



# 1 Direct observations indicate photodegradable oxygenated VOCs

# as larger contributors to radicals and ozone production in the

atmosphere
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25 **Abstract:** Volatile organic compounds (VOCs) regulate atmospheric oxidation capacity, and the reactions of VOCs are key in understanding ozone formation and its mitigation 26 strategies. When evaluating its impact, most previous studies did not fully consider the 27 role of oxygenated VOCs due to limitations of measurement technology. By using a 28 proton-transfer-reaction time-of-flight mass spectrometer (PTR-ToF-MS) combined 29 with gas chromatography mass spectrometer (GC-MS) technology, we are able to 30 quantify a large number of oxygenated VOCs in a representative urban environment in 31 southern China. Based on the new dataset, we find that non - formaldehyde (HCHO) 32 OVOCs can contribute large fractions (22-44%) of total ROx radical production, 33 comparable or larger than the contributions from nitrous acid and formaldehyde. We 34 demonstrate that constraints using OVOCs observations are essential in modeling 35 radical and ozone production, as modelled OVOCs can be substantially lower than 36 measurements, potentially due to primary emissions and/or missing secondary sources. 37 Our results show that models without OVOC constraints using ambient measurements 38 will underestimate P(RO<sub>X</sub>) and ozone production rate, and may also affect the 39 determination of sensitivity regime in ozone formation. Therefore, a thorough 40 quantification of photodegradable OVOCs species is in urgent need to understand 41 42 accurately the ozone chemistry and to develop effective control strategies. 43

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Keywords: photolysis reactions; oxygenated volatile organic compounds; radical

45 production; ozone production





#### 1 Introduction

Ground-level ozone is generated by photochemical oxidation of volatile organic 48 compounds (VOCs) under the catalysis of nitrogen oxides (NOx) and hydroxide 49 radicals (HO<sub>X</sub>=OH+HO<sub>2</sub>) (Atkinson, 2000; Monks et al., 2015). In this process, 50 photolysis reactions are a crucial driving force. Photodegradable species (i.e. species 51 that is capable of photolysis) including O<sub>3</sub>, nitrous acid (HONO), and oxygenated 52 VOCs (OVOCs) can contribute to primary production of RO<sub>X</sub> (OH+HO<sub>2</sub>+RO<sub>2</sub>) 53 54 radicals via photolysis reactions, thereby accelerating the recycling of radicals to generate ozone (Volkamer et al., 2010). The strong dependence of OH concentration 55 on j(O<sup>1</sup>D) was found in a number of field measurements (Ehhalt and Rohrer, 56 2000; Rohrer et al., 2014b; Stone et al., 2012), implying the dominant role of 57 ultraviolet radiation and photolysis reactions in the production of HO<sub>X</sub> radicals. 58 Edwards et al. (2014) found that the high ozone pollution in an oil and gas producing 59 basin in the U.S. in winter was caused by the photolysis of high concentrations of 60 OVOCs to generate sufficient oxidants. A recent model simulation with limited 61 62 OVOCs measurements by Qu et al. (Qu et al., 2021) indicated that OVOC species is 63 the largest free-radical source in the boundary layer. Another study indicated that fast 64 ozone production during winter haze episodes in China was driven by HO<sub>X</sub> radicals derived from photolysis of formaldehyde (HCHO), overcoming radical titration 65 induced by NOx emissions (Li et al., 2021). Therefore, an accurate quantification of 66 67 numerous photolysis reactions is necessary to understand the mechanism of ROx radical and ozone production. 68 However, only limited number of photodegradable OVOCs species, such as 69 formaldehyde, acetaldehyde and acetone, have been measured in the field campaigns 70 in China due to the limitations of measurement technology (Lu et al., 2013;Lu et al., 71 72 2012; Tan et al., 2018; Tan et al., 2019c). Many important photodegradable OVOCs, such as larger aldehydes and ketones, carboxylic acids, nitrophenols, organic peroxides 73 and multifunctional species, have been rarely quantified accurately in ambient 74 environments. In such cases, the quantification of the primary production of ROx 75





76 radicals induced by photolysis reactions may not be adequately accurate. Many studies used photochemical models to simulate unmeasured OVOC species (Tan et al., 77 2019b; Volkamer et al., 2010; Ling et al., 2014; Edwards et al., 2014). However, large 78 79 uncertainties in the simulation of OVOCs remain due to primary emissions of OVOCs (McDonald et al., 2018;Karl et al., 2018;Gkatzelis et al., 2021), missing secondary 80 sources (Bloss et al., 2005; Ji et al., 2017), heterogenous uptake of aerosols and 81 unknown dilution and transmission processes (Li et al., 2014). For instance, chamber 82 experiments of the oxidation of aromatics by OH radical indicated that MCM 83 mechanism generally underestimated the formation of aldehydes, ketones and phenols 84 by 10-70% (Bloss et al., 2005; Ji et al., 2017), implying the existence of unknown 85 production pathways for these OVOC species. Furthermore, model simulations 86 frequently underestimated observed ROx radicals in ambient studies of ROx radicals 87 (Hofzumahaus et al., 2009; Tan et al., 2018; Lelieveld et al., 2008; Rohrer et al., 88 2014a; Sheehy et al., 2010; Emmerson et al., 2005; Ma et al., 2019). Given that only 89 limited photodegradable OVOCs species were measured in these studies, the lack of 90 91 comprehensive measurements of OVOCs to constrain the model is likely to be a cause 92 of the underestimation. Thus far, the concrete effects of photodegradable OVOCs on radical and ozone 93 94 production remains unexplored in China. Based on comprehensive field observations 95 in a mega-city in southern China, a variety of important photodegradable OVOC species were measured. The contributions of these photodegradable OVOCs species 96 to the production of ROx radicals are quantified, and the effect of photolysis reactions 97 98 on ozone production is quantitatively assessed.

### 2 Materials and Methods

#### 2.1 OVOC measurements

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Field measurements were conducted at an urban site in Guangzhou (113.2°E, 23°N) from 14 September to 20 November 2018. The sampling site is located on the 9th floor of a building on the campus of Guangzhou Institute of Geochemistry, Chinese Academy

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of Sciences, 25 m above the ground level. This site is regarded as a typical urban site in Guangzhou influenced by industrial and vehicle emissions.

During this campaign, an online PTR-ToF-MS (Ionicon Analytic GmbH,

Innsbruck, Austria) with H<sub>3</sub>O<sup>+</sup> and NO<sup>+</sup> chemistry was used to measure ambient volatile organic compounds (VOCs) (Wang et al., 2020a; Wu et al., 2020). The PTR-ToF-MS automatically switches between H<sub>3</sub>O<sup>+</sup> and NO<sup>+</sup> modes every 10-20 minutes. In each mode, the background and ambient measurements were automatically switched to a custom-built Platinum catalytic converter heated to 365 °C for 3 minutes to detect background of the instrument. The time resolution of the measurement of PTR-ToF -MS was 10 s. A total of 31 VOCs species were calibrated using either gas cylinders or liquid standards. For other measured VOCs, we used the method proposed by Sekimoto et al. (2017) to determine the relationship between VOC sensitivity and kinetic rate constants for proton transfer reactions of H<sub>3</sub>O<sup>+</sup> with VOCs. The fitted line was used to determine the concentrations of those uncalibrated species. Following the discussions in Sekimoto, et al. (Sekimoto et al., 2017), the uncertainties of the concentrations for uncalibrated species were about 50 %. Humidity dependencies of various VOCs were determined in the laboratory with absolute humidity in the range of 0-30 mmol/mol (relative humidity of 0 %–92 % at 25 °C), which fully covered the humidity range encountered during the entire campaign. The detailed introduction of this method has been reported by Wu et al. (Wu et al., 2020). Notably, PTR-ToF-MS is not capable of distinguishing isomers (Yuan et al., 2017). GC-MS technique was used to measure several carbonyls that PTR-ToF-MS can not distinguish, including acetaldehyde, propionaldehyde, n-butanal, n-pentanal, n-hexanal, methacrolein, methyl vinyl ketone. An iodide time-of flight chemical ionization mass spectrometer (ToF-CIMS) was used to measure propionic acid. Combined with the measurements of GC-MS and CIMS, the isomers measured by PTR-ToF-MS can be distinguished. In OVOC species, hydroxyacetone and propionic acid (C<sub>3</sub>H<sub>6</sub>O<sub>2</sub>), acetone

and propanal (C<sub>3</sub>H<sub>6</sub>O), methyl ethyl ketone and butanal (C<sub>4</sub>H<sub>8</sub>O), MVK and MACR

(C<sub>4</sub>H<sub>6</sub>O) are all isomers. The average concentration of propionic acid measured by





134 measured by PTR-ToF-MS (~1.5 ppb). The hydroxyacetone concentrations were determined by the difference between PTR-ToF-MS and CIMS measurements. 135 Meanwhile, the concentration of propional dehyde (average of 0.35 ppb) and n-butanal 136 (average of 0.17 ppb) measured by GC-MS were also respectively far lower than the 137 concentration of C<sub>3</sub>H<sub>6</sub>O (average of 4.4 ppb) and C<sub>4</sub>H<sub>8</sub>O (average of 1.8 ppb) measured 138 by PTR-ToF -MS. The concentrations of acetone and methyl ethyl ketone were 139 determined by the difference between PTR-ToF-MS and GC-MS measurements. The 140 concentrations of MVK and MACR were determined according to C<sub>4</sub>H<sub>6</sub>O 141 concentration measured by PTR-ToF-MS and the ratio of MVK to MACR measured by 142 GC-MS. Additionally, the concentration of CH<sub>4</sub>O<sub>2</sub> and CH<sub>4</sub>O<sub>3</sub> were also quantified, 143 which were tentatively attributed to methyl hydroperoxide (CH<sub>3</sub>OOH) and 144 hydroxymethyl hydroperoxide (HOCH<sub>2</sub>OOH), respectively. Additionally, we also 145 measured concentrations of several small carbon-number acids, including formic acid, 146 147 acetic acid, and propionic acid (Figure S1). However, the photolysis wavelength bands of these species are all less than 260 nm. Given the sunlight that can reach the ground 148 is generally greater than 290 nm, these small carbon-number acids cannot photolyze 149 150 significantly near the ground. An exception is pyruvic acid which is also a small carbonnumber acid but with a wide photolysis band that can reach 460 nm because of its 151 152 carbonyl functional group (Horowitz et al., 2001; Mellouki and Mu, 2003; Berges and 153 Warneck, 1992). Therefore, the photolysis of pyruvic acid was included in the analysis as it can significantly contribute to the production of RO<sub>X</sub> radicals. 154 In addition to the specific species mentioned above, PTR-ToF-MS measured 155 156 carbonyls with higher carbon number including C<sub>n</sub>H<sub>2n</sub>O (n>5), C<sub>n</sub>H<sub>2n-2</sub>O (n>3), C<sub>n</sub>H<sub>2n-2</sub>O  $_{2}O_{2}$  (n>3),  $C_{n}H_{2n-4}O_{2}$  (n>3) and  $C_{n}H_{2n-4}O_{3}$  (n>3). Apparently, multiple isomers that 157 can't be distinguished specifically may contribute to these species. The measured 158 photodegradable OVOCs species and their concentrations are summarized in Table S1. 159

#### 2.2 Other measurements

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HONO was measured by a custom-built LOPAP (LOng Path Absorption

Photometer) based on wet chemical sampling and photometric detection (Yu et al.,





163 2021). HCHO was measured by a custom-built instrument based on the Hantzsch reaction and absorption photometry. Total OH reactivity was measured by the 164 comparative reactivity method (CRM) (Sinha et al., 2008; Wang et al., 2021). In this 165 method, pyrrole (C<sub>4</sub>H<sub>5</sub>N) was used as the reference substance and was quantified by a 166 quadrupole PTR-MS (Ionicon Analytic, Austria). Non-methane hydrocarbons 167 168 (NMHCs) were measured using a gas chromatography-mass spectrometer/flame ionization detector (GC-MS/FID) system, coupled with a cryogen-free pre-169 concentration device. Nitrogen oxides (NO<sub>X</sub>= NO + NO<sub>2</sub>), ozone (O<sub>3</sub>), sulfur dioxide 170 (SO<sub>2</sub>) and carbon monoxide (CO) were measured by NO<sub>X</sub> analyzer (Thermo 171 Scientific, Model 42i), O<sub>3</sub> analyzer (Thermo Scientific, 150 Model 49i), SO<sub>2</sub> analyzer 172 (Thermo Scientific, Model 43i) and CO analyzer (Thermo Scientific, Model 48i). The 173 meteorological data, including temperature (T), relative humidity (RH) and wind 174 speed and direction 160 (WS, WD) were recorded by Vantage Pro2 Weather Station 175 176 (Davis Instruments Inc., Vantage Pro2) with the time resolution of 1 min. Photolysis frequencies including j(HONO), j(NO<sub>2</sub>), j(H<sub>2</sub>O<sub>2</sub>), j(HCHO) and j(O<sup>1</sup>D) were 177 178 measured by a spectrometer (Focused Photonics Inc., PFS-100).

#### 2.3 Observation-based box model

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A zero-dimensional box model coupled with the Master Chemical Mechanism (MCM) v3.3.1 chemical mechanism (Jenkin et al., 2003; Saunders et al., 2003) was used to simulate ROx production and losses, and O<sub>3</sub> production rates during the field campaign. The model simulation was constrained to the observations of meteorological parameters, photolysis frequencies, and concentrations of non-methane hydrocarbons (NMHCs), OVOCs, NO, NO<sub>2</sub>, O<sub>3</sub>, CO, SO<sub>2</sub> and nitrous acid (HONO). All constraints were averaged to generate a synchronized 1-h time resolution dataset. The model runs were performed in a time-dependent mode with spin-up of two days. A 24-h lifetime was introduced for all simulated species, including secondary species and radicals, to approximately simulate dry deposition and other losses of these species (Lu et al., 2013; Wang et al., 2020b). This lifetime corresponds to an assumed deposition velocity of 1.2 cm s<sup>-1</sup> and a well-mixed boundary layer height of about 1 km. Sensitivity tests





192 show that this assumed deposition lifetime has a relatively small influence on the reactivity of modeled oxidation products, ROx radicals and ozone production rates. The 193 ozone production rate (P(O<sub>3</sub>)) were calculated according to E1: 194  $P(O_3) = k_{HO2+NO}[HO_2][NO] + \sum_{i} (k_{RO2+NO}^{i} [RO_2^{i}][NO])$ E1 195 The production rate of RO<sub>X</sub> radicals (P(RO<sub>X</sub>)) is equal to the sum of the rates at 196 which all photodegradable species generate ROx radicals through the photolysis 197 198 reactions, as shown in E2.  $P(RO_X) = 2 \times [O_3] \times j(O^1D) \times \theta + [HONO] \times j(HONO) + \sum_i [OVOC_i] \times j_i \times k_i$ 199 E2 where  $\theta$  is the fraction of O<sup>1</sup>D from ozone photolysis that reacts with water vapor. 200 201  $OVOC_i$  represents each OVOCs species,  $j_i$  represents the photolysis frequency of each OVOC species, and  $k_i$  represents the number of RO<sub>X</sub> radical generated from the 202 203 photolysis of each OVOC molecule. For most OVOCs species,  $k_i$  is equal to 2. 204 The photolysis frequencies of measured photodegradable species were calculated based on measured actinic flux combined with absorption cross sections and 205 206 photolysis quantum yields reported in Jet Propulsion Laboratory (JPL) publication 207 (Burkholder et al., 2020). However, absorption cross sections and photolysis quantum yields for nitrophenol and methyl nitrophenol are unavailable from JPL publication. 208 Yuan et al. (2016) have reported that photolysis was the most efficient loss pathway 209 for nitrophenol in the gas phase. Different values of absorption cross sections and 210 quantum yields for nitrophenol have been reported (Chen et al., 2011; Sangwan and 211 Zhu, 2018; Bejan et al., 2006). In this study, we used the values from Chen et al. (Chen 212 et al., 2011), which can reproduce well the observed concentrations of nitrophenol and 213 methyl nitrophenol during the measurement period. 214 215 Absorption cross sections and quantum yields are not available for carbonyls with large carbon number, and absorption cross sections and quantum yields of 216 species with similar structure are used as a surrogate, following the method described 217 in Jenkin et al., (1997) (Jenkin et al., 1997) (e.g. C<sub>2</sub>H<sub>5</sub>C(O)CH<sub>3</sub> is used as a surrogate 218 for aliphatic ketones with more carbons). Another issue is that carbonyls with large 219 220 carbon number ( $C_nH_{2n}O$ , n>5;  $C_nH_{2n-2}O$ , n>3;  $C_nH_{2n-2}O_2$ , n>3;  $C_nH_{2n-4}O_2$ , n>3;  $C_nH_{2n-4}O_2$ , n>3;  $C_nH_{2n-2}O_2$ , n>3;  $C_nH_{2n-4}O_2$ , n>3;  $C_nH_{2n-2}O_2$ , n>3;  $C_nH_{2n-2$ 221 4O<sub>3</sub>, n>3) measured by PTR-ToF-MS may include contributions from multiple





222 isomers, and the fraction of each individual species cannot be obtained. Hence, each molecular formula corresponds to multiple molecular structures and thus corresponds 223 to multiple photolysis frequencies. Here, we calculate the P(RO<sub>X</sub>) of these species in 224 225 two scenarios: (1) each molecular formula corresponds to minimum photolysis frequency of all potential species (e.g. aliphatic ketones); (2) each molecular formula 226 corresponds to maximum photolysis frequency of all potential species (e.g. 227 aldehydes). As a result, photolysis frequencies of these carbonyls with large carbon 228 number were assigned to the ranges of  $1.2 \times 10^{-6} \sim 6.5 \times 10^{-6}$ ,  $1.2 \times 10^{-6} \sim 6.5 \times 10^{-6}$ ,  $1.2 \times 10^{-6}$ 229  $^{6}\sim1.2\times10^{-4}, 1.2\times10^{-6}\sim3.0\times10^{-4}$  and  $1.2\times10^{-6}\sim1.8\times10^{-4}$  s<sup>-1</sup>, respectively (Jenkin et al., 230 1997) (Table S1). The lowest and highest values of these photolysis frequencies were 231 separately used to determine the lower and upper limits of P(RO<sub>X</sub>). Therefore, the 232 total P(RO<sub>X</sub>) contributed by all these OVOC species could be investigated. 233

#### 3 Results and discussion

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#### 3.1 Overview of the observations

During the observation period, we used PTR-ToF-MS and GC-MS technology to 236 measure more than 20 photodegradable OVOCs species. The concentrations and 237 photolysis frequencies of measured photodegradable OVOCs species are summarized 238 in Table S1 and Figure 1. Previous studies have reported that these species have 239 relatively large absorption cross section and quantum yield (Burkholder et al., 2020). 240 241 The measured daytime average photolysis frequencies for these species were generally larger than  $1.3 \times 10^{-6}$  s<sup>-1</sup>. 242 Figure 1 presents the average diurnal variation of photodegradable OVOCs 243 species during the measurement period. The concentrations of these species ranged 244 from 0.01 to 10 ppb. HCHO, methylglyoxal, propionaldehyde, n-butanal, n-pentanal, 245 MVK+MACR, pyruvic acid, formic acid, acetic acid, and CH3OOH had similar diurnal 246 variation patterns. The concentrations of these species started to increase from about 247 6:00 in the morning, and peaked at 13:00-16:00, after which the concentrations 248 249 gradually decreased. This diurnal variation pattern is a typical secondary production

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250 pattern, and thus we deduce that these species primarily came from secondary production. Acetaldehyde, acetone and acrolein showed diurnal variations without 251 significant variations throughout the day, as these species were contributed by both 252 253 secondary generation and primary emissions or background contribution (Wu et al., 2020). It is notable that acrolein, nitrophenol and methylnitrophenol all peaked at 20:00 254 in the evening, which is likely due to primary emissions e.g. biomass burning (Ye et al., 255 2021). 256 The ratio of secondary OVOCs to NMHCs can characterize the degree of the 257 conversion of emitted NMHC to secondary OVOCs through oxidation reactions. 258 Figure S2 presents the correlation between daily daytime average of HCHO (and 259 pyruvic acid) concentration versus OH reactivity from hydrocarbons, i.e., 260 HCHO/koh NMHC ratio (and pyruvic acid/koh NMHC ratio) and j(NO2). Both 261 HCHO/koh NMHC and pyruvic acid/koh NMHC ratios displayed significant positive 262 263 correlation with j(NO<sub>2</sub>). These results suggest that the enhancement of the photolysis 264 rates converted more NMHCs into secondary OVOCs, suggesting the crucial role of 265 photolysis reactions in the airmass aging and the occurrence of secondary pollution.

#### 3.2 Contribution of photolysis reactions to the production of RO<sub>X</sub> radicals

The photolysis of  $O_3$ , HONO and OVOCs are the most important contributors to the production of  $RO_X$  radicals. All observed photodegradable species, including  $O_3$ , HONO and OVOCs, were constrained in the box model to calculate  $P(RO_X)$ . The calculated  $P(RO_X)$  was basically determined by concentrations of these observed photodegradable species. Using the possible ranges of photolysis frequencies of carbonyls with more carbon number that are not possible to assign into specific individual species, we can obtain the possible widest variation range of  $P(RO_X)$ . As shown in **Figure 2a**, the minimum (solid line) and maximum (dashed line) of  $P(RO_X)$  calculated during the campaign peaked at 3.6 ppb h<sup>-1</sup> and 5.4 ppb h<sup>-1</sup>, respectively. The  $P(RO_X)$  determined in this study is very close to those reported in the Autumn 2014 in Pearl River Delta with peak values of  $3 \sim 4$  ppb h<sup>-1</sup> (Tan et al., 2019a) and the summer 2014 in Wangdu, Hebei (peak value of 5 ppb h<sup>-1</sup>) (Tan et al., 2017), and lower than





279 those in the summer 2006 in Beijing (peak value of about 7 ppb h<sup>-1</sup>) (Lu et al., 2013) and the summer 2006 in Guangzhou (peak value of about 10 ppb h<sup>-1</sup>) (Lu et al., 2012), 280 and higher than those in the winter of 2016 in Beijing (peak value of about 1 ppb h<sup>-1</sup>) 281 282 (Tan et al., 2018) and the winter in the oil and gas basin of Utah, USA (daytime average value of 0.77 ppb h<sup>-1</sup>) (Edwards et al., 2014). Note that these previous studies mentioned 283 above usually only measured a few simple carbonyls such as HCHO, acetaldehyde and 284 acetone and the P(ROx) contributed by photolysis of other OVOCs was calculated by 285 model simulations, which may lead to large uncertainties. 286 For the scenario of minimum OVOCs contribution, HONO contributed the most 287 to P(RO<sub>X</sub>) (37%), followed by O<sub>3</sub> (20%) and HCHO (21%). The contribution of non-288 HCHO OVOCs was 22% (Figure 2a). For the scenario of maximum OVOCs 289 contribution, the contribution of non-HCHO OVOCs increased to 44%. In total, 290 OVOCs contributed 43% ~ 59% of P(ROx), which was higher than the contribution of 291 292 HONO. This is different from previous studies reporting HONO contributed more to P(RO<sub>X</sub>) than OVOCs in China (Tan et al., 2019a; Tan et al., 2017; Tan et al., 2018; Tan 293 294 et al., 2019b). Nevertheless, it is notable that the contributions of HONO to P(RO<sub>X</sub>) in 295 the early morning were higher than those of OVOCs due to the accumulation of HONO in nighttime, while OVOCs dominate P(RO<sub>X</sub>) at noon when photochemistry was most 296 297 active (Figure 2a). Furthermore, previous studies in China indicated that HCHO was 298 the dominant contributor to P(ROx) among OVOC species and the contributions of other OVOC species was generally smaller than that of HCHO (Tan et al., 2019a; Tan 299 et al., 2017; Tan et al., 2018; Tan et al., 2019b). In contrast, the results of this study 300 301 suggest that non-HCHO OVOCs have a potential to be a larger contributor than HCHO and HONO, revealing the importance of non-HCHO OVOCs in radical production. The 302 difference between this study and previous studies in China is primarily attributed to 303 more OVOC species measured in this study than previous studies. Nevertheless, the 304 305 existing isomers of carbonyls with more carbons lead to large uncertainties in the quantification of P(RO<sub>X</sub>) as shown in Figure 2a. Therefore, precise distinction of these 306 isomers in the future is crucial to accurately quantify P(RO<sub>X</sub>). In addition, absorption 307 cross-section and quantum yield of many photodegradable OVOC species with large 308

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carbon numbers, especially multifunctional species, are not experimentally determined. As a result, the photolysis frequencies of these species are not available, which also 310 leads to uncertainties in quantifying P(ROx). As measurements of many organic 311 compounds may not be possible at least in the near future, construction of 312 parameterization method for photolysis frequencies of oxygenated VOCs either based 313 on chemical formula or functional groups at isomeric level will help to reduce this 314 uncertainty in the future. 315 As a comparison with the observation-determined  $P(RO_X)$ ,  $P(RO_X)$  was also 316 simulated by the box model without all observed OVOC species constrained. As shown 317 in Figure 3a, the simulation of the box model without all observed OVOC species 318 constrained underestimated P(ROx) significantly compared to observation-determined 319 P(RO<sub>X</sub>). The underestimation of P(RO<sub>X</sub>) was 16% and 44% when using the lower and 320 higher limits of OVOCs photolysis frequencies, respectively (red solid line and red 321 322 dashed line in Figure 3a). The underestimation of P(ROx) was due to the underestimation of photodegradable OVOCs simulated by the photochemical model 323 324 (Table S2). In general, most photodegradable OVOCs were underestimated by 10~100% by box model except for MVK and MACR. The underestimation of photodegradable 325 OVOCs can be caused by missing primary emissions (McDonald et al., 2018; Karl et 326 327 al., 2018; Gkatzelis et al., 2021) or unknown secondary source of these OVOCs species 328 (Bloss et al., 2005; Ji et al., 2017). Direct flux measurements of VOCs based on the eddy covariance technique showed that the contribution of typical urban emission sources 329 comprised of a surprisingly large portion of OVOCs (Karl et al., 2018). In addition, 330 331 some experimental studies indicated that MCM mechanism generally underestimated formation of aldehydes, ketones and phenols from the oxidation of aromatics by OH 332 radical (Bloss et al., 2005; Ji et al., 2017), suggesting the existence of unknown 333 secondary source of these OVOCs species. This evidence suggests that it is essential to 334 335 use ambient measurements of OVOCs as constraints in models at least until primary 336 and secondary sources of OVOCs are better understood. Previous studies in Pearl River Delta and North China Plain of China found that 337





radicals, indicating the existence of unknown sources of RO<sub>X</sub> radicals in the atmosphere (Lu et al., 2012;Lu et al., 2013;Tan et al., 2019c;Hofzumahaus et al., 2009;Ma et al., 2019). For instance, comprehensive measurements in winter in Beijing showed that the photochemical box model greatly underestimated OH, HO<sub>2</sub> and RO<sub>2</sub> radicals by 50% ~ 12 fold during the pollution periods (Tan et al., 2018;Ma et al., 2019). Through the budget analysis of the source and sink of radicals, the researchers believed that the missing P(RO<sub>X</sub>) was the primary cause of the underestimation of HO<sub>2</sub> and RO<sub>2</sub> concentrations (Tan et al., 2018). Given that most photodegradable OVOCs were not constrained in box model used in these previous studies of RO<sub>X</sub> radicals, the results of our study provide a direction for solving this issue regarding underestimated RO<sub>X</sub> radical concentrations. Therefore, it is imperative to continuously improve measurement technologies to achieve accurate quantification of more photodegradable OVOC species, thereby improving our understanding of the issues with respect to the closure of RO<sub>X</sub> radicals in the atmosphere.

#### 3.3 The role of photolysis reactions in ozone pollution

The box model was used to evaluate the effect of the photodegradable OVOCs species on ozone production rate during the whole campaign. P(O<sub>3</sub>) were simulated with and without all of measured photodegradable OVOCs species constrained in the box model, respectively. As shown in **Figure 3b**, compared to the scenario with observed photodegradable OVOCs species constrained in box model, the scenario without constraining OVOCs underestimated peak value of P(O<sub>3</sub>) by 15~38%. The underestimation of P(O<sub>3</sub>) was due to the underestimation of OVOCs by the box model (**Table S2**). As shown in **Figure 4**, the dependence of daily peak O<sub>3</sub> concentrations on NO<sub>x</sub> concentrations was calculated by the box model with and without all of measured photodegradable OVOCs species constrained. The NO<sub>x</sub> concentration level corresponding to maximum of ozone concentration (NO<sub>x</sub> (O<sub>3 max</sub>)) was determined. In reality, this NO<sub>x</sub> concentration level is the threshold to distinguish between VOC-limited and NO<sub>x</sub>-limited regimes (Edwards et al., 2014; Womack et al., 2019). Ozone production is NO<sub>x</sub>-limited if the ambient NO<sub>x</sub> concentration is lower than the





368 threshold of NOx, but is in VOC-limited regime if ambient NOx concentration higher than the threshold of NO<sub>X</sub>. The larger threshold of NO<sub>X</sub> represents higher possibility 369 of ozone production in NO<sub>X</sub> limited regime. The threshold of NO<sub>X</sub> for the scenario 370 371 with observed photodegradable OVOCs species constrained is 21%~52% higher than that without observed photodegradable OVOCs species constrained (Figure 4). This 372 suggests that the box model simulation without constraining OVOCs will 373 overestimate the VOC-limited degree due to the underestimation of OVOCs, and thus 374 overestimate the effect of VOCs reduction in reducing ozone pollution, which in turn 375 may not determine the ozone control strategy correctly. Therefore, it is necessary to 376 constrain these important photodegradable species in photochemical models to 377 calculate P(O<sub>3</sub>) level and to diagnose ozone sensitivity regimes accurately. 378 O<sub>3</sub> production rate can be expressed as the product of P(RO<sub>X</sub>) and radical chain 379 length (ChL) as shown in E3 (Tonnesen and Dennis, 2000). 380  $P(O_3) = P(RO_X) \times \frac{{}^{Rate(HO_2+NO)+Rate(RO_2+NO)}}{{}^{P(RO_X)}} = P(RO_X) \times ChL$ E3 381 where Rate (HO<sub>2</sub>+NO) and Rate (RO<sub>2</sub>+NO) represent the reaction rates between 382 HO<sub>2</sub> and NO and between RO<sub>2</sub> and NO, respectively. 383 Two ozone pollution episodes (from 19 September to 27 September and from 30 384 September to 9 October, respectively) were identified during the campaign from 14 385 September to 20 November 2018 (Figure S3, Table S3). The temporal variations of 386 P(O<sub>3</sub>) and P(RO<sub>X</sub>) overall showed good consistency with those of ozone concentrations 387 388 (Figure S4). P(O<sub>3</sub>) and P(RO<sub>X</sub>) in the two ozone pollution episodes are higher than those in the non-pollution period (Figure 5, Figure S5). ChL levels were similar 389 between the ozone pollution episodes and the non-pollution period (Figure S5). 390 391 Therefore, the substantial increase of P(ROx) in the ozone pollution episode played a 392 crucial role in the accelerated ozone production. Furthermore, the ratio of P(RO<sub>X</sub>) from 393 OVOCs photolysis to total P(RO<sub>X</sub>) in the two ozone pollution episodes is higher than 394 that in the non-pollution period, denoting higher contribution of OVOCs photolysis to P(RO<sub>X</sub>) in the ozone pollution episodes (Figure 5). These results indicate that the 395

accelerating production of OVOCs had a significant positive feedback effect on ozone

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pollution. This is broadly consistent with the wintertime observations in an oil and gas basin in Utah, USA, which found that a very high VOC to NO<sub>X</sub> ratio optimized production of secondary OVOCs, leading to OVOC photolysis as a dominant oxidant source (Edwards et al., 2014).

#### **4 Summary and Conclusion**

In summary, comprehensive measurements of photodegradable species advance our understand of radical sources and ozone production in an urban environment. By using PTR-ToF-MS in a representative urban environment, a large number of photodegradable OVOCs were measured. These measurements make it possible to directly quantify their contribution to ROx radical production. We found that non-HCHO OVOCs can be a larger contributor to P(ROx) than HCHO and HONO. Photochemical models without constrained OVOC species will significantly underestimate P(RO<sub>X</sub>) and ozone production rates and overestimate the effect of VOCs reduction in reducing ozone pollution. Therefore, it is important to measure these photodegradable species and use these observations as constraints to better quantify radical and ozone production. Thanks to the improvement of technology in the recent years, large number of OVOCs species in the atmosphere can be measured by the emerging online chemical ionization mass spectrometers, including PTR-ToF-MS and CIMS. However, photolysis frequencies of these OVOCs species, especially those with multiple functional groups, are still not available or difficult to quantify using current existing information, which poses large uncertainties in the quantification of P(RO<sub>X</sub>) and ozone production. Hence, more laboratory studies on photolysis of organic compounds, better

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uncertainty in the future.

parameterization of photolysis frequencies using chemical formula/functional groups,

and measurements of oxygenated VOCs at isomeric level will help to decrease this





424	Data availability
425	The observational data used in this study are available from corresponding authors
426	upon request (byuan@jnu.edu.cn)
427	<b>Author contributions</b>
428	BY, WJW and HS designed the research. WJW and BY prepared the manuscript
429	with contributions from other authors. WJW performed data analysis with contributions
430	from YWP, YFC, SXY and FXB. CHW, JPQ, YBH, CMW, CSY, ZLW, BLW, XMW,
431	WS, WWH, PC, MNZ, JYZ, and MS collected data
432	Competing interests
433	The authors declare that they have no known competing financial interests or personal
434	relationships that could have appeared to influence the work reported in this paper.
435	
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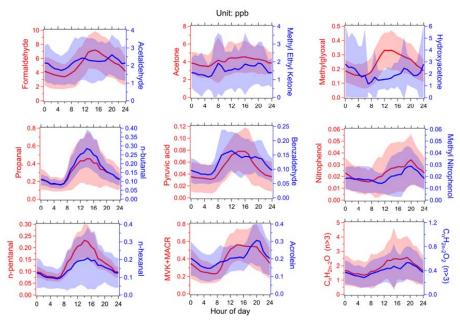


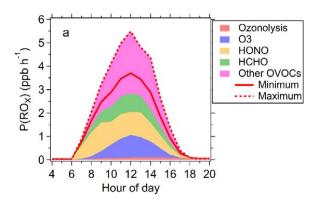
Figure 1. The average diurnal variations of the concentrations of photodegradable OVOCs species during the field campaign in Guangzhou.

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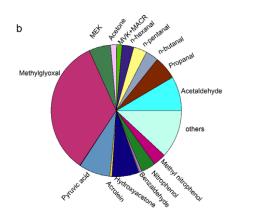


Figure 2. The  $P(RO_X)$  calculated by box model with all observed photodegradable species constrained. (a): The source composition of total  $P(RO_X)$  during the campaign; the solid and dashed lines represent the scenarios with minimum and maximum OVOC contributions to  $P(RO_X)$ , respectively. (b): the relative contributions of non-HCHO OVOC species to  $P(RO_X)$  for the scenarios with minimum OVOC contribution to  $P(RO_X)$ .



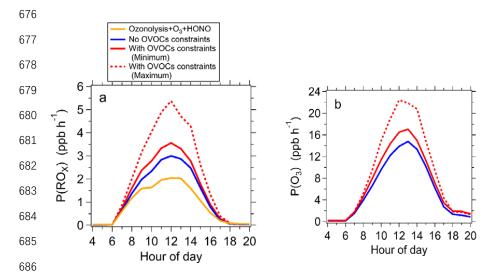


Figure 3. Model simulated P(RO<sub>X</sub>) (a) and P(O<sub>3</sub>) (b) without and with all observed photodegradable OVOCs constrained. (a): Model simulated P(RO<sub>X</sub>) without (blue line) and with all observed photodegradable OVOCs constrained (red lines). The sum contribution of O<sub>3</sub> photolysis, HONO photolysis and ozonolysis is also displayed (yellow line). (b): Model simulated P(O<sub>3</sub>) without (blue line) and with observed photodegradable OVOCs constrained (red lines). The red solid and red dashed lines represent the scenarios with minimum and maximum OVOC contributions to P(RO<sub>X</sub>), respectively.

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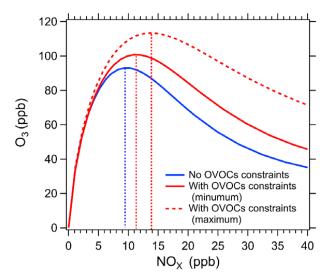


Figure 4. Model simulated dependence of daily peak O<sub>3</sub> concentrations on NO<sub>X</sub> concentrations without (blue curve) and with all observed photodegradable OVOCs constrained (red curves). The red solid and red dashed curves represent the scenarios with minimum and maximum OVOC contributions to P(RO<sub>X</sub>), respectively. The dashed lines parallel to Y-axis represent the threshold of NO<sub>X</sub> levels to distinguish between VOC-limited and NO<sub>X</sub>-limited regimes.





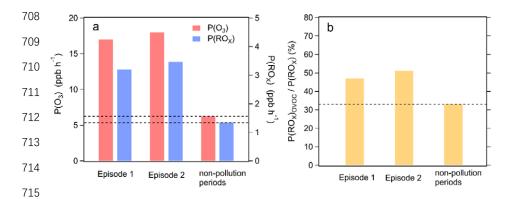


Figure 5. Averaged  $P(O_3)$ ,  $P(RO_X)$ , the ratio of  $P(RO_X)$  contributed by OVOCs to total  $P(RO_X)$  ( $P(RO_X)$ )ovoc/ $P(RO_X)$ ) during two ozone pollution episodes (episode 1, episode 2) and non-pollution periods. Both  $P(O_3)$  and  $P(RO_X)$  correspond to the scenarios with minimum OVOC contributions to  $P(RO_X)$ .

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