



Arctic spring and summertime aerosol optical depth baseline from long-term observations and model reanalyses, with implications for the impact of regional biomass burning processes

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19 Abstract

20

21 We present an Arctic aerosol optical depth (AOD) climatology and trend analysis for
22 2003-2019 spring and summertime periods derived from a combination of multi-agency
23 aerosol reanalyses, remote sensing retrievals, and ground observations. This includes
24 the U.S. Navy Aerosol Analysis and Prediction System ReAnalysis version 1 (NAAPS-
25 RA v1), the NASA Modern-Era Retrospective Analysis for Research and Applications,
26 version 2 (MERRA-2), and the Copernicus Atmosphere Monitoring Service ReAnalysis
27 (CAMSRA). Space-borne remote sensing retrievals of AOD are considered from the
28 Moderate Resolution Imaging Spectroradiometer (MODIS), the Multi-angle Imaging
29 SpectroRadiometer (MISR), and Cloud-Aerosol Lidar with Orthogonal Polarization
30 (CALIOP). Ground-based data include sun photometer data from Aerosol Robotic
31 Network (AERONET) sites and oceanic Maritime Aerosol Network (MAN)
32 measurements. Aerosol reanalysis AODs and space-borne retrievals show consistent
33 climatological spatial patterns and trends for both spring and summer seasons over the
34 sub-Arctic (60-70°N). Consistent signs in the AOD trend are also found for the high
35 Arctic (north of 70°N) from reanalyses. The aerosol reanalyses yield more consistent
36 AOD results than climate models, verify well with AERONET, and corroborate
37 complementary climatological and trend analysis. Speciated AODs are more variable
38 than total AOD among the three reanalyses, and a little more so for March-May (MAM)
39 than for June-August (JJA). Black Carbon (BC) AOD in the Arctic comes predominantly
40 from biomass burning sources in both MAM and JJA, and biomass burning overwhelms
41 anthropogenic sources in JJA for the study period.

42 AOD exhibits a negative trend in the Arctic in MAM, and a positive trend in JJA during
43 2003-2019, due to an overall decrease in sulfate/anthropogenic pollutions, and a
44 significant increase in biomass burning smoke in JJA. Interannual Arctic AOD variability
45 is significantly large, driven by fine-mode, and specifically, biomass burning (BB)
46 smoke, though more so in JJA than in MAM. Extreme AOD events during spring and
47 summer in the Arctic, defined as AOD greater than the 95th percentile value, are mainly
48 attributed to BB smoke transport events. Extreme AOD cases tend to occur later in the
49 season (i.e., July and August, in the latter decade rather than spreading over April-
50 August in the early decade during 2003-2019) corresponding to a shift to a later time in
51 extreme boreal BB activities.

52



1. Introduction

The Arctic is warming faster than the overall global climate, a phenomenon widely known as Arctic amplification (Serreze and Francis 2006; Serreze and Barry 2011). This has led to rapid changes in regional sea ice properties. September sea ice coverage is shrinking at an unprecedented rate (Comiso 2012; Meier et al., 2014). Younger and thinner ice is replacing thick multi-year sea ice (Kwok and Rothrock 2009; Hansen et al, 2013; Rosel et al. 2018). Mechanisms contributing to sea ice changes include increased anthropogenic greenhouse gases (Notz and Stroeve 2016; Dai et al., 2019), sea ice-albedo feedback (Perovich and Polashenski 2012), increased warm and moist air intrusion into the Arctic (Boisvert et al. 2016; Woods et al., 2016; Graham et al. 2017), radiative feedbacks associated with cloudiness and humidity (Kapsch et al. 2013; Morrison et al. 2018), and increased ocean heat transport (Nummelin et al., 2017; Taylor et al. 2018). However, one of the least understood factors of Arctic change is the impact of aerosols on sea ice albedo and concentration (IPCC 2013).

Atmospheric aerosol particles from anthropogenic and natural sources reach the Arctic region through both long-range transport and local emissions, affecting regional energy balance through both direct and indirect radiative processes (Quinn et al., 2008; Engvall et al., 2009; Flanner, 2013; Sand et al., 2013; Markowicz et al., 2018; Yang et al., 2018). Aerosol particles influence cloud microphysical properties as cloud condensation nuclei (CCN) and/or ice nuclei (IN), affecting cloud albedo, lifetime, phase, and probability of precipitation (e.g. Lubin and Vogelmann, 2006; Lance et al., 2011; Zamora et al, 2016; Zhao and Garrett 2015; Bossioli et al., 2021). Additionally, deposition of light-absorbing aerosol species such as dust and black/brown carbon on the surface of snow and ice can trigger albedo feedbacks and facilitate melting and prolong melting seasons (Hansen & Nazarenko, 2004; Jacobson, 2004; Flanner et al., 2007; Skiles et al., 2018; Dang et al., 2017; Kang et al., 2020). However, the impact of aerosol particles on polar climate change is still not well characterized, and their relative importance compared to other warming factors is difficult to isolate and quantify.

Climate modeling studies show that due to stronger feedback processes between the atmosphere-ocean-sea-ice-land the Arctic region is more sensitive to local changes in radiative forcing than tropical and mid-latitude regions (Shindell and Faluvegi 2009; Sand et al., 2013). On the other hand, there seems to be an emerging agreement on a higher sensitivity of Arctic clouds by aerosol particles than lower-latitude regions due to the very low aerosol amounts compared to lower latitudes (Prenni et al., 2007; Mauritsen et al. 2011; Birch et al., 2012; Coopman et al., 2018; Wex et al., 2019). Both suggest the important role aerosol particles may play in the Arctic weather and climate, and the urgency to better quantify the amount of aerosols in the Arctic.



92 A variety of atmospheric aerosol species exist in the Arctic region. Anthropogenic
93 pollution contributes significantly to the formation of the Arctic haze, which often occurs
94 in later winter and spring due to wintertime build-up in the shallow boundary layer with
95 effective transport and reduced removal (e.g., Law and Stohl, 2007; Quinn et al., 2008).
96 Biomass burning (BB) smoke, originating from wildfires in boreal North America and
97 Eurasia, are often observed and/or modeled being transported into the Arctic (Eck et al.
98 2009; Eckhardt et al. 2015; Stohl et al. 2007; Warneke et al. 2009; Iziomon et al., 2006;
99 Evangeliou et al. 2016; Kondo et al., 2011; Brieder et al., 2014; Markowicz et al. 2016;
100 Khan et al., 2017; Engelmann et al., 2021). Airborne dust, emitted from exposed sand
101 or soils due to glacier retreat (Bullard et al., 2016; Groot Zwaafink et al., 2016), are
102 likely on the rise as the Arctic warms. Dust can also originate from lower latitude
103 deserts, e.g. Sahara and Asia, and arrive in the Arctic through long-range transport
104 (Stone et al, 2007; Breider et al., 2014). As the Arctic sea-ice melts and opens up the
105 ocean surface, emissions of sea salt and biogenic aerosols (e.g., from dimethylsulfide;
106 Dall et al., 2017; Gabric et al., 2018) are expected to increase. There are also ultrafine
107 particles nucleated from gaseous precursors, though in small amounts (Baccarini et al.,
108 2021; Abbatt et al., 2019).

109 Because of the harsh surface environment endemic to the Arctic, aerosol field
110 measurements are limited compared with the mid-latitude and tropical environments.
111 Despite an increasing number of field campaigns carried out in the past two decades
112 (e.g. review by Wendisch et al., 2019; and more recently the MOSAiC, [https://mosaic-](https://mosaic-expedition.org)
113 [expedition.org](https://mosaic-expedition.org)) and their usefulness in improving process-level understanding, field
114 measurement periods tend to be short and limited to certain areas and thus are not
115 necessarily representative spatially and temporally of the whole Arctic. There are many
116 studies on aerosol optical properties that are based on long-term site measurements
117 (e.g. Herber et al., 2002; Tomasi et al., 2007; Eck et al., 2009; Saha et al., 2010; Glantz
118 et al., 2014; Ranjbar et al., 2019; AboEl-Fetouh et al., 2020), however, the number of
119 the sites is limited, and the sites are mostly located on the northern edge of the North
120 American, Eurasian continents, and the Svalbard region, not yielding a continuous
121 spatial distribution.

122 Climate models without constraint from observations exhibit large variations in basic
123 aerosol optical properties, with an order of magnitude difference in simulated regional
124 aerosol optical depth (AOD) and large differences in the simulated seasonal cycle of
125 AOD over the Arctic (e.g. Glantz et al., 2014; Sand et al., 2017). These results do not
126 reduce the uncertainty in the radiative impact of aerosols through direct (including
127 surface albedo effect) and indirect forcings in the Arctic climate. Impacts of aerosols and
128 clouds, overall, constitute one of the largest sources of uncertainty in climate models
129 (IPCC 2013). This is apparently exacerbated in a warming Arctic (Goosse et al., 2018).
130 A modeling study by DeRepentigny et al. (2021) shows that the inclusion of



interannually varying BB emissions, compared with using climatological ones alone, introduces a large Arctic climate variability and enhances sea ice loss. This finding suggests the sensitivity of climate relevant processes to aerosol interannual variability in the Arctic.

In this paper, we present an AOD climatology, trend analysis and extreme events statistics for the 2003-2019 Arctic spring and summertime, based on a combination of multi-national interagency aerosol reanalyses, satellite remote sensing retrievals, and ground observations. We define the Arctic/high-Arctic as regions north of 60°N/70°N, and sub-Arctic as regions between 60°N-70°N. To reference lower-latitude source influences, the area of 50°N-90°N is included for context.

There are clear advantages of using aerosol reanalyses from chemical transport models in comparison with climate models for Arctic aerosol studies. Smoke emissions are frequently updated (e.g., hourly rather than monthly BB smoke emission) and satellite observations of both meteorological and aerosol data are incorporated into those aerosol reanalyses through data assimilation. High-latitude fires are strongly influenced by weather patterns including large-scale transport patterns (e.g. Flannigan and Harrington 1998; Skinner et al. 1999). Thus, BB smoke in particular, is more realistically accounted for in aerosol reanalyses.

To our knowledge, this is the first time aerosol reanalysis products are evaluated and compared over the Arctic. The goal of the study is to provide a baseline of AOD distribution, magnitude, speciation, and interannual variability over the Arctic during sea ice melting season, which can be used for evaluating aerosol models and further calculating aerosol radiative forcing, and providing background information for field campaign data analysis and future field campaign planning in a larger climate context. The paper is organized as follows: Sect. 2 and 3 introduce the data sets and methods respectively. Sect. 4 verifies the reanalyses. Results are reported in Sect. 5. Discussions and conclusions are provided in Sect. 6 and 7.

158

159 **2. Data**

A combination of aerosol reanalyses, remote sensing aerosol data, and ground-based aerosol measurements are used to describe source dependent AOD and its trend over the Arctic during spring (March-May, ie., MAM) and summertime (June-August, ie., JJA). The aerosol reanalyses include the Navy Aerosol Analysis and Prediction System reanalysis (NAAPS-RA; Lynch et al., 2016) developed at the Naval Research Laboratory, the NASA Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2; Randles et al., 2017), and the Copernicus Atmosphere Monitoring Service ReAnalysis (CAMSRA; Inness et al., 2019) produced at ECMWF. The remote sensing data include retrievals of AOD from the Moderate



Resolution Imaging Spectroradiometer (MODIS; Levy et al., 2013), the Multi-angle Imaging SpectroRadiometer (MISR; Kahn et al., 2010), and Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP). Sun photometer data from the Aerosol Robotic Network (AERONET; Holben et al., 1998) sites and oceanic Maritime Aerosol Network (MAN, Smirnov et al., 2009) measurements are also used. Overviews of remote sensing techniques for Arctic aerosols can be found in Tomasi et al. (2015) and Kokhanovsky et al. (2020). The analysis period is focused on 2003-2019, when all three aerosol reanalyses are available. Also, both Terra and Aqua Moderate Resolution Imaging Spectroradiometer (MODIS) AOD retrievals were ingested into those aerosol reanalyses through data assimilation. It is notable that MODIS AOD retrievals are very limited over the Arctic region due to snow and ice coverage as well as challenges in cloud-clearing over cold and bright surfaces. Still, we expect the assimilation of MODIS AOD over lower latitudes to provide certain constraints in AOD for those aerosol reanalyses over the Arctic region.

183

184 2.1 MODIS AOD

AOD data from MODIS on Terra and Aqua was based on Collection 6.1 Dark Target and Deep Blue retrievals (Levy et al., 2013). Additional quality control and some corrections were applied as described in Zhang and Reid 2006, Hyer et al. 2011, Shi et al. 2011, and Shi et al. 2013, and were updated for the Collection 6.1 inputs. The quality-assured and quality-controlled MODIS C6 AOD data (550 nm) are a level 3 product that is produced at $1^\circ \times 1^\circ$ latitude/longitude spatial and 6-hrly resolution. To study long-term aerosol climatology and trends, the MODIS AOD data are further binned into monthly from those 6-hrly averages. Seasonal means and trends are derived only when the total count of $1^\circ \times 1^\circ$ degree and 6-hrly data is greater than 10 for a season.

195

196 2.2 MISR AOD

Onboard the Terra satellite platform, the MISR instrument provides observations at nine different viewing zenith angles at four different spectral bands ranging from 446 to 866 nm, allowing for AOD retrievals over bright surfaces, such as desert regions (Kahn et al., 2010). MISR Version 23 AOD data at 558 nm (Garay et al., 2020) were analyzed between Jan 2003 and December 2019. No MISR AOD is available over Greenland due to snow and ice coverage. Monthly gridded MISR AOD data were created by averaging only MISR data with 100% clear pixels, as defined by each pixel's 'cloud screening parameter', at a spatial resolution of $1^\circ \times 1^\circ$ latitude/longitude. Further only data points with number of seasonal gridded data greater than 20 is used to derive the climatology and trend.

207



208 2.3 CALIOP AOD

209 CALIOP, the primary instrument on the Cloud-Aerosol Lidar and Infrared Pathfinder
210 Satellite Observations (CALIPSO) satellite, is a polarization-sensitive lidar that operates
211 at two wavelengths (532 and 1064 nm; Winker et al. 2003). Since its launch in 2006, it
212 has collected vertical observations of atmospheric aerosols and clouds for over fifteen
213 years. The CALIPSO analyses for this study primarily utilize daytime and nighttime 532
214 nm aerosol extinction coefficient data from the Version 4.2 (V4.2) Level 2 (L2) aerosol
215 profile product (5 km horizontal/60 m vertical resolution) (Kim et al., 2018), with the V4.2
216 L2 aerosol layer product used for quality assurance (QA) procedures. The CALIOP
217 aerosol profiles are rigorously QAed before analysis, as implemented and described in
218 detail in past studies (Campbell et al. 2012; Toth et al. 2016; 2018). Only cloud-free
219 CALIOP profiles are used, as determined through the atmospheric volume description
220 (AVD) parameter included in the aerosol profile product (i.e., we implement a strict
221 cloud screening procedure for which we exclude CALIOP profiles with any range bin
222 classified as cloud by the AVD parameter). Additionally, we note that a significant
223 portion of CALIOP aerosol profile data consists of retrieval fill values (-9999s, or RFVs),
224 due in part to the minimum detection limits of the lidar. In fact, for some areas in the
225 Arctic region, over 80% of CALIOP profiles consist entirely of RFVs (Toth et al. 2018).
226 These result in column AODs equal to zero, and as such including them in the
227 composites would artificially lower the mean AOD. Thus, they are excluded from our
228 analysis. Lastly, the cloud-free QAed profiles without AOD equal to zero profiles are
229 used to compute mean CALIOP AOD at $2^\circ \times 5^\circ$ latitude/longitude resolution. To ensure
230 spatial and temporal representation, seasonal means and trends are derived only when
231 the total count of gridded data is greater than 20 for a season.

232 2.4 AERONET

233 The AErosol RObotic NETwork (AERONET) is a ground-based global scale sun
234 photometer network. AERONET instruments measure sun and sky radiance at several
235 wavelengths, ranging from the near-ultraviolet to the near-infrared. This network has
236 been providing high-accuracy daytime measurements of aerosol properties since the
237 1990s (Holben et al., 1998; Holben et al., 2001). Only cloud-screened, quality-assured
238 version 3 Level 2 AERONET data (Giles et al., 2019) are used in this study.
239 The 500 nm fine mode (FM) and coarse mode (CM) AODs from the Spectral
240 Deconvolution Method (SDA) of O'Neill et al. (2003), along with the FM spectral
241 derivative at 500 nm are used to extrapolate FM AOD to 550 nm. It is assumed the CM
242 AOD at 500 nm and 550 nm are equal. Total AOD at 550 nm is simply the sum of FM
243 and CM AODs at 550 nm. The SDA product is an AERONET product that has been
244 verified using in situ measurements (see for example Kaku et al., 2014) and a variety of
245 co-located lidar experiments (see, for example, Saha et al., 2010 and Baibakov et al.,
246 2015). The FM and CM separation is effected spectrally: this amounts to a separation of



the FM and CM optical properties associated with their complete FM and CM particle size distributions. This optical separation, characterized by the ratio of FM AOD to total AOD at 550 nm is referred to as the fine mode fraction (FMF). An analogous FM and CM AOD separation in terms of a cutoff radius applied to the retrieved or measured particle size distribution is referred to as the sub-micron fraction (SMF; where the numerator of the SMF is the FM AOD associated with the AOD contribution of particles below the cutoff radius). The SMF is the basis of the comprehensive AERONET (AOD & sky radiance) inversion. The SDA algorithm and the AERONET inversion generate FM and CM AODs that are moderately different (see Sect. 4 Kleidman et al., 2005): the advantage of the SDA is its significantly higher retrieval resolution (~ a few minutes versus ~ an hour for the AERONET inversion) and thus retrieval numbers, its independence from a variable cut off radius and its greater operational generality (being applicable to other networks such as the MAN sunphotometer network).

AERONET data are binned into 6-hr intervals centered at normal synoptic output times of the reanalyses (0, 6, 12, and 18 UTC) and then averaged within the bins. Monthly mean AERONET AOD is derived only when the count of 6-hr AERONET data exceeds 18 to ensure temporal representativeness. Ten AERONET sites were selected (Table 1, Fig. 1) based on regional representativeness (coupled with the reality of the sparsity of AERONET sites in the Arctic), the availability of data records between Jan 2003 and Dec 2019 (the main study period), and for easier comparison with other Arctic studies (e.g. Sand et al., 2017).

We found that thin clouds could occasionally be identified and retrieved as CM aerosols in level 2, version 3 AERONET data. These retrievals were manually removed by identifying such thin clouds using Terra and Aqua visible-wavelength imagery from [NASA Worldview](#) and comparing 6-hrly NAAPS-RA with AERONET AODs. CM AOD greater than 3-sigma level was then also removed (as per AboEl-Fetouh et al., 2020).

2.5 MAN AOD

The Marine Aerosol Network (MAN) is a hand-held Microtops sun photometer counterpart to AERONET, available for over ocean measurements where a standard Cimel sun photometer is not feasible (Smirnov et al., 2009, 2011). The products share AERONET nomenclature, and data processing is similar to that of AERONET. For this study, Level2 data above 60°N for the period of 2003-2019 are used. FM and CM AOD at 550 nm are derived based on SDA (O'Neill et al., 2003) and averaged over 6-hr time bins.

2.6 NAAPS AOD reanalysis v1



286 The Navy Aerosol Analysis and Prediction System (NAAPS) AOD reanalysis (NAAPS-
287 RA) v1 provides 550 nm speciated AOD at a global scale with $1^\circ \times 1^\circ$ degree spatial and
288 6-hrly temporal resolution for the years 2003-2019 (Lynch et al., 2016). This reanalysis
289 is based on NAAPS with assimilation of quality-controlled retrievals of AOD from
290 MODIS and MISR (Zhang et al., 2006; Hyer et al., 2011; Shi et al., 2011). AODs from
291 anthropogenic and biogenic fine aerosol species (ABF, a mixture of sulfate, BC, organic
292 aerosols and secondary organic aerosols from non-BB sources), dust, biomass-burning
293 smoke, and sea salt aerosols are available. The aerosol source functions were tuned to
294 obtain the best match between the model FM and CM AODs and the AERONET AODs
295 for 16 regions globally. Wet deposition processes were constrained with satellite-
296 derived precipitation (Xian et al., 2009). The reanalysis reproduces the decadal AOD
297 trends found using standalone satellite products over the globe (except the polar
298 regions due to lack of verification data) in other studies (e.g., Zhang et al., 2010; 2017).
299 Note that although a first-of-its-kind Ozone Monitoring Instrument (OMI) data
300 assimilation method has been developed for directly assimilation OMI Aerosol Index (AI)
301 over bright surfaces such as snow and ice covered regions (Zhang et al., 2021),
302 research progress is on-going for developing data-assimilation quality OMI AI data over
303 the Arctic region and thus, the OMI AI data assimilation is not included in this study.

304 2.7 MERRA-2 AOD reanalysis

305 NASA Modern-Era Retrospective Analysis for Research and Applications, version 2
306 (MERRA-2) includes aerosol reanalysis, which incorporates assimilation of AOD from a
307 variety of remote sensing sources, including MODIS and MISR after 2000. The aerosol
308 module used for MERRA-2 is the Goddard Chemistry, Aerosol Radiation and Transport
309 model (GOCART; Chin et al. 2000; Colarco et al., 2010), which provides simulations of
310 sulfate, black and organic carbon, dust and sea salt aerosols. A detailed description and
311 global validation of the AOD reanalysis product can be found in Randles et al. (2017)
312 and Buchard et al. (2017). For this study, monthly mean speciated AODs and total AOD
313 at 550 nm with 0.5° latitude and 0.625° longitude spatial resolution are used.

314 2.8 CAMSRA AOD reanalysis

315 The Copernicus Atmosphere Monitoring Service (CAMS) Reanalysis (CAMSRA, Inness
316 et al., 2019) is a new global reanalysis of atmospheric composition produced at the
317 ECMWF, after the MACC reanalysis (Inness et al., 2013) and CAMS interim reanalysis
318 (Flemming et al., 2017). The dataset covers the period of 2003–2020 and is being
319 continued for subsequent years. The model is driven by the Integrated Forecasting
320 System (IFS) used at ECMWF for weather forecasting and meteorological reanalysis,
321 but at a coarser resolution and with additional modules activated for prognostic aerosol
322 species (dust, sea salt, organic matter, black carbon and sulfate) and trace gases.



323 Radiative impact of aerosol particles and ozone on meteorology is included. Satellite
324 retrievals of total AOD at 550 nm are assimilated from MODIS for the whole period, and
325 from the Advanced Along-Track Scanning Radiometer for 2003–2012, using a 4D
326 variational data assimilation system with a 12-hour data assimilation window along with
327 meteorological and trace gas observations. The speciated AOD products are available
328 at a 3-hourly temporal resolution and a $\sim 0.7^\circ$ spatial resolution, and monthly mean
329 AODs at 550 nm are used in this study. Model development has generally improved the
330 speciation of aerosols compared with earlier reanalyses, and evaluation against
331 AERONET globally is largely consistent over the period of the reanalysis.

332 2.9 Multi-reanalysis-consensus (MRC) AOD

333 All three of the individual reanalyses are largely independent in their underlying
334 meteorology and in their aerosol sources, sinks, microphysics, and chemistry. They
335 were also generated through data assimilation (DA) of satellite and/or ground-based
336 observations of AOD. The assimilation methods, and the assimilated AOD observations,
337 including the treatments of the observations prior to assimilation (quality control, bias
338 correction, aggregation, and sampling, etc.), are often different too, despite consistent
339 use of data from the MODIS with its daily global spatial coverage.

340 Based on the three aerosol reanalysis products described above, we made an MRC
341 product following the multi-model-ensemble method of the International Cooperative for
342 Aerosol Prediction (ICAP, Sessions et al., 2015; Xian et al., 2019). The MRC is a
343 consensus mean of the three individual reanalyses, with a $1^\circ \times 1^\circ$ degree spatial and
344 monthly temporal resolution. Speciated AODs and total AOD at 550 nm for 2003–2019
345 are available. This new product is validated here with ground-based AERONET
346 observations for the Arctic along with three component reanalysis members. Validation
347 results in terms of bias, RMSE, and coefficient of determination (r^2) for monthly-mean
348 total, FM and CM AODs are presented in Tables 2, 3, 4. The MRC, in accordance with
349 the ICAP multi-model-consensus evaluation result, is found to generally be the top
350 performer among all of the reanalyses for the study region.

351

352 2.10 Fire Locating and Modeling of Burning Emissions (FLAMBE) v1.0

353

354 FLAMBE is a biomass-burning emission inventory based on satellite active fire hotspot
355 approach (Reid et al., 2009; Hyer et al., 2013). FLAMBE can take satellite fire products
356 from either geostationary sensors, which offer faster refresh rates and observation of
357 the full diurnal cycle, or polar orbiters, which have a greater sensitivity. There are
358 significant daily sampling biases and additional artifacts from day to day shifts in the
359 orbital pattern for polar-orbiting satellites (e.g., Heald et al., 2003, Hyer et al., 2013).
360 However, the polar-only version of FLAMBE, which takes MODIS-based fire data, is



more appropriate for reanalysis and trend analysis, as over the study period, multiple changes in the geostationary constellation posed a challenge for consistency of the smoke source function. Because of the same requirement for temporal consistency, the FLAMBE MODIS-only smoke source was also used in the NAAPS-RA v1. Inferring from the time series of yearly BB emission for the Arctic region based on other inventories, including the Global Fire Assimilation System (GFAS; Kaiser et al., 2012), and the Global Fire Emission Dataset (GFED; Randerson et al., 2006; van derWerf et al., 2006), FLAMBE has the same sign of trend of BB emissions for the similar study period (using BC emission of Fig. 2 in McCarty et al., 2021).

370

371 3. Method

The Arctic AOD climatology and trends are analyzed in this study using remote sensing products derived from MODIS, MISR, CALIOP, and AERONET (each sensor typically generating aerosol products of different native wavelengths). The 550 nm AOD was employed as the benchmark parameter for this study since the three aerosol reanalyses AODs and the MODIS AOD are all available at 550 nm while the 558nm and 532nm AODs of MISR and CALIOP are appreciably close to 550 nm. AERONET and MAN modal AODs at 550 nm were derived using the SDA method as described in Sect. 2.4 and 2.5. Arithmetic means were employed for all the data processing in order to be consistent with the arithmetic statistics that are usually reported in the literature and with the arithmetic statistics of the monthly data from the aerosol reanalyses. Various studies have shown that geometric statistics are more representative of AOD histograms (see, for example, Hesaraki et al., 2017 and Sayer et al., 2019). However, Hesaraki et al. (2017) showed that arithmetic statistics could be employed to readily estimate geometric statistics¹. This option effectively renders the reporting of arithmetic or geometric statistics less critical.

The species of interest are biomass burning (BB) smoke, anthropogenic and biogenic fine aerosols (ABF) in NAAPS, and its equivalent of sulfate for MERRA-2 and CAMSRA, dust and sea salt aerosols. Anthropogenic aerosol particles, as an external climate forcer, normally draw noticeable attention in climate studies (e.g. Wang et al., 2018; Ren et al., 2020; Yang et al., 2018; Sand et al., 2016; Eckhardt et al., 2015; Brieder et al., 2017). However, BB smoke, which can be both natural and anthropogenic in origin, are often shown to be the largest contributor to AOD and concentration during the Arctic summer over the last two decades, in both modeling (Evangelizou et al. 2016; Sand et al. 2017) and observational-based studies (Eck et al. 2009; Eckhardt et al. 2015; Stohl et al. 2007; Warneke et al. 2009). Recent measurements of BC in Arctic snow also show a strong association with BB based on tracer correlations and optical properties (Hegg et

¹ with an erratum: the equation (2) transformation to geometric mean should be $\tau_{g,x} = \frac{\langle \tau_x \rangle}{\exp\left(\frac{\ln^2 \mu_x}{2}\right)}$



al., 2009; Doherty et al., 2010; Hegg et al., 2010; Khan et al., 2017). A climate modeling study recently found that a much larger Arctic climate variability and enhanced sea ice melting are introduced by using BB emissions with interannual variability compared to using a climatological monthly mean BB emission (DeRepentigny et al., 2021), indicating the importance of quantifying the magnitude and interannual variability of BB smoke in Arctic climate forcing estimates. Thus BB smoke AOD is separated out from the total AOD as a single species in this study.

The separation of species in this analysis is a bit arbitrary as the representation of different aerosol types and sources in each reanalysis is slightly different. The NAAPS model is unique compared to other reanalyses and operational models in that it carries aerosol species by source rather than chemical speciation. For example, biomass burning and a combined ABF are carried as separate species and permit explicit hypothesis testing about the sources, sinks, and optical properties. Conversely, MERRA-2 and CAMSRA carry organic carbon (OC)/organic matter (OM), black carbon (BC) and various inorganic species combining a multitude of anthropogenic, biogenic and open biomass burning source pathways. In this study the sum of OC/OM and BC AOD is used to approximate BB smoke AOD from CAMSRA and MERRA-2. The ratio of BC to the sum of BC and OC/OM is about 10% for areas north of 60°N on average for both MERRA-2 and CAMSRA for both MAM and JJA, except about 20% in MERRA-2 for MAM.

It is worth noting that all the three reanalyses use hourly/daily BB smoke emission inventories that use dynamic smoke sources detected by polar-orbiting satellites, e.g., FLAMBE (Reid et al., 2009) for NAAPS-RA, Quick Fire Emissions Dataset (QFED) for MERRA-2 after 2010 (GFED with monthly BB emission before 2010, Randerson et al., 2006; van derWerf et al., 2006), and Global Fire Assimilation System (GFAS, Kaiser et al., 2012) for CAMSRA. This is expected to yield a better spatial and temporal representation of BB smoke emissions compared to the climate models in which monthly mean BB inventories are often applied (e.g. Sand et al., 2017).

We also assume all dust and sea salt are CM, while other model aerosol species, including ABF in NAAPS-RA, sulfate in MERRA-2 and CAMSRA, BB smoke in NAAPS-RA, black carbon and organic carbon in MERRA-2 and CAMSRA are FM aerosol particles. This approximation (the sequestering of dust and sea salt to the coarse mode regime) is based on the fact that FM dust and sea salt only contribute to a small portion of the total dust or sea salt AOD at 550 nm (for example, FM mode dust contributes to about 30% and 39% of total dust AOD globally in MERRA-2 and CAMSRA respectively. The numbers are 17% and 10% for sea salt), while NAAPS-RA has a simple microphysics that assumes all dust and sea salt are CM. This usage renders the bulk-aerosol analysis more convenient.



The significance test for trend analysis applies the same calculation method as in Zhang et al. (2010; 2017), following the method of Weatherhead et al. (1998). This trend analysis method requires a continuous time series of data.

4. Comparison of AODs from aerosol reanalyses and AERONET

AERONET observations are typically more frequent during the summer than in the spring and are therefore more temporally representative in JJA. As a consequence, we preferentially used a JJA climatology to illustrate reanalyses vs AERONET comparisons. Figure 1 shows the 2003-2019 JJA mean fine mode (FM) and coarse mode (CM) AODs at 550 nm from AERONET and the speciated AODs at 550 nm from NAAPS-RA, MERRA-2, and CAMSRA. All three aerosol reanalyses appear to capture the total AOD magnitudes to varying extents. The AERONET retrievals show that total AOD during the Arctic JJA season is dominated by contributions from FM aerosols. High FM AOD values (indicative of strong BB smoke influence) are found in Yakutsk and Tiksi in Siberia, and Bonanza Creek in Alaska. CM aerosols also contribute a substantial fraction, varying from a minimum of 15% in regions close to BB smoke sources to a maximum of ~25% at the Norwegian Sea and Greenland Sea coastal sites (Hornsund, Andenes, and Ittoqqortoormitt): these sites are likely impacted by sea salt aerosols lifted by North Atlantic cyclonic events. NAAPS-RA produces comparable FM and total AODs in general while showing a tendency to overestimate CM AODs (see Table 2 for explicit biases). The other two reanalyses (MERRA-2 and CAMSRA) produce higher FM AOD and total AOD and lower CM AOD compared to AERONET (see also Table 2).

Differences exist between the three reanalyses with respect to the FM and CM partitioning of aerosol species. For example, sea salt aerosols always dominate in the CAMSRA CM: this is a comment that even applies to some inland sites (e.g. Bonanza-Creek) and implies a modeling issue. Dust is the dominant CM species in NAAPS-RA and MERRA-2. This latter result was found for all AERONET sites: it is attributable to elevated dust layers transported from lower latitudes (Stone et al, 2007; Jacob et al., 2010; Breider et al., 2014; Aboele-Fetouh et al., 2020). The proportional contribution of dust to total AOD is the largest in NAAPS-RA: a result that could have contributed to its high bias in CM AOD (Table 2). The contribution of organic matter to FM AOD is generally larger in CAMSRA than in the other two reanalyses. On the whole, BB smoke is the largest contributing species to total JJA AOD over the Arctic. This is consistent across all the reanalyses except for some sites in NAAPS-RA (e.g. Andenes, Hornsund, and Kangerlussuaq where ABF AOD is slightly larger than BB smoke AOD). This can be partially due to the different types of speciation employed in NAAPS-RA: ABF includes anthropogenic and biogenic pollution aerosols, including sulfate, BC and organic aerosols of all origins except for biomass burning. It is also worth noting that



mean AODs over these Arctic sites are, in general, higher (0.01-0.02, and can be ~0.1 higher for the sites close to BB sources) than their median counterparts (Table 1) as well as their geometric means because AOD histograms are typically more lognormal than normal in form (asymmetric linear-AOD histograms with positively skewed tails as per, for example, Hesaraki et al., 2017): arithmetic means are, accordingly, often driven by extreme (>95% percentile for example) AOD events. Because these extreme events constitute an important part of the Arctic aerosol environment, the AOD means are presented here.

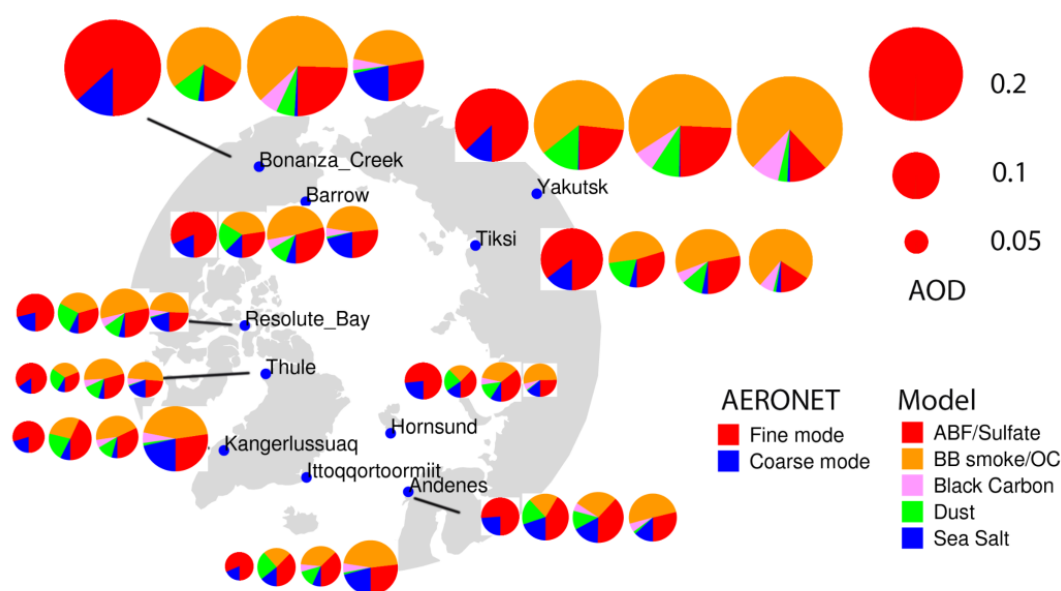


Figure 1. Polar projection map showing the locations of the AERONET Arctic sites (blue dots) used in this study. Long-term (2003-2019) JJA-mean FM and CM AODs at 550 nm from AERONET (leftmost circle of each group of four circles) and respectively, the speciated pie-charts of 550 nm AODs from NAAPS-RA, MERRA2, and CAMSRA for each site. Warm colors represent fine mode and cool colors represent coarse mode.

Table 1. Geographical properties of AERONET sites used in this study, and seasonal mean total, FM and CM AOD at 550nm derived with SDA for MAM and JJA based on 2003-2019 data when available. “n” represents the number of 6-hrly AERONET data.



sites	latitude	longitude	elevation (m)	region	MAM (mean median std)				MAM FMF		JJA (mean median std)				JJA FMF	
					total AOD	FM AOD	CM AOD	n	mean median		total AOD	FM AOD	CM AOD	n	mean median	
Hornsund	77.0N	15.6E	12	Svalbard	0.10 0.09 0.06	0.07 0.06 0.04	0.03 0.02 0.04	877	0.70	0.74	0.08 0.06 0.07	0.06 0.04 0.06	0.02 0.01 0.03	977	0.76	0.82
Thule	76.5N	68.8W	225	Greenland	0.09 0.07 0.05	0.06 0.05 0.03	0.03 0.01 0.04	1,233	0.76	0.81	0.07 0.05 0.07	0.06 0.04 0.06	0.01 0.01 0.02	1,555	0.85	0.88
Kangerlussuaq	67.0N	50.6W	320	Greenland	0.07 0.06 0.04	0.05 0.04 0.02	0.02 0.02 0.03	964	0.69	0.73	0.07 0.05 0.05	0.05 0.04 0.05	0.01 0.01 0.02	1,769	0.78	0.84
Ittoqqortoormiit	70.5N	21.0W	68	Greenland	0.07 0.06 0.05	0.04 0.04 0.02	0.03 0.01 0.04	635	0.72	0.78	0.06 0.04 0.05	0.05 0.03 0.05	0.01 0.01 0.02	1,280	0.81	0.86
Andenes	69.3N	16.0E	379	Norway	0.08 0.07 0.05	0.05 0.04 0.03	0.03 0.02 0.04	828	0.66	0.70	0.08 0.07 0.05	0.06 0.05 0.05	0.02 0.01 0.02	1,008	0.75	0.78
Resolute Bay	74.7N	94.9W	35	Canada	0.10 0.08 0.06	0.07 0.06 0.04	0.03 0.02 0.04	515	0.73	0.78	0.08 0.05 0.11	0.06 0.04 0.10	0.02 0.01 0.03	1,146	0.78	0.83
Barrow	71.3N	156.7W	8	Alaska	0.11 0.09 0.08	0.08 0.06 0.05	0.04 0.02 0.05	619	0.72	0.77	0.10 0.07 0.15	0.08 0.05 0.15	0.02 0.01 0.03	1,157	0.79	0.82
Bonanza Creek	64.7N	148.3W	353	Alaska	0.11 0.08 0.10	0.06 0.04 0.08	0.04 0.03 0.05	975	0.61	0.60	0.21 0.09 0.36	0.18 0.06 0.35	0.03 0.02 0.03	1,718	0.74	0.76
Tiksi	71.6N	129.0E	17	Siberia	0.10 0.10 0.03	0.08 0.08 0.03	0.02 0.01 0.02	39	0.80	0.82	0.13 0.08 0.18	0.11 0.07 0.17	0.02 0.01 0.02	449	0.80	0.85
Yakutsk	61.7N	129.4E	119	Siberia	0.15 0.11 0.15	0.11 0.08 0.13	0.04 0.02 0.05	1,454	0.74	0.78	0.16 0.09 0.25	0.14 0.07 0.25	0.02 0.01 0.02	2,414	0.80	0.84

492

493 Table 1 provides detailed geographical properties of the ten AERONET sites and the
 494 (arithmetic) mean, median and standard deviation of total, FM and CM AODs at 550 nm
 495 for both MAM and JJA based on available 2003-2019 data (the availability of AERONET
 496 data can be inferred from the monthly time series in Figure 2). The seasonal mean total
 497 AOD for Resolute Bay, the Greenland sites and Hornsund sites are $< \sim 0.1$ (0.06-0.10)
 498 while the Alaskan and Siberian sites values are $> \sim 0.1$ (0.10 to 0.15 with Bonanza
 499 Creek displaying a substantially larger value of 0.21 in JJA). All sites, except Bonanza
 500 Creek, tend to have moderately higher median AOD in MAM: this is consistent with
 501 other Arctic sunphotometer studies (Tomasi et al., 2015; Xie et al., 2018). The decrease
 502 in JJA, according to the reanalyses (Fig 4 and 5), is related to higher FM ABF/sulfate
 503 and/or CM dust and sea salt in MAM. It is also noted that this AOD seasonal difference
 504 may have evolved in the past two decades with a decreasing trend in ABF/sulfate as
 505 discussed in Sect. 5.3. The seasonal mean AOD is greater in JJA than in MAM for
 506 Yakutsk, Tiksi and Bonanza Creek: this is likely due to strong FM AOD variations
 507 associated with BB smoke events (see, for example, the discussions concerning the
 508 seasonal competition between FM AOD smoke and FM AOD Arctic haze, in AboEl-
 509 Fetouh et al., 2020). The standard deviations of the total and FM AODs are also high for
 510 those three sites.

511 The Table 1 median and mean of the FMF vary, respectively, between 0.60 to 0.88 and
 512 0.66 to 0.85 with higher FMF in JJA than in MAM. The MAM to JJA increase is coherent
 513 with the month-to-month increase of AboEl-Fetouh et al., (2020) although their 550 nm
 514 arithmetic means tend to be larger (monthly-binned extremes of 0.81 to 0.98). Most of
 515 this difference is likely attributable to differences between our FMF (SDA) separation of
 516 the product and the SMF (AERONET-inversion) separation of AboEl-Fetouh et al.'s
 517 climatology: the SMF is generally larger than the FMF because it tends to attribute a
 518 fraction of the CM particle size distribution and thus a fraction of the CM AOD to the FM
 519 AOD (see, for example, the 550 nm SMF vs FMF comparisons of Kleidman et al.,
 520 2005). More discussions about the differences in terms of FMF vs. SMF and arithmetic
 521 vs. geometric statistics are available in the supplement material.

522 **Table 2.** Total, FM and CM AOD bias of CAMSRA, MERRA-2, NAAPS-RA and their
 523 consensus mean MRC compared to AERONET monthly data.



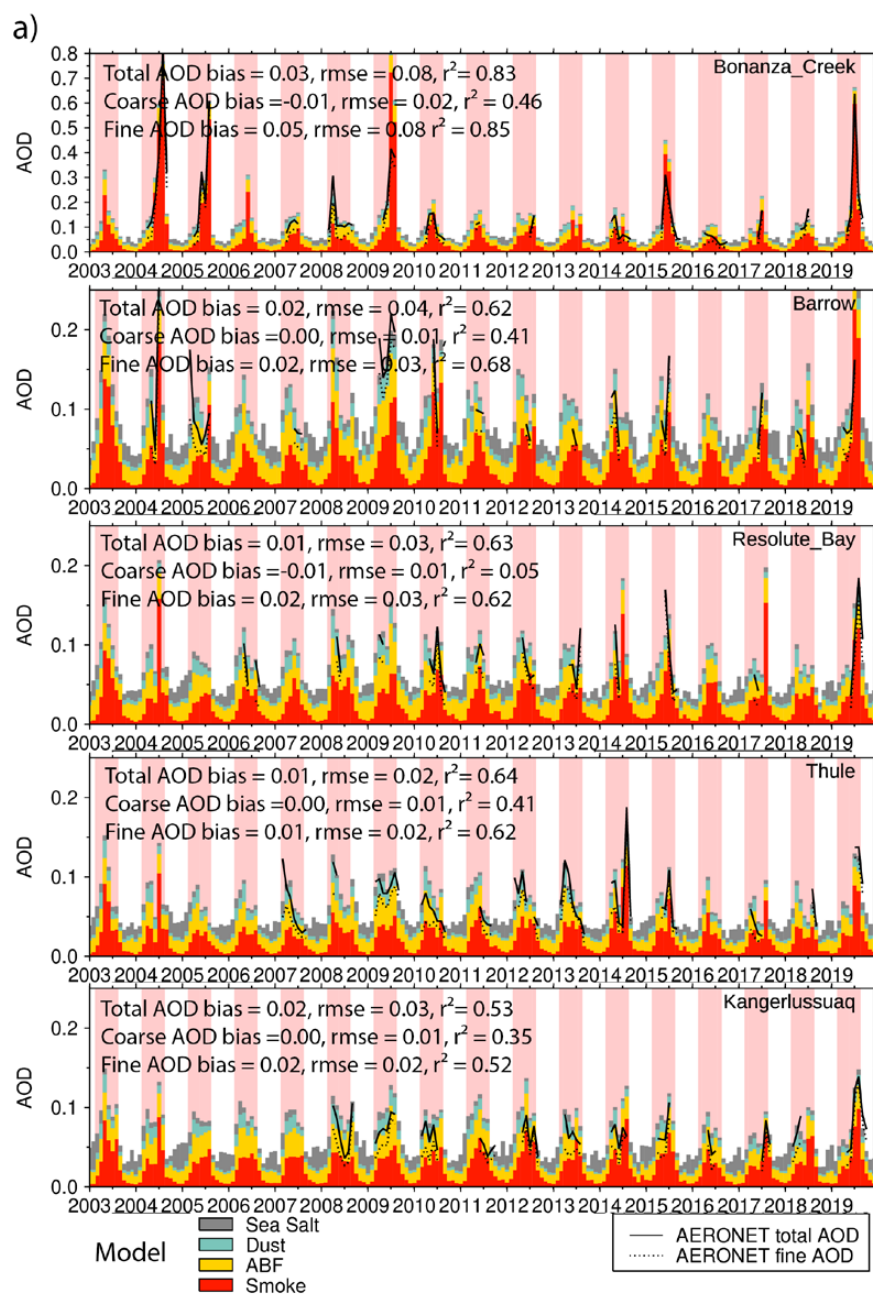
sites	Bias-total AOD				Bias-FM AOD				Bias-CM AOD			
	CAMSRA	MERRA2	NAAPS-RA	MRC	CAMSRA	MERRA2	NAAPS-RA	MRC	CAMSRA	MERRA2	NAAPS-RA	MRC
Hornsund	-0.02	0.01	0.00	0.00	-0.01	0.01	-0.01	0.00	-0.01	0.01	0.02	0.00
Thule	0.00	0.02	0.00	0.01	0.01	0.02	-0.01	0.01	-0.01	0.00	0.01	0.00
Kangerlussuaq	0.02	0.02	0.02	0.02	0.03	0.02	0.02	0.02	-0.01	0.00	0.02	0.00
Ittoqqortoormiit	0.04	0.03	0.02	0.03	0.04	0.02	0.00	0.02	0.00	0.01	0.02	0.01
Andenes	0.03	0.04	0.02	0.03	0.03	0.02	0.00	0.02	0.00	0.02	0.02	0.01
Resolute_Bay	0.01	0.02	0.01	0.01	0.03	0.02	0.00	0.02	-0.02	0.00	0.01	0.00
Barrow	0.02	0.03	0.00	0.02	0.04	0.03	-0.01	0.02	-0.02	0.00	0.02	0.00
Bonanza_Creek	0.06	0.04	0.00	0.03	0.09	0.05	0.00	0.05	-0.02	-0.01	0.00	-0.01
Tiksi	0.02	0.02	-0.01	0.01	0.04	0.02	-0.01	0.02	-0.02	0.00	0.01	0.00
Yakutsk	0.03	0.04	0.01	0.03	0.05	0.05	0.00	0.03	-0.02	0.00	0.01	-0.01
mean	0.02	0.03	0.01	0.02	0.04	0.03	0.00	0.02	-0.01	0.00	0.01	0.00
median	0.02	0.03	0.01	0.02	0.04	0.02	0.00	0.02	-0.02	0.00	0.02	0.00

Table 3. Same as Table 2, except for RMSE.

sites	RMSE-total AOD				RMSE-FM AOD				RMSE-CM AOD			
	CAMSRA	MERRA2	NAAPS-RA	MRC	CAMSRA	MERRA2	NAAPS-RA	MRC	CAMSRA	MERRA2	NAAPS-RA	MRC
Hornsund	0.04	0.02	0.02	0.02	0.03	0.02	0.02	0.02	0.02	0.01	0.02	0.01
Thule	0.02	0.03	0.02	0.02	0.03	0.03	0.02	0.02	0.02	0.01	0.02	0.01
Kangerlussuaq	0.03	0.03	0.03	0.03	0.04	0.02	0.02	0.02	0.01	0.01	0.02	0.01
Ittoqqortoormiit	0.04	0.03	0.02	0.03	0.05	0.03	0.01	0.02	0.01	0.01	0.02	0.01
Andenes	0.03	0.04	0.03	0.03	0.03	0.03	0.02	0.02	0.01	0.02	0.03	0.02
Resolute_Bay	0.03	0.04	0.02	0.03	0.04	0.04	0.02	0.03	0.02	0.01	0.02	0.01
Barrow	0.05	0.05	0.03	0.04	0.06	0.04	0.03	0.03	0.02	0.01	0.02	0.01
Bonanza_Creek	0.11	0.10	0.07	0.08	0.12	0.10	0.06	0.08	0.03	0.02	0.01	0.02
Tiksi	0.05	0.04	0.02	0.03	0.06	0.04	0.02	0.03	0.02	0.01	0.01	0.01
Yakutsk	0.07	0.07	0.04	0.06	0.08	0.07	0.04	0.06	0.03	0.01	0.01	0.01
mean	0.05	0.05	0.03	0.04	0.05	0.04	0.03	0.03	0.02	0.01	0.02	0.01
median	0.04	0.04	0.03	0.03	0.05	0.04	0.02	0.03	0.02	0.01	0.02	0.01

Table 4. Same as Table 2, except for r^2 .

sites	r^2 -total AOD				r^2 -FM AOD				r^2 -CM AOD			
	CAMSRA	MERRA2	NAAPS-RA	MRC	CAMSRA	MERRA2	NAAPS-RA	MRC	CAMSRA	MERRA2	NAAPS-RA	MRC
Hornsund	0.23	0.78	0.75	0.73	0.35	0.73	0.71	0.67	0.27	0.45	0.55	0.56
Thule	0.50	0.47	0.73	0.64	0.52	0.45	0.70	0.62	0.01	0.26	0.44	0.41
Kangerlussuaq	0.48	0.54	0.42	0.53	0.52	0.52	0.35	0.52	0.00	0.57	0.16	0.35
Ittoqqortoormiit	0.68	0.75	0.67	0.79	0.63	0.81	0.76	0.83	0.24	0.36	0.14	0.35
Andenes	0.67	0.63	0.68	0.71	0.68	0.66	0.64	0.71	0.10	0.23	0.21	0.21
Resolute_Bay	0.52	0.51	0.67	0.63	0.53	0.49	0.73	0.62	0.02	0.06	0.03	0.05
Barrow	0.33	0.68	0.70	0.62	0.45	0.76	0.69	0.68	0.05	0.27	0.41	0.41
Bonanza_Creek	0.81	0.78	0.80	0.83	0.83	0.79	0.82	0.85	0.06	0.43	0.45	0.46
Tiksi	0.77	0.80	0.87	0.84	0.82	0.82	0.90	0.86	0.02	0.20	0.10	0.15
Yakutsk	0.70	0.70	0.80	0.77	0.78	0.71	0.80	0.80	0.01	0.41	0.42	0.42
mean	0.57	0.66	0.71	0.71	0.61	0.67	0.71	0.72	0.08	0.32	0.29	0.34
median	0.60	0.69	0.72	0.72	0.58	0.72	0.72	0.70	0.04	0.32	0.31	0.38



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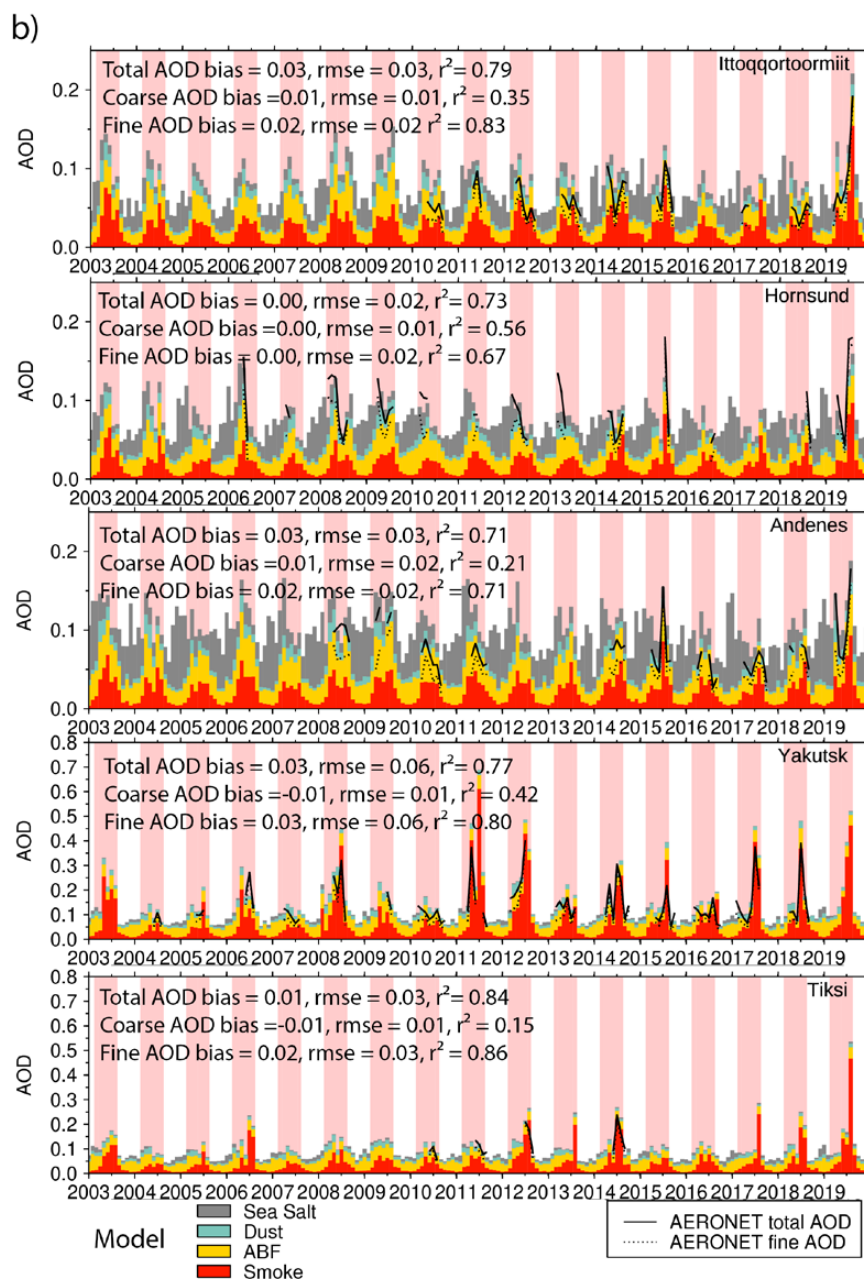


Figure 2. Monthly time series of fine, coarse, and total AODs from AERONET and MRC speciated AOD at a) Bonanza Creek, Barrow, Resolute Bay, Thule, Kangerlussuq, and b) Ittoqqortoormiit, Hornsund, Andenes, Yakutsk, and Tiksi sites. The JJA periods are highlighted with pink shading for easy reading. Annotations for each time series show bias, RMSE and r^2 calculated from the MRC. Monthly mean AERONET AOD is obtained



only when the total number of 6-hr AERONET data exceeds 18 to ensure temporal representativeness.

Figure 2 shows the time series of monthly mean modal AODs and total AODs from the 10 AERONET stations (CM AOD can be inferred from the difference between total AOD and FM AOD) and the speciated AODs from MRC (recall the approximation of dust and sea salt as CM, and ABF/sulfate and smoke as FM). The MRC verification statistics at the ten AERONET sites based on monthly data are given in the legends of Figure 2. Verification statistics of individual aerosol reanalysis members and the MRC based on monthly data are presented in Tables 2, 3, and 4 for bias, RMSE, and r^2 respectively. The MRC is consistently biased slightly high for FM AOD across all sites and about neutral for CM AOD for most. As a result, total AOD tends to bias slightly high, with biases ranging from 0.00 to 0.03. RMSE values range from 0.02 to 0.03 for most sites, except for Bonanza Creek, Yakutsk and Barrow with RMSE values of 0.06, 0.05 and 0.04, driven mainly by FM variations. The r^2 value ranges from 0.53 to 0.84, with FM AOD correlation ranging from much higher to marginally higher than that of CM AOD. This is understandable as FM AOD displays large variabilities (which models are more capable of capturing) while CM AOD displays relatively low values and smaller absolute variabilities on seasonal and interannual time scales. Also, emissions of CM aerosols like dust and sea salt, are driven dynamically by model or reanalysis surface winds where the surface wind dependency increases exponentially in amplitude: the simulation of this dependency has been a challenge to all global aerosol models (Sessions et al., 2015; Xian et al., 2019).

Our previous experience with multi-reanalysis and multi-model ensembles indicates, in general, that the consensus of multi-reanalyses or multi-models show better verification scores than individual component members (Sessions et al., 2015; Xian et al., 2019; Xian et al., 2020). However, these studies are based on more global analyses for which the Arctic impact is relatively weak because of the sparsity of observational Arctic data. Tables 2, 3 and 4 indicate that the Arctic is rather unique inasmuch as the MRC is not necessarily the top AOD-estimation performer. NAAPS-RA generally has moderately better bias, RMSE and r^2 verification scores for the total and FM AODs compared to MERRA-2 and CAMSRA while CM AOD does not perform as well. In previous MRC and multi-model consensus evaluations, all component members either performed comparably in terms of AOD RMSE, bias and r^2 or the number of multi models was relatively larger (e.g. 5 to 6 for the International Cooperative for Aerosol Prediction multi-model consensus). This study is the first time that all three developing centers have systematically evaluated their AOD reanalysis performance on an Arctic-wide climate scale.

5. Results of Arctic AOD climatology, trend and extreme event statistics



In this section we present spring and summertime Arctic AOD climatologies derived from space-borne remote sensing retrievals and aerosol reanalyses. We then present the seasonal cycle, interannual variability and trends of total and speciated AODs. Statistics of extreme AOD events in the Arctic are provided in the end.

5.1 Spring and Summertime AOD Climatology for the Arctic

5.1.1 Space-based remote sensing AOD climatology

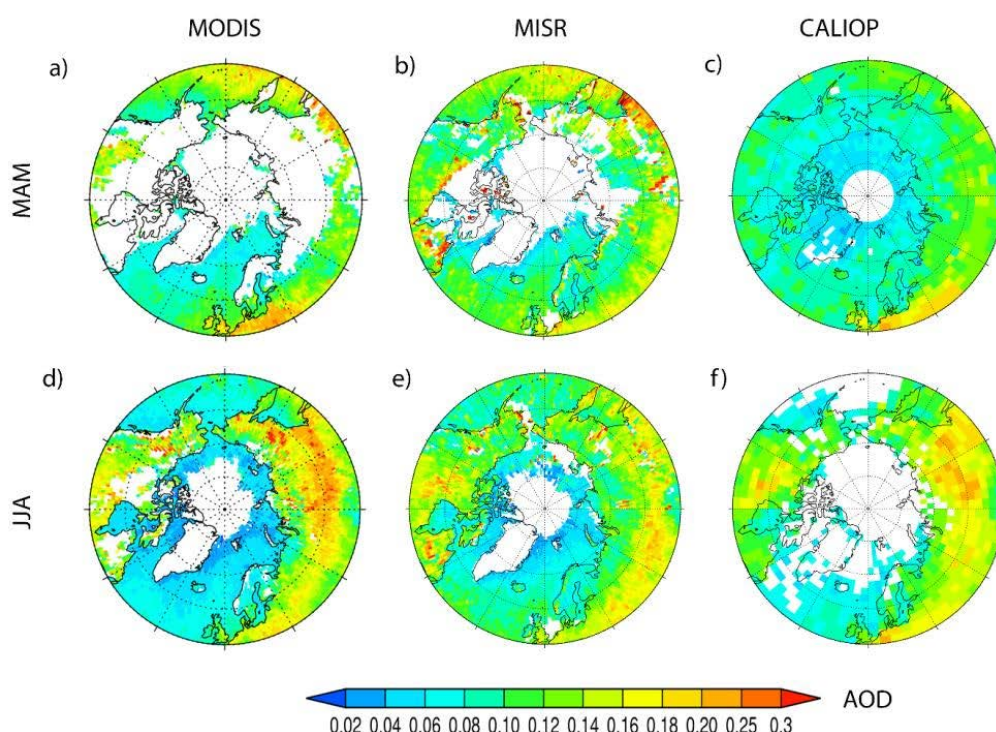


Figure 3. Satellite-derived, mean climatological MAM (upper) and JJA (lower) MODIS AOD at 550 nm (left), MISR AOD at 558 nm (middle), and CALIOP AOD at 532 nm (2006-2019, right). These are based on MODIS C6 DT+DB and MISR AOD v23 over 2003-2019, and CALIOP AOD over 2006-2019. White area means lack of data.

Bright, snow- and ice-covered surfaces, large solar zenith angles (to the extreme of sub-horizon SZAs during the polar night), and extensive cloud coverage result in limited (quality assured) Arctic aerosol retrievals by passive-based sensors like MODIS and MISR. The latitude limit of an active, downward-looking, polar-orbiting sensor like CALIOP on CALIPSO results in a polar region profile gap above 82°N. Known issues of CALIOP with retrieval filled values (RFVs) (Toth et al., 2018) and high noise to signal ratio over the Arctic also limit its aerosol retrievals near the Arctic. These challenges are reflected



as no data coverage (Fig. 3) in the high Arctic and Greenland, and over large regions of North America and Siberia in both March-April-May (MAM) and June-July-August (JJA) in the AOD climatology maps based on MODIS, MISR, and CALIOP. Compared to MAM, JJA has larger data coverage from MODIS and MISR over higher latitudes as aerosol retrievals from MODIS and MISR are based on reflected sunlight. Also, when snow and sea ice melt in summer, darker ocean and land surfaces that are suitable for applying passive-based aerosol retrieval methods are exposed. MAM data coverage for CALIOP is more than that of JJA due to less solar contamination during the night than during daytime for lidars. Nevertheless, the long operation time of these sensors (about two decades) provides sufficient data to construct a climatology for the near Arctic and the midlatitude where most sources of Arctic aerosols reside.

In general, the AOD patterns from the three sensors are similar. High AODs of 0.15-0.25 appear in the 50°N-65°N latitude belt over land, i.e., large areas of boreal and subarctic Siberia, east and central Europe and North America sector in both spring and summer, with AOD mostly higher than 0.2 over Siberia in JJA, associated with biomass burning events (Fig. 12). The average AOD over water is considerably lower, ranging from 0.02 to 0.12, with relatively high AOD in the northeast Pacific influenced by outflows from the Eurasian Continent, and lower AOD over the north Atlantic, and the lowest (0.02-0.06) over the Arctic Ocean. It is also visible that AOD over water is slightly higher in MAM than in JJA, which is consistent with other observation-based studies within the Arctic circle (e.g. Tomasa et al., 2015), possibly related to higher pollution levels from the upstream continents in MAM. CALIOP AOD exhibits a similar spatial pattern as MODIS and MISR. Additionally, AOD over Greenland is on the order of 0.02-0.06, and is a minimum compared to other regions due to its high elevations (nearly 2km on average). AOD over Siberia and North America is distinctively higher in JJA than in MAM based on CALIOP. This seasonal difference can also be seen with MISR and can be explained by seasonal boreal fire activities, i.e., boreal fire is generally more active in JJA than in MAM (Giglio et al., 2013). The seemingly larger seasonal difference in CALIOP than in MODIS and MISR over Siberia and North American could also be associated with different averaging times (2006-2019 vs. 2003-2019, and figure 2) as well as data sampling rate, as the swath for MODIS and MISR is on the order of a few hundred to a few thousand kilometers, while the swath for CALIPSO is on the order of 70m (see e.g., Colarco et al., 2014).

5.1.2 Arctic AOD climatology derived from aerosol reanalyses

Figure 4 and 5 show spatial distributions of 2003-2019 mean total and speciated AOD from the three aerosol reanalyses and their consensus mean for spring and summer respectively. Although there is limited AOD data available for DA in the Arctic, lower latitude aerosols, whose AOD is constrained with DA, can affect Arctic AOD through transport and thus exert an indirect AOD constraint there. Additionally, all the



reanalyses use satellite-fire-hotspot-based BB emissions with fine temporal resolution (hourly to daily), which exert a source constraint, especially temporally (emission magnitude differs more than timing among the different models). As a result, there are good similarities in spatial distributions of total AODs among the three reanalyses. For example, AOD values are high in the 50°N-65°N belt over the Eurasia continent and its downwind Pacific region (0.16-0.30), low and on the order of 0.1 or less for regions north of 70°N, and at a minimum over Greenland for MAM. The high AODs over boreal North America and Siberia BB regions are more prominent in JJA compared to MAM. In general, the distribution patterns and magnitude of total AOD are comparable to those derived from MODIS, MISR, and CALIOP where available to a large extent.

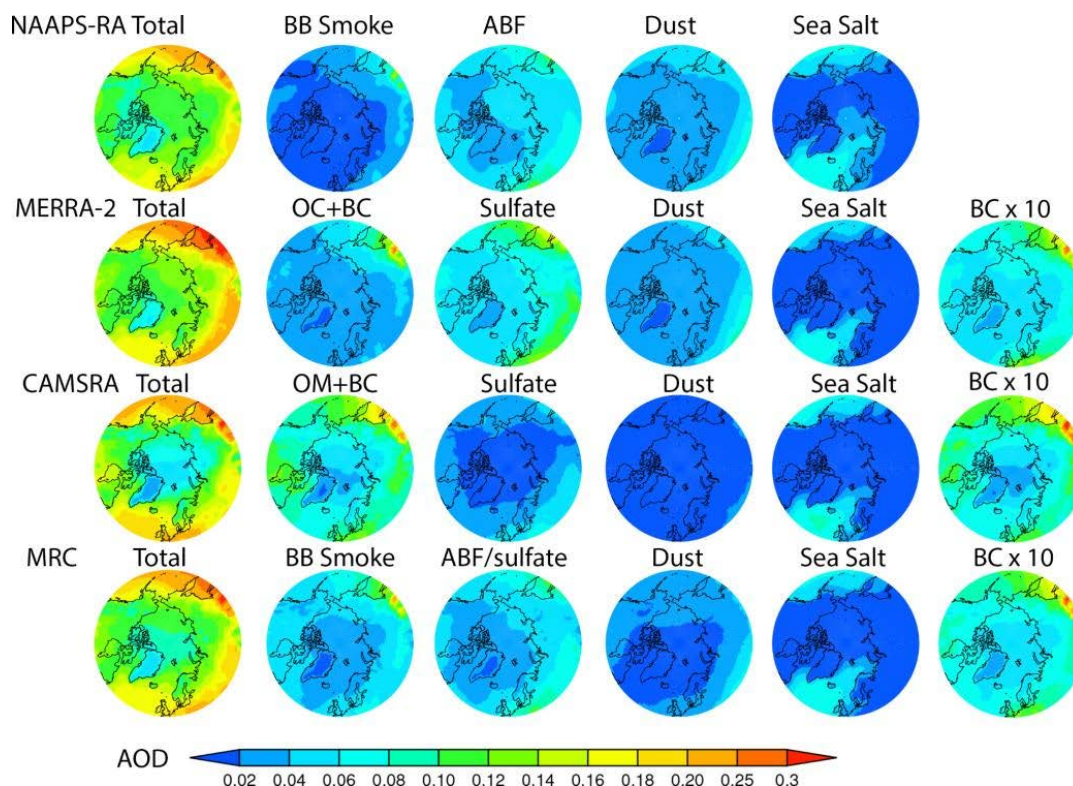


Figure 4. 2003-2019 Climatological MAM-mean total and speciated AOD at 550 nm from NAAPS-RA, MERRA-2 and CAMSRA over the Arctic. As MERRA2 and CAMSRA do not have a biomass-burning-induced single aerosol species, the sum of the organic carbon (OC)/organic matter (OM) and black carbon (BC) AODs is used to approximate biomass-burning smoke AOD. The ratio of BC to the sum of BC and OC/OM in MAM for area >60°N is about 18% for MERRA-2 and 10% for CAMSRA. The ratios change little for area >70°N and area >80°N.

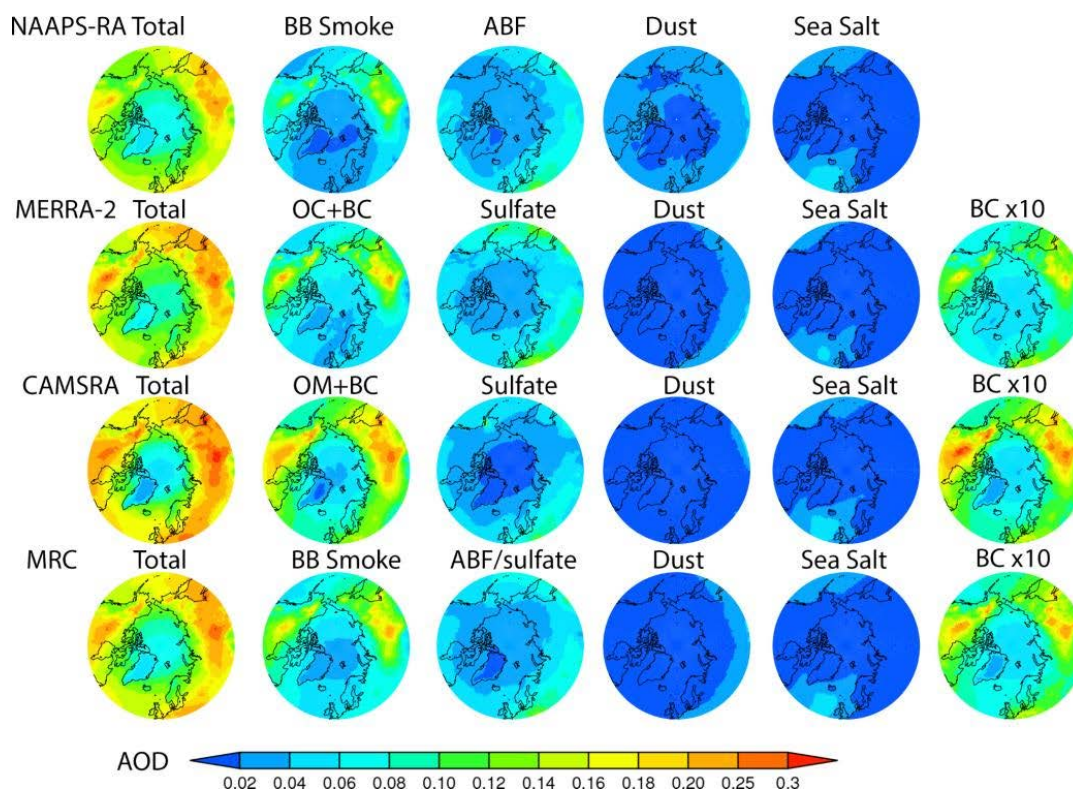


Figure 5. Same as Figure 4, except for JJA. The ratio of BC to the sum of BC and OC/OM in JJA is between 10%-11% for area $>60^{\circ}\text{N}$ for both MERRA2 and CAMSRA. This ratio changes little for area $>70^{\circ}\text{N}$ and area $>80^{\circ}\text{N}$.

Speciated AODs have more variability than total AOD among the three reanalyses, and a little more so for MAM than for JJA (Fig. 4, 5, 6). This is understandable because passive retrievals of AOD are more available in summer than in spring near the Arctic, and therefore reanalyses have more observational constraints in summer. While total AOD is constrained through data assimilation, however, speciated AOD is not and models must rely on their physics and boundary conditions. The MRC shows that BB smoke and ABF/sulfate are similar in magnitude for the Arctic in MAM. However, by model, NAAPS-RA and MERRA-2 suggest the dominance of ABF/sulfate over BB smoke, and the reverse for CAMSRA. Based on the high bias of FM AOD verified with AERONET (Sect. 4, Table 2), CAMSRA possibly overestimates OC and BC, and hence BB smoke. BB smoke becomes the dominant species in JJA as boreal BB activity increases in summer on average and ABF/sulfate turns to the 2nd place overall. The strengthening of smoke AOD from spring to summer is a consistent feature across all the reanalyses despite that CAMSRA tends to have higher BB smoke AOD and lower sulfate AOD compared to the other two reanalyses in both seasons. ABF/sulfate AOD



level is slightly higher in MAM than in JJA for MRC (from slightly less than 0.04 to about 0.03 for 60-90°N regional average). A June minimum in total AOD is apparent from all reanalyses, associated with a general decrease in ABF/sulfate, dust and sea salt AODs after springtime and before severe BB activities in July and August. The spatial distributions of seasonal mean BC AOD from MERRA-2 and CAMSRA greatly resemble those of smoke AOD, and more so for JJA than MAM, except over Europe. This suggests a dominant role of the BB source over the anthropogenic sources of BC AOD over the Arctic for spring and summer seasons. This also supports McCarty et al. (2021)'s BC emission estimate that wildfire emissions account for more than half of all BC emissions north of 60N yearly (noting much lower BB emissions during wintertime when anthropogenic BC emission is at its maximum).

For both seasons, dust and sea salt are secondary contributors to the total AOD in the Arctic, except for the noticeable influences of Saharan and Asian dust in spring (Stone et al., 2007; Brieder et al., 2014) and of sea salt in the North Atlantic, Greenland Sea, Norwegian Sea, and North Pacific associated with cyclonic activities, especially in spring. It is also noteworthy that dust AOD in CAMSRA is much lower than the other two models (<0.02) in the spring.

From the 10-degree zonal average, it is also seen that monthly and regional mean AOD gradually decreases from lower latitudinal belts to higher latitudinal belts (Fig. 7). Total AOD for the 60°-70°N belt, on average, increases from MAM to JJA due to the seasonality of BB activities. However, the total AOD for the 80-90°N belt decreases slightly from MAM to JJA. This means the latitudinal gradient of total AOD is larger in JJA than in MAM, which is most likely due to more wet removal of aerosols during transport from source regions to the high Arctic in summer (Garrett et al., 2010, 2011). It is also noted that the latitudinal gradient of AOD from CAMSRA is larger than those from the two other reanalyses, suggesting stronger aerosol removal in the Arctic in CAMSRA compared to MERRA-2 and NAAPS-RA.

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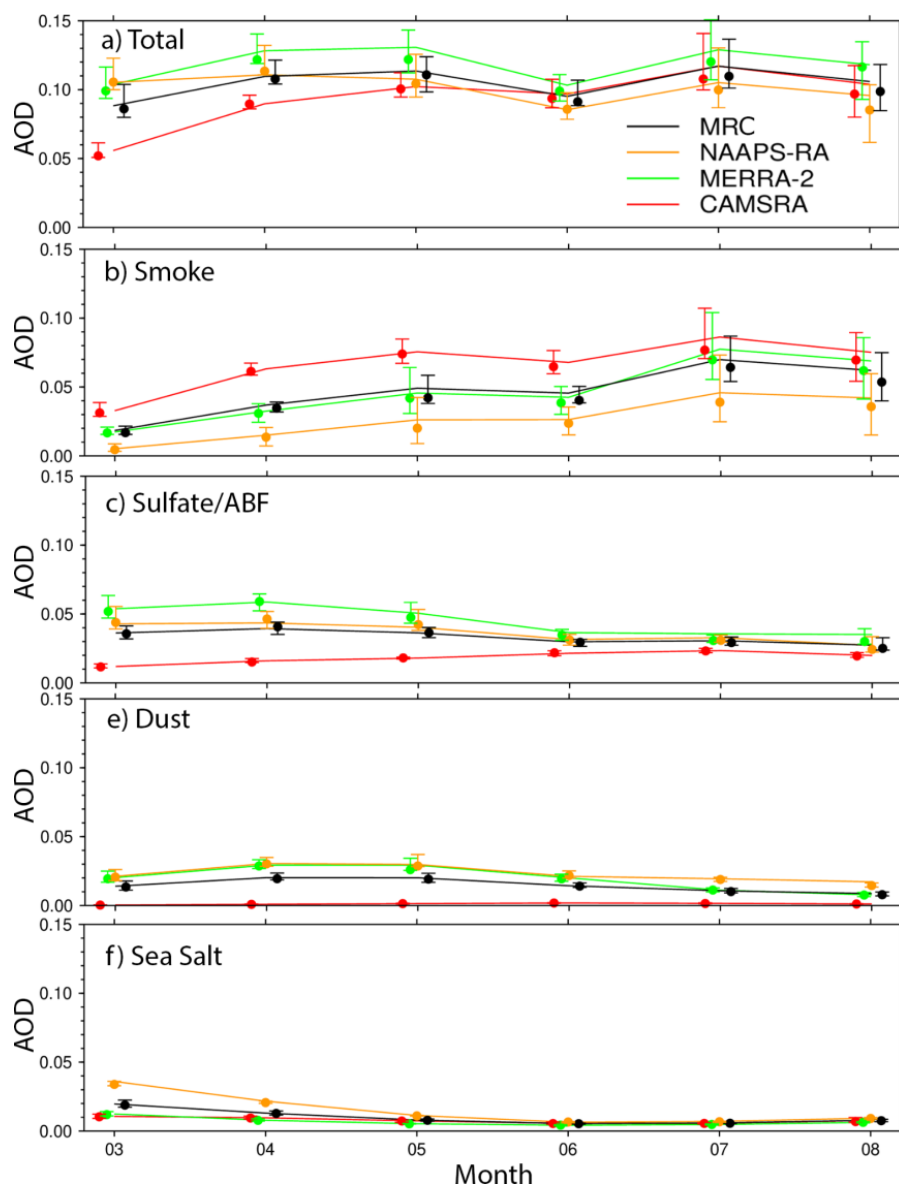


Figure 6. Climatological (2003-2019) seasonal cycle of Arctic (60°-90°N) average total and speciated AODs at 550 nm from the three aerosol reanalyses and the MRC. The top and bottom whiskers represent the 25% and 75% percentiles of monthly AODs, and dots represent the median of monthly AODs.

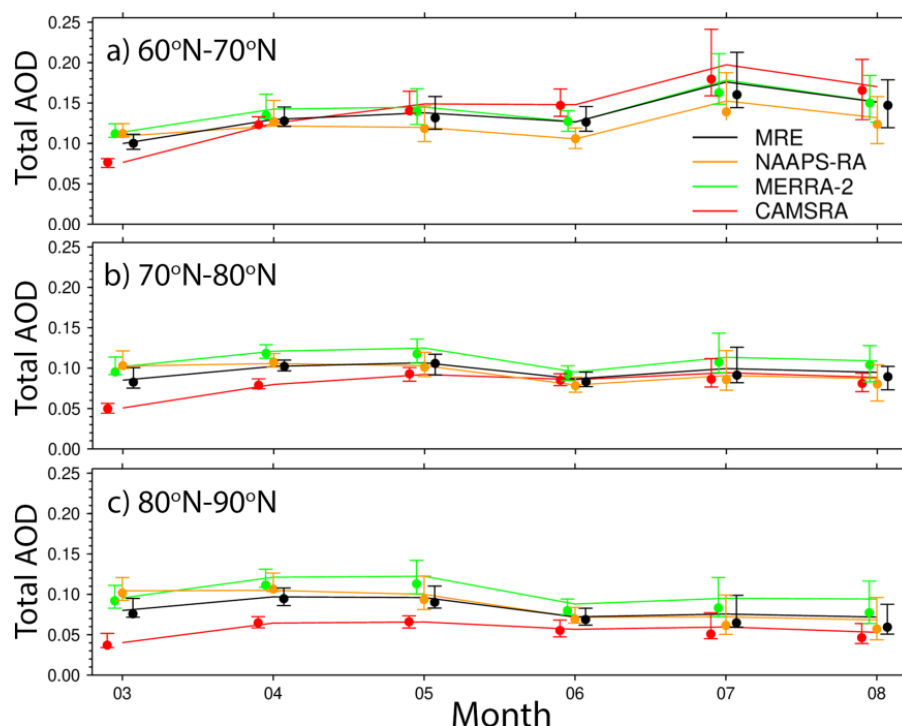


Figure 7. Similar to Figure 6, but for different latitudinal belts and total AOD.

5.2 Interannual variability of AOD in the Arctic

5.2.1 Interannual variability of AOD

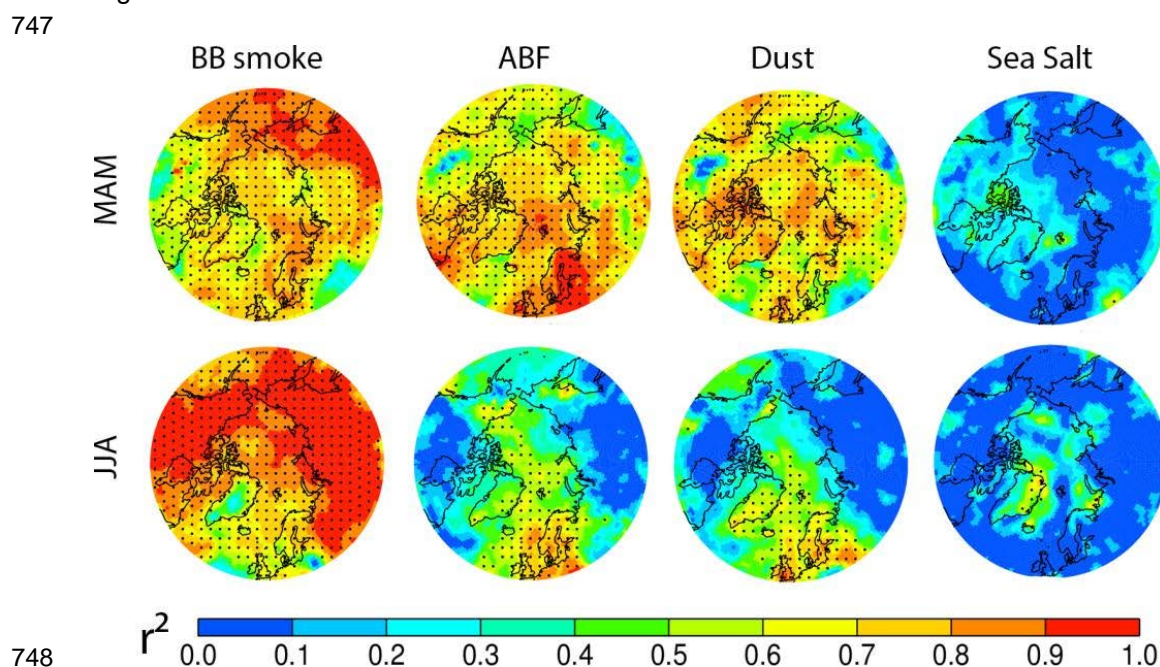
There are, as can be seen in Figure 2 (and supported by the MAM/JJA discussion in Sect. 4), significant interannual AOD variabilities, especially for sites close to boreal fire sources. For example, the summertime peak of the total AERONET AOD at Bonanza Creek, Alaska, is around 0.6 - 0.8 in 2004, 2005, and 2019, while it is $< \sim 0.1$ -0.2 for other years between 2003-2019. The year to year difference between high- and low-amplitude summertime peak AOD values at Yakutsk, Siberia, can be 6 fold. The MRC shows that these large interannual variabilities consistent with AERONET FM AOD variabilities, are very likely attributable to interannual variabilities in BB smoke.

For sites far from smoke sources, like Ittoqqortoormiit on the east coast of Greenland, Hornsund in Svalbard, and Thule on the northwest coast of Greenland, the high-amplitude peak AODs are about 2-3 times the low-amplitude peak AODs. This interannual spring to summer variability is also largely associated with BB smoke as suggested by the MRC and the coherent variation of the AERONET FM AOD. Some of the strongest AOD events reported in previous studies have been shown to be associated with the long-range transport of BB smoke. For instance, the strong AOD



727 peak in the summer of 2015 over Hornsund and Andenes was shown to be associated
 728 with a series of intense fires that originated in North America (Markowicz et al., 2016).
 729 The strong peak AODs in August 2017 over Resolute Bay, Eureka and Thule were most
 730 probably related to intense, fire-induced pyroCB events in North America and the long-
 731 range transport of high-altitude smoke (Ranjbar et al., 2019; Das et al., 2021). The high
 732 amplitude AOD peak in the spring of 2006 over Hornsund was traced to agricultural fires
 733 in Eastern Europe (Stohl et al., 2007). The boreal fires in North America in the summer
 734 2004 led to the maximum-amplitude AOD peaks (over the 2003-2019 period of Figure
 735 2) for the two Alaskan sites and enhanced AOD on the pan-Arctic scale (Stohl et al.,
 736 2004). Some of the high-amplitude AOD peak events were recorded during intensive
 737 field campaigns. These included the ARCTAS/ARCPAC multi-platform campaign in the
 738 summer of 2008 (Matsui et al., 2011; Saha et al., 2010; McNaughton et al., 2011) and
 739 the NETCARE research vessel (Canadian Arctic) campaign in the spring of 2015
 740 (Abbatt et al., 2019).

741
 742 The AERONET sites adjacent to the North Atlantic, the Greenland Sea, and the
 743 Norwegian Sea, notably Ittoqqortoormiit, Hornsund, and Andenes have higher CM
 744 AODs and higher CM to total AOD ratio compared to other sites: this is due to
 745 contributions from sea salt aerosols. Sea salt AOD, indicated by the MRC, is normally
 746 higher in MAM than in JJA.



749 **Figure 8.** Interannual variability of MRC MAM (upper panel) and JJA-mean (lower
 750 panel) total AOD at 550 nm explained by biomass-burning smoke AOD, ABF, dust, and



751 sea salt aerosols (i.e., the square of the correlation coefficient between speciated AOD
 752 and total AOD) respectively. r^2 in dotted area is statistically significant at the 95% level
 753 using a two-tailed Student t test.

754

755 5.2.2 Attribution of AOD interannual variability

756

757 It can be observed in Figure 6 that the simulated interannual (60-90°N) AOD variability
 758 (represented by the Figure 6 whisker bars) is mostly attributable to the large interannual
 759 variability of smoke AOD (especially from May to August). This is consistent across all
 760 the reanalysis products. For March and April, the contribution from sulfate/ABF is as
 761 important as BB smoke, if not larger. The interannual variation of dust AODs, as
 762 indicated with MERRA-2 and NAAPS-RA data, is non-negligible in MAM.

763

764 Regarding spatial distribution, Figure 8 shows the interannual variabilities of spring and
 765 summer Arctic AOD explained by different aerosol species (i.e. the square of the
 766 correlation coefficient between speciated AOD and total AOD) suggested by MRC for
 767 2003-2019. Consistent with the variability of monthly AOD time series shown in Figures
 768 2 and 6, both MAM and JJA interannual variabilities are explained mostly by BB smoke,
 769 with a higher degree of explanation for JJA than for MAM, and a lower degree of
 770 explanation for over the North Atlantic, Norwegian Sea and Greenland than over North
 771 American and Eurasian sectors overall. For north of 70°N, smoke explains 60%-80% of
 772 MAM and about 80% (except Greenland) of JJA AOD interannual variabilities. Over
 773 North American and Eurasian sectors (>60°N), the number is about 100% for JJA. The
 774 second-largest contributor is ABF/sulfate and dust for MAM and to a lesser extent for
 775 JJA. Contribution from sea salt is the least and is only statistically significant east of
 776 Greenland in JJA.

777

778 The contribution from ABF/sulfate is above 80% over the industry- and -population-
 779 concentrated European and northeast North American sectors and their outflow regions
 780 of the North Atlantic, Greenland Sea, Norwegian Sea, and the Arctic Ocean in MAM,
 781 while this number decreases to above 60% over Europe and the European Arctic
 782 (including water) and is insignificant over North America. Dust, possibly from Asian and
 783 high-latitude sources, could explain some of the interannual AOD variabilities over some
 784 regions, e.g. Greenland and Greenland Sea in JJA and additionally North Pacific and
 785 the Arctic ocean in MAM, however there exist large uncertainties in this evaluation
 786 based on the worse verification score of CM compared to FM AOD (Tables 2,3,4). And
 787 only CAMSRA among the three reanalyses considers high-latitude dust. Co-variability of
 788 species, e.g., BB smoke, ABF/sulfate, and dust, is discernible due to the same transport
 789 pathways from the midlatitudes to the Arctic. It is also possible that these species
 790 covary because of artifacts introduced by intrinsic treatment in AOD data assimilation
 791 for low AOD situations (Zhang et al., 2008).



792

793 5.3 Total and speciated AOD trends over 2003-2019

794

795 The total AOD trend for spring and summer over 2003-2019 derived from MODIS,
796 MISR, and CALIOP are presented in Figure 9. Because of the scarcity of valid retrievals
797 over the Arctic, the valid trend analysis is mostly limited to south of 70°N, and the north
798 Atlantic region, and with less coverage in MAM than in JJA from MODIS and MISR and
799 less coverage in JJA than MAM from CALIOP for reasons mentioned in Sect. 5.1.1.

800

801 5.3.1 AOD trends for springtime

802 For MAM, there is a general negative trend in total AOD over the 50-60°N belt and the
803 North Atlantic, with the largest negative trend of -0.06 to -0.10 AOD/decade being over
804 Europe, most probably due to a decrease in ABF/sulfate from decreased anthropogenic
805 emissions as indicated by the reanalyses (Figure 10). The negative trend from CALIOP
806 is slightly smaller than those from MODIS and MISR, again possibly attributed to a
807 shorter length of the data record, where earlier and more polluted years for Europe and
808 North America (2003-2006) is not included. All the reanalyses also show a negative
809 trend in total AOD pan-Arctic (-0.01 to -0.02 AOD/decade), except for a close-to-neutral
810 trend over the Arctic ocean and a very slight positive trend over boreal North America
811 from CAMSRA. All the reanalyses suggest that the negative trend over the southeast
812 Siberia and East Asian outflow region is associated with a decrease in BB smoke, and a
813 decrease in ABF/sulfate from NAAPS-RA and MERRA-2 in tandem. Other consistent
814 features found across the reanalyses include the negative trend over Europe associated
815 with decreasing ABF/sulfate, which is possibly related to anthropogenic emission
816 decrease over the past two decades (Breider et al., 2017), as well as a weak positive
817 trend of sea salt over the North Atlantic, which is possibly due to the observed increase
818 in cyclonic activities there (Rinke et al., 2017; Waseda et al., 2021; Valkonen et al.,
819 2021). It is worth noting that NAAPS-RA does not include emission trend for ABF, and
820 MERRA-2 doesn't either after 2008, which means the ABF/sulfate trends seen from
821 these two reanalyses are mostly driven by a negative AOD correction applied by the
822 data assimilation systems. This corroborates the negative trend in ABF/sulfate.

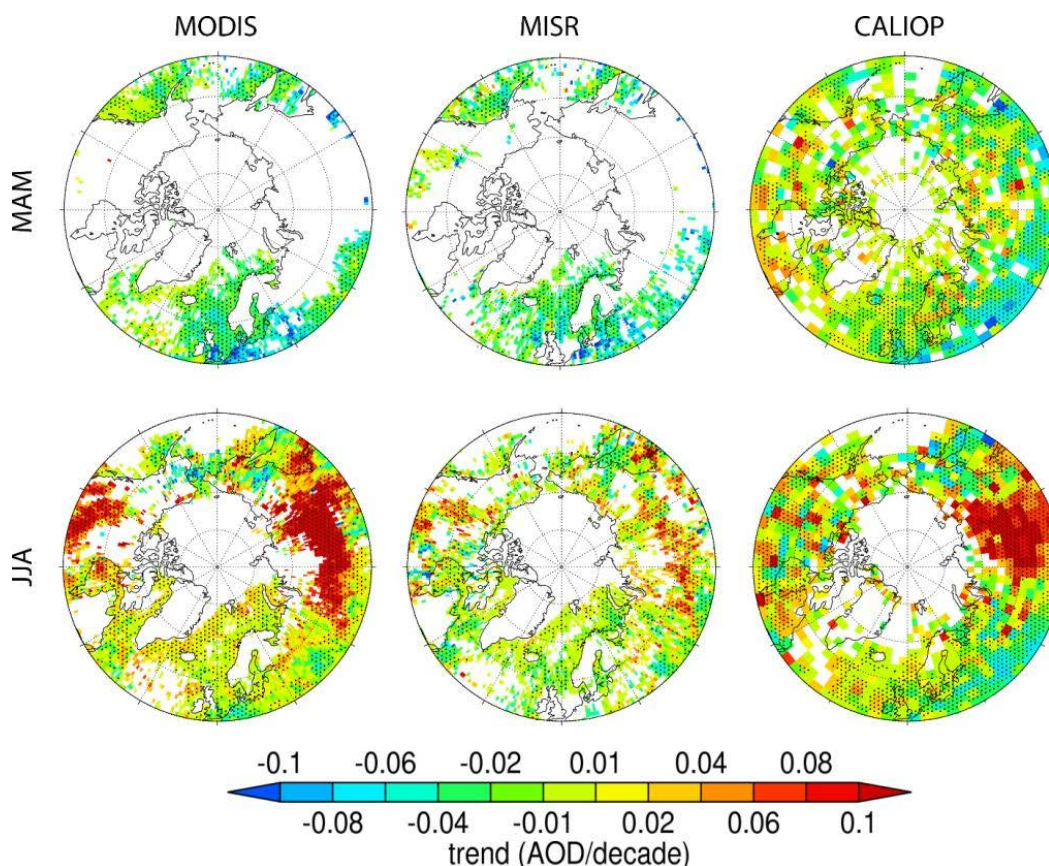


Figure 9. MAM and JJA AOD trends from MODIS, MISR, and CALIOP for the corresponding time periods and AOD wavelengths shown in Figure 3. The trend in the dotted area is statistically significant.

5.3.2 AOD trends for summertime

For JJA, the most prominent feature across all three space-borne sensors is the strong positive trend of total AOD over vast regions of Siberia and North America with a magnitude of around or greater than 0.10 AOD/decade. All the reanalyses capture this positive trend and indicate it is attributed to a significant increase in BB smoke AOD in these regions over 2003-2019 (Figure 11). This is in accordance with strong positive regional trends in BB emissions north of 50°N and north of 60°N derived from FLAMBE, a MODIS-fire hotspot-based emission inventory (Figure 12), and from other BB emission inventories, e.g., GFED and GFAS (Fig. 2 in McMarty et al., 2021). At the same time, there are negative trends in total AOD over Alaska, northeast of Russia, and North Pacific from the reanalyses, which is seemingly consistent with the trend in remote sensing AODs (though for some satellite datasets the coverage is spotty in these regions). These trends are driven by BB smoke and smoke emission trends as



suggested by all the reanalyses and FLAMBE. In addition, there is a continued negative trend from MAM to JJA in ABF/sulfate over Europe, which is also reflected in total AOD trend, as shown in the reanalyses. This is consistent with the discernible negative though weak trend from the three sensors. JJA AOD trends in dust and sea salt are neutral from the reanalyses.

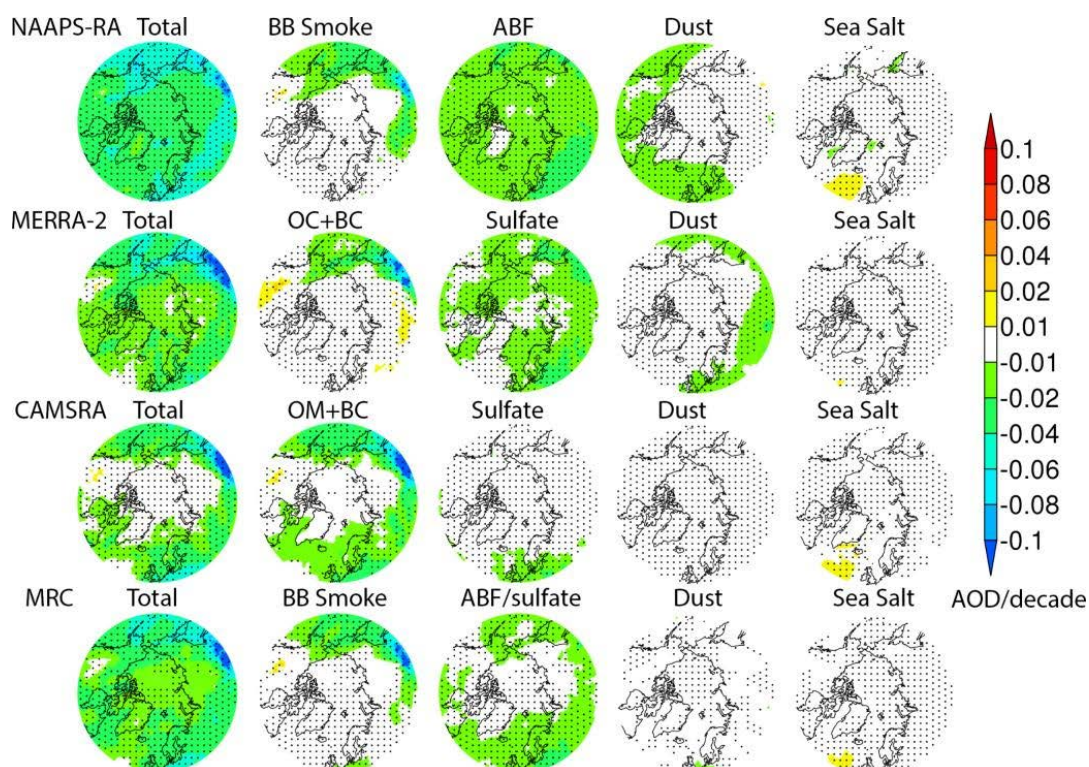
Besides rising surface temperature, climate phenomena such as the El Niño–Southern Oscillation (ENSO), Arctic Oscillation (AO), and Pacific Decadal Oscillation (PDO) have been reported as affecting fire activity in several key boreal fire source regions (Balzter et al., 2007; Macias Fauria and Johnson, 2007; Kim et al., 2020). However rising surface temperature probably contributes more to the observed trend in BB emission in the high latitudes. In addition, agricultural fire activity in Eastern Europe and European Russia (peaking at April to May) and central Asia and Asiatic Russian (peaking in August) (Korontzi et al, 2006; Hall et al., 2016) also affects the seasonality of total BB emissions. The MAM negative trend in BB smoke may be relevant to a strengthening of agriculture burning regulations in the later part of the 2003-2019 time period. For example, the MAM BB emission maxima in 2003, 2006 and 2008 are all associated with wide-spread springtime agriculture burnings in high latitudes (Korontzi et al, 2006; Stohl et al., 2007; Saha et al., 2010). The aforementioned climate oscillations also modulate interannual variations of the transport of pollutants from the mid latitudes to the Arctic (e.g., Eckhardt et al., 2003; Fisher et al., 2010).

5.3.3 High Arctic AOD trends

For the high Arctic ($>70^{\circ}\text{N}$), AOD trends are hardly seen with the same color scale as those for the lower latitudes because of lower AOD. Thus, they are shown separately in Figure 13, where time series of MAM and JJA area-mean total, smoke, and ABF/sulfate AODs are shown individually and for all the reanalyses and the MRC over the 2003-2019 time period. There is a negative trend across models in MAM total AOD with -0.017 AOD/decade (-18% /decade), and a positive trend in JJA total AOD with 0.007 AOD/decade (8% /decade) based on the MRC. The largest contributor to the MAM negative trend is ABF/sulfate, and the smoke AOD trend is also negative. In the summertime, ABF/sulfate trend continues to be negative; however, the smoke AOD trend turns positive, with a high positive trend of 0.010 AOD/decade (22% /decade). BC AOD trends from MERRA-2 and CAMSRA are dominantly driven by smoke AOD, and have similar trends with smoke AOD in percentage per decade. The negative trend in ABF/sulfate AOD is in line with the decreasing trend in surface sulfate mass concentrations measured over Arctic observational sites (e.g., Breider et al., 2017). The negative trend in MAM and positive trend in JJA for smoke AOD are consistent with the seasonal-and-area-mean BB emission trends shown in Figure 12 (e,f). The magnitudes of the trends among the three aerosol reanalyses are different, but the signs are the



881 same, corroborating the trend analysis results based on the MRC. These results are
 882 consistent with the trend analysis for lower latitude source regions as shown in Figures
 883 9-11. All these results also demonstrate that the Arctic aerosol baseline is changing
 884 quickly (Schmale et al., 2021), and the estimation here could contribute to the
 885 understanding and quantification of this new baseline.
 886
 887



888
 889 **Figure 10.** Trends of MAM 550 nm total AOD and contributions from biomass-burning
 890 smoke $/(BC+OC)/(BC+OM)$, ABF/Sulfate, dust and sea salt from NAAPS-RA, MERRA-2
 891 and CAMSRA and the MRC.

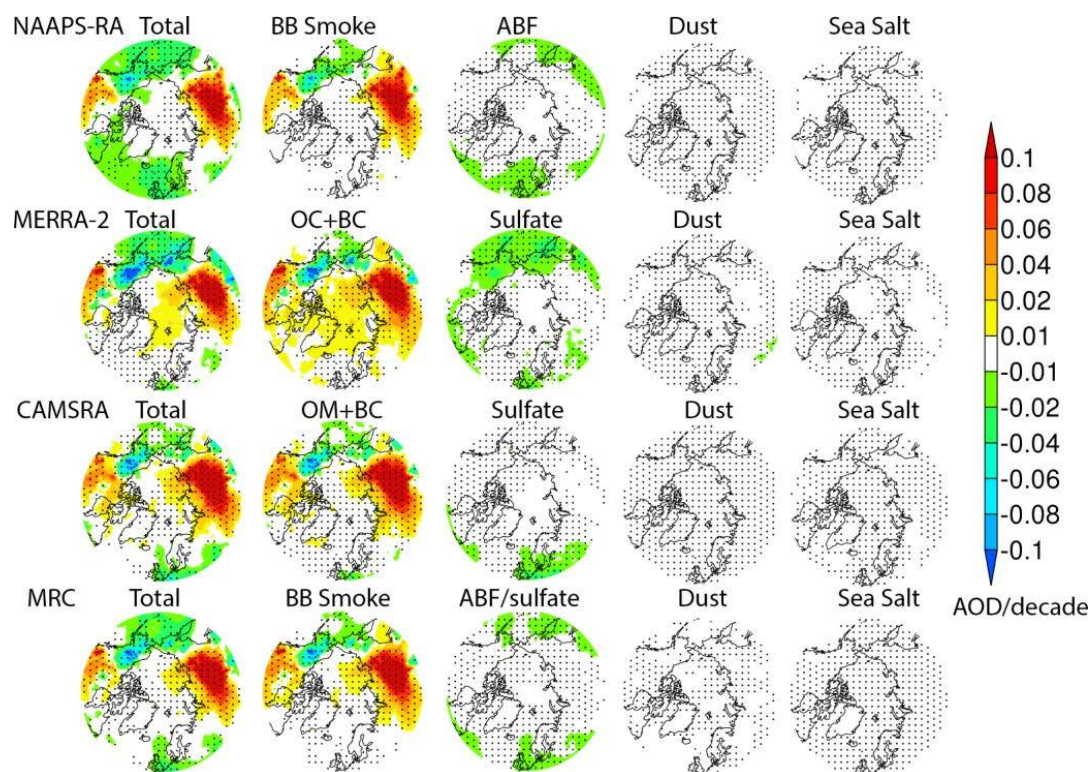


Figure 11. Same as Fig. 10, except for JJA.

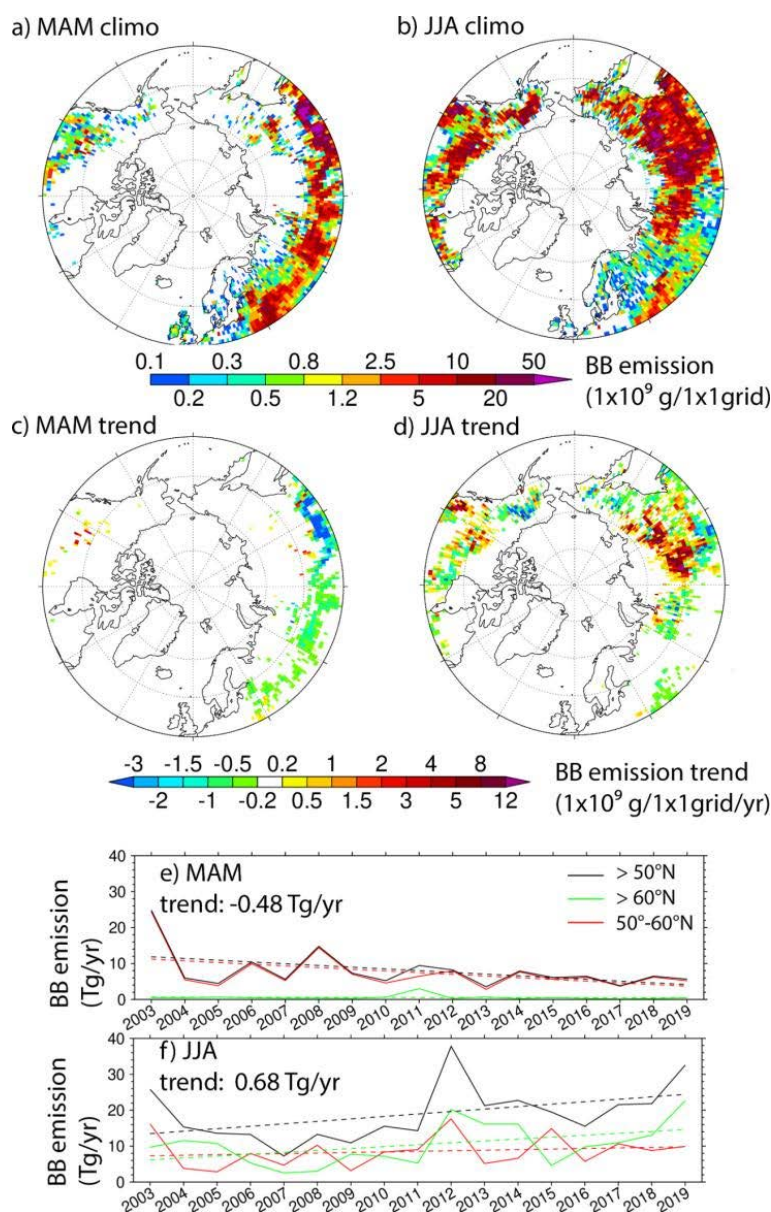


Figure 12. MAM/JJA seasonal total BB smoke particle emission climatology and trend for 2003-2019 derived from FLAMBE (a-d). e) and f) Time series of seasonal-total and area-mean ($>50^\circ\text{N}$, $>60^\circ\text{N}$ and $50^\circ\text{--}60^\circ\text{N}$) BB smoke emissions for MAM and JJA respectively. Dashed lines represent linear trends, which are statistically significant with a confidence level of 95%. The trend for north of 50°N is also displayed in texts.

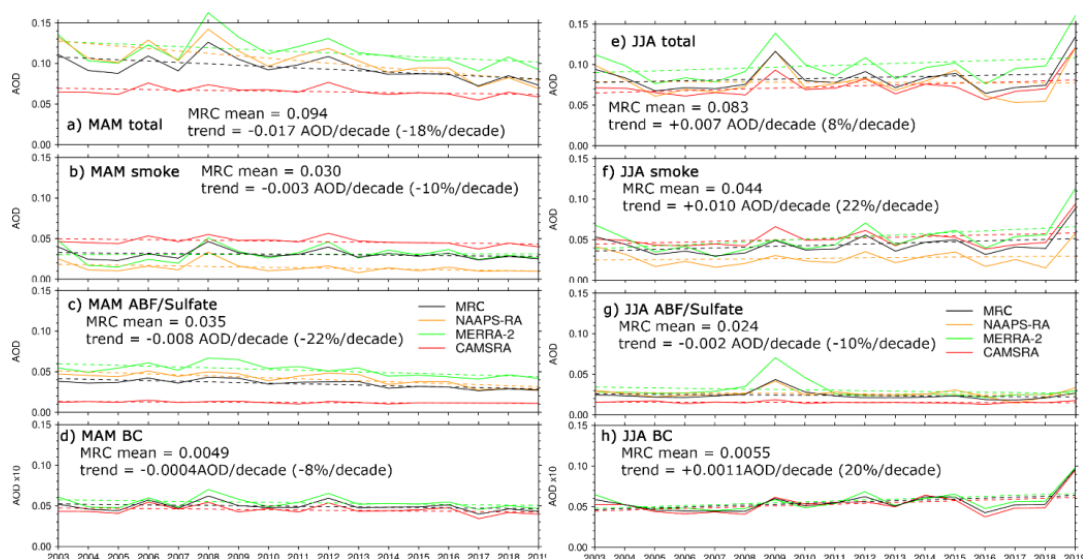


Figure 13. Time series of MAM and JJA 70°-90°N area mean total, BB smoke, ABF/sulfate and BC AODs from the reanalyses and the MRC for 2003-2019 time period. Solid lines are AODs, and dashed lines are linear regressions indicating trends. For easier visualization, BC AOD is multiplied by 10.

5.4. Extreme AOD events in the Arctic

The interannual AOD variability in the Arctic is, as discussed in the previous section, substantial and mostly driven by FM aerosol events (especially biomass burning transport events). We employed resampled, 6hrly AERONET AODs as well as speciated daily/6hrly NAAPS-RA AOD to better demonstrate the frequency and magnitude of the large FM AOD events. We chose NAAPS-RA reanalysis given its slightly better (monthly-mean) FM and total AOD bias, RMSE, and r^2 scores referenced to AERONET data over the Arctic as well as its source-orientated capability of separating BB smoke from other aerosol species.

5.4.1 General statistics of extreme events

Figure 14 shows the site by site, total and FM AOD ranges from the 6-hrly AERONET data for all 550 nm retrievals between 2003-2019 (mostly confined to the April-August time frame). Also shown are 6-hrly, pairwise NAAPS-RA AODs that enable model skill evaluation at daily to synoptic scales (see the caption of Figure 14 for the definition of “pairwise” details and note that scatter plots of NAAPS-RA vs AERONET total, FM and CM AOD are shown in Fig. S1). NAAPS-RA verification comparisons relative to MAN data (north of 70°N) is also available as Fig. S2 and S3). NAAPS-RA arithmetic



930 averages are less skillful at predicting CM AODs than FM AODs, and less skillful, on
931 average, for the Arctic region relative to the globe (c.f. Fig. 7 in Lynch et al., 2016). In
932 general, NAAPS-RA largely captures AERONET FM and total AOD ranges: this
933 includes the 5%-95% percentiles of total AERONET AOD, for example (~ 0.02 to ~ 0.20
934 for most sites), and the larger 0.02 to ~ 0.4 - 0.6 range of sites with known strong BB
935 smoke influence, (notably Bonanza Creek, Tiksi, and Yakutsk). Mean and median
936 AODs are also comparable to AERONET values. The r^2 values are likewise reasonable
937 (mostly between 0.5 - 0.7 , except for Hornsund, Ittoqqortoormiit, and Kangerlussuaq).
938 The FM AOD r^2 values generally exceed those of the total AOD (> 0.5 for 9 sites and $>$
939 0.6 for 7 sites). The maximum AERONET FM AODs for these sites vary between ~ 0.4
940 (Andenes) to < 2.0 for most sites and > 2.0 for sites with strong BB smoke influence.
941 The maximum NAAPS-RA AOD values are often biased low: this is a common
942 challenge for global aerosol models (e.g. Sessions et al., 2015; Xian et al., 2019).
943 RMSE values for total and FM AOD are generally large (\sim AERONET means for the
944 sites suffering from strong smoke influence) and moderately significantly smaller for
945 other sites.

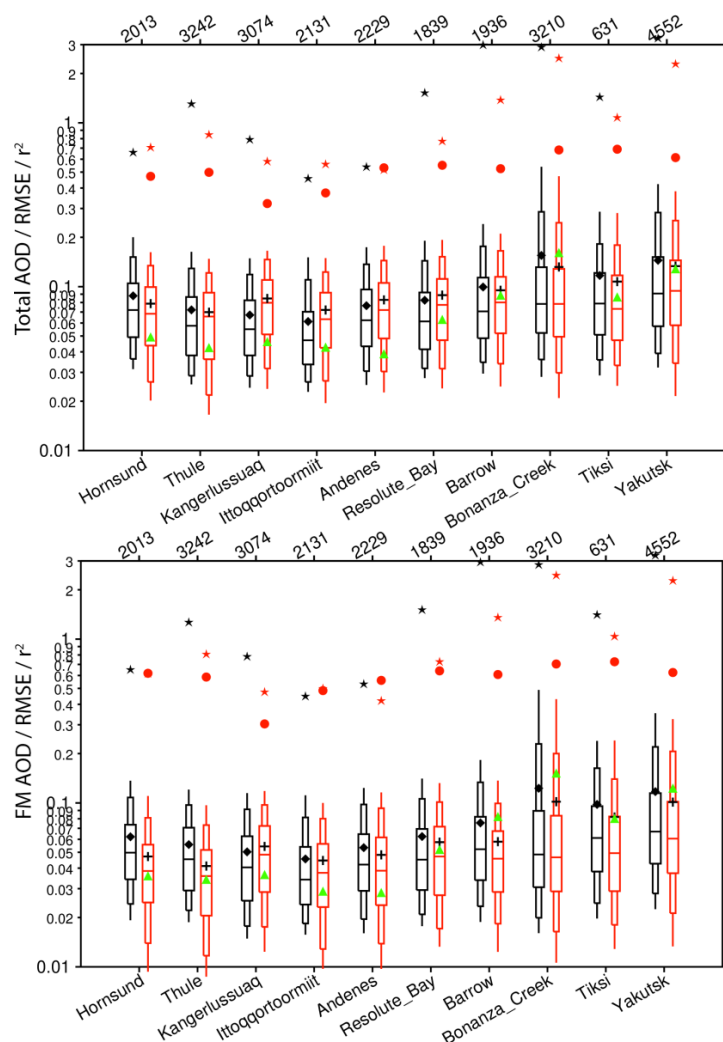
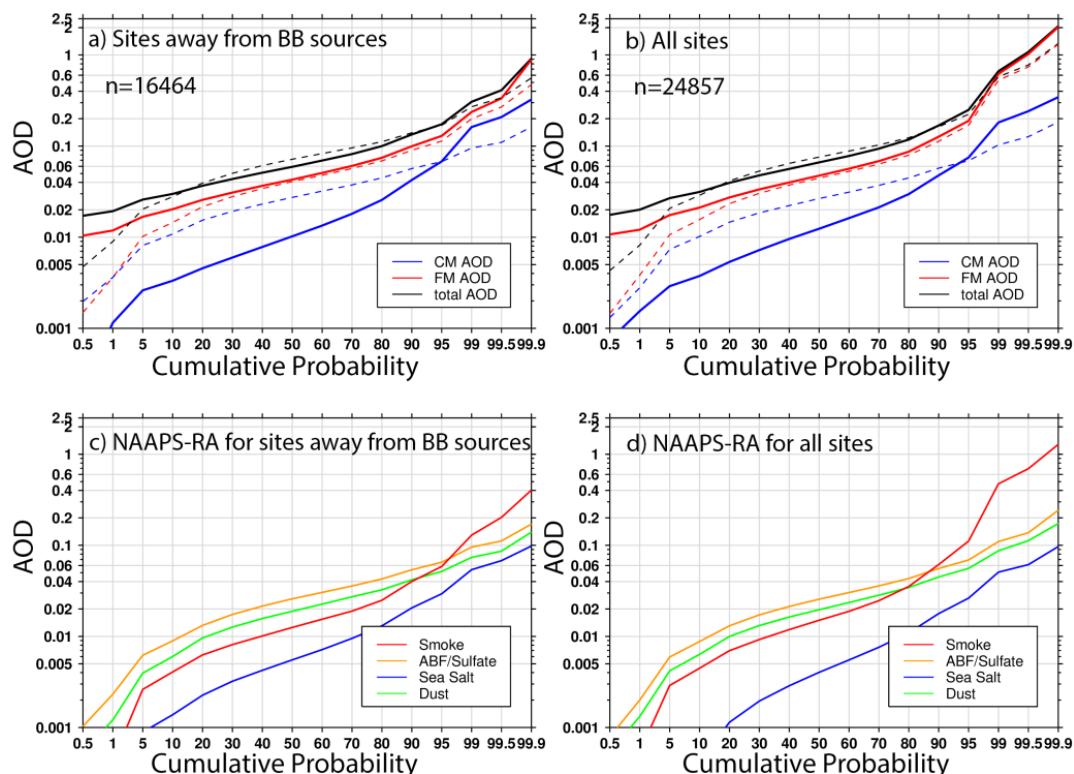


Figure 14. Comparison of the 6-hrly (550 nm) total (top) and FM AOD (bottom) of the NAAPS-RA (red) at 95, 90, 75, 50, 25, 10, and 5% percentiles (respective, sequential features of the doubled spear-like symbols from the top tip to the bottom tip) with pairwise AERONET V3L2 data (black) for the ten AERONET sites of Table 1 and Figure 1 for the 2003-2019 time period (“pairwise” refers to those NAAPS-RA AODs that correspond to a resampled AERONET AOD whose ± 3 hr bin contains at least one AERONET retrieval). Also shown are the site means of the NAAPS-RA and AERONET AODs (“+” and “♦” symbols respectively) and the NAAPS-RA RMSE (“▲”), the coefficient of determination (r^2) between the NAAPS-RA and AERONET (“•”) and the maximum AERONET and NAAPS-RA AODs (“★” and “★” respectively). Note that values greater than 2.0 are not shown. The numbers of 6-hrly AERONET data points for each site are shown just above the plot.



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Figure 15. Upper panes (a, b): cumulative probability distributions of 6-hrly total, FM and CM AOD at 550 nm for AERONET V3L2 data (solid lines) and pair-wise NAAPS-RA (dashed line). Lower panes (c,d): cumulative probability distributions for the corresponding speciated AODs from NAAPS-RA. Left hand panes (a,c): AODs for sites that are distant from BB source regions, including Barrow, Resolute Bay, Kangerlussuaq, Thule, Andenes, Hornsund and Ittoqqotoormiit. Right-hand panes (b,d) all sites north of 60°N. “n” represents the total number of 6-hrly data points over the 2003-2019 period.

970

Figure 15 shows the cumulative probability distribution of 6-hrly total, FM and CM AOD at 550 nm for AERONET V3L2 data and pair-wise NAAPS-RA FM and CM AODs (Figures 15a,b) and speciated AODs (Figures 15c,d). For all sites north of 60°N, and for 20%-80% cumulative probability, NAAPS-RA total AOD biases slightly positive (<0.01) due to a relatively large positive bias in CM AOD of ~ 0.01 below a cumulative probability of 95% (a positive bias that is generally evident in Table 2). The bias becomes negative (~ -0.05) above 95%. It is common for models to bias low for extreme events (e.g. Sessions et al. 2015): this negative bias at the largest values of CM AOD

978



could conceivably be associated with an underestimation of the CM AOD generated by sea salt aerosols in the presence of strong winds. We should however, add this caveat: despite the quality-control measures taken to filter out cloud-contaminated AERONET data, the impact of CM residual clouds may still influence estimates of CM AOD.

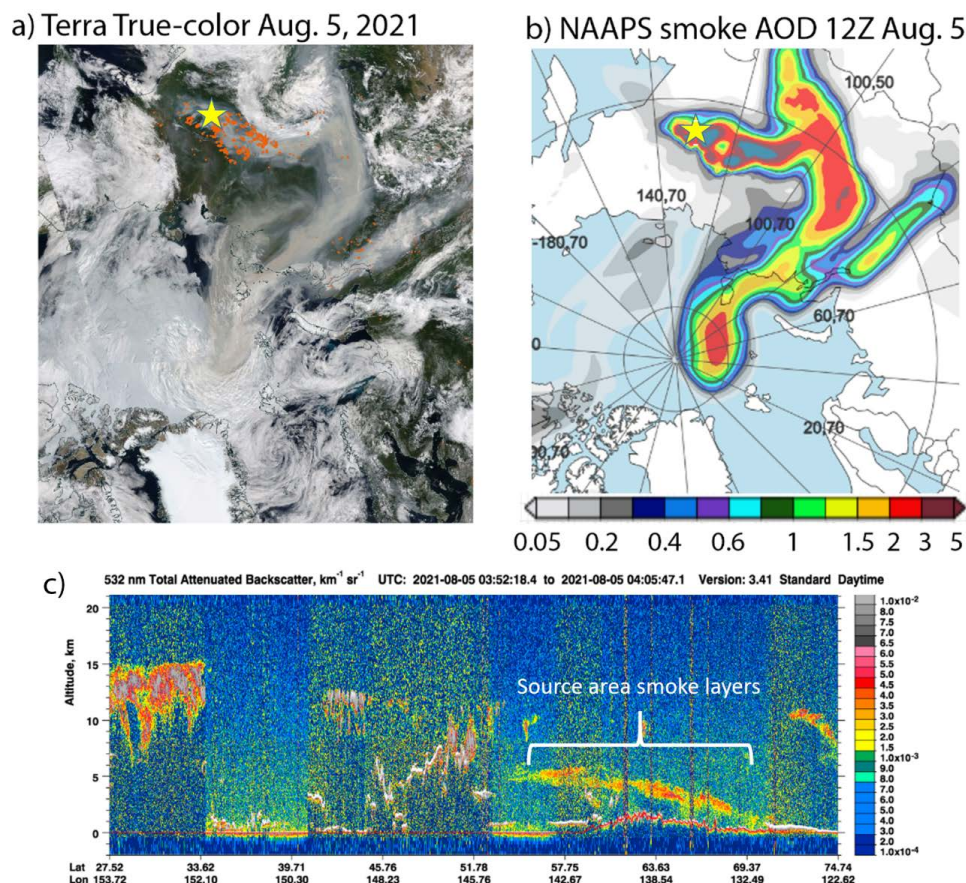
Table 5. AERONET V2L3 FM, CM and Total AOD at 550nm (with additional filtering for cloud contamination) at different percentiles for the listed Arctic sites. “N” presents total number of 6hrly data during 2003-2019. Also listed are MAN data statistics for data collected north of 70°N.

	Total FM CM AOD at 550nm							N
	Median	75%	90%	95%	99%	99.9%	maximum	
Hornsund	0.072 0.050 0.014	0.105 0.074 0.029	0.151 0.108 0.054	0.200 0.137 0.091	0.386 0.296 0.196	0.580 0.568 0.376	0.663 0.654 0.414	2013
Kangerlussuaq	0.055 0.040 0.009	0.083 0.063 0.020	0.119 0.091 0.038	0.149 0.115 0.060	0.234 0.198 0.111	0.510 0.461 0.221	0.794 0.786 0.244	3074
Resolute_Bay	0.061 0.045 0.011	0.092 0.069 0.021	0.144 0.106 0.041	0.190 0.141 0.063	0.499 0.396 0.160	1.530 1.516 0.452	1.530 1.516 0.452	1839
Barrow	0.071 0.052 0.013	0.114 0.082 0.025	0.176 0.134 0.048	0.241 0.183 0.079	0.466 0.415 0.198	2.999 2.962 0.454	2.999 2.962 0.454	1936
Thule	0.058 0.045 0.007	0.087 0.071 0.015	0.129 0.097 0.036	0.163 0.121 0.061	0.305 0.194 0.179	0.807 0.794 0.315	1.310 1.272 0.328	3242
Ittoqqortoormiit	0.047 0.034 0.006	0.070 0.054 0.015	0.110 0.082 0.033	0.151 0.111 0.058	0.278 0.215 0.161	0.456 0.446 0.329	0.459 0.450 0.342	2131
Andenes	0.062 0.042 0.014	0.096 0.064 0.027	0.137 0.098 0.050	0.174 0.123 0.075	0.277 0.210 0.154	0.451 0.432 0.249	0.541 0.534 0.258	2229
Bonanza_Creek	0.078 0.048 0.022	0.131 0.089 0.036	0.286 0.229 0.059	0.539 0.489 0.086	1.657 1.619 0.246	2.619 2.591 0.499	2.908 2.857 0.541	3210
Yakutsk	0.091 0.067 0.015	0.152 0.115 0.028	0.283 0.220 0.056	0.422 0.353 0.098	0.985 0.968 0.215	3.018 2.972 0.361	3.296 3.259 0.379	4552
Tiksi	0.079 0.061 0.011	0.121 0.096 0.021	0.182 0.163 0.040	0.286 0.239 0.060	0.936 0.915 0.123	1.442 1.413 0.238	1.442 1.413 0.238	631
MAN	0.052 0.029 0.021	0.090 0.062 0.031	0.126 0.097 0.042	0.164 0.118 0.052	0.281 0.253 0.085	0.777 0.761 0.234	0.777 0.761 0.234	520

Above the 95% percentile mark, BB smoke plays a dominant (all sites) Arctic role compared to other aerosol species (Figure 15b,d). Even for sites distant from BB source regions (including Resolute Bay, Kangerlussuaq, Thule, Andenes, Hornsund, Ittoqqortoormiit, and to a mixed extent Barrow as per Eck et al., 2009), BB smoke is the principal driver of AOD variations above the 95% percentile mark (Figure 15a,c). The modal and total AOD values at different percentile levels for the AERONET sites and MAN data collected north of 70°N are provided in Table 5. For the sites closer to BB sources, including Bonanza-Creek, Yakutsk and Tiksi, the 99% percentile total AOD and FM AOD are >~ 1.0, while for distant sites the 99% percentile total AOD varies between 0.23-0.50. These extreme smoke cases could be caused by intense fire-induced pyroCB events that inject smoke high in the troposphere or even well into the stratosphere (Fromm et al., 2010; Peterson et al., 2018). An example pyro-CB smoke event that occurred over British Columbia in August 2017 lead to a record-high AOD in the Canadian high Arctic (Ranjbar et al., 2019; Torres et al., 2020). More recently, Eastern Siberian fires burned during June - August 2021 facilitated more than a dozen cases of smoke intrusion into the high Arctic. Some smoke plumes even reached the North Pole and/or its vicinity. For example, on the 5th of August, operational NAAPS (same chemistry and physics, and same BB emission source with NAAPS-RA. NAAPS-RA is not available at the time of this analysis) analyzed a plume of smoke AOD value of 2-3 north of 80°N (Fig. 16). Smoke AOD over the source region was also 2 to >3 with a similar amplitude to the measured at Yakutsk. CALIOP data suggests smoke layer height varying between 1 to 6 km at the source region (vertical distribution of these smoke events is the topic for another manuscript).



1012 For extreme BB smoke events, large particles like ash and soil components emitted
 1013 from vigorous burning (Schlosser et al., 2017; Reid et al., 2005) can likely be detected
 1014 to some degree as AERONET CM AOD (see, for example, the correlation between the
 1015 FM and “weak” CM particle size distributions for Bonanza Creek in Figure 9a of Eck et
 1016 al., 2009). For extreme AODs that are likely dominated by smoke (above the 99%
 1017 percentiles of 1.657 at Bonanza Creek, 0.985 at Yakutsk and 0.936 at Tiksi in Table 5
 1018 for example), the associated mean CM AOD values were respectively 0.048, 0.031 and
 1019 0.033. The larger CM AOD amplitudes (relative to, for example, the JJA means of Table
 1020 1) and the rough correlation suggests the presence of detectable CM smoke.
 1021



1022 **Figure 16.** An example of BB smoke intrusion into the high Arctic from fires originated in
 1023 East Siberia. a) True-color Terra satellite imagery composited on August 5, 2021. 12
 1024 September 2012. Red dots represent satellite detected fire hotspots. b) Operational
 1025 NAAPS smoke AOD analysis valid at 12Z August 5, 2021. c) CALIOP 532 nm
 1026 attenuated backscatter showing the smoke layers around the source area. The yellow
 1027 star on a) and b) represents the location of Yakutsk, which experienced a daily mean
 1028



total AOD (500nm) of 2.0 (FM AOD ~1.9) and an intra-day peak around 2.5 based on AERONET V3L1.5 data. Satellite imagery courtesy of the MODIS flying on NASA's Terra satellite and CALIOP flying on CALIPSO satellite and available from <https://worldview.earthdata.nasa.gov/> and <https://www-calipso.larc.nasa.gov/>.

Figure 17 shows the geographical distributions of NAAPS-RA total AOD at different percentile levels for March-August 2003-2019. The median ("50 percentile") Arctic AODs (< 0.1 and specifically ~ 0.07 for the AERONET sites from Figure 15) are an order of magnitude smaller than the max AODs. At the 95% percentile mark, clear BB smoke features about the North American and Asian boreal burning regions start to emerge. The maximum AODs are high (greater than 2.0) about those BB source regions and relatively low over the Arctic Ocean ($\sim 0.3 - 1.0$) and the north Atlantic (with the lowest values over Greenland). The maximum AOD generally occurs in July and August: this is associated with peak burning activities (except for the Norwegian Sea area where the maximum AOD occurred in MAM; this is possibly associated with a combined high AOD level from anthropogenic pollution and marine aerosols).

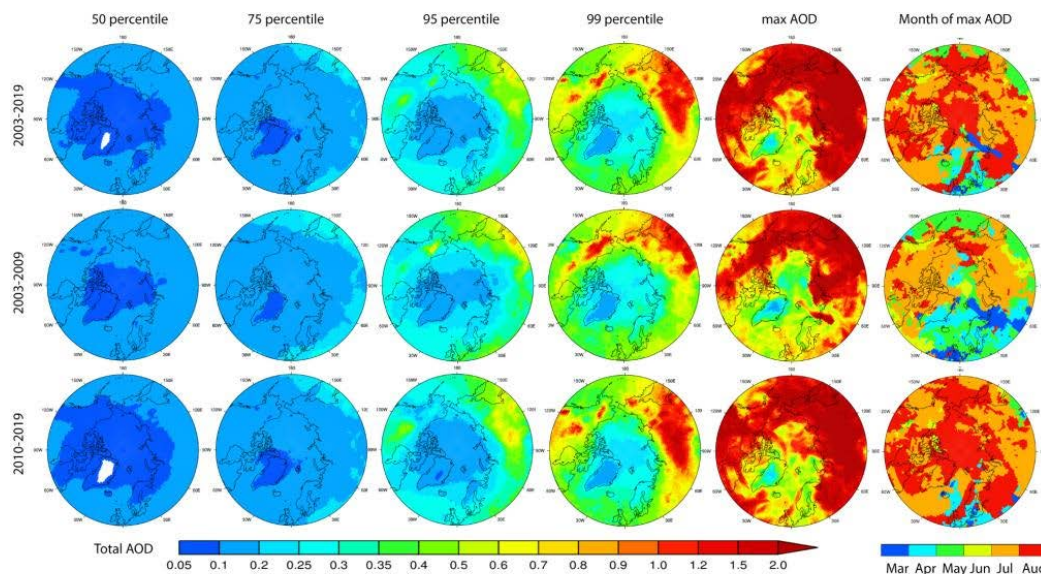


Figure 17. Geographical distributions of NAAPS-RA daily (550 nm) total AOD at different percentile levels for a March-August time frame and (rightmost column) month of the occurrence of maximum AOD for sampling periods of 2003-2019 (upper row), 2003-2009 (middle row), and 2010-2019 (bottom row).



5.4.2 Seasonality and trend of extreme events

Figure 18 presents the seasonal cycle of total and speciated AOD ranges from the NAAPS-RA based on daily and area-averaged (north of 70°N, to stay away from BB source regions) data. The seasonal cycle of monthly mean total AOD looks similar to that in Figure 7b for 70°N-80°N latitudinal mean with relatively higher total AOD in MAM, and lower AOD in JJA and a minimum in June. The spread (bars and whiskers in the plot) of ABF/sulfate AOD values is quite stable through the seasons, with a relatively higher mean/median in MAM than JJA. Sea salt AOD and AOD spread is relatively high in earlier months (March and April) compared to later months. Dust AOD and spread are generally stable through the season, with a visibly higher mean/median in April and May. Smoke AOD and spread exhibit the most prominent seasonal variations among all species, with the lowest mean and spread in March, and increased mean and spread in April, and much higher mean and spread in later months. July and August appear to have the highest mean and spread, and the largest maximum smoke AOD, consistent with Figure 17. These smoke features significantly contribute to the seasonality of extremes in total AOD. It is also noted that for MAM, the means approximately equal the medians, but the means are greater than the medians for JJA and especially so for August. This is because there are more extremes in smoke AOD in the later season, which influences the means.

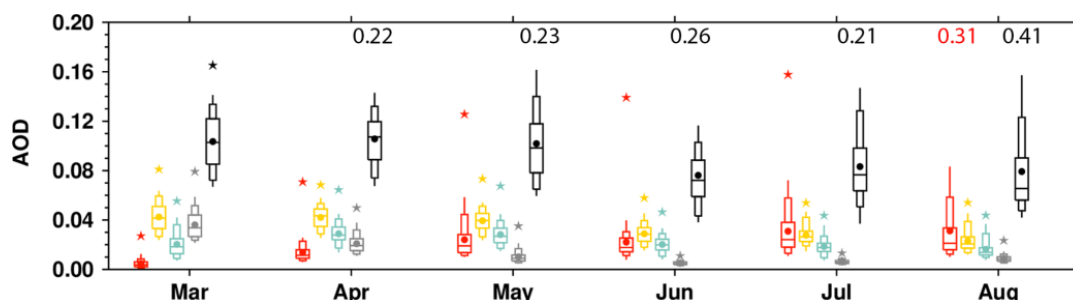


Figure 18. Box and whisker plot of daily and area-averaged (70°N-90°N) speciated AOD at 550 nm from NAAPS-RA (2003-2019) for different months. Each box and whisker column shows AOD at 95, 90, 75, 50, 25, 10, and 5% percentiles. Smoke, ABF/Sulfate, dust, sea salt, and total AODs are presented in red, orange, green, grey, and black colors respectively. Also shown are mean AODs in dots, and maximum AODs in stars. For maximum AOD greater than 0.2 (beyond plotting area), AOD values are shown in number and corresponding colors on the top.

As shown in Sect. 5.3 there is a slightly decreasing trend in MAM and an increasing trend in JJA total AOD in the Arctic during 2003-2019. It is intriguing to explore the possible trend in extreme events. We separate the whole time period into an early (2003-2009) and a late (2010-2019) period (Figure 17, Table 6). 2009 is chosen as the



separation year given the drop in ABF/sulfate emissions due to clean air acts across the U.S. (<https://www.epa.gov/air-trends/sulfur-dioxide-trends>), Europe and China and the decrease in ABF/sulfate AOD in these regions (Lynch et al., 2016; Zhang et al., 2017) and in the Arctic as shown in Figure 13. Consistent with the BB emission trends in JJA (JJA trend dominates MAM trend as JJA has much higher BB emissions), total AOD at 95% percentile in general increased over the boreal continents, except Alaska and northeastern Siberia in 2010-2019 compared to 2003-2009.

Table 6. Occurrence statistics of high Arctic area-mean ($>70^{\circ}\text{N}$) daily BB smoke AOD extreme event (defined as days with smoke AOD above 95% percentile, which is ~ 0.06) based on 2003-2019 NAAPS-RA. Years without extreme smoke event is omitted.

	Extreme BB smoke days						Mean extreme smoke AOD
	APR	MAY	JUN	JUL	AUG	Annual total	
2003	9	16	0	0	0	25	0.096
2004	0	0	0	12	0	12	0.079
2006	0	0	0	4	0	4	0.121
2008	4	11	0	0	0	15	0.070
2009	0	0	0	0	5	5	0.064
2003-2009 ave	1.9	3.9	0.0	2.3	0.7	8.7	0.086
2010	0	0	1	0	2	3	0.074
2012	0	0	0	3	0	3	0.072
2014	0	0	0	1	2	3	0.065
2015	0	0	2	17	0	19	0.079
2016	0	4	0	0	0	4	0.072
2017	0	0	0	0	13	13	0.098
2019	0	0	0	7	25	32	0.117
2010-2019 ave	0	0.4	0.3	2.8	4.2	7.7	0.083

From the early period to the late period, the high Arctic ($>70^{\circ}\text{N}$) 50%, 75%, 95% percentile AODs change little, or even slightly decrease, due to decrease in ABF/sulfate, however the maximum AOD value increased in the latter time compared to the early time, indicating stronger extreme BB smoke influence in more recent years. It is also noted that the maximum AOD occurred later in the season (mostly August) in 2010-2019 compared to occurring in March through August in 2003-2009 for the high Arctic (Figure 17). This is likely attributed to the overall lower level of ABF/sulfate, especially in MAM, a shift in extreme smoke events to later season (Table 6) and agriculture burning control for early season (Sect. 5.3.2). The statistics of occurrence of extreme pan-Arctic smoke events (defined as days with 70-90°N area-average daily smoke AOD above 95% percentile) demonstrate a clear shift from all season (both Spring and Summer) to late season, specifically July and August (Table 6). This is consistent with the temporal shift of fire activity to a later time in Siberia over 2003-2018 (Liu et al., 2020), and the projection of emerging pan-Arctic fire regime be marked by



shifts in the likelihood of extreme fires later in the growing season (McCarty et al., 2021). An earlier fire season in the boreal region normally suggests a better-managed forecast with fewer large and destructive fires, while a later fire season would indicate the opposite.

6. Discussion

The quality control processes applied on the AOD retrievals from MODIS, MISR, and CALIOP help to generate a consistent AOD climatology and trend near the Arctic. The cloud-clearing process on the MISR data and QA processes on the MODIS data removed a good volume of data (about 40% for MISR and MODIS). However these QA processes help to retain only the best-quality data, which yield a closer magnitude of AOD for MODIS and MISR to AERONET AODs near the 70°N latitude circle (around or less than 0.1), compared to ~0.2 using regular level 3 MODIS and MISR data in figures 20 and 23 of Tomasi et al., 2015, especially for springtime. The manual QA process on the AERONET AOD data also reveals more frequent cloud contamination in springtime than in summertime. The regular CALIOP AOD L3 product, with the filled values for low AODs, yielded different spatial and seasonal patterns of AOD (not shown). After removing the pixels with filled values, CALIOP AOD seasonal spatial AOD distributions are similar to those from MODIS and MISR.

The total AOD at 550 nm from the three aerosol reanalyses are much more convergent in spatial distribution, magnitude, and seasonality in the Arctic compared to the climate models (e.g. AEROCOM models in Sand et al., 2017, where MAM AODs averaged over nine Arctic AERONET sites (all included in this study) are an order of magnitude different for the highest and lowest AOD models, and peak AOD season varies among winter, spring and summer; CMIP5 models in Glantz et al., 2014, where spring and summertime AODs over the Svalbard area also show an order of magnitude difference and different seasonality for some of the models), and are similar to those from the remote sensors near the Arctic. The possible reasons for this convergence include 1) the hourly/daily resolved satellite-hotspot-based BB emissions used by these reanalyses apply fine-temporal and interannual-variability-resolved emission constraints; 2) despite that the commonly assimilated satellite AOD (e.g. MODIS AOD in all three reanalyses) has limited coverage in the Arctic due to retrieval challenges of dealing with bright surfaces and high cloud coverage, the observational constraint of model fields through assimilation of AOD in the lower latitudes is effective in constraining Arctic AOD to a good extent through transport; 3) more accurate meteorology representations. It is reasonable that the AOD spread among the three reanalyses increases with latitude, and into the early months (e.g., March) when retrieval coverage for lower latitudes is less than summer months.



1152 Except for the chemical processes relevant to conversion of SO₂ to sulfate, the aerosol
1153 reanalysis products (or their underlying aerosol models) don't include other new particle
1154 formation processes that may be important over the Arctic open water/leads in
1155 Springtime or over packed ice during transitional summer to Autumn season (Abbatt et
1156 al., 2019; Baccarini et al., 2021). High latitude dust sources, e.g. glacier dust, which are
1157 present for some areas in the Arctic (Bullard et al., 2016), are only included in
1158 CAMSRA, despite that Arctic dust AOD in CAMSRA is much lower than those in the
1159 other two models (Fig. 6e).

1160
1161 To show the contribution of biomass burning on total AOD in the Arctic, we
1162 approximated BB smoke with the sum of BC and OC/OA from MERRA-2 and CAMSRA.
1163 This approximation is rather arguable. It is better suited for JJA than MAM, as the
1164 climatological seasonal mean of Arctic AOD is dominated by BB smoke in JJA, which
1165 means that BC and OC/OC are mostly from BB sources, while the contribution of BC
1166 and OC/OA from anthropogenic sources is relatively higher in early spring (Figure 2,3).
1167 So smoke AOD is overestimated from MERRA-2 and CAMSRA and more so for MAM.
1168 This explains the large difference in smoke AOD (ratio to total AOD) in MAM than in
1169 JJA between the two reanalyses and NAAPS-RA, which explicitly tracks aerosol mass
1170 from BB sources (Figures 4, 5, 6). While NAAPS-RA includes BC and OA from
1171 anthropogenic sources and sulfate into ABF, which is an arguably reasonable
1172 configuration for pollution species, as observational studies show a strong correlation
1173 between sulfate and elemental BC surface concentrations at pan-Arctic sites away from
1174 BB sources, indicating the sources contributing to sulfate and BC are similar and that
1175 the aerosols are internally mixed and undergo similar removal (Eckhardt et al., 2015).
1176 BB smoke is expected to have different vertical distributions from anthropogenic
1177 pollution if smoke is emitted above the boundary layer, which sometimes (~10%) is the
1178 case for North American boreal fires (Val Martin et al., 2010).

1179
1180 Stratospheric aerosols from volcanic eruptions can contribute to the total AOD in the
1181 Arctic, especially for the four years after the Mount Pinatubo eruption in 1991 (Herber
1182 2002). For our study period (2003-2019), the eruptions of Kasatochi, Redoubt,
1183 Sarychev, and Eyjafjallajökull in August 2008, March 2009, July 2009, and March 2010,
1184 respectively, would have affected the stratospheric AOD and thus total column AOD.
1185 However, these eruptions are at least one order of magnitude smaller than that of
1186 Pinatubo. The stratospheric AOD contribution to the Arctic background AOD is
1187 estimated to be relatively small at ~0.01 (from Figure 16 of Thomason et al., 2018; non-
1188 Pinatubo affected years in Figure 5 of Herber 2002), despite that locally and over a
1189 short period the AOD contribution can be large (e.g., O'Neill et al., 2012). All the
1190 reanalyses have some sort of SO₂ and sulfate representation from volcanic degassing
1191 emissions, but a full representation for explosive volcanic sources is lacking (except that



MERRA-2 has time-varying explosive and degassing volcanic SO₂ before December 31, 2010). The volcanic influence on Arctic AOD, if detectable, would be reflected in the ABF/sulfate AOD in the reanalyses, but its contribution would be much smaller than the anthropogenic counterpart for our study period. It is also worth noting that volcanic activities are not the only influence on the stratospheric aerosol budget: pyroCB-injected BB smoke can also contribute to stratospheric AOD, as discussed earlier. Stratospheric BB smoke was also detected over the Arctic with lidar measurements during the MOSAiC campaign (Engelmann et al., 2021). Stratospheric injection of BB smoke associated with pyroCB events are not represented in the reanalyses, despite that BB emission associated with these pyroCB events are included in the emission inventories with possible large bias in emission amount and height.

Arctic shipping is often brought up as a potentially important source of BC for the Arctic in the future. All of the reanalyses include shipping emissions, although little interannual trend is considered especially for the late period in 2003-2019. However “Arctic shipping is currently only a minor source of black carbon emissions overall” according to the recent Arctic Monitoring and Assessment Programme (AMAP) report (2021).

7. Conclusions

Using remote sensing aerosol optical depth (AOD) retrievals from the Moderate Resolution Imaging Spectroradiometer (MODIS), the Multi-angle Imaging SpectroRadiometer (MISR), and Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP), and AODs from three aerosol reanalyses, including the U.S. Naval Aerosol Analysis and Prediction System-ReAnalysis (NAAPS-RA), the NASA Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2), and the Copernicus Atmosphere Monitoring Service ReAnalysis (CAMSR), and ground-based Aerosol Robotic Network (AERONET) data, we have reported the Arctic/High-Arctic (defined as 60°N-90°N/70°N-90°N) AOD climatology, trend and extreme event statistics for spring (March-April-May, MAM) and summer (June-July-August, JJA) seasons during 2003-2019.

- 1) **Arctic AOD climatology:** The total AODs from space-borne remote sensing and the aerosol reanalyses show quite consistent climatological spatial patterns and interannual trends for both spring and summer seasons sub-Arctic (60-70°N), where remote sensing data is available. AOD trends for the high Arctic from the reanalyses have consistent signs too. Climatologically, fine-mode (FM) AOD dominates coarse-mode (CM) AOD in the Arctic. Based on the reanalyses, biomass burning (BB) smoke AOD increases from March to August associated with seasonality of BB activities in the boreal region (>50°N);



- 1232 Sulfate/Anthropogenic and biogenic fine (ABF) AOD is slightly higher in MAM
 1233 than in JJA; sea salt AOD is highest in March and decreases with time into later
 1234 spring and summer; contribution of dust AOD to total AOD is non-negligible in
 1235 April and May. The latitudinal gradient of AOD is larger in JJA than in MAM,
 1236 consistent with observed more efficient removal in summertime (Garrett et al.,
 1237 2011). Among aerosol species, black carbon (BC) is a very efficient light
 1238 absorber, and climate forcing agent (e.g. Bond et al., 2013). We show that over
 1239 the Arctic, the contribution of BC AOD from BB source overwhelms
 1240 anthropogenic sources in both MAM and JJA, and more so in JJA during 2003-
 1241 2019.
- 1242
- 1243 2) **AOD trend:** Total AOD exhibits a general negative trend in the Arctic in MAM,
 1244 and strong positive trends in North America, Eurasia boreal regions (except
 1245 Alaska and northeast Siberia) in JJA. For the high Arctic, the total AOD trend is -
 1246 0.017/decade (-18%/decade) for MAM and 0.007/decade (8%/decade) for JJA
 1247 based on the multi-reanalysis-consensus (MRC). The total AOD trends are
 1248 driven by an overall decrease in sulfate/ABF AOD in both seasons (-
 1249 0.008/decade, or -22%/decade for MAM and -0.002/decade or -10%/decade for
 1250 JJA), and a negative trend in MAM (-0.003/decade or -10%/decade) and a strong
 1251 positive trend in JJA (0.01/decade or 22%/decade) from biomass burning smoke
 1252 AOD. The decreasing trend in sulfate in the Arctic in recent decades is in line
 1253 with other studies using surface concentration measurement (e.g., Eckhardt et
 1254 al., 2015). The smoke AOD trends are consistent with MODIS fire-hotspot-based
 1255 BB emission trends over the boreal continents.
- 1256
- 1257 3) **Impact of BB smoke on AOD interannual variability:** The interannual
 1258 variability of total AOD in the Arctic is substantial and predominantly driven by
 1259 fine-mode, and specifically BB smoke AOD in both seasons and more so in JJA
 1260 than in MAM. For AERONET sites close to BB emission sources, the difference
 1261 in monthly total AOD can be 6-fold for high versus low AOD years. For remote
 1262 regions away from BB sources, the interannual variability of total AOD can also
 1263 be explained mostly by smoke AOD.
- 1264
- 1265 4) **Extreme AOD events** during spring and summer in the Arctic, defined as AOD
 1266 greater than the 95% percentile value, are mainly attributed to BB smoke
 1267 transport events as expected. The median of 6hrly total AOD for all AERONET
 1268 sites in the Arctic during 2003-2019 is ~0.07, and the 95% percentile is ~0.22.
 1269 With the general decreasing trends in MAM and increasing trend in BB
 1270 emissions, the AOD extreme events have a tendency to occur later in the
 1271 season, ie. July and August, in the latter decade rather than spreading over



1272 March-August in the early decade during 2003-2019. Global warming is expected
 1273 to continue leading to drier conditions and increased fire activities in the high
 1274 latitudes (McCarty et al., 2021), making the Arctic more susceptible to extreme
 1275 smoke events.

1276
 1277 5) **Overall performance of the aerosol reanalyses:** The aerosol reanalyses yield
 1278 much more convergent AOD results than the climate models (e.g. AeroCOM,
 1279 Sand et al., 2017) and verify with AERONET to some good extent, which
 1280 corroborates the climatology and trend analysis. Speciated AODs appear more
 1281 diverse than the total AOD among the three reanalyses, and a little more so for
 1282 MAM than for JJA. NAAPS-RA and MERRA-2 total and FM AODs verify better in
 1283 the Arctic than CAMSRA, which tends to have a high bias in FM overall. The
 1284 reanalyses generally perform better in FM than CM. The three reanalyses exhibit
 1285 different latitudinal AOD gradients, especially in summertime, indicating different
 1286 removal efficiencies. The emerging capability of assimilating OMI Aerosol Index
 1287 (AI) to constrain absorptive aerosol amount, could potentially fill in the
 1288 observational gaps for aerosol data assimilation in reanalyses over the Arctic
 1289 (Zhang et al., 2021). With more advanced retrieval algorithms on the current
 1290 space-borne sensors for over snow/ice, new sensors on future satellites,
 1291 improvements on the underlying meteorology and aerosol representations in
 1292 models, improvements in aerosol reanalysis are expected.

1293
 1294 The results presented here provide a baseline of AOD spatiotemporal distribution,
 1295 magnitude, and speciation over the Arctic during spring and summer seasons for the
 1296 recent two decades. This will help improve aerosol model evaluations and better
 1297 constrain aerosol radiative and potentially indirect forcing calculation to evaluate aerosol
 1298 impact in the Arctic amplification. For example, the contribution of reduction in sulfate to
 1299 Arctic surface warming in recent decades (e.g., Shindell and Faluvegi, 2009; Breider et
 1300 al., 2017) could potentially be better quantified, with the caveat that speciated AOD
 1301 have larger uncertainties than total AOD in the reanalyses. It is also recommended that
 1302 climate models should take into account BB emissions besides anthropogenic climate
 1303 forcers and BB interannual variabilities in Arctic climate change studies.

1304
 1305
 1306 **Code and Data Availability:** All data supporting the conclusions of this manuscript are
 1307 available either through the links provided below or upon request.
 1308 AERONET Version 3 Level 2 data: <http://aeronet.gsfc.nasa.gov>
 1309 MAN data: https://aeronet.gsfc.nasa.gov/new_web/maritime_aerosol_network.html
 1310 MODIS DA-quality AOD: [https://nrlgodae1.nrlmry.navy.mil/cgi-](https://nrlgodae1.nrlmry.navy.mil/cgi-bin/datalist.pl?dset=nrl_modis_l3&summary=Go)
 1311 [bin/datalist.pl?dset=nrl_modis_l3&summary=Go](https://nrlgodae1.nrlmry.navy.mil/cgi-bin/datalist.pl?dset=nrl_modis_l3&summary=Go)



1312 Or <https://modaps.modaps.eosdis.nasa.gov/services/about/products/c61-nrt/MCDAODHD.html>
1313 MISR AOD: <ftp://l5ftl01.larc.nasa.gov/misr/2l3/MISR/MIL2ASAE.003/>
1314 CALIOP from NASA Langley Research Center Atmospheric Science Data Center:
1315 https://doi.org/10.5067/CALIOP/CALIPSO/LID_L2_05kmAPro-Standard-V4-20 for the Version
1316 4.2 CALIPSO Level 2 5 km aerosol profile and
1317 https://doi.org/10.5067/CALIOP/CALIPSO/LID_L2_05kmALay-Standard-V4-20 for aerosol layer
1318 products. Further QAed data are available upon request.
1319 NAAPS RA AOD: [https://usgoda.org/cgi-](https://usgoda.org/cgi-bin/datalist.pl?dset=nrl_naaps_reanalysis&summary=Go)
1320 [bin/datalist.pl?dset=nrl_naaps_reanalysis&summary=Go](https://usgoda.org/cgi-bin/datalist.pl?dset=nrl_naaps_reanalysis&summary=Go)
1321 MERRA-2 AOD:
1322 [https://disc.gsfc.nasa.gov/datasets/M2TMNXAER_V5.12.4/summary?keywords=%22M](https://disc.gsfc.nasa.gov/datasets/M2TMNXAER_V5.12.4/summary?keywords=%22MERRA-2%22)
1323 [ERRA-2%22](https://disc.gsfc.nasa.gov/datasets/M2TMNXAER_V5.12.4/summary?keywords=%22MERRA-2%22)
1324 CAMSRA AOD: <https://www.ecmwf.int/en/research/climate-reanalysis/cams-reanalysis>
1325 FLAMBE BB smoke inventory is available upon request from U.S. NRL.

1326
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1328 data analysis and wrote the initial manuscript. All authors contributed to scientific
1329 discussion, writing and revision of the manuscript.

1330
1331 **Competing interests:** The authors declare that they have no conflict of interest.
1332

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