High number concentrations of transparent exopolymer particles (TEP) in
 ambient aerosol particles and cloud water – A case study at the tropical
 Atlantic Ocean

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#### 34 Abstract

Transparent exopolymer particles (TEP) exhibit the properties of gels and are ubiquitously 35 found in the world oceans. Possibly, TEP may enter the atmosphere as part of sea spray 36 37 aerosol. Here, we report number concentrations of TEP with a diameter> 4.5  $\mu$ m, hence covering a part of the supermicron particle range, in ambient aerosol and cloud water samples 38 from the tropical Atlantic Ocean as well as in generated aerosol particles using a plunging 39 40 waterfall tank that was filled with the ambient seawater. The ambient TEP concentrations ranged between 7x10<sup>2</sup> and 3x10<sup>4</sup> #TEP m<sup>-3</sup> in supermicronthe aerosol particles and 41 correlations to sodium (Na<sup>+</sup>) and calcium (Ca<sup>2+</sup>) ( $R^2 = 0.5$ ) suggested some contribution via 42 bubble bursting. Cloud water TEP concentrations were between 4x10<sup>6</sup> and 9x10<sup>6</sup> #TEP L<sup>-1</sup> and, 43 according to the measured cloud liquid water content, corresponding to equivalent air 44 45 concentrations of 2 – 4x10<sup>3</sup> #TEP m<sup>-3</sup>. The TEP concentrations in the tank generated aerosol particles, produced from the same waters and sampled with an equivalent system, were 46

47 significantly lower  $(4x10^2 - 2x10^3 \text{ #TEP m}^3)$  compared to the ambient concentrations.

Based on Na<sup>+</sup> concentrations in seawater and in the atmosphere, the enrichment factor for 48 TEP in the atmosphere was calculated. The tank-generated TEP were enriched by a factor of 49 50 compared to seawater and, therefore, in-line with published enrichment factors for 50 supermicron organic matter in general and TEP specifically. TEP enrichment in the ambient 51 atmosphere was on average 1x10<sup>3</sup> in cloud water and 9x10<sup>3</sup> in ambient aerosol particles and 52 53 therefore about two orders of magnitude higher than the corresponding enrichment from the tank study. Such high enrichment of supermicron particulate organic constituents in the 54 55 atmosphere is uncommon and we propose that atmospheric TEP concentrations resulted from a combination of enrichment during bubble bursting transfer from the ocean and a 56 57 secondary TEP in-situ formation in atmospheric phases. Abiotic in-situ formation might have occurred from aqueous reactions of dissolved organic precursors that were present in particle 58 59 and cloud water samples, while biotic formation involves bacteria, which were abundant in the cloud water samples. 60

The ambient TEP number concentrations were two orders of magnitude higher than recently reported ice nucleating particle (INP) concentrations measured at the same location. As TEP likely possess good properties to act as INP, in future experiments it is worth studying if a

64 certain part of TEP contributes a fraction of the biogenic INP population.

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Keywords: Transparent exopolymer particles, marine aerosol particles, cloud water, plunging
 waterfall tank, ice nucleating particles, Atlantic Ocean, Cape Verde Atmospheric Observatory
 (CVAO)

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#### 72 1 Introduction

In marine ecosystems, polymer gels and gel-like material play an important role in the 73 74 biochemical cycling of organic matter (OM) (Passow, 2000, 2002b). One type of gel-like 75 particles, transparent exopolymer particles (TEP), have increasingly received attention. TEP 76 exist as individual particles rather than diffuse exopolymeric organic material and are 77 operationally defined as particles that are stained on 0.2 or 0.4 µm pore-sized polycarbonate 78 filters with the dye Alcian Blue (Passow, 2002b). TEP have shown surface-active properties 79 and are highly hydrated molecules (Passow et al. 2002a). Chemically, they consist of polysaccharide chains including uronic acids or sulphated monosaccharides that are bridged 80 81 with divalent cations (mostly calcium) (Alldredge et al., 1993; Bittar et al., 2018).

82 In contrast to solid particles, TEP have properties of gels; with similar constituents (carrageenans, alginic acid, and xanthan) to those that form gels, spontaneously forming from 83 dissolved fibrillar colloids, and they can be broken up by Calcium chelators such as EDTA. 84 However, because TEP have not yet been seen to undergo phase transition they can officially 85 only be classified as gel-like particles (Verdugo et al., 2004). Regardless though, TEP have been 86 shown to be highly important in sedimentation processes and carbon cycling in the sea (Mari 87 et al., 2017), as well as highly prevalent in the sea surface microlayer (SML) (Robinson et al., 88 2019a) with a potentially significant effect on air-sea release of marine aerosols. 89

Generally, TEP can be formed via two pathways. First, the biotic pathway happens via 90 a breakdown and secretion of precursor material from an organism or via a direct release as 91 92 particles from aquatic organisms, e.g. as metabolic-excess waste products when nutrients are 93 limited (Decho and Gutierrez, 2017;Engel et al., 2004;Engel et al., 2002). High TEP concentrations are usually associated with phytoplankton blooms, with the majority of 94 95 precursor material being released by diatoms and to a lesser extent other plankton species. However, bacteria are also associated with TEP production, although their exact role is still 96 97 not resolved (Passow, 2002a). Secondly, TEP form through abiotic pathways. These could be spontaneous formation from dissolved organic precursors (e.g. dissolved polysaccharides) 98 99 that are released by aquatic organisms. The abiotic formation is enhanced by turbulent or laminar shear (Engel et al., 2002; Passow, 2000). Recent studies confirmed that higher wind 100 speeds, forming breaking waves, could be an effective transport and formation mechanism 101 for TEP to the ocean surface (Robinson et al., 2019b).. 102

TEP are highly sticky and provide surfaces for other molecules and bacterial colonization (Passow, 2002b), with between 0.5 and 25% (on average 3%) of marine bacteria being attached to TEP (Busch et al., 2017). TEP naturally aggregate to other particles or highly dense matter and can sink in the ocean to contribute to downward carbon fluxes (Logan et al., 1995;Mari et al., 2017). However, TEP which are not attached to sufficiently dense material will have a resulting low density and rise to the surface to form or stabilize the SML which links the oceans with the atmosphere (Wurl and Holmes, 2008;Wurl et al., 2011).

110 From the ocean surface, TEP have the potential to be transferred to the air. Due to 111 wind and breaking waves, sea spray aerosol particles are formed (de Leeuw et al., 112 2011;Lewandowska and Falkowska, 2013;Liss and Johnson, 2014) that could be a transfer 113 mechanism for TEP from the ocean to the atmosphere. Recently, high TEP mass 114 concentrations of 1.4  $\mu$ g m<sup>-3</sup> were reported in ambient marine aerosol particles measured in 115 a size range between 0.1 and 1  $\mu$ m, suggesting that gel-like particles can constitute more than 116 half of the particulate OM mass (Aller et al., 2017).

Ocean-derived OM, of which TEP is a part, has been reported to be enriched and 117 118 selectively transferred (compared to sea salt) to the atmosphere (Facchini et al., 2008;Keene 119 et al., 2007; van Pinxteren et al., 2017). Compared to seawater concentrations, organic mass in submicron aerosol particles is strongly enriched by factors of 10<sup>3</sup> and 10<sup>4</sup> (partly up to 10<sup>5</sup>) 120 (Quinn et al., 2015 and references therein) due to (not yet in detail resolved) processes during 121 122 the rise and burst of bubbles at the ocean surface (Blanchard, 1975). The enrichment of OM 123 in supermicron aerosol particles is significantly lower, with average aerosol enrichment factors 124 of 10<sup>2</sup> (Hoffman and Duce, 1976;Keene et al., 2007;Quinn et al., 2015). Aerosol enrichments 125 have been studied for several organic compound groups such as lipids, carbohydrates, and 126 proteins (e.g. Gao et al., 2012; Rastelli et al., 2017; Schmitt-Kopplin et al., 2012; Triesch et al., 127 2021a; Triesch et al., 2021b; Zeppenfeld et al., 2021). However, at current, data for TEP enrichment in the atmosphere are scarce. Aller et al. (2017) presented TEP mass 128 129 concentrations in size-resolved aerosol particles and found them to contain more TEP for 130 submicron sizes than for larger sizes. Kuznetsova et al. (2005) reported TEP enrichment of a 131 factor of 40 in freshly produced sea spray. Besides TEP, other types of gel-like airborne 132 particles in the size range of 100 – 300 nm (and even smaller) have been observed, e.g. in the 133 Arctic atmosphere likely originating from the ocean surface (Bigg and Leck, 2008;Leck and 134 Bigg, 2005a, b).

135 In addition to an oceanic transfer, atmospheric in-situ formation might contribute to 136 OM abundance in the atmosphere. Ervens and Amato (2020) provided a framework to 137 estimate the production of secondary biological aerosol mass in clouds by microbial cell 138 growth and multiplication. It was recently shown that this pathway might represent a 139 significant source of biological aerosol material (Ervens and Amato, 2020;Khaled et al., 2021; Zhang et al., 2021). In another recent study, cloud water in-situ formation of amino acids 140 resulting from biotic and abiotic processes has been measured and modelled (Jaber et al., 141 2021). Moreover, a higher microbial enzymatic activity on the aerosol particles compared to 142 seawater was observed and it was hypothesised that after ejection from the ocean, active 143 enzymes can dynamically influence the OM concentration and composition of marine aerosol 144 particles (Malfatti et al., 2019). Still, the atmospheric in-situ formation of important OM 145 146 compounds and its importance is not well investigated to date and no studies exist about 147 atmospheric in-situ TEP formation.

Regarding the properties of ocean-derived OM in the atmosphere, its ability to act as cloud condensation nuclei (CCN) (Orellana et al., 2011;Sellegri et al., 2021) or ice nucleating 150 particle (INP) (Burrows et al., 2013;Gong et al., 2020a;McCluskey et al., 2018a;McCluskey et al., 2018b) is not well understood at present. Bigg and Leck, (2008) and Leck and Bigg (2005b) 151 152 demonstrated, based on morphology and chemical properties, that the biogenic particles collected in air and in the surface microlayer could be consistent with polymer gels. For regions 153 154 that generally show a low total particle number concentration and low CCN (such as the high Arctic), it was suggested that microgels are CCN (Leck and Bigg, 2005a, b;Orellana et al., 2011), 155 156 due to their hydrated and hygroscopic nature and due to the absence of other significant 157 aerosol particle sources.

158 In addition, oceanic biogenic INP sources have been discussed (Creamean et al., 2019;Hartmann et al., 2020;Wilson et al., 2015;Zeppenfeld et al., 2019). In regions, however, 159 160 where other sources dominate, oceanic sources might not suffice to explain the INP 161 population, and non-marine sources most likely significantly contributed to the local INP 162 concentration (Gong et al., 2020a). According to their structure, biopolymers consisting of 163 proteins, lipids, and higher saccharides have been shown to play a role in the ice-nucleating 164 activity (Pummer et al., 2015). In this context, TEP might provide excellent functionalities to 165 act as INP, as they form a 3D network where water molecules can attach, providing a 166 structured surface for ice formation. A direct link between TEP and INP, however, has not yet 167 been experimentally shown in field studies.

168 Within the present study, the number concentrations and size distributions of TEP in 169 the ambient atmosphere in the tropical Atlantic Ocean were elucidated. We aimed at 170 investigating the TEP number concentrations in the ambient aerosol particles and cloud water 171 and to derive connections to oceanic transfer and potential in-situ formation mechanisms. 172 Finally, we compared the TEP number concentrations with recently published atmospheric 173 INP number concentrations at the same location (Gong et al., 2020a) and analyse possible 174 interconnections. To our knowledge, this is the first study with detailed measurements of TEP 175 number size distribution in different atmospheric marine compartments in the tropical 176 Atlantic environment.

- 177
- 178 2 Material and methods

#### 179 2.1 Measurement site and ambient sampling

180 Samples were taken during the MarParCloud: "Marine biological production, organic aerosol particles and marine clouds: a Process chain" campaign that took place from 181 September 13<sup>th</sup> to October 13<sup>th</sup> 2017 at the Cape Verde archipelago Island Sao Vicente located 182 in the Eastern Tropical North Atlantic (ETNA). A detailed overview of the campaign, 183 184 background, goals, and first results is available in van Pinxteren et al. (2020). Measurements were performed at the Cape Verde Atmospheric Observatory (CVAO) as described in more 185 detail elsewhere (Triesch et al., 2021a; Triesch et al., 2021b; van Pinxteren et al., 2020). The 186 CVAO is located directly at the shoreline at the northeastern tip of the São Vicente island at 187

10 m a.s.l (Carpenter et al., 2010;Fomba et al., 2014). Due to the trade winds, this site is free from local island pollution and provides reference conditions for studies of ocean-atmosphere interactions as there is a constant north-westerly wind from the open ocean towards the observatory. However, it also lies within the Saharan dust outflow corridor, and mainly in the winter months (January and February), dust outbreaks frequently occur.

Total suspended aerosol particle (TSP) for TEP analysis and PM<sub>10</sub> sampling for analysis 193 194 of further aerosol constituents (inorganic ions, INP, dust) was performed on top of a 30 m sampling tower of the CVAO. Tower measurements there mainly represent the conditions 195 196 above the ocean because the internal boundary layer (IBL), which can form when air passes a 197 surface with changing roughness (i.e. the transfer from open water to island), is mainly 198 beneath 30 m (Niedermeier et al., 2014). During the MarParCloud campaign, the marine 199 boundary layer (MBL) was well mixed as indicated by an almost uniform particle number size distribution within the MBL (Gong et al., 2020b;van Pinxteren et al., 2020). Information on the 200 201 meteorological conditions during the sampling period is given in **Tab. S1**.

202 TSP were sampled with a filter sampler consisting of a filter holder equipped with a 203 0.2 µm pore-sized, acid-cleaned polycarbonate (PC) filter mounted to a pump. The PC filters 204 had been cleaned with 10% HCl and rinsed with ultrapure water (resistivity=18.2M $\Omega$  cm) 205 before application. Sampling usually took place for 24 h and the flow of the pump was between 5 and 10 L min<sup>-1</sup> and frequently measured with a flowmeter. Total volumes between 206 207 10 and 15 m<sup>3</sup> were sampled. In seawater TEP analysis, filtration is usually performed at a gentle pressure of 0.2 bar (Engel, 2009) which corresponds to a max flow rate of 21 or 38 208 L min<sup>-1</sup>. The flow rate of aerosol sampling was max. 10 L min<sup>-1</sup> and therefore TEP losses during 209 210 aerosol particle sampling were not expected.

211  $PM_{10}$  particles were sampled with a high volume sampler (Digitel, Riemer, Germany) 212 equipped with preheated (105 °C for 24 h) 150 mm quartz fiber filters (Munktell, MK 360) at 213 a flow rate of 700 L min<sup>-1</sup>, described in detail elsewhere (van Pinxteren et al., 2020). The 214 sampling times for TSP as well as  $PM_{10}$  were usually set to 24 h.

215 Cloud water was sampled on Mt. Verde, which is the highest point of the São Vicente 216 Island (744 m), situated in the northeast of the Island (16°52.11'N, 24°56.02'W) and northwest 217 to the CVAO (van Pinxteren et al., 2020). Again, Mt. Verde experiences direct trade winds from 218 the ocean with no significant influence of anthropogenic activities from the island (Carpenter 219 et al., 2010). Bulk cloud water was collected using a compact Caltech Active Strand Cloudwater 220 Collectors (CASCC2) equipped with acid cleaned Teflon®strands (508 µm diameter). Cloud 221 droplets were caught on the strands and gravitationally channelled into an acid precleared 222 Nalgene bottle. The 50% lower size cut for the CASCC2 is approximately 3.5 µm diameter. 223 Much of the liquid water content (LWC) in clouds is contained of drops between 10 and 30  $\mu$ m 224 diameter and the CASCC2 is predicted to collect drops in this size range with an efficiency greater than 80% (Demoz et al., 1996). 225

Three cloud water samples collected on the 20.09.2017, the 28.09.2017, and the 4.10.2017 were analysed for the TEP number concentrations. They were filtered (150-200 mL) through 0.2 µm pore-sized, acid-cleaned filters for TEP analysis using the same filter type and
conditions as applied for the aerosol particle staining. All equipment that was in contact with
the cloud water samples (Teflon®strands, sampling bottles, filters) had been cleaned with 10%
HCl and rinsed with ultrapure water (resistivity=18.2MΩ cm) before each application as
recommended in Engel (2009).

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#### 234 2.2. Particle sampling from the plunging waterfall tank

235 To investigate a direct oceanic transfer of TEP via bubble bursting, TSP particles were 236 sampled from a plunging waterfall tank experiment that is described in detail in the MarParCloud overview paper (van Pinxteren et al., 2020, SI section). The tank was designed 237 238 to study the bubble-driven transfer of organic matter from the bulk water into the aerosol phase. It consists of a 1400 L basin with a 500 L aerosol chamber on top. The bubble driven 239 240 transport of organic matter was induced using a skimmer on a plunging waterfall. A stainless steel inlet was inserted in the headspace of the tank and connected with three filter holders 241 242 for offline aerosol particle sampling without size segregation (TSP). The filter system for TEP analysis was equipped with a 0.2 µm pore-sized, acid-cleaned polycarbonate (PC) filter 243 mounted to a pump. Sampling usually took place for ~ 24 h, the flow of the pump was between 244 5 and 10 L min<sup>-1</sup> and frequently measured with a flowmeter. Total volumes between 9 and 245 10 m<sup>3</sup> were sampled. The sampling procedure was therefore identical to the ambient TEP filter 246 sampling. Another filter holder was equipped with a preheated 47 mm quartz fiber filter 247 (Munktell, MK 360) for sodium analysis. The stainless steel inlet was additionally connected 248 249 to a TROPOS-type Scanning Mobility Particle Sizer (Wiedensohler et al., 2012) for online 250 aerosol measurements. This method of aerosol generation resulted in an efficient generation 251 of nascent sea-spray aerosol particles with an aerosol particle size distribution centred around 252 100 nm (van Pinxteren et al. 2020).

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## 254 2.3 Analysis

255 The filters obtained from ambient and tank-generated TSP aerosol particle sampling 256 and cloud water filtrations were stained with 3 mL of an Alcian blue stock solution stained 257 (0.02 g Alcian blue in 100 mL of acetic acid solution, pH 2.5) for 5 s yielding an insoluble non-258 ionic pigment and afterward rinsed with milliQ water. The dye Alcian blue consists of a 259 macromolecule with a central copper phthalocyanine ring linked to four isothiouronium 260 groups via thiolether bonds (Passow and Alldredge, 1995). The isothiouronium groups are 261 strong bases and account for the cationic nature. The exact staining mechanism is not resolved 262 but it is believed that the cationic isothiouronium groups bond via electrostatic linkages (ionic 263 bonds) with the polyanionic molecules of the TEP molecule, hence the carboxylic and sulfonic 264 side groups are stained. Alcian Blue can also react with carbohydrate-conjuncted proteins at proteoglycans, but not with nucleic acids and neutral biopolymers (Villacorte et al., 2015).
After staining the filters were kept at -20°C and transported to the laboratories of TROPOS.

267 For microscopic analysis, the protocol following Engel (2009) was applied. In short, abundance, area, and size-frequency distribution of TEP were determined using a light 268 269 microscope (Zeiss Axio Scope A.1) connected to a camera (ColorView III). Filters were screened at 200× magnification. About 10 pictures were taken randomly from each filter in two 270 271 perpendicular cross-sections (5 pictures each cross-section; dimension 2576 x 1932 pixel, 8bit color depth) and microscopic pictures of TEP in cloud water are shown in Fig. 1. Images 272 273 were then semi-automatically analyzed using ImageJ (Version 1.44). A minimum threshold value of 16  $\mu$ m<sup>2</sup> was set for particle size during particle analysis to remove the detection of 274 non-aggregate material by the program. This resulted in a minimum particle size of 4.5 µm 275 276 (assuming spherical particle).

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#### Insert Figure 1

Blank filters were taken for aerosol sampling (inserting filters in the aerosol sampler without probing them) and cloud water (filtering reagent water over a pre-cleaned filter), stained and treated the same way as the microscopic analysis. Blank number concentrations were on average 6% of the cloud water results and between 5% and 20% for aerosol results and the blank values were subtracted from the samples.

The analysis of inorganic ions from PM<sub>10</sub> samples was performed with ion 285 chromatography and conductivity detection. Aqueous extracts of the aerosol samples were 286 made by ca. 25% of the  $PM_{10}$  filter in 1.5 mL ultra-pure water (resistivity = 18.2 M $\Omega$  cm) for 287 one hour. After the filtration (0.45  $\mu$ m syringe filter) of the extracts sodium (Na<sup>+</sup>), calcium 288  $(Ca^{2+})$ , magnesium  $(Mg^{2+})$ , were analyzed by using ion chromatography (Dionex ICS-6000, 289 290 Thermo Scientific). The cations were separated in an isocratic mode (eluent: 36 mM 291 methanesulfonic acid) on a Dionex IonPac CS16-4µm column (2×250 mm) that was combined 292 with a Dionex IonPac CG16-4 $\mu$ m guard column (2×50 mm). The detection limits for the determined ions were between 5 and 20  $\mu$ g L<sup>-1</sup> (Zeppenfeld et al., 2021). 293

294 Non-sea-salt calcium was calculated from the ion ratio of Ca<sup>2+</sup>/Na<sup>+</sup> in seawater of 295 0.038 (Turekian, 1968). Dust concentrations were estimated from the aerosol particle mass 296 concentrations as the residual mass after the subtraction of all analytical concentrations from 297 the PM<sub>10</sub> mass as described elsewhere (Fomba et al., 2014). Trace metal content was 298 determined using a Total Reflection X-Ray Fluorescence (TXRF) S2 PICOFOX (Bruker AXS, 299 Berlin, Germany) spectrometer equipped with a Molybdenum X-ray source (Fomba et al., 300 2013). The cloud LWC was measured with a particle volume monitor (PVM-100, Gerber 301 Scientific, USA), which was mounted at the same height as the cloud water samplers.

INP number concentration (N<sub>INP</sub>) were measured with two droplet freezing techniques
 (LINA: Leipzig Ice Nucleation Array and INDA: Ice Nucleation Droplet Array) in different marine

304 compartments. The uncertainties of  $N_{INP}$  are given by the 5% to 95% confidence interval and 305 the results are presented in (Gong et al., 2020a).

All the samples of this study are summarized in Table 1. In addition to samples from the MarParCloud campaign, surface seawater samples obtained from the ETNA (Engel et al. 2020) were considered.

#### 309 Insert Table 1

310 2.4 Enrichment factor

To determine enrichment or depletion of TEP in the atmosphere (i.e. on the aerosol 311 312 particles and in the cloud water) in relation to the TEP concentration in the ocean water, the concept of the aerosol enrichment factor can be applied. To this end, the concentration of the 313 314 compound of interest in each compartment is related to the respective sodium mass concentration, as sodium is regarded as a conservative sea salt tracer transferred to the 315 316 atmosphere in the process of bubble bursting (Sander et al., 2003). This concept is usually applied for calculating the enrichment of a compound in the aerosol particles (EFaer.) in relation 317 to seawater (Quinn et al., 2015), but was recently extended to calculate the enrichment of 318 319 organic compounds in cloud water (*EF<sub>cloud</sub>*) in relation to seawater (Triesch et al., 2021a). Therefore, in the following the enrichment factor is defined as EF<sub>atm.</sub> (atmosphere 320 321 enrichments factor) in equation 1.

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 $EF_{atm.} = \frac{c \ (TEP)_{atm}/c \ (Na^{+}mass)_{atm}}{c \ (TEP)_{seawater}/c \ (Na^{+}mass)_{seawater}}$ (1)

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For equation (1), TEP number concentrations were converted to TEP volume concentrations. To this end, for atmospheric and for oceanic samples, particle number concentrations of TEP were extracted from the size distribution spectra and volume concentrations were calculated (assuming spherical particles). More detail on the conversion can be found in the SI (Tab. S2-S5).

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331 3 Results and Discussion

### 332 3.1 Concentration and size distribution of TEP

Within the three-weeks sampling period, TEP varied within one order of magnitude 333 between 7x10<sup>2</sup> and 3x10<sup>4</sup> #TEP m<sup>-3</sup> in the aerosol particles and between 4x10<sup>6</sup> and 9x10<sup>6</sup> 334 #TEP L<sup>-1</sup> in the cloud water (analysed diameter size range: ~ 4.5 to ~ 30  $\mu$ m) as shown in **Fig. 2**. 335 The cloud water concentrations were converted to atmospheric concentrations using the 336 measured LWC of the cloud water (0.39 g m<sup>-3</sup>) and resulted in concentrations of  $2 - 4x10^3$ 337 #TEP m<sup>-3</sup> (Tab. S4). As a result, a striking similarity (agreement within one order of magnitude) 338 for TEP concentrations in the aerosol particles (average: 1x10<sup>4</sup> #TEP m<sup>-3</sup>, Tab. S2) and the cloud 339 water (average: 0.3x10<sup>4</sup> #TEP m<sup>-3</sup>, Tab. S4) was found, suggesting that the majority of the TEP 340

341 particles are activated to cloud droplets when a cloud forms. Comparing the #TEP concentrations in cloud water to the ones in the ambient aerosol particles suggested that 342 about 20% of the ambient TEP particles are activated to cloud droplets when a cloud forms. 343

#### 344 **Insert Figure 2**

345

346 In addition, TEP were measured in four aerosol particle samples from the plunging waterfall tank and the concentrations varied between 4x10<sup>2</sup> and 3x10<sup>3</sup> #TEP m<sup>-3</sup> (Tab. S3). 347 While the TEP concentrations in ambient aerosol particle and cloud water were not 348 significantly different (ANOVA, oneway, p = 0.054 at a 0.05 level), the tank-generated TEP 349 350 concentrations were significantly lower than the ambient aerosol TEP concentrations (ANOVA, oneway, p = 0.004 at a 0.05 level). The TEP number concentrations measured in the different 351 352 atmospheric compartments, the ambient aerosol particles, the tank-generated aerosol particles and the cloud water are summarized in Fig. 3a and the individual values are 353 354 presented in the Tab. S2-S4.

- 355 Insert Figure 3
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Besides for In addition to the total number concentrations, TEP number size 357 358 distribution were derived from all ambient aerosol particle samples and are shown in Fig. 4 359 (a-d) in both, linear and logarithmic form. In addition, the TEP number size distribution of one cloud water sample is presented in Fig. 4 (e, f). All samples exhibited very similar trends in 360 their size distribution, with higher number concentrations for smaller sizes. 361

- 362 Insert Figure 4
- 363

364 From the observed size distributions, it can be assumed that the number concentrations will continue to increase toward smaller sizes. A comparison of TEP number 365 366 concentrations in the ambient aerosol particles or cloud water to literature values is challenging due to the availability of very few studies and different sample types and size 367 368 ranges regarded in different studies. However, the here observed trend in the TEP number size distributions is consistent with studies from Kuznetsova et al. (2005) showing increased 369 370 TEP concentrations in simulated sea spray regarding particle sizes from 50 µm to 10 µm in diameter. In addition, TEP mass concentrations showed a similar trend with higher 371 372 concentrations towards smaller particle sizes (size range 0.1-1  $\mu$ m, Aller et al. (2017)), that was, however not as pronounced as for TEP number concentrations observed here. 373

374 Regarding polymer gels in general, a strong increase with decreasing sizes was 375 observed for the polymer gels in cloud water in the high Arctic (north of 80°N) in late summer using a very sensitive microscopic technique with epifluorescence (Orellana et al., 2011). 376 2x10<sup>9</sup> micrometer-sized polymer gels per mL<sup>-1</sup> and 2 – 6x10<sup>11</sup> nanometer-sized polymer gels 377

378 per mL<sup>-1</sup> were observed and the majority of the particles were smaller than 100 nm (Orellana et al., 2011). The measurements from Orellana et al. (2011) regarded a much smaller particle 379 380 diameter range (down to nm scale) compared to the present work and are therefore not directly comparable. However, from the logarithmic TEP number concentration vs. diameter 381 382 relationship (Fig.4) we calculated TEP number concentrations for smaller particle ranges (submicrometer size range). TEP number concentrations between 4.2x10<sup>4</sup> #TEP m<sup>-3</sup> (low "TEP5" 383 case, equation from Fig. 4b) and 1.6x10<sup>6</sup> #TEP m<sup>-3</sup> (high "TEP10" case, equation from Fig. 4d) 384 are calculated for PM<sub>1</sub> particles. The high but varying concentrations for the two cases 385 underlines the need for more measurements in the submicron range to derive robust 386 numbers. Similarly, a concentration of 3.0x10<sup>8</sup> #TEP L<sup>-1</sup> for PM<sub>1</sub> particles in cloud water were 387 calculated and 2.1x10<sup>10</sup> #TEP L<sup>-1</sup> for PM<sub>0.2</sub> particles might exist in the submicron-size range 388 (following the equation from Fig. 4f). 389

These calculations show that the number of gel-like particles in the high Arctic was still several orders of magnitudes higher compared to TEP particles in the tropical Atlantic, e.g.  $10^{10}$  #TEP L<sup>-1</sup> (200 nm particles) in tropical cloud water observed here vs.  $10^{11}$  #polymer gels per mL<sup>-1</sup> (=  $10^{14}$  #polymer gels per L<sup>-1</sup>) from Orellana et al. (2011). If the TEP particles in the tropical atmosphere comprise only a small subgroup of the total polymer gel number, or if the total amount of gel-like particles is generally higher in Polar Regions remains to be investigated.

397 3.2 Relating atmospheric TEP to the ocean

From a recent study of TEP number concentrations in different oceanic regions, TEP number 398 399 concentrations in surface waters (10 m depth) of the East Tropical North Atlantic (ETNA) were 400 obtained (Engel et al., 2020). ETNA is the region that geographically includes the Cape Verde islands. The oceanic TEP number concentrations are shown in Fig. 5 and are discussed in more 401 402 detail in Engel et al. (2020). The TEP in the ocean showed a similar size distribution compared to the TEP in the atmosphere (i.e. aerosol particles and cloud water, Fig. 4) with increasing 403 404 TEP number concentrations toward smaller particle sizes (Tab. S5 and more details in Engel et al. (2020)). 405

406 407

### Insert Fig. 5

408

A detailed comparison of #TEP in the ocean and in the atmosphere regarding the 409 identical size bins showed that the #TEP distribution among the different size bins were much 410 more balanced for seawater than for aerosol particles. In aerosol particles, on average 51% of 411 412 the #TEP were located in the smallest analysed size bin (4.5-7  $\mu$ m) and show a sharp decrease towards the second size bin (that contained 24% of the TEP) (Fig. 6). For the seawater TEP, 413 414 however, around 35% of the #TEP were found in the first size bin and the relative contribution decreased uniformly towards the larger size bins (Fig. 6). This distribution is also visible in the 415 416 correlation curves of Fig 4 (b,d,f) and Figure 5b. The correlation curves for the aerosol particles (and cloud water) have a steeper slope compared to the curve obtained for seawater TEP. This
could imply that i) the transfer of TEP from the ocean to the atmosphere is most efficient for
small size ranges, ii) larger TEP are converted to smaller TEP in the atmosphere (e.g. break
down), and /or iii) atmospheric in-situ formation mechanism of TEP preferably occur in smaller
particle size ranges. These considerations will be further evaluated in section 3.3.

- 422
- 423 Insert Figure 6
- 424

Ocean water, atmospheric particles, and cloud water are different marine 425 426 compartments and to To compare seawater and atmospheric TEP concentrations in terms of 427 enrichment or depletion, the atmospheric enrichment factor EF<sub>atm</sub> (Equation 1) was 428 calculated. However, the TEP number concentrations in the ocean surface water were obtained from an additional measurement campaign, taking place in the biologically 429 430 productive Mauritanian Upwelling region in the year 2012, hence at another time and season 431 (Tab. 1). Compared to other oceanic regions, the TEP values from the Mauritanian Upwelling 432 region were at the higher end (Engel et al., 2020). The region around the CVAO is rather 433 oligotrophic and Chlorophyll-a values during the MarParCloud campaign were relatively low with 0.1 up to 0.6 µg L<sup>-1</sup> (van Pinxteren et al., 2020). As TEP production is often connected to 434 phytoplankton activity, the TEP concentration at the CVAO might be lower compared to more 435 436 productive regions (Robinson et al., 2019a). A previous study showed the total TEP number 437 concentrations (covering TEP sizes between 1 and 200 µm) at the Cape Verde islands (south 438 of São Vicente at 16°44.4'N, 25°09.4'W) were by a factor of 2 lower that the data reported here, in detail 0.9x10<sup>7</sup> L<sup>-1</sup> (Engel et al., 2015) vs. 2x10<sup>7</sup> L<sup>-1</sup> (Tab. S5). Lower TEP concentrations 439 440 would result in higher EF<sub>atm.</sub> (Equation 1) regarding the ambient as well as the tank 441 measurements as the same type of seawater was used for the calculations. Hence, the here 442 reported EF<sub>atm.</sub> represent lower limits.

443 In order to compare the same TEP diameters in all compartments, the size range 444 between 5 µm (lower limit for atmospheric measurements) and 10 µm (typical upper limit for 445 ambient aerosol particles) was regarded and converted from number to volume concentration 446 (more details in Table S2-S4 and Fig. S1). For ocean water, TEP number concentrations of 447  $3.5 \times 10^3 \text{ #TEP mL}^{-1}$  (=  $3.5 \times 10^6 \text{ #TEP L}^{-1}$ ) and a TEP volume concentration of  $4.6 \times 10^5 \mu \text{m}^3 \text{ TEP mL}^{-1}$ <sup>1</sup> (=  $3.5 \times 10^8 \ \mu m^3 \ TEP \ L^{-1}$ ) were obtained. The respective values for the TEP volume 448 concentration of ambient and tank-generated aerosol particles, as well as for the cloud water 449 450 are listed in Tables S2-S4 and illustrated in Fig 3b. As mentioned above, the factors given here are subject to some uncertainties and represent lower limits. An error discussion is introduced 451 452 in the Supporting Information as an appendix to Table S2. It is clearly visible that the EFaer. ambient are significantly higher than the EFaer. tank (ANOVA, oneway, p = 0.0017 at a 0.05 level) 453 454 with average values of  $9x10^3$  and 50, respectively. The average  $EF_{cloud}$  was  $1x10^3$ . This means 455 that the enrichment of TEP derived from the plunging waterfall tank, representing the bubble456 bursting transfer, is about two orders of magnitude lower compared to the enrichment of TEP457 in the ambient aerosol particles.

It should be noted that the lower enrichment in the tank resulted from the lower TEP number concentrations in the generated aerosol particles, as the particulate sodium concentrations in the tank aerosol were even higher than in the ambient particles (Tab. S3). This suggests that, although an artificial tank study cannot represent the ambient environment, the generation of sea spray aerosol was in progress; however, TEP transfer seemed to be not pronounced.

In the following, the here obtained enrichment factors will be discussed in more detailconsidering studies available from literature.

- 466 Atmospheric enrichment of ocean-derived OM, have often been reported (e.g. Facchini et al., 2008;Keene et al., 2007;O'Dowd et al., 2004;Schmitt-Kopplin et al., 467 2012; Triesch et al., 2021a; Triesch et al., 2021b; van Pinxteren et al., 2017). Submicron particles 468 469 are usually strongly enriched with organic matter OM with aerosol enrichment factors EFaer. of 10<sup>3</sup> up to 10<sup>5</sup> (Quinn et al., 2015 and references therein). The enrichment in supermicron 470 aerosol particles is, however, significantly lower. Laboratory studies showed enrichment of 471 OM in the order of 10<sup>2</sup> (Hoffman and Duce, 1976;Keene et al., 2007;Quinn et al., 2015). From 472 473 the MarParCloud campaign, enrichment factors of free amino acids were between 10 and 30 474 in ambient supermicron particles (Triesch et al. 2021a). Kuznetsova et al. (2005) reported TEP 475 enrichments in freshly produced sea spray with  $EF_{aer.} = 44 \pm 22$  based on TEP number concentration. Consequently the here reported  $EF_{aer. tank}$  (50 ± 35) are well in-line with 476 477 published enrichment factors for OM in general and TEP specifically. However, the EFaer, ambient 478 (9x10<sup>3</sup>) were orders of magnitude higher than reported enrichment factors for supermicron 479 aerosol particles. Enrichment factors of OM in cloud water are hardly available; we recently reported an enrichment of  $10^3 - 10^4$  of free amino acids in cloud water from the MarParCloud 480 481 campaign (Triesch et al., 2021a) that were higher than the here observed EF<sub>cloud</sub>.
- 482 The concept of the aerosol enrichment factor originally originates from controlled tank 483 experiments where a direct transfer of compounds from the ocean via sea-spray aerosol 484 formation occurs. Obviously, this does not automatically correspond to the ambient 485 environment as mixing processes, aging, and further transformation reactions are not 486 accounted for. However, the EFaer. ambient which is much bigger than EFaer., EFaer. tank and the 487 comparison of *EF<sub>cloud</sub>* towards former literature data clearly show the presence of significantly 488 more TEP in ambient aerosol and cloud water compared to oceanic seawater which will be 489 discussed in detail in the following section.
- 490
- 491 3.3 Possible sources and atmospheric formation pathways of TEP
- 492
- 493 3.3.1 Primary TEP sources
- 494

495 The high abundance of TEP in the aerosol particles and cloud water might correspond to an oceanic transfer within the process of bubble bursting. To investigate a linkage to the 496 497 bubble bursting transfer, TEP concentrations were correlated to the wind speed, as well as to the sea-spay tracers sodium and magnesium. To account for biases due to a number-based 498 499 (TEP) and mass-based (sodium, magnesium) comparison, the particle volume of TEP was calculated from the particle number concentrations (regarding the size range: 5-10 µm). To 500 501 this end, from each particle diameter within a size range of 5-10 µm, the respective volume was determined, assuming spherical particles, and summed up (data in Tab. S2). This 502 503 transformation accounts for the fact that big TEP particles likely possess a large mass but a 504 low number concentration and vice versa.

Reasonably good correlations of TEP to sodium, sea-salt calcium ( $Ca_{ss}$ ) and magnesium, ( $R^2 = 0.5$ , **Fig. 7a-c**) were found, suggested some connection to a bubble bursting transfer. However, a correlation of TEP to wind speed was not found. It may be that since wind speed data represented an average value of 24 hours, short but pronounced changes in the wind speed were not visible in the average wind speed value. No correlation was found between TEP and non-sea-salt calcium as well as total calcium (**Fig. 7d**).

511 512

#### Insert Figure 7

513

514 Despite this correlation of TEP to sea spray tracers, the high abundance and 515 enrichment of #TEP in the ambient aerosol particles compared to literature data (Kuznetsova 516 et al., 2005) and compared to the concentration and enrichment of the #TEP from the plunging 517 waterfall tank performed here, suggests that additional (secondary) TEP sources in the 518 ambient atmosphere exist from which TEPs are added to their primary transfer by bubble 519 bursting from the oceans. At the Cape Verde islands, besides the ocean, mineral dust is an important aerosol particle source (Fomba et al., 2014). TEP are generally attributed to be 520 521 ocean-derived compounds however, dust has often been reported to transport attached 522 biological particles (Maki et al., 2019; Marone et al., 2020). During the MarParCloud campaign, 523 dust influences were low to moderate and the aerosol particle mass was found to be 524 predominantly of marine origin (Fomba et al., 2014;van Pinxteren et al., 2020). Some dust influences were visible though, e.g. variations in the particle number concentrations, with 525 526 elevated concentrations on (even low) dust influenced air masses (Gong et al., 2020b). TEP 527 number concentrations showed no clear connection to the ambient dust concentrations (Fig. 528 2). Within periods of moderate dust, TEP were partly below the detection limits (on 26.09.2017) and partly exhibited high concentrations (e.g. on 28. and 29.09.2017). A 529 correlation between TEP and dust was not found ( $R^2 = 0.05$ , Fig. 7e) therefore, we do not 530 531 consider dust to be a transport medium for TEP to the particles or cloud water. However, dust might play a role in abiotic TEP formation, as discussed in chapter 3.3.2.1. 532 533

534 3.3.2. In-situ formation

#### 536 3.3.2.1 Abiotic formation

537

In aquatic environments, abiotic TEP formation has been reported to happen via several 538 pathways, including spontaneous assembly from TEP precursors (Passow, 2002b). The aerosol 539 particle and cloud water samples from the MarParCloud campaign investigated here showed 540 high mass concentrations of amino acids (up to 6.3 ng m-3 in the submicron aerosol particles 541 and up to 490 ng m-3 in the cloud water, published in Triesch et al. (2021a)) as well as 542 dissolved polysaccharides (up to 2 ng m-3 in the submicron aerosol particles and up to 2400 543 ng m-3 in the cloud water, results in preparation for publication). In the ocean, the dissolved 544 545 polysaccharides are known TEP precursors (Passow, 2002b) and Wurl et al. (2011) determined abiotic TEP formation rates from dissolved polysaccharide concentration in various oceans. 546 The rates were on average 7.9  $\pm$  5.0  $\mu$ mol C L<sup>-1</sup> d<sup>-1</sup> and therefore significant considering that 547 the average TEP concentration was 18.1  $\pm$  15.9  $\mu mol~C~L^{\text{-1}}$  and the average dissolved 548 polysaccharide concentration was  $12.2 \pm 3.8 \mu$ mol C L<sup>-1</sup> in the surface seawater (Wurl et al., 549 2011). Robinson et al. (2019b) showed that rising bubbles can lead to an enhanced TEP 550 551 formation already after some minutes. The lifetime of supermicron aerosol particles, to which 552 the TEP particles studied here belong, are between hours and days, for example, Madry et al. 553 (2011) calculated an average lifetime of supermicron sea salt particles of 50 hours. Hence 554 abiotic TEP formation processes lie within the lifetime of supermicron aerosol particles and 555 we suggest that spontaneous TEP formation from the (high) abundant dissolved 556 polysaccharides likely contributed to the high TEP concentrations observed in the ambient 557 atmosphere in the present study. However, it needs to be considered that the abiotic TEP 558 formation processes described by Wurl et al. 2011 and Robinson et al. were relevant for the 559 oceanic environment and might not directly translated to atmospheric processes. Further 560 studies are required on this topic.

Another important parameter likely impacting TEP formation is the presence of 561 562 mineral dust. As already discussed above, dust mass concentrations were low to moderate, however not negligible, during the MarParCloud campaign. In laboratory minicosm studies, 563 the addition of dust to oceanic water resulted in an acceleration of the kinetics of TEP 564 formation leading to the formation of fast sinking particles (Louis et al., 2017). This process 565 566 likely happens due to particle aggregation, meaning that dissolved OM and dust aggregate to 567 form TEP (Louis et al., 2017). In addition, dust particles in cloud water might promote 568 turbulence, which, in aquatic media, has been suggested to enhance abiotic TEP formation 569 (Passow, 2002b). The dust deposition at the Cape Verdes has been recognized as a potentially 570 large contributing factor to the TEP enrichment in the SML at the Cape Verdes (Robinson et al., 2019a). Here, we speculate that even low concentrations of mineral dust can influence the 571 TEP formation on the aerosol particles and in the cloud water. This is further supported by the 572 microscopic detection of dust in the cloud water (Fig. 1), that likely enhance the possibility 573 574 that particles in the cloud water collide and stick. Consequently, while dust did not seem to 575 serve as a transport medium for TEP (see sec. 3.3.1), dust may contribute to in-situ TEP 576 formation in cloud water due to abiotic particle aggregation.

577 From atmospheric studies, marine gel particles have been reported to undergo a volume phase transition in response to environmental stimuli, such as pH and temperature as 578 579 well as cleavage of their polymers due to UV radiation (Orellana et al., 2011). UV radiation can break down microgels in the ocean to a high number of smaller (nano-sized) particles (Orellana 580 581 and Verdugo, 2003) – a mechanism that is expected highly relevant in the atmosphere where UV radiation is higher than in seawater. Furthermore, it has been shown that a lowering of 582 the pH from neutral conditions (7 or 8) to 4.5 causes a sudden transition of gel particles in 583 which the polymer network collapsed to a dense, non-porous array (Chin et al., 1998). The pH 584 in the cloud water analysed here was between 6.3 and 6.6. As TEP are reported to exhibit a 585 gel-like character (Passow, 2002b), volume and number concentrations might be affected by 586 587 the different factors such as pH, ion density, temperature and pressure in the atmosphere. 588 The measured cloud water pH-value of the samples analysed here was between 6.3 and 6.6, 589 at which marine gels could split into smaller units (Chin et al., 1998). Hence, a part of the cloud 590 water TEP might be below the minimum detectable particle size of 4.5  $\mu$ m due to the slightly 591 acidic conditions. This could explain the lower concentrations in cloud water  $(2 - 4x10^3)$ #TEP m<sup>-3</sup>) compared to ambient aerosol particles  $(7x10^2 - 3x10^4 \text{ #TEP m}^{-3})$ . Hence, the 592 different environmental stimuli likely impact atmospheric TEP formation and might lead to 593 594 the formation of smaller particles. However, from our data we cannot fully explain the role of 595 each of these effects and such investigations warrant further studies.

#### 596 3.3.2.2 Biotic formation

597

598 Besides abiotic pathways, in aqueous media, TEP can be directly released as 599 particulates from aquatic organisms involving phytoplankton and bacteria (Passow, 2002a) 600 Biotic TEP formation has by now been studied for seawater and lakes (Passow, 2002a) 601 however, bacteria are also present in the atmosphere and likely transferred from the ocean 602 via sea spray (Rastelli et al., 2017) and can survive in cloud droplets (Deguillaume and al., 603 2020). The bacterial abundance in cloud water samples taken at Mt. Verde during the 604 MarParCloud campaign ranged between 0.4 and 1.5x10<sup>5</sup> cells mL<sup>-1</sup> (van Pinxteren et al., 2020). This concentration is one to two orders of magnitude higher than the TEP concentrations. The 605 bacterial tracer muramic acid (Mimura and Romano, 1985) was detected in the aerosol 606 particles and cloud water sampled here in considerable concentrations (~ 25 nM, data not 607 shown), strongly suggesting bacterial activity in cloud water. We cannot derive conclusions on 608 the origin of the bacteria measured in cloud water reported here, however the transfer of 609 610 bacteria from the ocean to the atmosphere has been shown before (Rastelli et al., 611 2017; Uetake et al., 2020). TEP are known to be closely connected to bacteria in different ways (Passow, 2002b;Passow, 2002a), therefore, the presence of bacteria in the atmosphere 612 613 exhibits a potential source of cloud water TEP observed here. Furthermore, TEP are strongly 614 colonialized by bacteria (Busch et al., 2017;Zäncker et al., 2019). Hence, TEP can be a transfer vector for bacteria from the ocean to the atmosphere and/or act as a medium for bacterialcolonisation in marine clouds.

617 The presence of active enzymes on ambient aerosol particles (enriched compared to seawater) and therefore biogenic in-situ cycling of OM through enzymatic reactions in 618 619 atmospheric particles was recently suggested (Malfatti et al., 2019). This is well in-line with 620 the findings that the aerosol particles and cloud water from the MarParCloud campaign 621 contained high concentrations of OM (amino acids, lipids), assumingly connected to the biogenic formation (Triesch et al., 2021a; Triesch et al., 2021b). A combined approach of 622 623 laboratory experiments and modelling recently underlined the importance of biotic (and 624 abiotic) formation processes of OM in clouds (Jaber et al., 2021).

Regarding time scales of biotic processing, Matulova et al. (2014) showed that the Bacillus sp. 3B6 isolated from cloud water was able to bio-transform saccharides that are present in the atmosphere. The saccharides formed exopolymer substances (EPS), of which TEP are a subgroup. The formation of EPS was revealed after 48 h of incubation and therefore within the lifetime of supermicron aerosol particles (Madry et al., 2011).

Considering recent literature and the data reported here, we suggest that in-situ TEP formation related to biogenic processes and likely connected to bacteria, as reported for seawater, are important in the marine atmosphere as well. Besides, although not measured here, microalgae and cyanobacteria, that are relevant for direct TEP formation in seawater, have been reported to occur in the atmosphere (e.g. Lewandowska et al., 2017;Sharma et al., 2007;Wiśniewska et al., 2019;Wiśniewska et al., 2022). It is worth studying, if these species and their metabolic degradation products contribute to atmospheric TEP processing.

637

638 3.4 Connecting TEP and Ice nucleating particles (INP)

Different kinds of ice-nucleating macromolecules have been found in a certain range of biological species and consist of a variety of chemical structures including proteins, polysaccharides (Pummer et al., 2015) and lipids (DeMott et al., 2018). TEP, consisting of polysaccharidic chains, bridged with divalent cations, may therefore possess good properties to act as INP, however, such a link has not yet been shown in field experiments.

During the MarParCloud campaign INP number concentration (N<sub>INP</sub>) was measured in 644 different marine compartments and the results are presented in Gong et al. (2020a). By 645 combining INP concentration in the seawater, aerosol particles and cloud water, it was found 646 that N<sub>INP</sub> in the atmosphere were at least four orders of magnitude higher than what would 647 be expected if all airborne INP would originate from sea spray. The measurements indicated 648 649 that other sources besides the ocean, such as mineral dust or other long-ranged transported particles, contributed to the local INP concentration. However, some indications for 650 651 contributions of biological particles to the INP population were obtained (details in Gong et 652 al., 2020a). Nevertheless, the sources of INP could not be revealed in detail.

In the present study, quantitative INP data (presented in Gong et al. 2020a) and TEP data measured from the same campaign were compared. To this end, INP concentrations achieved from PM<sub>10</sub> quartz-fiber filters taken at the CVAO during the same period as the TSP filters were compared with the TEP measurements. In addition, cloud water INP and TEP data obtained from the same samples were combined.

TEP number concentrations were on average between  $10^3 - 10^4$  m<sup>-3</sup> in the ambient aerosol particles, whereas INP number concentrations at -15 °C were between  $10 - 10^2$  m<sup>-3</sup> (Gong et al., 2020a). It is interesting to note that the TEP concentrations in the ambient aerosol particles were about two orders of magnitude higher compared to INP concentrations. Similar findings were obtained for the cloud water comparisons; TEP concentrations (~  $10^6$  L<sup>-1</sup>) were on average two orders of magnitude higher than INP number concentrations at -15 °C in cloud water (~  $10^4$  L<sup>-1</sup>) (Gong et al., 2020a).

665 The correlation between INP (active at -15°C) and TEP concentrations was weak with 666  $R^2 = 0.3$  (Fig. 7f), showing that a direct link between INP and the entire TEP number 667 concentrations was not very pronounced. It needs to be underlined that TEP concentrations 668 below a particle size of 4.5  $\mu$ m are not included here and according to the size distribution, 669 the TEP concentrations are increasing towards smaller sizes. Most of the here reported TEP 670 particles were in the supermicron size range between ~  $4.5 - 14 \mu m$  (Fig. 4). However, the 671 biologically active  $N_{INP}$  at the Cape Verdes were mainly present in the supermicron mode (> 1 672  $\mu$ m) (Gong et al., 2020a), hence a comparison with the TEP particle concentrations above 5 673 µm seems justified. Nevertheless, future studies should concentrate on the exact same size 674 ranges for TEP and INP.

675 The INP functionalities of biomolecules are not straightforward and whether a macromolecule acts as INP is depending on many factors, as its size, proper position of 676 677 functional groups, and their allocation (Pummer et al., 2015). Typically, not the entire surface 678 of an INP but rather specific areas (active sites) participates in ice nucleation. This means that 679 despite TEP likely providing INP properties, only a fraction of TEP, if any, might be able to act 680 as INP. This hypothesis is supported by the findings that marine gels exhibit hydrophobic and 681 hydrophilic surface-active segments, strongly suggesting a dichotomous, non-uniform 682 behaviour of polymer gels (Leck et al., 2013; Orellana et al., 2011; Ovadnevaite et al., 2011). As 683 mentioned in 3.3.2.1 and 3.3.2.2, TEP are often attached to, or colonized with bacteria. 684 Bacteria itself, have been shown to provide excellent INP functionalities (Pandey et al., 2016) 685 and TEP might act as a carrying medium for INP, such as bacteria. Bacteria concentrations 686 were higher than TEP concentrations and also higher than INP concentrations. However, only 687 a fraction of all bacteria (0.5 - 25%) is associated with TEP and, vice versa, not all TEP are colonized by bacteria (Passow, 2002b). There is an indication that especially in oligotrophic 688 689 waters, as are the Cape Verde islands, the fraction of bacteria attached to TEP is comparably 690 low (Schuster and Herndl, 1995). Hence, the concentration range of bacteria-colonized TEP in 691 relation to INP is worth further consideration. This might help to unravel if a functional 692 relationship between bacteria-colonized TEP and INP exists and if a certain part of TEP contain fragments in the biological INP population that, beyond dust, play a role in the Cape Verdeatmosphere.

- 695
- 696 4 Conclusion

697

This study presented TEP number concentrations > 4.5  $\mu$ m in ambient atmospheric samples 698 699 from the tropical Atlantic Ocean during the MarParCloud campaign as well as in generated 700 atmospheric particles using a plunging waterfall tank. The atmospheric TEP showed a similar 701 size distribution compared to the TEP in the ocean with increasing TEP number concentrations 702 toward smaller particle sizes, however the #TEP distribution among the different size bins 703 were much more balanced for seawater than for aerosol particles where half of the #TEP were 704 located in the smallest analysed size bin (4.5-7 μm). Based on Na<sup>+</sup> concentrations in seawater 705 and the atmosphere, the enrichment of TEP in the tank generated aerosol particles was well 706 in-line with another study. The TEP enrichments in the ambient atmosphere were, however, 707 up to two orders of magnitude higher compared to the tank study and such high values are thus far not reported for supermicron aerosol particles. We speculate that the high 708 709 enrichment of TEP in supermicron the particles and in cloud water result from a combination 710 of enrichment during bubble-bursting transfer from the ocean and secondary in-situ atmospheric formation. We propose that similar (biotic and abiotic) formation mechanism 711 712 reported for TEP formation in the (sea)water might take place in the atmosphere as well, as 713 the required conditions (e.g. high concentrations of dissolved TEP precursors such as 714 polysaccharides, presence of bacteria in the cloud water) were given. An assessment of the 715 importance of the biotic versus the abiotic TEP formation pathways in the atmosphere, 716 however, needs further investigations. TEP concentrations in the atmosphere were two orders 717 of magnitude higher than INP concentrations in the aerosol particles and cloud water, respectively. However, only a part of the TEP population, assumingly the one colonized by 718 bacteria, might contribute to INP population, and are worth further studies. Finally, while dust 719 720 might be a dominant INP source in the here investigated tropical Atlantic region close to the 721 Saharan desert, in other remote oceanic locations, marine gel particles, their in-cloud 722 formation and connection to bacteria and phytoplankton in the atmosphere could be highly 723 relevant for a better understanding of marine cloud properties.

- 724
- 725 Data availability
- The TEP data are accessible under the following link
   <u>https://doi.pangaea.de/10.1594/PANGAEA.938169.</u>
   INP concentrations are accessible under
   the following link: <u>https://doi.pangaea.de/10.1594/PANGAEA.906946.</u>
- 729 Special issue statement
- 730 Acknowledgement

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741

## 742 Author contributions

MvP led the MarParCloud campaign and, together with the campaign participants KWF, XG, EB, NT, BR, FS and HW performed the aerosol particle and could water sampling at the Cape Verde island. EB designed and operated the plunging waterfall tank. BR performed the microscopic TEP measurements and XG made the INP analysis. AE contributed the seawater TEP data. MvP performed the data interpretation with help from SZ and BR. MvP wrote the manuscript with contributions from all authors.

- 749 Competing interest
- The authors declare that they have no conflict of interest.
- 751
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#### **Caption of Figures:** 1056

1057 **Figure 1**: Microscopic analysis of TEP from the cloud water sample "WW5" (sampling interval: 28.09. 19:30 – 29.09. 7:30 local time). Blue particles are TEP, stained with Alcian Blue solution; 1058 1059 brownish particles in the right picture are assumingly dust particles. The scale refers to 50 µm. 1060

Figure 2: TEP number concentrations in the aerosol particles (red bars) and in the three cloud 1061 1062 water samples (black-red squares). TEP concentrations were below the limit of detection 1063 (LOD) on 26th and 27th of September 2017. The backgrounds represent the dust classification according to the ambient dust concentrations (blue: dust < 5 µg m<sup>-3</sup> marine conditions; yellow: 1064 dust < 20 µg m<sup>-3</sup> (low dust); brown: dust < 60 µg m<sup>-3</sup> (moderate dust). From underlined dates 1065 1066 (22.09 -> "TEP5" and 28.09.2017 -> "TEP10") TEP number size distributions were measured. 1067

Figure 3: Box and whisker plot of the TEP number concentrations (a) and the enrichment 1068 factors (b) in the ambient (n=18) and tank-generated (n=4) aerosol particles and in the cloud 1069 1070 water samples (n=3), Each box encloses 50% of the data with the mean value represented as an open square and the median value represented as a line. The bottom of the box marks the 1071 1072 25% limit of the data, while the top marks the 75% limit. The lines extending from the top and 1073 bottom of each box are the 5% and 95% percentiles within the data set, while the asterisks indicate the data points lying outside of this range ("outliers"). 1074

Figure 4: TEP number size distribution in the aerosol particles and cloud water in linear and 1075 logarithmic form; panels (a) and (b) show aerosol particle sample "TEP 5" (sampling start: 1076 22.09.2017), panels (c) and (d) show aerosol sample "TEP 10" (sampling start: 28.09.2017), 1077 panels (e) and (f) show cloud water sample "WW5" (sampling interval: 28.09. 19:30 – 29.09. 1078 7:30 local time. The lower limit of the resolution of the microscope was 16  $\mu$ m<sup>2</sup> resulting in a 1079 1080 particle diameter of 4.5 µm (assuming spherical particle). Each bar in a), c), and e) represents the summed up particle number concentrations (within 1.5 µm), e.g. the first column 1081 1082 represents the summed up concentrations between 4.5 and 6  $\mu$ m.

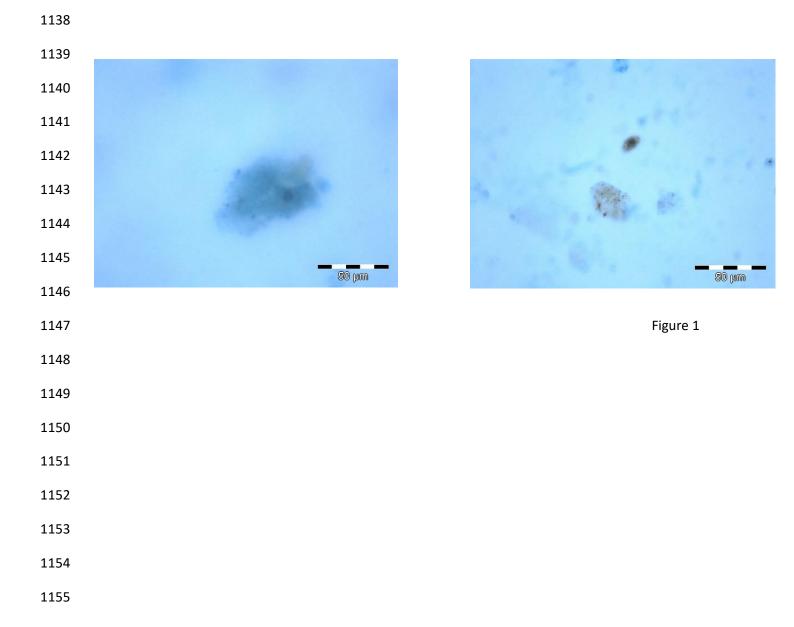
**Figure 5:** TEP number size distributions in the ocean surface water (sampling depth: 10 m) from the East Tropical North Atlantic (ETNA), averaged over three stations from Engel et al (2020). The data in this Figure show the size distribution between ~ 5 and ~ 30  $\mu$ m, matching the investigated aerosol size range (**Fig. 4**). The whole size spectrum is shown in **Tab. S5.** 

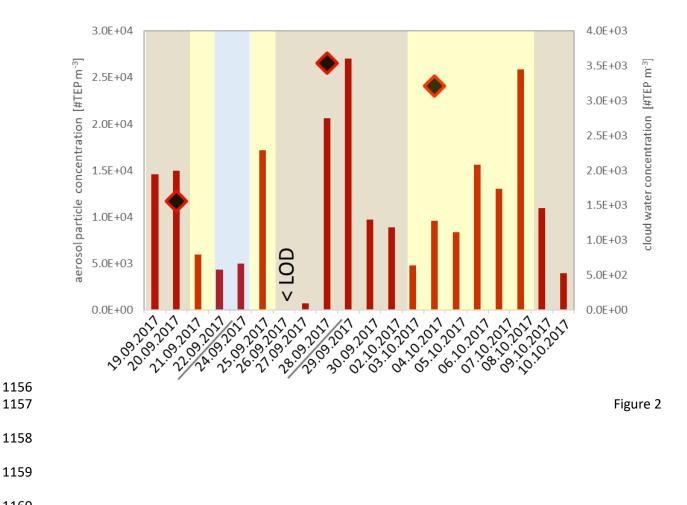
**Figure 6:** Relative contribution of the TEP number concentrations in the aerosol particles 1090 (left) and in the ocean surface water (right) regarding the identical size bins.

**Figure 7:** Correlations of TEP volume concentrations (size range: 5-10  $\mu$ m) to chemical parameters (inorganic constituents PM10) and dust (PM10), as well as correlation of TEP number concentration and INP number concentrations. Inorganic constituents were measured with ion chromatography and dust concentrations were derived from PM10 concentrations as reported elsewhere (Fomba et al., 2013;van Pinxteren et al., 2020). Measurements of INP number concentrations and error bars are explained in (Gong et al., 2020a)

## 1132 Table 1. Overview of sampling locations, types and measurements

Sampling site	Campaign	Sample type	Coordinates	No. of samples	Measurements (Particle sizes)
CVAO	MarParCloud 2017	Ambient aerosol particles Inlet hight: 42 m a.s.l	16° 51.49′ N, 24° 52.02′ W	20 20	#TEP (TSP) Inorganic ions (PM <sub>10</sub> )
Mt- Verde	MarParCloud 2017	Ambient cloud water Inlet hight: 746 m a.s.l	16°52.11'N, 24°56.02'W	3	#TEP Inorganic ions
Plunging waterfall tank (operated at CVAO)	MarParCloud 2017	Tank-generated aerosol particles	16° 51.49´ N, 24° 52.02´ W	4	#TEP (TSP) Inorganic ions (TSP)
ETNA (Mauretanian upwelling)	M107 RV Meteor 2012	Ocean surface water	18.00/18.19´N -16.50/72.02´E	6	#TEP





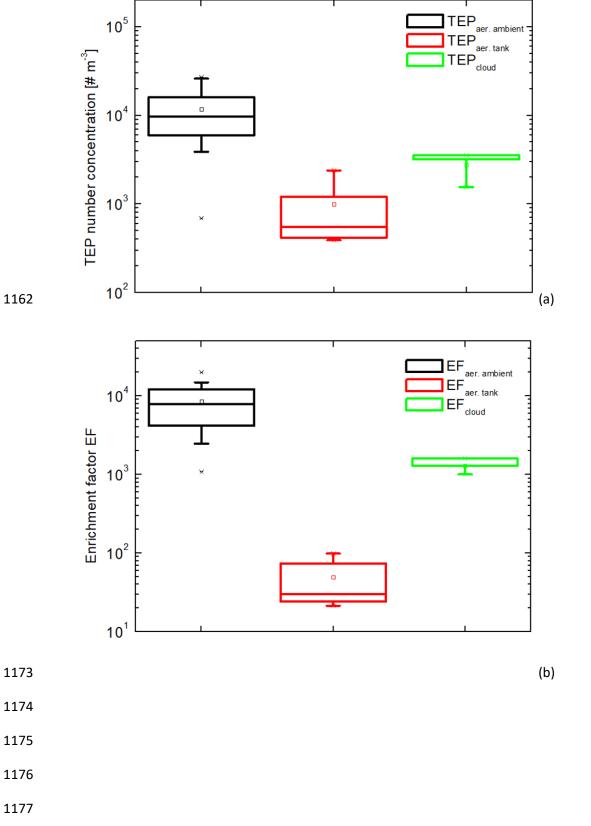




Figure 3



