

1 **High atmospheric oxidation capacity drives wintertime nitrate pollution in the eastern**
2 **Yangtze River Delta of China**

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15

16 **Abstract**

17 Nitrate aerosol plays an increasingly important role in wintertime haze pollution in China. Despite
18 intensive research on the wintertime nitrate chemistry in recent years, quantitative constraints on
19 the formation mechanisms of nitrate aerosol in the Yangtze River Delta (YRD), one of the most
20 developed and densely populated regions in eastern China, remain inadequate. In this study, we
21 identify the major nitrate formation pathways and their key controlling factors during the winter
22 haze pollution period in the eastern YRD using two-year (2018-2019) field observations and
23 detailed observation-constrained model simulations. We find that the high atmospheric oxidation
24 capacity, coupled with high aerosol liquid water content (ALWC), made both the heterogeneous
25 hydrolysis of dinitrogen pentoxide (N_2O_5) and the gas-phase OH oxidation of nitrogen dioxide (NO_2)
26 important pathways for wintertime nitrate formation in this region, with contribution percentages of
27 69% and 29% in urban areas and 63% and 35% in suburban areas [during the haze pollution episodes](#),
28 respectively. We further find that the gas-to-particle partitioning of nitric acid (HNO_3) was very
29 efficient so that the rate-determining step in the overall formation process of nitrate aerosol was the
30 oxidation of NO_x to HNO_3 through both heterogeneous and gas-phase processes. The atmospheric
31 oxidation capacity (i.e., the availability of O_3 and OH radicals) was the key factor controlling the
32 production rate of HNO_3 from both processes. During the COVID-19 lockdown (January-February
33 2020), the enhanced atmospheric oxidation capacity greatly promoted the oxidation of NO_x to
34 nitrate and hence weakened the response of nitrate aerosol to the emission reductions in urban areas.
35 Our study sheds light on the detailed formation mechanisms of wintertime nitrate aerosol in the
36 eastern YRD and highlights the demand for the synergetic regulation of atmospheric oxidation
37 capacity and NO_x emissions to mitigate wintertime nitrate and haze pollution in eastern China.
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39 1. Introduction

40 Atmospheric fine particulate matter (PM_{2.5}) has profound impacts on air quality, climate, and public
41 health (Huang et al., 2014; Wang et al., 2014; Lelieveld et al., 2015; von Schneidmesser et al.,
42 2015). Over the past decades, China has encountered severe PM_{2.5} pollution due to the rapid
43 urbanization and industrialization (Huang et al., 2014; Zhang and Cao, 2015; Tao et al., 2017; Peng
44 et al., 2021). To tackle severe air pollution, Chinese government has implemented active clean air
45 policies such as the “Action Plan for Air Pollution Prevention and Control” in recent years. As a
46 result, anthropogenic emissions of major air pollutants such as sulfur dioxide (SO₂), nitrogen oxides
47 (NO_x), and primary PM have declined dramatically and the nationwide PM_{2.5} air quality have
48 improved significantly (Shao et al., 2018; Zheng et al., 2018; Ding et al., 2019; Zhang et al., 2019).
49 In addition, with the emission reduction of primary PM, secondary aerosol has become the most
50 important component of PM_{2.5} (Shao et al., 2018; Ding et al., 2019; Peng et al., 2021).

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52 Secondary inorganic aerosol consisting mainly of nitrate, sulfate, and ammonium (SNA),
53 contributed to 30-60% of the PM_{2.5} mass in China (Hua et al., 2015; Tao et al., 2017; Ye et al., 2017;
54 Wang et al., 2018; Fu et al., 2020; Lin et al., 2020). During the pollution episodes, the proportion of
55 SNA to PM_{2.5} could exceed 50% (Tao et al., 2017; Liu et al., 2020a; Peng et al., 2021). Before 2013,
56 sulfate was often found to be the most abundant component of PM_{2.5} in Chinese cities (Zhao et al.,
57 2013; Huang et al., 2014; Kong et al., 2014; Xie et al., 2015; Tao et al., 2017). However, with the
58 implementation of stringent clean air policies, anthropogenic emissions of SO₂ in China had
59 dropped by 59% from 2013 to 2017, while NO_x emissions decreased only by 21% during the same
60 period (Zheng et al., 2018). Consequently, sulfate aerosol concentration has decreased dramatically
61 nationwide since 2013, but wintertime nitrate concentration has not decreased much (Ding et al.,
62 2019; Li et al., 2019a; Xu et al., 2019; Fu et al., 2020; Wang et al., 2020b); nitrate has become an
63 increasingly important component of PM_{2.5} in most regions of China during winter (Ye et al., 2017;
64 Yun et al., 2018; Li et al., 2019a; Xu et al., 2019; Chen et al., 2020; Fu et al., 2020; Kong et al.,
65 2020; Lin et al., 2020; Xie et al., 2020; Zhai et al., 2021; Zhang et al., 2021). The high loading of
66 nitrate has been considered playing an important role in winter haze pollution (Wen et al., 2015;
67 Sun et al., 2018). Therefore, identifying the major nitrate formation pathways and their controlling
68 factors during haze events is of great importance for developing effective particulate pollution
69 mitigation policies in China.

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71 In polluted regions, the nitrate aerosol arises mainly from two pathways: (1) the gas-phase oxidation
72 of nitrogen dioxide (NO₂) by OH radicals producing nitric acid (HNO₃) (Calvert and Stockwell,
73 1983) and (2) the heterogeneous hydrolysis of dinitrogen pentoxide (N₂O₅) that was produced from
74 the reaction of NO₂ with nitrate (NO₃) radicals, on aqueous aerosols (Bertram and Thornton, 2009;
75 Bertram et al., 2009; Wagner et al., 2013; McDuffie et al., 2019). The gas-phase OH + NO₂ pathway
76 primarily occurs during the daytime and is mainly influenced by the atmospheric oxidation capacity
77 despite the NO₂ concentration (Chen et al., 2020; Fu et al., 2020). The heterogeneous formation of
78 nitrate via N₂O₅ hydrolysis is greatly affected by aerosol liquid water content (ALWC) and the

79 production of N_2O_5 (Alexander et al., 2020; Lin et al., 2020; Wang et al., 2020b). As a result, this
80 heterogeneous pathway is generally weak during the daytime because of the fast photolysis of NO_3
81 radicals, and titration by NO (Wayne et al., 1991; Brown and Stutz, 2012), which inhibit N_2O_5
82 production. However, it could be the dominant pathway for nitrate formation during the nighttime
83 (Wang et al., 2017; McDuffie et al., 2019), where N_2O_5 can be produced more efficiently and its
84 hydrolysis is favored by the high relative humidity (or ALWC).

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86 There have been a number of field studies on the pollution characteristics and formation
87 mechanisms of nitrate aerosol during haze events in China over the past decades (Tao et al., 2016;
88 Li et al., 2018; Sun et al., 2018; Wen et al., 2018; Ding et al., 2019; Ye et al., 2019; Chen et al., 2020;
89 Fu et al., 2020; Lin et al., 2020; Wang et al., 2020b; Zhao et al., 2020a; Chan et al., 2021). However,
90 most of these studies were carried out in the North China Plain (NCP) (Li et al., 2018; Wen et al.,
91 2018; Chen et al., 2020; Fu et al., 2020; Wang et al., 2020b; Chan et al., 2021). Earlier studies
92 suggested that the nitrate formation during the pollution episodes in this region was mainly
93 attributed to the heterogeneous hydrolysis of N_2O_5 (Su et al., 2017; Wang et al., 2017; He et al.,
94 2018; Li et al., 2018). However, recent studies showed that the gas-phase $\text{OH} + \text{NO}_2$ process has
95 become more important, and sometimes this process was even the dominant pathway for nitrate
96 formation (Chen et al., 2020; Fu et al., 2020). The Yangtze River Delta (YRD) in eastern China is
97 one of the most developed regions in China (Ding et al., 2013). The wintertime O_3 concentration is
98 relatively high in this region, with an average of ~20 ppb, and sometimes could even reach 75 ppb
99 (Li et al., 2019c; Ye et al., 2019; Zhao et al., 2020a), which is significantly higher than that (average:
100 6-16 ppb) in the NCP region (Li et al., 2019a; Duan et al., 2020; Liu et al., 2020a). Furthermore, the
101 relative humidity (RH) in this region is also high, with the average winter RH ranging from 63% to
102 71% (Tao et al., 2016; Shen et al., 2020; Yu et al., 2020b), which was also significantly higher than
103 the average RH (20-40%) in the NCP region (Fang et al., 2019; Li et al., 2019a; Huang et al., 2020;
104 Xie et al., 2020). The high atmospheric oxidation capacity, coupled with the high RH that led to
105 high ALWC, would favor the production of secondary aerosol (Peng et al., 2021).

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107 Haze pollution events frequently occurred in the YRD during winter (Hua et al., 2015; Sun et al.,
108 2018; Ding et al., 2019). Although there have been many studies on the pollution characteristics of
109 nitrate and $\text{PM}_{2.5}$ in this region (Tao et al., 2016; Sun et al., 2018; Chen et al., 2019; Ding et al.,
110 2019; Ye et al., 2019; Lin et al., 2020; Shen et al., 2020), only a few studies have focused on the
111 nitrate formation mechanisms. It has been reported that the heterogeneous hydrolysis of N_2O_5
112 contributed dominantly to nitrate formation in the western YRD (Sun et al., 2018), and its production
113 rate could be 5 times higher than that of the gas-phase $\text{OH} + \text{NO}_2$ process during severe haze
114 pollution events (Lin et al., 2020). In contrast, some other studies have qualitatively pointed out that
115 the gas-phase $\text{OH} + \text{NO}_2$ reaction was an important formation pathway of nitrate in the eastern YRD,
116 though the heterogeneous hydrolysis of N_2O_5 during the nighttime also contributed (Ye et al., 2019;
117 Zhao et al., 2020a). Overall, quantitative constraints on the detailed formation mechanisms of
118 wintertime nitrate aerosol in the YRD region remain limited. The relative contribution of different

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120 nitrate formation pathways and their controlling factors are still unclear.

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122 In this study, we conducted hourly measurements of nitrate and associated particulate and gaseous
123 air pollutants at an urban site and a regional site in the eastern YRD during winter in 2018 and 2019,
124 aiming to clarify the nitrate formation mechanisms during winter. An observation-constrained box
125 model using the detailed Master Chemical Mechanism (MCM v3.3.1) updated with the state-of-the-
126 art heterogeneous chemistry of N_2O_5 , NO_2 , and particulate nitrate was employed to quantitatively
127 identify the major reaction pathways and key controlling factors for wintertime nitrate aerosol
128 formation in this region. This study will help to understand the nitrate aerosol chemistry in the
129 eastern YRD and develop effective strategies to mitigate secondary aerosol pollution in this densely
130 populated region.

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132 2. Materials and methods

133 2.1 Observation sites and instrumentation

134 $\text{PM}_{2.5}$ and its chemical composition, inorganic gases, volatile organic compounds (VOCs), and
135 meteorological parameters were continuously measured at a regional site (Qingpu) and an urban site
136 (Pudong) in Shanghai from December 1 to February 12 in both 2018 and 2019. The Qingpu site
137 (120.989 °E, 31.097 °W) is a suburban site (see Fig. 1), located near the Dianshan Lake and
138 surrounded by the residential areas and vegetation, and about 46 km away from the urban Shanghai.
139 Besides, the Qingpu site is located at the junction of Shanghai, Jiangsu, and Zhejiang province and
140 is a typical regional site in the eastern YRD. The instruments at this site were on the rooftop of a 10
141 m tall building. The Pudong site (121.533 °E, 31.228 °W) is an urban site located near the Century
142 Avenue with heavy traffic, and it is only ~3 km from the business center Lujiazui. The instruments
143 at this site were located on the roof of a 20 m tall building. The eastern YRD region is affected by
144 the subtropical monsoon climate, dominated by the northwest and northeast winds in winter.

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146 The measurements at the two sites were conducted hourly. The $\text{PM}_{2.5}$ mass concentration was
147 measured by a Tapered Element Oscillating Microbalance combined with Filter Dynamic
148 Measurement System (TEOM-FDMS, TEOM 1405-F, Thermo Fisher Scientific, USA.). Water-
149 soluble ions including NO_3^- , SO_4^{2-} , NH_4^+ , Cl^- , Na^+ , Ca^{2+} , and Mg^{2+} were measured using an online
150 Monitor for Aerosol and Gases (MARGA, ADI 2080, Applikon Analytical B.B.Corp., Netherlands).
151 Organic carbon (OC) and elemental carbon (EC) were measured by a semi-continuous OC/EC
152 analyzer (Model 4, Sunset Laboratory Inc., USA), and a denuder was installed before analyzer to
153 avoid the disturbance of organic vapors. The surface area and volume concentrations of aerosol
154 particles were measured using a scanning mobility particle sizer (SMPS, TSI, USA, which consists
155 of a 3080 electrostatic classifier, a 3081A differential mobility analyzer, and a 3787 condensation
156 particle counter) and an aerodynamic particle sizer (APS 3321, TSI, USA). The combination of
157 SMPS and APS was able to cover the particle size range from 13.6 nm to 10 μm . Considering that
158 the Pudong sampling site lacks the data of aerosol volume and surface area concentrations, we
159 performed a linear fit between the aerosol surface/volume and $\text{PM}_{2.5}$ mass concentration at the

160 Qingpu site (see Figure S1 in the supplement), and predicted the values for the Pudong site based
161 on such a linear fit and the measured PM_{2.5} mass concentration. The surface/volume concentrations
162 of dry aerosol particles measured by SMPS and APS were corrected to the ambient RH based on an
163 empirical composition-kappa function and the kappa-Köhler function (see details in Section S1 of
164 the Supplement). The O₃, NO_x, and SO₂ were measured by an Ozone, NO_x, and SO₂ analyzer (Model
165 49i, 42i, and 43i, Thermo Fisher Scientific, USA), respectively. A total of 56 VOCs were measured
166 using gas chromatography equipped with a flame ionization detector (GC-FID, Chromatotec
167 A11000/A21022 at the Qingpu site and PerkinElmer Clarus 580 at the Pudong site). Meteorological
168 parameters including temperature, RH, pressure, wind speed and direction were measured by a
169 meteorological transducer (WXT520, Vaisala Ltd., Finland).

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171 2.2 Estimation of aerosol liquid water content and pH

172 The ISORROPIA-II thermodynamic model was used to calculate aerosol pH and ALWC
173 (Fountoukis and Nenes, 2007). The water-soluble inorganic ion concentrations, along with RH and
174 temperature, were used as the model input. The model was run in the forward mode, which would
175 give a more accurate estimation of aerosol pH than using the reverse mode with only particulate
176 inorganic ions as the model input (Guo et al., 2015; Hennigan et al., 2015). Besides, considering the
177 relatively high RH in eastern YRD, we selected the metastable state for aerosol in this study.
178 ISORROPIA-II calculated the equilibrium concentrations of particle hydronium ions (H_{aq}^+ , $\mu\text{g m}^{-3}$)
179 and ALWC ($\mu\text{g m}^{-3}$) in per air volume. Then the aerosol pH can be derived by the following equation:

$$180 \quad \text{pH} = -\log_{10}(H_{aq}^+) = -\log_{10} \frac{1000H_{air}^+}{ALWC} \quad (1)$$

181 Where H_{aq}^+ is the concentration of hydronium ions in aqueous aerosol (mol L^{-1}). It should be
182 mentioned that when the RH was extremely high (> 95%), a slight deviation in measured RH would
183 cause significant uncertainty in the estimation of ALWC. Therefore, we only considered the data
184 with the RH below 95% in the further analysis.

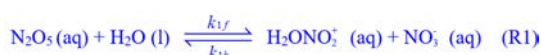
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186 2.3 Observation-constrained model simulation

187 The Framework for 0-D Atmospheric Modeling (F0AM v3.1) (Wolfe et al., 2016) employing the
188 MCM v3.3.1 (Jenkin et al., 2015) was used to simulate the formation of nitrate in the pollution
189 events during the whole observation period. Figure 2 summarizes the formation pathways of HNO₃
190 in the atmosphere (Alexander et al., 2020; Chan et al., 2021). In the model, we considered the
191 reaction pathways including heterogeneous hydrolysis of N₂O₅ (R3) and NO₂ (R8), gas-phase OH
192 + NO₂ (R7), NO₃ radical oxidation of VOCs (R5), and reaction of NO with hydroperoxy (HO₂)
193 radicals (R2), which together contributed to 88% of HNO₃ formation in the global troposphere
194 (Alexander et al., 2020). The model did not include the hydrolysis of NO₃ radicals and organic
195 nitrate (R1, R4, and R6), as well as the reaction of NO₂ with halogen oxide species (R9). However,
196 these pathways only had a small contribution to the production of HNO₃ (Alexander et al., 2020).
197 Therefore, they would not significantly affect the model results in this study.

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200 The default MCMv3.3.1 does not consider the heterogeneous hydrolysis of N₂O₅ in detail and the
 201 heterogeneous production of nitrous acid (HONO), an important precursor of OH radicals in the
 202 polluted atmosphere. Therefore, we parameterized these processes in the model based on recent
 203 advances in these processes. For the heterogeneous hydrolysis of N₂O₅, the N₂O₅ molecules
 204 accommodated on aqueous aerosols can undergo reversible hydrolysis to form NO₂⁻ and H₂ONO₂⁻
 205 (R1), followed by the reaction of H₂ONO₂⁻ with H₂O or Cl⁻ to form HNO₃ or nitryl chloride (ClNO₂)
 206 (R2 and R3) (Finlayson-Pitts et al., 1989; Schweitzer et al., 1998; Thornton and Abbatt, 2005);



211 The rate of the heterogeneous hydrolysis of N₂O₅ on aqueous aerosols (k_d) could be calculated by
 212 eq. 2 when ignoring the gas-phase diffusion limitation:

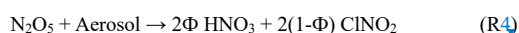
$$k_d = \frac{\gamma \text{N}_2\text{O}_5 \cdot c \cdot S_a}{4} \quad (2)$$

214 where $\gamma_{\text{N}_2\text{O}_5}$ is the uptake coefficient of N₂O₅, defined as the probability of removal of N₂O₅ per
 215 collision with the wet aerosol surface; c is the mean molecular speed of N₂O₅; S_a is the measured
 216 aerosol surface area concentration. In this study, we employed an observation-based empirical
 217 parameterization of $\gamma_{\text{N}_2\text{O}_5}$, which provided a reasonable representation of the PM_{2.5} reactivity
 218 toward N₂O₅ at different Chinese sites, according to a recent study (Yu et al., 2020a):

$$\gamma_{\text{N}_2\text{O}_5} = \frac{4}{c} \frac{V_a}{S_a} K_H \times k_{1f} \times \left(1 - \frac{1}{\left(\frac{k_2}{k_{1b}} \times \frac{[\text{H}_2\text{O}]}{[\text{NO}_3^-]} \right) + 1 + \left(\frac{k_3}{k_{1b}} \times \frac{[\text{Cl}^-]}{[\text{NO}_3^-]} \right)} \right) \quad (3)$$

220 where V_a is the measured aerosol volume concentration; K_H is the Henry's law coefficient of N₂O₅,
 221 with a value of 51 M atm⁻¹ (Bertram and Thornton, 2009); k_{1f} is the second-order reaction rate
 222 constant of N₂O₅ with water, which was calculated using a linear function with [H₂O], as 3.0×10^4
 223 $\times [\text{H}_2\text{O}]$ (Yu et al., 2020a); $\frac{k_2}{k_{1b}}$ and $\frac{k_3}{k_{1b}}$ are the relative rates of reactions of H₂ONO₂⁻(aq) with H₂O
 224 or Cl⁻ (R2 and R3) versus that with NO₃⁻ (the reverse reaction of R1), with values determined to be
 225 0.033 and 3.4, respectively (Yu et al., 2020a); and [H₂O], [NO₃⁻], and [Cl⁻] are the molarity of water,
 226 nitrate, and chloride in aerosol, respectively.

228 The yields (Φ , ranging between 0 and 1) of HNO₃ and ClNO₂ from the heterogeneous hydrolysis of
 229 N₂O₅ depend on the H₂O and Cl⁻ content in the aerosol (Bertram and Thornton, 2009; Yu et al.,
 230 2020a). In this study, the yield of HNO₃ (Φ_{HNO_3}) was estimated from eq. 4 (Bertram and Thornton,
 231 2009; Yu et al., 2020a):



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$$\Phi_{HNO_3} = 1 - 1 / \left(1 + \frac{[H_2O]}{\frac{k_3}{k_2} \times [Cl^-]} \right) \quad (4)$$

where $\frac{k_3}{k_2}$ is the ratio of reaction rates of R3 versus R2, which has been determined to be 105 (Bertram and Thornton, 2009; Yu et al., 2020a).

Photolysis of HONO was shown to contribute 20-92% of the production of OH radicals during winter haze pollution events in China (Tan et al., 2017; Slater et al., 2020; Xue et al., 2020). Here, on the basis of previous studies (Lee and Schwartz, 1983; Kleffmann et al., 1998; Kurtenbach et al., 2001; Wong et al., 2011; Wong et al., 2013; Han et al., 2016; Ye et al., 2016; Liu et al., 2017; Trinh et al., 2017; Romer et al., 2018; Zare et al., 2018; Liu et al., 2019; Wang et al., 2020a; Xue et al., 2020), we parameterized the major heterogeneous production pathways of HONO and its dry deposition to estimate the HONO budget during the pollution episodes. The added mechanisms are summarized in Table 1. A detailed description of the parameterization is provided in the Supplement (Section S2). Considering that there remain significant uncertainties in the key parameters (i.e., the uptake coefficient of NO₂ on aerosol or ground surfaces, EF, and HONO emission ratios) of the heterogeneous HONO formation pathways and its direct emissions as listed in Table 1, we performed the sensitivity analyses for these parameters to evaluate their influences on the model results.

In addition, we considered the dilution of species via deposition, entrainment, etc. using a highly simplified parameterization:

$$\frac{d[X]}{dt} = -k_{dil} ([X] - [X]_{bkg}) \quad (5)$$

where k_{dil} is the first-order dilution rate constant; $[X]_{bkg}$ is a fixed background concentration of pollutants. Here, a typical dilution lifetime of one day was assumed, i.e., $k_{dil} = 1/24 \text{ h}^{-1}$. As the species background concentration was unknown, $[X]_{bkg}$ was set to 0 for simplicity. Considering the uncertainties in the parameterization of dilution process using a constant rate constant, we also conducted a sensitivity test for k_{dil} with its value ranging between 0.028 h^{-1} and 0.2 h^{-1} , which covers the typical values used in box model simulations (Romer et al., 2018; McDuffie et al., 2019; Liu et al., 2020b), to evaluate its influence on the model results.

In the model, the j values of various gaseous species were calculated using the default MCMv3.3.1 parameterization with input of the solar zenith angle at the observations sites and scaled by the ratio of measured to calculated $j\text{NO}_2$ values. The observed pollutant concentrations and meteorological parameters were used as the model input, which were updated hourly (one model step) using the observation data and held constant during each model step, except for the observed concentrations of NO and NO₂ (the sum of NO and NO₂ concentrations was constrained by the observation, but their specific ratios were simulated by the model).

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$$k_{dep} = \frac{v_{HNO_3}}{PBL} \quad (5)$$

where PBL is the planetary boundary layer height.

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291 3. Results and Discussion

292 3.1 Overview of pollution characteristics during winter

293 Table 2 shows the overall pollution conditions of the two observation sites in winter 2018 and 2019.
294 The average $PM_{2.5}$ concentration increased by 17-21% in 2019 compared to that in 2018.
295 Accordingly, nitrate concentration also increased by 11-14% in 2019. The O_3 concentration was
296 slightly higher in 2019 than in 2018, consistent with increased atmospheric oxidation capacity in
297 recent years (Lu et al., 2018; Li et al., 2019b; Liu and Wang, 2020; Yang et al., 2020). In the two
298 years, both of the $PM_{2.5}$ and nitrate concentrations at the Qingpu site were higher than those at the
299 Pudong site. As mentioned above, the Qingpu site is at the junction of Shanghai, Jiangsu, and
300 Zhejiang, so it is more easily influenced by the transport of air pollutants from Jiangsu, which is
301 usually more polluted than Shanghai. Besides, the average temperature at the Qingpu site was also
302 slightly lower than that at the Pudong site, which might to some extent favor the gas-to-particle
303 partitioning of HNO_3 . Notably, the average RH was as high as 80% during the observation period,
304 which was significantly higher than that (63%) recorded in 2016 (Tao et al., 2016). In particular, the
305 RH exceeded 90% for more than one third of the days during the observation period.

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307 Taking the Pudong site in 2019 as an example, we analyzed the time series of $PM_{2.5}$, nitrate, and
308 other related parameters and presented the results in Figure 3 (Time series of the pollutants at the
309 Qingpu site can be seen in Section S3 and Figure S2). $PM_{2.5}$ pollution events occurred frequently in
310 the eastern YRD during winter. During the observation period, the $PM_{2.5}$ concentration exceeded 75
311 $\mu g m^{-3}$ for 34 days and 150 $\mu g m^{-3}$ for 6 days. During the pollution episodes ($PM_{2.5} > 75 \mu g m^{-3}$),
312 nitrate had become the most important component of $PM_{2.5}$, and its concentration was a factor of
313 2.2 higher than that of sulfate. In winter, the emission of NO_x was obviously high. During the periods
314 with high nitrate concentration, the NO_x concentration always exceeded 100 ppb. The O_3
315 concentration was also at a relatively high level, with a maximum value of 60 ppb and an average
316 of 22 ppb, which was much higher than the wintertime average O_3 concentration (6-16 ppb) in the
317 NCP (Li et al., 2019a; Duan et al., 2020; Liu et al., 2020a). The concentration of odd oxygen
318 ($O_x = O_3 + NO_2$) ranged between 20-83 ppb with an average of 44 ppb, indicating a relatively high
319 atmospheric oxidation capacity in the eastern YRD during winter. Consistently, the nitrogen
320 oxidation ratio (NOR, $NOR = NO_3 / (NO_3 + NO_2)$) was up to 0.51, suggesting a high degree of
321 atmospheric oxidation. Meanwhile, the high atmospheric RH in the eastern YRD led to a high
322 ALWC. During the high nitrate periods, the ALWC was often at its peak and could exceed 200 μg
323 m^{-3} on rainy or haze-foggy days. Such a high ALWC level would have an important impact on the
324 nitrate formation. Notably, the NO_x concentration dropped sharply on 23 January and kept at a low
325 level until the end of the observation (12 February, 2020). This is mainly a result of marked emission
326 reductions during the COVID-19 lockdown. Such an emission reduction had a complicated
327 influence on the nitrate formation chemistry, which will be discussed in detail in Section 3.5.

328

329 Figure 4 shows the mass ratio of nitrate to $PM_{2.5}$ as a function of the $PM_{2.5}$ concentration and ALWC
330 at Qingpu and Pudong sites in 2018 and 2019. The ratio of nitrate to $PM_{2.5}$ increased with increasing

331 $PM_{2.5}$ concentration. When the $PM_{2.5}$ concentration was above $75 \mu g m^{-3}$, the average mass fraction
332 of nitrate was more than 30%. In addition, the nitrate formation rate was much higher than that of
333 sulfate and ammonium during $PM_{2.5}$ pollution episodes, as indicated by the slope of nitrate vs. $PM_{2.5}$
334 that was twice that of the other two ions (see Figure S3). These results indicate that the formation
335 of nitrate played a driving role in the formation of $PM_{2.5}$ pollution. In general, when the ALWC was
336 high, the nitrate concentration was also at a high level. On one hand, ALWC could promote the
337 nitrate formation by favoring the heterogeneous hydrolysis of N_2O_5 and the gas-to-particle
338 partitioning of HNO_3 . On the other hand, the increase in nitrate concentration could enhance the
339 hygroscopicity of $PM_{2.5}$, leading to an increase in ALWC, which would further promote the nitrate
340 formation (Wang et al., 2020b). It is worth noting that, when $PM_{2.5} < 100 \mu g m^{-3}$, the mass ratio of
341 NO_3^- to $PM_{2.5}$ increased rapidly with rising $PM_{2.5}$ concentration, but when the $PM_{2.5}$ concentration
342 exceeded $100 \mu g m^{-3}$, the ratio reached a plateau. This might be due to the fact that when the $PM_{2.5}$
343 concentration increased to a certain level, the formation process of other components may also speed
344 up, causing the nitrate proportion to stay basically constant.

345

346 3.2 Gas-to-particle partitioning of nitrate

347 The gas-to-particle partitioning of nitrate determines the sensitivity of particulate nitrate formation
348 to the production of HNO_3 . Figure 5 shows the particulate nitrate concentration (measured) and its
349 fraction to total nitrate (ϵ_{HNO_3} , $\epsilon_{HNO_3} = NO_3^- / (NO_3^- + HNO_3)$), predicted by ISORROPIA-II) as a
350 function of ALWC and aerosol pH. In order to avoid the influence of rainy and foggy days during
351 the observation period which could lead to the abnormal high ALWC, we only used the data with
352 RH below 95% for analysis. Obviously, ALWC promoted the formation of particulate nitrate, but
353 such a promoting effect varied greatly under different aerosol pH (top panel in Figures 5a-d). As the
354 pH increased, the slope of nitrate vs. ALWC also increased significantly, indicating a stronger
355 promoting effect. ALWC plays a dual role in the formation of nitrate aerosol: it can promote the
356 heterogeneous formation of nitrate, e.g., via N_2O_5 hydrolysis, by providing more reaction medium
357 and decreasing the kinetic limitation (Mozurkewich and Calvert, 1988; Bertram and Thornton, 2009;
358 Wang et al., 2020b); the ALWC can also promote the gas-to-particle partitioning of HNO_3 . The
359 different promoting effect of ALWC under different aerosol pH is mainly due to the fact that pH can
360 significantly influence the gas-to-particle partitioning of HNO_3 . As shown in Figures 5a-d (bottom
361 panel), when the aerosol pH was low, the gas-to-particle partitioning of HNO_3 was inhibited, with
362 the value of ϵ_{HNO_3} basically below 0.6 at $pH < 2$. Under these conditions, the increase of particulate
363 nitrate concentration would require more ALWC. When the pH increased, the inhibition effect of
364 pH on the gas-to-particle partitioning of HNO_3 was weakened. When the pH was higher than 2.5,
365 the nitrate was almost in the particle phase ($\epsilon_{HNO_3}=1$). As a result, the increase of ALWC would
366 rapidly promote the nitrate formation, particularly when ALWC was at a low level. It is important
367 to point out that during the whole observation period, the values of ϵ_{HNO_3} were larger than 0.9 for
368 90% of time when the $PM_{2.5}$ concentration was higher than $75 \mu g m^{-3}$ (see Figure S4). This indicates
369 that the gas-to-particle partitioning of HNO_3 was very efficient and not a limiting factor for
370 particulate nitrate formation during the pollution episodes. The gas-to-particle partitioning of HNO_3

371 was also efficient in the NCP region, and its average ϵHNO_3 could reach 100% during the haze
372 pollution period (Guo et al., 2018; Li et al., 2019a). However, the average ϵHNO_3 in the northeastern
373 United States during winter was only 39% (Guo et al., 2018), this might be due to the relatively
374 lower pH in this region (0.8 ± 1.0) (Guo et al., 2016), which inhibited the gas-to-particle partitioning.

375

376 3.3 Observational constraints on the nitrate formation mechanism

377 The ~~dominant~~ nitrate formation ~~pathway~~ is different during the different time of a day. The
378 heterogeneous hydrolysis of N_2O_5 was often found to be an important pathway for nighttime nitrate
379 formation. Here, we evaluated the role of this pathway to nitrate formation in the eastern YRD using
380 the ~~nighttime averages~~ correlation between particulate nitrate concentration and the production of
381 N_2O_5 . Due to the lack of direct observational data of N_2O_5 in this study, we used the value of square
382 of NO_2 multiplied by O_3 ($[\text{NO}_2]^2 \times \text{O}_3$) to indicate the N_2O_5 level (Liu et al., 2020a). Figure 6 shows
383 the ~~nighttime average nitrate concentration~~ as a function of $[\text{NO}_2]^2 \times \text{O}_3$ in winter. The ~~average~~
384 particulate nitrate concentration showed a strong positive correlation with $[\text{NO}_2]^2 \times \text{O}_3$. In particular
385 in 2019, as the value of $[\text{NO}_2]^2 \times \text{O}_3$ increased to $\sim 15000 \text{ ppb}^3$, the nitrate concentration increased
386 from ~~15-20~~ $\mu\text{g m}^{-3}$ to ~~40-45~~ $\mu\text{g m}^{-3}$, suggesting that the heterogeneous hydrolysis of N_2O_5 was an
387 important pathway for wintertime nitrate formation in the eastern YRD. Notably, there are some
388 data points with low values of $[\text{NO}_2]^2 \times \text{O}_3$ but high nitrate concentrations. This might be partly due
389 to their relatively high aerosol pH (> 3), which could promote the gas-to-particle partitioning of
390 HNO_3 .

391

392 To evaluate the role of the gas-phase $\text{OH} + \text{NO}_2$ process in nitrate formation during the daytime, we
393 use the O_x to indicate the atmospheric oxidation capacity due to the lack of direct observational data
394 of OH radicals. Figure 7 shows the ~~daytime average~~ particulate nitrate concentration as a function
395 of O_x . Notably, as the O_x concentration increased, the nitrate concentration also increased
396 significantly. However, the increase in ALWC seemed to have a relatively small impact on the nitrate
397 concentration during the daytime, indicating that the reaction of NO_2 with OH radicals to form
398 HNO_3 (rather than the gas-to-particle partitioning) was a rate-limiting step in daytime nitrate
399 formation. We also note that there are some data points with low O_x values but high ALWC and
400 nitrate concentrations (Figure 7c). This phenomenon might be owing to a certain degree of
401 heterogeneous process in the haze-foggy days, when the photochemical reactions were relatively
402 ~~weak~~. Overall, the ~~high~~ atmospheric oxidation capacity made the gas-phase $\text{OH} + \text{NO}_2$ reaction an
403 important pathway for nitrate formation during the daytime in the eastern YRD.

404

405 3.4 Model constraints on the nitrate formation mechanism

406 To quantify the contribution of different formation mechanisms to wintertime nitrate formation in
407 the eastern YRD, we used an observation-constrained model (F0AM v3.1) updated with the
408 heterogeneous chemistry of N_2O_5 and NO_2 (see Section 2.3 for details) to simulate the formation
409 rate of HNO_3 from different pathways during the observation period. During the winter of 2019, six
410 haze pollution episodes ($\text{PM}_{2.5} > 75 \mu\text{g m}^{-3}$) occurred at both sites (there was an additional episode

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420 during the outbreak of COVID-19 epidemic, which was discussed separately in Section 3.5). We
421 conducted simulations for all the six pollution episodes and took two representative ones at the
422 Pudong site for the detailed analysis. Considering the large uncertainties in ALWC estimation and
423 aerosol surface area/volume correction at high RH levels (> 95%), which could significantly affect
424 the simulation results, we excluded the simulated data above 95% RH from the further analysis.
425 Figure 8 shows the time series of various particulate (measured) and gaseous (measured and
426 simulated) air pollutants, as well as the formation rate of HNO₃ (simulated) from different pathways
427 during these two episodes (The case studies of the same episodes at the Qingpu site are given in
428 Section S4 and Figure S5).

429
430 In episode 1 (Figure 8a), the nitrate concentration increased rapidly from 15.2 μg m⁻³ at 22:00 on
431 29 December to 39.0 μg m⁻³ at 10:00 on 30 December, with an average growth rate of 2.0 μg m⁻³ h⁻¹.
432 The simulated NO₂ concentration was in good agreement with the observation, except for a short
433 period around the midnight of 30 December, during which the NO emissions led to an over-
434 prediction of the NO₂ level. During the high nitrate periods, the nighttime N₂O₅ concentration could
435 reach 0.5-1 ppb and contributed noticeably to HNO₃ formation via the heterogeneous hydrolysis.
436 However, the high daytime OH concentration (up to 2.5 × 10⁶ molecules cm⁻³) facilitated a relatively
437 more rapid nitrate formation from the gas-phase OH + NO₂ pathway. The average production rate
438 of HNO₃ from the gas-phase OH + NO₂ reaction during the daytime was 2.9 μg m⁻³ h⁻¹, which was
439 twice the average production rate of HNO₃ from the heterogeneous hydrolysis of N₂O₅ during the
440 nighttime.

441
442 We note that the overestimation of NO₂ during the night of 30 December (case 1) could lead to an
443 overestimation of nighttime HONO, but it did not significantly affect the overall production rate of
444 HONO and thereby OH radicals in this case, which was dominated by the daytime heterogeneous
445 photochemical processes (see Figure S7, HONO production rate in the base scenario). In addition,
446 as the O₃ concentration in the model was constrained by the measured value, which was very low
447 (< 5 ppb) during this time, the overestimation of NO₂ would also not significantly affect the
448 prediction of N₂O₅. As a result, the over-prediction of NO₂ would not have a large influence on the
449 major formation pathways of nitrate.

450
451 There were two cases in the episode 2 (Figure 8b). In case 2, the concentration of nitrate increased
452 from 26.8 μg m⁻³ at 05:00 to 46.0 μg m⁻³ at 13:00 on 12 January, 2020, with an average growth rate
453 of 2.4 μg m⁻³ h⁻¹. Then, the nitrate concentration achieved a fast growth from 40.2 to 70.5 μg m⁻³
454 within only six hours during the night of 12 January, with an average rate of 5.1 μg m⁻³ h⁻¹. During
455 the nitrate increasing period, the maximum OH concentration was ~ 1.0 × 10⁶ molecules cm⁻³. As a
456 result, the gas-phase OH+NO₂ reaction led to a slow increase of nitrate concentration in the daytime
457 of 12 January. During the nighttime, the N₂O₅ concentration quickly increased to 0.83 ppb. The high
458 N₂O₅ level, in combination with the high ALWC, made the heterogeneous hydrolysis of N₂O₅ a
459 more important pathway for nitrate formation. The simulated average production rate of HNO₃ from

460 the heterogeneous hydrolysis of N_2O_5 during this case was $4.0 \mu\text{g m}^{-3} \text{h}^{-1}$, which was 3.6 times that
461 of the formation rate from the gas-phase $\text{OH} + \text{NO}_2$ reaction ($1.1 \mu\text{g m}^{-3} \text{h}^{-1}$). In case 3, the nitrate
462 concentration increased from $22.5 \mu\text{g m}^{-3}$ at 0:00 to $53.8 \mu\text{g m}^{-3}$ at 11:00 on 14 January, with an
463 average growth rate of $2.8 \mu\text{g m}^{-3} \text{h}^{-1}$. The N_2O_5 concentration was at a high level (~ 1 ppb) during
464 the nighttime and its hydrolysis contributed significantly to nitrate formation at the beginning of the
465 nitrate-increasing period. In the morning of 14 January, the OH concentration rapidly increased to
466 1.3×10^6 molecules cm^{-3} , resulting in considerable nitrate formation from the gas-phase process.
467 The average production rates of HNO_3 from the heterogeneous and gas-phase processes in this case
468 were 3.9 and $2.4 \mu\text{g m}^{-3} \text{h}^{-1}$, respectively, suggesting that both processes were important nitrate
469 formation pathways.

471 As mentioned above, there were six haze pollution episodes during the observation period. At the
472 Qingpu site, the heterogeneous hydrolysis of N_2O_5 was the major formation pathway (65-80%) of
473 nitrate aerosol for four episodes, while the gas-phase $\text{OH} + \text{NO}_2$ reaction had a major contribution
474 (54-60%) for the other two episodes. At the Pudong site, the heterogeneous process also contributed
475 dominantly (67-89%) to nitrate formation during four episodes, and for the other two episodes, the
476 contributions of the heterogeneous and gas-phase processes were comparable (51-53% vs. 45-47%).
477 Figure S6 shows the average diurnal variation of the production rates of HNO_3 from different
478 pathways during the observation period in 2019. The gas-phase process produced HNO_3 mainly
479 from 7:00 to 16:00, while the HNO_3 production from the heterogeneous process occurred mainly
480 from 17:00 to 6:00. The average production rates of HNO_3 from the heterogeneous and gas-phase
481 processes are given in Figure 9. At the Qingpu site, the average production rate of HNO_3 from the
482 two processes was $3.79 \mu\text{g m}^{-3} \text{h}^{-1}$ for the heterogeneous process during the nighttime (14 hours) vs.
483 $2.94 \mu\text{g m}^{-3} \text{h}^{-1}$ for the gas-phase reaction during the daytime (10 hours). The production rate from
484 other processes such as NO_2 hydrolysis and NO_3 radical oxidation of VOCs was only $0.08 \mu\text{g m}^{-3}$
485 h^{-1} . Therefore, the heterogeneous and gas-phase processes contributed to 63% and 35% of nitrate
486 formation at this site, respectively. At the Pudong site, the average formation rate of HNO_3 from the
487 hydrolysis of N_2O_5 was $3.83 \mu\text{g m}^{-3} \text{h}^{-1}$, significantly higher than that from the gas-phase reaction
488 ($2.27 \mu\text{g m}^{-3} \text{h}^{-1}$). As a result, the contributions of heterogeneous and gas-phase processes to nitrate
489 formation were 69% and 29%, respectively.

491 As mentioned in Section 2.3, significant uncertainties remain in the key parameters of the
492 heterogeneous HONO formation pathways and the dilution process in the model, which could affect
493 the prediction of OH radicals and N_2O_5 and thereby the production of HNO_3 . However, sensitive
494 analyses for various parameters show that the current parameterization of the heterogeneous HONO
495 formation and dilution process in the model allows for robust quantitative constraints on the relative
496 contributions of the gas-phase and heterogeneous processes to nitrate formation during haze
497 pollution episodes (see Section S5 and Figures S7, S8 for more details). In addition, monoterpenes
498 that are very reactive to NO_3 radicals (Atkinson and Arey, 2003) were not included in the model,
499 because their measurements are not available in this study. However, a case study considering the

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508 monoterpane chemistry in the model shows that the low monoterpane emissions during the winter
509 did not significantly affect the budget of NO_x radical and N₂O₅ and thereby the nighttime HNO₃
510 production (see Section S6 and Figure S9 for more details).

511

512 As discussed in Section 3.2, the gas-to-particle partitioning of HNO₃ was rather efficient, with the
513 value of εHNO₃ larger than 0.9 for 90% of the time during the haze pollution periods. Therefore,
514 the overall formation rate of particulate nitrate would be determined by the production rate of HNO₃
515 from the heterogeneous hydrolysis of N₂O₅ and gas-phase OH + NO₂ reaction. To identify the key
516 chemical factors that controlled the production rates of HNO₃ from these two major reaction
517 pathways, the relationships between the HNO₃ production rate and concentrations of NO₂ and
518 oxidants (i.e., O₃ or OH radicals) are examined and plotted in Figure 10.

519

520 As shown in Figure 10a, the slopes of the HNO₃ production rate from the heterogeneous process vs.
521 NO₂ during the nighttime were different under different O₃ concentrations. When O₃ concentrations
522 were higher than 10 ppb, the increase in NO₂ led to a significant increase in HNO₃ production, with
523 the production rate exceeding 5 μg m⁻³ h⁻¹ when the NO₂ was higher than 30 ppb. However, when
524 the O₃ level was low (< 10 ppb), the heterogeneous process was relatively slow, even with NO₂
525 concentration exceeding 60 ppb. These results suggest that the atmospheric oxidation capacity (or
526 the availability of O₃), which affected the production of N₂O₅, played a vital role in controlling the
527 nitrate formation rate from the heterogeneous process. Furthermore, the reactive uptake of N₂O₅ by
528 aerosols was found to be very efficient (see Figure S10) so that it was not the rate-limiting step of
529 the heterogeneous nitrate formation during the haze pollution periods. Similarly, the slope of the
530 HNO₃ production rate from the gas-phase process vs. NO₂ during the daytime also varied
531 dramatically under different OH radical concentrations (Figure 10b). As the OH radical
532 concentration was higher than 7 × 10⁵ molecules cm⁻³, this rate increased markedly with the increase
533 in NO₂. This phenomenon proved again that the atmospheric oxidation capacity played a driving
534 role in the production of HNO₃ from the gas-phase process.

535

536 The results in Figure 10 also suggest that solely reducing the NO_x emissions might result in an
537 increase of O₃ and OH concentrations (Lu et al., 2019; Zhao et al., 2020b), which could enhance the
538 oxidation of NO_x and thereby offset the effect of NO_x emission reductions on HNO₃ production.
539 Therefore, a synergistic control of atmospheric oxidant and NO_x emissions would be of great
540 importance for mitigating wintertime particulate nitrate pollution in the eastern YRD.

541

542 3.5 Nitrate aerosol formation during the COVID-19

543 The city lockdowns during the COVID-19 epidemic resulted in substantial emission reductions from
544 vehicular and industrial sources, which provided an opportunity to investigate the response of
545 secondary aerosols to primary emission reductions. Here, we selected the 23 January, 2019 as a
546 demarcation point (since then many cities in China started to implement lockdown measures) and
547 analyzed the characteristics of particulate nitrate pollution before and during the COVID-19

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552 epidemic.

553

554 Figure 11 shows the concentrations of major gaseous and particulate air pollutants, NOR, and sulfur
555 oxidation ratio (SOR) in the eastern YRD before (1-22 January, 2020) and during (23 January-12
556 February, 2020) the COVID-19 epidemic. At the Pudong site (Figure 11 a, b, c), the average NO_x
557 concentration decreased by 57% due to marked reductions in vehicular emissions during the
558 epidemic. In contrast, the SO_2 concentration only had a small decrease (16%) during the epidemic,
559 since it mainly comes from coal-combustion sources and is less affected by vehicular emissions.
560 However, the O_3 concentration increased by 66% during the epidemic. This is mainly due to the
561 significant reduction in NO_x emissions, though the changes in meteorological conditions could also
562 contribute (Zhao et al., 2020b). Accordingly, the model simulations show that the atmospheric OH
563 concentration (median) increased by 14% during the epidemic, though the average value only
564 increased slightly. The increase in O_3 and OH concentrations could significantly promote the
565 oxidation of NO_x to nitrate and SO_2 to sulfate through both gas-phase and heterogeneous processes.
566 As shown in Figure 11c, the average values of NOR and SOR increased from 0.15 and 0.46 before
567 the epidemic to 0.21 and 0.50 during the epidemic, respectively. The enhanced oxidation of NO_x
568 and SO_2 would weaken the response of particulate nitrate and sulfate to the emission reductions. As
569 can be seen in Figure 11b and c, the simulated HNO_3 production rate and measured particulate nitrate
570 concentration dropped by 42% and 40% during the epidemic, respectively, which were both
571 significantly smaller than the decrease in NO_x concentration (57%), while the particulate sulfate
572 concentration only decreased by 2%, also substantially smaller than the reduction in SO_2
573 concentration (16%).

574

575 Similarly, at the Qingpu site, the NO_x concentration decreased by 58% during the epidemic, while
576 the concentrations of O_3 and OH radicals (median) increased by 90% and 17%, respectively. The
577 significantly enhanced atmospheric oxidation capacity made the simulated HNO_3 production rate
578 only decrease by 17% during the epidemic. However, the measured particulate nitrate concentration
579 at this site decreased by 60%, comparable to the decrease in NO_x concentration. The inconsistency
580 between the decrease in measured nitrate concentration and simulated HNO_3 production rate at the
581 Qingpu site was different from the situation observed at the Pudong site, which is likely due to the
582 fact that the Qingpu site was more easily to be influenced by the regional transport. We note that the
583 average wind speed at the Qingpu site (1.8 m s^{-1}) was higher than that at the Pudong site (1.1 m s^{-1}).
584 Besides, the haze pollution was more serious at the Qingpu site than at the Pudong site before
585 the epidemic: both $\text{PM}_{2.5}$ and nitrate concentrations were significantly higher at the Qingpu site (see
586 Figure 11). Therefore, the marked emission reductions on a regional scale during the epidemic
587 would decrease both the local formation and transport of particulate nitrate from the upwind regions,
588 resulting in a more pronounced reduction in observed nitrate concentration at the Qingpu site. In
589 addition, before the epidemic, the transport of aged air plume with relatively high nitrate and sulfate
590 concentrations from upwind regions resulted in relatively high NOR and SOR values at the Qingpu
591 site. However, during the epidemic, the significant decrease in nitrate and sulfate concentrations in

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592 [the aged air plume due to regional emission reductions led to lower NOR and SOR at this site.](#)

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593

594 The results at the Pudong site clearly show that the enhanced atmospheric oxidation capacity during
595 the COVID-19 epidemic promoted the formation of secondary aerosols and offset the effects of
596 primary emission reductions in the eastern YRD. Such a phenomenon has also been observed in
597 many other regions in China during the COVID-19 lockdown (Le et al., 2020; Zheng et al., 2020;
598 Huang et al., 2021; Liu et al., 2021; Tian et al., 2021; Zhong et al., 2021). These results suggest an
599 important role of atmospheric oxidation capacity in regulating secondary aerosol formation. They
600 also highlight the importance of the synergetic regulation of atmospheric oxidants and other air
601 pollutants in the mitigation of particulate pollution in China. However, the Qingpu site also provided
602 us a special case that in severely polluted regions with a stronger influence from the regional
603 transport, the offset effects of enhanced atmospheric oxidation capacity on emission reductions
604 could be more complicated and less significant.

605

606 4. Conclusions

607 In this study, the chemical mechanisms and key controlling factors of wintertime nitrate formation
608 in the eastern YRD of China were investigated using a combination of online field observations and
609 detailed model simulations. During the observation period (Winter 2018 and 2019), the haze
610 pollution events ($PM_{2.5} > 75 \mu g m^{-3}$) occurred frequently in this region. The mass fraction of nitrate
611 in $PM_{2.5}$ increased dramatically with $PM_{2.5}$ concentration and exceeded 30% throughout the
612 pollution periods. The measured nitrate concentration was well correlated with $[NO_2]^2 \times [O_3]$ (an
613 indicator of N_2O_5) at night and the level of O_x (an indicator of atmospheric oxidation capacity)
614 during the daytime, indicating that both the heterogeneous hydrolysis of N_2O_5 and gas-phase $OH +$
615 NO_2 process played important roles in wintertime nitrate formation in the eastern YRD.
616 Observation-constrained model simulations further show that the average production rates of HNO_3
617 from the heterogeneous hydrolysis of N_2O_5 during the nighttime and gas-phase $OH + NO_2$ reaction
618 during the daytime were $3.81 \mu g m^{-3} h^{-1}$ and $2.61 \mu g m^{-3} h^{-1}$, respectively, during the haze pollution
619 periods; these two pathways accounted for 66% and 32% of wintertime nitrate formation in the
620 eastern YRD, respectively.

621

622 The ALWC significantly promoted the formation of nitrate by facilitating the hydrolysis of N_2O_5
623 and the gas-to-particle partitioning of HNO_3 . However, the promoting effect of ALWC on nitrate
624 formation varied with aerosol pH due to its significant influence on the gas-to-particle partitioning
625 of HNO_3 . During the pollution periods, the gas-to-particle partitioning of HNO_3 was very efficient,
626 with the partitioning coefficients, ϵ_{HNO_3} , larger than 0.9 for 90% of the time. Therefore, the overall
627 formation processes of wintertime particulate nitrate were not limited by the gas-to-particle
628 partitioning of HNO_3 but rather by its production from both heterogeneous and gas-phase processes.
629 Further analyses of the response of HNO_3 formation to the variation in the concentrations of NO_2 ,
630 O_3 , and OH radicals suggests that the atmospheric oxidation capacity (i.e., the availability of O_3 and
631 OH radicals) played a key role in controlling the formation of nitrate from both processes.

635 During the COVID-19 lockdown (January-February 2020), the enhanced atmospheric oxidation
636 capacity promoted the oxidation of NO_x to nitrate and weaken the effects of primary emission
637 reductions on particulate pollution in typical urban areas in the eastern YRD, though such an offset
638 effect was less significant in regions with a stronger influence from the regional transport. This
639 phenomenon again suggests that the atmospheric oxidation capacity played an important role in
640 driving the formation of secondary aerosols, and highlights the importance of the synergetic
641 regulation of atmospheric oxidation capacity and other air pollutants in the mitigation of particulate
642 pollution in eastern China.

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644 *Data availability.* The data presented in this work are available upon request from the corresponding
645 authors.

647 *Author contributions.* YZ designed the study, JH, QZ, QF, and YD performed field measurements,
648 JYS conducted ISORROPIA-II model calculation, JA and CH provided the NO_x emission inventory,
649 and YZ and HZ analyzed the data, conducted model simulations, and wrote the paper. All other
650 authors contributed to discussion and writing.

651

652 *Competing interests.* The authors declare no conflict of interest.

653

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990 Table 1 Parameterization of the formation and removal pathways of HONO added to the model.

Mechanism	Parametrization	Max	Min	Ref
NO ₂ +aerosol→0.5HONO+0.5HNO ₃	$\gamma\text{NO}_2=2\times 10^{-6}$	1×10^{-5}	4×10^{-7}	a-d
NO ₂ +ground→HONO	$\gamma\text{NO}_2=2\times 10^{-6}$	1×10^{-5}	4×10^{-7}	a-d
NO ₂ +aerosol+hv→HONO	$\gamma\text{NO}_2=2\times 10^{-5}\times j\text{NO}_2/j\text{NO}_2\text{noon}^*$	1×10^{-4}	4×10^{-6}	b, e-g
NO ₂ +ground+hv→HONO	$\gamma\text{NO}_2=2\times 10^{-5}\times j\text{NO}_2/j\text{NO}_2\text{noon}^*$	1×10^{-4}	4×10^{-6}	b, e-g
pNO ₃ +hv→HONO	$j\text{NO}_3= j\text{HNO}_3\times 30$	100	1	h, i
Vehicular emission	HONO/NO _x =0.8%	0.18%	1.6%	j-l
NO ₂ +SO ₂ +aerosol→HONO+SO ₄ ²⁻	$k_{aq}=1.4\times 10^5\text{ M}^{-1}\text{ s}^{-1}$ (pH < 5); $2\times 10^6\text{ M}^{-1}\text{ s}^{-1}$ (pH > 6)			m, n
HONO deposition	$k_{dep}=\exp^{(23920/T-91.5)}/\text{PBL}$			a

991 *The value of $j\text{NO}_2\text{noon}$ used in the model was 0.005 s^{-1} ; References: ^aXue et al. (2020); ^bLiu et al.
 992 (2019); ^cWong et al. (2011); ^dKleffmann et al. (1998); ^eWong et al. (2013); ^fZare et al. (2018); ^gHan
 993 et al. (2016); ^hRomer et al. (2018); ⁱYe et al. (2016); ^jKurtenbach et al. (2001); ^kLiu et al. (2017),
 994 ^lTrinh et al. (2017); ^mLee and Schwartz (1983); ⁿWang et al. (2020a).

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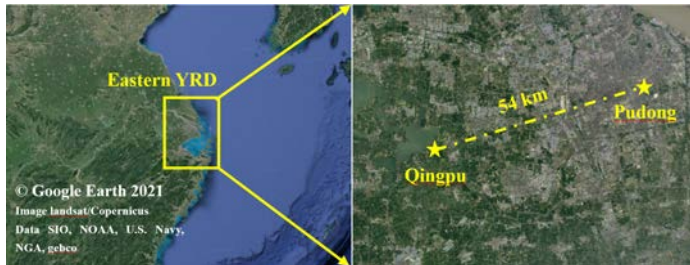
998 Table 2 Concentrations (average ± standard deviation) of PM_{2.5}, particulate nitrate, NO_x, and O₃, as
 999 well as temperature and RH at Qingpu and Pudong sites in the winter of 2018 and 2019.

	Sites			
	Qingpu-2018	Pudong-2018	Qingpu-2019	Pudong-2019
PM _{2.5} (μg m ⁻³)	50.0 ± 34.8	40.9 ± 32.5	58.6 ± 37.2	49.5 ± 35.3
NO ₃ ⁻ (μg m ⁻³)	14.9 ± 12.8	11.9 ± 12.2	17.0 ± 14.8	13.2 ± 12.0
NO _x (ppb)	29.6 ± 31.1	27.5 ± 24.4	35.1 ± 33.1	26.9 ± 21.3
O ₃ (ppb)	19.1 ± 12.7	18.8 ± 10.4	21.7 ± 14.3	22.3 ± 12.0
Temperature (°C)	6.6 ± 4.4	7.3 ± 4.2	7.5 ± 4.2	8.2 ± 3.8
RH (%)	80 ± 17	78 ± 18	80 ± 17	79 ± 20

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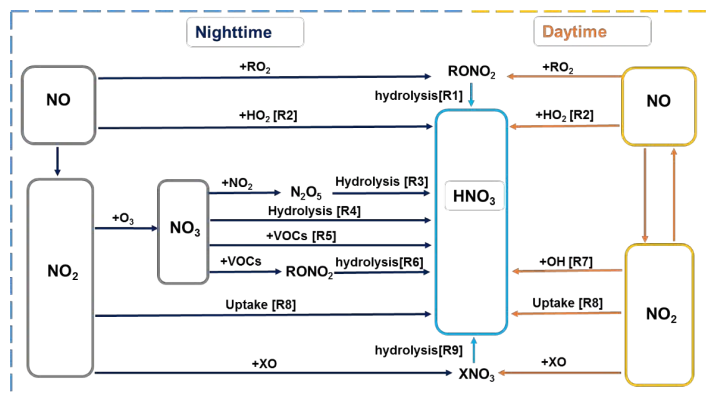
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1004 Figure 1 Map of the eastern YRD region and the two observation sites, i.e., Qingpu (suburban and
1005 regional) and Pudong (urban).

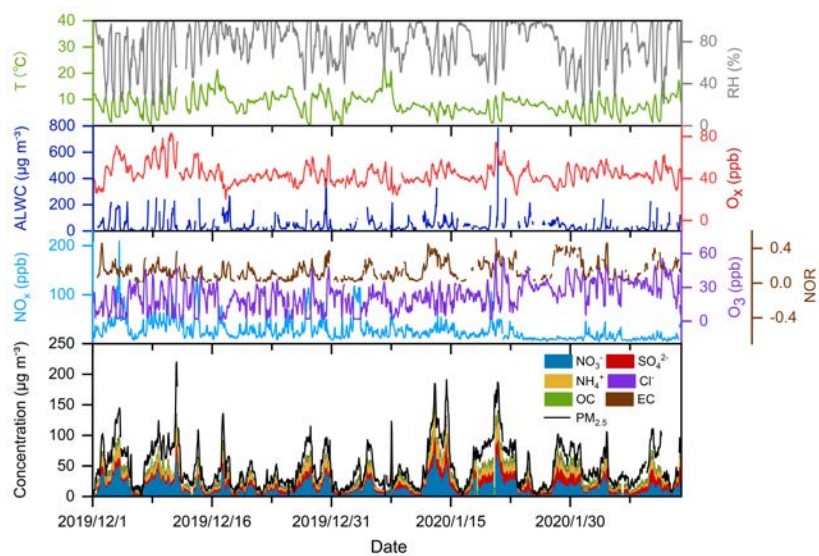
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1008 Figure 2 Simplified HNO₃ formation mechanisms in the troposphere. X represents Cl, Br, and I.

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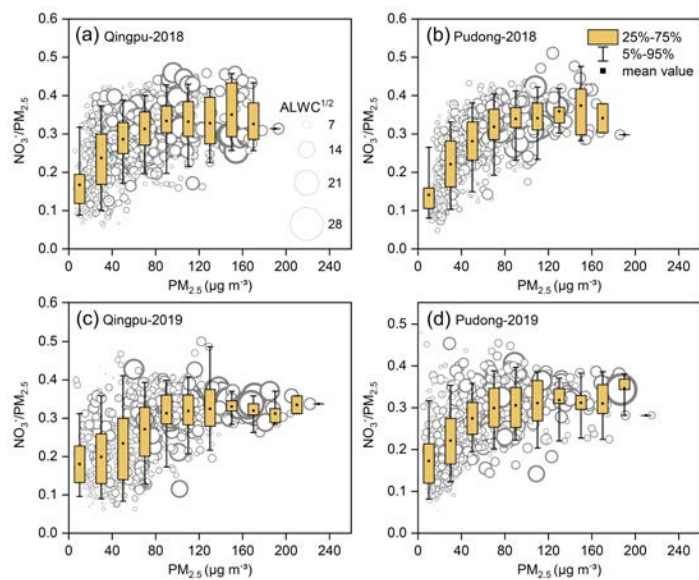
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1011 Figure 3 Time series of temperature, relative humidity (RH), aerosol liquid water content (ALWC),

1012 NO_x , O_3 , O_x , nitrogen oxidation ratio (NOR), as well as $\text{PM}_{2.5}$ and major particulate compositions

1013 at the Pudong site in the winter of 2019.

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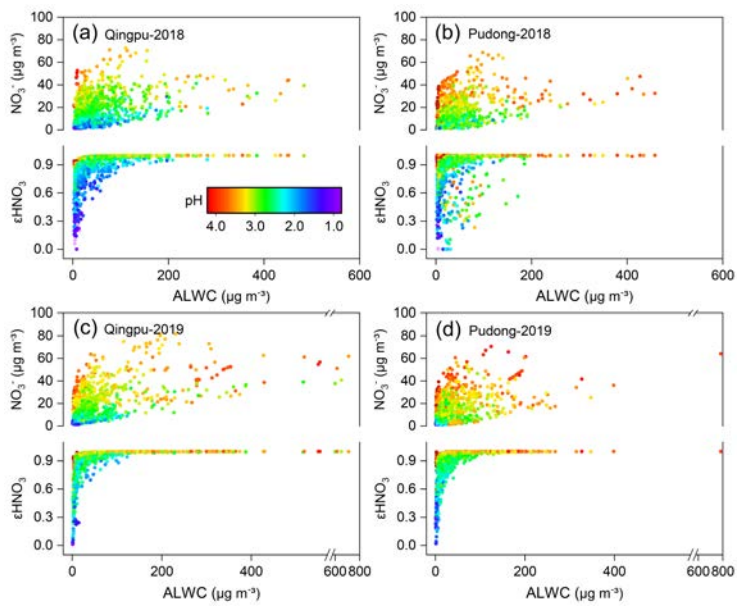
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1016 Figure 4 Mass ratio of nitrate to PM_{2.5} as a function of PM_{2.5} concentration at (a, c) Qingpu and (b,

1017 d) Pudong sites in the winter of 2018 and 2019. The circles represent the measured ratio of NO₃⁻

1018 /PM_{2.5}, and their area is linearly scaled with square root of ALWC.

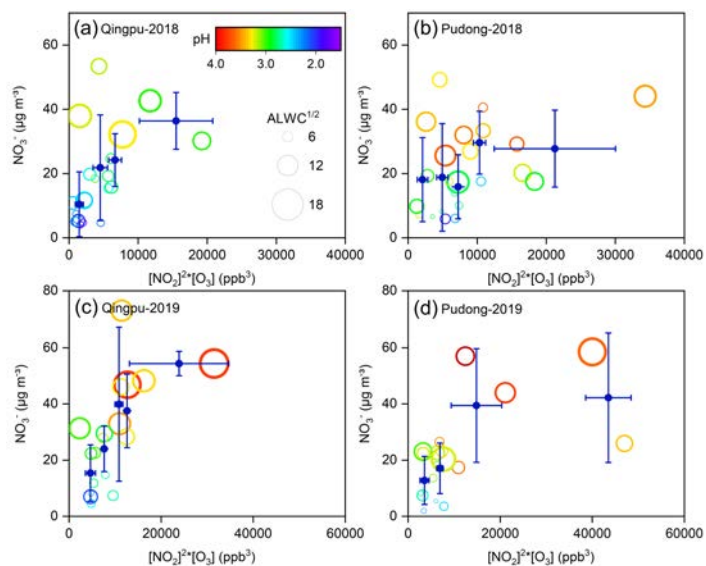
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1021 Figure 5 Particulate nitrate concentration and its fraction to total nitrate (ϵHNO_3) as a function of
 1022 ALWC and aerosol pH at (a, c) Qingpu and (b, d) Pudong sites in the winter of 2018 and 2019. The
 1023 circles are colored according to aerosol pH.

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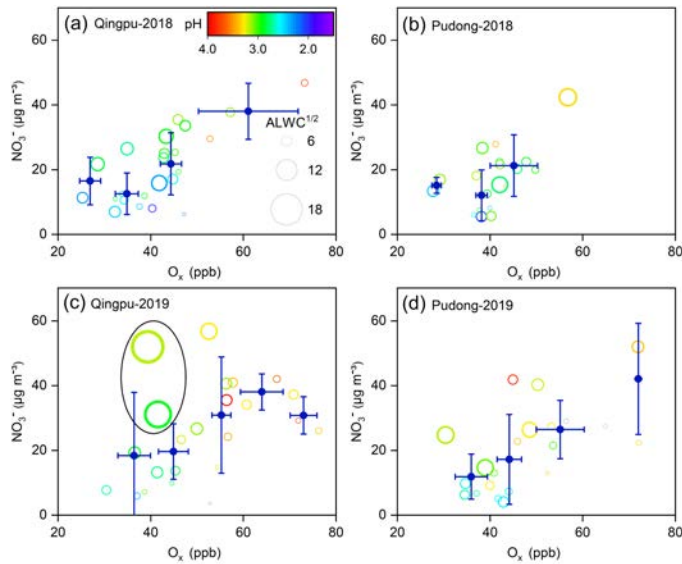
Figure 6 Nighttime average particulate nitrate concentration (empty circles) as a function of $[NO_2]^2 \times [O_3]$ at (a, c) Qingpu and (b, d) Pudong sites in 2018 and 2019. The circles are colored according to aerosol pH and their size is linearly scaled with square root of ALWC. The blue filled circles represent the average of nitrate concentration within a certain $[NO_2]^2 \times [O_3]$ interval. To reduce the influences of daytime remainder on the analysis of nighttime nitrate formation, only the data with an obvious peak or increasing trend during nighttime were included in the plots.

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Figure 7 Daytime average particulate nitrate concentration as a function of O_x at (a, c) Qingpu and (b, d) Pudong sites in 2018 and 2019. The circles are colored according to aerosol pH and their size is linearly scaled with square root of ALWC. The blue filled circles represent the average of nitrate concentration within a certain O_x interval. The data points inside the black circle in (c) correspond to low O_x levels but high ALWC and nitrate concentrations. Only the data with an obvious peak or increasing trend during daytime were included in the plots.

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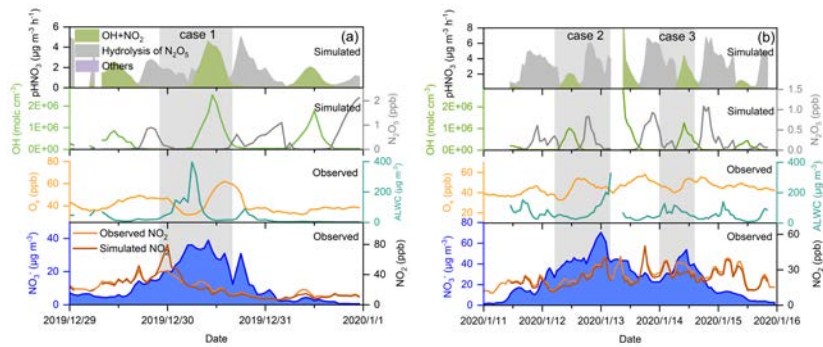
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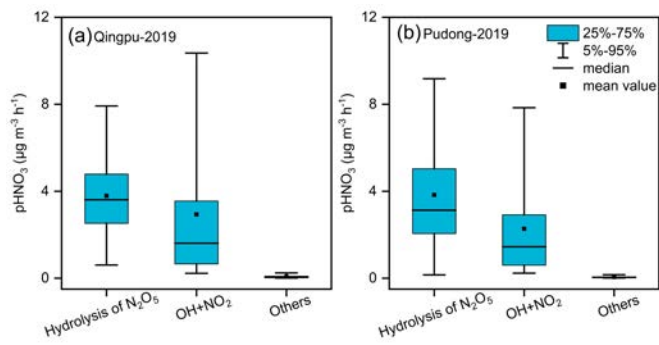
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Deleted: Figure 7 Daytime average particulate nitrate concentration as a function of O_x at (a, c) Qingpu and (b, d) Pudong sites in 2018 and 2019. The point plot represent the average nitrate concentration within a certain O_x range. The circles are colored according to aerosol pH and their size is linearly scaled with square root of ALWC. The data points inside the black circle in (c) correspond to low O_x levels but high ALWC and nitrate concentrations.¶



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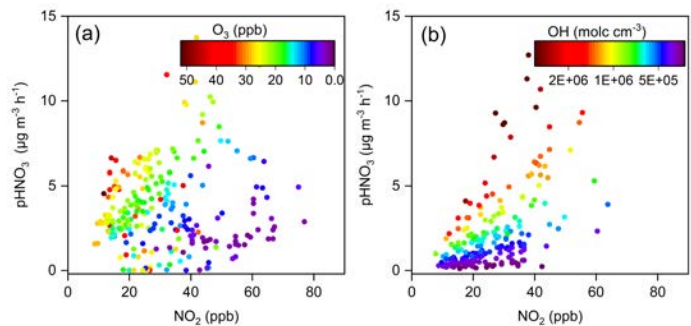
1060 Figure 8 Time series of particulate nitrate, NO₂, O₃, ALWC, OH, N₂O₅, as well as the formation rate
 1061 of HNO₃ from different processes during the two selected case during the pollution episodes at the
 1062 Pudong site in 2019. The simulated data with RH > 95% were not included in the figure (see main
 1063 text).
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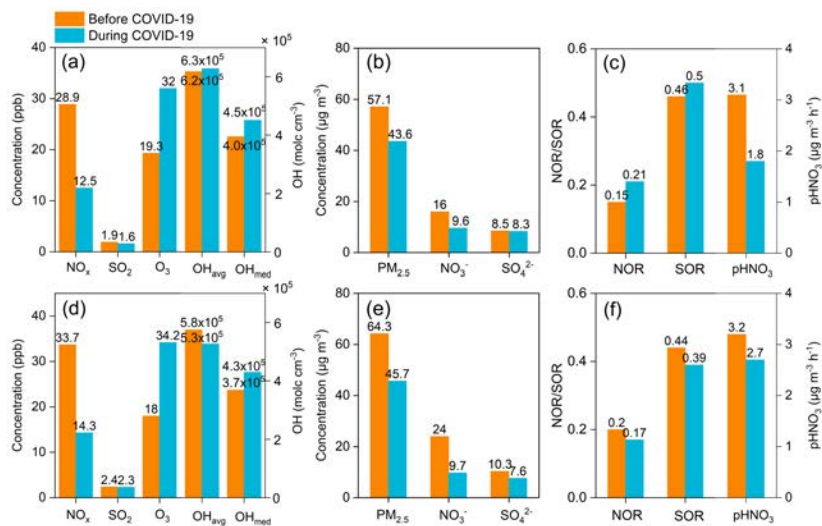
1066 Figure 9 Simulated average formation rates of HNO₃ at (a) Qingpu and (b) Pudong sites during the
 1067 haze pollution periods in 2019

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Figure 10 Production rates of HNO₃ from the (a) heterogeneous and (b) gas-phase processes as a function of NO₂ concentration at the Pudong site during the nighttime and daytime, respectively. The circles are colored according to the O₃ concentration in (a) and OH radical concentration in (b).



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1075 Figure 11 Average concentrations of NO_x , SO_2 , O_3 , OH radicals, $\text{PM}_{2.5}$, nitrate, sulfate, as well as
 1076 the nitrogen and sulfur oxidation ratio (NOR and SOR) at (a-c) Pudong and (d-f) Qingpu sites before
 1077 (1-22 January, 2020) and during (23 January-12 February, 2020) the COVID-19 epidemic.