1	Direct observations indicate photodegradable oxygenated VOCs
2	as larger contributors to radicals and ozone production in the
3	atmosphere
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25 Abstract: Volatile organic compounds (VOCs) regulate atmospheric oxidation capacity, and the reactions of VOCs are key in understanding ozone formation and its mitigation 26 strategies. When evaluating its impact, most previous studies did not fully consider the 27 role of oxygenated VOCs due to limitations of measurement technology. By using a 28 proton-transfer-reaction time-of-flight mass spectrometer (PTR-ToF-MS) combined 29 30 with gas chromatography mass spectrometer (GC-MS) technology, a large number of 31 oxygenated VOCs have been quantified in Guangzhou city, China.we are able to 32 quantify a large number of oxygenated VOCs in a representative urban environment in southern China. Based on the new dataset, we find that non - formaldehyde (HCHO) 33 OVOCs can contribute large fractions (22-44%) of total ROx radical production, 34 35 comparable or larger than the contributions from nitrous acid and formaldehyde. We 36 demonstrate that constraints using OVOCs observations are essential in modeling radical and ozone production, as modelled OVOCs can be substantially lower than 37 measurements, potentially due to primary emissions and/or missing secondary sources. 38 Non-formaldehyde (HCHO) OVOCs can contribute to large fractions (22-44%) of total 39 40 RO_X radical production, which is comparable to or larger than the contributions from 41 nitrous acid and formaldehyde. Our results show that models without OVOC 42 constraints using ambient measurements will underestimate the production rates of RO_X and ozone production rate, and may also affect the determination of sensitivity 43 regime in ozone formation. Therefore, a thorough quantification of photodegradable 44 OVOCs species is in urgent need to understand accurately the ozone chemistry and to 45 develop effective control strategies. 46

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48 Keywords: photolysis reactions; oxygenated volatile organic compounds; radical

- 49 production; ozone production
- 50

51 **1 Introduction**

Ground-level ozone is generated by photochemical oxidation of volatile organic 52 compounds (VOCs) under the catalysis of nitrogen oxides (NOx) and hydrogen 53 54 oxidehydroxide radicals (HOx=OH+HO2) (Atkinson, 2000; Monks et al., 2015). In 55 this process, photolysis reactions are a crucial driving force. Photodegradable species (i.e. species that is capable of photolysis) including Photolysis of O₃, nitrous acid 56 57 (HONO), and oxygenated VOCs (OVOCs) can contribute to primary production of 58 RO_X (OH+HO₂+RO₂) radicals via photolysis reactions, thereby accelerating the 59 recycling of radicals to generate ozone (Volkamer et al., 2010). The strong dependence of OH concentration on $j(O^1D)$ was found in a number of field 60 measurements (Ehhalt and Rohrer, 2000;Rohrer et al., 2014b;Stone et al., 2012), 61 implying the dominant role of ultraviolet radiation and photolysis reactions in the 62 63 production of HO_X radicals. Edwards et al. (2014) found that the high ozone pollution in an oil and gas producing basin in the U.S. in winter was caused by the photolysis of 64 high concentrations of OVOCs to generate sufficient oxidants. A recent model 65 simulation with limited OVOCs measurements by Qu et al. (Qu et al., 2021) indicated 66 67 that OVOC species is the largest free-radical source in the boundary layer. Another study indicated that fast ozone production during winter haze episodes in China was 68 driven by HO_X radicals derived from photolysis of formaldehyde (HCHO), 69 overcoming radical titration induced by NOx emissions (Li et al., 2021). Therefore, an 70 accurate quantification of numerous photolysis reactions is necessary to understand 71 72 the mechanism of RO_X radical and ozone production. However, only limited number of photodegradable OVOCs species, such as 73 74 formaldehyde, acetaldehyde and acetone, have been measured in the field campaigns 75 in China due to the limitations of measurement technology (Lu et al., 2013;Lu et al., 76 2012; Tan et al., 2018; Tan et al., 2019c). Many important photodegradable OVOCs,

such as larger aldehydes and ketones, carboxylic acids, nitrophenols, organic peroxides
and multifunctional species, have been rarely quantified accurately in ambient
environments. In such cases, the quantification of the primary production of RO_X

radicals induced by photolysis reactions may not be adequately accurate. Many studies 80 used photochemical models to simulate unmeasured OVOC species (Tan et al., 81 2019b;Volkamer et al., 2010;Ling et al., 2014;Edwards et al., 2014). However, large 82 uncertainties in the simulation of OVOCs remain due to primary emissions of OVOCs 83 (McDonald et al., 2018;Karl et al., 2018;Gkatzelis et al., 2021), missing secondary 84 sources (Bloss et al., 2005; Ji et al., 2017), heterogenous uptake of aerosols and 85 unknown dilution and transmission processes (Li et al., 2014). For instance, chamber 86 87 experiments of the oxidation of aromatics by OH radical indicated that MCM mechanism generally underestimated the formation of aldehydes, ketones and phenols 88 by 10-70% (Bloss et al., 2005; Ji et al., 2017), implying the existence of unknown 89 production pathways for these OVOC species. Furthermore, model simulations 90 frequently underestimated observed RO_X radicals in ambient studies of RO_X radicals 91 (Hofzumahaus et al., 2009;Tan et al., 2018;Lelieveld et al., 2008;Rohrer et al., 92 2014a;Sheehy et al., 2010;Emmerson et al., 2005;Ma et al., 2019). Given that only 93 limited photodegradable OVOCs species were measured in these studies, the lack of 94 95 comprehensive measurements of OVOCs to constrain the model is likely to be a cause of the underestimation. 96

97 Thus far, the concrete effects of photodegradable OVOCs on radical and ozone 98 production remains unexplored in China. Based on comprehensive field observations 99 in a mega-city in southern China, a variety of important photodegradable OVOC 100 species were measured. The contributions of these photodegradable OVOCs species 101 to the production of ROx radicals are quantified, and the effect of photolysis reactions 102 on ozone production is quantitatively assessed.

- **2 Materials and Methods**
- 104 **2.1 OVOC measurements**

Field measurements were conducted at an urban site in Guangzhou (113.2°E, 23°N) from 14 September to 20 November 2018. The sampling site is located on the 9th floor of a building on the campus of Guangzhou Institute of Geochemistry, Chinese Academy of Sciences, 25 m above the ground level. This site is regarded as a typical urban site
in Guangzhou influenced by industrial and vehicle emissions.

During this campaign, an online PTR-ToF-MS (Ionicon Analytic GmbH, 110 Innsbruck, Austria) with H₃O⁺ and NO⁺ chemistry was used to measure ambient volatile 111 organic compounds (VOCs) (Wang et al., 2020a;Wu et al., 2020). The PTR-ToF-MS 112 automatically switches between H₃O⁺ and NO⁺ modes every 10-20 minutes. In each 113 mode, the background and ambient measurements were automatically switched to a 114 custom-built Platinum catalytic converter heated to 365 °C for 3 minutes to detect 115 background of the instrument. The time resolution of the measurement of PTR-ToF -116 MS was 10 s. A total of 31 VOCs species were calibrated using either gas cylinders or 117 liquid standards. For other measured VOCs, we used the method proposed by Sekimoto 118 et al. (2017) to determine the relationship between VOC sensitivity and kinetic rate 119 constants for proton transfer reactions of H₃O⁺ with VOCs. The fitted line was used to 120 determine the concentrations of those uncalibrated species. Following the discussions 121 in Sekimoto, et al. (Sekimoto et al., 2017), the uncertainties of the concentrations for 122 123 uncalibrated species were about 50 %. Humidity dependencies of various VOCs were determined in the laboratory with absolute humidity in the range of 0-30 mmol/mol 124 (relative humidity of 0 %–92 % at 25 °C), which fully covered the humidity range 125 encountered during the entire campaign. The detailed introduction of this method has 126 been reported by Wu et al. (Wu et al., 2020). 127

Notably, PTR-ToF-MS is not capable of distinguishing isomers (Yuan et al., 2017). 128 129 GC-MS technique was used to measure several carbonyls that PTR-ToF-MS can not distinguish, including acetaldehyde, propionaldehyde, n-butanal, n-pentanal, n-hexanal, 130 131 methacrolein (MACR), methyl vinyl ketone (MVK). We compared concentrations of 132 common OVOC species measured by both GC-MS and PTR-ToF-MS. The agreement of measurement results from the two instruments are quite consistent (Figure S1). In 133 addition to GC-MS/FID, an iodide time-of flight chemical ionization mass spectrometer 134 (ToF-CIMS) was used to measure propionic acid. Combined with the measurements of 135 GC-MS and CIMS, the isomers measured by PTR-ToF-MS can be distinguished. In 136 OVOC species, hydroxyacetone and propionic acid (C₃H₆O₂), acetone and propanal 137

(C₃H₆O), methyl ethyl ketone and butanal (C₄H₈O), MVK and MACR (C₄H₆O) are all 138 isomers. The average concentration of propionic acid measured by CIMS was 0.23 ppb, 139 significantly lower than that of the concentration of C₃H₆O₂ measured by PTR-ToF-MS 140 (~1.5 ppb). The hydroxyacetone concentrations were determined by the difference 141 between PTR-ToF-MS and CIMS measurements. Meanwhile, the concentration of 142 propanalpropionaldehyde (average of 0.35 ppb) and n-butanal (average of 0.17 ppb) 143 144 measured by GC-MS were also respectively far lower than the concentration of C₃H₆O 145 (average of 4.4 ppb) and C₄H₈O (average of 1.8 ppb) measured by PTR-ToF--MS. The concentrations of acetone and methyl ethyl ketone were determined by the difference 146 between PTR-ToF-MS and GC-MS measurements. The concentrations of MVK and 147 MACR were determined according to C4H6O concentration measured by PTR-ToF-MS 148 149 and the ratio of MVK to MACR measured by GC-MS. In this way, the uncertainty of PTR-ToF-MS induced by isomers is greatly reduced. 150

Concentrations of CH₄O₂ and CH₄O₃ were quantified by PTR-ToF-MS, which 151 152 were tentatively attributed to methyl hydroperoxide (CH₃OOH) and hydroxymethyl 153 hydroperoxide (HOCH₂OOH), respectively. Furthermore, we also measured concentrations of several small carbon-number acids, including formic acid, acetic acid, 154 and propionic acid were measured by PTR-ToF-MS (Figure S1). However, the 155 photolysis wavelength bands of these species are all less than 260 nm. Given the 156 sunlight that can reach the ground is generally greater than 290 nm, these small carbon-157 number acids cannot photolyze significantly near the ground. An exception is pyruvic 158 159 acid which is also a small carbon-number acid but with a wide photolysis band that can 160 reach 460 nm because of its carbonyl functional group (Horowitz et al., 2001;Mellouki and Mu, 2003; Berges and Warneck, 1992). Therefore, the photolysis of pyruvic acid 161 162 was included in the analysis as it can significantly contribute to the production of ROx radicals. 163

In addition to the specific species mentioned above, PTR-ToF-MS measured carbonyls with higher carbon number including $C_nH_{2n}O$ (n>5), $C_nH_{2n-2}O$ (n>3), $C_nH_{2n-1}O$ 2O₂ (n>3), $C_nH_{2n-4}O_2$ (n>3) and $C_nH_{2n-4}O_3$ (n>3). Apparently, multiple isomers that can't be distinguished specifically may contribute to these species. The measured

photodegradable OVOCs species and their concentrations are summarized in Table S1.

169 **2.2 Other measurements**

170 HONO was measured by a custom-built LOPAP (LOng Path Absorption Photometer) based on wet chemical sampling and photometric detection (Yu et al., 171 2021). HCHO was measured by a custom-built instrument based on the Hantzsch 172 reaction and absorption photometry. Total OH reactivity was measured by the 173 comparative reactivity method (CRM) (Sinha et al., 2008; Wang et al., 2021). In this 174 method, pyrrole (C₄H₅N) was used as the reference substance and was quantified by a 175 176 quadrupole PTR-MS (Ionicon Analytic, Austria). Non-methane hydrocarbons (NMHCs) were measured using a gas chromatography-mass spectrometer/flame 177 ionization detector (GC-MS/FID) system, coupled with a cryogen-free pre-178 concentration device. Nitrogen oxides ($NO_X = NO + NO_2$), ozone (O_3), sulfur dioxide 179 (SO₂) and carbon monoxide (CO) were measured by NO_X analyzer (Thermo 180 Scientific, Model 42i), O₃ analyzer (Thermo Scientific, 150 Model 49i), SO₂ analyzer 181 (Thermo Scientific, Model 43i) and CO analyzer (Thermo Scientific, Model 48i). The 182 meteorological data, including temperature (T), relative humidity (RH) and wind 183 184 speed and direction 160 (WS, WD) were recorded by Vantage Pro2 Weather Station (Davis Instruments Inc., Vantage Pro2) with the time resolution of 1 min. Photolysis 185 frequencies including i(HONO), $i(NO_2)$, $i(H_2O_2)$, i(HCHO) and $i(O^1D)$ were 186 measured by a spectrometer (Focused Photonics Inc., PFS-100). 187

188 **2.3 Observation-based box model**

A zero-dimensional box model coupled with the Master Chemical Mechanism (MCM) v3.3.1 chemical mechanism (Jenkin et al., 2003;Saunders et al., 2003) was used to simulate RO_X production and losses, and O₃ production rates during the field

- 192 campaign. The model simulation was constrained to the observations of
- 193 meteorological parameters, photolysis frequencies, and concentrations of non-
- 194 methane hydrocarbons (NMHCs), OVOCs, NO, NO₂, O₃, CO, SO₂ and nitrous acid
- 195 (HONO). All constraints were averaged to generate a synchronized 1-h time

resolution dataset. The model runs were performed in a time-dependent mode with 196 197 time resolution of 1 hour and spin-up of two days. There is no significant difference in 198 simulated OH and HO₂ concentrations between 1-hour and 5-minute time resolution (Figure S3). A 24-h lifetime was introduced for all simulated species, including 199 secondary species and radicals, to approximately simulate dry deposition and other 200 losses of these species (Lu et al., 2013; Wang et al., 2020b). This lifetime corresponds-201 to an assumed deposition velocity of 1.2 cm s⁻¹ and a well-mixed boundary layer-202 203 height of about 1 km. Sensitivity tests show that this assumed physical loss deposition 204 lifetime has a relatively small influence on the reactivity of modeled oxidation 205 products, RO_X radicals and ozone production rates. A 50% change in the physical loss lifetime leads to 3%, 6% and 10% changes in OH concentration, HO₂ concentration 206 207 and ozone production rate. The ozone production rate $(P(O_3))$ were calculated 208 according to E1:

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$P(O_3) = k_{HO2+NO}[HO_2][NO] + \sum_i (k_{RO2+NO}^i [RO_2^i][NO])$ E1

The production rate of RO_X radicals (P(RO_X)) is equal to the sum of the rates at which all photodegradable species generate RO_X radicals through the photolysis reactions, as shown in E2.

213 $P(RO_x) = 2 \times [O_3] \times j(O^1D) \times \theta + [HONO] \times j(HONO) + \sum_i [OVOC_i] \times j_i \times k_i$ E2 214 where θ is the fraction of O¹D from ozone photolysis that reacts with water vapor. 215 OVOC_i represents each OVOCs species, j_i represents the photolysis frequency of each 216 OVOC species, and k_i represents the number of ROx radicals generated from the 217 photolysis of each OVOC molecule. For most OVOCs species, k_i is equal to 2<u>or 1</u>.

The photolysis frequencies of measured photodegradable species were calculated based on measured actinic flux combined with absorption cross sections and photolysis quantum yields reported in Jet Propulsion Laboratory (JPL) publication (Burkholder et al., 2020). Note that absorption cross sections and quantum yields used all corresponds to radical formation channel, not including molecule formation channel. However, absorption cross sections and photolysis quantum yields for

nitrophenol and methyl nitrophenol are unavailable from JPL publication. Yuan et al.

(2016) have reported that photolysis was the most efficient loss pathway for
nitrophenol in the gas phase. Different values of absorption cross sections and
quantum yields for nitrophenol have been reported (Chen et al., 2011;Sangwan and
Zhu, 2018;Bejan et al., 2006). In this study, we used the values from Chen et al. (Chen
et al., 2011), which can reproduce well the observed concentrations of nitrophenol and
methyl nitrophenol during the measurement period.

Absorption cross sections and quantum yields are not available for carbonyls 231 with large carbon number, and absorption cross sections and quantum yields of 232 species with similar structure are used as a surrogate, following the method described 233 in Jenkin et al., (Jenkin et al., 1997) (e.g. C₂H₅C(O)CH₃ is used as a surrogate for 234 235 aliphatic ketones with more carbons). Another issue is that carbonyls with large carbon number (C_nH_{2n}O, n>5; C_nH_{2n-2}O, n>3; C_nH_{2n-2}O₂, n>3; C_nH_{2n-4}O₂, n>3; C_nH_{2n-4}O₂ 236 237 4O₃, n>3) measured by PTR-ToF-MS may include contributions from multiple isomers, and the fraction of each individual species cannot be obtained. Hence, each 238 molecular formula corresponds to multiple molecular structures and thus corresponds 239 to multiple photolysis frequencies. Here, we calculate the $P(RO_X)$ of these species in 240 two scenarios: (1) each molecular formula corresponds to minimum photolysis 241 frequency of all potential species (e.g. aliphatic ketones); (2) each molecular formula 242 corresponds to maximum photolysis frequency of all potential species (e.g. 243 aldehydes). As a result, photolysis frequencies of these carbonyls with large carbon 244 number were assigned to the ranges of 1.2×10⁻⁶~6.5×10⁻⁶, 1.2×10⁻⁶~6.5×10⁻⁶, 1.2×10⁻ 245 ⁶~1.2×10⁻⁴,1.2×10⁻⁶~3.0×10⁻⁴ and 1.2×10⁻⁶~1.8×10⁻⁴ s⁻¹, respectively (Jenkin et al., 246 1997) (Table S1). The lowest and highest values of these photolysis frequencies were 247 separately used to determine the lower and upper limits of P(RO_X). Therefore, the 248 total P(ROx) contributed by all these OVOC species could be investigated. 249

250 **3 Results and discussion**

251 **3.1 Overview of the observations**

252 During the observation period, we used PTR-ToF-MS and GC-MS technology to

measure more than 20 photodegradable OVOCs species. The concentrations and photolysis frequencies of measured photodegradable OVOCs species are summarized in **Table S1 and Figure 1**. Previous studies have reported that these species have relatively large absorption cross section and quantum yield (Burkholder et al., 2020). The measured daytime average photolysis frequencies for these species were generally larger than 1.3×10^{-6} s⁻¹.

Figure 1 presents the average diurnal variation of photodegradable OVOCs 259 260 species during the measurement period. The concentrations of these species ranged from 0.01 to 10 ppb. HCHO, methylglyoxal, propionaldehyde, n-butanal, n-pentanal, 261 MVK+MACR, pyruvic acid, formic acid, acetic acid, and CH₃OOH had similar diurnal 262 variation patterns. The concentrations of these species started to increase from about 263 6:00 in the morning, and peaked at 13:00-16:00, after which the concentrations 264 gradually decreased. This diurnal variation pattern is a typical secondary production 265 pattern, and thus we deduce that these species primarily came from secondary 266 production. Acetaldehyde, acetone and acrolein showed diurnal variations without 267 268 significant variations throughout the day, as these species were contributed by both secondary generation and primary emissions or background contribution (Wu et al., 269 270 2020). It is notable that acrolein, nitrophenol and methylnitrophenol all peaked at 20:00 271 in the evening, which is likely due to primary emissions e.g. biomass burning due to 272 wild/agricultural fires (Ye et al., 2021) and vehicle emissions.

273 The ratio of secondary OVOCs to NMHCs can characterize the degree of the conversion of emitted NMHC to secondary OVOCs through oxidation reactions. 274 275 Figure <u>S2-S4</u> presents the correlation between daily daytime average of HCHO (and pyruvic acid) concentration versus OH reactivity from hydrocarbons, i.e., 276 277 HCHO/koHROH NMHC ratio (and pyruvic acid/koHROH NMHC ratio) and j(NO2). Both HCHO/koHROH NMHC and pyruvic acid/koHROH NMHC ratios displayed significant 278 positive correlation with j(NO₂). These results suggest that the enhancement of the 279 photolysis rates converted more NMHCs into secondary OVOCs, suggesting the crucial 280 role of photolysis reactions in the airmass aging and the occurrence of secondary 281 pollution. 282

283 **3.2** Contribution of photolysis reactions to the production of RO_X radicals

The photolysis of O₃, HONO and OVOCs are the most important contributors to 284 the production of RO_X radicals. All observed photodegradable species, including O₃, 285 286 HONO and OVOCs, were constrained in the box model to calculate P(ROx). The simulated total P(RO_X) contains the contributions from all observed photodegradable 287 species and several simulated OVOCs that was not measured such as glyoxal. The 288 calculated P(ROx) was basically determined by concentrations of these observed 289 photodegradable species. Using the possible ranges of photolysis frequencies of 290 carbonyls with more carbon number that are not possible to assign into specific 291 individual species, we can obtain the possible widest variation range of P(RO_X). As 292 293 shown in Figure 2a, the minimum (solid line) and maximum (dashed line) of P(RO_X) calculated during the campaign peaked at 3.6 ppb h⁻¹ and 5.4 ppb h⁻¹, respectively. The 294 P(RO_x) determined in this study is very close to those reported in the Autumn 2014 in 295 Pearl River Delta with peak values of $3 \sim 4$ ppb h⁻¹ (Tan et al., 2019a) and the summer 296 2014 in Wangdu, Hebei (peak value of 5 ppb h⁻¹) (Tan et al., 2017), and lower than 297 those in the summer 2006 in Beijing (peak value of about 7 ppb h⁻¹) (Lu et al., 2013) 298 and the summer 2006 in Guangzhou (peak value of about 10 ppb h⁻¹) (Lu et al., 2012), 299 and higher than those in the winter of 2016 in Beijing (peak value of about 1 ppb h^{-1}) 300 301 (Tan et al., 2018) and the winter in the oil and gas basin of Utah, USA (daytime average value of 0.77 ppb h^{-1}) (Edwards et al., 2014). Note that these previous studies mentioned 302 above usually only measured a few simple carbonyls such as HCHO, acetaldehyde and 303 acetone and the P(RO_X) contributed by photolysis of other OVOCs was calculated by 304 305 model simulations, which may lead to large uncertainties.

For the scenario of minimum OVOCs contribution, HONO contributed the most
to P(ROx) (37%), followed by O₃ (20%) and HCHO (20%). The contribution of nonHCHO OVOCs was 21% (Figure 2a). Figure 2b and Figure S5 show the relative
contributions of different non-HCHO OVOC species to P(ROx) for the scenarios with
minimum and maximum OVOC contribution, respectively. Ozonolysis of alkenes
played a minor role in P(ROx). For the scenario of maximum OVOCs contribution, the

contribution of non-HCHO OVOCs increased to 44%. In total, OVOCs contributed 43% 312 313 \sim 59% of P(RO_x), which is higher than previous studies that reported OVOCs contributed 17%~40% of P(ROx) in major cities in China and the US (Tan et al., 314 2019a;Tan et al., 2017;Tan et al., 2018;Tan et al., 2019b;Young et al., 2012;Griffith et 315 al., 2016). which In this study the contribution of OVOCs to P(RO_X) was higher than 316 the contribution that of HONO. This is different from previous studies reporting HONO 317 contributed more to P(RO_X) than OVOCs in China (Tan et al., 2019a; Tan et al., 318 319 2017; Tan et al., 2018; Tan et al., 2019b). Nevertheless, it is notable that the contributions of HONO to P(RO_X) in the early morning were higher than those of OVOCs due to the 320 accumulation of HONO in nighttime, while OVOCs dominate P(ROx) at noon when 321 322 photochemistry was most active (Figure 2a). Furthermore, those previous studies in 323 China indicated that HCHO was the dominant contributor to P(RO_X) among OVOC species and the contributions of other OVOC species was generally smaller than that of 324 HCHO (Tan et al., 2019a; Tan et al., 2017; Tan et al., 2018; Tan et al., 2019b). In contrast, 325 the results of this study suggest that non-HCHO OVOCs have a potential to be a larger 326 327 contributor than HCHO and HONO, revealing the importance of non-HCHO OVOCs in radical production. The difference between this study and previous studies in China 328 is primarily attributed to more OVOC species measured in this study than previous 329 330 studies. The Nevertheless, the existing isomers of carbonyls with more carbons lead to large uncertainties in the quantification of P(ROx) as shown in Figure 2a. Therefore, 331 precise distinction of these isomers in the future is crucial to accurately quantify P(ROx). 332 In addition, absorption cross-section and quantum yield of many photodegradable 333 OVOC species with large carbon numbers, especially multifunctional species, are not 334 335 experimentally determined. As a result, the photolysis frequencies of these species are 336 not available, which also leads to uncertainties in quantifying P(ROx). As measurements of many organic compounds may not be possible at least in the near 337 future, construction of parameterization method for photolysis frequencies of 338 oxygenated VOCs either based on chemical formula or functional groups at isomeric 339 340 level will help to reduce this uncertainty in the future. observation-determined P(RO_X) As a comparison with the observation-determined P(RO_x) scenario with all 341

342 observed OVOC species constrained in the box model, P(ROx) was also simulated by 343 the box model without all observed OVOC species constrained. As shown in Figure 3a, 344 the simulation of the box model without all-observed OVOC species constrained (blue line in Figure 3a) underestimated P(ROx) significantly compared to observation-345 determined P(ROx) the scenario with all observed OVOC species constrained (red lines 346 in Figure 3a). The underestimation of P(ROx) was 16% and 44% when using the lower 347 and higher limits of OVOCs photolysis frequencies, respectively (red solid line and red 348 349 dashed line in Figure 3a). In this case, the underestimation of OH and HO2 concentrations were 15~38% and 25%~64%, respectively. The underestimation of 350 P(RO_X) and radical concentrations was due to the underestimation of photodegradable 351 OVOCs simulated by the photochemical model (Table S2). In general, most 352 353 photodegradable OVOCs were underestimated by 10~100% by box model except for MVK and MACR. The underestimation of photodegradable OVOCs can be caused by 354 missing primary emissions (McDonald et al., 2018;Karl et al., 2018;Gkatzelis et al., 355 2021) or unknown secondary source of these OVOCs species (Bloss et al., 2005; Ji et 356 357 al., 2017). Direct flux measurements of VOCs based on the eddy covariance technique showed that the contribution of typical urban emission sources comprised of a 358 surprisingly large portion of OVOCs (Karl et al., 2018). In addition, some experimental 359 studies indicated that MCM mechanism generally underestimated formation of 360 aldehydes, ketones and phenols from the oxidation of aromatics by OH radical (Bloss 361 et al., 2005; Ji et al., 2017), suggesting the existence of unknown secondary source of 362 363 these OVOCs species. This evidence suggests that it is essential to use ambient 364 measurements of OVOCs as constraints in models at least until primary and secondary 365 sources of OVOCs are better understood.

Previous studies in Pearl River Delta and North China Plain of China found that photochemical models significantly underestimated measured concentrations of OH radicals, indicating the existence of unknown sources of ROx radicals in the atmosphere (Lu et al., 2012;Lu et al., 2013;Tan et al., 2019c;Hofzumahaus et al., 2009;Ma et al., 2019). For instance, comprehensive measurements in winter in Beijing showed that the photochemical box model greatly underestimated OH, HO₂ and RO₂ radicals by 50%

 ~ 12 fold during the pollution periods (Tan et al., 2018;Ma et al., 2019). Through the 372 budget analysis of the source and sink of radicals, the researchers believed that the 373 missing P(ROx) was the primary cause of the underestimation of HO2 and RO2 374 concentrations (Tan et al., 2018). Given that most photodegradable OVOCs were not 375 constrained in box model used in these previous studies of RO_X radicals, the results of 376 our study provide a direction for solving this issue regarding underestimated ROx 377 radical concentrations. Therefore, it is imperative to continuously improve 378 379 measurement technologies to achieve accurate quantification of more photodegradable OVOC species, thereby improving our understanding of the issues with respect to the 380 closure of RO_X radicals in the atmosphere. 381

382 **3.3 The role of photolysis reactions in ozone pollution**

383 The box model was used to evaluate the effect of the photodegradable OVOCs species on ozone production rate during the whole campaign. $P(O_3)$ were simulated 384 385 with and without all of measured photodegradable OVOCs species constrained in the box model, respectively. As shown in Figure 3b, compared to the scenario with 386 387 observed photodegradable OVOCs species constrained in box model (red lines in Figure 3b), the scenario without constraining observed OVOCs (blue line in Figure 388 389 <u>3b)</u> underestimated peak value of $P(O_3)$ by 15~38%. The underestimation of $P(O_3)$ was due to the underestimation of OVOCs by the box model (Table S2). 390

As shown in **Figure 4**, the dependence of daily peak O_3 concentrations on NO_X 391 concentrations was calculated by the box model with and without all of measured 392 photodegradable OVOCs species constrained. The NO_X concentration level 393 corresponding to maximum of ozone concentration (NOx (O3 max)) was determined. In 394 reality, this NO_X concentration level is the threshold to distinguish between VOC-395 limited and NO_X-limited regimes (Edwards et al., 2014; Womack et al., 2019). Ozone 396 397 production is NO_X-limited if the ambient NO_X concentration is lower than the 398 threshold of NOx, but is in VOC-limited regime if ambient NOx concentration higher than the threshold of NO_X. The larger threshold of NO_X represents higher possibility 399 of ozone production in NO_X limited regime. The threshold of NO_X for the scenario 400

with observed photodegradable OVOCs species constrained is 21%~52% higher than 401 that without observed photodegradable OVOCs species constrained (Figure 4). This 402 403 suggests that the box model simulation without constraining OVOCs will overestimate the VOC-limited degree due to the underestimation of OVOCs, and thus 404 overestimate the effect of VOCs reduction in reducing ozone pollution, which in turn 405 may not determine the ozone control strategy correctly. Therefore, it is necessary to 406 constrain these important photodegradable species in photochemical models to 407 calculate P(O₃) level and to diagnose ozone sensitivity regimes accurately. 408

409 O₃ production rate can be expressed as the product of P(ROx) and radical chain
410 length (ChL) as shown in E3 (Tonnesen and Dennis, 2000).

411
$$P(O_3) = P(RO_X) \times \frac{Rate(HO_2 + NO) + Rate(RO_2 + NO)}{P(RO_X)} = P(RO_X) \times ChL$$
 E3

where Rate (HO₂+NO) and Rate (RO₂+NO) represent the reaction rates between HO₂
and NO and between RO₂ and NO, respectively. <u>ChL characterizes the number of</u>
<u>iterations each RO_x radical makes prior to termination. It is equal to the ratio between</u>
the radical recycling rate and primary production rate (or equivalently, termination

416 <u>rate</u>), indicating the efficiency of radical propagation.

417 Two ozone pollution episodes (from 19 September to 27 September and from 30 September to 9 October, respectively) were identified during the campaign from 14 418 419 September to 20 November 2018 (Figure <u>\$3\$6</u>, Table \$3). The temporal variations of 420 P(O₃) and P(RO_X) overall showed good consistency with those of ozone concentrations 421 (Figure S4S7). P(O₃) and P(RO_x) in the two ozone pollution episodes are higher than 422 those in the non-pollution period (Figure 5, Figure S5). P(O₃) in the two ozone pollution episodes was a factor of 2.6~2.8 that in the non-pollution period (Figure 5, 423 424 Figure S8). P(RO_X) in the two ozone pollution episodes was a factor of 2.2~2.6 that in the non-pollution period. ChL in episode 2 was similar to that in non-pollution period, 425 426 while ChL for episode 1 was a factor of 1.7 that in non-pollution period (Figure S8). 427 ChL levels were similar between the ozone pollution episodes and the non-pollution 428 period (Figure S5). Therefore, the substantial increase of P(ROx) in the both ozone 429 pollution episodes played a crucial role in the accelerated ozone production.

Furthermore, the ratio of P(RO_X) from OVOCs photolysis to total P(RO_X) in the two 430 ozone pollution episodes is higher than that in the non-pollution period, denoting higher 431 contribution of OVOCs photolysis to P(ROx) in the ozone pollution episodes (Figure 432 433 5). These results indicate that the accelerating production of OVOCs had a significant 434 positive feedback effect on ozone pollution (Qu et al., 2021). This is broadly consistent with the wintertime observations in an oil and gas basin in Utah, USA, which found 435 that a very high VOC to NO_X ratio optimized production of secondary OVOCs, leading 436 437 to OVOC photolysis as a dominant oxidant source (Edwards et al., 2014).

438 **4 Summary and Conclusion**

In summary, comprehensive measurements of photodegradable species advance 439 440 our understand of radical sources and ozone production in an urban environment. By using PTR-ToF-MS in a representative urban environment, a large number of 441 442 photodegradable OVOCs were measured. These measurements make it possible to directly quantify their contribution to RO_X radical production. We found that non-443 HCHO OVOCs can be a larger contributor to P(ROx) than HCHO and HONO. 444 Photochemical models without constrained OVOC species will significantly 445 underestimate P(RO_X) and ozone production rates and overestimate the effect of VOCs 446 reduction in reducing ozone pollution. Therefore, it is important to measure these 447 photodegradable species and use these observations as constraints to better quantify 448 449 radical and ozone production.

Thanks to the improvement of technology in the recent years, large number of OVOCs species in the atmosphere can be measured by the emerging online chemical ionization mass spectrometers, including PTR-ToF-MS and CIMS. However, photolysis frequencies of these OVOCs species, especially those with multiple functional groups, are still not available or difficult to quantify using current existing information, which poses large uncertainties in the quantification of P(RO_X) and ozone production. Hence, more laboratory studies on photolysis of organic compounds, better 457 parameterization of photolysis frequencies using chemical formula/functional groups,

and measurements of oxygenated VOCs at isomeric level will help to decrease thisuncertainty in the future.

460

461 **Data availability**

462 The observational data <u>and model code</u> used in this study are available from 463 corresponding authors upon request (byuan@jnu.edu.cn)<u>.</u>

464 **Author contributions**

BY, WJW and HS designed the research. WJW and BY prepared the manuscript
with contributions from other authors. WJW performed data analysis with contributions
from YWP, YFC, SXY and FXB. CHW, JPQ, YBH, CMW, CSY, ZLW, BLW, XMW,
WS, WWH, PC, MNZ, JYZ, and MS collected data

469 **Competing interests**

The authors declare that they have no known competing financial interests or personalrelationships that could have appeared to influence the work reported in this paper.

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473 Acknowledgements

This work was supported by the National Key R&D Plan of China (grant No. 474 2019YFE0106300), the National Natural Science Foundation of China (grant No. 475 41877302, 41905111), Guangdong Natural Science Funds for Distinguished Young 476 Scholar (grant No. 2018B030306037), Key-Area Research and Development Program 477 of Guangdong Province (grant No. 2019B110206001), Guangdong Soft Science 478 Research Program (grant No. 2019B101001005), and Guangdong Innovative and 479 Entrepreneurial Research Team Program (grant No. 2016ZT06N263). This work was 480 also supported by Special Fund Project for Science and Technology Innovation Strategy 481 of Guangdong Province (Grant No.2019B121205004). 482

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Figure 1. The average diurnal variations of the concentrations of photodegradable
OVOCs species during the field campaign in Guangzhou. <u>Lines and shading represent</u>
averages and standard deviations, respectively.



Figure 2. The P(ROx) calculated by box model with all observed photodegradable species constrained. (a): The source composition of total P(ROx) during the campaign; the solid and dashed lines represent the scenarios with minimum and maximum OVOC contributions to P(ROx), respectively. (b): the relative contributions of non-HCHO OVOC species to P(ROx) for the scenarios with minimum OVOC contribution to P(ROx).

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Figure 3. Model simulated $P(RO_X)$ (a) and $P(O_3)$ (b) without and with all observed 752 photodegradable OVOCs constrained. (a): Model simulated P(ROx) without (blue line) 753 and with all observed photodegradable OVOCs constrained (red lines). The sum 754 contribution of O₃ photolysis, HONO photolysis and ozonolysis is also displayed 755 (yellow line). (b): Model simulated P(O₃) without (blue line) and with observed 756 photodegradable OVOCs constrained (red lines). The red solid and red dashed lines 757 represent the scenarios with minimum and maximum OVOC contributions to P(ROx), 758 respectively. 759

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Figure 4. Model simulated dependence of daily peak O₃ concentrations on NO_X concentrations without (blue curve) and with all observed photodegradable OVOCs constrained (red curves). The red solid and red dashed curves represent the scenarios with minimum and maximum OVOC contributions to P(RO_X), respectively. The dashed lines parallel to Y-axis represent the threshold of NO_X levels to distinguish between VOC-limited and NO_X-limited regimes.

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Figure 5. Averaged P(O₃), P(RO_x), the ratio of P(RO_x) contributed by OVOCs to total

782 P(ROx) (P(ROx)ovoc/P(ROx)) during two ozone pollution episodes (episode 1,

episode 2) and non-pollution periods. Both P(O₃) and P(RO_X) correspond to the

784 scenarios with minimum OVOC contributions to P(RO_X).

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