### 1 Revising the definition of anthropogenic heat flux from

# <sup>2</sup> buildings: role of human activities and building storage heat

### 3 flux

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8 Abstract. Buildings are a major source of anthropogenic heat emissions, impacting energy use and human

9 health in cities. The difference in magnitude and time lag between building energy consumption and building

anthropogenic heat emission magnitudes and time lag and are is poorly quantified. Energy consumption  $(Q_{EC})$  is

a widely used proxy for the anthropogenic heat flux from buildings  $(Q_{F,B})$ . Here we revisit the latter's definition.

12 If  $Q_{F,B}$  is the heat emission to the outdoor environment from human activities within buildings, we can derive it

13 from the changes in energy balance fluxes between occupied and unoccupied buildings. Our derivation shows

14 the difference between  $Q_{EC}$  and  $Q_{F,B}$  is attributable to a change in the storage heat flux induced by human

15 activities ( $\Delta S_{o-uo}$ ) (i.e.,  $Q_{F,B} = Q_{EC} - \Delta S_{o-uo}$ ). Using building energy simulations (EnergyPlus) we calculate

16 the energy balance fluxes for a simplified isolated building (obtaining  $Q_{F,B}$ ,  $Q_{EC}$ ,  $\Delta S_{o-uo}$ ) with different

17 occupancy states. The non-negligible differences in diurnal patterns between  $Q_{F,B}$  and  $Q_{EC}$  are caused by

thermal storage (e.g. hourly  $Q_{F,B}$  to  $Q_{EC}$  ratios vary between -2.72 and 5.13 within a year in Beijing, China).

19 Negative  $Q_{F,B}$  can occur as human activities can reduce heat emission from <u>a</u> building but <u>this isare</u> associated

20 with a large storage heat flux. Building operations (e.g., opening windows, use of HVAC systemspace heating

21 and cooling system) modify the  $Q_{F,B}$  by affecting not only  $Q_{EC}$  but also the  $\Delta S_{o-uo}$  diurnal profile. Air

22 temperature and solar radiation are critical meteorological factors explaining day-to-day variability of  $Q_{F,B}$ . Our

23 new approach could be used to provide data for future parameterisations of both anthropogenic heat flux and

storage heat fluxes from buildings. It is evident that storage heat fluxes in cities <u>may could</u> also be impacted by occupant behaviour.

#### 26 1 Introduction

27 Human's activities that influence energy exchanges are critical to a wide variety of disciplines (e.g.

28 meteorology, building design, geography, climatology, hydrology, engineering). As disciplines often have

29	interests in different scales, purposes and/or boundary conditions, the terminology and acceptable assumptions
30	differ. However, disciplines may provide data to each other or help improve assumptions used. In this study we
31	are concerned with the interface between meteorology, climatology and building design in urban areas.
32	To model the weather and climate in urban areas, an important additional source of energy to the environment is
33	the anthropogenic heat flux $(Q_F)$ . This is defined as the heat converted from consumption of biological,
34	chemical and electrical energy and released to the atmosphere due to human activities (Oke et al., 2017). $Q_F$ has
35	three major sources, including metabolic (people and animals) activities $(Q_{F,M})$ , transport $(Q_{F,T})$ and buildings
36	$(Q_{F,B})$ (Grimmond, 1992). It can be large relative to incoming solar radiation in summer (e.g. 43% in an area of
37	Beijing (Nie et al., 2014)) and increases air temperature in cities (e.g.(Ichinose et al., 1999; Fan and Sailor,
38	2005)), subsequently contributing to higher cooling demand for buildings (Santamouris et al., 2001; Takane et
39	al., 2019). Apart from that, Q <sub>F</sub> is also a dominant attribution of wintertime urban heat island (Biggart et al.,
40	2021).Compared to Q <sub>F,M</sub> and Q <sub>F,T</sub> , the generated heat within building volume is not all directly ejected into the
41	outdoor environment. In winter $Q_F$ can contribute to the intensity of the urban heat island (Biggart et al., 2021).
42	Not all heat generated within the building volume is directly ejected into the outdoor environment immediately
43	but subject to change in magnitude and time lag. For example, the heat generated from human activities inside
44	buildingsfrom mechanical heating system is released initially in the indoors environment(via heating or cooling
45	application), -, then transported conducted into-through the building fabric by conduction, allowing it to be
46	transported and eventually emitted into atmosphere through by turbulent sensible turbulent heat flux and
47	outgoing longwave radiation. In this process the net storage heat flux ( $\Delta Q_S$ ) of building is modified since
48	building fabric temperature is is changed by mechanical heating system with absorbing more heat from the
49	internal heat generation.
50	In urban areas, $\Delta Q_S$ is the net uptake or release of energy from urban volume. This term is an important
51	determinant of urban climate and is regarded as a key process in the genesis of urban heat island (Goward,
52	1981). The change in building $\Delta Q_S$ is modified when heat is released by human activities but the timing of the
53	externally emissions are impacted by the building fabric characteristics and the conduction process. With As
54	prior studies often using use energy consumption $(Q_{EC})$ as a proxy for $Q_{F,B_2}$ derived from inventory related
55	approaches (e.g. Sailor and Lu, 2004; Iamarino et al., 2012) and building energy modelling (e.g. Heiple and
56	Sailor, 2008; Nie et al., 2014), the impact on $\Delta Q_S$ is not addressed. To qualify the 'real' $Q_{F,B}$ and change of
57	$\Delta Q_{5}$ , we revisit the definition of $Q_{F,B}$ and attempt to understand how human activities affect the energy balance
58	fluxes of buildings.

59	If $Q_{F,B}$ is the heat released from buildings into the atmosphere as a result of human activities inside the
60	building (including human metabolism), when the building is completely unoccupied (e.g. no operational
61	appliances, no people: such as 'ghost cities' in China (Shepard, 2015) or vacant in Dublin (Kelly and Scott,
62	2018)); then $Q_{F,B}$ is zero. However, heat released from the unoccupied building is non-zero as there is still heat
63	exchange between building and ambient environment (see Eq. 1 and 2), as occurs in other environments with
64	large mass, such as forests (e.g. Oliphant et al., 2004), and rocks (e.g. Wang et al., 2018). $Q_{F,B}$ differs from
65	building heat emission (BHE) (e.g., Hong et al., 2020; Ferrando et al., 2021) as the latter is the total heat flux
66	released from buildings to the ambient air (BHE $_{uo} = Q_{H,uo} + Q_{BAE,uo} + L_{4,uo[air \rightarrow boi]} - L_{1,uo[boi \rightarrow air]}$ ) not due
67	to human activities alone. Shortwave and longwave radiation can enter the unoccupied internal building space
68	through windows and conduction through walls. It modifies the heat stored within the building volume and the
69	temperature of the building envelope and indoor air, subsequently influencing the emission of heat via sensible
70	heat flux, outgoing longwave radiation and air exchange. But this energy leaving the unoccupied building is not
71	anthropogenic heat flux. This energy modifies the internal building volume, influencing storage heat flux and
72	the other terms of the energy balance. These are not anthropogenic heat flux when the energy leaves the
73	unoccupied building but influence the heat emissions from the building. This is consistent with radiation
74	penetrating deep into water, and similarly allowing a larger volume to be heated than soil because of convection
75	(Sellers, 1965).
76	For an occupied building, the internal heat gain arises from:
77	(1) the equivalent sources and sinks as the unoccupied buildings; but also
78	(2) the energy linked to the indoor human activities (metabolism, powered appliances and energy inputs to
79	heating or cooling).
80	These will modify each of the energy balance fluxes. Some of this additional energy is transported out of
81	buildings through indoor-outdoor ventilation exchange and <u>/or HVAC system</u> , immediately contributes to $Q_{F,B}$ ,
82	while some is stored in the building fabric, and later is released outdoors through various pathways (convection,
83	radiation, conduction) to become $Q_{F,B}$ with a time lag. Here, we will derive $Q_{F,B}$ by looking at the difference of
84	heat fluxes between occupied and unoccupied buildings.
85	If the energy balance for the building system (including the indoor air and building envelope) for an
86	unoccupied dry building (assuming latent heat is not important in this case) is:
87	$Q_{uo}^* = Q_{H,uo} + Q_{BAE,uo} + \Delta Q_{S,uo} \tag{1}$

88 The radiation balance for an isolated unoccupied (uo) building can be expressed as:

89	$Q_{\rm uo}^* = K_{\rm l,uo} - K_{\rm f,uo} + L_{\rm l,uo} - L_{\rm f,uo}$	(2)
90	where $Q^*$ is the net all-wave radiation, K is the shortwave radiation incoming ( $\downarrow$ ) and outgoing ( $\uparrow$ ) to the	
91	external surfaces. The longwave (L) radiation exchanges depend on the view factors (F) between the buil	ding of
92	interest (boi), the surrounding facets of other surfaces/buildings (other b) and the sky:	
93	$L_{\downarrow,uo} = L_{\downarrow,uo(F[sky \rightarrow boi])} + L_{\downarrow,uo(F[other b \rightarrow boi])}$	(3)
94	$L_{\uparrow,uo} = L_{\downarrow,uo(F[boi \rightarrow sky])} + L_{\uparrow,uo(F[boi \rightarrow other b])}$	(4)
95	In Eq. (1), $Q_H$ is the turbulent sensible heat flux (convection) from external surfaces to the external a	ambient
96	air. $Q_{BAE}$ is the net energy exchange from the buildings through air exchange (e.g. ventilation). When the	
97	building is sealed $Q_{BAE}$ is 0 W m <sup>2</sup> , otherwise (e.g. open windows, cracks) it can be a source or sink of en	ergy
98	(environment $\leftarrow$ building, or inverse). $\Delta Q_S$ is the net storage heat flux of the building volume (i.e. fabric,	
99	contents, including the air). The left-hand side (LHS) of Eq. (1) is the inputs or source of energy to the bu	uilding,
100	whereas the right-hand side (RHS) is the sink or energy dissipation outputs. With no human activities with	thin the
101	building and the internal heat generation from human and infrastructure activities is zero.	
102	When the building is occupied ( $o$ ) (e.g. appliances operating <u>/ people presence</u> ), additional terms are	;
103	needed in Eq. (1) to account for the supply of energy into the building for these activities and the release	of
104	energy:	
105	$Q_{\rm o}^* + Q_{Internal,o} + Q_{HVAC,o} = Q_{H,o} + Q_{BAE,o} + \Delta Q_{S,o} + Q_{Waste,o}$	(5)
106	The two additional sources of energy (LHS) are:	
107	(1) $Q_{Internal,o}$ : energy released within the building from lighting, powered appliances and metabolism (e	.g.
108	people, pets).	
109	(2) $Q_{HVAC,0}$ : energy consumption in the building from heating, ventilation and air conditioning (HVAC) is	system.
110		
111	As the building may emit exhaust/waste heat (e.g. via HVAC systems), there is an additional sink (RHS)	
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119	inertial sub-layer (ISL) or constant flux layer (CFL). Hence, sensors (e.g. eddy covariance or large apertu	<u>.e</u>
120	scintillometry) located in the ISL would observe this as $Q_H$ . The separation of these three terms is to better	r
121	understand how human activities (e.g. open/closed windows, HVAC operation) influence each heat flux.	<u>Urban</u>
122	canopy parameterisation (UCP) can use this information about the separate sources and their roles in the	ırban
123	energy balance to account for the modified fluxes by the time they reach the ISL. Additionally, it is cleared	<u>r for</u>
124	multi-layer UCP where vertically the energy should enter.	
125	To determine the impact of the occupancy (i.e. not just the physical building form) we can consider the	
126	difference between Eq. (5) and Eq. (1). If the radiation balance for the occupied case is:	
127	$Q_{\rm o}^* = K_{\rm l,o} - K_{\rm f,o} + L_{\rm l,o} - L_{\rm f,o}$	(6)
128	We assume that the incoming and outgoing shortwave radiation remains unchanged because the reflective	ty,
129	transmissivity and absorptivity do not change by occupancy activities then:	
130	$K_{\downarrow,o} = K_{\downarrow,uo}; \qquad K_{\uparrow,o} = K_{\uparrow,uo}$	
131	The incoming longwave radiation is dependent on the surroundings which are independent to the building	state,
132	so:	
133	$L_{\downarrow,o} = L_{\downarrow,uo}$	
134	Thus, the difference of in radiative fluxes between occupied and unoccupied building ( $\Delta L_{\uparrow,o-uo}$ ) is:	
135	$\Delta L_{\uparrow,o-uo} = L_{\uparrow,o} - L_{\uparrow,uo}$	(7)
136	Similarly, the difference of the heat transfer through air exchange is:	
137	$\Delta BAE_{o-u} = BAE_o - BAE_{uo}$	(8)
138	With the additional terms in Eq. (5) and the air exchanges rates difference from the activities within the	
139	buildings, gives:	
140	$\Delta B_{o-uo} = \left[ Q_{Internal,o} + Q_{HVAC,o} \right] - \left[ Q_{Waste,o} + \Delta BAE_{o-uo} \right]$	(9)
141	As the change in surface temperature influences the sensible heat fluxes and storage heat fluxes:	
142	$\Delta H_{\rm o-u} = H_{\rm o} - H_{\rm uo}$	(10)
143	$\Delta S_{\rm o-uo} = \Delta Q_{S,\rm o} - \Delta Q_{S,\rm uo}$	(11)
144	By combining the Eq. (1) and Eq. (5), we obtain:	
145	$\Delta B_{\rm o-uo} = \Delta L_{\rm \uparrow,o-uo} + \Delta H_{\rm o-uo} + \Delta S_{\rm o-uo}$	(12)
146	where the LHS accounts for the net available energy as result of human activities in indoor environments	and
147	the RHS shows that these impact the longwave radiation, turbulent sensible and storage heat fluxes (in th	s dry
148	case) With rearrangement:	

149	$\left[Q_{internal,o} + Q_{HVAC,o}\right] = \Delta S_{o-uo} + \left[\Delta L_{\uparrow,o-u} + \Delta H_{o-uo} + \Delta BAE_{o-u} + Q_{Waste,o}\right] $ (13)	3)
150	The additional energy generation associated with human activities to the whole building system (LHS) is	s
151	apparent, as traditionally defined as $Q_{F,B}$ previously (Heiple and Sailor, 2008). Here because the heat release	
152	from human metabolism indoors is considerably smaller than other sources, for simplicity of analysis, we	
153	assume metabolic heat is also part of energy consumption $(Q_{EC} = Q_{Internal,o} + Q_{HVAC,o})$ . Besides, some of	
154	additional energy is associated with the extra gain or release of stored heat within the building volume ( $\Delta S_{0-t}$	uo).
155	The rest is the heat released to outdoor environment from building due to human activities, which is the $Q_{F,B}$	
156	based on its definition:	
157	$Q_{F,B} = \Delta L_{\uparrow,o-uo} + \Delta H_{o-uo} + \Delta BAE_{o-uo} + Q_{Waste,o} $ (14)	4)
158	Eq. (14) demonstrates the $Q_{F,B}$ is the relative heat emission at exterior building boundary between	
159	unoccupied and occupied building through longwave radiation, convection, air exchange and waste heat from	1
160	any mechanical heating/cooling system. The source of $Q_{F,B}$ within the building volume gives (by combining	Eq.
1.61	(12) and Eq. $(14)$ :	
161	(15) and Eq. (14).	
161	$Q_{F,B} = Q_{EC} - \Delta S_{o-uo} \tag{15}$	5)
161 162 163	(15) and Eq. (14). $Q_{F,B} = Q_{EC} - \Delta S_{o-uo} $ (15) The sources of $Q_{F,B}$ are from both energy consumption ( $Q_{EC}$ ) and difference of storage heat flux ( $\Delta S_{o-uc}$ )	5) ₀)
161 162 163 164	(15) and Eq. (14). $Q_{F,B} = Q_{EC} - \Delta S_{o-uo}$ (15) The sources of $Q_{F,B}$ are from both energy consumption ( $Q_{EC}$ ) and difference of storage heat flux ( $\Delta S_{o-uc}$ between unoccupied and occupied building ( $Q_{F,B}$ in this study includes part of $Q_{F,M}$ from human metabolism)	5) <sub>0</sub> ) ).
161 162 163 164 165	(15) and Eq. (14). $Q_{F,B} = Q_{EC} - \Delta S_{o-uo}$ (15) The sources of $Q_{F,B}$ are from both energy consumption ( $Q_{EC}$ ) and difference of storage heat flux ( $\Delta S_{o-uc}$ between unoccupied and occupied building ( $Q_{F,B}$ in this study includes part of $Q_{F,M}$ from human metabolism) In most prior studies, Whereas the second term of Eq. (15) is ignored, in most prior studies and consequently	5) ₀)
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161 162 163 164 165 166 167 168 169	(15) and Eq. (14). $Q_{F,B} = Q_{EC} - \Delta S_{o-uo}$ (15) The sources of $Q_{F,B}$ are from both energy consumption ( $Q_{EC}$ ) and difference of storage heat flux ( $\Delta S_{o-uc}$ between unoccupied and occupied building ( $Q_{F,B}$ in this study includes part of $Q_{F,M}$ from human metabolism) In most prior studies, Whereas the second term of Eq. (15) is ignored, in most prior studies and consequently leads to a time lag and magnitude difference between $Q_{F,B}$ and $Q_{EC}$ (Sailor, 2011). Although the storage heat flux over a year should tend to zero, over short periods (e.g. sub-daily) $\Delta S_{o-uo}$ is not zero causing time lag and magnitude difference between $Q_{F,B}$ and $Q_{EC}$ . Therefore, estimation of $Q_{F,B}$ by differences in heat emission between occupied and unoccupied buildings can capture the impact of dynamic changes in the building storage	5) <sup>o</sup> )).
161         162         163         164         165         166         167         168         169         170	(15) and Eq. (14). $Q_{F,B} = Q_{EC} - \Delta S_{o-uo}$ (15) The sources of $Q_{F,B}$ are from both energy consumption ( $Q_{EC}$ ) and difference of storage heat flux ( $\Delta S_{o-uc}$ between unoccupied and occupied building ( $Q_{F,B}$ in this study includes part of $Q_{F,M}$ from human metabolism) In most prior studies, Whereas the second term of Eq. (15) is ignored in most prior studies and consequently leads to a time lag and magnitude difference between $Q_{F,B}$ and $Q_{EC}$ (Sailor, 2011). Although the storage heat flux over a year should tend to zero, over short periods (e.g. sub-daily) $\Delta S_{o-uo}$ is not zero causing time lag and magnitude difference between $Q_{F,B}$ and $Q_{EC-}$ . Therefore, estimation of $Q_{F,B}$ by differences in heat emission between occupied and unoccupied buildings can capture the impact of dynamic changes in the building storag- heat flux especially at sub-annual temporal cycle.	5) <sub>o</sub> )).
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161         162         163         164         165         166         167         168         169         170         171         172         173	(15) and Eq. (14). $Q_{F,B} = Q_{EC} - \Delta S_{o-uo}$ (15) The sources of $Q_{F,B}$ are from both energy consumption ( $Q_{EC}$ ) and difference of storage heat flux ( $\Delta S_{o-uc}$ between unoccupied and occupied building ( $Q_{F,B}$ in this study includes part of $Q_{F,M}$ from human metabolism) In most prior studies, Whereas the second term of Eq. (15) is ignored, in most prior studies and consequently leads to a time lag and magnitude difference between $Q_{F,B}$ and $Q_{EC}$ (Sailor, 2011). Although the storage heat flux over a year should tend to zero, over short periods (e.g. sub-daily) $\Delta S_{o-uo}$ is not zero causing time lag and magnitude difference between $Q_{F,B}$ and $Q_{EC-}$ . Therefore, estimation of $Q_{F,B}$ by differences in heat emission between occupied and unoccupied buildings can capture the impact of dynamic changes in the building storag- heat flux especially at sub-annual temporal cycle. In this study, the objective is to understand the temporal profile of $Q_{F,B}$ , and how and why it differs from $Q_{EC}$ at diurnal and seasonal time scales, by examining differences in energy balance fluxes between an occup and unoccupied same building. A bBuilding energy simulation tool (EnergyPlus) is used to obtain the various	5) )). ) ) ) ) ) ) ) ) ) ) ) ) )

174 energy balance fluxes from the building system.

### 175 2 Methods

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#### 176 2.1 Unoccupied (uo) and occupied (o) building energy simulation (BES)

178 storage within a building, while allowing changes in heat fluxes due to human activities to be estimated. Here 179 we use EnergyPlus version 9.4 (DOE, 2020) to study an isolated building (i.e. without a surrounding 180 neighbourhood). The ASNI/ASHRAE standard 140 Case 900 test model (ASHRAE, 2017) is used, which is 181 developed in a software-to-software comparative tests for validating building thermal load. It is a 48 m<sup>2</sup> one-182 story heavyweight rectangular prism with high mass fabrics (Appendix A), whose simple geometry is ideal to 183 understand the process of how human activities change the building energy balance fluxes in a theoretical study. 184 Modifications of the original building model for this study, include: windows are reduced to one (6 m<sup>2</sup> south-185 facing) for more appropriate EnergyPlus single-sided ventilation calculations (Daish et al., 2016); and internal 186 heat gain, ventilation control strategy and HVAC system operation vary with different scenarios considered 187 (Table 1). For the simulations, the building is assumed to be located in Beijing as the climate has both hot 188 summer and cold winter conditions. Chinese Standard Weather Data (CSWD) selected to create a Typical 189 Meteorological Year (TMY) (China Meteorological Bureau et al., 2005) are used as the meteorological forcing, 190 as these data are developed for simulating building thermal load and energy use. 191 The modelling scenarios (Table 1 Table 1) vary with building occupation state. Two types of unoccupied 192 (uo) buildings are considered. Neither have internal heat gains nor HVAC systems, but they differ based on air 193 exchange between (1) unoccupied sealed (us) with no infiltration or ventilation, and (2) unoccupied ventilated (uv) with 50% of windows area kept open. The single-sided natural ventilation rate is estimated by including 194 195 both wind-driven ventilation rate ( $V_W$ , m<sup>3</sup> s<sup>-1</sup>) (Warren 1977):

Building energy simulation (BES) is widely used to estimate energy consumption, heat emission and heat

 $196 \qquad V_W = 0.025 A_{eff} U_W$ 

197 and the stack buoyancy-driven ventilation rate  $(V, m^3 s^{-1})$  (Warren 1977):

198 
$$V_{Stack} = \frac{1}{3} A_{eff} C_d \sqrt{\frac{\Delta T H g}{T_{ave}}}$$
(17)

(16)

where  $A_{eff}$  is the effective opening area (m<sup>2</sup>),  $U_W$  is reference wind speed at the height of opening (m s<sup>-1</sup>).  $C_d$ is discharge coefficient (usually taken as 0.6 (Wang and Chen, 2012)),  $\Delta T$  is indoor and outdoor air temperature difference (°C), H is the height of opening (m), g the gravitational acceleration (m s<sup>-2</sup>),  $T_{ave}$  is average indoor and outdoor air temperature (°C). The combined ventilation rate is (Fan et al., 2021):

$$203 \qquad V_T = \sqrt{V_W^2 + V_{Stack}^2} \tag{18}$$

204	The three occupied (o) building simulations assume occupant behaviour modifies internal heat generation,
205	natural ventilation and HVAC systems (ov). First, $ovl$ has internal heat gains ( $Q_{Internal,o}$ ) from human
206	metabolism, lighting and other appliances based on local building code (MOHURD, 2018), with window always
207	open (50%, as $uv$ ). The internal heat gains are held constant allowing the fraction of heat in $Q_{F,B}$ and $\Delta Q_S$ to be
208	impacted by building and climate conditions but not the diurnal variability of human heat generation.
209	Second, ov2 considers natural ventilation based on passive cooling and thermal comfort. The window
210	opening is controlled automatically. It is opened (50% of window area) when the indoor air temperature is
211	higher than both outdoor air temperature and ventilation setpoint (23°C for 'warm limit' in bedroom
212	(Oikonomou et al., 2012)). Otherwise, it is closed to reduce heat loss and keep the building warm. Third, since
213	natural ventilation alone may not satisfy indoor thermal comfort, mixed mode ventilation with auxiliary HVAC
214	system (e.g. Wang and Chen, 2013; Wang and Greenberg, 2015; Chen et al., 2017) is considered in ov3. The
215	mechanical heating and cooling system are active when indoor temperature reaches the threshold (18°C for
216	heating and 26°C for cooling, MOHURD, 2018). The ventilation control strategy in ov3 is the same as ov2, but

217 the EnergyPlus hybrid ventilation manager (DOE, 2020) turns the HVAC off when natural ventilation is active

218 to prevent simultaneous operation.

Table 1. Cases simulated differ based on building occupation state, internal heat gain  $(Q_{Internal,o})$  and presence of natural ventilation and HVAC. Notation are defined in text and nomenclature

Code	Occupation state	Natural ventilation	Q <sub>Internal,0</sub> (W m <sup>-2</sup> )	Window open Temperature control (°C)	HVAC Heating/ cooling setpoint (°C)
us	uo	Sealed	0	N/A	N/A
uv	uo	Window always open (50%)	0	N/A	N/A
ov1	0	Window always open (50%)	11.8	N/A	N/A
ov2	0	Controlled ventilation	11.8	23	N/A
ov3	0	Mixed mode control	11.8	23	18/26

### 221 **2.2 Determination of anthropogenic heat flux**

222 The simulated hourly heat fluxes by radiation, convection, air exchange and waste heat generated from HVAC

223 system between the isolated building and atmosphere (Table A.3) are analysed for each case (Table 2). If

224 cooling occurs, the waste heat consists of the cooling load and electrical energy consumed by the air conditioner

225 (Q<sub>HVAC</sub>). Q<sub>HVAC</sub> is predicted using a static coefficient of performance (COP) for the air conditioner, and the heat

226 removed by an air conditioner  $(Q_{AC})$  to the total amount of electricity consumed:

227	$Q_{HVAC,C} = \frac{Q_{AC}}{COP}$	(19)	)
	entrino,0 ///p		

228  $Q_{Waste,C} = Q_{AC}(1 + \text{COP}^{-1})$  (20)

229	With a centralised heating system (as Beijing has), for simplicity we assume all energy associated with the	
230	heating system is released indoors, and waste heat due to boiler efficiency and pipe heat loss are not consider	ered:
231	$Q_{HVAC,H} = Q_{HS} \tag{(1)}$	21)
232	$Q_{Waste,H} = 0 \tag{6}$	22)
233	Combing these, and accumulated though timemechanical heating and cooling, the energy consumption and	
234	corresponding waste heat from HVAC system -gives annual values:	
235	$Q_{HVAC} = Q_{HVAC,C} + Q_{HVAC,H} = \frac{Q_{AC}}{COP} + Q_{HS} $	23)
236	$Q_{Waste} = Q_{Waste,C} + Q_{Waste,H} = Q_{AC}(1 + COP^{-1}) $	24)
237	Each term in Eq. (14) is determined using an occupied (o) and unoccupied (uo) building result to deter	mine
238	$Q_{F,B}$ and the other fluxes. The results are analysed by season (spring (March, April and May; MAM), summ	er
239	(JJA), autumn (SON) and winter (DJF)) using the median (50%) and interquartile range (IQR) between the	25 <sup>th</sup>
240	and 75 <sup>th</sup> percentiles to assess the diurnal patterns.	
241	2.3 Ratio of anthropogenic heat flux to energy consumption	
242	If the energy consumed within the building is rejected immediately into the atmosphere (Heiple and Sailor,	
243	2008), the change in $\Delta Q_S$ is not accounted for, and therefore $Q_{F,B}$ is assumed to be only from energy	
244	consumption ( $Q_{EC}$ ). The variation of $\Delta Q_S$ associated with human activities is considered when using the re-	ative
245	heat emissions in Eq. (14) and Eq. (15). We use the ratio $R = \frac{Q_{F,B}}{Q_{EC}}$ to determine the relative importance of	
246	building operation modes and choice of baselines on the discrepancy between $Q_{F,B}$ and $Q_{EC}$ .	
247	3 Results and discussion	
248	Building energy balance fluxes vary through each day and season (Fig. 1) associated with when a building	s
249	occupied and people's activities inside the building. First, we consider one case in detail - an occupied build	ling
250	with both natural ventilation and HVAC (ov3, Table 31) relative to an unoccupied sealed building (us, Table	e 4 <u>1</u> )
251	- their difference (ov3-us) allows us to obtain the fluxes needed (Sect. 1).	
252	As noted (Sect. 1), the shortwave and incoming longwave radiative fluxes for all cases (Table $51$ ) are	
253	assumed identical, but all other terms of the building energy balance differ. Hence, the change in outgoing	
254	longwave radiation ( $\Delta L_{\uparrow,o-uo}$ , Fig. 1c) is equivalent to the net all-wave radiation difference ( $Q_{o-uo}^*$ , Fig. 1a-	b)

255 for the occupied and unoccupied buildings. The positive sensible heat flux difference (Eq. (10),  $\Delta H_{o-uo}$ , Fig. 1c)

and  $\Delta L_{1,o-uo}$  indicate the building is warmed up by internal heat gains ( $Q_{Internal,o}$ ) with higher exterior surface temperatures. Their small magnitudes and flat patterns indicate small relative importance compared to the heat exchange from ventilation differences (Eq. (8),  $\Delta BAE_{o-uo}$ , Fig. 1c). The latter, not only contributes the largest fraction of anthropogenic heat flux ( $Q_{F,B}$ . Fig. 1c), but also has a diurnal pattern consistent with  $Q_{F,B}$ , especially during spring and autumn (Fig. 1c, i). Rarely, heat ( $Q_{Waste,o}$ , Fig. 1i) is emitted by the air conditioner in the mid-afternoon (shading) at this time of year, but more importantly in summer (Fig. 1f) when cooling demand increases.

263  $Q_{F,B}$  (Eq. (14), Fig. 1c) has four components of emitted heat, whereas energy consumption ( $Q_{EC}$ , Fig. 1c) 264 only has (in this case, constant) internal heat gains ( $Q_{Internal,0} = 11.8$  W m<sup>-2</sup>, Fig. 1b, Table 61) and energy use 265 from HVAC system ( $Q_{HVAC}$ , Fig. 1b). Their difference is the storage heat flux difference (Eq. (15)  $\Delta S_{0-u0}$  in Fig. 266 1c). If  $\Delta S_{0-u0}$  is positive, the building acts as a heat sink and stores the extra heat generated by human activities, 267 or stored heat is released when  $\Delta S_{0-u0}$  is negative. Hence, we can identify the impacts of seasonal-varying 268 human activities and building operations on the diurnal variability in  $\Delta S_{0-u0}$ ,  $Q_{EC}$  and  $Q_{F,B}$ .



270 Figure 1: Seasonal diurnal median (line) and inter-quantile range (IQR, shading) building heat fluxes for (a, d, g, j)

271 unoccupied sealed (us), (b, e, h, k) occupied ventilated (ov3) building and their (c, f, i, l) difference (ov3-us) for (a-c) spring,

272 (d-f) summer, (g-i) autumn and (j-l) winter.  $\boldsymbol{Q}_{\boldsymbol{F},\boldsymbol{B}}$  is estimated by either heat transfer difference (solid line components):

273  $Q_{F,B} = \Delta L_{\uparrow,o-uo} + \Delta H_{o-uo} + \Delta BAE_{o-uo} + Q_{Waste,o}$  in Eq. (14) or energy consumption and storage flux difference:  $Q_{F,B} = \Delta L_{\uparrow,o-uo} + \Delta H_{o-uo} + \Delta BAE_{o-uo} + Q_{Waste,o}$ 

274  $Q_{EC} - \Delta S_{o-uo}$  (dash line components) in Eq. (15)

### 275 3.1 Impact of human activities on seasonal and diurnal variations of the fluxes

ı.

276	For the same <i>ov3-us</i> case ( <u>Table 1</u> , Fig. 1), we consider the <u>temporal diurnal</u> and seasonal variability of
277	the fluxes. In spring and autumn (Fig. 1a-c, g-i), natural ventilation is the dominant factor contributing to diurnal
278	variation in $\Delta S_{o-uo}$ and $Q_{F,B}$ , while $Q_{EC}$ has minimal variability. $Q_{EC}$ is slightly larger than $Q_{Internal,o}$ because
279	of some short periods of HVAC use in the mid-afternoon (IQR shading in Fig. 1i). There is a clear diurnal cycle
280	of $Q_{F,B}$ (Fig. 1c) with the median varying between 8 W m <sup>-2</sup> (07:00) and 15 W m <sup>-2</sup> (15:00) relative to the constant
281	internal heat gain (11.8 W m <sup>-2</sup> ). The difference between $Q_{F,B}$ and $Q_{EC}$ ( $\Delta S_{o-uo}$ ) is largely impacted by natural
282	ventilation. During the night and early morning with closed window, only part of the consumed energy is
283	transferred externally to the atmosphere. The rest of the heat is stored in the building fabric (positive $\Delta S_{o-uo}$ ),
284	hence $Q_{F,B}$ is lower than $Q_{EC}$ . However, when overheating may occur during the middle of the day, occupants
285	keep window opened (air conditioner is less frequently used) to cool the building down, with stored heat
286	released (negative $\Delta S_{o-uo}$ ). This is consistent with the diurnal variability of $\Delta BAE_{o-uo2}$ which has a minimum at
287	night (window closed) and maximum in the mid-noon (window open).
288	In summer, the role of <u>daytime</u> natural ventilation at <u>daytime</u> is replaced by air conditioning as <u>natural</u>
289	ventilation alone could not maintain thermal comfort indoors. Natural ventilation and waste heat from the air

290 conditioner  $(Q_{Waste,0})$  contribute to one peak  $Q_{F,B}$  at nighttime and daytime, respectively (Fig. 1f).  $Q_{F,B}$  is 291 higher than  $Q_{EC}$  around these two peak periods (05:00-07:00 and 13:00-21:00). The peak  $Q_{F,B}$  at night reaches 292 14 W m<sup>-2</sup> (median) at 05:00, which is mainly attributed to natural ventilation when outdoor air temperature is 293 cooler than indoors. Conversely, in the afternoon when outdoor temperature is warmer, occupants 'choose' 294 mechanical cooling for achieving thermal comfort. The peak Q<sub>F,B</sub> is 22 W m<sup>-2</sup> at 16:00, approximately 22% 295 higher than  $Q_{EC}$ . It indicates that using  $Q_{EC}$  for the anthropogenic heat flux from buildings (e.g. Heiple and Sailor, 2008) may underestimate the effect of  $Q_{F,B}$  on urban atmospheric processes especially during the late 296 afternoon/early evening. In addition,  $Q_{F,B}$  is always smaller than  $Q_{Waste,0}$  because of the negative  $\Delta L_{1,0-u0}$  and 297 298  $\Delta H_{o-uo}$  causing a cooler exterior surface. This suggests using  $Q_{Waste,o}$  as  $Q_{F,B}$  (e.g. Chow et al., 2014) may 299 overestimate  $Q_{F,B}$  in summer.

300 However, in winter, mechanical heating and thermal mass effect shape the temporal pattern of  $Q_{F,B}$  (Fig. 301 1i). The cool outdoor air temperature before sunrise results in a substantial heating <u>load supply</u> and peak  $Q_{EC}$ 302 (16.43 W m<sup>-2</sup> for median line) at 08:00. This heat is stored in building fabric (positive  $\Delta S_{o-uo}$ ) and have a

303 relatively stable release through convection and longwave radiation. Therefore the diurnal profile  $Q_{F,B}$  is rather 304 flatter and  $\Delta S_{o-u}$  has a highly consistent temporal pattern to  $Q_{EC}$ . 305 Overall, this analysis recognizes the crucial role of  $\Delta S_{o-uo}$  in distinguishing  $Q_{F,B}$  from  $Q_{EC}$ , which is highly 306 dependent on HVAC operation and natural ventilation (i.e., human activity of opening window opening). These 307 two factors can rapidly increase or decrease  $Q_{F,B}$  while convection and longwave radiation cannot. Whereas in 308 winter, the larger IQR (shading) of  $Q_{F,B}$  than  $Q_{EC}$  indicates more day-to-day variation in  $Q_{F,B}$  diurnal profile 309 than  $Q_{EC}$ . Estimates of  $Q_{F,B}$  using satellite remote sensing found heat storage plays an important role in 310 moderating energy use within buildings (Yu et al., 2021). As the storage heat flux change modifies the diurnal sensible heat flux pattern it modifies the surface temperature increment ( $Q_{F,B}$  in remote sensing approach) and 311 312 hence the apparent energy consumption.

The diurnal profiles of  $\Delta S_{o-uo}$  are not identical between seasons as people use different actions to achieve thermal comfort in different weather conditions. This suggests the  $Q_{F,B}$  and  $Q_{EC}$  differences may vary between climates and with cultural practices. In inventory methods the diurnal profiles may be limited (e.g. LUCY (Allen et al., 2011), weekday/weekend by country) and ignore seasonal variations. However,  $\Delta S_{o-uo}$  behaviour types classes may benefit from distinguishing diurnal variation for different climates.

#### 318 3.2 Impact of different building operation modes on seasonal and diurnal variations

Fig. 2 illustrates the impact of different building operation modes (Table 1 Table 1: ov1, ov2, ov3; cf. us) on the Q<sub>F,B</sub> diurnal profiles. It suggests the different ventilation strategies and HVAC systems do change Q<sub>F,B</sub> in both temporal pattern and magnitude, but their impacts vary among seasons.

322 In spring and autumn, different natural ventilation control strategies completely modify the  $Q_{F,B}$  diurnal 323 profile, whereas HVAC system only increases the peak  $Q_{F,B}$  slightly in autumn (Fig. 2i). The distinctly different 324 (opposite) trend in diurnal  $Q_{F,B}$  pattern for ov1 cf. ov2 or ov3 (Fig. 2a-c, g-i) is largely explained by the diurnal 325 change of  $\Delta BAE_{0-100}$  in the three cases. In ovl (window open, no control) the minimum outdoor air temperature 326 before sunrise creates the maximum indoor and outdoor air temperature difference, therefore the highest 327  $\Delta BAE_{o-uo}$  and peak  $Q_{F,B}$  at 06:00 (30 W m<sup>-2</sup> for the median in Fig. 2a). Whereas  $ov_2$  and  $ov_3$  have the window 328 closed at night and early morning to avoid overcooling, therefore the minimum  $Q_{F,B}$  in the early morning 329 (07:00). As outdoor air temperature increases through the day,  $Q_{F,B}$  follows the reduced  $\Delta BAE_{o-uo}$  in ov1, 330 whereas natural ventilation is active in ov2 and ov3, leadings to an increase in  $\Delta BAE_{o-uo}$  and  $Q_{F,B}$ . Unlike ov2, ov3 has a clear peak (16 W m<sup>-2</sup> median, Fig. 2i) at 15:00, because when natural ventilation alone cannot satisfy 331

332	thermal comfort and ov3 air conditioning is activated. But their overall patterns (IQR) are very consistent,
333	indicating afternoon use of air conditioning could increase $Q_{F,B}$ magnitude but have a limited impact on other
334	parts of the diurnal pattern. Surprisingly, negative $Q_{F,B}$ occurs around 17:00 in spring (Fig. 2a), suggesting the
335	occupied building has less heat emissions than unoccupied building. Because the natural ventilation at night and
336	morning cools down the building and reduced fabric exterior surface temperature leads to a large reduction in
337	longwave radiation and convection ( $\Delta L_{\uparrow,o-uo}$ and $\Delta H_{o-uo}$ ) than increase in heat emission through natural
338	ventilation ( $\Delta BAE_{o-uo}$ ) in afternoon. And the reduced overall emissions are converted into increase in storage
339	heat flux ( $\Delta S_{o-uo}$ ). Negative $Q_{F,B}$ also occurs when unoccupied building is always ventilated ( $uv$ ) and occupied
340	building is ventilated with control (ov2 and ov3) in spring (e.g. Fig. B6b-c). The window is closed to avoid
341	excessive cooling at night in $ov2$ . With $\Delta BAE_{o-uo}$ negative in this case, its magnitude is much larger than
342	increase in longwave radiation and convection ( $\Delta L_{\uparrow,o-uo}$ and $\Delta H_{o-uo}$ ). The minimum $Q_{F,B}$ frequently
343	corresponds to the peak $\Delta S_{o-uo}$ .
344	In summer, $ov2$ window is open most of the time (as in $ov1$ ) for thermal comfort, therefore the $Q_{F,B}$ has no
345	apparent difference to ov1. However for ov3, as air conditioning runs from morning to late night and there is a
346	very different diurnal profile (cf. $ov2$ and $ov1$ ). Air conditioner use contributes to a much larger $Q_{F,B}$ (cf. $ov2$ )
347	from 12:00 to 21:00. Not only is extra energy consumed, but it also removes heat from building to the
348	atmosphere in this period. In contrast, using natural ventilation as a cooling strategy (ov1 and ov2) contributes to
349	a high $Q_{F,B}$ at night and early morning but very low even negative extra heat emission in afternoon. This implies
350	natural ventilation as passive cooling strategy not only could improve the thermal conditions indoors, but also
351	could contribute to the improvement of outdoor climate by modifying the diurnal pattern of anthropogonic heat
352	emissions (Duan et al., 2019).
353	Consistent with results in the other seasons, different ventilation control strategies in winter cause a large
354	change in $Q_{F,B}$ profile between ov1 and ov2. However, the temporal pattern of $Q_{F,B}$ (IQR) in ov2 is quite similar
355	to $ov3$ because the supplied heat from mechanical heating system does not immediately enhance $Q_{F,B}$ with
356	closed window. $ov2$ is the only scenario that has similar $Q_{F,B}$ and $Q_{EC}$ through the whole day. Comparison using
357	an unoccupied ventilated ( <i>uv</i> ) baseline (Fig. B.6) (cf. <i>us</i> Fig. 2) show that although $Q_{F,B}$ profiles differ, the
358	impacts of different building operation modes are consistent when the same occupied buildings used. The

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359 impact of baselines with different air exchange on  $Q_{F,B}$  are analysed in Sect. 3.3.



Figure 2 : As Figure 1c, f, i, j, but comparing three different building operation types (a, d, g, j) *ov1*: window is always open
without control, no HVAC; (b, e, h, k) *ov2*: controlled natural ventilation for indoor thermal comfort, no HVAC; (c, f, i, l)

*ov3*: mixed mode ventilation

### 364 3.3 Impact of unoccupied baseline chosen

- 365 Here two unoccupied baselines (us unoccupied sealed building, uv unoccupied ventilated building with
- uncontrolled open window) are used to assess the impact. A ratio between  $Q_{F,B}$  to  $Q_{EC}(R)$  is used (Fig. 3) to
- 367 normalize the impact of baselines on their difference with different building operation modes. The largest
- difference in *R* occurs on 23 December at 11:00, with values of 5.13 (*ov3-uv*) and -2.72 (*ov1-us*), reflecting the considerable difference between  $Q_{F,B}$  to  $Q_{EC}$ .
- 370 Two diurnal patterns of the R ratio are distinguished. When the window is always open (ov1 in all seasons,
- 371 *ov2* in summer), R > 1 ( $Q_{F,B} > Q_{EC}$ ) at night/early morning (22:00-08:00), reaching its maximum around
- 372 05:00-07:00 (near sunrise in all seasons). For the remaining periods, which are relatively warm, R < 1. Whereas,
- 373 when window opening/closing is controlled and HVAC is used for thermal comfort an almost inverse temporal
- 374 pattern of R occurs, with R > 1 during afternoon when either window is open or the air conditioner is activated.
- The peak *R* occurs at 15:00 when both outdoor temperature and solar radiation are high.
- 376 When different unoccupied baselines are used, the temporal patterns of *R* are similar for all cases, but their
- 377 magnitudes differ significantly. *R* is close to 1 when window states between unoccupied and occupied buildings
- 378 are similar (e.g. ov1-uv in all seasons, ov2-uv in summer). Hence, greater difference occurs in heat transfer from
- 379 ventilation or mechanical heating/cooling between occupied and unoccupied building (i.e., larger R). Thus, the
- 380 baseline chosen impacts the results and require appropriate consideration for incorporating  $Q_{F,B}$  into
- 381 atmospheric modelling.





384 Figure 3:  $Q_{F,B}$  to  $Q_{EC}$  ratio (R) median (line) and IQR (shading) for (a-b) spring, (c-d) summer, (e-f) autumn and (g-h)

winter, using two unoccupied baselines: (a, c, e, g) sealed (us), and (b, d, f, h) ventilation (uv); each with three occupancy types (colour): ov1: Only internal heat gains are applied and window is fully open; ov2: Internal heat gains and natural

387 ventilation control are applied. *ov3*: Internal heat gains, natural ventilation control and HVAC system are applied. <u>Ratio R=1</u>

# 388

(Black dotted line)

### 389 <u>3.4 Comparison between *Q*<sub>F,B</sub> and building heat emission (BHM)</u>

390 Comparison of building heat emissions (BHE), determined using the Hong et al. (2021) approach, to  $Q_{F,B}$ 

391 (this study) for one case (ov3-us) shows that the former is much larger than  $Q_{F,B}$  during the day but smaller at

392 night and have different diurnal patterns (Fig. 4). Convection from the exterior envelope (Q<sub>H</sub>, Figure 1b, e, h, k)

393 is the main contributor to BHE, therefore influences the BHE diurnal profile in each season. During the day,

394 solar radiation is large and a major control whereas  $Q_{F,B}$  is relatively small and consistent but modified by

395 <u>building-human interactions (e.g., opening windows, activation of mechanical heating and cooling systems). In</u>

396 this scenario shown, natural ventilation and mechanical cooling dominate  $Q_{F,B}$  in summer and shoulder season;





Figure 4: Comparison of seasonal diurnal Q<sub>F,B</sub> (*ov3-us*) and building heat emission (BHE, *ov3* in Table1) for (a) spring, (b)
 summer, (c) autumn and (d) winter.

### 401 3.4-5 Daily variation of fluxes in relation to meteorological conditions

402 Ambient air temperature is one of the most crucial factors controlling building energy consumption (Sailor and

- 403 Vasireddy, 2006). Hence, it is often used to determine daily variability of  $Q_{EC}$  (e.g. Lindberg et al., 2013) and
- 404 the resulting monthly variations (e.g. Allen et al., 2011). By accounting for  $\Delta S_{o-uo}$  in this study, the response of

405	$Q_{F,B}$ to ambient air temperature may differ to previous studies. To examine this we use the <i>ov3-us</i> case to
406	consider the relations of daily mean (unless indicated) variables of air temperature (mean) , solar radiation (daily
407	total) and simulated available energy to the building from human activities ( $\Delta B$ ) with anthropogenic heat flux
408	$(Q_{F,B}$ in Fig. $4a\underline{5a}$ ), energy consumption $(Q_{EC}$ in Fig. $4\underline{5}b$ ) and their difference $(\Delta S_{o-uo}$ in Fig. $4\underline{5}c$ ). The overall
409	trends between $Q_{F,B}$ and $Q_{EC}$ to ambient air temperature are consistent, with $Q_{F,B}$ and $Q_{EC}$ smallest when
410	temperatures are between 10-15°C. This coincides with the Nicol and Humphreys' (2002) monthly balance-point
411	temperature of 12°C, which has been regarded as the equivalent ambient air temperature with the minimum
412	energy use within the building (e.g. Allen et al., 2011, Koralegedara et al., 2016). As the temperature increases
413	(decreases), $Q_{EC}$ increases proportionally with temperature due to mechanical cooling (heating). However, in
414	contrast to $Q_{EC}$ , $Q_{F,B}$ has a much larger variability at the same temperature caused by a large range of $\Delta S_{o-uo}$ (-
415	7.7 to 9.0 W m <sup>-2</sup> ), which is highly dependent on human activities on diurnal scale (Sect. 3.1)
416	To understand the large daily variability of $\Delta S_{o-uo}$ , we use $\Delta B$ (net available energy from human activities
417	in buildings in Eq. 9) to indicate the effect of human activities (heat addition or removal) in one day. Higher $\Delta B$
418	(larger circles) are associated with higher $\Delta S_{o-uo}$ at the same ambient air temperature, especially in winter (Fig.
419	4e5c). This is not unexpected as buildings will absorb more heat when extra internal energy is added into the
420	building. Inversely, negative $\Delta B$ (small circles) contributes to much more heat release from heat storage (lower
421	$\Delta S_{o-uo}$ through either natural ventilation or mechanical cooling. The sign and magnitude of $\Delta B$ are linked to
422	daily cumulative solar radiation. At the same ambient air temperature, higher-more solar radiation indicates
423	enhances the need for larger heat removal or less heat addition to the building for thermal comfort, therefore
424	leading to a smaller $\Delta B$ and lower $\Delta S_{o-uo}$ . Consequently, we can conclude that both ambient air temperature
425	and cumulative solar radiation are important meteorological factors to determining $\Delta S_{o-uo}$ and $Q_{F,B}$ .



426

427Figure 45: Daily results for the *ov3-us* case stratified by daily cumulative solar radiation (colour) and daily mean available428energy to the building (size) (Eq. (9) associated with human activities, with mean external air (ambient) temperature and (a)429mean anthropogenic heat flux, (b) energy consumption and (c) difference in storage heat flux.

### 430 4 Conclusions

- 431 Anthropogenic heat flux from buildings  $(Q_{F,B})$  is defined as the additional heat released from building into 432 atmosphere due to human activities. It is qualitatively and quantitatively different to building energy 433 consumption  $(Q_{EC})$  in temporal pattern and magnitude as result of thermal inertia of building (Iamarino et al., 434 2012). However, as there is no standard to quantify 'real'  $Q_{F,B}$  most studies use  $Q_{EC}$  as a proxy via inventory 435 and building energy modelling approaches. This paper proposes a new method to quantify a more appropriate 436  $Q_{F,B}$  by utilising the difference in heat fluxes between an occupied and unoccupied building (i.e. the built 437 structure with absolutely no energy use and human metabolism). We show the difference between  $Q_{EC}$  and  $Q_{F,B}$ 438 is attributable to a change in the storage heat flux induced by human activities ( $\Delta S_{o-uo}$ ).  $Q_{F,B}$  has four components based on its dissipation pathways, including outgoing longwave radiation, turbulent sensible heat 439
- 440 flux (convection), heat release due to air exchange and waste heat from HVAC systems. We use one simplified

441	case study in Beijing to demonstrate the analysis using building energy simulations to quantify the temporal
442	difference between $Q_{EC}$ and $Q_{F,B}$ and understand the relative importance of building operations for thermal
443	comfort and meteorological condition on $Q_{F,B}$ . The key conclusions are:
444	• Hourly ratios between $Q_{F,B}$ and $Q_{EC}$ can differ between -2.72 and 5.13 because of differences in
445	occupancy use of the building (within a year, in Beijing's climate). Individual ratios frequently exceed
446	3 between 14:00 and 16:00 when controlled natural ventilation or mechanical cooling is activated in
447	shoulder season). Thus, the definitions differences are large.
448	• Natural ventilation ( $\Delta BAE_{o-uo}$ ) or HVAC operation ( $Q_{Waste,o}$ for cooling and $Q_{HVAC}$ for heating) are
449	two predominant contributors to the storage heat flux. Hence, different building operations to control
450	thermal comfort determine the diurnal profile of $Q_{F,B}$ by affecting not only $Q_{EC}$ but also $\Delta S_{o-uo}$ .
451	• The day-to-day variation of $Q_{F,B}$ diurnal profile is broader than that of $Q_{EC}$ .
452	• Diurnal profile of $\Delta S_{o-uo}$ varies with season as occupants modify their behaviours and the interaction
453	with buildings to achieve thermal comfort (e.g. cooling in summer and heating in winter), indicating
454	differences between $Q_{F,B}$ and $Q_{EC}$ will vary with both climate and cultural norms.
455	• $Q_{F,B}$ is sensitive to the unoccupied baseline chosen (here two are analysed unoccupied sealed vs
456	unoccupied ventilated). An 'unoccupied baseline' needs to be integrated into urban climate modelling
457	in the future.
458	• Daily mean temperature only accounts for the day-to-day variability in $Q_{EC}$ rather than $\Delta S_{o-uo}$ . Both
459	ambient air temperature and cumulative solar radiation are important meteorological factors to
460	determine $\Delta S_{o-uo}$ and $Q_{F,B}$ .
461	Our new approach should be used to provide data for future parameterisations of both anthropogenic heat
462	flux from buildings and storage heat fluxes for urban weather and climate modelling. We conclude that storage
463	heat fluxes in cities could also be modified by occupant behaviour. We conclude that storage heat fluxes in cities
464	is also being modified by occupant behaviour, particularly by natural ventilation and mechanical cooling. It is
465	expected that the diurnal variation of $\Delta S_{o-uo}$ will vary with operation schedules for different building uses (e.g.
466	residential vs. commercial buildings). Given the release of stored heat is critical influence on the nocturnal
467	canopy layer urban heat island (CL-UHI), the impact of different HVAC operations on nocturnal UHI should be
468	explored further. This is an important factor to determine diurnal pattern of $Q_{F,B}$ in the shoulder season and can
469	be expressed more accurately. However, in different climates and with different social cultural practices the

470	periods most influenced will change. Further studies are being conducted to explore the impacts of these, while
471	also addressing feedbacks at the neighbourhood scale.
472	For developers of urban canopy parameterisations (UCP) there are several considerations because of
473	computational efficiencies essential for undertaking weather and climate modelling: (1) human activities within
474	building are modifying both the storage heat flux and the anthropogenic heat flux; (2) assuming within an UCP
475	that a 'simple' building energy model (BEM) (cf. a full building energy simulation scheme such as EnergyPlus)
476	will require some human activities to be simplified, such as using fixed ventilation rate, instead of dynamic
477	natural ventilation depending on both outdoor weather condition and thermal comfort requirements; and (3) with
478	a multi-layer UCP the appropriate levels for the impact of these energy exchanges can be accounted for. Our
479	current research is extending this analysis to consider moisture; and exploring the role of building materials,
480	construction, other aspects of building design and external meteorology. The outcome of this work will also
481	have implications for UCP development, as can help identify what can be simplified and what are critical
482	controls in different climates and urban settings.
483	This theoretical analysis is the first step towards a quantitative understanding on how $Q_{F,B}$ differs from $Q_{EC}$ .
484	Future work should include: (i) Expand beyond our very idealised building archetype and building operation
485	mode, to more complex real-world building types and building operations; (ii) we ignore latent heat release by
486	HVAC system, such as cooling towers, these processes need to be included; and (iii) a wider range of building
487	thermal properties should be explored. For developers of urban canopy parameterisations (UCP) there are
488	several considerations because of computational efficiencies essential for undertaking weather and climate
489	modelling: (1) human activities within building are modifying both the storage heat flux and the anthropogenic
490	heat flux; (2) assuming within an UCP that a 'simple' building energy model (BEM) (cf. a full building energy
491	simulation scheme such as EnergyPlus) will require some human activities to be simplified, such as using fixed
492	ventilation rate, instead of dynamic natural ventilation depending on both outdoor weather condition and
493	thermal comfort requirements; (3) with a multi-layer UCP the appropriate levels for the impact of these energy
494	exchanges can be accounted for; (4) after extension of our analysis to consider moisture this should also be
495	accounted for; and (5) the role of building materials, construction, other aspects of building design and external
496	meteorology can be simplified once more detailed analyses are completed (e.g. sensitivity analyses) to identify
497	what the critical controls are in different climates and urban settings.

498	<u>Data availab</u>	<u>ility</u>	
499	<u>All data are d</u>	eposited at https://doi.org/10.5281/zenodo.5903303 (Liu et al., 2022)	
500	Author conti	ributions	
501	Conceptualisa	ation: SG and ZL, Methods and Analysis: YL SG and ZL, First draft and visualization: YL,	
502	Writing and r	eview for submission: YL, SG and ZL Funding: SG and ZL.	
503	<u>Competing in</u>	nterests	
504	The author de	celare that they have no conflict of interest.	
505	Acknowledge	<u>ements</u>	
506	This work is t	funded as part of NERC-COSMA project (NE/S005889/1), ERC urbisphere (855005) and Newton	
507	Fund/Met Of	fice CSSP China Next Generation Cities (SG, ZL)	
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512	Namaralata		Formatieu, indent. First fine. 0 ch
312	Nomenciatur	Effective area of windows opening (m <sup>2</sup> )	
	ABo wo	Available energy to the building from human activities (W $m^{-2}$ )	
	$\Delta BAE_{0-u0}$	Difference of heat transfer by air exchange between building and atmosphere between occupied	
	<u>_</u>	(o) and unoccupied (uo) building (W m <sup>-2</sup> )	
	<u>BHE</u>	Building heat emission to ambient air (W m <sup>-2</sup> )	
	<u> AH_o-uo</u>	Difference in Q <sub>H</sub> between occupied (o) and unoccupied (uo) building (W m <sup>-2</sup> )	
	$\underline{F}_{[sky \rightarrow boi]}$	View factor from sky to building of interest	
	$\underline{F}_{[other \ b \rightarrow \ boi]}$	View factor from other buildings to building of interest	
	$\underline{F}_{[boi \to sky]}$	View factor from building of interest to sky	
	$\underline{F}_{[boi \to other b]}$	View factor from building of interest to other buildings	
	<u>C</u> _d	Discharge coefficient	
	<u>H</u>	Height of windows opening (m)	
	<u>K</u> 1	Outgoing shortwave radiative flux (W m <sup>-2</sup> )	
	$\underline{K}_{\downarrow}$	Incoming shortwave radiative flux (W m <sup>-2</sup> )	

$\underline{L}_{\uparrow}$	Outgoing longwave radiative flux (W m <sup>-2</sup> )
$\underline{L}_{\downarrow}$	Incoming longwave radiative flux (W m <sup>-2</sup> )
<u> AL<sub>1. o-uo</sub></u>	Difference in $L_{\uparrow}$ between occupied (o) and unoccupied (uo) building (W m <sup>-2</sup> )
<u> 10s</u>	Net storage heat flux for the building volume (W m <sup>-2</sup> )
<u></u> *	Net all-wave radiative flux (W m <sup>-2</sup> )
$Q_{AC}$	Sensible cooling load from air conditioning (W m <sup>2</sup> )
$Q_{BAE}$	Heat transfer by air exchange between building and atmosphere (W m <sup>-2</sup> )
<u> </u>	Anthropogenic heat flux from building sector (W m <sup>-2</sup> )
<u> Q<sub>F, M</sub></u>	Anthropogenic heat flux from metabolic activities (W m <sup>-2</sup> )
$Q_{F, T}$	Anthropogenic heat flux from transport (W m <sup>-2</sup> )
$Q_H$	<u>Turbulent sensible heat flux (W m<sup>-2</sup>)</u>
$Q_{HS}$	Sensible heating load (W m <sup>-2</sup> )
<u>Q<sub>HVAC</sub></u>	Energy consumption by heating ventilation and air conditioning (HVAC) system (W m <sup>-2</sup> )
<u><i>Q</i></u> <sub>Internal</sub>	Internal heat gain within the building (human metabolism, lighting and appliance) (W m <sup>-2</sup> )
<u>Qwaste</u>	Waste heat released to outdoor by HVAC system (W m <sup>-2</sup> )
<u>R</u>	Ratio of anthropogenic heat flux from building $(Q_{E,B})$ to energy consumption $(Q_{EC})$
<u>AS<sub>o-uo</sub></u>	Different in storage heat flux between occupied (o) and unoccupied (uo) building (W m <sup>-2</sup> )
<u>T</u> ave	Average indoor and outdoor air temperature (°C)
$\Delta T$	Indoor and outdoor air temperature difference (°C)
$\underline{U}_{W}$	Reference wind speed at height of upstream airflow (m s <sup>-1</sup> )
<u>V<sub>Stack</sub></u>	Buoyance driven ventilation rate (m <sup>3</sup> s <sup>-1</sup> )
$\underline{V}_{\underline{T}}$	Total ventilation rate by combined wind and bouyance effect
$\underline{V}_{\underline{W}}$	Wind driven ventilation rate (m <sup>3</sup> s <sup>-1</sup> )
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### 514 Appendix A: Building energy simulation details

515 Table A.1: Thermal properties of building fabric material (ASHRAE, 2017)

Opaque fabric						
Elements	Thermal conductivity (W m <sup>-1</sup> K <sup>-1</sup> )	Thickness (m)	U-value (W m <sup>-2</sup> K <sup>-1</sup> )	Thermal resistance (m <sup>2</sup> K <sup>1</sup> W <sup>-1</sup> )	Density (kg m <sup>-3</sup> )	Specific heat (J kg <sup>-1</sup> K <sup>-1</sup> )
Exterior wall (inside	to outdoors)					
Interior surface coefficient			8.290	0.121		
Concrete block	0.510	0.100	5.100	0.196	1400	1000
Foam insulation	0.040	0.0615	0.651	1.537	10	1400
Wood siding	0.140	0.009	15.556	0.064	530	900
Exterior surface coefficient			29.300	0.034		
Overall, air to air			0.512	1.952		
Floor (inside to outde	oors)					
Interior surface coefficient			8.290	0.121		
Concrete slab	1.130	0.08	14.125	0.071	1400	1000
Insulation	0.040	1.007	0.040	25175	0	0

Overall, air to air 0.039				25.366			
Exterior roof (inside to	o outdoors)						
Interior surface coefficient			8.290	0.121			
Plasterboard	0.160	0.010	16.000	0.063	950	840	
Fiberglass quilt	0.040	0.1118	0.358	2.794	12	840	
Roof deck	0.140	0.019	7.368	0.136	536	900	
Exterior surface			29.300	0.034			
Coefficient			0.219	2 1 4 7			
Overall, air to air			0.318	3.147			
Transparent fabric (w	Transparent fabric (windows)						
Number of panes 2				2			
Pane thickness (mm)				3.175			
Air-gap thickness (mm)				13			
Normal direct-beam transmittance through one pane				0.86156			
Thermal Conductivity of glass (W m <sup>-1</sup> K <sup>-1</sup> )				1.06			
Exterior combined surface coefficient (W m <sup>-2</sup> K <sup>-1</sup> )				21.00			
Interior combined surface coefficient (W m <sup>-2</sup> K <sup>-1</sup> )				8.29			
U-value from interior air to ambient air (W m <sup>-2</sup> K <sup>-1</sup> )			3.0				
Double-pane solar heat gain coefficient at normal incidence				0.789			

516 Figure A.1: Building geometry of ASHRAE 140 case 900 (with changed window)



517

- 518 Table A.2: Composition of internal heat gains from local building code (MOHURD, 2018). Human metabolism rate (100 W
- 519 p<sup>-1</sup>) is typical of resting activities (e.g. sleeping, reclining, seated and standing, 72-126W p<sup>-1</sup>) (ASHRAE, 2005).

Lighting (W m <sup>-2</sup> )	Equipment (W m <sup>-2</sup> )	Occupancy density (p m <sup>-2</sup> )
5	3.8	0.03

520 **Table A.3**: EnergyPlus output variables are used here in the following equations first. A<sub>Floor</sub> – is total area of floor of the

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building (m<sup>2</sup>)

EnergyPlus output variable (Units: W)	Notation	Building volume energy balance fluxes calculated (W m <sup>-2</sup> )	Equation (Units: W m <sup>-2</sup> )
Outside face net thermal radiation heat gain rate	$l_{\downarrow}-l_{\uparrow}$	Net longwave radiation	$L_{\uparrow} - L_{\downarrow} = \sum_{i=1}^{N_{surface}} (l_{\uparrow} - l_{\downarrow}) / A_{floor}$
Zone total internal total heating rate	<i>q<sub>Internal</sub></i>	Internal heat gains within the whole building	$Q_{Internal} = \sum_{i=1}^{N_{zone}} q_{Internal} / A_{floor}$
Surface outside face convection heat gain rate	$q_H$	Turbulent sensible heat flux	$Q_{H} = -\sum_{i=1}^{N_{surface}} q_{H} / A_{floor}$
Zone air heat balance air energy storage rate	$\Delta q_{S.a}$	Net storage heat flux for the building volume	$\Delta Q_{S} = \left(\sum_{i=1}^{N_{zone}} \Delta q_{S,a} + \sum_{i=1}^{N_{surface}} \Delta q_{S,s}\right) /$
Surface heat storage rate <u>AFN (Airflow network)</u> zone	$\Delta q_{S,s}$	Heat transfer by air exchange	Afloor
exfiltration sensible heat transfer rate	$\Delta q_{BAE}$	between building and atmosphere	$Q_{BAE} = \sum_{i=1}^{N_{zone}} q_{BAE} / A_{floor}$

Zone ideal loads supply air sensible heating rate	$\Delta q_{HS}$	Sensible heating load	$Q_{HS} = \sum_{i=1}^{N_{zone}} q_{HS} / A_{floor}$
Zone ideal loads supply air sensible cooling rate	$\Delta q_{AC}$	Sensible cooling load	$Q_{AC} = \sum_{i=1}^{N_{zone}} q_{AC} / A_{floor}$

### 522 Appendix B: Energy balance analysis for other cases





Figure B1. As Figure 1, but uses *ov1* for occupied building case in (b, e, h, k) and the heat flux difference with respect to
 unoccupied sealed building (*ov1-us*) in (c, f, i, 1)

527 Figure B2. As Figure B1, but uses *ov2* for occupied building case in (b, e, h, k) and the heat flux difference with respect to

528 unoccupied sealed building (ov2-us) in (c, f, i, l)





530 Figure B3. As Figure B2, but uses unoccupied ventilation baseline (a, d, g, j) and occupied building case *ov1* in (b, e, h, k)

531 and their difference (ov1-uv) in (c, f, i, l)



533 Figure B4. As Figure B3, but uses occupied building case ov2 in (b, e, h, k) and their difference (ov2-uv) in (c, f, i, l)



535 Figure B5. As Figure B3, but with ov3 in (b, e, h, k) and their difference (ov3-uv) in (c, f, i, l)



537 Figure B6. As Figure 2 but with *uv* as the baseline

#### 538 Acknowledgements

539 This work is funded as part of NERC-COSMA project (NE/S005889/1), ERC urbisphere (855005) and Newton

540 Fund/Met Office CSSP China Next Generation Cities (SG, ZL)

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