| 1  | OH-Initiated atmospheric degradation of hydroxyalkyl  |
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| 2  | hydroperoxides: mechanism, kinetics, and structure-activity   |
| 3  | relationship  |
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#### 24 Abstract:

Hydroxyalkyl hydroperoxides (HHPs), formed in the reactions of Criegee 25 intermediates (CIs) with water vapour, play essential roles in the formation of 26 secondary organic aerosol (SOA) under atmospheric conditions. However, the 27 transformation mechanisms for OH-initiated oxidation of HHPs remain incompletely 28 understood. Herein, the quantum chemical and kinetics modeling methods are applied 29 to insight into the detailed mechanisms of OH-initiated oxidation of distinct HHPs 30 (HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH) formed from the reactions of 31 CH<sub>2</sub>OO, anti-CH<sub>3</sub>CHOO and (CH<sub>3</sub>)<sub>2</sub>COO) with water vapor. The calculations show 32 that the dominant pathway is the H-abstraction from the -OOH group in the initiation 33 34 reactions of OH radical with HOCH<sub>2</sub>OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH. H-abstraction from the -CH group is competitive with that from the -OOH group in the reaction of OH 35 radical with HOCH(CH<sub>3</sub>)OOH. The barrier of H-abstraction from the -OOH group is 36 slightly increased as the number of methyl group is increased. In pristine 37 environments, the self-reaction of RO2 radical initially produces tetroxide 38 intermediate via an oxygen-to-oxygen coupling, then it decomposes into propagation 39 40 and termination products through the asymmetric two-step O-O bond scission, in which the rate-limiting step is the first O-O bond cleavage. The barrier height of 41 distinct RO<sub>2</sub> radical reactions with HO<sub>2</sub> radical is independent on the number of 42 methyl substitution. In urban environments, reaction with O2 forming formic acid and 43 HO<sub>2</sub> radical is the dominant removal pathway for HOCH<sub>2</sub>O radical formed from the 44 reaction of HOCH<sub>2</sub>OO radical with NO. The  $\beta$ -site C-C bond scission is the dominant 45 pathway in the dissociation of HOCH(CH<sub>3</sub>)O and HOC(CH<sub>3</sub>)<sub>2</sub>O radicals formed from 46 47 the reactions of NO with HOCH(CH<sub>3</sub>)OO and HOC(CH<sub>3</sub>)<sub>2</sub>OO radicals. These new findings are expected to deepen our current understanding for the photochemical 48 oxidation of hydroperoxides under realistic atmospheric conditions. 49

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### 51 **1. Introduction**

Hydroxyalkyl hydroperoxides (HHPs), formed in the reactions of Criegee 52 intermediates (CIs) with water vapour and in the initiation OH-addition with 53 54 subsequent HO<sub>2</sub>-termination reactions, play important roles in the formation of secondary organic aerosol (SOA) (Qiu et al., 2019; Kumar et al., 2014). The CIs 55 formed from the ozonolysis of alkenes are characterized by high reactivity and excess 56 energies, which can proceed either prompt unimolecular decay to OH radical or, after 57 collisional stabilization, bimolecular reactions with various trance gases like SO<sub>2</sub>, 58 NO<sub>2</sub> and H<sub>2</sub>O to produce sulfate, nitrate and SOA, thereby influencing air quality and 59 human health (Lester and Klippenstein, 2018; Chen et al., 2017, 2019; Liu et al., 2019; 60 Chhantyal-Pun et al., 2017; Anglada and Sol é 2016; Gong and Chen, 2021). Among 61 these reactions, the bimolecular reaction of CIs with water is thought to be the 62 dominant chemical sink because its concentration (1.3-8.3  $\times 10^{17}$  molecules cm<sup>-3</sup>) is 63 several orders of magnitude greater than those of SO<sub>2</sub> and NO<sub>2</sub> (~  $10^{12}$  molecules cm<sup>-3</sup>) 64 in the atmosphere (Huang et al., 2015; Khan et al., 2018; Taatjes et al., 2013, 2017). 65 66 The primary products of CIs reactivity toward water are highly oxygenated HHPs that 67 are difficult to detect and identify by using the available analytical techniques due to their thermally instability (Qiu et al., 2019; Anglada and Sol é 2016; Chao et al., 2015; 68 Chen et al., 2016a; Ryzhkov and Ariya, 2003). 69

70 HHPs, due to the presence of both hydroxyl and perhydroxy moieties, have relatively low volatility contributing substantially to the formation of SOA (Qiu et al., 71 2019). The atmospheric degradation of HHPs initiated by OH radical is expected to be 72 one of the dominant loss processes because OH radical is the most powerful oxidizing 73 74 agent (Gligorovski et al., 2015; Allen et al., 2018). Reaction with OH radical includes three possible H-abstraction channels: (a) the alkyl hydrogen, (b) the -OH hydrogen, 75 and (c) the -OOH hydrogen, which is followed by further reactions to generate 76 organic peroxy radicals (RO<sub>2</sub>) as reactive intermediates (Allen et al., 2018). Based on 77 our current mechanistic understanding, RO2 radicals have three possible channels in 78 pristine environments: (1) they can proceed self- and cross-reactions resulting in 79

80 formation of alkoxy radical RO, alcohol, carbonyl, accretion products (Berndt et al., 2018; Zhang et al., 2012; Valiev et al., 2019); (2) they can react with HO<sub>2</sub> radical 81 leading to the formation of closed-shell hydroperoxide (ROOH), RO radical, OH 82 radical, etc.; (Dillon and Crowley, 2008; Iyer et al., 2018) (3) they can undergo 83 autoxidation via intramolecular H-shift and alternating O<sub>2</sub>-addition steps producing 84 highly oxygenated organic molecules (HOMs), which have been identified as the low 85 volatility compounds that contribute to the formation of SOA (Crounse et al., 2013; 86 87 Jokinen et al., 2014; Wang et al., 2018; Ehn et al., 2014; Iyer et al., 2021). In urban environments, RO<sub>2</sub> radicals can react with NO<sub>x</sub> generating peroxynitrate (RO<sub>2</sub>NO<sub>2</sub>), 88 organic nitrate (RONO<sub>2</sub>), RO radical and other SOA precursors (Wang et al., 2017; 89 Xu et al., 2014, 2020; Ma et al., 2021). The relative importance of distinct pathways 90 depends strongly on the nature of RO<sub>2</sub> radicals and the concentrations of coreactants. 91

Hydroxymethyl hydroperoxide (HMHP, HOCH<sub>2</sub>OOH), the simplest HHPs come 92 from the ozonolysis of all terminal alkenes in the presence of water, is observed in 93 significant abundance in the atmosphere (Allen et al., 2018). The measured 94 95 concentration of HMHP is varied considerably depending on the location, season and altitude, and its concentration is measured to be up to 5 ppbv in forested regions 96 (Allen et al., 2018; Francisco and Eisfeld, 2009). Recently, the concentration of 97 HMHP was measured during the summer 2013 in the southeastern United States, and 98 99 found that the average mixing ratio of HMHP is 0.25 ppbv with a maximum of 4.0 ppbv in the boundary layer (Allen et al., 2018). Allen et al. (2018) conducted the 100 OH-initiated oxidation of HMHP in an environmental chamber and simulated the 101 impact of HMHP oxidation on the global formic acid concentration using the 102 chemical transport model GEOS-Chem. It was found that H-abstraction from the 103 methyl group of HMHP results in formic acid, and it contributes to the global formic 104 acid production about 1.7 Tg yr<sup>-1</sup>. Francisco and Eisfeld (2009) by employing ab 105 initio CCSD(T)//MP2 methods, studied the atmospheric oxidation mechanism of 106 107 HMHP initiated by OH radical, arriving at the same conclusion that the degradation of 108 HMHP could be a new source of formic acid in the atmosphere. Additionally, the unimolecular decomposition of HMHP is another important removal process in the 109

atmosphere. Chen et al. (2016b) found that the formation of  $CH_2O$  and  $H_2O_2$  is more 110 preferable than the production of HCOOH and H<sub>2</sub>O. Kumar et al. (2014) obtained the 111 same conclusion that the aldehyde- or ketone-forming pathway is kinetically favored 112 over that the carboxylic acid-forming channel in the unimolecular decomposition of a 113 variety of HHPs. All the above milestone investigations offer very useful information 114 for understanding the decomposition of HHPs in the gas phase. However, to the best 115 of our knowledge, there are few studies on the subsequent transformations of the 116 117 resulting H-abstraction products formed from the OH-initiated oxidation of larger HHPs. The effect of the size and number of substituents on the rates and outcomes of 118 SOA precursors (e.g. ROOR, HOMs) is uncertain up to now. Therefore, it is necessary 119 to assess the potential of larger HHPs and their oxidation products to substantial SOA 120 formation under different NO<sub>x</sub> conditions. 121

In this article, we mainly investigate the detailed mechanisms and kinetic 122 properties of distinct HHPs oxidation initiated by OH radical by employing quantum 123 chemical and kinetics modeling methods. For the resulting H-abstraction products 124 125 RO<sub>2</sub> radicals, the subsequent reactions involving self-reaction, isomerization and reaction with HO<sub>2</sub> radical are taken into account in the absence of NO, while the 126 subsequent reactions including addition, decomposition and H-abstraction by O2 are 127 considered in the presence of NO. The investigated HHPs in this work are generated 128 from the bimolecular reactions of distinct carbonyl oxides (CH<sub>2</sub>OO, anti-CH<sub>3</sub>CHOO 129 and  $(CH_3)_2COO$  with water vapor. 130

131 **2. Computational details** 

#### 132 **2.1 Electronic structure and energy calculations**

The equilibrium geometries of all the open-shell species, including reactant (R), pre-reactive complex (RC), transition state (TS), post-reactive complex (PC), and product (P), are fully optimized at the unrestricted M06-2X/6-311+G(2df,2p) level of theory (UM06-2X) (Zhao and Truhlar, 2006; Zheng and Truhlar, 2009), whereas all the closed-shell species are optimized at the restricted M06-2X/6-311+G(2df,2p) level of theory (RM06-2X). This is because the M06-2X functional has been proven to

produce reliable performance for describing thermochemistry, kinetics and 139 non-covalent interactions (Zhao and Truhlar, 2008). Harmonic vibrational frequencies 140 are performed at the same level to verify that each stationary point is either a true 141 minima (with no imaginary frequency) or a transition state (with one imaginary 142 frequency). Zero-point vibrational energy (ZPVE) and Gibbs free energy corrections 143  $(G_{\text{corr}})$  from harmonic vibrational frequencies are scaled by a factor of 0.98 (Zhao and 144 Truhlar, 2006). The intrinsic reaction coordinate (IRC) calculations are performed to 145 146 verify the connection between the transition state and the designated reactant and product (Fukui, 1981). The single-point energies are calculated at 147 the (U/R)M06-2X/ma-TZVP level of theory (Zheng, et al., 2011). 148

The tetroxide intermediate formed from the self-reaction of RO<sub>2</sub> radical proceeds 149 through the asymmetric two step O-O bond scission to produce a caged tetroxide 150 intermediate of overall singlet multiplicity comprising two same-spin alkoxyl radicals 151 (spin down) and triplet oxygen (spin up). This type of reaction mechanism can be 152 described by the broken symmetry unrestricted DFT (UDFT) and multi-reference 153 154 CASSCF methods (Lee, et al., 2016; Bach, et al., 2005). Previous studies have demonstrated that the UDFT method is suitable to identify the minimum of metastable 155 singlet caged radical complex and the transition state of O-O bond homolysis, for 156 which the energies are comparable to the more accurate and expensive CASSCF 157 method (Lee, et al., 2016; Bach, et al., 2005). In the present study, the UDFT method 158 is selected to study the asymmetric O-O bond scission and represents a compromise 159 between the computational accuracy and efficiency. The broken symmetry 160 UM06-2X/6-311+G(2df,2p) method is applied to generate the initial guesses of the 161 tetroxide intermediate and transition state geometries with mixed HOMO and LUMO 162  $(S^2 \approx 1)$  by using the guess = mix keyword. The single-point energies are refined at 163 the UM06-2X/ma-TZVP level of theory. 164

In order to further evaluate the reliability of the employed method in predicting reaction mechanism, the single-point energies for all the stationary points involved in the initiation reactions of OH radical with distinct HHPs are recalculated at the (U/R)CCSD(T)/6-311+G(2df,2p) level of theory based on the (U/R)M06-2X

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optimized geometries. Furthermore, the basis set superposition error (BSSE) is also 169 performed to evaluate the stability of the pre-reactive complexes by employing the 170 counterpoise method (Boys and Bernardi, 1970). For simplicity, no prefix is adopted 171 throughout this article. Herein, the Gibbs free energy (G) for each species is obtained 172 by combining the single-point energy with the Gibbs correction ( $G = G_{corr} + E$ ). The 173 electronic energy ( $\Delta E_a^{\#}$ ) and free energy ( $\Delta G_a^{\#}$ ) barriers are defined as the difference 174 in energy between transition state and pre-reactive complex ( $\Delta E_a^{\#} = E_{\rm TS}$  -  $E_{\rm RC}$  and 175  $\Delta G_a^{\#} = G_{\text{TS}} - G_{\text{RC}}$ ). The reaction free energy ( $\Delta G$ ) is referred to the difference in 176 energy between product and reactant ( $\Delta G = G_{\rm P} - G_{\rm R}$ ). The calculated  $\Delta E_a^{\#}$  and  $\Delta G_a^{\#}$ 177 for the initiation H-abstraction pathways are summarized in Table S1. As shown in 178 Table S1, the mean absolute deviations (MADs) of  $\Delta E_a^{\#}$  and  $\Delta G_a^{\#}$  between 179 CCSD(T)/6-311+G(2df,2p) and M06-2X/ma-TZVP approaches are 0.43 and 0.45 180 kcal mol<sup>-1</sup>, respectively; the largest deviations of  $\Delta E_a^{\#}$  and  $\Delta G_a^{\#}$  are 1.2 and 1.1 181 kcal mol<sup>-1</sup>, respectively. These results reveal that the energies obtained from the 182 M06-2X/ma-TZVP method are in very good accord with those from the gold-standard 183 184 coupled-cluster approach CCSD(T) within the uncertainties of systematic errors. Therefore, the M06-2X/ma-TZVP method is selected to investigate the atmospheric 185 degradation of HHP initiated by OH radical under different conditions. In the 186 following sections, unless otherwise stated, the  $\Delta G_a^{\#}$  is applied to construct the 187 reaction profiles. 188

For the H-shift reactions of RO<sub>2</sub> radicals, reactants, transition states and products 189 have multiple conformers. Previous literature has demonstrated that the reaction 190 kinetics of multiconformers involvement are more precisely than that of the single 191 conformer approximation (Møller, et al., 2016, 2020). Herein, the multiconformer 192 treatment is performed to investigate the H-shift reactions of RO<sub>2</sub> radicals. A 193 conformer search within the Molclus program is employed to generate a pool of 194 conformers for RO<sub>2</sub> radicals (Lu, 2020). The selected conformers are further 195 optimized at the M06-2X/6-311+G(2df,2p) level of theory, followed by single-point 196 energy calculations at the M06-2X/ma-TZVP level of theory. On the basis of the 197 calculated Gibbs free energies, the Boltzmann populations  $(w_i)$  of each RO<sub>2</sub> 198

199 conformer is expressed as eqn 1.

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$$w_i = \frac{e^{-\Delta G_i/k_B T}}{\sum_i e^{-\Delta G_i/k_B T}}$$
(1)

where  $\Delta G_i$  is the relative Gibbs free energy of conformer i,  $k_B$  is the Boltzmann's constant, *T* is temperature in Kelvin. All the quantum chemical calculations are performed by using the Gaussian 09 program package (Frisch, et al., 2009).

204 **2.2 Kinetics calculations** 

The rate coefficients of unimolecular reactions are calculated by using the 205 Rice-Ramsperger-Kassel-Marcus theory coupled with energy-grained master equation 206 (RRKM-ME) method (Holbrook, 1996), and the rate coefficients of bimolecular 207 reactions are determined by utilizing traditional transition state theory (TST) 208 (Fern ández-Ramos, 2007). The RRKM-ME calculations are performed by 209 implementing the MESMER 6.0 program suite (Glowacki, et al., 2012). N<sub>2</sub> is used as 210 the buffer gas. The single exponential down model is employed to simulate the 211 collision energy transfer ( $\langle \Delta E \rangle_{down} = 200 \text{ cm}^{-1}$ ). The collisional Lennard-Jones 212 parameters are estimated by using an empirical formula described by Gilbert and 213 214 Smith (1990). For the H-shift reactions of RO<sub>2</sub> radicals, the rate coefficients are determined by employing the multiconformer transition state theory (MC-TST) 215 approach (Møller, et al., 2016). The MC-TST rate coefficient  $k_{\text{MC-TST}}$  is calculated by 216 the sum of the individual intrinsic reaction coordinate TST (IRC-TST) rate coefficient 217 k<sub>IRC-TST</sub>, each weighted by Boltzmann population of corresponding RO<sub>2</sub> conformer 218 (Møller, et al., 2016). 219

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$$k_{\text{MC-TST}} = \sum_{i}^{\text{all TS conf.}} w_i \times k_{\text{IRC-TST}i}$$
(2)

where  $k_{\text{IRC-TST}i}$  represents the rate coefficient of conformer *i*, and  $w_i$  is the relative Boltzmann population of the corresponding reactant connected to TS<sub>*i*</sub>. The one-dimensional asymmetry Eckart model is employed to calculate the tunneling correction (Eckart, 1930). Considering the uncertainty in barrier heights (~ 1.0 kcal mol<sup>-1</sup> by the M06-2X method) and in tunneling corrections, the uncertainty of the calculated rate coefficient is about one order of magnitude in the present study.

## **3. Results and discussion**

## **3.1 Initiation reaction of HHPs with OH radical**

Previous literatures have proposed that the lifetime of CI with respect to the 229 reaction with water vapour exhibits strong dependence on the nature of CIs (Anglada 230 231 and Sol é, 2016; Taatjes, et al., 2013; Anglada, et al., 2011), and the primary product is 232 HHPs in both gas phase and air/water interface (Chao, et al., 2015; Chen, et al., 2016a; Smith et al., 2015; Zhu et al., 2016; Zhong et al., 2018). In the present study, we 233 mainly consider three kinds of HHPs originated from the addition of water to CH<sub>2</sub>OO 234 and methyl-substituted CI (anti-CH<sub>3</sub>CHOO and (CH<sub>3</sub>)<sub>2</sub>COO). The lowest-energy 235 conformers HHP (HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH) are 236 obtained from the previous study as shown in Figure 1 (Chen et al., 2019), and they 237 are selected as model system to investigate the atmospheric degradation mechanism 238 of HHP initiated by OH radical. Letters and numbers are applied to mark carbon, 239 240 oxygen and hydrogen atoms in different reaction sites.



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Figure 1. The structures of distinct HHPs

The free-energy and electronic-energy potential energy surfaces (PESs) for the initiation reactions of OH radical with HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH are presented in Figures 2-4 and S1-S3, respectively. The optimized geometries of all the stationary points are displayed in Figures S6-S8, respectively. As can be seen in Figure 2, the reaction for HOCH<sub>2</sub>OOH with OH radical proceeds via four distinct pathways: H-abstraction from the  $-O_1H_1$  (R1),  $-C_1H_3$  (R2),  $-C_1H_4$  (R3)

and  $-O_2O_3H_2$  groups (R4). For each pathway, a pre-reactive complex with a six- or 249 seven-membered ring structure is formed in the entrance channel, which is stabilized 250 by hydrogen bond interactions between the oxygen atom of OH radical and the 251 abstraction hydrogen atom of HOCH<sub>2</sub>OOH, and the remnant hydrogen atom of OH 252 radical and one of oxygen atoms of HOCH<sub>2</sub>OOH (Figure S6). Then, it surmounts 253 modest barrier that is higher in energy than the reactants to reaction. The reaction 254 barriers  $\Delta G_a^{\#}$  are reduced in the order of 6.4 (R1) > 5.8 (R2)  $\approx$  5.4 (R3) > 1.5 (R4) 255 kcal mol<sup>-1</sup>, indicating that H-abstraction from the  $-O_2O_3H_2$  group (R4) is more 256 preferable than those from the  $-O_1H_1$ ,  $-C_1H_3$  and  $-C_1H_4$  groups (R1-R3). The same 257 conclusion is also derived from the energy barriers  $\Delta E_a^{\#}$  that R4 is the most favorable 258 H-abstraction pathway (Figure S1). The difference of barrier heights can be attributed 259 to the bond dissociation energy (BDE) of different types of bonds in HOCH<sub>2</sub>OOH 260 molecule. The BDE decrease in the order of 103.7  $(O_1-H_1) > 98.2$   $(C_1-H_3) \approx 97.4$ 261  $(C_1-H_4) > 87.2$   $(O_3-H_2)$  kcal mol<sup>-1</sup>, which are in good agreement with the order of 262 barrier heights of H-abstraction reactions. As indicated by their reaction free energy 263 264 values, it can be found that the exothermicity of R4 is the largest among these four H-abstraction reactions. Based on the above discussions, it is concluded that 265 H-abstraction from the -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> group resulting in formation of HOCH<sub>2</sub>OO radical 266 (R4) is feasible on both thermodynamically and kinetically. 267

Considering the different reaction sites of hydrogen atoms, the atmospheric 268 transformation of HOCH(CH<sub>3</sub>)OOH from the anti-CH<sub>3</sub>CHOO + H<sub>2</sub>O reaction should 269 have six possible H-abstraction pathways as presented in Figure 3. As shown in 270 Figure 3, each H-abstraction reaction begins with the formation of a weakly bound 271 hydrogen bonded pre-reactive complex with a six- or seven-membered ring structure 272 in the entrance channel (Figure S7). Then it immediately transforms into the 273 respective product via the corresponding transition state. The  $\Delta G_a^{\#}$  of H-abstraction 274 from the  $-C_1H_3$  (R6) and  $-O_2O_3H_2$  (R8) groups are 2.2 and 1.7 kcal mol<sup>-1</sup>, respectively, 275 which are ~ 4-5 kcal mol<sup>-1</sup> lower than those from the  $-O_1H_1$  (R5) and  $-CH_3$  groups 276 (R7). This result shows that R6 and R8 have nearly identical importance in the 277 atmosphere. Compared with the barriers of H-abstraction at the  $C_{\alpha}$  (R6) and  $C_{\beta}$  (R7) 278

positions, it can be found that the former case is more favourable than the latter case. This conclusion is further supported by Jara-Toro's study for the reactions of OH radical with linear saturated alcohols (methanol, ethanol and n-propanol) that H-abstraction at the  $C_{\alpha}$  position is predominant (Jara-Toro, et al., 2017, 2018).

For the OH-initiated oxidation of HOCH(CH<sub>3</sub>)OOH from the syn-CH<sub>3</sub>CHOO + 283 H<sub>2</sub>O reaction, the corresponding free-energy and electronic-energy PESs are 284 displayed in Figures S4 and S5, respectively. From Figure S4, it can be seen the 285 286 H-abstraction by OH radical from HOCH(CH<sub>3</sub>)OOH has six possible pathways. For each pathway, a per-reactive complex is formed prior to the corresponding transition 287 state, and then it overcomes modest barrier to reaction. The  $\Delta G_a^{\#}$  of R6' and R8' are 288 2.3 and 1.8 kcal  $mol^{-1}$ , respectively, which are about 5 kcal  $mol^{-1}$  lower than those of 289 290 R5' and R7'. This result shows that H-abstraction from the -CH (R6') and -OOH (R8') groups are preferable kinetically. The same conclusion is also derived from the energy 291 barriers  $\Delta E_a^{\#}$  that the R6' and R8' are the most favourable H-abstraction pathways 292 (Figure S5). It should be noted that although the barriers of R6' and R8' are 293 294 comparable, the exoergicity of the former case is significantly lower than that of the latter case. The above-mentioned conclusions are consistent with the results derived 295 from the OH-initiated oxidation of HOCH(CH<sub>3</sub>)OOH from the anti-CH<sub>3</sub>CHOO + 296 H<sub>2</sub>O reaction. Zhou et al. has demonstrated that the bimolecular reaction of 297 syn-CH<sub>3</sub>CHOO with water leading to the formation of HOCH(CH<sub>3</sub>)OOH is of less 298 importance in the atmosphere, while the unimolecular decay to OH radical is the 299 major loss process of syn-CH<sub>3</sub>CHOO (Zhou et al., 2019). Therefore, in the present 300 study, we mainly focus on the subsequent mechanism of intermediate generated from 301 OH-initiated oxidation of HOCH(CH<sub>3</sub>)OOH from the anti-CH<sub>3</sub>CHOO + H<sub>2</sub>O 302 303 reaction.

From Figure 4, it can be seen that H-abstraction from  $HOC(CH_3)_2OOH$ includes eight possible H-abstraction pathways. All the H-abstraction reactions are strongly exothermic and spontaneous, signifying that they are thermodynamically feasible under atmospheric conditions. It deserves mentioning that the release of energy of R12 is significantly greater than those of R9-R11. For each H-abstraction

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pathway, a RC with a six- or seven-membered ring structure is formed prior to the corresponding TS, which is more stable than the separate reactants due to the hydrogen bond interactions between HOC(CH<sub>3</sub>)<sub>2</sub>OOH and OH radical. Then, the RC overcomes modest barrier to reaction. The  $\Delta G_a^{\#}$  of H-abstraction from the -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> group (R12) is 2.7 kcal mol<sup>-1</sup>, which is the lowest among these eight H-abstraction reactions. This result again shows that the H-abstraction from the -O<sub>2</sub>O<sub>3</sub>H<sub>2</sub> group is the dominant pathway.

316 The rate coefficients of every H-abstraction pathway involved in the initiation reactions of distinct HHPs with OH radical are estimated over the temperature range 317 from 273 to 400 K as summarized in Table S2-S4 and Figures S9-S11. As shown in 318 Table S2, the total rate coefficients  $k_{tot}$  of HOCH<sub>2</sub>OOH reaction with OH radical 319 decrease slightly with increasing temperature. At ambient temperature,  $k_{tot}$  is 320 estimated to be  $3.3 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, which is a factor of ~5 greater than the 321 Allen's result ((7.1  $\pm$  1.5)  $\times$  10<sup>-12</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, at 295 K) derived from the 322 reaction of HMHP with OH radical by using the CF<sub>3</sub>O<sup>-</sup> chemical ionization mass 323 324 spectrometry (CIMS) and laser-induced fluorescence (LIF) (Allen, et al., 2018). Such a discrepancy could be attributed to the uncertainty in barrier height and tunneling 325 correction.  $k_{R4(O3-H2)}$  is 1-2 orders of magnitude greater than  $k_{R1(O1-H1)}$ ,  $k_{R2(C1-H3)}$  and 326  $k_{\rm R3(C1-H4)}$  in the whole temperature range, implying that R4 is the most favorable 327 H-abstraction pathway. For example,  $k_{\rm R4(O3-H2)}$  is calculated to be 2.9  $\times 10^{-11}$  cm<sup>3</sup> 328 molecule<sup>-1</sup> s<sup>-1</sup> at 298 K, which is higher than  $k_{R1(O1-H1)}$  (1.8 × 10<sup>-13</sup>),  $k_{R2(C1-H3)}$  (9.9 × 329 10<sup>-13</sup>) and  $k_{\text{R3}(\text{C1-H4})}$  (2.0 × 10<sup>-12</sup>) by 161, 29 and 15 times, respectively. 330

From Table S3, it can be seen that the total rate coefficients  $k'_{tot}$  of 331 HOCH(CH<sub>3</sub>)OOH reaction with OH radical decrease in the range of  $4.5 \times 10^{-11}$  (273 332 K) to 8.1  $\times 10^{-12}$  (400 K) cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> with increasing temperature, and they 333 exhibit a slightly negative temperature dependence.  $k_{R8(O3-H2)}$  are approximately 334 identical to k'tot in the entire temperature range, which are 1-2 orders of magnitude 335 greater than  $k_{R5(O1-H1)}$ ,  $k_{R6(C1-H3)}$ ,  $k_{R7-1(C2-H4)}$ ,  $k_{R7-2(C2-H5)}$  and  $k_{R7-3(C2-H6)}$ . The result again 336 shows that H-abstraction from the -OOH group (R8) is preferable kinetically. It 337 should be noted that although the barriers of R8 and R6 are comparable,  $k_{R8(O3-H2)}$  is 338

about one order of magnitude higher than  $k_{R6(C1-H3)}$  over the temperature range studied. 339 The most likely reason is due to the stability of pre-reactive complexes that IM8-a is 340 341 more stable than IM6-a in energy. A similar conclusion is derived from the results of rate coefficients of  $HOC(CH_3)_2OOH + OH$  reaction that H-abstraction from the -OOH 342 group (R12) is favorable kinetically (Table S4). The atmospheric lifetime of 343 HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH reactivity toward OH radical 344 are estimated to be 0.58-1.74 h, 0.60-1.79 h and 1.23-3.69 h at room temperature 345 under typical OH radical concentrations of  $5-15 \times 10^6$  molecules cm<sup>-3</sup> during daylight 346 (Long et al., 2017). 347

In summary, the dominant pathway is the H-abstraction from the -OOH group in 348 the initiation reactions of OH radical with HOCH<sub>2</sub>OOH. H-abstraction from the -CH 349 group is competitive with that from the -OOH group in the reaction of OH radical 350 with HOCH(CH<sub>3</sub>)OOH. Compared with the barriers of H-abstraction from the -OOH 351 and -CH<sub>2</sub> groups in the reaction of OH radical with HOCH<sub>2</sub>OOH, it can be found 352 that the barrier of H-abstraction from the -CH group is reduced by 3.6 kcal mol<sup>-1</sup>, 353 whereas the barrier of H-abstraction from the -OOH group is increased by 0.2 354 kcal mol<sup>-1</sup> when a methyl group substitution occurs at the C1-position of 355 HOCH<sub>2</sub>OOH. The dominant pathway is the H-abstraction from the -OOH group in 356 the reaction of OH radical with HOC(CH<sub>3</sub>)<sub>2</sub>OOH, and the barrier height is increased 357 by 1.2 kcal mol<sup>-1</sup> compared to the OH + HOCH<sub>2</sub>OOH system. The barrier of 358 H-abstraction from the -OOH group is slightly increased as the number of methyl 359 group is increased. It is interesting to compare the rate coefficient of dominant 360 pathway in the OH + HOCH<sub>2</sub>OOH system with that for the analogous reactions in 361 362 the OH + HOCH(CH<sub>3</sub>)OOH and OH + HOC(CH<sub>3</sub>)<sub>2</sub>OOH reactions. It can be found that the rate coefficient is almost identical when a methyl group substitution occurs 363 at the C<sub>1</sub>-position, whereas the rate coefficient reduces by a factor of 2-5 when two 364 methyl groups are introduced into the C<sub>1</sub>-position. 365



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**Figure 2.** PES ( $\Delta G_a^{\#}$ ) for the OH-initiated reactions of HOCH<sub>2</sub>OOH from the CH<sub>2</sub>OO + H<sub>2</sub>O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)



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**Figure 3.** PES  $(\Delta G_a^{\#})$  for the OH-initiated reactions of HOCH(CH<sub>3</sub>)OOH from the anti-CH<sub>3</sub>CHOO + H<sub>2</sub>O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)





**Figure 4.** PES ( $\Delta G_a^{\#}$ ) for the OH-initiated reactions of HOC(CH<sub>3</sub>)<sub>2</sub>OOH from the (CH<sub>3</sub>)<sub>2</sub>COO + H<sub>2</sub>O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)

# **378 3.2 Subsequent reactions of H-abstraction products RO<sub>2</sub> radicals**

# 379 in pristine environments

In principle, the H-abstraction products RO<sub>2</sub> radicals have three possible fates in 380 pristine environments: (1) the self-reactions of RO<sub>2</sub> radicals can either produce RO + 381  $R'O + O_2$  (propagation channel), or generate  $ROH + R'(-H, =O) + O_2$  or produce 382 ROOR  $+ O_2$  (termination channel) that has been recognized as an important SOA 383 precursor (Berndt et al., 2018; Zhang et al., 2012); (2) RO<sub>2</sub> radicals react with HO<sub>2</sub> 384 385 radical leading to the formation of hydroperoxide ROOH, alcohol, OH and other products (Winiberg et al., 2016; Chen et al., 2021); (3) RO<sub>2</sub> radicals autoxidation 386 through intramolecular H-shift and alternating O2 addition steps generate HOMs (Ehn 387 et al., 2014; Bianchi et al., 2019; Nozi ère and Vereecken, 2019; Rissanen et al., 2014). 388 The relevant details for these three kinds of reactions will be discussed in the 389 following paragraph. 390

# 391 **3.2.1 Reactions mechanism for the self-reaction of RO<sub>2</sub> radicals**

The self-reaction is one of dominant removal pathways for RO<sub>2</sub> radicals when 392 the concentration of NO is low and the concentration of RO<sub>2</sub> radicals is high. The 393 self-reaction of RO<sub>2</sub> radicals usually follows the Russell mechanism (Russell, 1957), 394 and mainly includes four possible pathways: (1)  $2RO_2 \rightarrow 2RO + O_2$ ; (2)  $2RO_2 \rightarrow$ 395 ROH + R'CO + O<sub>2</sub>; (3)  $2RO_2 \rightarrow ROOR + O_2$ ; (4)  $2RO_2 \rightarrow ROOH + R'CHOO$ 396 (Atkinson and Arey, 2003). The relative importance of different pathways is varied 397 considerably depending on the nature of RO<sub>2</sub> radicals (Valiev et al., 2019; Lee et al., 398 2016). A schematic PES for the self-reaction of HOCH<sub>2</sub>OO radical is drawn in Figure 399 5. As can be seen in Figure 5a, the self-reaction of HOCH<sub>2</sub>OO radical starts with the 400 formations of tetroxide complexes IM13-a and IM14-a in the entrance channel, with 401 2.9 and 3.4 kcal mol<sup>-1</sup> stability. Then they fragment into dimer S13 +  ${}^{1}O_{2}$  (R13) and 402 HOCH<sub>2</sub>OOH + HOCHOO (R14) via transition states TS13 and TS14 with the barriers 403 of 43.3 and 51.5 kcal mol<sup>-1</sup>. But the barriers of R13 and R14 are extremely high, 404 making them irrelevant in the atmosphere. 405

From Figure 5b, it is seen that the self-reaction of HOCH<sub>2</sub>OO radical proceeds 406 via oxygen-to-oxygen coupling leading to the formation of tetroxide intermediate S14 407 with the electronic energy and free energy barriers of 7.3 and 19.6 kcal mol<sup>-1</sup>. Kumar 408 and Francisco reported that the electronic energy barrier of the gas phase 409 decomposition of HOCH<sub>2</sub>OO radical is 14.0 kcal mol<sup>-1</sup> and it could be a new source 410 of HO<sub>2</sub> radical in the troposphere (Kumar and Francisco, 2015, 2016). Compared with 411 the electronic energy barriers of unimolecular dissociation of HOCH<sub>2</sub>OO radical and 412 its self-reaction, it can be found that the self-reaction of HOCH<sub>2</sub>OO radical resulting 413 in formation of S14 is significantly feasible. The formed S14 can fragment into 414 HOCH<sub>2</sub>O + HCOOH + HO<sub>2</sub> via a concerted process of O<sub>2</sub>-O<sub>3</sub> and O<sub>5</sub>-O<sub>6</sub> bonds 415 rupture and O<sub>3</sub>-H<sub>6</sub> bond forming with the barrier of 29.8 kcal mol<sup>-1</sup>. Alternatively, S14 416 can convert into the caged tetroxide intermediate S16 through the asymmetric two 417 step  $O_2$ - $O_3$  and  $O_5$ - $O_6$  bonds scission with the barriers of 19.1 and 3.1 kcal mol<sup>-1</sup>, 418 respectively. The result shows that the latter pathway is more preferable than the 419

former channel owing to its lower barrier. The overall spin multiplicity of S16 is 420 singlet, in which the  $O_2$  moiety maintains the triplet ground state (spin up) and is very 421 loosely bound. In order to preserve the overall singlet multiplicity, the two HOCH<sub>2</sub>O 422 radical pairs ( $^{3}(HOCH_{2}O \cdots HOCH_{2}O)$ ) must have the triplet multiplicity (spin down). 423 S16 could be regarded as the ground state <sup>3</sup>O<sub>2</sub> moving away from the two HOCH<sub>2</sub>O 424 radical pairs that keep interacting. Due to the difficulty in performing the constrained 425 optimization for the dissociation of S16, the  ${}^{3}O_{2}$  moiety is considered as a leaving 426 moiety away from two HOCH<sub>2</sub>O radical pairs, and merely the dissociation of 427  $^{3}$ (HOCH<sub>2</sub>O ·· HOCH<sub>2</sub>O) is taken into consideration in the present study. It has three 428 types of pathways: (1) it yields HOCH<sub>2</sub>OH and excited-state <sup>3</sup>HCOOH through the 429 alpha hydrogen transfer with the barrier of 14.0 kcal  $mol^{-1}$  and 10.2 kcal  $mol^{-1}$ 430 exothermicity, followed by the excited <sup>3</sup>HCOOH to go back to the ground-state 431 <sup>1</sup>HCOOH; (2) it generates two HOCH<sub>2</sub>O radicals via the barrierless process with the 432 exoergicity of 16.9 kcal mol<sup>-1</sup>; (3) it produces dimer S17 via an intersystem crossing 433 (ISC) step with the exoergicity of 32.1 kcal mol<sup>-1</sup>. Based on the calculated reaction 434 barriers, it can be found that the rate-limiting step is the cleavage of O<sub>2</sub>-O<sub>3</sub> bond (R17) 435 in the unimolecular decay processes of S14. This conclusion coincides with the 436 previous result obtained from the dissociation of di-t-butyl tetroxide that the 437 rate-controlling step is the rupture of single O-O bond (Lee et al., 2016). Valiev et al. 438 (2019) proposed that the ISC rate of ROOR dimer formed from the different 439 (RO  $\cdot \cdot R'O$ ) systems is extremely rapid (> 10<sup>8</sup> s<sup>-1</sup>) and exhibits a strong 440 stereoselectivity. 441

Figure 6 depicts a schematic PES for the self-reaction of HOCH(CH<sub>3</sub>)OO radical. 442 As shown in Figure 6a, the self-reaction of HOCH(CH<sub>3</sub>)OO radical can either 443 produce dimer S18 along with <sup>1</sup>O<sub>2</sub> via transition state TS20 with the barrier of 44.4 444 kcal mol<sup>-1</sup>, or generate HOCH(CH<sub>3</sub>)OOH and HOC(CH<sub>3</sub>)OO though transition state 445 TS21 with the barrier of 54.3 kcal mol<sup>-1</sup>. But the barriers of R20 and R21 are 446 significantly high, making them of less importance in the atmosphere. Alternatively, 447 448 the self-reaction of HOCH(CH<sub>3</sub>)OO radical proceeds via an oxygen-to-oxygen coupling resulting in formation of tetroxide intermediate S19 with the barrier of 19.9 449

kcal mol<sup>-1</sup> (Figure 6b). The formed S19 proceeds through the asymmetric two step 450 O<sub>2</sub>-O<sub>3</sub> and O<sub>5</sub>-O<sub>6</sub> bonds scission to produce a caged tetroxide intermediate S21 of 451 overall singlet multiplicity comprising two same-spin alkoxyl radicals (spin down) 452 and triplet oxygen (spin up). These two processes overcome the barriers of 21.4 and 453 1.3 kcal mol<sup>-1</sup>, respectively. Then, S21 decomposes into the propagation 454  $(2HOCH(CH_3)O + {}^{3}O_2)$  and termination products  $(HOCH(CH_3)OH + {}^{3}CH_3OOH +$ 455  ${}^{3}O_{2}$  and dimer S22 +  ${}^{3}O_{2}$ ) with the exoergicity of 12.5, 11.7 and 33.0 kcal mol<sup>-1</sup>. The 456 rate-determining step is the rupture of  $O_2$ - $O_3$  bond (R24) in the dissociation processes 457 of S19. 458

As shown in Figure 7, the dominant pathway for the self-reaction of 459 HO(CH<sub>3</sub>)<sub>2</sub>COO radical begins with the formation of tetroxide intermediate S24 via an 460 oxygen-to-oxygen coupling transition state TS28 with the barrier of 20.4 kcal  $mol^{-1}$ ; 461 then it transforms into the caged tetroxide intermediate S26 of overall singlet spin 462 multiplicity through the asymmetric two-step O-O bond cleavage with the barriers of 463 22.0 and 3.4 kcal mol<sup>-1</sup>; finally, S26 can either produce two HO(CH<sub>3</sub>)<sub>2</sub>CO radicals 464 with the exoergicity of 10.3 kcal  $mol^{-1}$ , or generate dimer S27 with the exothermicity 465 of 31.5 kcal mol<sup>-1</sup>. Compared with the self-reactions of HOCH<sub>2</sub>OO and 466 HOCH(CH<sub>3</sub>)OO radicals, it can be found that the termination product of the 467 self-reaction of HOC(CH<sub>3</sub>)<sub>2</sub>OO radical is exclusively dimer S27. The reason is due to 468 the absence of alpha hydrogen atom in HOC(CH<sub>3</sub>)<sub>2</sub>OO radical. Compared with the 469 barrier of rate-determining route R17 in the self-reaction of HOCH<sub>2</sub>OO radical, it can 470 be found that the barrier of rate-limiting step R29 is increased by about 3.0 kcal mol<sup>-1</sup> 471 when two methyl substitutions are introduced into the C1-position of HOCH<sub>2</sub>OO 472 radical. The reason might be attributed to the cage escape of alkoxyl radicals. It is 473 therefore that the tertiary RO<sub>2</sub> radicals have great opportunity to react with HO<sub>2</sub> 474 radical or undergo autoxidation in pristine environments. 475



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**Figure 5.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the self-reaction of HOCH<sub>2</sub>OO radicals predicted at

479 the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory



**Figure 6.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the self-reaction of HOCH(CH<sub>3</sub>)OO radicals 483 predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory





**Figure 7.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the self-reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO radicals predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

### 487 **3.2.2 Reactions mechanism for the reaction of RO<sub>2</sub> radicals with HO<sub>2</sub>**

### 488 radical

When NO is present in low concentration, the bimolecular reaction of RO<sub>2</sub> 489 radicals with HO<sub>2</sub> radical is generally expected to be the dominant pathway as the 490 main product hydroperoxide ROOH. The primary sources of HO<sub>2</sub> radical involve the 491 photo-oxidation of oxygenated volatile organic compounds (OVOCs) and the 492 ozonolysis reaction, as well as secondary sources include the reactions of OH radical 493 with CO, ozone and volatile organic compounds (VOCs), the reaction of alkoxy 494 radical RO with  $O_2$  and the red-light-induced decomposition of  $\alpha$ -hydroxy 495 methylperoxy radical OHCH2OO (Kumar and Francisco, 2015; Stone et al., 2012; 496 Hofzumahaus et al., 2009). The atmospheric concentration of HO<sub>2</sub> radical is  $1.5-10 \times$ 497  $10^8$  molecules cm<sup>-3</sup> at ground level in polluted urban environments (Stone et al., 2012). 498 A schematic PES for the reactions of distinct RO<sub>2</sub> radicals with HO<sub>2</sub> radical is 499 presented in Figure 8. As shown in Figure 8, all the reactions are strongly exothermic 500 501 and spontaneous, indicating that they are feasible thermodynamically in the atmosphere. The reaction for HOCH<sub>2</sub>OO with HO<sub>2</sub> (R31) starts with the formation of 502

a pre-reactive complex IM31-a in the entrance channel, which is more stable than the 503 separate reactants by 3.8 kcal mol<sup>-1</sup> in energy. Then it converts into HOCH<sub>2</sub>OOH and 504  $O_2$  via a hydrogen atom transfer from the  $HO_2$  radical to the terminal oxygen atom of 505 HOCH<sub>2</sub>OO radical with the barrier of 2.0 kcal mol<sup>-1</sup>. The mechanism of 506 HOCH(CH<sub>3</sub>)OO + HO<sub>2</sub> (R32) and HO(CH<sub>3</sub>)<sub>2</sub>COO + HO<sub>2</sub> (R33) reactions is quite 507 similar to that of  $HOCH_2OO + HO_2$  system. In order to avoid redundancy, we will not 508 discuss them in detail. It deserves mentioning that the barrier height is only reduced 509 by 0.1 kcal mol<sup>-1</sup> when one or two methyl substitutions occur at the C1-position of 510 HOCH<sub>2</sub>OO radical, compared to the barrier of HOCH<sub>2</sub>OO + HO<sub>2</sub> reaction. This result 511 implies that the barrier height is not seem to be influenced by the number of methyl 512 substitution. The rate coefficients of distinct RO<sub>2</sub> radical reactions with HO<sub>2</sub> radical 513 are summarized in Table S5 and Figure S12. As shown in Table S5, the rate 514 coefficients  $k_{\rm R31}$  of HOCH<sub>2</sub>OO + HO<sub>2</sub> reaction vary from 3.1 × 10<sup>-11</sup> (273 K) to 2.1 × 515  $10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> (400 K), and they exhibit a negative temperature dependence. 516 Similar conclusion is also obtained from the rate coefficients  $k_{R32}$  and  $k_{R33}$  that they 517 decrease with the temperature increasing. It should be noted that the rate coefficient is 518 slightly increased as the number of methyl group is increased. At ambient temperature, 519  $k_{\rm R31}$  is estimated to be 1.7  $\times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>, which is in good agreement with 520 the value of  $\sim 2 \times 10^{-11}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> for the reaction of acyl peroxy radicals with 521 HO<sub>2</sub> radical (Wennberg et al., 2018). The typical atmospheric concentrations of HO<sub>2</sub> 522 radical are 5, 20 and 50 pptv in the urban, rural and forest environments (Bianchi et 523 al., 2019), translating into the pseudo-first-order rate constants  $k'_{HO2} = k_{HO2}[HO_2]$  of 524  $1.1 \times 10^{-3}$ ,  $4.2 \times 10^{-3}$  and  $1.1 \times 10^{-2}$  s<sup>-1</sup>, respectively. The pseudo-first-order rate 525 constants of R32 and R33 are predicted to be  $3.0 \times 10^{-3}$  and  $4.8 \times 10^{-3}$  (urban),  $1.1 \times 10^{-3}$ 526  $10^{-2}$  and  $1.8 \times 10^{-2}$  (rural),  $3.0 \times 10^{-2}$  and  $4.8 \times 10^{-2}$  s<sup>-1</sup> (forest) at room temperature. 527 528

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**Figure 8.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reactions of HO<sub>2</sub> radical with distinct RO<sub>2</sub> radicals predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

#### **3.2.3 Reactions mechanism for the isomerization of RO<sub>2</sub> radicals**

Autoxidation of RO<sub>2</sub> radicals is known to play an important role in the 533 (re)generation of HO<sub>x</sub> radicals and in the formation of HOMs (Xu et al., 2014; 534 Bianchi et al., 2019; Rissanen et al., 2014; Ehn et al., 2017). The autoxidation 535 mechanism includes an intramolecular H-shift from the -CH<sub>3</sub> or -CH<sub>2</sub>- groups to the 536 -OO site, leading to the formation of a hydroperoxyalkyl radical QOOH, followed by 537 O<sub>2</sub> addition to form a new peroxy radical (HOOQO<sub>2</sub>), one after the other, resulting in 538 formation of HOMs (Rissanen et al., 2014; Berndt et al., 2015). For the H-shift 539 reactions of RO<sub>2</sub> radicals, reactants, transition states and products have multiple 540 conformers due to the effect of degree of freedom for internal rotation. Based on the 541 calculated results, it can be found that HOCH<sub>2</sub>OO radical has four energetically 542 similar conformers (HOCH<sub>2</sub>OO-a, HOCH<sub>2</sub>OO-b, HOCH<sub>2</sub>OO-c and HOCH<sub>2</sub>OO-d). 543 The relative free energy and Boltzmann population  $(w_i)$  of individual conformer are 544 listed in Table S6. As shown in Table S6, the Boltzmann populations of these four 545 conformers are 46.39, 46.31, 2.99 and 4.32%, respectively. 546

547 A schematic PES for the H-shift reaction of  $HOCH_2OO$  radical is displayed in 548 Figure 9. As can be seen in Figure 9, the lowest-energy conformer  $HOCH_2OO$ -a can 549 proceed via a 1,3-H shift from the -CH<sub>2</sub> group to the terminal oxygen leading to the

formation of S28-a (HOCHOOH) with the barrier of 41.6 kcal mol<sup>-1</sup>. HOCH<sub>2</sub>OO-b 550 can isomerize to S28-b1 and S28-b2 via the four-membered ring transition states 551 TS34-b1 and TS34-b2 (1,3-H shifts) with the barriers of 41.6 and 45.0 kcal  $mol^{-1}$ . But 552 these three 1,3-H shift reactions have comparatively high barriers, making them 553 irrelevant in the atmosphere. Despite many attempts, the transition states of H-shift 554 reactions of HOCH<sub>2</sub>OO-c and HOCH<sub>2</sub>OO-d are not located. The result implies that 555 the H-shift reactions of these two conformers are inhibited, which is consistent with 556 557 the previous study that not all reactants will be in a conformation with a path across the barrier to reaction in the H-shift reactions of RO<sub>2</sub> radicals (Møler et al., 2016). 558 Equivalent to the case of HOCH<sub>2</sub>OO radical, the isomerization of HOCH(CH<sub>3</sub>)OO 559 radical proceeds via the 1,3- and 1,4-H shifts from the -CH or -CH<sub>3</sub> groups to the 560 terminal oxygen resulting in formation of hydroperoxyalkyl radicals (Figure S13). 561 These 1,3- and 1,4-H shift reactions accompany with the extremely high barriers (> 562 37.9 kcal mol<sup>-1</sup>), implying that they are of less importance in the atmosphere. Similar 563 conclusion is also derived from the isomerization of HO(CH<sub>3</sub>)<sub>2</sub>COO radical that 1,4-H 564 565 shift reactions are unfavourable kinetically (Figure S14). The high barriers of 1,3- and 1,4-H shifts can be interpreted as the result of the large ring strain energy (RSE) in the 566 cyclic transition state geometries. As a consequence, the isomerization reactions of 567 HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals are unlikely to proceed in 568 the atmosphere. This conclusion is further supported by the previous studies that the 569 intramolecular H-shift isomerizations are important only for RO<sub>2</sub> radicals with larger 570 carbon structures (Crounse et al., 2013; Jokinen et al., 2014; Rissanen et al., 2014). 571

The single-conformer rate coefficients  $(k_{\text{IRC-TST}})$  and multi-conformer rate 572 coefficients  $(k_{MC-TST})$  of the isomerization of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and 573 HOC(CH<sub>3</sub>)<sub>2</sub>OO radicals are calculated over the temperature range of 273-400 K as 574 listed in Table S9-S11. As can be seen in Table S9,  $k_{\text{IRC-TST}}$  of each conformer exhibits 575 a marked positive temperature dependence over the temperature range studied. 576  $k_{\text{MC-TST}}$  is significantly increased with rising temperature, implying that the 577 temperature increasing is beneficial to the occurrence of HOCH2OO radical 578 isomerization. Similar conclusion is also obtained from the isomerization of 579

HOCH(CH<sub>3</sub>)OO and HOC(CH<sub>3</sub>)<sub>2</sub>OO radicals (Table S10-S11). It is worth mentioning that  $k_{\text{MC-TST}}$  is rapidly increased as the number of methyl group is increased. For example, the room temperature  $k_{\text{MC-TST}}$  of HOCH<sub>2</sub>OO radical isomerization is calculated to be  $4.4 \times 10^{-16} \text{ s}^{-1}$ , which is lower than those of the HOCH(CH<sub>3</sub>)OO (2.9  $\times 10^{-13} \text{ s}^{-1}$ ) and HO(CH<sub>3</sub>)<sub>2</sub>COO (3.0  $\times 10^{-12} \text{ s}^{-1}$ ) radicals isomerization by 660 and 6820 times, respectively.



**Figure 9.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the isomerization of HOCH<sub>2</sub>OO radical predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

# **3.3 Subsequent reactions of H-abstraction products RO<sub>2</sub> radicals**

## 590 in urban environments

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NO<sub>x</sub> is present in high concentration in urban environments, reaction with NO is 591 the dominant chemical sink for RO<sub>2</sub> radicals (Atkinson and Arey, 2003; Orlando and 592 Tyndall, 2012; Perring et al., 2013). The main pathways in this type of reaction lead to 593 the formations of NO<sub>2</sub>, RO radicals, organic nitrites, and organic nitrates, and their 594 formation yields are highly dependent on the nature of R group (Orlando and Tyndall, 595 2012). The formation of NO<sub>2</sub> through subsequent photolysis ( $\lambda < 420$  nm) produces 596 ozone and NO, increasing the concentrations of near-surface ozone and propagating 597 NO<sub>x</sub> chain (Orlando and Tyndall, 2012). The schematic PES for the reactions of 598 distinct RO<sub>2</sub> radicals with NO are displayed in Figures 10-12. As shown in Figure 10, 599 the bimolecular reaction of HOCH<sub>2</sub>OO radical with NO initially leads to nitrite adduct 600

S31 via the barrierless addition of NO to terminal oxygen atom O<sub>3</sub> of HOCH<sub>2</sub>OO 601 radical. The formed S31 exists two isomers: S31-cis refers to the O<sub>2</sub> and O<sub>4</sub> on the 602 same side (DO<sub>2</sub>O<sub>3</sub>N<sub>1</sub>O<sub>4</sub> = 2.3 °), whereas S31-*trans* refers to the O<sub>2</sub> and O<sub>4</sub> on the 603 opposite side  $(DO_2O_3N_1O_4 = -179.8^\circ)$  with respect to the  $O_3-N_1$  bond. The 604 calculations show that S31-cis is more stable than S31-trans by 1.1 kcal  $mol^{-1}$  in 605 energy. The tautomerization between S31-cis and S31-trans proceeds through the 606 rotating of  $O_3$ - $N_1$  bond with the barrier of 14.4 kcal mol<sup>-1</sup>, implying that they can be 607 regarded as the separate atmospheric species. According to the Boltzmann-weighted 608 distribution, at room temperature, the predicted percentages of S31-cis and S31-trans 609 are 86.5% and 13.5%, respectively. The result implies that the dominant product of 610 HOCH<sub>2</sub>OO radical reaction with NO is S31-cis, and it is selected as a model 611 compound to insight into the mechanism of secondary reactions in the following 612 sections. 613

S31-cis can either isomerize to organic nitrate S32 (R38) via a concerted 614 process of  $O_2$ - $O_3$  bond breaking and  $O_2$ - $N_1$  bond forming with the barrier of 47.8 615 kcal mol<sup>-1</sup>, or decompose into HOCH<sub>2</sub>O radical and NO<sub>2</sub> (R39) via the cleavage of 616  $O_2$ - $O_3$  bond with the barrier of 11.3 kcal mol<sup>-1</sup>. The result shows that the latter 617 pathway is more favourable than the former channel. Similar conclusion is also 618 obtained from the reactions of NO with HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals 619 that the formation of organic nitrate is of minor importance in the atmosphere. This 620 result is further supported by the prior studies that the direct formation of organic 621 nitrate from peroxy nitrites is a minor channel in the reactions of isoprene-derived 622 RO<sub>2</sub> radicals with NO (Piletic et al., 2017; Zhang et al., 2002). It should be noted that 623 the transition state TS39 is not located using M06-2X functional, but it is located at 624 the MP2/6-311+G(2df,2p) level of theory and is verified using IRC calculations. The 625 formed HOCH<sub>2</sub>O radical has two possible pathways: (1) it directly decomposes into 626 CH<sub>2</sub>O and OH radical (R40) via  $\beta$ -site C<sub>1</sub>-O<sub>1</sub> bond scission with the barrier of 52.4 627 kcal mol<sup>-1</sup>; (2) it converts into HCOOH and HO<sub>2</sub> radical (R41) through H-abstraction 628 by  $O_2$  with the barrier of 26.4 kcal mol<sup>-1</sup>. This result reveals that R41 is the most 629 feasible channel in the fragmentation of HOCH<sub>2</sub>O radical. 630

631 From Figure 11, it can be seen that the addition NO to  $HOCH(CH_3)OO$  radical leading to the formation of S33-cis is barrierless. Then, it decomposes into 632 HOCH(CH<sub>3</sub>)O radical and NO<sub>2</sub> (R44) via the cleavage of O<sub>2</sub>-O<sub>3</sub> bond with the barrier 633 of 11.5 kcal  $mol^{-1}$ . The resulting HOCH(CH<sub>3</sub>)O radical has three possible pathways. 634 The first one is  $\beta$ -site C<sub>1</sub>-C<sub>2</sub> bond scission leading to the formation of HCOOH + CH<sub>3</sub> 635 (R45) with the barrier of 8.3 kcal mol<sup>-1</sup>. The second one is  $\beta$ -site C<sub>1</sub>-O<sub>1</sub> bond cleavage 636 resulting in formation of CH<sub>3</sub>COH + OH (R46) with the barrier of 26.7 kcal mol<sup>-1</sup>. 637 The third one is H-abstraction by  $O_2$  leading to  $CH_3COOH + HO_2$  (R47) with the 638 barrier of 26.2 kcal mol<sup>-1</sup>. Based on the calculated reaction barriers, it can be found 639 that  $\beta$ -site C<sub>1</sub>-C<sub>2</sub> bond scission is the dominant pathway in the fragmentation of 640 HOCH(CH<sub>3</sub>)O radical. This conclusion is further supported by the previous 641 experimental result that  $\beta$ -hydroxy intermediates primarily proceed decomposition 642 rather than react with O<sub>2</sub> in the presence of NO (Aschmann et al., 2000). Equivalent to 643 the HOCH(CH<sub>3</sub>)OO + NO reaction, the bimolecular reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO 644 radical with NO has similar transformation pathways (Figure 12). The reaction for 645 HO(CH<sub>3</sub>)<sub>2</sub>COO with NO initially proceeds via a barrierless addition leading to 646 S35-cis with the binding energy of 12.6 kcal mol<sup>-1</sup>. Then, S35-cis fragments into 647 HO(CH<sub>3</sub>)<sub>2</sub>CO radical along with NO<sub>2</sub> (R50) via the cleavage of O<sub>2</sub>-O<sub>3</sub> bond with the 648 barrier of 11.4 kcal mol<sup>-1</sup>. The formed HO(CH<sub>3</sub>)<sub>2</sub>CO radical can either dissociate to 649  $CH_3COOH + CH_3$  (R51) via the C<sub>1</sub>-C<sub>3</sub> bond scission with the barrier of 8.2 kcal mol<sup>-1</sup>, 650 or decompose into  $CH_3COCH_3 + OH$  (R52) through the  $C_1-O_1$  bond breaking with the 651 barrier of 24.3 kcal mol<sup>-1</sup>. The result again shows that the  $\beta$ -site C-C bond scission is 652 the dominant pathway. 653

The typical atmospheric concentrations of NO are about 10 ppbv, 1 ppbv and 20 pptv in the urban, rural and forest environments (Bianchi et al., 2019). The rate coefficient of HOCH<sub>2</sub>OO radical reaction with NO is calculated to be  $4.3 \times 10^{-12}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> at room temperature, resulting in the pseudo-first-order rate constants  $k'_{NO} = k_{NO}[NO]$  of  $6.5 \times 10^{-1}$ ,  $6.5 \times 10^{-2}$ , and  $1.3 \times 10^{-3}$ , respectively, in the urban, rural and forest environments. It is of interest to assess the relative importance for the H-shift reaction of HOCH<sub>2</sub>OO radical and bimolecular reactions with HO<sub>2</sub> radical and NO based on the calculated  $k_{\text{MC-TST}}$ ,  $k'_{\text{HO2}}$  and  $k'_{\text{NO}}$ . It can be found that the H-shift reaction is of less importance, the HO<sub>2</sub> radical reaction is favorable in the forest environments, while the NO reaction is predominant in the urban and rural regions. Similar conclusion is also obtained from the cases of HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>CHOO radicals.

The rate coefficients of the dominant pathways of HOCH<sub>2</sub>O, HOCH(CH<sub>3</sub>)O and 666 HO(CH<sub>3</sub>)<sub>2</sub>CHO radicals fragmentation are summarized in Table S12. As can be seen 667 in Table S12,  $k_{R41}$  is slightly increased with the temperature increasing, and the 668 discrepancy is about a factor of 12 at the two extremes of temperature. At ground 669 level with  $[O_2] = \sim 5.0 \times 10^{18}$  molecule cm<sup>-3</sup>, the pseudo-first-order rate constant  $k'_{O2}$ 670 =  $k_{\rm R41}$ [O<sub>2</sub>] is estimated to be 38.0 s<sup>-1</sup> at room temperature.  $k_{\rm R45}$  vary significantly from 671  $2.0 \times 10^6$  (273 K) to  $3.1 \times 10^8$  (400 K) s<sup>-1</sup>, and they exhibit a marked positive 672 temperature dependence. Similar phenomenon is also observed from  $k_{R51}$  that  $k_{R51}$  is 673 significantly increased with increasing temperature.  $k_{R51}$  is a factor of ~1.3 greater 674 than  $k_{R45}$  in the temperature range studied, implying that the rate coefficient of  $\beta$ -site 675 C-C bond scission is slightly increased as the number of methyl group is increased. 676



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**Figure 10.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reaction of HOCH<sub>2</sub>OO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)



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**Figure 11.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reaction of HOCH(CH<sub>3</sub>)OO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)



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**Figure 12.** PES ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the reaction of HO(CH<sub>3</sub>)<sub>2</sub>COO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)

690 **4. Conclusions** 

The detailed mechanisms and kinetic properties of OH-initiated oxidation of distinct HHPs and subsequent transformation of resulting H-abstraction products are investigated using quantum chemical and kinetics modeling methods. The main conclusions are summarized as follows:

(a) The dominant pathway is the H-abstraction from the -OOH group in the initiation reactions of OH radical with HOCH<sub>2</sub>OOH and HOC(CH<sub>3</sub>)<sub>2</sub>OOH. H-abstraction from the -CH group is competitive with that from the -OOH group in

the reaction of OH radical with HOCH(CH<sub>3</sub>)OOH. The barrier of H-abstraction from 698 the -OOH group is slightly increased as the number of methyl group is increased. 699 Compared with the rate coefficient of dominant pathway in the parent system, it is 700 almost identical when a methyl group substitution occurs at the  $C_1$ -position, whereas 701 it reduces by a factor of 2-5 when two methyl groups are introduced into the 702 C1-position. The atmospheric lifetime of HOCH2OOH, HOCH(CH3)OOH and 703 HOC(CH<sub>3</sub>)<sub>2</sub>OOH reactivity toward OH radical are estimated to be 0.58-1.74 h, 704 0.60-1.79 h and 1.23-3.69 h at room temperature under the typical OH radical 705 concentrations of 5-15  $\times 10^6$  molecules cm<sup>-3</sup> during daylight. 706

(b) The self-reaction of H-abstraction product  $RO_2$  radical initially produces tetroxide intermediate via an oxygen-to-oxygen coupling, then it decomposes into propagation and termination products through the asymmetric two-step O-O bond scission. The rate-limiting step is the first O-O bond cleavage, and the barrier is increased with increasing the number of methyl group. This finding is meaningful to understand the self-reaction of complex  $RO_2$  radicals.

713 (c) The bimolecular reactions of distinct  $RO_2$  radicals with  $HO_2$  radical lead to the formation of hydroperoxide ROOH as the main product, and the barrier height is 714 independent on the number of methyl substitution. When compared to the rate 715 coefficient for  $HOCH_2OO + HO_2$  reaction, the rate coefficients increase by a factor of 716 2-5 when one or two methyl groups are introduced into the C1-position. Using a HO<sub>2</sub> 717 radical concentration of ~50 pptv in the forest environments, the pseudo-first-order 718 rate constants k'<sub>HO2</sub> of distinct RO<sub>2</sub> radical reactions with HO<sub>2</sub> radical vary from 1 to 5 719  $\times 10^{-2} \text{ s}^{-1}$ . 720

721 (d) The isomerization reactions of  $HOCH_2OO$ ,  $HOCH(CH_3)OO$  and 722  $HO(CH_3)_2COO$  radicals are unlikely to proceed in the atmosphere because the 723 intramolecular H-shift steps have dramatically high barriers and strongly endergonic. 724 The result implies that the isomerization of  $RO_2$  radicals with smaller carbon 725 structures is of less importance in the atmosphere.

(e) Reaction with O<sub>2</sub> forming formic acid and HO<sub>2</sub> radical is the dominant
 removal pathway for HOCH<sub>2</sub>O radical formed from the reaction of HOCH<sub>2</sub>OO

radical with NO. The  $\beta$ -site C-C bond scission is the dominant pathway in the dissociation of HOCH(CH<sub>3</sub>)O and HOC(CH<sub>3</sub>)<sub>2</sub>O radicals formed from the reactions of NO with HOCH(CH<sub>3</sub>)OO and HOC(CH<sub>3</sub>)<sub>2</sub>OO radicals. The result implies that the methyl-substituted alkoxyl radicals primarily proceed via  $\beta$ -site C-C bond scission to produce aldehyde rather than react with O<sub>2</sub>.

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# 734 **Data availability**

The data are accessible by contacting the corresponding author(huangyu@ieecas.cn).

737 **Supplement** 

The following information is provided in the Supplement: Y//X (Y = M06-2X, 738 CCSD(T), X = 6-311+G(2df,2p), ma-TZVP) calculated energy barrier ( $\Delta E_a^{\#}$ ,  $\Delta G_a^{\#}$ ) 739 for OH + HHPs reactions; Rate coefficients of every elementary pathway involved in 740 the initial reactions of OH radical with HOCH2OOH, HOCH(CH3)OOH and 741 HO(CH<sub>3</sub>)<sub>2</sub>COOH; Rate coefficients of HO<sub>2</sub> radical reactions with HOCH<sub>2</sub>OO, 742 HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals; The relative free energy and 743 Boltzmann populations  $(w_i)$  of the conformer of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and 744 HO(CH<sub>3</sub>)<sub>2</sub>COO radicals; The single-conformer rate coefficients ( $k_{\text{IRC-TST}}$ ) and 745 multi-conformer rate coefficients ( $k_{MC-TST}$ ) of HOCH<sub>2</sub>OO, HOCH(CH<sub>3</sub>)OO and 746  $HO(CH_3)_2COO$  radicals; Rate coefficients of dominant pathways in the HOCH<sub>2</sub>OO  $\cdot$ + 747 NO, HOCH(CH<sub>3</sub>)OO ·+ NO and HO(CH<sub>3</sub>)<sub>2</sub>CHOO ·+ NO reactions; PESs ( $\Delta E_a^{\#}$ ) for 748 the OH-initiated reactions of HOCH<sub>2</sub>OOH, HOCH(CH<sub>3</sub>)OOH, HOC(CH<sub>3</sub>)<sub>2</sub>OOH; 749 Geometries of all the stationary points; Plots of the rate coefficients of every 750 elementary pathway versus temperature; PESs ( $\Delta G_a^{\#}$  and  $\Delta E_a^{\#}$ , in italics) for the 751 752 isomerization of HOCH(CH<sub>3</sub>)OO and HO(CH<sub>3</sub>)<sub>2</sub>COO radicals.

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### 754 Author contribution

LC designed the study. LC and YH wrote the paper. LC performed theoretical

calculation. YX, ZJ, and WW analyzed the data. All authors reviewed and commentedon the paper.

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## 759 **Competing interests**

The authors declare that they have no conflict of interest.

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