

1 Secondary ice production processes in wintertime alpine 2 mixed-phase clouds

3
4 Paraskevi Georgakaki¹, Georgia Sotiropoulou^{1,2,5}, Étienne Vignon³, Anne-Claire Billault-
5 Roux⁴, Alexis Berne⁴ and Athanasios Nenes^{1,5}

6
7 ¹Laboratory of Atmospheric Processes and their Impacts, School of Architecture, Civil & Environmental
8 Engineering, École Polytechnique Fédérale de Lausanne, Lausanne, CH-1015, Switzerland

9 ²Department of Meteorology, Stockholm University & Bolin Center for Climate Research, Stockholm, Sweden

10 ³Laboratoire de Météorologie Dynamique/IPSL/Sorbonne Université/CNRS, UMR 8539, Paris, France

11 ⁴Environmental Remote Sensing Laboratory, School of Architecture, Civil & Environmental Engineering, École
12 Polytechnique Fédérale de Lausanne, Lausanne, CH-1015, Switzerland

13 ⁵Center for Studies of Air Quality and Climate Change, Institute of Chemical Engineering Sciences, Foundation
14 for Research and Technology Hellas, Patras, GR-26504, Greece

15 *Correspondence to:* athanasios.nenes@epfl.ch

16 17 **Abstract**

18 Observations of orographic mixed-phase clouds (MPCs) have long shown that measured ice
19 crystal number concentrations (ICNCs) can exceed the concentration of ice nucleating particles
20 by orders of magnitude. Additionally, model simulations of alpine clouds are frequently found
21 to underestimate the amount of ice compared with observations. Surface-based blowing snow,
22 hoar frost and secondary ice production processes have been suggested as potential causes, but
23 their relative importance and persistence remains highly uncertain. Here we study ice
24 production mechanisms in wintertime orographic MPCs observed during the Cloud and
25 Aerosol Characterization Experiment (CLACE) 2014 campaign at the Jungfraujoch site in the
26 Swiss Alps with the Weather Research and Forecasting model (WRF). Simulations suggest
27 that droplet shattering is not a significant source of ice crystals at this specific location – but
28 break-up upon collisions between ice particles is quite active, elevating the predicted ICNCs
29 by up to 3 orders of magnitude, which is consistent with observations. The initiation of the ice-
30 ice collisional break-up mechanism is primarily associated with the occurrence of seeder-feeder
31 events from higher precipitating cloud layers. The enhanced aggregation of snowflakes is found
32 to drive secondary ice formation in the simulated clouds, the role of which is strengthened
33 when the large hydrometeors interact with the primary ice crystals formed in the feeder cloud.
34 Including a constant source of cloud ice crystals from blowing snow, through the action of the
35 break-up mechanism, can episodically enhance ICNCs. Increases in secondary ice fragment
36 generation can be counterbalanced by enhanced orographic precipitation, which seems to
37 prevent explosive multiplication and cloud dissipation. These findings highlight the importance
38 of secondary ice and “seeding” mechanisms – primarily falling ice from above and to a lesser

39 degree blowing ice from the surface – which frequently enhance primary ice and determine the
40 phase state and properties of MPCs.

41

42 **1. Introduction**

43 Understanding orographic precipitation is one of the most critical aspects of weather
44 forecasting in mountainous regions (Roe, 2005; Rotunno and Houze, 2007; Chow et al., 2013).
45 Orographic clouds are often mixed-phase clouds (MPCs), containing simultaneously
46 supercooled liquid water droplets and ice crystals (Lloyd et al., 2015; Lohmann et al., 2016;
47 Henneberg et al., 2017). MPCs are persistent in complex mountainous terrain, because the high
48 updraft velocity conditions generate supercooled liquid droplets faster than can be depleted by
49 ice production mechanisms (Korolev and Isaac, 2003; Lohmann et al., 2016). In mid- and high-
50 latitude environments almost all precipitation originates from the ice phase (Field and
51 Heymsfield, 2015; Mülmenstädt et al., 2015), emphasizing the necessity of correctly
52 simulating the amount and distribution of both liquid water and ice (i.e., the liquid-ice phase
53 partitioning) in MPCs (Korolev et al., 2017).

54 Our understanding of MPCs remains incomplete owing to the numerous and highly
55 nonlinear cloud microphysical pathways driving their properties and evolution (Morrison et al.,
56 2012). MPCs tend to glaciate over time through the Wegener-Bergeron-Findeisen (WBF)
57 process, which is the rapid ice crystal growth at the expense of the surrounding evaporating
58 cloud droplets (Bergeron, 1935; Findeisen, 1938). Ice crystals falling from a high-level seeder
59 cloud into a lower-level cloud (external “seeder-feeder” event) or a lower-lying part of the
60 same cloud (in-cloud “seeder-feeder” event) can trigger cloud glaciation and enhance
61 precipitation over mountains (e.g., Roe, 2005, Reinking et al., 2000; Purdy et al., 2005; Mott
62 et al., 2014; Ramelli et al., 2021). Analysis of satellite remote sensing over the 11-year period
63 between April 2006 and October 2017 suggest that seeding events are widespread over
64 Switzerland, occurring with a frequency of 31% of the total observations in which cirrus clouds
65 seed lower mixed-phase cloud layers (Proske et al., 2021).

66 Primary ice formation in MPCs is catalyzed by the action of ice nucleating particles
67 (INPs) (e.g., Hoose and Möhler, 2012, Kanji et al., 2017). However, in-situ observations of
68 MPCs in orographic environments regularly reveal that measured ice crystal number
69 concentrations (ICNCs) are several orders of magnitude more abundant than INPs (Rogers and
70 Vali, 1987; Geerts et al., 2015; Lloyd et al., 2015; Beck et al., 2018; Lowenthal et al., 2019;
71 Mignani et al., 2019). Model simulations of alpine MPCs frequently fail to reproduce the

72 elevated ICNCs dictated by observations (Farrington et al., 2016; Henneberg et al., 2017;
73 Dedekind et al., 2021).

74 The inability of primary ice to reproduce the observed ICNCs in orographic MPCs has
75 often been attributed to the influence of surface processes including lofting of snowflakes (i.e.,
76 “blowing snow”; Rogers and Vali, 1987; Geerts et al., 2015), detachment of surface hoar frost
77 (Lloyd et al., 2015), turbulence near the mountain surface or convergence of ice particles due
78 to orographic lifting (Beck et al., 2018) and riming on snow-covered surfaces (Rogers and Vali,
79 1987). The impact of blowing snow ice particles (BIPS) has been studied thoroughly, either
80 using observations collected in mountainous regions (e.g., Lloyd et al., 2015; Beck et al., 2018;
81 Lowenthal et al., 2019), remote sensing (e.g., Rogers and Vali, 1987; Vali et al., 2012; Geerts
82 et al., 2015) or detailed snow-cover models (e.g., Lehning et al., 2006; Krinner et al., 2018)
83 coupled with atmospheric models (e.g., Vionnet et al., 2014; Sharma et al., 2021). The extent to
84 which BIPS can affect ICNCs in MPCs remains poorly understood.

85 In-cloud secondary ice production (SIP) – or ice multiplication – processes may also
86 enhance ice production above the concentration of INPs (Field et al., 2017; Korolev and
87 Leisner, 2020). Three mechanisms are thought to be responsible for most of the SIP. The first,
88 known as the Hallett-Mossop (HM) process (Hallett and Mossop, 1974), refers to the ejection
89 of small secondary ice splinters after a supercooled droplet with a diameter larger than $\sim 25 \mu\text{m}$
90 rimes onto a large ice particle at temperatures between -8 and $-3 \text{ }^\circ\text{C}$ (Choullarton et al., 1980;
91 Heymsfield and Mossop, 1984). This SIP mechanism is widely implemented in atmospheric
92 models (e.g., Beheng, 1987; Phillips et al., 2001; Morrison et al., 2005), but cannot on its own
93 explain the enhanced ICNCs in remote environments (Young et al., 2019; Sotiropoulou et al.,
94 2020, 2021a), especially for when the conditions required for HM initiation are not fulfilled
95 (e.g., Korolev et al., 2020).

96 Collisional fracturing and breakup (BR) of delicate ice particles with other ice particles
97 (Vardiman, 1978; Griggs and Choullarton, 1986; Takahashi et al., 1995) is another important
98 SIP mechanism. Several field studies in the Arctic (Rangno and Hobbs, 2001; Schwarzenboeck
99 et al., 2009), the Alps (Mignani et al., 2019; Ramelli et al., 2021) and laboratory investigations
100 (Vardiman 1978; Takahashi et al. 1995) all show the importance of BR. The latter two studies
101 created the basis for a mechanistic description of BR (e.g., Phillips et al., 2017a; Sullivan et
102 al., 2018a; Sotiropoulou et al., 2020). Parameterizations of BR have recently been implemented
103 in small-scale (Fridlind et al., 2007; Phillips et al., 2017a, b; Sotiropoulou et al., 2020, 2021b;
104 Sullivan et al., 2018a; Yano and Phillips, 2011; Yano et al., 2016), mesoscale (Hoarau et al.,
105 2018; Sullivan et al., 2018b; Qu et al., 2020; Sotiropoulou et al., 2021a; Dedekind et al., 2021)

106 and global climate models (Zhao and Liu, 2021a), each with their own approach towards BR
107 description.

108 Droplet freezing and shattering (DS) is a third SIP mechanism that can produce
109 significant amounts of ice crystals. It occurs when drizzle-size drops (diameter exceeding 50
110 μm) comes in contact with an ice particle or INP. A solid ice shell is initially formed around
111 the droplet (e.g., Griggs and Choullarton, 1983), and as it thickens begins building up pressure
112 that leads to breakup in two halves, cracking, bubble burst or jetting (e.g., Keinert et al., 2020).
113 Ejection of small ice fragments may occur, the number of which varies considerably (Lauber
114 et al., 2018; Keinert et al., 2020; Kleinheins et al., 2021; James et al., 2021). Experimentally,
115 fragmentation rate maximizes at temperatures between ~ -10 and -15 $^{\circ}\text{C}$ (Leisner et al., 2014;
116 Lauber et al., 2018; Keinert et al., 2020). DS can dominate in convective updrafts (Lawson et
117 al., 2015; Phillips et al., 2018; Korolev et al., 2020; Qu et al., 2020). Remote sensing of warm
118 Arctic MPCs suggest DS can be much more conducive to SIP than the HM process (Luke et
119 al., 2021). Single-column simulations by Zhao et al. (2021) support this, but is in contrast with
120 small-scale modeling studies (Fu et al., 2019; Sotiropoulou et al., 2020). Mesoscale model
121 simulations of winter alpine clouds formed at temperatures lower than -8 $^{\circ}\text{C}$ indicate that DS
122 is not active (Dedekind et al., 2021), while field observations suggest the increasing efficiency
123 of the mechanism at temperatures warmer than -3 $^{\circ}\text{C}$ (Lauber et al., 2021).

124 Orographic ICNCs in MPCs exceeded the predicted INPs by 3 orders of magnitude,
125 reaching up to ~ 1000 L^{-1} at -15 $^{\circ}\text{C}$ during the Cloud and Aerosol Characterization Experiment
126 (CLACE) 2014 campaign at the Jungfrauoch (JFJ) station in the Swiss Alps (Lloyd et al.
127 2015). Although the efficiency of BR and DS peaks at around the same temperature, Lloyd et
128 al. (2015) did not find evidence for their occurrence. Instead, at periods when there was a strong
129 correlation between horizontal wind speed and observed ICNC they suggested that BIPS is
130 contributing to the latter, but could not get ICNCs to exceed ~ 100 L^{-1} . In the absence of such
131 correlation, a flux of hoar frost crystals was considered responsible for the very high ice
132 concentration events (ICNCs > 100 L^{-1}), albeit without any direct evidence. Farrington et al.
133 (2016) showed that the inclusion of the HM process upwind of JFJ could not explain the
134 measured concentrations of ice, while the addition of a surface flux of hoar crystals provided
135 the best agreement with observations.

136 Although surface-originated processes have been frequently invoked to explain the
137 disparity between ICNCs and INPs, the role of SIP processes – especially the BR and the DS
138 mechanism – has received far less attention and is addressed in this study. We utilize the
139 Weather Research and Forecasting model (WRF) to conduct simulations of two case studies

140 observed in winter during the CLACE 2014 campaign. Our primary objective is to investigate
141 if the implementation of two SIP parameterizations that account for the effect of BR and DS
142 can reduce the discrepancies between observed and simulated ICNCs. Additionally, we aim to
143 identify the conditions favoring the initiation of SIP in the orographic terrain and explore the
144 synergistic influence of SIP with wind-blown ice.
145

146 **2. Methods**

147 2.1 CLACE instrumentation

148 CLACE is a long-established series of campaigns taking place for over two decades at the
149 mountain-top station of JFJ, located in the Bernese Alps, in Switzerland, at an altitude of ~3580
150 m above sea level (a.s.l.) (e.g., Choularton et al., 2008). The measurement area is very complex
151 and heterogeneous with distinct mountain peaks (Fig. 1), while JFJ is covered by clouds
152 approximately 40% of the time, offering an ideal location for microphysical observations
153 (Baltensperger et al., 1998). Owing to the local orography surrounding the site, the wind flow
154 is constrained to two directions (Ketterer et al., 2014). Under southeasterly (SE) wind
155 conditions, air masses are lifted along the moderate slope of the Aletsch Glacier, whereas under
156 northwesterly (NW) wind conditions the air is forced to rise faster along the steep north face
157 of the Alps, which is associated with persistent MPCs (Lohmann et al., 2016). A detailed
158 description of the in-situ and remote sensing measurements taken during January and February
159 2014 as part of the CLACE 2014 campaign is provided by Lloyd et al. (2015) and Grazioli et
160 al. (2015). Here we only offer a brief presentation of the datasets used in this study.

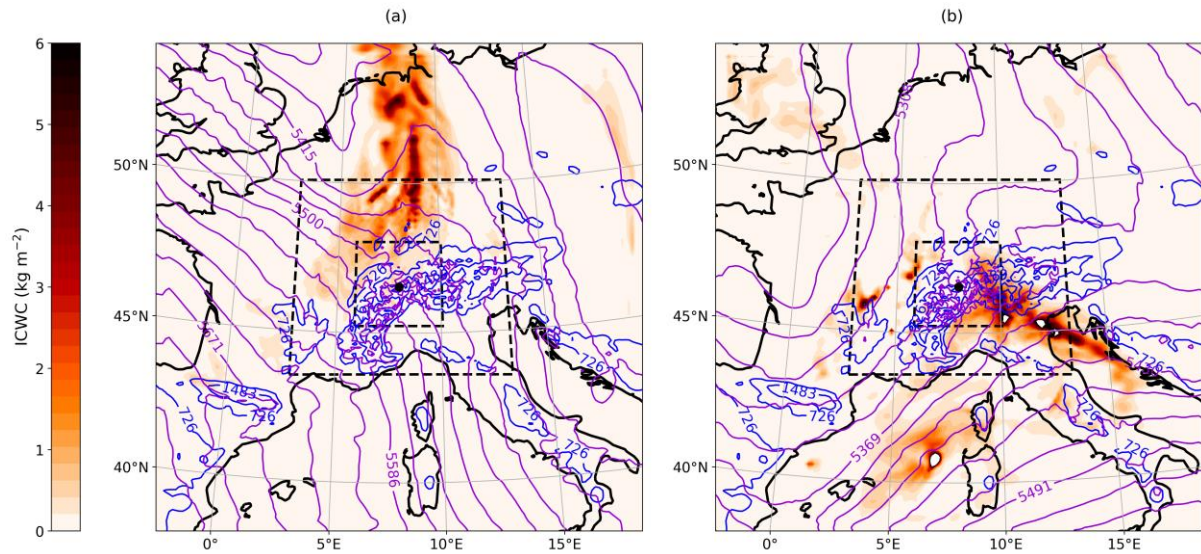
161 Shadowgraphs of cloud particles were produced by the two-dimensional stereo
162 hydrometeor spectrometer (2D-S; Lawson et al., 2006), part of a three-view cloud particle
163 imager (3V-CPI) instrument. The 2D-S products have been used to provide information on the
164 number concentration and size distribution of particles in the size range of 10-1280 μm .
165 Following Crosier et al. (2011), the raw data were processed to distinguish ice crystals from
166 droplets. Removal of artefacts from shattering events was also considered (Korolev et al.,
167 2011), however analysis of the probe imagery (Crosier et al., 2011) along with inter-arrival
168 time histograms did not reveal the presence of shattered particles, presumably because of the
169 much lower velocity at which the 2D-S probe was aspirated ($\sim 15 \text{ ms}^{-1}$) compared to those
170 during aircraft deployments (Lloyd et al., 2015). An approximation of the ice water content
171 (IWC) at JFJ could also be derived by the 2D-S data using the Brown and Francis (1995) mass-
172 diameter relationship with an uncertainty of up to 5 times (Heymsfield et al., 2010).

173 Additionally, the quantification of the liquid water content (LWC) is based on the liquid droplet
174 size distribution data derived from a DMT cloud droplet probe (CDP; Lance et al., 2010) over
175 the size range between 2 and 50 μm . Meteorological parameters (e.g., temperature, relative
176 humidity, wind speed and wind direction), were provided by MeteoSwiss and used to evaluate
177 the model.

178

179 2.2 WRF simulations

180 WRF version 4.0.1, with augmented cloud microphysics to include the effects of all SIP
181 mechanisms (Sotiropoulou et al., 2021a) is used for non-hydrostatic cloud-resolving
182 simulations. The model has been run with three two-way nested domains (Fig. 1), with a
183 respective horizontal resolution of 12, 3 and 1 km. Two-way grid nesting is generally found to
184 improve the model performance in the inner domain (e.g., Harris and Durran, 2010), although
185 the sensitivity of the results to the applied nesting technique has been shown to be negligible
186 (not shown). The parent domain consists of 148×148 grid points centered over the JFJ station
187 (46.55°N , 7.98°E , shown with a black dot in Fig. 1), while the second and the third domain
188 include 241×241 and 304×304 grids, respectively. The Lambert conformal projection is
189 applied to all three domains, as it is well-suited for mid-latitudes. Here we adapted the so-called
190 “refined” vertical grid spacing proposed by Vignon et al. (2021), using 100 vertical eta levels
191 up to a model top of 50 hPa (i.e., ~ 20 km). This set-up provides a refined vertical resolution of
192 ~ 100 m up to mid-troposphere at the expense of the coarsely resolved stratosphere. To
193 investigate the dynamical influence on the development of MPCs under the two distinct wind
194 regimes prevailing at JFJ (Section 2.1), we simulate two case studies, starting on 25 January
195 and 29 January 2014, 00:00 UTC, respectively. Both case studies are associated with the
196 passage of frontal systems over the region of interest, approaching the alpine slopes either from
197 the NW (cold front) or the SE (warm front) direction, as shown by the vertically-integrated
198 condensed water content (ICWC; sum of cloud droplets, rain, cloud ice, snow, and graupel) in
199 Fig. 1. For both cases the simulation covers a 3-day period, with the first 24 hours being
200 considered sufficient time for spin up. A 27-s time step was used in the parent domain and goes
201 down to 9 s in the second domain and 3 s in the third domain. Note that achieving such small
202 time steps in the innermost domain is essential to ensure numerical stability in non-hydrostatic
203 simulations over a region with complex orography such as around JFJ.



205
 206 **Figure 1.** Map of synoptic conditions around JFJ station at (a) 00:00 UTC, 26 January 2014
 207 and (b) 00:00 UTC, 30 January 2014, from the control simulation (12-km resolution domain).
 208 The purple (blue) contours show the 500 hPa geopotential height in m (the terrain heights in
 209 m). The color shading shows the vertically-integrated condensed water content (in kg m^{-2}). The
 210 black dashed lines delimit the 3-km and 1-km resolution domains, while the black dot locates
 211 the JFJ station.

212

213 The fifth generation of the European Centre for Medium-Range Weather Forecasts
 214 (ECMWF) atmospheric reanalyses dataset (ERA5; Hersbach et al., 2020) is used to initialize
 215 the model and provide the lateral forcing at the edge of the 12-km resolution domain every 6
 216 hours. Static fields at each model grid point come from default WRF pre-processing system
 217 datasets, with a resolution of 30" for both the topography and the land use fields. Land use
 218 categories are based on the Moderate Resolution Imaging Spectroradiometer (MODIS) land-
 219 cover classification. Regarding the physics options chosen to run WRF simulations, the Rapid
 220 Radiative Transfer Model for general circulation models (RRTMG) radiation scheme is applied
 221 to parameterize both the short-wave and long-wave radiative transfer. The vertical turbulent
 222 mixing is treated with the Mellor-Yamada-Janjic (MYJ; Janjić, 2002) 1.5 order scheme, while
 223 surface options are modeled by the Noah land-surface model (Noah LSM; Chen and Dudhia,
 224 2001). The Kain-Fritsch cumulus parameterization has been activated only in the outermost
 225 domain, as the resolution of the two nested domains is sufficient to reasonably resolve cumulus-
 226 type clouds at grid-scale.

227

228 2.2.1 *Microphysics scheme and primary ice production*

229 The Morrison two-moment scheme (Morrison et al., 2005; hereafter M05) is used to
230 parameterize the cloud microphysics, following the alpine cloud study of Farrington et al.
231 (2016). The scheme includes double-moment representations of rain, cloud ice, snow and
232 graupel species, while cloud droplets are treated with a single-moment approach and therefore
233 the cloud droplet number concentration (N_d) must be prescribed. Here N_d is set to 100 cm^{-3} ,
234 based on the mean N_d observed within the simulated temperature range (Lloyd et al., 2015).

235 Three primary ice production mechanisms through heterogeneous nucleation are
236 described in the default version of the M05 scheme, namely immersion freezing, contact
237 freezing, and deposition/condensation freezing nucleation. Immersion freezing of cloud
238 droplets and raindrops is described by the probabilistic approach of Bigg (1953). Contact
239 freezing is parameterized following Meyers et al. (1992). Finally, deposition and condensation
240 freezing is represented by the temperature-dependent equation derived by Rasmussen et al.
241 (2002) based on the in-situ measurements of Cooper (1986) collected from different locations
242 at different temperatures. Following Thompson et al. (2004), this parameterization is activated
243 either when there is saturation with respect to liquid water and the simulated temperatures are
244 below $-8 \text{ }^\circ\text{C}$ or when the saturation ratio with respect to ice exceeds a value of 1.08. The
245 accuracy of these parameterizations in representing atmospheric INPs is debatable as they are
246 derived from very localized measurements over a limited temperature range. Nevertheless,
247 Farrington et al. (2016) argued that the deposition/ condensation freezing parameterization of
248 Cooper (1986) can effectively explain INPs between the range 0.01 and 10 L^{-1} , which is
249 frequently observed during field campaigns at JFJ (Chou et al., 2011; Conen et al., 2015).

250

251 2.2.2 *Ice multiplication through rime splintering in the M05 scheme*

252 Apart from primary ice production, the HM process is the only SIP mechanism included in the
253 default version of the M05 scheme. This parameterization adapted from Reisner et al. (1998),
254 based on the laboratory findings of Hallett and Mossop (1974), allows for splinter production
255 after cloud- or rain- drops are collected by rimed snow particles or graupel. The efficiency of
256 this process is zero outside the temperature range between -8 and $-3 \text{ }^\circ\text{C}$, while it follows a
257 linear temperature-dependent relationship in between. HM is not activated unless the rimed ice
258 particles have masses larger than 0.1 g kg^{-1} and cloud or rain mass mixing ratio exceeds the
259 value of 0.5 g kg^{-1} or 0.1 g kg^{-1} , respectively. Since these conditions are rarely met in natural
260 MPCs, previous modeling studies had to artificially remove any thresholds to achieve an

261 enhanced efficiency of this process (Young et al., 2019; Atlas et al., 2020). In the current study,
 262 however, the HM process is not effective, as the simulated temperatures at JFJ altitude are
 263 below $-8\text{ }^\circ\text{C}$ (see Sect. 2.3).

264

265 2.2.3 Ice multiplication through ice-ice collisions in the M05 scheme

266 In addition to the HM process, we have also included two parameterizations to represent the
 267 BR mechanism. An extensive description of the implementation method is provided in
 268 Sotiropoulou et al. (2021a) (see their Appendix B). Among the three ice particle types included
 269 in the M05 scheme (i.e., cloud ice, snow, graupel), we assume that only the collisions between
 270 cloud ice-snow, cloud ice-graupel, graupel-snow, snow-snow, and graupel-graupel can result
 271 in ice multiplication. The first parameterization tested here follows the simplified methodology
 272 proposed by Sullivan et al. (2018a), which is based on the laboratory work of Takahashi et al.
 273 (1995). Their findings revealed a strong temperature dependence of the fragment numbers
 274 generated per collision (N_{BR}):

$$N_{BR} = 280 (T - T_{min})^{1.2} e^{-(T-T_{min})/5}, \quad (1)$$

275 where $T_{min} = 252\text{ K}$, is the minimum temperature for which BR occurs. Yet their experimental
 276 set-up was rather simplified involving only collisions between large hail-sized ice spheres with
 277 diameters of $\sim 2\text{ cm}$. Taking this into account, Sotiropoulou et al. (2021a) further scaled the
 278 temperature-dependent formulation for size:

$$N_{BR} = 280 (T - T_{min})^{1.2} e^{-(T-T_{min})/5} \frac{D}{D_0}, \quad (2)$$

279 where D is the size in meters of the particle that undergoes break-up and $D_0=0.02\text{ m}$ is the size
 280 of the hail-sized balls used in the experiments of Takahashi et al. (1995).

281 Phillips et al. (2017a) proposed a more physically-based formulation, developing an
 282 energy-based interpretation of the experimental results conducted by Vardiman (1978) and
 283 Takahashi et al. (1995). The initial collisional kinetic energy is considered as the governing
 284 constraint driving the BR process. Moreover, the predicted N_{BR} depends on the ice particle type
 285 and morphological habit and is a function of the temperature, particle size and rimed fraction.
 286 Here the generated fragments per collision are described as follows:

$$N_{BR} = aA \left(1 - \exp \left\{ - \left[\frac{cK_0}{aA} \right]^{\gamma} \right\} \right), \quad (3)$$

287 where $K_0 = \frac{1}{2} \frac{m_1 m_2}{m_1 + m_2} (\Delta u_{n12})^2$ is the initial kinetic energy, in which m_1 and m_2 are the masses
 288 of the colliding particles and $|\Delta u_{n12}| = \{(1.7 u_{n1} - u_{n2})^2 + 0.3 u_{n1} u_{n2}\}^{1/2}$ is the difference

289 in their terminal velocities. The correction term is proposed by Mizuno et al. (1990) and Reisner
 290 et al. (1998) to account for underestimates when $u_{n1} \approx u_{n2}$. The parameter a in Eq. 3 is the
 291 surface area of the smaller ice particle (or the one with the lower density), defined as $a = \pi D^2$,
 292 with D as in Eq. 2. A in Eq. 3 represents the number density of breakable asperities on the
 293 colliding surfaces. For collisions that involve cloud ice and snow particles A is described as
 294 $A = 1.58 \times 10^7 (1 + 100\Psi^2) (1 + \frac{1.33 \times 10^{-4}}{D^{1.5}})$, where $\Psi < 0.5$ is the rimed fraction of the most
 295 fragile ice particle. For graupel-graupel collisions A is given by a temperature-dependent
 296 equation as $A = \frac{a_0}{3} + \max(\frac{2a_0}{3} - \frac{a_0}{9} |T - 258|, 0)$, in which $a_0 = 3.78 \times 10^4 (1 + \frac{0.0079}{D^{1.5}})$. C
 297 is the asperity-fragility coefficient, which is empirically derived to account for different
 298 collision types, while the exponent γ is equal to 0.3 for collisions between graupel-graupel and
 299 is calculated as a function of the rimed fraction for collisions including cloud ice and snow.
 300 The parameterization was developed based on particles with diameters $500 \mu\text{m} < D < 5 \text{ mm}$,
 301 however Phillips et al. (2017a) suggest that it can be used for particle sizes outside the
 302 recommended range as long as the input variables to the scheme are set to the nearest limit of
 303 the range. Finally, since N_{BR} was never observed to exceed 100 in the experiments of Vardiman
 304 (1978), here we also use this value as an upper limit for all collision types (Phillips et al.,
 305 2017a). All predicted fragments emitted through BR are added to the cloud ice category.

306

307 *2.2.4 Ice multiplication through droplet shattering in the M05 scheme*

308 Two different parameterizations are implemented in the M05 scheme to investigate the
 309 potential efficiency of the DS mechanism in producing secondary ice splinters (N_{DS}). Phillips
 310 et al. (2018) proposed two possible modes of raindrop-ice collisions, that can initiate the
 311 freezing process. In the first mode, the freezing of the drop occurs either by collecting a small
 312 ice particle or through heterogeneous freezing. In the default M05 scheme, the product of
 313 collisions between raindrops and cloud ice is considered to be graupel (snow) – if the rain
 314 mixing ratio is greater (lower) than 0.1 g kg^{-1} , following Reisner et al. (1998). Additionally,
 315 the heterogeneous freezing of big raindrops in immersion mode follows Bigg’s (1953)
 316 parameterization (Section 2.2.1). Here we consider that the product of these two processes can
 317 undergo shattering and generate numerous ice fragments, the number of which is parameterized
 318 after Phillips et al. (2018). The formulation is derived by fitting multiple laboratory datasets to
 319 a Lorentzian function of temperature and a polynomial expression of the drop size. More
 320 precisely, the total number of fragments (N) generated per frozen drop are given by:

$$N = \mathcal{E}(D_r)\Omega(T) \left[\frac{\zeta\eta^2}{(T-T_0)^2+\eta^2} + \beta T \right], \quad (4)$$

321 where T is the temperature (in K) and D_r is the size of the freezing raindrop (in mm). Note that
 322 N is defined as the sum of the big fragments (N_B) and tiny splinters (N_T). Equation (4) applies
 323 only to drop diameters less than 1.6 mm, which is the maximum observed experimentally. For
 324 droplet sizes beyond this maximum value, N can be inferred by linear extrapolation. N_B is
 325 described by another Lorentzian:

$$N_B = \min \left\{ \mathcal{E}(D_r)\Omega(T) \left[\frac{\zeta_B\eta_B^2}{(T-T_{B,0})^2+\eta_B^2} \right], N \right\}. \quad (5)$$

326 The factors $\mathcal{E}(D_r)$ and $\Omega(T)$ in Eq. (4) and (5) are cubic interpolation functions, impeding DS
 327 for $D_r < 0.05$ mm and $T > -3$ °C. Furthermore, the parameters ζ , η , T_0 , β , ζ_B , η_B , $T_{B,0}$, are
 328 analytically described in Phillips et al. (2018). That the big fragments emitted (i.e., N_B) will be
 329 initiated in the model as graupel, snow or frozen drops, while only the splinters ($N_T = N - N_B$)
 330 are considered secondary ice (i.e., $N_{DS} = N_T$) and are passed to the cloud ice category.

331 The second mode of raindrop-ice collisions includes the accretion of raindrops on impact
 332 with more massive ice particles, such as snow or graupel, the description of which in the M05
 333 scheme is adapted from Ikawa and Saito (1991). While there is only one dedicated laboratory
 334 study of this SIP mode (James et al., 2021), it was also indirectly investigated in the
 335 experimental study of Latham and Warwicker (1980), who reported that the collision of
 336 supercooled raindrops with hailstones can generate secondary ice. Phillips et al. (2018)
 337 proposed an empirical, energy-based formulation to account for the tiny splinters ejected after
 338 collisions between raindrops and large ice particles:

$$N_{DS} = 3\Phi(T) \times [1 - f(T)] \times \max(DE - DE_{crit}, 0), \quad (6)$$

339 where $DE = \frac{K_0}{S_e}$, is the dimensionless energy given as the ratio of the initial kinetic energy (K_0 ;
 340 described in 2.2.3) over the surface energy, which is expressed by the product $S_e = \gamma_{liq}\pi D_r^2$,
 341 in which $\gamma_{liq}=0.073$ J m⁻², is the surface tension of liquid water. The critical value of DE used
 342 in Eq. (6) for the onset of splashing upon impact is set to $DE_{crit} = 0.2$. The parameter $f(T) =$
 343 $-c_w T/L_f$, represents the initial frozen fraction of a supercooled drop during the first stage of
 344 the freezing process, where $C_w = 4200$ J kg⁻¹ K⁻¹, is the specific heat capacity of liquid water,
 345 $L_f = 3.3 \times 10^5$ J kg⁻¹, is the specific latent heat of freezing, while T is the initial freezing
 346 temperature (°C) of the raindrop. Finally, $\Phi(T) = \min[4f(T), 1]$ is an empirical fraction, which
 347 represents the probability of any new drop in the splash products to contain a frost secondary

348 ice particle. At temperatures ~ -10 °C this formulation yields $\Phi = 0.5$, meaning that the
 349 probability of a secondary drop to contain ice is 50%. James et al. (2021) provided the first
 350 laboratory study to constrain this parameter. Further details regarding the derivation of the
 351 empirical parameters and the uncertainties underlying the mathematical formulations are
 352 discussed in Phillips et al. (2018).

353 The second DS parameterization tested in this study was developed by Sullivan et al.
 354 (2018a) and is a function of the freezing droplet diameter (D_r in μm), a shattering probability
 355 (p_{sh}) and a freezing probability (p_{fr}):

$$N_{DS} = 2.5 \times 10^{-11} D_r^4 p_{sh} p_{fr} . \quad (7)$$

356 The diameter dependence describing the fragment numbers generated per fractured frozen
 357 droplet is derived by nudging the liquid water and ice particle size distributions in one-
 358 dimensional cloud model simulations towards aircraft observations collected in tropical
 359 cumulus clouds (Lawson et al., 2015). The p_{sh} is based upon droplet levitation experiments
 360 shown in Leisner et al. (2014) and is represented by a temperature-dependent Gaussian
 361 distribution, centered at ~ -15 °C. Note that p_{sh} is non-zero only for droplets with sizes greater
 362 than $50 \mu\text{m}$. The p_{fr} is 0 for temperatures warmer than -3 °C and 1 if temperatures fall below $-$
 363 6 °C, following the cubic interpolation function, $\Omega(T)$, adapted from Phillips et al. (2018).

364

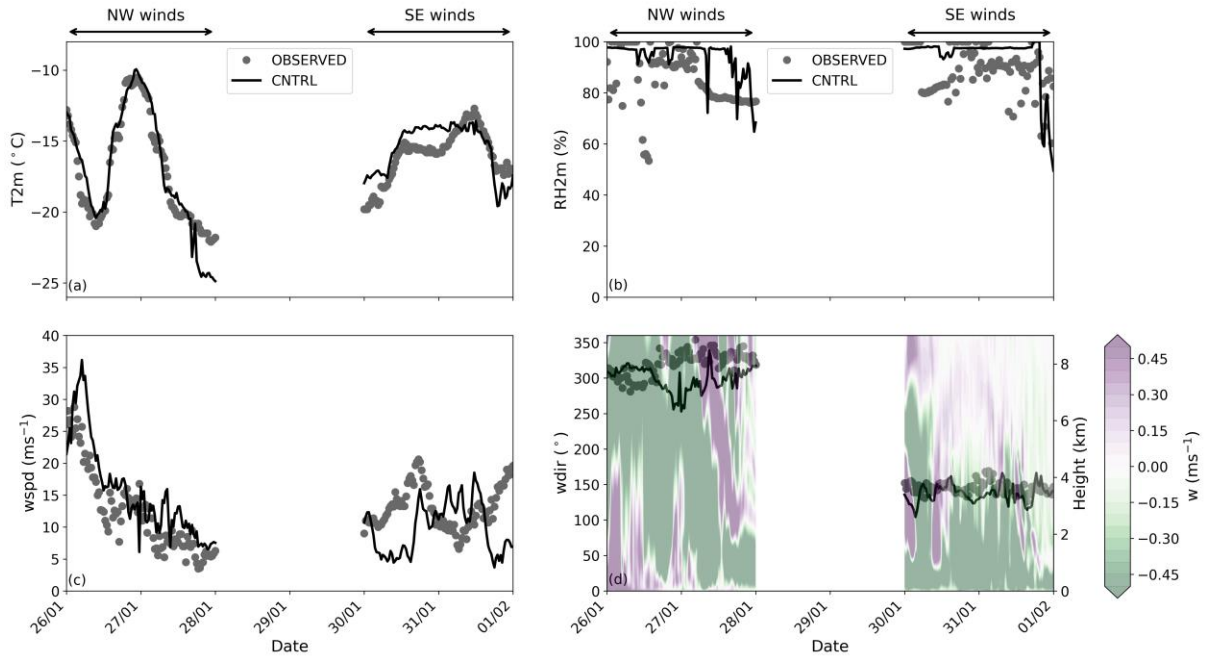
365 2.3 Model validation

366 The control simulation (CNTRL), performed with the standard M05 scheme, sets the basis for
 367 assessing the validity of the model against available meteorological observations. Temperature,
 368 relative humidity, wind speed, and wind direction are obtained from the MeteoSwiss weather
 369 station at JFJ. The comparison of each meteorological variable with the results from the nearest
 370 model grid point of the CNTRL simulation is shown in Fig. 2. Note that the outputs are from
 371 the first atmospheric level of the innermost domain at ~ 10 m above ground level (a.g.l) (Fig.
 372 1), while the first 24 hours of each simulation period are considered spin up time and are
 373 therefore excluded from the present analysis. The mean modeled values and standard
 374 deviations (std), along with the root mean square error (RMSE) and the index of agreement
 375 (IoA) between model predictions and observational data are summarized in Table 1. IoA is
 376 both a relative and a bounded measure (i.e., $0 \leq \text{IoA} \leq 1$) that describes phase errors between
 377 predicted (P_i) and observed (O_i) time series (Willmott et al., 2012):

$$IoA = 1 - \left[\frac{\sum_{i=1}^N (P_i - O_i)^2}{\sum_{i=1}^N (|P_i| + |O_i|)^2} \right], \quad (8)$$

378 where $P'_i = P_i - \bar{O}$ and $O'_i = O_i - \bar{O}$, in which \bar{O} is the mean of the observed variable.

379



380

381 **Figure 2.** Time series of (a) temperature (T2m), (b) relative humidity with respect to liquid
 382 phase at 2m height (RH2m), (c) wind speed (wspd) and (d) wind direction (wdir). Grey circles
 383 indicate measurements collected between 26 January and 1 February 2014 at JFJ station, while
 384 modeled values from CNTRL simulation are shown with a black line. The semi-transparent
 385 contour plot is representing the vertical velocity (w) profile predicted by the CNTRL
 386 simulation. Each day starts at 00:00 UTC.

387

388 Throughout the two case studies, the WRF simulations seem to closely follow the
 389 observed temperatures (Fig. 2a), which is also indicated by the high IoA in Table 1. The
 390 synoptic situation occurring on 26 January, with a deep trough extending to western Europe
 391 (Fig. 1), has been associated with intense snowfalls in the alpine regions (Panziera and Hoskins,
 392 2008). The passage of the cold front was followed by a sharp temperature decrease, with the
 393 simulated temperatures fluctuating between -10 and ~ -20 °C throughout the NW case (Fig.
 394 2a). Under the influence of the warm front during the SE case, the modeled temperatures rose
 395 from ~ -18 °C to ~ -14 °C and remained less variable until 30 January 12:00 UTC, with mean
 396 values of ~ -15.5 °C (Table 1).

397

398 **Table 1.** Mean modeled values (\pm standard deviations), RMSE and IoA between the CNTRL
 399 simulation of WRF and measurements carried out by the MeteoSwiss station at JFJ.

Variable	Mean \pm std		RMSE		IoA	
	NW winds	SE winds	NW winds	SE winds	NW winds	SE winds
T2m ($^{\circ}$ C)	-17.10 ± 4.36	-15.48 ± 1.75	1.40	1.33	0.97	0.84
RH2m (%)	94.07 ± 7.02	94.24 ± 10.31	14.01	11.61	0.55	0.64
wspd (ms^{-1})	15.57 ± 7.45	9.78 ± 3.94	4.85	6.75	0.88	0.22

400
 401 Fig. 2c and 2d reveal that the 1-km resolution domain can sufficiently capture the local
 402 wind systems to a certain extent. During the NW flow, the horizontal wind speeds are
 403 reproduced better by the CNTRL simulation (IoA=88%), whereas during the SE winds, the
 404 simulated wind speed is frequently underestimated compared with observations (Fig. 2c). Such
 405 deviations in the horizontal wind speed might be caused by the relatively coarse horizontal
 406 resolution of the model, which prevents some small-scale and very local orographic structures
 407 from being resolved. As discussed in Section 2.2, the observed winds at JFJ are channeled by
 408 the orography to either NW or SE directions. The CNTRL simulation of WRF can satisfactorily
 409 reproduce the wind direction in both cases, although the simulated values exhibit larger
 410 fluctuations than the measured ones (Fig. 2d), presumably because of the surrounding
 411 orography being less accurately represented in the model. This is particularly evident during
 412 NW winds, when the simulated wind directions shift slightly to west directions compared to
 413 observations. The positive vertical velocities, illustrated in the contour plot in Fig. 2d, result
 414 from the orographically forced lifting of the airmasses over the local topography, and are not
 415 related to convective instability in the lower atmospheric levels. The stronger updrafts
 416 prevailing until the end of 26 January are associated with the steep ascent of the air parcels,
 417 which can also contribute to the enhanced relative humidity (Fig. 2b). After the frontal passage,
 418 the vertical velocities at the lower levels are downward directed, with the vertical profile of
 419 potential temperature revealing that the atmosphere at JFJ is stabilized (not shown). The same
 420 vertical velocity pattern, with mainly downward motions, characterizes the stably stratified
 421 atmosphere after 30 January. Overall, Fig. 2 suggests that local meteorological conditions at
 422 JFJ are reasonably well represented by the model.

423

424 2.4 Model simulations

425 Given the good representation of the atmospheric conditions at JFJ, the CNTRL simulation of
426 WRF is further accompanied by five sensitivity simulations, aiming to investigate the
427 contribution of BR and DS mechanisms. Here we also perform three additional sensitivity
428 experiments to explore the potential impact of blowing ice and the synergistic interaction with
429 SIP on the development of the simulated MPCs. A detailed list of the sensitivity experiments
430 is provided in Table 2.

431 The contribution of the DS mechanism is addressed in two sensitivity experiments,
432 DS_PHILL and DS_SULL, where the parameterizations of Phillips et al. (2018) and Sullivan
433 et al. (2018a) were applied, respectively (Section 2.2.4). Both sensitivity simulations yield
434 predictions that coincide with the CNTRL simulation (supplement Fig. S1) suggesting that the
435 DS mechanism is hardly ever activated, and fail to produce realistic total ice number
436 concentrations (N_{isg} ; cloud ice + snow + graupel). The absence of correlation between LWC
437 and N_{isg} fluctuations might also suggest the ineffectiveness of this mechanism under the
438 examined conditions. Note that the parameterized expressions used to describe the DS
439 mechanism involve a number of empirical and rather uncertain parameters, the value of which
440 could potentially influence the efficiency of the process in producing secondary ice fragments.
441 However, the sensitivity of our results to the choice of these parameters would be negligible,
442 as the low concentrations ($\lesssim 10^{-2} \text{ cm}^{-3}$) of relatively small raindrops with mode diameters
443 below the threshold size of 50 μm seem to completely prevent the onset of the DS process
444 (supplement Fig. S2). The DS mechanism is therefore excluded from the following discussion.
445 This result is in line with the modeling study of Dedekind et al. (2021), who also reported the
446 inefficiency of this mechanism in wintertime alpine clouds.

447 Three sensitivity simulations are also conducted activating the generation of secondarily
448 formed ice particles through BR. First, the TAKAH simulation, adopts the temperature-
449 dependent formula of Takahashi et al. (1995) scaled with the size of particles that undergo
450 fragmentation (Sotiropoulou et al. 2021a). Applying Equation (2) to collisions between all ice
451 categories considered in the M05 scheme (except collisions between cloud-ice particles;
452 Section 2.2.3) inserts a caveat to our approach. The laboratory results of Takahashi et al. (1995)
453 suggest that, it is mostly the collisions between rimed particles and graupel that are more
454 conducive to SIP through BR. Vardiman (1978) also reported that ice crystal growth through
455 riming is essential to boost fragmentation. Applying the Takahashi break-up scheme for
456 unrimed ice particles might, therefore, overestimate the number of secondary ice fragments.
457 To test this hypothesis, we performed TAKAHrim sensitivity simulation, where we enabled

458 ice multiplication through BR only after collisions between rimed cloud ice/ snow and graupel
 459 particles. To diagnose the presence of rime on ice particles we used the amount of cloud
 460 droplets or raindrops accreted by snow and cloud ice, which is predicted in the M05 scheme.

461

462 **Table 2.** List of sensitivity simulations conducted with WRF.

Simulation	BR process	DS process	NBIPS (L⁻¹)
CNTRL	off	off	0
DS_PHILL	off	Phillips et al., 2018	0
DS_SULL	off	Sullivan et al., 2018a	0
TAKAH	Takahashi et al., 1995	off	0
TAKAHrim	Takahashi et al., 1995 activated only after collisions between rimed ice particles	off	0
PHILL	Phillips et al., 2017a	off	0
BIPS10	off	off	10
BIPS100	off	off	100
BIPS100_PHILL	Phillips et al., 2017a	off	100

463

464 Finally, the performance of the more advanced Phillips et al. (2017a) parameterization is
 465 tested in the PHILL simulation. Parameters involved in the Phillips parameterization that are
 466 not explicitly resolved in the M05 microphysics scheme are the rimed fraction and the ice habit
 467 of colliding ice particles. The choice of ice habit is based on particle images collected during
 468 the CLACE 2014 campaign, showing the presence of non-dendritic sectored plates and oblate
 469 particles at temperatures ~ -15 °C (Lloyd et al., 2015). Grazioli et al. (2015) also presented
 470 some examples of particle imagery produced by a 2D-S imaging probe, revealing the presence
 471 of heavily rimed hydrometeors, as well as highly oblate particles (probably columns or
 472 needles). The rimed fraction, is prescribed to a value of 0.4 (0.3) to account, respectively, for
 473 heavily and moderately rimed ice particles present under NW (SE) wind conditions. A high
 474 degree of riming is expected in the simulated cases, as they both occur under ice-seeding
 475 situations (Section 3.1.1), where large precipitating ice particles from the seeder clouds
 476 effectively gain mass in the mixed-phase zone through riming. Direct observations with
 477 balloon-borne measurements carried out within ice-seeding events in the region around Davos
 478 in the Swiss Alps support the presence of a large fraction of rimed particles and graupel
 479 (Ramelli et al., 2021). The higher riming degree is prescribed under NW-winds because the

480 orographic forcing (i.e., vertical velocity) is stronger and helps maintaining mixed-phase
481 conditions in the feeder clouds – which in turn promotes ice crystal growth through riming.
482 However, our results were not very sensitive to the choice of the rimed fraction.

483 The remaining sensitivity simulations focus on the potential impact of BIPS. A recently-
484 developed blowing snow scheme, used to simulate alpine snowpacks, reported significant mass
485 and number mixing ratios of BIPS that can be found up to ~1 km above the surface under high
486 wind speed conditions (see Fig. 17 in Sharma et al., 2021) with the potential to trigger cloud
487 microphysical processes. Given that in the default M05 scheme there is no parameterization of
488 a flux of ice particles from the surface, we parameterize the effect of BIPS lofting into the
489 simulated orographic clouds by applying a constant ice crystal source to the first atmospheric
490 level of WRF over the whole model domain. Although the source of BIPS at the first model
491 level remained constant, yet their number will be affected by processes such as advection,
492 sublimation and sedimentation, that are described in the M05 scheme. Note that the relatively
493 coarse horizontal resolution in the innermost domain of our simulations (i.e., 1 km) does not
494 allow the accurate representation of the small-scale turbulent flow over the orographic terrain.
495 This is considered a limitation of our methodology, since turbulent diffusion is a key process
496 affecting the amount of BIPS that will be resuspended from the surface.

497 The applied concentrations of BIPS varied between 10^{-2} and 100 L^{-1} , which is the upper
498 limit proposed by Lloyd et al. (2015) and observed within in-cloud conditions by Beck et al.
499 (2018). Number concentrations of BIPS (i.e., NBIPS) lower than 10 L^{-1} were found incapable
500 of affecting the simulated cloud properties and are, therefore, not included in the following
501 discussion. Two sensitivity simulations are finally performed, BIPS10 and BIPS100 (Table 2),
502 in which the number indicates the NBIPS in L^{-1} . In our approach we assume BIPS are spherical
503 with diameters of $100 \mu\text{m}$, based on typical sizes that are frequently reported in the literature
504 (e.g., Schlenczek et al., 2014; Schmidt, 1984; Geerts et al., 2015; Sharma et al., 2021). The
505 relatively small fall speed of these particles (e.g., Pruppacher and Klett, 1997) will allow them
506 to remain suspended in the atmosphere. As a sensitivity we also considered smaller particles
507 with sizes of $10 \mu\text{m}$, but our results did not change significantly (supplement Fig. S3).
508 Nevertheless, such small ice particles are not expected to substantially contribute to the
509 simulated IWC, as shown by Farrington et al. (2016).

510 As SIP through BR and blowing snow are both important when trying to explain the high
511 ICNCs observed in alpine environments, their combined effect is addressed in our last
512 simulation, BIPS100_PHILL (Table 2). In this sensitivity simulation the effect of BR is

513 parameterized after Phillips et al. (2017a), while a constant ice crystal concentration of 100 L^{-1}
514 1 is applied to the first atmospheric level of WRF to represent the effect of BIPS.

515

516 **3. Results and discussion**

517

518 3.1 Impact of SIP through BR on simulated microphysical properties

519 The temporal evolution of N_{isg} , IWC and LWC, at the first model level ($\sim 10 \text{ m a.g.l.}$) from the
520 nearest to JFJ model grid point of the CNTRL, TAKAH and PHILL simulations is presented
521 in Fig. 3. Instead of focusing on a single grid point, we also averaged the results from the 9-
522 km^2 area surrounding the point of interest. However, the produced time series showed only
523 little difference when compared to the nearest grid point time series (not shown), ensuring our
524 analysis is robust. Besides, the region in the vicinity of JFJ is very heterogeneous supporting
525 the single point comparison presented in the following discussion. The grey dots shown in Fig.
526 3 represent the measurements taken by the 2D-S and CDP instruments at JFJ throughout the
527 two periods of interest. The displayed time frequency of the observations is 30 min to match
528 the output frequency of the model. Note that the simulated LWC includes liquid water from
529 cloud droplets and rain, while the simulated IWC includes cloud ice, snow and graupel. The
530 contribution of rain in our simulations is, however, negligible (supplement Fig. S2). Several
531 statistical metrics for N_{isg} , IWC and LWC are summarized in Table 3, 4 and 5, respectively.
532 Periods with missing data in the measurement time series are excluded from the statistical
533 analysis.

534 During the NW flow, between 26 and 28 January, the measured ICNCs exceed 100 L^{-1}
535 for $>50 \%$ of the time, whereas during the SE flow the ICNCs usually fluctuate between 10 and
536 100 L^{-1} (Fig. 3a). The highest ICNCs are generally observed at temperatures higher than ~ -15
537 $^{\circ}\text{C}$, where SIP processes are thought to be dominant and primary ice nucleation in the absence
538 of bioaerosols is limited (e.g., Hoose and Möhler, 2012; Kanji et al., 2017). The CNTRL
539 simulation fails to reproduce N_{isg} higher than 10 L^{-1} , with the mean simulated values being ~ 2 -
540 2.5 L^{-1} during both periods. At the same time the mean observed ICNC values are ~ 200 (70)
541 L^{-1} during the NW (SE) case. Thus, CNTRL systematically underestimates the amount of ice
542 by up to 2 orders of magnitude, which is also consistent with the interquartile statistics
543 presented in Table 3. With the HM process being totally ineffective in the prevailing
544 temperatures, this discrepancy suggests that ice crystals produced by heterogeneous ice

545 nucleation in CNTRL are not high enough to match the observations. A similar discrepancy
 546 between predicted INPs and measured ICNCs was also documented in Lloyd et al. (2015).

547

548 **Table 3.** The 25th, 50th (median) and 75th percentiles of ICNC time series (in L⁻¹).

Simulation	25 th perc.		Median		75 th perc.	
	NW winds	SE winds	NW winds	SE winds	NW winds	SE winds
OBSERVED	8.69	6.64	80.47	34.53	261.25	88.69
CNTRL	0.76	0.84	2.02	1.68	2.80	3.60
TAKAH	2.27	1.08	9.85	122.56	362.51	358.38
TAKAHrim	2.56	0.75	12.30	4.17	101.46	82.16
PHILL	2.49	0.76	6.27	2.09	118.21	59.23
BIPS10	1.60	1.90	2.42	2.72	3.30	4.78
BIPS100	6.17	10.74	10.36	13.88	12.32	17.39
BIPS100_PHILL	8.95	11.51	15.87	16.30	138.92	98.43

549

550 Activating the BR process in TAKAH, TAKAHrim and PHILL simulations is found to
 551 produce N_{isg} as high as 400 L⁻¹ during both case studies (Fig. 3a), resulting in a substantially
 552 better agreement with observations. At times when the simulated temperatures drop below ~ –
 553 18 °C, the N_{isg} modeled by all three simulations coincide with the CNTRL simulation. At
 554 relatively warmer subzero temperatures though, the significant contribution of the BR process
 555 is evident, elevating the predicted N_{isg} by up to 3 orders of magnitude during the NW case and
 556 by more than 2 orders of magnitude during the SE case. Although the median N_{isg} in all three
 557 sensitivity simulations with active break-up remains underestimated compared to observations
 558 during the NW flow, TAKAH seems to produce unrealistically high median and 75th percentile
 559 values during the SE flow (Table 3). Indeed, focusing on the N_{isg} time series (Fig. 3a) TAKAH
 560 is ~25% of the time shown to overestimate the observed ICNCs by a factor of ~3, reaching up
 561 a factor of 10 on 30 January at 00:00. TAKAHrim and PHILL, on the other hand, produce more
 562 reasonable concentrations of ice particles throughout both case studies, with the N_{isg} values in
 563 the 75th percentile exceeding 100 (50) L⁻¹ during the NW (SE) case study (Table 3), which is
 564 found to reduce the gap between observations and model predictions.

565 It is worth noting that, despite the fact that the Takahashi parameterization (Eq. 2) is
 566 applied to both TAKAH and TAKAHrim simulations, the former seems to systematically
 567 overestimate the number of secondary ice fragments, while the latter produces ICNCs that are
 568 more consistent with the observations. Hence the Takahashi parameterization predicts

569 reasonable results if it is allowed to generate fragments from collisions between rimed ice
 570 particles only (Section 2.4).

571

572 **Table 4.** The 25th, 50th (median) and 75th percentiles of IWC (in gm^{-3}) time series.

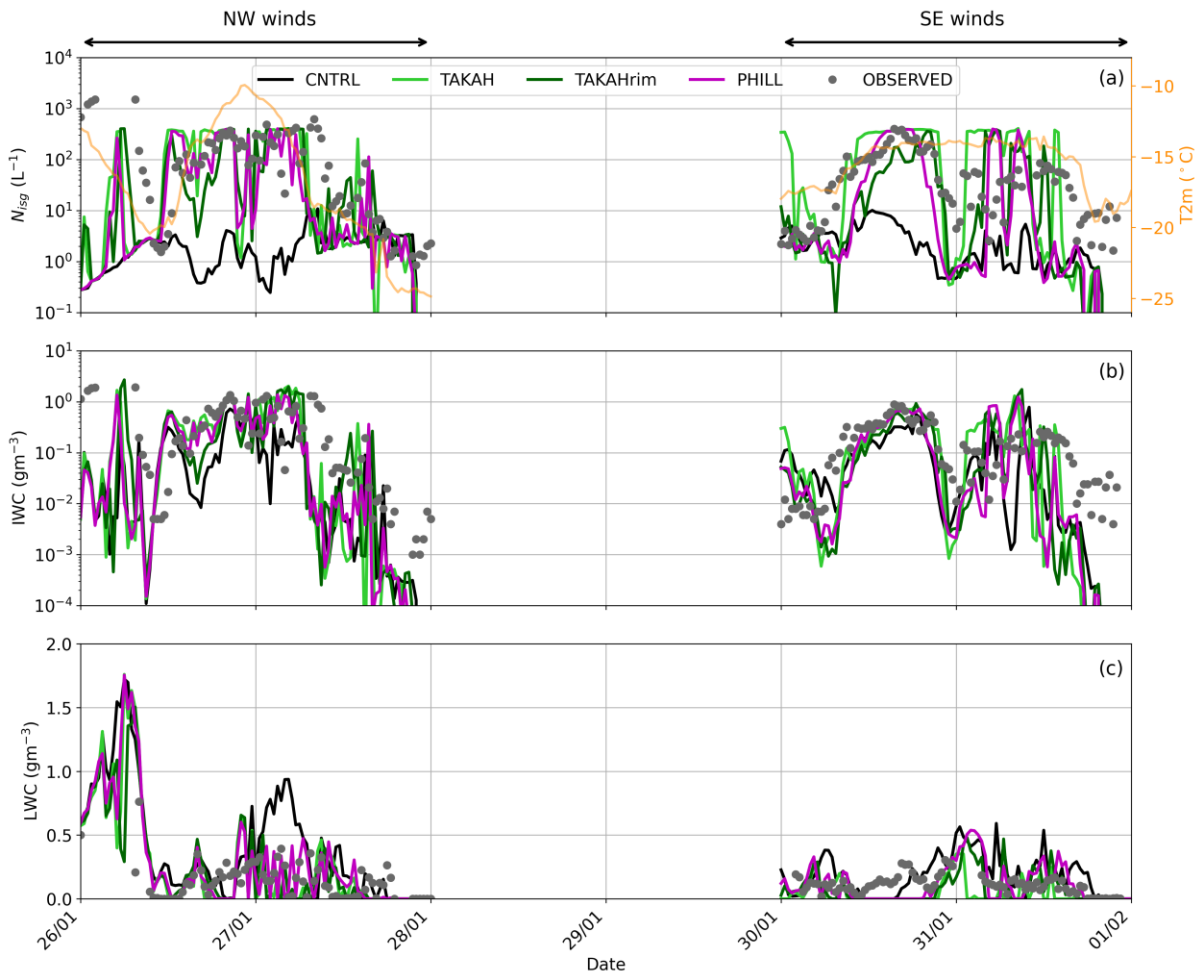
Simulation	25 th perc.		Median		75 th perc.	
	NW winds	SE winds	NW winds	SE winds	NW winds	SE winds
OBSERVED	0.03	0.02	0.19	0.11	0.66	0.26
CNTRL	4.3×10^{-3}	5.0×10^{-3}	0.03	0.04	0.15	0.12
TAKAH	1.3×10^{-3}	2.0×10^{-3}	0.10	0.09	0.52	0.34
TAKAHrim	4.7×10^{-3}	3.1×10^{-3}	0.08	0.04	0.33	0.27
PHILL	3.8×10^{-3}	3.7×10^{-3}	0.10	0.02	0.38	0.30
BIPS100_PHILL	3.9×10^{-3}	9.1×10^{-3}	0.09	0.03	0.40	0.30

573

574 The observed IWC time series (Fig. 3b) are frequently reaching $\sim 1 \text{ gm}^{-3}$ during the NW
 575 case, with the median values being a factor of 2.5 higher than those observed during the SE
 576 case (Table 4). This indicates the presence of more massive ice particles when higher updraft
 577 velocities prevail. The CNTRL simulation cannot produce IWC values $> 0.8 \text{ gm}^{-3}$ and is most
 578 of the time below the observed range. Adding a description of the BR process (i.e., in TAKAH,
 579 TAKAHrim and PHILL) sufficiently increases the modeled IWC by up to ~ 1 order of
 580 magnitude between 26 January 12:00 UTC and 27 January 06:00 UTC, when the modeled N_{isg}
 581 exceeds 100 L^{-1} and the temperature remains higher than $-16 \text{ }^\circ\text{C}$. The same conditions are
 582 observed in the SE case, between 12:00 and 18:00 UTC on 30 January, when IWC shows a ~ 3
 583 fold enhancement reaching the observed levels. The IWC values in the third quartile predicted
 584 by TAKAH, TAKAHrim and PHILL are more than a factor of 2 higher than the ones predicted
 585 by CNTRL (Table 4). This increase improves the model performance although the modeled
 586 IWC remains slightly underestimated (overestimated) during the NW (SE) case. The size
 587 distribution of the three ice species considered in the M05 scheme (supplement Fig. S4) reveals
 588 that, the implementation of the BR mechanism leads to elevated concentrations of relatively
 589 smaller cloud ice crystals, but at the same time increases the concentrations of snow particles.
 590 This is the reason why the modeled total ice mass is also increased compared with the CNTRL
 591 simulation.

592 Fig. 3c compares the simulated cloud LWC to the concurrent CDP observations at JFJ.
 593 The LWC values recorded during the NW case are highly variant, reaching up to 0.75 gm^{-3} ,
 594 which is substantially higher than the respective maximum LWC observed during the SE case

595 (0.30 gm^{-3}). On 26 January before 12:00 UTC, all sensitivity simulations predict $\text{LWC} > 1 \text{ gm}^{-3}$
 596 3 , which, however, cannot be validated against measurements due to missing data in the CDP
 597 time series. Note that this period is excluded from the statistics derived in Table 5. The CNTRL
 598 simulation is found to overestimate the cloud LWC, predicting 0.42 (0.25) gm^{-3} in the third
 599 quartile, which is a factor of ~ 2 higher than the observed values during the NW (SE) case
 600 (Table 5).
 601



602
 603 **Figure 3.** Time series of (a) total N_{isg} and temperature at 2 m height (orange line), (b) IWC and
 604 (c) LWC, predicted by the CNTRL (black line), TAKAH (light green line), TAKAHrim (dark green
 605 and PHILL (magenta line) simulations between 26 January and 1 February 2014. The
 606 grey dots in all three panels represent the 2D-S ICNCs, the inferred IWC and the CDP LWC
 607 measured at the JFJ station, respectively. Note the logarithmic y-axes in panels a and b.

608
 609 The modeled LWC in the 75th percentile is decreased by a factor of 1.5-5 in the
 610 simulations that account for the BR process (Table 5), improving the agreement with
 611 observations (Fig. 3c). The reduction in LWC is expected, considering that the higher N_{isg}
 612 produced when BR is activated can readily deplete the surrounding droplets under liquid water

613 subsaturated conditions through the WBF process. This introduces a challenging environment
614 to simulate, as the model is sometimes seen to convert water to ice too rapidly, leading to cloud
615 glaciation (e.g., on 30 January after 12:00 UTC). Despite all sinks of cloud water (i.e.,
616 condensation freezing, WBF or riming), observations at JFJ suggest that mixed-phase regions
617 are generally sustained (Lloyd et al., 2015). This is particularly true for the NW case, when the
618 sufficiently large updrafts caused by the steep ascent of the air masses help maintain the
619 supersaturation with respect to liquid water (Lohmann et al., 2016). PHILL and TAKAHrim
620 can more efficiently sustain the observed mixed-phase conditions compared to TAKAH, which
621 frequently results in explosive ice multiplication – especially during the SE case – leading to
622 an underestimation of the LWC (see Fig. 3c and Table 5). TAKAH is, therefore, excluded from
623 the following discussion as it fails to reproduce an accurate liquid and ice partitioning.

624

625 **Table 5.** The 25th, 50th (median) and 75th percentiles of LWC (in gm^{-3}) time series.

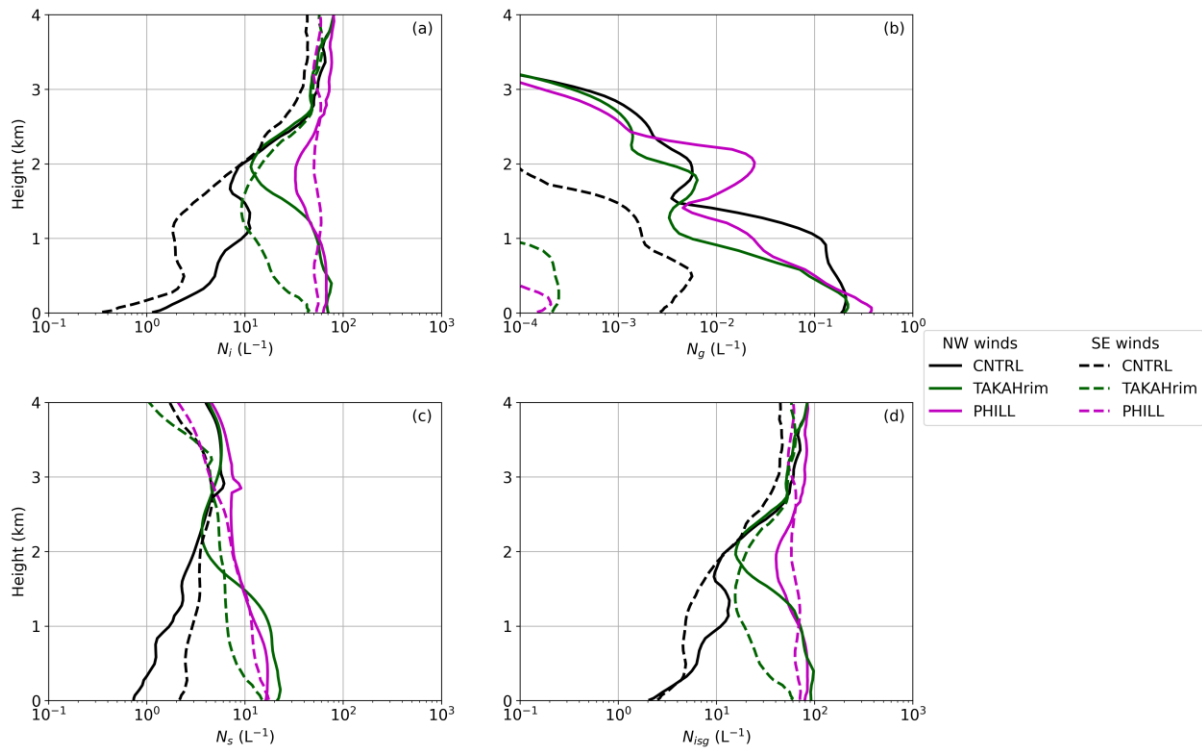
Simulation	25 th perc.		Median		75 th perc.	
	NW winds	SE winds	NW winds	SE winds	NW winds	SE winds
OBSERVED	8.5×10^{-3}	70.0×10^{-3}	0.12	0.11	0.21	0.14
CNTRL	87.7×10^{-3}	26.0×10^{-3}	0.19	0.17	0.42	0.25
TAKAH	1.3×10^{-10}	0.0	0.01	6.7×10^{-10}	0.16	0.05
TAKAHrim	1.2×10^{-7}	0.0	0.06	2.8×10^{-6}	0.23	0.09
PHILL	6.3×10^{-8}	0.0	0.09	0.03	0.26	0.18
BIPS10	82.0×10^{-3}	4.6×10^{-3}	0.18	0.12	0.33	0.24
BIPS100	67.1×10^{-3}	13.1×10^{-3}	0.18	0.13	0.36	0.23
BIPS100_PHILL	6.3×10^{-10}	0.0	0.09	0.06	0.27	0.10

626

627 The time-averaged vertical profiles of cloud ice (N_i), graupel (N_g), snow (N_s) and total
628 N_{isg} number concentrations are illustrated in Fig. 4 for the CNTRL, TAKAHrim and PHILL
629 simulations. The mean N_i (Fig. 4a) and N_{isg} profiles (Fig. 4d) are up to 2 orders of magnitude
630 enhanced in TAKAHrim and PHILL compared to CNTRL. During the NW flow both
631 simulations including the BR process produce similar vertical distribution of the ice
632 hydrometeors in the lowest 1-1.5 km in the atmosphere. This is not the case for the SE case,
633 where TAKAHrim seems to predict a rapid decrease in N_i and N_s and thus in total N_{isg} with
634 altitude. The main difference between these two simulations lies in the fact that the total LWC
635 and hence, the probability of riming, decreases with height limiting the efficiency of BR in
636 TAKAHrim. This become more evident during the SE case where mixed-phase conditions are

637 exclusively confined below 1.5 km in the atmosphere (Section 3.1.1). However, we cannot
 638 estimate which vertical distribution better represents reality, due to the lack of corresponding
 639 measured profiles. TAKAHrim coincides with PHILL only when there is sufficient liquid water
 640 in the atmosphere, allowing for the riming of the ice hydrometeors. Moreover, at heights above
 641 ~ 2.5 km, where the simulated temperatures drop well below -20 °C (supplement Fig. S5), all
 642 three simulations are seen to produce similar results. This implies the greater importance of
 643 SIP through BR at heights below 2-3 km in the atmosphere (i.e., in the temperature range
 644 between ~ -18 °C and ~ -10 °C).

645



646

647 **Figure 4.** Mean vertical profiles of (a) N_i , (b) N_g , (c) N_s and (d) total N_{isg} , predicted by the
 648 CNTRL (black), TAKAHrim (dark green) and PHILL (magenta) simulations for the NW (solid
 649 lines) and SE (dashed lines) cases. Note the different scale on the x axis of the N_g vertical
 650 distribution. The height is given in km a.g.l.

651

652 Graupel number concentrations (Fig. 4b) do not contribute much to the modeled ice
 653 phase, especially during the SE case when the simulated N_g is negligible compared with the N_i
 654 and N_s (Fig. 4c). In the M05 scheme, portion of the rimed cloud or rain water onto snow is
 655 allowed to convert into graupel (Reisner et al. 1998), provided that snow, cloud liquid and rain
 656 water mixing ratios exceed a threshold of 0.1, 0.5 and 0.1 g kg^{-1} , respectively. These mixing
 657 ratio thresholds for graupel formation are arbitrary and might not be suitable for the examined

658 conditions, preventing the formation of graupel from rimed snowflakes (Morrison and
659 Grabowski, 2008). During the NW case, however, we can identify substantially higher N_g than
660 the SE case, owing to the presence of sufficient supercooled liquid water especially during the
661 first half of 26 January. Activating the BR mechanism in TAKAHrim and PHILL generally
662 decreases the simulated N_g in both cases (Fig. 4c), suggesting that break-up of graupel
663 contributes to ice multiplication.

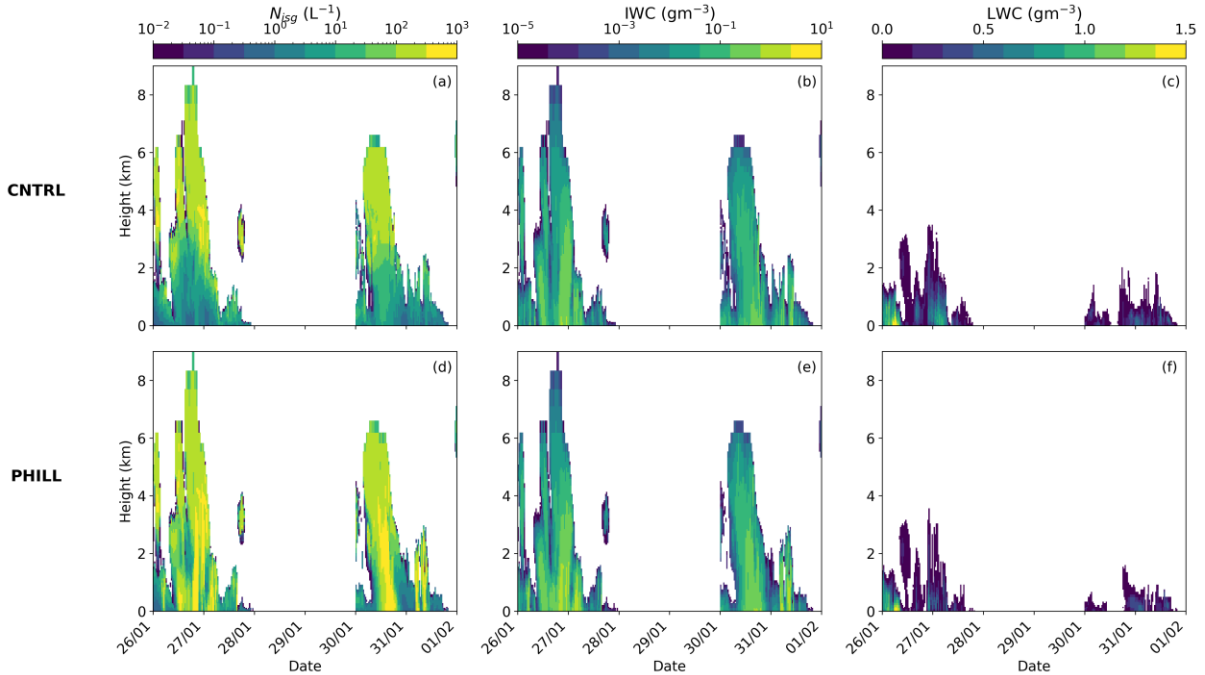
664 The mean vertical profile of N_s (Fig. 4c) seems to follow the respective profile of N_i (Fig.
665 4a). Unlike the graupel concentrations, including the BR mechanism is found to enhance N_s up
666 to one order of magnitude compared to the CNTRL simulation. Focusing on a single model
667 time step when the BR mechanism is activated, the size distribution of snow particles shown
668 in the supplement Fig. S4 reveals that the increase in snow number concentrations can reach
669 up to 2 orders of magnitude during the NW case. This is a logical consequence of the increase
670 in number concentration of ice crystals, which are converting to snow particles after ice crystal
671 growth (i.e., cloud-ice-to-snow autoconversion), when surpassing a characteristic mean
672 diameter of 250 μm . This will be discussed in detail in the following section. We focus
673 subsequent discussion on the PHILL simulation because i) TAKAHrim provides comparable
674 results in terms of the in-cloud phase partitioning, and, ii) we explore the sensitivity of
675 simulation results to parameters not considered by the Takahashi formulation.

676

677 *3.1.1 Conditions favoring BR in the two considered events*

678 The temporal evolution of the vertical profiles of N_{isg} , IWC and LWC can provide valuable
679 insight on the drivers of enhanced ice formation in the wintertime alpine MPCs. Fig. 5 reveals
680 the presence of a seeder-feeder cloud system with sustained mixed-phase conditions confined
681 to levels below ~ 3 km (~ 1.5 km) in the NW (SE) case and a pure ice cloud aloft. Such
682 configurations are a well-known type of orographic multi-layer clouds that enhances
683 precipitation over mountains (e.g., Browning et al., 1974, 1975; Roe, 2005). Cloud
684 condensation is promoted by the synergy between a midlatitude frontal system and its
685 orographically induced ascent over the mountain range (Fig. 1). The separation between the
686 seeder and feeder clouds is often nonexistent, meaning that ice seeding can occur either in
687 layered clouds or internally within one cloud (Roe, 2005; Proske et al., 2021). In the first case,
688 which seems to occur here as well, there can be vertical continuum of cloud condensates
689 between the seeder and the feeder cloud due to precipitation of ice crystals from the higher-
690 level cloud (Fig. 5a). This means that the seeding ice crystals fall through subsaturated cloud-

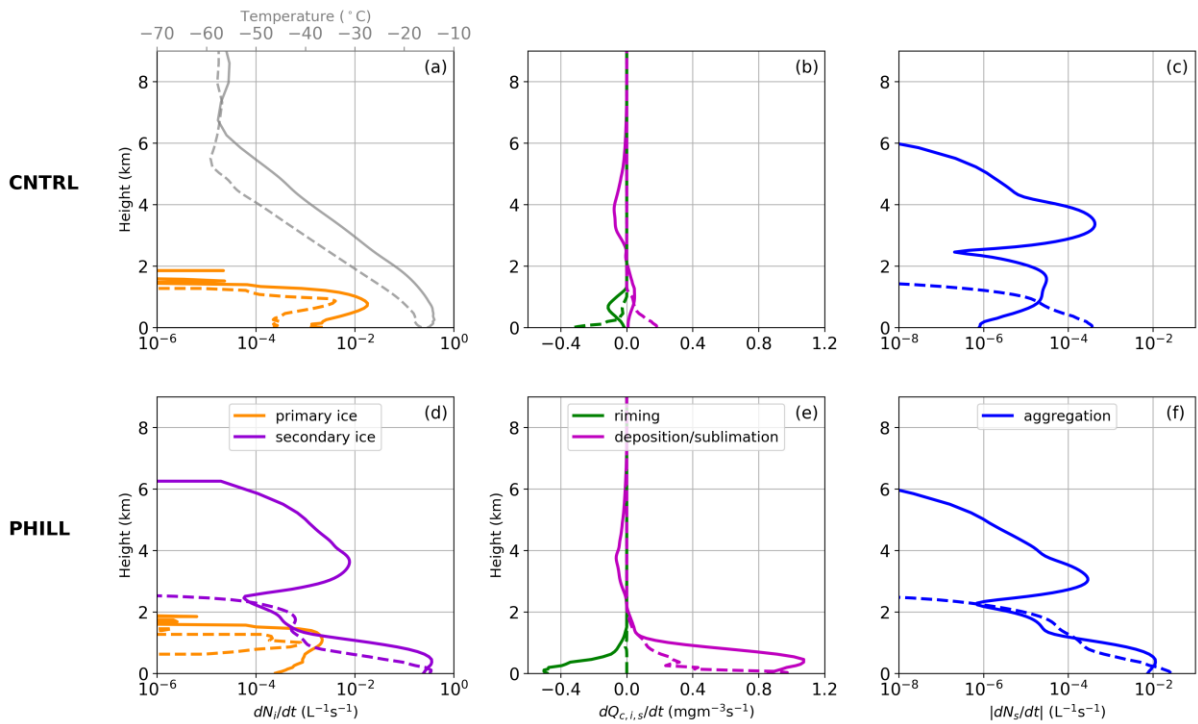
691 free air before reaching the feeder region of the cloud and might sublimate. The remote-sensing
 692 analysis over Switzerland presented by Proske et al. (2021), showed that in-cloud seeding
 693 occurs in 18% of the observations, while the external seeder-feeder mechanism is present 15%
 694 of the time when the seeder is a cirrus cloud.
 695



696
 697 **Figure 5.** Time-height plots of total N_{ice} (a, d), IWC (b, e) and LWC (c, f) produced by CNTRL
 698 (top panel) and PHILL (bottom panel) simulations between 26 January and 1 February 2014.
 699 The height is given in km a.g.l.

700
 701 To illustrate the processes taking place during the two cases of interest, Fig. 6 displays
 702 the tendency of primary and secondary ice production as well as the growth of ice particles
 703 through deposition, riming and aggregation from the CNTRL and PHILL simulations at 17:00
 704 (19:00) UTC on 26 (30) January. The vertical profiles on 26 January are taken within the
 705 seeder-feeder event, while those on 30 January are taken when the high-level cloud associated
 706 with the warm front has already passed the region of interest. Upon arrival of the frontal system
 707 on 26 January, CNTRL indicates a rapid increase of the total N_{ice} near cloud top (Fig. 5a), which
 708 is not shown in the vertical profile of primary ice production rates taken at 17:00 UTC (Fig.
 709 6a). The ice particles consisting the seeder cloud are, therefore, formed far from the JFJ station
 710 and seem to be advected over the domain of interest. Primary ice crystals are formed in both
 711 cases below 2 km in the feeder cloud at temperatures lower than $-30\text{ }^{\circ}\text{C}$ through heterogeneous
 712 freezing (Fig. 6a). At these heights supercooled liquid water is also present (Fig. 5c) and the
 713 newly formed ice particles start growing initially by vapor deposition due to supersaturation

714 with respect to ice, followed by riming (Fig. 6b). This is also indicated by the increased IWC
 715 values closer to the ground (Fig. 5b).
 716



717
 718 **Figure 6.** Vertical profiles of (a, d) primary and secondary ice production, (b, e) riming and
 719 vapor deposition or sublimation and (c, f) snow aggregation produced by the CNTRL (top
 720 panel) and PHILL (bottom panel) simulations at 17:00 UTC on 26 January (solid line) and at
 721 19:00 UTC on 30 January (dashed line). The vertical profile of simulated temperature is also
 722 superimposed in (a). The cloud liquid water content (Q_c) is shown in panels (b and e) to
 723 represent the tendency due to riming, while the mass mixing ratio of the ice and snow species
 724 ($Q_i + Q_s$), are representing the relative tendencies due to vapor deposition or sublimation. Note
 725 that the tendencies due to snow aggregation in (c, f) are presented in absolute values. The height
 726 is given in km a.g.l.

727
 728 Focusing on the ice-seeding event of 26 January, the enhanced aggregation rate observed
 729 at heights above ~ 2.5 km in the atmosphere indicates the enhanced collision efficiencies of
 730 precipitating ice particles while falling from the seeder cloud (Fig. 6c). Note that a portion of
 731 the sedimented ice particles sublimates before reaching the feeder cloud at heights ~ 3 -5 km,
 732 indicating the prevailing unsaturated conditions in this layer (Fig. 6b). Within this layer
 733 aggregation of snowflakes weakens, while it is enhanced again when the falling hydrometeors
 734 enter the feeder cloud. The bottom line is that, even under the simulated seeder-feeder events
 735 the concentrations of ice particles reaching the ground in CNTRL remain severely
 736 underestimated (Section 3.1). Despite the low concentrations of ice crystals simulated by the
 737 CNTRL simulation, the low-level cloud is glaciated more frequently during the SE- than during

738 the NW-winds case (Fig. 5c). This is probably because of the higher updraft velocities
739 prevailing until 28 January (Fig. 2d), preventing ice crystals from falling through the lower
740 parts of the cloud (Lohmann et al., 2016).

741 Activating the BR mechanism along with the seeding of precipitating hydrometeors in
742 PHILL shifts the simulated N_{isg} towards higher concentrations that are found to exceed 300 L^{-1}
743 ¹ in the lower-level part of the cloud (Fig. 5d). On 26 January the mode of the cloud ice
744 distribution shifts to slightly bigger sizes, while on 30 January the modal sizes become almost
745 an order of magnitude smaller compared with the CNTRL simulation (supplement Fig. S4).
746 The enhanced concentrations of bigger ice particles simulated in the first case experience rapid
747 growth through vapor deposition and riming (Fig. 6e) causing a slight increase in the simulated
748 IWC (Fig. 5e) at the expense of the surrounding cloud droplets in the low-level feeder cloud
749 (Fig. 5f). Nevertheless, the smaller ice particles simulated in the second case grow less
750 efficiently through vapor deposition, while the explosive multiplication of ice through BR
751 seems to fully glaciate the low-level cloud below ~ 1 km resulting in an almost zero riming rate
752 (Fig. 6e). The reduced primary ice production rate observed during both case studies is a
753 consequence of the depletion of liquid water when BR is considered (Fig. 6d). A suppression
754 of heterogeneous ice nucleation following the introduction of SIP into models has already been
755 reported in previous studies (Phillips et al., 2017b; Dedekind et al., 2021; Zhao and Liu, 2021b).

756 The key difference between CNTRL and PHILL simulations is that the latter takes
757 advantage of the enhanced ice particle growth through aggregation while falling to the feeder
758 cloud below ~ 2 km, where large snowflakes coexist with smaller ice crystals (Fig. 4a, 6a, 6d).
759 This allows for differential settling, which enhances collision efficiency facilitating ice
760 multiplication through BR. This is the reason why the vertical profile of secondary ice
761 formation agrees with the corresponding profile of aggregation during both case studies (Fig.
762 6d, 6f). On 26 January the first secondary ice particles start forming already within the seeder
763 cloud with the contribution of SIP increasing considerably when reaching the feeder cloud,
764 where the tendency due to SIP is more than 3 orders of magnitude higher than primary ice
765 production (Fig. 6d). The significant role of SIP stands out also on 30 January at altitudes below
766 2 km. It is, therefore, essential to consider SIP though BR in the feeder cloud, in order to
767 achieve the enhanced levels of ICNCs frequently observed within seeder-feeder events in the
768 alpine region. This is in agreement with the observational study of Ramelli et al. (2021) on an
769 ice-seeding case occurring in the region around Davos in the Swiss Alps. In this study, they
770 proposed that SIP though HM and BR were necessary to explain the elevated ICNCs in feeder
771 clouds.

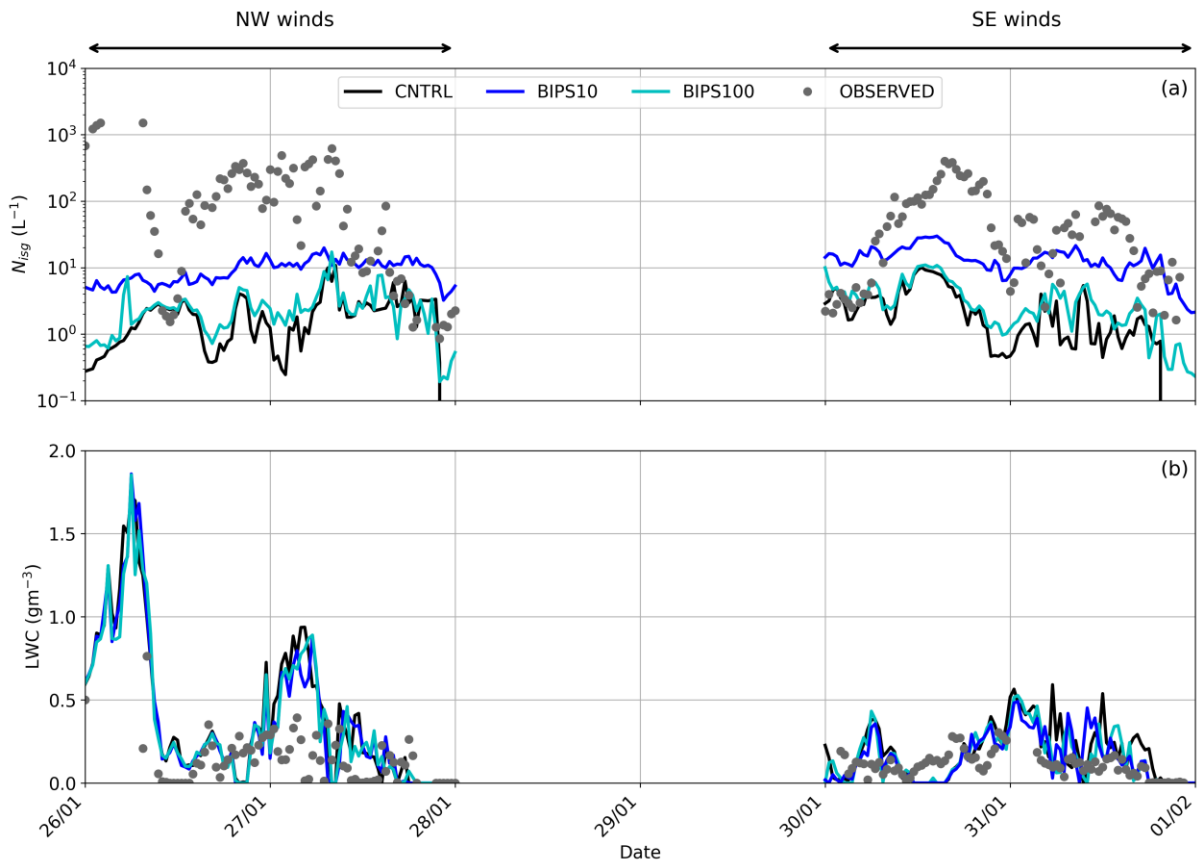
772 A classification of the dominant type of precipitation was applied to the polarimetric data
773 collected by a weather radar deployed at the Kleine Scheidegg station (2061 m a.s.l) during the
774 SE case between 30 and 31 January (supplement Fig. S6). In the derived time series, we can
775 identify periods when individual ice crystals (not aggregated and not significantly rimed)
776 dominate over the entire precipitation column followed by periods when a clear stratification
777 is present with ice crystals aloft and mostly aggregates and rimed ice particles below. This
778 stratification is observed on 30 January at 19:00 UTC when the model tendencies are extracted
779 (dashed lines in Fig. 6). Allowing for the BR process in PHILL results in a 2 orders of
780 magnitude enhancement in the aggregation rates close to the ground, which can better
781 reproduce the signatures observed in the hydrometeor classification at that time. An increase
782 in the simulated aggregates and rimed particles is expected to increase orographic precipitation,
783 which is important given that these low-level feeder clouds are incapable of producing
784 significant amounts of precipitation. Indeed, the mean surface precipitation produced by
785 PHILL is 30% (10%) increased during the NW (SE) case compared with CNTRL (supplement
786 Fig. S7), which is in contrast to Dedekind et al. (2021) where the activation of the BR process
787 is found to suppress the regions of strong surface precipitation. This was attributed to the
788 limited efficiency of the small secondary ice particles to grow sufficiently to precipitation sizes
789 when the local updrafts lift them to the upper parts of the cloud that were glaciated. The radar-
790 based hydrometeor classification reveals also the predominance of ice crystals at the beginning
791 and the end of the precipitating periods (e.g., on 30 January at 15:00-17:30 or 31 January at
792 04:30-06:00), which is again more consistent with the vertical profile of N_i produced by PHILL
793 rather than the CNTRL simulation (supplement Fig. S6, S8).

794

795 3.2 Sensitivity to the injection of ice crystals from the surface

796 In this section we examine if the surface-originating small ice particles could have the potential
797 to initiate and enhance ice particle growth in the near-surface MPCs present in our case studies.
798 Fig. 7 illustrates two additional WRF simulations – BIPS10 and BIPS100 – where the ice
799 crystal source applied to the first model level is equal to 10 and 100 L^{-1} , respectively (Table 2).
800 Note that these two sensitivity tests do not consider any SIP process to analyze the influence
801 of BIPS only. The total N_{isg} values produced in BIPS10 are only slightly increased compared
802 to the CNTRL simulation and generally remain outside the observed range at JFJ (Fig. 7a). An
803 order of magnitude increase in the applied NBIPS is seen to enhance the modeled N_{isg} during
804 both case studies, however our simulations are still lacking ice particles. This is particularly
805 evident during the NW winds case, where the simulated N_{isg} varies most of the time around 10

806 L^{-1} , remaining an order of magnitude lower than the observations. During the SE case, the
 807 model performance is slightly improved with the N_{isg} reaching up to $\sim 25 L^{-1}$ in BIPS100, which
 808 occasionally falls within the lower limit of the observed ICNC values (e.g., in the evening of
 809 31 January). At times when the detected ICNCs remain quite low (i.e., on the order of $10 L^{-1}$),
 810 the contribution of blowing snow particles probably from the Aletsch Glacier is sufficient to
 811 explain the observations at JFJ.
 812



813
 814 **Figure 7.** Time series of (a) total N_{isg} and (b) LWC, predicted between 26 January and 1
 815 February 2014 by the two sensitivity simulations accounting for the effect of blowing snow,
 816 BIPS10 (cyan line) and BIPS100 (blue line).

817
 818 As indicated in Fig. 7b, during the NW flow the simulated LWC at the first model level
 819 in BIPS10 and BIPS100 almost coincides with the CNTRL simulation of WRF. The three
 820 sensitivity simulations are producing comparable median and quartile LWC values (Table 5),
 821 with BIPS10 and BIPS100 producing median LWC values closer to the observed ones during
 822 the SE flow. When comparing against the LWC values in the third quartile though, the two
 823 simulations lead to an overestimation up to a factor of ~ 1.5 during both case studies. Given that
 824 there is approximately a factor of >20 (5) difference between the modeled and observed ICNCs

825 during the NW (SE) winds case (Table 3), Fig. 7 overall reveals that the addition of a source
826 of ice crystals from the effect of blowing snow cannot account for the observed liquid-ice phase
827 partitioning in the simulated orographic MPCs.

828 Our findings are in contrast with the modeling study of Farrington et al. (2016), where a
829 different approach was proposed to include the surface effect on the ICNCs simulated with
830 WRF. In this study, a single model domain was used with a horizontal resolution of 1 km. To
831 account for the flux of hoar crystals being detached from the surface by mechanical fracturing,
832 Farrington et al. (2016) included a wind-dependent surface flux of frost flowers adapted from
833 Xu et al. (2013). Despite the improved performance of WRF in terms of predicted ICNCs and
834 LWC, the wind-dependent formulation of the surface flux caused the modeled ICNCs to
835 become strongly correlated with the simulated horizontal wind speed – a behavior that was not
836 confirmed by the observations of Lloyd et al. (2015). Nonetheless, the highest observed ICNCs
837 at the beginning of the NW case correspond to the time when both the observed and modeled
838 wind speed is the strongest (Fig. 2c), implying that a wind-dependent surface flux of BIPS
839 could potentially elevate the simulated N_{isg} to the observed levels at this time.

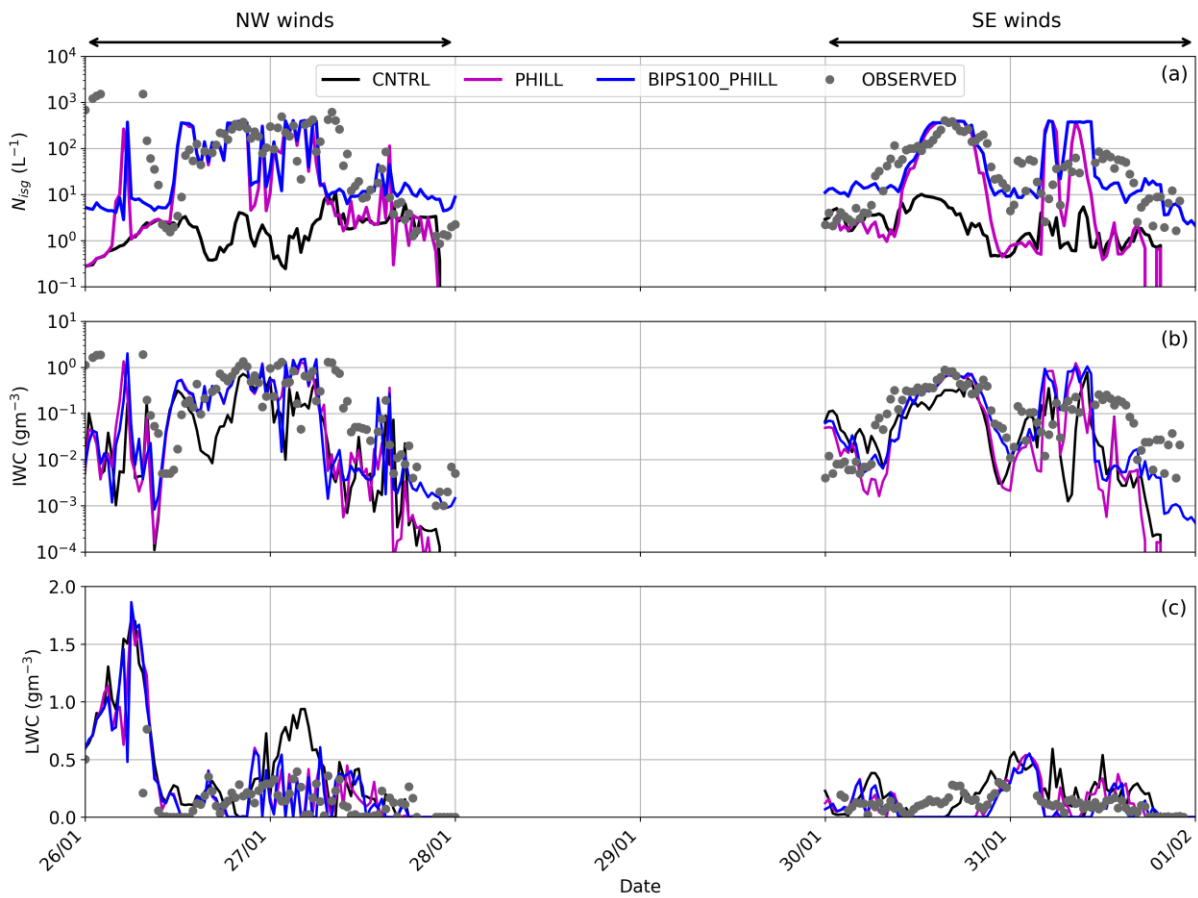
840

841 3.3 The synergistic impact of BR and surface-induced ice crystals

842 It is deducible from the above discussion that the sole inclusion of a constant source of BIPS
843 in our simulations cannot efficiently bridge the gap between modeled and measured ICNCs.
844 Our aim in this section is to explore the combined effect of SIP through BR and blowing snow
845 on the simulated orographic MPCs, since both processes are deemed to be important when
846 trying to explain the high ICNCs observed in alpine environments. This is addressed in the
847 final sensitivity simulation, BIPS100_PHILL, the results of which are compared with the
848 CNTRL and PHILL simulations in Fig. 8.

849 In terms of the modeled ice particle concentrations, the combination of the simplified
850 blowing snow treatment and BR parameterization can account for most of the discrepancy
851 between modeled and observed ICNCs, particularly during the SE case (Fig. 8a), when the
852 simulation leads to best agreement with the observed interquartile values (Table 3).
853 BIPS100_PHILL and CNTRL generally differ by an average factor of ~100 (40) during the
854 NW (SE) case, with the former producing N_{isg} values that are sometimes elevated by up to ~3
855 (2) orders of magnitude (Fig. 8a). Compared to the PHILL setup, including a source of BIPS
856 is found to improve the modeled ICNCs close to the surface episodically – for instance in the
857 evening of 30 and 31 January, with the N_{isg} in BIPS100_PHILL efficiently reaching the

858 observed levels (Fig. 8a). Note that BIPS can contribute to the modeled N_{isg} even without the
 859 presence of a near-surface orographic cloud (e.g., Geerts et al., 2015; Beck et al., 2018). For
 860 instance, BIPS100_PHILL is the only sensitivity simulation producing high N_{isg} values in the
 861 evening of 27 and 31 January, when the low-level cloud is dissipated (Fig. 5c, f). In the former
 862 case, however, the model results in an overestimate of the ICNCs, which is also observed
 863 during the early hours of 30 January, suggesting that the applied source of ice crystals is
 864 unrealistically high at this time.
 865



866
 867 **Figure 8.** Time series of (a) total N_{isg} , (b) IWC and (c) LWC, predicted between 26 January
 868 and 1 February 2014 by the sensitivity simulation BIPS100_PHILL (blue line), which
 869 examines the combined effect of ice multiplication through BR and blowing snow.
 870

871 As the mixed-phase conditions are sustained throughout both case studies (Fig. 8c), the
 872 plume of ice crystals is mixed into an ice-supersaturated environment and, thus, BIPS are
 873 expected to promote ice growth through their interaction with the surrounding supercooled
 874 liquid droplets and (ice) supersaturated air. The number of BIPS reaching the cloud base might
 875 not be large, but their presence is expected to further facilitate the action of the BR mechanism,
 876 considering the depositional growth they will undergo within the supercooled boundary layer

877 cloud. This is illustrated for example with the concurrent increase in N_{isg} and IWC observed on
878 30 January at approximately 21:00 UTC (Fig. 8a, 8b) in the presence of the low-level cloud
879 (Fig. 8c). Note that the elevated N_{isg} caused by the addition of BIPS is not always followed by
880 an efficient increase in the simulated IWC. This can be observed for example on 27 January at
881 12:00 UTC or in the evening of 31 January (Fig. 8b).

882 A discrepancy between modeled and observed IWC was also highlighted in the study of
883 Farrington et al. (2016), and was attributed to the small sizes of the hoar frost particles assumed
884 (i.e., 10 μm). Although here BIPS are assumed to have sizes of 100 μm , still the
885 underestimation in the cloud IWC has not been overcome. This suggests that the applied source
886 of BIPS combined with the effect of SIP through BR shifts the ice particle spectra to smaller
887 sizes, which are not very efficient in riming and the WBF process and, thus, do not always
888 contribute to significant increases in IWC values. Overall, the interquartile values presented in
889 Table 4 reveal that BIPS100_PHILL and PHILL yield almost identical IWC values, suggesting
890 that the implementation of a constant source of BIPS does not further improve the
891 representation of the total ice mass despite the improvements in the simulated N_{isg} . Focusing
892 on the LWC values in the third quartile, though, including a source of BIPS results in better
893 agreement with the CLACE observations during the SE case, while it is shown to have little
894 effect on the cloud liquid phase during the NW case (Table 5). Despite the increase in the
895 modeled N_{isg} observed in BIPS100_PHILL especially during the SE case, the liquid water in
896 the low-level orographic cloud is not further depleted (Fig. 8c). This is presumably because the
897 mean surface precipitation produced is also enhanced by almost ~20% compared to PHILL
898 (supplement Fig. S7), which seems to balance the excessive ice production.

899 One final point that is worth noting here is that there are still some certain periods when
900 BIPS100_PHILL fails to reproduce the observed range of ICNCs. This could imply the
901 potential contribution of additional ice multiplication processes to the observed ice particle
902 concentrations. Indeed, the seeder-feeder configuration observed in the examined case studies
903 could favor the fragmentation of sublimating hydrometeors while falling through an
904 subsaturated environment before entering the feeder cloud (e.g., Bacon et al., 1998). The so-
905 called “sublimational break-up” is an overlooked SIP process which is not yet described in the
906 M05 scheme. Also, note that the periods when the modeled ICNCs remain below the observed
907 ice number levels are mainly identified when the simulated temperature drops below $-15\text{ }^{\circ}\text{C}$
908 and the wind speed exceeds 10 ms^{-1} or even 20 ms^{-1} (e.g., in the morning of 26 January or 27
909 January at around 12:00 UTC). This is when the incorporation of surface-based processes
910 becomes of primary importance. The simplified methodology we followed here although

911 instructive, yet it faces several limitations. For instance, the constant source of BIPS is
912 sometimes found to overestimate the modeled N_{isg} and IWC. In order to accurately assess the
913 potential role of the snow-covered surfaces in elevating the simulated ICNCs, an improved
914 spatio-temporal description of the concentration and distribution of BIPS is required.
915 Furthermore, the applied ice crystal source is independent of some key parameters controlling
916 its resuspension, such as the horizontal wind speed, the updrafts or the friction velocity (e.g.,
917 Vionnet et al., 2013, 2014). For example, in the early morning hours of 26 January, the high
918 simulated horizontal and vertical velocities (Fig. 2c, 2d) are expected to loft significant BIPS
919 concentrations into the cloud layer, owing to enhanced mechanical mixing and momentum flux
920 close to the surface. Nonetheless, the contribution of the induced plume of BIPS remains
921 constant throughout the NW case study (Fig. 7a), which seems to lead to an underestimation
922 of the total ice particle concentration and mass. A more realistic parameterization of the BIPS
923 flux or the coupling with a detailed snowpack model would, therefore, be essential for a more
924 accurate representation of the effect of blowing snow.

925

926 **4. Summary and conclusions**

927 This study employs the mesoscale model WRF to explore the potential impact of ice
928 multiplication processes on the liquid-ice phase partitioning in the orographic MPCs observed
929 during the CLACE 2014 campaign at the mountain-top site of JFJ in the Swiss Alps. The
930 orography surrounding JFJ channels the direction of the horizontal wind speed, giving us the
931 opportunity to analyze two frontal cases occurring under NW and SE conditions.

932 DS and BR mechanisms were implemented in the default M05 scheme in WRF, in
933 addition to the HM parameterization, which however remained inactive in the simulated
934 temperature range (-10 to -24 °C). The DS process is parameterized following either the latest
935 theoretical formulation developed by Phillips et al. (2018) or the more simplified
936 parameterization proposed by Sullivan et al. (2018a). Our sensitivity simulations revealed that
937 the DS mechanism is ineffective in the two considered alpine MPCs, even under the higher
938 updraft velocity conditions associated with the NW winds case study, owing to the lack of large
939 drops required for the process.

940 To parameterize the number of fragments generated per ice-ice collision we followed
941 again two different approaches: either the simplified temperature dependent formulation of
942 Takahashi et al. (1995) scaled for the size of the particle that undergo fragmentation

943 (Sotiropoulou et al., 2021a) or the more advanced physically-based Phillips et al. (2017a)
944 parameterization. It is important to apply the Takahashi parameterization only to consider
945 collisions between rimed ice particles, otherwise the number of generated fragments is
946 significantly overestimated. Including a description of the BR mechanism is essential for
947 reproducing the ICNCs observed in the simulated orographic clouds, especially at temperatures
948 higher than ~ -15 °C, where INPs are generally sparse. SIP through BR is found to enhance the
949 modeled ICNCs by up to 3 (2) orders of magnitude during the NW (SE) case, improving the
950 model agreement with observations. This ice enhancement can cause up to an order of
951 magnitude increase in the mean simulated IWC values compared with the CNTRL simulation,
952 which is attributed to the enhanced ice crystal growth and cloud-ice-to-snow autoconversion.
953 The increase in the simulated ICNCs also depletes the cloud LWC by at least a factor of 2
954 during both cases, which is more consistent with the measured LWC values.

955 One of the most interesting outcomes of this study is the association of the enhanced BR
956 efficiency with the occurrence of in-cloud seeder-feeder events, which are commonly found in
957 Switzerland (Proske et al., 2021). While ice-seeding situations are associated with enhanced
958 orographic precipitation in the alpine region, the CNTRL simulation fails to reproduce the
959 elevated ICNCs reaching the ground. The falling ice hydrometeors experience efficient growth
960 through aggregation in the seeder part of the cloud, which is enhanced when reaching the feeder
961 cloud at altitudes below 2 km, where primary ice crystals form and grow through vapor
962 deposition and riming. Aggregation of snowflakes seems to be the major driver of secondary
963 ice formation in the examined seeder-feeder events. SIP through BR is initiated already within
964 the seeder cloud, while it becomes immensely important in the feeder cloud where its
965 production rate exceeds the one of primary ice formation. The increased generation of
966 secondary ice fragments does not always lead to ice explosion and cloud glaciation, as it is
967 followed by an enhancement in the precipitation sink owing to a shift in the ice particle
968 spectrum. Including a description of the BR mechanism is, therefore, crucial for explaining the
969 ice particle concentration and mass observed in the low-level feeder clouds.

970 To assess the potential role of blowing snow in the simulated orographic clouds, a
971 constant source of ice crystals was introduced in the first atmospheric level of WRF. Our results
972 indicate that blowing snow alone cannot explain the high ICNCs observed at JFJ, but when this
973 source is combined with the BR mechanism then the gap between modeled and measured
974 ICNCs is sufficiently bridged. The biggest influence of blowing snow is mainly detected at
975 times when the simulated temperatures are low enough (< -15 °C), while the presence of a low-

976 level cloud is required for SIP to manifest. The concentrations of BIPS reaching the cloud base
977 are not high, but when they are mixed among supercooled liquid droplets they are expected to
978 grow, facilitating ice multiplication through BR. Nonetheless, including a wind-dependence or
979 a spatio-temporal variability in the applied ice crystal source would be essential to provide a
980 more precise description of the effect of blowing snow on the simulated clouds.

981 Overall, our findings indicate that outside the HM temperature range, a correct
982 representation of both secondary ice (through BR) and an external ice seeding mechanism,
983 which is primarily precipitating ice particles formed aloft and to a lesser degree wind-blown
984 ice from the surface, is fundamentally important for accurately predicting the liquid-ice
985 partitioning and properties of MPCs. Given the high frequency of seeder-feeder events in
986 orographic environments, including the new physics of BR may address a large source of
987 predictive bias in atmospheric models.

988

989 *Code and data availability.* The WRF outputs presented in this study will be made available at
990 <https://zenodo.org/>, while the updated Morrison scheme is available upon request. @Note by
991 authors: Data will be made available upon acceptance of final publication.

992

993 *Competing interests.* The authors declare no conflict of interest.

994

995 *Author Contributions.* PG and AN conceived and led this study with input from GS. EV helped
996 with the WRF configuration and setup. GS provided the updated microphysics scheme with
997 the detailed BR parameterizations. PG implemented the DS parameterizations with help from
998 GS, conducted the simulations, analyzed the results and, together with AN, wrote the main
999 paper. All authors contributed to the scientific interpretation and writing of the paper.

1000

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1005

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