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North China Plain as a hot spot of ozone pollution exacerbated by extreme high temperatures

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Abstract

A large population in China has been increasingly exposed to both severe ozone (O_3) pollution and extreme heat under global warming. Here, the spatiotemporal characteristics of coupled extremes in surface O_3 and heat (OPCs) over China are investigated using surface observations, a process-based chemical transport model (GEOS-Chem), and multi-model simulations from Phase 6 of the Coupled Model Intercomparison Project (CMIP6). North China Plain (NCP, 37-41°N; 114-120°E) is identified as a hot spot of OPCs, where more than half of the O_3 pollution days are accompanied by high temperature extremes. OPCs over NCP exceed 40 days during 2014-2019, exhibiting an increasing trend. Both O_3 concentrations and temperatures are elevated during OPCs compared to O_3 pollution days occurring individually (OPIs). Therefore, OPCs impose more severe health impacts to human than OPIs, but the stronger health effects are mainly driven by the higher temperatures. GEOS-Chem simulations further reveal that enhanced chemical production resulting from hot and stable atmospheric condition under anomalous weather pattern primarily contributes to the exacerbated O_3 levels during OPCs. In the future, CMIP6 projections suggest increased occurrences of OPCs over NCP in the middle of this century, but by the end of this century, OPCs may decrease or increase depending on the pollutant emission scenarios. However, for all future scenarios, extreme high temperature will play an increasingly important role in modulating O_3 pollution in a warming climate.

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1. Introduction

With the rapid economic development, car ownership and fossil fuel consumption, China has been struck by severe air pollution in the recent decades (Lu et al., 2018). Research and air quality controls have been prioritized to tackle the problem of particulate matter, e.g., $PM_{2.5}$ (T Wang et al., 2017). Since the implementation of China's Action Plan on the Prevention and Control of Air Pollution Plan in 2013, anthropogenic emissions of many air pollutants and their precursor gases, including sulfur dioxide (SO_2), nitrogen oxides (NO_x), carbon monoxide (CO), black carbon (BC) and organic carbon (OC), decreased by 21-59% between 2013 and 2017, despite a 11% increase in anthropogenic emissions of non-methane volatile organic compounds (NMVOCs) (Zheng et al., 2018). Correspondingly, the annual average $PM_{2.5}$ concentrations decreased from 72 μg/m^3 to 47 μg/m^3 in 74 major cities in China (Huang et al., 2018). In contrast, ozone (O_3) concentrations in China show an apparent increasing trend during 2013-2017, with the annual average O_3 concentrations in 74 key cities increasing from 140 μg/m^3 to 160 μg/m^3 (Huang et al., 2018). During the warm season (April-September) of the same period, the daily maximum 8-hour average O_3 concentration (MDA8 O_3) increased at a rate of 3% per year, far exceeding the rates in many other countries, such as Japan, Korea, and Europe (Lu et al., 2018). Long-term exposure to high O_3 concentrations can seriously damage human health, agriculture, buildings, and ecology (Sharma et al., 2017, Yue et al., 2017). Therefore, the rising O_3 concentration in recent years has caused great public concerns in China.

With global warming, extreme high temperatures and heat events have become natural hazards in China in the recent decades, with substantial effect on socioeconomics, ecosystems and human health (Lau and Nath, 2014, Meehl and Tebaldi, 2004). For instance, southern China was hit by a

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widespread heat wave with a record-breaking maximum temperature of 43.2°C during summer 2003. The extreme heat event lasted for more than 40 days and caused heightened levels of human mortality (Tan et al., 2007, P Wang et al., 2017a). Such disastrous high temperatures have become more frequent in China, as recorded subsequently in the summers of 2006, 2010, and 2013 (W Wang et al., 2016). Recent studies found that extreme high temperatures and heat events have intensified in the past 60 years and are expected to become more frequent and severe in the coming decades (P Wang et al., 2019a; 2017b).

Extreme high temperatures are conducive to O_3 pollution. Specifically, high temperatures can increase the production rate of surface O_3 in the presence of abundant O_3 precursors (Camalier et al., 2007, Lu et al., 2019a). As O_3 concentration increases nonlinearly with temperature, extreme high temperatures have disproportionate impacts on O_3 (Lin et al., 2020). Therefore, O_3 pollution often co-occurs with extreme heat (Schnell and Prather, 2017). Besides the direct impacts of air temperatures on O_3 production, the co-occurrence of extreme heat and O_3 pollution arise from their shared underlying drivers, i.e., persistent high pressure, strong solar radiation, low humidity and weak wind speeds (P Wang et al., 2017a; 2017b;Perkins, 2015). Hence despite reductions in anthropogenic emissions of O_3 precursors in the U.S., Europe and China, high O_3 episodes will likely continue in the future due to increasing heat waves under climate warming (Zhang et al., 2018).

The coupled extremes in heat and O_3 pollution lead to higher mortality rates than O_3 pollution or hot extreme acting alone (Krug et al., 2019). While the impacts of extreme high temperatures on O3 pollution have been investigated using case studies in China (Ma et al., 2019; Pu et al., 2017), there is a gap in understanding the spatiotemporal characteristics and underlying mechanisms of

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coupled extremes in high temperatures and O_3 pollution due to a lack of systematic analyses. Although extreme high temperatures are expected to be more frequent and intense in the future with accelerated warming, surface O_3 concentrations are expected to decrease because of curtailment in O_3 precursor emissions. Therefore, considerable uncertainties exist in the future changes of coupled extremes in heat and O_3.

In this study, based on the available surface O_3 concentrations and air temperatures observations during 2014-2019, we investigate the spatiotemporal characteristics of co-occurrences of extremes in air temperatures and surface O_3 in China, highlighting North China Plain (NCP, defined here as 37-41°N; 114 -120°E, see Fig.1) as a hot spot which has already suffered from the most severe O_3 pollution in recent years (K Li et al., 2019). The underlying mechanisms governing the coupled extreme are examined using the global chemical transport model GEOS-Chem. The associated health burden during the coupled extreme days is also discussed. In addition, future projections of the coupled extremes in the warming climate are explored based on the latest multi-model simulations from Phase 6 of the Coupled Model Intercomparison Project (CMIP6).

2. Data and Method

2.1 Observed O_3 concentration and reanalysis data

Hourly O_3 concentrations for 2014–2019 are obtained from China National Environmental Monitoring Centre (CNEMC). The network covered 944 sites in 2014 that grew to about 1600 sites in 2019. The daily maximum air temperatures (Tmax) for more than 2000 observation sites during the same period are provided by the National Meteorological Information Center of the China Meteorological Administration (CMA). The dataset has been quality-controlled and homogenized (Q Li et al., 2004) and widely used in previous works (P Wang et al., 2019b). Here in this study, we

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focus on the extreme high temperatures and surface O_3 of warm season during May to September. To unify the spatial resolutions of Tmax and O_3 concentration, the two observational datasets are mapped to 1° × 1° grid boxes, and the values in each box represent the averaged observations within that box. The spatial distributions of averaged daily Tmax and MDA8 O_3 over May-September during 2014-2019 are shown in Figure S1.

Meteorological conditions during extremes of O_3 and high temperatures are calculated using variables derived from the new Japanese 55-year Reanalysis (JRA-55) at 1.25° × 1.25° resolution (Ebita et al., 2011), including geopotential height (HGT), winds, relative humidity (RH), 2m air temperature (T2m), surface soil moisture (SM), downward solar radiation flux (DSR) and sensible heat flux (SH). Following Gong and Liao (2019), daily time series of a meteorological parameter x at a specific model grid cell over the months of May to September in the years 2014–2019 is standardized by

$$[x_i] = \frac{x_i - \frac{\sum_i^n x_i}{n}}{s}, \qquad (1)$$

where x_i indicates the parameter x on day i, n is the total number of days during May to September for 2014-2019, s indicates the standard deviation of the daily time series and $[x_i]$ is the standardized anomaly for parameter x on day i.

2.2 GEOS-Chem model

To explore the physical and chemical mechanisms related to the O_3 extremes, the 3-D global chemical transport model (GEOS-Chem, version 12.9.3) is utilized to simulate O_3 concentrations during May-September for 2014-2017, driven by assimilated meteorological data of Version 2 of Modern Era Retrospective-analysis for Research and Application (MERRA-2) (Gelaro et al., 2017).

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The simulations are performed at a horizontal resolution of 2° latitude × 2.5° longitude with 47 vertical levels. The anthropogenic emissions of O_3 precursor gases including CO, NOx and VOCs in China are obtained from the MEIC emission inventory (http://meicmodel.org/), which includes emissions from industry, power, residential and transportation sectors. Lacking anthropogenic emissions for 2018-2019, simulations are conducted for 2014-2017 by GEOS-Chem and we use observations during 2014-2017 to validate the model results.

2.3 CMIP6 data

We use O_3 and Tmax outputs from future projections of Scenario Model Intercomparison Project (ScenarioMIP) in the CMIP6 archive to determine how the coupled extremes will change in a warmer climate. ScenarioMIP is the primary activity within CMIP6 that provides multi-model climate projections driven by different scenarios of future emissions and land use changes (O'Neill et al., 2016), produced based on the Shared Socioeconomic Pathways (SSPs) combining socioeconomic developments and the feedback of global climate changes (Z Li et al., 2020). More details about the SSP scenarios can be found in O'Neill et al. (2016).

Currently, four SSP scenarios in ScenarioMIP simulations provide hourly O_3 concentration and daily Tmax from the present day to the end of the 21st century (2015 to 2100), i.e., SSP1-2.6, SSP2-4.5, SSP3-7.0 and SSP5-8.5 (combination of low, intermediate, relatively high and high societal vulnerabilities and forcing levels, respectively). Among the four SSPs, SSP3-7.0 and SSP2-4.5 have the weakest and medium air pollution controls pathways, respectively, while strong air pollution controls are assumed in SSP1-2.6 and SSP5-8.5 (Gidden et al., 2019). Five global climate models (GCMs), MOHC.UKESM1-0-LL, CESM2-WACCM, GFDL-ESM4, MPI-ESM-1-2-HAM and EC-Earth3-AerChem from ScenarioMIP under CMIP6 that provide both hourly O_3 and daily

Tmax are adopted in this work. The horizontal resolutions and institutions of the five GCMs are listed in Table S1. Note that the numbers of available models vary across different scenarios (see Table S2 for details). The results from the five GCMs are regridded to the observation boxes using linear interpolation to facilitate spatial comparison. In this study, 2015-2019 is regarded as the historical period and the overall performance of the CMIP6 simulations in reproducing the occurrences of coupled extremes is evaluated against the observations during 2015-2019. For the projection of coupled extremes, we focus on two periods of 2046-2050 and 2096-2100 in the mid and end of the 20th century, respectively, under different SSPs.

2.4 Identification of extremes in O_3 and temperature

Following Schnell and Prather (2017), in this study, we use the local-specific thresholds for each grid to identity the extreme cases of surface air temperatures and O_3 concentrations, specifically, the 90th percentile of daily Tmax and daily MDA8 O_3 from May to September for 2014-2019. To characterize the co-occurrences of extremes in high temperatures and surface O_3 and investigate the impacts of extreme high temperatures on O_3 pollution, the following extremes are defined:

- Total O_3 pollution days (OPs): All days when daily MDA8 O_3 is above its threshold.
- Individual O_3 pollution days (OPIs): Days when MDA8 O_3 is above its threshold while Tmax is lower than its threshold.
- Coupled extreme days (OPCs): Days when both daily Tmax and daily MDA8 O_3 exceed their corresponding thresholds.

We use a co-occurrence frequency ratio (CF) in percent to characterize the dependence of extreme high O_3 levels on extreme high temperatures. CF is defined as the ratio of the frequency of OPCs

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(days) to the frequency of OPs (days). Thus, a higher CF value indicates a higher dependence of O_3 pollution on extreme high temperatures:

$$CF= OPCs/OPs\times 100\%, \tag{2}$$

2.5 Health impact of coupled extremes

In this study, we apply the mortality ratio (MR) to describe the combined human health impacts from O_3 and temperature levels during OPCs, following Lee et al. (2017). The MR ratio characterizes the differences in health burden related to O_3 and temperature levels between OPCs and OPIs, and MR is defined as below:

$$MR=\frac{\text{Daily Mortality during OPCs}}{\text{Daily Mortaliyu during OPIs}}$$

$$=\frac{\frac{\sum_i RR_{ozone,i}}{m} * \frac{\sum_i RR_{temperature,i}}{m}}{\frac{\sum_j RR_{ozone,j}}{n} * \frac{\sum_j RR_{temperature,j}}{n}}, \tag{3}$$

$$MRozone=\frac{\frac{\sum_i RR_{ozone,i}}{m}}{\frac{\sum_j RR_{ozone,j}}{n}}, \tag{4}$$

$$MRtemperature=\frac{\frac{\sum_i RR_{temperature,i}}{m}}{\frac{\sum_j RR_{temperature,j}}{n}}, \tag{5}$$

$$RR_{ozone=}exp^{(\beta 1(C-C_0))}, \tag{6}$$

$$RR_{temperature=}exp^{(\beta 2(T-T_0))}, \tag{7}$$

Here, $RR_{ozone,i}$ ($RR_{ozone,j}$) and $RR_{temperature,i}$ ($RR_{temperature,j}$) are the relative risks due to O_3 concentration and temperature exceeding the threshold of C_0 and T_0, respectively, on a coupled extreme day i (an individual O_3 pollution day j); m is the total days of coupled extremes and n is the total days of individual O_3 pollution day. MR_{ozone} ($MR_{temperature}$) is the mortality ratio attributed to

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O_3 concentration (temperature) changes, while MR is the combined effects from both O3 and temperature changes.

For the calculation of RR_{ozone} in Eq. 6, C_0 is the minimum O_3 concentration below which O_3 has no health impacts. C_0 is set to zero here as previous work found no significant threshold for the O_3 related mortality (K Chen et al., 2017). β_1 is the concentration response factor corresponding to a 0.24% [95% confidence interval: 0.13%, 0.35%] increase in daily mortality per 10 μg/m^3 increase in MDA8 O_3 (Yin et al., 2017). Following Huang et al. (2018) in calculating $RR_{temperature}$ in 66 Chinese communities, β_2 indicates a 1.09% (95% confidence interval: 0.72% to 1.46%) excess mortality per 1°C increase in temperature above T_0, which is the minimum mortality temperature set as 26ºC in this study (C Wang et al., 2014).

3. Results

3.1 Spatial and temporal patterns of coupled extremes

The spatial patterns of OPCs and their ratio to the total O_3 pollutions days (CF values) during May-September for the recent 6 years (2014-2019) highly resemble each other (Figure 1), with the highest values located over NCP which has suffered the most severe O_3 pollution in recent years (Fig.S1a). The highest OPCs exceed 40 days over NCP and the corresponding CF is more than 56% (Fig. 1). That means, the coupled extreme days account for more than half of the total O_3 pollution days, indicating a strong dependence of O_3 pollution on extreme high temperatures over NCP. It has been suggested that the dependence of O_3 concentration on high temperature increases with the O_3 levels (Lin et al., 2020). However, coupled extremes occur much less frequently over the Yangtze River Delta (YRD, 30-33°N, 118-122°E) compared to NCP, and the regional averaged CF in YRD is below 20%, even though MDA O_3 level and temperature in YRD are both as high as those in

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NCP (Fig. S1). The distinctive relationships between extreme high temperature and O_3 concentration over NCP and YRD are driven by their different climatology during warm season. Southern China receives substantial monsoon rainfall during summer, accompanied by increased relative humidity (RH) and reduced radiation (Zhou and Yu, 2005), which can suppress surface O_3 levels (Han et al., 2020). Delineating the local daily maximum air temperatures (Tmax) and RH of all O_3 pollution days over NCP and YRD (Figure S2), OPCs occur more frequently over NCP than over YRD, and a higher fraction of the O_3 pollution days over NCP co-occur with extreme high temperatures and low-to-moderate RH (Fig. S2a). Humid environment dampens the occurrence of O_3 pollution over YRD and extreme O_3 pollution mostly occurs on days with relatively low RH when air temperatures are moderate (Fig. S2b), which explains the lower OPCs and CF in YRD compared to NCP. Therefore, we focus on the coupled extremes over NCP.

Daily variations of the occurrence of OPIs and OPCs over NCP during 2014-2019 are shown in Figure 2. O_3 pollution days have appeared since 2015 but coupled extremes OPCs have only been observed since 2017, mostly during May–July (Fig. 2a). The abrupt increase in the occurrence of coupled extremes in 2017 is consistent with the significant increasing trends of both MDA8 O_3 and Tmax (95% confidence level) over NCP in recent years (Fig. 2b). The strong increasing trend of MDA8 O_3 and temperature. The strong increasing trends of MDA8 O_3 and air temperatures are consistent with previous results (K Li et al., 2019; 2020). As addressed previously (K Li et al., 2020), the temperature trends during 2014-2019 reflect interannual climate variability rather than a long-term warming trend. Notably, daily MDA8 O_3 exhibits increasing sensitivity to Tmax from 2014 to 2019 (Fig. 2c), supporting the increase in OPCs during the same time period. Note that the linear regression slopes between daily MDA8 O_3 and Tmax are not strictly monotonic increasing.

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For example, the slopes are 3.96 ppbv/°C, 3.43 ppbv/°C and 4.56 ppbv/°C in 2017, 2018, and 2019 (Fig. 2c). In fact, the yearly occurrences of OPCs are 15, 13, 18 days in 2017, 2018, 2019, consistent with ozone and temperature relationship. Thus, what we emphasize here is the overall increasing OPCs during 2014 to 2019 with an abrupt increase of OPCs since 2017. The contrasting MDA8 O_3 and Tmax associated with OPCs and OPIs over NCP are evident in Fig. 3. Both O_3 levels and air temperatures are higher during OPCs than during OPIs over NCP region (Fig.3a&3b), with the regional mean anomalies of Tmax and MDA8 O_3 during OPCs reaching 3.36℃ and 5.49 ppbv, respectively, compared to those during OPIs. A north-south contrast in the MDA8 O_3 and Tmax difference between OPCs and OPIs is evident (Fig. 3b), suggesting that contrasting environments north and south of the Yangtze River during the summer monsoon may play a key role in the dependence of O_3 pollution on extreme Tmax in China.

3.2 Weather patterns and ozone processes during coupled extremes

Figure 4 shows the composites of normalized anomalies (see Sec.2) of meteorological fields during coupled extreme days over NCP for 2014-2019. During OPCs, anomalous high pressure and anticyclonic circulation dominate NCP and the surrounding region north of the Yangtze River in the mid-troposphere (500hPa), with anomalous easterlies prevailing over NCP (Fig.4a). Associated with the anomalous high-pressure system is clear sky with enhanced downward solar radiation (DSR) at the surface (Fig.4c), leading to hotter near surface temperature (Fig.4b), reduced RH and soil moisture (Fig. 4d&4e), and enhanced surface sensible heat flux (Fig.4f) that further intensifies the temperatures (Fig. 4b). These anomalous conditions are all stronger during OPCs than OPIs over NCP (Fig. S3) and more conducive to O_3 pollutions (Lu et al., 2019b). Among the meteorological factors, the intensification in surface temperatures is the strongest among different meteorological

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variables with the highest magnitudes (Fig.S3b), supporting that air temperature is the most influential meteorological variable of surface O_3 over NCP (K Li et al., 2019).

The impacts of weather patterns on surface O_3 level can be understood via changes in physical and chemical processes, both sensitive to meteorology (L Chen et al., 2020). The contributions of different chemical and physical processes to OPCs over NCP under the anomalous weather pattern of Fig. 4 are quantified by GEOS-Chem simulations of O_3 during May to September of 2014–2017. GEOS-Chem can reasonably capture the spatial pattern and magnitude of OPCs in observations during 2014-2017 (Text S1 and Figure S4). Four processes affecting O_3 levels are considered, including net chemical production, horizontal advection, vertical advection, and mixing (diffusion plus dry deposition) and are listed in Table 1. For both OPIs and OPCs, chemical production contributes the most to O_3 mass within the boundary layer. Compared to OPIs, the higher O_3 level during OPCs (Fig. 3b) are contributed by stronger chemical production and mixing but vertical advection and horizontal advection tend to reduce the O_3 concentrations, with enhanced chemical production playing the dominant role. Therefore, we conclude that the hotter near surface temperature induced by anomalous weather pattern and amplified by land-atmosphere feedbacks during OPCs (Fig. 4) is the primary cause of the enhanced formation of O_3 and eventually a higher surface O_3 level than during OPIs.

3.3 Health impacts of coupled extremes

As both surface O_3 and air temperatures are amplified during coupled relative to individual O_3 pollution days (Fig. 3), we investigate the potential influences of OPCs on human health. The mortality ratios between OPCs and OPIs during May to September for each year of 2017-2019 are illustrated in Figure 5 and attributed to air temperature and/or O_3 concentration changes

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($MR_{Temperature}$, MR_{ozone} and MR, see Sec.2). It should be noted that coupled extreme days are only observed since 2017. MR, MR_{ozone} and $MR_{Temperature}$ are above 1.0 for all three years, indicating a harsher environment for people to survive during OPCs. Importantly, $MR_{temperature}$ is significantly higher than MR_{ozone} for all years of 2017-2019, suggesting that extreme high temperature caused many more mortalities than extreme O_3 concentrations over NCP. The averaged MR_{ozone}, $MR_{Temperature}$, and MR for 2017-2019 are 1.003, 1.037, and 1.040, respectively. Compared to the individual O_3 pollution days OPIs, daily mortality rate in NCP increases by 4.0% during coupled extremes OPCs, the majority of which is attributed to the temperature increase, with less than one-tenth contributed by the O_3 concentration increase. That is, coupled extremes amplify health impacts compared to individual O_3 pollution days primarily because of the higher mortality risk associated with elevated air temperatures.

3.4 Projected coupled extremes in future climate

As O_3 precursors (i.e., NOx and NMVOCs) are expected to keep declining due to the continued emission controls in China while extreme high temperatures will become more frequent and intense under global warming, uncertainties exist in the projection of the co-occurrences of extremes in high temperatures and O_3 pollution. Here, we investigate the projections of OPCs and CF values based on CMIP6 simulations under SSP1-2.6, SSP2-4.5, SSP3-7.0 and SSP5-8.5. OPCs in the simulations are identified in the same way as for the observations (see Text S2 and Fig S5 for details). We focus on the historical period of 2015-2019 (referred to as 2019) and the projected periods of 2046-2050 (referred to as 2050) and 2096-2100 (referred to as 2100) by the mid and end of the century. Note that OPCs during the projected periods are identified based on the historical thresholds for extreme O_3 level and high temperatures. The multi-model ensemble means can

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reasonably capture the observed spatial pattern of coupled extremes and their magnitudes over NCP during 2015-2019 (Fig. S5).

The averaged OPCs over NCP under each SSP increase from the historical period to the mid-century (Fig. 6a), with a maximum increase under SSP5-8.5 (spatial distribution shown in Fig. S6). From the mid-century to the end-century, OPCs decrease under SSP1-2.6, SSP2-4.5 and SSP5-8.5, but OPCs by 2100 obviously surpass that in 2050 under SSP3-7.0, with an average increase from 46 days to 196 days (spatial patterns in Fig.S6e&S6f). Due to the weak air pollution control under SSP3-7.0 (Turnock et al., 2020), MDA8 O_3 in 2100 under this scenario is highest among the four SSPs (Fig. S8). In contrast, OPCs are substantially reduced to below 5 days by 2100 under SSP1-2.6 and SSP2-4.5, highlighting the benefit of strong actions in mitigating climate and reducing air pollutant emissions. In the future by 2050 and 2100, NCP will still be the most vulnerable region in China to the coupled extreme (Figure S6), while most other areas will be much less threatened by the coupled extremes by the end of the century under SSP1-2.6, SSP2-4.5 and SSP5-8.5 (Fig. S6b, S6d, and S6h).

Unlike OPCs, CF over NCP obviously increases by the 2050 and 2100 compared to 2019 under all four SSPs (Fig. 6b). The projected increases of CF over NCP indicate the higher dependence of O_3 pollution on extreme high temperatures in the future, consistent with the increased sensitivity of MDA8 O_3 to Tmax at higher Tmax in historical period (Fig. 2c). Spatially, the NCP region will still see the highest CF values in the future, especially under SSP1-2.6, SSP2-4.5 and SSP5-8.5 (Fig. S7). This means regardless of the economic pathways, extreme high temperature will play an increasingly important role in modulating O_3 pollution in the warming climate. Therefore, besides

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the management strategies on pollutants emission, global warming mitigations will undoubtedly benefit O_3 pollution control, especially for regions facing severe air quality issues.

4. Discussion and conclusions

Climate change can impact local air quality. Higher temperatures associated with climate change can lead to an increase in surface O_3, and high temperatures and surface O_3 are highly temporally correlated over many regions (Porter et al., 2019). A large population in China has been increasingly exposed to both severe O_3 pollution and extreme heat under global warming. With combined surface observations of air temperature and O_3 concentration, process-based model simulations and multi-model projections, this study firstly present a comprehensive analysis of the co-occurrences of extreme high temperatures and O_3 pollution in China. It is highlighted that NCP is a hot spot in China most threatened by the co-occurrence of extremes in heat and O_3 pollution. The higher co-occurrence over NCP than other regions in China is linked to their distinctive relations to meteorological variables, as temperature is the top meteorological factor directly leading to O_3 pollution over NCP whereas relative humidity is the most influential variable for O_3 pollution over southern China (Han et al., 2020).

The concurrent increasing trends in both surface O_3 and temperature over NCP in recent years account for the increasing coupled extremes in surface O_3 and heat in recent years. Besides, it is previously reported that the increasing trend of temperature is higher over northern China than southern China (P Wang et al., 2017b; Qian et al., 2006). The increase in air temperature can accelerate the O_3 production. Using a physically based model (GEOS-Chem), we have provided support for the dominant role of higher temperatures associated with stable atmospheric condition under favorable weather pattern in amplifying O_3 pollution through enhanced

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chemical production during coupled extremes, compared to the individual ozone pollution days not accompanied by extreme temperatures. In addition, the increases in surface O_3 over NCP are much stronger than the other regions in recent years, which is also possibly linked to the stimulation effect from enhanced hydroperoxyl radicals (HO_2) due to a reduction in aerosol sink resulting from the decrease in PM2.5 during this period (K Li et al., 2019). Thus, the hot spot of co-occurrences of extremes in heat and O_3 over NCP could be attributed to the co-effects of stronger increasing trends of temperature and surface O_3 therein.

It is a prevalent concept that the coupled extremes pose greater health impacts or risks to human than the simply summed impacts of the single extremes acting alone (Smith et al., 2014). It is revealed here that both the O_3 concentration and air temperatures are elevated during the coupled extremes than the individual O_3 pollution, leading to an even heavier health burden to human. And this study underscores the elevated air temperatures during the coupled extremes as the major driver for increased mortality rates, while the simultaneously elevated O_3 concentrations act as an additional stressor. It should be noted that the algorithm we use to calculate MR, MR_{ozone} and $MR_{temperature}$ (see Sec.2) does not consider the possible amplification/inhibition effect of combining O_3 and air temperature in affecting human health. Previous studies have claimed that O_3-related mortality changes with different air temperature levels, with O_3-related mortality increasing with higher temperatures, although several studies presented contrasting results or inconsistent relationships for different regions (R Chen et al., 2014; Jhun et al., 2014; Ren et al., 2008). Therefore, how the interactions between temperature and O_3 influence human health during coupled extremes is still an open question that deserves future studies using more health-related data.

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Currently, China has the highest emission of greenhouse gases, and the emission rates have increased significantly since the 21st century (Friedlingstein et al., 2020). To prevent the dangerous climate change impacts, the Chinese government has declared an ambitious goal by pledging to peak emissions before 2030 and reaching carbon neutrality before 2060. With global warming, hot extremes in China are projected to be more frequent, stronger, and longer lasting under global warming, which may present challenges for O_3 pollution control of China. Based on ScenarioMIP simulations from CMIP6, this study demonstrates that the coupled extremes over NCP are projected to be more frequent in the middle of this century but their frequency decreases or increases by the end of the century under strong or weak air pollution control scenarios, respectively. And with higher sensitivity of O_3 concentration to temperatures at higher temperatures, O_3 extreme will increasingly co-occur with extreme high temperatures over NCP as the climate warms, regardless of the economic pathways. Thus, our results further reinforce the notion that determined actions are vital to make our communities less vulnerable to climate change impacts already in progress. On the other hand, tropospheric O_3 level are projected to be increasing in the near decades (Turnock et al., 2020) (also see Fig. S8b). As the third important anthropogenic greenhouse gas after CO_2 and CH_4, tropospheric O_3 radiative forcing over the industrial era is 0.4 ± 0.2 W m^{-2} (Myhre et al., 2014). Higher tropospheric O_3 level can cause temperature changes by altering the energy balance between the atmosphere and the Earth (Dang and Liao, 2019), which may feedback on the air quality. Thus, potential co-benefits may be gained through O_3 pollution control and climate change managements, in suppressing the occurrences of coupled extremes and tackling their consequences to air quality, human health, and climate.

Data availability

Hourly O_3 concentrations are obtained from the public website of the China National Environmental Monitoring Centre (http://www.cnemc.cn/en/). Daily maximum air temperature is provided by the National Meteorological Information Center of the China Meteorological Administration (CMA, http://data.cma.cn/en/). Reanalysis datasets are derived from the new Japanese 55-year Reanalysis (https://rda.ucar.edu/datasets/ds628.0/). Multi-model projections are from Scenario Model Intercomparison Project in Phase 6 of the Coupled Model Intercomparison Project (https://esgf-node.llnl.gov/search/cmip6/). The GEOS-Chem model is available at http://acmg.seas.harvard.edu/geos/.

Author contributions

P. Wang performed the analyses and wrote the initial draft. Y. Yang conceived and supervised the study. H. Li performed the GEOS-Chem simulations. Y. Yang and L.R. Leung reviewed and edited the initial draft. All the authors discussed the results and contributed to the final manuscript.

Competing interests

The authors declare that they have no competing interest.

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Table 1 Simulated net changes in O_3 mass (Gg O_3 d^{-1}) in the boundary layer due to different processes in North China Plain (37–41°N, 114–120°E) during OPCs and OPIs of 2014-2017, as well as their differences (OPCs - OPIs).

	Net chemical production	Horizontal advection	Vertical advection	Diffusion plus dry deposition
OPCs	17.10	-2.65	1.12	-6.95
OPIs	15.66	-1.38	1.24	-7.10
Differences	1.44	-1.27	-0.12	0.15

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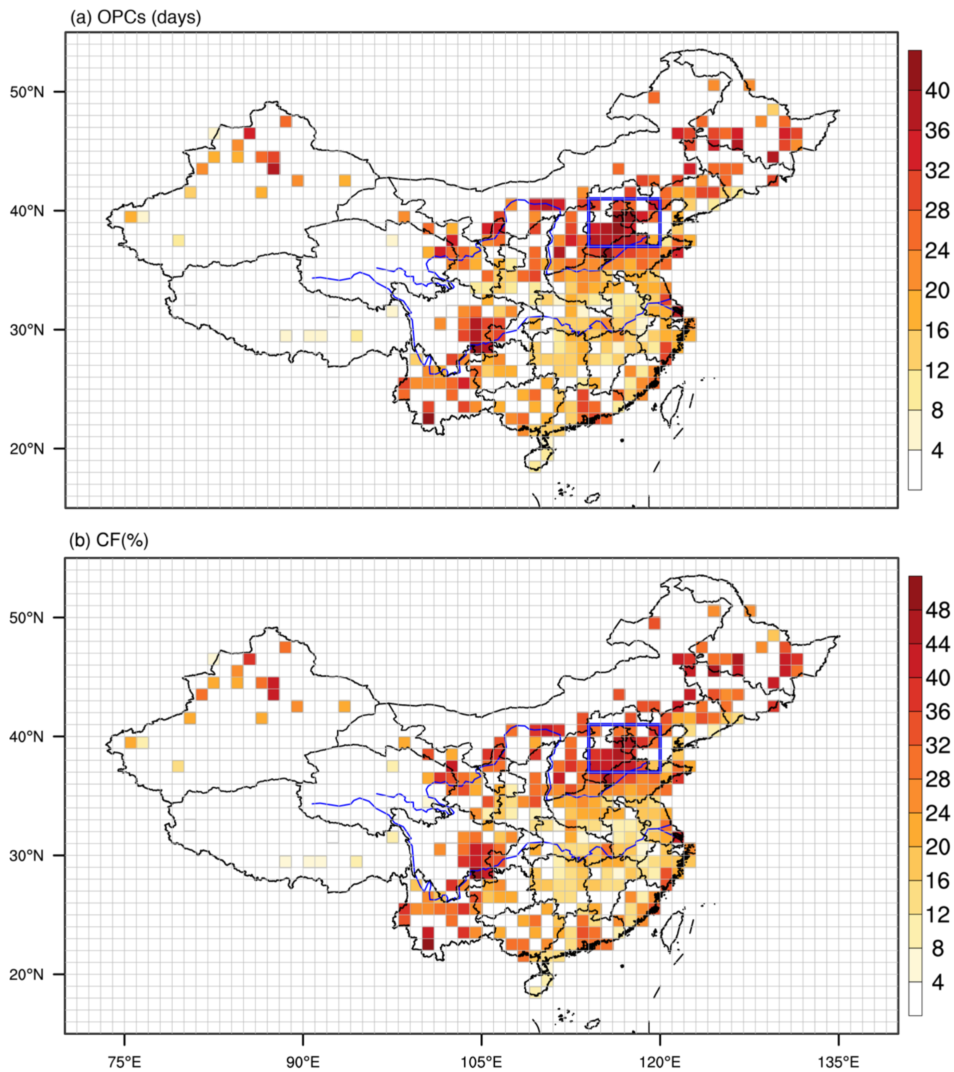

Figure 1 Spatial patterns of (a) OPCs (days), frequency of coupled extremes in high temperatures and surface O3 concentration, and (b) the corresponding CF values (%), ratio of F_OPC to total O3 pollution days, during May-September of 2014-2019 from observations. The blue box area indicates the NCP region (37-41°N; 114 -120°E).

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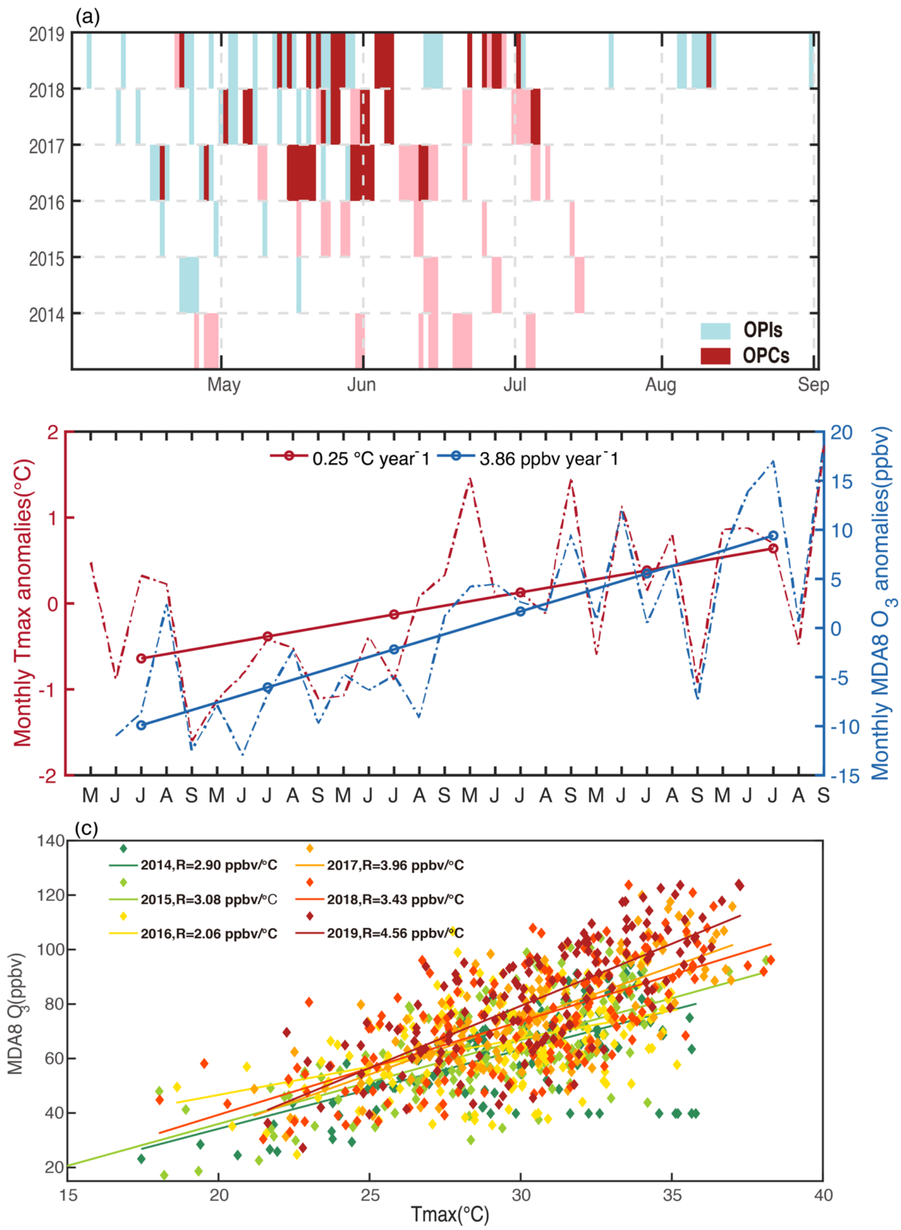

Figure 2 (a) Observed daily variations of the occurrence of OPIs (blue) and OPCs (red) in NCP during 2014-2019. The pink boxes indicate hot days when daily Tmax exceeds its threshold while MDA8 O_3 does not exceed its threshold. (b) Monthly mean MDA8 O_3 (blue dashed line) and Tmax (magenta dashed line) anomalies during May to September of 2014–2019 for the NCP region. For

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each month, anomalies are computed relative to the 2014–2019 means for that month of the year. The linear trends of the 5-month averaged MDA8 O_3 and Tmax anomalies for each year is shown by the solid lines, with the regression slopes shown near the top of the panel. (c) Scatterplot of daily MDA8 O_3 versus Tmax over NCP for May-September of each year identified by the color in 2014-2019. Linear regression lines and the slope (R) values (unit: ppbv/°C) are shown for each year, indicating a general trend of increasing R from 2014 to 2019.

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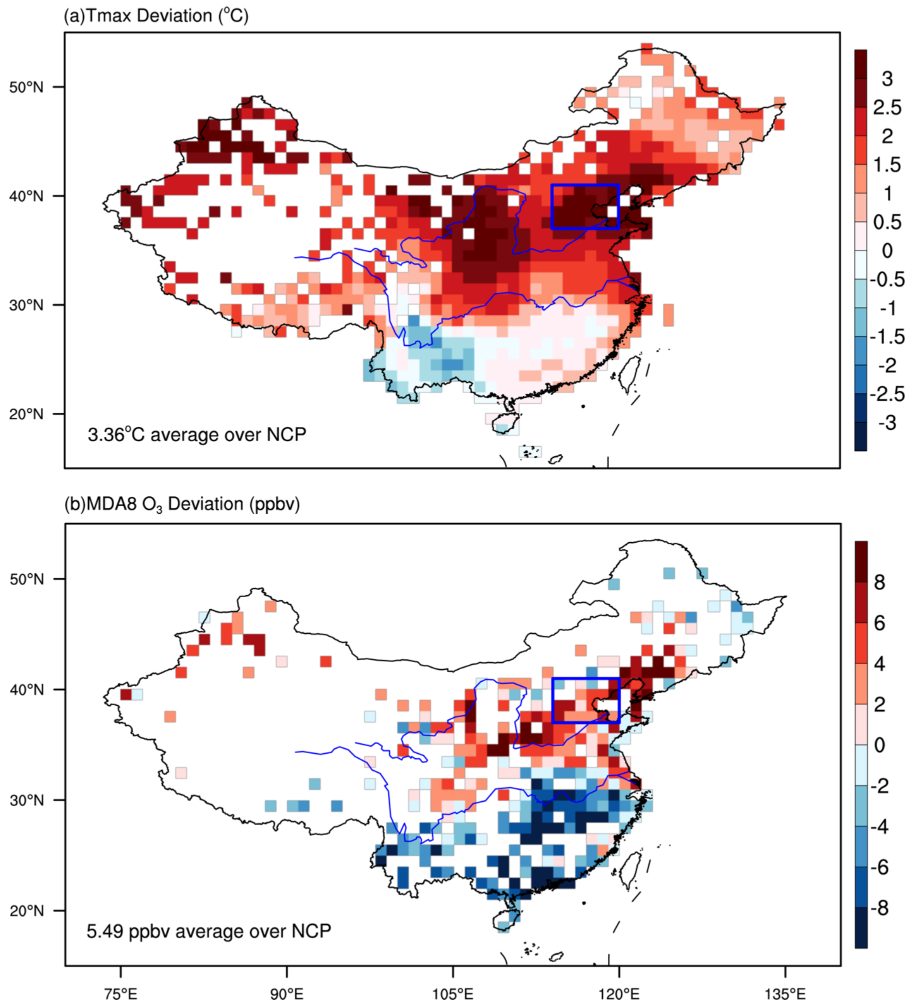

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572 **Figure 3** Spatial patterns of the averaged difference in (a) Tmax and (b) MDA8 O3 between OPCs
573 and OPIs (OPCs minus OPIs). The blue box in each panel indicates the NCP region (37-41°N; 114-
574 120°E).

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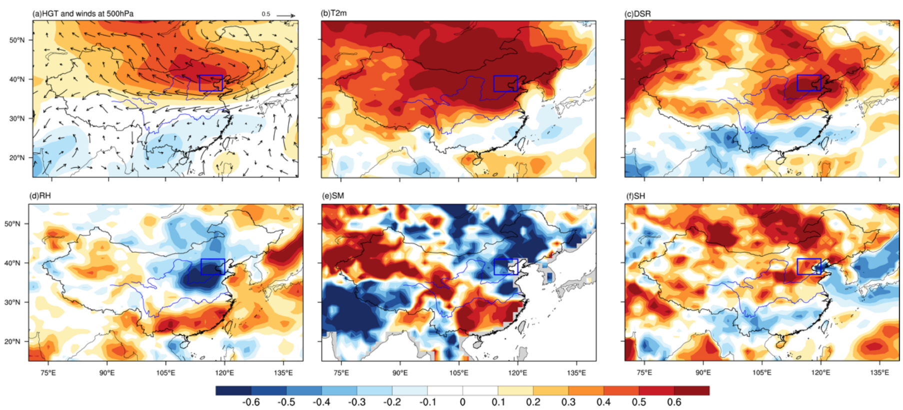

Figure 4 Composites of normalized anomalous (a) geopotential height (HGT) and winds at 500hPa, (b) 2m air temperature (T2m), (c) downward solar radiation flux (DSR), (d) relative humidity (RH), (e) soil moisture content (SM), and (f) sensible heat flux (SH) at the surface during coupled extremes (OPCs). The blue box in each panel indicates the NCP region.

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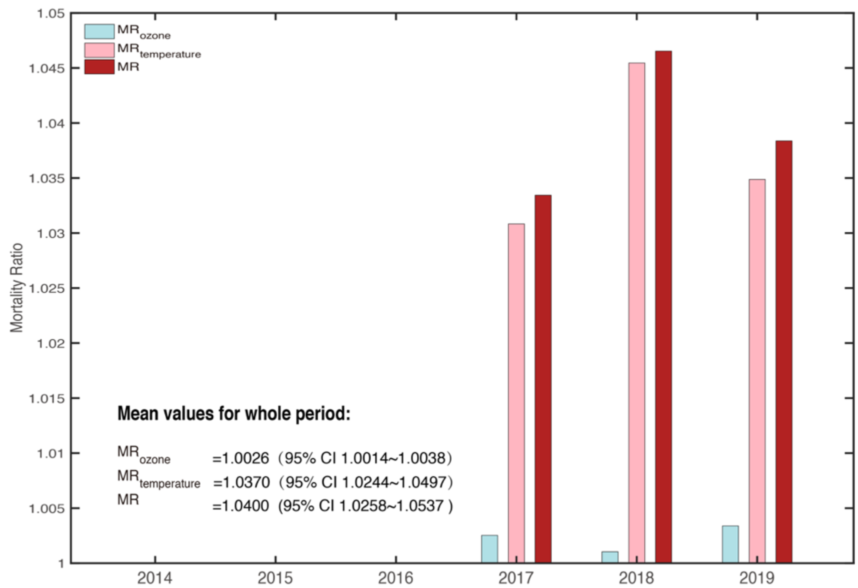

Figure 5 MR_{ozone}, $MR_{temperature}$ and MR between OPCs and OPCs during May to September for each year of 2017-2019. The average values for 2017-2019 are given in the left corner. MR_{ozone}, $MR_{temperature}$ and MR indicate the mortality changes between OPCs and OPIs due to differences in O_3 levels alone, air temperatures alone and both O_3 levels and temperatures, respectively.

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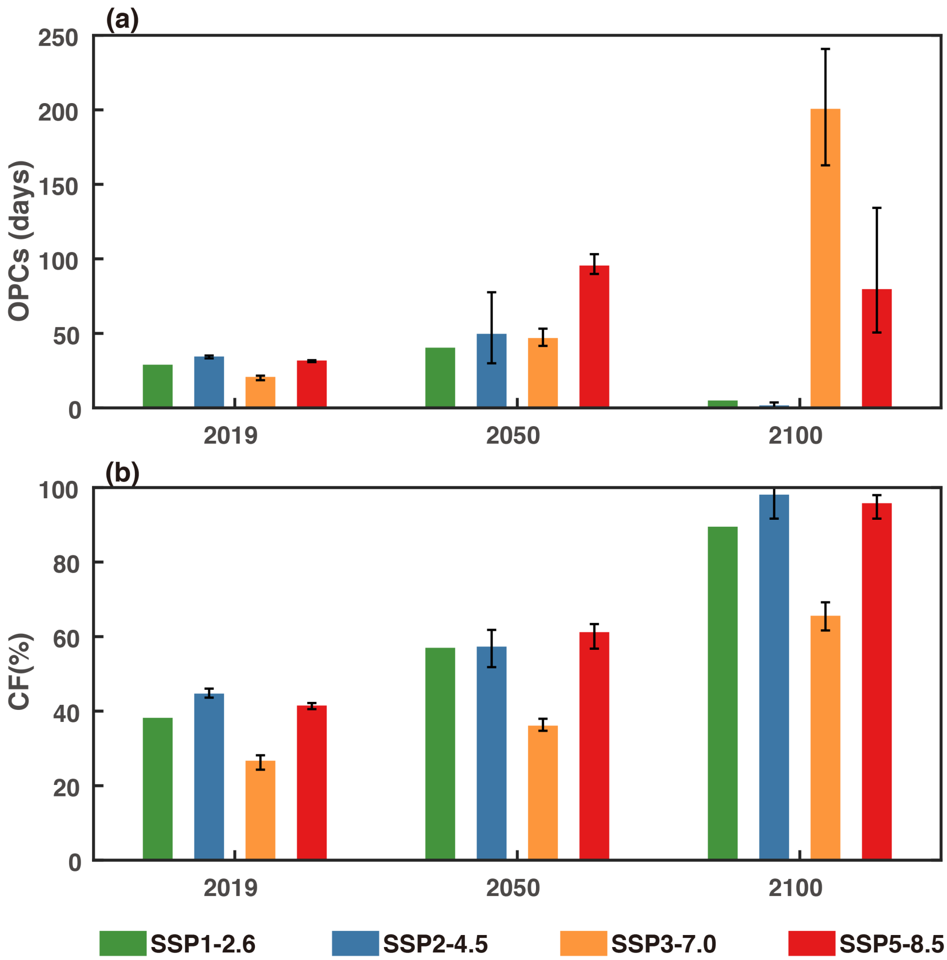

Figure 6 Averaged (a) OPCs and (b) CF values over NCP based on CMIP6 simulations under different SSPs for the periods of 2015-2019, 2046-2050, and 2096-2100. The error bar shows the minimum and maximum values simulated by the CMIP6 models for each SSP. Note that only one GCM is available for SSP1-2.6.