

1 **Measurement report: Source apportionment of carbonaceous aerosol using**
2 **dual-carbon isotopes (^{13}C and ^{14}C) and levoglucosan in three northern Chinese**
3 **cities during 2018–2019**

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25

26 **Abstract**

27 To investigate the characteristics and changes in the sources of carbonaceous
28 aerosols in northern Chinese cities after the implementation of the Action Plan for Air
29 Pollution Prevention and Control in 2013, we collected PM_{2.5} samples from three
30 representative inland cities, viz. Beijing (BJ), Xi'an (XA), and Linfen (LF) from
31 January 2018 to April 2019. Elemental carbon (EC), organic carbon (OC),
32 levoglucosan, stable carbon isotope, and radiocarbon were measured in PM_{2.5} to
33 quantify the sources of carbonaceous aerosol, combined with Latin hypercube
34 sampling. The best estimate of source apportionment showed that the emissions from
35 liquid fossil fuels contributed $29.3 \pm 12.7\%$, $24.9 \pm 18.0\%$, and $20.9 \pm 12.3\%$ of the
36 total carbon (TC) in BJ, XA, and LF, respectively, whereas coal combustion
37 contributed $15.5 \pm 8.8\%$, $20.9 \pm 18.0\%$, and $42.9 \pm 19.4\%$, respectively. Non-fossil
38 sources accounted for $55 \pm 11\%$, $54 \pm 10\%$, and $36 \pm 14\%$ of the TC in BJ, XA, and
39 LF, respectively. In XA, $44.8 \pm 26.8\%$ of non-fossil sources was attributed to biomass
40 burning. The highest contributors to OC in LF and XA were fossil sources ($74.2 \pm 9.6\%$
41 and $43.2 \pm 10.8\%$, respectively), whereas that in BJ was non-fossil sources ($66.8 \pm$
42 13.9%). The main contributors to EC were fossil sources, accounting for $91.4 \pm 7.5\%$,
43 $66.8 \pm 23.8\%$, and $88.4 \pm 10.8\%$ in BJ, XA, and LF, respectively. The decline (6–16%)
44 in fossil source contributions in BJ since the implementation of the Action Plan
45 indicates the effectiveness of air quality management. We suggest that specific
46 measures targeted to coal combustion, biomass burning and vehicle emissions in
47 different cities should be strengthened in the future.

48

49 **Keywords:** carbonaceous aerosols; radiocarbon; stable carbon isotope; biomass
50 burning; fossil fuel combustion; source apportionment

51 **1 Introduction**

52 Atmospheric aerosols are extremely complex suspension systems. Carbonaceous
53 aerosols are an important component of atmospheric aerosols, accounting for
54 approximately 10–60% of the total mass of global fine particulate matter (Cao et al.,
55 2003, 2007; Feng et al., 2009). Carbonaceous aerosols contain elemental carbon (EC),
56 organic carbon (OC), and inorganic carbon (IC). IC is mainly derived from sand dust,
57 it has a low concentration and simple composition, and it can be removed via acid
58 treatment (Clarke et al., 1992). EC is produced by incomplete combustion and is
59 directly discharged from pollution sources. It can cause global warming by changing
60 the radiative forcing and ice albedo (Jacobson et al., 2001; Kiehl et al., 2007). OC is a
61 complex mixture of primary and secondary pollutants produced by the combustion of
62 domestic biomass and fossil fuels. It is an important contributor to tropospheric ozone,
63 photochemical smog, and rainwater acidification, and it can significantly impact
64 regional and global environments through biogeochemical cycling (Jacobson et al.,
65 2000; Seinfeld et al., 1998). Therefore, identifying and quantifying the source
66 contributions of carbonaceous aerosols can provide a scientific basis for the
67 management of regional air quality.

68 The natural radiocarbon (^{14}C) is completely depleted in fossil emissions, due to
69 the age of fossil fuels well above the half-life of ^{14}C (5730 years), whereas non-fossil
70 sources show the similar ^{14}C as environment (Szidat, 2009; Heal, 2014). Therefore,
71 ^{14}C can be used to study the source of atmospheric particulate matter and to
72 quantitatively and accurately distinguish the contributions of fossil and non-fossil
73 sources (Clayton et al., 1955; Currie, 2000; Szidat, 2009). In recent decades, this
74 method has been widely used to trace non-fossil carbonaceous aerosols in various
75 regions (Ceburnis et al., 2011; Lewis et al., 2004; Szidat et al., 2009; Vonwiller et al.,

76 2017; Yang et al., 2005; Zhang et al., 2012, 2017a). Stable carbon isotope (^{13}C) is a
77 useful geochemical marker that can provide valuable information about both the
78 sources and atmospheric processing of carbonaceous aerosols (López-Veneroni, 2009;
79 Widory, 2006), and it has been applied in various types of environmental research to
80 identify emission sources (Cachier et al., 1985, 1986; Cao et al., 2011; Chesselet et al.,
81 1981; Fang et al., 2017; Kawashima & Haneishi, 2012; Kirillova et al., 2013). The
82 analysis of $^{13}\text{C}/^{12}\text{C}$ can refine ^{14}C source apportionment because both coal and liquid
83 fossil fuels are depleted of ^{14}C while their ^{13}C source signatures are different
84 (Andersson et al., 2015; Li et al., 2016; Winiger et al., 2017). Levoglucosan (Lev), a
85 thermal degradation product of cellulose combustion, is a common molecular tracer
86 that can be used to evaluate the contribution of biomass burning (Hoffmann et al.,
87 2010; Locker et al., 1988; Simoneit et al., 1999). The combination of the carbon
88 isotope analysis and Lev can further divide the contributions of different
89 carbonaceous sources. Some studies have confirmed the feasibility of this
90 combination (Claeys et al., 2010; Gelencsér et al., 2007; Genberg et al., 2011; Huang
91 et al., 2014; Kumagai et al., 2010; Liu et al., 2013; Niu et al., 2013; Zhang et al.,
92 2015).

93 Cities in northern China have been affected by severe haze for several decades
94 (Cao et al., 2012; Han et al., 2016; Sun et al., 2006; Wang et al., 1990). After the
95 Action Plan for Air Pollution Prevention and Control (hereafter simplified as “Action
96 Plan”) was promulgated in 2013, all parts of China responded to the issue and held
97 numerous air quality management practices (CSC, 2013). In 2020, the average $\text{PM}_{2.5}$
98 concentration in Chinese cities across the country decreased by 54.2% compared to
99 that in 2013 (MEE, 2014, 2021). In 2020, the proportion of clean energy consumption,
100 such as that of natural gas and electricity, increased by 7.9% compared to that in 2013,

101 and the proportion of coal combustion decreased by 9.7% (NBS, 2021). Before the
102 Action Plan, fossil fuel sources were identified as the main contributor to
103 carbonaceous aerosols in Chinese cities (56–81%) (Ni et al., 2018, Niu et al., 2013,
104 Shao et al., 1996; Sun et al., 2012; Yang et al., 2005). In this study, we aimed to
105 determine the main contribution of the current carbonaceous aerosols in northern
106 Chinese cities. Also, we aimed to identify whether changes in energy type and
107 emission control caused a change in the source of carbonaceous aerosols.

108 To address those issues, we conducted a source apportionment of carbonaceous
109 aerosols based on yearly measurements of OC, EC, Lev, ^{13}C , and ^{14}C in $\text{PM}_{2.5}$,
110 combined with Latin hypercube sampling (LHS), in three representative northern
111 Chinese cities during 2018–2019. This study provides a comprehensive understanding
112 of current sources of carbonaceous aerosol after the implementation of the Action
113 Plan in Chinese cities.

114

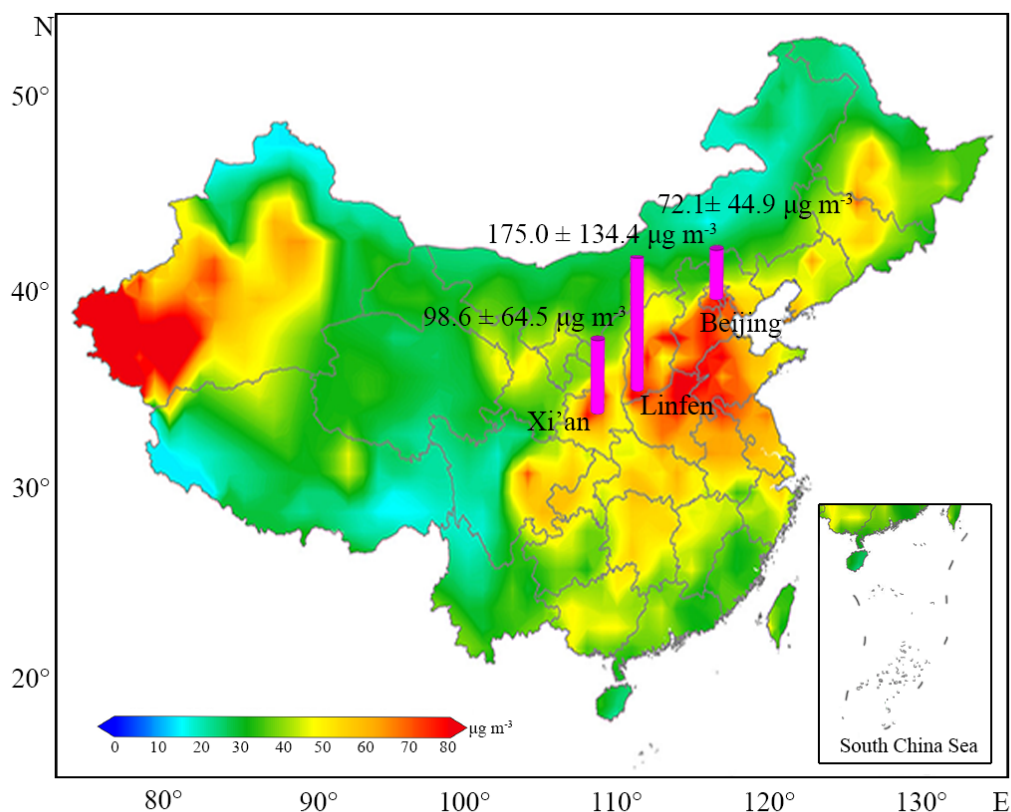
115 **2 Methods**

116 **2.1 Research sites**

117 We selected one urban sampling site in Beijing (BJ), one in Xi'an (XA), and one
118 in Linfen (LF) (Fig. 1). BJ is the capital of China, one of the largest megacities in the
119 world, and the central city of the Beijing–Tianjin–Hebei economic region. It has a
120 population of more than 20 million and has experienced serious air pollution problems
121 in the past few decades. XA, the capital of Shaanxi Province, is the ninth-largest
122 central city and an important city of the Northwest Economic Belt in China. It is
123 located in a basin surrounded by mountains on three sides, where atmospheric
124 pollutants are discharged mainly from the basin and are less affected by other urban
125 areas (Cao et al., 2009; Shen et al., 2011). LF is located in western Shanxi Province

126 and is one of the representative cities in the northern air-polluted region. Shanxi
127 Province is the center of Chinese energy production and chemical metallurgy
128 industries; its coal production and consumption were approximately 736.81 million
129 tons and 349.07 million tons, accounting for 27.1% and 12.4% of the Chinese total in
130 2019, respectively (NBS, 2020; SPBS, 2020). The air quality in LF was ranked in the
131 worst ten in China from 2018 to 2020 (MEE, 2019, 2020, 2021). According to the
132 pollutant data released by the National Air Quality Real-time Release Platform,
133 Ministry of Ecology and Environment (MEE) of the People's Republic of China
134 (<http://106.37.208.233:20035/>), the daily average atmospheric SO₂ concentration in
135 LF exceeded 850 µg m⁻³ on January 4th, 2017. XA and LF heavily suffer from air
136 pollution in the Fenwei Plain. In July 2018, the State Council issued the Three-Year
137 Action Plan to Win the Blue Sky Defense War. This included the Fenwei Plain as one
138 of the key areas in which to prevent and control pollution (CSC, 2018).

139 The first site was located in the northwest of BJ, on the rooftop of the Research
140 Center for Eco-Environmental Sciences, Chinese Academy of Sciences (40°0'33" N,
141 116°20'38" E). The site was approximately 200 m from the road. The second site was
142 located southwest of XA, on the rooftop of the School of Urban and Environmental
143 Sciences in Northwest University (34°15'36" N, 108°88'53" E). Living quarters and
144 teaching areas were located around these two sampling sites. The third site was
145 located in Houma, a county-level city of LF, on the rooftop of a residential building
146 (35°63'56" N, 111°39'53" E). There was no industrial pollution near each site and
147 they were representative urban sites.



148

149 Fig. 1 Locations and PM_{2.5} concentration of Beijing (BJ), Xi'an (XA), and Linfen
 150 (LF). The background map shows the distribution of PM_{2.5} concentrations in most of
 151 China from 2015 to 2019 (Li et al., 2021a). The pink bars are the average PM_{2.5}
 152 concentrations of the samples collected in this study during 2018 to 2019.

153

154 2.2 Sample collection

155 At BJ and XA, PM_{2.5}, samples were collected on the 7th, 14th, 21st, and 28th of
 156 each month from April 28, 2018, to April 21, 2019. In LF, seven consecutive days in
 157 each season were selected for sample collection, and the sampling periods were
 158 concentrated in January, April, July, and October 2018. A total of 124 24-hour (10
 159 a.m. to 10 a.m. on the following day) PM_{2.5} samples and 4 field blanks were obtained.

160 Samples in each city were collected continuously on pre-baked quartz fiber
 161 filters (203 mm × 254 mm, Whatman UK) using a high-volume (1.05 m³ min⁻¹)

162 sampler (TH-1000CII). The sampler was equipped with an impact collector to collect
163 the particles less than 2.5 μm in aerodynamic diameter. To remove the existing carbon
164 in the materials, the filter and foil used for wrapping should be baked in a muffle
165 furnace at 375 $^{\circ}\text{C}$ for 5 h before use. After sampling, the filters were folded, wrapped
166 in pre-baked aluminum foil, and stored at -18°C . All filters were weighed after
167 equilibrating at $25 \pm 1^{\circ}\text{C}$ and $52 \pm 5\%$ humidity for more than 24 h. The $\text{PM}_{2.5}$ mass
168 loadings were determined gravimetrically using a 0.1 mg sensitivity electronic
169 microbalance. Carbonate has been removed from the filters by spraying with
170 hydrochloric acid (1 mol L^{-1}) before measurement.

171 **2.3 OC and EC analyses**

172 Filter pieces of 0.526 cm^2 were used to measure the OC and EC using a DRI
173 Model 2001 (Thermal/Optical Carbon Analyzer) at the Institute of Earth Environment,
174 Chinese Academy of Sciences. The Interagency Monitoring of Protected Visual
175 Environments (IMPROVE) thermal/optical reflectance protocol must be followed
176 because OC and EC have different oxidation priorities under different temperatures
177 (Cao et al., 2007; Chow & Watson, 2002). OC and EC were defined as $\text{OC1} + \text{OC2} +$
178 $\text{OC3} + \text{OC4} + \text{OP}$ and $\text{EC1} + \text{EC2} + \text{EC3} - \text{OP}$, respectively, in accordance with the
179 IMPROVE protocol (Chow et al., 2004). Sample analysis results were corrected by
180 the average blank and standard sucrose concentrations of OC and EC, respectively.

181 **2.4 Lev analysis**

182 The molecular tracer (Lev) was determined by high-performance anion exchange
183 chromatography with pulsed amperometric detection (HPAEC-PAD) method at the
184 South China Institute of Environmental Science, Ministry of Ecology and
185 Environment. A quartz filter sample (2 cm^2) was extracted with 3 ml of deionized
186 water in a prebaked glass bottle under ultrasonic agitation and was subsequently

187 analyzed using a Dionex ICS-3000 system after filtration. The separation requires an
188 equilibrium period, isocratic elution, and gradient elution. (For a specific description,
189 refer to Zhang et al., 2013.) The instrument sample loop was 100 μL and the detection
190 limit of Lev was $1 \times 10^{-8} \mu\text{g ml}^{-1}$.

191 Recent studies indicated that Lev was degraded to some extent during
192 atmospheric transportation, and about 25% of them came from other non-biomass
193 burning sources (Hoffmann et al., 2010; Wu et al., 2021). Therefore, correction of the
194 biomass burning source lev (Lev_{bb}) is required before the source apportionment:

$$195 \quad \text{Lev}_{\text{bb}} = \frac{\text{Lev} \times 0.75}{p} \quad (1)$$

196 where p (0.4–0.65) is the degradation rate of Lev, which has different
197 characteristics in each seasons. For specific p value in each season, please refer to the
198 research of Li et al. (2021b).

199 **2.5 Stable carbon isotope analysis**

200 The ^{13}C compositions were determined using a gas isotopic analyzer (Picarro
201 G2131-i) in conjunction with an elemental analyzer (Elemental Combustion System
202 4010) at the Institute of Earth Environment, Chinese Academy of Sciences.
203 Specifically, 0.2–0.4 mgC of sample has been placed in a precombusted tin capsule
204 (6×10 mm) and the air was removed by squeezing. The samples were tested at 980 $^{\circ}\text{C}$
205 and 650 $^{\circ}\text{C}$ with 70–80 ml min^{-1} helium as the carrier gas and 20–30 ml min^{-1} oxygen
206 as the reaction gas. The resulting gas mixture was then collected in Gas Isotopic
207 Analyzer (Bachar et al., 2020). Urea standard (CAS Number: 57-13-6) was used as
208 standard sample. ^{13}C data are expressed in delta notation with respect to Vienna Pee
209 Dee Belemnite (VPDB) (Coplen, 1996):

$$210 \quad \delta^{13}\text{C} = \left[\frac{{}^{13}\text{C}/{}^{12}\text{C}_{\text{Sample}}}{{}^{13}\text{C}/{}^{12}\text{C}_{\text{VPDB}}} - 1 \right] \times 1000\text{‰} \quad (2)$$

211 **2.6 Radiocarbon analysis**

212 The ^{14}C samples were prepared and tested in the laboratory of Xi'an accelerator
213 mass spectrometer (AMS) Center. The processed sample was packed in a sealed
214 quartz tube with a silver wire and excessive CuO. The solid sample was then
215 combusted at 850 °C for 2.5 h to convert it into gas after the vacuum degree was less
216 than 5×10^{-5} mbar. The gas sample was passed through a liquid nitrogen cold trap
217 (-196 °C) to freeze CO_2 and water vapor, and then passed through an ethanol-liquid
218 nitrogen cold trap (-90 °C) to remove water vapor and purify CO_2 (Turnbull et al.,
219 2007; Zhou et al., 2014). The collected CO_2 was reduced to graphite via a reduction
220 reaction with zinc particles and iron powder as the reductant and catalyst, respectively
221 (Jull, 2007; Slota et al., 1987). The graphite was pressed into an aluminum holder and
222 measured using a 3 Megavolt AMS, with a precision of 3‰ (Zhou et al., 2006, 2007).
223 Forty-nine targets were arranged in sequence in the sample fixed wheel, including
224 forty samples, six OX-II standard samples, two anthracite standard samples and one
225 sugar carbon standard sample each time. AMS online $\delta^{13}\text{C}$ of was used for isotope
226 fractionation correction.

227 The ^{14}C results were expressed as a fraction of modern carbon (f_M) (Currie, 2000;
228 Mook & Plicht, 1999). It defines as the $^{14}\text{C}/^{12}\text{C}$ ratio of the sample related to the
229 isotopic ratio of the reference year 1950 (Stuiver & Polach, 1977):

$$230 f_M = (^{14}\text{C}/^{12}\text{C}_{\text{Sample}})/(^{14}\text{C}/^{12}\text{C}_{1950}). \quad (3)$$

231 Atmospheric nuclear bomb tests in the late 1950s and the early 1960s released a
232 large amount of ^{14}C , and the ratio of $^{14}\text{C}/^{12}\text{C}$ in atmospheric CO_2 roughly doubled in
233 the mid-1960s (Hua & Barbetti, 2004; Levin et al., 2003, 2010; Lewis et al., 2004;
234 Niu et al., 2021). However, f_M in the atmosphere has been decreasing because of the
235 dilution effect produced by the absorption of marine and terrestrial biospheres and the

236 release of fossil fuels. In recent years, studies on background ^{14}C in China and
 237 other countries have shown that the f_M value in the atmosphere has decreased and
 238 approached 1 (Hammer et al., 2017; Niu et al., 2016). This means that the impact of
 239 the nuclear explosions has almost disappeared for current atmosphere, and the change
 240 in current atmospheric ^{14}C was mainly influenced by the regional natural carbon cycle
 241 and fossil fuel CO_2 emissions. Thus, the f_M values were not corrected in this study,
 242 because the material used for biomass burning in China was mainly from crop straw
 243 (Fu et al., 2012; Street et al, 2003b; Yan et al., 2006; Zhang et al., 2017b), and the
 244 influence of atmospheric nuclear bomb test has basically vanished for the annual
 245 plants.

246 Non-fossil fractions (f_{nf}) and fossil fractions (f_f) were determined from the f_M
 247 values.

$$248 \quad f_{\text{nf}} = f_M \times 100\% \quad (4)$$

$$249 \quad f_f = (1 - f_M) \times 100\% \quad (5)$$

250 **2.7 Source apportionment of total carbon using ^{14}C and ^{13}C**

251 To study the contribution of each fossil source to the total carbon (TC), we used
 252 the principle of isotopic chemical mass balance to further separate fossil sources into
 253 liquid fossil fuels and coal. Since the amount of carbonaceous aerosol produced by
 254 natural gas is very low compared to coal and liquid fossil combustion, its contribution
 255 was not considered here (Chen et al., 2005; England et al., 2002; Guo et al., 2014; Yan
 256 et al., 2010). In this part, ^{13}C and ^{14}C were combined to calculate the contributions of
 257 non-fossil, coal, and liquid fossil sources.

$$258 \quad f_{\text{nf}} \times \delta^{13}\text{C}_{\text{nf}} + f_{\text{coal}} \times \delta^{13}\text{C}_{\text{coal}} + f_{\text{liq.fossil}} \times \delta^{13}\text{C}_{\text{liq.fossil}} = \delta^{13}\text{C}_{\text{sample}} + \beta \quad (6)$$

$$259 \quad f_{\text{coal}} + f_{\text{liq.fossil}} = f_f \quad (7)$$

260 where f_{nf} , f_{coal} , and $f_{\text{liq.fossil}}$ represent the proportions of non-fossil source, coal and

261 liquid fossil combustion, respectively, $\delta^{13}\text{C}_{\text{nf}}$, $\delta^{13}\text{C}_{\text{coal}}$, and $\delta^{13}\text{C}_{\text{liq.fossil}}$ represent $\delta^{13}\text{C}$
 262 from the corresponding sources. $\delta^{13}\text{C}_{\text{sample}}$ is the $\delta^{13}\text{C}$ of the samples at each site, and
 263 β is a small correction.

264 Since the formation process of OC can cause the fractionation of ^{13}C , with a
 265 range mainly in 0.03–1.40 ‰ (mean 0.2‰) (Aggarwal and Kawamura, 2008; Cao et
 266 al, 2011; Ho et al., 2006; Zhao et al., 2018), a small correction (0.2‰) was made for
 267 the $\delta^{13}\text{C}$ sample used in Eq. 6. The selection of the reference value was described in
 268 detail in Section 2.9.

269 **2.8 Source apportionment of OC and EC using ^{14}C and LeV_{bb}**

270 The method combines ^{14}C with the concentration of carbon components and a
 271 molecular tracer (LeV_{bb}) to quantify the sources of OC and EC. Carbon was assumed
 272 to originate from fossil fuel combustion, biomass burning, and other non-fossil
 273 emissions (Gelencsér et al., 2007). The following is a simple calculation method.

274 EC consists of biomass burning (EC_{bb}) and fossil fuel combustion (EC_{ff}).

$$275 \text{EC} = \text{EC}_{\text{ff}} + \text{EC}_{\text{bb}} \quad (8)$$

276 EC_{bb} was calculated based on the LeV_{bb} concentration and the estimated
 277 $\text{EC}_{\text{bb}}/\text{LeV}_{\text{bb}}$ ratio:

$$278 \text{EC}_{\text{bb}} = \text{LeV}_{\text{bb}} \times (\text{EC}_{\text{bb}}/\text{LeV}_{\text{bb}}) = \text{LeV}_{\text{bb}} \times [(\text{EC}/\text{OC})_{\text{bb}}/(\text{LeV}_{\text{bb}}/\text{OC}_{\text{bb}})] \quad (9)$$

279 Then, EC_{ff} was calculated by subtraction (Eq. 8).

280 OC consists of OC from biomass burning (OC_{bb}), fossil fuel combustion (OC_{ff}),
 281 and other sources (OC_{other}), including primary and secondary biogenic OC and SOC
 282 (secondary organic carbon) from non-fossil emissions.

$$283 \text{OC} = \text{OC}_{\text{bb}} + \text{OC}_{\text{ff}} + \text{OC}_{\text{other}} \quad (10)$$

284 OC_{bb} was calculated based on the LeV_{bb} concentration and the estimated
 285 $\text{LeV}_{\text{bb}}/\text{OC}_{\text{bb}}$ ratio:

286 $OC_{bb} = Lev_{bb}/(Lev_{bb}/OC_{bb})$ (11)

287 OC_{other} was calculated by balancing the ^{14}C content that was not attributed to
288 OC_{bb} .

289 $OC_{other} = (OC \times f_{nf}(OC) - OC_{bb} \times f_M(bb))/f_M(nf)$. (12)

290 Furthermore, $f_{nf}(OC)$ was calculated based on the ^{14}C concentration measured in
291 the sample (detailed description of the formulas can be found in Genberg et al., 2011);
292 $f_M(bb)$ and $f_M(nf)$ are the ^{14}C concentrations in biomass burning and other non-fossil
293 emissions, respectively.

294 Finally, OC_{ff} was calculated by subtraction (Eq. 10).

295 **2.9 Uncertainties of source apportionment**

296 Some uncertainties exist in some parameters in Eqs. 5–11 and need to be
297 evaluated. Table 1 lists the range of reference values used in this study. The ratios
298 Lev_{bb}/OC_{bb} and EC_{bb}/OC_{bb} depend on the type of biofuel and the burning conditions
299 (Oros et al., 2001a, b). In foreign studies, the most common distributions of
300 Lev_{bb}/OC_{bb} and EC_{bb}/OC_{bb} are 0.08–0.2 and 0.07–0.45, respectively (Gelencsér et al.,
301 2007; Puxbaum et al., 2007; Szidat et al., 2006). The distribution ranges of
302 Lev_{bb}/OC_{bb} and EC_{bb}/OC_{bb} burned by trees, shrubs, and rice are approximately
303 0.01–0.04 and 0.05–0.31, respectively (Engling et al., 2006, 2009; Wang et al., 2009).
304 Zhang et al. (2007) found that the values of Lev_{bb}/OC_{bb} and EC_{bb}/OC_{bb} in the cereal
305 straw of BJ were 0.08 and 0.13, respectively.

306 The $\delta^{13}C$ of aerosols derived from liquid fossil fuels (gasoline and diesel oil) was
307 approximately -31‰ to -25‰ (Agnihotri et al., 2011; Huang et al., 2006;
308 Lopez-Veneroni, 2009; Pugliese et al., 2017; Vardag et al., 2015; Widory, 2006). The
309 $\delta^{13}C$ derived from coal combustion was relatively high, ranging from -25‰ to -21‰
310 (Agnihotri et al., 2011; Pugliese et al., 2017; Widory, 2006). The results of Agnihotri

311 et al. (2011) showed that the $\delta^{13}\text{C}$ characteristic of biomass burning emissions ranged
 312 from -25.9‰ to -29.4‰ . Smith & Epstein (1971) found that plants with C3 (e.g.,
 313 wheat, soybeans, and most woody plants) and C4 (e.g., corn, grass, and sugar cane)
 314 metabolism had significantly different $\delta^{13}\text{C}$, with an average of -27‰ and -13‰ ,
 315 respectively. In other studies, these two types of plant-derived aerosols had different
 316 characteristics; the ^{13}C from C3 and C4 plants ranged from approximately -23.9‰ to
 317 -32‰ (Moura et al., 2008; Turekian et al., 1998) and from -11.5‰ to -13.5‰
 318 (Martinelli et al., 2002), respectively.

Table 1. Values with limits of input parameters for source apportionment using Latin hypercube sampling (LHS).

Parameters	Low	Probable value	High
$\text{Le}_{\text{vbb}}/\text{OC}_{\text{bb}}$	0.01	0.11	0.20
$\text{EC}_{\text{bb}}/\text{OC}_{\text{bb}}$	0.13	0.22	0.31
$\delta^{13}\text{C}_{\text{liq.fossil}} (\text{‰})$	-31.00	-27.00	-25.00
$\delta^{13}\text{C}_{\text{Coal}} (\text{‰})$	-25.00	-22.95	-21.00
$\delta^{13}\text{C}_{\text{nf}}^{\text{a}} (\text{‰})$	-26.00	-25.25	-24.00
$\delta^{13}\text{C}_{\text{nf}}^{\text{b}} (\text{‰})$	-27.00	-26.50	-25.00

Agnihotri et al., 2011; Engling et al., 2006, 2009; Gelencs  et al., 2007; Huang et al., 2006; Lopez-Veneroni, 2009; Martinelli et al., 2002; Moura et al., 2008; Oros et al., 2001a, b; Puxbaum et al., 2007; Smith & Epstein, 1971; Szidat et al., 2006; Turekian et al., 1998; Wang et al., 2009; Widory, 2006; Zhang et al., 2007.

^a Values used in BJ/LF

^b Values used in XA

319 Because of the differences in the structure of biomass fuels in different cities, we
 320 selected the $\delta^{13}\text{C}$ value based on the current status of biomass fuel used in research

321 regions. In China, biomass fuels mainly include crop residues, branches, and leaves,
322 and the amount of perennial wood is quite small (Zhang et al., 2015). BJ has a small
323 area of arable land, with low agricultural output and corn production (BJMBS, 2020).
324 The neighboring province, Hebei, is a large agricultural province that produces a large
325 amount of wheat and corn annually; the latter has a larger sown area (PGHP, 2020).
326 Shanxi Province also mainly produces wheat and corn; however, the sown area of
327 corn is more than three times that of wheat (SPBS, 2020). Agricultural production in
328 XA and the surrounding Guanzhong area is relatively large. The agricultural structure
329 is dominated by wheat and corn, and their sown areas are not very different (SAPBS,
330 2020). This shows that the $\delta^{13}\text{C}$ of agricultural straw burning in LF is likely to be
331 higher and that in XA may be lower. Some studies considered that $\delta^{13}\text{C}$ used for
332 quantitative mass–balance source apportionment calculations from biomass burning
333 should mainly be defined as C3 plants (Anderson et al., 2015; Fang et al., 2017; Ni et
334 al., 2020). Based on this information, the $\delta^{13}\text{C}$ value of biomass burning in BJ and LF
335 was found to be approximately -26‰ to -24‰ , and that in XA is likely to be from
336 approximately -27‰ to -25‰ . According to the researches about biomass burning
337 type, perennial biomass fuel was less frequently used in China (Fu et al., 2012; Street
338 et al, 2003b; Yan et al., 2006; Zhang et al., 2017b), the impact of nuclear explosions
339 on ^{14}C data can be ignored, and the $f_{\text{M}}(\text{nf})$ and $f_{\text{M}}(\text{bb})$ of the local station should be
340 close to the atmospheric value.

341 To evaluate the uncertainties of the quantification of source contributions, which
342 resulted from the uncertainties of the parameters used, we used Python software to
343 generate 3000 random variable simulations based on the LHS method (Gelencsér et
344 al., 2007). After excluding part of the out-of-range data, the median value of the
345 remaining simulations of each sample were considered as the best estimate. The

346 results of the uncertainties analysis had been discussed further in Section 3.6.

347 **2.10 Air mass backward trajectory analysis**

348 For Backward trajectory analysis, air-mass back trajectories from the previous 48
349 h were determined by using the HYbrid Single-Particle Lagrangian Integrated
350 Trajectory (HYSPLIT) model (Draxler and Hess, 1998) at three different endpoint
351 heights (e.g., 100 m, 500 m, and 1000 m) and a time interval of 6 h for sampling day
352 (<https://www.arl.noaa.gov/>).

353

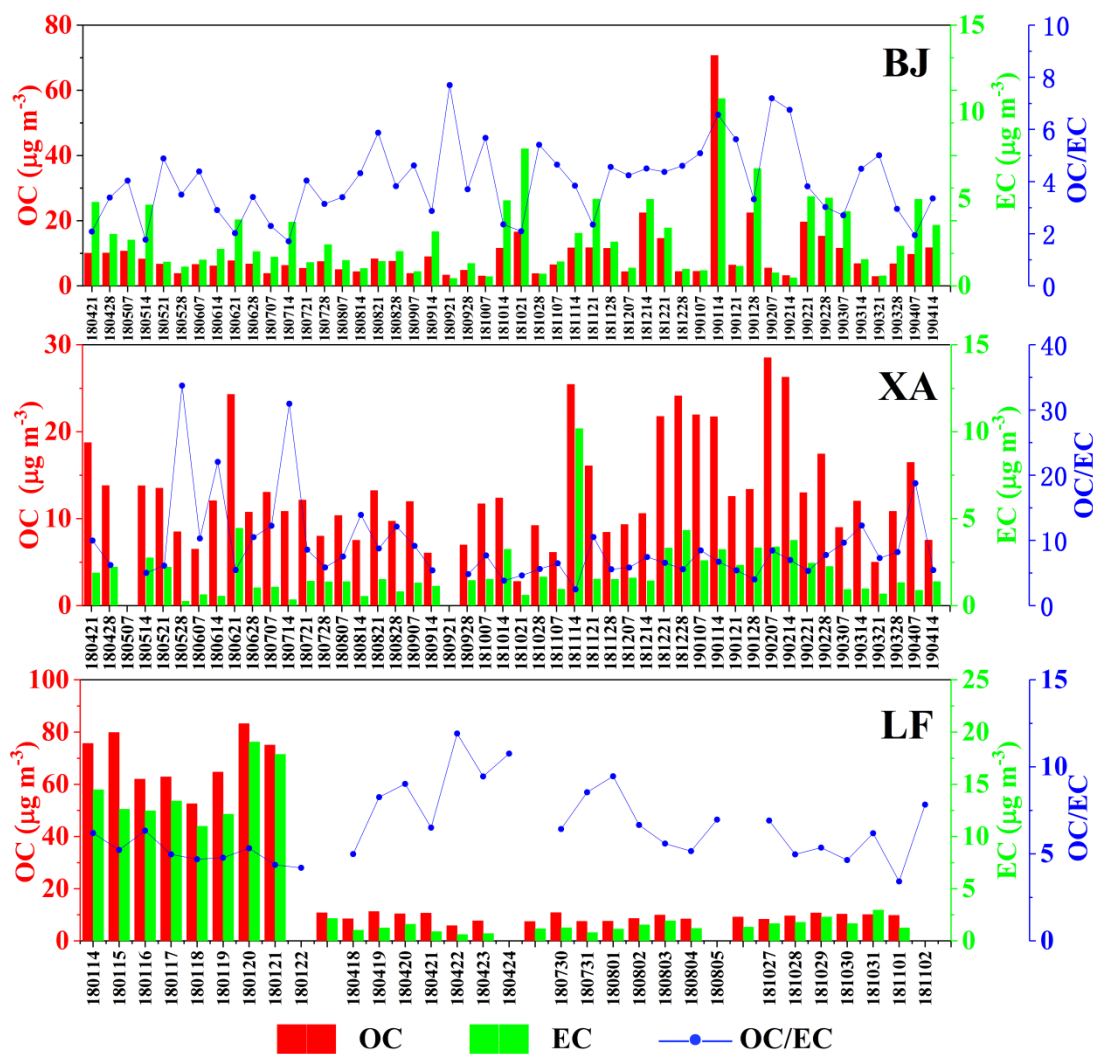
354 **3 Results and discussion**

355 **3.1 Characteristics and variation of carbonaceous components**

356 During the sampling period, the average mass concentration of PM_{2.5} in BJ, XA,
357 and LF was 72.1 ± 44.9 , 98.6 ± 64.5 , and $175.0 \pm 134.4 \mu\text{g m}^{-3}$, respectively. All
358 concentrations were higher in winter and lower in summer; LF showed the highest
359 value of $368.7 \pm 75.0 \mu\text{g m}^{-3}$ in winter.

360 Fig. 2 shows the changes in OC and EC and their ratios at the sampling sites. The
361 carbon components in the BJ, XA, and LF samples accounted for approximately 17.5
362 $\pm 6.0\%$, $21.5 \pm 21.0\%$, and $17.8 \pm 7.2\%$ of PM_{2.5}, respectively. Both OC and EC were
363 changing simultaneously and were characterized by low carbonaceous concentrations
364 in summer (OC: $8.9 \pm 3.7 \mu\text{g m}^{-3}$; EC: $1.6 \pm 0.9 \mu\text{g m}^{-3}$) and high concentrations in
365 winter (OC: $69.2 \pm 58.9 \mu\text{g m}^{-3}$; EC: $11.8 \pm 7.9 \mu\text{g m}^{-3}$). The average OC/EC ratios in
366 BJ, XA, and LF were 4.0 ± 1.4 , 9.0 ± 6.1 , and 6.6 ± 2.0 , respectively. Recent studies
367 have shown that the average ratio of OC/EC in BJ, XA, and Shanxi Province was
368 approximately 1.22–6.5 (Han et al., 2016; Ji et al., 2018; Wang et al., 2015; Zhao et
369 al., 2013). Generally, secondary OC (SOC) is considered to occur when OC/EC > 2
370 (Castro et al., 1999; Novakov et al., 2005; Turpin & Huntzicker, 1995). Additionally,

371 the use of biomass fuels can also enhance the OC/EC ratio (Popovicheva et al., 2014;
 372 Rajput et al., 2011). Therefore, the high OC/EC ratio indicates that carbonaceous
 373 aerosols contained a large number of SOCs or biomass burning sources, especially in
 374 XA.



376 Fig. 2 Variations of elemental carbon (EC), organic carbon (OC) and their ratios in
 377 PM_{2.5} at the sampling sites in Beijing (BJ), Xi'an (XA), and Linfen (LF) (date,
 378 “yymmdd”).

379 The average mass concentrations of TC, OC, and EC at the sampling site in BJ
 380 were 12.5 ± 11.8 , 9.7 ± 10.0 , and $2.8 \pm 2.1 \mu\text{gC m}^{-3}$. The concentration of carbon
 381 components was relatively stable in spring and summer but fluctuated greatly in
 382 autumn and winter. The concentration of carbon components in most cases was close

383 to that of other periods, but there was a rapid increase in autumn and winter. The
384 highest TC value was observed in the middle of January 2019 ($81.5 \mu\text{gC m}^{-3}$).

385 The average concentrations of TC, OC, and EC in XA were 14.6 ± 7.5 , $12.8 \pm$
386 6.3 , and $1.9 \pm 1.6 \mu\text{gC m}^{-3}$, respectively. In contrast to that in BJ, the concentration of
387 the carbon components in XA fluctuated greatly throughout the year. Specifically, the
388 concentration was lower from July to October and significantly higher from
389 December to February. However, there were high concentrations of TC on some days
390 in spring and summer, such as June 21, 2018, with the concentration reaching 28.8
391 $\mu\text{gC m}^{-3}$.

392 The average concentrations of TC, OC, and EC in LF were 35.7 ± 36.5 , $30.0 \pm$
393 30.4 , and $5.6 \pm 6.2 \mu\text{gC m}^{-3}$, respectively. In contrast to those in BJ and XA, the
394 concentration of the carbon components in LF was persistently high in winter and
395 stable and low in other seasons.

396

397 **3.2 Variations of ^{14}C**

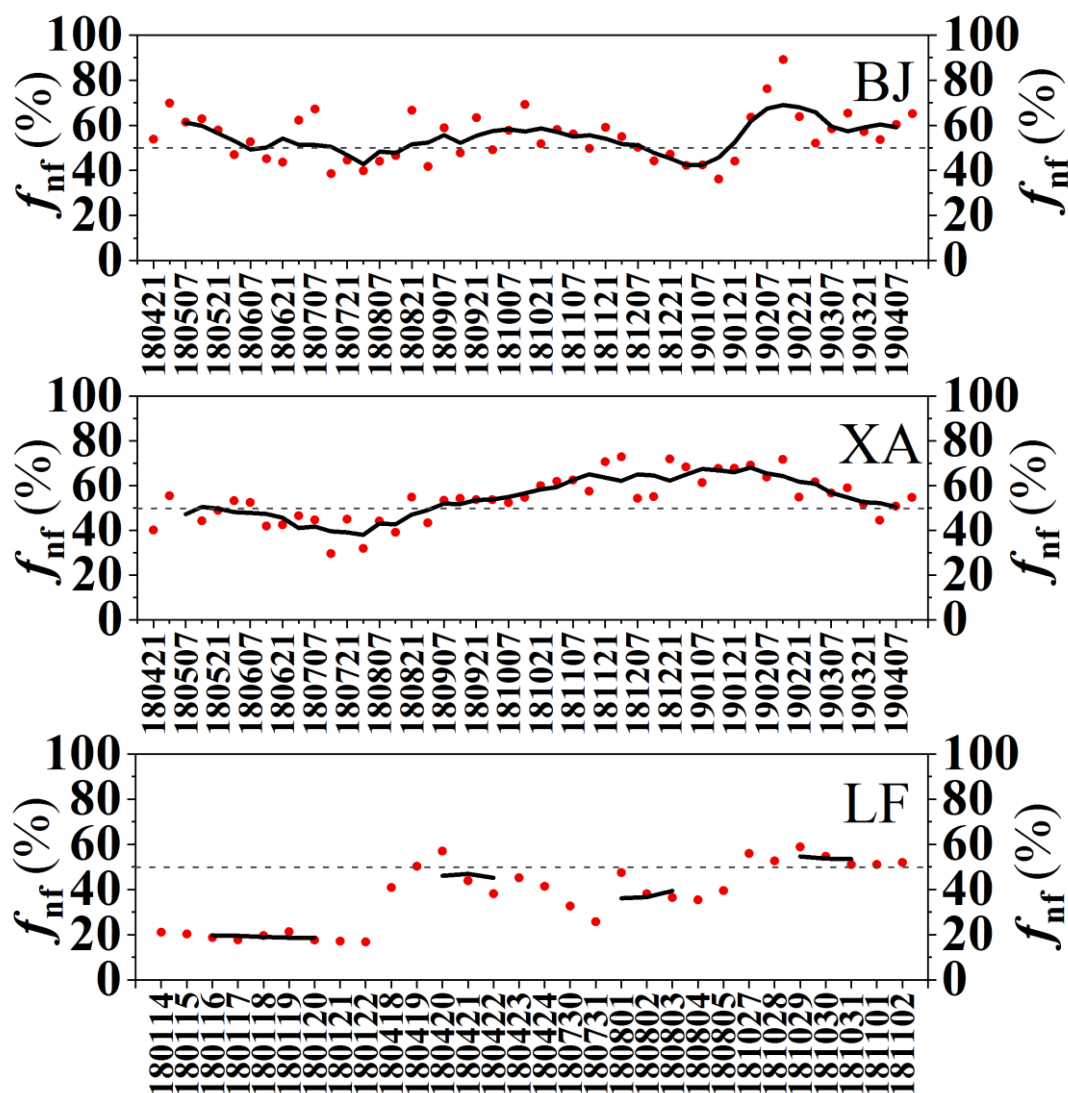
398 The ^{14}C results showed that the average f_{nf} values in BJ, XA, and LF were $54 \pm$
399 11% , $54 \pm 10\%$, and $36 \pm 14\%$, respectively. Non-fossil sources were the main
400 contributors in the BJ and XA samples (Fig. 3). Furthermore, the f_{nf} in the BJ samples
401 showed a higher average value in spring ($59 \pm 6\%$), whereas that in the XA samples
402 had higher average values in autumn (f_{nf} , $59 \pm 7\%$) and winter (f_{nf} , $63 \pm 6\%$). In the
403 LF samples, fossil sources were the main contributors, contributing $81 \pm 1\%$ in
404 winter.

405 By analyzing the f_{nf} characteristics of samples with different pollution levels
406 based on the $\text{PM}_{2.5}$ concentration, we can study the causes and characteristics of air
407 pollution more effectively. Using the relevant classification index of the daily average

408 PM_{2.5} concentration in the Technical Regulation on Ambient Air Quality Index (MEE,
409 2012), we divided the samples into clean (with a concentration of less than 75 $\mu\text{g m}^{-3}$),
410 regular (with a concentration between 75 and 150 $\mu\text{g m}^{-3}$), and polluted (with a
411 concentration greater than 150 $\mu\text{g m}^{-3}$). The f_{nf} value in most samples in BJ ($44 \pm 8\%$)
412 and LF ($19 \pm 2\%$) was lower during serious air pollution (Fig. 4), indicating that the
413 high concentrations of aerosols in BJ and LF were more affected by fossil sources.
414 One BJ sample had a low f_{nf} value (36%) in January and another had a high f_{nf} value
415 (89%) in February. These samples were collected when the atmosphere was severely
416 polluted and very clean, respectively. This might indicate that emissions from fossil
417 fuel sources are a decisive factor of air pollution in BJ. In the XA samples, when the
418 atmosphere was clean, f_{nf} decreased by 2–3%, indicating that the carbonaceous
419 aerosol pollution may be more affected by biomass burning or secondary non-fossil
420 sources from local emissions.

421 As can be seen in Fig. 5, the contribution of fossil sources in BJ decreased by
422 about 6-15% for the different sampling season/period after the implementation of
423 Action Plan, based on previous studies (Fang et al., 2017; Lim et al., 2020; Liu et al.,
424 2016a, b; Ni et al., 2018, 2020; Shao et al., 1996; Sun et al., 2012; Yang et al., 2005;
425 Zhang et al., 2015, 2017a) and this study. Among them, fossil sources decreased
426 significantly in autumn and winter after the Action Plan, which were 15% and 14%,
427 respectively. The contribution of fossil sources in our study decreased by 16% in
428 winter compared with the previous results. For the polluted and clean periods, the
429 proportion of fossil sources reduced by 6% and 9%, respectively. With the
430 implementation of energy conservation and emission reduction policies, many
431 non-clean fossil fuels have been replaced by clean energy. In 2019, the coal

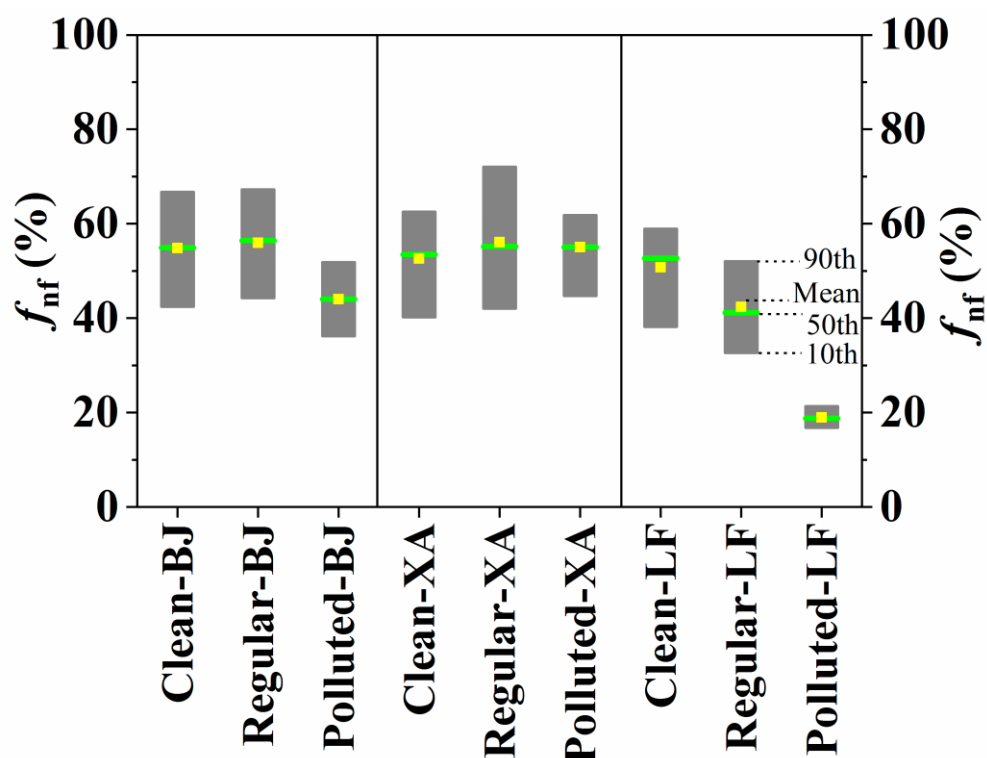
432 consumption in BJ was only 1.3 million tons, which was 91.5% lower than that in
 433 2013 (BJMBS, 2020).



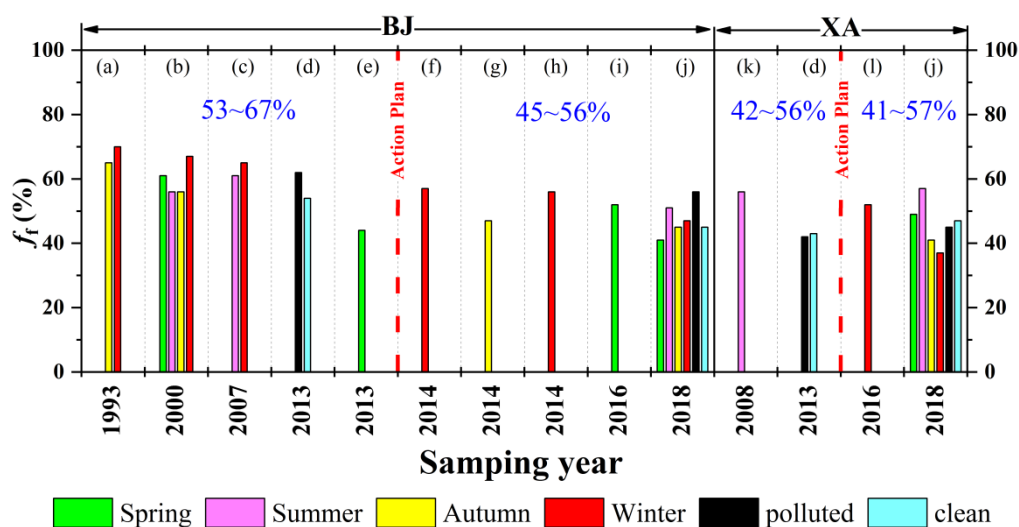
434
 435 Fig. 3 Variations in proportion of non-fossil sources (f_{nf}) of carbonaceous aerosols at
 436 the sampling sites in Beijing (BJ), Xi'an (XA), and Linfen (LF). The red scatter dot
 437 represents the f_{nf} of each sample, and the black solid line represents the sliding
 438 average f_{nf} value of every five samples (date, “yymmdd”).

439 Different from the results in BJ, the proportion of fossil sources in XA has not
 440 decreased significantly for each season/period (Fig. 5). This difference might be
 441 related with a small decline ($< 0.5\%$) in coal consumption in Xi'an during 2019
 442 compared to 2013 (XAMBS, 2014, 2020). Due to the less attention to LF, there is still

443 a lack of related research of carbonaceous aerosols using radiocarbon in this city to
 444 compare.



445
 446 Fig. 4 Boxplot distribution of f_{nf} of samples with different pollution levels. Clean
 447 samples: $PM_{2.5} < 75 \mu g m^{-3}$; regular samples: $75 \mu g m^{-3} \leq PM_{2.5} < 150 \mu g m^{-3}$;
 448 polluted samples: $PM_{2.5} \geq 150 \mu g m^{-3}$.



449 Spring Summer Autumn Winter polluted clean
 450 Fig. 5 Comparison of fossil proportion (f_f) of carbonaceous aerosol reported in
 451 different studies in Beijing (BJ) and Xi'an (XA), China for each season/period. The

452 data has been converted to the ratio of total carbon. The ranges shown in the upper
453 part of the figure are the average values of each season/period before and after the
454 Action Plan. (a) Shao et al., 1996; (b) Yang et al., 2005; (c) Sun et al., 2012; (d)
455 Zhang et al., 2015; (e) Liu et al., 2016b; (f) Zhang et al., 2017; (g) Liu et al., 2016a; (h)
456 Fang et al., 2017; (i) Lim et al., 2020; (j) This study; (k) Ni et al., 2018; (l) Ni et al.,
457 2021.

458

459 **3.3 Air mass backward trajectory analysis**

460 We analyzed and counted the backward trajectory during the sampling period;
461 several typical types were presented in Fig. S1. Figure S1 (a) shows the type of
462 backward trajectory with the highest frequency during the sample collection in BJ.
463 This type of long-distance transportation from the northwest accounted for
464 approximately 43.9% of all cases. The average $PM_{2.5}$ concentration, carbonaceous
465 aerosol concentration, and f_{nf} of the sample were $45.4 \pm 22.7 \mu\text{g m}^{-3}$, $9.5 \pm 6.4 \mu\text{gC}$
466 m^{-3} , and $56 \pm 10\%$, respectively. As shown in Fig. S1 (b), when air mass was
467 transported from the south or stayed for a long time in the Hebei province, air
468 pollution was usually more serious. These cases accounted for approximately 26.3%
469 of all cases. The average concentrations of $PM_{2.5}$ and carbonaceous aerosols were
470 $97.3 \pm 43.6 \mu\text{g m}^{-3}$ and $15.6 \pm 7.9 \mu\text{gC m}^{-3}$, which were 2.1 and 1.6 times of those in
471 the northwest, respectively. The aerosol concentration of air masses transported from
472 the southern region was higher than that from the northern regions. The f_{nf} value in
473 these cases was $46 \pm 5\%$, which was 10% higher than in the northwest cases. Thus, air
474 pollution in BJ might be affected by fossil sources in the Hebei province and other
475 southern regions.

476 The $PM_{2.5}$ and carbonaceous concentrations were low when the air mass
477 transported from the northwest for a long distance at the XA site (Fig. S1 (c)). In this
478 case, the average $PM_{2.5}$ concentration, carbonaceous aerosol concentration, and f_{nf} of
479 the samples were $93.1 \pm 65.1 \mu\text{g m}^{-3}$, $17.4 \pm 9.6 \mu\text{gC m}^{-3}$, and $62 \pm 7\%$, respectively.
480 However, when air masses circulated in the Guanzhong Basin or converged into the
481 basin from multiple directions due to the local topography (Fig. S1 (d)), the
482 concentration of carbonaceous aerosol was usually high. The proportion of this type
483 of air mass transportation accounted for 53.6% of the total cases. The average $PM_{2.5}$
484 concentration, carbonaceous aerosol concentration, and f_{nf} of the corresponding
485 samples were $132.0 \pm 72.8 \mu\text{g m}^{-3}$, $19.7 \pm 10.4 \mu\text{gC m}^{-3}$, and $58 \pm 9\%$, respectively.
486 Thus, air pollution in XA was mainly affected by the diffusion environment. The air
487 mass remained in the upper part of the Guanzhong region for a long time when the
488 diffusion environment was poor, causing secondary reactions and air pollution.
489 Moreover, when the air mass came from eastern cities (e.g., Henan or Hubei
490 provinces), f_{nf} was 47%, which was significantly lower than that in other cases. This
491 indicated that fossil source emissions in Henan and other eastern regions might
492 contribute to air pollution in XA.

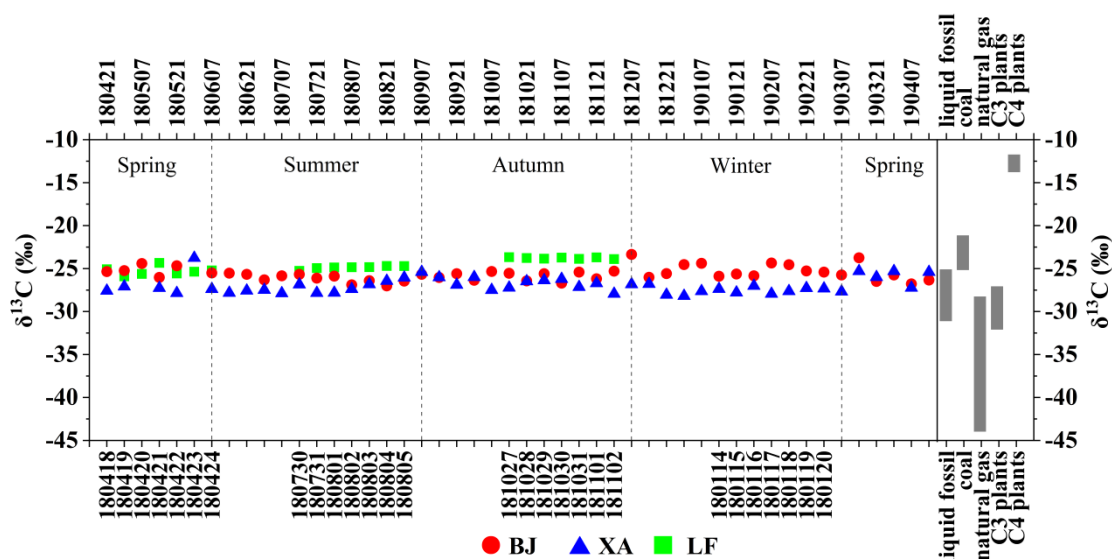
493 As shown in Fig. S1 (e), when the air mass was long-distance transported to the
494 LF, the concentration of carbonaceous aerosols was relatively stable. However,
495 pollutants accumulated when the air mass returned over and around the city (Fig. S1
496 (f)). In these cases, the concentrations of $PM_{2.5}$ and carbonaceous aerosols of the
497 sample increased by 46.35–57.10%, and f_{nf} decreased by 5%. Thus, the LF samples
498 were more susceptible to the diffusion environment and the proportion of fossil
499 sources discharged locally.

500 Air pollution in BJ was more susceptible to the impact of transportation from the
 501 southern region, whereas XA and LF were more affected by local emissions and
 502 diffusion environments.

503

504 3.4 Best estimate of source apportionment of TC using ^{14}C and ^{13}C

505 The $\delta^{13}\text{C}$ values at the sampling sites in BJ, XA, and LF were $-25.65 \pm 0.79\text{‰}$,
 506 $-26.94 \pm 0.92\text{‰}$, and $-23.84 \pm 0.16\text{‰}$, respectively. Figure 6 shows the $\delta^{13}\text{C}$ values
 507 of the samples from each city and various sources. Specifically, $\delta^{13}\text{C}$ had lower values
 508 in the BJ and LF samples during summer ($-26.11 \pm 0.49\text{‰}$ and $-24.88 \pm 0.18\text{‰}$,
 509 respectively) and higher values during winter ($-25.07 \pm 0.79\text{‰}$ and $-23.84 \pm 0.16\text{‰}$,
 510 respectively). Conversely, the lower and higher $\delta^{13}\text{C}$ values in the XA samples
 511 appeared in winter ($-27.49 \pm 0.44\text{‰}$) and spring ($-26.34 \pm 1.23\text{‰}$).



512

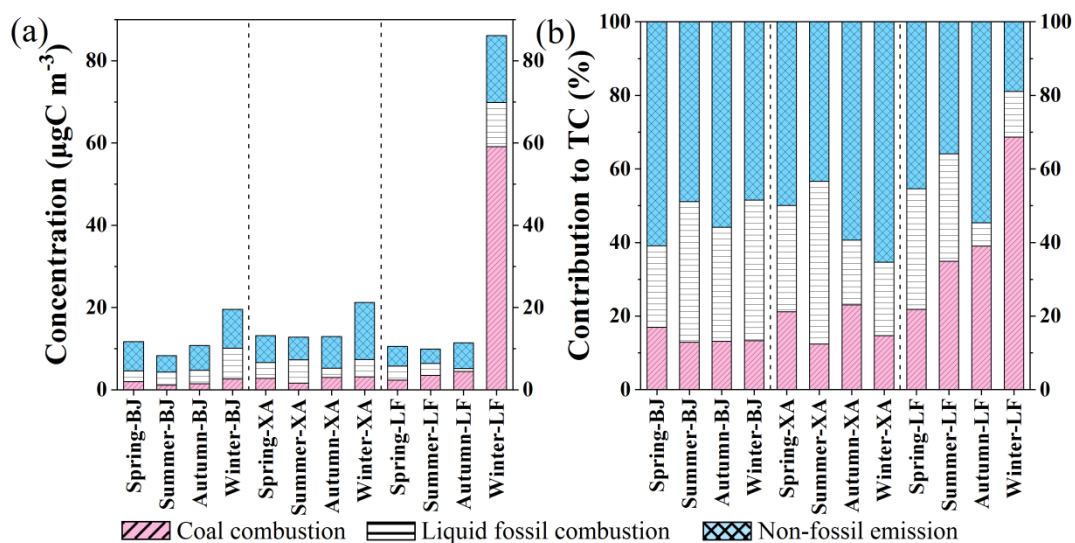
513 Fig. 6 $\delta^{13}\text{C}$ values of samples from Beijing (BJ), Xi'an (XA), and Linfen (LF), and
 514 comparison with the $\delta^{13}\text{C}$ distribution of various sources. The abscissa represents the
 515 sampling date (yymmdd). The tick labels of top axis represent the date of BJ and XA,
 516 and the bottom represents the date of LF. The gray box indicates the $\delta^{13}\text{C}$ of the main
 517 source (Agnihotri et al., 2011; Huang et al., 2006; Lopez-Veneroni, 2009; Martinelli

518 et al., 2002; Moura et al., 2008; Pugliese et al., 2017; Smith & Epstein, 1971; Vardag
519 et al., 2015; Widory, 2006).

520 Compared with the existing isotope indicators of various sources (Fig. 6), the
521 increase in $\delta^{13}\text{C}$ in the BJ and LF samples during winter may be more related to the
522 increase in coal combustion from local and the surrounding cities. The increase in
523 $\delta^{13}\text{C}$ in XA samples during autumn and winter may be related to the use of C4 plant
524 fuel, whereas the decrease during winter may be related to vehicle emissions and the
525 use of C3 plant fuels, such as wheat straw or wood.

526 ^{14}C and ^{13}C were used to quantify the sources of TC in the carbonaceous aerosols
527 (Fig. 7). For the carbonaceous aerosols in BJ and XA, the best estimate of source
528 apportionment showed that the contributions of liquid fossil fuels were $29.3 \pm 12.7\%$
529 and $24.9 \pm 18.0\%$, respectively, which were greater than the contribution of coal (15.5
530 $\pm 8.8\%$ and $20.9 \pm 14.2\%$, respectively). In 2019, coal accounted for only 2.6% of all
531 fossil fuels used in BJ (BJMBS, 2020). This indicates that the local combustion of
532 coal was very low, and the coal contribution might be somewhat related to
533 transportation from the surrounding regions. Moreover, the higher contribution of
534 liquid fossil fuels in BJ was due to the high number of motor vehicles (6.4 million),
535 which was 1.7 times higher than that in XA in 2019 (BJMBS, 2020; XAMBS, 2020).
536 Figure S2 shows some studies on the source apportionment of coal and liquid fossil
537 fuels in aerosols in BJ over the past few decades. The coal contribution in BJ
538 decreased, whereas liquid fossil fuels gradually became the main source of fossil fuels.
539 After the implementation of the Action Plan, the proportion of coal in fossil sources
540 decreased by approximately 32% in BJ (Gao et al., 2018; Li et al., 2013; Liu et al.,
541 2014; Shang et al., 2019; Song et al., 2006; Tian et al., 2016; Wang et al., 2008;
542 Zhang et al., 2014).

543 In contrast, coal combustion contributed $42.9 \pm 19.4\%$ to LF samples, which was
 544 greater than the contribution of liquid fossil emissions ($20.9 \pm 12.3\%$) and
 545 significantly higher than those in BJ and XA. Especially in winter, coal contributed as
 546 much as $68.6 \pm 3.6\%$ ($59.1 \pm 10.0 \mu\text{gC m}^{-3}$). According to the data released by the
 547 Shanxi Provincial Bureau of Statistics, coal consumption in Shanxi Province was as
 548 high as 349.06 million tons in 2019, which was 46.7 times of the consumption of
 549 liquid fossil fuels, accounting for 70.3% of the total fossil fuel consumption (SPBS,
 550 2020). The high contribution of coal combustion in winter might be related to the use
 551 of household coal for heating by rural residents in Shanxi. This is because household
 552 coal can emit a large amount of carbonaceous particles and is an important source of
 553 carbonaceous aerosols in rural areas in northern China (Chen et al., 2005; Shen et al.,
 554 2010; Streets et al., 2003a; Zhi et al., 2008).

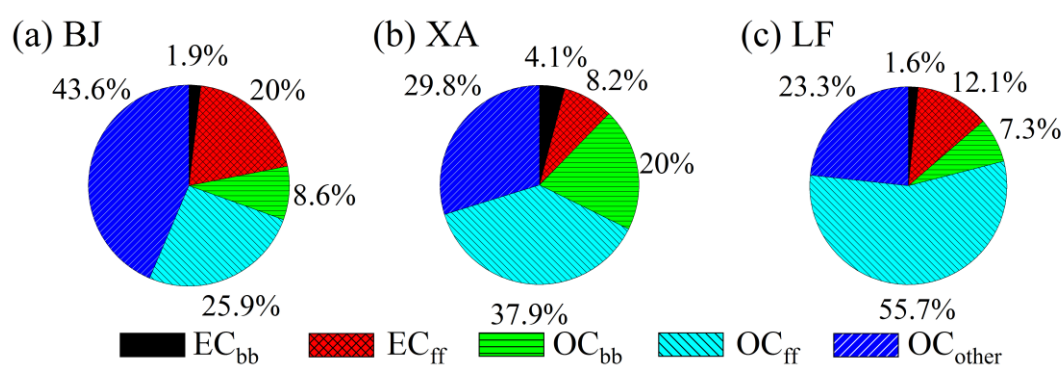


555
 556 Fig. 7 Mass concentrations ($\mu\text{gC m}^{-3}$) (a) and percentage (b) of coal combustion,
 557 liquid fossil fuel, and non-fossil sources emissions for carbonaceous aerosols samples
 558 in Beijing (BJ), Xi'an (XA), and Linfen (LF) during different seasons.

559

560 **3.5 Best estimate of source apportionment of OC and EC by ^{14}C and Lev**

561 The concentration of each carbon component in BJ, XA, and LF was calculated
 562 based on the combination of Lev and ^{14}C . The best estimate of source apportionment
 563 showed in Fig. 8. The contributions of OC_{other} ($43.6 \pm 12.9\%$), OC_{ff} ($25.5 \pm 11.7\%$),
 564 and EC_{ff} ($20.5 \pm 6.5\%$) were relatively high in BJ. The OC_{bb} ($23.0 \pm 17.3\%$) and OC_{ff}
 565 ($39.7 \pm 9.7\%$) were the highest contributors in XA. The LF samples showed different
 566 characteristics, and the contribution of fossil sources was significantly high, especially
 567 for the OC_{ff} ($56.1 \pm 11.9\%$).



568 Fig. 8 Percentage of elemental carbon from biomass burning (EC_{bb}) and fossil-fuel
 569 combustion (EC_{ff}) and percentage of organic carbon from biomass burning (OC_{bb}),
 570 fossil-fuel combustion (OC_{ff}), and other sources (OC_{other}) for the $\text{PM}_{2.5}$ samples in
 571 Beijing (BJ), Xi'an (XA), and Linfen (LF).
 572

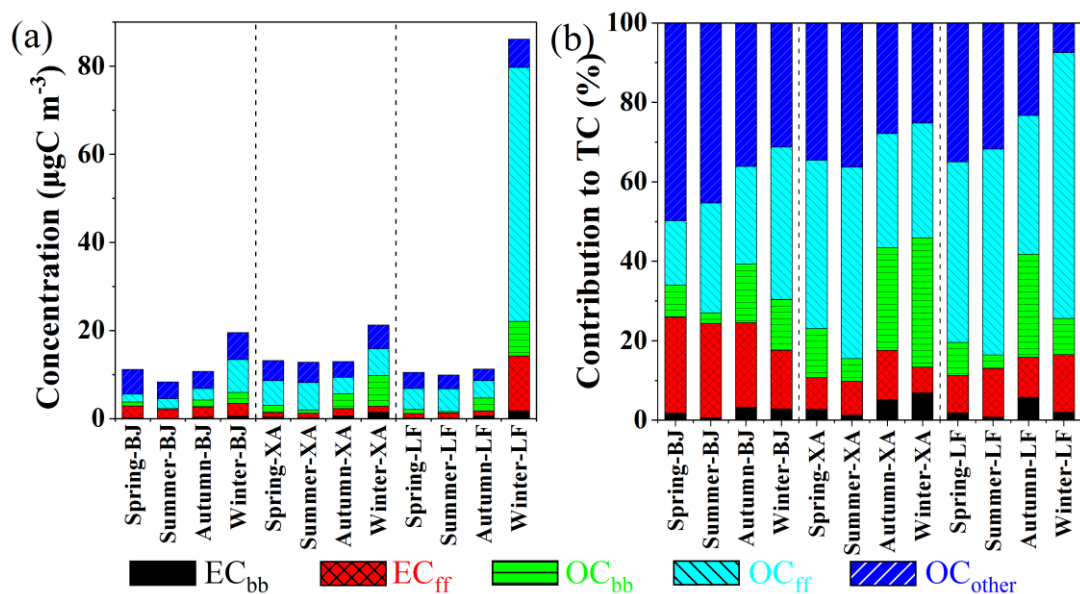
573 3.5.1 Biomass burning contribution to TC

574 The concentrations ($0.3 \pm 0.3 \mu\text{gC m}^{-3}$) and contributions ($1.9 \pm 1.4\%$) of EC_{bb} in
 575 BJ were relatively low during the whole year (Fig. 9). The EC_{bb} at the XA and LF
 576 sites had high concentrations in autumn ($0.7 \pm 0.5 \mu\text{gC m}^{-3}$ and $0.6 \pm 0.1 \mu\text{gC m}^{-3}$) and
 577 winter ($1.5 \pm 0.7 \mu\text{gC m}^{-3}$ and $1.7 \pm 0.3 \mu\text{gC m}^{-3}$) and low concentrations in summer
 578 ($0.2 \pm 0.1 \mu\text{gC m}^{-3}$ and $0.1 \pm 0.0 \mu\text{gC m}^{-3}$), respectively. The OC_{bb} concentrations in
 579 the BJ, XA, and LF samples showed an increase in autumn ($1.6 \pm 1.4 \mu\text{gC m}^{-3}$, $3.3 \pm$
 580 $2.2 \mu\text{gC m}^{-3}$, and $2.9 \pm 0.4 \mu\text{gC m}^{-3}$) and winter ($2.5 \pm 2.1 \mu\text{gC m}^{-3}$, $6.9 \pm 3.3 \mu\text{gC m}^{-3}$,
 581 and $7.9 \pm 1.3 \mu\text{gC m}^{-3}$), respectively. Especially in the XA samples, OC_{bb} had high

582 contributions in autumn ($28.6 \pm 15.8\%$) and winter ($32.8 \pm 12.3\%$). The contribution
583 of biomass combustion in XA ($24.1 \pm 18.0\%$) was significantly larger than that in BJ
584 ($10.8 \pm 7.9\%$) and LF ($8.8 \pm 8.9\%$), which was also reflected in the concentration of
585 Lev (Fig. S3). The Lev concentration in XA ($0.36 \pm 0.38 \mu\text{g m}^{-3}$) was higher than that
586 in BJ ($0.15 \pm 0.17 \mu\text{g m}^{-3}$) and slightly higher than that in LF ($0.32 \pm 0.34 \mu\text{g m}^{-3}$).
587 Furthermore, the Lev concentration in XA during autumn and winter was up to 5.3
588 times higher than that during the other seasons. Especially in winter, the proportion of
589 Lev in the TC was $4.0 \pm 2.3\%$ in XA, which was 3.9 and 3.8 times those in BJ and LF,
590 respectively. Zhang et al. (2015) attributed this to emissions from neighboring rural
591 regions because such areas use biofuels for heating and cooking more commonly in
592 winter. China produces 939 million tons of agricultural biomass residues annually,
593 which is the main energy source for some rural areas (Liao et al., 2004; Lu et al.,
594 2009). In addition, the increase in urban vegetation coverage may also increase the
595 photochemical reactions of biological volatile organic compounds (VOCs) (Gelencsér
596 et al., 2007; NBS, 2021). Therefore, in recent years, non-fossil fuels have gradually
597 become a major contributor to carbonaceous aerosols in BJ and XA with the reduction
598 in the use of fossil energy.

599 **3.5.2 Fossil contribution to TC**

600 The EC_{ff} concentrations at BJ (spring: $2.7 \pm 1.4 \mu\text{gC m}^{-3}$; summer: $2.0 \pm 0.8 \mu\text{gC}$
601 m^{-3} ; autumn: $2.3 \pm 2.0 \mu\text{gC m}^{-3}$; winter: $2.9 \pm 2.6 \mu\text{gC m}^{-3}$) and XA (spring: 1.1 ± 0.8
602 $\mu\text{gC m}^{-3}$; summer: $1.1 \pm 1.1 \mu\text{gC m}^{-3}$; autumn: $1.6 \pm 2.3 \mu\text{gC m}^{-3}$; winter: 1.4 ± 0.8
603 $\mu\text{gC m}^{-3}$) did not fluctuate significantly during the year. The concentration of EC_{ff} in
604 LF during spring, summer, and autumn was relatively stable ($1.0\text{--}1.2 \mu\text{gC m}^{-3}$), but it
605 was high during winter ($12.5 \pm 2.5 \mu\text{gC m}^{-3}$), reaching 10.2 times that in summer.



606

607 Fig. 9 Mass concentrations ($\mu\text{gC m}^{-3}$) (a) and percentage (b) of elemental carbon from
 608 biomass burning (EC_{bb}) and fossil-fuel combustion (EC_{ff}), organic carbon from
 609 biomass burning (OC_{bb}), fossil-fuel combustion (OC_{ff}), and other sources (OC_{other}) for
 610 carbonaceous aerosols samples in Beijing (BJ), Xi'an (XA), and Linfen (LF) during
 611 different seasons.

612 The concentration of OC_{ff} was slightly higher in XA during summer (6.2 ± 2.2
 613 $\mu\text{gC m}^{-3}$) and winter ($6.1 \pm 2.1 \mu\text{gC m}^{-3}$). The contribution of OC_{ff} in the BJ samples
 614 increased to $32.4 \pm 14.5\%$ during winter and decreased to $18.4 \pm 8.4\%$ during spring.
 615 The $\text{OC}_{\text{ff}}/\text{EC}_{\text{ff}}$ ratios in BJ and LF during winter were approximately 2.3 ± 1.2 and 4.7
 616 ± 0.7 , respectively, suggesting that the fossil source secondary carbonaceous aerosols
 617 were higher in winter. This can be explained by the lower temperature in the winter
 618 altering the gas-particle equilibrium, suggesting that a larger portion of the OC_{ff}
 619 during winter was secondary aerosol (Genberg et al., 2011). OC_{ff} in LF had high
 620 concentrations in winter ($57.6 \pm 9.2 \mu\text{gC m}^{-3}$) and low concentrations in summer (5.2
 621 $\pm 1.2 \mu\text{gC m}^{-3}$). This indicated that the burning of fossil sources was an important
 622 source of OC in BJ (OC_{ff} : $32.4 \pm 14.5\%$) and LF (OC_{ff} : $66.8 \pm 1.7\%$) during winter.
 623 Fang et al. (2017) found that fossil fuels contributed significantly ($> 50\%$) to carbon

624 components in the haze in East Asia during January 2014, suggesting that the aerosol
625 contribution was generally dominated by fossil combustion sources. Therefore, using
626 cleaner energy and cleaner residential stoves to reduce and replace the high-emission
627 end-use coal combustion processes and control the emissions from liquid-fossil-fueled
628 vehicles in megacities should be beneficial to the air quality.

629 **3.5.3 Other non-fossil contributions to OC**

630 In addition to the OC directly emitted from fossil and biomass fuels, there are
631 many components of OC, such as SOC, whose source is difficult to identify.
632 Residential oil fume emissions from urban residents, emissions from biological
633 sources, and secondary bio-organic aerosols generated by the secondary reaction of
634 biomass fuels are also important components of OC (Gelencsér et al., 2007; Zhang et
635 al., 2015).

636 The concentration of OC_{other} in the LF samples did not vary greatly during spring
637 ($3.7 \pm 1.2 \mu\text{gC m}^{-3}$) and summer ($3.2 \pm 0.5 \mu\text{gC m}^{-3}$) but it was lower in autumn ($2.6 \pm$
638 $0.3 \mu\text{gC m}^{-3}$) and higher in winter ($6.5 \pm 2.8 \mu\text{gC m}^{-3}$). In BJ, the contribution of
639 OC_{other} was high during spring ($49.9 \pm 9.9\%$) and summer ($45.8 \pm 9.8\%$), and its
640 concentration was relatively high during winter ($6.1 \pm 5.6 \mu\text{gC m}^{-3}$). Zhang et al.
641 (2015) mainly attributed the presence of OC_{other} in northern China to SOC formation
642 from non-fossil, non-biogenic precursors. In general, secondary bio-organic aerosols
643 in spring and autumn are mainly caused by biological emissions or long-distance
644 transportation of biological VOCs and secondary organic aerosols (SOAs) in
645 particulates (Gelencsér et al., 2007; Jimenez et al., 2009). The high concentration in
646 winter may be because low temperatures drive condensable semi-volatile organic
647 compounds (SVOCs) into the particulate phase (Simpson et al., 2007; Tanarit et al.,
648 2008).

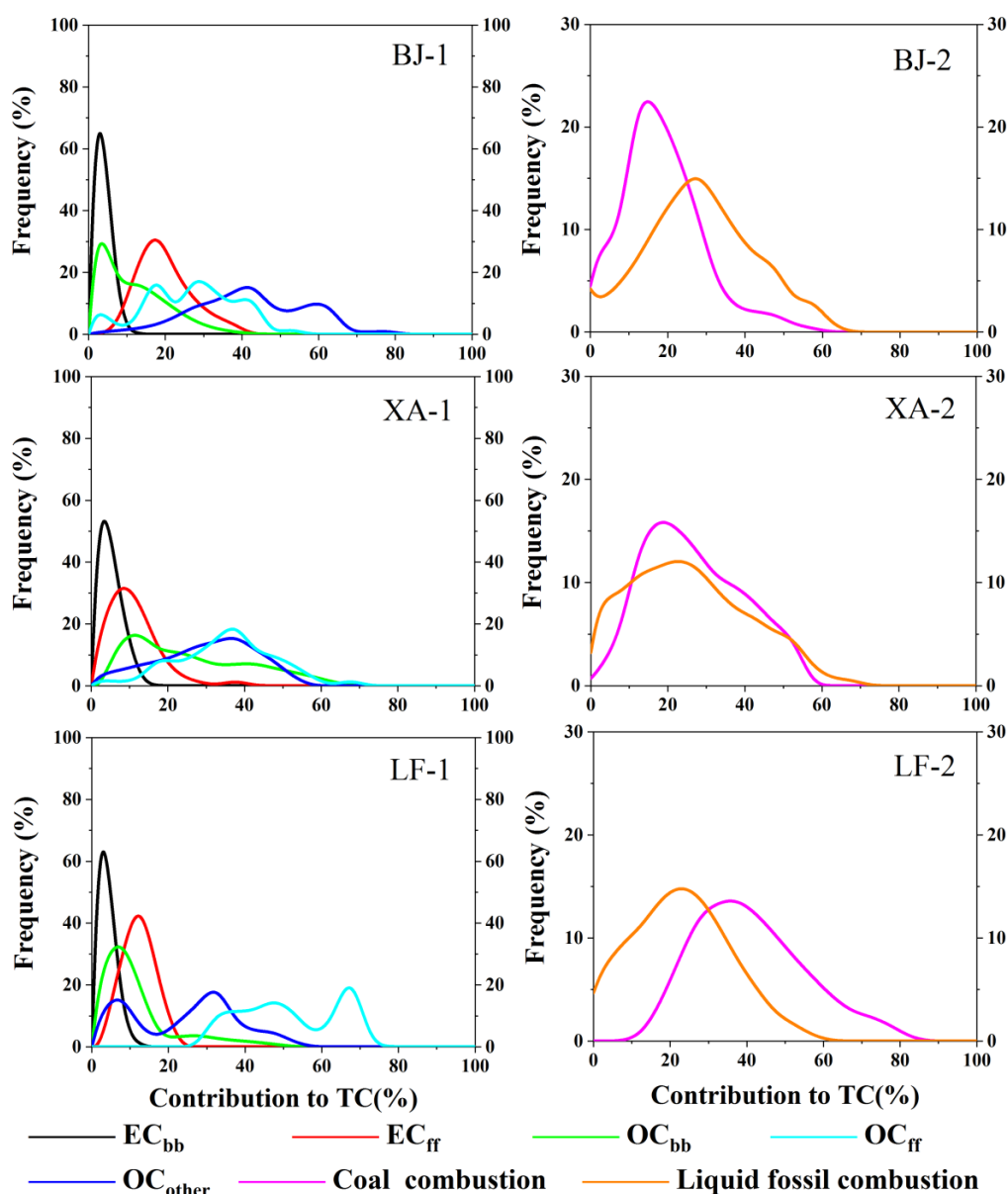
649 The OC_{other} contribution and concentration in XA were high in summer ($35.2 \pm$
650 10.0%) and winter ($5.4 \pm 4.2 \mu\text{gC m}^{-3}$), respectively. We assume that this excess is
651 mainly attributed to SOC formation from non-fossil and primary biogenic particles.
652 Some SOAs are formed by VOCs that are produced by burning wood or biofuels (e.g.,
653 ethanol), and they increase the load of these sources on organic aerosols (Genberg et
654 al., 2011). Huang et al. (2014) found that severe haze pollution was largely driven by
655 secondary aerosol formation, and non-fossil SOAs dominated, accounting for $66 \pm 8\%$
656 of the SOAs in XA despite extensive urban emissions. Ni et al. (2020) also considered
657 that non-fossil sources largely contributed (56%) to SOC in XA. Thus, the control of
658 biomass burning activities could be an efficient strategy for reducing aerosols,
659 especially in XA. Furthermore, SOC formation from these non-fossil VOCs may be
660 enhanced when they are mixed with other pollutants, such as VOCs and NO_x (Hoyle
661 et al., 2011; Weber et al., 2007). Motor vehicles are one of the main anthropogenic
662 sources of VOCs and NO_x (Barletta et al., 2005; Liu et al., 2008). In Section 3.4, we
663 found that the carbonaceous concentrations from motor vehicle emissions were high
664 in XA during winter and summer (Fig. 7a), and the increasing of motor vehicle
665 activities might partly explain the high concentration of OC_{other} during the two
666 seasons.

667

668 **3.6 Uncertainty analysis**

669 The results of the uncertainty analysis of the given set (Table 1) of the
670 parameters in the three cities were shown in Fig. 10. Each curve represents the
671 probability distribution of the sources of carbon components that contribute to the TC,
672 from which the uncertainty of the source allocation can be derived. Some results were
673 uncertain because the input parameters of the LHS calculation varied greatly. The

674 contributions of OC_{ff} and OC_{other} to the TC were mostly uncertain. This is mainly
 675 related to the uncertainty of the two parameters, Lev/OC_{bb} and $(EC/OC)_{bb}$. Both these
 676 parameters depend on the burning conditions and type of biomass, as mentioned in
 677 Section 2.9. More reliable data would be obtained if $^{13}C/^{14}C$ could be performed on
 678 the pure OC fractions of the samples, which has been proven to be feasible (Huang et
 679 al., 2014; Szidat et al., 2004, 2006; Zhang et al., 2015). Other contributions have
 680 single peaks, which prove that the results of the source analysis are reliable. These
 681 results demonstrate that we can identify the main contributors.



682

683 Fig. 10 Latin hypercube sampling of frequency distributions of the source
684 contributions to total carbon (TC) from fossil, organic carbon (OC), and elemental
685 carbon (EC) source categories (Table 1) for the samples collected in Beijing (BJ),
686 Xi'an (XA), and Linfen (LF).

687

688 **4 Conclusions**

689 $PM_{2.5}$ samples were collected from BJ, XA, and LF in northern China from
690 January 2018 to April 2019. The main objective of this study was to quantify the
691 sources of carbonaceous aerosols by measuring the EC, OC, Lev, ^{13}C , and ^{14}C
692 combined with LHS.

693 The TC accounted for approximately $17.5 \pm 6\%$, $21.5 \pm 21\%$, and $17.8 \pm 7.2\%$ of
694 $PM_{2.5}$ in the samples from BJ, XA, and LF, and the corresponding concentrations
695 were $12.5 \pm 11.8 \mu gC m^{-3}$, $14.6 \pm 7.5 \mu gC m^{-3}$, and $35.7 \pm 36.5 \mu gC m^{-3}$, respectively.
696 The concentrations at the three sites showed high values in winter and low values in
697 summer. Based on backward trajectory analysis, we found that carbonaceous aerosols
698 in BJ were more susceptible to transportation from the southern regions. Local
699 emissions and the diffusion environment significantly impacted carbonaceous
700 aerosols in XA and LF.

701 The best estimate of source apportionment of the fossil components in the TC
702 showed that the contribution of liquid fossil fuel combustion was $29.3 \pm 12.7\%$ and
703 $24.9 \pm 18.0\%$ in BJ and XA, respectively, which was greater than the contribution of
704 coal combustion ($15.5 \pm 8.8\%$; $20.9 \pm 14.5\%$). In contrast, coal combustion
705 contributed $42.9 \pm 19.4\%$ in LF, which was greater than the contribution of liquid
706 fossil fuel combustion ($20.9 \pm 12.3\%$).

707 The best estimate of source apportionment of OC and EC indicated that the

708 contributions of EC_{ff} ($20.0 \pm 6.5\%$), OC_{ff} ($25.9 \pm 11.6\%$), and OC_{other} ($43.6 \pm 12.9\%$)
709 were relatively high in BJ. The OC_{ff} contribution was higher in winter ($32.4 \pm 14.5\%$),
710 and its concentration was 3.3 times higher than that in other seasons. The contribution
711 of OC_{bb} ($20.0 \pm 15.3\%$) and OC_{ff} ($37.9 \pm 10.8\%$) was higher in XA. The contribution
712 of biomass burning to the TC was as high as $39.6 \pm 14.5\%$ in winter. The contribution
713 of OC_{ff} in LF was significantly high ($55.7 \pm 12.2\%$), especially in winter ($66.8 \pm$
714 1.7%).

715 The decline (6–16%) in the contribution of fossil sources since the
716 implementation of the Action Plan indicates the effectiveness of air quality
717 management. In the future, the government needs to further regulate and control
718 emissions from motor vehicles in megacities such as BJ and XA. The cleaner use of
719 coal must be further strengthened in coal-based cities such as LF in the eastern part of
720 the Fenwei Plain. This study indicates that attention should be paid to the control of
721 biomass burning in northern China, especially in the Guanzhong region.

722

723 ***Code and data availability:*** The data products in this paper are available at the East
724 Asian Paleoenvironmental Science Database, National Earth System Science Data
725 Center, National Science & Technology Infrastructure of China
726 (http://paleodata.ieecas.cn/index_EN.aspx).

727

728 ***Author contributions:*** HZ performed the data analysis and wrote the initial draft of
729 the manuscript. ZN and WZ conceived the project and reviewed the paper. ZN and
730 SW provided the samples. HZ, XF, SW, XL and HD conducted the measurements. All
731 authors made substantial contributions to this work.

732

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734

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745

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