

1 **Optical and chemical properties and oxidative potential of aqueous-**
2 **phase products from OH and $^3\text{C}^*$ -initiated photooxidation of eugenol**

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15 **Abstract:** Aqueous reactions may turn precursors into light-absorbing and toxic
16 products, leading to air quality deterioration and adverse health effects. In this study,
17 we investigated comprehensively eugenol photooxidation (a representative biomass
18 burning emitted, highly substituted phenolic compound) in bulk aqueous phase with
19 direct photolysis, hydroxyl radical (OH) and an organic triplet excited state ($^3\text{C}^*$).
20 Results show that the degradation rates of eugenol followed the order of
21 $^3\text{C}^* > \text{OH} > \text{direct photolysis}$. During $^3\text{C}^*$ -initiated oxidation, different reactive oxygen
22 species (ROS) including $^3\text{C}^*$, OH, $^1\text{O}_2$ and $\text{O}_2^{\cdot-}$ can participate in oxidation of eugenol,
23 quenching experiments verified $^3\text{C}^*$ was the most important one; while during OH-
24 initiated oxidation, $\text{O}_2^{\cdot-}$ was a more important ROS than OH to degrade eugenol. The
25 rate constants under saturated O_2 , air and N_2 followed the order of $k_{\text{O}_2} > k_{\text{Air}} > k_{\text{N}_2}$ for

26 both direct photolysis and OH-initiated oxidation, but changed to $k_{\text{Air}} > k_{\text{N}_2} > k_{\text{O}_2}$ for
27 $^3\text{C}^*$ -mediated oxidation. pH and dissolved oxygen (DO) levels both decreased during
28 oxidation, indicating formation of acids and the participation of DO in oxidation. UV-
29 vis light absorption spectra of the reaction products showed clear absorbance
30 enhancement in the 300-400 nm range for all three sets of experiments and new
31 fluorescence at excitation/emission=250/(400-500) nm appeared, suggesting the
32 formation of new chromophores and fluorophores (brown carbon species); and these
33 species were likely attributed to humic-like substances (HULIS) as shown by the
34 increases of HULIS concentrations during oxidation. Large mass yields of products
35 (140%-197%) after 23 hours of illumination were obtained, and high oxidation degrees
36 of these products were also observed; correspondingly, a series of oxygenated
37 compounds were identified, and detailed reaction mechanism with functionalization as
38 a dominant pathway was proposed. At last, dithiothreitol (DTT) assay was applied to
39 assess oxidation potential of the reaction products, and the end products of all three sets
40 of experiments showed higher DDT consumption rates than that of eugenol, indicating
41 more toxic species were produced upon aqueous oxidation. Overall, our results by using
42 eugenol as a model compound, underscore the potential importance of aqueous
43 processing of biomass burning emissions in secondary organic aerosol (SOA)
44 formation.

45

46 **1 Introduction**

47 Photochemical reactions in atmospheric aqueous phases (cloud/fog droplets and
48 aerosol water) can affect lifetimes of many organic species, and are an important source
49 and pathway of secondary organic aerosol (SOA) formation (Vione et al., 2006; Zhao

50 et al., 2012). Compared to the SOA formed via gas-phase photochemical oxidation
51 (gasSOA), aqueous-phase SOA (aqSOA) is often more oxidized and less volatile,
52 therefore might play an important role in haze formation, air quality and global climate
53 change (Ervens et al., 2011; Lim et al., 2010). However, due to complexity of the
54 aqueous reactions and influencing factors (such as precursors, oxidants, and light
55 intensities), detailed reaction mechanism, optical property, oxidative potential (OP) and
56 the interplay among them remain poorly understood.

57 Many laboratory studies have focused on aqueous-phase oxidations of low
58 molecular weight (LMW) volatile organic compounds (VOCs), such as isoprene,
59 terpenes (α -, β -pinene), as well as their gas-phase oxidation products (such as glyoxal,
60 methylglyoxal, *cis*-pinonic acid and methyl vinyl ketone) (Faust et al., 2017; Herrmann,
61 2003; Herrmann et al., 2015; Huang et al., 2011; Lee et al., 2012; Zhang et al., 2010).
62 Recently, aqueous oxidation of semi-/intermediate volatility VOCs (S/IVOCs), such as
63 the phenolic compounds emitted from combustion or pyrolysis of lignin in biomass,
64 were also extensively investigated (Barzaghi and Herrmann, 2002; Bonin et al., 2007;
65 Chen et al., 2020; Gilardoni et al., 2016; He et al., 2019; Jiang et al., 2021; Li et al.,
66 2014; Li et al, 2021; Ma et al., 2021; Mabato et al., 2022; Smith et al., 2014; Sun et al.,
67 2010; Tang et al., 2020; Yang et al., 2021; Yu et al., 2016; Lu et al., 2019). Generally,
68 chemical structures of precursors have profound influences on the reaction mechanisms
69 and products, while effect of oxidants also cannot be neglected. It is evident that liquid
70 water can contain various types of oxidants, such as singlet oxygen ($^1\text{O}_2$), nitrate radical
71 (NO_3), hydroxyl radical (OH), and organic triplet excited states ($^3\text{C}^*$), and all oxidants
72 can play crucial roles in photooxidation reactions (Kaur and Anastasio, 2018; Scharko
73 et al., 2014). Among them, OH is a ubiquitous oxidant with concentrations of 10^{-13} - 10^{-}
74 $^{12} \text{mol}\cdot\text{L}^{-1}$ (Arakaki et al., 2013; Gligorovski et al., 2015; Herrmann et al., 2003). Hence,

75 aqueous OH-induced photooxidation has been extensively studied (Chen et al., 2020;
76 Sun et al., 2010; Yu et al., 2016). Compared to OH oxidation, $^3\text{C}^*$ -initiated aqueous
77 oxidation (photosensitized reactions) has also attracted attentions in recent years (Ma et
78 al., 2021; Wang et al., 2021). Several classes of organic compounds in ambient air,
79 including non-phenolic aromatic carbonyls, quinones, aromatic ketones and nitrogen-
80 containing heterocyclic compounds, can form $^3\text{C}^*$ after absorbing light (Alegría et al.,
81 1999; Kaur et al., 2019; Nau and Scaiano, 1996; Rossignol et al., 2014; Chen et al.,
82 2018). These compounds are termed photosensitizers. $^3\text{C}^*$ is capable of reacting with
83 O_2 to produce singlet oxygen ($^1\text{O}_2$) and superoxide radicals ($\text{O}_2^{\cdot-}$). Various reactive
84 oxygen species (ROS) can be generated and affect greatly the $^3\text{C}^*$ -initiated aqueous-
85 phase reactions. Despite some studies demonstrating importance of ROS in
86 photochemical process (Ma et al, 2021; Wang et al., 2020; Wang et al., 2021), our
87 current understanding on $^3\text{C}^*$ -initiated oxidation is still limited.

88 Excitation-emission matrix (EEM) fluorescence spectroscopy, as a low-cost, rapid,
89 non-destructive and high-sensitivity technique, can offer detailed information on
90 chromophores hence has been widely employed for studies of aquatic dissolved organic
91 matter (Aryal et al., 2015). Nevertheless, it has not been extensively used in
92 atmospheric aerosol research (Mladenov et al., 2011). Prior studies have investigated
93 the relationship between the fluorescence components and chemical structures of
94 atmospheric aerosols by using high-resolution aerosol mass spectrometry (AMS) and
95 EEM fluorescence spectroscopy (Chen et al., 2016a; Chen et al., 2016b). An earlier
96 report from Chang and Thompson (2010) found fluorescence spectra of aqueous-phase
97 reaction products of phenolic compounds, which had some similarities with those of
98 humic-like substances (HULIS), and Tang et al. (2020) reported that aqueous
99 photooxidation of vanillic acid could be a potential source of HULIS. Chang and

100 Thompson (2010) also showed that light-absorbing and fluorescent substances
101 generally had large conjugated moieties (i.e., quinones, HULIS, polycyclic aromatic
102 hydrocarbons (PAHs)), which can damage human body (Dou et al., 2015; McWhinney
103 et al., 2013). HULIS are considered as an important contributor to induce oxidative
104 stress since they can serve as electron carriers to catalyze ROS formation (Dou et al.,
105 2015; Ma et al., 2019; Huo et al., 2021; Xu et al., 2020), causing adverse health effects.
106 Dithiothreitol (DTT) assay (Alam et al., 2013; Verma et al., 2015), as a non-cellular
107 method, was widely employed to determine oxidation activity and OP of atmospheric
108 PM (Chen et al., 2019; Cho et al., 2005) for the evaluation of its health effects. Some
109 other works (Fang et al., 2016; McWhinney et al., 2013; Verma et al., 2015; Zhang et
110 al., 2022) focused on the link between chemical components and OP in PM, and
111 confirmed that several kinds of compounds, such as quinones, HULIS and transition
112 metals usually had strong DTT activities. However, DTT method is rarely used to
113 evaluate the OP of aqueous-phase oxidation products previously (Ou et al., 2021).

114 In the present work, we chose eugenol (allyl guaiacol) as a model compound to
115 conduct aqueous oxidation experiment. As a representative methoxyphenol emitted
116 from biomass burning (BB) (Hawthorne et al., 1989; Simpson et al., 2005), it was
117 widely detected in atmospheric particles. For instance, concentration and emission
118 factor of this compound from beech wood burning were $0.032 \mu\text{g}/\text{m}^3$ and $1.534 \mu\text{g}/\text{g}$,
119 which were twice those of guaiacol ($0.016 \mu\text{g}/\text{m}^3$ and $0.762 \mu\text{g}/\text{g}$) (Bari et al., 2009).
120 Eugenol is a semivolatile aromatic compound with a moderate water-solubility (2.46
121 g/L at 298 K). Chemical characteristics of aqueous reaction products under direct
122 photolysis (without oxidant) and oxidations by OH radicals and $^3\text{C}^*$ triplet states, were
123 comprehensively elucidated by a suite of analytical techniques including high-
124 performance liquid chromatography (HPLC), ultraviolet and visible (UV-Vis)

125 spectrophotometry, gas chromatography mass spectrometry (GC-MS), and soot particle
126 aerosol mass spectrometry (SP-AMS). Moreover, light absorption, fluorescent and
127 oxidative properties of the aqueous oxidation products were also investigated.

128 **2 Materials and methods**

129 **2.1 Chemicals and reagents**

130 Eugenol (99%), tert-butanol (TBA, 99%), 3,4-dimethoxybenzaldehyde (DMB,
131 99%), para-benzoquinone (*p*-BQ, 99%), dithiothreitol (99%) and 5,5'-dithiobis-2-
132 nitrobenzoic acid (DTNB, 99%), 2-nitro-5-thiobenzoic (99%), 5,5-dimethyl-1-
133 pyrroline N-oxide (DMPO), 2,2,6,6-tetramethylpiperidine (TEMP) were all purchased
134 from Sigma-Aldrich. Superoxide dismutase (SOD) was purchased from Bovine
135 Erythrocytes BioChemika. Dichloromethane (HPLC-MS grade, 99%), methanol
136 (HPLC-MS grade, 99%), acetonitrile (HPLC-MS grade, 98%), hydrogen peroxide
137 (H₂O₂, 35 wt %), and 2,4,6-trimethylphenol (TMP, 99%) were all obtained from Acros
138 Chemicals. Sodium azide (NaN₃, 98%) was purchased from J&K Scientific Ltd.
139 (Beijing, China). All solutions were prepared using ultrapure water (Millipore) on the
140 days of experiments.

141 **2.2 Photochemical oxidation experiments**

142 Aqueous-phase photochemical reactions were carried out in a Rayonet
143 photoreactor (model RPR-200) equipped with 16 light tubes (2 RPR-3000, 7 RPR-3500
144 and 7 RPR-4190 tubes), which was frequently used to mimic sunlight for
145 photochemical experiments and was described in details by several groups (George et
146 al., 2015; Hong et al., 2015; Huang et al., 2018; Jiang et al., 2021; Zhao et al., 2014).

147 Pyrex tubes containing sample solutions were placed in the center and received
148 radiation from surrounded lamps of all sides. To ensure mixing of the solution, a fan
149 and a magnetic stir bar were placed at the bottom of the reaction tube. The solution
150 temperature was controlled at $25 \pm 2^\circ\text{C}$. The same photoreactor system and a normalized
151 distribution of photon fluxes inside the reactor have been reported elsewhere (George
152 et al., 2015), and the wavelength of light was in the range of 280~500 nm. We only
153 measured light intensity at the surface of the solution with a radiometer (Photoelectric
154 instrument factory of Everfine Corporation, Hangzhou, China), which was determined
155 to be $\sim 2400 \mu\text{W}/\text{cm}^2$ in the range of 290-320 nm (UVB), lower than the sunlight
156 intensity ($6257.1 \mu\text{W}/\text{cm}^2$).

157 In this work, $300 \mu\text{M H}_2\text{O}_2$ and $15 \mu\text{M DMB}$ were added into solutions as sources
158 of OH and $^3\text{C}^*$, respectively. The initial concentration of eugenol was $300 \mu\text{M}$. For $^3\text{C}^*$ -
159 mediated experiments, solutions were adjusted to pH=3 by sulfuric acid in order to
160 perform experiments under optimal conditions (Ma et al., 2021; Smith et al., 2014)
161 since DMB triplet state is protonated to a more reactive form in acidic solution. We
162 conducted three sets of oxidation experiments: (A) $300 \mu\text{M eugenol} + 300 \mu\text{M H}_2\text{O}_2$,
163 (B) $300 \mu\text{M eugenol} + 15 \mu\text{M DMB}$, and (C) $300 \mu\text{M eugenol}$ without oxidants. In each
164 series of experiments, a dark control experiment was performed synchronously with a
165 Pyrex tube wrapped by aluminum foil. Results showed loss of eugenol under dark
166 conditions were negligible (data not shown). In addition, to evaluate the roles of ROS
167 in eugenol degradation during $^3\text{C}^*$ -initiated oxidation, quenching experiments by using
168 specific scavengers to capture different ROS were performed, namely TBA for OH,
169 NaN_3 for $^1\text{O}_2$, SOD for $\text{O}_2^{\cdot-}$, and TMP for $^3\text{C}^*$, respectively (Pan et al., 2020; Chen et
170 al., 2020). For OH-initiated oxidation, quenching experiments using *p*-BQ for $\text{O}_2^{\cdot-}$ (Ma
171 et al., 2019; Raja et al., 2005), and TBA for OH were conducted. For most experiments,

172 solutions were saturated by air and each experiment presented was repeated three times
173 unless otherwise stated. Average results with one standard deviation were provided. In
174 order to further evaluate the role of oxygen in photooxidation, experiments were also
175 conducted by using different saturated gases (air, N₂ and O₂).

176 **2.3 Analytical methods**

177 **2.3.1 Determination of eugenol concentrations**

178 Before and during the photochemical experiment, 2 mL of reacted solution was
179 sampled periodically and subjected to HPLC (LC-10AT, Shimadzu, Japan) analysis to
180 quantify eugenol concentration. The HPLC was equipped with an InertSustain AQ-C18
181 reverse phase column (4.6×250 mm, 5.0 μm, Shimadzu) and a UV-vis detector. The
182 mobile phase was a mixture of acetonitrile/H₂O (v/v: 60/40) at a flow rate of 0.6
183 mL/min, and the detection wavelength was 280 nm. The first-order kinetic rate constant
184 of eugenol degradation can be obtained from the slope of plot of $-\ln(c_t/c_0)$ versus
185 reaction time, as presented in Eq.(1).

$$186 \qquad \qquad \qquad \ln(c_t/c_0)=-kt \qquad \qquad \qquad (1)$$

187 Where c_0 and c_t are eugenol concentrations (in μM) at the initial and reaction time
188 t , while k represents the pseudo first-order rate constant (in s⁻¹).

189 **2.3.2 UV-vis and fluorescent spectra**

190 The UV-vis light absorption spectra of reacted solutions (placed in a 1 cm path
191 length quartz cuvette) were measured by using an UV-vis spectrophotometer (Specord
192 210 plus, Analytik Jena., Germany). The instrument has a dual-beam optical system
193 with tungsten and deuterium lamps as light sources. A reference absorption spectrum

194 of ultrapure water was carried out in the same cuvette prior to sample analysis for
195 baseline correction.

196 Immediately after the UV-Vis measurement, the cuvette was transferred to a three-
197 dimensional EEM fluorescence spectrometer (FluoroMax Plus, HORIBA Scientific).
198 The ranges of wavelength varied from 200 to 450 nm for excitation wavelengths (Ex)
199 and from 290 to 650 nm for emission wavelength (Em). Intervals of the excitation and
200 emission wavelengths were 5 nm and 2 nm, respectively. The reported absorbance and
201 EEM spectra here are averages of the results from experiments in triplicate.

202 **2.3.3 Determination of HULIS concentrations**

203 Solid phase extraction (SPE) cartridges (CNW Poly-Sery HLB, 60 mg/cartridge)
204 were used to isolate HULIS from the reaction products. The SPE cartridge was first
205 rinsed with 1 mL ultrapure water and 3 mL methanol prior to extraction. The solution
206 was acidified to pH ~2 using HCl and loaded on an SPE cartridge, which was rinsed
207 with 1 mL ultrapure water again. Next, 3 mL methanol/ammonia (98:2, v/v) mixture
208 was added into the SPE cartridge to elute HULIS, and the solution was blown to full
209 dryness with high purity N₂, followed by dilution with ultrapure water to 25 mL for
210 quantification of HULIS using the HPLC coupled with an evaporative light scattering
211 detector (ELSD3000). Recovery efficiency of the HULIS standard, Suwanne River
212 Fulvic Acid (SRFA), was 75-80% with the standard deviation of reproducibility less
213 than 5%. More details have been described elsewhere (Tao et al., 2021).

214 **2.3.4 Oxidative potential (OP) based on DTT assay**

215 The OP of reaction products was determined by the DTT method (Cho et al., 2005;
216 Lin and Yu, 2019) with slight improvements. Briefly, 1.2 mL sample solution was

217 transferred into a 10 mL glass tube, then 6 mL phosphate buffer (0.1 M, pH 7.4) and
218 300 μ L of 2.5 mM DTT were added and mixed thoroughly. The DTT mixed solution
219 was placed in a 37°C water bath for incubation. Over the course of reactions that lasted
220 for 150 minutes, 1 mL aliquot of DTT mixture was taken every 30 minutes, and 100 μ L
221 of 5 mM DTNB (prepared in 0.1 mM phosphate buffer) was added and loaded in a
222 centrifuge tube. Next, reactions between DTNB and DTT produced bright yellow TNB,
223 which was quantified by the UV-Vis spectrometer within 30 minutes. Finally, we
224 measured the light absorbance (A_t) at 412 nm to indirectly quantify the remaining DTT.
225 Another 1.2 mL ultrapure water instead of sample solution was treated in the same way
226 and the absorbance was denoted as A as the blank value. A_0 represents the initial light
227 absorbance value. Thus, DTT concentration consumed by the sample solution (M_{DTT} ,
228 μ M) and that by the blank solution (M_{DTT0} , μ M) can be calculated according to Eq.(2)
229 and Eq.(3), respectively.

$$230 \quad M_{DTT} = \frac{A_0 - A_t}{A_0} \times C_{DTT0} \quad (2)$$

$$231 \quad M_{DTT0} = \frac{A_0 - A}{A_0} \times C_{DTT0} \quad (3)$$

232 Here, C_{DTT0} was the initial DTT concentration in sample solution (100 μ M in this
233 work). DTT consumption rates (R_{DTT} and R_{DTT0}) were then obtained from the slopes of
234 plots of M_{DTT} and M_{DTT0} versus incubation times. Experiments of blanks and samples
235 were typically run in a triplicate. The reproducibility of the whole analysis showed that
236 the relative standard deviation of DTT consumption rate was 3-4%.

237 **2.3.5 Product analysis by GC-MS**

238 Reacted solution (about 30 mL) was extracted with 10 mL dichloromethane twice.
239 The extract was concentrated into 1 mL by blowing N_2 gently, subsequently transferred
240 to a 2 mL vial, and analyzed by a GC-MS (7890A GC/5975C MS, Agilent) with a DB-

241 5ms capillary column (30 m×0.25 mm×0.5 μm). The operational conditions were set as
242 follows: injector was at 200°C; ion source was at 230 °C; column oven temperature was
243 programmed to be held at 35°C for 4 minutes, then ramped to 250 °C at a rate of
244 20°C/minute and held for 10 minutes. The recovery efficiency, method detection limits
245 and quality assurance/quality control have been described in our previous work (Ye et
246 al., 2020).

247 **2.3.6 SP-AMS analysis and mass yields of reaction products**

248 An Aerodyne SP-AMS (Onasch et al., 2012) was applied to analyze the low-
249 volatility organic products, similar to our previous work (Chen et al., 2020; Ge et al.,
250 2017). SP-AMS data were acquired in V mode and analyzed by Squirrel v.1.56D and
251 Pika v1.15D software. The organic fragments were classified into six groups: CH, CHO,
252 CHN, CHO₂, CHON and HO. Elemental ratios (oxygen-to-carbon, O/C; hydrogen-
253 to-carbon, H/C), were calculated according to the method proposed by Canagaratna et
254 al. (2015).

255 Since the AMS analysis requires nebulization of sample solution into particles
256 before determination, and quantification of organics was influenced by the atomization
257 efficiency and carrier gas flow, we thus cannot use SP-AMS measured concentration to
258 quantify the mass of products directly. In this case, according to Li et al. (2014), we
259 added an internal standard (SO₄²⁻) prior to AMS analysis, and the mass ratio of particle-
260 phase organics to SO₄²⁻ ($\Delta\text{Org}/\text{SO}_4^{2-}$) can be used to calculate the mass concentration
261 of products. Furthermore, the mass yield of aqueous-oxidation products (Y_{products} , %),
262 which is the mass of products generated per unit mass of precursor consumed, can be
263 calculated according to Eq. (4).

$$264 \quad Y_{\text{products}}(\%) = \frac{(\Delta\text{Org}/\text{SO}_4^{2-})[\text{SO}_4^{2-}]_0}{C_0 M \eta} \times 100\% \quad (4)$$

265 Where $[\text{SO}_4^{2-}]_0$ is the SO_4^{2-} concentration (here 7.27 mg/L), C_0 is the initial eugenol
266 concentration (in mmol/L), M is MW of the precursor (164 g/mol for eugenol), and η
267 is the degraded fraction of eugenol.

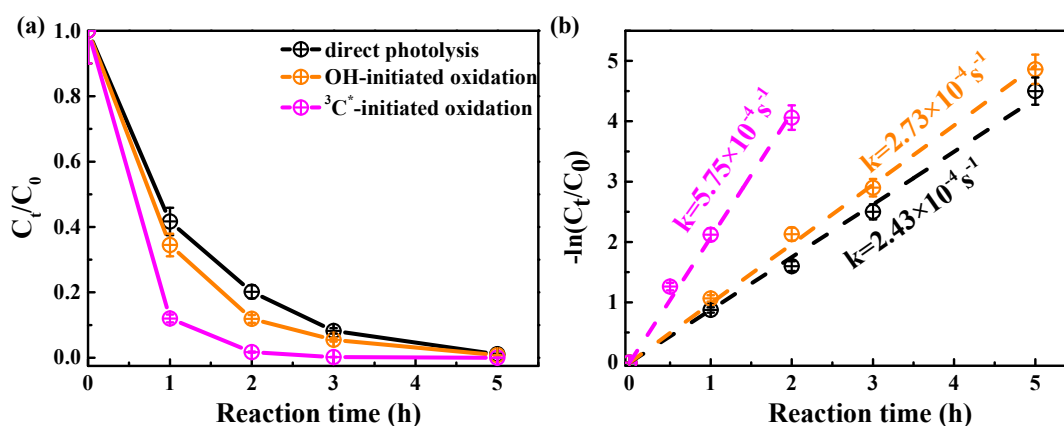
268 **3 Results and discussion**

269 **3.1 Kinetics of aqueous photooxidation**

270 Figure 1 shows unreacted eugenol concentrations (c_t) and the negative logarithm
271 of c_t/c_0 ($-\ln(c_t/c_0)$) as a function of reaction time, respectively. The pseudo first-order
272 rate constants (k) obtained by Eq.(1) were also presented. As described in Fig. 1a,
273 eugenol concentration decreased to be <20% of the initial concentration in 3 hours,
274 suggesting photooxidation was fast under all three reaction conditions. In the presence
275 of $^3\text{C}^*$, eugenol was degraded nearly 100% after 3 hours. Previous study (Chen et al.,
276 2020) on $^3\text{C}^*$ -initiated 4-ethylguaiacol oxidation reports a time of 21 hours for a
277 complete degradation. Apart from difference of precursors, different light irradiation
278 spectra and stronger energy of light in this work than the previous work might be
279 responsible for the fast loss of eugenol. The bond dissociation energies (BDEs) are 340
280 kJ/mol for OH, 374 kJ/mol for C-H in $-\text{CH}_3$ group, 345 kJ/mol for C=C bond, and 403
281 kJ/mol for C-H in $-\text{OCH}_3$ group, respectively (Herrmann et al., 2003; He et al., 2019).
282 Due to influences of steric hindrance and intramolecular hydrogen bonding, the H-
283 abstraction from OH group might not be favorable and the most probable H-abstraction
284 might take place in C=C of the allyl group. As a result, breakage of C=C into C-C at
285 the allyl group can lead to the formation of 2-methoxy-4-propyl-phenol (Section 3.6.1).
286 When photon energy is higher than the BDE, chemical bonds can break, leading to
287 decomposition of compounds and possibly further mineralization. The energy of photon

288 of 300 nm is 412 kJ/mol and can break all major bonds in eugenol, while the energy of
289 350 nm is 353 kJ/mol, being able to break some of the bonds in eugenol as well. Overall,
290 eugenol can be easily decomposed after absorbing the photons.

291 As shown in Fig. 1b, the first-order rate constants were $2.43 \times 10^{-4} \text{ s}^{-1}$, $2.73 \times 10^{-4} \text{ s}^{-1}$
292 ¹, and $5.75 \times 10^{-4} \text{ s}^{-1}$ for direct photolysis and photooxidations by OH and ³C*,
293 respectively. ³C*-initiated photooxidation was quicker than that attacked by OH, likely
294 due to combined contributions from reactions with ¹O₂, O₂^{•-} and OH (Section 3.2).
295 Similar results were found for aqueous phase reactions of three phenols against OH and
296 ³C* by Yu et al. (2016) (Note the initial concentrations of H₂O₂ and DMB were 100 μM
297 and 5 μM, respectively, with the same ratio as 300 μM H₂O₂ to 15 μM DMB in this
298 work)



299
300 **Figure 1.** Aqueous-phase eugenol decay kinetic curves (a) and first-order rate constants (b) obtained
301 based on Equation 1 under direct photolysis, OH-initiated oxidation and ³C*-initiated oxidation.
302 Error bar represents one standard deviation from the measurements in triplicate.

303 3.2 Relative importance of ROS in photooxidation

304 3.2.1 Quenching experiments in ³C*-initiated photooxidation

305 Relative importance of different ROS in photooxidation can be investigated by
306 addition of scavengers/quenchers, and then be evaluated based on the different

307 degradation efficiencies of eugenol in absence and presence of the corresponding ROS
308 quenchers. For each quencher, we conducted several gradient experiments with varying
309 molar ratios of eugenol to quencher. The ratios were 0.075:1, 0.15:1, 0.3:1, 0.75:1, 1.5:1
310 for quenchers of NaN₃, TMP and TBA, and 1.2:1, 1.6:1, 2.5:1, 5:1, 10:1 for SOD, which
311 were all within the typical ranges of ROS quenching experiments reported previously
312 (Zhou et al., 2018). Excess concentrations of quenchers have been added repeatedly to
313 ensure the complete reactions between ROS and scavengers. Figure 2 displays the
314 impacts of quenchers on eugenol degradation. All rate constants (k) with quenchers
315 were lower than those of the quencher-free solutions. The optimum molar ratio of
316 eugenol to quencher was selected such that the eugenol degradation did not change with
317 the increase of added quencher (Wang et al., 2021). For example, along with the
318 decrease of molar ratios of eugenol to NaN₃ from 1.5:1 to 0.075:1, the variation of
319 eugenol degradation was stabilized at the ratio of 0.15:1, indicating that ¹O₂ has been
320 completely quenched at this ratio, therefore a molar ratio of 0.15:1 for NaN₃ was
321 optimal, since excess scavenger may generate other products that interfere the existing
322 reactions. Finally, the optimal molar ratios of eugenol to quenchers of TBA, NaN₃, TMP
323 and SOD, were determined to be 1.5, 0.15, 0.075 and 2.5, respectively. Table 1 and Fig.
324 S1 compared the rate constants determined under the ratios above and they were in an
325 order of TMP<NaN₃<SOD<TBA, suggesting relative importance of generated ROS to
326 eugenol degradation was in the order of ³C* > ¹O₂ > O₂*- > OH. This result suggests that
327 ³C* plays a major role among all ROS in the photooxidation. Previously, Laurentiis et
328 al. (2013) reported that 4-carboxybenzophenone (70 μM) could act as ³C* and the
329 photosensitized degradation was more effective than oxidants such as OH, O₃;
330 Misovich et al. (2021) investigated the aqueous DMB-photosensitized reaction (5 μM,
331 same as in this study) also demonstrated that ³C* was the greatest contributor to phenol

332 or guaiacyl acetone degradation, followed by $^1\text{O}_2$, while both OH and $^1\text{O}_2$ contributions
333 were relatively minor.

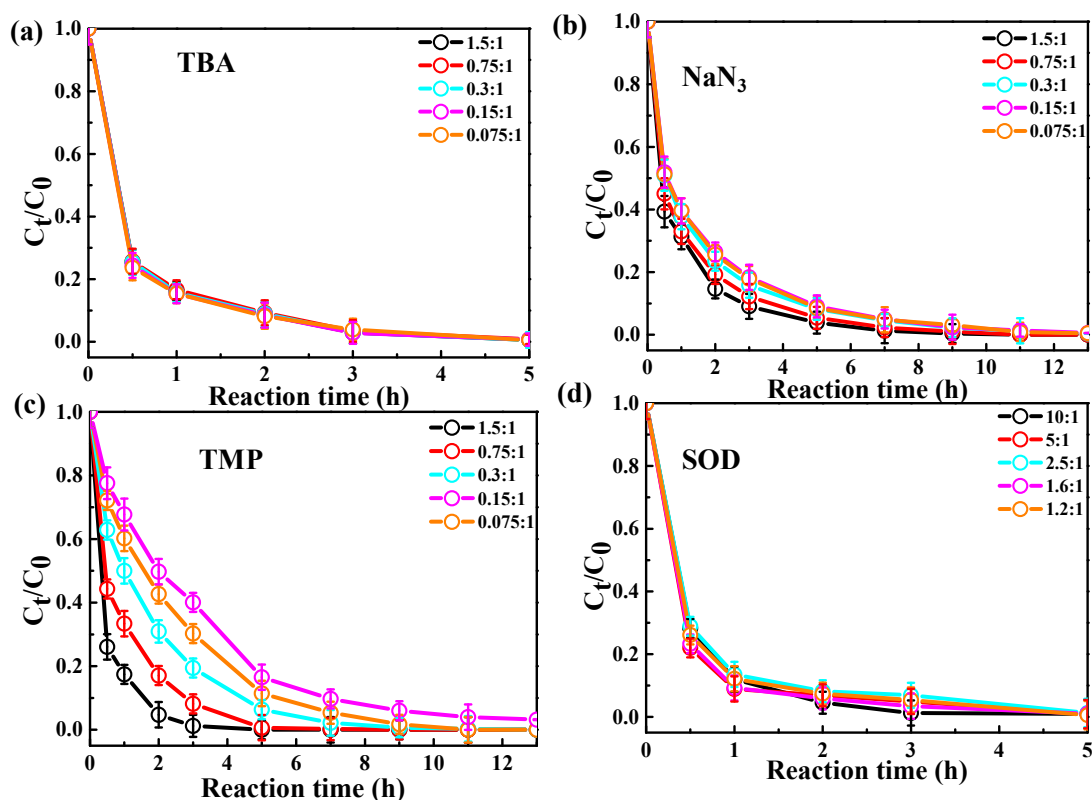
334 To further assess the relative importance of different ROS, we propose to use the
335 following Eq.(5) for a rough estimation:

$$336 \quad \text{Red}_{\text{ROS}} (\text{in } \%) = (k - k_{\text{quencher}}) / k * 100\% \quad (5)$$

337 Here k (in s^{-1}) is the original rate constant of $^3\text{C}^*$ -initiated oxidation (or OH-initiated
338 oxidation in Section 3.2.2) and k_{quencher} (in s^{-1}) is the rate constant after the target ROS
339 has been completely scavenged by its quencher. k and k_{quencher} in fact refer to those
340 reported in Fig. S1b. Red_{ROS} then refers to the percentages of reduction due to addition
341 of quencher for a ROS.

342 According to Eq.(5), $\text{Red}_{^3\text{C}^*}$ was calculated to be 85.7%. Similarly, the values of
343 $^1\text{O}_2$, $\text{O}_2^{\cdot-}$ and OH were 80.5%, 61.4% and 53.9%, respectively. Note Red_{ROS} only
344 reflects the relative important of ROS and it does not corresponds to the exact
345 contribution of that ROS in eugenol degradation without quenchers. The reason is that
346 although the addition of a ROS scavenger can eliminate oxidation by this ROS, but it
347 also significantly interrupts the original chain reactions as compared to those in the
348 absence of the scavenger, and reactions with other ROS might be enhanced. In this
349 regard, the sum of the four Red_{ROS} values may exceed 100%. Therefore, one should be
350 cautious to use Red_{ROS} as a precise quantification of the ROS contribution in aqueous
351 oxidation. Determination of ROS concentrations during oxidation should be instead be
352 an effective way to elucidate the role of ROS. Here, we tried to detect in-situ generated
353 OH, $\text{O}_2^{\cdot-}$ and $^1\text{O}_2$ during photochemical reactions using a micro electron spin resonance
354 (ESR) spectrometer (Bruker Magnettech, Berlin, Germany) with DMPO as the spin trap
355 to form stable DMPO-OH or DMPO- $\text{O}_2^{\cdot-}$, with TEMP to capture $^1\text{O}_2$ to produce TEMP-
356 $^1\text{O}_2$ spin-adduct (TEMPO). The radicals can be identified and quantified by the peak

357 patterns in ESR spectra, such as the quarter line with a height ratio of 1:2:2:1 for
 358 DMPO-OH, 1:1:1:1 for DMPO-O₂^{*} and 1:1:1 for TEMP-¹O₂ (Guo et al., 2021).
 359 Unfortunately, OH radical cannot be detected since its concentration might be lower
 360 than the detection limit of the instrument (Fig. S2, ESR spectra of OH). In contrast, we
 361 were able to detect high concentrations of ³C* and found the intensity of TEMP-¹O₂
 362 signal reached its maximum at 30 minutes, then decreased slowly (Fig. S2, ESR spectra
 363 of ¹O₂). Combining the great quenching effect of TMP with high ¹O₂ concentration from
 364 ESR method, we can conclude that ³C* and ¹O₂ play relatively important roles in
 365 eugenol photooxidation.



366

367 **Figure 2.** Ratio of unreacted eugenol concentration to its initial concentration (C_t/C_0) at different
 368 molar ratios of eugenol to quencher, as a function of reaction time: (a) TBA, (b) NaN₃, (c) TMP and
 369 (d) SOD.

370

371 3.2.2 Quenching experiments in OH-initiated photooxidation

372 To examine the contributions of ROS to eugenol degradation for OH-initiated
373 oxidation, TBA and *p*-BQ as trapping agents were added. Similar to $^3\text{C}^*$ -initiated
374 oxidation, several gradient experiments with varying molar ratios of eugenol to
375 quenchers were conducted. The ratios were set as 6.5:1, 3.2:1, 1.6:1, 1.1:1 and 0.8:1 for
376 *p*-BQ and 3.0:1, 1.5:1, 0.75:1, 0.3:1 and 0.15:1 for TBA. According to Fig. S3, molar
377 ratio only had a slight influence on eugenol degradation, although degradation can be
378 inhibited effectively by quenchers. Thus, we determined the appropriate molar ratios of
379 0.8 and 0.75 for *p*-BQ and TBA, respectively, as excess scavengers might influence the
380 chemical reactions.

381 Variations of the rate constants for the aforementioned quenching experiments were
382 determined, in comparison with those conducted without quenchers, and results are
383 listed in Table 1 and presented in Fig. S4. For TBA quenching tests, the rate constant
384 decreased by 18.7% (from $2.73 \times 10^{-4} \text{ s}^{-1}$ to $2.22 \times 10^{-4} \text{ s}^{-1}$), showing that OH radical
385 played a certain role in eugenol photooxidation. Since H_2O_2 was mainly photolyzed at
386 wavelength $<300 \text{ nm}$ to generate OH radical, irradiation above 300 nm did not affect
387 the reaction significantly. The *p*-BQ could quench $\text{O}_2^{\cdot-}$, further suppressing the
388 generation of other ROS (e.g., $\bullet\text{HO}_2$), therefore the rate constant decreased the most
389 (from $2.73 \times 10^{-4} \text{ s}^{-1}$ to $1.20 \times 10^{-4} \text{ s}^{-1}$), suggesting $\text{O}_2^{\cdot-}$ was important for eugenol
390 photooxidation. This hypothesis could be further confirmed by the decline of rate
391 constant under N_2 -saturated solution (Section 3.2.3). However, it was difficult to detect
392 both OH and $\text{O}_2^{\cdot-}$ directly due to their relatively short lifetimes and low concentrations
393 via ESR in this work.

394

395 **Table 1.** The first-order rate constants of eugenol in the presence of various scavengers. The initial
 396 conditions were as follows: 300 μM eugenol; molar ratios of eugenol to quenchers TBA, NaN_3 ,
 397 TMP and SOD, of 1.5, 0.15, 0.075 and 2.5, respectively; molar ratios of eugenol to quenchers *p*-BQ
 398 and TBA of 0.8 and 0.75, respectively.

$^3\text{C}^*$ -initiated quenching experiments			
Quenchers	ROS	Reaction rate constant k (s^{-1})	Pearson's R^2
no quencher	-	5.75×10^{-4}	0.996
TBA	OH	2.65×10^{-4}	0.999
SOD	$\text{O}_2^{\cdot-}$	2.22×10^{-4}	0.995
NaN_3	$^1\text{O}_2$	1.12×10^{-4}	0.999
TMP	$^3\text{C}^*$	0.82×10^{-4}	0.999
OH-initiated quenching experiments			
Quenchers	ROS	Reaction rate constant k (s^{-1})	R^2
No quencher	-	2.73×10^{-4}	0.995
TBA	OH	2.22×10^{-4}	0.998
<i>p</i> -BQ	$\text{O}_2^{\cdot-}$	1.20×10^{-4}	0.995

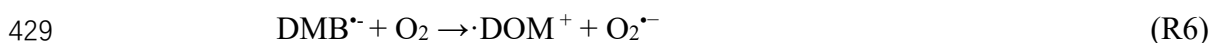
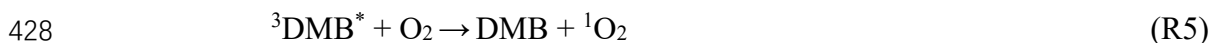
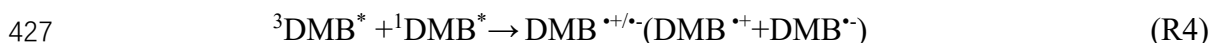
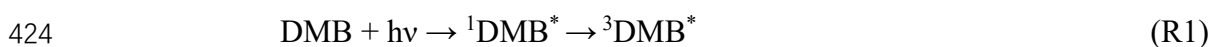
399

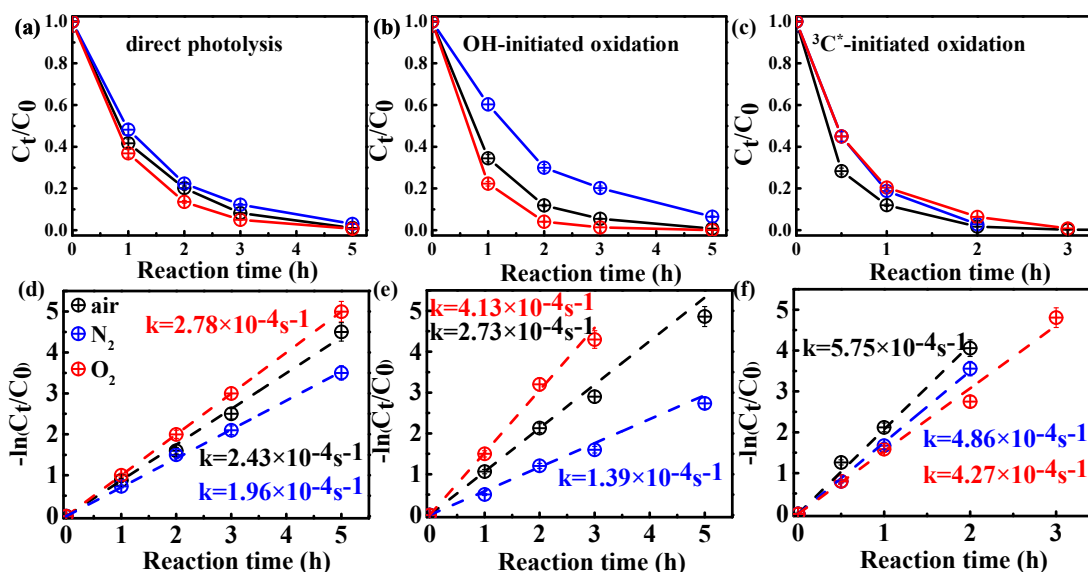
400 3.2.3 Influences of different saturated gases

401 In order to assess the role of O_2 in eugenol degradation, a series of experiments
 402 were performed under both O_2 -saturated and N_2 -saturated conditions in addition to air.
 403 N_2 gas was purged into reaction solution for ~ 30 minutes before experiment to achieve
 404 the O_2 -free condition. Figure 3 compared the changes of eugenol concentrations and
 405 rate constants under the three gas conditions for direct photolysis, OH-initiated and $^3\text{C}^*$ -
 406 initiated oxidations, respectively. The rate constants followed the order of $k_{\text{O}_2} > k_{\text{Air}} >$
 407 k_{N_2} under both direct photolysis and OH oxidation, providing evidence in support of O_2
 408 being significant for eugenol degradation. This might be explained by the fact that O_2
 409 can act as an electron acceptor to generate $\text{O}_2^{\cdot-}$ and $\cdot\text{HO}_2$, and subsequently form H_2O_2
 410 and OH. For direct photolysis, rate constant under O_2 -saturated condition increased
 411 14.4% while it decreased 19.3% under N_2 saturation from that under saturated air. For

412 OH-initiated oxidation, the difference of rate constants under three saturated gases
 413 became more distinct.

414 On the contrary, rate constants followed the order of $k_{\text{Air}} > k_{\text{N}_2} > k_{\text{O}_2}$ in $^3\text{C}^*$ -
 415 initiated oxidation. There are two possible explanations. On one hand, under N_2 -
 416 saturated condition without oxygen, DMB would involve in reactions (R1-R4), leading
 417 to a more effective generation of $^3\text{DMB}^*$ therefore a higher degradation efficiency than
 418 under O_2 -saturated condition. On the other hand, for air/ O_2 -saturated solutions,
 419 irradiation of DMB and eugenol would involve also reactions (R5-R8) in addition to
 420 (R1-R4), and as a result, the amount of $^3\text{DMB}^*$ decreased, due to formation of other
 421 ROS ($^1\text{O}_2$, $\text{O}_2^{\bullet-}$, OH, etc) with relatively weak oxidative capacities. In summary,
 422 quenching of $^3\text{DMB}^*$ by ground state molecular oxygen could account for the low
 423 degradation efficiency in O_2 -saturated condition.





432

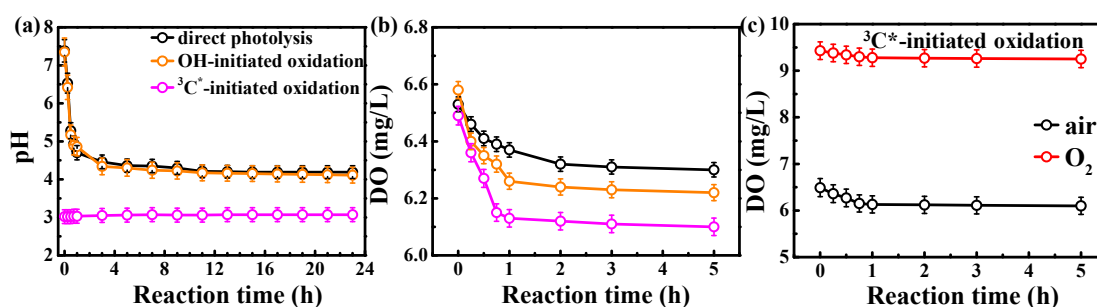
433 **Figure 3.** Ratio of unreacted eugenol concentration to its initial concentration (C_t/C_0) as a function
 434 of reaction time at different saturated gases under (a) direct photolysis (b) OH-initiated oxidation
 435 and (c) $^3C^*$ -initiated oxidation. Rate constants of (a-c) are presented in (d-f) correspondingly.

436 3.2.4 Variations of pH and dissolved oxygen

437 The initial pH values of reaction solutions for direct photolysis and OH-initiated
 438 oxidation were unadjusted, while that for the $^3C^*$ -oxidation was adjusted to 3. The
 439 variation of solution pH is presented in Fig. 4a. The pH values decreased quickly at the
 440 beginning of illumination (from 7.4 to ~ 5.0 in the first 1 hour) then tended to be flat for
 441 both direct photolysis and OH-initiated oxidation. However, little change of pH (less
 442 than 0.1 unit) was observed for the $^3C^*$ -initiated photooxidation throughout the
 443 oxidation, which can be likely ascribed to its low initial pH of 3. Since the solution pH
 444 was acidic (pH=3), we cannot rule out formation of acidic products (such as organic
 445 acids) during $^3C^*$ -initiated oxidation as during direct photolysis and OH-initiated
 446 oxidation.

447 As discussed in Section 3.2.3, oxygen can take part in photochemical reaction to
 448 form ROS, which may in turn destroy the structure of precursor. Here we measured the

449 oxygen consumption during oxidation through determination of dissolved oxygen (DO)
 450 contents by a dissolved oxygen meter (Seven2Go Pro S9, Zurich, Switzerland). DO was
 451 consumed mainly at the first 1 hour and remained stable afterwards (Figs. 4b-c and Fig.
 452 S5). The amounts of consumed DO followed the order of $^3\text{C}^* > \text{OH} > \text{direct photolysis}$.
 453 The maximum consumed DO was found in $^3\text{C}^*$ -initiated oxidation, which might be
 454 explained by the consumption of O_2 that reacts with $^3\text{C}^*$ form $^1\text{O}_2$ (R5). Obviously, a
 455 steady-state DO level was reached when the consumption rate was equal to the diffusion
 456 of O_2 into the solution (Pan et al., 2020). Overall, these results re-emphasize that O_2
 457 can influence eugenol degradation and chemical transformation via induction of radical
 458 chain reactions.



459
 460 **Figure 4.** (a) pH values and (b) dissolved oxygen (DO) contents against reaction time under direct
 461 photolysis, OH-initiated oxidation, $^3\text{C}^*$ -initiated oxidation, and (c) DO contents during $^3\text{C}^*$ -initiated
 462 oxidation under air or O_2 -saturated conditions.

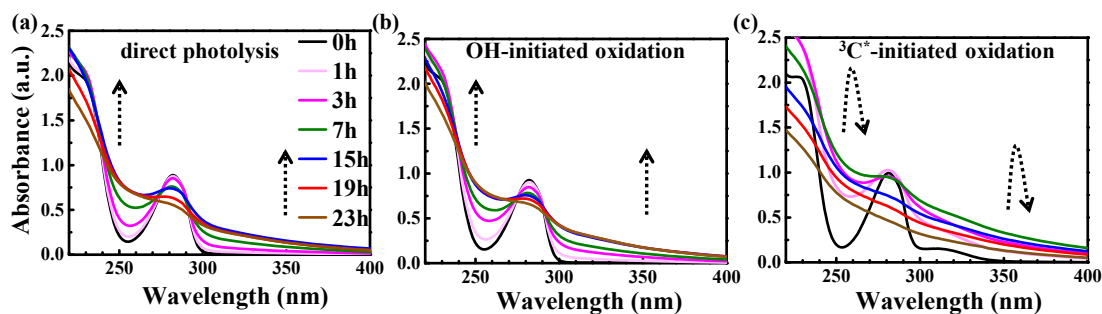
464 3.3 Optical properties of reaction products

465 3.3.1 Light-absorbing properties

466 The UV-vis light absorption spectra of the solutions at different reaction times are
 467 presented in Fig. 5. The light absorption by eugenol itself mainly occurs in the range of
 468 260-300 nm ($n \rightarrow \pi^*$ electronic transition, 270-350 nm), which overlaps with the major
 469 photon fluxes (280 and 500 nm) of our lamp for photooxidation. Therefore, we can
 470 clearly observe that the characteristic absorption peak at 280 nm of precursor decreased

471 with the propagation of direct photolysis (Fig. 5a), similar to that in OH-initiated
472 photooxidation (Fig. 5b). However, the reaction was quick in the presence of $^3\text{C}^*$, and
473 the characteristic absorption peak at 280 nm after 3 hours of illumination almost
474 disappeared, suggesting nearly a complete loss of eugenol, consistent with the results
475 in Section 3.1 that more than 99% eugenol was degraded in 3 hours. Additionally, there
476 was an obvious absorption enhancement at longer wavelengths (300-400 nm) during
477 the photooxidation, whereas eugenol itself did not absorb light in this range, indicating
478 some light-absorbing products (e.g., brown carbon (BrC) species) were generated.
479 Aqueous photooxidation of some phenolic compounds (e.g., vanillic acid) also
480 presented long-wavelength (300-400nm) light absorbance, with intensity increasing
481 with illumination time (Tang et al, 2020; Zhao et al., 2015). In addition, there were
482 some differences for light absorbance at wavelength of 300-400 nm in the three reaction
483 conditions. For direct photolysis and OH-initiated oxidation, light absorbance increased
484 during the first 15 hours, then remained at a plateau until 23 hours. However, for $^3\text{C}^*$ -
485 initiated oxidation, light absorbance increased during the first 7 hours, then decreased
486 slowly afterwards. The different shapes of UV-vis spectra between OH and $^3\text{C}^*$
487 photooxidations indicate formations of different products.

488 Compared to the light spectrum of eugenol, there were also increases of light
489 absorbance at ~ 260 nm ($\pi \rightarrow \pi^*$ electronic transitions) upon aqueous oxidation in all
490 three reaction conditions (Fig. 5), demonstrating the generation of new substances
491 likely with both aromatic C=C and carbonyl (C=O) functional groups (Tang et al., 2020).
492 The enhancement at 300-400 nm may point to products with high MWs and conjugated
493 structures. Unfortunately, we were unable to quantify the relative contributions of
494 individual products to the overall light absorbance between 300 to 400 nm due to lack
495 of a full speciation of the products and their light absorption spectra.



496

497 **Figure 5.** UV-vis light absorption spectra of reacted solutions at different reaction times under (a)
 498 direct photolysis, (b) OH-initiated oxidation, and (c) $^3\text{C}^*$ -initiated oxidation.

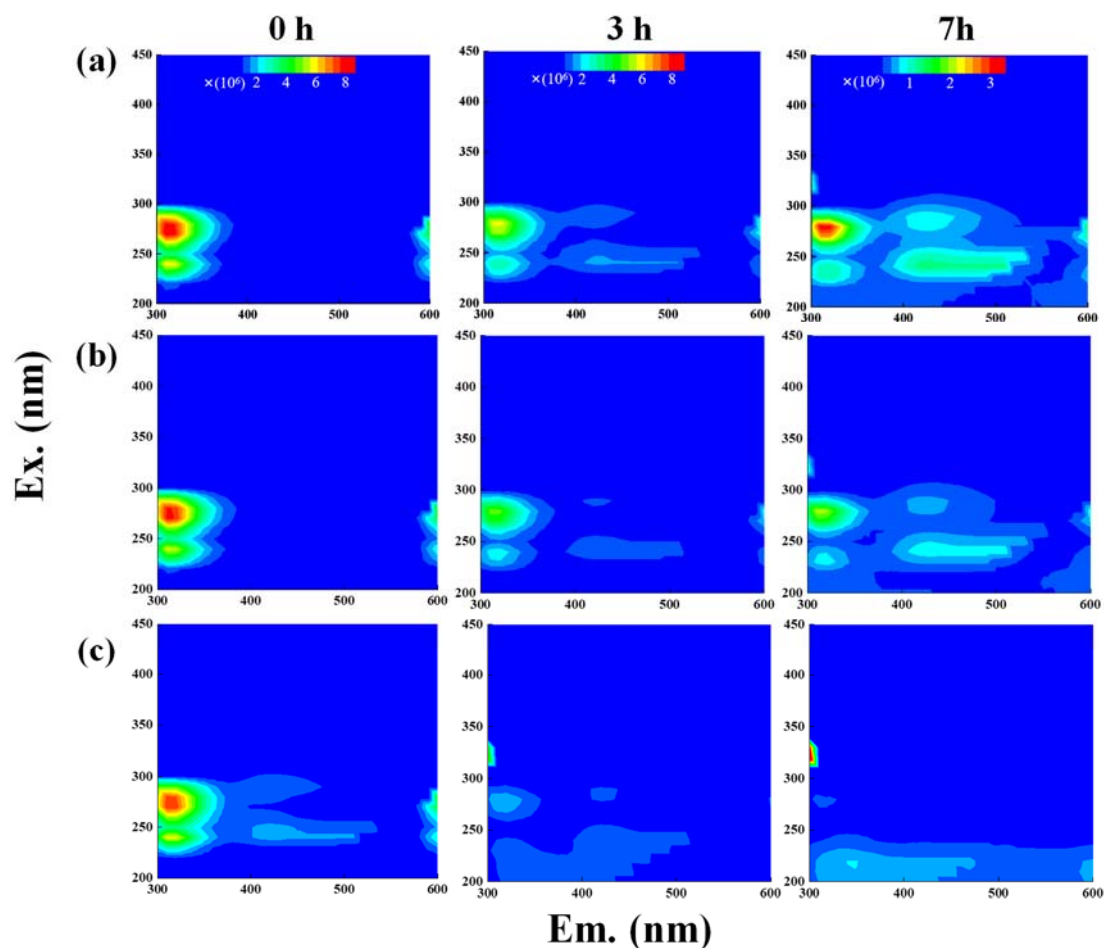
499 3.3.2 Fluorescence properties

500 The fluorescence properties of solutions before (0 hour) and during photooxidation
 501 (3 and 7 hours) were investigated via the EEM technique, as shown in Fig. 6. For
 502 comparison, we also presented EEM profiles of pure eugenol (non-irradiated), pure
 503 DMB, and the end solutions (23 hours) of direct photolysis and OH-initiated oxidation
 504 in Fig. S6. The peaks at Excitation/Emission (Ex/Em)=275/313 nm can be attributed to
 505 fluorescence of the phenolic structure of parent substance (eugenol here), as suggested
 506 by Laurentiis et al. (2013). As shown in both Fig. 6 and Fig. S6, the fluorescence
 507 intensity decreased after oxidation due to eugenol decay, and the reduction was very
 508 fast for $^3\text{C}^*$ -initiated oxidation. This finding matches with the fast degradation and large
 509 rate constant for $^3\text{C}^*$ -initiated oxidation. The EEM plots for direct photolysis and OH-
 510 initiated oxidation had similar contour patterns as shown in Figs. 6a and b, although
 511 EEM profiles changed significantly with irradiation time. We also observed distinct
 512 fluorescent peaks at Ex/Em=235/(400-500) nm, indicating that illumination can cause
 513 a red shift in fluorescence emission wavelength. As suggested by Chang et al. (2010),
 514 fluorophores at Ex/Em=240/400 nm are linked with aromatic structures and condensed
 515 saturated bonds including polycyclic aromatic hydrocarbons. Another work (Li et al.,

516 2021) showed that red shift in the fluorescence spectra was usually related to an
517 increase in the size of ring system and an increase in the degree of conjugation. Previous
518 studies (Chen et al., 2016a; Chen et al., 2019) have reported that fluorescent compounds
519 with emission wavelength at 400-500 nm were likely linked with HULIS. Additionally,
520 HULIS have two typical fluorescent peaks in EEM profile at $Ex/Em=(200-300)/(400-$
521 $500)$ nm and $Ex/Em=350/(400-500)$ nm with the former one having a higher intensity
522 (Graber and Rudich, 2006; Laurentiis et al., 2013; Vione et al., 2019). There was also
523 evidence that direct photolysis of tyrosine and 4-phenoxyphenol generated HULIS with
524 new fluorescence signals at $Ex/Em=(200-250)/(400-450)$ nm and $300/(400-450)$ nm
525 (Bianco et al., 2014). In this regard, we inferred that new peak at $Ex/Em=235/(400-500)$
526 nm here was likely attributed to HULIS. For the $^3C^*$ -initiated oxidation, extra
527 fluorescent peaks at $Ex/Em=(220-300)/(400-500)$ nm appeared in the first 1 hour (data
528 not shown), but their intensities weakened and gradually disappeared upon prolonged
529 reactions (3 hours). Nevertheless, EEM results should be interpreted with caution
530 because many substances might contribute to absorption and emission at a certain
531 wavelength, and it is hard to distinguish and isolate fluorescent and nonfluorescent
532 constituents simply via the EEM technique.

533 Another interesting finding was that a small fluorescence peak appeared at
534 $Ex/Em=(300-350)/(300-350)$ nm in some of the EEM profiles. Specifically, it appeared
535 earlier for $^3C^*$ -oxidation (at 3 hours) than the other two systems, yet its intensity seemed
536 to be a bit stronger in the end solutions of direct photolysis and OH-oxidation (Fig. S6).
537 Moreover, as suggested by Leenheer and Croue (2003), fluorescence peak position of
538 the maximum Ex/Em for HULIS with lower MWs would shift towards lower
539 wavelengths, thus, we inferred fluorescence peak at $Ex/Em=(300-350)/(300-350)$ nm
540 might be in part attributed to the organic acids with a few carbon atoms (probably C_1-

541 C₆). Nevertheless, large uncertainties still exist in using EEM fluorescence technique
542 to identify molecular compositions of the products due to lack of standard EEM profiles
543 for specific compounds from aqueous phase oxidation and clearly more studies are
544 needed in future.



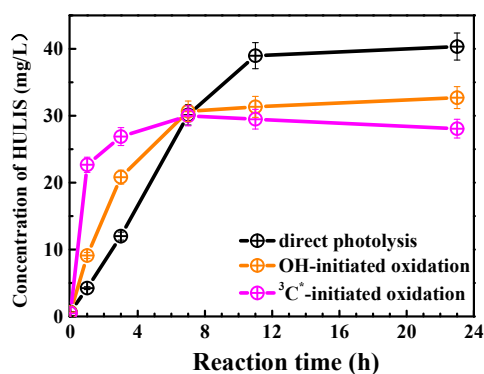
545
546 **Figure 6.** EEM fluorescence spectra of the initial solution (0 hour) and those at different reaction
547 time (3 and 7 hours) under (a) direct photolysis, (b) OH-initiated oxidation, and (c) ³C*-initiated
548 oxidation.

549 3.4 Characteristics of HULIS

550 The EEM spectra revealed new prominent fluorescent peak at Ex/Em=250/(400-
551 500) nm, which was likely owing to HULIS. HULIS can be divided into fulvic acid
552 (water soluble at all pHs), humic acid (base soluble, acid insoluble) and humin

553 (insoluble at all pHs). In principle, extracted HULIS in this work with polymer-based
554 HLB SPE packing include LMW organic acids, fulvic acids and other humic substances.

555 Figure 7 presents the measured HULIS concentrations against the reaction time.
556 The results show clearly that aqueous-phase eugenol oxidation is a source of HULIS,
557 and the amount increased gradually in the first 7 hours, then remained at a similar level
558 (about 30 mg/L) for the OH-initiated oxidation. For direct photolysis, HULIS
559 concentration increased until 11 hours and then became steady at a level around 40
560 mg/L. For the $^3\text{C}^*$ -oxidation, HULIS concentration increased to a maximum at 7 hours,
561 then declined slightly afterwards. A plausible reason of such variabilities is that
562 generated HULIS was capable of further taking part in photochemical reactions since
563 it can act as photosensitizer. Moreover, Yu et al. (2016) characterized the products from
564 aqueous oxidations of phenols by $^3\text{C}^*$ triplet states and OH radicals, and found both
565 could produce oligomers and hydroxylated species but the $^3\text{C}^*$ -oxidation could produce
566 more of these compounds when 50% of the precursor was reacted. Considering the
567 large increases of HULIS in the first 7 hours and the much faster increase of $^3\text{C}^*$ -
568 oxidation in the first 3 hours shown in Fig. 7, we postulate that HULIS species might
569 be some of the high MW oligomers, which can in turn contribute to fluorescence at
570 emission of ~ 400 nm (Barsotti et al., 2016).



571

572 **Figure 7.** HULIS concentrations as a function of reaction time under direct photolysis, OH-

573 initiated oxidation and $^3\text{C}^*$ -initiated oxidation.

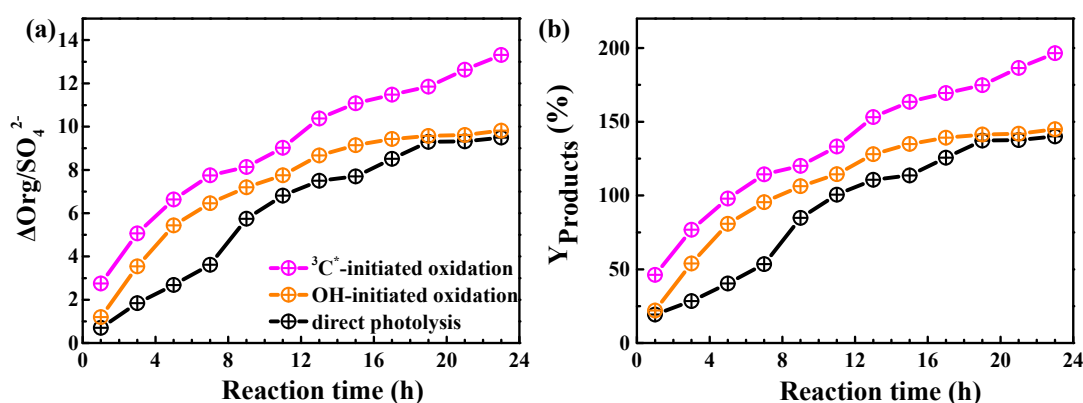
574 **3.5 Mass yield and oxidation degree of reaction products**

575 **3.5.1 Mass yields**

576 HULIS is only a subset of the products from aqueous oxidation, and here we used
577 AMS to further quantify the total reaction products. Figure 8a shows SP-AMS
578 measured organic mass profiles (normalized by sulfate mass, $\Delta\text{Org}/\text{SO}_4^{2-}$) against the
579 reaction time. As the reaction propagated, $\Delta\text{Org}/\text{SO}_4^{2-}$ increased continuously in $^3\text{C}^*$ -
580 initiated system. Nevertheless it arose stepwise and reached a maximum at 19 hours,
581 then remained at a plateau for the direct photolysis and OH-mediated oxidation. Figure
582 8b illustrates the calculated mass yields at different reaction times. The mass yields
583 were in the ranges of 46.2%-196.5%, 22.1%-144.9%, 19.3%-140.1% for $^3\text{C}^*$ -oxidation,
584 OH-oxidation and direct photolysis, respectively. For the same oxidation time, mass
585 yield from $^3\text{C}^*$ -oxidation was generally higher than those from OH-oxidation and direct
586 photolysis. There are two plausible reasons for high mass yield of $^3\text{C}^*$ -initiated
587 oxidation. First, oxidation by $^3\text{C}^*$ was more efficient to form oligomers and
588 functionalized/oxygenated products (Richards-Henderson et al., 2014; Yu et al., 2016).
589 Higher oxidative degree of products from $^3\text{C}^*$ -initiated photooxidation (see Sec.3.5.2)
590 supports this hypothesis. Secondly, more light-absorbing products formed during initial
591 stage of $^3\text{C}^*$ -oxidation (Fig. 5c) may accelerate oxidation by acting as photosensitizers
592 (Tsui et al., 2018).

593 The product mass yields obtained in this work (~20%-197%) overall agree with
594 those reported previously for phenolic compounds. For examples, Huang et al. (2018)
595 reported mass yields of 30-120% for syringaldehyde and acetosyringone; Smith et al.
596 (2014) found that mass yields of aqSOA from three phenols with $^3\text{C}^*$ were nearly 100%,
597 and Ma et al. (2021) reported a yield ranging from 59 to 99% for six highly substituted

598 phenols with $^3\text{C}^*$; Mass yields of SOA from three benzene-diols were near 100% with
 599 both OH and $^3\text{C}^*$ oxidants (Smith et al., 2015); Direct photolysis of phenolic carbonyls,
 600 and oxidation of syringol by $^3\text{C}^*$, had SOA mass yields ranging from 80 to 140% (Smith
 601 et al., 2016). Our previous study on eugenol OH oxidation illuminated by a 500 W Xe
 602 lamp reported a mass yield of $\sim 180\%$ (Ye et al., 2020), slightly higher than the value
 603 determined here owing to different light wavelengths/intensities.



604
 605 **Figure 8.** Variations of the organic mass normalized by sulfate (a) ($\Delta\text{Org}/\text{SO}_4^{2-}$) and (b) mass yields
 606 of reaction products with reaction time under direct photolysis, OH-initiated oxidation and $^3\text{C}^*$ -
 607 initiated oxidation.

608

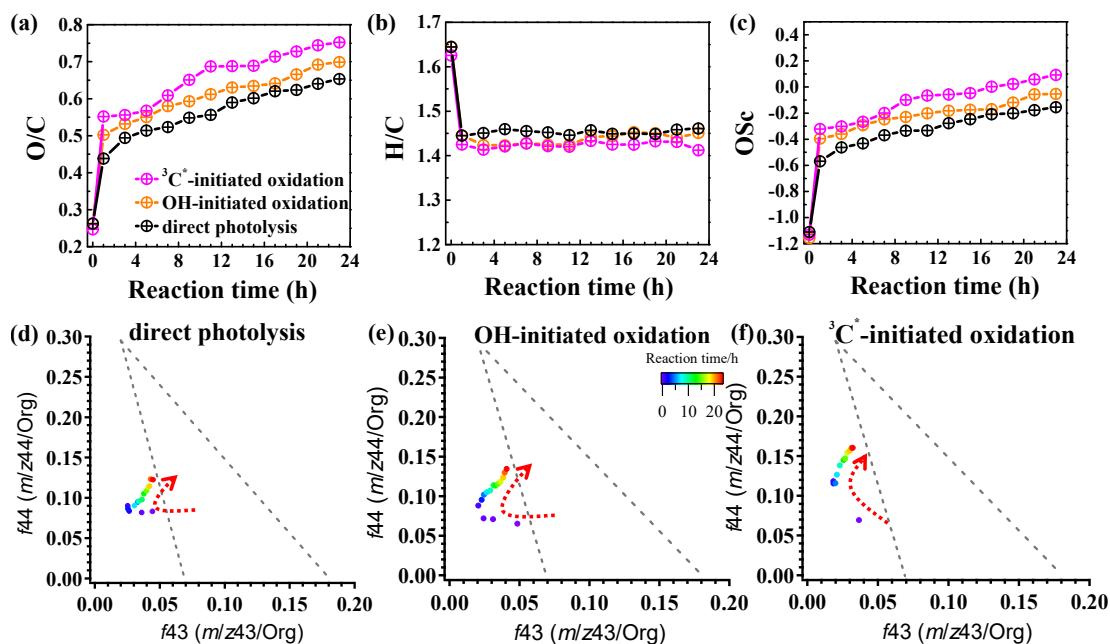
609 3.5.2 Oxidation degree

610 In order to further probe oxidation levels of the reaction products, O/C derived
 611 from SP-AMS mass spectrum of the organics was used to represent the oxidation degree
 612 of products. In addition, carbon oxidation state (OSc, defined as $2^*\text{O}/\text{C} - \text{H}/\text{C}$) (Kroll
 613 et al., 2011) was also calculated. Figures 9a-c depict variations of the elemental ratios
 614 (O/C and H/C) and OSc during oxidations. Rapid increases of O/C and OSc during the
 615 initial stage of oxidation (within 1 hour) were observed, with O/C changing from 0.26
 616 to 0.65, from 0.26 to 0.70, from 0.25 to 0.75, as well as OSc from -1.11 to -0.15, from
 617 -1.16 to -0.05, from -1.13 to 0.09 for direct photolysis, OH-oxidation and $^3\text{C}^*$ -oxidation,

618 respectively. The O/C was lower than those of other phenolic aqSOA (Yu et al., 2014)
619 due to different substituted groups in aromatic ring of the precursors. Both O/C and
620 OSc gradually increased, while H/C changed little after 1 hour. The enhancements of
621 OSc in the end were 1.22, 1.11 and 0.86 for $^3\text{C}^*$ -initiated oxidation, OH-initiated
622 oxidation and direct photolysis, respectively.

623 Furthermore, the f_{44} vs. f_{43} diagram (“triangle plot”) can be used to demonstrate
624 the evolution of SOA during oxidation (Ng et al., 2010). The f_{44} and f_{43} are defined as
625 the ratios of signal intensities of m/z 44 (mainly CO_2^+) and 43 (mainly $\text{C}_2\text{H}_3\text{O}^+$) to the
626 total organics. The results that the f_{44} increased continuously (moved upwards) during
627 both OH and $^3\text{C}^*$ oxidations, indicating persistent formation of highly oxygenated
628 compounds including organic acids, such as formic acid and oxalic acid (Sun et al.,
629 2010). Note the f_{44} enhancement was much more significant for $^3\text{C}^*$ oxidation (from
630 0.07 to 0.16) than direct photolysis (from 0.07 to 0.12) and OH oxidation (from 0.07 to
631 0.13), consistent with its higher O/C and OSc. The f_{43} value decreased in the first stage
632 (1-3 hours) and then increased at later stages. The final f_{43} values were almost the same
633 as those of the initial solutions and were small. As a result, all data points located
634 outside the f_{44} vs. f_{43} region (bounded by the two dash lines in Figs. 9d-f) for ambient
635 aerosols established by Ng et al. (2010).

636 In summary, our results shown here demonstrate that aqueous phase eugenol
637 photochemical oxidation can generate highly oxygenated products and hence increase
638 the degree of oxygenation of overall SOA.



639

640 **Figure 9.** Variations of the elemental ratios of (a) O/C, (b) H/C and (c) oxidation state (OSc) as a
 641 function of reaction time; f_{44} vs. f_{43} plots of reaction products under (d) direct photolysis, (e) OH-
 642 initiated oxidation, and (f) $^3\text{C}^*$ -initiated oxidation.

643 3.6 Molecular characterization of reaction products and proposed reaction 644 mechanism

645 3.6.1 Major products identified by GC-MS

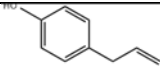
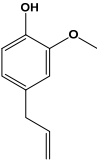
646 SP-AMS was limited to probe bulk composition of low-volatility oxidation
 647 products, thus the molecular-level characterization of products was performed by using
 648 GC-MS here. The total ion chromatograph (TIC) of GC-MS on the solutions before
 649 illumination (0 hour) and at illumination times of 11 and 23 hours for the $^3\text{C}^*$ -initiated
 650 photooxidation is shown in Fig. S7. As shown in Fig.S7, eugenol (retention time (RT)
 651 at 11.50 min) loss was more than 90% at 11 hours, which could be confirmed by the
 652 experimental data reported in Section 3.1. Comparison of products at 11 hours and 23
 653 hours showed no significant difference. Similar to aqueous photochemical oxidation
 654 with OH (Ye et al., 2020), a series of products were identified and listed in Table 2.

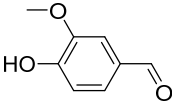
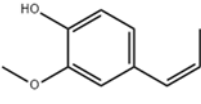
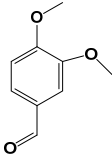
655 Except 5-allyl-3-methoxybenzene-1,2-diol (MW 180, RT=12.59 min), the other eight
 656 products were detected for both OH and $^3\text{C}^*$ -initiated photooxidations. Some of them
 657 (Eugenol, DMB, product 1, 2, 5) were identified by using certified reference materials,
 658 some of them (product 3, 4, 6, 7, 8, 9) were inferred according to the molecular ion
 659 peaks and fragments from GC-MS, based on spectra from the NIST database (Stein,
 660 2014) and on the reactants and reaction conditions.

661 We also found 4-(1-hydroxypropyl)-2-methoxyphenol (product 8) was relatively
 662 abundant (Fig.S7), suggesting functionalization might dominate as compared to
 663 oligomerization and fragmentation. Products were mainly from addition/elimination of
 664 hydroxyl (-OH), methoxyl (-OCH₃) to benzene ring or allyl group and further oxidized
 665 to carbonyl or carboxyl compounds. As suggested by Bonin et al. (2007), the OH-
 666 addition to the aromatic ring of phenol preferentially takes place at the ortho (48%) and
 667 the para (36%) positions, leading to the formation of OH-adduct product 6 (5-allyl-3-
 668 methoxybenzene-1,2-diol). Notably, dimers and ring-opening products were not
 669 observed, but they cannot be excluded since they would be probably out of the detection
 670 of GC-MS technique (Vione et al., 2014).

671

672 **Table 2.** Major reaction products identified via GC-MS

	RT	Name*	Proposed chemical	Chemical	Nominal
	(min)		structure	formula	MW
					(g/mol)
Product 1	10.68	4-allylphenol		C ₉ H ₁₀ O	134
Precursor	11.50	Eugenol		C ₁₀ H ₁₂ O ₂	164

Product 2	11.81	4-hydroxy-3-methoxybenzaldehyde		$C_8H_8O_3$	152
Product 3	12.06	(E)-2-methoxy-4-(prop-1-en-1-yl)phenol		$C_{10}H_{12}O_2$	164
Product 4	12.11	4-(hydroxymethyl)-2-methoxyphenol		$C_8H_{10}O_3$	154
Product 5	12.18	2-methoxy-4-propylphenol		$C_{10}H_{14}O_2$	166
Photosensitizer	12.29	3,4-dimethoxybenzaldehyde (DMB)		$C_9H_{10}O_3$	166
Product 6**	12.59	5-allyl-3-methoxybenzene-1,2-diol		$C_{10}H_{12}O_3$	180
Product 7	12.65	4-(1-hydroxyallyl)-2-methoxyphenol		$C_{10}H_{12}O_3$	180
Product 8	12.79	4-(1-hydroxypropyl)-2-methoxyphenol		$C_{10}H_{14}O_3$	182
Product 9	12.91	(E)-4-(3-hydroxyprop-1-en-1-yl)-2-methoxyphenol		$C_{10}H_{12}O_3$	180

673 *Precursor (eugenol) and triplet precursor (DMB) are also shown.

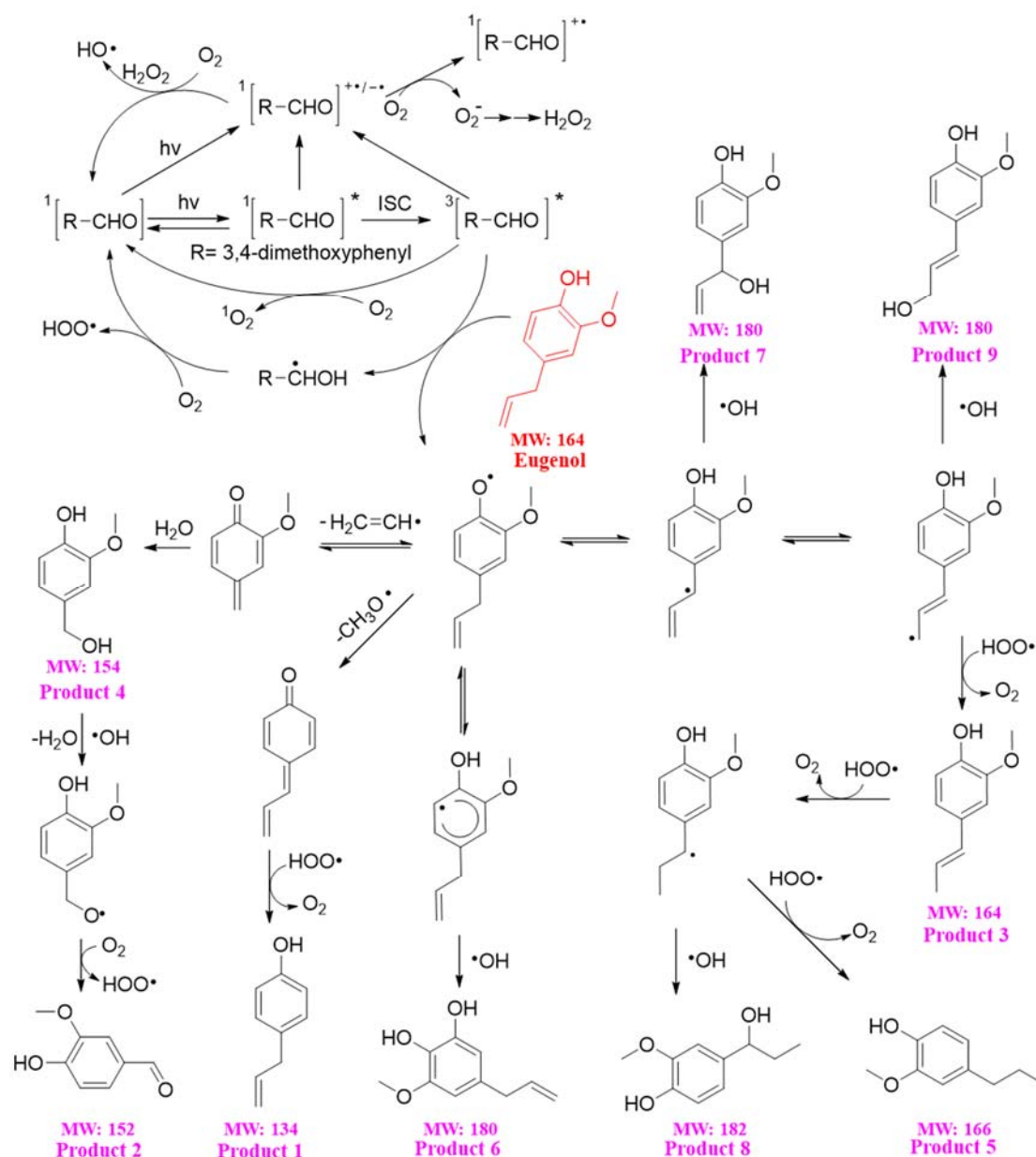
674 **This compound was only identified in $^3\text{C}^*$ -oxidation solution.

675 **3.6.2 Reaction mechanism**

676 The reaction pathways of $^3\text{C}^*$ -initiated photooxidation of eugenol are
677 demonstrated in Scheme 1 based on the products identified by GC-MS. The
678 other intermediates and the potential pathways were proposed according to the
679 identified products and the reaction rationality from the starting reactant. To better
680 depict the mechanism, DMB was expressed as [RCHO] and eugenol as Ph-R for
681 simplicity. [RCHO] absorbs light and undergoes excitation to $^1[\text{RCHO}]^*$, then
682 experiences the intersystem crossing (ISC) to form $^3[\text{RCHO}]^*$. $^3[\text{RCHO}]^*$ can
683 participate in subsequent reactions via three channels. First, it can react with O_2 to form
684 $^1\text{O}_2$ via energy transfer. Secondly, it can transform to $[\text{RCHO}]^{\bullet-}$, subsequently reacts
685 with O_2 to generate $\text{O}_2^{\bullet-}$ via electron transfer, which can disproportionate to H_2O_2 . The
686 decomposition of H_2O_2 can generate OH radical. Thirdly, the $^3[\text{RCHO}]^*$ can react with
687 Ph-R to form $[\text{Ph-R}\cdot]$ via H-abstraction. The cleavage of $[\text{Ph-R}\cdot]$ to free radical segment
688 (such as $\text{CH}_2\text{CH}\cdot$ or $\text{CH}_3\text{O}\cdot$) takes place, then an additional hydrogen transfer could
689 occur, resulting in a 2H-addition to the new intermediate to form 4-allyl-phenol
690 (product 1). Similarly, when the $\text{CH}_2\text{CH}\cdot$ is lost from $[\text{Ph-R}\cdot]$, an addition of H_2O
691 would happen on the new compound (product 4) and further oxidized to 4-hydroxy-3-
692 methoxybenzaldehyde (product 2). Another possibility is the intermediate $[\text{Ph-R}\cdot]$ can
693 resonate to several different isoelectronic species, the radical position changes to
694 aromatic ring or allyl group site, which would couple with OH to form hydroxylated
695 eugenol monomer (product 6, 7, 9 MW=180). Consequently, the isoelectronic species
696 at allyl group site could also abstract a hydrogen to form isoeugenol (product 3
697 MW=164). Also, breakage of $\text{C}=\text{C}$ into $\text{C}-\text{C}$ and 2H-addition at allyl group site could

698 form 2-methoxy-4-propyl-phenol (product 5, MW=166). Besides, the C=C breaking
699 intermediate can couple with OH to form 4-(1-hydroxypropyl)-2-methoxyphenol
700 (product 8, MW=182). In conclusion, $^3\text{C}^*$ can directly oxidize eugenol to form SOA
701 products or small molecular compounds, or indirectly oxidize eugenol via energy
702 transfer, electron transfer, hydrogen abstraction, proton-coupled electron transfer or
703 other radical chain reactions.

704 The organic groups, such as methoxy, allyl groups can be eliminated from
705 aromatic ring, which then participate in photochemical reaction, resulting in generation
706 of dimers, small organic acids, CO_2 and H_2O , etc. Dimers previously reported from
707 aqueous reaction of 4-methylsyringol with OH were not detected via GC-MS in the
708 present work but dimer fragment ions ($\text{C}_{20}\text{H}_{22}\text{O}_4^+$) were detected by SP-AMS with trace
709 amounts. Functionalization due to the additions of hydroxyl, carbonyl functional groups
710 to the aromatic rings could account for the enhancement of light absorption at
711 wavelength of 300-400 nm. However, polar high MW organic acids were not detected
712 likely due to the limitation of GC-MS technique.



713

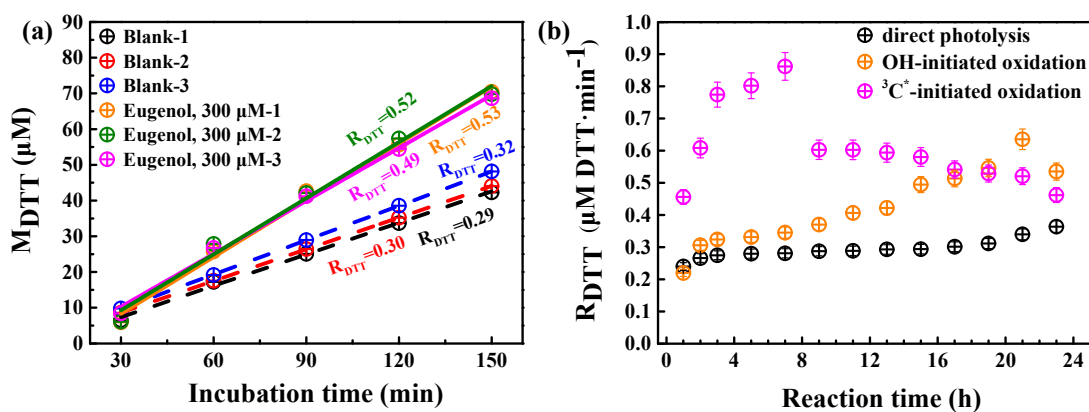
714 **Scheme 1.** Proposed reaction mechanism of $^3C^*$ -initiated photooxidation of eugenol. The red text
 715 represents the precursor, and the compounds labeled by Product 1-9 are those identified by GC-
 716 MS (Table 2).

717 3.7 Oxidative potential (OP) of reaction products

718 The OP of oxidation products can be represented by the consumption rate of DTT
 719 concentration, defined as R_{DTT} . Figure 10a shows the DTT consumed mass (M_{DTT}) as
 720 a function of incubation times (0, 30, 60, 90, 120 and 150 min) for a triplicate sample

721 (300 μM eugenol) and blank (ultrapure water). M_{DTT} values for both blank and eugenol
722 were proportional to incubation time, indicating that ROS-generating substances in
723 reaction solution act only as catalyst and itself was not consumed. The slopes represent
724 DTT consumption rates, which are also illustrated in Fig. 10a. Average R_{DTT0} (blank)
725 was 0.31 $\mu\text{M}/\text{min}$ and R_{DTT} for initial 300 μM eugenol (before experiment) was 0.52
726 $\mu\text{M}/\text{min}$. Since self-oxidation of DTT might lead to the consumption of DTT in
727 ultrapure water, final DTT consumption rate of reacted solution after oxidation was then
728 blank-corrected by subtracting the average R_{DTT0} .

729 Figure 10b shows changes of blank-corrected R_{DTT} with reaction time for direct
730 photolysis, OH-initiated oxidation and $^3\text{C}^*$ -initiated oxidation, respectively. The R_{DTT}
731 value of $^3\text{C}^*$ -oxidation products increased quickly and reached the maximum (0.9) at 7
732 hours, then decreased slowly and its end value was lower than that from OH-oxidation.
733 The R_{DTT} value of OH-oxidation products on the other hand increased slowly and
734 reached the maximum at 21 hours. The R_{DTT} value of products from direct photolysis
735 increased continuously but also slowly to ~ 0.36 till the end of oxidation. Nevertheless,
736 we can see that the final R_{DTT} values were all higher than that of eugenol, proving that
737 aqueous-phase processing can generate products with higher OP, resulting in more
738 health hazards than the precursor does. The DTT consumption rates are comparable to
739 those using the same DTT method (Charrier and Anastasio, 2012; Lin and Yu, 2019).
740 The weak correlation was found between HULIS concentration and R_{DTT} , implying that
741 OP was not only dependent upon HULIS. Moreover, HULIS with diverse molecular
742 structures also exhibit different ROS-generation potentials (Kramer et al., 2016),
743 therefore the HULIS as an ensemble may not correlate well with OP.



744

745 **Figure 10.** (a) DTT consumed mass versus incubation times for blank (ultrapure water) and 300 μM
 746 eugenol solutions in a triplicate, and (b) blank-corrected DTT consumption rates versus reaction
 747 time for direct photolysis, OH-initiated oxidation and ³C*-induced oxidation.

748 4. Atmospheric implications

749 The high mass yields of aqueous-phase photooxidation of eugenol (exceeding 100%
 750 after 23 hours of illumination) found here are similar or even higher than those
 751 previously reported yields of a number of phenolic compounds (e.g., Smith et al., 2014,
 752 2015, 2016; Ma et al., 2021), which re-emphasizes the importance of biomass burning
 753 (BB) to SOA budget (Gilardoni et al., 2016), particularly in regions or periods with
 754 significant BB activities. In addition, our study here used 300 μM H₂O₂ and 15 μM
 755 DMB as sources of OH and ³C*, and ³C*-mediated oxidation appeared to be faster than
 756 OH-initiated oxidation of eugenol. Of course, whether or not ³C* is more important
 757 than OH in real atmosphere depends upon their concentrations. OH and ³C* are difficult
 758 to measure and concentrations vary greatly in real atmospheric samples. Herrmann et
 759 al. (2010) estimated an average OH level of 0.35x10⁻¹⁴ M in urban fog water; Kaur and
 760 Anastasio (2018) measured ³C* concentration to be (0.70-15) x10⁻¹⁴ M, 10-100 times
 761 higher than the co-existing OH in ambient fog waters; Kaur et al. (2019) determined
 762 both OH and ³C* concentrations in PM extracts, OH steady-state concentration was

763 $4.4(\pm 2.3) \times 10^{-16}$ M, similar to its level in fog, cloud and rain, while $^3\text{C}^*$ concentration
764 was $1.0(\pm 0.4) \times 10^{-13}$ M, a few hundred times higher than OH and nearly double its
765 average value in fog. Therefore, together with these measurements, our findings signify
766 a likely more important role of $^3\text{C}^*$ than OH in aqueous-phase (especially aerosol water)
767 reactions. However, the liquid water content of aerosol is typically ~ 10000 times
768 smaller than that of cloud (for instance, $\sim 50 \mu\text{g m}^{-3}$ versus 0.5 g m^{-3}). Even if the
769 reaction rates in aerosol water were 10 times higher than those in cloud water, the
770 overall importance of aqueous reactions initiated by the same oxidant in aerosol phase
771 would be still ~ 1000 times smaller than it in cloud water. Moreover, quenching
772 experiments reveal that O_2 can inhibit eugenol degradation by effectively scavenging
773 $^3\text{C}^*$ while it can promote degradation by fostering chain reactions in OH-induced
774 oxidation, which offer insights to the control of reaction pathways by regulating ROS
775 generations; of course, such operation calls for application of highly sensitive EPR
776 method.

777 Eugenol has a strong light absorption peak around 280 nm, therefore it can undergo
778 direct photolysis, and addition of OH or other photosensitizers ($^3\text{C}^*$) can gradually
779 diminish its light absorption around 280 nm, but increase the absorption in visible light
780 range (>300 nm). In the meantime, HULIS was generated continuously, and GC-MS
781 identified a number of high MW organic products, in line with those detected in earlier
782 aqueous photooxidation of phenolic compounds (Jiang et al., 2021; Misovich et al.,
783 2021; Tang et al., 2020; Yu et al., 2014). Overall, our work demonstrates that aqueous
784 oxidation of BB emissions is a source of BrC, and this BrC may act as photosensitizer
785 to oxidize other species; a portion of this BrC might be HULIS, and some high MW
786 aromatic compounds are a subset of this HULIS. However, a recent study by Wang et
787 al. (2021) shows that fossil fuel derived OA (FFOA) can be an effective precursor of

788 aqSOA, but the aqSOA became less light-absorbing than the FFOA. These contrasting
789 results indicate that contribution of aqueous oxidation to BrC is largely dependent upon
790 the precursors; molecular structures of major chromophores, changes of the structures
791 upon oxidation as well as their interplay with light absorptivity should be carefully
792 investigated to achieve a full understanding of the impacts of aqueous processing on air
793 quality, radiative forcing and climate change.

794 Investigations on the OPs of reaction products from eugenol photooxidation show
795 that aqueous processing can produce more toxic products than the precursor. This result
796 is in agreement with our previous work on resorcinol, hydroquinone and
797 methoxyhydroquinone (Ou et al., 2021). Although more studies on a broad spectrum of
798 atmospherically relevant species and multiple indicators of toxicity are clearly needed,
799 our findings here underscore the potential of aqueous processing on the enhancement
800 of particle toxicity.

801 **5 Conclusions**

802 This study comprehensively investigated the aqueous photooxidation of eugenol
803 upon direct photolysis and attacks by OH radicals and $^3\text{C}^*$ triplet states. By using a
804 suite of techniques, the decay kinetics of eugenol, chemical, optical properties as well
805 as toxicity of reaction products were studied. The first-order rate constants followed the
806 order of $^3\text{C}^* > \text{OH} > \text{direct photolysis}$ (300 μM H_2O_2 and 15 μM DMB as sources of OH
807 and $^3\text{C}^*$). Further quenching experiments on different ROS during $^3\text{C}^*$ -mediated
808 oxidation showed that $^3\text{C}^*$ was the major contributor, followed by $^1\text{O}_2$, O_2^- and OH;
809 O_2^- played a more important role than OH during OH-initiated oxidation. The rate
810 constants under saturated O_2 , air and N_2 followed the order of $k_{\text{O}_2} > k_{\text{Air}} > k_{\text{N}_2}$ for both
811 direct photolysis and OH-initiated oxidation, but changed to $k_{\text{Air}} > k_{\text{N}_2} > k_{\text{O}_2}$ for $^3\text{C}^*$ -

812 mediated oxidation. O₂ appeared to be a scavenger of ³C* therefore suppressing ³C*
813 oxidation while it could promote generation of OH thus accelerate OH-mediated
814 oxidation. pH and DO levels both decreased during oxidation, indicating formation of
815 acids and a certain role of DO in oxidation.

816 Eugenol itself can absorb lights significantly around 280 nm, and aqueous
817 oxidation gradually decrease this absorption of UV light but enhanced the absorbance
818 in the visible light range (mainly 300-400 nm), indicative of the generation of BrC
819 species. These species were likely linked with HULIS, as HULIS concentration
820 increased during the course of oxidation, in particular for the initial stage of ³C*-
821 mediated reactions. The final mass yields of reaction products (after 23 hours of
822 irradiation) were 140.1%, 144.9% and 196.5% for direct photolysis, OH-oxidation and
823 ³C*-oxidation, respectively. Oxidation degrees of the products increased continuously
824 with the illumination time, indicating persistent formation of highly oxygenated
825 compounds, especially during ³C*-mediated reactions. Molecular characterization by
826 GC-MS identified a series of oxygenated compounds, allowing us to propose the
827 detailed oxidation mechanism. Functionalization appeared to be a dominant pathway to
828 form the observed species.

829 DTT method was used to assess OP of the reaction products. The end products in
830 all three sets of experiments showed higher DDT consumption rates than that of the
831 precursor; products from ³C*-oxidation showed particularly fast increase in the first
832 few hours of reactions. This result demonstrates that species that are more toxic than its
833 precursors could be produced upon aqueous oxidation, indicative of the potential toxic
834 effects induced by aqueous processing.

835

836 **Data availability.** The relevant data of this study are available at:

837 http://nuistairquality.com/eugenol_data_and_figure

838

839 **Supplement.** The supplement related to this article is available on line at: XXX

840

841 **Author Contributions:** XDL, YT, LWZ, SSM, SPL, ZZZ and NS conducted the
842 experiments. XDL and YT analyzed the data. XDL and ZLY prepared and wrote the
843 paper with contributions from all co-authors. ZLY and XLG reviewed and commented
844 on the paper.

845

846 **Competing interests.** The authors declare that they have no conflict of interest.

847

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860

861 **Review statement.** This paper was xxx

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