
1 **Global simulations of monoterpene-derived peroxy radical fates and the distributions of highly**
2 **oxygenated organic molecules (HOM) and accretion products**

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16
17 **Abstract**

18 We evaluate monoterpene-derived peroxy radical (MT-RO₂) unimolecular autoxidation and
19 self and cross reactions with other RO₂ in the GEOS-Chem global chemical transport model.
20 Formation of associated highly oxygenated organic molecule (HOM) and accretion products
21 are tracked in competition with other bimolecular reactions. Autoxidation is the dominant
22 fate up to 6-8 km for first-generation MT-RO₂ which can undergo unimolecular H-shifts.
23 Reaction with NO can be a more common fate for H-shift rate constants < 0.1 s⁻¹ or at
24 altitudes higher than 8 km due to the imposed Arrhenius temperature dependence of
25 unimolecular H-shifts. For MT-derived HOM-RO₂, generated by multi-step autoxidation of
26 first-generation MT-RO₂, reaction with other RO₂ is predicted to be the major fate
27 throughout most of the boreal and tropical forested regions, while reaction with NO
28 dominates in temperate and subtropical forests of the Northern Hemisphere. The newly
29 added reactions result in ~4% global average decrease of HO₂ and RO₂ mainly due to faster
30 self-/cross-reactions of MT-RO₂, but the impact upon HO₂/OH/NO_x abundances is only
31 important in the planetary boundary layer (PBL) over portions of tropical forests. Predicted
32 HOM concentrations in MT-rich regions and seasons can exceed total organic aerosol
33 predicted by the standard version of GEOS-Chem model depending on parameters used.
34 Comparisons to observations reveal large uncertainties remain for key reaction parameters
35 and processes, especially the photochemical lifetime and volatility of HOM, and the rates
36 and branching of associated RO₂-accretion products. Further observations and laboratory
37 studies related to MT-RO₂ derived HOM and gas-phase RO₂ accretion product formation
38 kinetics, and especially their atmospheric fate, such as gas-particle partitioning, multi-phase
39 chemistry, and net SOA formation, are needed.

40
41 **1. Introduction**

42 Monoterpenes are emitted by terrestrial vegetation at a rate of approximately 50 to 100
43 Tg/yr (Arneth et al., 2008; Guenther et al., 2012; Messina et al., 2016), and are a significant
44 component of volatile consumer products (VCP) (McDonald et al., 2018). Reaction of the

45 more common monoterpenes, such as α - and β -pinene, Δ -3 carene, and limonene with
46 atmospheric oxidants is rapid, on the timescale of an hour, and produces a suite of semi-
47 (effective saturation concentration, C^* is between 0.3 and 300 $\mu\text{g m}^{-3}$), low ($3 \times 10^{-5} < C^* < 0.3$
48 $\mu\text{g m}^{-3}$), and extremely low ($C^* < 3 \times 10^{-5} \mu\text{g m}^{-3}$) volatility products which contribute to the
49 nucleation and growth of aerosol particles through the formation of secondary organic
50 aerosol (SOA) (Bianchi et al., 2019; Ehn et al., 2014; Hallquist et al., 2009; Kulmala et al.,
51 2014; Palen et al., 1992; Pandis et al., 1992; Zhang et al., 1992). Recent work has shown that
52 even in some isoprene-dominated forested regions, monoterpene oxidation products can be
53 the major component of fine particulate ($\text{PM}_{2.5}$) SOA mass (Lee et al., 2020; Xu et al., 2018;
54 Zhang et al., 2018).

55

56 Laboratory studies have shown that at least 30 to 50% of the condensable mass produced
57 during oxidation of α -pinene, by both the hydroxyl radical (OH) and ozone, is formed
58 promptly in the first generation of oxidation (Berndt et al., 2016; Ehn et al., 2014; Jokinen et
59 al., 2015; Mentel et al., 2015). This prompt formation of low volatility mass stems from a
60 fraction of the first-generation organic peroxy radicals (RO_2) undergoing repeated
61 unimolecular H-shift reactions followed by O_2 addition, ultimately leading to Highly
62 Oxygenated-organic Molecules (HOM) which are low or even extremely low volatility. The
63 unimolecular H-shifts are the rate-limiting steps to HOM formation, and have been shown
64 for certain RO_2 to exceed 1 s^{-1} at $\sim 296 \text{ K}$ (Xu et al., 2019). At such timescales, bimolecular
65 reactions of RO_2 with the hydroperoxy radical (HO_2), other RO_2 , and nitric oxide (NO), even if
66 the latter is present at up to 1 ppb, are not competitive, and autoxidation to HOM is
67 expected to be a dominant fate for such RO_2 in the atmosphere. Moreover, the rate
68 constants of corresponding RO_2 cross-reactions, and the branching to accretion products,
69 presumably organic peroxides (ROOR'), have been shown to be substantially larger than
70 previous expectations (Berndt et al., 2018a, 2018b) and important to new particle formation
71 and growth (Bianchi et al., 2019). The ROOR' products can be of low or extremely low
72 volatility as well, even without substantial RO_2 H-shift chemistry, but cross reactions between
73 isoprene-derived RO_2 and MT-HOM RO_2 specifically can be important in limiting ELVOC
74 formation and thus nucleation (Öström, et al., 2017; Roldin et al., 2019; McFiggans et al.,
75 2019).

76

77 Relatively few studies to date have evaluated the global implications of such revisions to our
78 understanding of monoterpene (MT) RO_2 fate (Jokinen et al., 2015; Weber et al., 2020;
79 Roldin, et al., 2019; Zhu et al., 2019). Jokinen et al. (2015) showed the impact of MT-HOM
80 formation at specified yields on SOA budgets and CCN. Weber et al (2020) use a condensed
81 reaction mechanism to more explicitly treat the formation of HOM through unimolecular
82 MT- RO_2 autoxidation and cross reactions, but, do not conduct global online simulations.
83 Roldin, et al. 2019 use a similarly explicit mechanism in a 1-D column model to simulate
84 HOM over a boreal forest setting. Zhu, et al. (2019) do not simulate autoxidation and use a
85 less stringent definition of HOM than recommended in Bianchi, et al. (2019). Thus,
86 global-scale simulations with online MT- RO_2 chemistry and comparisons to observations,
87 either using total organic aerosol mass as a constraint or more specific molecular
88 composition measurements of gas and aerosol phase species, remain lacking. Moreover, the

89 sensitivity of HO_x, O₃, and NO_x abundances and lifetimes to such changes in RO₂ chemistry
90 have yet to be fully explored in global chemical transport models. The unimolecular MT-RO₂
91 chemistry and faster RO₂ cross reactions have implications for HO_x partitioning, OH recycling,
92 and NO_x lifetime in low-NO_x forested regions. In addition, measurements of highly
93 oxygenated organic nitrates can provide insights into the MT-RO₂ reactivity governing the
94 competitions between autoxidation, RO₂ cross reactions, and RO₂ reactions with nitric oxide
95 (NO).

96
97 Herein, we use the GEOS-Chem global chemical transport model to evaluate the impact of
98 MT-RO₂ H-shift and cross-reactions on tropospheric hydrogen oxide radicals (HO_x = OH + HO₂)
99 and total RO₂ abundance, ozone distributions, and assess the potential contribution of MT-HOM
100 and HOM-nitrates to low and extremely low volatility components and by extension the global
101 budget of SOA. We update the GEOS-Chem mechanisms for MT oxidation, using where possible
102 laboratory-derived values of mechanistic parameters, such as MT-RO₂ unimolecular H-shift rate
103 constants, the fraction of MT-RO₂ undergoing H-shifts, and the rate constants for cross-reactions
104 between MT-RO₂ and other RO₂, such as those derived from isoprene oxidation. We compare
105 predicted HOM and HOM-nitrates to atmospheric observations in the gas and particle phases
106 from two locations and conduct sensitivity studies to evaluate the impacts of uncertain kinetic
107 parameters and mechanistic assumptions.

108 109 **2. Methods**

110 **2.1 GEOS-Chem Model**

111 We use the GEOS-Chem chemical transport model (Bey et al., 2001) which is driven by
112 assimilated meteorological fields from the MERRA-2 (Modern-Era Retrospective analysis for
113 Research and Applications, Version 2) (Gelaro et al., 2017). Simulations were conducted with
114 2°×2.5° LAT × LON horizontal resolution and 47 vertical levels for 28 months from March
115 2012 to June 2014. This time period provides the best overlap with available observations of
116 monoterpene-derived oxidation products in gas and particle phases made during the SOAS
117 and BAEC field campaigns, described in detail elsewhere (Carlton et al., 2018; Lee et al.,
118 2016, 2018; Lopez-Hilfiker et al., 2016; Petaja et al., 2016), and discussed further below. The
119 first year of the simulation was for spin-up purposes, to allow for accumulation of
120 intermediate chemical reservoir species. For comparison to the observations, we sample the
121 model in time and space corresponding to lowest model grid box containing the location of
122 the observations.

123
124 A reference simulation was conducted based on the public version 12.1.0 of GEOS-Chem
125 (http://wiki.geos-chem.org/GEOS-Chem_12#12.1.0). The HO_x-NO_x-VOC-O₃-BrO_x tropospheric
126 chemistry chemical mechanism in the reference simulation is described in Mao et al., (2010,
127 2013) with recent updates for biogenic VOC chemistry (Fisher et al., 2016; Travis et al., 2016).
128 Emissions of isoprene and monoterpenes are driven by the Model of Emissions of Gases and
129 Aerosols from Nature v2.1 (MEGAN, Guenther et al., 2012). Emissions in GEOS-Chem are
130 based on the Harvard-NASA Emission Component (HEMCO) (Keller et al., 2014). Global
131 anthropogenic emissions of NO_x, SO₂, CO and various aerosol species are from the CEDS
132 (Community Emission Data System) combined with MIX in Asia, NEI in USA, APEI in Canada,

133 BRAVO in Mexico, EMEP in Europe and DICE in Africa. Open fire emissions are from Global
134 Fire Emissions Database (GFED4). Both gas and aerosol are dry-deposited, with rates
135 calculated online based on the resistance-in-series algorithm (Wesely, 1989; Zhang et al.,
136 2001). Wet deposition is calculated for water-soluble aerosol and gas following (Amos et al.,
137 2012; Liu et al., 2001).

138

139 **2.2 Updates to the GEOS-Chem MT Oxidation Mechanism**

140 Our goal for the mechanism updates was to preserve as much as possible the current
141 simplified framework for MT chemistry in GEOS-Chem, but to include the essential features
142 of MT-RO₂ unimolecular H-shift and bimolecular RO₂ cross-reactions (see Figure 1). Thus, RO₂
143 and closed-shell products become quickly lumped into corresponding pools that loosely
144 relate to the dominant functional group character, such as carbonyl, alcohol, nitrate, etc. In
145 this version, we restricted changes to chemistry stemming from OH reaction and ozonolysis
146 only, we do not consider nitrate radical (NO₃) reactions of MT. In what follows, to maintain a
147 higher level of clarity, we mostly discuss the mechanism in general terms. Values of
148 mechanistic parameters can be found in Table S1-S5 and are discussed in more detail in the
149 online supplemental information (SI).

150

151 To account for MT-RO₂ H-shift chemistry leading to HOM (i.e. “autoxidation”), we split the
152 first-generation MT-RO₂ formed from the reaction of MT with OH or ozone, into two pools,
153 either MT-aRO₂ or MT-bRO₂. Both types of RO₂ undergo the usual bi-molecular reactions, but
154 MT-aRO₂ do not undergo unimolecular H-shift, while MT-bRO₂ do. The branching between
155 MT-aRO₂ or MT-bRO₂ from MT + OH or MT + O₃ reactions are based on laboratory-derived
156 yields of MT-HOM and MT-nitrates, which typically find that the fraction of MT-RO₂
157 undergoing autoxidation is <0.5 (Berndt et al., 2016; Kurten et al., 2015; Richters et al., 2016)
158 but can be higher in some studies (Xu et al., 2019). The competitive yields of MT-HOM will
159 be sensitive to the multiplicative product of H-shift rate constants and this fraction of
160 first-generation RO₂ able to undergo autoxidation. As a result, we vary this fraction for both
161 OH and O₃ reactions as part of sensitivity studies.

162

163 While MT-aRO₂ do not undergo unimolecular H-shifts, we allow for a small fraction (5%) of
164 MT-aRO₂ reactions involving NO or NO₃ to produce MT-bRO₂ to simulate the corresponding
165 alkoxy radicals undergoing opening of the 4-member ring that is often part of
166 first-generation RO₂ formed from α-pinene ozonolysis and OH reactions (Kurten et al., 2015;
167 Roldin et al., 2019). This fractional fate of the corresponding alkoxy radical is much lower
168 than assumed by Roldin et al. (2019) and thus our estimates in this regard might produce
169 lower HOM concentrations. Iyer et al. (2021) show that prompt C4 ring-opening from
170 α-pinene ozonolysis is possible directly from the Criegee bi-radical, and thus reactions with
171 NO or RO₂ are not necessary for HOM formation. That said, opening of the 4-member ring
172 would lead to second-generation RO₂ structures more amenable to unimolecular H-shift
173 reactions (Iyer et al., 2021; Kurten et al., 2015), and provides a means for enhancing or
174 maintaining HOM formation in the presence of NO as suggested previously (Roldin et al.
175 2019; Pullinen, et al. 2020), though the balance between alkoxy isomerization and
176 decomposition remains uncertain.

177

178 The sequence of autoxidation and base H-shift rate constants used in GEOS-Chem are similar
179 to those described in Pye et al., 2019 for OH oxidation of MT and Jokinen et al. (2015) for MT
180 + O₃, which ultimately connect to experimental work in Berndt et al. (2016), Jokinen et al.
181 (2015) and Ehn et al., 2014. The mole fraction of first-generation MT-RO₂ able to undergo
182 unimolecular H-shifts, and thus autoxidation (MT-bRO₂), is 20% from MT + OH and 3% from
183 MT + O₃, in the base simulation (Table S3). The HOM mass yield can be 1.5 to 2 times larger
184 than these molar values. We also note here that GEOS-Chem lumps MT, which can have very
185 different HOM yields, especially from ozonolysis (Ehn et al., 2014). As such, we use the lower
186 reported HOM yields from ozonolysis of α -pinene to reflect the fact that some of the lumped
187 MT will be β -pinene or other MT lacking endo-cyclic double bonds. Our range of sensitivity
188 studies likely capture the associated uncertainty range in these parameters.

189

190 A single temperature-dependent rate constant, k_{Hshift} , based upon recent laboratory studies
191 and quantum chemical calculation of associated energy barriers is used to describe these
192 H-shifts. We use an activation energy of 17.7 kcal/mol based on the calculations in Berndt et
193 al., (2016). Two values of k_{Hshift} , near 1.0 s⁻¹ and 0.1 s⁻¹ at 298K, are tested in sensitivity
194 studies. The H-shift reactions of MT-bRO₂ are assumed to be followed by O₂ addition to form
195 a new peroxy radical, MT-cRO₂, which in turn can also continue autoxidation to form a yet
196 more oxidized MT-HOM-RO₂. This sequence of RO₂ autoxidation, occurring in competition
197 with typical bimolecular reactions, simulates a first generation of MT-RO₂, C₁₀H₁₅O₄ or
198 C₁₀H₁₇O₃, undergoing two H-shift/O₂ addition steps to form RO₂ with compositions of
199 C₁₀H₁₅O₈ or C₁₀H₁₇O₇, respectively, that are consistent with the current definition of HOM
200 (Bianchi et al., 2019). We do not track autoxidation of non-C₁₀ RO₂, and thus our definition of
201 HOM is specific to C₁₀ MT products.

202

203 These MT-HOM-RO₂ undergo only bimolecular reactions with HO₂, RO₂, NO, and NO₃. Except
204 for RO₂ cross-reactions, discussed further below, rate constants for such reactions are the
205 default values used in GEOS-Chem for other RO₂. The products of these reactions are split
206 into four categories, three of which are HOM. Reaction of MT-HOM-RO₂ with HO₂ is assumed
207 to produce only HOM monomers (aHOM) without a nitrate group. Reaction with NO leads to
208 HOM organic nitrates (HOM-ON), a second class of HOM without a nitrate group (bHOM),
209 and hydroxy carbonyl fragments assumed to be C₅ species. The branching ratio for HOM-ON
210 formation is assumed to be 0.2, determined using typical literature parameterizations based
211 on the carbon number. We explicitly distinguish between non-nitrate HOM that result from
212 reaction of MT-HOM-RO₂ with HO₂ (aHOM) or NO (bHOM) to allow for better accounting of
213 RO₂ fate and the specific impact of NO on HOM. The assumption that the alkoxy radical
214 formation channel of MT-HOM-RO₂ reactions with NO leads to HOM is not well constrained,
215 but it is typically a minor component of the HOM yield on a global average. We neglect
216 further autoxidation reactions of RO₂ and alkoxy radicals, and some of the fragmentation
217 channels of the resulting alkoxy radicals may well produce C₉ or C₈ products that still meet
218 the HOM definition. Reaction of MT-HOM-RO₂ with the nitrate radical (NO₃) is assumed to
219 produce only an alkoxy radical product (and NO₂), and the alkoxy radical either forms a
220 bHOM (non-nitrate), similar to that from reaction of the RO₂ with NO, or a C₅ hydroxy

221 carbonyl product to represent fragmentation into non-HOM products. We assume equal
222 branching for these two pathways, which might lead to a slight overestimate of MT-HOM,
223 but reaction with NO_3 is a typically a minor fate for MT-HOM- RO_2 .

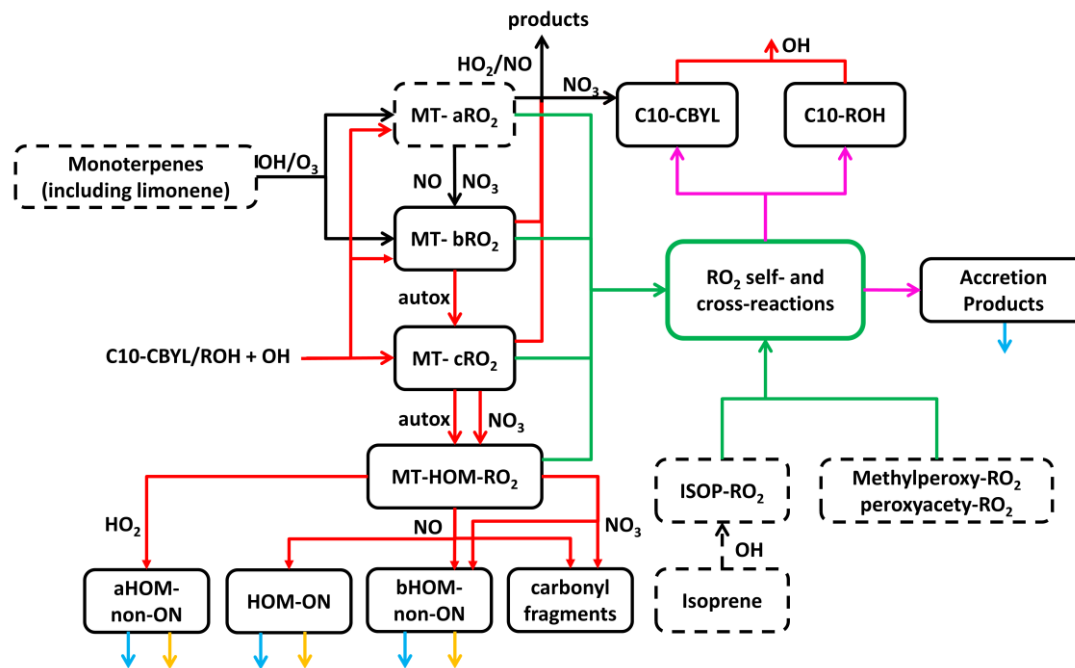
224

225 After addition of RO_2 H-shift chemistry, the next most significant change to monoterpene
226 chemistry we incorporated into GEOS-Chem involves the self- and cross-reactions of RO_2 . We
227 specifically evaluated the impact of a higher rate constant and allowed for accretion product
228 formation in competition to the more common alkoxy radical and disproportionation
229 channels which lead to lumped carbonyl (C10-CBYL) and alcohol (C10-OH) products following
230 the typical lumping strategy in GEOS-Chem. Our basis for these changes includes the recent
231 laboratory studies described in Berndt et al., (2018a, 2018b) and Zhao et al., (2018), where
232 cross-reaction rate constants were found to range from 10^{-12} to 10^{-10} $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ and
233 accretion product branching ranged from 4 to >50%. Given that there are only self-reactions
234 for isoprene-derived RO_2 in the current GEOS-Chem without branching to accretion products,
235 taking even the lower range from laboratory studies would represent a major shift in RO_2
236 fate as we demonstrate in the results section.

237

238 Important for regions with intense biogenic VOC emissions and relatively low NO_x (such as
239 regions of the Amazon), we specifically include cross-reactions between monoterpene and
240 isoprene derived RO_2 . Our simulations include both low and high estimates of RO_2 self- and
241 cross-reaction rate constants to better demonstrate the range of possible impacts of these
242 reactions, and we also apply different rate constants for highly oxidized RO_2 (Table S4). For
243 the rate constants considered, RO_2 cross reactions can become competitive or even
244 dominant fates of RO_2 and thus impact the abundance and recycling of HO_x as well as the
245 formation of low volatility products that would contribute to organic aerosol. For accretion
246 products, we use both a conservative branching (4%) from self- and cross-reactions to
247 produce C20 or C15 compounds, except for HOM- RO_2 self and cross reactions, for which we
248 also examine a larger 100% branching to accretion products as suggested by some laboratory
249 studies (Berndt et al., 2018a, 2018b).

250



251

252 **Figure 1.** The main reactions and processes included in the updated scheme are shown.
 253 Chemical species in solid boxes are newly added while those in dashed boxes already exist in
 254 the GEOS-Chem mechanism. Dashed-line black arrows represent originally existing reactions
 255 without any modification, and solid black arrows represent those with certain modifications
 256 in the scheme. Red, green, and magenta arrows represent newly-added RO₂ formation and
 257 loss. Blue and yellow arrows represent dry-/wet-deposition and photolysis, respectively.
 258 More details are shown in SI.

259

260 We assume the dominant fate of gas-phase MT-HOM as defined here is to partition to
 261 existing aerosol particle mass, and then subject to deposition (wet and dry) or by photolysis
 262 in the particle phase. While reaction with OH or other radical oxidants is possible, our
 263 assumption is that the vast majority of HOM produced in this mechanism will be of low or
 264 extremely low volatility and thus be present predominantly in submicron aerosol particles.
 265 Our estimates of HOM mass concentrations are therefore possibly upper-limits due to the
 266 uncertainty in HOM saturation vapor concentrations. As we do not explicitly consider
 267 gas-particle partitioning in this version, we therefore use a single photolysis frequency equal
 268 to 1/60 of j_{NO_2} to account for photochemical degradation of particle-phase HOM. We do not
 269 treat heterogeneous oxidation explicitly, but we assume our photolysis parameterization
 270 accounts for this process. The value of the photolysis frequency is based on how well the
 271 model reproduces HOM observations in the absence of further photochemical degradation,
 272 and also on laboratory chamber experiments showing loss of HOM and associated MTSOA
 273 mass over time (Krapf et al., 2016; Pospisilova et al., 2020; Zawadowicz et al., 2020). The
 274 photochemical fate of HOM remains one of the most uncertain aspects of the mechanism.

275

276 We parameterize HOM wet deposition following aerosol-phase organic nitrate in (Fisher et
 277 al., 2016), and dry deposition is calculated online based on the resistance-in-series algorithm
 278 (Zhang et al., 2001) assuming HOM behave similarly to SOA (particle dry deposition).

279 Therefore, the global annually averaged dry deposition velocity of HOM is about 0.06 cm/s
 280 on land. The parameters related to aerosol scavenging, rainout and washout efficiency are
 281 listed in Table S5 following the parameterization of most secondary organic aerosol species in
 282 GEOS-Chem. We note that treating dry deposition of HOM similar to submicron particles is
 283 possibly a small underestimate of the actual HOM dry deposition rate because HOM likely
 284 condense to particles on timescales shorter than those of dry deposition for vapors in most
 285 cases. A typical condensation timescale to aerosol surface area is 15 minutes in the boundary
 286 layer, whereas a deposition velocity of 3 cm s⁻¹ implies a boundary layer average timescale of
 287 several hours. Future updates to the mechanism could consider partitioning of HOM to SOA
 288 based on more explicit tracking of composition-volatility relationships, and thus better
 289 simulate the net depositional scavenging.

290

291 **2.3 Simulation Design and Configurations**

292 All simulation configurations are summarized in Table 1. A default simulation without HOM
 293 formation nor any other newly-added reactions was run for reference. The base simulation
 294 (LowProd_Photo) was run with relatively conservative MT-bRO₂ branching, and with HOM
 295 photolysis turned on, and another simulation with a larger branching ratio to MT-bRO₂ was
 296 also run to better determine the HOM formation range (HighProd_Photo). Photolysis of
 297 HOM was also turned off to test its impact in LowProd_noPhoto and HighProd_noPhoto
 298 cases. Another two simulations configured with slow RO₂ self- and cross-reaction rates
 299 (LowProd_Photo_Slow) and slow RO₂ autoxidation rate (LowProd_Photo_kautoSlow)
 300 respectively were used to investigate the sensitivity of HOM and accretion products
 301 formation to these rates. All simulations were conducted in the same way as described in 2.1.
 302 Results were output every month but when comparing with observations, they are output
 303 with 1-hour resolution.

304

305

Table 1. Simulations and the corresponding configurations. See text for details.

	MT-bRO₂ branching	HOM Photochemical Loss	RO₂+RO₂ rates	kauto 298 K (s⁻¹)
Default	-	-	-	-
HighProd_noPhoto	high	no	fast	1
HighProd_Photo	high	yes	fast	1
LowProd_noPhoto	low	no	fast	1
LowProd_Photo (base)	low	yes	fast	1
LowProd_Photo_Slow	low	yes	slow	1
LowProd_Photo_kautoSlow	low	yes	fast	0.1

306

307 **2.4 Observations**

308 Data from three campaigns, the Southern Oxidant and Aerosol Study (SOAS 2013) in the
 309 southeastern United States, the Biogenic Aerosols-Effects on Clouds and Climate (BAECC
 310 2014) in Hyytiälä, Finland and the Green Ocean Amazon Experiment (GoAmazon) in Amazon,
 311 Brazil were used for comparisons (Carlton et al., 2018; Martin et al., 2016; Petaja et al., 2016).
 312 Measurements of organic aerosol mass concentrations from aerosol mass spectrometer

313 (AMS) instruments (DeCarlo et al., 2006; Jayne et al., 2000) and gas- and particle-phase HOM
314 from High-Resolution Time of Flight Chemical Ionization Mass Spectrometers (HRTof-CIMS)
315 were used when available (Lopez-Hilfiker et al., 2014). For HOM measurements, molecular
316 formulas of compounds containing 10 carbon atoms and greater than or equal to 7 oxygen
317 atoms were selected as HOM for comparisons. Those with one nitrate and without nitrate
318 were compared to simulated HOM-ON and HOM-non-ON, respectively. We also compared
319 predicted HOM to total organic aerosol mass (OA) from aerosol mass spectrometer
320 measurements assuming HOM was present predominantly in submicron aerosol particles.
321 Besides HOM, closely related species in the scheme were also compared when available,
322 including NO, O₃, monoterpenes and isoprene. The details on the measurements were
323 presented in SI including top contributing HOM species identified in data from SENEX and
324 BAECC (Table S7 and S8).

325

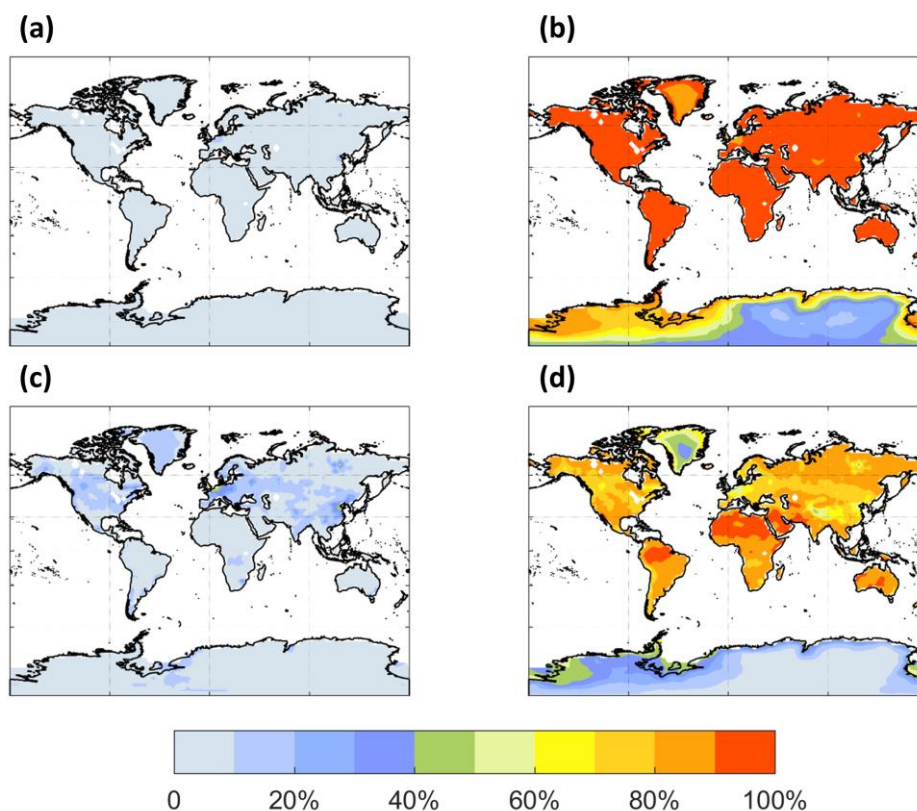
326 **3. Results and discussion**

327 **3.1 MT and HOM RO₂ Fates**

328 The largest change to the current mechanism was to the fate of a fraction of MT-derived RO₂,
329 where we incorporated unimolecular autoxidation reactions for a subset of first-generation
330 MT-derived RO₂ (MT-bRO₂ in the above scheme), as well as enhanced reaction rate constants
331 for bimolecular RO₂ self and cross reactions between MT and isoprene RO₂. The fate of RO₂
332 determines the volatility and reactivity of HOM and thus of the potential for HOM
333 contribution to aerosol formation and growth. In our simplified treatment, we assume
334 HOM-RO₂ only undergo bimolecular reactions. HOM-RO₂ that undergo unimolecular
335 decomposition to a closed-shell product, such as by OH or HO₂ elimination, may result in a
336 non-HOM product. Thus, our flux of MT to HOM-RO₂ may be underestimated, but net HOM
337 production may be more accurate.

338

339 The spatial distribution of the annual average reaction fate of MT-bRO₂ in the planetary
340 boundary layer (PBL) is shown in Figure 2 for two simulation cases, LowProd_Photo (panels
341 a-b) and LowProd_Photo_kauto_Slow (panels c-d). The difference between these two
342 simulations is the rate constant for the unimolecular RO₂ H-shift ($\sim 1.0\text{s}^{-1}$ vs. $\sim 0.1\text{s}^{-1}$ at 298K,
343 respectively). For either case, unimolecular H-shift and subsequent autoxidation is the
344 dominant fate of the first-generation MT-bRO₂ throughout the PBL on average. While likely
345 dependent upon model resolution, when k_{auto} is $\sim 0.1\text{ s}^{-1}$, the reaction with NO becomes a
346 more common fate for MT-bRO₂, but never more than 50% of the total fate of this HOM-RO₂
347 precursor, even in NO_x-polluted regions such as the SE U.S., eastern China, and Western
348 Europe. In Figure 3, the annually averaged vertical profiles of MT-bRO₂ fate are shown for
349 two model grid points, one containing Centreville, AL and the other in the Amazon
350 containing the T3 site of the Go-Amazon campaign. The dominance of unimolecular RO₂
351 H-shift and autoxidation as a fate for MT-bRO₂ persists up to 6 to 8 km, even though its rate
352 is decreasing exponentially with decreasing temperature. In both locations, reaction with NO
353 at high altitudes becomes a major MT-bRO₂ fate, especially over the SE U.S., while over the
354 Amazon reaction with HO₂ and NO above 6 km are of similar importance likely reflecting the
355 combination of the activation energy required for the unimolecular H-shift, decreases in
356 temperature with altitude, and NO in the upper troposphere from lightning and convection.



358

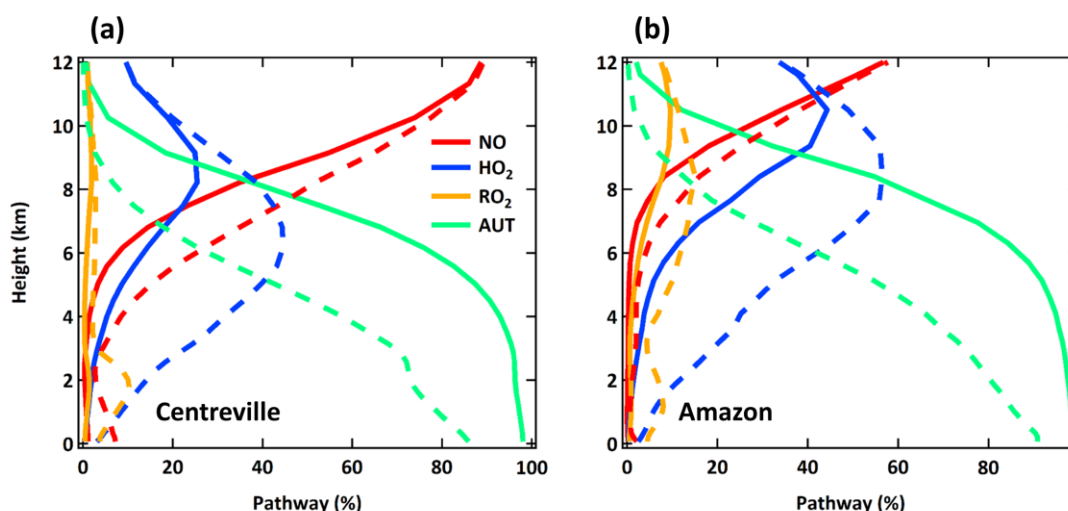
359 **Figure 2.** The annually PBL-averaged MT-bRO₂ consumption fractions by NO (left panel) and
 360 autoxidation (right panel) from experiments LowProd_Photo (a)-(b) and
 361 LowProd_Photo_kauto_Slow (c)-(d). Autoxidation rate constant is $\sim 1.0 \text{ s}^{-1}$ and $\sim 0.1 \text{ s}^{-1}$ at
 362 298K in two experiments, respectively. The fractions by HO₂, NO₃ and RO₂ are shown in
 363 Figure S1.

364

365 **Table 2.** Global PBL-average MT-bRO₂ fates weighted by gridded MT-bRO₂ concentrations on
 366 land.

	LowProd_Photo	LowProd_Photo_kauto_Slow
Autoxidation	93%	77%
NO	1%	6%
HO ₂	6%	16%
RO ₂	$\sim 10^{-4}\%$	$\sim 10^{-3}\%$
NO ₃	0.4%	1.6%

367



368

369

370 **Figure 3.** Annual averaged vertical profiles of four dominant reaction pathways of MT-bRO₂
 371 at Centreville, AL USA and over the Amazon near Manaus, from simulations LowProd_Photo
 372 (solid lines) and LowProd_Photo_kauto_Slow (dashed lines). Reaction with NO₃ contributes
 373 less than 1% and it is thus not shown here.

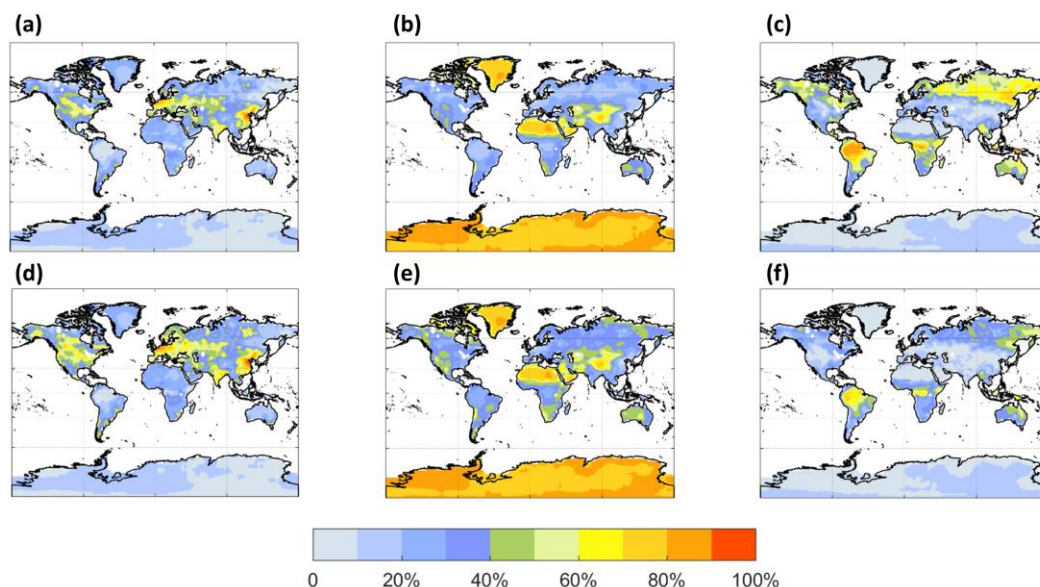
374

375 Figures 4 and 5 are similar to Figures 3 and 4, but for the fates of HOM-RO₂ instead of
 376 MT-bRO₂. As HOM-RO₂ in the model do not undergo unimolecular reactions (see above),
 377 these fates are more similar to generic RO₂ chemistry in the model with the important
 378 exception that the rate constants for self and cross-reactions between HOM-RO₂ and other
 379 RO₂ are in general much larger than those typical of other RO₂ in GEOS-Chem. The case
 380 where HOM-RO₂ rate constants for RO₂ cross reactions are relatively large (LowProd_Photo,
 381 Figure 4 panels a-c), e.g. as in Berndt et al., (2018a), reaction with RO₂ is predicted to be the
 382 dominant HOM-RO₂ fate throughout most of the boreal and tropical forest regions as well as
 383 portions of the SE US. In temperate and subtropical forests of the N. Hemisphere, reaction
 384 with NO is the major fate for HOM-RO₂. The potential importance of reactions with RO₂
 385 being a dominant fate is two-fold. First, the branching of such reactions to accretion products
 386 is uncertain (see below), but likely also critical for participation of biogenic VOC in the
 387 nucleation of particles (Bianchi et al., 2019; Kulmala et al., 2014). However, the portion of
 388 such reactions which do not undergo accretion otherwise can result in less carbon mass
 389 moving to lower volatility due to C-C bond scission of alkoxy radical products (Orlando et al.,
 390 2003). In the simulation with slower RO₂ cross-reactions (e.g. LowProd_Photo_Slow), rate
 391 constants for which are near the lower limit of rate constant collections from several
 392 laboratory studies (Berndt et al., 2018a, 2018b; Zhao et al., 2018), RO₂ cross reactions
 393 remain important (~40%) across boreal forests, but are no longer dominant as a HOM-RO₂
 394 fate except in the tropical forest regions. Reactions with NO expand in importance in boreal
 395 forest regions in this simulation, at times being the dominant fate in regions of the N.
 396 American boreal forest. While consistently significant, typically at 30 to 40% of HOM-RO₂ fate,
 397 reaction of HOM-RO₂ with HO₂ is only rarely a majority fate in the PBL over forested regions.

398

399 The annual average HOM-RO₂ fate changes significantly between the boundary layer and
 free troposphere as shown in Figure 5 for the same two model locations in Figure 3.

400 Throughout the low and middle troposphere in both locations, reaction with HO₂ becomes
 401 the dominant HOM-RO₂ fate in both locations, followed by RO₂ over the Amazon, and NO
 402 over the SE US. Reaction with NO becomes the dominant fate for HOM-RO₂ in the upper
 403 troposphere over the SE US, while NO, HO₂ and RO₂ reactions are predicted to be of similar
 404 importance over the Amazon.



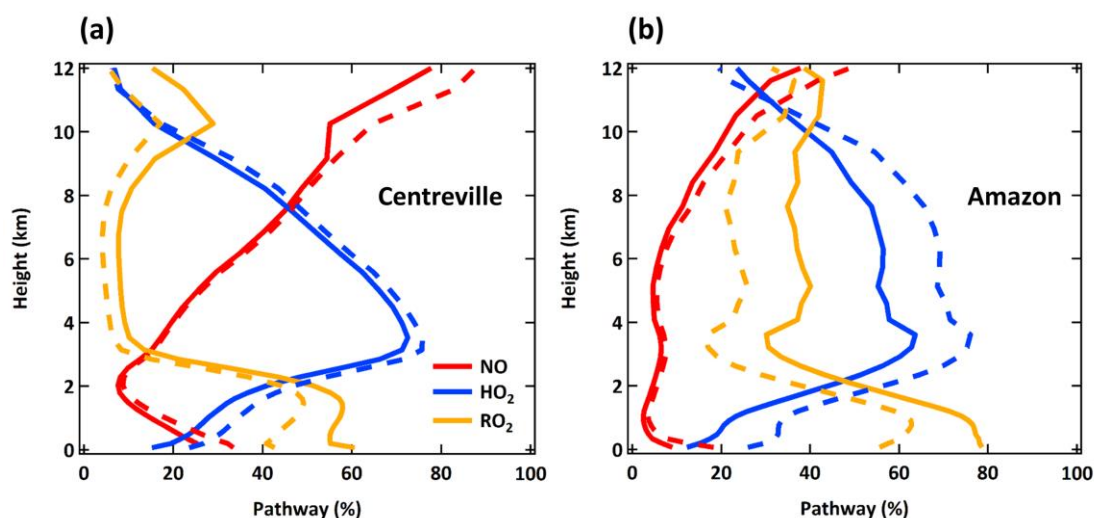
405
 406 **Figure 4.** The annually PBL-averaged MT-HOM-RO₂ relative fates including reaction with NO
 407 (left panel), HO₂ (middle panel) and RO₂ (right panel) from simulation LowProd_Photo (a)-(c)
 408 and LowProd_Photo_Slow (d)-(f). Reaction with NO₃ contributes <1% and it is thus not
 409 shown here.

410

411 **Table 3.** Global PBL-average MT-HOM-RO₂ fates weighted by gridded MT-HOM-RO₂
 412 concentrations on land.

		LowProd_Photo	LowProd_Photo_Slow
MT-HOM-RO ₂	NO	16.44%	20.71%
	HO ₂	22.00%	33.12%
	RO ₂	61.54%	46.11%
	NO ₃	0.02%	0.06%

413



414

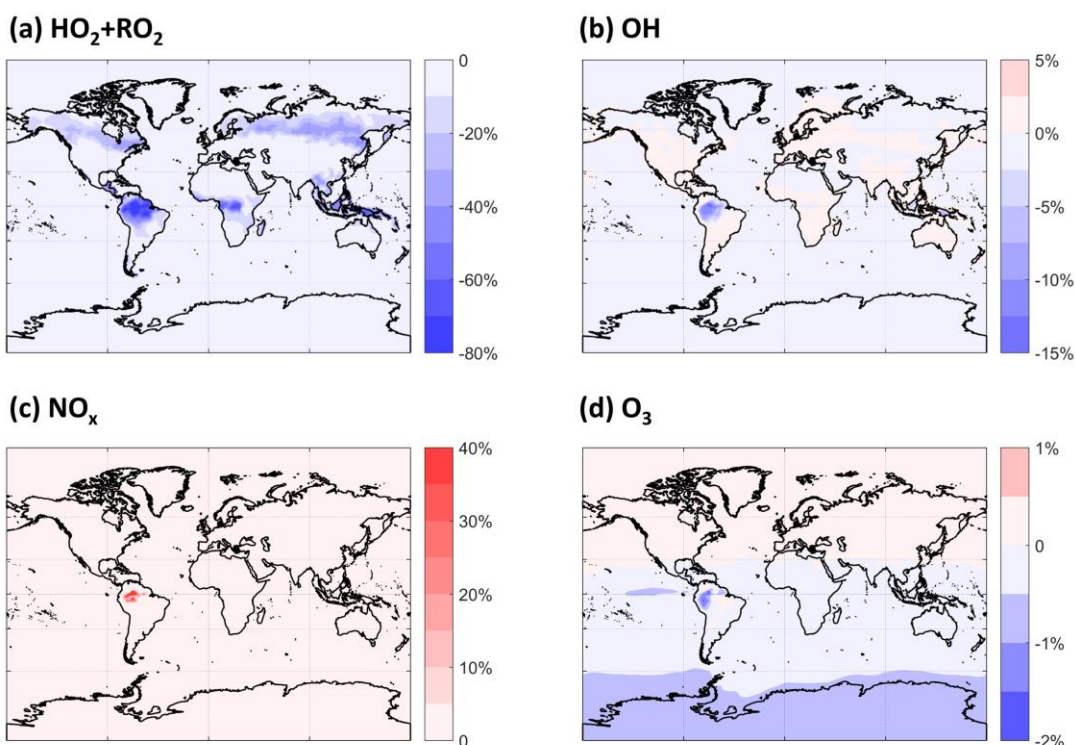
415 **Figure 5.** Annual averaged vertical profiles of three dominant reaction pathways of
 416 MT-HOM-RO₂ at Centreville, AL and Amazon near Manaus, from simulation LowProd_Photo
 417 (solid lines) and LowProd_Photo_Slow (dashed lines). Reaction with NO₃ contributes < 1%
 418 and it is thus not shown here.

419

420 3.2 Impact on HO_x, NO_x, and O₃

421 By altering the fates of MT-derived RO₂ chemistry and the interactions thereof with
 422 isoprene-derived RO₂, we expect that the cycling and lifetime of HO_x are affected. Changes in
 423 HO_x abundance and distribution will alter NO_x cycling and fate, which will potentially impact
 424 tropospheric O₃. MT are not typically major components of OH reactivity, even in
 425 biogenically influenced regions so these impacts are not expected to be large. As shown in
 426 Figure 6, there are substantial decreases in the sum of HO₂ and RO₂ concentrations in certain
 427 regions averaged over the planetary boundary layer (PBL), the height of which is taken from
 428 the MERRA-2 reanalysis data (Gelaro et al., 2017). HO₂ and RO₂ concentrations together
 429 decrease by as much as ~20% over boreal forests and up to 80% over tropical forests. The
 430 global average decrease in the sum of HO₂ and RO₂ in this simulation compared to the
 431 default is 4%. The updated description of RO₂ self and cross reactions is the dominant driver
 432 of the shorter RO₂ lifetime and thus of the calculated decreases. Given that most of these
 433 decreases in RO₂ occur in locations with low NO, the impact upon HO₂ and OH (Figure 6b) are
 434 small globally, but not negligible in the PBL over the Amazon, reaching a ~15% decrease in
 435 OH. The lower OH predicted over the Amazon leads to longer NO_x lifetimes there and thus a
 436 highly localized increase in NO_x abundance. Otherwise, the effects on NO_x and O₃ are
 437 negligible globally.

438



439

440 **Figure 6.** The annual PBL-averaged relative differences of (a) HO₂+RO₂, (b) OH, (c) NO_x and (d)
 441 O₃ between simulations LowProd_Photo and Default.

442

443

444

445

4463.3 HOM and associated accretion product distribution and concentrations

447

Table 4. Global annual budgets of MT-HOM and MT-RO₂ accretion products
 448 from Mar. 2013 to Feb. 2014 (unit: kt C).

	Chemistry	Wet deposition	Dry deposition
HOM-non-ON	321	-277	-45
HOM-ON	26	-20	-6
Total accretion products	2107	-1907	-204

449

450 Global annual budgets for the chemistry (Production + Loss), and wet and dry deposition of
 451 HOM-non-ON, HOM-ON and MT-derived accretion products are summarized in Table 4 from
 452 the LowProd_Photo_kauto_slow simulations. For even the slowest kauto used, the
 453 non-nitrate pathway for HOM is more than factor of 10 that of HOM organic nitrates.
 454 Interestingly, even for a small branching to accretion products, MT-RO₂ derived accretion
 455 products are a substantially larger fate than HOM, suggesting either that the rates and
 456 branching are too high or that the chemical loss pathways of associated products are not
 457 well represented.

458

459 The PBL average mass concentrations (μg m⁻³) of HOM predicted by the model are shown in

460 Figure 7 (a-d) for the LowProd_noPhoto, which produces middle-to-upper range estimates of
461 HOM concentrations out of the other scenarios tested. Maps from other sensitivity
462 simulations are included in the SI (Figure S2-S6). In this scenario, monoterpene-derived HOM
463 are predicted to average near $1 \mu\text{g m}^{-3}$ in the PBL over tropical forests with little seasonality,
464 while in the temperate and boreal forests of N. America, Europe, and east Asia, HOM reach
465 0.5 to $1 \mu\text{g m}^{-3}$ during summer months. In the LowProd_Photo scenarios, HOM
466 concentrations average an order of magnitude lower than shown in Figure 7, though the
467 spatial and seasonal patterns are similar. Given that HOM with 10 carbons and 7 or more
468 oxygens will be low or extremely low volatility, the majority of HOM produced from
469 monoterpene oxidation will likely contribute to SOA and thus to total OA. A background
470 organic aerosol mass concentration in rural or remote forested regions of order $1 \mu\text{g m}^{-3}$
471 outside of biomass burning periods is not atypical (Jimenez et al., 2009).

472

473 For comparison, we also show seasonal PBL distributions of HOM-RO₂ self or cross reaction
474 accretion products, assuming the C₂₀-HOM are formed at unit yield. This assumption
475 provides an upper-limit, but one which is supported by some laboratory studies (Berndt et
476 al., 2018a and b). Throughout the tropical forests and boreal regions during summer,
477 HOM-RO₂ accretion products in this simulation reach 3 or $1 \mu\text{g m}^{-3}$, respectively. As total OA
478 in some boreal and tropical forest measurements can be on this order (Jimenez et al., 2009;
479 Lee et al., 2018; de Sa et al., 2018) outside of biomass burning periods, we conclude
480 C₂₀-HOM undergo particle phase decomposition and/or the HOM-RO₂ self and cross
481 reactions do not produce accretion products at unit yield or the model underestimates NO
482 throughout boreal and tropical forest regions which would suppress both HOM and more so
483 HOM accretion product concentrations. As shown in the SI (Figure S7), assuming an accretion
484 product branching of 4% for all MT-RO₂ self or cross reactions as in Zhao, et al. (2019),
485 including HOM-RO₂, leads to significantly lower, but not unimportant, concentrations of
486 accretion products. The total C₁₅ + C₂₀ accretion product concentrations in the PBL of tropical
487 and boreal forest regions are typically less than 1 or $0.25 \mu\text{g m}^{-3}$, respectively, in this
488 simulation.

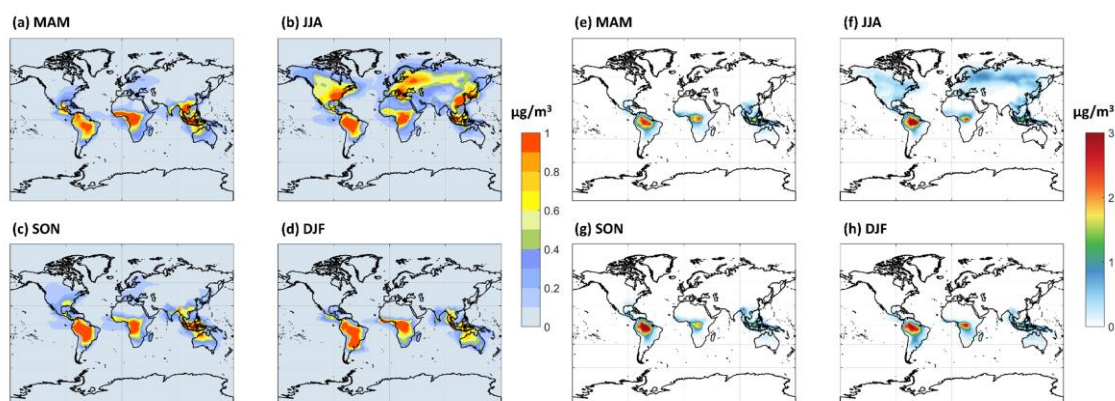
489

490 Accretion products from HOM-RO₂ reactions with other HOM-RO₂ are likely an important
491 route to new particle formation especially in the relatively warm planetary boundary layer.
492 Thus, to predict new particle formation in regions such as the remote temperate or boreal
493 forests, such accretion products will need to be incorporated. As noted above, the self and
494 cross reaction rates and accretion product branching in both cases are far larger than those
495 commonly used in GEOS-Chem. Nucleation and growth of particles by MT-HOM and
496 associated accretion products is beyond the scope here, but in both treatments of accretion
497 product formation, C₂₀ HOM accretion products reach concentrations which are likely
498 relevant for participation in new particle formation (e.g. 10^7 - $10^8 \text{ molec cm}^{-3}$) to the extent it
499 occurs in the PBL over forested regions (Bianchi et al., 2019). A remaining question is to what
500 extent MT-RO₂ derived accretion products more generally form and contribute to OA mass.

501

502 Our results suggest that further refinement of HOM formation and loss kinetics is needed
503 since the range of our simulations suggest HOM either make relatively small contributions to

504 regional OA or constitute the majority of OA outside of biomass burning periods over
505 tropical forests year-round, and during summer months for temperate and boreal forests.
506 Figure 8 illustrates that for two of the sensitivity simulations which bound possible HOM
507 formation and loss kinetics, MT-HOM concentrations alone are either 5 to 10% of total OA
508 predicted by the standard GEOS-Chem model or are more than a factor of 1.5 higher than
509 the predicted total OA. Incorporating predicted MT-RO₂ derived C₁₅ and C₂₀ accretion
510 products as an OA source only increases the potential contribution of MT to total OA. If the
511 MT-RO₂ accretion product branching is on average 4%, accretion products can double the
512 contribution of MT to OA when HOM are simulated in the LowProd_Photo case (see Figure
513 S7). If the accretion branching ratio is closer to unity, as expected for HOM-RO₂, the
514 contribution of HOM monomers and MT-HOM accretion products to OA is even larger,
515 reaching or exceeding a mean ratio of 3 in tropical forests compared to GEOS-Chem
516 predicted OA. Thus, revising MT chemistry to incorporate gas-phase sources of low and
517 extremely low volatility pathways will likely increase, perhaps substantially, the total OA
518 predicted by the GEOS-Chem model over forested regions.
519

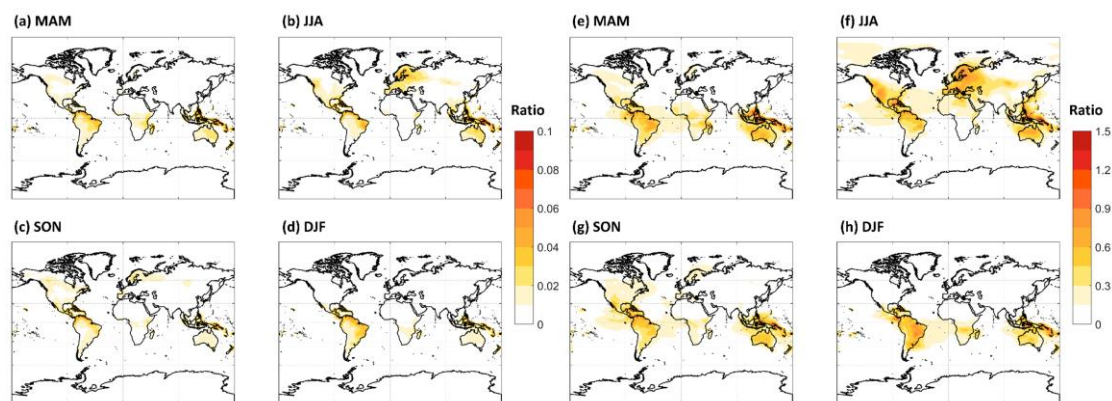


520

521 **Figure 7.** The seasonal PBL-averaged total HOM mass concentrations of (a) MAM, (b) JJA (c)
522 SON and (d) DJF from experiment LowProd_noPhoto. Seasonal PBL-averaged total C₂₀ HOM
523 accretion products are shown in panels e-h, assuming HOM-RO₂ self and cross reactions
524 produce accretion products at unit yield.
525

526 There are limited observations of HOM that can be used to investigate the validity of the
527 different scenarios simulated here. First, the majority of HOM will condense to form SOA,
528 where they may further react to form products that might not be traceable to HOM formed
529 in the gas-phase (Krapf et al., 2016; Lee et al., 2020; Pospisilova et al., 2020; Zawadowicz et
530 al., 2020). Second, most HOM have been observed only in the gas-phase (Bianchi et al., 2016;
531 Ehn et al., 2014; Massoli et al., 2018), which represents only a local steady-state between the
532 formation and condensation sink over small spatial scales compared to the current model
533 resolution. The FIGAERO HRTof-CIMS instrument measures some HOM in both the gas and
534 particle phases, while the aerosol mass spectrometer (AMS) provides an upper limit
535 constraint on the total organic aerosol. In Figure 8, we show observations from the FIGAERO
536 HRTof-CIMS at a rural temperate and rural boreal forest, in Centreville, AL in the Southeast
537 U.S. and SMEAR II station in Hyytiälä, Finland, respectively, using only C₁₀ compounds. In

538 addition, we show AMS observations of total OA from these sites as well as from the T3 site
539 of the Go-Amazon campaign outside of Manaus, Brazil. The Centreville, AL observations were
540 obtained in June–July 2013, the SMEAR II observations were from April–June 2014, and the
541 Go-Amazon observations were from February–March 2014. More information can be found
542 in SI and related papers (Carlton et al., 2018; Martin et al., 2016; Petaja et al., 2016). The
543 FIGAERO HRTof-CIMS observations include both speciated HOM organic nitrates and
544 non-nitrates.



545
546 **Figure 8.** The seasonal PBL-averaged total C_{10} -HOM mass concentrations from the
547 LowProd_Photo (a-d) or the HighProd_noPhoto (e-h) simulations plotted relative to the total
548 OA mass concentration predicted by GEOS-Chem for the same periods and locations. Note
549 the color scale for panels a-d (0 to 0.1) is about a factor of 10 lower than that for panels e-h
550 (0 to 1.5).

551
552 We compare these observations to two simulations, HighProd_Photo and LowProd_Photo,
553 where each includes photochemical losses of HOM based on recent experimental work
554 (Zawadowicz et al., 2020), but different yields of MT-bRO₂ that can undergo unimolecular
555 H-shifts as discussed above. The comparison is challenged for a number of reasons. First,
556 monoterpene emissions are uncertain in a global sense but will also vary significantly at
557 scales below the resolution of the model. Second, gas-phase HOM will be sensitive to the
558 local oxidant conditions, which will also depend on model predicted NO concentrations and
559 BVOC, while particulate HOM potentially represent the integral of multiple days of formation,
560 loss, and transport. Moreover, HOM in the particle phase may react into non-HOM, be lost
561 on instrument surfaces, or thermally decompose during the analysis, such that observations
562 of total HOM are possibly underestimated by the FIGAERO HRTof-CIMS instrument. To
563 facilitate the comparison, we use the diurnal cycle in observations averaged over 4 to 6
564 weeks of observations to minimize the impact of meteorological variability. Addressing errors
565 in the MEGAN emissions inventory is beyond the scope of this paper, therefore, we scale the
566 predicted HOM concentrations in the lowest model level by the ratio of observed to
567 predicted monoterpene concentrations in order to account for potential biases in the
568 regional monoterpene emissions in the model (Figure S8). For SOAS and GoAmazon, we use
569 the hourly average measured monoterpene data to compare with the hourly GEOS-Chem
570 predictions, while for the BAEC campaign at SMEAR II station, we use the campaign average
571 of measured monoterpene concentrations. We separate HOM organic nitrates (ON) from
572 HOM non-nitrates (non-ON) where possible but compare to the total measured gas + particle

573 in each category.

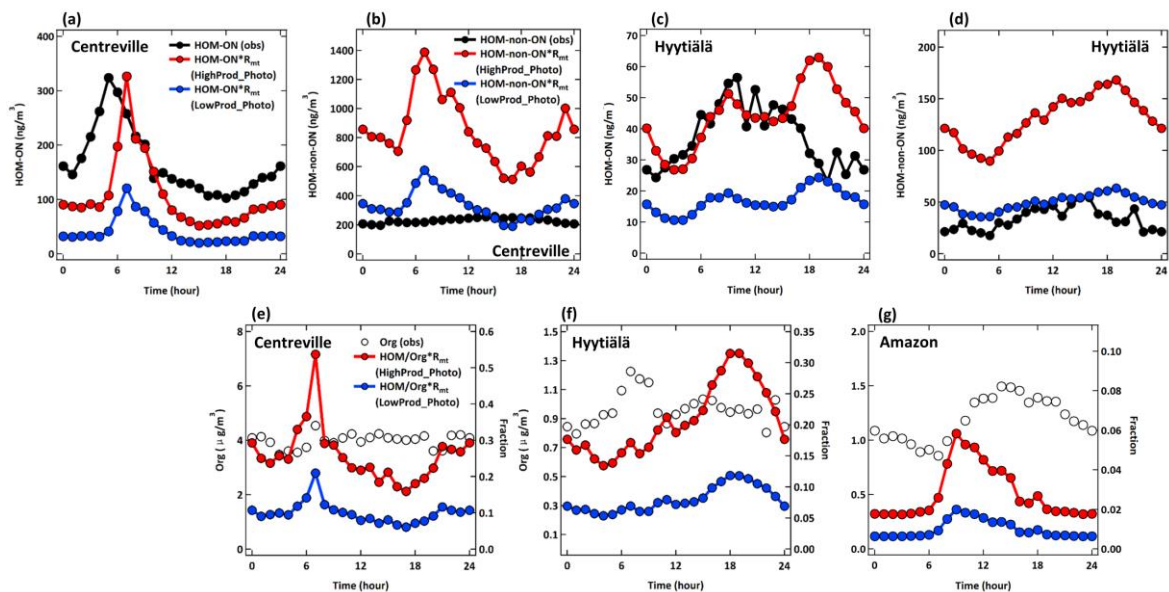
574

575 As shown in Figure 9, there is general order-of-magnitude agreement between the observed
576 HOM and those predicted by one of the model simulations when adjusted by the predicted
577 and observed monoterpene concentrations as described above. The HighProd_Photo
578 simulation is better able to simulate the HOM-ON, but overestimates the non-ON HOM
579 measured in the boreal forest location. In contrast, in the Centreville location, the
580 HighProd_Photo simulation underestimates the measured HOM-ON but overestimates the
581 measured non-ON HOM. The general overestimation of observed non-ON HOM could be due
582 to the non-ON HOM having reacted in the particle phase into components that are not
583 detectable as HOM due to analytical limitations of the instrument used, which relies on
584 thermal desorption and thus can be subject to thermal decomposition of low volatility
585 components [Lopez-Hilfiker et al., 2014]. We note that the HighProd_Photo simulation does
586 not overestimate the observed fine mode OA mass concentrations in any of the three
587 locations, such that there is potential for a higher fraction of MT oxidation to result in HOM
588 and higher contributions of MT-HOM to OA than shown in Figure 9. The reason for a low
589 contribution of MT-HOM to OA predicted for the Amazon region remains unknown, but
590 possibly related to errors in the modeled MT emission inventory, limitations of comparing a
591 relatively coarse model resolution to a single location measurement, and/or the influences
592 from isoprene, biomass burning, and other pathways are perhaps more important in this
593 location.

594

595 The general shape of the HOM diurnal cycle and HOM relative to OA (Figure 9) are typically
596 well captured for each location, except for the late evening and early morning periods
597 possibly due to issues simulating the nocturnal layer relative to the emission height of
598 monoterpenes. In the Amazon location, there is a clear late afternoon peak in the measured
599 OA that is not present in the predicted monoterpene derived HOM concentrations. These
600 comparisons suggest that based on the current set of observations we cannot conclude
601 which set of HOM formation and loss kinetics is most appropriate for describing ambient
602 HOM. We can conclude that total HOM abundances, including both ON and non-ON HOM,
603 are potentially higher than those shown in Figure 9, similar to those predicted by the
604 HighProd_Photo case or the LowProd_noPhoto case, with PBL average mass concentrations
605 in monoterpene rich regions and seasons of order 0.5 to 1 $\mu\text{g m}^{-3}$, see, e.g., Figures S9 and
606 S10. Uncertainties in first-generation RO₂ branching parameters, isomerization rate constants,
607 and HOM chemical fate remain large, with limited observational constraints on total HOM
608 concentrations (gas + particle).

609



610

611 **Figure 9.** Diurnal changes of observed (black line) and simulated (HighProd_Photo: red line;
 612 LowProd_Photo: blue line) (a) HOM-ON and (b) HOM-non-ON mass concentrations at
 613 Centreville site. (c)-(d) The same as (a)-(b) but at Hyttiälä site. (e)-(f) Diurnal changes of
 614 observed organic aerosol mass concentrations (black hollow circle markers) and the fractions
 615 that simulated total HOM account for of observed organic aerosols (HighProd_Photo: red;
 616 LowProd_Photo: blue) at Centreville, Hyttiälä and Amazon sites, respectively.

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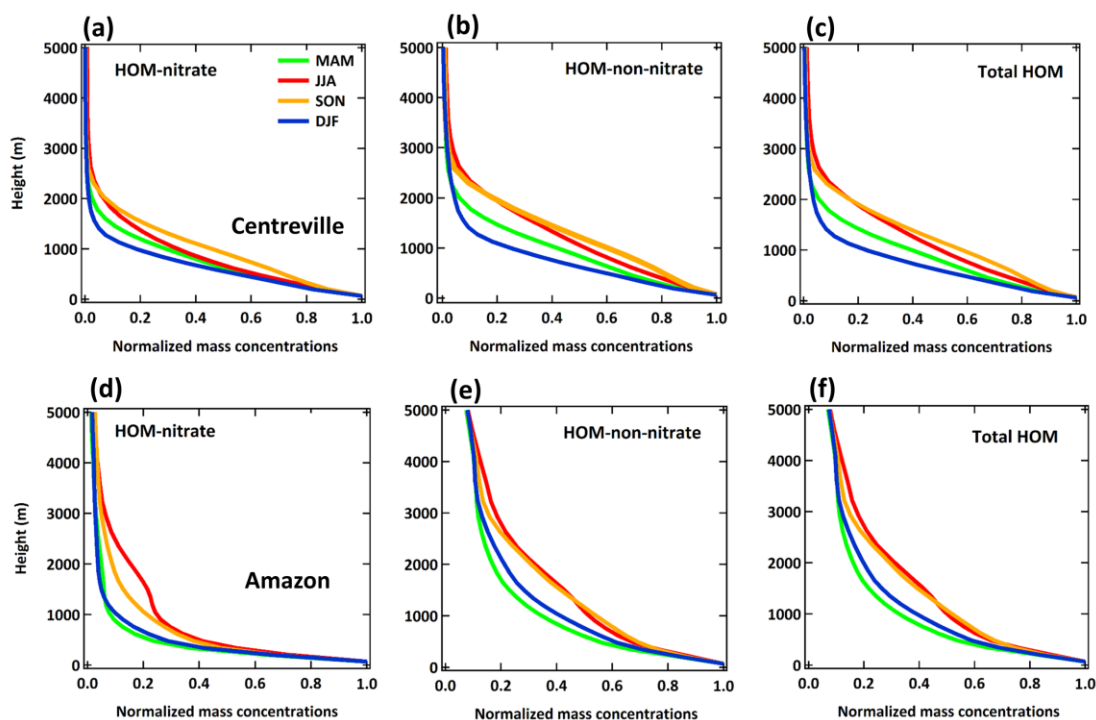
618 3.4 Vertical profiles of HOM

619 Figure 10 summarizes the vertical distribution of HOM predicted by the LowProd_Photo
 620 simulation for two locations, one over the SE US SOAS site and one over the Amazon region
 621 using the same grid which contains the GoAmazon T3 site (Martin et al., 2016). Evident is the
 622 expected predominance of monoterpene present within the PBL in all seasons and locations
 623 related to the surface vegetation source. Also evident is the different vertical profiles of
 624 HOM-ON compared to non-ON HOM, with slower decays with altitude of non-ON HOM up to
 625 2 to 3 km above the surface during JJA in both the SEUS and Amazon regions, likely due to
 626 changes in the HOM-RO₂ fate with altitude (see 3.1). Over the Amazon during JJA and SON,
 627 both non-ON HOM and HOM-ON concentrations are predicted to be relatively enhanced
 628 between 1 to 5 km compared to the lowest altitude concentrations. The relative
 629 enhancement in this altitude region during JJA and SON compared to DJF and MAM likely
 630 reflects overall drier conditions but also significant vertical transport of HOM precursors
 631 during these seasons, e.g. through shallow convection. The relative enhancements
 632 specifically between 1 to 4 km compared to altitudes higher than 5km could also reflect the
 633 temperature dependence of the unimolecular H-shift rate constant describing monoterpene
 634 derived RO₂ autoxidation and changing biomolecular reaction rates with altitude. This
 635 relative enhancement is not as obvious in the vertical profiles over the SEUS, which appear
 636 as smoother monotonic decays with altitude, and that are higher in abundance during
 637 summer months.

638

639 HOM-RO₂ accretion products illustrate similar vertical profiles as the HOM monomers (see SI

640 Figures S12 and S13). If we use the rate constants reported by Berndt et al., (2018a, 2018b)
 641 together with branching ratio of unity for HOM-RO₂ derived accretion products, the seasonal
 642 mean abundance of predicted total of C₁₅ and C₂₀ HOM accretion products reaches 1 to 5 μg
 643 m⁻³ in the PBL over the SE US and tropical forested regions respectively (see SI), and decay to
 644 1x10⁻³ and 3x10⁻² μg m⁻³ (1x10⁶ and 4x10⁷ molec cm⁻³), respectively, in the upper troposphere
 645 over these regions. Assuming instead a HOM-RO₂ accretion product yield of 4%, the
 646 predicted total of C₁₅ and C₂₀ HOM accretion products are between 0.2 and 1 μg m⁻³ over the
 647 SE US and tropical forests, respectively, decaying to 2x10⁻⁴ and 7x10⁻³ μg m⁻³ (3x10⁵ and 1x10⁷
 648 molec cm⁻³) in the upper troposphere. At such *average* concentrations in the upper
 649 troposphere over these regions, we conclude either type of HOM accretion product will
 650 likely contribute significantly to new particle formation and growth, but uncertainty in the
 651 accretion product branching of HOM-RO₂ reactions would lead to a factor of 4 or more in the
 652 estimated contribution.
 653



654
 655 **Figure 10.** The seasonal averaged vertical profiles of HOM-ON (left panel), HOM-non-ON
 656 (middle panel) and total HOM (right panel) at Centreville (top panel) and Amazon (bottom
 657 panel). All the results are from experiment LowProd_Photo. Values are normalized to the
 658 lowest-level values of each season. The profiles with absolute concentrations are shown in
 659 Figure S9.

660

661 4. Conclusion

662 We implemented a new mechanism to describe MT-derived RO₂ chemistry in the
 663 GEOS-Chem global chemical transport model. The mechanism is relatively simple, adding 10
 664 species and 37 reactions to the standard mechanism, without substantial addition of
 665 computation time. We focused on updating the representation of unimolecular H-shift
 666 reactions to form HOM-RO₂ and their fate, as well as the self- and cross-reactions of

667 MT-derived RO₂ and isoprene derived RO₂. Several sensitivity studies were conducted to
668 evaluate the impact of various mechanism parameters and associated uncertainties, and
669 where possible we compared to observations. The results from these sensitivity studies show
670 that for a model resolution of 2° x 2.5°, uncertainty in the average H-shift rate constant is less
671 important for predicted HOM concentrations than the fraction of MT reactions with OH or O₃
672 to form RO₂ which can undergo H-shift and autoxidation and the photochemical lifetime of
673 HOM. While a comprehensive comparison of HOM predictions to OA remains, in three
674 locations, the model predictions of HOM did not exceed total measured OA mass
675 concentrations, which is currently the strongest constraint on HOM. However, using
676 HOM-ON measurements as a guide suggests that if the fraction of MT-RO₂ that undergo
677 relatively rapid H-shift ($k_{\text{auto}} > 0.1 \text{ s}^{-1}$) is greater than 0.25, then significant photochemical
678 losses of HOM mass from particles that is faster than wet or dry deposition of particulate
679 organics is required. Indeed, the current estimates of MT-derived HOM monomer and HOM
680 accretion product formation rates from laboratory studies lead to mass concentrations of the
681 same order as or event OA mass concentrations predicted by the model. However,
682 uncertainties in emission inventories of BVOC and small absolute errors in NO or NO₃
683 concentration fields in global scale models contribute additional uncertainty into the most
684 appropriate set of parameters to use.

685

686 The majority of HOM production occurs in the continental boundary layer where MT
687 emissions are significant, including boreal, temperate and tropical regions. H-shift and
688 autoxidation is the major fate for the subset of MT-RO₂ with that capability, outcompeting
689 reactions with NO, HO₂ and RO₂ up to 6 km altitude in relatively unpolluted regions.
690 Autoxidation of first-generation MT-RO₂ is significantly slower in the upper troposphere and
691 likely uncompetitive with reactions with NO and HO₂. As such, HOM formation in the outflow
692 of deep convection is unlikely, though HOM formation from MT detraining from shallow
693 convection below 6 km is feasible.

694

695 Implementing faster self and cross-reactions between RO₂ in GEOS-Chem as found by Zhao et
696 al. (2019) and Berndt et al. (2018a) lead to significantly lower HO₂ and RO₂ concentrations in
697 boreal and tropical forested regions (by 20% or more compared to the standard mechanism), but
698 globally average changes in OH, NO_x, and O₃ are negligible. These reactions also alter the fate of
699 MT-RO₂, especially MT-derived HOM-RO₂, for which reaction with MT-derived and other RO₂
700 (typically isoprene-derived) is the dominant fate throughout the boundary layer neglecting
701 unimolecular HOM-RO₂ reactions. While perhaps unexpected compared to previous RO₂ fate
702 assessments using slower RO₂ self and cross reaction rate constants, such a situation can be
703 supported in part by the molecular composition measurements of MT-HOM which show
704 significant contributions of HOM with H numbers less than 16 and odd-numbers of O, e.g.,
705 C₁₀H₁₄O₉. This evidence alone is not sufficient, as HOM-RO₂ reactions with NO could also produce
706 similar results. Future field campaigns that constrain the relevant NO_x and oxidant fields together
707 with HOM in low-NO_x regions could provide important constraints in this regard.

708

709 The branching to accretion products of RO₂ self and cross reactions is a key parameter with
710 significantly different ranges produced by laboratory studies. The concentrations of C₁₅ and C₂₀

711 accretion products predicted using self and cross-reaction rate constants of $\sim 10^{-11}$ - 10^{-10} cm³
712 molec⁻¹ s⁻¹ with a conservative branching (4%) from Zhao et al (2018), are typically small
713 compared to average OA mass concentrations, except in the tropical forested regions, where
714 these accretion products alone are likely similar to background OA concentrations outside of
715 biomass burning events. Using a larger branching to accretion products as supported by studies
716 by Berndt et al (2018) leads to such accretion products likely dominating low volatility products
717 that could contribute to OA, with predicted mass concentrations well exceeding OA mass
718 concentrations in remote tropical regions. Thus, further refinement in the rate constants and
719 branching to gas-phase accretion products and their photochemical fates are needed, especially
720 since these products from HOM-RO₂ cross reactions are likely essential in the contributions of MT
721 to new particle formation (Bianchi et al., 2019; McFiggans et al., 2019) especially over tropical
722 forested regions (Andreae et al., 2018; Wang et al., 2016; Zhao et al., 2020).

723

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