wavelength range

production timeliness requirements. More details about the project can be found on the ESA S5P+I website

(https://eo4society.esa.int/projects/sentinel-5p+innovation/, last access: 14.10.2021) as well as on the dedicated SO<sub>2</sub>LH project

website (https://atmos.eoc.dlr.de/so2-lh/, last access: 14.10.2021), where all algorithm and product related documents are

between 311 and 335 nm. The PCA is used to reduce the dimensionality of the high-resolution spectral measurements and to

89 extract the information related to the LH, whereas the NN is used to directly retrieve the LH based on the extracted principal 90 components (PCs) and other input parameters. 91 In a first step, the FP ILM algorithm is trained using synthetic spectral UV data generated with the Linearized Discrete 92 Ordinate Radiative Transfer (LIDORT) model including inelastic rotational Raman scattering (RRS) implementation (Spurr 93 et al., 2008). About 500,000 reflectance spectra on a smart parameter grid (Loyola et al., 2016) in the wavelength range 311 -94 335 nm have been generated, which are then convolved with the TROPOMI Instrument Spectral Response Function (ISRF). 95 This simulated dataset is split into two datasets: 90% is used for training the PCA and NN and the remaining 10% are set aside 96 and are used as an independent test dataset to determine the accuracy of the FP ILM training. A PCA is then applied to the 97 training dataset to extract the first N=10 principle components to reduce the dimensionality of the spectral dataset. By 98 characterizing the set of simulated measurements with fewer parameters, a simpler, more stable and computationally efficient 99 inversion scheme can be realized. 100 In the second step, the PCs of each training sample along with the total ozone vertical column density (O<sub>3</sub> VCD), viewing 101 angles, surface pressure and albedo are used as input to train a feedforward artificial NN, with the corresponding SO<sub>2</sub> LH of 102 each training sample as the output layer. The NN consists of two hidden layers consisting of 40 nodes in the first and 10 nodes 103 in the second layer. A hyperbolic tangent layer activation function (tanh) is used and a regularization is applied to prevent the 104 NN from overfitting and to reduce the generalization error. Put together, the trained PCA operator and the trained NN form 105 the FP ILM inversion operator, which is then applied to real spectral measurements in the operational phase. 106 In the operational phase, the trained PC operator is applied to TROPOMI spectral measurements which feature enhanced SO<sub>2</sub> 107 levels, such as after a volcanic eruption, to extract the first 10 PCs and thus reduce the spectral dimension. With this information 108 (along with the other measured input parameters) the trained NN inverse function is then applied to retrieve the SO<sub>2</sub> LH. Note 109 that neither the SO<sub>2</sub> SCD nor the SO<sub>2</sub> VCD are input to the NN since they depend on the SO<sub>2</sub> LH both directly and indirectly 110 via the Air Mass Factor calculation and the temperature dependency of the absorption cross-section at the SO<sub>2</sub> layer altitude. 111 In the operational TROPOMI/S5P ground segment, Level 2 (L2) data is generated within 3 hours after sensing. Once this L2 112 data is available and a volcanic eruption occurs, the SO<sub>2</sub> LH algorithm is able to retrieve the corresponding layer height within 113 a few milliseconds per ground pixel. Even for a huge volcanic eruption with an SO<sub>2</sub> cloud spanning about 3% of the entire 114 orbit (i.e. about 50,000 pixels), the whole SO<sub>2</sub> LH retrieval is performed within 3 minutes. Note that the largest volcanic 115 eruptions detected by satellites so far (e.g., Raikoke, Kasatochi, Sarvchey, Nabro) lead to typically 1-3% of ground pixels to 116 be processed for a limited number of orbits. The FP\_ILM algorithm is several orders of magnitude faster than any of the direct

Closed-loop retrievals with the independent test dataset show that the SO<sub>2</sub> LH can be retrieved with an accuracy of less than 2 km for SO<sub>2</sub> VCD > 20Dobson Units, D.U., (see Hedelt et al., 2019; SO<sub>2</sub> LH Algorithm Theoretical Baseline Document, ATBD, Hedelt et al., 2021 and SO<sub>2</sub> LH Validation Report, VR, Koukouli et al., 2021). Note here that in the presence of volcanic ash, which can be initially collocated with the SO<sub>2</sub> cloud in the young volcanic plume, the retrieved SO<sub>2</sub> LH can be underestimated

fitting approaches for UV layer height retrievals developed so far.

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- by several kilometres since the FP ILM inversion operators were trained without taking ash absorption into account (see an
- extensive discussion in S5P SO<sub>2</sub> LH ATBD, Hedelt et al. 2021).
- 124 From the analysis presented in the S5P SO<sub>2</sub> LH VR (Koukouli et al., 2021) it was deduced that the optimal accuracy was
- achieved when filtering the reported LH values using a QA value (indicating the quality of the retrieval) greater than 0.5, a LH
- flag (indicating warnings and errors during the retrieval) less than 16 and an associated SO<sub>2</sub> load greater than 20 D.U. For the
- comparison against the independent datasets, the SO<sub>2</sub> LH were then gridded onto a 0.1x0.1° spatial plane at 6h intervals per
- eruptive day.

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#### **3** Comparative datasets

- 130 Two different IASI/Metop SO<sub>2</sub> layer heights (LHs) are used for the evaluation of the S5P SO<sub>2</sub> LHs: the EUMETSAT ACSAF
- Brescia v201510 product (Clarisse et al., 2012; 2014; Astoreca et al., 2018), here after IASI ULB/LATMOS, as well as the
- University of Oxford product (Carboni et al., 2012; 2016), hereafter IASI AOPP. The two IASI approaches vary to such an
- extent, as is discussed below, that we can assume that they provide two semi-independent datasets available for the validation
- of the S5P SO<sub>2</sub> LHs. In addition, the CALIOP/CALIPSO space-born lidar observations of the ash plume (Winker et al., 2012;
- Prata et al., 2017) will be compared to the S5P SO<sub>2</sub> LHs for the case of the Raikoke stratospheric eruption. Furthermore, the
- 136 S5P SO<sub>2</sub> LH product was assimilated into a Copernicus Atmosphere Monitoring Service, CAMS, experiment (Inness et al.,
- 137 2022), and the assimilated fields were compared to the independent IASI ULB/LATMOS observations, indirectly validating
- the S5P SO<sub>2</sub> LH v4.0 product.

#### 3.1 IASI ULB/LATMOS SO<sub>2</sub> Layer Height dataset

- 140 The IASI/MetOp SO<sub>2</sub> ACSAF column data are fully described in Clarisse et al., 2012, where a algorithm for the sounding of
- 141 volcanic SO<sub>2</sub> plume above ~5 km altitude was presented and applied to IASI. The algorithm is able to view a wide variety of
- total column ranges (from 0.5 to 5000 D.U.), exhibits a low theoretical uncertainty (3–5 %) and near real time applicability
- and was thence demonstrated on the eruptions of Sarychev in Russia, Kasatochi in Alaska, Grimsvötn in Iceland, Puyehue-
- 144 Cordon Caulle in Chile and Nabro in Eritrea. Furthermore, an expansion of the algorithm to also provide SO<sub>2</sub> LHs for the
- Nabro eruption using forward trajectories and CALIOP coincident measurements is described in Clarisse et al., 2014. The
- 146 IASI ULB/LATMOS dataset includes five SO<sub>2</sub> column data at assumed layer heights of 7, 10, 13, 16 and 25 km, as well as a
- retrieved best estimate for the SO<sub>2</sub> LH. It is important to note that the SO<sub>2</sub> LHs provided by this algorithm are quantized every
- 148 0.5 km, which renders simple scatter-type comparisons not as straightforward. This dataset is publicly available from
- 149 https://iasi.aeris-data.fr/
- The observations by all Metop IASI instruments were treated as one, gridded onto a 0.1x0.1 grid at 6h intervals or each day.
- The choice of the temporal field was applied since the S5P and Metop orbits differ on average by 3-4h and this temporal range

was found to be the optimal trade-off between resulting in a successful collocative dataset while also ensuring the comparisons view the same parts of the SO<sub>2</sub> plumes. Recall also that IASI, an infrared sounder, also performs observations 12h later, during night-time. For high enough latitudes, the time zones collapse onto another, so in the case of high latitude volcanoes, such as Raikoke, a collocation closer in time can be achieved. For this dataset, the reported SO<sub>2</sub> LHs were restricted to altitudes less than 25 km where a successful SO<sub>2</sub> column retrieval was performed.

#### 3.2 IASI AOPP SO<sub>2</sub> Layer Height dataset

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The University of Oxford employs an optimal estimation scheme (Carboni et al. 2012; 2016) to estimate the SO<sub>2</sub> column amount, the height of the SO<sub>2</sub> profile and the surface radiating temperature from IASI/MetOp-A, /MetOp-B & /MetOp-C measurements. The Oxford retrieval has two steps. Firstly, a linear retrieval developed by Walker et al. (2011; 2012) is applied. In the retrieval scheme a detection is considered 'positive' if the output of the linear retrieval is greater than a defined positive threshold (0.49 effective DU, following Walker et al. 2012). The detection limits are variable-dependent on the height of the plume and the atmospheric conditions. For a standard atmosphere (with no thermal contrast) the detection limits are estimated to be: 17 D.U. for a SO<sub>2</sub> plume between 0-2 km, 3 D.U. between 2-4 km, and 1.3 D.U. between 4-6 km (Walker et al., 2011). The detection scheme can miss part of an SO<sub>2</sub> plume under certain circumstances, such as low-altitude plumes, conditions of negative thermal contrast (i.e. where the surface is colder than the atmosphere), and where clouds are present above the SO<sub>2</sub> plume, masking the signal from the underlying atmosphere. The IASI SO<sub>2</sub> retrieval is not affected by underlying clouds. Secondly, an iterative retrieval is performed for the pixels that provide positive detection results. The scheme iteratively fits the forward model (simulations) with the measurements, through the error covariance matrix, to seek a minimum of a cost function. The forward model is based on RTTOV (Radiative Transfer for TOVS) which is a very fast radiative transfer model for passive visible, infrared and microwave downward-viewing satellite radiometers, spectrometers and interferometers (Saunders et al., 1999). The error covariance matrix used is the 'global error covariance matrix' described by Carboni et al., 2012, defined to represent the effects of atmospheric variability not represented in the forward model (FM), as well as instrument noise. A comprehensive error budget for every pixel is included in the retrieval. A quality control was applied to the IASI AOPP dataset to include valid data points where the minimization routine converged within 10 iterations, the retrieved SO<sub>2</sub> amount was positive, the retrieved plume pressure was between 0 and 1100 mb and the cost function was less than 10. Additional filters were applied in this work to include only pixels with  $SO_2$  LH  $\leq 25$  km,  $SO_2$ LH error  $\leq$  SO<sub>2</sub> layer height and the retrieved altitude  $\neq$  apriori altitude at 400 mbars. The latter would indicate that the retrieval reverted back to the a priori for lack of signal in the measurement, hence would not provide any novel information to the retrieval. After the additional filters were applied, the IASI/AOPP dataset was also gridded onto a 0.1x0.1 grid at 6h intervals per eruptive day.

#### 3.3 CALIOP/CALIPSO Volcanic Layer Height dataset

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CALIPSO (Cloud-Aerosol and Lidar Infrared Pathfinder Observations), is a joint NASA/CNES (Centre National d'Études Spatiales) satellite and part of the A-Train constellation of satellites. It is designed to study aerosols and clouds and aims to provide profiling information at a global scale for improving our knowledge and understanding of the role of the aerosols in the atmospheric processes. The main instrument, CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization), is a dualwavelength (532 and 1064 nm) elastic backscatter lidar with the capability of polarization-sensitive observations at 532 nm (Winker et al., 2010). The high-resolution profiling ability coupled with accurate depolarization measurements make CALIPSO an indispensable tool to monitor specific aerosol species and clouds (Liu et al., 2008). CALIPSO is the first polarization lidar to provide global atmospheric measurements and is able to identify volcanic eruption plumes related to the SO<sub>2</sub> Layer Height identification and retrieval (e.g. Fedkin et al., 2021; Hedelt et al., 2019; Koukouli et al., 2014; Tournigand et al., 2020). The CALIPSO observations close to the volcanic source can be employed in SO<sub>2</sub> LH validation studies, since ash (and/or aerosols) are initially collocated with the SO<sub>2</sub> cloud, before the gas and ash plumes separate. Note that the footprint of CALIOP measurements is only 100 m, hence the global coverage is very low and detection of a volcanic ash plume is rare. The CALIOP optical properties retrieval scheme is based on the successful cooperation of three major algorithm steps whose main mission objective is to produce the CALIPSO Level 2 (L2) data (Vaughan et al., 2009, Omar et al., 2009). Finally, CALIPSO data consist of three basic types of information: (a) layer products, (b) profile products and (c) the vertical feature mask (VFM). Layer products provide layer-integrated or layer-averaged properties of detected aerosol and cloud layers. Profile products provide retrieved extinction and backscatter profiles within these layers. Because information on the spatial locations of cloud and aerosol layers is of fundamental importance, the VFM was developed to provide information on cloud and aerosol locations and types. Layer properties include layer top and base altitude, as well as physical properties of the feature such as the Integrated Volume Depolarization Ratio, some of which are described below. Layer top and base altitudes are reported in units of kilometres above mean sea level. Between -0.5 km and ~8.2 km, the vertical resolution of the lidar is 30-meters. From ~8.2 km to ~20.2 km, the vertical resolution of the lidar is 60-meters. Above ~20.2 km, the vertical resolution is 180-meters. The on-board averaging scheme provides the highest resolution in the lower troposphere where the spatial variability of clouds and aerosols is the greatest and coarser resolutions higher in the atmosphere The CALIPSO data products used in this validation study are summarized in Table 1.

Table 1. CALIOP/CALIPSO parameters used in this study.

Parameter	Version	Level _	Resolution Due to Averaging	
i arameter	Version	Level .	Horizontal	Vertical (<8 km)
Total_Attenuated_Backscatter_532	v.4.10	1	1/3 km	30 m
Extinction_Coefficient_532	v.3.41, v.4.20	2	5 km	60 m

Aerosol Layer_Top/Base_Altitude	v.3.41, v.4.20	2	5 km	30 m
Feature_Classification_Flags	v.3.41, v.4.20	2	5 km	60 m

The CALIPSO version 4 (V4) product determines the locations of layers within the atmosphere, discriminates aerosols from clouds and categorizes aerosol layers as one of eleven subtypes, seven in the troposphere and four in the stratosphere (Omar et al., 2009; Kim et al., 2018) providing also the optical depth of each detected aerosol layer (Winker et al., 2012). The most fundamental update in V4 is that aerosol layers are now classified as either tropospheric aerosol or of certain stratospheric aerosol feature types. The tropospheric aerosol types include the following sub-types: clean marine, dust, polluted, continental/smoke, clean continental, polluted dust, elevated smoke and dusty marine. Stratospheric aerosol subtypes have been introduced for ash, sulfate/other, smoke and polar stratospheric aerosol. Note that below the tropopause, ash and sulphate plumes are given by the tropospheric aerosol subtypes: volcanic ash is often classified as dust or polluted dust and volcanic sulphate is often classified as elevated smoke. As a result, contiguous aerosol features crossing the tropopause will have aerosol subtypes which switch from tropospheric to stratospheric subtypes, depending on the relationship between the attenuated backscatter centroid altitude of the layer identified by the feature finder and the tropopause altitude. Refer to the Data Quality Summary Document for further details (Vaughan et al., 2020).

#### 3.3.1 CALIOP weighted extinction height

An important indicator for vertical profiles is the weighted extinction height, a parameter that gives in a single number an indication of the altitude of the detected aerosol plume distribution. This parameter is considered ideal for comparisons with aerosol layer height from passive satellite sensors (e.g. GOME-2, IASI, TROPOMI) and the future Sentinel missions, since these retrievals are very sensitive to the location of the aerosol mass maximum within the detected layers. For the validation of the TROPOMI SO<sub>2</sub> LH, we used CALIOP level 2 version 4.10 aerosol extinction profiles at 5 km spatial resolution, retrieved from CALIOP observations of attenuated backscatter at 532 nm (Winker et al., 2010). Quality flags are also included in the level-2 CALIOP products and are used to avoid cloud contamination of aerosol retrievals, which means that cloud features are identified and removed, as described in Winker et al. (2013) and Campbell et al. (2012).

To facilitate quantitative comparison of aerosol altitude, we used a mean extinction height calculated from the CALIOP extinction profile, following Koffi et al. (2012):

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$$ALH_{ext} = \frac{\sum_{i=1}^{n} \beta_{ext,i} \cdot Z_i}{\sum_{i=1}^{n} \beta_{ext,i}}$$
 Equation 1

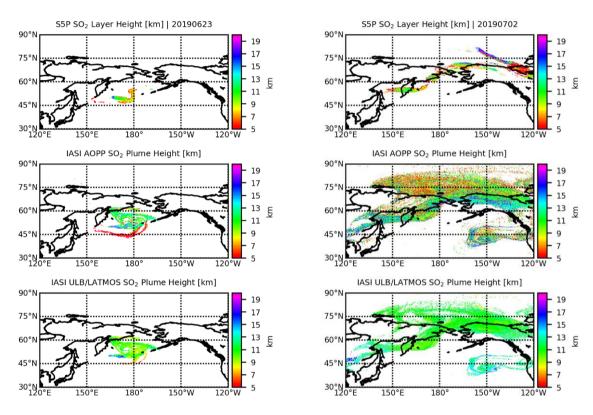
where  $Z_i$  is the height from sea level in the i<sup>th</sup> lidar vertical level i (km), and  $\beta_{ext,i}$  is the aerosol extinction coefficient (km<sup>-1</sup>) at the same level. In the CALIOP level 2 products, aerosol extinction is only retrieved for the layers in which aerosols are detected, depending on the instrument's signal-to-noise ratio (SNR). In the case when aerosols are present over clouds, ALH<sub>ext</sub> will be situated in the centre of the aerosol layer, with any undetected aerosol layers below the cloud layer not included in the calculations due to attenuation of the signal beyond the cloud layer. According to this validation method, the CALIOP 532nm

channel observations are chosen for analysis as the conclusions from the analysis of the results do not change when the 1064 nm channel observations are used instead (Nanda et al., 2020).

#### 4 Results

#### 4.1 Comparisons with the IASI/Metop SO<sub>2</sub> Layer Heights

#### 4.1.1 Raikoke, 2019



**Figure 1.** SO<sub>2</sub> Plume Height for two example days of the Raikoke 2019 eruptive period, the 23<sup>rd</sup> of June on the left and the 2<sup>nd</sup> of July on the right. The S5P+I: SO<sub>2</sub> LH at the top, IASI AOPP LH in the middle and IASI ULB/LATMOS LH on the bottom panels, including both ascending and descending orbits.

On June 22nd, 2019, a vast plume of ash and volcanic gases with more than 1000 D.U. of SO<sub>2</sub> was emitted during the eruption of the Raikoke volcano, Kuril Islands (McKee et al., 2021). This eruption could be detected even two months after the end of eruptive event, which rendered it an important case study for testing different satellite observations retrieval methods; the

252 original FP ILM methodology applied to TROPOMI observations (Hedelt et al., 2019), a probabilistic enhancement method 253 using the Cross-track Infrared Sounder (CrIS) on the Joint Polar Satellite System (JPSS) series of satellites (Hyman and 254 Pavolonis, 2020), a synergistic analysis of different satellite observations and dispersion modelling (Kloss et al., 2021) and 255 the recent application of the FP ILM algorithm to OMI/Aura observations (Fedkin et al., 2021.) This eruption was also used 256 in numerical atmospheric modelling in simulating the dispersion of the Raikoke SO<sub>2</sub> cloud in the UK Met Office Numerical 257 Atmospheric-dispersion Modelling Environment (de Leeuw et al., 2021) and the Copernicus Atmosphere Monitoring Service 258 (Inness et al., 2022). In Figure 1, two example days of the 2019 Raikoke eruption, the 23rd of June (left) and the 2nd of July (right) are shown for the 259 260 S5P SO<sub>2</sub> LH (upper), the IASI AOPP LH (middle) and the IASI ULB/LATMOS LH (bottom) observations. These 261 demonstrational figures do not represent collocative datasets, but rather show the spatial extent of the plumes reported by each 262 dataset, after filtering and gridding are performed. Due to the restriction in SO<sub>2</sub> load necessary (> 20 D.U.) in the S5P SO<sub>2</sub> 263 LH algorithm, the thinner parts of the plumes are not captured by the S5P observations, however its near-real-time capabilities 264 renders it an excellent tool for early detection in view of aviation safety. The equivalent maps for the SO<sub>2</sub> load are presented 265 in Figure S1, where it is shown that the extensive plumes reported by both IASI products are associated with loads of less than 266 ~20 D.U. A point to stress here is the undeniable fact that the S5P LH is retrieved in the UV wavelength range, which is 267 sensitive to other atmospheric levels than the IR based LH retrieval based on IASI data, hence different parts of the volcanic 268 cloud are sensed. Although the IASI LH gives a first estimate of the height of the volcanic cloud, this information cannot be 269 used in S5P SO<sub>2</sub> retrievals due to the difference in overpass time and pixel resolution. As the main limitation of the S5P LH 270 product is that it can only be applied to modest to high volcanic eruptions, with SO<sub>2</sub> VCD > 15-20 DU, weak volcanic eruptions, 271 or the weaker parts of cannot SO<sub>2</sub> plumes cannot be retrieved. This point explains the different plume structure shown in Figure 272 S1. 273 The vertical distribution of the Raikoke SO<sub>2</sub> plume can be examined in the integrated SO<sub>2</sub> mass profiles presented in Figure 2. 274 The reported SO<sub>2</sub> load was integrated every 1 km, between 0 and 20 km, on the collocated gridded datasets. In these two 275 eruptive days, we note how the SO<sub>2</sub> mass dispersed is placed with respect to the retrieved layer height among the three datasets. 276 Overall, the location of the peak SO<sub>2</sub> mass is within 2 km between S5P and IASI, however for the case of the IASI AOPP the

amount of ejected SO<sub>2</sub> mass is systematically lower in magnitude, even though it is well placed in height. This is most likely

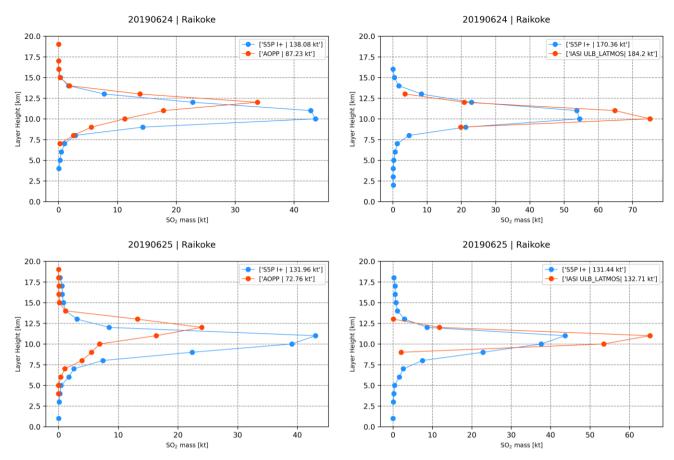
linked to the quality control applied to the IASI AOPP SO<sub>2</sub> results which excludes a number of pixels within the core part of

the plume, due to the poor fit between the measured and modelled spectra.

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**Figure 2.** SO<sub>2</sub> integrated mass (kt) against plume altitude (km) for two example days of the Raikoke 2019 eruptive period, the 24<sup>th</sup> (upper row) and the 25<sup>th</sup> of June (lower row) for the S5PSO<sub>2</sub> LH product in blue and the IASI AOPP in red (left column) and IASI ULB/LATMOS in red (right column). In each set, the respective collocations are shown.

Figure 3 shows the comparisons for the entire Raikoke eruptive period between the S5P SO<sub>2</sub> LH and the IASI/AOPP LH (left) and the IASI ULB/LATMOS LH (right) in histogram mode. For both comparisons, the mean S5P SO<sub>2</sub> LH is reported at  $10.75\pm3.50$  km for the IASI/AOPP and at  $\sim10.20\pm2.80$  km for the IASI ULB/LATMOS collocations IASI/AOPP places the plume at  $\sim11.40\pm2.50$  km and IASI ULB/LATMOS at  $\sim10.00\pm1.0$  km, resulting in an excellent mean difference between sensors of  $\sim\pm0.5\pm3$  km on average.



#### S5P LH v4.0 vs IASI ULB LATMOS Raikoke

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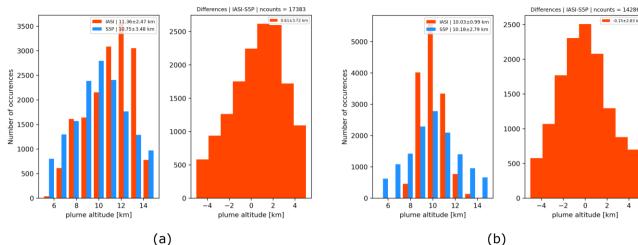


Figure 3. Comparisons between spatiotemporally collocated plume heights for the Raikoke, 2019, eruptive days. (a), left panel, histogram distribution for the S5P LHs (blue) and the IASI/AOPP LHs (orange) and right panel, their absolute differences, (b) as per (a) for the comparisons to the IASI ULB/LATMOS dataset.

#### 4.1.2 Taal, 2020 and La Soufrière, 2021 eruptions

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The Taal volcano in Batangas, Philippines erupted on the afternoon of January 12th, 2020, 43 years after its previous eruption in 1977. Stronger explosions began around 3 pm and spewed an ash column exceeding a kilometre in thickness. By 7:30 pm, volcanic activities intensified as continuous eruptions generated a tall, 10 to 15 kilometres, steam-laden tephra column (Jing et al., 2020). Perttu et al., 2020, analysed infrasound observations to the East of the volcano and estimated a plume height and duration for further ash dispersion modelling, reporting the plume at a mean height of 15 km. The High Spectral Resolution Lidar of the Manila Observatory (http://www.observatory.ph/2020/01/17/taal-volcano-2020-eruption-impact-on-air-qualitypart-i/, last access 13.10.2021) reported a massive ash cloud ingested and transported above the 12 km altitude in the first post eruption hours, a finding further corroborated by the volcanic ash detected by the Advanced Meteorological Imager on board the GEOKOMPSAT-2A platform (Ahn et al., 2021) whose analysis also placed the ash cloud at 12 km. The presence of ash hinders the detection of the SO<sub>2</sub> cloud by both UV-visible and infrared sensors and partially explains the larger spread in reported SO<sub>2</sub> layer heights by TROPOMI and IASI shown in Figure S2. A large disagreement on the altitude of the SO<sub>2</sub> plume is found between datasets in this case, with differences between -3 and -5 km between the observations, also attributable to the ~3h difference in sensing time and its importance when studying the first few hours after a volcanic eruption (see maps in Figure S3).

On the morning of April 9th 2021, the La Soufrière volcano on the Caribbean island of Saint Vincent began erupting, spewing ash at least 7.5 km in the air, for the first time since 1979. The volcano continued to erupt over the next several days, with multiple violent explosions. Ash blanketed Saint Vincent and winds carried ash to Barbados, about 120 miles east. The Smithsonian Institute Global Volcanism Program, <a href="https://volcano.si.edu/volcano.cfm?vn=360150">https://volcano.si.edu/volcano.cfm?vn=360150</a>, last access: 13.10.2021, reported a period of explosive activity and strong pulses of ash emissions at 03:30 on the 10<sup>th</sup> April, whose resulting ash plumes rose to ~10-16 km altitude throughout the day. On the 12<sup>th</sup> of April, at 04:15, another large explosion produced an ash plume that rose to ~13 km altitude. The spread of the SO<sub>2</sub> plume sensed by TROPOMI and both IASI algorithms is shown in Figure S4, where the SO<sub>2</sub> plume reached very high altitudes, above 15 km, when close in location to the volcano and decreasing in height as it progressed to the East over the sea. For both comparisons in Figure S5, the agreement of the collocative datasets is within 1 km, all instruments placing the SO<sub>2</sub> plume at an average height of 14-15 km.

#### 4.1.3 Summary of the comparisons with the IASI/Metop observations

The overall statistics for the comparisons of the  $SO_2$  plume altitude for four eruptions between 2019 and 2021 for S5P and the IASI AOPP comparisons are shown in Table 2 while those of the IASI ULB/LATMOS are given in Table 3. The collocations refer each time to those of each of the two sets. Note that for the Nisinoshima, Japan, eruptive period in July & August 2020, collocations are only available for the IASI ULB/LATMOS datasets. Overall, per eruptive period, the mean plume altitudes are similarly placed by both UV-visible and infrared instruments, with a mean difference of  $\sim 0.20\pm 3.30$  km for the Raikoke, Nishinoshima and La Soufrière and  $\sim -3.60\pm 2.90$  km for the Taal eruption.

Table 2. Overall statistics for the comparison between S5P and IASI AOPP for the eruptive periods.

	Mean S5P LH	Mean IASI AOPP LH	Mean Difference	Collocations no.
Raikoke, 2019	10.75±3.48 km	11.36±2.47 km	0.61±3.72 km	17383
Taal, 2020	10.14±3.5 km	5.64±1.5 km	-4.49±2.82 km	47
La Soufrière, 2021	13.82±2.49 km	13.47±3.41 km	-0.35±3.55 km	25

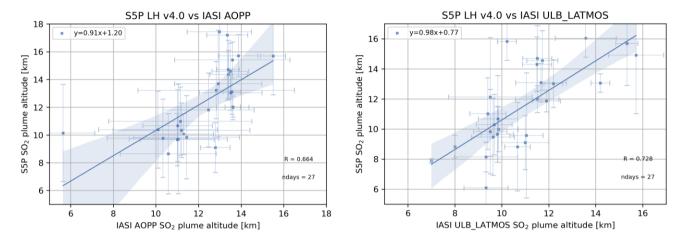
Table 3. Overall statistics for the comparison between S5P and IASI ULB/LATMOS for the eruptive periods.

	Mean S5P LH	Mean IASI ULB/LATMOS LH	Mean Difference	Collocations no.
Raikoke, 2019	10.18±2.79 km	10.03±0.99 km	-0.15±2.83 km	14286
Taal, 2020	12.13±3.95 km	9.51±1.78 km	-2.62±3.0 km	17
Nishinoshima, 2020	7.73±1.97 km	8.0±1.04 km	0.27±2.79 km	11
La Soufrière, 2021	14.94±3.87 km	15.7±1.16 km	0.76±3.69 km	168

The comparisons between S5P and IASI AOPP SO<sub>2</sub> LHs is shown, in Figure 4, left, and IASI ULB/LATMOS on the right, for all eruptive days where the mean plume height reported for each of the 27 days of collocations is shown as a scatter plot. For the IASI AOPP SO<sub>2</sub> LHs, left, the comparison is very promising, with a slope close to 0.91±0.21, y-intercept of 1.20±2.54 km and correlation coefficient of 0.66 for the 27 collocations days for the Raikoke, Taal and La Soufriere eruptions. The outlier

point, where S5P reports a high layer height at ~10 km while IASI AOPP reports low at ~5 km, belongs to the Taal comparison, discussed previously. For ULB/LATMOS comparison, the mean SO<sub>2</sub> LHs, as expected, follow a straight line, with slope of ~0.98±0.19 and y-intercept of ~0.77±2.06 km, and a correlation coefficient of 0.73. Nearly 20 days belong to the Raikoke eruptive period, and the rest to the Taal, Nishinoshima (only for ULB/LATMOS) and La Soufriere eruptions.





**Figure 4.** Scatter plot of the mean daily average reported SO<sub>2</sub> LHs by TROPOMI/S5P and IASI/AOPP (left) and IASI ULB/LATMOS (right) for all available collocated eruptive days. The error bars represent the standard deviation of the mean while the shaded areas represent the 95% confidence interval of the fit.

### 4.2 Comparisons with CALIOP/CALIPSO Volcanic Ash Layer Height

#### 4.2.1 Raikoke, 2019

Within this study, the availability of overpasses of CALIPSO/CALIOP after the eruption of the Raikoke volcano on the 22<sup>nd</sup> of June was examined. Volcanic ash and sulphate aerosols are identified in CALIOP profiles based on collocated TROPOMI pixel values. The closest distances between the CALIOP footprint of the CALIPSO overpass and the locations of the TROPOMI centre pixels are selected respectively, to create collocated datasets, usually with the two orbits being within 1h to one another. To illustrate the reliability of the TROPOMI SO<sub>2</sub> LH product, we discuss in detail a selected case of collocated and concurrent TROPOMI – CALIPSO observations close to the detected SO<sub>2</sub> plume from the Raikoke eruption, on the 25<sup>th</sup> of June 2019.

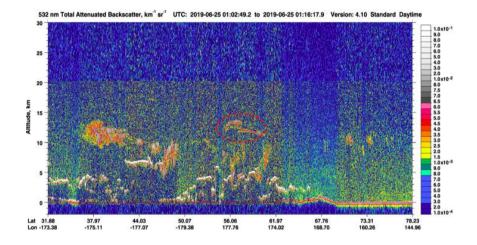
We use the 532 nm Total Attenuated Backscatter (TAB) data version 4.10 from one CALIPSO orbit in order to detect the aerosols and clouds and their heights. The TAB signal strength (Figure 5, top) is color-coded in a manner that the blue background represents molecular and weak aerosol scattering while aerosols typically appear in the shades of red, orange and yellow. The grey scales represent the stronger cloud signals, while the weaker cloud signals, being similar in strength to the

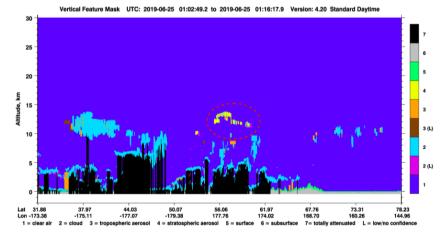
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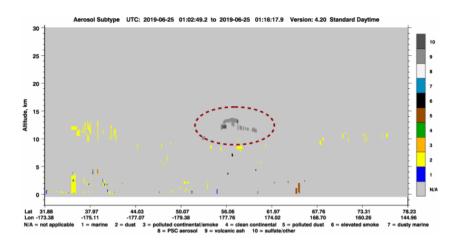
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strong aerosol signals, also appear in the shades of red, orange and yellow. The TAB is sensitive to both water and ice droplets, as well as numerous types of atmospheric particles. The equivalent VFM image (Figure 5, middle) shows the aerosol type, which is retrieved according to the aerosol classification algorithm for all the detected aerosol layers. The VFM describes the vertical and horizontal distribution of both aerosols and clouds. After detection of the aerosol features, they are then classified into types and subtypes. As shown in Figure 5 (bottom), the plume scene is well captured and according to the V4 algorithm, is classified as volcanic ash/ sulphate (Kim et al., 2018). The volcanic plume of the 25<sup>th</sup> of June 2019 is marked with a dashed red circle.

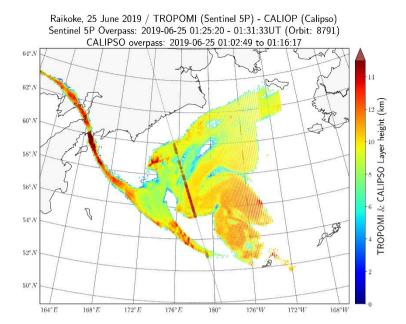




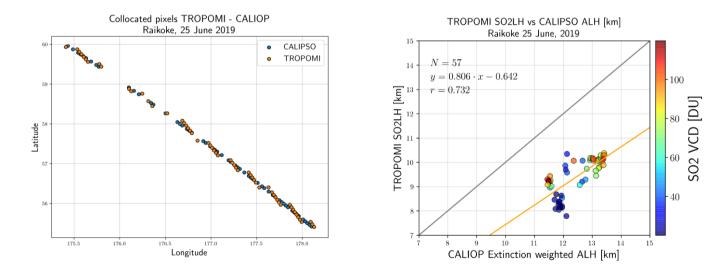


**Figure 5.** CALIOP lidar measurements for the Raikoke eruption along the track indicated in Figure 6 on the 25th of June 2019. (Top) Total attenuated backscatter profile (in sr<sup>-1</sup> km<sup>-1</sup>), (middle) Vertical Feature Mask image showing the location of all layers detected and (bottom) aerosol subtype. The red dashed circles denote the volcanic feature detected from CALIOP. Images courtesy of NASA: <a href="https://www-calipso.larc.nasa.gov/products/">https://www-calipso.larc.nasa.gov/products/</a>

Figure 6 shows the TROPOMI  $SO_2$  layer height pixels retrieved by the FP\_ILM algorithm for  $SO_2$  VCDs greater than or equal to 20 DU, QA > 50 and LHflag < 16, overlaid with the calculated CALIPSO weighted extinction ALH pixel values (coloured circles) which are color-coded according to the range of height values (in km). The CALIOP overpass time of this area is between 01:00 and 01:15 UTC, and the TROPOMI overpass time is between 01:25 and 01:30 UTC, a time difference of mere minutes. The TROPOMI plume shows several layers with  $SO_2$  layer heights ranging from 5-6 km up to 14 km for this day. In the area of the plume observed by both TROPOMI and CALIOP ( $54 - 58^{\circ}N$  &  $176 - 178^{\circ}E$ ), the CALIOP vertical feature mask and aerosol subtype mask identify some volcanic ash at approximately 13 km altitude, and meteorological clouds mixed with tropospheric aerosols (dust, polluted dust and elevated smoke) at lower altitudes. The clouds below the ash plume are shown in blue in Figure 5, middle panel.



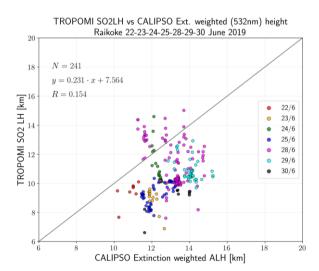
**Figure 6.** TROPOMI SO<sub>2</sub> layer height for the Raikoke volcanic eruption, measured on the 25<sup>th</sup> of June 2019. Only pixels with SO<sub>2</sub> VCDs greater than or equal to 20 D.U. are shown. The black line indicates the CALIPSO ground track and the coloured circles along the line indicate weighted extinction height product values (in km), for the results shown in Figure 5.

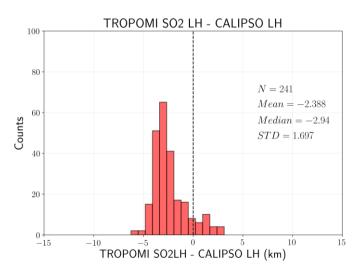


**Figure 7.** Left. The latitude/longitudes of the collocated pixels. Right. Comparison between TROPOMI SO<sub>2</sub> LH and CALIPSO weighted extinction height for the 25<sup>th</sup> of June 2019, colour-coded depending on the TROPOMI SO<sub>2</sub> column amount. The orange line is the regression line of the TROPOMI-CALIPSO observations; the grey line is the 1:1 line.

The spatiotemporal collocation between TROPOMI and CALIOP on that day is near perfect (Figure 7, left) and the spatial agreement between SO<sub>2</sub> LH and CALIOP weighted extinction altitude is satisfactory, considering the differences between the ash and SO<sub>2</sub> plumes, confirming the presence of volcanic plumes. Both instruments yield high altitude values, however TROPOMI retrieves higher altitudes especially for the western part of the plume. A comparison scatterplot of collocated ash-flagged pixels is shown in Figure 7, right. The pixel-by-pixel scatter of the 57 common points shows a high correlation of 0.73, even though the SO<sub>2</sub> plume is placed approximately 2 km lower than the ash plume.

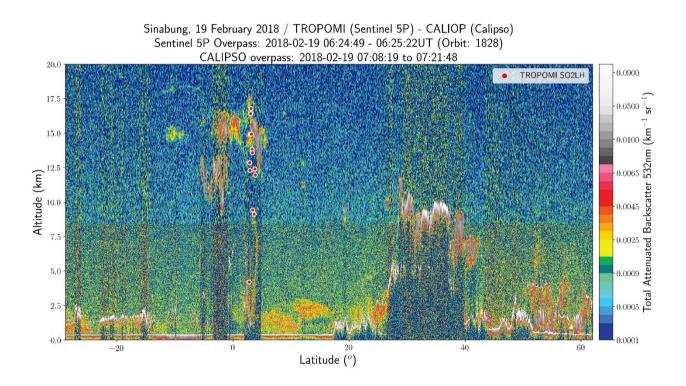
Overall, seven TROPOMI (at 22/6 02:20; 23/6 00:20; 24/6 00:00; 25/6 01:30; 28/6 02:00; 29/6 02:00 and 30/6 01:30) and CALIPSO collocated overpasses (at 22/6 02:30; 23/6 01:30;24/6 00:30; 25/6 01:00; 28/6 03:00; 29/6 03:35 and 30/6 02:40) were identified. A statistical analysis has been performed using all resulting 241 collocated pixels for the 22<sup>nd</sup>, 23rd, 24<sup>th</sup>, 25<sup>th</sup>, 28<sup>th</sup>, 29<sup>th</sup> and 30<sup>th</sup> of June 2019. Figure 8 shows the distribution of TROPOMI SO<sub>2</sub> LH and CALIOP calculated weighted height differences for all days, as a scatter plot on the left and on a histogram representation on the right. The coloured dots in the scatter plot denote each individual eruptive day. The overall agreement is adequate and as expected, with mean and median residual values around ~-2.4 km and ~-3.0 km respectively, and standard deviation of ~1.7 km. The CALIOP ALH<sub>ext</sub> is higher than TROPOMI SO<sub>2</sub> LH in the majority of the cases. This could partially be due to CALIOP underestimating the aerosol layer thickness due to strong attenuation of the lidar signal at the top of the detected aerosol layer (Rajapakshe et al., 2017), whereas the TROPOMI SO<sub>2</sub> LH product does not suffer from such attenuation.





**Figure 8.** (Left) Scatter plot of the TROPOMI SO<sub>2</sub> LH and CALIPSO weighted height for all collocated pixels on the 22<sup>nd</sup>, 23<sup>rd</sup>, 24<sup>th</sup>, 25<sup>th</sup>, 28<sup>th</sup>, 29<sup>th</sup> and 30<sup>th</sup> of June 2019, for the Raikoke eruption. (Right) Histogram distribution of the absolute differences between TROPOMI SO<sub>2</sub> LH and the corresponding CALIPSO weighted extinction height measurements, calculated for the 241 collocated points.

On the 19<sup>th</sup> of February 2018, at 08:53 L.T., the Indonesian stratovolcano Mount Sinabung on Sumatra (2460 m summit elevation) erupted jetting a large ash plume that quickly rose to heights of approximately 15 to 17 km. Although the eruption was spatiotemporally small an excellent overpass was found against the CALIPSO instrument (Figure S6, left). The CALIOP track crossed the main part of the volcanic cloud, across the north-to-south axis. Its overpass time is between 07:08 and 07:22 UTC, a mere 45 min after the TROPOMI overpass time, between 06:24 and 06:26 UTC. The CALIPSO observations showed both the ash cloud, as a layer around 5 km, as well as two vertical ash clouds extending from the volcano up to ~10 km altitude. As shown in Figure 9, where the S5P SO<sub>2</sub> LH retrievals are shown in the red dots, the presence of clouds appear along the CALIPSO path indicated by the stronger attenuated backscatter than the aerosol layer.



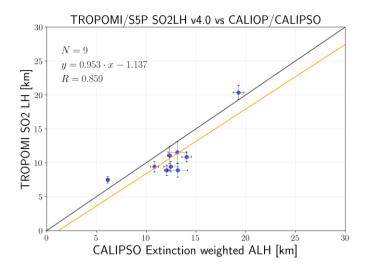
**Figure 9.** Sinabung, 19th of February 2018, 07:15 UTC. The colours show the CALIOP/CALIPSO total attenuated backscatter at 532nm and the white-red dots show the TROPOMI SO<sub>2</sub> LH.

This case of mixing between ash and clouds over a volcanic eruption renders the retrieval of the ash plume altitude by the lidar algorithm very difficult, since it cannot separate clouds from aerosols, especially when the aerosol amount is low. The CALIPSO feature mask (not shown here) hardly identifies any of the Sinabung backscatter signals as aerosol. The main plume, at ~15 km is flagged a cloud feature, while below this feature everything is masked as "totally attenuated", which is not

expected to be the case. Most probably liquid water or ice particles are contaminating the volcanic ash signal, as already discussed in Hedelt et al., 2019. Even though the maximum TROPOMI SO<sub>2</sub> LH agrees with the maximum backscatter height between 2-3° latitude, a large spread of TROPOMI SO<sub>2</sub> LHs are also reported. As discussed also in the work of de Laat et al., 2020, the presence of either a nearly-transparent or a bright cloud may result in the TROPOMI algorithm reporting heights far lower than both the ash and the cloud plumes. For the cases of Nishinoshima 2020 and La Soufrière 2021 eruptions, both provided a satisfactory collocation to the CALIOP orbital path without the difficulties found in the case of Sinabung, 2018, enabling a meaningful comparison to be made. For Nishinoshima, spatial collocations for the 1st of August 2020 are shown in Figure S7 (left), while the scatterplot of height values is shown in the right. The geographical collocations between TROPOMI and CALIOP are not optimal, however the agreement between SO<sub>2</sub> LH and CALIOP weighted extinction altitude is satisfactory, and tends to confirm the presence of volcanic plumes. The CALIPSO observations confirm the presence of volcanic clouds around 5 km, while S5P reports slightly higher loads, at ~7.5 km. For the case of La Soufrière, spatial collocations for the 11st of April 2021 are shown in Figure S8 (left), where the scatterplot of collocations is shown in the right column and the scatter plots in the right column. In this case, both CALIPSO and TROPOMI collocated pixels confirms the presence of a volcanic cloud up to and around ~20 km.

#### 4.2.3 Summary of the comparisons with the CALIPSO/CALIOP observations

The combination of CALIOP and TROPOMI data measurements has permitted the identification of volcanic aerosol layers produced by three individual volcanic eruptions. A summary plot of the comparisons between S5P SO<sub>2</sub> and CALIPSO ash LHs is presented as a scatter plot in Figure 10, showing the mean ash and SO<sub>2</sub> plume height reported for each of the 9 days of collocations. The comparison is very promising, with a slope close to 0.95, y-intercept of ~1 km and correlation coefficient of 0.86 for the 9 collocations days for the Raikoke, Nishinoshima and La Soufrière eruptions. The majority of cases, 7 days, belong to the Raikoke eruptive period, and the remainder 2 days to Nishinoshima and La Soufrière eruptions, respectively. From Table 4 it is worth noting that the standard deviation of the mean heights reported by both instruments are low, typically much less than 1 km. This can most likely be attributed to the tight spatiotemporal collocation criteria that were possible for these comparisons. The behaviour of altitude range differences are also corroborated by the works of Muser et al., 2020; De Leeuw a et al., 2020 and Osborne et al., 2021: These studies highlight that, for coarse mode ash, the aging process is the determining factor of the vertical distribution of aerosols and therefore the determining factor for the altitude at which the particles are transported, apart from meteorology of course.



**Figure 10.** Scatter plot of the mean daily average reported SO<sub>2</sub> LHs by TROPOMI/S5P and CALIOP/CALIPSO for the seven days of the Raikoke eruption and one each for Nishinoshima and La Soufrière eruptions studied.

Table 4. Statistics for the comparison between S5P and CALIPSO for the eruptive days studied.

Eruptive day	Mean CALIPSO LH [km]	Mean S5P Height [km]	Mean Difference [km]	Collocations.
22 June 2019	10.84±0.4	9.40±0.75	-1.43±0.56	8
23 June 2019	12.06±0.28	8.88±0.76	-3.17+0.98	13
24 June 2019	12.33±0.2	11.07±1.24	-1.26±1.40	22
25 June 2019	12.47±0.1	9.41±0.76	-3.05±0.54	57
28 June 2019	13.12±0.92	11.53±1.6	-1.59±2.13	87
29 June 2019	14.06±0.47	10.84±0.7	-3.21±0.99	46
30 June 2019	13.16±1	8.88±1	-4.28±0.56	8
01 August 2020	6.14±0.12	7.48±0.48	1.34±0.46	8
11 April 2021	19.28±0.54	20.35±1.04	1.06±1.44	12

Generally, we note that features identified as volcanic ash by the CALIOP aerosol subtype mask are captured by the TROPOMI algorithm, but the surrounding clouds often affect the retrieval. Formation of high-altitude condensed water or ice in the ash plume may shield part of the underlying SO<sub>2</sub> and ash amounts. The comparison of the TROPOMI SO<sub>2</sub> LH product within this project shows promising capability in detecting plumes of volcanic origin, with some limitations related to existing or subsequent creation of clouds. Furthermore, although ash and SO<sub>2</sub> plumes are often collocated especially at the first hours after eruption, this is not always the case, making direct comparisons challenging.

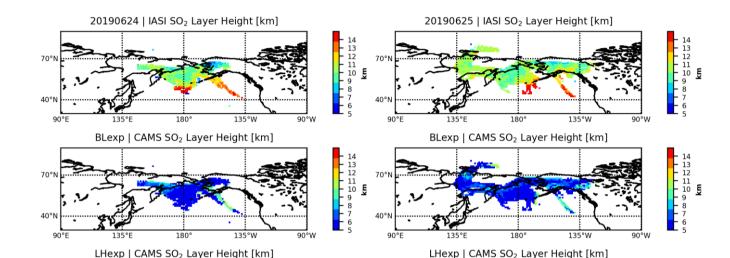
### 4.3 Application of the S5P SO2 LH in NRT data assimilation modelling

70°N

180°

135°W

The Copernicus Atmosphere Monitoring Service (CAMS), operated by the European Centre for Medium-Range Weather Forecasts (ECMWF) on behalf of the European Commission, provides daily SO<sub>2</sub> analyses and 5-day forecasts of volcanic SO<sub>2</sub> in NRT by assimilating total column SO<sub>2</sub> retrievals from TROPOMI and GOME-2 (Inness et al., 2022). As the operational NRT TROPOMI and GOME-2 retrievals do not provide any information about the height of the volcanic plumes, the SO<sub>2</sub> increments are placed in the mid-troposphere, around 550 hPa (~5 km) in the current operational CAMS configuration.



**Figure 11.** Raikoke eruptive day of the 24<sup>th</sup> (left) and 25th (right) of June 2019. Top. The IASI ULB/LATMOS SO<sub>2</sub> layer height in km. Middle. The CAMS BLexp SO<sub>2</sub> layer height (without assimilation). Bottom. The CAMS LHexp SO<sub>2</sub> layer height (with assimilation).

90°E

180°

135°W

The procedure used to assimilate near-real time TROPOMI/S5P and GOME2/Metop SO<sub>2</sub> loads in the operational CAMS NRT data assimilation system was presented, alongside the simultaneous ingestion of the S5P SO<sub>2</sub> LH, Inness et al., 2022. The assimilation was tested for the 2019 Raikoke eruption and was contrasted to the operational CAMS forecasts obtained when assimilating only the TROPOMI SO<sub>2</sub> load. Two example days are shown in this paper to demonstrate how the CAMS assimilation of the S5P SO<sub>2</sub> LH product leads to much improved model output against the non-assimilated IASI layer heights, compared to the original CAMS analysis.

In Figure 11, upper, the IASI ULB/LATMOS SO<sub>2</sub> layer height, gridded onto the CAMS 1x1° spatial resolution and 3h temporal resolution, is shown for the 24<sup>th</sup> (left column) and the 25<sup>th</sup> (right column) of June 2019, 5 days after the initial Raikoke eruption.

In the middle panel, the operational CAMS  $SO_2$  layer height (called BLexp) is presented which is deduced from placing the  $SO_2$  increment in the mid-troposphere, around 550 hPa, clearly in the wrong altitude for the Raikoke eruption which injected a huge amount of  $SO_2$  above the tropopause, well into the stratosphere. In Figure 11, lower panel, it can be seen that a vast improvement to the CAMS forecast is achieved for both days when the S5P  $SO_2$  LH data are used (called LHexp) as the structure of the Raikoke  $SO_2$  plume is much improved and compares well with the independent IASI  $SO_2$  layer heights shown in the upper panel. For the entire eruptive period of Raikoke between June  $22^{nd}$  and June  $29^{th}$ , the CAMS forecast which assimilates the S5P  $SO_2$  LH data improves the bias in the forecast height between CAMS and IASI to  $\sim -1.5\pm2.5$  km, compared to a mean bias of  $\sim -5\pm2$  km for the operational system. We can hence conclude that by assimilating the S5P  $SO_2$  LH data, the vertical location of the Raikoke  $SO_2$  plume in the CAMS system is improved, leading to better subsequent forecasts and making the S5P  $SO_2$  LH product suitable for NRT assimilation and forecasts of a possible strong future volcanic eruption.

#### 5 Conclusions

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- 484 The European Space Agency Sentinel-5p+ Innovation TROPOMI/S5P SO<sub>2</sub> layer height product has been verified against
- 485 IASI/Metop SO<sub>2</sub> layer heights for the eruptive periods of the Raikoke volcano, 22 June to 30 July 2019, the Taal volcano, 13
- 486 January 2020, the Nishinoshima eruptive period during July & August 2020 and the La Soufrière eruptive days of April 10<sup>th</sup>
- 487 to 11th, 2021. Two different algorithms that provide plume altitude from the IASI instruments were examined, the official
- 488 EUMETSAT ACSAF algorithm, ULB/LATMOS, and the University of Oxford, AOPP, algorithm. Furthermore, collocations
- 489 against ash layer height observations by the space-born CALIOP/CALIPSO lidar system were identified and assessed.
- The main findings in the comparisons of the SO<sub>2</sub> volcanic plumes, described in detail above, are:
- For the Raikoke eruptive days: the difference between S5P and IASI/AOPP SO₂ LH datasets is 0.61±3.72 km, with
  - IASI/AOPP SO<sub>2</sub> LH reporting a mean height of ~11.40±2.5 km and S5P reporting ~10.75±3.5 km, in excellent agreement.
- Between S5P and IASI ULB/LATMOS SO<sub>2</sub> LHs a similar mean difference of -0.15±2.83 km is found with both sensors
- reporting on average LHs at ~1010.20±2.80 km and ~10.00±1.0 km respectively.
- For the Taal eruptive day: the  $SO_2$  LHs reported differ substantially with IASI/AOPP reporting heights at  $5.64\pm1.5$  km
- 496 while S5P reports higher columns, at ~10.14±3.5 km km. IASI ULB/LATMOS also reports lower heights, at 9.51±1.78
- 497 km while and S5P places the plume at ~12.13±3.95 km with a mean difference of ~-2.62±3.0 km km.
- For the Nishinoshima eruptive days: both sensors place the plume at the same altitude, with IASI ULB/LATMOS at
- 499  $\sim 8.0\pm 1.04$  km and S5P  $\sim 7.73\pm 1.97$  km and mean difference of  $\sim 0.27\pm 2.79$  km.
- For the La Soufrière eruptive days: all three sensors report high plume altitudes, between 13 and 16 km. For the
- 501 collocations between S5P and IASI/AOPP, the mean SO2 LH was found at 13.82±2.49 km and 13.47±3.41 km
- respectively, with a mean difference of -0.35±3.55 km. For the S5P and IASI ULB/LATMOS collocations, the mean SO2
- 503 LH was found at 14.94±3.87 km and 15.7±1.16 km respectively, and a mean difference of 0.76±3.69 km.

- Scatter plot comparisons of the daily mean volcanic SO<sub>2</sub> plumes reveal common SO<sub>2</sub> LHs patterns for the two sensors, with substantial correlations ~0.66 (0.72), slope ~0.9 (0.98), y-intercept of 1.2 km (0.8 km) for the IASI/AOPP and the IASI ULB/LATMOS respectively. The standard deviation of the mean is relatively high, on average ~3 km, however the mean heights are well within the 2 km accuracy requirement on the S5P SO<sub>2</sub> layer height product.
- With respect to the comparisons between the S5P SO<sub>2</sub> LH and the CALIOP/CALIPSO volcanic ash layer height, we report that:
- 241 excellently spatiotemporally collocated points between CALIOP and TROPOMI were identified for seven Raikoke eruptive days. CALIOP reported a range of mean heights between ~11 and 14 km, while TROPOMI had a far narrower range between ~9 and 11.5 km. Overall, the mean difference in heights was found to be -2.4±1.7 km (-3.0 km median) for the seven eruptive Raikoke days.
- The comparisons for the Nishinoshima and La Soufrière eruptions showed good agreement with plumes reported at ~7 km (~19.5 km) respectively for the two eruptions, and a height difference between S5P and CALIPSO being within ~1.0 km.
- The mean daily height comparative plot of the comparisons between S5P SO<sub>2</sub> LHs and CALIOP/CALIPSO weighted ALH, as expected, follow a straight line, with slope of 0.95 and y-intercept of ~1.0 km and excellent correlation coefficient at 0.86.
- Finally, the CAMS assimilation of the NRT S5P SO<sub>2</sub> LH led to much improved model fields against the non-assimilated IASI plume heights for the Raikoke eruptive period, with a mean difference of 1.5±2 km against the independent IASI/Metop observations, and improved the geographical spread of the Raikoke volcanic plume following the main eruptive day.
- Data availability. The near-real-time S5P SO<sub>2</sub> LH products are operationally generated by DLR in the framework of the
- Innovative Products for Analyses of Atmospheric Composition, INPULS, project, and are available upon request from
- Pascal Hedelt (Pascal.Hedelt@dlr.de). The IASI/MetOp ULB/LATMOS open source SO<sub>2</sub> layer height dataset is publicly
- available from <a href="https://iasi.aeris-data.fr/so2\_iasi\_a\_arch/">https://iasi.aeris-data.fr/so2\_iasi\_a\_arch/</a> (last access: 20.07.2021). The IASI/MetOp AOPP SO2 products are
- available on request from Isabelle Taylor (<u>isabelle.taylor@physics.ox.ac.uk</u>). The CALIPSO data were obtained from the
- online archive of the NASA Langley Research Center Atmospheric Science Data Center (ASDC,
- 530 <a href="https://asdc.larc.nasa.gov/project/CALIPSO">https://asdc.larc.nasa.gov/project/CALIPSO</a>). The Copernicus Atmosphere Monitoring Service is operated by the European
- 531 Centre for Medium-Range Weather Forecasts on behalf of the European Commission as part of the Copernicus program
- (http://copernicus.eu) and CAMS data are freely available from atmosphere.copernicus.eu/data. The SO<sub>2</sub> analysis
- experiments used in this paper are available from <a href="https://apps.ecmwf.int/research-experiments/expver/">https://apps.ecmwf.int/research-experiments/expver/</a> with the DOIs:
- 534 10.21957/cygt-xf49 (BLexp), 10.21957/qfam-7474 (LHexp).

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