

# 1 Observations of particle number size distributions and new 2 particle formation in six Indian locations

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23  
24 **Abstract.** Atmospheric new particle formation (NPF) is a crucial process driving aerosol number  
25 concentrations in the atmosphere; it can significantly impact the evolution of atmospheric aerosol  
26 and cloud processes. This study analyses at least one year of asynchronous particle number size  
27 distributions from six different locations in India. We also analyze the frequency of NPF and its  
28 contribution to cloud condensation nuclei (CCN) concentrations. We found that the NPF frequency  
29 has a considerable seasonal variability. At the measurement sites analyzed in this study, NPF  
30 frequently occurs in March-May (pre-monsoon, about 21% of the days) and is the least common  
31 in October-November (post-monsoon, about 7% of the days). Considering the NPF events in all  
32 locations, the particle formation rate ( $J_{SDS}$ ) varied by more than two orders of magnitude (0.001 -

33 0.6 cm<sup>-3</sup> s<sup>-1</sup>) and the growth rate between the smallest detectable size and 25 nm (GR<sub>SDS-25nm</sub>) by  
34 about three orders of magnitude (0.2 - 17.2 nm h<sup>-1</sup>). We found that J<sub>SDS</sub> was higher by nearly an  
35 order of magnitude during NPF events in urban areas than mountain sites. GR<sub>SDS</sub> did not show a  
36 systematic difference. Our results showed that NPF events could significantly modulate the shape  
37 of particle number size distributions and CCN concentrations in India. The contribution of a given  
38 NPF event to CCN concentrations was the highest in urban locations (4.3×10<sup>3</sup> cm<sup>-3</sup> per event and  
39 1.2×10<sup>3</sup> cm<sup>-3</sup> per event for 50 nm and 100 nm, respectively) as compared to mountain-background  
40 sites (2.7×10<sup>3</sup> cm<sup>-3</sup> per event and 1.0×10<sup>3</sup> cm<sup>-3</sup> per event, respectively). We emphasize that the  
41 physical and chemical pathways responsible for NPF and factors that control its contribution to  
42 CCN production require in-situ field observations using the recent advances in aerosol and its  
43 precursor gaseous measurement techniques.

44

45 **Keywords:** new particle formation, particle number size distribution, Aitken mode, accumulation  
46 mode, cloud condensation nuclei

47

## 48 **1 Introduction**

49 Cooling by atmospheric aerosols offset a significant fraction of the radiative forcing of the  
50 greenhouse gases (Paasonen et al., 2013) directly by scattering and absorbing solar radiation and  
51 indirectly by altering cloud microphysical properties via activation of cloud condensation nuclei  
52 (CCN) (Rosenfeld et al., 2014; Sarangi et al., 2018). New particle formation (NPF), as a result of  
53 the gas-to-particle conversion, is the largest source of the aerosol numbers to the terrestrial  
54 atmosphere (Kulmala et al., 2007; Zhang et al., 2012). While nucleated particles from NPF are  
55 initially very small molecular clusters (1-2 nm; Kerminen et al., 2012), these molecular clusters  
56 can grow to large sizes within a few hours to a few days and ultimately reach CCN-active sizes  
57 (>50-100 nm) (Pierce and Adams, 2007; Westervelt et al., 2013). Thus, CCN forms the direct  
58 microphysical link between aerosols and clouds and plays a vital role in the hydrological cycle and  
59 climate.

60 In India, several intensive field campaigns such as the Indian Ocean Experiment  
61 (INDOEX) (Ramanathan et al., 2001), Indian Space Research Organization (ISRO)-Geosphere-  
62 Biosphere Programme (GBP)- Land campaign II (Tripathi et al., 2006; Tare et al., 2006), and  
63 Integrated Campaign for Aerosols, gases, and Radiation Budget (ICARB) (Moorthy et al., 2008;

64 Nair et al., 2020; Kompalli et al., 2020) measured sub-micron particle number size distributions  
65 (PNSDs). There are also short- and long-term field observations of sub-micron PNSDs in a variety  
66 of diverse locations in India (Hyvärinen et al., 2010; Kanawade et al., 2014a; Shika et al., 2020;  
67 Tripathi et al., 1988; Komppula et al., 2009; Singh et al., 2004; Moorthy et al., 2011; Babu et al.,  
68 2016; Kompalli et al., 2018). But there are sparse studies in India characterizing seasonal variation  
69 in PNSDs and number concentrations (Kanawade et al., 2014a; Hyvärinen et al., 2010; Komppula  
70 et al., 2009; Hooda et al., 2018; Laj et al., 2020) and atmospheric NPF (Sebastian et al., 2021b;  
71 Siingh et al., 2018; Neitola et al., 2011; Moorthy et al., 2011; Kanawade et al., 2014b; Kanawade  
72 et al., 2014c; Kanawade et al., 2020a). The characterization of PNSDs is critical because the PNSD  
73 is controlled by an evolving balance between NPF, condensation of vapor on pre-existing particles,  
74 evaporation of particles, coagulation and sedimentation (Ipcc, 2013). Previous field measurements  
75 and modeling studies globally demonstrated a substantial enhancement in CCN number  
76 concentrations from nucleation (Yu et al., 2020; Wiedensohler et al., 2009; Sihto et al., 2011; Rose  
77 et al., 2017; Tröstl et al., 2016; Kalivitis et al., 2015; Westervelt et al., 2013; Pierce et al., 2012;  
78 Pierce et al., 2014; Westervelt et al., 2014; Kerminen et al., 2012; Kerminen et al., 2018; Merikanto  
79 et al., 2009; Gordon et al., 2017). For instance, Merikanto et al. (2009) revealed that 45% of the  
80 global low-level CCN at 0.2% supersaturation originates from nucleation. Westervelt et al. (2014)  
81 also found that nucleation contributes to about half of the boundary layer CCN (at supersaturation  
82 of 0.2%), with an estimated uncertainty range of 49 to 78%, which is sensitive to the choice of  
83 nucleation scheme. In contrast, Reddington et al. (2011), using the global model GLOMAP against  
84 ground-based measurements at 15 European sites, found that CCN-sized particle number  
85 concentrations were driven by processes other than nucleation at more than ten sites. They  
86 explained that the weakened response of CCN-sized particles to boundary layer nucleation arises  
87 from an increase in coagulation and condensation sinks for ultrafine particles, thereby reducing  
88 the condensational growth of ultrafine particles to CCN-active sizes (Kuang et al., 2009; Pierce  
89 and Adams, 2007). Tröstl et al. (2016) also revealed that only a small fraction of total particles less  
90 than 50 nm grew beyond 90 nm (50-100 particles  $\text{cm}^{-3}$ ), even on a timescale of several days.  
91 Therefore, to better understand atmospheric NPF and its contribution to the boundary layer CCN  
92 budget, we need highly-resolved spatiotemporal observational data in diverse environments  
93 globally, aided with aerosol model simulations, to help to interpret field observations.

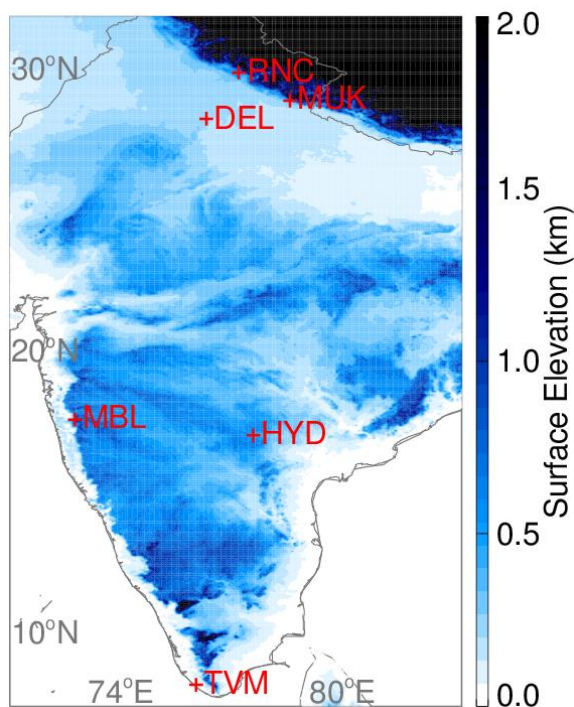
94 Overall, studies pertinent to the impact of NPF on aerosol-cloud interactions are highly  
95 sparse in India. The sources of aerosols, and gaseous precursors required for secondary aerosol  
96 formation, depict a considerable spatiotemporal heterogeneity over India. Therefore, observational  
97 aerosols and precursors data must be synthesized to understand the processes that govern NPF and  
98 its contribution to CCN concentrations in different settings of India. The primary objective of this  
99 study is to harmonize observational PNSDs data from six diverse locations in India to present  
100 analyses of PNSDs, atmospheric NPF, and the contribution of NPF to CCN concentrations.

101

## 102 2 Methods

### 103 2.1 Observation sites and aerosol sampling instrumentation

104 Figure 1 shows the geographical location of measurement sites on the surface elevation  
105 map. Table 1 provides details of measurement sites and particle data analyzed in this study.



106

107 **Figure 1.** The geographical location of measurement sites on the surface elevation map.  
108 Measurement sites such as Ranichauri (RNC), Mukteshwar (MUK), Mahabaleshwar (MBL),  
109 Hyderabad (HYD), Thiruvananthapuram (TVM), and Delhi (DEL) are shown by the red plus sign.  
110 The global 1-arcsecond (30-m) SRTM digital surface elevation data is obtained from the United  
111 States Geological Survey ([https://dds.cr.usgs.gov/srtm/version2\\_1/SRTM30/](https://dds.cr.usgs.gov/srtm/version2_1/SRTM30/)).

112 **Table 1.** Details of the measurement sites and particle number size distribution measurements  
 113 analyzed in this study.

Site Name	Site code	Site type	Instrument	Size range (nm)	Time resolution (minutes)	Time Period
Ranichauri	RNC	Mountain background	DMPS	10.1–757	10	12/2016 – 09/2018
Mukteshwar	MUK	Mountain background	DMPS	10.1–757	5	01/2012 – 12/2013
Mahabaleshwar	MBL	Mountain semi-rural	WRAS	5.14–1000	4	03/2015 – 03/2016
Hyderabad	HYD	Urban	SMPS	10.9–514	5	04/2019 – 03/2020
Thiruvananthapuram	TVM	Semi-urban coastal	SMPS	14.6–661.2	5	01/2013 – 01/2014
Delhi	DEL	Urban	WRAS	5.14–1000	5	11/2011 – 01/2013

114 DMPS: Differential Mobility Particle Sizer, WRAS: Wide-Range Aerosol Spectrometer, SMPS:  
 115 Scanning Mobility Particle Sizer

116  
 117 Ranichauri observation site (RNC, 30.2° N, 78.25° E; ~1930 m above mean sea level, amsl)  
 118 is located in Tehri–Garhwal district of Uttarakhand state in the southern slope of the Western  
 119 Himalaya. The RNC site is situated on an isolated hilltop within the campus of the College of  
 120 Forestry in the Ranichauri village. The RNC site is a Climate Monitoring station managed by the  
 121 India Meteorological Department (IMD). It is a mountain background remote observatory  
 122 (Sebastian et al., 2021b) and located about 70 km to the northeast of Rishikesh city, about 100 km  
 123 to the northwest of the Srinagar city, and about 100 km to the east of Dehradun. Here, particle  
 124 number size distributions in the size range from 10 nm to 757 nm (30 size bins) is measured using  
 125 a differential mobility particle sizer (DMPS, Finnish Meteorological Institute assembled) from  
 126 December 2016 to September 2018 are used (Sebastian et al., 2021b). The DMPS consisted of a  
 127 Vienna-type differential mobility analyzer (DMA) that classifies the charged particles according  
 128 to their electrical mobility and a TSI 3772 condensation particle counter (CPC) that counts  
 129 particles of the selected mobility. The sample air was drawn inside through a stainless-steel inlet  
 130 tube of about 2 meters in length and dried to less than 40% relative humidity with a Nafion dryer  
 131 (Perma Pure model MD-700-48). Diffusion losses in the inlet and inside the DMPS instrument  
 132 were considered in the data inversion. The inversion method was identical to that presented by  
 133 Wiedensohler et al. (2012) for the Finnish Meteorological Institute (FMI) DMPS.

134 Mukteshwar observation site (MUK, 29.43° N, 79.62° E, 2180 m amsl) is located in the  
135 Nainital district of Uttarakhand state in the southern slope of the Central Himalaya. The  
136 Mukteshwar village is situated 3 km to the northeast of the measurement site at a similar altitude  
137 with ~800 inhabitants (Census of India, 2011). MUK can be considered a mountain background  
138 site, with the annual mean black carbon (BC) concentration of 0.9  $\mu\text{g m}^{-3}$ . The town of Almora  
139 (1650 m amsl, 34,000 inhabitants) is located at about 16 km to the north, Nainital (1960 m amsl,  
140 41000 inhabitants) is located at about 25 km to the southwest, and the city of Haldwani (424 m  
141 amsl, 150,000 inhabitants) is located at about 32 km to the southwest to MUK. Delhi, the major  
142 metropolitan city (215 m amsl, 16.8 million inhabitants), is located approximately 250 km to the  
143 southwest. Systematic measurements of aerosol properties have been conducted at MUK since  
144 2005 in Indo-Finnish cooperation with the Finnish Meteorological Institute (Hooda et al., 2018  
145 and references therein). Here, we used only two years (January 2012 to December 2013) of  
146 measurements of particle number size distributions in the size range of 10 nm to 757 nm (30 size  
147 bins). The air sampling procedure was similar to that of the RNC observation site. More details of  
148 the site and aerosol sampling can be found in Hyvärinen et al. (2009).

149 Delhi observation site (DEL, 28.64° N, 77.17° E, 215 m amsl) is located at CSIR-National  
150 Physical Laboratory (NPL). Delhi, India's national capital and largest metropolitan city in South  
151 Asia, is located in the northwestern Indo Gangetic Plain (IGP) in northern India. Delhi city has a  
152 population of 16.8 million, with a population density of 11,320  $\text{km}^{-2}$  (Census of India, 2011). The  
153 Great Indian Desert (Thar Desert) of Rajasthan state is located to the southwest, hot central plains  
154 to the south, and hilly regions to the north and east of Delhi. Long-range transported air masses  
155 often influence Delhi's air quality from the northwest (agricultural residue burning from Punjab  
156 and Haryana in October-November) and southwest (dust storms from Thar and Arabian Peninsula  
157 in April-June) (Kanawade et al., 2020b; Srivastava et al., 2014). Wide Range Aerosol  
158 Spectrometer (WRAS, manufactured by GRIMM, Germany), installed on the second floor of the  
159 NPL main building, was used to measure particle number size distributions. WRAS consists of a  
160 Scanning Mobility Particle Sizer (SMPS) and an Environmental Dust Monitor (EDM). GRIMM-  
161 SMPS system consists of a Vienna-type monodisperse differential mobility analyzer (M-DMA).  
162 DMA classifies the particle according to their electrical mobility, which is then counted using a  
163 CPC. EDM uses an Optical Particle Counter (OPC), which works on the light scattering  
164 technology for particle counting gives the particle number size distribution in the size range from

165 250 nm to 32  $\mu\text{m}$  (Grimm and Eatough, 2009). The WRAS system uses a stainless-steel inlet tube  
166 with an integrated Nafion drier to dry the aerosol samples. A detailed description of the site and  
167 aerosol sampling is given elsewhere (Jose et al., 2021). Thus, the WRAS system gives the particle  
168 number size distribution in the size range from 5.5 nm to 32  $\mu\text{m}$  (72 size bins). The detailed  
169 description and principle of the instrument are discussed elsewhere (Grimm and Eatough, 2009).  
170 In this study, we used particle number size distributions in the size range of 5.14 nm to 1000 nm  
171 from November 2011 to January 2013.

172 Mahabaleshwar observation site (MBL, 17.92° N, 73.65° E; 1378 m amsl) is located in the  
173 small town named Mahabaleshwar in the forested Western Ghats range in the Satara district of  
174 Maharashtra State. In MBL, a High-Altitude Cloud Physics Laboratory (HACPL) was established  
175 by the Indian Institute of Tropical Meteorology (IITM), Pune, in 2012, to study monsoon clouds  
176 in this region. HACPL site details are found elsewhere (Anil Kumar et al., 2021). Mahabaleshwar  
177 town is a tourist attraction consisting of dense vegetation, residential houses, hotels, and a rural  
178 market. Pune city is located on the leeward side of the Western Ghats about 100 km to the north,  
179 Mumbai city is located approximately 250 km on the northwest, and Satara city is located  
180 approximately 50 km to the southeast of Mahabaleshwar. Measurements of particle number size  
181 distributions were carried out using the GRIMM-WRAS system. The detailed description and  
182 principle of the instrument are discussed elsewhere (Grimm and Eatough, 2009). The WRAS has  
183 a stainless-steel inlet tube with an integrated Nafion dryer to reduce the relative humidity to ~40%.  
184 In this study, we used particle number size distributions in the size range of 5.14 nm to 1000 nm  
185 from March 2015 to March 2016.

186 Hyderabad observation site (HYD, 17.46° N, 78.32° E; 542 m amsl), University of  
187 Hyderabad, is located in the outskirts of Hyderabad urban city. HYD observation site details can  
188 be found in Sebastian et al. (2021a). Briefly, particle number size distributions in size range from  
189 10.9 to 514 nm (108 size bins) were measured using TSI SMPS, which consists of an electrostatic  
190 classifier with a long differential mobility analyzer (TSI LDMA, model 3082) and a butanol CPC  
191 (TSI, model 3772), on the second floor of the Earth Sciences building located in the University of  
192 Hyderabad campus from April 2019 to March 2020. The scanning cycle of SMPS was 300  
193 seconds, yielding a particle number size distribution every 5 minutes.

194 Thiruvananthapuram (Trivandrum) observation site (TVM, 8.55° N, 76.97°E, 3 m amsl) is  
195 a tropical semi-urban coastal city with a population of ~1 million (Census of India, 2011), located

196 on the southwestern coast of the Indian peninsular. The observations were carried out at the Space  
197 Physics Laboratory (SPL) within the Thumba Equatorial Rocket Launching Station, which is about  
198 500 m due east of the Arabian Sea coast and 10 km northwest of the urban area of  
199 Thiruvananthapuram. The experimental site is free from major industrial or urban activities (Babu  
200 et al., 2016). TVM station is a part of the Aerosol Radiative Forcing over India (ARFI) project  
201 network of the Indian Space Research Organisation - Geosphere-Biosphere Program (ISRO-GBP).  
202 Measurements of particle number size distributions in size range from 14.6 nm to 661.2 nm (108  
203 size bins) were made using TSI SMPS, which consists of an electrostatic classifier with an LDMA  
204 (3081) and a water-based CPC (3786) from January 2013 to January 2014. The ambient air was  
205 sampled from a height of 3 m above ground level through a manifold inlet fitted with a PM<sub>10</sub> size  
206 cut impactor at 16.67 LPM flow rate. Subsequently, the flow was distributed among various  
207 aerosol instruments connected with electrically conductive tubing. A diffusion dryer (Make: TSI,  
208 Model: 3062) employing silica gel was used to restrict high relative humidity conditions. More  
209 details about the site and prevailing meteorology are described in Babu et al. (2016).

210 Particle number size distributions are categorized by season. We have defined four seasons  
211 as indicated in Table 2. The overall particle number size distribution data coverage was adequate  
212 (>60 %) at the RNC, MUK, MBL, and HYD sites (Fig. 2) for determining the main seasonal and  
213 annual features of particle number size distributions and NPF characteristics. The data coverage at  
214 TVM (34%) and DEL (47%) was lower. We also analyzed the number concentration of three sub-  
215 micron aerosol modes: Aitken mode (25-100 nm), accumulation mode (100-514 nm), and total  
216 particles (<514 nm). Figure S1 shows the three-day air mass backward trajectories arriving at 500  
217 m above the ground level at all sites for winter, pre-monsoon, monsoon and post-monsoon seasons.  
218 RNC and MUK generally experience a mixture of relatively cleaner free tropospheric air and  
219 polluted air from the highly polluted Indo-Gangetic Plain. MBL experiences marine air masses  
220 during pre-monsoon and monsoon seasons while continental air masses during post-monsoon and  
221 winter. HYD experiences mixed marine and continental air masses from the northeast during post-  
222 monsoon and winter seasons while from southeast and west during pre-monsoon and monsoon,  
223 respectively. TVM predominantly experiences air masses of marine origin throughout the year,  
224 with continental influence during the winter season. DEL mostly experiences air masses from the  
225 northwest during pre-monsoon, post-monsoon and winter seasons and from southeast and  
226 southwest during monsoon season.

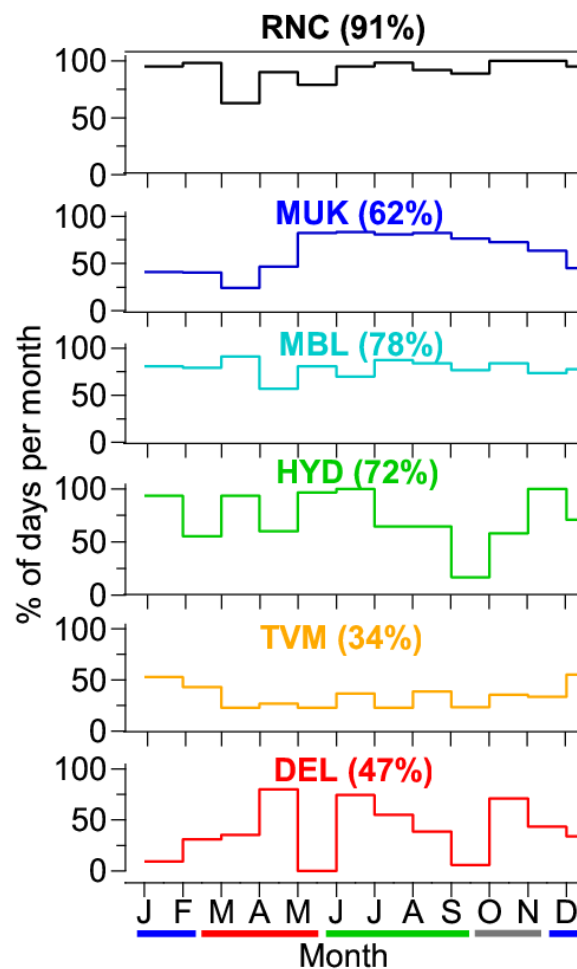


227

228 **Table 2.** Seasons are defined in the analysis and average weather conditions.

Season	Months	Comments
Winter	December, January, February	Cold and dry
Pre-monsoon	March, April, May	Hot and dry
Monsoon	June, July, August, September	Warm, humid, and wet
Post-monsoon	October, November	Cool and humid

229



230

231 **Figure 2.** Particle number size distributions data coverage (% of days/month) at the sites. The  
232 values in the bracket indicate total data coverage. The blue, red, green, and grey colored thick lines  
233 indicate winter, pre-monsoon, monsoon, and post-monsoon months. Note that measurements are  
234 from different time periods for each site (refer to Table 1).

235

## 236 **2.2 New particle formation event classification and features**

237 We classified observation days into three types of events: NPF event day, non-event day,  
238 and undefined event day using visual inspection of the particle number size distributions following  
239 the methodology given by Dal Maso et al. (2005). A day was classified as an NPF event day by  
240 the presence of a distinctly new mode of particles with a diameter smaller than 25 nm and steady  
241 growth in diameter of this new mode such that the particle number size distributions display a  
242 noontime "banana" shaped aerosol growth. The particle mode diameter (i.e., the local maximum  
243 of the particle number size distribution) was obtained by fitting a log-normal distribution to the  
244 measured particle number size distribution. A day without any evidence of a distinctly new mode  
245 of particles diameter smaller than 25 nm was identified as a non-event day. Those days, which  
246 were difficult to be classified as any one of the above two event types, were identified as undefined  
247 event days. For NPF events, the particle growth rate was calculated by fitting a first-order  
248 polynomial line through growing particle mode diameter between the smallest detectable size  
249 (SDS) of the instrument (e.g., 10 nm for RNC) and 25 nm as a function of time and calculating its  
250 slope ( $GR_{SDS-25nm}$ ). The formation rate of a particle at the SDS ( $J_{SDS}$ ) was also found using the  
251 simplified approximation of the General Dynamic Equation (GDE), describing the evolution of  
252 the particle number size distribution as given below;

$$253$$
$$254 J_{SDS} = \frac{dN_{SDS-25}}{dt} + F_{CoagS} + F_{growth} \quad (1)$$
$$255$$

256 where the first term in Eq. (1) is the rate of the change of nucleation mode particle number  
257 concentrations, the second term is the coagulation loss of nucleation mode particles, and the third  
258 term is the flux out of the size range of SDS-25 nm, i.e., condensational growth (Dal Maso et al.,  
259 2005). A direct comparison of  $J_{SDS}$  and  $GR_{SDS-25nm}$  between the sites is not possible because of  
260 the different size ranges covered by the instruments.

261

## 262 **2.3 Increase in CCN concentrations from NPF**

263 The increase in CCN concentrations from any given NPF event can be estimated by  
264 comparing the CCN concentration before the event ( $N_{CCNprior}$ ) and the maximum CCN  
265 concentration during the event ( $N_{CCNmax}$ ) following the methodology developed by Kerminen et  
266 al. (2012), which we modified further. In typical ambient in-cloud supersaturations, the total

267 number of particles from 50 nm to >100 nm can be considered as a proxy for CCN concentrations  
 268 assuming fixed chemical composition (Westervelt et al., 2013; Kerminen et al., 2012).  $N_{CCN_{prior}}$   
 269 was chosen to be a one-hour average concentration of particles larger than 50 nm (and 100 nm)  
 270 just before the start of the NPF event. The start of the NPF event is the time when nucleation mode  
 271 particle number concentrations increase rapidly during an NPF event.  $N_{CCN_{max}}$  was taken as a  
 272 maximum one-hour average concentration of particles larger than 50 nm (and 100 nm) during the  
 273 event. The  $N_{CCN_{max}}$  is not the best representation of CCN concentration after the NPF event  
 274 because it is not possible to estimate the end of an NPF event. But it gives a rough estimate of the  
 275 observed maximum number of primary and secondary particles present in the atmosphere during  
 276 an event (Kerminen et al., 2012). For non-event days, the seasonally averaged start of the NPF  
 277 event time was chosen to calculate  $N_{CCN_{prior}}$ .  $N_{CCN_{max}}$  on non-event days was taken similar to NPF  
 278 event days, as a maximum one-hour average concentration of particles larger than 50 nm (and 100  
 279 nm). The second term in Eq. (2) gives approximate CCN concentrations from processes other than  
 280 NPF. Then, the absolute increase in CCN concentration from NPF is calculated as given below,

$$281$$

$$282 \text{CCN increase} = (N_{CCN_{max}} - N_{CCN_{prior}})_{NPF\text{event}} - (N_{CCN_{max}} - N_{CCN_{prior}})_{\text{non-events}} \quad (2)$$

$$283$$

284 The first term on the right-hand side in Eq. (2) indicates the CCN increase during an NPF event,  
 285 while the second term indicates the CCN increase during a non-event. But the atmospheric  
 286 condition on non-event days is generally different from NPF event days; therefore, the calculated  
 287 increase in CCN concentrations from NPF may be imprecise.

288

### 289 **3. Results and discussion**

#### 290 **3.1 Variability in particle number size distributions and number concentrations**

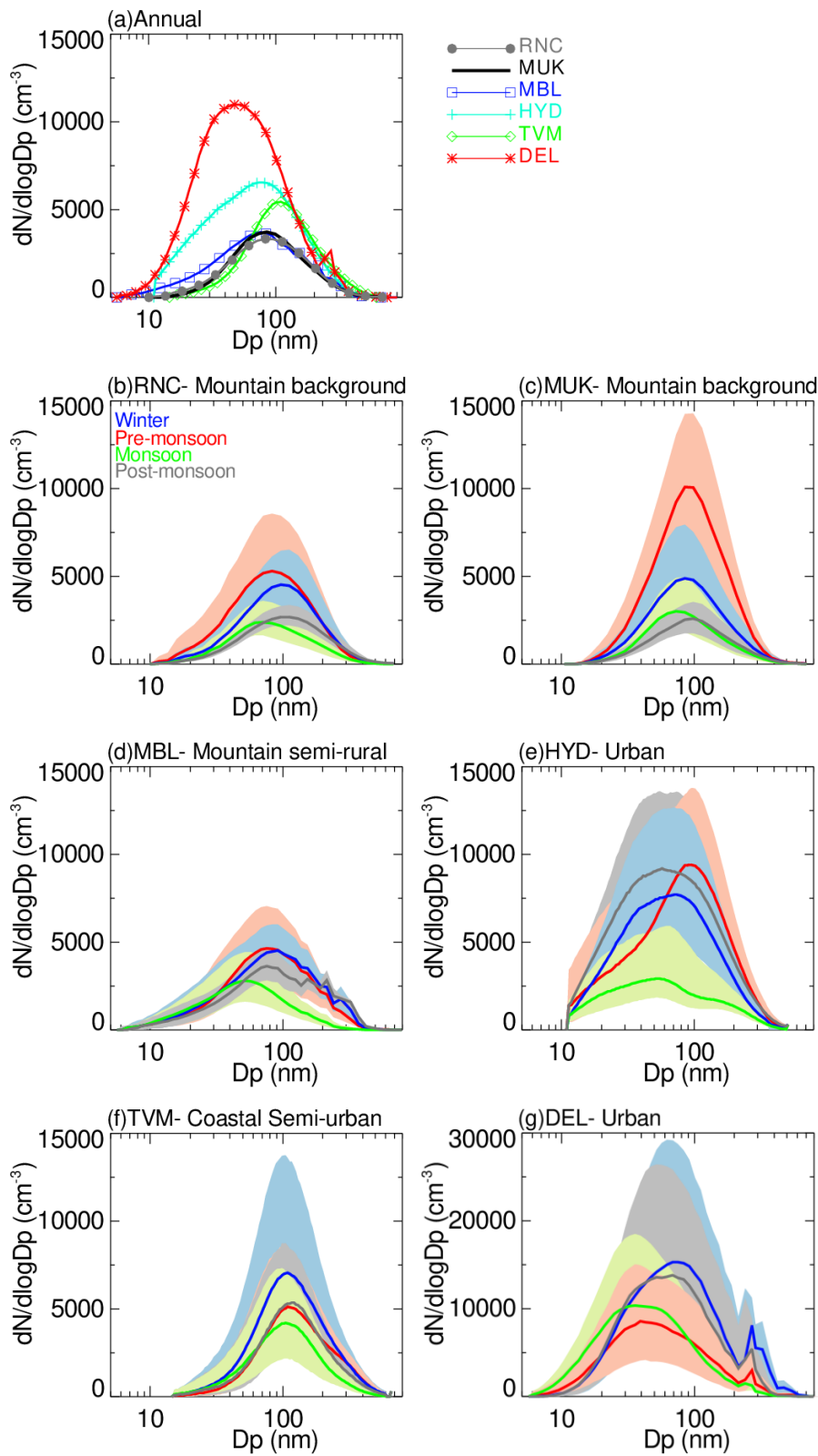
291 Figure 3 shows the annual and seasonal median and 25<sup>th</sup> and 75<sup>th</sup> percentile values of  
 292 particle number size distributions at all the sites. The thick line represents the median value,  
 293 whereas the shaded area indicates particle number size distribution between 25<sup>th</sup> and 75<sup>th</sup>  
 294 percentiles. The mountain sites (RNC, MUK, and MBL) all show similar mode diameters, with  
 295 the lowest concentrations at RNC. Amongst urban areas (HYD, TVM, and DEL), TVM has the  
 296 largest mode diameter, which is frequently influenced by the influx of marine air masses  
 297 containing high moisture and coarser sea salt aerosols (Babu et al., 2016) (Fig. 3a). The peak

298 number concentration of PNSDs is the highest in pre-monsoon (MAM) than in other seasons at  
299 the mountain background sites RNC and MUK (Fig. 3b-c), while it was similar in winter and pre-  
300 monsoon at MBL (Fig. 3d). These elevated concentrations are accompanied by a smaller mode  
301 diameter of the Aitken mode particles. The highest number concentration is attributed to the  
302 frequent occurrence of NPF in these locations in pre-monsoon (Sebastian et al., 2021b; Neitola et  
303 al., 2011). The contribution of newly formed particles to total particles is also visible in the 75<sup>th</sup>  
304 percentile PNSDs at these sites. The number size distributions of particles were significantly the  
305 lowest in monsoon and post-monsoon.

306 The median number size distribution of particles at HYD is the highest in pre-monsoon  
307 and post-monsoon (Fig. 3e). The highest particle number concentrations in pre-monsoon and post-  
308 monsoon can be attributed to the frequent occurrence of NPF in these seasons at the site. The  
309 influence of NPF is also noticeable in the 75<sup>th</sup> percentile PNSDs. The PNSD is consistently the  
310 lowest in monsoon, attributed to the wet scavenging of particles. The concentrations of Aitken and  
311 accumulation mode particles are the highest in winter compared to the other seasons. The mode  
312 diameter of PNSDs at TVM is comparatively similar in all seasons (Fig. 3f). At DEL, the mode  
313 diameter of PNSDs is the highest in winter compared to the other seasons (Fig. 3g). The shallow  
314 boundary layer height, stagnant atmospheric conditions, and high emission rates of aerosol  
315 precursors in winter (Kanawade et al., 2020b) allow particles to stay close to the surface and grow  
316 larger under high relative humidity and high condensable vapor concentrations. The median PNSD  
317 is consistently the lowest in monsoon at TVM due to extensive wet scavenging. The strong  
318 seasonality in PNSDs is similar to those reported earlier in India (Hooda et al., 2018; Komppula  
319 et al., 2009; Gani et al., 2020; Kanawade et al., 2014a). The uni- and bi-modal parameters of the  
320 particle number size distributions presented in Fig. 3 are tabulated in Table S1.

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327 **Figure 3.** (a) Annual and (b-g) seasonal median particle number size distributions at all the sites.

328 The solid line indicates the median, and the light-colored shading indicates 25<sup>th</sup> and 75<sup>th</sup> percentile

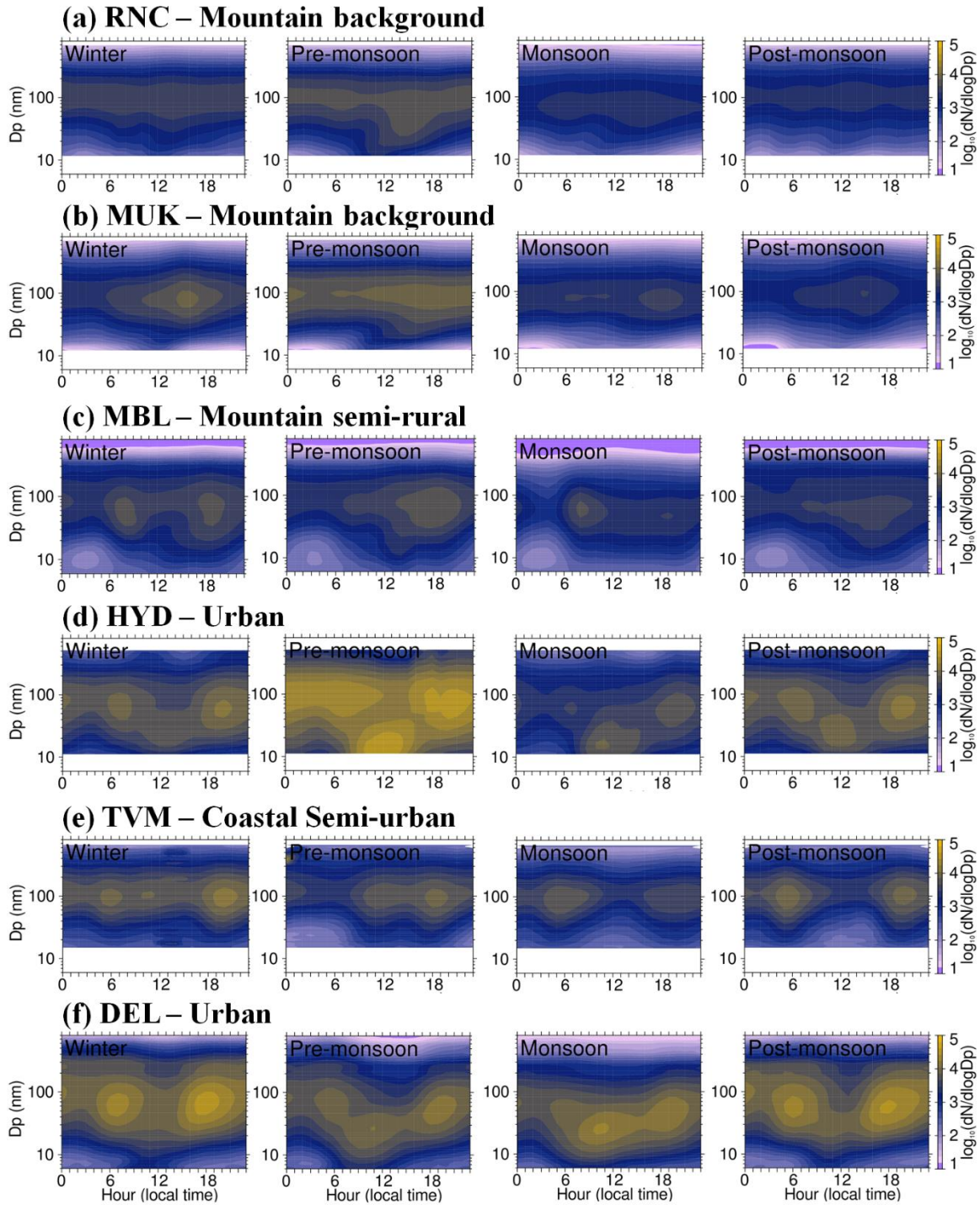
329 distributions. The blue line and shading indicate winter (DJF), red line and shading indicate pre-  
330 monsoon (MAM), green line and shading indicate monsoon (JJAS), and grey line and shading  
331 indicate post-monsoon season (ON). Note that the y-axis scale is different for the DEL site. Note  
332 that measurements are from different time periods for each site (refer to Table 1).

333

334 Figure 4 shows the average observed PNSDs evolving over the day for each season, as a  
335 contour plot, at all the sites. For the mountain background sites RNC and MUK, the average  
336 seasonal contour plot indicates daytime NPF in pre-monsoon. However, winter, monsoon, and  
337 post-monsoon had the lowest concentrations of smaller particles that are not associated with NPF.  
338 For MBL, NPF occurred in winter, pre-monsoon, and post-monsoon. For all urban sites (HYD,  
339 TVM, and DEL), the average seasonal contour plot indicates the highest concentration of particles  
340 in morning and evening peak traffic hours, in addition to daytime NPF. In Section 3.2, we have  
341 considered this high particle concentration from evening peak traffic while investigating the  
342 frequency of occurrence of NPF and its contribution to CCN concentrations.

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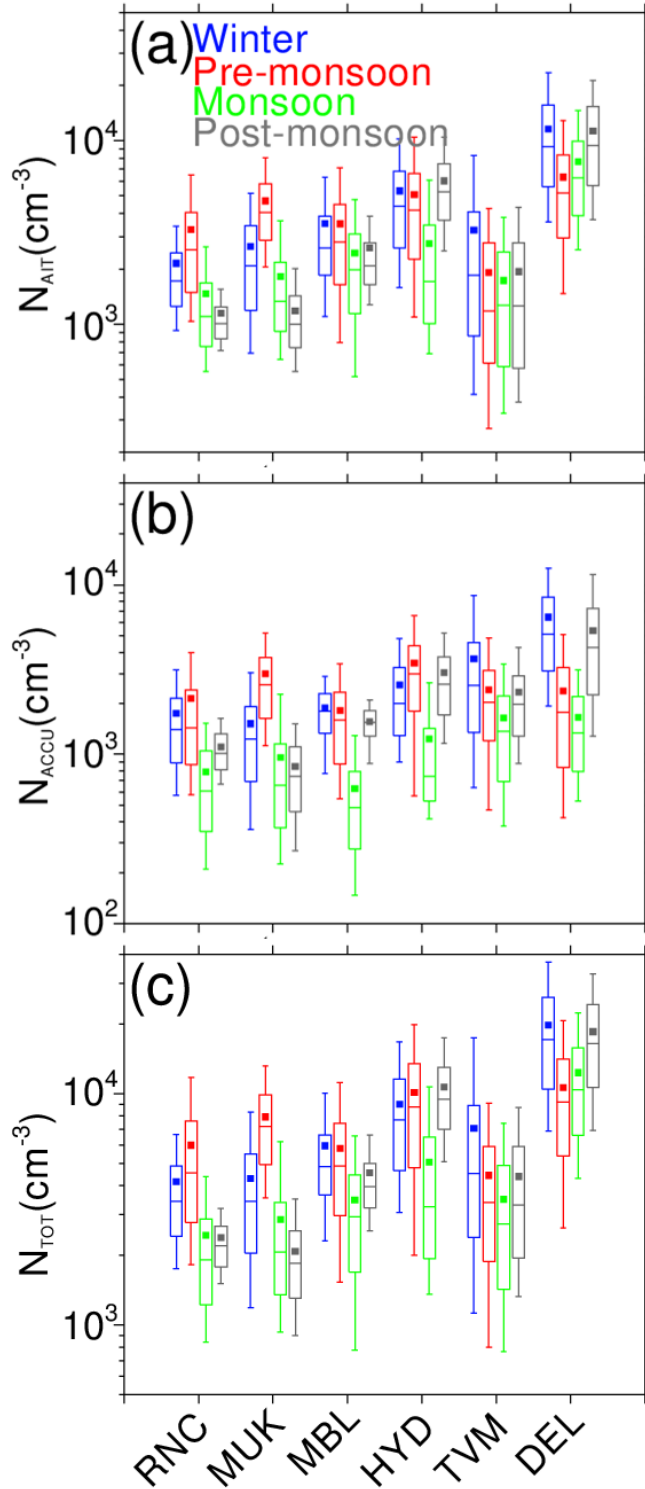
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 346 **Figure 4.** The diurnal-seasonal median particle number size distributions at all the sites; a)  
 347 Ranichauri, b) Mukteshwar, c) Mahabaleshwar, d) Hyderabad, e) Thiruvananthapuram, and f)  
 348 Delhi. Note that measurements are from different time periods for each site (refer to Table 1).  
 349

350 Figure 5 shows the box-whisker plot of the seasonal number concentrations of Aitken,  
351 accumulation, and total particles at all the sites. The periods of study are different for all the sites,  
352 where direct comparison of particle number concentrations is not possible. The median Aitken  
353 mode particle number concentrations are the lowest at RNC ( $1.4 \times 10^3 \text{ cm}^{-3}$ ) and the highest at DEL  
354 ( $7.1 \times 10^3 \text{ cm}^{-3}$ ). The median accumulation mode particle number concentrations are the lowest at  
355 MUK ( $0.9 \times 10^3 \text{ cm}^{-3}$ ) and the highest at DEL ( $2.4 \times 10^3 \text{ cm}^{-3}$ ). The total particle number  
356 concentrations are the lowest at MUK ( $2.7 \times 10^3 \text{ cm}^{-3}$ ) and the highest at DEL ( $12.5 \times 10^3 \text{ cm}^{-3}$ ).  
357 The median particle number concentrations are about 5-fold higher in urban locations (HYD,  
358 TVM, and DEL) than mountain sites (RNC, MUK, and MBL). Overall, the size-segregated particle  
359 number concentrations show strong seasonal spatial variability, with the lowest concentrations at  
360 the mountain sites and the highest at the urban sites. Further, the size-segregated particle number  
361 concentrations also show the large variability in each urban location than the mountain sites. Next,  
362 we discuss the seasonality in the number concentration of Aitken, accumulation, and total particles  
363 in all locations to understand space- and time-varying heterogeneity in particle number  
364 concentrations.





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 366 **Figure 5.** Box-whisker plot of seasonal number concentrations of (a) Aitken mode (b)  
 367 accumulation mode, and (c) total particles using the entire data. The blue, red, green, and grey  
 368 color indicate winter (DJF), pre-monsoon (MAM), monsoon (JJAS), and post-monsoon (ON)  
 369 months. The filled square indicates the mean, horizontal line indicates the median, the top and

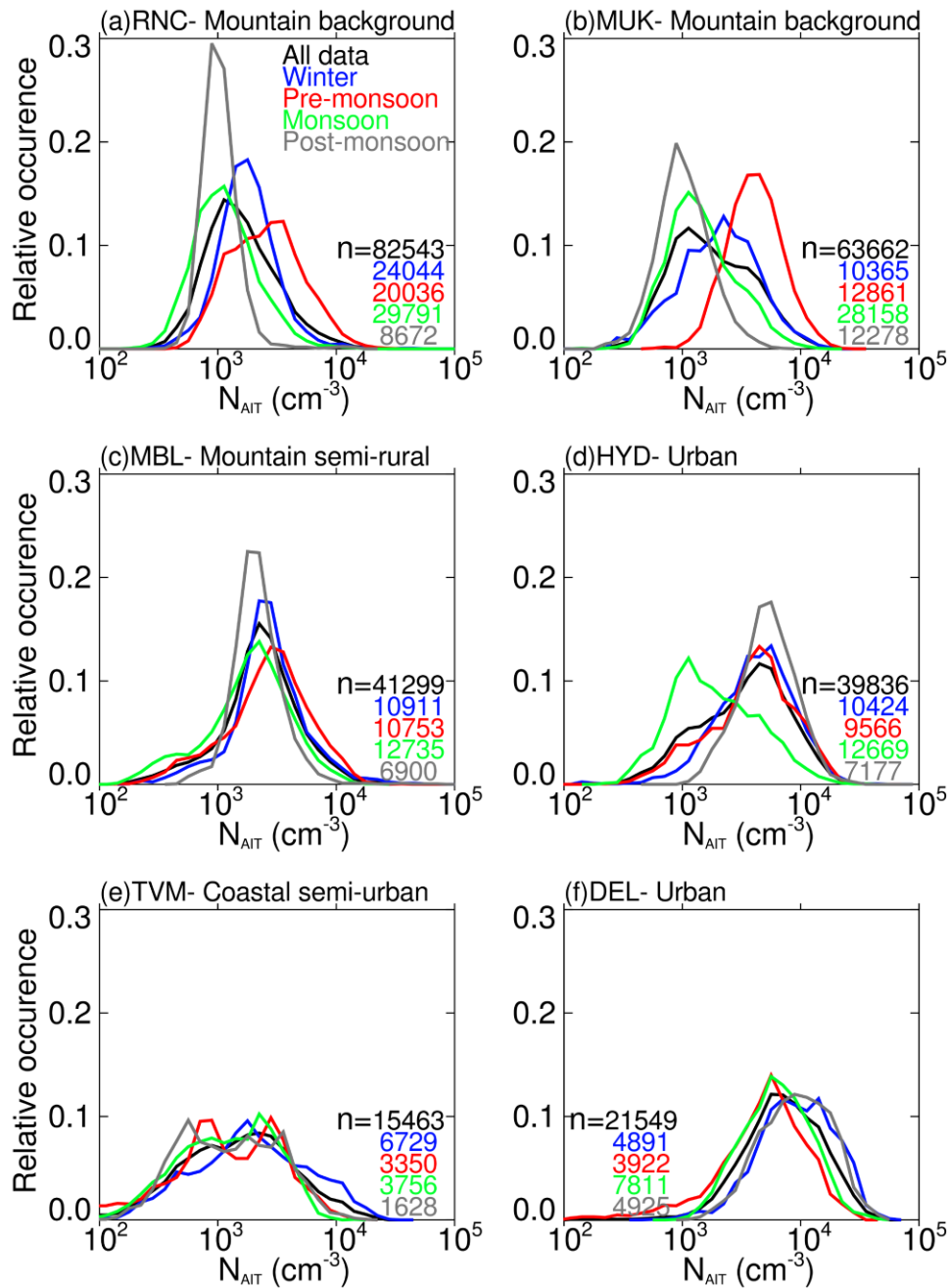
370 bottom of the box indicate 25<sup>th</sup> and 75<sup>th</sup> percentile values, and the top and bottom whiskers indicate  
371 10<sup>th</sup> and 90<sup>th</sup> percentile values. Note that measurements are from different time periods for each  
372 site (refer to Table 1).

373

374       The relative occurrence of the number concentrations of size-segregated (Aitken and  
375 accumulation) particles was calculated to determine the maximum concentrations of a given  
376 particle mode in different seasons at all sites. The histograms of the relative occurrence of Aitken  
377 mode particle number concentrations at all the sites are presented in Figure 6(a-f). The mountain  
378 background sites RNC and MUK show a similar seasonality in number concentration histograms  
379 of Aitken mode particles, with the highest concentrations in the pre-monsoon season. The lowest  
380 concentrations are observed in monsoon and post-monsoon due to increased removal of particles  
381 by wet-scavenging. MBL does not show notable seasonality in the number concentration  
382 histograms of Aitken mode particles. HYD, TVM, and DEL are urban environments but show  
383 different seasonality in the number concentration histograms of Aitken mode particles. DEL shows  
384 the highest Aitken mode particle number concentrations in winter, and post-monsoon, TVM show  
385 the highest concentrations in winter. In contrast, HYD shows comparable number concentrations  
386 in winter, pre-monsoon, and post-monsoon. The highest Aitken mode number concentrations in  
387 pre-monsoon at mountain-background sites are attributed to the high frequency of NPF occurrence  
388 in pre-monsoon (see Sect. 3.2.1). The highest Aitken mode number concentrations in winter at  
389 urban sites can be explained by the high pre-existing particle concentration. DEL has the highest  
390 concentration of Aitken mode particles during winter owing to the anthropogenic sources and the  
391 stagnant atmospheric conditions during the season (Kanawade et al., 2020b). The difference in  
392 seasonality in the number concentration histograms of Aitken mode particles can be explained by  
393 the differences in the atmospheric conditions (e.g., prevailing synoptic air masses, mesoscale  
394 processes such as atmospheric boundary layer dynamics, and particle removal processes) and  
395 considerable heterogeneity in aerosol composition (natural versus anthropogenic aerosol emission  
396 sources); DEL is representative of a sub-tropical climate, HYD is representative of a tropical  
397 climate, and TVM is representative of a tropical-coastal climate.

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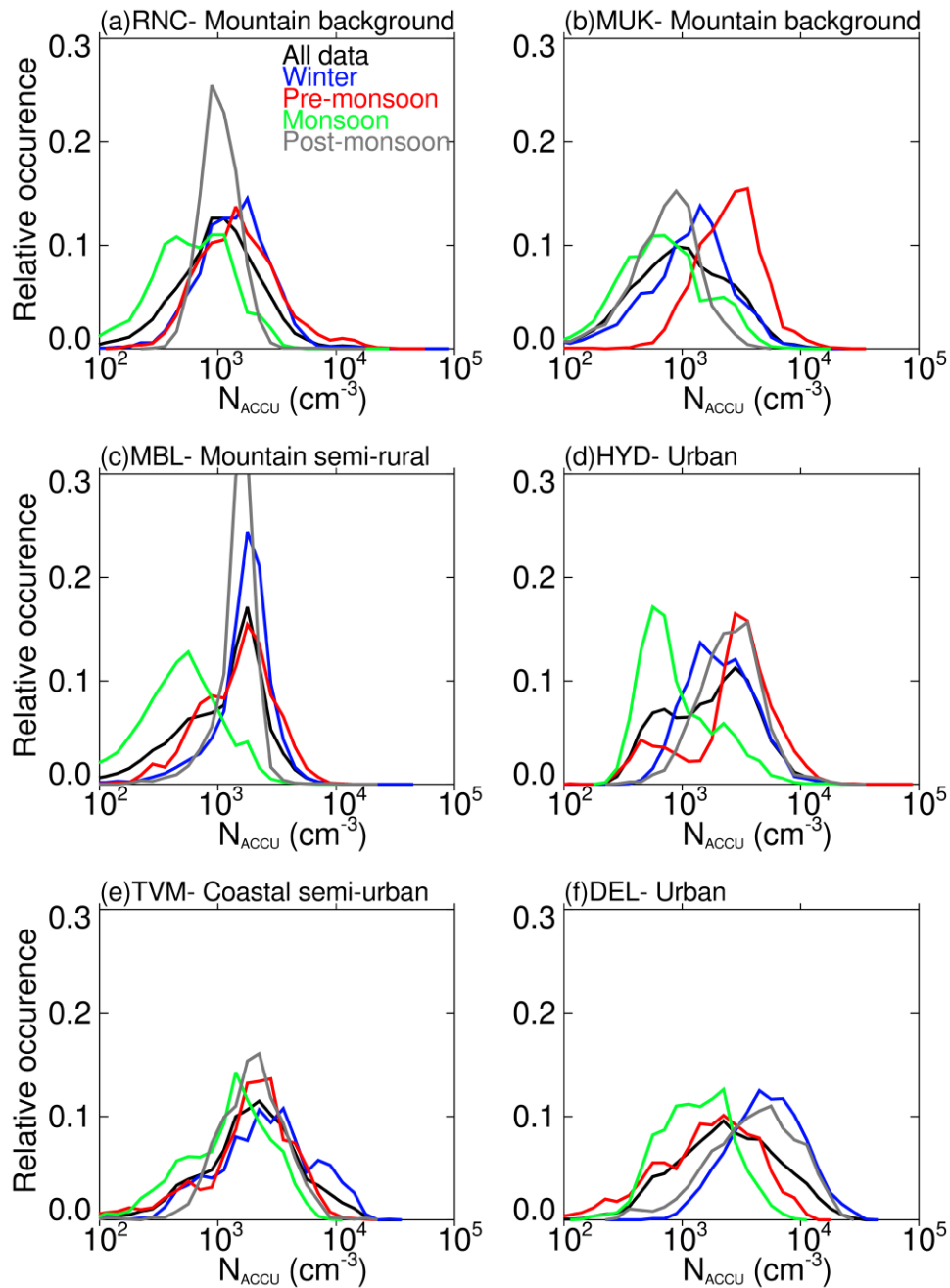


400

401 **Figure 6.** Histogram of the relative occurrence of Aitken mode particle number concentrations at  
 402 the sites. The concentration bins are logarithmically spaced in the x-axis, and the y-axis shows the  
 403 relative occurrence of values in each bin compared to the total number of valid observations. The  
 404 black, blue, red, green, and grey lines indicate all data, winter (DJF), pre-monsoon (MAM),  
 405 monsoon (JJAS), and post-monsoon (ON), respectively. n indicates the number of 10 minutes  
 406 averaged valid data points. Note that measurements are from different time periods for each site  
 407 (refer to Table 1).

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Similar histograms of accumulation mode particles are presented in Fig. 7(a-f). The seasonality in accumulation mode particles is slightly different as compared to Aitken mode particles at some sites. RNC shows similar number concentration histograms of accumulation mode particles in winter and pre-monsoon instead of dissimilar histograms for Aitken mode particles. The number concentration histograms of accumulation mode particles at MUK are similar to Aitken mode particles. MBL shows similar number concentration histograms in winter, pre-monsoon, and post-monsoon, with the lowest concentrations in monsoon due to wet scavenging. Among the urban sites, DEL shows the highest accumulation mode concentrations in post-monsoon and winter. TVM and HYD show the highest accumulation mode concentrations in winter and post-monsoon, respectively. The seasonality in total particles was also similar to Aitken mode particles, indicating that Aitken mode particles constituted the most considerable fraction of total particles at all the sites (Figure S2). However, it is difficult to separate a fraction of Aitken or accumulation mode particles that originated from NPF from that of the primary emissions, especially in urban areas where the primary emission rates of aerosols are very high (Thomas et al., 2019). The survival probability of newly formed particles to >50-100 nm size depends on many factors such as the frequency and intensity of the NPF occurrence, availability of condensable vapors, pre-existing particles, and atmospheric conditions. In Sect. 3.2.3, we estimate the absolute increase of CCN concentrations from NPF following the methodology given by Kerminen et al. (2012) and modified to calculate CCN concentrations for any given NPF event.



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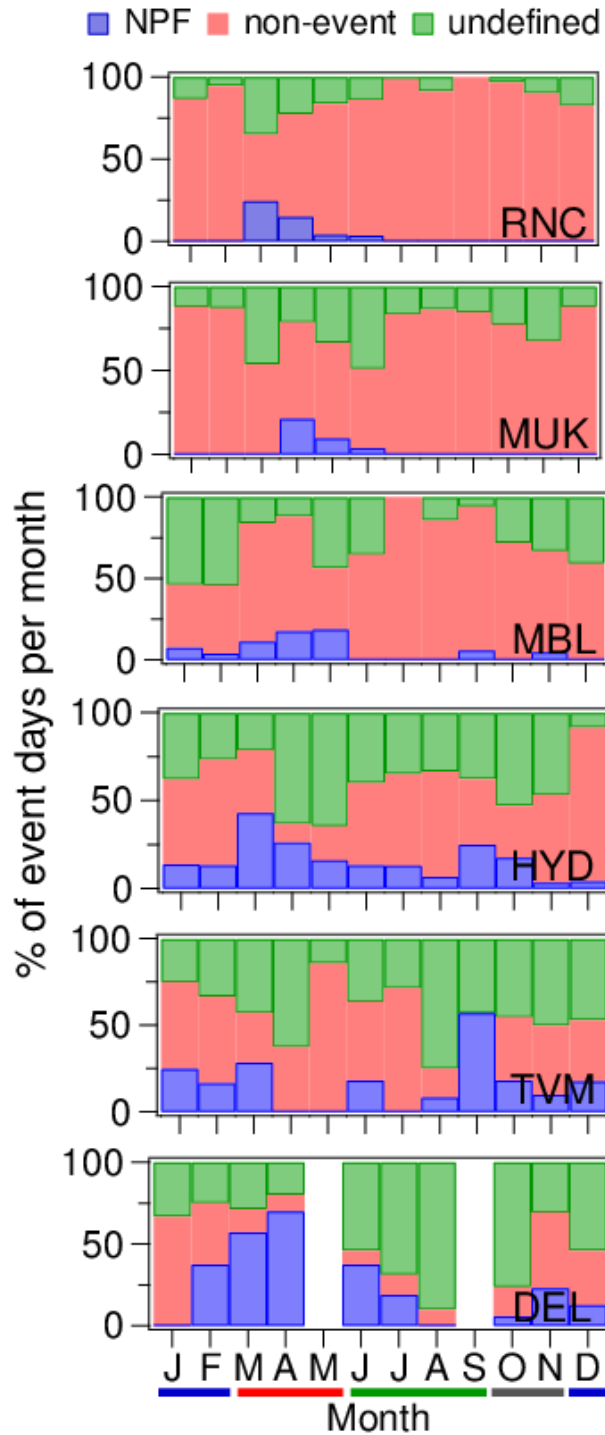
431 **Figure 7.** Histogram of the relative occurrence of accumulation mode particle number  
 432 concentrations at the sites. The concentration bins are logarithmically spaced in the x-axis, and the  
 433 y-axis shows the relative occurrence of values in each bin compared to the total number of valid  
 434 observations. The black, blue, red, green, and grey lines indicate all data, winter (DJF), pre-  
 435 monsoon (MAM), monsoon (JJAS), and post-monsoon (ON), respectively. n indicates the number  
 436 of 10 minutes averaged valid data points. Note that measurements are from different time periods  
 437 for each site (refer to Table 1).

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## **3.2 New particle formation and its contribution to CCN concentrations**

### **3.2.1 NPF event characteristics**

The frequency of occurrence of NPF events, the particle formation rate of nucleation mode particles ( $J_{\text{SDS}}$ ), and the particle growth rate of nucleation mode particles ( $GR_{\text{SDS-25nm}}$ ) are typically derived to quantify the NPF (Kerminen et al., 2018; Nieminen et al., 2018; Kulmala et al., 2004). These NPF characteristics are closely associated with aerosol precursor concentrations, pre-existing aerosol particles, and atmospheric conditions. As a result, the frequency of occurrence of NPF events varies from one location to another as well as seasonally. NPF is thought to occur frequently during the spring (pre-monsoon) and rarely during the winter (Kanawade et al., 2012; Dal Maso et al., 2005; Nieminen et al., 2018). However, NPF events were also observed frequently during the thermal winter (Kulmala et al., 2004; Pikridas et al., 2012) and fall (September, October, and November) (Rodríguez et al., 2005). These studies indicate that there is no universal pattern in the occurrence of NPF events. Figure 8 shows the percentage of NPF, non-event, and undefined event days based on valid observation days at all the sites. Out of a total of 586 valid observation days at RNC, NPF events occurred on 21 days (3.9%), whereas 493 (83.7%) days were non-event days. Out of a total of 440 valid observation days at MUK, NPF events occurred on 13 days (2.9%), whereas 321 (73.1%) days were non-event days. Out of a total of 281 valid observation days at MBL, NPF events occurred on 16 days (5.9%), whereas 188 (66.1%) days were non-event days. Out of a total of 270 valid observation days at HYD, NPF events occurred on 38 days (16.3%), whereas 124 (44.8%) days were non-event days. Out of a total of 133 valid observation days at TVM, NPF events occurred on 23 days (16.6%), whereas 55 (41.4%) days were non-event days. Out of a total of 139 valid observation days at DEL, NPF events occurred on 39 days (28.1%), whereas 30 (21.1%) days were non-event days. The frequencies of NPF occurrence at all six sites are tabulated in Table S2.



463  
 464 **Figure 8.** Monthly percentage of occurrence of NPF, non-event, and undefined events days based  
 465 on total valid observations days at all the sites. The blue, red, green, and grey colored thick lines  
 466 indicate winter, pre-monsoon, monsoon, and post-monsoon months. Note that measurements are  
 467 from different time periods for each site (refer to Table 1).

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### 469 **3.2.2 Particle formation rate and growth rate**

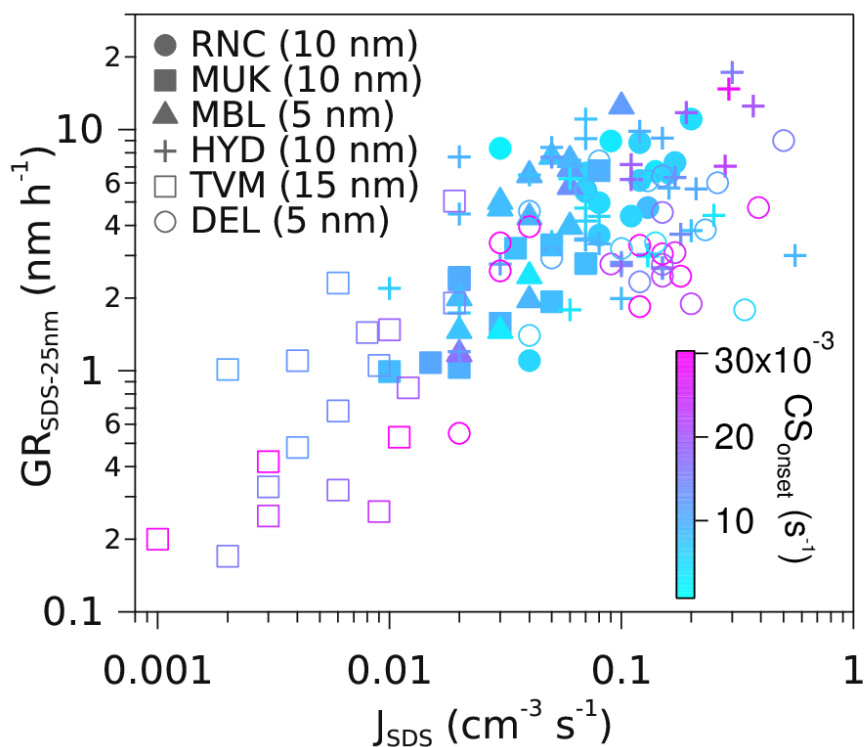
470 Overall, the frequency of occurrence of NPF is the highest in pre-monsoon as compared to  
471 other seasons. There is also an exception to this, with the highest frequency of NPF occurrence in  
472 the late monsoon (September) at TVM. Babu et al. (2016) have reported that NPF events over this  
473 site occurred due to a mixing of contrasting air masses due to the combined effect of mesoscale  
474 land-sea breeze circulation and local ABL dynamics. Though prevailing air masses are oceanic,  
475 the wind speeds and total rainfall were lower during September than other monsoonal months. A  
476 cleaner synoptic air mass (i.e., lower background concentrations and condensation sink), combined  
477 with the occurrence of well-defined mesoscale land-sea breeze transitions and horizontal  
478 convergence of contrasting air masses during September, was responsible for the highest NPF  
479 frequency. Amongst the sites, the mountain-background sites in the Western Himalaya (RNC and  
480 MUK) have the lowest annual mean frequency of occurrence of NPF (3.9% and 2.9%,  
481 respectively), with the highest seasonal frequency of occurrence of NPF in pre-monsoon. Previous  
482 studies also showed the infrequent occurrence of NPF at RNC (Sebastian et al., 2021b) and MUK  
483 (Neitola et al., 2011), with the highest frequency in pre-monsoon. The highest NPF frequency in  
484 pre-monsoon was connected to the planetary boundary layer uplifting to the measurement site  
485 elevation that appeared to transport aerosol precursors from nearby polluted lower-altitude regions  
486 (Hooda et al., 2018; Raatikainen et al., 2014). However, NPF occurred frequently (39%) at the  
487 Nepal Climate Observatory-Pyramid (NCO-P) site in the Eastern Himalaya (Venzac et al., 2008).  
488 A recent study also observed a very high NPF frequency (69%) at NCO-P from November to  
489 December when cleaner conditions prevailed, with little transportation from the polluted lower-  
490 altitude regions (Bianchi et al., 2021). They showed that up-valley winds bring gaseous aerosol  
491 precursors to higher altitudes. These precursors are oxidized into compounds of very low volatility  
492 and are subsequently converted into new particles during their transport to the site. The above  
493 discussion indicates that RNC and MUK mountain-background sites in the Western Himalayas are  
494 strikingly different from the NCO-P site in the Eastern Himalayas (Bianchi et al., 2021). The  
495 annual NPF frequency at RNC and MUK is lower than MBL and the high-altitude sites in Europe  
496 (Nieminen et al., 2018). DEL has the highest frequency of occurrence of NPF events in pre-  
497 monsoon (63.8%), followed by HYD (28.4%) and MBL (15.9%). TVM coastal semi-urban site  
498 witnesses frequent NPF events under the influence of continental air masses. As the air masses



499 change from continental to the mixed or marine origin, the NPF event frequency decreases (Babu  
500 et al., 2016). NPF was also observed commonly at other urban sites in India (Kanpur and Pune)  
501 under a high source of aerosol precursors when pre-existing particle concentrations reduced  
502 sufficiently due to dilution (Kanawade et al., 2020a; Kanawade et al., 2014b). While the severe air  
503 pollution episode in Delhi in November 2016 suppressed the NPF, the co-condensation of vapors  
504 of anthropogenic origin along with water onto primary particles assisted the rapid particle growth  
505 ( $1.6$  to  $30.3 \text{ nm h}^{-1}$ ) (Kanawade et al., 2020b). The emission of precursor compounds from traffic  
506 and other sources in Beijing, China, also contributed significantly to the molecular cluster  
507 formation, particle growth and secondary aerosol mass formation, leading to haze formation under  
508 favorable meteorological conditions (Kulmala et al., 2021). In Europe, the atmospheric conditions  
509 (such as the solar radiation and relative humidity) appear to dictate the NPF occurrence at rural  
510 sites, whereas the increased concentrations of precursor gases are more important for the  
511 occurrence of NPF in urban areas (Bousiotis et al., 2021). This explains why NPF occurs more  
512 frequently in urban areas than rural, remote or high-altitude locations (Guo et al., 2020; Nieminen  
513 et al., 2018; Sellegri et al., 2019). This also indicates that the balance between the precursor  
514 concentration and pre-existing particles plays a vital role in the NPF occurrence. Owing to large  
515 spatial heterogeneity in aerosol precursor emissions and background aerosol concentrations in  
516 India, the chemical species contributing to aerosol nucleation and growth is unidentified  
517 (Kanawade et al., 2021).

518 Figure 9 shows the scatter plot of the  $J_{\text{SDS}}$  and the  $\text{GR}_{\text{SDS-25nm}}$  as a function of condensation  
519 sink at each site. A fairly good correlation between  $J_{\text{SDS}}$  and  $\text{GR}_{\text{SDS-25nm}}$  at each site (Pearson  
520 correlation coefficient of 0.48, 0.78, 0.85, 0.33, 0.68, and 0.18 at RNC, MUK, MBL, HYD, TVM,  
521 and DEL, respectively) indicates that  $J_{\text{SDS}}$  and  $\text{GR}_{\text{SDS-25nm}}$  are strongly coupled. The large scatter  
522 in data points is a result of important factors influencing the NPF, such as nucleation mechanisms  
523 (Dunne et al., 2016), the availability of other condensable vapors that are needed to stabilize  
524 molecular clusters containing sulfuric acid (Kirkby et al., 2011; Schobesberger et al., 2015), and  
525 atmospheric conditions (Bousiotis et al., 2021). A recent study showed that amines stabilize the  
526 nucleating cluster while organics contribute to higher concentrations of condensable vapors,  
527 particularly in urban areas (Xiao et al., 2021). The mean particle formation rates and growth rates  
528 for all six sites are tabulated in Table S2. Considering all the sites,  $\text{GR}_{\text{SDS-25nm}}$  during NPF events  
529 varied from  $0.2$  to  $17.2 \text{ nm h}^{-1}$ . Overall,  $J_{\text{SDS}}$  and  $\text{GR}_{\text{SDS-25nm}}$  are within the observed large range of

530 values in diverse environments in India and elsewhere (Nieminen et al., 2018; Kerminen et al.,  
 531 2018; Kulmala et al., 2004). Expectedly, the mean condensation sink at the start of the NPF event  
 532 is higher at urban sites than the mountain sites. The mean condensation sink at urban sites  
 533 ( $16.1 \times 10^{-3} \text{ s}^{-1}$ ) was twice as compared to mountain sites ( $7.9 \times 10^{-3} \text{ s}^{-1}$ ). A previous study also  
 534 showed that the higher pre-existing particles at Kanpur than at Pune suppressed the particle  
 535 formation rate but favored the particle growth under high concentrations of condensable vapors  
 536 (Kanawade et al., 2014b)



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 538 **Figure 9.** Scatter plot of the particle formation rate and the growth rate as a function of  
 539 condensation sink at each site. The condensation sink at the start of the event ( $CS_{\text{onset}}$ ) is taken as  
 540 a one-hour average CS just before the start of the NPF event. The lowest nucleation mode  
 541 detectable size at each site is shown in the bracket. Note that measurements are from different time  
 542 periods for each site (refer to Table 1).

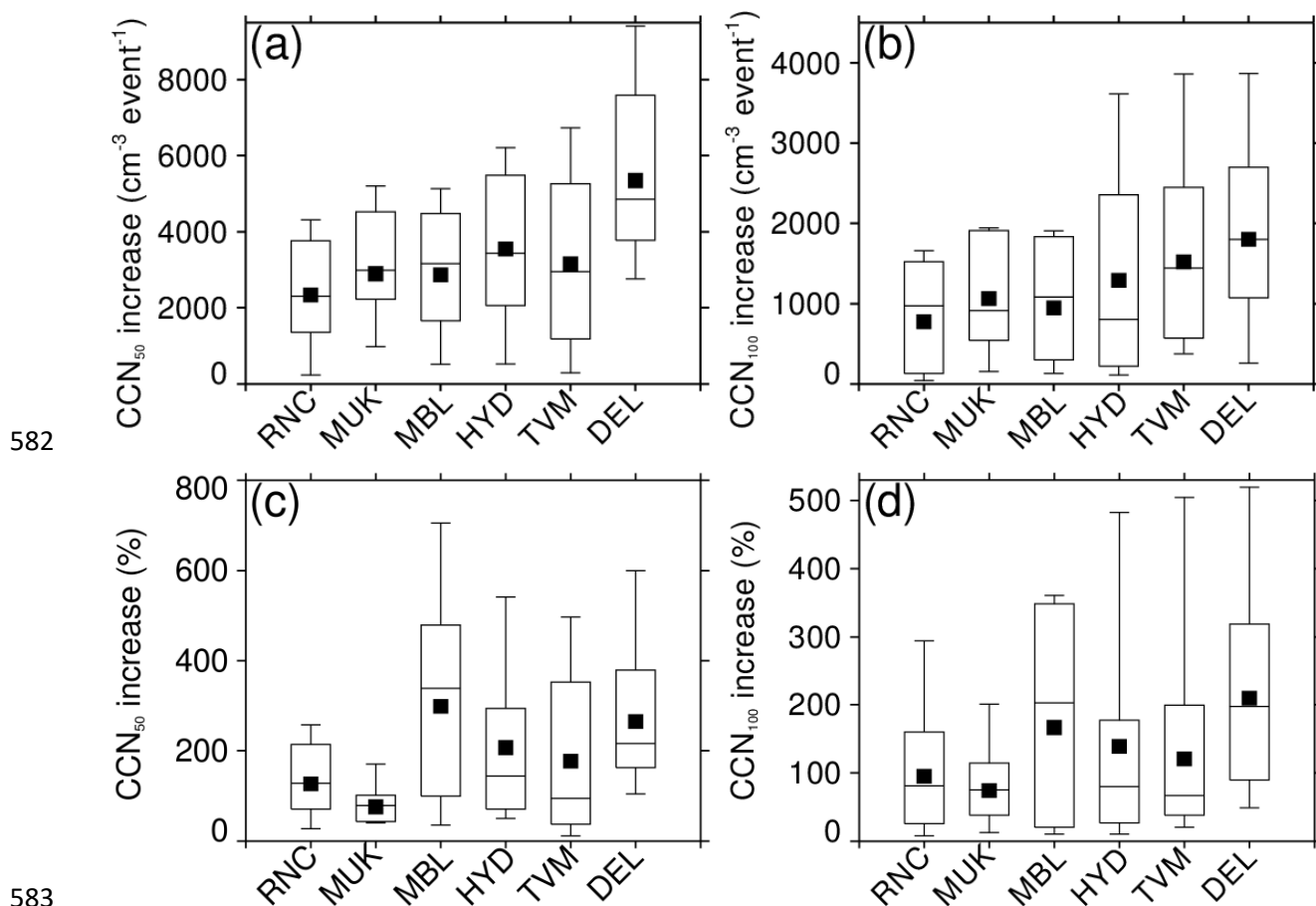
543  
 544 **3.2.3 Increase in CCN concentrations during NPF events**

545 To reach climatologically relevant sizes, the newly formed particles must grow by  
 546 condensation while avoiding coagulation removal by pre-existing particles because these freshly  
 547 formed particles are small and highly diffusive (Vehkamäki and Riipinen, 2012). Based on the

548 observed range of particle growth rates at all the sites ( $0.2$  to  $17.3 \text{ nm h}^{-1}$ ), newly formed particles  
549 may take from a few hours to 1-2 days to grow to CCN-active sizes ( $>50$ - $100 \text{ nm}$ ). Over such time  
550 scales, it is observationally challenging to separate CCN originating from NPF from those  
551 emanating from the growth of small primary particles and direct emission of CCN-active sized  
552 particles. The increase in CCN concentrations during any given NPF event was estimated  
553 following the methodology developed by Kerminen et al. (2012), which we modified to remove  
554 CCN originating from the growth of small primary particles and direct emission of CCN-active  
555 sized particles based on non-event days.

556 Figure 10 shows the box-whisker plot of the absolute increase in CCN concentrations ( $50$   
557 and  $100 \text{ nm}$ ) at all the sites. Considering all NPF events at mountain sites, increase in  $\text{CCN}_{50}$   
558 ranged from  $168 \text{ cm}^{-3}$  per event to  $5.2 \times 10^3 \text{ cm}^{-3}$  per event, with a median value of  $2.7 \times 10^3 \text{ cm}^{-3}$   
559 per event, whereas the increase in  $\text{CCN}_{100}$  ranged from  $0.02 \times 10^3 \text{ cm}^{-3}$  per event to  $1.9 \times 10^3 \text{ cm}^{-3}$   
560 per event, with the median value of  $1.0 \times 10^3 \text{ cm}^{-3}$  per event. The increase in  $\text{CCN}_{50}$  and  $\text{CCN}_{100}$  is  
561 about two-fold lower than the free tropospheric site, Chacaltaya ( $5240 \text{ m amsl}$ , Bolivia), for NPF  
562 events started in the boundary layer ( $5.1 \times 10^3 \text{ cm}^{-3}$  per event and  $1.5 \times 10^3 \text{ cm}^{-3}$  per event for  $50$  and  
563  $100 \text{ nm}$ , respectively) (Rose et al., 2017). The median increase in  $\text{CCN}_{50}$  and  $\text{CCN}_{100}$  at RNC  
564 ( $2.3 \times 10^3 \text{ cm}^{-3}$  per event and  $0.9 \times 10^3 \text{ cm}^{-3}$  per event) and MUK ( $2.9 \times 10^3 \text{ cm}^{-3}$  per event and  $0.9 \times 10^3$   
565  $\text{cm}^{-3}$  per event) are comparable to those reported at Botsalano ( $1420 \text{ m amsl}$ , South Africa);  
566  $2.5 \times 10^3 \text{ cm}^{-3}$  per event and  $0.8 \times 10^3 \text{ cm}^{-3}$  per event, respectively, but about three-fold higher than  
567 those reported at a remote continental site in Finland ( $1.0 \times 10^3 \text{ cm}^{-3}$  per event and  $0.2 \times 10^3 \text{ cm}^{-3}$  per  
568 event for  $50 \text{ nm}$  and  $100 \text{ nm}$ , respectively) (Kerminen et al., 2012). Considering all NPF events at  
569 the urban sites,  $\text{CCN}_{50}$  increase ranged from  $0.08 \times 10^3 \text{ cm}^{-3}$  per event to  $9.4 \times 10^3 \text{ cm}^{-3}$  per event,  
570 with a median value of  $4.3 \times 10^3 \text{ cm}^{-3}$  per event, whereas  $\text{CCN}_{100}$  increase ranged from  $0.03 \times 10^3$   
571  $\text{cm}^{-3}$  per event to  $4.9 \times 10^3 \text{ cm}^{-3}$  per event, with a median value of  $1.2 \times 10^3 \text{ cm}^{-3}$  per event. These  
572 values are about two-folds lower as compared to values reported at the station of San Pietro  
573 Capofiume, in a polluted region of the Po Valley;  $7.3 \times 10^3 \text{ cm}^{-3}$  per event and  $2.4 \times 10^3 \text{ cm}^{-3}$  per  
574 event, respectively for  $50 \text{ nm}$  and  $100 \text{ nm}$  (Laaksonen et al., 2005). High background number  
575 concentrations of  $\text{CCN}_{50}$  and  $\text{CCN}_{100}$  in Delhi resulted in a smaller relative increase of CCN from  
576 NPF during post-monsoon and winter seasons when compared to the other sites. In order to  
577 comprehensively investigate the atmospheric CCN budget and the contribution of NPF to it,  
578 Kerminen et al. (2012) pointed out that the analysis should include not only NPF events but also

579 non-event days. Therefore, the modified methodology applied here following Kerminen et al.  
 580 (2012) provides the best representative of the increase in CCN concentrations for an NPF event.  
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 584 **Figure 10.** Box-whisker plot of absolute increase in CCN concentrations for (a) 50 nm and (b) 100  
 585 nm particles and percentage increase in CCN concentrations for (c) 50 nm and (d) 100 nm at all  
 586 the sites based on the observed NPF and non-event events. The filled square indicates the mean,  
 587 the horizontal line indicates the median, the top and bottom of the box indicate 25<sup>th</sup> and 75<sup>th</sup>  
 588 percentile values, and the top and bottom whiskers indicate 10<sup>th</sup> and 90<sup>th</sup> percentile values. Note  
 589 that measurements are from different time periods for each site (refer to Table 1).

590  
 591 The sites with low pre-existing particle concentrations (hence, low condensation sink  
 592 values), high solar radiation, and cooler temperatures at high-altitude (or free tropospheric) (RNC,  
 593 MUK, and MBL) should favor NPF with enhanced frequency as compared to near-surface urban  
 594 environments (HYD, TVM, and DEL) wherein pre-existing particles concentration are high,

595 leading to faster removal of nucleating vapors. However, NPF in polluted environments occurs  
596 more often than expected, with enhanced growth rates (Yu et al., 2017). Guo et al. (2014) also  
597 reported that NPF leads to winter-time haze formation in Beijing. Kulmala et al. (2021) recently  
598 showed that >65% of the number concentration of haze particles resulted from NPF in Beijing.  
599 The observation sites at altitudes higher than 1000 m amsl also favored NPF at the high  
600 condensation sinks and linked precursor gases needed to initiate nucleation and early growth  
601 (Sellegrì et al., 2019). Therefore, the low condensation sinks are not necessarily required to trigger  
602 nucleation and early growth, provided there are high vapor production rates. The high pre-existing  
603 particle concentration is also an indication of precursor-laden air. But when the condensation sink  
604 gets very high, it inhibits aerosol nucleation. Further, at Hyderabad, about half of the NPF events  
605 did not display aerosol nucleation (sub-3nm particle formation) with subsequent growth of these  
606 particles to larger sizes (>10 nm), perhaps due to lower organic vapor concentrations (Sebastian et  
607 al., 2021a). Rose et al. (2017) also reported a high frequency of NPF occurrence for boundary layer  
608 (48%) than free troposphere (39%) conditions at Chacaltaya mountain (5240 m amsl), Bolivia.  
609 Thus potential CCN formation was higher for NPF events initiated in the boundary layer (67%)  
610 than free troposphere (53%). Sellegrì et al. (2019) reviewed the CCN concentrations from NPF  
611 events in the boundary layer and high-altitude locations. They revealed that the CCN production  
612 is the highest at San Pietro Capofiume, a polluted region of the Po Valley ( $7.3 \times 10^3 \text{ cm}^{-3}$ )  
613 (Laaksonen et al., 2005) as compared to high-altitude sites (Rose et al., 2017; Kerminen et al.,  
614 2012). Our findings are similar to these studies showing the highest increase in CCN  
615 concentrations in urban locations (HYD, TVM, and DEL) compared to mountain locations (RNC,  
616 MUK, and MBL) in India. It is not possible to track the nucleated particle until it becomes a CCN,  
617 and they are always mixed with CCN originating from primary sources. This makes it extremely  
618 difficult to estimate CCN arising from a given NPF event. In the light of the above discussion,  
619 these results offer some insights into potential CCN concentrations originating from NPF.

620

#### 621 **4 Conclusions**

622 In this study, we used at least one year of asynchronous particle number size distribution  
623 measurements from six locations in India, consisting of mountain background sites (Ranichauri  
624 and Mukteshwar), mountain rural site (Mahabaleshwar), urban sites (Delhi and Hyderabad), and  
625 semi-urban coastal site (Thiruvananthapuram). The results from this study provide some insights

626 into the processes influencing particle number size distributions and CCN concentrations in  
627 different environments (mountain and urban) of India.

628 We found that the regional NPF was most common in the pre-monsoon (spring) at all the  
629 measurement sites, with an exception at TVM where NPF occurred mostly in the late monsoon  
630 season (September), which was linked to the inflow of continental air masses that provided a  
631 source of low volatile vapors for nucleation. During pre-monsoon, DEL has the highest frequency  
632 of NPF occurrence (63.8%), followed by HYD (28.4%) and MBL (15.9%). NPF was the least  
633 common during winter at all the sites, particularly at the mountain-background sites (RNC and  
634 MUK) without a single NPF event. The high solar insolation (active photochemistry) and the  
635 elevated boundary layer (efficient ventilation leading to low pre-existing particles near the surface)  
636 explain the most common occurrence of NPF in the pre-monsoon (spring), but this is not a  
637 universal NPF frequency pattern in India and elsewhere globally. We found that the  $J_{\text{SDS}}$  during  
638 NPF events tends to increase with an increasing anthropogenic influence, with an order of  
639 magnitude higher in urban areas ( $0.12 \text{ cm}^{-3} \text{ s}^{-1}$ ) than mountain sites ( $0.06 \text{ cm}^{-3} \text{ s}^{-1}$ ). We did not find  
640 any systematic pattern in  $\text{GR}_{\text{SDS-25nm}}$ , with the highest  $\text{GR}_{\text{SDS-25nm}}$  at RNC ( $6.3 \text{ nm h}^{-1}$ ) and the  
641 lowest at TVM ( $1.1 \text{ nm h}^{-1}$ ). The observed values of the NPF frequency,  $J_{\text{SDS}}$ , and  $\text{GR}_{\text{SDS-25nm}}$   
642 indicate that the regional NPF events can significantly influence the evolution of particles in the  
643 atmosphere. We found that NPF modulates the shape of the particle number size distributions  
644 significantly, especially at the mountain background sites (RNC and MUK), which are not directly  
645 influenced by the local direct emissions of aerosols (traffic and industries). The number size  
646 distribution of particles is higher in pre-monsoon at mountain-background sites, whereas it is  
647 higher in winter at urban sites, with the exception of HYD. All sites generally show lower  
648 concentrations of particles in monsoon due to the increased removal by wet-scavenging. The  
649 histograms of size-segregated particle number concentrations show large variability from one site  
650 to another, reflecting the varying contribution of different processes to the total aerosol loading.  
651 For instance, the Aitken mode particle concentrations were the highest in pre-monsoon at  
652 mountain-background sites (RNC and MUK), whereas they were the highest in winter at urban  
653 sites (HYD, TVM, and DEL). Amongst the sites, the lowest measured median total particle number  
654 concentration was found in MUK ( $2.7 \times 10^3 \text{ cm}^{-3}$ ) and the highest in DEL ( $12.5 \times 10^3 \text{ cm}^{-3}$ ).

655 We found that the increase in CCN concentrations during an NPF event is higher in urban  
656 locations ( $4.3 \times 10^3 \text{ cm}^{-3}$  per event and  $1.2 \times 10^3 \text{ cm}^{-3}$  per event for 50 nm and 100 nm, respectively)

657 compared to mountain-background sites ( $2.7 \times 10^3 \text{ cm}^{-3}$  per event and  $1.0 \times 10^3 \text{ cm}^{-3}$  per event for  
658 50 nm and 100 nm, respectively). We modified Kerminen and colleagues' approach for removing  
659 the potential contribution of primary CCN-active particles to give the best possible estimate for  
660 the increase in CCN concentrations during a given NPF event. Such analyses should be  
661 supplemented by regional model simulations or high spatial resolution measurements of NPF and  
662 CCN concentrations.

663  
664 **Code availability**

665 Particle number size distributions data was analyzed in IGOR Pro 8.0. Figure 8 was created in  
666 IGOR Pro 8.0, while all other figures were created in IDL 8.0.

667  
668 **Data availability**

669 Particles data will be made available upon a reasonable request to the corresponding author.

670  
671 **Author contribution:**

672 VPK conceived the idea and designed the research. MS and VPK carried out a comprehensive  
673 data analysis. MS carried out CCN estimation analysis and interpretation with critical inputs  
674 from JRP, VV, and VPK. MS, SKK, VAK, and SJ performed particle size distribution  
675 measurements and analysis. MS and VPK wrote the first draft, and MS edited with critical inputs  
676 from all co-authors.

677  
678 **Competing interests**

679 The authors declare that they have no conflict of interest.

680  
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