# atmospheric degradation **OH-Initiated** of hydroxyalkyl 1 hydroperoxides: mechanism, kinetics, and structure-activity 2 relationship 3 Long Chen, <sup>1,2</sup> Yu Huang, \*, <sup>1,2</sup> Yonggang Xue, <sup>1,2</sup> Zhihui Jia, <sup>3</sup> Wenliang Wang <sup>4</sup> 4 <sup>1</sup> State Key Lab of Loess and Quaternary Geology (SKLLQG), Institute of Earth 5 Environment, Chinese Academy of Sciences (CAS), Xi'an, 710061, China 6 <sup>2</sup> CAS Center for Excellence in Quaternary Science and Global Change, Xi'an, 7 710061, China 8 <sup>3</sup> School of Materials Science and Engineering, Shaanxi Normal University, Xi'an, 9 Shaanxi, 710119, China 10 <sup>4</sup> School of Chemistry and Chemical Engineering, Key Laboratory for 11 Macromolecular Science of Shaanxi Province, Shaanxi Normal University, Xi'an, 12 Shaanxi, 710119, China 13 14 15 16 17 Submitted to Atmospheric Chemistry & Physics 18 19 20 \*Corresponding author: 21

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#### **Abstract:**

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Hydroxyalkyl hydroperoxides (HHPs), formed in the reactions of Criegee intermediates (CIs) with water vapor, play essential roles in the formation of secondary organic aerosol (SOA) under atmospheric conditions. However, the transformation mechanisms for the OH-initiated oxidation of HHPs remain incompletely understood. Herein, the quantum chemical and kinetics modeling methods are applied to explore the mechanisms of the OH-initiated oxidation of the distinct HHPs (HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH) formed from the reactions of CH<sub>2</sub>OO, anti-CH<sub>3</sub>CHOO and (CH<sub>3</sub>)<sub>2</sub>COO) with water vapor. The calculations show that the dominant pathway is H-abstraction from the -OOH group in the initiation reactions of OH radical with HOCH2OOH and HOC(CH3)2OOH. H-abstraction from the -CH group is competitive with that from the -OOH group in the reaction of OH radical with HOCH(CH<sub>3</sub>)OOH. The barrier of H-abstraction from the -OOH group slightly increases when the number of methyl groups increase. In pristine environments, the self-reaction of RO<sub>2</sub> radical initially produces a tetroxide intermediate via an oxygen-to-oxygen coupling, then it decomposes into propagation and termination products through asymmetric two-step O-O bond scission, in which the rate-limiting step is the first O-O bond cleavage. The barrier height of the reactions of distinct RO<sub>2</sub> radicals with HO<sub>2</sub> radical is not affected by the number of methyl substitutions. In urban environments, the reaction with O2 to form formic acid and HO<sub>2</sub> radical is the dominant removal pathway for HOCH<sub>2</sub>O radical formed from the reaction of HOCH<sub>2</sub>OO radical with NO. The  $\beta$ -site C-C bond scission is the dominant pathway in the dissociation of the HOCH(CH<sub>3</sub>)O and HOC(CH<sub>3</sub>)<sub>2</sub>O radicals formed from the reactions of NO with HOCH(CH<sub>3</sub>)OO and HOC(CH<sub>3</sub>)<sub>2</sub>OO radicals. These new findings deepen our understanding of the photochemical oxidation of hydroperoxides under realistic atmospheric conditions.

#### 1. Introduction

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Hydroxyalkyl hydroperoxides (HHPs), formed in the reactions of Criegee intermediates (CIs) with water vapor and in the initiation OH-addition with subsequent HO<sub>2</sub>-termination reactions, play important roles in the formation of secondary organic aerosol (SOA) (Qiu et al., 2019; Kumar et al., 2014). The CIs formed from the ozonolysis of alkenes are characterized by high reactivity and excess energy, which can either prompt the unimolecular decay to OH radical or, after collisional stabilization, bimolecular reactions with various trace gases such as SO<sub>2</sub>, NO<sub>2</sub>, and H<sub>2</sub>O to produce sulfate, nitrate and SOA, thereby influencing air quality and human health (Lester and Klippenstein, 2018; Chen et al., 2017, 2019; Liu et al., 2019; Chhantyal-Pun et al., 2017; Anglada and Sol é 2016; Gong and Chen, 2021). Among these reactions, the bimolecular reaction of CIs with water is regarded as the dominant chemical sink, since its concentration (1.3-8.3  $\times 10^{17}$  molecules cm<sup>-3</sup>) is several orders of magnitude greater than those of  $SO_2$  and  $NO_2$  (~  $10^{12}$  molecules cm<sup>-3</sup>) in the atmosphere (Huang et al., 2015; Khan et al., 2018; Taatjes et al., 2013, 2017). The primary products of the reaction of CIs with water are highly oxygenated HHPs, which are difficult to detect and identify through the available analytical techniques because of their thermal instability (Qiu et al., 2019; Anglada and Sol é, 2016; Chao et al., 2015; Chen et al., 2016a; Ryzhkov and Ariya, 2003). Due to the presence of both hydroxyl and perhydroxy moieties, HHPs have relatively low volatility and contribute significantly to the formation of SOA (Qiu et al., 2019). The atmospheric degradation of HHPs initiated by OH radical is expected to be one of the dominant loss processes because OH radical is the powerful oxidizing agent (Gligorovski et al., 2015; Allen et al., 2018). The reaction with OH radical includes three possible H-abstraction channels, namely the (a) alkyl hydrogen, (b) -OH hydrogen, and (c) -OOH hydrogen, which are followed by further reactions to generate organic peroxy radicals (RO<sub>2</sub>) as reactive intermediates (Allen et al., 2018). On the basis of our current mechanistic understanding, RO2 radicals have three possible channels in pristine environments: (1) RO2 radicals can proceed self- and

cross-reactions to generate alkoxy radical RO, alcohol, carbonyl, and accretion products (Berndt et al., 2018; Zhang et al., 2012; Valiev et al., 2019); (2) RO<sub>2</sub> radicals can react with HO<sub>2</sub> radical to form closed-shell hydroperoxide (ROOH), RO radical, OH radical, etc.; (Dillon and Crowley, 2008; Iyer et al., 2018) (3) RO<sub>2</sub> radicals can undergo autoxidation through intramolecular H-shift and alternating O<sub>2</sub>-addition steps to produce highly oxygenated organic molecules (HOMs), which have been identified as the low volatility compounds that contribute to the formation of SOA (Crounse et al., 2013; Jokinen et al., 2014; Wang et al., 2018; Ehn et al., 2014; Iyer et al., 2021). In urban environments, RO<sub>2</sub> radicals can react with NO<sub>x</sub> to generate peroxynitrate (RO<sub>2</sub>NO<sub>2</sub>), organic nitrate (RONO<sub>2</sub>), RO radicals and other SOA precursors (Wang et al., 2017; Xu et al., 2014, 2020; Ma et al., 2021). The relative importance of distinct pathways is highly dependence on the nature of RO<sub>2</sub> radicals and the concentrations of coreactants.

Hydroxymethyl hydroperoxide (HMHP, HOCH2OOH), the simplest HHP derived from the ozonolysis of all terminal alkenes in the presence of water, is observed in significant abundance in the atmosphere (Allen et al., 2018). The measured concentration of HMHP is varied considerably depending on the location, season and altitude, and its concentration has been reported to be as high as 5 ppbv in forested regions (Allen et al., 2018; Francisco and Eisfeld, 2009). In one study, the concentration of HMHP was measured during the summer of 2013 in the Southeastern United States, and it was found that the average mixing ratio of HMHP was 0.25 ppbv with a maximum of 4.0 ppbv in the boundary layer (Allen et al., 2018). Allen et al. (2018) conducted the OH-initiated oxidation of HMHP in an environmental chamber and simulated the effect of HMHP oxidation on global formic acid production by applying the chemical transport model GEOS-Chem. They found that H-abstraction from the methyl group of HMHP results in the formation of formic acid and contributes approximately 1.7 Tg yr<sup>-1</sup> to global formic acid production. Francisco and Eisfeld (2009) employed the ab initio CCSD(T)//MP2 methods to study the atmospheric oxidation mechanism of HMHP initiated by OH radical, and they also concluded that the degradation of HMHP can contribute to the formation of formic acid in the atmosphere. Additionally, the unimolecular decomposition of HMHP is another key removal process in the atmosphere. Chen et al. (2016b) found that the formation of  $CH_2O$  and  $H_2O_2$  is more preferable than the production of HCOOH and  $H_2O$ . Kumar et al. (2014) also concluded that the aldehyde- or ketone-forming pathway is kinetically favored over the carboxylic acid-forming channel in the unimolecular decomposition of various HHPs. All of the above milestone investigations offer highly useful information on the decomposition of HHPs in the gas phase. However, to the best of our knowledge, there are few studies on the subsequent transformations of the resulting H-abstraction products formed from the OH-initiated oxidation of larger HHPs. The effect of the size and number of substituents on the rates and outcomes of SOA precursors (e.g. ROOR, HOMs) is uncertain up to now. Therefore, it is necessary to evaluate the potential of larger HHPs and their oxidation products to substantial SOA formation under different  $NO_x$  conditions.

In the present study, the mechanisms and kinetics of distinct HHPs oxidation initiated by OH radical are investigated by employing quantum chemical and kinetics modeling methods. For the resulting H-abstraction products RO<sub>2</sub> radicals, the subsequent reactions involving self-reaction, isomerization and reaction with HO<sub>2</sub> radical are considered in the absence of NO, while the subsequent reactions including addition, decomposition and H-abstraction by O<sub>2</sub> are considered in the presence of NO. The HHPs investigated in the present study are generated from the bimolecular reactions of distinct carbonyl oxides (CH<sub>2</sub>OO, *anti*-CH<sub>3</sub>CHOO and (CH<sub>3</sub>)<sub>2</sub>COO) with water vapor.

# 2. Computational details

# 2.1 Electronic structure and energy calculations

The equilibrium geometries of all the open-shell species, including reactant (R), pre-reactive complex (RC), transition state (TS), post-reactive complex (PC), and product (P), are fully optimized at the unrestricted M06-2X/6-311+G(2df,2p) level of theory (UM06-2X) (Zhao and Truhlar, 2006; Zheng and Truhlar, 2009), whereas all

the closed-shell species are optimized at the restricted M06-2X/6-311+G(2df,2p) level of theory (RM06-2X). This is because the M06-2X functional has been proven to be reliable for describing thermochemistry, kinetics and non-covalent interactions (Zhao and Truhlar, 2008). Harmonic vibrational frequencies are performed at the same level to verify that each stationary point is either a true minima (with no imaginary frequency) or a transition state (with one imaginary frequency). Zero-point vibrational energy (ZPVE) and Gibbs free energy corrections ( $G_{corr}$ ) from harmonic vibrational frequencies are scaled by a factor of 0.98 (Zhao and Truhlar, 2006). Intrinsic reaction coordinate (IRC) calculations are performed to verify the connection between the transition state and the designated reactant and product (Fukui, 1981). The single-point energies are calculated at the (U/R)M06-2X/ma-TZVP level of theory (Zheng, et al., 2011).

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The tetroxide intermediate formed from the self-reaction of RO<sub>2</sub> radical proceeds through asymmetric two step O-O bond scission to produce a caged tetroxide intermediate of overall singlet multiplicity comprising two same-spin alkoxyl radicals (spin down) and triplet oxygen (spin up). This type of reaction mechanism can be described by broken symmetry unrestricted DFT (UDFT) and multi-reference CASSCF methods (Lee, et al., 2016; Bach, et al., 2005). Previous studies have demonstrated that the UDFT method is suitable for identifying the minimum of a metastable singlet caged radical complex and the transition state of O-O bond homolysis, and the resulting energies obtained using the UDFT method are comparable to those obtained using the more accurate and expensive CASSCF method (Lee, et al., 2016; Bach, et al., 2005). In the present study, the UDFT method, which provides a balance between computational accuracy and efficiency, is selected study asymmetric O-Obond scission. The broken symmetry to UM06-2X/6-311+G(2df,2p) method is applied to generate the initial guesses for the geometries of the tetroxide intermediate and transition state with mixed HOMO and LUMO ( $S^2 \approx 1$ ) by using the guess = mix keyword. The single-point energies are refined at the UM06-2X/ma-TZVP level of theory.

To further evaluate the reliability of the employed method for predicting the

reaction mechanisms, the single-point energies of all the stationary points involved in the initiation reactions of OH radical with distinct HHPs are recalculated at the (U/R)CCSD(T)/6-311+G(2df,2p) level of theory based on the (U/R)M06-2X optimized geometries. Furthermore, the stability of the pre-reactive complexes is assessed by performing basis set superposition error (BSSE) using counterpoise method (Boys and Bernardi, 1970). For simplicity, no prefix is used throughout this article. Herein, the Gibbs free energy (G) for each species is obtained by combining the single-point energy with the Gibbs correction ( $G = G_{corr} + E$ ). The electronic energy ( $\Delta E_a^{\ \#}$ ) and free energy ( $\Delta G_a^{\ \#}$ ) barriers are defined as the difference in energy between transition state and pre-reactive complex ( $\Delta E_a^{\#} = E_{\rm TS}$  -  $E_{\rm RC}$  and  $\Delta G_a^{\#} = G_{\rm TS}$  - $G_{\rm RC}$ ). The reaction free energy ( $\Delta G$ ) is referred to the difference in energy between product and reactant ( $\Delta G = G_P - G_R$ ). The calculated  $\Delta E_a^{\#}$  and  $\Delta G_a^{\#}$  for the initiation H-abstraction pathways are summarized in Table S1. As shown in Table S1, the mean absolute deviations (MADs) of  $\Delta E_a^{\#}$  and  $\Delta G_a^{\#}$  between the CCSD(T)/6-311+G(2df,2p) and M06-2X/ma-TZVP approaches are 0.43 and 0.45 kcal mol<sup>-1</sup>, respectively; the largest deviations of  $\Delta E_a^{\#}$  and  $\Delta G_a^{\#}$  are 1.2 and 1.1 kcal mol<sup>-1</sup>, respectively. These results reveal that the energies obtained using the M06-2X/ma-TZVP method are in good accord with those obtained using the gold-standard coupled-cluster approach within the uncertainties of systematic errors. Therefore, M06-2X/ma-TZVP method is selected to investigate the atmospheric degradation of HHP initiated by OH radical under different conditions. In the following sections,  $\Delta G_a^{\#}$  is applied to construct the reaction profiles unless otherwise stated.

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For the H-shift reactions of  $RO_2$  radicals, reactants, transition states and products have multiple conformers. Previous literature has demonstrated that the reaction kinetics of multiconformers involvement are more precise than that of single conformer approximation (Møller, et al., 2016, 2020). Herein, the multiconformer treatment is performed to investigate the H-shift reactions of  $RO_2$  radicals. A conformer search within the Molclus program is employed to generate a pool of conformers for  $RO_2$  radicals (Lu, 2020). The selected conformers are further optimized at the M06-2X/6-311+G(2df,2p) level of theory, followed by single-point

energy calculations at the M06-2X/ma-TZVP level of theory. On the basis of the calculated Gibbs free energies, the Boltzmann populations  $(w_i)$  of each RO<sub>2</sub> conformer is expressed as eqn 1.

$$w_i = \frac{e^{-\Delta G_i/k_B T}}{\sum_i e^{-\Delta G_i/k_B T}} \tag{1}$$

where  $\Delta G_i$  is the relative Gibbs free energy of conformer i,  $k_B$  is the Boltzmann's constant, and T is temperature in Kelvin. All the quantum chemical calculations are performed by using the Gaussian 09 program (Frisch, et al., 2009).

#### 2.2 Kinetics calculations

The rate coefficients of unimolecular reactions are calculated by using the Rice-Ramsperger-Kassel-Marcus theory coupled with energy-grained master equation (RRKM-ME) method (Holbrook, 1996), and the rate coefficients of bimolecular reactions are determined by utilizing the traditional transition state theory (TST) (Fernández-Ramos, 2007). RRKM-ME calculations are performed by using the MESMER 6.0 program (Glowacki, et al., 2012).  $N_2$  is used as the buffer gas. A single exponential down model is employed to simulate the collision energy transfer ( $\langle \Delta E \rangle_{\text{down}} = 200 \text{ cm}^{-1}$ ). The collisional Lennard-Jones parameters are estimated by using an empirical formula proposed by Gilbert and Smith (1990). For the H-shift reactions of  $RO_2$  radicals, the rate coefficients are determined by employing the multiconformer transition state theory (MC-TST) approach (Møler, et al., 2016). The MC-TST rate coefficient  $k_{\text{MC-TST}}$  is calculated by the sum of individual intrinsic reaction coordinate TST (IRC-TST) rate coefficient  $k_{\text{IRC-TST}}$ , each weighted by the Boltzmann population of the corresponding  $RO_2$  conformer (Møler, et al., 2016).

$$k_{\text{MC-TST}} = \sum_{i}^{\text{all TS conf.}} w_i \times k_{\text{IRC-TST}i}$$
(2)

where  $k_{\text{IRC-TST}i}$  represents the rate coefficient of conformer i, and  $w_i$  is the relative Boltzmann population of the corresponding reactant connected to  $TS_i$ . The one-dimensional asymmetry Eckart model is employed to calculate the tunneling correction (Eckart, 1930). Considering the uncertainty in barrier heights (~ 1.0)

kcal mol<sup>-1</sup> by the M06-2X method) and in tunneling corrections, the uncertainty of the calculated rate coefficient is about one order of magnitude in the present study.

#### 3. Results and discussion

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#### 3.1 Initiation reaction of HHPs with OH radical

Previous literatures have proposed that the lifetime of CI with respect to the reaction with water vapor exhibits strong dependence on the nature of CI (Anglada and Sol \(\xi\) 2016; Taatjes, et al., 2013; Anglada, et al., 2011), and the primary product is HHPs in both the gas phase and air-water interfaces (Chao, et al., 2015; Chen, et al., 2016a; Smith et al., 2015; Zhu et al., 2016; Zhong et al., 2018). In the present study, we mainly consider three kinds of HHPs originated from the addition of water to CH<sub>2</sub>OO and methyl-substituted CI (anti-CH<sub>3</sub>CHOO and (CH<sub>3</sub>)<sub>2</sub>COO). lowest-energy conformers **HHP** (HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH) are obtained from the previous study as shown in Figure 1 (Chen et al., 2019), and they are selected as the model system to investigate the atmospheric degradation mechanism of HHP initiated by OH radical. Letters and numbers are used to label carbon, oxygen and hydrogen atoms at different reaction sites.

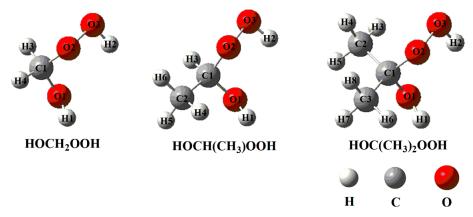


Figure 1. The structures of distinct HHPs

The free-energy and electronic-energy potential energy surfaces (PESs) for the initiation reactions of OH radical with HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH are presented in Figures 2-4 and S1-S3, respectively. The optimized geometries of all the stationary points are displayed in Figures S6-S8, respectively. As can be seen in Figure 2, the reaction of HOCH<sub>2</sub>OOH with OH radical proceeds

through four pathways: H-abstraction from the -O<sub>1</sub>H<sub>1</sub> (R1), -C<sub>1</sub>H<sub>3</sub> (R2), -C<sub>1</sub>H<sub>4</sub> (R3) and -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> (R4) groups. For each pathway, a pre-reactive complex with a six- or seven-membered ring structure is formed in the entrance channel, which is stabilized by hydrogen bond interactions between the oxygen atom of OH radical and the abstraction hydrogen atom of HOCH2OOH, and between the remnant hydrogen atom of OH radical and one of the oxygen atoms of HOCH<sub>2</sub>OOH (Figure S6). Then, it surmounts the modest barrier that is higher in energy than the reactants to reaction. The reaction barriers  $\Delta G_a^{\#}$  decrease in the order of 6.4 (R1) > 5.8 (R2)  $\approx$  5.4 (R3) > 1.5 (R4) kcal mol<sup>-1</sup>, indicating that H-abstraction from the -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> group (R4) is more preferable than those from the -O<sub>1</sub>H<sub>1</sub>, -C<sub>1</sub>H<sub>3</sub> and -C<sub>1</sub>H<sub>4</sub> groups (R1-R3). The same conclusion is also derived from the energy barriers  $\Delta E_a^{\#}$  that R4 is the most favorable H-abstraction pathway (Figure S1). The difference in barrier heights can be attributed to the bond dissociation energy (BDE) of the multiple types of bonds in HOCH<sub>2</sub>OOH molecule. BDE decreases in the order of 103.7 (O<sub>1</sub>-H<sub>1</sub>) > 98.2 (C<sub>1</sub>-H<sub>3</sub>)  $\approx$  $97.4 (C_1-H_4) > 87.2 (O_3-H_2)$  kcal mol<sup>-1</sup>, and this order is consistent with that of the barrier heights of H-abstraction reactions. Their reaction free energy values indicate that the exothermicity of R4 is the largest among these four H-abstraction reactions. Based on the above discussions, it is concluded that H-abstraction from the -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> group resulting in the formation of HOCH2OO radical (R4) is feasible both thermodynamically and kinetically.

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Given the multiple reaction sites of hydrogen atoms, the atmospheric transformation of HOCH(CH<sub>3</sub>)OOH from the *anti*-CH<sub>3</sub>CHOO + H<sub>2</sub>O reaction should have six possible H-abstraction pathways as presented in Figure 3. As shown in Figure 3, each H-abstraction reaction begins with the formation of a weakly bound hydrogen bonded pre-reactive complex with a six- or seven-membered ring structure in the entrance channel (Figure S7). Then it immediately transforms into the respective product via the corresponding transition state. The  $\Delta G_a^{\#}$  of H-abstraction from the -C<sub>1</sub>H<sub>3</sub> (R6) and -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> (R8) groups are 2.2 and 1.7 kcal mol<sup>-1</sup>, respectively, which are ~ 4-5 kcal mol<sup>-1</sup> lower than those from the -O<sub>1</sub>H<sub>1</sub> (R5) and -CH<sub>3</sub> (R7) groups. This result shows that R6 and R8 have nearly identical importance in the

atmosphere. Compared with the barriers of H-abstraction at the  $C_{\alpha}$  (R6) and  $C_{\beta}$  (R7) positions, it can be found that the former case is more favourable than the latter case. This conclusion is further supported by Jara-Toro's study for the reactions of OH radical with linear saturated alcohols (methanol, ethanol and n-propanol) that H-abstraction at the  $C_{\alpha}$  position is predominant (Jara-Toro, et al., 2017, 2018).

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For the OH-initiated oxidation of HOCH(CH<sub>3</sub>)OOH from the syn-CH<sub>3</sub>CHOO + H<sub>2</sub>O reaction, the corresponding free-energy and electronic-energy PESs are displayed in Figures S4 and S5, respectively. From Figure S4, it can be seen that H-abstraction by OH radical from HOCH(CH<sub>3</sub>)OOH has six possible pathways. For each pathway, a per-reactive complex is formed prior to the corresponding transition state, and then it overcomes a modest barrier to reaction. The  $\Delta G_a^{\#}$  of R6' and R8' are 2.3 and 1.8 kcal mol<sup>-1</sup>, respectively, which are about 5 kcal mol<sup>-1</sup> lower than those of R5' and R7'. The result shows that H-abstraction from the -CH (R6') and -OOH (R8') groups is preferable kinetically. The same conclusion is also derived from the energy barriers  $\Delta E_a^{\#}$  that the R6' and R8' are the most favourable H-abstraction pathways (Figure S5). It should be noted that although the barriers of R6' and R8' are comparable, the exoergicity of R6' is significantly lower than that of R8'. The aforementioned conclusions are consistent with the results derived from the OH-initiated oxidation of HOCH(CH<sub>3</sub>)OOH from the *anti*-CH<sub>3</sub>CHOO + H<sub>2</sub>O reaction. Zhou et al. (2019) demonstrated that the bimolecular reaction of syn-CH<sub>3</sub>CHOO with water leading to the formation of HOCH(CH<sub>3</sub>)OOH is of less importance in the atmosphere, while the unimolecular decay to OH radical is the major loss process of syn-CH<sub>3</sub>CHOO. Therefore, in the present study, we mainly focus on the subsequent mechanism of intermediates generated from OH-initiated oxidation HOCH(CH<sub>3</sub>)OOH from the *anti*-CH<sub>3</sub>CHOO + H<sub>2</sub>O reaction.

From Figure 4, it can be seen that H-abstraction from HOC(CH<sub>3</sub>)<sub>2</sub>OOH has eight possible H-abstraction pathways. All the H-abstraction reactions are strongly exothermic and spontaneous, suggesting that they are thermodynamically feasible under atmospheric conditions. It deserves mentioning that the release of energy of R12 is significantly greater than that of R9-R11. For each H-abstraction pathway, an

RC with a six- or seven-membered ring structure is formed prior to the corresponding TS, which is more stable than the separate reactants due to the hydrogen bond interactions between HOC(CH<sub>3</sub>)<sub>2</sub>OOH and OH radical. Then, the RC overcomes a modest barrier to reaction. The  $\Delta G_a^{\#}$  of H-abstraction from the -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> group (R12) is 2.7 kcal mol<sup>-1</sup>, which is the lowest among these eight H-abstraction reactions. This result again shows that H-abstraction from the -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> group is the dominant pathway.

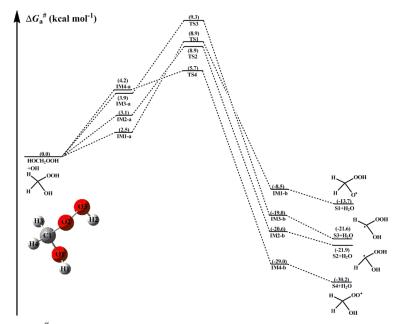
The rate coefficients of each H-abstraction pathway involved in the initiation

The rate coefficients of each H-abstraction pathway involved in the initiation reactions of distinct HHPs with OH radical are estimated over the temperature range from 273 to 400 K as summarized in Table S2-S4 and Figures S9-S11. As shown in Table S2, the total rate coefficients  $k_{\text{tot}}$  of HOCH<sub>2</sub>OOH reaction with OH radical decrease slightly with increasing temperature. At ambient temperature,  $k_{\text{tot}}$  is estimated to be  $3.3 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, which is greater by a factor of ~5 than that reported by Allen et al. (2018) ((7.1 ± 1.5) × 10<sup>-12</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, at 295 K), who derived the result from the reaction of HMHP with OH radical through CF<sub>3</sub>O chemical ionization mass spectrometry (CIMS) and laser-induced fluorescence (LIF). This discrepancy can be attributed to the uncertainties associated with the barrier height and tunneling correction.  $k_{\text{R4}(\text{O3-H2})}$  is one to two orders of magnitude greater than  $k_{\text{R1}(\text{O1-H1})}$ ,  $k_{\text{R2}(\text{C1-H3})}$  and  $k_{\text{R3}(\text{C1-H4})}$  in the whole temperature range, indicating that R4 is the most favorable H-abstraction pathway. For example,  $k_{\text{R4}(\text{O3-H2})}$  is calculated to be  $2.9 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> at 298 K, which is higher than  $k_{\text{R1}(\text{O1-H1})}$  (1.8 ×  $10^{-13}$ ),  $k_{\text{R2}(\text{C1-H3})}$  (9.9 ×  $10^{-13}$ ) and  $k_{\text{R3}(\text{C1-H4})}$  (2.0 ×  $10^{-12}$ ) by 161, 29 and 15 times, respectively.

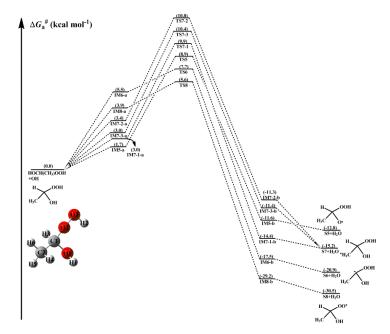
From Table S3, it can be seen that the total rate coefficients  $k'_{\text{tot}}$  of HOCH(CH<sub>3</sub>)OOH reaction with OH radical decrease in the range between  $4.5 \times 10^{-11}$  (273 K) and  $8.1 \times 10^{-12}$  (400 K) cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> with increasing temperature, and they exhibit a slightly negative temperature dependence.  $k_{\text{R8}(O3\text{-H2})}$  are approximately identical to  $k'_{\text{tot}}$  in the full temperature range, and they are greater than  $k_{\text{R5}(O1\text{-H1})}$ ,  $k_{\text{R6}(C1\text{-H3})}$ ,  $k_{\text{R7-1}(C2\text{-H4})}$ ,  $k_{\text{R7-2}(C2\text{-H5})}$  and  $k_{\text{R7-3}(C2\text{-H6})}$  by one to two orders of magnitude. The result also demonstrates that H-abstraction from the -OOH group (R8) is preferable

kinetically. It should be noted that although the barriers of R8 and R6 are comparable,  $k_{\rm R8(O3-H2)}$  is greater than  $k_{\rm R6(C1-H3)}$  by approximately one order of magnitude over the temperature range studied. The most likely reason is the stability of pre-reactive complexes that IM8-a is more stable than IM6-a in energy. A similar conclusion is derived from the rate coefficients of the HOC(CH<sub>3</sub>)<sub>2</sub>OOH + OH reaction that H-abstraction from the -OOH group (R12) is favorable kinetically (Table S4). The atmospheric lifetimes of HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH reactivity toward OH radical are estimated to be 0.58-1.74 h, 0.60-1.79 h and 1.23-3.69 h, respectively, at room temperature under typical OH radical concentrations of 5-15  $\times$  10<sup>6</sup> molecules cm<sup>-3</sup> during daylight (Long et al., 2017).

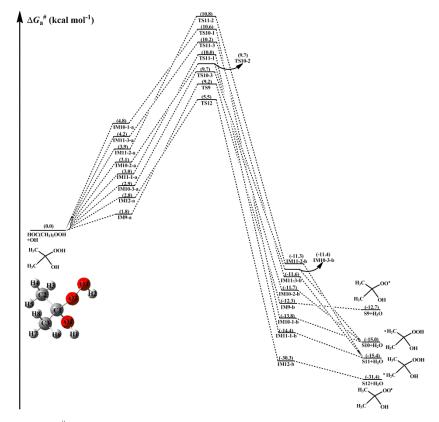
In summary, the dominant pathway is H-abstraction from the -OOH group in the initiation reactions of OH radical with HOCH2OOH. H-abstraction from the -CH group is competitive with that from the -OOH group in the reaction of OH radical with HOCH(CH<sub>3</sub>)OOH. Compared with the barriers of H-abstraction from the -OOH and -CH<sub>2</sub> groups in the reaction of OH radical with HOCH<sub>2</sub>OOH, the barrier of H-abstraction from the -CH group is reduced by 3.6 kcal mol<sup>-1</sup>, whereas the barrier of H-abstraction from the -OOH group is increased by 0.2 kcal mol<sup>-1</sup> when a methyl group substitution occurs at the C<sub>1</sub>-position of HOCH<sub>2</sub>OOH. The dominant pathway is H-abstraction from the -OOH group in the reaction of OH radical with HOC(CH<sub>3</sub>)<sub>2</sub>OOH, and its barrier height is increased by 1.2 kcal mol<sup>-1</sup> compared with the OH + HOCH<sub>2</sub>OOH system. The barrier of H-abstraction from the -OOH group slightly increases when the number of methyl groups increases. It is interesting to compare the rate coefficient of the dominant pathway in the OH + HOCH2OOH system with those of the analogous reactions in the OH + HOCH(CH<sub>3</sub>)OOH and OH + HOC(CH<sub>3</sub>)<sub>2</sub>OOH reactions. It can be found that the rate coefficient is almost identical when a methyl group substitution occurs at the C<sub>1</sub>-position, whereas the rate coefficient decreases by a factor of 2-5 when two methyl groups are introduced at the  $C_1$ -position.



**Figure 2.** PES ( $\Delta G_a^{\#}$ ) for the OH-initiated reactions of HOCH<sub>2</sub>OOH from the CH<sub>2</sub>OO + H<sub>2</sub>O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)



**Figure 3.** PES  $(\Delta G_a^{\#})$  for the OH-initiated reactions of HOCH(CH<sub>3</sub>)OOH from the *anti*-CH<sub>3</sub>CHOO + H<sub>2</sub>O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)



**Figure 4.** PES ( $\Delta G_a^{\#}$ ) for the OH-initiated reactions of HOC(CH<sub>3</sub>)<sub>2</sub>OOH from the (CH<sub>3</sub>)<sub>2</sub>COO + H<sub>2</sub>O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)

# 3.2 Subsequent reactions of H-abstraction products RO<sub>2</sub> radicals in pristine environments

In principle, the H-abstraction products RO<sub>2</sub> radicals have three possible fates in pristine environments: (1) the self-reactions of RO<sub>2</sub> radicals can either produce RO + R'O + O<sub>2</sub> (propagation channel), or generate ROH + R'(-H, =O) + O<sub>2</sub> or produce ROOR + O<sub>2</sub> (termination channel) that is recognized as an important SOA precursor (Berndt et al., 2018; Zhang et al., 2012); (2) the RO<sub>2</sub> radicals react with HO<sub>2</sub> radical to form hydroperoxide ROOH, alcoW hol, OH and other products (iniberg et al., 2016; Chen et al., 2021); (3) the RO<sub>2</sub> radicals undergo autoxidation through intramolecular H-shift and alternating O<sub>2</sub> addition steps to generate HOMs (Ehn et al., 2014; Bianchi et al., 2019; Nozière and Vereecken, 2019; Rissanen et al., 2014). The three aforementioned reactions are discussed in further detail in the subsequent subsections.

# 3.2.1 Reactions mechanism for the self-reaction of RO<sub>2</sub> radicals

The self-reaction is a dominant removal pathway for  $RO_2$  radicals under low concentrations of NO and high concentrations of  $RO_2$  radicals. The self-reaction of  $RO_2$  radicals usually follows the Russell mechanism (Russell, 1957), and has four main possible pathways: (1)  $2RO_2 \rightarrow 2RO + O_2$ ; (2)  $2RO_2 \rightarrow ROH + R'CO + O_2$ ; (3)  $2RO_2 \rightarrow ROOR + O_2$ ; and (4)  $2RO_2 \rightarrow ROOH + R'CHOO$  (Atkinson and Arey, 2003). The relative importance of different pathways varies considerably depending on the nature of  $RO_2$  radicals (Valiev et al., 2019; Lee et al., 2016). A schematic PES for the self-reaction of  $RO_2$  radical is drawn in Figure 5. As can be seen in Figure 5a, the self-reaction of  $RO_2$ 0O radical starts with the formation of tetroxide complexes  $RO_2$ 1 and  $RO_2$ 2 radical starts with the formation of tetroxide complexes  $RO_2$ 2 radicals in the entrance channel, with 2.9 and 3.4 kcal  $RO_2$ 3 radicals that  $RO_2$ 4 radicals are strength of 43.3 and 400 radicals that  $RO_2$ 4 respectively. Then they fragment into dimer  $RO_2$ 4 radicals are extremely high, making them irrelevant in the atmosphere.

From Figure 5b, it is seen that the self-reaction of HOCH<sub>2</sub>OO radical proceeds via oxygen-to-oxygen coupling leading to the formation of tetroxide intermediate S14 with the electronic-energy and free-energy barriers of 7.3 and 19.6 kcal mol<sup>-1</sup>. Kumar and Francisco reported an electronic-energy barrier of 14.0 kcal mol<sup>-1</sup> for the gas phase decomposition of HOCH<sub>2</sub>OO radical, which may be a new source of HO<sub>2</sub> radical in the troposphere (Kumar and Francisco, 2015, 2016). Compared with the electronic-energy barriers of the unimolecular dissociation of HOCH2OO radical and its self-reaction, it can be found that the self-reaction of HOCH<sub>2</sub>OO radical resulting in the formation of S14 is significantly feasible. The formed S14 can fragment into HOCH<sub>2</sub>O + HCOOH + HO<sub>2</sub> via a concerted process of O<sub>2</sub>-O<sub>3</sub> and O<sub>5</sub>-O<sub>6</sub> bonds rupture and O<sub>3</sub>-H<sub>6</sub> bond forming with a barrier of 29.8 kcal mol<sup>-1</sup>. Alternatively, S14 can convert into the caged tetroxide intermediate S16 through asymmetric two step  $O_2$ - $O_3$  and  $O_5$ - $O_6$  bonds scission with the barriers of 19.1 and 3.1 kcal mol<sup>-1</sup>, respectively. The result shows that the latter pathway is preferred over the former channel owing to its lower barrier. The overall spin multiplicity of S16 is singlet, in which the O<sub>2</sub> moiety maintains the triplet ground state (spin up) and is very loosely

bound. In order to preserve overall singlet multiplicity, the two HOCH<sub>2</sub>O radical pairs (<sup>3</sup>(HOCH<sub>2</sub>O··HOCH<sub>2</sub>O)) must have triplet multiplicity (spin down). S16 can be regarded as the ground state <sup>3</sup>O<sub>2</sub> moving away from the two HOCH<sub>2</sub>O radical pairs that keep interacting. Due to the difficulty in performing the constrained optimization for the dissociation of S16, the <sup>3</sup>O<sub>2</sub> moiety is considered as a leaving moiety away pairs, from two HOCH<sub>2</sub>O radical and merely the dissociation <sup>3</sup>(HOCH<sub>2</sub>O··HOCH<sub>2</sub>O) is taken into consideration in the present study. It has three types of pathways: (1) it yields HOCH<sub>2</sub>OH and excited-state <sup>3</sup>HCOOH through an alpha hydrogen transfer with a barrier of 14.0 kcal mol<sup>-1</sup> and 10.2 kcal mol<sup>-1</sup> exothermicity, followed by the excited <sup>3</sup>HCOOH to go back to the ground-state <sup>1</sup>HCOOH; (2) it generates two HOCH<sub>2</sub>O radicals via a barrierless process with the exoergicity of 16.9 kcal mol<sup>-1</sup>; (3) it produces dimer S17 via an intersystem crossing (ISC) step with the exoergicity of 32.1 kcal mol<sup>-1</sup>. Based on the calculated reaction barriers, the rate-limiting step is the cleavage of O<sub>2</sub>-O<sub>3</sub> bond (R17) in the unimolecular decay processes of S14. This conclusion coincides with the previous result obtained from the dissociation of di-t-butyl tetroxide that the rate-controlling step is the rupturing of single O-O bond (Lee et al., 2016). Valiev et al. (2019) proposed that the ISC rate of ROOR dimer formed from various (RO  $\cdot$ -R'O) systems is extremely high ( $> 10^8 \, \mathrm{s}^{-1}$ ) and exhibits a strong stereoselectivity.

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Figure 6 depicts a schematic PES for the self-reaction of HOCH(CH<sub>3</sub>)OO radical. As shown in Figure 6a, the self-reaction of HOCH(CH<sub>3</sub>)OO radical can produce either dimer S18 and  $^{1}O_{2}$  via TS20 with a barrier of 44.4 kcal mol<sup>-1</sup>, or HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)OO through TS21 with a barrier of 54.3 kcal mol<sup>-1</sup>. But the barriers of R20 and R21 are considerably high, making them of less importance in the atmosphere. Alternatively, the self-reaction of HOCH(CH<sub>3</sub>)OO radical proceeds via an oxygen-to-oxygen coupling to form the tetroxide intermediate S19 with a barrier of 19.9 kcal mol<sup>-1</sup> (Figure 6b). The formed S19 proceeds through asymmetric two step O<sub>2</sub>-O<sub>3</sub> and O<sub>5</sub>-O<sub>6</sub> bonds scission to produce the caged tetroxide intermediate S21 of overall singlet multiplicity comprising two same-spin alkoxyl radicals (spin down) and triplet oxygen (spin up). These two processes overcome the

barriers of 21.4 and 1.3 kcal  $\text{mol}^{-1}$ . Then, S21 decomposes into the propagation (2HOCH(CH<sub>3</sub>)O +  $^3\text{O}_2$ ) and termination products (HOCH(CH<sub>3</sub>)OH +  $^3\text{CH}_3\text{OOH}$  +  $^3\text{O}_2$  and dimer S22 +  $^3\text{O}_2$ ) with the exoergicity of 12.5, 11.7 and 33.0 kcal  $\text{mol}^{-1}$ . The rate-determining step is the rupturing of O<sub>2</sub>-O<sub>3</sub> bond (R24) in the dissociation processes of S19.

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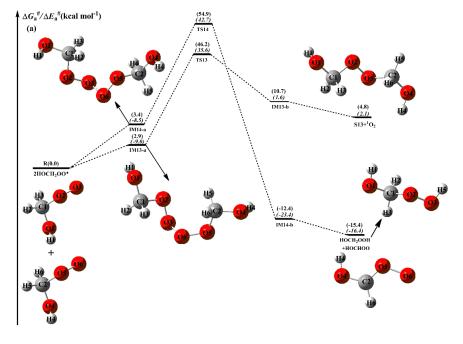
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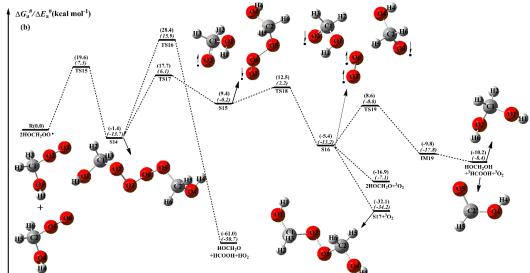
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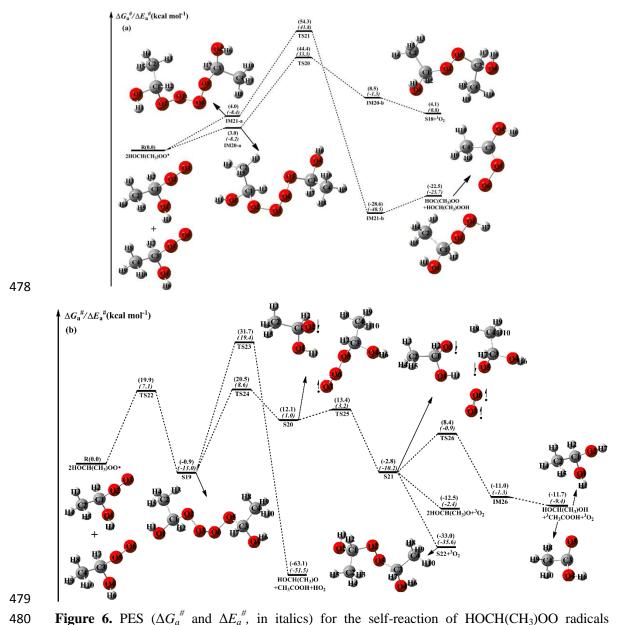
As shown in Figure 7, the dominant pathway for the self-reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO radical begins with the formation of tetroxide intermediate S24 via an oxygen-to-oxygen coupling transition state TS28 with a barrier of 20.4 kcal mol<sup>-1</sup>; then it transforms into the caged tetroxide intermediate S26 of overall singlet spin multiplicity through asymmetric two-step O-O bond cleavage with the barriers of 22.0 and 3.4 kcal mol<sup>-1</sup>; finally, S26 can produce either two HO(CH<sub>3</sub>)<sub>2</sub>CO radicals with the exoergicity of 10.3 kcal mol<sup>-1</sup>, or dimer S27 with the exoergicity of 31.5 kcal mol<sup>-1</sup>. Compared with the self-reactions of HOCH<sub>2</sub>OO and HOCH(CH<sub>3</sub>)OO radicals, the termination product of the self-reaction of HOC(CH<sub>3</sub>)<sub>2</sub>OO radical is exclusively dimer S27 because of the absence of an alpha hydrogen atom in the HOC(CH<sub>3</sub>)<sub>2</sub>OO radical. Compared with the barrier of the rate-determining route R17 in the self-reaction of HOCH<sub>2</sub>OO radical, the barrier of the rate-limiting step R29 is increased by about 3.0 kcal mol<sup>-1</sup> when two methyl substitutions are introduced at the C<sub>1</sub>-position of HOCH<sub>2</sub>OO radical. The reason might be attributed to the cage escape of alkoxyl radicals. Therefore, tertiary RO<sub>2</sub> radicals have great opportunities to react with HO<sub>2</sub> radical or undergo autoxidation in pristine environments.



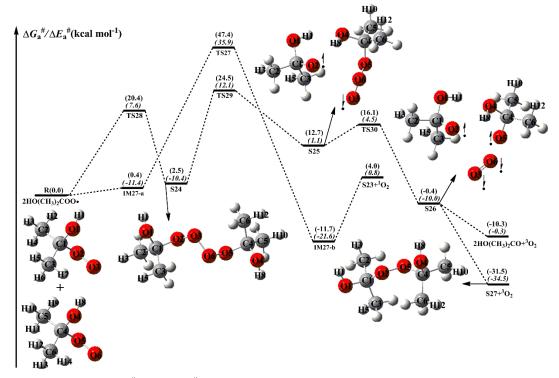




**Figure 5.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the self-reaction of HOCH<sub>2</sub>OO radicals predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory



**Figure 6.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the self-reaction of HOCH(CH<sub>3</sub>)OO radicals predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory



**Figure 7.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the self-reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO radicals predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

# 3.2.2 Reactions mechanism for the reaction of RO<sub>2</sub> radicals with HO<sub>2</sub>

#### radical

When NO is present in low concentrations, the bimolecular reaction of  $RO_2$  radicals with  $HO_2$  radical is generally expected to be the dominant pathway. The primary sources of  $HO_2$  radical include the photo-oxidation of oxygenated volatile organic compounds (OVOCs) and the ozonolysis reaction, as well as the secondary sources include the reactions of OH radical with CO, ozone and VOCs, the reaction of alkoxy radical RO with  $O_2$ , and the red-light-induced decomposition of  $\alpha$ -hydroxy methylperoxy radical OHCH2OO (Kumar and Francisco, 2015; Stone et al., 2012; Hofzumahaus et al., 2009). The atmospheric concentration of  $HO_2$  radical is 1.5-10  $\times$  10<sup>8</sup> molecules cm<sup>-3</sup> at the ground level in polluted urban environments (Stone et al., 2012). A schematic PES for the reactions of distinct  $RO_2$  radicals with  $HO_2$  radical is presented in Figure 8. As shown in Figure 8, all the reactions are strongly exothermic and spontaneous, indicating that they are thermodynamically feasible in the atmosphere. The reaction of  $HOCH_2OO$  with  $HO_2$  (R31) starts with the formation of a pre-reactive complex IM31-a in the entrance channel, which is more stable than the

separate reactants by 3.8 kcal mol<sup>-1</sup>. Then, IM31-a converts into HOCH<sub>2</sub>OOH and O<sub>2</sub> via a hydrogen atom transfer from the HO<sub>2</sub> radical to the terminal oxygen atom of the HOCH<sub>2</sub>OO radical with a barrier of 2.0 kcal mol<sup>-1</sup>. The mechanisms of HOCH(CH<sub>3</sub>)OO + HO<sub>2</sub> (R32) and HO(CH<sub>3</sub>)<sub>2</sub>COO + HO<sub>2</sub> (R33) reactions are similar to that of the HOCH<sub>2</sub>OO + HO<sub>2</sub> system. In order to avoid redundancy, a detailed discussion of the aforementioned mechanisms is not provided in the present study. Compared with the barrier of the HOCH<sub>2</sub>OO + HO<sub>2</sub> reaction, the barrier height is lower by only 0.1 kcal mol<sup>-1</sup> when one or two methyl substitutions occur at the C<sub>1</sub>-position of HOCH<sub>2</sub>OO radical. This result suggests that the barrier height is not influenced by the number of methyl substitutions. The rate coefficients of the reactions of distinct RO<sub>2</sub> radicals with HO<sub>2</sub> radical are summarized in Table S5 and Figure S12. As shown in Table S5, the rate coefficients  $k_{R31}$  of the HOCH<sub>2</sub>OO + HO<sub>2</sub> reaction vary from  $3.1 \times 10^{-11}$  (273 K) to  $2.1 \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> (400 K), and they exhibit a negative temperature dependence. A similar conclusion is also obtained from the rate coefficients  $k_{R32}$  and  $k_{R33}$  that they decrease with the temperature increasing. Notably, the rate coefficient slightly increases when the number of methyl groups increases. At ambient temperature,  $k_{\rm R31}$  is estimated to be 1.7  $\times$  10<sup>-11</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, which is consistent with the value of  $\sim 2 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> for the reaction of acyl peroxy radicals with HO<sub>2</sub> radical (Wennberg et al., 2018). The typical atmospheric concentrations of HO<sub>2</sub> radical are 5, 20 and 50 pptv in the urban, rural and forest environments, respectively (Bianchi et al., 2019), which translate into the pseudo-first-order rate constants  $k'_{HO2} = k_{HO2}[HO_2]$  of  $1.1 \times 10^{-3}$ ,  $4.2 \times 10^{-3}$  and 1.1 $\times 10^{-2}$  s<sup>-1</sup>, respectively.

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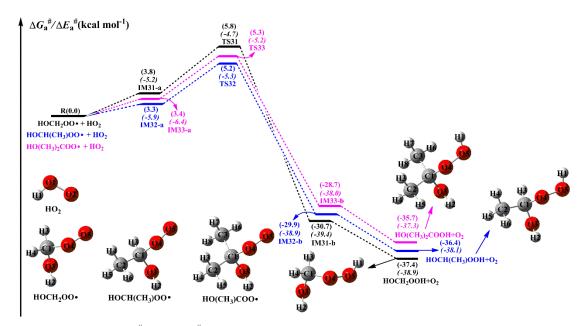
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**Figure 8.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reactions of distinct RO<sub>2</sub> radicals with HO<sub>2</sub> radical predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

# 3.2.3 Reactions mechanism for the isomerization of RO<sub>2</sub> radicals

The autoxidation of RO<sub>2</sub> radicals is known to play an important role in the (re)generation of HO<sub>x</sub> radicals and the formation of HOMs (Xu et al., 2014; Bianchi et al., 2019; Rissanen et al., 2014; Ehn et al., 2017). The autoxidation mechanism includes an intramolecular H-shift from the -CH<sub>3</sub> or -CH<sub>2</sub>- groups to the -OO site, leading to the formation of a hydroperoxyalkyl radical QOOH, followed by O<sub>2</sub> addition to form a new peroxy radical (HOOQO<sub>2</sub>), one after the other, resulting in the formation of HOMs (Rissanen et al., 2014; Berndt et al., 2015). For the H-shift reactions of RO<sub>2</sub> radicals, reactants, transition states and products have multiple conformers due to the effect of the degree of freedom for internal rotation. The calculated results show that the HOCH<sub>2</sub>OO radical has four energetically similar conformers (HOCH<sub>2</sub>OO-a, HOCH<sub>2</sub>OO-b, HOCH<sub>2</sub>OO-c and HOCH<sub>2</sub>OO-d). The relative free energy and Boltzmann population (*w*<sub>i</sub>) of each individual conformer are listed in Table S6, which indicate that the Boltzmann populations of these four conformers are 46.39%, 46.31%, 2.99%, and 4.32%, respectively.

A schematic PES for the H-shift reaction of HOCH<sub>2</sub>OO radical is displayed in Figure 9. As can be seen in Figure 9, the lowest-energy conformer HOCH<sub>2</sub>OO-a can proceed via a 1,3-H shift from the -CH<sub>2</sub> group to the terminal oxygen leading to the

isomerize to S28-b1 and S28-b2 via the four-membered ring transition states TS34-b1 and TS34-b2 (1,3-H shifts) with the barriers of 41.6 and 45.0 kcal mol<sup>-1</sup>, respectively. However, the 1,3-H shift reactions have comparatively high barriers, making them irrelevant in the atmosphere. Despite many attempts, the transition states of the H-shift reactions of HOCH<sub>2</sub>OO-c and HOCH<sub>2</sub>OO-d could not be located. The result suggests that the H-shift reactions of these two conformers are inhibited, which is consistent with the previous study that not all reactants will be in a conformation with a path across the barrier to reaction in the H-shift reactions of RO<sub>2</sub> radicals (Møler et al., 2016). Similar to the case of HOCH2OO radical, the isomerization of HOCH(CH<sub>3</sub>)OO radical proceeds via the 1,3- and 1,4-H shifts from the -CH or -CH<sub>3</sub> groups to the terminal oxygen resulting in the formation of hydroperoxyalkyl radicals (Figure S13). These 1,3- and 1,4-H shift reactions are accompanied by extremely high barriers (> 37.9 kcal mol<sup>-1</sup>), indicating that they are of less importance in the atmosphere. A similar conclusion is also derived from the isomerization of HO(CH<sub>3</sub>)<sub>2</sub>COO radical that 1,4-H shift reactions are unfavourable kinetically (Figure S14). The high barriers of the 1,3- and 1,4-H shifts can be interpreted as the result of the larger ring strain energy (RSE) in the cyclic transition state geometries. Consequently, the isomerization reactions of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals are unlikely to proceed in the atmosphere. This conclusion is further supported by the previous studies that the intramolecular H-shift isomerizations are important only for RO<sub>2</sub> radicals with large carbon structures (Crounse et al., 2013; Jokinen et al., 2014; Rissanen et al., 2014). The single-conformer rate coefficients ( $k_{IRC-TST}$ ) and multi-conformer rate coefficients (k<sub>MC-TST</sub>) of the isomerization of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and HOC(CH<sub>3</sub>)<sub>2</sub>OO radicals are calculated over the temperature range of 273-400 K as listed in Table S9-S11. As can be seen in Table S9,  $k_{\text{IRC-TST}}$  of each conformer exhibits a marked positive temperature dependence over the temperature range studied.  $k_{\text{MC-TST}}$  increases significantly with rising temperature, suggesting that a temperature

formation of S28-a (HOCHOOH) with a barrier of 41.6 kcal mol<sup>-1</sup>. HOCH<sub>2</sub>OO-b can

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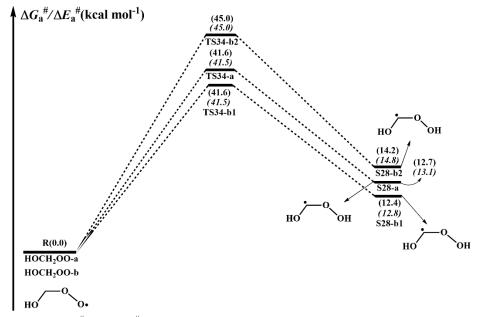
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also obtained for the isomerization of HOCH(CH<sub>3</sub>)OO and HOC(CH<sub>3</sub>)<sub>2</sub>OO radicals (Table S10-S11). Notably,  $k_{\text{MC-TST}}$  increases rapidly when the number of methyl groups increases. For example, the room temperature  $k_{\text{MC-TST}}$  of HOCH<sub>2</sub>OO radical isomerization is calculated to be  $4.4 \times 10^{-16} \text{ s}^{-1}$ , which is lower than those of the HOCH(CH<sub>3</sub>)OO (2.9 ×  $10^{-13} \text{ s}^{-1}$ ) and HO(CH<sub>3</sub>)<sub>2</sub>COO (3.0 ×  $10^{-12} \text{ s}^{-1}$ ) radicals isomerization by 660 and 6820 times, respectively.



**Figure 9.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the isomerization of HOCH<sub>2</sub>OO radical predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

# 3.3 Subsequent reactions of H-abstraction products RO<sub>2</sub> radicals

## in urban environments

 $NO_x$  is present in high concentrations in urban environments, reaction with NO is the dominant chemical sink for  $RO_2$  radicals (Atkinson and Arey, 2003; Orlando and Tyndall, 2012; Perring et al., 2013). The main pathways for this type of reaction lead to the formation of  $NO_2$ , RO radicals, organic nitrites, and organic nitrates at yields that are highly dependent on the nature of the R group (Orlando and Tyndall, 2012). The formation of  $NO_2$  through subsequent photolysis ( $\lambda$  < 420 nm) produces ozone and NO, increasing the concentrations of near-surface ozone and propagating the  $NO_x$  chain (Orlando and Tyndall, 2012). The schematic PES for the reactions of distinct  $RO_2$  radicals with NO are displayed in Figures 10-12. As shown in Figure 10, the bimolecular reaction of HOCH<sub>2</sub>OO radical with NO initially leads to the formation of

nitrite adduct S31 via the barrierless addition of NO to the terminal oxygen atom  $O_3$  of HOCH<sub>2</sub>OO radical. The formed S31 exists two isomers: S31-*cis* refers to the  $O_2$  and  $O_4$  on the same side (DO<sub>2</sub>O<sub>3</sub>N<sub>1</sub>O<sub>4</sub> = 2.3 °), whereas S31-*trans* refers to the  $O_2$  and  $O_4$  on the opposite side (DO<sub>2</sub>O<sub>3</sub>N<sub>1</sub>O<sub>4</sub> = -179.8 °) with respect to the O<sub>3</sub>-N<sub>1</sub> bond. The calculations show that S31-*cis* is more stable than S31-*trans* by 1.1 kcal mol<sup>-1</sup> in energy. Tautomerization between S31-*cis* and S31-*trans* proceeds through the rotation of O<sub>3</sub>-N<sub>1</sub> bond with a barrier of 14.4 kcal mol<sup>-1</sup>, implying that they can be regarded as the separate atmospheric species. According to the Boltzmann-weighted distribution, the predicted proportions of S31-*cis* and S31-*trans* at room temperature are 86.5% and 13.5%, respectively. This result suggests that the dominant product of the reaction of HOCH<sub>2</sub>OO radical with NO is S31-*cis*, so it is selected as a model compound to insight into the mechanism of secondary reactions in the following sections.

S31-cis can either isomerize to organic nitrate S32 (R38) via a concerted process of O<sub>2</sub>-O<sub>3</sub> bond breaking and O<sub>2</sub>-N<sub>1</sub> bond forming with a barrier of 47.8 kcal mol<sup>-1</sup>, or decompose into HOCH<sub>2</sub>O radical and NO<sub>2</sub> (R39) via the cleavage of O<sub>2</sub>-O<sub>3</sub> bond with a barrier of 11.3 kcal mol<sup>-1</sup>. The result shows that the latter pathway is more favourable than the former channel. A similar conclusion is also obtained from the reactions of NO with HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals that the formation of organic nitrate is of minor importance in the atmosphere. This result is further supported by the prior studies that the direct formation of organic nitrate from peroxy nitrites is a minor channel for the reactions of isoprene-derived RO<sub>2</sub> radicals with NO (Piletic et al., 2017; Zhang et al., 2002). It should be noted that the transition state TS39 is not located using the M06-2X functional, but it is located at the MP2/6-311+G(2df,2p) level of theory and is verified using IRC calculations. The formed HOCH<sub>2</sub>O radical has two possible pathways: (1) it directly decomposes into CH<sub>2</sub>O and OH radical (R40) via  $\beta$ -site C<sub>1</sub>-O<sub>1</sub> bond scission with a barrier of 52.4 kcal mol<sup>-1</sup>; (2) it converts into HCOOH and HO<sub>2</sub> radical (R41) through H-abstraction by O<sub>2</sub> with a barrier of 26.4 kcal mol<sup>-1</sup>. This result reveals that R41 is the most feasible channel in the fragmentation of HOCH<sub>2</sub>O radical.

From Figure 11, it can be seen that the addition of NO to HOCH(CH<sub>3</sub>)OO radical

leading to the formation of S33-cis is barrierless. Then, it decomposes into HOCH(CH<sub>3</sub>)O radical and NO<sub>2</sub> (R44) via the cleavage of O<sub>2</sub>-O<sub>3</sub> bond with a barrier of 11.5 kcal mol<sup>-1</sup>. The resulting HOCH(CH<sub>3</sub>)O radical has three possible pathways. The first is the  $\beta$ -site  $C_1$ - $C_2$  bond scission leading to the formation of HCOOH +  $CH_3$ (R45) with a barrier of 8.3 kcal mol<sup>-1</sup>. The second is the  $\beta$ -site C<sub>1</sub>-O<sub>1</sub> bond cleavage resulting in the formation of CH<sub>3</sub>COH + OH (R46) with a barrier of 26.7 kcal mol<sup>-1</sup>. The third is H-abstraction by O<sub>2</sub> leading to CH<sub>3</sub>COOH + HO<sub>2</sub> (R47) with a barrier of 26.2 kcal mol<sup>-1</sup>. On the basis of the calculated reaction barriers, the  $\beta$ -site C<sub>1</sub>-C<sub>2</sub> bond scission is the dominant pathway in the fragmentation of HOCH(CH<sub>3</sub>)O radical. This conclusion is further supported by the previous experimental result that  $\beta$ -hydroxy intermediates primarily undergo decomposition rather than react with O<sub>2</sub> in the presence of NO (Aschmann et al., 2000). Equivalent to the HOCH(CH<sub>3</sub>)OO + NO reaction, the bimolecular reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO radical with NO has similar transformation pathways (Figure 12). The reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO with NO initially proceeds via a barrierless addition leading to S35-cis with a binding energy of 12.6 kcal mol<sup>-1</sup>. Then, S35-cis fragments into HO(CH<sub>3</sub>)<sub>2</sub>CO radical and NO<sub>2</sub> (R50) via the cleavage of O<sub>2</sub>-O<sub>3</sub> bond with a barrier of 11.4 kcal mol<sup>-1</sup>. The formed HO(CH<sub>3</sub>)<sub>2</sub>CO radical can either dissociate into CH<sub>3</sub>COOH + CH<sub>3</sub> (R51) via the scission of C<sub>1</sub>-C<sub>3</sub> bond with a barrier of 8.2 kcal mol<sup>-1</sup>, or decompose into CH<sub>3</sub>COCH<sub>3</sub> + OH (R52) through the cleavage of C<sub>1</sub>-O<sub>1</sub> bond with a barrier of 24.3 kcal mol<sup>-1</sup>. This result also shows that the  $\beta$ -site C-C bond scission is the dominant pathway. The typical atmospheric concentrations of NO are around 10 ppbv, 1 ppbv, and 20 pptv in the urban, rural, and forest environments, respectively (Bianchi et al., 2019). The rate coefficient of HOCH2OO radical reaction with NO is calculated to be  $4.3 \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> at room temperature, resulting in the pseudo-first-order rate constants  $k'_{NO} = k_{NO}[NO]$  of 6.5  $\times$  10<sup>-1</sup>, 6.5  $\times$  10<sup>-2</sup>, and 1.3  $\times$  10<sup>-3</sup>, respectively, in the urban, rural, and forest environments. It is of interest to assess the relative importance for the H-shift reaction of HOCH2OO radical and bimolecular reactions with HO<sub>2</sub> radical and NO based on the calculated  $k_{\text{MC-TST}}$ ,  $k'_{\text{HO2}}$  and  $k'_{\text{NO}}$ . It can be

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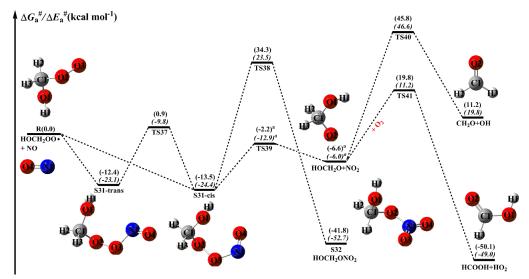
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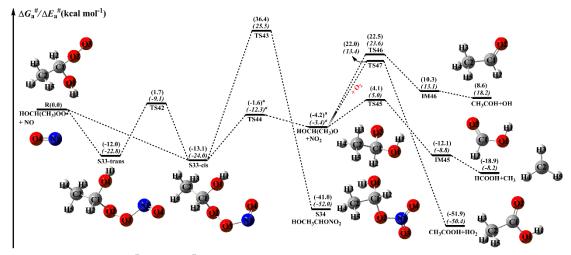
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found that the H-shift reaction is of less importance, and the HO<sub>2</sub> radical reaction is favorable in the forest environments, and the NO reaction is predominant in the urban and rural environments. A similar conclusion is also obtained from the cases of HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>CHOO radicals.

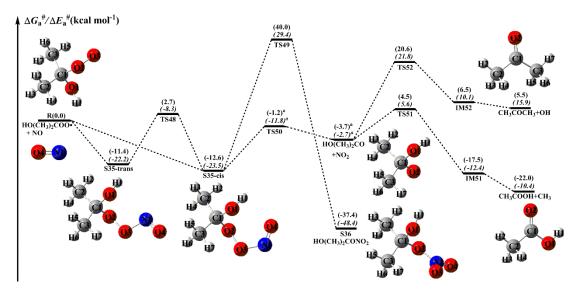
The rate coefficients of the dominant pathways of HOCH<sub>2</sub>O, HOCH(CH<sub>3</sub>)O and HO(CH<sub>3</sub>)<sub>2</sub>CHO radical fragmentations are summarized in Table S12. As can be seen in Table S12,  $k_{\rm R41}$  increases slightly with the temperature increasing, and the discrepancy is about a factor of 12 at the two extremes of the studied temperature range. At the ground level with  $[O_2] = \sim 5.0 \times 10^{18}$  molecule cm<sup>-3</sup>, the pseudo-first-order rate constant  $k'_{\rm O2} = k_{\rm R41}[O_2]$  is estimated to be 38.0 s<sup>-1</sup> at room temperature.  $k_{\rm R45}$  varies significantly from  $2.0 \times 10^6$  (273 K) to  $3.1 \times 10^8$  (400 K) s<sup>-1</sup>, and it exhibits a marked positive temperature dependence. A similar phenomenon is observed for  $k_{\rm R51}$  that  $k_{\rm R51}$  increases significantly with increasing temperature.  $k_{\rm R51}$  is greater than  $k_{\rm R45}$  by a factor of ~1.3, suggesting that the rate coefficient of  $\beta$ -site C-C bond scission increases slightly when the number of methyl groups increases.



**Figure 10.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reaction of HOCH<sub>2</sub>OO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)



**Figure 11.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reaction of HOCH(CH<sub>3</sub>)OO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)



**Figure 12.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)

# 4. Conclusions

The detailed mechanisms and kinetic properties of OH-initiated oxidation of distinct HHPs and the subsequent transformation of resulting H-abstraction products are investigated using quantum chemical and kinetics modeling methods. The main conclusions are summarized as follows:

(a) The dominant pathway is H-abstraction from the -OOH group in the initiation reactions of OH radical with HOCH<sub>2</sub>OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH. H-abstraction from the -CH group is competitive with that from the -OOH group in

the reaction of OH radical with HOCH(CH<sub>3</sub>)OOH. The barrier of H-abstraction from the -OOH group slightly increases when the number of methyl groups increases. Compared with the rate coefficient of dominant pathway in the parent system, it is almost identical when a methyl group substitution occurs at the C<sub>1</sub>-position, whereas it reduces by a factor of 2-5 when two methyl groups are introduced at the C<sub>1</sub>-position. The atmospheric lifetimes of HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH reactivity toward OH radical are estimated to be 0.58-1.74 h, 0.60-1.79 h and 1.23-3.69 h, respectively, at room temperature under the typical OH radical concentrations of 5-15  $\times 10^6$  molecules cm<sup>-3</sup> during daylight. 

- (b) The self-reaction of  $RO_2$  radical initially produces a tetroxide intermediate via an oxygen-to-oxygen coupling, then it decomposes into propagation and termination products through asymmetric two-step O-O bond scission. The rate-limiting step is the first O-O bond cleavage, and the barrier increases when the number of methyl groups increases. This finding contributes toward the understanding of the self-reaction of complex  $RO_2$  radicals.
- (c) The bimolecular reactions of distinct  $RO_2$  radicals with  $HO_2$  radical lead to the formation of hydroperoxide ROOH as the main product, and the barrier height is not affected by the number of methyl substitutions. Compared with the rate coefficient for the  $HOCH_2OO + HO_2$  reaction, the rate coefficients increase by a factor of 2-5 when one or two methyl groups are introduced at the C1-position. Using a  $HO_2$  radical concentration of ~50 pptv in the forest environments, the pseudo-first-order rate constants  $k'_{HO2}$  of the reactions of distinct  $RO_2$  radicals with  $HO_2$  radical vary from 1 to 5 ×  $10^{-2}$  s<sup>-1</sup>.
- (d) The isomerization reactions of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals are unlikely to proceed in the atmosphere because the intramolecular H-shift steps have considerably high barriers and are strongly endergonic. The result suggests that the isomerization of RO<sub>2</sub> radicals with small carbon structures is of less importance in the atmosphere.
- (e) The reaction with  $O_2$  to form formic acid and  $HO_2$  radical is the dominant removal pathway for  $HOCH_2O$  radical formed from the reaction of  $HOCH_2OO$

radical with NO. The  $\beta$ -site C-C bond scission is the dominant pathway in the dissociation of HOCH(CH<sub>3</sub>)O and HOC(CH<sub>3</sub>)2O radicals formed from the reactions of NO with HOCH(CH<sub>3</sub>)OO and HOC(CH<sub>3</sub>)2OO radicals. The result suggests that methyl-substituted alkoxyl radicals primarily proceed via  $\beta$ -site C-C bond scission to produce aldehyde or carbonyl.

## Data availability

The data are accessible by contacting the corresponding author (huangyu@ieecas.cn).

# **Supplement**

The following information is provided in the Supplement: Y//X (Y = M06-2X, CCSD(T), X = 6-311+G(2df,2p), ma-TZVP) calculated energy barrier ( $\Delta E_a^{\#}$ ,  $\Delta G_a^{\#}$ ) for the OH + HHPs reactions; Rate coefficients of each elementary pathway involved in the initiation reactions of OH radical with HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HO(CH<sub>3</sub>)<sub>2</sub>COOH; Rate coefficients of HO<sub>2</sub> radical reactions with HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals; The relative free energy and Boltzmann populations ( $w_i$ ) of the conformer of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals; The single-conformer rate coefficients ( $k_{\text{IRC-TST}}$ ) and multi-conformer rate coefficients ( $k_{\text{MC-TST}}$ ) of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals; Rate coefficients of dominant pathways in the HOCH<sub>2</sub>OO ·+ NO, HOCH(CH<sub>3</sub>)OO ·+ NO and HO(CH<sub>3</sub>)<sub>2</sub>CHOO ·+ NO reactions; PESs ( $\Delta E_a^{\#}$ ) for the OH-initiated reactions of HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH; Geometries of all the stationary points; Plots of the rate coefficients of each elementary pathway versus temperature; PESs ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the isomerization of HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals.

# **Author contribution**

LC designed the study. LC and YH wrote the paper. LC performed theoretical calculation. YX, ZJ, and WW analyzed the data. All authors reviewed and commented on the paper.

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## **Competing interests**

The authors declare that they have no conflict of interest.

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# Acknowledgments

- This work was supported by the National Natural Science Foundation of China (grant Nos. 42175134, 41805107, and 22002080). It was also partially supported as Key Projects of Chinese Academy of Sciences, China (grant No. ZDRW-ZS-2017-6),
- 761 Strategic Priority Research Program of the Chinese Academy of Sciences, China
- 762 (grant Nos. XDA23010300 and XDA23010000), Key Project of International
- Cooperation of the Chinese Academy of Sciences, China (grant No. GJHZ1543),
- Research Grants Council of Hong Kong, China (grant No. PolyU 152083/14E), CAS
- 765 "Light of West China" Program (XAB2019B01) and the General Project of Shaanxi
- 766 Province (2020JQ-432).

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