Volcanic SO₂ Layer Height by TROPOMI/S5P; validation evaluation against IASI/MetOp and CALIOP/CALIPSO observations.

3 Maria-Elissavet Koukouli¹, Konstantinos Michailidis¹, Pascal Hedelt², Isabelle A. Taylor³, Antje Inness⁴,

4 Lieven Clarisse⁵, Dimitris Balis¹, Dmitry Efremenko², Diego Loyola², Roy G. Grainger³ and Christian

5 Retscher⁶

⁶ ¹Laboratory of Atmospheric Physics, Aristotle University of Thessaloniki, Greece.

⁷ ² German Aerospace Center (DLR), Remote Sensing Technology Institute, Oberpfaffenhofen, Germany.

⁸ ³ COMET, Sub-department of Atmospheric, Oceanic and Planetary Physics, University of Oxford, UK.

⁹ ⁴ European Centre for Medium-Range Weather Forecasts (ECMWF), Reading, UK.

⁵ Université Libre de Bruxelles (ULB), Spectroscopy, Quantum Chemistry and Atmospheric Remote Sensing (SQUARES),

11 Brussels, Belgium.

⁶ European Space Agency, ESRIN, Frascati, Rome.

13 Corresponding author: Hedelt, Pascal Andre, Pascal.Hedelt@dlr.de

14 Abstract. Volcanic eruptions eject large amounts of ash and trace gases such as sulphur dioxide (SO₂) into the atmosphere. A 15 significant difficulty in mitigating the impact of volcanic SO_2 clouds on air traffic safety is that these gas emissions can be 16 rapidly transported over long distances. The use of space-borne instruments enables the global monitoring of volcanic SO₂ 17 emissions in an economical and risk-free manner. Within the European Space Agency (ESA) Sentinel-5p+ Innovation project, 18 the S5P SO₂ Layer Height (S5P+I: SO₂-2LH) activities led to the improvements on the retrieval algorithm and generation of 19 the corresponding near-real-time S5P SO₂ LH products. These are currently operationally provided, in near-real-time, by the 20 German Aerospace Center (DLR) in the framework of the Innovative Products for Analyses of Atmospheric Composition, 21 INPULS, project. The main aim of this paper is to present its extensive verification, accomplished within the S5P+I: SO₂-2LH 22 project, over major recent volcanic eruptions, against collocated space-born measurements from the IASI/Metop and 23 CALIOP/CALIPSO instruments, as well as assess its impact on the forecasts provided by the Copernicus Atmospheric 24 Monitoring Service, CAMS. The mean difference between S5P and IASI observations for the Raikoke 2019, the Nishinoshima 25 2020 and the La Soufrière-St Vincent, 2021 eruptive periods is $\sim 0.5\pm3$ km, while for the Taal 2020 eruption, a larger difference 26 was found, between 3 ± 3 and 4 ± 3 km. The comparison of the daily mean SO₂ layer heights further demonstrates the capabilities 27 of this near-real-time product, with slopes between 0.8 and 1 and correlation coefficients ranging between 0.6 and 0.8. 28 Comparisons between the S5P+I: SO₂ LH and the CALIOP/CALIPSO ash plume revealed an expected bias height are also

satisfactory at -2.5 \pm 2 km, considering that the injected SO₂ and ash plumes' locations do not always coincide over an eruption. Furthermore, the CAMS assimilation of the S5P+I:-SO₂ LH product led to much improved model output against the nonassimilated IASI layer heights, with a mean difference of 1.5 \pm 2 km¹ compared to the original CAMS analysis, and improved the geographical spread of the Raikoke volcanic plume following the eruptive days.

33 1 Introduction

34 Ten years have passed since the ash cloud from the 2010 Icelandic Evjafjallajokull volcano caused an unprecedented disruption 35 to air traffic across Europe, affecting the flight schedules of approximately 10 million passengers and resulting in nearly 2 36 billion US dollars in lost airline revenue (Bolić and Sivčev, 2011).- This eruption led to increased awareness of the threat of 37 volcanic ash to air traffic in Europe, and numerous advances have taken place since then with regard to research, regulation, 38 and cooperation (Reichardt et al., 2017). Apart from the ash cloud, the volcanic sulphur dioxide (SO₂) plume is also hazardous 39 to aircraft, as it forms the corrosive sulphuric acid and can further deposit sulphates in the engines (Prata, 2009). Since the ash 40 particles will deposit faster than SO₂ after the first post-eruption hours, the two clouds typically separate in elevation, making 41 the reliable detection, dispersal and forecast of both clouds during significant explosive eruptions on a global basis equally 42 important (ICAO, 20129).

The disruption that the Eyjafjallajökull & Grímsvötn 2010 and 2011 eruptions had on airborne traffic has led the International Civil Aviation Organization, ICAO, to change the previous zero tolerance policy on volcanic ash to establishing ash concentration thresholds over Europe. Zehner et al., 2012, have translated these thresholds into specific requirements for improved volcanic ash monitoring and forecasting services. These include the early detection of volcanic emissions and the near real-time, NRT, global monitoring of volcanic plumes, with open access and delivery of data (Brenot et al., 2014; 2021), but also the quantitative retrievals of volcanic ash as well as SO₂ concentration and altitude from satellite instruments, and their validation.

While qQuantifying the SO₂ load emitted during explosive eruptions provides insight into volcanic processes, assists in volcanic hazard mitigation and permits the climatic impact quantification of major eruptions (Carn et al., 2016). However, it is the ,-the-accurate retrieval of the SO₂ plume injection height that drives the majority of current scientific advancements in the field. Numerous eruptions have already been used as demonstrational case studies using a variety of space-borne observations and modelling techniques to infer the layer height such as eruptions by Mt Etna, Italy, (Boichu et al., 2015), Nabro, Erithrea, (Clarisse et al., 2014), Jebel at Tair, Yemen (Eckhardt et al., 2008), Eyjafjallajökull and Grimsvötn, Iceland (Carboni et al., 2016), Calbuco, Chile (Pardini et al., 2018), to name but a few.

- Within the European Space Agency (ESA) Sentinel-5p+ Innovation SO₂ Layer Height project (S5P+I: SO2LH) activities have
 led to the generation of a near-real-time SO₂ Layer Height product based on the Sentinel-5P/TROPOMI observations, hereafter
- 59 referred to as S5P SO₂LH. In this work, we present the direct validation evaluation of the retrieved SO₂ layer heights for four

60 recent major eruptions against independent satellite information as well as its indirect verification via its assimilation into the

61 Copernicus Atmospheric Monitoring Service, CAMS, forecast system.

62 2 S5P SO₂ Layer Height

63 The retrieval of the SO₂ layer height based on Sentinel-5P/TROPOMI measurements is performed using the already established 64 "Full-Physics Inverse Learning Machine" algorithm (hereafter referred to as FP ILM). The FP-ILM algorithm for the retrieval 65 of SSP+LSO₂ LHIt is based on Hedelt et al., 2019 and is an improvement of the FP ILM algorithm developed by Efremenko et al., 2017 for the retrieval of the SO₂ LH based on the Global Ozone Monitoring Experiment, GOME-2, instrument data 66 67 using a Principal Component Regression (PCR) technique. In general, the FP_ILM algorithm creates a mapping between the 68 spectral radiance and atmospheric parameters using machine learning methods. The main advantage of the FP ILM algorithm 69 over classical direct fitting approaches is that the time-consuming training phase involving complex Radiative Transfer (RT) 70 modelling and Neural Network (NN) training is performed offline; the final trained inversion operator itself is robust and 71 computationally simple and therefore extremely fast and can be applied in near-real-time (NRT) processing environments, as 72 discussed in detail below. The FP_ILM algorithm was originally developed for the retrieval of cloud properties (Loyola et al., 73 2006) and has also been used for the retrieval of ozone profile shapes (Xu, et al., 2017) as well as the retrieval of surface 74 properties accounting for bidirectional reflectance distribution function (BRDF) effects (Lovola, et al., 2020.) Recently, Fedkin 75 et al., 2020 have applied the FP ILM algorithm to retrieve the SO₂ LH based on Ozone Monitoring Instrument, OMI/Aura, 76 observations.

77 The S5P SO₂ LH algorithm was further optimized in the framework of the ESA S5P+I: SO2LH project. The S5P+I project has 78 been initiated to develop novel scientific and operational applications, products and retrieval methods that exploit the potential 79 of the Sentinel-5Pp mission's capabilities beyond its primary objective and has been was -kicked-off at the end of 80 June/beginning of July 2019. It will run until and successfully finished at- the end of 2021 and addresses seven themes related 81 to atmospheric composition and ocean colour. The SO2LH theme is dedicated to the generation of an SO₂ layer height product 82 for Sentinel-5p considering data production timeliness requirements. More details about the project can be found on the ESA 83 S5P+I website (https://eo4society.esa.int/projects/sentinel-5p+innovation/, last access: 14.10.2021) as well as on the dedicated 84 SO₂ LH project website (https://atmos.eoc.dlr.de/so₂-lh/, last access: 14.10.2021), where all algorithm and product related 85 documents are publicly available.

86 2.1 The optimised FP_ILM algorithm description

The FP_ILM <u>S5P_SO2</u> LH algorithm combines a Principal Component analysis (PCA) and a Neural Network (NN) approach to retrieve the SO2 LH based on Sentinel-5P/TROPOMI backscattered UV Earthshine measurements in the wavelength range between 311 and 335 nm. The PCA is used to reduce the dimensionality of the high-resolution spectral measurements and to 90 extract the information related to the LH, whereas the NN is used to directly retrieve the LH based on the extracted principal
 91 components (PCs) and other input parameters.

92 In a first step, the FP ILM algorithm is trained using synthetic spectral UV data generated with the Linearized Discrete 93 Ordinate Radiative Transfer (LIDORT) model including inelastic rotational Raman scattering (RRS) implementation (Spurr 94 et al., 2008). About 500,000 reflectance spectra on a smart parameter grid (Loyola et al., 2016) in the wavelength range 311 -95 335 nm have been generated, which are then convolved with the TROPOMI Instrument Spectral Response Function (ISRF). 96 This simulated dataset is split into two datasets: 90% is used for training the PCA and NN and the remaining 10% are set aside 97 and are used as an independent test dataset to determine the accuracy of the FP ILM training. A PCA is then applied to the 98 training dataset to extract the first N=10 principle components to reduce the dimensionality of the spectral dataset. By thus 99 characterizing the set of simulated measurements with fewer parameters, a simpler, more stable and computationally efficient 100 inversion scheme can be realized.

In the second step, the PCs of each training sample along with the total ozone vertical column density (O_3 VCD), viewing angles, surface pressure and albedo are used as input to train a feedforward artificial NN, with the corresponding SO₂ LH of each training sample as the output layer. The NN consists of two hidden layers consisting of 40 nodes in the first and 10 nodes in the second layer. A hyperbolic tangent layer activation function (tanh) is used and a regularization is applied to prevent the NN from overfitting and to reduce the generalization error. Put together, the trained PCA operator and the trained NN form the FP_ILM inversion operator, which is then applied to real spectral measurements in the operational phase.

- In the operational phase, the trained PC operator is applied to TROPOMI spectral measurements which feature enhanced SO_2 levels, such as after a volcanic eruption, to extract the first 10 PCs and thus reduce the spectral dimension. With this information (along with the other measured input parameters) the trained NN inverse function is then applied to retrieve the SO_2 LH. Note that neither the SO_2 SCD nor the SO_2 VCD are input to the NN since they depend on the SO_2 LH both directly and indirectly
- 111 via the Air Mass Factor calculation and the temperature dependency of the absorption cross-section at the SO₂ layer altitude.
- 112 In the operational TROPOMI/S5P ground segment, Level 2 (L2) data is generated within 3 hours after sensing. Once this L2
- 113 data is available and a volcanic eruption occurs, the SO_2 LH algorithm is able to retrieve the corresponding layer height within

a few milliseconds per ground pixel. Even for a huge volcanic eruption with an SO_2 cloud spanning about 3% of the entire orbit (i.e. about 50,000 pixels), the whole SO_2 LH retrieval is performed within 3 minutes. Note that the largest volcanic eruptions detected by satellites so far (e.g., Raikoke, Kasatochi, Sarychev, Nabro) lead to typically 1-3% of ground pixels to be processed for a limited number of orbits. The FP_ILM algorithm is several orders of magnitude faster than any of the direct

- 118 fitting approaches for UV layer height retrievals developed so far.
- 119 Closed-loop retrievals with the independent test dataset show that the SO₂ LH can be retrieved with an accuracy of less than 2
- km for SO₂ VCD > $20\frac{\text{DU-Dobson Units}, \text{D.U., }}{\text{(see Hedelt et al., 2019; SO₂ LH Algorithm Theoretical Baseline Document, }}$
- 121 ATBD. Hedelt et al., 2021 and SO₂ LH Validation Report, VR, Koukouli et al., 2021). Note here that in the presence of
- volcanic ash, which can be initially collocated with the SO₂ cloud in the young volcanic plume, the retrieved SO₂ LH can be

123 underestimated by several kilometres since the FP_ILM inversion operators were trained without taking ash absorption into 124 account (see an extensive discussion in S5P SO₂ LH ATBD, Hedelt et al. 2021).

From the analysis presented in the <u>S5P</u>SO₂ LH VR (Koukouli et al., 2021) it was deduced that the optimal accuracy was

126 achieved when filtering the reported LH values using a QA value (indicating the quality of the retrieval) greater than 0.5, a LH

- 127 flag (indicating warnings and errors during the retrieval) less than 16 and an associated SO₂ load greater than 20 D.U. For the
- 128 comparison against the independent datasets, the SO₂ LH were then gridded onto a $0.1 \times 0.1^{\circ}$ spatial plane at 6h intervals per
- 129 eruptive day.

130 **3** Comparative datasets

131 Two different IASI/Metop SO₂ layer heights (LHs) are used for the validation evaluation of the S5P SO₂ LHs: the EUMETSAT 132 ACSAF Brescia v201510 product (Clarisse et al., 2012; 2014; Astoreca et al., 2018), here after IASI ULB/LATMOS, as well 133 as the University of Oxford product (Carboni et al., 2012; 2016), hereafter IASI AOPP. The two IASI approaches vary to such 134 an extent, as is discussed below, that we can assume that they provide two semi-independent datasets available for the 135 validation of the S5P SO₂ LHs. In addition, the CALIOP/CALIPSO space-born lidar observations of the ash plume (Winker 136 et al., 2012; Prata et al., 2017) will be compared to the S5P SO₂ LHs for the case of the Raikoke stratospheric eruption. 137 Furthermore, the S5P SO₂LH product was assimilated into a Copernicus Atmosphere Monitoring Service, CAMS, experiment 138 (Inness et al., 20242), and the assimilated fields were compared to the independent IASI ULB/LATMOS observations, 139 indirectly validating the S5P SO₂ LH v4.0 product.

140 3.1 IASI ULB/LATMOS SO₂ Layer Height dataset

141 The IASI/MetOp SO₂ ACSAF column data are fully described in Clarisse et al., 2012, where a algorithm for the sounding of 142 volcanic SO₂ plume above \sim 5 km altitude was presented and applied to IASI. The algorithm is able to view a wide variety of 143 total column ranges (from 0.5 to 5000 D.U.), exhibits a low theoretical uncertainty (3-5%) and near real time applicability 144 and was thence demonstrated on the eruptions of Sarychev in Russia, Kasatochi in Alaska, Grimsvötn in Iceland, Puyehue-145 Cordon Caulle in Chile and Nabro in Eritrea. Furthermore, an expansion of the algorithm to also provide SO_2 LHs for the 146 Nabro eruption using forward trajectories and CALIOP coincident measurements is described in Clarisse et al., 2014. The 147 IASI ULB/LATMOS dataset includes five SO₂ column data at assumed layer heights of 7, 10, 13, 16 and 25 km, as well as a 148 retrieved best estimate for the SO_2 LH. It is important to note that the SO_2 LHs provided by this algorithm are quantized every 149 0.5 km, which renders simple scatter-type comparisons not as straightforward. This dataset is publicly available from 150 https://iasi.aeris-data.fr/

151 The observations by all Metop IASI instruments were treated as one, gridded onto a 0.1x0.1 grid at 6h intervals or each day.

152 The choice of the temporal field was applied since the S5P and Metop orbits differ on average by 3-4h and this temporal range

153 was found to be the optimal trade-off between resulting in a successful collocative dataset while also ensuring the comparisons 154 view the same parts of the SO₂ plumes. Recall also that IASI, an infrared sounder, also performs observations 12h later, during 155 night-time. For high enough latitudes, the time zones collapse onto another, so in the case of high latitude volcanoes, such as 156 Raikoke, a collocation closer in time can be achieved. For this dataset, the reported SO₂LHs were restricted to altitudes less 157 than 25 km where a successful SO₂ column retrieval was performed.

158 3.2 IASI AOPP SO₂ Layer Height dataset

159 The University of Oxford employs an optimal estimation scheme (Carboni et al. 2012; 2016) to estimate the SO₂ column 160 amount, the height of the SO₂ profile and the surface radiating temperature from IASI/MetOp-A, /MetOp-B & /MetOp-C 161 measurements. The Oxford retrieval has two steps. Firstly, a linear retrieval developed by Walker et al. (2011; 2012) is applied. 162 In the retrieval scheme a detection is considered 'positive' if the output of the linear retrieval is greater than a defined positive 163 threshold (0.49 effective DU, following Walker et al. 2012). The detection limits are variable-dependent on the height of the 164 plume and the atmospheric conditions. For a standard atmosphere (with no thermal contrast) the detection limits are estimated 165 to be: 17 DU-D.U. for a SO₂ plume between 0-2 km, 3 DU-D.U. between 2-4 km, and 1.3 DU-D.U. between 4-6 km (Walker 166 et al., 2011). The detection scheme can miss part of an SO₂ plume under certain circumstances, such as low-altitude plumes, 167 conditions of negative thermal contrast (i.e. where the surface is colder than the atmosphere), and where clouds are present 168 above the SO₂ plume, masking the signal from the underlying atmosphere. The IASI SO₂ retrieval is not affected by underlying 169 clouds. Secondly, an iterative retrieval is performed for the pixels that provide positive detection results. The scheme iteratively 170 fits the forward model (simulations) with the measurements, through the error covariance matrix, to seek a minimum of a cost 171 function. The forward model is based on RTTOV (Radiative Transfer for TOVS) which is a very fast radiative transfer model 172 for passive visible, infrared and microwave downward-viewing satellite radiometers, spectrometers and interferometers 173 (Saunders et al., 1999). The error covariance matrix used is the 'global error covariance matrix' described by Carboni et al., 174 2012, defined to represent the effects of atmospheric variability not represented in the forward model (FM), as well as 175 instrument noise. A comprehensive error budget for every pixel is included in the retrieval.

- 176 A quality control is usually applied was applied to the IASI AOPP dataset to include valid data points where : these are values 177 where the minimization routine convergesd within 10 iterations, the retrieved SO_2 amount is was positive, the retrieved plume 178 pressure wasis-between 0 and 1100 mb and the cost function wasis-less than 10. Additional filters were applied in this work to 179 include only pixels with SO₂ LH \leq 25 km, SO₂ LH error \leq SO₂ layer height and the retrieved altitude \neq apriori altitude at 400 180 mbars. The latter would indicate that the retrieval reverted back to the a priori for lack of signal in the measurement, hence 181 would not provide any novel information to the retrieval. After the additional filters were applied, the IASI/AOPP dataset was 182 also gridded onto a 0.1x0.1 grid at 6h intervals per eruptive day.
- 183 A comprehensive error budget for every pixel is included in the retrieval. The IASI SO₂ retrieval is not affected by underlying elouds.
- 184

185 The IASI/AOPP dataset was also gridded onto a 0.1x0.1 grid at 6h intervals per eruptive day. An additional filter was applied

186 if the SO₂ LH \leq 25km, the SO₂ LH error \leq SO₂ layer height and the retrieved altitude \neq apriori altitude at 400 mbars,

- 187 which would indicate that the retrieval reverted back to the a priori for lack of signal in the measurement.
- 188 3.3 CALIOP/CALIPSO Volcanic Layer Height dataset

189 CALIPSO (Cloud-Aerosol and Lidar Infrared Pathfinder Observations), is a joint NASA/CNES (Centre National d' Études 190 Spatiales) satellite and part of the A-Train constellation of satellites. It is designed to study aerosols and clouds and aims to 191 provide profiling information at a global scale for improving our knowledge and understanding of the role of the aerosols in 192 the atmospheric processes. The main instrument, CALIOP (*Cloud-Aerosol Lidar with Orthogonal Polarization*), is a dual-193 wavelength (532 and 1064 nm) elastic backscatter lidar with the capability of polarization-sensitive observations at 532 nm 194 (Winker et al., 2010). The high-resolution profiling ability coupled with accurate depolarization measurements make 195 CALIPSO an indispensable tool to monitor specific aerosol species and clouds (Liu et al., 2008). The optical properties 196 retrieval is based on the successful cooperation of three modules whose main mission objective is to produce the CALIPSO 197 Level 2 data. CALIPSO is the first polarization lidar to provide global atmospheric measurements and is able to identify 198 volcanic eruption plumes related to the SO₂ Layer Height identification and retrieval (e.g. Fedkin et al., 2021; Hedelt et al., 199 2019; Koukouli et al., 2014; Tournigand et al., 2020). The CALIPSO observations close to the volcanic source can be employed 200 in SO₂ LH validation studies, since ash (and/or aerosols) are initially collocated with the SO₂ cloud, before the gas and ash 201 plumes separate. Note that the footprint of CALIOP measurements is only 100 m, hence the global coverage is very low and 202 detection of a volcanic ash plume is rare.

203 The CALIOP optical properties retrieval scheme is based on the successful cooperation of three major algorithm steps whose 204 main mission objective is to produce the CALIPSO Level 2 (L2) data (Vaughan et al., 2009, Omar et al., 2009). Finally, 205 CALIPSO data consist of three basic types of information: (a) layer products, (b) profile products and (c) the vertical feature 206 mask (VFM). Layer products provide layer-integrated or layer-averaged properties of detected aerosol and cloud layers. Profile 207 products provide retrieved extinction and backscatter profiles within these layers. Because information on the spatial locations 208 of cloud and aerosol layers is of fundamental importance, the VFM was developed to provide information on cloud and aerosol 209 locations and types. Layer properties include layer top and base altitude, as well as physical properties of the feature such as 210 the Integrated Volume Depolarization Ratio, some of which are described below. Layer top and base altitudes are reported in 211 units of kilometres above mean sea level. Between -0.5 km and ~8.2 km, the vertical resolution of the lidar is 30-meters. From 212 \sim 8.2 km to \sim 20.2 km, the vertical resolution of the lidar is 60-meters. Above \sim 20.2 km, the vertical resolution is 180-meters. 213 The on-board averaging scheme provides the highest resolution in the lower troposphere where the spatial variability of clouds 214 and aerosols is the greatest and coarser resolutions higher in the atmosphere The CALIPSO data products used in this validation 215 study are summarized in Table 1.

Dovomotor	Version	Lovel	Resolution	Due to Averaging
Parameter	version	Level	Horizontal	Vertical (<8_km)
Total_Attenuated_Backscatter_532	v.4.10	1	1/3 km	30 m
Extinction_Coefficient_532	v.3.41, v.4.20	2	5 km	60 m
Aerosol Layer_Top/Base_Altitude	v.3.41, v.4.20	2	5 km	30 m
Feature_Clarssification_Flags	v.3.41, v.4.20	2	5 km	60 m

217 Table 1. CALIOP/CALIPSO parameters used in this study.

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219 The CALIPSO version 4 (V4) product determines the locations of layers within the atmosphere, discriminates aerosols from 220 clouds and categorizes aerosol layers as one of eleven subtypes, seven in the troposphere and four in the stratosphere (Omar 221 et al., 2009; Kim et al., 2018) providing also the optical depth of each detected aerosol layer (Winker et al., 2012). The most 222 fundamental update in V4 is that aerosol layers are now classified as either tropospheric aerosol or of certain stratospheric 223 aerosol feature types. The tropospheric aerosol types include the following sub-types: clean marine, dust, polluted, 224 continental/smoke, clean continental, polluted dust, elevated smoke and dusty marine. Stratospheric aerosol subtypes have 225 been introduced for ash, sulfate/other, smoke and polar stratospheric aerosol. Note that below the tropopause, ash and sulphate 226 plumes are given by the tropospheric aerosol subtypes: volcanic ash is often classified as dust or polluted dust and volcanic 227 sulphate is often classified as elevated smoke. As a result, contiguous aerosol features crossing the tropopause will have aerosol 228 subtypes which switch from tropospheric to stratospheric subtypes, depending on the relationship between the attenuated 229 backscatter centroid altitude of the layer identified by the feature finder and the tropopause altitude. Refer to the Data Quality 230 Summary Document for further details (Vaughan et al., 2020).

231 **3.3.1 CALIOP weighted extinction height**

232 An important indicator for vertical profiles is the weighted extinction height, a parameter that gives in a single number an 233 indication of the altitude of the detected aerosol plume distribution. This parameter is considered ideal for comparisons with 234 aerosol layer height from passive satellite sensors (e.g. GOME-2, IASI, TROPOMI) and the future Sentinel missions, since 235 these retrievals are very sensitive to the location of the aerosol mass maximum within the detected layers. For the validation 236 of the TROPOMI SO₂ LH, we used CALIOP level 2 version 4.10 aerosol extinction profiles at 5 km spatial resolution, retrieved 237 from CALIOP observations of attenuated backscatter at 532 nm (Winker et al., 2010). Quality flags are also included in the 238 level-2 CALIOP products and are used to avoid cloud contamination of aerosol retrievals, which means that cloud features are 239 identified and removed, as described in Winker et al. (2013) and Campbell et al. (2012).

240 To facilitate quantitative comparison of aerosol altitude, we used a mean extinction height calculated from the CALIOP

241 extinction profile, following Koffi et al. (2012):

$$ALH_{ext} = \frac{\sum_{i=1}^{n} \beta_{ext,i} \cdot Z_{i}}{\sum_{i=1}^{n} \beta_{ext,i}} \qquad ALH_{ext} = \frac{\sum_{i=1}^{n} \beta_{ext,i} \cdot Z_{i}}{\sum_{i=1}^{n} \beta_{ext,i}}$$
Equation 1

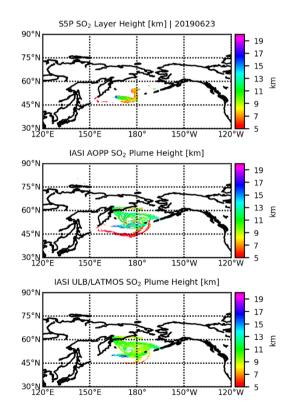
where Z_i is the height from sea level in the ith lidar vertical level i (km), and $\beta_{ext,i}$ is the aerosol extinction coefficient (km⁻¹) at the same level. In the CALIOP level 2 products, aerosol extinction is only retrieved for the layers in which aerosols are detected, depending on the instrument's signal-to-noise ratio (SNR). In the case when aerosols are present over clouds, ALH_{ext} will be situated in the centre of the aerosol layer, with any undetected aerosol layers below the cloud layer not included in the calculations due to attenuation of the signal beyond the cloud layer. According to this validation method, the CALIOP 532nm channel observations are chosen for analysis as the conclusions from the analysis of the results do not change when the 1064 nm channel observations are used instead (Nanda et al., 2020).

250 4 Results

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251 4.1 Comparisons with the IASI/Metop SO₂ Layer Heights

252 4.1.1 Raikoke, 2019



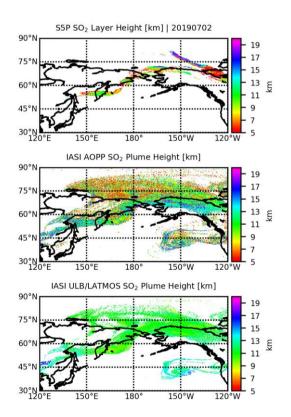


Figure 1. SO₂ Plume Height for two example days of the Raikoke 2019 eruptive period, the 23rd of June on the left and the 2nd of July on the right. The S5P+I: SO₂ LH at the top, IASI AOPP LH in the middle and IASI ULB/LATMOS LH on the bottom panels, <u>including</u> both ascending and descending orbits.

256

257 On June 22nd, 2019, a vast plume of ash and volcanic gases with more than 1000 DU-D.U. of SO₂ was emitted during the 258 eruption of the Raikoke volcano, Kuril Islands (McKee et al., 2021). This eruption could be detected even two months after 259 the end of eruptive event, which rendered it an important case study for testing different satellite observations retrieval 260 methods; the original FP ILM methodology applied to TROPOMI observations (Hedelt et al., 2019), a probabilistic 261 enhancement method using the Cross-track Infrared Sounder (CrIS) on the Joint Polar Satellite System (JPSS) series of 262 satellites (Hyman and Pavolonis, 2020), a synergistic analysis of different satellite observations and dispersion modelling 263 (Kloss et al., 2021) and the recent application of the FP ILM algorithm to OMI/Aura observations (Fedkin et al., 2021.) This 264 eruption was also used in numerical atmospheric modelling in simulating the dispersion of the Raikoke SO₂ cloud in the UK 265 Met Office Numerical Atmospheric-dispersion Modelling Environment (de Leeuw et al., 2021) and the Copernicus 266 Atmosphere Monitoring Service (Inness et al., 20242).

In Figure 1, two example days of the 2019 Raikoke eruption, the 23^{rd} of June (left) and the 2^{nd} of July (right) are shown for the 267 268 S5P SO₂ LH (upper), the IASI AOPP LH (middle) and the IASI ULB/LATMOS LH (bottom) observations. These 269 demonstrational figures do not represent collocative datasets, but rather show the spatial extent of the plumes reported by each 270 dataset, after filtering and gridding are performed. Due to the restriction in SO₂ load necessary (> 20 D.U.) in the S5P SO₂ 271 LH algorithm, the thinner parts of the plumes are not captured by the S5P observations, however its near-real-time capabilities 272 renders it an excellent tool for early detection in view of aviation safety. The equivalent maps for the SO_2 load are presented 273 in Figure S1, where it is shown that the extensive plumes reported by both IASI products are associated with loads of less than 274 ~ 20 D.U. A point to stress here is the undeniable fact that the S5P LH is retrieved in the UV wavelength range, which is 275 sensitive to other atmospheric levels than the IR based LH retrieval based on IASI data, hence different parts of the volcanic 276 cloud are sensed. Although the IASI LH gives a first estimate of the height of the volcanic cloud, this information cannot be 277 used in S5P SO₂ retrievals due to the difference in overpass time and pixel resolution. As the main limitation of the S5P LH 278 product is that it can only be applied to modest to high volcanic eruptions, with $SO_2 VCD > 15-20 DU$, weak volcanic eruptions, 279 or the weaker parts of cannot SO₂ plumes cannot be retrieved. This point explains the different plume structure shown in Figure

280 <u>S1.</u>

The vertical distribution of the Raikoke SO₂ plume can be examined in the integrated SO₂ mass profiles presented in Figure 2. The reported SO₂ load was integrated every 1_km, between 0 and 20_km, on the collocated gridded datasets. In these two eruptive days, we note how the SO₂ mass dispersed is placed with respect to the retrieved layer height among the three datasets. Overall, the location of the peak SO₂ mass is within 2_km between S5P and IASI, however for the case of the IASI AOPP the amount of ejected SO₂ mass is systematically lower in magnitude, even though it is well placed in height. This is most likely linked to the quality control applied to the IASI AOPP SO₂ results which excludes a number of pixels within the core part of

the plume, due to the poor fit between the measured and modelled spectra.

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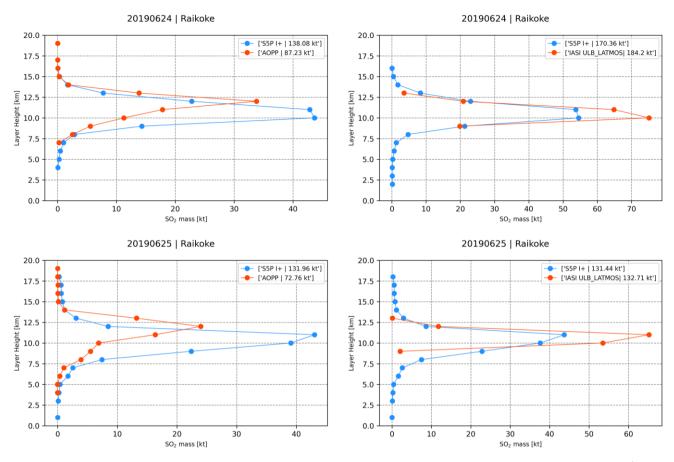


Figure 2. SO₂ integrated mass (kt) against plume altitude (km) for two example days of the Raikoke 2019 eruptive period, the 24th (upper row) and the 25th of June (lower row) for the S5P+I:-SO₂ LH product in blue and the IASI AOPP in red (left column) and IASI ULB/LATMOS in red (right column). In each set, the respective collocations are shown.

Figure 3 shows the comparisons for the entire Raikoke eruptive period between the S5P SO₂ LH and the IASI/AOPP LPH (left) and the IASI ULB/LATMOS LPH (right) in histogram mode. For both comparisons, the mean S5P SO₂ LH is reported at 10.75 ± 3.50 km for the IASI/AOPP and at ~ 10.20 ± 2.80 km for the IASI ULB/LATMOS collocations, with IASI/AOPP placesing the plume at ~ 11.400 ± 2.50 4km and IASI ULB/LATMOS at ~ $10.004\pm1.02.5$ km, resulting in an excellent mean difference between sensors of ~ $\pm0.5\pm3$ km on average.

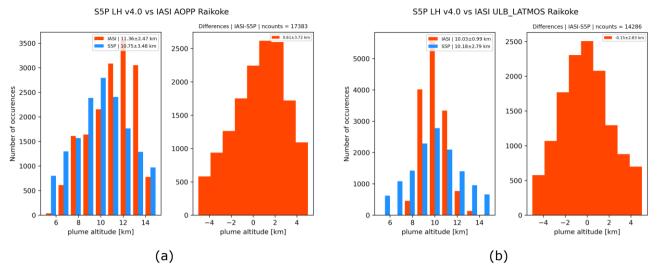


Figure 3. Comparisons between spatiotemporally collocated plume heights for the Raikoke, 2019, eruptive days. (a), left panel, histogram distribution for the S5P LHs (blue) and the IASI/AOPP LHs (orange) and right panel, their absolute differences. (b) as per (a) for the comparisons to the IASI ULB/LATMOS dataset.

301 4.1.2 Taal, 2020 and La Soufrière, 2021 eruptions

The Taal volcano in Batangas, Philippines erupted on the afternoon of January 12th, 2020, 43 years after its previous eruption in 1977. Stronger explosions began around 3 pm and spewed an ash column exceeding a kilometre highin thickness. By 7:30 pm, volcanic activities intensified as continuous eruptions generated a tall, 10 to 15 kilometres, steam-laden tephra column (Jing et al., 2020).

306 Perttu et al., 2020, analysed infrasound observations to the East of the volcano and estimated a plume height and duration for 307 further ash dispersion modelling, reporting the plume at a mean height of 15 km. The High Spectral Resolution Lidar of the 308 Manila Observatory (http://www.observatory.ph/2020/01/17/taal-volcano-2020-eruption-impact-on-air-quality-part-i/, last 309 access 13.10.2021) reported a massive ash cloud ingested and transported above the 12 km altitude in the first post eruption 310 hours, a finding further corroborated by the volcanic ash detected by the Advanced Meteorological Imager on board the 311 GEOKOMPSAT-2A platform (Ahn et al., 2021) whose analysis also placed the ash cloud at 12 km. The presence of ash hinders the detection of the SO₂ cloud by both UV-visible and infrared sensors and partially explains the larger spread in 312 313 reported SO₂ layer heights by TROPOMI and IASI shown in Figure S2. A large disagreement on the location-altitude of the 314 SO_2 plume is found between datasets in this case, with differences between -3 and -5_km between the observations, also 315 attributable to the ~3h difference in sensing time and its importance when studying the first few hours after a volcanic eruption 316 (see maps in Figure S3).

On the morning of April 9th 2021, the La Soufrière volcano on the Caribbean island of Saint Vincent began erupting, spewing ash at least 7.5 km in the air, for the first time since 1979. The volcano continued to erupt over the next several days, with 319 multiple violent explosions. Ash blanketed Saint Vincent and winds carried ash to Barbados, about 120 miles east. The 320 Smithsonian Institute Global Volcanism Program, https://volcano.si.edu/volcano.cfm?vn=360150, last access: 13.10.2021, 321 reported a period of explosive activity and strong pulses of ash emissions at 03:30 on the 10th April, whose resulting ash plumes 322 rose to $\sim 10-16$ km altitude throughout the day. On the 12th of April, at 04:15, another large explosion produced an ash plume 323 that rose to ~13 km altitude. The spread of the SO₂ plume sensed by TROPOMI and both IASI algorithms is shown in Figure 324 S4, where the SO₂ plume reached very high altitudes, above 15 km, when close in location to the volcano and decreasing in 325 height as it progressed to the East over the sea. For both comparisons in Figure S5, the agreement of the collocative datasets 326 is within 1 km, all instruments placing the SO₂ plume at an average height of 14-15 km.

327 4.1.3 Summary of the comparisons with the IASI/Metop observations

The overall statistics for the comparisons of the SO₂ plume altitude for four eruptions between 2019 and 2021 for S5P and the IASI AOPP comparisons are shown in Table 2 while those of the IASI ULB/LATMOS are given in Table 3. The collocations refer each time to those of each of the two sets. Note that for the Nisinoshima, Japan, eruptive period in July & August 2020, collocations are only available for the IASI ULB/LATMOS datasets. Overall, per eruptive period, the mean plume altitudes are similarly placed by both UV-visible and infrared instruments, with a mean difference of ~0.20±3.30 km for the Raikoke, Nishinoshima and La Soufrière and ~ -3.60±2.90 km for the Taal eruption.

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335 with a mean difference within 1km, albeit a high standard deviation, between 2.5 and 4km.

Table 2. Overall statistics for the comparison between S5P and IASI AOPP for the eruptive periods.

	Mean S5P LH	Mean IASI AOPP LH	Mean Difference	Collocations no.
Raikoke, 2019	10.75±3.48 <mark>km_km</mark>	11.36±2.47 km_km	0.61±3.72 km_km	17383
Taal, 2020	10.14±3.5 <mark>km_km</mark>	5.64±1.5 km km	-4.49±2.82 km<u>km</u>	47
La SoufrièreLa				
Soufriere, 2021	13.82±2.49 km<u>km</u>	13.47±3.41 <u>km_km</u>	-0.35±3.55 km<u>km</u>	25

337

Table 3. Overall statistics for the comparison between S5P and IASI ULB/LATMOS for the eruptive periods.

	Mean S5P LH	Mean IASI ULB/LATMOS LH	Mean Difference	Collocations no.
Raikoke, 2019	10.18±2.79 <mark>km_km</mark>	10.03±0.99 km<u>km</u>	-0.15±2.83 km_km	14286
Taal, 2020	12.13±3.95 <mark>km_km</mark>	9.51±1.78 <u>km km</u>	-2.62±3.0 <mark>km_km</mark>	17
Nishinoshima, 2020	7.73±1.97 <mark>km_km</mark>	8.0±1.04 <mark>km_km</mark>	0.27±2.79 <mark>km_km</mark>	11
LaSoufrièreLaSoufrière, 2021	14.94±3.87 km<u>km</u>	15.7±1.16 <mark>km<u>km</u></mark>	0.76±3.69 km<u>km</u>	168

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340 The comparisons between S5P and IASI AOPP SO₂ LHs is shown, in Figure 4, left, and IASI ULB/LATMOS on the right, for 341 all eruptive days where the mean plume height reported for each of the 27 days of collocations is shown as a scatter plot. For 342 the IASI AOPP SO₂ LHs, left, the comparison is very promising, with a slope close to 0.91 ± 0.21 , y-intercept of 1.20 ± 2.54 km 343 km and correlation coefficient of 0.66 for the 27 collocations days for the Raikoke, Taal and La Soufriere eruptions. The outlier 344 point, where S5P reports a high layer height at ~10km km while IASI AOPP reports low at ~5km km, belongs to the Taal 345 comparison, discussed previously. For ULB/LATMOS comparison, the mean SO₂ LHs, as expected, follow quite closely a straight line, with slope of $\sim 0.98\pm0.194$ and y-intercept of $\sim 0.77\pm2.068$ km km, and a satisfactory correlation coefficient of 346 347 0.73. Nearly 20 days belong to the Raikoke eruptive period, and the rest to the Taal, Nishinoshima (only for ULB/LATMOS) 348 and La Soufriere eruptions.

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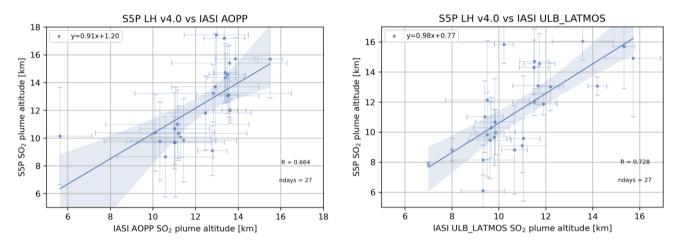


Figure 4. Scatter plot of the mean daily average reported SO₂ LHs by TROPOMI/S5P and IASI/AOPP (left) and IASI ULB/LATMOS
 (right) for all available collocated eruptive days. The standard error bars represent the standard deviation of the mean while the shaded areas
 represent the 95% confidence interval of the fit.

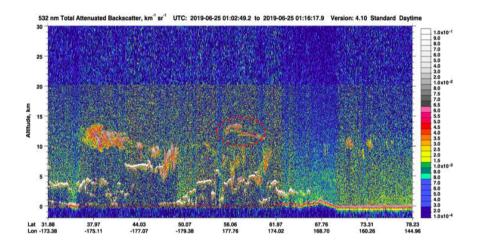
353 4.2 Comparisons with CALIOP/CALIPSO Volcanic Ash Layer Height

354 4.2.1 Raikoke, 2019

Within this study, the availability of overpasses of CALIPSO/CALIOP after the eruption of the Raikoke volcano on the 22nd of June was examined. Volcanic ash and sulphate aerosols are identified in CALIOP profiles based on collocated TROPOMI pixel values. The closest distances between the CALIOP footprint of the CALIPSO overpass and the locations of the TROPOMI centre pixels are selected respectively, to create collocated datasets, usually with the two orbits being within 1h to one another. To illustrate the reliability of the TROPOMI SO₂ LH product, we discuss in detail a selected case of collocated and concurrent TROPOMI – CALIPSO observations close to the detected SO₂ plume from the Raikoke eruption, on the 25th r^{ef} of June 2019. 363 We use the 532 nm Total Attenuated Backscatter (TAB) data version 4.10 from one CALIPSO orbit in order to detect the 364 aerosols and clouds and their heights. The TAB signal strength (Figure 5, top) is color-coded in a manner that the blue 365 background represents molecular and weak aerosol scattering while aerosols typically-appear in the shades of red, orange and 366 yellow. The grey scales represent the stronger cloud signals, while the weaker cloud signals, being similar in strength to the 367 strong aerosol signals, also appear in the shades of red, orange and yellow. The TAB is sensitive to both water and ice droplets, 368 as well as numerous types of atmospheric particles. The equivalent VFM image (Figure 5, middle) shows the aerosol type, 369 which is retrieved according to the aerosol classification algorithm for all the detected aerosol layers. The VFM describes the 370 vertical and horizontal distribution of both aerosols and clouds. After detection of the aerosol features, they are then classified 371 into types and subtypes. As shown in Figure 5 (bottom), the plume scene is well captured and according to the V4 algorithm, is classified as volcanic ash/ sulphate (Kim et al., 2018). The volcanic plume of the 25th of June 2019 is marked with a dashed 372 373 red circle.

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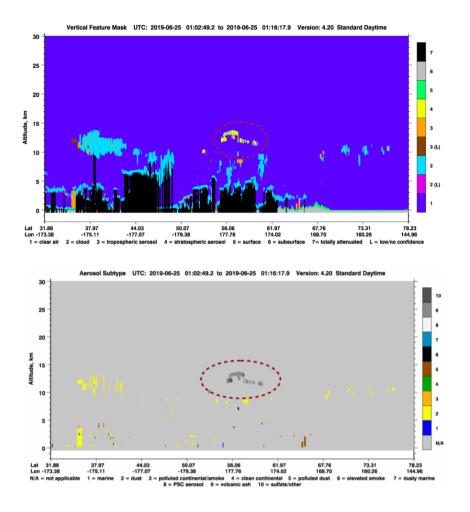
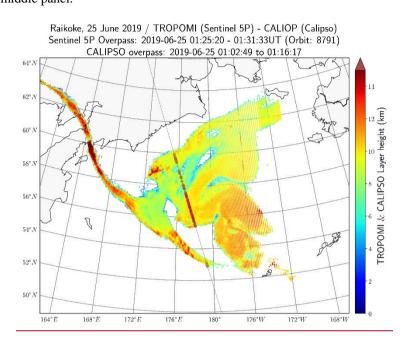
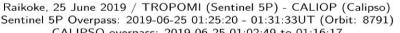


Figure 5. <u>CALIOP lidar measurements for the Raikoke eruption along the track indicated in Figure 6 on the 25th of June</u>
 2019. (Top) Total attenuated backscatter profile (in sr⁻¹ km⁻¹), (middle) Vertical Feature Mask image showing the location of
 all layers detected and (bottom) aerosol subtype. The red dashed circles denote the volcanic feature detected from CALIOP.
 Images courtesy of NASA: https://www-calipso.larc.nasa.gov/products/

(Top) CALIOP total attenuated backscatter profile for the Raikoke eruption on the 25th of June 2019, (middle) Vertical feature
 mask image showing the location of all layers detected and (bottom) aerosol subtype. The red dashed circles denote the
 volcanic feature detected from CALIOP. (images from https://www-calipso.larc.nasa.gov/products/)

Figure 6 shows the TROPOMI SO₂ layer height pixels retrieved by the FP_ILM algorithm for SO₂ VCDs greater than or equal to 20 DU, QA > 50 and LHflag < 16, overlaid with the calculated CALIPSO weighted extinction ALH pixel values (coloured circles) which are color-coded according to the range of height values (in km). The CALIOP overpass time of this area is between 01:00 and 01:15 UTC, and the TROPOMI overpass time is between 01:25 and 01:30 UTC, a time difference of mere minutes. The TROPOMI plume shows several layers with SO₂ layer heights ranging from 5-6 km up to 14 km for this day. In the area of the plume observed by both TROPOMI and CALIOP ($54 - 58^{\circ}N \& 176 - 178^{\circ}E$), the CALIOP vertical feature mask and aerosol subtype mask identify some volcanic ash at approximately 13 km altitude, and meteorological clouds mixed with tropospheric aerosols (dust, polluted dust and elevated smoke) at lower altitudes. The clouds below the ash plume are shown in blue in Figure 5, middle panel.





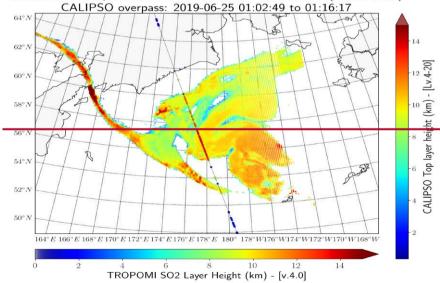
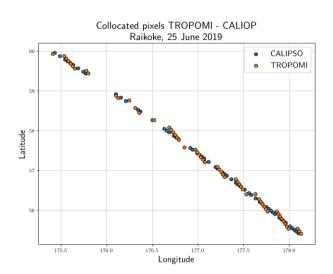
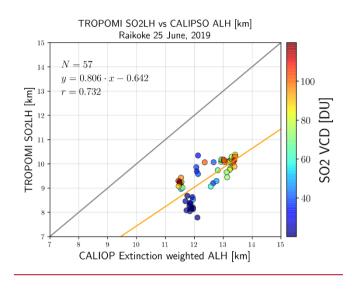


Figure 6. TROPOMI SO₂ layer height for the Raikoke volcanic eruption, measured on the 25^{th} of June 2019. Only pixels with SO₂ VCDs greater than or equal to 20 <u>DU-D.U.</u> are shown. The black line indicates the CALIPSO ground track and the coloured circles along the line indicate weighted extinction height product values (in km), for the results shown in Figure 5.





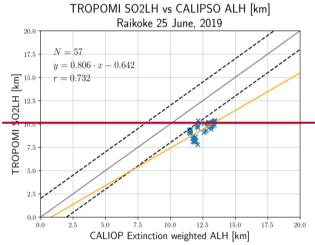


Figure 7. Left. The latitude/longitudes of the collocated pixels. Right. Comparison between TROPOMI SO₂ LH and CALIPSO
 weighted extinction height for the 25th of June 2019, colour-coded depending on the TROPOMI SO₂ column amount. The
 orange line is the regression line of the TROPOMI-CALIPSO observations; the grey line is the 1:1 line.

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The spatiotemporal collocation between TROPOMI and CALIOP on that day is near perfect (Figure 7, left) and the spatial agreement between SO₂ LH and CALIOP weighted extinction altitude is satisfactory, <u>considering the differences between the</u>

- 400 <u>ash and SO₂ plumes</u>, confirming the presence of volcanic plumes. Both instruments yield high altitude values, however 401 TROPOMI retrieves higher altitudes especially for the western part of the plume. A comparison scatterplot of collocated ash-402 flagged pixels is shown in Figure 7, right. The pixel-by-pixel scatter of the 57 common points shows a high correlation of 403 0.73, even though the SO₂ plume is placed approximately 2km km lower tha<u>nt</u> the ash plume.
- 404

405 Overall, seven TROPOMI (at 22/6 02:20; 23/6 00:20; 24/6 00:00; 25/6 01:30; 28/6 02:00; 29/6 02:00 and 30/6 01:30) and 406 CALIPSO collocated overpasses (at 22/6 02:30: 23/6 01:30:24/6 00:30: 25/6 01:00: 28/6 03:00: 29/6 03:35 and 30/6 02:40) 407 were identified. A statistical analysis has been performed using all resulting 241 collocated pixels for the 22nd, 23rd, 24th, 25th, 408 28th, 29th and 30th of June 2019. Figure 8 shows the distribution of TROPOMI SO₂ LH and CALIOP calculated weighted height 409 differences for all days of collocation, as a scatter plot on the left and on a histogram representation on the right. The coloured 410 dots in the scatter plot denote each individual eruptive day. The overall agreement is adequate and as expected, quite 411 satisfactory with with mean and median residual values around ~-2.4km km and ~-3.0km km respectively, and standard 412 deviation of ~1.7km km. The CALIOP ALH_{ext} is higher than TROPOMI SO₂ LH SO₂LH-in the majority of the cases. This 413 could partially be due to CALIOP underestimating the aerosol layer thickness due to strong attenuation of the lidar signal at 414 the top of the detected aerosol layer (Rajapakshe et al., 2017), whereas the TROPOMI SO₂ LH SO₂LH-product does not suffer

415 <u>from such attenuation.</u>

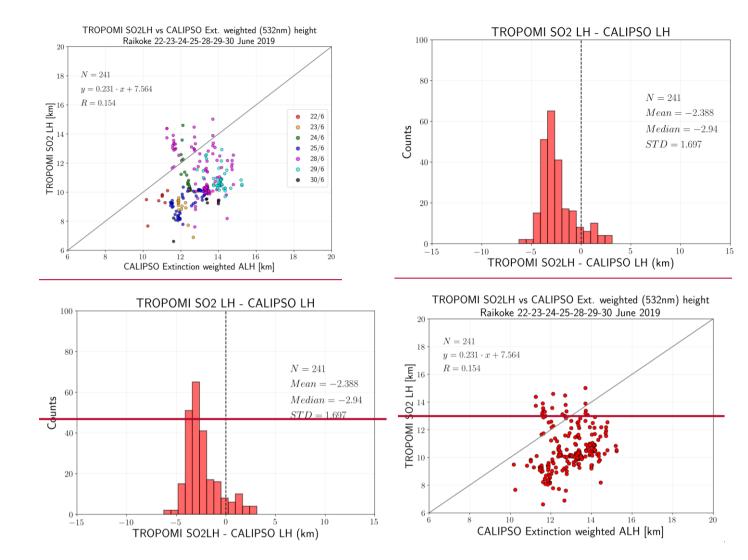


Figure 8. (Left) Scatter plot of the TROPOMI SO₂ LH and CALIPSO weighted height for all collocated pixels on the 22nd, 23rd, 24th, 25th,
28th, 29th and 30th of June 2019, for the Raikoke eruption. (Right) Histogram distribution of the absolute differences between TROPOMI
SO₂ LH and the corresponding CALIPSO weighted extinction height measurements, calculated for the 241 collocated points.

419 4.2.2 Sinabung, 2018, Nishinoshima, 2020 and La Soufrière, 2021 eruptions

420 On the 19th of February 2018, at 08:53 L.T., the Indonesian stratovolcano Mount Sinabung on Sumatra (2460 m summit 421 elevation) erupted jetting a large ash plume that quickly rose to a-heights of approximately 15 to 17km km. Although the 422 eruption was spatiotemporally small an excellent overpass was found against the CALIPSO instrument (Figure S6, left). The 423 CALIOP track crossed the main part of the volcanic cloud, across the north-to-south axis. Its overpass time is between 07:08 424 and 07:22 UTC, a mere 45 min after the the-TROPOMI overpass time, between 06:24 and 06:26 UTC. The CALIPSO

- 425 observations showed both the ash cloud, as a layer around 5 km, as well as two vertical ash clouds extending from the volcano
- 426 up to ~ 10 km altitude. As shown in Figure 9, where the S5P SO₂ LH retrievals are shown in the red dots, the presence of clouds
- 427 appear along the CALIPSO path indicated by the stronger attenuated backscatter than the aerosol layer.

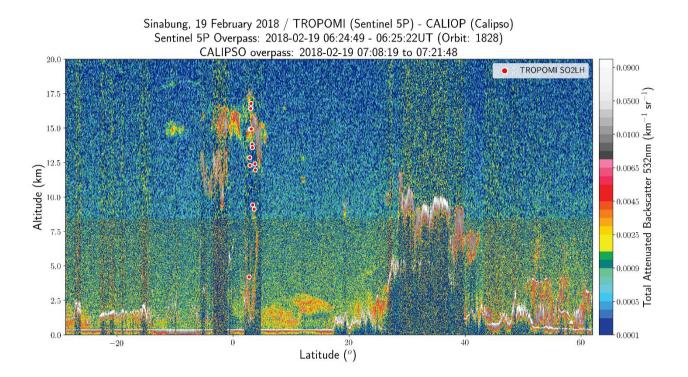


Figure 9. Sinabung, 19th of February 2018, 07:15 UTC. The colours show the CALIOP/CALIPSO total attenuated backscatter
 at 532nm and the white-red dots show the TROPOMI SO₂ LH.

430 This case of mixing between ash and clouds over a volcanic eruption renders the retrieval of the ash plume altitude by the lidar 431 algorithm very difficult, since it cannot separate clouds from aerosols, especially when the aerosol amount is low. The 432 CALIPSO feature mask (not shown here) hardly identifies any of the Sinabung backscatter signals as aerosol. The main plume, 433 at ~15km km is flagged a cloud feature, while below this feature everything is masked as "totally attenuated", which is not 434 expected to be the case. Most probably liquid water or ice particles are contaminating the volcanic ash signal, as already 435 discussed in Hedelt et al., 2019. Even though the maximum TROPOMI SO₂ LH agrees with the maximum backscatter height 436 between 2-3° latitude, a large spread of TROPOMI SO₂ LHs are also reported.- As discussed also in the work of de Laat et al., 437 2020, the presence of either a nearly-transparent or a bright cloud may result in the TROPOMI algorithm reporting heights far 438 lower than both the ash and the cloud plumes. For the cases of Nishinoshima 2020 and La Soufrière 2021 eruptions, both 439 provided a satisfactory collocation to the CALIOP orbital path without the difficulties found in the case of Sinabung, 2018,

440 enabling a meaningful comparison to be made. For Nishinoshima, spatial collocations for the 1st of August 2020 are shown in 441 Figure S7 (left), while the scatterplot of height values is shown in the right. The geographical collocations between TROPOMI 442 and CALIOP are not optimal, however the agreement between SO₂ LH and CALIOP weighted extinction altitude is 443 satisfactory, and tends to confirm the presence of volcanic plumes. The CALIPSO observations confirm the presence of 444 volcanic clouds around 5 km, while S5P reports slightly higher loads, at ~7.5km km. For the case of La Soufrière, spatial 445 collocations for the 11st of April 2021 are shown in Figure S8 (left), where the scatterplot of collocations is shown in the right 446 column and the scatter plots in the right column. In this case, both CALIPSO and TROPOMI collocated pixels confirms the 447 presence of a volcanic cloud up to and around ~20km km.

448 **4.2.3** Summary of the comparisons with the CALIPSO/CALIOP observations

449 The combination of CALIOP and TROPOMI data measurements has permitted the identification of volcanic aerosol lavers 450 produced by three individual volcanic eruptions. A summary plot of the comparisons between S5P SO₂ and CALIPSO ash 451 LHs is presented as a scatter plot in Figure 10, showing the mean ash and SO₂ plume height reported for each of the 9 days of 452 collocations. The comparison is very promising, with a slope close to 0.95, y-intercept of ~1 km and correlation coefficient of 453 0.86 for the 9 collocations days for the Raikoke, Nishinoshima and La Soufrière eruptions. The majority of cases, 7 days, 454 belong nearly to the Raikoke eruptive period, and the remainder 2 days to Nishinoshima and La Soufrière eruptions, 455 respectively. From Table 4 it is worth noting that the standard deviation of the mean heights reported by both instruments are 456 low, typically much less than 1km km. This can most likely be attributed to the tight spatiotemporal collocation criteria that 457 were possible for these comparisons. The behaviour of altitude range differences are also corroborated by the works of Muser 458 et al., 2020; De Leeuw a et al., 2020 and Osborne et al., 2021:- These studies highlight that, for coarse mode ash, the aging 459 process is the determining factor of the vertical distribution of aerosols and therefore the determining factor for the altitude at 460 which the particles are transported, apart from meteorology of course.

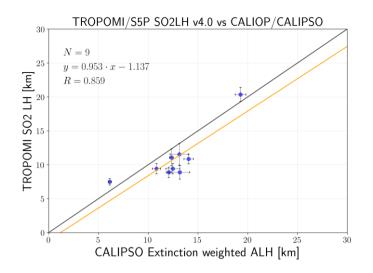


Figure 10. Scatter plot of the mean daily average reported SO₂ LHs by TROPOMI/S5P and CALIOP/CALIPSO for the seven
 days of the Raikoke eruption and one each for Nishinoshima and La Soufrière eruptions studied.

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Table 4. Statistics for the comparison between S5P and CALIPSO for the eruptive days studied.

Eruptive day	Mean CALIPSO LH [km]	Mean S5P Height [km]	Mean Difference [km]	Collocations.
22 June 2019	10.84±0.4	9.40±0.75	-1.43±0.56	8
23 June 2019	12.06±0.28	8.88±0.76	-3.17+0.98	13
24 June 2019	12.33±0.2	11.07±1.24	-1.26±1.40	22
25 June 2019	12.47±0.1	9.41±0.76	-3.05±0.54	57
28 June 2019	13.12±0.92	11.53±1.6	-1.59±2.13	87
29 June 2019	14.06±0.47	10.84±0.7	-3.21±0.99	46
30 June 2019	13.16±1	8.88±1	-4.28±0.56	8
01 August 2020	6.14±0.12	7.48±0.48	1.34±0.46	8
11 April 2021	19.28±0.54	20.35±1.04	1.06±1.44	12

Generally, we note that features identified as volcanic ash by the CALIOP aerosol subtype mask are captured by the TROPOMI algorithm, but the surrounding clouds often affect the retrieval. Formation of high--altitude condensed water or ice in the ash plume may shield part of the underlying SO_2 and ash amounts. The comparison of the TROPOMI SO_2 LH product within this project shows promising capability in detecting plumes of volcanic origin, with some limitations related to existing or subsequent creation of clouds. Furthermore, although ash and SO_2 plumes are often collocated especially at the first hours after eruptions, this is not always the case, making direct comparisons challenging.

471 4.3 Application of the S5P SO2 LH in NRT data assimilation modelling

The Copernicus Atmosphere Monitoring Service (CAMS), operated by the European Centre for Medium-Range Weather Forecasts (ECMWF) on behalf of the European Commission, provides daily SO₂ analyses and 5-day forecasts of volcanic SO₂ in NRT by assimilating total column SO₂ retrievals from TROPOMI and GOME-2 (Inness et al., 20212022). As the operational NRT TROPOMI and GOME-2 retrievals do not provide any information about the height of the volcanic plumes, the SO₂ increments are placed in the mid-troposphere, around 550 hPa (~5 km) in the current operational CAMS configuration.

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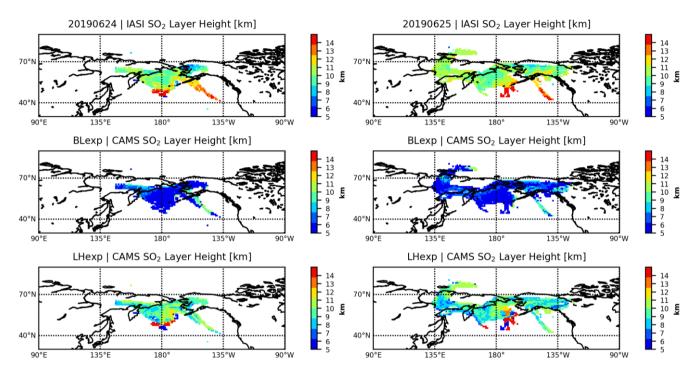


Figure 11. Raikoke eruptive day of the 24th (left) and 25th (right) of June 2019. Top. The IASI ULB/LATMOS SO₂ layer height in km.
Middle. The CAMS BLexp SO₂ layer height (without assimilation). Bottom. The CAMS LHexp SO₂ layer height (with assimilation-).

480 In the recent paper by Inness et al., 2021, the procedure used to assimilate near-real time TROPOMI/S5P and 481 GOME2/Metop SO₂ loads in the operational CAMS NRT data assimilation system was presented, alongside the simultaneous 482 ingestion of the S5P SO₂LH, is discussed in Inness et al., 2022this work. The assimilation of the S5P SO₂LH data was based 483 on a previous version of the dataset, v3.1, and not the final one, v4.0, presented in this work. The assimilation was tested for 484 the 2019 Raikoke eruption and was contrasted to the operational CAMS forecasts obtained when assimilating only the 485 TROPOMI SO₂ load. Two example days are shown in this paper to demonstrate how the CAMS assimilation of the S5P+1: 486 SO₂ LH product leads to much improved model output against the non-assimilated IASI laver heights, compared to the original 487 CAMS analysis.

488 -In Figure 11, upper, the IASI ULB/LATMOS SO₂ layer height, gridded onto the CAMS 1x1° spatial resolution and 3h 489 temporal resolution, is shown for the 24th (left column) and the 25th (right column) of June 2019, 5 days after the initial Raikoke 490 eruption. In the middle panel, the operational CAMS SO₂ layer height (called BLexp) is presented which is deduced from 491 placing the SO₂ increment in the mid-troposphere, around 550 hPa, clearly in the wrong altitude for the Raikoke eruption 492 which injected a huge amount of SO₂ above the tropopause, well into the stratosphere. In Figure 11, lower panel, it can be seen 493 that a vast improvement to the CAMS forecast is achieved for both days when the S5P SO₂ LH data are used (called LHexp) 494 as the structure of the Raikoke SO₂ plume is much improved and compares well with the independent IASI SO₂ layer heights 495 shown in the upper panel. For the entire eruptive period of Raikoke between June 22^{nd} and June 29^{th} , the CAMS forecast which 496 assimilates the S5P SO₂ LH data improves the bias in the forecast height between CAMS and IASI to $\sim -1.5\pm 2.5$ km km, 497 compared to a mean bias of $\sim -5\pm 2$ km km for the operational system. We can hence conclude that by assimilating the S5P SO₂ 498 LH data, the vertical location of the Raikoke SO₂ plume in the CAMS system is improved, leading to better subsequent 499 forecasts (Inness et al., 2021) and making the S5P SO₂ LH product suitable for NRT assimilation and forecasts of a possible 500 strong future volcanic eruption.

501 **5 Conclusions**

The European Space Agency Sentinel-5p+ Innovation TROPOMI/S5P SO₂ layer height product has been verified against IASI/Metop SO₂ layer heights for the eruptive periods of the Raikoke volcano, 22 June to 30 July 2019, the Taal volcano, 13 January 2020, the Nishinoshima eruptive period during July & August 2020 and the La Soufrière eruptive days of April 10th to 11th, 2021. Two different algorithms that provide plume altitude from the IASI instruments were examined, the official EUMETSAT ACSAF algorithm, ULB/LATMOS, and the University of Oxford, AOPP, algorithm. Furthermore, collocations against ash layer height observations by the space-born CALIOP/CALIPSO lidar system were identified and assessed.

508 The main findings in the comparisons of the SO₂ volcanic plumes, described in detail above, are:

- For the Raikoke eruptive days: the difference between S5P and IASI/AOPP SO₂ LH datasets is 0.61±3.72km km, with IASI/AOPP SO₂ LH reporting a mean height of ~11.40±2.5km km and S5P reporting ~10.75±3.5km km, in excellent agreement. Between S5P and IASI ULB/LATMOS SO₂ LHs a similar mean difference of ~_0.15±2.833km km is found with both sensors reporting on average LHs at ~1010.20±2.80 km and ~10.00±1.0 km respectively.km.
- For the Taal eruptive day: the SO₂ LHs reported differ substantially with IASI/AOPP reporting heights <u>at ~5.64±1.5</u>
 <u>km5.5±1.5km</u> while S5P reports higher columns, at ~<u>10.14±3.5 km10±3.5km km</u>. IASI ULB/LATMOS also reports lower
 heights, at <u>9.51±1.78 km 9.5±2km</u> while and S5P places the plume at ~<u>12.13±3.95 km 12±4km</u> with a mean difference
 of~<u>-2.62±3.0 km2.5±3km km</u>.
- For the Nishinoshima eruptive days: both sensors place the plume at the same altitude, with IASI ULB/LATMOS at ~8.0±1.04km km and S5P ~7.73±1.97 km 8±2km and mean difference of ~0.27±2.793km km.

- For the La Soufrière eruptive days: <u>all three both</u> sensors report high plume altitudes, <u>between 13 and 16 km</u>. For the collocations between S5P and IASI/AOPP, the mean SO2 LH was found at 13.82±2.49 km and 13.47±3.41 km respectively, with a mean difference of -0.35±3.55 km. For the S5P and IASI ULB/LATMOS collocations, the mean SO2
 <u>LH was found at 14.94±3.87 km and 15.7±1.16 km respectively</u>, and a mean difference of 0.76±3.69 km. at ~15km, with both IASI/AOPP and ULB/LATMOS standard deviation at ~1km and the S5P standard deviation at ~4km, and overall mean difference of ~1±3.5km.
- Scatter plot comparisons of the daily mean volcanic SO₂ plumes reveal common SO₂ LHs patterns for the two sensors, with substantial correlations ~0.66 (0.72), slope ~0.9 (0.98), y-intercept of 1.2km km (0.8km km) for the IASI/AOPP and the IASI ULB/LATMOS respectively. The standard deviation of the mean is relatively high, on average ~3km km, however the mean heights are well within the 2km km accuracy requirement on the S5P SO₂ layer height product.
- 529 With respect to the comparisons between the S5P SO₂ LH and the CALIOP/CALIPSO volcanic ash layer height, we report 530 that:
- 241 excellently spatiotemporally collocated points between CALIOP and TROPOMI were identified for seven Raikoke eruptive days. CALIOP reported a range of mean heights between ~11 and 14km km, while TROPOMI had a far narrower range between ~9 and 11.5km km. Overall, the mean difference in heights was found to be -2.4±1.7-km km (-3.0km km median) for the seven eruptive Raikoke days.
- The comparisons for the Nishinoshima and La Soufrière eruptions showed good agreement with plumes reported (low) at
 ~7-km (~19.5km km) respectively for the two eruptions, and a height difference between S5P and CALIPSO being within
 ~1.0km km.
- The mean daily height comparative plot of the comparisons between S5P SO₂ LHs and CALIOP/CALIPSO weighted
 ALH, as expected, follow quite closely a straight line, with slope of 0.95 and y-intercept of ~1.0km km and excellent
 correlation coefficient at 0.86.
- Finally, the CAMS assimilation of the NRT S5P SO₂ LH led to much improved model fields against the non-assimilated IASI
 plume heights for the Raikoke eruptive period, with a mean difference of 1.5±2km km against the independent IASI/Metop
 observations, and improved the geographical spread of the Raikoke volcanic plume following the main eruptive day.
- 544
- Data availability. The near-real-time S5P SO₂ LH products are operationally generated by DLR in the framework of the
 Innovative Products for Analyses of Atmospheric Composition, INPULS, project, and are available upon request from
 Pascal Hedelt (<u>Pascal.Hedelt@dlr.de</u>). The IASI/MetOp ULB/LATMOS open source SO₂ layer height dataset is publicly
 available from https://iasi.aeris-data.fr/so2 iasi a arch/ (last access: 20.07.2021). The IASI/MetOp AOPP SO₂ products are
- 549 available on request from Isabelle Taylor (<u>isabelle.taylor@physics.ox.ac.uk</u>). The CALIPSO data were obtained from the
- 550 online archive of the NASA Langley Research Center Atmospheric Science Data Center (ASDC,
- 551 <u>https://asdc.larc.nasa.gov/project/CALIPSO</u>). The Copernicus Atmosphere Monitoring Service is operated by the European
- 552 Centre for Medium-Range Weather Forecasts on behalf of the European Commission as part of the Copernicus program

- 553 (http://copernicus.eu/ and CAMS data are freely available from atmosphere.copernicus.eu/ data. The SO₂ analysis
- s54 experiments used in this paper are available from https://apps.ecmwf.int/research-experiments/expver/ with the DOIs:
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- 556

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757