Anomalous migrating diurnal tides in the MLT region during El
Niño in Northern Winter and their possible mechanism
Yetao Cen ^{1,2,3} , Chengyun Yang ^{1,2,3*} , Tao Li ^{1,2,3*} , Jia Yue ^{5,6} , James M. Russell III ⁶ ,
and Xiankang Dou ^{1,2,3,4}
¹ CAS Key Laboratory of Geospace Environment, School of Earth and Space Sciences,
University of Science and Technology of China, Hefei, Anhui, China
² Mengcheng National Geophysical Observatory, School of Earth and Space Sciences,
University of Science and Technology of China, Hefei, Anhui, China
³ CAS Center for Excellence in Comparative Planetology, University of Science and
Technology of China, Hefei, Anhui, China
⁴ School of Electronic Information, Wuhan University, Wuhan, Hubei, China
⁵ Catholic University of America, DC, USA
⁶ Center for Atmospheric Sciences, Hampton University, Hampton, VA, USA
Correspondence: Chengyun Yang (cyyang@ustc.edu.cn) and Tao Li

16 (litao@ustc.edu.cn)

Abstract

As observed by SABER (Sounding of the Atmosphere using Broadband 18 Emission Radiometry), the migrating diurnal tide (DW1) in the upper mesosphere and 19 lower thermosphere (MLT) region decreased by ~10% during El Niño in the Northern 20 Hemisphere (NH) winter (December-January-February) from 2002 to 2020. 21 According to the multiple linear regression (MLR) analysis, the linear effects of El 22 Niño on the tropical MLT DW1 are significantly negative in both SABER 23 observations and SD-WACCM (the Specified-Dynamics version of the Whole 24 25 Atmosphere Community Climate Model) simulations. The DW1 response to El Niño 26 in NH winter is much stronger than annual mean. As suggested by SD-WACCM simulation, Hough mode (1, 1) dominates the DW1 tidal variation in the tropical MLT 27 region. The consistency between the (1, 1) mode in the tropopause region and the 28 MLT region and the downward phase progression from 15 to 100 km indicates the 29 30 direct upward propagation of DW1 from the excitation source in the troposphere. The suppressed DW1 heating rates in the tropical troposphere (average over ~0-16 km and 31 35°S-35°N) during the El Niño events contribute to the decreased DW1 tide. To 32 33 evaluate the effect of the gravity waves (GW) on the tide, the GW forcing is 34 calculated as the GW drag weighted by the phase relation between DW1 GW drag and DW1 tidal wind. The negative GW forcing in the tropical upper mesosphere 35 would significantly suppress the MLT DW1 tide during El Niño winter. This tidal-GW 36 interaction in the MLT region could be a dominant mechanism for DW1 response in 37 38 the MLT to El Niño. During El Niño winter, the increased ratio of the absolute and planetary vorticity (R) suppresses the waveguide and thus the DW1 amplitude in the 39 40 subtropical mesosphere. However, the effect of waveguide might play a secondary 41 role due to its relatively weak response.

42 1 Introduction

Atmospheric solar tides are global-scale variations in meteorological variables 43 (e.g., density, wind, and temperature) with subharmonic periods of a solar day. The 44 migrating diurnal tide is dominant in the tropical mesosphere and lower thermosphere 45 (MLT) region and is characterized by westward travelling zonal wavenumber 1, 46 hereafter denoted as DW1 (Chapman & Lindzen, 1970). DW1 is primarily excited by 47 48 the absorption of infrared (IR) radiation by water vapour in the troposphere ($\sim 0-15$ km) (Hagan et al., 2002) and can propagate vertically and reach maximum amplitude 49 50 in the MLT region (Walterscheid., 1981a; McLandress et al., 1996; Liu & Hagan, 1998; Lu et al., 2009; Liu et al., 2010; Yang et al., 2018). Diurnal migrating tides 51 remain a significant focus of scientific research due to a lack of comprehensive 52 understanding of their seasonal and interannual variabilities. The tidal variation in the 53 54 MLT region depends on variations in the wave sources, such as the solar heating absorption in the lower atmosphere (Chapman & Lindzen, 1970), and the tidal wave 55 propagation, which is affected by background wind variation, such as the QBO 56 (Forbes and Vincent, 1989; Hagan et al., 1999; McLandress, 2002a; Ramesh et al., 57 2020; McLandress, 2002b; Mayr and Mengel, 2005). In addition to tidal sources and 58 59 propagation, tidal variability is also affected by the modulation of interactions with 60 gravity waves (GW) (Liu and Hagan, 1998; Li et al., 2009).

As the dominant interannual variation in the tropical troposphere (Yulaeva and 61 Wallace, 1994), the El Niño-Southern Oscillation (ENSO), which is characterized by 62 anomalous sea surface temperature in the eastern equatorial Pacific Ocean, can cause 63 global-scale perturbations in atmospheric temperature, rainfall, and cloudiness and 64 potentially modulate tidal heating sources in the troposphere (Lieberman et al., 2007). 65 Previous studies have documented that ENSO can influence the troposphere (Yulaeva 66 and Wallace, 1994; Calvo-Fernandez et al., 2004) and the stratosphere and 67 mesosphere (Sassi et al., 2004; Randel et al., 2009; Li et al., 2013 and 2016). As 68 69 ENSO events tend to reach their maximum in the Northern Hemisphere (NH) winter, they could potentially significantly impact the MLT tide. 70

71 According to meridional wind observations from the meteor radar at Jakarta 72 (6.4°S, 106.7°E) and medium-frequency (MF) radar at Tirunelveli (8.7°N, 77.8°E), the tropical diurnal tidal amplitudes in the meridional winds were suppressed during 73 74 the El Niño winters of 1994/1995 and 1997/1998 (Gurubaran et al., 2005). However, Lieberman et al. (2007) documented a dramatic enhancement of the equatorial diurnal 75 tide during 1997 based on MF radar observations at Kauai, Hawaii (22°N, 154°W), 76 77 which may be connected to more substantial solar heating absorbed by water vapour 78 during the strong El Niño event of 1997-1998. Notably, the positive diurnal tidal amplitude anomaly became much weaker during the wintertime of 1997/1998 when 79 El Niño reached its maximum. In addition, the diurnal tidal amplitudes were 80 suppressed rather than enhanced during the winters of another 3 El Niño events 81 82 (1991/1992, 1994/1995, and 2002/2003). Based on the observation from ground-based radars and the Thermosphere Ionosphere Mesosphere Energetics and 83 Dynamics (TIMED)/SABER satellite, Vitharana et al. (2021) documented that the 84 DW1 response to El Niño is negative from 2003-2016 considering all the months. 85 86 However, the response of DW1 to ENSO is different or even opposite in different seasons as suggested by previous studies (e.g. Liberman et al. 2007; Zhou et al., 2018; 87 Kogure et al., 2021). For instance, Lieberman et al. (2007) reported that a dramatic 88 enhancement of the equatorial diurnal tide during 1997 autumn based on MF radar. 89 90 From July to October of the strong El Niño of 2015, the equatorial DW1 in the MLT 91 was also dramatically enhanced in SABER (Zhou et al., 2018; Kogure et al., 2021). Thus, calculating the regression by binning the data among different months together 92 may underestimate the actual response of MLT DW1 tide during the particular season. 93 94 Since ENSO reaches its peak in winter, more pronounced effect in the upper atmosphere are expected during that time. Thus, we focus on the linear response of 95 DW1 to ENSO during the winter in this study. 96

Utilizing the Whole Atmosphere Community Climate Model (WACCM) version
4, Pedatella & Liu (2012 and 2013) suggested that El Niño could enhance the MLT
DW1 tide during winters due to increased tropospheric radiative forcing. In their

100 simulation, the OBO signal is not included, and the ENSO events are self-generated. 101 As suggested by the WACCM version 6 simulations with self-generated QBO and ENSO, Ramesh et al. (2020) illustrates the linear response of latitude-pressure 102 103 variation of DW1-T to the seven predictors including ENSO in four seasons. They 104 suggest that the response of DW1 to ENSO is significantly positive in the equatorial MLT region during the NH winter (Figure 5 in Ramesh et al. 2020). However, Liu et 105 106 al. (2017) found that DW1 amplitudes are suppressed during the winters of El Niño 107 events based on simulations of the ground-to-topside atmosphere-ionosphere for aeronomy (GAIA) model. Since GAIA is nudged with reanalysis data below 30 km, 108 ENSO events and variations in the lower atmosphere are more realistic. The 109 discrepancies among the model simulations and uncertainties in the observations 110 111 require further investigation of the DW1 tide-ENSO connection.

112 The response of the MLT DW1 tide to ENSO during the winters is revisited in this study based on the DW1 variation extracted from a long-term temperature dataset 113 observed by the Sounding of the Atmosphere using Broadband Emission Radiometry 114 (SABER) onboard the TIMED (Mertens et al., 2001, 2004, Rezac et al. 2015). The 115 116 "Specified-Dynamics" version of the WACCM simulation is used to study the possible mechanism. The data and methods are described in section 2. Section 3 117 presents the observational and model results of the DW1 temperature response to 118 ENSO. In section 4, we examine the possible mechanism that modulates the MLT 119 120 DW1 tide during ENSO events. Finally, a summary is presented in section 5. 121

122 **2 Data and Methods**

The SABER onboard the TIMED satellite began its observations in January 2002. Kinetic temperature profiles are retrieved from the CO2 limb emission profiles from the tropopause to the lower thermosphere using a full non-LTE inversion (Mertens et al., 2001, 2004, Rezac et al. 2015). The latitude range of SABER observations is from 53° in one hemisphere to 83° in the other, and the latitude coverage flips to the

opposite hemisphere approximately every 60 days. Thus, SABER provides nearly continuous soundings within 53°S and 53°N. This study used version 2.0 temperature data from February 2002 through July 2021 to analyze the DW1 temperature tide in the MLT region. The SABER can complete a nearly 24-hr local time observation within an ~60-day window, which allows us to extract the diurnal tide explicitly.

The method described by Xu et al. (2007) is utilized to extract the DW1 tide
from TIMED/SABER temperature data. Migrating tides can be expressed as

135
$$\frac{1}{2\pi} \int_0^{2\pi} T(t_{LT}, \lambda) d\lambda = \overline{T}(t_{LT}) + \sum_{n=1}^N T_n^{mtw} \cos(n\omega_0 + \psi_n^{mtw}) + T_{r1}$$
(1)

136 where T is temperature, t_{LT} is local time, λ is longitude, \overline{T} is zonal mean

137 temperature, $\sum_{n=1}^{N} T_n^{\text{mtw}} \cos(n\omega_0 + \psi_n^{\text{mtw}})$ is migrating tides, and T_{r1} is remnant. To

extract tidal components, the daily data are first divided into two groups by local time 138 corresponding to the ascending and descending phases, and then, each group is 139 interpolated into 12 longitude grids, each 30° wide, by fitting with a cubic spline. The 140 141 next step is to calculate the zonal mean for each day to eliminate the nonmigrating tides as well as the stationary planetary waves. The bimonthly amplitudes and phase 142 information of the migrating tides can be calculated by nonlinear least-squares fitting 143 techniques using data within a 60-day sliding window every month (Xu et al., 2007; 144 Smith et al., 2012; Gan et al., 2014). 145

The WACCM is a fully coupled chemistry-climate model, which is the high-top 146 atmosphere component of the Community Earth System Model (CESM) (Garcia et al., 147 2007). In this study, the simulation of the Specified-Dynamics (SD) version of 148 149 WACCM (SD-WACCM), version 4 is adopted to investigate the ENSO-DW1 tide relationship. The vertical range of SD-WACCM extends from the surface up to ~140 150 km. The simulated diurnal tide in WACCM4 compares favourably with observations 151 (Lu et al., 2011; Davis et al., 2013). SD-WACCM is nudged to meteorological fields 152 from Modern-Era Retrospective Analysis for Research and Applications (MERRA) 153 reanalysis data in the troposphere and stratosphere (from the surface up to 1 hPa) and 154 then is freely run in the MLT (above 0.3 hPa) (Kunz et al., 2011). Smith et al. (2017) 155

discussed the dynamic constraints in SD-WACCM and their impact on simulation of 156 the mesosphere in detail. The ENSO-related characteristics in the troposphere and 157 stratosphere in SD-WACCM follow those in the reanalysis meteorological fields with 158 relaxation. In this study, the SD-WACCM output includes complete diurnal tidal 159 160 information for temperature, zonal and meridional wind, and heating processes from 1979 to 2014. The simulation also outputs the diurnal components of parameterized 161 GW drag. We note here that the WACCM version 6 simulation was not used in this 162 163 study due to its opponent response of MLT DW1 to ENSO comparing to SABER 164 observations.

The Niño3.4 index (N3.4), which is the sea surface temperature (SST) anomaly
averaged over 120°-170°W and 5°S-5°N (available at

167 https://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/Data/Niño34), is used to

168 identify El Niño and La Niña events.

169 The monthly DW1 can be used as a vector with the ratio as the amplitude and the 170 angle as the phase. To evaluate the variations in both the amplitude and phase of the 171 DW1 tide, the monthly DW1 amplitudes are weighted by projecting the monthly 172 mean vectors onto the climatological mean DW1 vector with the phase difference cos 173 ($\Delta \varphi$) (the phase difference is $\Delta \varphi = \varphi - \varphi_{clim}$) as follows:

174
$$Amp_{weighted} = Amp * \cos\left(\omega * (\varphi - \varphi_{clim})\right)$$
(2)

175 where ω ($\omega=2\pi/24$) is the frequency of the DW1 tide. φ and φ_{clim} are the DW1 176 phase of each month and the climatological mean, respectively. In the remainder of 177 this study, the weighted DW1 amplitude (and its anomaly) refer to the DW1 178 amplitude (anomaly) for conciseness. The mean tidal amplitude and phase during NH 179 winter are derived from the averaged tidal vectors for December, January, and 180 February (DJF) of each year. 181 To derive the winter interannual variability that may be related to ENSO, we first

182 calculate the DW1 anomalies by removing the climatological mean seasonal cycle.

183 Then, the winter (DJF) mean of the DW1 anomalies is calculated. Natural forcing, such as the solar cycle (represented by F107), QBO, ENSO, and long-term trends, 184 jointly affect the DW1 tidal amplitude (e.g., Dhadly et al., 2018; Gurubaran et al., 185 2005; Gurubaran & Rajaram, 1999; Hagan et al., 1999; Lieberman et al., 2007; Liu et 186 al., 2017; Pedatella & Liu, 2012; Sridharan, 2019, 2020; Sridharan et al., 2010; 187 Vincent et al., 1998; Xu et al., 2009). To isolate the linear forcing of ENSO from the 188 interference of other factors, a multivariate linear regression (MLR) analysis is 189 190 applied on the anomalous time series at each latitude and altitude, the same as that 191 used in Li et al. (2013).

192 $T(t) = C_1 * NI\tilde{N}03.4 + C_2 * QB010 + C_3 * QB030 + C_4 * F107 + C_5 *$ 193 TREND + $\varepsilon(t)$ (3)

194 where T is the DW1-T anomaly, t is time, C1-C5 are regression coefficients, and ε is the residual; QBO10 and QBO30 are two orthogonal QBO time series derived 195 from the zonal wind (m s⁻¹) averaged over 5°N to 5°S at 10 and 30 hPa (Wallace et al., 196 1993), respectively. The Niño3.4 index (Niño3.4) is the 3-month running mean of 197 SST averaged over 5°N to 5°S, 120°W-170°W; F107 is the solar radio flux at 10.7 cm, 198 which is a proxy for solar activity; and TREND is the long-term linear trend. The 199 200 linear contribution of each factor during winters is determined by applying MLR to DJF anomalies each year. The analysis is carried out for the period of 2002-2020 at 201 202 each latitude and pressure grid point. The F test (Kissell et al., 2017) was used to evaluate the statistical significance of the regression coefficients. 203

204 The Hough function in classic tidal theory (Chapman & Lindzen, 1970), which represents the solution of the Laplace tide equation in the isothermal atmosphere, can 205 206 set a consistent latitude variation in the amplitude and phase of the tidal perturbation field. The Hough functions of daily variation frequency form a complete orthogonal 207 set and extend from 90°S to 90°N. This method of estimating amplitude and phase is 208 209 based on fitting the Hough mode to the zonal structure representation and the simple harmonic function (sine and cosine) to the local time-varying representation. The 210 Hough mode is represented as $\Theta_{s,n}(\theta)$, or (s, n), where s indicates the zonal 211 wavenumber and index n is positive for gravitational modes (propagating modes) and 212

negative for rotational modes (trapped modes). The normalized functions satisfy thefollowing relation

215
$$\int_{-90^{\circ}}^{90^{\circ}} \Theta_{1,n}(\theta) \bullet \Theta_{1,m}(\theta) \cos(\theta) d\theta = \begin{cases} 1, m = n \\ 0, m \neq n \end{cases}, n, m = \pm 1, \pm 2, \dots$$
(4)

216

217 3 Results

As presented in Figure 1a, the NH winter (December-January-February, DJF) 218 mean amplitude of DW1 in temperature extracted from TIMED/SABER observation 219 is largest (~12 K) in the equatorial mesopause region during 2002-2013. Although the 220 amplitude is smaller, the distribution of the DW1 T amplitude in SD-WACCM 221 simulation (Figure 1b) is similar to that derived from SABER observation, with the 222 223 maximum at 90-100 km above the equator. There are some differences between SABER and SD-WACCM: SABER has a weaker peak above the equator at 70-80 km, 224 but this peak cannot be seen in SD-WACCM. 225

Figures 2a and 2b show the monthly mean DW1 temperature amplitude 226 227 anomalies (removing the climatological mean seasonal cycle) averaged over 10°S-10°N at 100 km derived from SABER observations and SD-WACCM 228 simulations between 2002 and 2020, respectively. Among the analyzed period, there 229 were 4 El Niño events in 2002, 2006, 2009, and 2015, which are indicated with red 230 arrows and defined by the Niño3.4 index in Figure 2c; the 3 La Niña events in 2007, 231 232 2010, and 2020 are indicated with blue arrows. The anomalous DW1 amplitudes are negative during 4 El Niño winters and positive during all 3 La Niña events. The DW1 233 anomalies reach a positive maximum in July to October during the 2015/2016 strong 234 El Niño event, which agrees with Zhou et al. (2018); however, they become negative 235 in winter. In the period when SD-WACCM and SABER overlap (2002-2014), the 236 237 simulated DW1 amplitude anomalies in SD-WACCM are negative during all 3 El Niño winters (2002, 2006, and 2009) and positive during 2 La Niña events. The 238

negative response of the MLT DW1 tide to El Niño in the SD-WACCM simulationagrees well with that in the SABER observation.

241	In the 35-yr SD-WACCM simulations (1979-2014), the anomalous DW1
242	amplitudes averaged over 10°S-10°N at 100 km are negative during 7 of 8 El Niño
243	winters (1982, 1986, 1991, 1997, 2002, 2006, and 2009), as shown in Table 1. The
244	MLR coefficients of DW1 to normalized Niño3.4 are significantly negative in both
245	the SABER observation and SD-WACCM simulation, as shown in Figure 3. The
246	amplitude of DW1 in the equatorial region is significantly reduced, however the phase
247	anomaly is not drifted much (less than 1 hour) during El Niño winter. (figure S1, S2).
248	The MLT DW1 response to El Niño in winter is five times stronger than the
249	average response in SABER observations derived by Vitharana et al (2021). This is
250	due to the fact that the DW1 enhancement in El Niño autumn (e.g. Liberman et al.
251	2007; Zhou et al., 2018; Kogure et al., 2021) may weaken the negative response to
252	ENSO. In the simulations of Ramesh et al. (2020), different seasons also exhibit
253	different responses of DW1 to ENSO. The MLR coefficients of tropical DW1 to
254	Niño3.4 in the SABER observation (with a minimum of \sim -1 K/index) are twice as
255	strong as those (with a minimum of ~-0.5 K/index) in the SD-WACCM simulation
256	since the magnitude of the DW1 tide is underestimated in the WACCM4 simulation
257	(Liu et al., 2010; Lu et al., 2012). The negative response of the MLT DW1-T
258	amplitude to El Niño is consistent with early MF radar/meteor radar observations and
259	GAIA model simulations with a nudging process (Gurubaran, 2005 and Liu et al.,
260	2017) but opposite to free-run WACCM simulations (Pedatella & Liu 2012 and
261	2013).

The MLR coefficients of the DW1 response to normalized QBO10 and QBO30 in the equatorial mesopause region are significantly positive, with a minimum of ~1 K/(m*s⁻¹) near 100 km (Figure S3), consistent with previous studies (Ramesh et al. 2020). The linear effects of the QBO on the MLT DW1 tides are comparable to those of ENSO (the variances in the DW1 tide explained by ENSO, QBO10, and QBO30

- are 23%, 20%, and 17%, respectively). The interaction between the QBO and ENSO
- 268 may potentially modulate the ENSO-DW1 tide relationship (Gray, 1984). In this study,
- we focused on the linear effect of ENSO on the MLT DW1 tidal variability and the
- associated mechanism. In SD-WACCM, the linear regression coefficients of DW1 are
- a negative response to Niño3.4 and a positive response to QBO10 and QBO30, which
- is consistent with the SABER observation, although the absolute value of the
- 273 coefficients decreases more than that of SABER. The variance percentages of F107
- are negligible compared with these three variables. In the remainder of this study,
- only the linear effect of ENSO on the MLT DW1 tide is discussed.

276 4 Possible Mechanisms

4.1 Tidal forcing and propagation

A specific tidal component, such as DW1, can be decomposed into a series of 278 gravity wave-like modes and Rossby wave-like modes based on the Hough functions 279 (Figure S4) (Auclair-Desrotour et al., 2017; Chapman & Lindzen, 1970; Forbes, 280 1995). In a qualitative sense, the tidal response can be considered a combination of 281 282 GWs restored by stable stratification and inertial Rossby waves due to Coriolis acceleration. The Hough modes of the DW1 tide in the SD-WACCM simulation are 283 284 analysed to examine the mechanism of tropical DW1 tidal variation. As shown in Figure 4a, the anomalies of the DW1 temperature amplitude averaged over 10°S-10°N 285 at 100 km are consistent with its Hough (1,1) component (the correlation coefficient 286 between MLT DW1-T anomalies and its Hough (1,1) component is 0.99) during the 287 NH winter from 1979 to 2013. The DW1-T amplitude anomalies and their Hough (1,1) 288 component during El Niño years decrease by 15% compared to the climatological 289 mean amplitude. During winters (DJF) from 1979 to 2013, the average phase of 290 DW1-T over 10°S-10°N shows general downward phase progression with the height 291 from the MLT region to the tropopause region (approximately 15 km). This kind of 292 downward phase advance with height implies an upward group velocity for the 293 294 vertically propagating gravity model. By tracking the downward phase progressive 295 line, the altitude of the excitation source is estimated to be below 15 km. The DW1-T 296 phase during El Niño winters corresponds with the climatological mean phase 297 structure, implying that ENSO-induced tidal perturbation in the troposphere could directly propagate vertically into the MLT region. The anomalous Hough (1,1) mode 298 of the DW1 temperature amplitude at MLT (100 km) is significantly correlated (the 299 300 correlation coefficient is 0.81) with that at the tropopause region (15 km), indicating 301 the effective propagation of the perturbation in the tropospheric Hough (1,1) into the MLT region. During 7 of 8 El Niño events (1982, 1986, 1991, 1997, 2002, 2006, and 302 2009), the Hough (1,1) mode at 100 km is ~10% smaller than that in the tropopause, 303

304 which agrees well with the suppressed Hough (1,1) in the MLT.

As noted earlier, the DW1 tide is primarily excited by the absorption of solar 305 radiation by tropospheric water vapour (Lieberman et al., 2003; Zhang et al., 2010). 306 307 According to the tidal theory (Volland and Hans, 1988), the heating rate of radiation 308 absorbed by water vapour in the entire troposphere is responsible for the excitation of 309 diurnal migrating tides. Next, we examine the perturbation of the DW1 solar heating source in the SD-WACCM simulation, which potentially contributes to the negative 310 Hough (1,1) tidal anomalies in the tropopause region during El Niño winters. As 311 312 presented in Figure 5, the anomalous amplitudes of the DW1 heating rate (HR) regressed on the normalized Niño3.4 index are significantly positive (with a 313 maximum of ~ 0.4 mW/m³ per index) in the upper tropical troposphere (5°S-5°N, 3-12 314 km) but are significantly negative below 3 km (with a minimum of \sim -4 mW/m³ per 315 316 index). The ENSO-induced changes in the tropospheric DW1 heating forcing may be due to the redistribution of tropospheric convection during El Niño and La Niña 317 winters. During El Niño winters, increased moisture in the upper troposphere due to 318 enhanced tropical precipitation in the central Pacific Ocean (e.g., Hoerling et al., 1997) 319 320 leads to stronger solar heating absorption by water vapour in the middle and upper equatorial troposphere (5–12 km, 10°S–10°N). On the other hand, heating in the 321 322 lower troposphere significantly decreased due to less solar radiation below the convective cloud. The DW1 HR regressed on Niño3.4 in the NH (5°N-35°N) is 323 324 characterized by a significantly negative coefficient of 3-8 km (with a maximum of \sim -0.3 mW/m³ per index) associated with significantly positive coefficients below 2 km 325 (with a maximum of $\sim 3 \text{ mW/m}^3$ per index). In the Southern Hemisphere (SH), the 326 distribution of DW1 HR coefficients consists of negative and positive values at 327 328 different altitudes and latitudes.

Pedatella et al. (2013) adopted the HR in the upper tropical troposphere (5-10 km within $\pm 20^{\circ}$) to estimate the ENSO-induced variation in the DW1 tidal source. Other studies suggested the HR in both the upper and lower troposphere (e.g., altitude range

between 900-200 hPa, 1-12 km in Lieberman et al., 2003, and 1000-100 hPa, 0-16 km 332 in Zhang et al., 2010). As suggested by Table 2, the mass-weighted HR averaged over 333 the entire tropical troposphere (0-16 km, 35°N-35°S), which negatively responds to 334 ENSO, is significantly correlated (the correlation coefficient is 0.45) with the DW1 335 tide in the tropical tropopause region. Although the linear regression coefficient in HR 336 is positive at 5-10km over the equator (5°N-5°S), the coefficients at 5-30°N(S) are 337 338 negative (Figure 5), which is opposite of the equator (5°N-5°S). The HR averaged over 5-10 km, 20°N-20°S (the same as in Pedatella et al., 2013) regressed on Niño3.4 339 340 is also negative, although it is not significantly correlated with the DW1 tidal variation in the tropopause. The decreased DW1 heating source in the troposphere 341 342 during El Niño is a primary cause of the suppressed DW1 tide in the tropopause region winters, which propagates vertically and affects the DW1 tidal variation in the 343 MLT region. 344

345

346 **4.2 Effect of background wind**

The zonal wind in the middle atmosphere can modulate tide propagation from the troposphere to the MLT (Forbes and Vincent, 1989). As Mclandress (2002b) described, the perturbation latitudinal shear in the zonal mean zonal wind (zonal mean vorticity) can affect DW1 propagation into the MLT region by causing departures from classical tidal dynamics. The zonal mean vorticity ζ and Coriolis parameter f are given by the following equation:

$$\overline{\zeta} = \frac{-1}{a\cos\theta} * \frac{\partial(\overline{u}\cos\theta)}{\partial\theta}$$
(5)

$$f = 2\Omega \sin\theta \tag{6}$$

$$R = (\overline{\zeta} + f)/f \tag{7}$$

where a, \overline{u} and θ correspond to the Earth radius, zonal mean zonal wind and latitude, respectively, and Ω is the Earth's rotation rate.

The ratio of the absolute and planetary vorticity R is equivalent to changing the 355 356 planet rotation rate. In classical theory, the vertically propagating DW1 is restricted 357 near the equator due to the planet's rapid rotation. Therefore, a faster rotation rate (positive R anomalies) will suppress the latitudinal band (i.e., waveguide) where DW1 358 can propagate vertically. On the other hand, the slower rotation rate (negative R 359 anomalies) favors the vertical propagation and is thus able to enhance the amplitude 360 of DW1 at the low latitudes (Mclandress. 2002b). When the ratio of the absolute and 361 planetary vorticity R-value at a certain height becomes larger, the upward propagation 362 of tide is suppressed, which lead to weaker tides above there. 363

The MLR coefficient of R on Niño3.4 is illustrated in Figure 6. Below 60 km, 364 the ratio R exhibits negative and positive responses to ENSO depending on different 365 366 altitudes in the northern and southern subtropics. The R response to ENSO is positive at 60-100 km in the northern subtropics, and 65-100 km in the southern subtropics is 367 positive. The green thick solid line represents the mean value of the equatorial R 368 (15-30°N and 15-30°S), and it can be seen that the mean R value response to ENSO is 369 significantly positive at 60-90 km. The increased ratio R in the mesosphere results in 370 suppressed latitudinal band, which prevents the upward propagation of the DW1 tide 371 during El Niño winters. The correlation coefficient between the R value and DW1 372 during the winter of 1979-2014 is -0.33 (significant at 95% level) in the SH, and is 373 374 -0.37 (significant at 95% level) in the NH the correlation coefficient, both of which are significantly correlated. The significantly negative correlation between R and 375 DW1 tide implies that the R plays a role in modulation the upward propagating of 376 DW1 when no ENSO event occurs. The variation of R and DW1 should not be 377 attributed to the impacts of ENSO separately. 378

379 **4.3 Effect of gravity wave forcing**

- - -!

In addition to tidal sources and tidal propagation, MLT tidal variability is also 380 affected by interactions with GWs (Liu and Hagan, 1998; Li et al., 2009). GWs are 381 382 the main driving force of MLT dynamic activity, which has an essential influence on 383 tidal amplitude and phase (Walterscheid, 1981b; Lu et al., 2012; Liu et al., 2013). The effect of the GW forcing on tides is not fully understood due to the limited 384 observation and lack of high-resolution model simulations that can fully resolve both 385 tides and GWs. In WACCM, the GWs are parameterized, and their tropical sources 386 are interactive and mainly triggered by convection in the tropics (Beres et al., 2005). 387 The GW in the tropics is primarily induced by the convection, while the GW in the 388 middle to high latitudes is mainly generated by the frontal systems (Figure S5, S6). 389 Due to this source of interaction, the GW drag will likely be modulated by ENSO as 390 391 the location and size of the ENSO-related convection change. The GW drag far away from the tropospheric source has a strong response to the wind. As mentioned above, 392 we can determine the variation in the resistance of the convection-generated GW in 393 the WACCM. We mainly focus on the latitudinal component of parameterized 394 395 resistance because it is usually much larger than the meridional component (Yang et al. 2018). 396

In the NH winter, the amplitude of the DW1 zonal GW drag caused by convection has obvious hemispheric asymmetry: the magnitude is much smaller in the NH than in the SH (Figure 7a). The zonal wind DW1 tidal can be written as $U' = A^* \cos(\omega^*(t-\varphi) - s\lambda)$, where A and φ are the amplitude and phase of DW1 tide, $\omega \quad (\omega = 2\pi/24)$ is DW1 frequency, λ is longitude and $s \quad (s = 2\pi/360)$ is zonal wave number of DW1. The time tendency of zonal wind can be written as:

403
$$\frac{\partial U}{\partial t} = \omega^* A^* \cos(\omega^* (t - \varphi) + \frac{\pi}{2} - s\lambda) = \omega^* A^* \cos(\omega^* (t - (\varphi - 6)) - s\lambda);$$
(8)

The phase of the DW1 tide time trend leads the tide itself by 6 hours. To evaluate the effect of GW forcing on the DW1 tide during DJF, the GW forcing can be calculated

406 as: $GW_{\text{forcing}} = GW_{drag} * \cos(\omega * (\varphi_{GW} - (\varphi_U - 6)));$ (9)

407 Where GW_{drag} is GW drag, and φ_{GW} and φ_{U} are the phase of DW1-GW and 408 DW1-U.

409 The convection-generated DW1 GW forcing on the DW1 tide is positive in the southern subtropical upper mesosphere and negative below this tide (60-80 km) 410 during the NH winter (Figure 7b). In the NH, the DW1 GW forcing of the DW1 tide 411 is positive in the subtropical mesosphere (15-35°N, 80-100 km) and negative in the 412 tropical mesosphere (0-10°N, 80-100 km), indicating that convection-generated GW 413 414 forcing will dampen the tides in the tropical MLT and enhance the tides in the NH and 415 SH subtropical regions (Figure 7b). As shown in Figure 8a, the correlation between 416 DW1 U and GW drag from 1979 to 2014 winter (DJF) is only significant in the 417 mesopause region of southern subtropical and equator. The correlation between DW1 U and GW forcing from 1979 to 2014 winter (DJF) is larger than 0.7 in the tropical 418 and subtropical MLT (Figure 8b) and the grey areas indicates statistical significance 419 below 95% level using Student's t test, which means GW forcing is clearly modulate 420 the tide, especially in the Southern subtropics. The linear regression coefficient of 421 Niño3.4 in the GW forcing is significantly negative in the tropical MLT region 422 (Figure 9, 80-100 km), suggesting that the decreased GW forcing would lead to a 423 weaker DW1 U amplitude during El Niño winters. 424

Although parameterized GWs are excited by convection, it is difficult to find a direct cause and effect relationship between ENSO-related tropospheric changes and the GW-induced tidal forcing in the mesosphere. The GW forcing in the MLT not only depends on the generation of waves in the troposphere but also on zonal wind filtering when they propagate upward from the troposphere to the upper mesosphere.

430 However, our study suggests that the ENSO modulation of tidal amplitude can come

431 not only from the disturbance in tropospheric tidal sources and tidal propagation

modulated by zonal wind but also from the disturbance of the GW-tidal interaction in

433 the upper mesosphere.

434

435 **5 Discussion and Summary**

The response of the MLT DW1 tide to ENSO is investigated during the northern winter when ENSO reach its peak, by using satellite observations of temperature profiles and the SD-WACCM simulation. The DW1 amplitude of temperature observed by the SABER tends to decrease during the NH winter of 4 El Niño events from 2002 to 2020 when El Niño reaches its peak and increase during 3 La Niña events. In SD-WACCM simulations, the DW1 amplitude is suppressed during 7 of 8 El Niño winter (DJF) events from 1979 to 2014.

443 Possible mechanisms have been proposed to explain the DW1 response to ENSO: 444 (1) the source of tidal excitation in the lower atmosphere and its upward propagation, 445 (2) the impact from background wind variation on the tidal propagation, and (3) interaction between gravity waves and tides. As the Hough (1,1) mode dominates the 446 447 diurnal migrating tidal temperature in the MLT region, its negative response to ENSO corresponds well with the counterpart at the tropopause. By tracking the downward 448 449 phase progressive line, the altitude of the excitation source is estimated to be below 15 km. The decreased heating rate in the tropical troposphere (35°S-35°N, 0-16 km) 450 during El Niño peaks contribute to the suppressed DW1 tidal amplitude in the tropical 451 452 tropopause.

As the background variation could modulate the upward propagation of the tide (Forbes and Vincent, 1989; McLandress, 2002a, 2002b), the ratio of the absolute and planetary vorticity R response to ENSO is investigated. The R response to ENSO is significantly positive anomalous at 60-90 km, leading to the narrower waveguide and

457 resulting in weaker DW1 amplitude above. However, the regression coefficient of R

458 on the ENSO index is relatively small compared to the mean value of R, which imply

that the impact of R on tidal propagation may play a secondary role in the

460 ENSO-DW1 connection.

461 In addition to tidal sources and tidal propagation, MLT tidal variability is also dramatically affected by interactions with GWs (Liu and Hagan, 1998; Li et al., 2009). 462 GW forcing considering both the DW1 tidal GWs drag and the phase difference with 463 464 the DW1 tide, is calculated to evaluate the effect of the GW variation on the tide during ENSO winters. The GW forcing response to Niño3.4 is significantly negative 465 466 in the tropical upper mesosphere, which suggests the GW response to ENSO tends to dampen the MLT DW1 tide during El Niño winter. This tidal-GW interaction could 467 significantly modulate the tidal amplitude as revealed by early lidar observations (Li 468 469 et al., 2009; Baumgarten et al., 2018). This could be most important mechanism of DW1 response in the MLT region to ENSO. However, quantitative evaluation of this 470 interaction is out of scope of this paper and needs far more sophistic model with 471 extreme high resolution to self-generate convective GWs. 472

The weak negative DW1 response to ENSO over the equator may be related to 473 the dissipation or damping of the tide near 95 km. The shorter vertical wavelength 474 would increase the Rayleigh friction coefficient proportional (Forbes et al., 1989), 475 which result in enhancement of the tide dissipation. As presented in Table S1, the 476 vertical wavelength of DW1 near 95 km is increased (but decreased at around 90 and 477 478 100 km), which would suppress the Rayleigh friction coefficient and lead to less tidal dissipation. Therefore, the less tidal dissipation in this area could result in a relatively 479 480 weak negative or even positive response to ENSO near 95 km. The interaction of gravity waves and tides may also play a role in modulating the tidal amplitude at 481 different altitudes. However, the SD-WACCM simulation failed to perform a similar 482 tidal response near 95 km as SABER observations. Further investigation with more 483

- 484 detailed GW from observation or the improved GW parameterization scheme and
- 485 higher vertical resolution in model simulation are need.

487 Data availability

488 SABER dataset are available at http://saber.gats-inc.com/data.php, ECMWF
489 dataset used here are obtained at http://apps.ecmwf.int/datasets/data.

490

491 Author contributions

492 YC and CY designed the study, performed data analysis, prepared the figures and 493 wrote the manuscript. TL initiated the study and contributed to supervision and 494 interpretation. JY and JR contributed to editing the manuscript. XD contributed to 495 interpretation. All authors contributed to discussion and interpretation.

496

497 **Competing interests**

498 The authors declare that they have no conflict of interest.

499

500 Acknowledgments

501 This work was funded by the National Natural Science Foundation of China 502 grants (42130203, 41974175, 41874180), and the B-type Strategic Priority Program 503 of the Chinese Academy of Sciences, Grant No. XDB41000000. JY and JMR's work 504 is supported by the National Science Foundation grant AGS-1901126.

505 **Reference**

- Auclair-Desrotour, P., Laskar, J., & Mathis, S. (2017). Atmospheric tides and their
 consequences on the rotational dynamics of terrestrial planets.
- 508 *EAS Publications Series*, 82 (2019) 81-90.
- 509 https://doi.org/10.1051/eas/1982008
- 510 Baumgarten, Kathrin, Gerding, Michael, Gerd, & Luebken, et al. (2018). Temporal
- 511 variability of tidal and gravity waves during a record long 10-day continuous
- 512 lidar sounding. *Atmospheric chemistry and physics*, 18, 371–384, 2018
- 513 https://doi.org/10.5194/acp-18-371-2018
- 514 Beres, J. H., Garcia, R. R., Boville, B. A., & Sassi, F. (2005). Implementation of a
- 515 gravity wave source spectrum parameterization dependent on the properties of
- 516 convection in the Whole Atmosphere Community Climate Model (WACCM).
- 517 *Journal of Geophysical Research*, 110, D10108.
- 518 https://doi.org/10.1029/2004JD005504
- 519 Calvo-Fernández, Natalia, Herrera, Ricardo García, Puyol, D. G., Martín, Emiliano
- 520 Hernández, García, Rolando R., & Presa, L. G., et al. (2004). Analysis of the
- 521 enso signal in tropospheric and stratospheric temperatures observed by msu,
- 522 1979-2000. Journal of Climate, 17(20), 3934-3946.
- 523 http://doi.org/10.1175/1520-0442(2004)017<3934:aotesi>2.0.co;2
- 524 Chapman, S., & Lindzen, R. S., *Atmospheric Tides*, 201 pp., D. Reidel, Norwell,
 525 Mass., 1970.
- 526 Davis, R. N., Du, J., Smith, A. K., Ward, W. E., & Mitchell, N. J. (2013). The diurnal
- 527 and semidiurnal tides over Ascension Island (8°S, 14°W) and their interaction
- 528 with the stratospheric QBO: Studies with meteor radar, eCMAM and WACCM.
- 529 Atmospheric Chemistry and Physics, 13(18), 9543–9564.
- 530 https://doi.org/10.5194/acp-13-9543-2013
- 531 Dhadly, M. S., Emmert, J. T., Drob, D. P., McCormack, J. P., & Niciejewski, R.
- 532 (2018). Short-term and interannual variations of migrating diurnal and
- semidiurnal tides in the mesosphere and lower thermosphere. *Journal of*
- 534 *Geophysical Research: Space Physics*, 123, 7106–7123.

- 535 https://doi.org/10.1029/2018JA025748
- Forbes, J. M. (1995). Tidal and planetary waves. *Geophysical Monograph Series*, 87.
 https://doi.org/10.1029/GM087p0067
- 538 Forbes, J. M., & Vincent, R. A. (1989). Effects of mean winds and dissipation on the
- 539 diurnal propagating tide: an analytic approach. *Planetary & Space Science*, 37(2),
- 540 197-209. https://doi.org/10.1016/0032-0633(89)90007-X
- 541 Gan, Q., Du, J., Ward, W. E., Beagley., S. R., Fomichev., V. I., & Zhang, S. (2014).
- 542 Climatology of the diurnal tides from eCMAM30 (1979 to 2010) and its
- 543 comparisons with SABER. *Earth Planets Space 66*:103,
- 544 https://doi.org/10.1186/1880-5981-66-103
- 545 Garcia, R. R., Marsh, D. R., Kinnison, D. E., Boville, B. A., & Sassi, F. (2007).
- 546 Simulation of secular trends in the middle atmosphere, 1950–2003.
- *Journal of Geophysical Research*, *112*, D09301.
- 548 https://doi.org/10.1029/2006JD007485
- 549 Gray, W. M., Atlantic seasonal hurricane frequency: Part I: El Niño and 30 mb
- quasi-biennial oscillation influences. Mon. Wea. Rev., 112, 1649–1668, 1984
- 551 https://doi.org/10.1175/1520-0493(1984)112<1649:ASHFPI>2.0.CO;2
- 552 Gurubaran, S., & Rajaram, R. (1999). Long-term variability in the mesospheric tidal
- 553 winds observed by MF radar over Tirunelveli (8.7°N, 77.8°E). *Geophysical*
- 554 *Research Letters*, 26(8), 1113–1116. https://doi.org/10.1029/1999GL900171
- 555 Gurubaran, S., Rajaram, R., Nakamura, T., & Tsuda, T. (2005). Interannual variability
- of diurnal tide in the tropical mesopause region: a signature of the El
- 557 Niño-Southern Oscillation (ENSO). *Geophysical Research Letters* 32(13):
- 558 https://doi.org/10.1029/2005gl022928
- 559 Hagan, M.E., Burrage, M.D., Forbes, J.M. et al. (1999). QBO effects on the diurnal
- tide in the upper atmosphere. *Earth Planet Space*, 51, 571–578,
- 561 doi:/10.1186/BF03353216
- 562 Hagan, M. E., and J. M. Forbes. (2002). Migrating and nonmigrating diurnal tides in
- the middle and upper atmosphere excited by tropospheric latent heat release, *J*.
- 564 *Geophys. Res.*, 107(D24), 4754, https://doi.org/10.1029/2001JD001236.

565	Hoerling, M. P., A. Kumar, and M. Zhong. (1997), El Niño, La Niña, and the
566	nonlinearity of their teleconnections, Journal of Climate, 10, 1769–1786.
567	https://doi.org/10.1175/1520-0442(1997)010<1769:ENOLNA>2.0.CO;2
568	Kissell, R. & Poserina, J. (2017). Optimal Sports Math, Statistics, and Fantasy.
569	https://doi.org/10.1016/B978-0-12-805163-4.00002-5
570	Kogure, M., & Liu, H. (2021). DW1 tidal enhancements in the equatorial MLT during
571	2015 El Niño: The relative role of tidal heating and propagation. Journal of
572	Geophysical Research: Space Physics, 126, e2021JA029342.
573	https://doi.org/10.1029/2021JA029342
574	Kunz, A., Pan, L., Konopka, P., Kinnison, D., & Tilmes, S. (2011). Chemical and
575	dynamical discontinuity at the extratropical tropopause based on START08 and
576	WACCM analyses. Journal of Geophysical Research, 116, D24302.
577	https://doi.org/10.1029/2011JD016686
578	Lieberman, R. S., Ortland, D. A., & Yarosh, E. S. (2003). Climatology and
579	interannual variability of diurnal water vapor heating. Journal of Geophysical
580	Research: Atmospheres 108(D3): https://doi.org/10.1029/2002jd002308
581	Lieberman, R. S., Riggin, D. M., Ortland, D. A., Nesbitt, S. W., & Vincent, R. A.
582	(2007). Variability of mesospheric diurnal tides and tropospheric diurnal heating
583	during 1997–1998. Journal of Geophysical Research: Atmospheres 112(D20):
584	https://doi.org/10.1029/2007jd008578
585	Li, T., She, CY., Liu, H., Yue, J., Nakamura, T., Krueger, D. A., et al. (2009).
586	Observation of local tidal variability and instability, along with dissipation of
587	diurnal tidal harmonics in the mesopause region over Fort Collins, Colorado
588	(41°N, 105°W). Journal of Geophysical Research: Atmospheres (1984–2012),
589	114(D6). https://doi.org/10.1029/2008jd011089
590	Li, T., Calvo, N., Yue, J., Dou, X., Russell III, J. M., Mlynczak, M. G., She, CY., &
591	Xue, X. (2013). Inflfluence of El Niño-Southern Oscillation in the mesosphere.
592	Geophysical Research Letters, 40, 3292–3296, https://doi.org/10.1002/grl.50598.
593	Li, T., Calvo, N., Yue, J., Russell, J. M. I., Smith, A. K., Mlynczak, M. G., Chandran,
594	A., Dou, X., & Liu, A. Z. (2016). Southern Hemisphere summer mesopause

595	responses to El Niño-Southern Oscillation. Journal of

596 *Climate*, 29(17), 6319–6328. https://DOI.org/10.1175/JCLI-D-15-0816.1

- Liu, A. Z., Lu, X., & Franke, S. J. (2013). Diurnal variation of gravity wave
 momentum flflux and its forcing on the diurnal tide. *Journal of Geophysical*
- e y
- 599 *Research Atmospheres*, 118, 1668–1678.
- 600 https://doi.org/10.1029/2012JD018653
- Liu, H., Sun, Y.-Y., Miyoshi, Y., & Jin, H. (2017). ENSO effffects on MLT diurnal
- tides: A 21 year reanalysis data-driven GAIA model simulation. *Journal of*
- 603 *Geophysical Research: Space Physics*, 122, 5539–5549,
- 604 https://doi.org/10.1002/2017JA024011.
- Liu, H.-L., Wang, W., Richmond, A. D., & Roble, R. G. (2010). Ionospheric
- 606 variability due to planetary waves and tides for solar minimum conditions.
- 607 Journal of Geophysical Research: Space Physics, 115, A00G01,
- 608 https://doi.org/10.1029/2009JA015188.
- Liu, H.-L., & Hagan, M. E. (1998). Local heating/cooling of the mesosphere due to
 gravity wave and tidal coupling. *Geophysical Research Letters*, 25, 2941–2944
 https://doi.org/10.1029/98GL02153
- 612 Lu, X., Liu, A. Z., Swenson, G. R., Li, T., Leblanc, T., & McDermid, I. S. (2009).
- 613 Gravity wave propagation and dissipation from the stratosphere to the lower
- 614 thermosphere. Journal of Geophysical Research: Atmospheres, 114, D11101,
 615 https://doi.org/10.1029/2008JD010112.
- Lu, X., Liu, H.-L., Liu, A. Z., Yue, J., McInerney, J. M., & Li, Z. (2012). Momentum
 budget of the migrating diurnal tide in the Whole Atmosphere Community
- 618 Climate Model at vernal equinox. *Journal of Geophysical Research*, 117,
- 619 D07112. https://doi.org/10.1029/2011JD017089
- 620 Lu, X., Liu, A. Z., Oberheide, J., Wu, Q., Li, T., Li, Z., et al. (2011). Seasonal
- 621 variability of the diurnal tide in the mesosphere and lower thermosphere over
- 622 Maui, Hawaii (20.7°N, 156.3°W). Journal of Geophysical Research, 116,

- 623 D17103. https://doi.org/10.1029/2011JD015599
- Mayr H.G., Mengel J.G. (2005). Interannual variations of the diurnal tide in the
 mesosphere generated by the quasi-biennial oscillation, *J Geophys Res*
- 626 *110:D10111*. doi:10.1029/2004JD005055
- 627 McLandress, C., Shepherd, G. G., & Solheim, B. H. (1996). Satellite observations of
- 628 thermospheric tides: Results from the wind imaging interferometer on UARS.
- *Journal of Geophysical Research: Atmospheres 101*(D2):4093–4114,
- 630 https://doi.org/10.1029/95jd03359
- McLandress, C. (2002a). Interannual variations of the diurnal tide in the mesosphere
 induced by a zonal- mean wind oscillation in the tropics, *Geophys. Res. Lett.*,
 29(9), doi:10.1029/2001GL014551.
- McLandress, C. (2002b), The seasonal variation of the propagating diurnal tide in the
 mesosphere and lower thermosphere. Part II: The role of tidal heating and zonal
 mean winds, J. Atmos. Sci., 59(5), 907–922,

637 https://doi.org/10.1175/1520-0469(2002)059<0907:Tsvotp>2.0.Co;2.

- 638 Mertens, C. J., Mlynczak, M. G., Lopez-Puertas, M., Wintersteiner, P. P., Picard, R.
- 639 H., Winick, J. R., & Gordley, L. L. (2001). Retrieval of mesospheric and lower
- 640 thermos pheric kinetic temperature form measurements of CO2 15 μm Earth
- 641 limb emission under non-LTE conditions, *Geophysical Research Letters*, 28(7),
- 642 1391-1394. https://doi.org/10.1029/2000GL012189
- 643 Mertens, C. J., Schmidlin, F. J., Goldberg, R. A., Remsberg, E. E., Pesnell, W. D.,
- 644 Russell, J. M., Mlynczak, M. G., Lopez-Puertas, M., Wintersteiner, P. P., Picard,
- 645 R. H., Winick, J. R., & Gordley, L. L. (2004). SABER observations of
- 646 mesospheric temperatures and comparisons with falling sphere measurements
- taken during the 2002 summer MaCWAVE campaign. *Geophysical Research*
- 648 *Letters 31*(3). https://doi.org/10.1029/2003gl018605
- 649 Pedatella, N. M., & Liu, H. L. (2012). Tidal variability in the mesosphere and lower
- 650 thermosphere due to the El Niño-Southern Oscillation. *Geophysical Research*
- 651 *Letters 39.* https://doi.org/10.1029/2012gl053383

- 652 Pedatella, N. M., & Liu, H. L. (2013). Influence of the El Niño Southern Oscillation
- on the middle and upper atmosphere. *Journal of Geophysical Research:*

- 655 Ramesh, K., Smith, A. K., Garcia, R. R., Marsh, D. R., Sridharan, S., & Kishore
- 656 Kumar, K. (2020). Long-term variability and tendencies in migrating diurnal tide
- 657 from WACCM6 simulations during 1850–2014. Journal of Geophysical
- 658 *Research: Atmospheres*, 125, e2020JD033644.
- 659 https://doi.org/10.1029/2020JD033644
- 660 Randel, W. J., Shine, K. P., Austin, J., Barnett, J., Claud, C., & Gillett, N. P., et al.
- 661 (2009). An update of observed stratospheric temperature trends. *Journal of*
- 662 *Geophysical Research: Atmospheres.* 114, D02107,
- 663 https://doi.org/10.1029/2008JD010421
- Rezac, L., Y. Jian, J. Yue, J. M. Russell III, A. Kutepov, R. Garcia, K. Walker, and
- P. Bernath (2015), Validation of the global distribution of CO2 volume mixing
 ratio in the mesosphere and lower thermosphere from SABER, *J. Geophys. Res.*
- 667 *Atmos.*, 120, 12,067–12,081.
- 668 https://doi.org/10.1002/2015JD023955.
- 669 Sassi, F., Kinnison, D., Boville, B., Garcia, R., Roble, R. (2004). Effect of el
- 670 ni?o-southern oscillation on the dynamical, thermal, and chemical structure of
- 671 the middle atmosphere. *Journal of Geophysical Research*, 109(D17), D17108.
- 672 http://doi.org/10.1029/2003jd004434
- 673 Smith, A. K. (2012). Global Dynamics of the MLT. *Surveys in Geophysics*, 33(6):

674 1177–1230, https://doi.org/10.1007/s10712-012-9196-9

- 675 Smith, A. K., Pedatella, N. M., Marsh, D. R., & Matsuo, T. (2017). On the Dynamical
- 676 Control of the Mesosphere–Lower Thermosphere by the Lower and Middle
- 677 Atmosphere, *Journal of the Atmospheric Sciences*, 74(3), 933-947.
- 678 https://doi.org/10.1175/JAS-D-16-0226.1
- 679 Sridharan, S., Tsuda, T., & Gurubaran, S. (2010). Long-term tendencies in the
- 680 mesosphere/lower thermosphere mean winds and tides as observed by
- 681 medium-frequency radar at Tirunelveli (8.7° N, 77.8° E). Journal of Geophysical

⁶⁵⁴ Atmospheres 118(5):2744–2755, https://doi.org/10.1002/Jgra.50286

682 *Research: Atmospheres*, 115(D8).

- 683 http://doi.org/10.1029/2008JD011609, 2010
- 684 Sridharan, S. (2019). Seasonal variations of low-latitude migrating and nonmigrating
- diurnal and semidiurnal tides in TIMED-SABER temperature and their
- relationship with source variations. *Journal of Geophysical Research: Space*
- 687 *Physics*, 124, 3558–3572.
- 688 https://doi.org/10.1029/2018JA026190
- Sridharan, S. (2020). Equatorial upper mesospheric mean winds and tidal response to
 strong El Niño and La Niña. *Journal of Atmospheric and Solar-Terrestrial*
- 691 *Physics*, 202, 105270.
- 692 https://doi.org/10.1016/j.jastp.2020.105270
- 693 Vincent, R. A., Kovalam, S., Fritts, D. C., & Isler, J. R. (1998). Long-term MF radar
- observations of solar tides in the low-latitude mesosphere: Interannual variability
 and comparisons with GSWM. *Journal of Geophysical Research*, 103(D8),
- 696 8667–8683. https://doi.org/10.1029/98JD00482
- 697 Vitharana, A., Du, J., Zhu, X., Oberheide, J., & Ward, W. E. (2021). Numerical
- 698 prediction of the migrating diurnal tide total variability in the mesosphere and
- lower thermosphere. Journal of Geophysical Research: Space Physics, 126,
- 700 e2021JA029588. https://doi.org/10.1029/2021JA029588
- Volland & Hans. *Atmospheric Tidal and Planetary Waves*[M]. Springer Netherlands,
 1988
- Wallace, J. M., Panetta. R. L., & J. Estberg (1993). Representation of the equatorial
 quasi-biennial oscillation in EOF phase space. *Journal of the Atmospheric Sciences*, 50, 1751 1762,
- 706 https://doi.org/10.1175/1520-0469(1993)050<1751:ROTESQ>2.0.CO;2.
- 707 Walterscheid, R. L. (1981a). Inertia-gravity wave induced accelerations of mean flow

having an imposed periodic component: Implications for tidal observations in the

- 709 meteor region. Journal of Geophysical Research: Atmospheres, 86, 9698 9706.
- 710 https://doi.org/10.1029/JC086iC10p09698

- 711 Walterscheid, R. L. (1981b). Dynamical cooling induced by dissipating internal
- 712 gravity waves. Geophysical Research Letters, 8(12), 1235 1238.
- 713 https://doi.org/10.1029/GL008i012-p01235
- Xu, J. Y., Liu, H. L., Yuan, W., Smith, A. K., Roble, R. G., Mertens, C. J., Russell, J.
- 715 M., & Mlynczak, M. G. (2007a). Mesopause structure from thermosphere,
- ionosphere, mesosphere, energetics, and dynamics (TIMED)/sounding of the
- atmosphere using broadband emission radiometry (SABER) observations.
- 718 *Journal of Geophysical Research: Atmospheres 112* (D9).
- 719 https://doi.org/10.1029/2006jd007711
- Xu, J. Y., Smith, A. K., Yuan, W., Liu, H. L., Wu, Q., Mlynczak, M. G., Russell, J. M.
 (2007b). Global structure and long-term variations of zonal mean temperature
- 722 observed by TIMED/SABER. *Journal of Geophysical Research: Atmospheres*,
- 723 112, D24106: https://doi.org/10.1029/2007jd008546
- Xu, J., A. K. Smith, H.-L. Liu, W. Yuan, Q. Wu, G. Jiang, M. G. Mlynczak, J. M.
- Russell III, and S. J. Franke (2009), Seasonal and quasi-biennial variations in the
- migrating diurnal tide observed by Thermosphere, Ionosphere, Mesosphere,
- Energetics and Dynamics (TIMED), J. Geophys. Res., 114, D13107,
- 728 doi:10.1029/2008JD011298.
- Yang, C., Smith, A. K., Li, T., & Dou, X. (2018). The effect of the Madden-Julian
- 730 oscillation on the mesospheric migrating diurnal tide: A study using

731 SD-WACCM. *Geophysical Research Letters*, *45*, 5105–5114.

- 732 https://doi.org/10.1029/2018GL077956
- Yulaeva, E., & Wallace, J. M. (1994). The signature of ENSO in global temperature
 and precipitation fields derived from the microwave sounding unit. *Journal of climate*, 7(11), 1719-1736.
- 736 https://doi.org/10.1175/1520-0442(1994)007<1719:TSOEIG>2.0.CO;2
- 737 Zhang, X., Forbes, J. M., & Hagan, M. E. (2010). Longitudinal variation of tides in
- the MLT region: 1. Tides driven by tropospheric net radiative heating. *Journal of*
- 739 *Geophysical Research: Space Physics*, *115*, A06316,
- 740 https://doi.org/10.1029/2009JA014897.

- 741 Zhou, X., Wan, W., Yu, Y., Ning, B., Hu, L., and Yue, X. (2018). New approach to
- estimate tidal climatology from ground-and space-based observations. *Journal of*
- 743 *Geophysical Research: Space Physics, 123, 5087–5101.*
- 744 doi:10.1029/2017JA024967
- 745
- 746

747	Table	1.	The	list	of	ENSO	years	with	corresponding	Niño3.4	indices	and	anomaly	DW1
							2						2	

El Niño events	Niño3.4 index	SD-WACCM anomalous DW1		
		T AMP (K)		
1982-1983	2.14	-0.22		
1986-1987	1.11	-2.90		
1991-1992	1.69	-1.56		
1994-1995	1.22	1.56		
1997-1998	2.33	-1.87		
2002-2003	1.37	-0.55		
2006-2007	1.09	-1.30		
2009-2010	1.43	-1.82		
AVG	1.54	-0.96		

temperature amplitudes of the SD-WACCM simulations averaged over 10°S-10°N at 100 km.

Table 2. The correlation coefficient between the DW1 T amplitude at 15 km and the mass-weighted HR in different areas during the winters of 1979-2014. The bold numbers indicate that the correlation coefficients are significant at the 95% level. The MLR coefficient on the normalized Niño3.4 index $(10^{-3} \text{ mw m}^{-3} \text{ index}^{-1})$ is also exhibited.

Altitude and latitude	0-16 km,	0-12 km,	5-10 km,	5-10 km,20°	
ranges	35°N-35°S)	'N-35°S) 35°N-35°S) 35°N-35°S)		N-20°S)	
Correlation coefficient	0.45	0.36	0.32	0.32	
MLR coefficient on	-3	-10	-26	-9	
Niño3.4					

756 Figure captions

Figure 1. (a) The average DW1 temperature amplitude of SABER observation during 2002-2013
winter (DJF, Dec-Jan-Feb). (b) the same as (a), but for SD-WACCM.

Figure 2. (a) The residual DW1 temperature amplitude of SABER observations averaged over

760 10°S-10°N at 100 km during 2002-2021. (b) Same as in (a) but for SD-WACCCM. (c) Niño3.4

index. Dashed lines represent ENSO events. The red and blue arrows denote the El Niño and La
Niña events, respectively.

Figure 3. The linear regression coefficient of normalized Niño3.4 in SABER (a) and SD-WACCM

(b) winter DW1-T. The contour interval is 0.2 K for SABER and 0.1 K for SD-WACCM. Red

represents a positive response, and blue represents a negative response; the grey regions denoteconfidence levels below 95% for the F test.

767 Figure 4. (a) The red line indicates the anomalous DW1 temperature amplitude of SD-WACCM 768 simulations averaged over 10°S-10°N at 100 km during the 1979-2013 winter (DJF). The blue line 769 indicates the Hough (1,1) mode of the DW1 temperature amplitude residual at 100 km during the 770 1979-2013 winter (DJF). (b) The thin black line indicates the Hough (1,1) DW1-T phase of 771 SD-WACCM simulations at 0-100 km during the 1979-2013 winter (DJF). The thick black 772 horizontal line indicates the standard deviation of the DW1-T phase. The red line is the same but 773 for El Niño winter. (c) The blue line is the same as in (a), and the black line is the same but for 15 774 km.

Figure 5. The linear regression coefficient of normalized Niño3.4 in SD-WACCM heating amplitude (mW/m³ per index) during 1979-2013 winters (DJF). Red represents a positive response, and blue represents a negative response; the grey regions denote confidence levels below 95% according to the F test.

Figure 6. The linear regression coefficient of normalized Niño3.4 in δR (the anomaly of the ratio of the absolute and planetary vorticity). The thin, dashed red, blue and green lines denote the averages of the Northern Hemisphere (from 15°N to 30°N), Southern Hemisphere (from 15°S to 30°S) and the whole (15-30°N and 15-30°S), respectively. The thick, solid lines denote confidence levels below 95% for the F test.

784 Figure 7. (a) Gravity Wave (GW) drag due to convection on the amplitude of DW1 tidal U during

- 785 the winter (DJF). (b) The same as (a), but for GW forcing.
- 786 Figure 8. Correlation (a) between DW1 U and GW drag, (b) between DW1 U and GW forcing
- 787 from 1979 to 2014 winter (DJF).
- 788 Figure 9. The linear regression coefficient of normalized Niño3.4 in the GW forcing on the
- amplitude of DW1-U during 1979-2013 winters (DJF). Red represents a positive response, and
- blue represents a negative response; the grey regions denote confidence levels below 95%.

793 Figures



Figure 1. (a) The average DW1 temperature amplitude of SABER observation during 2002-2013

winter (DJF, Dec-Jan-Feb). (b) the same as (a), but for SD-WACCM.



Figure 2. (a) The residual DW1 temperature amplitude of SABER observations averaged over
10°S-10°N at 100 km during 2002-2021. (b) Same as in (a) but for SD-WACCCM. (c) Niño3.4
index. Dashed lines represent ENSO events. The red and blue arrows denote the El Niño and La
Niña events, respectively.



Figure 3. The linear regression coefficient of normalized Niño3.4 in SABER (a) and SD-WACCM
(b) winter DW1-T. The contour interval is 0.2 K for SABER and 0.1 K for SD-WACCM. Red
represents a positive response, and blue represents a negative response; the grey regions denote
confidence levels below 95% for the F test.



814

815 Figure 4. (a) The red line indicates the anomalous DW1 temperature amplitude of SD-WACCM 816 simulations averaged over 10°S-10°N at 100 km during the 1979-2013 winter (DJF). The blue line 817 indicates the Hough (1,1) mode of the DW1 temperature amplitude residual at 100 km during the 818 1979-2013 winter (DJF). (b) The thin black line indicates the Hough (1,1) DW1-T phase of 819 SD-WACCM simulations at 0-100 km during the 1979-2013 winter (DJF). The thick black 820 horizontal line indicates the standard deviation of the DW1-T phase. The red line is the same but 821 for El Niño winter. (c) The blue line is the same as in (a), and the black line is the same but for 15 822 km.



825

Figure 5. The linear regression coefficient of normalized Niño3.4 in SD-WACCM heating amplitude (mW/m³ per index) during 1979-2013 winters (DJF). Red represents a positive response, and blue represents a negative response; the grey regions denote confidence levels below 95% according to the F test.



831

Figure 6. The linear regression coefficient of normalized Niño3.4 in δR (the anomaly of the ratio of the absolute and planetary vorticity). The thin, dashed red, blue and green lines denote the averages of the Northern Hemisphere (from 15°N to 30°N), Southern Hemisphere (from 15°S to 30°S) and the whole (15-30°N and 15-30°S), respectively. The thick, solid lines denote confidence levels below 95% for the F test.



Figure 7. (a) Gravity Wave (GW) drag due to convection on the amplitude of DW1 tidal U during





Figure 8. Correlation (a) between DW1 U and GW drag, (b) between DW1 U and GW forcing
from 1979 to 2014 winter (DJF).





Figure 9. The linear regression coefficient of normalized Niño3.4 in the GW forcing on the
amplitude of DW1-U during 1979-2013 winters (DJF). Red represents a positive response, and
blue represents a negative response; the grey regions denote confidence levels below 95%.