1	OH-initiated atmospheric degradation of hydroxyalkyl
2	hydroperoxides: mechanism, kinetics, and structure-activity
3	relationship
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28 Abstract:

Hydroxyalkyl hydroperoxides (HHPs), formed in the reactions of Criegee 29 intermediates (CIs) with water vapour, play essential roles in the formation of 30 secondary organic aerosol (SOA) under atmospheric conditions. However, the 31 transformation mechanism for OH-initiated oxidation of HHPs is remain incompletely 32 understood. Herein, the quantum chemical and kinetics modeling methods are applied 33 to insight into the detailed mechanisms of OH-initiated oxidation of distinct HHPs 34 (HOCH₂OOH, HOCH(CH₃)OOH and HOC(CH₃)₂OOH) formed from the reactions of 35 CH₂OO, anti-CH₃CHOO and (CH₃)₂COO) with water vapor. The calculations show 36 that the dominant pathway is the H-abstraction from the -OOH group in the initiation 37 38 reactions of OH radical with HOCH₂OOH and HOC(CH₃)₂OOH. H-abstraction from -CH group is competitive with that from the -OOH group in the reaction of OH 39 radical with HOCH(CH₃)OOH. The barrier of H-abstraction from the -OOH group is 40 slightly increased as the number of methyl group is increased. In pristine 41 environments, the self-reaction of RO2 radical initially produces tetroxide 42 intermediate via an oxygen-to-oxygen coupling, then it decomposes into propagation 43 44 and termination products through the asymmetric two-step O-O bond scission, in which the rate-limiting step is the first O-O bond cleavage. The barrier height of 45 distinct RO₂ radicals reactions with HO₂ radical is independent on the number of 46 47 methyl substitution. In urban environments, reaction with O₂ forming formic acid and HO₂ radical is the dominant removal pathway for HOCH₂O radical formed from the 48 reaction of HOCH₂OO radical with NO. The β -site C-C bond scission is the dominate 49 pathway in the dissociation of HOCH(CH₃)O and HOC(CH₃)₂O radicals formed from 50 51 the HOCH(CH₃)OO \cdot + NO and HOC(CH₃)₂OO \cdot + NO reactions. These new findings are expected to deepen our current understanding for the photochemical oxidation of 52 hydroperoxides under realistic atmospheric conditions. 53

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57 **1. Introduction**

Hydroxyalkyl hydroperoxides (HHPs), formed in the reactions of Criegee 58 intermediates (CIs) with water vapour and in the initiation OH-addition with 59 subsequent HO₂-termination reactions, play important roles in the formation of 60 secondary organic aerosol (SOA) (Qiu et al., 2019; Kumar et al., 2014). The CIs 61 formed from the ozonolysis of alkenes are characterized by high reactivity and excess 62 63 energies, which can proceed either prompt unimolecular decay to OH radical or, after collisional stabilization, bimolecular reactions with various trance gases like SO₂, 64 NO₂ and H₂O to produce sulfate, nitrate and SOA, thereby influencing air quality and 65 human health (Lester and Klippenstein, 2018; Chen et al., 2017, 2019; Liu et al., 2019; 66 Chhantyal-Pun et al., 2017; Anglada and Sol é 2016; Gong and Chen, 2021). Among 67 these reactions, the bimolecular reaction of CIs with water is thought to be the 68 dominant chemical sink because its concentration (1.3-8.3 $\times 10^{17}$ molecules cm⁻³) is 69 several orders of magnitude greater than those of SO₂ and NO₂ (~ 10^{12} molecules cm⁻³) 70 in the atmosphere (Huang et al., 2015; Khan et al., 2018; Taatjes et al., 2013, 2017). 71 72 The primary products of CIs reactivity toward water are highly oxygenated HHPs that are difficult to detect and identify by using the available analytical techniques due to 73 their thermally instability (Qiu et al., 2019; Anglada and Sol é 2016; Chao et al., 2015; 74 Chen et al., 2016a; Ryzhkov and Ariya, 2003). 75

76 HHPs, due to the presence of both hydroxyl and perhydroxy moieties, have relatively low volatility contributing substantially to the formation of SOA (Qiu et al., 77 2019). The atmospheric degradation of HHPs initiated by OH radical is expected to be 78 79 one of the dominant loss processes because OH radical is the most powerful oxidizing 80 agent (Gligorovski et al., 2015; Allen et al., 2018). Reaction with OH radical includes three possible H-abstraction channels: (a) the alkyl hydrogen, (b) the -OH hydrogen, 81 82 and (c) the -OOH hydrogen, which is followed by further reactions to generate organic peroxy radicals (RO₂) as reactive intermediates (Allen et al., 2018). Based on 83 our current mechanistic understanding, RO2 radicals have three possible channels in 84 pristine environments: (1) they can proceed self- and cross-reactions resulting in 85

86 formation of alkoxy radical RO, alcohol, carbonyl, accretion products (Berndt et al., 2018; Zhang et al., 2012; Valiev et al., 2019); (2) they can react with HO₂ radical 87 leading to the formation of closed-shell hydroperoxide (ROOH), RO; OH radical, 88 etc.; (Dillon and Crowley, 2008; Iyer et al., 2018) (3) they can undergo autoxidation 89 via intramolecular H-shift and alternating O₂-addition steps producing highly 90 oxygenated organic molecules (HOMs), which have been identified as the low 91 volatility compounds that contribute to the formation of SOA (Crounse et al., 2013; 92 93 Jokinen et al., 2014; Wang et al., 2018; Ehn et al., 2014; Iyer et al., 2021). In urban environments, RO₂ radicals can react with NO_x generating peroxynitrate (RO₂NO₂), 94 organic nitrate (RONO₂), RO · and other SOA precursors (Wang et al., 2017; Xu et al., 95 2014, 2020; Ma et al., 2021). The relative importance of distinct pathways depends 96 strongly on the nature of RO₂ radicals and the concentrations of coreactants. 97

Hydroxymethyl hydroperoxide (HMHP, HOCH₂OOH), the simplest HHPs from 98 the ozonolysis of ethene in the presence of water, is observed in significant abundance 99 in the atmosphere (Allen et al., 2018). The measured concentration of HMHP is varied 100 101 considerably depending on the location, season and altitude, and its concentration is measured to be up to 5 ppbv in forested regions (Allen et al., 2018; Francisco and 102 Eisfeld, 2009). Recently, the concentration of HMHP was measured during the 103 summer 2013 in the southeastern United States, and found that the average mixing 104 ratio of HMHP is 0.25 ppbv with a maximum of 4.0 ppbv in the boundary layer(Allen 105 et al., 2018). A recent experimental study by Allen et al. (2018) conducted the 106 OH-initiated oxidation of HMHP in an environmental chamber and simulated the 107 impact of HMHP oxidation on the global formic acid concentrations using the 108 chemical transport model GEOS-Chem. It was found that H-abstraction from the 109 methyl group of HMHP results in formic acid, and it contributes to the global formic 110 acid production about 1.7 Tg yr⁻¹. Francisco and Eisfeld (2009) by employing ab 111 initio CCSD(T)//MP2 methods, studied the atmospheric oxidation mechanism of 112 113 HMHP initiated by OH radical, arriving at the same conclusion that the degradation of 114 HMHP could be a new source of formic acid in the atmosphere. Additionally, the unimolecular decomposition of HMHP is another important removal process in the 115

atmosphere. Chen et al. (2016b) found that the formation of CH_2O and H_2O_2 is more 116 preferable than that of the production of HCOOH and H₂O. Kumar et al. (2014) 117 obtained the same conclusion that the aldehyde- or ketone-forming pathway is 118 kinetically favored over that the carboxylic acid-forming channel in the unimolecular 119 decomposition of a variety of HHPs. All the above milestone investigations offer very 120 useful information for understanding the decomposition of HHPs in the gas phase. 121 However, to the best of our knowledge, there is a few studies on the subsequent 122 123 transformations of the resulting H-abstraction products formed from the OH-initiated oxidation of larger HHPs. And the effect of the size and number of substituents on the 124 rates and outcomes of SOA precursors (e.g. ROOR, HOMs) is uncertain up to now. 125 Therefore, it is necessary to assess the potential of larger HHPs and their oxidation 126 products to substantial SOA formation under different NO_x conditions. 127

In this article, we mainly investigate the detailed mechanisms and kinetic 128 properties of distinct HHPs oxidation initiated by OH radical by employing quantum 129 chemical and kinetics modeling methods. For the resulting H-abstraction products 130 131 RO₂ radicals, the subsequent reactions involving self-reaction, isomerization and reaction with HO₂ radical are taken into account in the absence of NO, while the 132 subsequent reactions including addition, decomposition and H-abstraction by O2 are 133 considered in the presence of NO. The investigated HHPs in this work are generated 134 from the bimolecular reactions of distinct carbonyl oxides (CH₂OO, anti-CH₃CHOO 135 and $(CH_3)_2COO$ with water vapor. 136

137 **2. Computational details**

138 2.1 Electronic structure and energy calculations

The equilibrium geometries of all the open-shell species, including reactants (R), pre-reactive complex (RC), transition state (TS), post-reactive complex (PC), and products (P), are fully optimized at the unrestricted M06-2X/6-311+G(2df,2p) level of theory (UM06-2X) (Zhao and Truhlar, 2006; Zheng and Truhlar, 2009), whereas all the closed-shell species are optimized at the restricted M06-2X/6-311+G(2df,2p) level of theory (RM06-2X). This is because the M06-2X functional has been proven to

produce reliable performance for describing thermochemistry, kinetics and 145 non-covalent interactions (Zhao and Truhlar, 2008). Harmonic vibrational frequencies 146 are performed at the same level to verify that each stationary point is either a true 147 minima (with no imaginary frequency) or a transition state (with one imaginary 148 frequency). Zero-point vibrational energy (ZPVE) and Gibbs free energies corrections 149 (G_{corr}) from harmonic vibrational frequencies are scaled by a factor of 0.98 (Zhao and 150 Truhlar, 2006). The intrinsic reaction coordinate (IRC) calculations are performed to 151 152 verify the connection between the transition state and the designated reactant and product (Fukui, 1981). The single-point energies are calculated at 153 the (U/R)M06-2X/ma-TZVP level of theory (Zheng, et al., 2011). 154

The tetroxide intermediate formed from the self-reaction of RO₂ radical proceeds 155 through the asymmetric two step O-O bond scission to produce a caged tetroxide 156 intermediate of overall singlet multiplicity comprising two same-spin alkoxyl radicals 157 (spin down) and triplet oxygen (spin up). This type of reaction mechanism can be 158 described by the broken symmetry unrestricted DFT (UDFT) and multi-reference 159 160 CASSCF methods (Lee et al., 2016; Bach et al., 2005). Previous studies have demonstrated that the UDFT method is suitable to identify the metastable singlet 161 caged radical complex minimum and is successfully located the transition states of 162 O-O bond homolysis, and the energies are comparable to the more accurate and 163 expensive CASSCF method (Lee et al., 2016; Bach et al., 2005). In the present study, 164 the UDFT method is selected to study the asymmetric O-O bond scission and 165 represents a compromise between the computational accuracy and efficiency. The 166 broken symmetry UM06-2X/6-311+G(2df,2p) method is applied to generate the 167 initial guesses of the tetroxide intermediate and transition state geometries with mixed 168 HOMO and LUMO ($S^2 \approx 1$) by using the guess = mix keyword. The single-point 169 energies are refined at the UM06-2X/ma-TZVP level of theory. 170

In order to further evaluate the reliability of the employed method in predicting reaction mechanism, the single-point energies for all the stationary points involved in the initiation reactions of OH radical with distinct HHPs are recalculated at the (U/R)CCSD(T)/6-311+G(2df,2p) level of theory based on the (U/R)M06-2X

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optimized geometries. Furthermore, the basis set superposition error (BSSE) is also 175 performed to evaluate the stability of the pre-reactive complexes by employing the 176 counterpoise method (Boys and Bernardi, 1970). For simplicity, no prefix is adopted 177 throughout this article. Herein, the Gibbs free energy (G) for each species is obtained 178 by combining the single-point energy with the Gibbs correction ($G = G_{corr} + E$). The 179 electronic energy $(\Delta E_a^{\#})$ and free energy $(\Delta G_a^{\#})$ barriers are defined as the difference 180 in energy between transition state and pre-reactive complex ($\Delta E_a^{\#} = E_{\text{TS}} - E_{\text{RC}}$ and 181 $\Delta G_a^{\#} = G_{\text{TS}} - G_{\text{RC}}$). The reaction free energy (ΔG) is referred to the difference in 182 energy between product and reactant ($\Delta G = G_{\rm P} - G_{\rm R}$). The calculated $\Delta E_a^{\#}$ and $\Delta G_a^{\#}$ 183 for the initiation H-abstraction pathways are summarized in Table S1. As shown in 184 Table S1, the mean absolute deviations (MADs) of $\Delta E_a^{\#}$ and $\Delta G_a^{\#}$ between 185 CCSD(T)/6-311+G(2df,2p) and M06-2X/ma-TZVP approaches are 0.43 and 0.45 186 kcal mol⁻¹, respectively; the largest deviations of $\Delta E_a^{\#}$ and $\Delta G_a^{\#}$ are 1.2 and 1.1 187 kcal mol⁻¹, respectively. These results reveal that the energies obtained from the 188 M06-2X/ma-TZVP method are in very good accord with those from the gold-standard 189 190 coupled-cluster approach CCSD(T) within the uncertainties of systematic errors. Therefore, the M06-2X/ma-TZVP method is selected to investigate the atmospheric 191 degradation of HHP initiated by OH radical under different conditions. In the 192 following sections, unless otherwise stated, the $\Delta G_a^{\#}$ is applied to construct the 193 reaction profiles. 194

For the H-shift reactions of peroxy radicals RO₂, reactants, transition states and 195 products have multiple conformers. Previous literatures have demonstrated that the 196 reaction kinetics of multiconformers involvement are more precisely than that of the 197 single conformer approximation (Møller et al., 2016, 2020). Herein, the 198 multiconformers treatment is performed to investigate the H-shift reactions RO₂ 199 radicals. A conformer search within the Molclus program is employed to generate a 200 pool of conformers for RO₂ radicals (Lu, 2020). The selected conformers are further 201 optimized at the M06-2X/6-311+G(2df,2p) level of theory, followed by single-point 202 energies calculations at the M06-2X/ma-TZVP level of theory. On the basis of the 203 calculated Gibbs free energies, the Boltzmann populations (wi) of each 204

205 $RO_2 \cdot conformer$ is expressed as eqn 1.

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$$w_i = \frac{e^{-\Delta G_i/k_B T}}{\sum_i e^{-\Delta G_i/k_B T}}$$
(1)

where ΔG_i is the relative Gibbs free energy of conformer i, k_B is the Boltzmann's constant, *T* is temperature in Kelvin. All the quantum chemical calculations are performed by using the Gaussian 09 program package (Frisch et al., 2009).

210 **2.2 Kinetics calculations**

The rate coefficients of unimolecular reactions are calculated by using the 211 Rice-Ramsperger-Kassel-Marcus theory coupled with energy-grained master equation 212 (RRKM-ME) method (Holbrook et al., 1996), and the rate coefficients of bimolecular 213 reactions are determined by utilizing traditional transition state theory (TST) 214 (Fern ández-Ramos et al., 2007). The RRKM-ME calculations are performed by 215 implementing the MESMER 6.0 program suite (Glowacki et al., 2012). N₂ is used as 216 the buffer gas. The single exponential down model is employed to simulate the 217 collision energy transfer ($\langle \Delta E \rangle_{down} = 200 \text{ cm}^{-1}$). The collisional Lennard-Jones 218 parameters are estimated by using an empirical formula described by Gilbert and 219 220 Smith (1990). For the H-shift reactions of RO₂ radicals, the rate coefficients are determined by employing the multiconformer transition state theory (MC-TST) 221 approach (Møller et al., 2016). The MC-TST rate coefficient k_{MC-TST} is calculated by 222 the sum of the individual intrinsic reaction coordinate TST (IRC-TST) rate coefficient 223 k_{IRC-TST}, each weighted by Boltzmann population of corresponding RO₂ · conformer 224 225 (Møller et al., 2016).

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$$k_{\text{MC-TST}} = \sum_{i}^{\text{all TS conf.}} w_i \times k_{\text{IRC-TST}i}$$
(2)

where $k_{\text{IRC-TST}i}$ represents the rate coefficient of conformer *i*, and w_i is the relative Boltzmann population of the corresponding reactant connected to TS_{*i*}. The one-dimensional asymmetry Eckart model is employed to calculate the tunneling correction (Eckart, 1930). Considering the uncertainty in barrier heights (~ 1.0 kcal mol⁻¹ by the M06-2X method) and in tunneling corrections, the uncertainty of the calculated rate coefficient is about one order of magnitude in the present study.

3. Results and discussion

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3.1 Initiation reaction of HHPs with OH radical

Previous literatures have proposed that the lifetime of CI with respect to the 235 reaction with water vapour exhibits a highly dependent on the nature of CIs (Anglada 236 237 and Solé, 2016; Taatjes et al., 2013; Anglada et al., 2011), and the primary product is 238 HHPs in both gas phase and air/water interface (Chao et al., 2015; Chen et al., 2016a; Smith et al., 2015; Zhu et al., 2016; Zhong et al., 2018). In the present study, we 239 mainly consider three kinds of HHPs originated from the addition of water to CH₂OO 240 and methyl-substituted CI (anti-CH₃CHOO and (CH₃)₂COO). The lowest-energy 241 conformers HHP (HOCH₂OOH, HOCH(CH₃)OOH and HOC(CH₃)₂OOH) are 242 obtained from the previous study as shown in Figure 1 (Chen et al., 2019), and they 243 are selected as model system to investigate the atmospheric degradation mechanism 244 of HHP initiated by OH radical. Letters and numbers are applied to mark carbon, 245 246 oxygen and hydrogen atoms in different reaction sites.

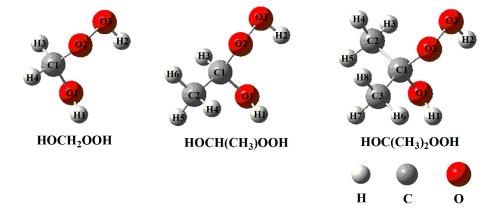


Figure 1. The structures of distinct HHPs

The free-energy and electronic-energy potential energy surfaces (PESs) for the initiation reactions of OH radical with HOCH₂OOH, HOCH(CH₃)OOH and HOC(CH₃)₂OOH are presented in Figures 2-4 and S1-S3, respectively. And the optimized geometries of all the stationary points are displayed in Figures S6-S8, respectively. As can be seen in Figure 2, the reaction for HOCH₂OOH with OH radical proceeds via four distinct pathways: H-abstraction from the $-O_1H_1$ (R1), $-C_1H_3$

(R2), $-C_1H_4$ (R3) and $-O_2O_3H_2$ groups (R4). For each pathway, a pre-reactive complex 255 with a six- or seven-membered ring structure is formed in the entrance channel, which 256 is stabilized by hydrogen bond interactions between the oxygen atom of OH radical 257 and the abstraction hydrogen atom of HOCH₂OOH, and the remnant hydrogen atom 258 of OH radical and one of oxygen atoms of HOCH₂OOH (Figure S6). Then, it 259 surmounts modest barrier that is higher in energy than the reactants to reaction. The 260 reaction barrier $\Delta G_a^{\#}$ are reduced in the order of 6.4 (R1) > 5.8 (R2) \approx 5.4 (R3) > 1.5 261 (R4) kcal mol⁻¹, indicating that H-abstraction from the $-O_2O_3H_2$ group (R4) is more 262 preferable than those from the $-O_1H_1$, $-C_1H_3$ and $-C_1H_4$ groups (R1-R3). Same 263 conclusion is also derived from the energy barriers $\Delta E_a^{\#}$ that R4 is the most favorable 264 H-abstraction pathway (Figure S1). The difference of barrier heights can be attributed 265 to the bond dissociation energy (BDE) of different types of bonds in HOCH₂OOH 266 molecule. The BDE are decreased in the order of 103.7 $(O_1-H_1) > 98.2 (C_1-H_3) \approx 97.4$ 267 $(C_1-H_4) > 87.2$ (O_3-H_2) kcal mol⁻¹, which are in good agreement with the order of 268 barrier heights of H-abstraction reactions. As indicated by their reaction free energy 269 270 values, it can be found that the exothermicity of R4 is the largest among these four H-abstraction reactions. Based on the above discussions, it is concluded that 271 H-abstraction from the -O₂O₃H₂ group resulting in formation of HOCH₂OO radical 272 (R4) is feasible on both thermodynamically and kinetically. 273

Considering the different reaction sites of hydrogen atoms, the atmospheric 274 transformation of HOCH(CH₃)OOH from the anti-CH₃CHOO + H₂O reaction should 275 have six types of H-abstraction pathways as presented in Figure 3. As shown in Figure 276 3, each H-abstraction reaction begins with the formation of a weakly bound hydrogen 277 bonded pre-reactive complex with a six- or seven-membered ring structure in the 278 entrance channel (Figure S7). Then it immediately transforms into the respective 279 product via the corresponding transition state. The $\Delta G_a^{\#}$ of H-abstraction from the 280 $-C_1H_3$ (R6) and $-O_2O_3H_2$ (R8) groups are 2.2 and 1.7 kcal mol⁻¹, respectively, which 281 are ~ 4-5 kcal mol⁻¹ lower than those from the $-O_1H_1$ (R5) and $-CH_3$ groups (R7). This 282 result shows that R6 and R8 have nearly identical importance in the atmosphere. 283 Compared with the barriers of H-abstraction at the C_{α} (R6) and C_{β} (R7) positions, it 284

can be found that the former case is more favourable than the latter case. This conclusion is further supported by Jara-Toro's study for the reactions of OH radical with linear saturated alcohols (methanol, ethanol and n-propanol) that H-abstraction at the C_{α} position is predominant (Jara-Toro, R. A et al., 2017, 2018).

For the OH-initiated oxidation of HOCH(CH₃)OOH from the syn-CH₃CHOO + 289 H₂O reaction, the corresponding free-energy and electronic-energy PESs are 290 displayed in Figures S4 and S5, respectively. From Figure S4, it can be seen the 291 292 H-abstraction by OH radical from HOCH(CH₃)OOH has six kinds of pathways. For each pathway, a per-reactive complex is formed prior to the corresponding transition 293 state, and then it overcomes modest barrier to reaction. The $\Delta G_a^{\#}$ of R6' and R8' are 294 2.3 and 1.8 kcal mol^{-1} , respectively, which are about 5 kcal mol^{-1} lower than those of 295 296 R5' and R7'. This result shows that H-abstraction from the -CH (R6') and -OOH (R8') groups are preferable kinetically. Same conclusion is also derived from the energy 297 barriers $\Delta E_a^{\#}$ that the R6' and R8' the most favourable H-abstraction pathways (Figure 298 S5). It should be noted that although the barriers of R6' and R8' are comparable, the 299 300 exoergicity of the former case is significantly lower than that of the latter case. The above-mentioned conclusions are consistent with the results derived from the 301 OH-initiated oxidation of HOCH(CH₃)OOH from the *anti*-CH₃CHOO + H_2O reaction. 302 Zhou et al. has demonstrated that the bimolecular reaction of syn-CH₃CHOO with 303 water leading to the formation of HOCH(CH₃)OOH is of less importance in the 304 atmosphere, while the unimolecular decay to OH radical is the major loss process of 305 syn-CH₃CHOO (Zhou et al., 2019). Therefore, in the present study, we mainly focus 306 on the subsequent mechanism of intermediate generated from OH-initiated oxidation 307 of HOCH(CH₃)OOH from the *anti*-CH₃CHOO + H₂O reaction. 308

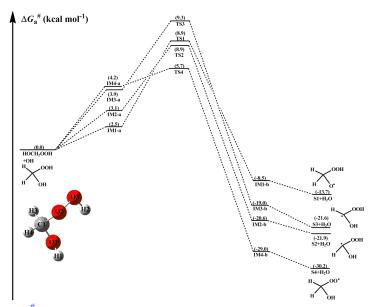
From Figure 4, it can be seen that H-abstraction from $HOC(CH_3)_2OOH$ includes eight possible H-abstraction pathways. All the H-abstraction reactions are strongly exothermic and spontaneous, signifying that they are thermodynamically feasible under atmospheric conditions. It deserves mentioning that the release of energy of R12 is significantly greater than those of R9-R11. For each H-abstraction pathway, a RC with a six- or seven-membered ring structure is formed prior to the 315 corresponding TS, which is more stable than the separate reactants due to the 316 hydrogen bond interactions between HOC(CH₃)₂OOH and OH radical. Then, the RC 317 overcomes modest barrier to reaction. The $\Delta G_a^{\#}$ of H-abstraction from the -O₂O₃H₂ 318 group (R12) is 2.7 kcal mol⁻¹, which is the lowest among these eight H-abstraction 319 reactions. This result again shows that the H-abstraction from the -O₂O₃H₂ group is 320 the dominant pathway.

The rate coefficients of every H-abstraction pathway involved in the initiation 321 322 reactions of distinct HHPs with OH radical are estimated over the temperature range from 273 to 400 K as summarized in Table S2-S4 and Figures S9-S11. As shown in 323 Table S2, the total rate coefficients k_{tot} of HOCH₂OOH reaction with OH radical are 324 slightly decreased with the temperature increasing. At ambient temperature, k_{tot} is 325 estimated to be 3.3×10^{-11} cm³ molecule⁻¹ s⁻¹, which is a factor of ~5 greater than the 326 Allen's result ((7.1 \pm 1.5) \times 10⁻¹² cm³ molecule⁻¹ s⁻¹, at 295 K) derived from the 327 reaction of HMHP with OH radical by using the CF₃O⁻ chemical ionization mass 328 spectrometry (CIMS) and laser-induced fluorescence (LIF) (Allen et al., 2018). Such 329 330 a discrepancy could be attributed to the uncertainty in barrier height and tunneling correction. $k_{R4(O3-H2)}$ is 1-2 orders of magnitude greater than $k_{R1(O1-H1)}$, $k_{R2(C1-H3)}$ and 331 $k_{\rm R3(C1-H4)}$ in the whole temperature range, implying that R4 is the most favorable 332 H-abstraction pathway. For example, $k_{\rm R4(O3-H2)}$ is calculated to be 2.9 $\times 10^{-11}$ cm³ 333 molecule⁻¹ s⁻¹ at 298 K, which is higher than $k_{R1(O1-H1)}$ (1.8 × 10⁻¹³), $k_{R2(C1-H3)}$ (9.9 × 334 10^{-13}) and $k_{\text{R3}(\text{C1-H4})}$ (2.0 × 10^{-12}) by 161, 29 and 15 times, respectively. 335

From Table S3, it can be seen that the total rate coefficients k'_{tot} of 336 HOCH(CH₃)OOH reaction with OH radical are decreased in the range of 4.5×10^{-11} 337 (273 K) to 8.1 \times 10⁻¹² (400 K) cm³ molecule⁻¹ s⁻¹ with increasing temperature, and 338 they exhibit a slightly negative temperature dependence. $k_{R8(O3-H2)}$ are approximately 339 identical to k_{tot} in the entire temperature range, which are 1-2 orders of magnitude 340 greater than $k_{R5(O1-H1)}$, $k_{R6(C1-H3)}$, $k_{R7-1(C2-H4)}$, $k_{R7-2(C2-H5)}$ and $k_{R7-3(C2-H6)}$. The result again 341 shows that H-abstraction from the -OOH group (R8) is preferable kinetically. It 342 should be noted that although the barriers of R8 and R6 are comparable, $k_{R8(O3-H2)}$ is 343 about one order of magnitude higher than $k_{R6(C1-H3)}$ over the temperature range studied. 344

345 The reason is most likely due to the stability of pre-reactive complexes that IM8-a is more stable than IM6-a in energy. A similar conclusion is derived from the results of 346 rate coefficient of $HOC(CH_3)_2OOH + OH$ reaction that H-abstraction from the -OOH 347 group (R12) is favorable kinetically (Table S4). The concentrations of OH radical 348 vary from 5 to 15×10^6 molecules cm⁻³ during daylight (Long et al., 2017), resulting 349 in the atmospheric lifetime of HOCH₂OOH, HOCH(CH₃)OOH and HOC(CH₃)₂OOH 350 reactivity toward OH radical are estimated to be 0.58-1.74 h, 0.60-1.79 h and 351 352 1.23-3.69 h at room temperature.

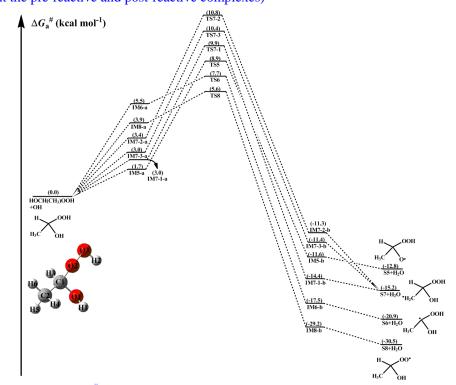
In summary, the dominant pathway is the H-abstraction from the -OOH group in 353 the initiation reactions of OH radical with HOCH₂OOH. H-abstraction from -CH 354 group is competitive with that from the -OOH group in the reaction of OH radical 355 with HOCH(CH₃)OOH. Compared the barriers of H-abstraction from the -OOH and 356 -CH₂ groups in the $OH + HOCH_2OOH$ system with that for the analogous reactions 357 in the OH + HOCH(CH₃)OOH system. It can be found that the barrier of 358 H-abstraction from the -CH group is reduced by 3.6 kcal mol⁻¹, whereas the barrier 359 of H-abstraction from the -OOH group is increased by 0.2 kcal mol⁻¹ when a methyl 360 group substitution occurs at the C1-position of HOCH₂OOH. The dominant pathway 361 is the H-abstraction from the -OOH group in the reaction of OH radical with 362 HOC(CH₃)₂OOH, and the barrier height is increased by 1.2 kcal mol⁻¹ compared to 363 the $OH + HOCH_2OOH$ system. The barrier of H-abstraction from the -OOH group 364 is slightly increased as the number of methyl group is increased. It is interesting to 365 compare the rate coefficient of dominant pathway in the $OH + HOCH_2OOH$ system 366 with that for the analogous reactions in the $OH + HOCH(CH_3)OOH$ and OH +367 HOC(CH₃)₂OOH reactions. It can be found that the rate coefficient is almost 368 identical when a methyl group substitution occurs at the C₁-position, whereas the 369 rate coefficient reduces by a factor of 2-5 when two methyl groups introduce into the 370 C₁-position. 371



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Figure 2. PES ($\Delta G_a^{\#}$) for the OH-initiated reactions of HOCH₂OOH from the CH₂OO + H₂O

reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b
 represent the pre-reactive and post-reactive complexes)



376

Figure 3. PES ($\Delta G_a^{\#}$) for the OH-initiated reactions of HOCH(CH₃)OOH from the anti-CH₃CHOO + H₂O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)

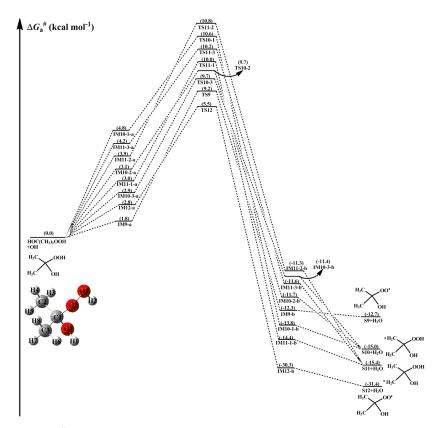




Figure 4. PES $(\Delta G_a^{\#})$ for the OH-initiated reactions of HOC(CH₃)₂OOH from the (CH₃)₂COO + H₂O reaction predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (a and b represent the pre-reactive and post-reactive complexes)

384 3.2 Subsequent reactions of H-abstraction products RO₂ radicals

385 in pristine environment

In principle, the H-abstraction products RO₂ radicals have three types of 386 channels in pristine environment: (1) the self-reactions of RO₂ radicals can either 387 produce RO \cdot + R'O \cdot + O₂ (propagation channel), or generate ROH + R'(-H, =O) + O₂ 388 or produce $ROOR + O_2$ (termination channel) that has been recognized as an 389 important SOA precursor (Berndt et al., 2018; Zhang et al., 2012); (2) RO₂ radicals 390 391 react with HO₂ radical leading to the formation of hydroperoxide ROOH, alcohol, OH and other products (Winiberg et al., 2016; Chen et al., 2021); (3) RO₂ radicals 392 autoxidation through intramolecular H-shift and alternating O2 addition steps generate 393 HOMs (Ehn et al., 2014; Bianchi et al., 2019; Nozière and Vereecken, 2019; Rissanen 394 395 et al., 2014). The relevant details for these three kinds of reactions will be discussed in the following paragraph. 396

397 **3.2.1 Reactions mechanism for the self-reaction of RO₂ radicals**

The self-reaction is one of dominant removal pathways for RO₂ radicals when 398 the concentration of NO is low. The self-reaction of RO₂ radicals usually follows the 399 Russell mechanism (Russell, 1957), and mainly includes four kinds of pathways: (1) 400 $2RO_2 \rightarrow 2RO \rightarrow O_2$; (2) $2RO_2 \rightarrow ROH + RCO + O_2$; (3) $2RO_2 \rightarrow ROOR + O_2$; (4) 401 $2RO_2 \rightarrow ROOH + R'CHOO$ (Atkinson and Arey, 2003). The relative importance of 402 different pathways varies considerably depending on the nature of RO₂ radicals 403 (Valiev et al., 2019; Lee et al., 2016). A schematic PES for the self-reaction of 404 HOCH₂OO radical is drawn in Figure 5. As can be seen in Figure 5a, the self-reaction 405 of HOCH₂OO radical starts with the formations of tetroxide complexes IM13-a and 406 IM14-a in the entrance channel, with 2.9 and 3.4 kcal mol^{-1} stability. Then they 407 fragment into dimer S13 + ${}^{1}O_{2}$ (R13) and HOCH₂OOH + HOCHOO (R14) via 408 transition states TS13 and TS14 with the barriers of 43.3 and 51.5 kcal mol⁻¹. But the 409 barriers of R13 and R14 are extremely high, making them irrelevant in the 410 atmosphere. 411

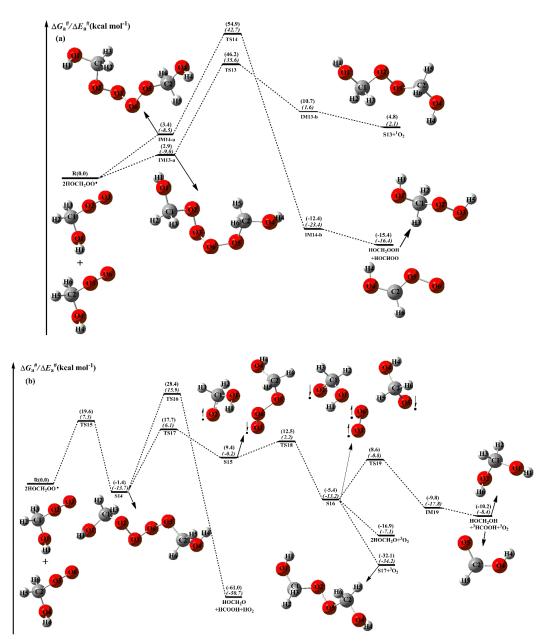
412 From Figure 5b, it is seen that the self-reaction of HOCH₂OO radical proceeds via oxygen-to-oxygen coupling leading to the formation of tetroxide intermediate S14 413 with the electronic energy and free energy barriers of 7.3 and 19.6 kcal mol⁻¹. Kumar 414 and Francisco reported that the electronic energy barrier of the gas phase 415 decomposition of HOCH₂OO radical is 14.0 kcal mol⁻¹ and it could be a new source 416 of HO₂ radical in the troposphere (Kumar and Francisco, 2015, 2016). Compared with 417 the electronic energy barriers of unimolecular dissociation of HOCH₂OO radical and 418 its self-reaction, it can be found that the self-reaction of HOCH₂OO radical resulting 419 420 in formation of S14 is significantly feasible. The formed S14 can fragment into $HOCH_2O + HCOOH + HO_2 \cdot via a concerted process of O_2-O_3 and O_5-O_6 bonds$ 421 rupture and O₃-H₆ bond forming with the barrier of 29.8 kcal mol⁻¹. Alternatively, S14 422 can convert into the caged tetroxide intermediate S16 through the asymmetric two 423 step O_2 - O_3 and O_5 - O_6 bonds scission with the barriers of 19.1 and 3.1 kcal mol⁻¹, 424 respectively. The result shows that the latter pathway is more preferable than the 425

former channel owing to its lower barrier. The overall spin multiplicity of S16 is 426 singlet, in which the O_2 moiety maintains the triplet ground state (spin up) and is very 427 loosely bound. In order to preserve the overall singlet multiplicity, the two HOCH₂O 428 radical pairs ($^{3}(HOCH_{2}O \cdots HOCH_{2}O)$) must have the triplet multiplicity (spin down). 429 S16 could be regarded as the ground state ³O₂ moving away from the two HOCH₂O 430 radical pairs that keep interacting. Due to the difficulty in performing the constrained 431 optimization for the dissociation of S16, the ${}^{3}O_{2}$ moiety is considered as a leaving 432 moiety away from two HOCH₂O radical pairs, and merely the dissociation of 433 3 (HOCH₂O ·· HOCH₂O) is taken into consideration in the present study. It has three 434 types of pathways: (1) it yields HOCH₂OH and excited-state ³HCOOH through the 435 alpha hydrogen transfer with the barrier of 14.0 kcal mol⁻¹ and 10.2 kcal mol⁻¹ 436 exothermicity, followed by the excited ³HCOOH to go back to the ground-state 437 ¹HCOOH; (2) it generates two HOCH₂O radicals via the barrierless process with the 438 exoergicity of 16.9 kcal mol⁻¹; (3) it produces dimer S17 via an intersystem crossing 439 (ISC) step with the exoergicity of 32.1 kcal mol⁻¹. Based on the calculated reaction 440 barriers, it can be found that the rate-limiting step is the cleavage of O₂-O₃ bond (R17) 441 in the unimolecular decay processes of S14. This conclusion coincides with the 442 previous result obtained from the dissociation of di-t-butyl tetroxide that the 443 rate-controlling step is the rupture of single O-O bond (Lee et al., 2016). Valiev et al. 444 proposed that the ISC rate of ROOR dimer formed from the different ($RO \cdot RO$) 445 systems is extremely rapid (> 10^8 s⁻¹) and exhibits a strong stereoselectivity (Valiev et 446 al., 2019). 447

Figure 6 depicts a schematic PES for the self-reaction of HOCH(CH₃)OO radical. 448 As shown in Figure 6a, the self-reaction of HOCH(CH₃)OO radical can either 449 produce dimer S18 along with ${}^{1}O_{2}$ via transition state TS20 with the barrier of 44.4 450 kcal mol⁻¹, or generate HOCH(CH₃)OOH and HOC(CH₃)OO though transition state 451 TS21 with the barrier of 54.3 kcal mol⁻¹. But the barriers of R20 and R21 are 452 significantly high, making them are of less importance in the atmosphere. 453 Alternatively, the self-reaction of HOCH(CH₃)OO radical proceeds via an 454 oxygen-to-oxygen coupling resulting in formation of tetroxide intermediate S19 with 455

the barrier of 19.9 kcal mol⁻¹ (Figure 6b). The formed S19 proceeds through the 456 asymmetric two step O_2 - O_3 and O_5 - O_6 bonds scission to produce a caged tetroxide 457 intermediate S21 of overall singlet multiplicity comprising two same-spin alkoxyl 458 radicals (spin down) and triplet oxygen (spin up). These two processes accompany 459 with the barriers of 21.4 and 1.3 kcal mol^{-1} , respectively. Then it decomposes into the 460 propagation $(2HOCH(CH_3)O + {}^{3}O_2)$ and termination products $(HOCH(CH_3)OH +$ 461 ${}^{3}CH_{3}OOH + {}^{3}O_{2}$ and dimer S22 + ${}^{3}O_{2}$) with the excergicity of 12.5, 11.7 and 33.0 462 kcal mol⁻¹. The rate-determining step is the rupture of O_2 - O_3 bond (R24) in the 463 dissociation processes of S19. 464

As shown in Figure 7, the dominant pathway for the self-reaction of 465 HO(CH₃)₂COO radical begins with the formation of tetroxide intermediate S24 via an 466 oxygen-to-oxygen coupling transition state TS28 with the barrier of 20.4 kcal mol^{-1} ; 467 then it transforms into the caged tetroxide intermediate S26 of overall singlet spin 468 multiplicity through the asymmetric two-step O-O bond cleavage with the barriers of 469 22.0 and 3.4 kcal mol⁻¹; finally, S26 can either produce two HO(CH₃)₂CO radicals 470 with the exoergicity of 10.3 kcal mol⁻¹, or generate dimer S27 with the exothermicity 471 of 31.5 kcal mol⁻¹. Different the self-reactions of HOCH₂OO and HOCH(CH₃)OO 472 radicals, the termination product of the self-reaction of HOC(CH₃)₂OO radical is 473 exclusively dimer S27. The reason is due to the absence of alpha hydrogen atom in 474 HOC(CH₃)₂OO radical. Compared with the barrier of rate-determining route R17 in 475 the self-reaction of HOCH₂OO radical, it can be found that the barrier of rate-limiting 476 step R29 is increased by about 3.0 kcal mol⁻¹ when two methyl substitutions introduce 477 into the C1-position of HOCH₂OO radical. The reason might be attributed to the cage 478 escape of alkoxyl radicals. It is therefore that the tertiary RO₂ radicals have great 479 opportunity to react with HO₂ radical or autoxidation in pristine environment. 480



481



483 Figure 5. PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the self-reaction of HOCH₂OO radicals predicted at 484 the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

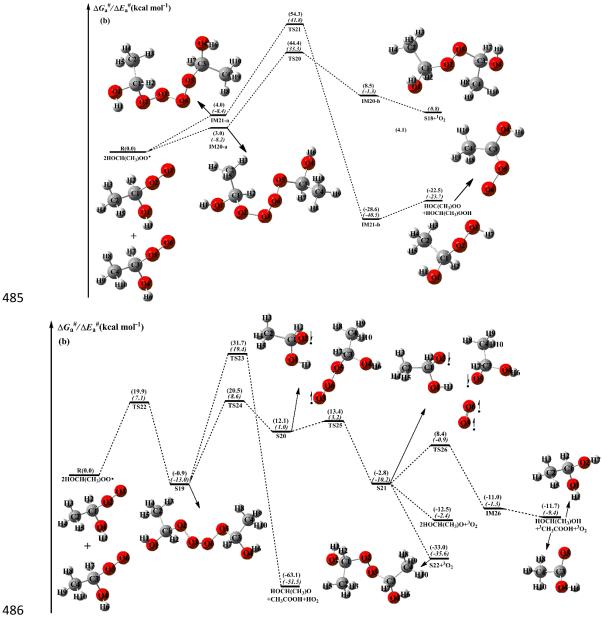
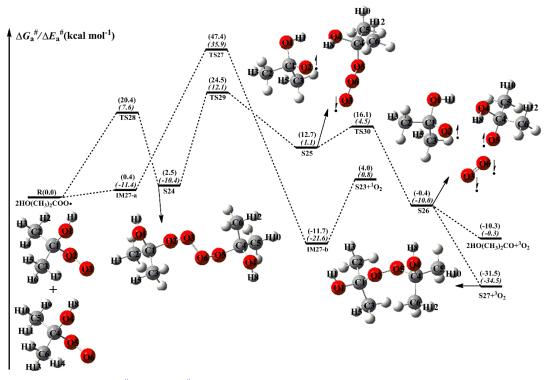


Figure 6. PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the self-reaction of HOCH(CH₃)OO radicals 487 predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory 488





490 Figure 7. PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the self-reaction of HO(CH₃)₂COO radicals 491 predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

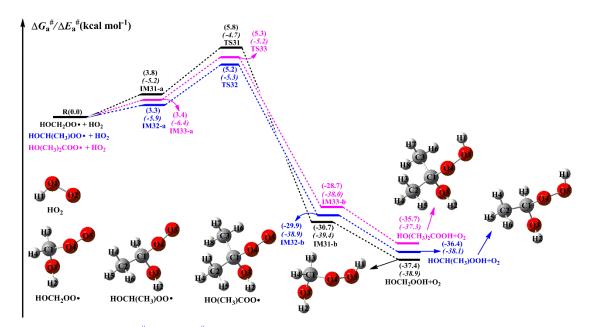
492 **3.2.2 Reactions mechanism for the reaction of RO₂ radicals with HO₂**

493 radical

When NO is present in low concentration, the bimolecular reaction of RO₂ 494 radicals with HO₂ radical is generally expected to be the dominant pathway as the 495 main product hydroperoxide ROOH. The main sources of HO₂ radical involve the 496 photo-oxidation of oxygenated volatile organic compounds (OVOCs) and the 497 ozonolysis reaction, as well as senondary sources include the reactions of OH radical 498 with CO, ozone and volatile organic compounds (VOCs), the reaction of alkoxy 499 radical RO with O_2 and the red-light-induced decomposition of α -hydroxy 500 methylperoxy radical OHCH₂OO (Kumar et al., 2015; Stone et al., 2012; 501 Hofzumahaus et al., 2009). The atmospheric concentration of HO₂ radical is $1.5-10 \times$ 502 10^8 molecules cm⁻³ at ground level in polluted urban environments (Stone et al., 2012). 503 A schematic PES for the reactions of distinct RO₂ radicals with HO₂ radical is 504 presented in Figure 8. As shown in Figure 8, all the reactions are strongly exothermic 505 506 and spontaneous, indicating that they are feasible thermodynamically in the atmosphere. The reaction for HOCH₂OO \cdot with HO₂ \cdot (R31) starts with the formation 507

of a pre-reactive complex IM31-a in the entrance channel, which is more stable than 508 the separate reactants by 3.8 kcal mol^{-1} in energy. Then it converts into HOCH₂OOH 509 and O_2 via a hydrogen atom transfer from the HO₂ radical to the terminal oxygen 510 atom of HOCH₂OO radical with the barrier of 2.0 kcal mol⁻¹. The mechanism of 511 HOCH(CH₃)OO \cdot + HO₂ \cdot (R32) and HO(CH₃)₂COO \cdot + HO₂ \cdot (R33) reactions is quite 512 similar to that of HOCH₂OO \cdot + HO₂ \cdot system. In order to avoid redundancy, we will 513 not discuss them in detail. It deserves mentioning that the barrier height is only 514 reduced by 0.1 kcal mol⁻¹ when one or two methyl substitutions occur at the 515 C1-position of HOCH₂OO radical, compared to the barrier of HOCH₂OO \cdot + 516 HO₂ · reaction. This result implies that the barrier height is not seem to be influenced 517 by the number of methyl substitution. The rate coefficients of distinct RO₂ radicals 518 reactions with HO₂ radical are summarized in Table S5 and Figure S12. As shown in 519 Table S5, the rate coefficients k_{R31} of HOCH₂OO · + HO₂ reaction vary from 3.1 × 520 10^{-11} (273 K) to 2.1 $\times 10^{-12}$ cm³ molecule⁻¹ s⁻¹ (400 K), and they exhibit a negative 521 temperature dependence. Similar conclusion is also obtained from the rate coefficients 522 k_{R32} and k_{R33} that they are decreased with the temperature increasing. It should be 523 noted that the rate coefficient is slightly increased as the number of methyl group is 524 increased. At ambient temperature, $k_{\rm R31}$ is estimated to be 1.7 $\times 10^{-11}$ cm³ molecule⁻¹ 525 s⁻¹, which is in good agreement with the value of $\sim 2 \times 10^{-11}$ cm³ molecule⁻¹ s⁻¹ for the 526 reaction of acyl peroxy radicals with HO₂ radical (Wennberg et al., 2018). The typical 527 atmospheric concentrations of HO₂ radical are about 5, 20 and 50 pptv in the urban, 528 rural and forest environments (Bianchi et al., 2019), translating into the 529 pseudo-first-order rate constants $k'_{\text{HO2}} = k_{\text{HO2}}[\text{HO}_2]$ of 1.1×10^{-3} , 4.2×10^{-3} and 1.1×10^{-3} 530 10^{-2} s⁻¹, respectively. The pseudo-first-order rate constants of R32 and R33 are 531 predicted to be 3.0×10^{-3} and 4.8×10^{-3} (urban), 1.1×10^{-2} and 1.8×10^{-2} (rural), 3.0 532 $\times 10^{-2}$ and 4.8 $\times 10^{-2}$ s⁻¹ (forest) at room temperature. 533

534



535

Figure 8. PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the reactions of HO₂ radical with distinct RO₂ radicals predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

3.2.3 Reactions mechanism for the isomerization of RO₂ radicals

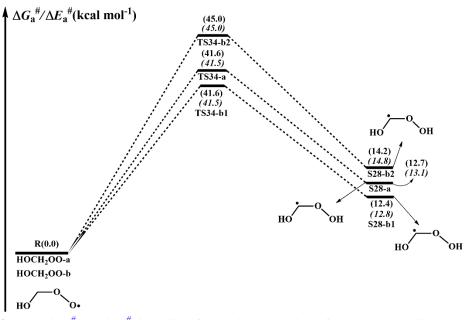
Autoxidation of RO₂ radicals is known to play an important role in the 539 (re)generation of HO_x radicals and in the formation of HOMs (Wang et al., 2017; 540 541 Bianchi et al., 2019; Rissanen et al., 2014; Ehn et al., 2017). The autoxidation mechanism includes an intramolecular H-shift from the -CH₃ or -CH₂- groups to the 542 $-OO \cdot$ site, resulting in formation of a hydroperoxyalkyl radical QOOH, followed by 543 O_2 addition to form a new peroxy radical (HOOQO₂), one after the other, and the 544 resulting finally HOMs (Rissanen et al., 2014; Berndt et al., 2015). For the H-shift 545 reactions of RO_2 radical, reactants, transition states and products have multiple 546 conformers due to the effect of degree of freedom for internal rotation. Based on the 547 calculated results, it can be found that HOCH₂OO radical has four energetically 548 similar conformers (HOCH₂OO-a, HOCH₂OO-b, HOCH₂OO-c and HOCH₂OO-d). 549 The relative free energy and Boltzmann population (w_i) of individual conformer are 550 listed in Table S6. As shown in Table S6, the Boltzmann populations of these four 551 conformers are 46.39, 46.31, 2.99 and 4.32%, respectively. 552

A schematic PES for the H-shift reactions of HOCH₂OO radical is displayed in Figure 9. As can be seen in Figure 9, the lowest-energy conformer HOCH₂OO-a can proceed via a 1,3-H shift from the -CH₂ group to the terminal oxygen leading to the

formation of S28-a (HO CHOOH) with the barrier of 41.6 kcal mol⁻¹. HOCH₂OO-b 556 can isomerize to S28-b1 and S28-b2 via the four-membered ring transition states 557 TS34-b1 and TS34-b2 (1,3-H shifts) with the barriers of 41.6 and 45.0 kcal mol^{-1} . But 558 these three 1,3-H shift reactions have comparatively high barriers, making them 559 irrelevant in the atmosphere. Despite many attempts, the transition states of H-shift 560 reactions of HOCH₂OO-c and HOCH₂OO-d are not located. The result implies that 561 the H-shift reactions of these two conformers are inhibited, which is consistent with 562 563 the previous study that not all reactants will be in a conformation with a path across the barrier to reaction in the H-shift reactions of RO₂ radicals (Møler et al., 2016). 564 Equivalent to the case of HOCH₂OO radical, the isomerization of HOCH(CH₃)OO 565 radical proceeds via the 1,3- and 1,4-H shifts from the -CH or -CH₃ groups to the 566 terminal oxygen resulting in formation of hydroperoxyalkyl radicals (Figure S13). 567 These 1,3- and 1,4-H shift reactions accompany with the extremely high barriers (> 568 37.9 kcal mol⁻¹), implying that they are of less importance in the atmosphere. Similar 569 conclusion is also derived from the isomerization of HO(CH₃)₂COO radical that 1,4-H 570 571 shift reactions are unfavourable kinetically (Figure S14). The high barriers of 1,3- and 1,4-H shifts can be interpreted as the result of the large ring strain energy (RSE) in the 572 cyclic transition state geometries. As a consequence, the isomerization reactions of 573 HOCH₂OO, HOCH(CH₃)OO and HO(CH₃)₂COO radicals are not likely to proceed in 574 the atmosphere. 575

The single-conformer rate coefficients $(k_{\text{IRC-TST}})$ and multi-conformer rate 576 coefficients (k_{MC-TST}) of the isomerization of HOCH₂OO, HOCH(CH₃)OO and 577 HOC(CH₃)₂OO radicals are calculated over the temperature range of 273-400 K as 578 listed in Table S9-S11. As can be seen in Table S9, $k_{\rm IRC-TST}$ of each conformer exhibits 579 a marked positive temperature dependence over the temperature range studied. 580 $k_{\text{MC-TST}}$ is significantly increased with rising temperature, implying that the 581 temperature increasing is beneficial to the occurrence of HOCH2OO radical 582 isomerization. Similar conclusion is also obtained from the isomerization of 583 584 HOCH(CH₃)OO and HOC(CH₃)₂OO radicals (Table S10-S11). It is worth mentioning that $k_{\text{MC-TST}}$ is rapidly increased as the number of methyl group is increased. For 585

example, the room temperature $k_{\text{MC-TST}}$ of HOCH₂OO radical isomerization is calculated to be $4.4 \times 10^{-16} \text{ s}^{-1}$, which is lower than those of the HOCH(CH₃)OO (2.9 $\times 10^{-13} \text{ s}^{-1}$) and HO(CH₃)₂COO (3.0 $\times 10^{-12} \text{ s}^{-1}$) radicals isomerization by 660 and 6820 times, respectively.



590

591 **Figure 9.** PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the isomerization of HOCH₂OO radical predicted at 592 the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory

3.3 Subsequent reactions of H-abstraction products RO₂ radicals

594 in urban environments

NO_x is present in high concentration in urban environments, reaction with NO is 595 the dominant chemical sink for RO2 radicals (Atkinson and Arey, 2003; Orlando and 596 Tyndall, 2012; Perring et al., 2013). The main pathways in this type of reaction lead to 597 the formation of NO₂, RO radicals, organic nitrites, and organic nitrate, and their 598 formation yields are highly dependent on the nature of R group (Orlando and Tyndall, 599 600 2012). The formation of NO₂ through subsequent photolysis ($\lambda < 420$ nm) produces ozone and NO, increasing the concentrations of near-surface ozone and propagating 601 NO_x chain (Orlando and Tyndall, 2012). The schematic PES for the reactions of 602 distinct RO₂ radicals with NO are displayed in Figures 10-12. As shown in Figure 10, 603 the bimolecular reaction between HOCH2OO radical and NO initially leads to nitrite 604 adduct S31 via the barrierless addition of NO to terminal oxygen atom O₃ of 605 HOCH₂OO radical. The formed S31 exists two isomers: S31-cis refers to the O₂ and 606

 O_4 on the same side ($DO_2O_3N_1O_4 = 2.3$ °), whereas S31-*trans* refers to the O_2 and O_4 607 on the opposite side $(DO_2O_3N_1O_4 = -179.8^\circ)$ with respect to the O₃-N₁ bond. The 608 calculations show that S31-cis is more stable than S31-trans by 1.1 kcal mol⁻¹ in 609 energy. The tautomerization between S31-cis and S31-trans proceeds through the 610 rotating of O_3 - N_1 bond with the barrier of 14.4 kcal mol⁻¹, implying that they can be 611 regarded as the separate atmospheric species. According to the Boltzmann-weighted 612 distribution, at room temperature, the predicted percentages of S31-cis and S31-trans 613 614 are 86.5% and 13.5%, respectively. The result implies that the dominant product of HOCH₂OO radical reaction with NO is S31-cis, and it is selected as a model 615 compound to insight into the mechanism of secondary reactions in the following 616 sections. 617

S31-cis can either isomerize to organic nitrate S32 (R38) via the O₂-O₃ bond 618 breaking and O_2 - N_1 bond forming with the barrier of 47.8 kcal mol⁻¹, or decompose 619 into HOCH₂O radical and NO₂ (R39) via the cleavage of O₂-O₃ bond with the barrier 620 of 11.3 kcal mol⁻¹. The result shows that the latter pathway is more favourable than 621 622 the former channel due to its lower barrier. It should be noted that the corresponding transition state TS39 is not located using M06-2X functional, but it is located at the 623 MP2/6-311+G(2df,2p) level of theory and is verified using IRC calculations. The 624 formed HOCH₂O radical has two kinds of pathways: (1) it directly decomposes into 625 CH₂O and OH radical (R40) via β -site C₁-O₁ bond scission with the barrier of 52.4 626 kcal mol⁻¹; (2) it converts into HCOOH and HO₂ radical (R41) through H-abstraction 627 by O_2 with the barrier of 26.4 kcal mol⁻¹. This result reveals that R41 is the most 628 feasible channel in the fragmentation of HOCH₂O radical. 629

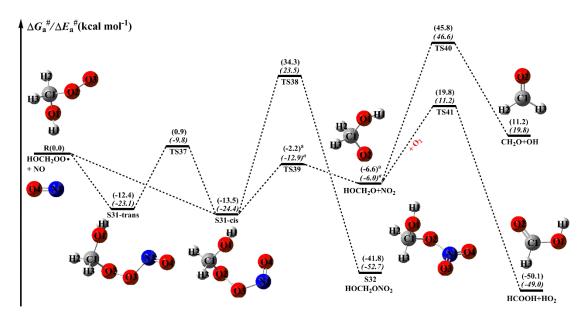
From Figure 11, it can be seen that the addition NO to HOCH(CH₃)OO radical leading to the formation of S33-*cis* is barrierless. Then, it decomposes into HOCH(CH₃)O radical and NO₂ (R44) via the cleavage of O₂-O₃ bond with the barrier of 11.5 kcal mol⁻¹. The resulting HOCH(CH₃)O radical has three types of pathways. The first one is β -site C₁-C₂ bond scission leading to the formation of HCOOH + CH₃ (R45) with the barrier of 8.3 kcal mol⁻¹. The second one is β -site C₁-O₁ bond cleavage resulting in formation of CH₃COH + OH (R46) with the barrier of 26.7

kcal mol⁻¹. The third one is H-abstraction by O_2 leading to $CH_3COOH + HO_2 \cdot (R47)$ 637 with the barrier of 26.2 kcal mol⁻¹. Based on the calculated reaction barriers, it can be 638 found that β -site C₁-C₂ bond scission is the dominant pathway in the fragmentation of 639 HOCH(CH₃)O radical. This conclusion is further supported by the previous 640 experimental result that β -hydroxy intermediates primarily proceed decomposition 641 rather than react with O₂ in the presence of NO (Aschmann et al., 2000). Equivalent to 642 the HOCH(CH₃)OO \cdot + NO reaction, the bimolecular reaction of HO(CH₃)₂COO 643 644 radical with NO has similar transformation pathways (Figure 12). The reaction for HO(CH₃)₂COO with NO initially proceeds via a barrierless addition leading to 645 S35-cis with the binding energy of 12.6 kcal mol⁻¹. Then, S35-cis fragments into 646 HO(CH₃)₂CO radical along with NO₂ (R50) via the cleavage of O₂-O₃ bond with the 647 barrier of 11.4 kcal mol⁻¹. The formed HO(CH₃)₂CO radical can either dissociate to 648 $CH_3COOH + CH_3 \cdot (R51)$ via the C_1 - C_3 bond scission with the barrier of 8.2 649 kcal mol⁻¹, or decompose into $CH_3COCH_3 + OH$ (R52) through the C_1-O_1 bond 650 breaking with the barrier of 24.3 kcal mol⁻¹. The result again shows that the β -site 651 652 C-C bond scission is the dominate pathway.

The typical atmospheric concentrations of NO are about 10 ppby, 1 ppby and 20 653 pptv in the urban, rural and forest environments (Bianchi et al., 2019). The rate 654 coefficient of HOCH₂OO \cdot reaction with NO is calculated to be 4.3 \times 10⁻¹² cm³ 655 molecule⁻¹ s⁻¹ at room temperature, resulting in the pseudo-first-order rate constants 656 $k'_{\rm NO} = k_{\rm NO}[\rm NO]$ of 6.5 $\times 10^{-1}$, 6.5 $\times 10^{-2}$, and 1.3 $\times 10^{-3}$, respectively, in the urban, 657 rural and forest environments. It is of interest to assess the relative importance for the 658 H-shift reaction of HOCH2OO radical and bimolecular reactions with HO2 · and NO 659 based on the calculated k_{MC-TST} , k'_{HO2} and k'_{NO} . It can be found that the H-shift 660 reaction is of less importance, the HO₂ radical reaction is favorable in the forest 661 environment, while the NO reaction is predominant in the urban and rural regions. 662 Similar conclusion is also obtained from the cases of HOCH(CH₃)OO and 663 664 HO(CH₃)₂CHOO radicals.

The rate coefficients of the dominant pathways of $HOCH_2O$, $HOCH(CH_3)O$ and HO(CH₃)₂CHO radicals fragmentation are summarized in Table S12. As can be seen

in Table S12, k_{R41} is slightly increased with the temperature increasing, and the 667 discrepancy is about a factor of 12 at the two extremes of temperature. At ground 668 level with $[O_2] = \sim 5.0 \times 10^{18}$ molecule cm⁻³, the pseudo-first-order rate constant k'_{O2} 669 = $k_{R41}[O_2]$ is estimated to be 38.0 s⁻¹ at room temperature. k_{R45} vary significantly from 670 2.0×10^6 (273 K) to 3.1×10^8 (400 K) s⁻¹, and they exhibit a marked positive 671 temperature dependence. Similar phenomenon is also observed from k_{R51} that k_{R51} is 672 significantly increased with increasing temperature. k_{R51} is a factor of ~ 1.3 greater 673 than k_{R45} in the temperature range studied, implying that the rate coefficient of β -site 674 C-C bond scission is slightly increased as the number of methyl group is increased. 675

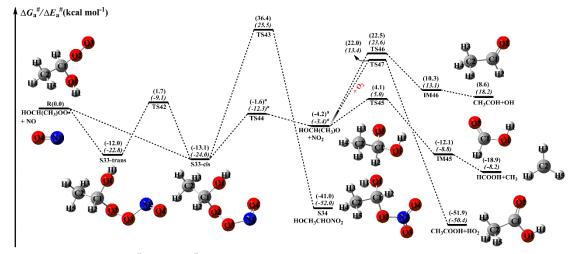


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Figure 10. PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the reaction of HOCH₂OO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)

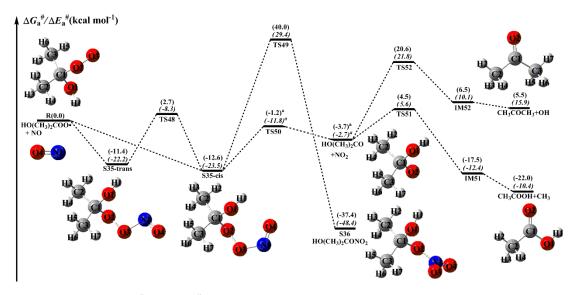
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Figure 11. PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the reaction of HOCH(CH₃)OO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)



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Figure 12. PES ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the reaction of HO(CH₃)₂COO radical with NO predicted at the M06-2X/ma-TZVP//M06-2X/6-311+G(2df,2p) level of theory (the superscript a is calculated at the MP2/ma-TZVP//MP2/6-311+G(2df,2p) level)

690 **4. Conclusions**

The detailed mechanisms and kinetic properties of OH-initiated oxidation of distinct HHPs and subsequent transformation of resulting H-abstraction products are investigated using quantum chemical and kinetics modeling methods. The main conclusions are summarized as follows:

(a) The dominant pathway is the H-abstraction from the -OOH group in the
initiation reactions of OH radical with HOCH₂OOH and HOC(CH₃)₂OOH.
H-abstraction from -CH group is competitive with that from the -OOH group in the

reaction of OH radical with HOCH(CH₃)OOH. The barrier of H-abstraction from the -OOH group is slightly increased as the number of methyl group is increased. Compared with the rate coefficient of dominant pathway in the parent system, it is almost identical when a methyl group substitution occurs at the C₁-position, whereas it reduces by a factor of 2-5 when two methyl groups introduce into the C₁-position.

(b) The self-reaction of H-abstraction product RO₂ radical initially produces tetroxide intermediate via an oxygen-to-oxygen coupling, then it decomposes into propagation and termination products through the asymmetric two-step O-O bond scission. The rate-limiting step is the first O-O bond cleavage, and the barrier is increased with increasing the number of methyl group.

(c) The bimolecular reactions of distinct RO₂ radicals with HO₂ radical lead to the formation of hydroperoxide ROOH as the main product, and the barrier height is independent on the number of methyl substitution. The calculated rate coefficients exhibit a weakly negative temperature dependence, translating into the pseudo-first-order rate constant k'_{HO2} of 1-5 × 10⁻² s⁻¹ in the forest environments.

(d) Reaction with O_2 forming formic acid and HO_2 radical is the dominant removal pathway for $HOCH_2O$ radical formed from the reaction of $HOCH_2OO$ radical with NO. The β -site C-C bond scission is the dominate pathway in the dissociation of $HOCH(CH_3)O$ and $HOC(CH_3)_2O$ radicals formed from the $HOCH(CH_3)OO \rightarrow NO$ and $HOC(CH_3)_2OO \rightarrow NO$ reactions.

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719 **Data availability**

The data are accessible by contacting the corresponding author(huangyu@ieecas.cn).

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723 Supplement

The following information is provided in the Supplement: Y//X (Y = M06-2X, CCSD(T), X = 6-311+G(2df,2p), ma-TZVP) calculated energy barrier ($\Delta E_a^{\#}$, $\Delta G_a^{\#}$) for OH + HHPs reactions; Rate coefficients of every elementary pathway involved in

the initial reactions of OH radical with HOCH₂OOH, HOCH(CH₃)OOH and 727 HO(CH₃)₂COOH; Rate coefficients of HO₂ radical reactions with HOCH₂OO, 728 HOCH(CH₃)OO and HO(CH₃)₂COO radicals; The relative free energy and 729 Boltzmann populations (w_i) of the conformer of HOCH₂OO, HOCH(CH₃)OO and 730 HO(CH₃)₂COO radicals; The single-conformer rate coefficients ($k_{\text{IRC-TST}}$) and 731 multi-conformer rate coefficients (k_{MC-TST}) of HOCH₂OO, HOCH(CH₃)OO and 732 HO(CH₃)₂COO radicals; Rate coefficients of dominant pathways in the HOCH₂OO ·+ 733 NO, HOCH(CH₃)OO ·+ NO and HO(CH₃)₂CHOO ·+ NO reactions; PESs ($\Delta E_a^{\#}$) for 734 the OH-initiated reactions of HOCH₂OOH, HOCH(CH₃)OOH, HOC(CH₃)₂OOH; 735 Geometries of all the stationary points; Plots of the rate coefficients of every 736 elementary pathway versus temperature; PESs ($\Delta G_a^{\#}$ and $\Delta E_a^{\#}$, in italics) for the 737 isomerization of HOCH(CH₃)OO and HO(CH₃)₂COO radicals. 738

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740 Author contribution

LC designed the study. LC and YH wrote the paper. LC performed theoretical calculation. YX, ZJ, and WW analyzed the data. All authors reviewed and commented on the paper.

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745 **Competing interests**

The authors declare that they have no conflict of interest.

747

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