

Review of manuscript “Volcanic SO<sub>2</sub> Layer Height by TROPOMI/S5P; validation against IASI/MetOp and CALIOP/CALIPSO observations.” by Koukouli et al.

We thank the reviewer for her/his positive comments on our work and all the suggestions which improve our work. Please find our replies insert in red.

### General comments:

However, I do have some concerns regarding the discussion of the results. In general, I miss an in-depth discussion of the implications of the results and the potential additional new information we can obtain from considering this new product. The paper presents the results of the comparison of S5P with the other satellite products, but not much is presented in terms of discussion of their findings and the differences found between the different retrievals. (e.g. What are the main limitations of the S5P LH product? What are the main advantages of using the S5P LH product with respect to the IASI SO<sub>2</sub> LH estimates?

Why is the distribution in figure 3b for TROPOMI over a much wider range compared to the IASI estimates?)

The S5P LH product has the advantage that the LH is retrieved in the UV wavelength range, which is sensitive to other atmospheric levels than the IR based LH retrieval based on IASI data, hence different parts of the volcanic cloud are sensed. It allows for the direct determination of the proper SO<sub>2</sub> VCD of the volcanic SO<sub>2</sub> cloud, which was lacking in the past due to missing LH information. Although the IASI LH gives a first estimate of the height of the volcanic cloud, this information cannot be used in S5P SO<sub>2</sub> retrievals due to the difference in overpass time and pixel resolution. Currently, the main limitation of the S5P LH product is that it can only be applied to modest to high volcanic eruptions, with SO<sub>2</sub> VCD > 15-20 DU, hence weak volcanic eruptions cannot be considered. Furthermore the presence of ash has a strong impact on the retrieved LH, especially in the fresh plume, which however applies to all SO<sub>2</sub> LH retrievals based on satellite data.

A comment based on the above has been added in the discussion of Figure 1.

This study also mainly focusses on the mean LH values and how they compare between the satellite products. However, for most volcanic eruptions, the emissions take place over a large range of altitudes (as is also evident by the large standard deviations reported in the manuscript, e.g. tables 2 & 3). The comparison of the distribution of the plume altitudes is therefore potentially equally relevant. Therefore, I think the paper would benefit from a more detailed discussion of the differences between the LH distributions (e.g. as shown in figure 3) and the corresponding statistics.

It is indeed inevitable that the validation is biased towards Raikoke, since it was the strongest and most long-lasting eruption during the time period of our study. In one of the following replies to comment we provide statistics showing the effect the other eruptions have on the overall findings of this work.

Furthermore, I found a lot of small inconsistencies between the values reported in the text and the tables. The level of significance for the reported values of the same quantities are not consistent (e.g. average SO<sub>2</sub> LH in tables 2 and 3, versus values in L.274-277). The reported values should be made consistent throughout the manuscript.

You are correct that it is a simple case of rounding. We took the liberty to round to the nearest digit throughout the text in the hope that the take-home message would be clearer. In the tables we kept the precision of our calculations. Since this however causes confusion, we will keep the same numerals in the text, throughout. We also noticed some misplaced statistics in these lines, i.e. the ones applying to the IASI AOPP collocations were reported for IASI ULB/LATMOS, and vice versa, which were corrected. Thank you for pointing this out!

Finally, section 4.3 shows very similar results as presented by Inness et al. 2021. The difference is the use of a later version of the TROPOMI SO<sub>2</sub> LH product (see L.445: “The assimilation of the S5P SO<sub>2</sub>LH data was based on a previous version of the dataset, v3.1, and not the final one, v4.0 presented in this work”). However, no information is given what the differences are between the two versions. The general conclusions presented here are very similar as presented by Inness et al. 2021. I think a more detailed discussion of the differences between the two studies should be included, as otherwise this section provides very limited new information to the scientific community.

The main difference between v3.1 and v4.0 was the significant increase of training samples, which was done after an internal analysis has showed that only with more than about 300,000 samples the training error converged to a minimum. Furthermore the number of nodes in the first hidden layer of the NN was slightly lower in v3.1 (32 nodes vs 40 nodes in v3.1 and v4.0, respectively). The final settings were chosen after a extensive hyperparameter optimization process.

Following similar suggestions from the second reviewer, we have re-written the entire subsection with a different focal point.

### **Specific comments:**

Title: ‘validation’ I am not sure this is the right term. As there are a lot of uncertainties also in the IASI/MetOP and CALIOP/CALIPSO retrieval algorithms, we can’t be sure they represent the true values either. Instead, ‘evaluation’ or ‘comparison with’ might be better terms to use.

A valid point. We have altered the title to:

Volcanic SO<sub>2</sub> Layer Height by TROPOMI/S5P; evaluation against IASI/MetOp and CALIOP/CALIPSO observations.

L.28: 'satisfactory' How would you define if a comparison is satisfactory? It depends on the application for which you want to use the SO<sub>2</sub> LH product and is case specific.

You are right and we have updated the text in a more appropriate manner.

L.31: I think there is a comma missing after '1.5±2km'.

Agreed, included.

L.42: The used reference (ICAO, 2012) doesn't mention SO<sub>2</sub> clouds and is mainly focussed on the risks posed by ash clouds. I think a different reference should be used here, for example: [https://www.icao.int/airnavigation/METP/MOGVA Reference Documents/IAVW Roadmap.pdf](https://www.icao.int/airnavigation/METP/MOGVA%20Reference%20Documents/IAVW%20Roadmap.pdf) (page 12)

Thank you for this updated reference, included.

L.59: 'validation' -> 'evaluation' or 'comparison'. See comment about the title.

We reworded to evaluation as suggested.

L.261: 'Figure S1, ... associated with loads of less than ~20 DU.' This is not clear from figure S1, as the colour scale range is starting at 20 DU for all panels. The only difference figure S1 shows is that the IASI estimates have a larger region where the SO<sub>2</sub> load is >20 DU. Why is the extent of the 'dense' plume in the IASI retrievals so much bigger than what is retrieved by TROPOMI?

In Figure S1, and in all the similar map-type figures presented in the main paper as well, all values below the lowest colour level [20 D.U. for the SO<sub>2</sub> load and 5km for the SO<sub>2</sub> LH] are depicted with the colour of the lowest level. All SO<sub>2</sub> loads below 20 D.U. hence appear in the beige colour of the lowest chosen level. Both IASI retrievals provide an estimate for the SO<sub>2</sub> LH even at SO<sub>2</sub> loads below 20 D.U., which is not the case for S5P.

L.267: 'well placed in height'. Is this correct? Figure 2 shows that the IASI AOPP product for the integrated SO<sub>2</sub> mass peaks 1-2 km higher than the estimates from TROPOMI and IASI ULB/LATMOS. As we are near the tropopause height, this change in peak altitude can mean the difference between most of the plume reaching the stratosphere or not. What could be the cause this difference?

From our experience in analysing the different satellite-born LH estimates, we have reach the conclusion that a difference of 1-2 km between UV and IR sensors is acceptable. Considering the fact that S5P and IASI have completely different retrieval approaches and completely different wavelength range making them sensitive to different atmospheric layers, such differences can be explained – and have been explained - in literature. Furthermore, the inherent difficulties reported for the IASI AOPP algorithm in sensing the thickest parts of the

SO<sub>2</sub> plume, due to super-saturation effects, further explains why the IASI ULB/LATMOS plumes show their highest load at the same altitude as S5P.

L.268: If I understand correctly, when all pixels are excluded in IASI AOPP for a single grid box, the grid box is excluded from the presented comparison. Therefore, as each of the considered gridded data points is a collection of multiple pixels, could the exclusion of several pixels within a grid box explain the observed differences? Assuming that an average is calculated for each grid box, the difference seen would indicate that only very high concentration pixels are excluded by the IASI AOPP quality control. Is this the case, and if so, why would the IASI product have this bias?

Indeed, the IASI AOPP algorithm quality control rejects pixels within the core part of the plume, due to the poor fit between the measured and modelled spectra. The SO<sub>2</sub> spectral lines chosen by the IASI AOPP algorithm get saturated by the large SO<sub>2</sub> amounts and the retrieval fails to pass the quality control. This is a known fact to the IASI AOPP algorithm scientists and a different algorithm set-up to amend this issue is currently work-in-progress.

L.275: 'the mean S5P SO<sub>2</sub> LH is reported at 10±3 km'. This is not consistent with the values presented in figure 3 and table 2. According to the legend in figure 3a and table 2, the estimate is 11±3 km (using the correct rounding).

Thank for your spotting this, the entire paragraph was updated to include the more appropriate, and correct, statistical numbers.

L.276: 'IASI AOPP placing the plume at 10±1 km'. How does this follow from Figure 3? I think the values for the two IASI products in the text are swapped, as it also does not correspond to the values reported in tables 2 and 3.

Thank for your spotting this, the entire paragraph was updated to include the more appropriate, and correct, statistical numbers.

L.313: What are the values 2.5 and 4 km based on? In tables 2 & 3 neither of these values are present, so I am not sure I understand how these values are calculated.

The appropriate mean values are now included in the paragraph.

L.324: The results presented in this work are heavily biased towards 1 eruption (Raikoke). How does this impact the statistics presented? If we exclude the other eruptions, what would the correlation coefficient be?

It is indeed inevitable that the validation is biased towards Raikoke, since it was the strongest and most long-lasting eruption during the time period of our study. Removing the two other days of eruptions from both comparisons of Figure 4 the statistics do not alter significantly. In parenthesis, I provide the statistics of Figure 4. For IASI AOPP, the slope is 0.90 [0.91], y-

intercept of 1.40 [0.90] and correlation coefficient of 0.631 [0/66]. For IASI ULB LATMOS, slope of 1.10 [0.98], y-intercept of -0.45 [0.77] and correlation coefficient of 0.663 [0.72].

Fig.4: What are the uncertainty ranges of the slope and intercept calculated for the best linear fit? Is this represented by the blue shading in the figure? Some more explanation is needed in the caption on the blue shading and uncertainty ranges should be reported in the manuscript.

Thank you for pointing this out. The light blue shaded areas represent the 95% confidence intervals of the fit. The information has been added in the figure caption as well as in the text describing it. We have further included the error estimated on the slope and y-intercept in the text.

Fig.5: Please refer to figure 6 in the caption for the path of the CALIPSO satellite, as it made it easier for me to interpret figure 5.

Figure caption updated as requested.

Fig.6: Are the 2 colour scales different? I found it very confusing to have two very similar colour bars. If they are the same, I think it is better to use the same colour bar for both retrievals and have a double colour bar title instead.

Thanks for the comment. The “stripes” in the TROPOMI SO<sub>2</sub>LH map in Figure 6 are due to a simple visualisation of the TROPOMI pixels via Python. Each color grid point represents the center of TROPOMI pixel so there are “white” areas left between pixels. It is not related to any gridding process.

Fig.7: In the right panel, what is the uncertainty in the ‘best’ slope calculated?

The uncertainty ranges of the slope and intercept calculated for the best linear fit ( $y=mx+b$ ):

- The slope uncertainty:  $m_{\text{best}} \pm \Delta m = 0.8 \pm 0.10$
- The y-intercept uncertainty:  $b_{\text{best}} \pm \Delta b = -0.6 \pm 1.2$

Fig. 8: The correlation between individual TROPOMI and CALIPSO pixels seems to be low when considering all the points in figure 8b. However, when considering the daily average values in figure 10, the comparison is much better. Is this because the points in fig 8b are clustered by day, therefore giving a better correlation for each of the individual overpasses? Might be useful to use 7 different colours in figure 8b to indicate the different days.

The reviewer is right, when clustering - by calculating the daily mean - the correlation is rather impressive. There are 7 days for Raikoke and 1 each for Nishinoshima and La Soufriere. Figure 8b was updated following the reviewer’s suggestion, colouring each point according to the day of. Collocations hence showing the spread of the clustering for each day.

L.382: Related to the previous point, is there an impact of the aging plume on the results found? For example, can we expect the differences between CALIPSO and TROPOMI to be larger for older plumes due to the different dispersion of ash and SO<sub>2</sub>?

Based on our results we cannot really argue that there is a “clear” influence of aging. However, taking into account previous studies related to the Raikoke eruption, we can summarize the following main points:

- The comparison results TROPOMI-CALIPSO suggests that aerosol dynamic process is crucial for the height differences. Our results reveal that the detected aerosol layers altitudes increased slightly the next days after the eruption day. Both the aging process and the aerosol radiation interaction can influence the vertical distribution of aerosols and therefore determine at which altitude the particles are transported.
- The behaviour of altitude range differences could be also explained by the results of Muser et al., 2020; De Leeuw a et al., 2020 and Osborne et al., 2021. These studies underline that for coarse mode ash the aging process is the determining factor of whether the volcanic plumes rises and sinks. As volcanic aerosols are often composed of a complex mixture of both ash and sulfate, which changes with time, the strict classification becomes more challenging.
- As volcanic aerosol layers evolve and disperse into the atmosphere their optical and microphysical properties are expected to change in time. Thus, the classification of volcanic cloud based upon their optical properties since those properties evolve with time depending on the presence of ash and sulfate which can also misclassified. CALIPSO observations of several volcanic plumes during the last years composition can vary significantly depending on the initial injection of volcanic ash and SO<sub>2</sub> further oxidized into sulfate. It is too simple to assume that volcanic plumes are made entirely of sulfate, even several days after the eruption.

L.446: What are the main differences between version 3.1 and 4.0? Do you expect there to be a big difference in skill between the two versions? Comparing the reported CAMS forecast bias of  $-1.5 \pm 2.5$  km (L.475) in this manuscript with the value reported by Inness et al 2021 ( $0.4 \pm 2.2$  km), it seems that the latest version 4.0 is less accurate. I think some discussion of this fact and potential reasons/implications should be included.

Please see our reply above to your previous comment on this topic. We can further note that during the development of the SO<sub>2</sub> LH algorithm it was found that, although v3.1 was slightly more accurate for some volcanic eruption events, for other events it performed extremely

poorly. In contrast, v4.0 performed well over all volcanic eruptions analysed in the time frame of this work.

L.470: Are these LH values correct? Based on the results in tables 2 and 3, the values should be  $11.4 \pm 2.5$  km for IASI AOPP and  $10.8 \pm 3.5$  for S5P. Also, I am not sure I understand where the 10.5 km comes from, as I can't find it anywhere in the results section (I think it should be 10.8 km based on table 2). Please check that all the values are correct.

It was a simple case of rounding, for reasons discussed above. The conclusions were updated to include the full accuracy statistics shown in the relevant sections of the paper.

L.471: Why are the results for the IASI ULB/LATMOS SO<sub>2</sub> LHs presented at a smaller accuracy in the conclusion section compared to table 3 (i.e.  $0 \pm 3$  km instead of  $-0.2 \pm 2.8$  km)? Please make sure all the values in the text are consistent with the values presented in the tables/figures.

As above.

L.473-475: Some of the reported values for the Taal eruption are inconsistent with the values presented in table 2 and 3.

As above, with a typographical mistake as well, thank you for spotting it.

L.477: Different accuracy of the values than what is presented in table 3.

It was a simple case of rounding, for reasons discussed in the beginning of these replies. The conclusions were updated to include the full accuracy statistics shown in the relevant sections of the paper.

L.478: 'both sensors report high plume altitudes, at ~15km with both IASI/AOPP and ULB/LATMOS standard deviation at ~1km'. This is not consistent with the results section. Based on table 2, the LH for IASI AOPP is 13.5 km with a standard deviation of 3.4 km. Also, the S5P standard deviation is different in the two tables (2.5 km and 3.9 km) compared to the 4 km reported here.

As above, with a typographical mistake as well, thank you for spotting it.

L.491: "(low)" what does this word refer to? I think you mean that both showed low altitude plumes, but please add some additional text to clarify.

This is a simply typographical error from a previous version of the manuscript. Thanks for noticing.

L.495: "quite closely". This is not a scientific term and should be avoided.

Indeed, thanks for pointing this out.

**Technical corrections/suggestions:**

All the following suggestions were taken into consideration in the updated text.

L.25: 3km -> 3 km There are several other values in the abstract and the rest of the text where a space is missing between the value and the unit. I have tried to highlight most of them here, but please check carefully throughout the manuscript.

L.50: "volcanic processes assists in" missing comma

L.120: 20DU -> 20 DU. This is the first mention of DU, so I think it should be spelled out here.

L.127: D.U. -> DU. Throughout the manuscript both 'DU' and 'D.U.' are used. Please check and only use one consistent abbreviation.

L.157: 25km -> 25 km

L.194: 100m -> 100 m

L.225: Missing bracket after TROPOMI

L.242: '*including* both ascending'

L.264: 1km -> 1 km & 20km -> 20 km

L.274-275: PH -> LH ?

L.284: high -> thickness?

L.287: 15km -> 15 km

L.293: location -> altitude?

L.306: 1km -> 1 km & 14-15km -> 14-15 km

L.312: 1km -> 1 km

L313: 4km -> 4 km

L.373: 2km -> 2 km

L.374: that -> than

L.388: omit 'a' & 17km.. -> 17 km.

L.390: axis.lts -> axis. Its

L.393: Why is Figure 9 in bold font?

L.421: omit 'nearly'

L.423: 1km -> 1 km

Figure 11: Please expand the caption by explaining the BLexp (no assimilation) and LHexp (assimilation of TROPOMI data) terms.

L.469-498: a lot of places where a space between the value and unit is missing.

L.492: 7.km -> 7 km

L.482: 0.72 -> 0.73. Based on L.324 I think this should be 0.73