

Fluorescent biological aerosol particles over the central Pacific Ocean: covariation with ocean-surface biological activity indicators

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Abstract. Combining Waveband Integrated Bioaerosol Sensors and DNA staining techniques, online and offline shipboard observations of fluorescent aerosol particles in the atmosphere were carried out over the central Pacific Ocean during March 10 2019 to identify bioaerosols and determine their spatio-temporal distribution. To understand the origins of and processes associated with bioaerosols, we conducted correlation analyses of fluorescent particle number concentration, wind speed, and a variety of chemical and biological indicators, including concentrations of chlorophyll *a*, bacteria, marine organic gel particles such as Transparent Exopolymer Particles (TEPs) and Coomassie Stainable Particles (CSPs). Five-day backward trajectory analysis indicated that oceanic air masses were dominant between 6 and 18 March after which the influence of long-range 15 transport from the continent of Asia was prominent. For the first period, we identified certain types of fluorescent particles as bioaerosols with marine origins, because their number concentrations were highly correlated with concentrations of TEPs and bacteria (R : 0.80–0.92) after considering the wind speed effect. For the second period, there was strong correlation between another type of fluorescent particles and CSPs irrespective of wind speed, implying that the fluorescent particles advected from land were mixed with those of marine origins. From the results of our correlation analysis, we developed equations to derive 20 atmospheric bioaerosol number density in the marine atmosphere over the central Pacific Ocean from a combination of biogenic proxy quantities (chlorophyll *a*, TEPs and bacteria) and wind speed. We conclude that it is likely that TEPs were transported from the sea surface to the atmosphere together with bacteria to form fluorescent bioaerosols.

1 Introduction

25 Biological particles derived from marine and terrestrial organisms, including viruses, fungi, bacteria, pollen, and their fragments (Fröhlich-Nowoisky et al., 2016; Huffman et al., 2020) can represent a large proportion of the mass concentration of coarse particles in the atmosphere (Jaenicke, 2005). Originating from the marine ecosystem, organic matter in the surface seawater is uplifted by wind in the course of sea spray aerosol (SSA) formation; these biological particles could affect the cloud systems by acting as cloud condensation nuclei and ice nucleating particles (INPs) (e.g., Wilson et al., 2015; Šantl- 30 Temkiv et al., 2020). Previous studies have shown that ice nucleation activity occurs at higher temperatures on biological

materials than on other ice nucleation active particles (e.g., mineral particles, dust, and volcanic ash) (e.g., Hoose et al., 2012; Murray et al., 2012). For example, Hoose et al. (2012) reported that freezing occurs at above -10 °C for bacteria, whereas other types of INPs begin to freeze only at around -30 °C. Mason et al. (2015) reported that biological particles were the major contributor of INPs in a coastal area with a temperature range of -15 to -25 °C, while the contribution of non-biological particles was larger at -30 °C. Therefore, biological particles may be important as INPs in the formation of ice clouds at high latitudes and also in the formation of mixed phase clouds over the midlatitudes or even in cirrus cloud formation over the tropical regions. However, the importance of biological particles relative to dust as INPs has yet to be fully proven, partly because the origins, abundance, and roles of marine bioaerosols are poorly characterized; such information is needed to support model results (e.g., Burrows et al., 2009; 2013).

10 There are several methods to detect biological particles. Autofluorescence, which involves exciting and detecting fluorescent chromophores such as amino acids, proteins, and coenzymes is an effective method (e.g., Pöhlker et al., 2012). Recently, Wideband Integrated Bioaerosol Sensors (WIBS) and Ultraviolet Aerodynamic Particle Sizers (UV-APS) have been developed for online measurement and analysis of fluorescent particles (Pöschl et al., 2010; Gabey et al., 2010; Gosselin et al., 2016). Spectral features of single particle fluorescence have also been used to detect biological particles (Taketani et al., 2013; 15 Könemann et al., 2019). However, because of interference from other types of fluorescent particles (e.g., Polycyclic Aromatic Hydrocarbons (PAHs)), clear differentiation is necessary. Accurate, non-real-time methods such as nuclear staining with fluorescence microscopy and genetic phylogenetic search with Polymerase Chain Reaction (PCR) have also been used (Maki et al., 2013; Fröhlich-Nowoisky et al, 2016). Several methods to detect biological substances in seawater related to bioaerosols have also been developed. For example, staining and light absorption measurements have been used to detect gel-like organic 20 particles such as polysaccharide-containing Transparent Exopolymer Particles (TEPs) produced from phytoplankton exudations and protein-containing Coomassie Stainable Particles (CSPs) from the degradation of dead cells. These substances, as well as small cells or bacteria, are thought to be directly transported into the atmosphere via the formation of sea spray particles (Wurl et al., 2008; Engel et al., 2016; Thornton et al., 2018) or at least play key roles in the formation of bioaerosols. However, few field studies have simultaneously analyzed aerosol and seawater compositions to directly examine the link 25 between these components.

In this study, we report observations conducted during the MR18-06 Leg4 cruise of RV *Mirai* in March 2019 to examine the spatiotemporal distribution of biological aerosol particles over the central Pacific between Tahiti and Japan and the link between the bioaerosols and their potential oceanic precursors or proxies. Our focus is on the geographical distributions of fluorescent particles (both autofluorescent and epifluorescent), biogenic organic gel substances (TEPs and CSPs), other 30 relevant biological indicators (e.g., chlorophyll a (Chl-*a*) and bacteria) of the surface seawater, and their correlations. On the basis of our results, we discuss the link between marine substances or proxies and bioaerosol formation, and develop equations to provide best estimates of bioaerosol number density over the study region.

2 Cruise Observations

Observations were conducted between 6 and 25 March 2019 over the central Pacific Ocean from Papeete, Tahiti (17.32° S, 149.34° W) to Shimizu, Japan (35.02° N, 138.30° E) onboard the research vessel *Mirai*. The cruise track is shown in Fig. 1. The observed parameters are summarized in Table 1. For the fluorescent aerosol particles (FAPs), Wideband Integrated Bioaerosol Sensor Model 4A (WIBS-4A, Droplet Measurement Technologies, Longmont, CO, USA) was used for continuous online measurements and Bioplorer (KB-VKH01, Koyo Sangyo Co., Ltd., Tokyo, Japan) (Nishimura et al., 2006) was used for offline, sampling-based onboard measurements. For WIBS-4A, ambient particles were sampled using a total suspended particles (TSP) inlet (URG, URG-2000-30DG) installed at ~18 m above sea level on the compass deck. Particles passed through a stainless-steel tube with a volumetric flow rate of 30 L min⁻¹, to the research room and a large diameter Nafion tubing drier (Perma Pure Inc., MD-700) to dry the sample flow. Before passing through the dryer, the flow was iso-kinetically separated into two lines. One line was for a bypass vent flow, whose volumetric flow rate was 29 L min⁻¹, while the other passed through the drier was further separated into relative humidity (RH) monitor, WIBS-4A, and other instruments in the conductive silicone tube. During the cruise, the RH remained below 40% at room temperature. The WIBS-4A recorded autofluorescence of individual particles per excitation wavelength on two fluorescence detector channels (310–400 nm and 420–650 nm); xenon flash lamps emitting at two excitation wavelengths (280 and 370 nm) were used (Healy et al., 2012). FAPs were classified into seven types according to the fluorescence patterns; those with fluorescence in a single wavelength range were defined as Type A (excitation=280 nm, fluorescent=310–400 nm), Type B (excitation=280 nm, fluorescent=420–650 nm), or Type C (excitation=370 nm, fluorescent=420–650 nm). The particles emitting fluorescence in multiple wavelength ranges were classified as Types AB, AC, BC, or ABC (Perring et al., 2013). Size distributions of fluorescent and non-fluorescent particles were derived from the scattering intensity measurements obtained with a continuous-wave 635 nm diode laser (O'Connor et al., 2013). Previous studies have proposed determining 1 σ —the background fluctuation in the absence of particles—and using 3 σ or 9 σ signal levels as a baseline threshold to distinguish between fluorescent and non-fluorescent particles (Crawford et al., 2015; Perring et al., 2018). In this study, we used 3 σ as the threshold value. Fluorescent Polystyrene latex particles of 2 μ m (PSL, G0200, Thermo Fisher Scientific, Waltham, MA, USA) were introduced before and after the observations to check the validity of the particle size and fluorescent intensity for the instrument (Robinson et al., 2017; Savage et al., 2017). The samples for Bioplorer were collected with a flow rate of ~1 L min⁻¹ directly onto gold-coated membrane filters for 1-2 hr (pore size: 0.4 μ m, KB-VKF02, Koyo Sangyo Co., Ltd., Tokyo, Japan) where epifluorescence detection was conducted. Bioplorer was used as a fluorescence microscope to detect biological particles with higher selectivity, and the counting of fluorescent spots upon UV excitation was automated. Apparatus performance has been previously validated using marine bacteria (Nishimura et al., 2006; 2008). The obtained samples were stained with 4',6-diamidino-2-phenylindole (DAPI, KB-VKR01 and KB-VKR03, Koyo Sangyo Co., Ltd., Tokyo, Japan) and Hoechst 33342 (Dojindo Laboratories, Mashiki, Japan) to increase the efficiency in biogenic fluorescent particle detection. After staining for two minutes, the samples were rinsed with Milli-Q water three times, and then measured with Bioplorer.

For chemical composition analysis, a high-volume air sampler (HVS, 120SL, Kimoto Electric Co., Ltd., Osaka, Japan) was installed on the deck and particle less than 2.5 micrometers in diameter (PM_{2.5}) were collected onto a quartz filter using an impactor (HVI-2.5, Tokyo Dylec Co., Tokyo, Japan) at a flow rate of ~740 L min⁻¹ during 2–3 days. To prevent contamination from ship exhaust, the pump stopped automatically when the wind direction deviated more than ±75° from the bow direction or when the wind speed fell below 2 m s⁻¹. The samples were stored at -20 °C in a freezer. The mass concentrations of ionic species (NH₄⁺, Na⁺, K⁺, Ca²⁺, Mg²⁺, Cl⁻, NO₃⁻, and SO₄²⁻) in PM_{2.5} were obtained by ion chromatography (ICS-1000, Dionex Co., CA, USA). The mass concentrations of organic carbon (OC) and elemental carbon (EC) in PM_{2.5} were obtained using a thermal/optical carbon analyzer (DRI model 2001, Desert Research Institute, Reno, NV, USA) with the Interagency Monitoring of Protected Visual Environments (IMPROVE) protocol. The size distribution of aerosol particles was measured with an optical particle counter (OPC, KR-12A, Rion, Kokubunji, Japan). Ozone (O₃) and carbon monoxide (CO) concentrations were also measured with UV (Model 49C, Thermo Fisher Scientific, Waltham, MA, USA) and nondispersive infrared sensors (Model 48C, Thermo Fisher Scientific, Waltham, MA, USA) (Kanaya et al., 2019). To avoid contamination from ship exhaust, the data points from the online measurements were screened using the same criteria that were applied to the operation of the pump of the high-volume air sampler.

Surface seawater sampling for investigation of TEPs, CSPs, phytoplankton pigments, and nutrients concentration was conducted using a bucket at 15 stations in the cruise (Table S1). For the analysis of TEPs and CSPs, 200 mL of seawater out of ~10 L collected in a bucket was filtered onto a Whatman 0.4 µm Nuclepore hydrophilic polycarbonate membrane filter (Cytiva, Tokyo, Japan) where the particles were retained. By repeating this procedure, sample filters were made in triplicate. For TEPs, 1 mL of Alcian blue staining solution, adjusted to pH 2.5, was added to the filter and the filter was rinsed three times with 1 mL of Milli-Q water after 4 seconds of staining. Filters were soaked for 2–5 h in 6 mL of 80 % sulfuric acid to elute the dye and the absorbance of the solution was measured at a wavelength of 787 nm. The calibration curve was produced using a xanthan gum solution (XG, Sigma-Aldrich Co. LLC, St. Louis, MO, USA) as a standard before and after observation, and the TEP concentrations were reported as XG equivalent (Passow and Alldredge, 1995). For CSPs, 1 mL Coomassie Brilliant Blue staining solution was added to the filter, which was then rinsed five times with 1 mL of Milli-Q water after 1 minute. Filter samples were soaked for 2 h in 4 mL of 3 % sodium dodecyl sulfate in 50 % isopropyl alcohol with ultrasonic extraction to elute the dye and the absorbance of the solution was measured at a wavelength of 615 nm. The calibration curve was calculated using bovine serum albumin (BSA, Sigma-Aldrich Co. LLC, St. Louis, MO, USA) as a standard before and after observation, and the CSP concentrations were reported as BSA equivalent (Cisternas-Novoa et al., 2014). Seawater samples were filtered onto a Whatman GF/F filter (Cytiva, Tokyo, Japan) and extracted in N, N-dimethylformamide for the measurement of phytoplankton pigments. The measurements were conducted with a fluorometer (model 10-AU, Turner Designs, Inc., San Jose, USA) for Chl-*a*, and a high-performance liquid chromatography (HPLC) system (Agilent, Santa Clara, CA, USA) for biomarker pigments. The relative contribution of each phytoplankton group was calculated by using the chemotaxonomy program CHEMTAX (Mackey et al. 1996) with the initial pigment ratios shown by Araujo et al. (2017) compiled for the subtropical region. Nutrient analyses were performed using a continuous segmented flow analyzer (QuAAtro

2-HR, BL TEC K.K., Tokyo, Japan). Meteorological parameters at the sea surface, such as wind speed (WS) and sea surface temperature (SST), measured by R/V *Mirai* monitoring system were employed for the analysis.

Surface seawater samples were also collected at the same time and stored in a freezer at $-20\text{ }^{\circ}\text{C}$ for bacteria number density measurements. Abundances of marine bacteria including both autotrophic picocyanobacteria and heterotrophic bacteria were determined. The samples were collected using a bucket, fixed with glutaraldehyde to a final concentration of 1 % and preserved at $-80\text{ }^{\circ}\text{C}$ until analysis. Marine bacteria were stained with SYBR Green I DNA stain (Thermo Fisher Scientific, Waltham, MA, USA) for 15 minutes in the dark (Marie et al., 1997), and counted using flow cytometry (EC800, Sony Biotechnology Inc., Japan) against the side scatter signal from the green fluorescence.

3 Results and Discussion

10 3.1 Trajectories, gases, and aerosol chemical composition

Five-day backward trajectories of air parcels were calculated using NOAA's HYSPLIT model (Stein et al., 2015) from a starting altitude of 500 m to classify the observed air masses. The used meteorological field was the Global Data Assimilation System with $1^{\circ}\times 1^{\circ}$ resolution (GDAS1) by the National Center for Environmental Prediction (NCEP) analyses. The results along the cruise track (Figs. 1a and 1b) indicate that oceanic air masses were dominant from 6 to 18 March 2019 (Period 1) and long-range transport from the continent of Asia was also prominent between 19 and 25 March 2019 (Period 2). We identified four different zones with contrasting levels of the nutrient and Chl-*a* concentrations: The South Pacific subtropical region (SP), equatorial upwelling region (EQ), North Pacific subtropical region (NP), and south of the Kuroshio Extension (KR). Figure 1c showed the location of the ship during the cruise observation. Figure 2 shows the time series of 1h averaged meteorological parameters (temperature, relative humidity, wind direction, and wind speed) and O₃ and CO concentrations. The O₃ concentration increased gradually (to ~ 30 ppb) after 13 March when the ship entered the Northern Hemisphere and increased again to ~ 45 ppb on 19 March, while the CO concentration increased slightly on 19 March and then increased considerably at the end of the observation period (22–23 March). These results support our classification of air masses into Periods 1 and 2. Bourgeois et al. (2020) studied ozone concentrations over the similar latitudinal range and season and reported that the concentration increased in the north of $\sim 10^{\circ}$ N, further north than $\sim 5^{\circ}$ N for this study. It is likely that a strong northeasterly wind efficiently carried air masses with relatively high concentrations of ozone down to 5° N during our study period.

Figure 3 shows the mass concentrations and mass fractions of EC, OC, inorganic components, and sea salt (SS) from the PM_{2.5} samples. Mass concentrations of SS and non-sea salt sulfate (nss-sulfate) were calculated using standard seawater composition equations (Warneck, 1999) and Na⁺ concentrations. OC, SS, and nss-sulfate were the major components of our samples. Mass fractions of OC, SS, and nss-sulfate were 38 %, 30 %, and 25 %, respectively during Period 1 when oceanic air masses were dominant, and were 48 %, 18 %, and 26 %, respectively, during Period 2. The high concentrations of OC and SS

in the oceanic air mass suggest that organic matter from marine ecosystems at the sea surface may have been ejected into the atmosphere with sea spray particles under the high wind conditions on 12–14 March 2019.

3.2 Temporal variation and types of fluorescent aerosol particles

Figure 4 shows the temporal variation of the 1h averaged number concentrations of FAPs and total (fluorescent and non-fluorescent) particles larger than 1 μm measured with WIBS-4A (Fig. 4a) and the relative fractions of classified fluorescent particles (Fig. 4b). The average number concentrations of the FAPs (and their fractions relative to the total number concentrations of particles) were 35 particles L^{-1} (1.8 %) for the entire study period, 30 particles L^{-1} (1.3 %) for Period 1, and 50 particles L^{-1} (2.6 %) for Period 2. Eighty nine percent of the FAPs belonged to Types A (62 %), B (20 %), or C (7 %), which emitted fluorescence in a single wavelength band. For Period 2, the fractions of Type B particles (30 %) and the types of particles emitting fluorescence in multiple bands (AB, AC, BC, and ABC; 19 %) were higher than those for Period 1 (B: 17 %, AB+AC+BC+ABC: 9 %), implying that the fluorescent properties of particles in Periods 1 and 2 were essentially different (Fig. 4b). Figure 4c shows strong correlation between the time series of Types A and C particles ($R: 0.74$), and weak correlations between Types B and A, and between Types B and C ($R \approx 0.3$). A previous study characterizing fluorescence patterns of a variety of bioaerosols (bacteria, fungi, spore, and pollen) using laboratory experiments showed that Type A particles originated from bacterial and fungi species (Hernandez et al., 2016). Similar results were found with marine bacteria (Santander et al., 2021). Therefore, we infer that bacteria (and fungi, if present) at the sea surface were transported into the atmosphere by wind and were detected as fluorescent particles in the atmosphere. Type B particles increased at the end of the observation (Period 2), and the response with the wind was different from the behavior of types A and C. Effects from continental air masses during Period 2 (Fig. 1) or changes in the influential marine biota as aerosol sources were suspected. Detailed discussion is given in section 3.4.

Number size distributions of fluorescent particles have been reported for different marine and terrestrial environments. At forest, mountain, and urban terrestrial sites, previous studies have commonly suggested the dominance of super-micron (2–5 μm) fluorescent particles (Gabey et al., 2010; 2011). Results obtained above the ocean are more diverse; Wilson et al. (2015) suggested the dominance of relatively small particles around 1 μm while Creamean et al. (2019) indicated that coarse particles of 2–4 μm were dominant; Mason et al. (2015) reported that both fine ($D_p < 1.0 \mu\text{m}$) and coarse (1.8–3.2 μm) mode particles were present. Our results show that most FAPs had a peak at 1–2 μm (Fig. S1) with the exception of Type ABC particles, indicating that the FAPs may have mainly consisted of relatively small particles that have yet to experience aggregation or growth. These small FAPs may be related to the most of marine bacteria detected by flow cytometry, which also exhibited as $< 2 \mu\text{m}$. The size was slightly smaller than that reported for the type A particles (identified as bacteria), $\sim 2\text{--}3 \mu\text{m}$, generated with coastal seawaters (Santander et al., 2021). Correlations of the time series of fluorescent particle abundance with biogenic indicators in surface seawater are discussed in Sect. 3.4 in detail.

The emission of primary particles and the mass fraction of organic matter from the sea surface increase with wind speed (Carlson, 1983; Gantt et al., 2011). Our results also show correlation between the number concentration of FAPs and wind

speed. On 12 March, the number concentration of FAPs increased from ~10 to ~30 particles L⁻¹ when wind speed increased from ~4.8 to 13.5 m s⁻¹ (Fig. 4c). The number concentrations of ambient particles with size ranges of 0.3–0.5, 0.5–0.7, 0.7–1, 1–2, 2–5, and 5.0 μm measured with OPC (Fig. 4d) also increased after 12 March 2019. The number concentrations of particles smaller (and larger) than 1 μm increased from 874±552 (and 913±680) cm⁻³ during 10–12 March to 6903±650 (and 7436±2180) cm⁻³ during 12–14 March. The mass fraction of SS was particularly high (56 %), and SS and OC accounted for 90 % of the mass for this period. The ratio of large particles (Dp>2.0 μm) to small particles (Dp<2.0 μm) increased considerably (Fig. 4e). These results indicate that organic matter, and FAPs in particular, were transported efficiently from the ocean surface to the atmosphere under high wind conditions.

Figure 5 shows the number concentrations of Types A, B and C particles (Fig. 5a), and Types AB, AC, BC, and ABC particles (Fig. 5b) from WIBS-4A and Bioplorer (DNA nuclear staining method) measurements. The correlation coefficients between the number concentrations obtained from the two methods were very high (R>0.80, Table 2) for Types A, B and C particles during both Periods 1 and 2 and under high and low wind speeds. The strong correlation between the number concentrations of fluorescent particles from WIBS-4A and biogenic fluorescent particles identified by DNA nuclear staining confirms online measurements based on autofluorescence as a reliable method of bioaerosol detection. Figure 5a shows that the total number concentrations of Types A, B, and C particles from WIBS-4A were almost in the same range as those of biological particles from Bioplorer. Figure 5b shows that number concentrations from WIBS-4A were smaller than those from Bioplorer for the particles emitting fluorescence in multiple bands (Types AB, AC, BC and ABC). Although the samples for the Bioplorer were not measured repeatedly, the random uncertainty of the count was estimated to be 6%, assuming that the count followed the Poisson distribution. Given the large uncertainties associated with the differences between the two measurement methods, such as detected size range and detectivity near the 3σ threshold, it is difficult to have a meaningful discussion about differences between measured values when the values are within a factor of ~2 of each other.

3.3 Concentrations of nutrients, Chl-*a*, bacteria, and organic substances in the surface seawater

To characterize the oceanic conditions in the study region, time series of concentrations of nutrients (nitrate, ammonium, and phosphate), Chl-*a*, bacteria, TEPs and CSPs from the surface seawater are shown in Figure 6. The data were available for the EQ, NP, and KR regions (except for SP; see Sect. 3.1 for details of the regions). The analytical precisions of the nutrients and Chl-*a* concentrations were all <1%. For bacteria, ranges are shown for samples where duplicate measurements were made (Fig. 6c). For TEP and CSP, error bars represent one standard deviation for the repetitive analysis (Figs. 6d and 6e). They were all small enough to regard that their natural variations were captured by the observations.

Nutrient concentrations were high in the EQ region, low in the NP region (especially nitrate was almost depleted throughout this region), and slightly increased again in the KR region on 22 March. In detail, concentrations in the KR region (nitrate: ~0.30, phosphate: ~0.07, ammonium: ~0.06 μmol L⁻¹) were higher than those in the NP region (nitrate: ~0.03, phosphate: ~0.05, ammonium: ~0.03 μmol L⁻¹). Concentrations of Chl-*a* and CSPs also responded to two of the peaks in nutrient

concentration (Fig. 6a), with large increases in the KR region and smaller increases in the EQ region, but with different magnitudes (Figs. 6b, 6e). On the other hand, variations of bacteria and TEP concentrations were different from those of Chl-*a* and CSP concentrations; with high concentrations in the EQ region and no large increases in the KR region (Figs. 6c, 6d). In general, the biological activity of marine ecosystems with primary production is highly dependent on nutrients and SST (Engel et al., 2015). However, our results indicate that factors controlling Chl-*a* and CSP variations were different from those controlling bacteria and TEP variations. The correlations between concentrations of marine biota (represented by Chl-*a* and bacteria) and biogenic organic particles (represented by TEPs and CSPs) are shown in Fig. S2. Chl-*a* is a direct indicator of phytoplankton biomass and is therefore widely used to assess biological activities of marine ecosystems in studies involving in situ and satellite observations. While previous studies reported a good correlation between Chl-*a* (indicating the presence of phytoplankton) and TEPs, which are produced from phytoplankton exudations (Wurl et al., 2008; Zamanillo et al., 2019), our results indicate only moderate correlation ($R \approx 0.4$) because TEP production may be enhanced under nutrient-limited condition as opposed to primary production. It has been reported that in case of some diatoms and also picocyanobacteria, TEP production increases in the nutrient-poor waters (Passow, 2002; Gärdes et al., 2012, Deng et al. 2016). The values of ratio of TEP/Chl-*a* in this study (Figure 6d) was actually higher in the NP region, suggesting that phytoplankton in the nutrient-limited condition had higher TEP production per cell. By contrast, CSP concentration was strongly correlated with Chl-*a* concentration ($R: 0.81$), particularly in the KR region, while the correlation between CSP and TEP concentrations was weak ($R: 0.43$). This suggests that CSPs may be governed by factors and cycling dynamics that are different from those for TEPs (e.g., degradation). It should be noted that the analysis was made with a limited number of data points. If the last data point during the cruise was omitted where both terrestrial and biogenic sources seemed to contribute, the correlations among all biological indicators (TEP, CSP, Chl-*a*, bacteria) were not remarkable ($R: \sim 0.6$). As it has been shown that there is a high correlation between TEP concentration and *Synechococcus* abundance in the oligotrophic ocean (Zamanillo et al., 2019), the contribution to the formation of marine gel particles will vary among phytoplankton communities. Our cruise observation was conducted over several ocean regions, and nutrient concentrations (Fig. 6a) and phytoplankton community composition (Fig. S5) varied widely. Such variations may have reduced the relationships between the bioindicators in the entire observation area.

25 **3.4 Association of marine biota to the formation of bioaerosols in the atmosphere**

We identified the parameters indicating biological activity (Chl-*a* and bacteria) or related substances (TEPs and CSPs) in the surface seawater that are associated with the abundance of FAPs as a proxy of bioaerosols. The association would indicate either the substances from surface seawater directly participated in bioaerosol emission processes and thereby integrated into the generated bioaerosols or that the substances are just useful as proxies to describe bioaerosol abundance. Because such proxies can be of use in the parameterization of numerical models, we developed equations to derive bioaerosol abundance from several oceanic parameters. The number of primary particles, especially organic matter, released from the ocean surface, is generally known to be influenced by the ocean surface environment, wind speed (WS), and SST (Gantt et al., 2012). The SSA flux is often approximated with a power law equation with WS at the altitude of 10 m (U_{10}) and an exponent of 3.41

(Monahan et al., 1986; de Leeuw et al., 2011) or 3.5 (Grythe et al., 2014). There have been fewer studies of power law equations of SSA number concentrations in the atmosphere at the sea surface. These studies have reported exponent ranges of 0.68 (observations) to 1.62 (model) (Jaegle et al., 2011), 2.1 to 2.8 (Ovadnevaite et al., 2012), and 2.8 (Saliba et al., 2019). To consider the effect of wind speed on particle number concentration, we assumed an exponent of 1 in this study. When an exponent of 2 was used, the results remained almost unchanged (see Fig. S4 and bottom two lines of Table 2).

Our analysis included the major types of bioaerosols measured with WIBS-4A. Because our results show a strong correlation between Types A and C particles, we analyzed all Types A and C particles together (Type A+C). We also analyzed Type B particles. Figures 7a–7j show the temporal variations of the number concentrations of the bioaerosols (Types A+C and B) measured in the WIBS-4A, wind speed, and concentrations of different bioindicators: Chl-*a*, bacteria, TEPs, and CSPs. There was a robust positive correlation between number concentrations of Type A+C particles and WS; the correlation coefficient even increased to 0.85 for Period 1 when the oceanic air mass was dominant (11 data points are available for this period) (Figs. 7a and 8a and Table 3). By contrast, correlation between number concentration of Type B particles and WS was weak (R: 0.36). The number concentrations of bioaerosols showed a weak negative correlation with SST (Fig. 8b).

Figures 8c–8f show correlations between number concentrations of atmospheric bioaerosols measured with WIBS-4A and products of wind speed and concentrations of different bioindicators. Number concentrations of Type A+C particles showed strong correlations with the product of WS and bacteria concentration (R: 0.80) and the product of WS and TEP concentration (R: 0.85); these trends are similar to those found in the correlations between particle number concentrations and WS only. Correlation coefficients between number concentrations of Type B particles and the product of WS and bacteria concentration (R: 0.83) and the product of WS and TEP concentration (R: 0.92) were higher than correlation coefficients between number concentrations of Type B particles and WS only (R: 0.36), or bacteria concentration only (R: –0.26), or TEP concentration only (R: –0.62) (Fig. S3, Table 3). These results suggest that TEPs and bacteria were the major components associated with the formation of atmospheric bioaerosols over the ocean. Wind uplifts the organic matter present in the ocean surface layer into the atmosphere to form bioaerosols composed of biogenic organic matter (e.g., TEPs) or their aggregates with bacteria (Aller et al., 2017). On the basis of the data, they had collected during a cruise in the Pacific Ocean, and specifically in the south of the Kuroshio Extension near Japan, Hu et al. (2017) reported a high percentage (>89 %) of viable bacteria, which suggests the possibility of in situ formation of bioaerosols driven by wind acting on the sea surface. They also reported number concentrations of bacteria of 10–250 cells L⁻¹ in the atmosphere, which is roughly consistent with our measurements of Type A particle abundance in a similar region (5.0–31.0 particles L⁻¹, Fig. 5a). Flow cytometry studies reported bacteria concentration levels in seawater of (6–9)×10⁵ cells mL⁻¹ in the equatorial region, (3–6)×10⁵ cells mL⁻¹ in the subtropical Pacific region (Landry and Kirchman, 2002), and (2.3–7.4)×10⁵ cells mL⁻¹ in the subtropical Pacific region (Campbell et al., 1997). These results are also consistent with the bacterial abundance of (2.5–5.1)×10⁵ cells mL⁻¹ that we found in our study.

We also found that the correlation coefficients between the number concentrations of Type B particles and the product of WS and Chl-*a* concentration (R: 0.32) or the product of WS and CSP concentration (R: 0.58) were larger than the correlation coefficients between the number concentrations of Type B particles and Chl-*a* or CSP concentration only (Table 3). Results

from high-performance liquid chromatography indicate high abundance of picocyanobacteria such as *Prochlorococcus* and *Synechococcus* ($D_p < 2 \mu\text{m}$) in the EQ region (33–42 %) and the NP region (63–89 %). By contrast, there was high abundance of other nano- and microplankton ($2\text{--}20 \mu\text{m}$ and $>20 \mu\text{m}$, respectively) in the KR region (36–47 %) during Period 2 (Fig. S5). Smaller phytoplankton containing Chl-*a* might have been directly uplifted by the wind and detected as bioaerosols in the EQ and NP regions.

Correlation coefficients between number concentrations of Type A+C particles and product of WS and TEP concentration or product of WS and bacteria concentration were lower for the entire study period ($R: 0.54\text{--}0.83$) than for Period 1 alone ($R: 0.80\text{--}0.85$). A similar trend was found for the correlation coefficients between the number concentrations of Type B particles and product of WS and TEP concentration or product of WS and bacteria concentration ($R: 0.26\text{--}0.41$ for entire study period, $R: 0.83\text{--}0.92$ for Period 1). This suggests that components other than TEPs and marine bacteria may have contributed to the measurements obtained from WBS-4A during Period 2. On the contrary, correlation coefficients between number concentrations of atmospheric bioaerosols (Types A+C or B) and product of WS and CSP concentration were higher for the entire study period ($R: 0.60\text{--}0.83$) than for Period 1 alone ($R: 0.49\text{--}0.58$). Number concentrations of Type B particles increased during Period 2 (Figs. 7 and 8), suggesting that in addition to TEP and bacteria, CSP also made large contributions as bioaerosols in the atmosphere. Unlike Period 1, there was little correlation between the temporal variation of fluorescent particle number concentrations near land and the increase or decrease of wind speed (Figs. 7a and 7f). Mayol et al. (2017) found that 33–68 % of fluorescent particles were of oceanic origin while the remainder was of terrestrial origin; these results apply even to areas over the Pacific Ocean that are far from continents and islands, suggesting possible long-range transport of bioaerosols of terrestrial origin in addition to in situ oceanic bioaerosol formation. The influence on the marine atmosphere of bioaerosols originating from continents and islands will be the subject of future studies.

Finally, from the results of our correlation analysis, we developed equations by the orthogonal regression lines to derive the number concentrations of total atmospheric bioaerosols y (including Types A, B, C, AB, AC, BC, and ABC particles) in the atmosphere at the sea surface for Period 1 (Fig. 9):

$$y \text{ (particles L}^{-1}\text{)} = (0.076 \pm 0.014) \cdot [\text{TEP, } \mu\text{g XGeq L}^{-1}] \cdot \text{WS (m s}^{-1}\text{)} + (5.4 \pm 4.1) \text{ (R: 0.88)} \quad (1)$$

$$y \text{ (particles L}^{-1}\text{)} = (0.0052 \pm 0.0013) \cdot [\text{bacteria, cells } \mu\text{L}^{-1}] \cdot \text{WS (m s}^{-1}\text{)} + (9.3 \pm 4.8) \text{ (R: 0.80)} \quad (2)$$

and

$$y \text{ (particles L}^{-1}\text{)} = (20.0 \pm 19.0) \cdot [\text{Chl-}a, \text{mg m}^{-3}] \cdot \text{WS (m s}^{-1}\text{)} + (0.29 \pm 25) \text{ (R: 0.47)} \quad (3)$$

where [TEP], [bacteria], [Chl-*a*] represent the concentration of TEP, bacteria, and Chl-*a* in the surface seawater for Period 1.

Although the correlation coefficient between the number concentrations of atmospheric bioaerosols and Chl-*a* concentration was lower than that between the number concentrations of atmospheric bioaerosols and TEP or bacteria concentration, Eq. (3) would be more useful than Eqs. (1) and (2), as Chl-*a* data are much more easily available than data on TEPs or bacteria. These equations can be used to derive bioaerosol number concentrations over the remote Pacific Ocean to study the composition of organic aerosols and also to validate atmospheric chemistry models where other parameterizations are used. With further clarification of the relationship between INP and bioaerosol number densities, INP number densities may also be derived from

these equations. Uncertainties in the observation data and equations include random errors such as temporal variation and from measurements, and systematic errors due to the instruments. Among these, the random uncertainties (TEP, CSP, and WIBS data) are shown as error bars in the figures. It should also be noted when using the equations that additional systematic uncertainty of the factor of ~2 would be present considering the difference between the number densities derived from WIBS-4A and Bioplorer (see Sect. 3.2). Future studies with a larger number of samples are warranted for full validation.

The relationship between atmospheric bioaerosols over the ocean and their biogenic sources for the different oceanic regions and meteorological conditions in this study are summarized as follows:

(1) Equatorial upwelling region (6.35° S–9.25° N, 9–13 March, 2019): High nutrient and Chl-*a* concentrations led to an increase in the formation of TEPs and CSPs. However, there was no correlation between the concentrations of biogenic materials and the number of bioaerosols in the atmosphere, suggesting that organic matter remained in the surface seawater, perhaps in the sea surface microlayer, instead of being transported into the atmosphere because of low wind speeds (between 6 and 11 March).

(2) North Pacific subtropical region (13.00° N–27.00° N, 14–20 March, 2019): Low nutrient concentrations resulted in low concentrations of biogenic substances and organic matter, but the abundance of TEP production per cell was high and the fraction of smaller picocyanobacteria was also high. As a result, high wind speeds resulted in an increase in the number concentrations of bioaerosols as wind-driven transportation of TEP and bacteria from the sea surface to the atmosphere occurred efficiently. Our interpretation is consistent with Santander et al. (2021), where the dominance of bacteria in fluorescent sea spray aerosols was confirmed with laboratory-generated aerosol particles and seawater.

(3) South of the Kuroshio Extension region (30.56° N–32.25° N, 21–22 March, 2019): The uplift of biogenic and organic substances from the ocean surface to the atmosphere likely occurred under the conditions of high nutrient concentrations and high wind speeds. The contribution of terrestrial fluorescent particles might have increased.

4 Conclusions

During a research cruise over the central Pacific Ocean from Tahiti to Japan, we examined the spatio-temporal distribution of atmospheric fluorescent particles, and characterized the fluorescence patterns and number concentrations of bioaerosols. During the cruise, oceanic air masses were dominant between 6 and 18 Mar 2019 and the influence of terrestrial air masses was prominent between 19 and 25 Mar 2019. To identify potential precursors or proxies that are important to bioaerosol formation, we examined variations of Chl-*a*, bacteria, and biogenic gel organic particles (TEPs, CSPs) in the surface seawater. Number concentrations of autofluorescent particles as measured by WIBS-4A strongly correlated with wind speed, suggesting bioaerosols of oceanic origin. The dominant particles types were: Type A particles emitting fluorescence in the 310–400 nm range upon excitation at 280 nm, Type C particles emitting in the 420–650 nm range upon excitation at 370 nm (Types A+C, 69%), and Type B particles emitting in the 420–650 nm range upon excitation at 280 nm (20 %). The number concentrations of autofluorescent particles obtained from WIBS-4A agreed and covaried with those obtained from Bioplorer, which is an

automated epifluorescence measurement system based on DNA staining, confirming the performance of WIBS-4A in detecting fluorescent biological particles originated from the marine biosphere.

The number concentrations of Types A+C and B particles were strongly correlated with the product of wind speed and TEP concentration in the surface seawater and the product of wind speed and bacteria concentration in the surface seawater. Concentrations of Chl-*a* and CSPs were also moderately correlated with number concentrations of both Types A+C and B particles in the oceanic air masses. When the influence of terrestrial air mass was prominent, the correlation between Types A+C and concentrations of TEPs or bacteria became weaker. On the contrary, the correlation between fluorescent particles (especially Type B particles) and CSP concentration was stronger over the entire study period than for only the period of oceanic air mass dominance. These results suggest that in addition to TEP and bacteria, CSP also made large contributions as bioaerosols in the atmosphere. We also developed equations of number concentrations of bioaerosols in the near-surface atmosphere as functions of wind speed and biological parameters (concentrations of Chl-*a*, bacteria, and TEPs) over the central Pacific Ocean.

In this study, we have successfully linked the number concentrations of bioaerosols in the atmosphere to biogenic substances or biological activity indicators in the surface seawater by taking into account meteorological parameters over the open central Pacific. Fluorescence patterns of atmospheric particles and particle response to wind speed in oceanic air masses were different from those under the influence of terrestrial air mass. Different marine substances or biological activity indicators were found to be associated with the formation of bioaerosols. Our results suggest that TEPs aggregated with bacteria in the surface seawater could be transported into the atmosphere by wind to form bioaerosols, and that the bacteria can be detected as bioaerosols with fluorescence. Future comparative studies on the origin and behavior of bioaerosols should be conducted at sites under considerable terrestrial influence or where substances of mixed terrestrial and marine origins are present and well characterized.

Data availability

The data discussed in this manuscript are available through the following websites.

Cruise data: <https://doi.org/10.17596/0001976>, JAMSTEC (2019) MIRAI MR18-06 Leg4 Cruise Data and PANGAEA data repository– Data Publisher for Earth & Environmental Science: <https://doi.pangaea.de/10.1594/PANGAEA.xxxxxx>

Author contributions

KK and YK designed the research. KK, KM, and FT performed the cruise observation, data collection, and data analysis with the contribution with TM and YK. KK wrote the manuscript and all co-authors provided comments to improve the manuscript.

Competing interests

The authors declare that they have no conflict of interest.

Acknowledgments

We acknowledge assistance from captain and crews of cruises and support from Marine works Japan, Ltd. and Nippon Marine
5 Enterprise, Ltd. for R/V *Mirai*. This research has been supported by the Ministry of Education, Culture, Sports, Science and
Technology (MEXT) and the MEXT/JSPS KAKENHI (grant number No.JP18H04143). We thank Tina Tin, PhD, from Edanz
Group (<https://en-author-services.edanz.com/ac>) for editing a draft of this manuscript.

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Table 1. Summary of observations during the cruise (*batch sampling/offline analysis).

Observed parameters	Instruments	Time resolution	Number of data/samples
Fluorescent particles	WIBS-4A	See Text	456
Ozone, CO	Ozone monitor CO monitor	1 hr	302
Number-size distribution	OPC	1 hr	234
*Chemical composition	High-Volume air sampler	24-72 hr	9
*Biological particles	Bioplorer	(1-2 hr, sampling duration)	10
* TEP, CSP, Bacteria, Chl-a, and Nutrients (surface seawater)	See Text	See Text	15

Table 2. Correlation coefficients between number concentrations of fluorescent aerosol particles (FAPs) obtained by WIBS-4A (autofluorescent) and biological particles obtained by Bioplorer (DNA nuclear stain).

Type	All Period	Marine
A	0.85	0.89
B	0.82	0.88
C	0.80	0.82
AB	0.86	0.86
AC	0.09	0.27
BC	0.61	0.61
ABC	0.71	0.80
All	0.89	0.89

Table 3. Correlation coefficients between number concentrations of fluorescent aerosol particles (FAPs) in the atmosphere and wind speed (WS) and/or bioindicator (I) concentrations when oceanic air masses were dominant.

FAPs vs	Type	Wind	Chl- <i>a</i>	Bacteria	TEP	CSP
I	A+C	0.85	-0.24	-0.17	-0.56	-0.51
	B	0.36	-0.45	-0.26	-0.62	-0.52
I * WS	A+C		0.45	0.80	0.85	0.49
	B		0.32	0.83	0.92	0.58
I * WS ²	A+C		0.55	0.80	0.85	0.49
	B		0.45	0.92	0.83	0.59

Table 4. Same as Table 2, but when the influence of terrestrial air masses was prominent.

FAPs vs	Type	Wind	Chl- <i>a</i>	Bacteria	TEP	CSP
I	A+C	0.80	0.30	-0.21	-0.23	0.07
	B	0.76	0.73	-0.25	0.00	0.63
I * WS	A+C			0.54	0.83	0.60
	B			0.26	0.41	0.83
I * WS ²	A+C			0.71	0.79	0.63
	B			0.21	0.30	0.72

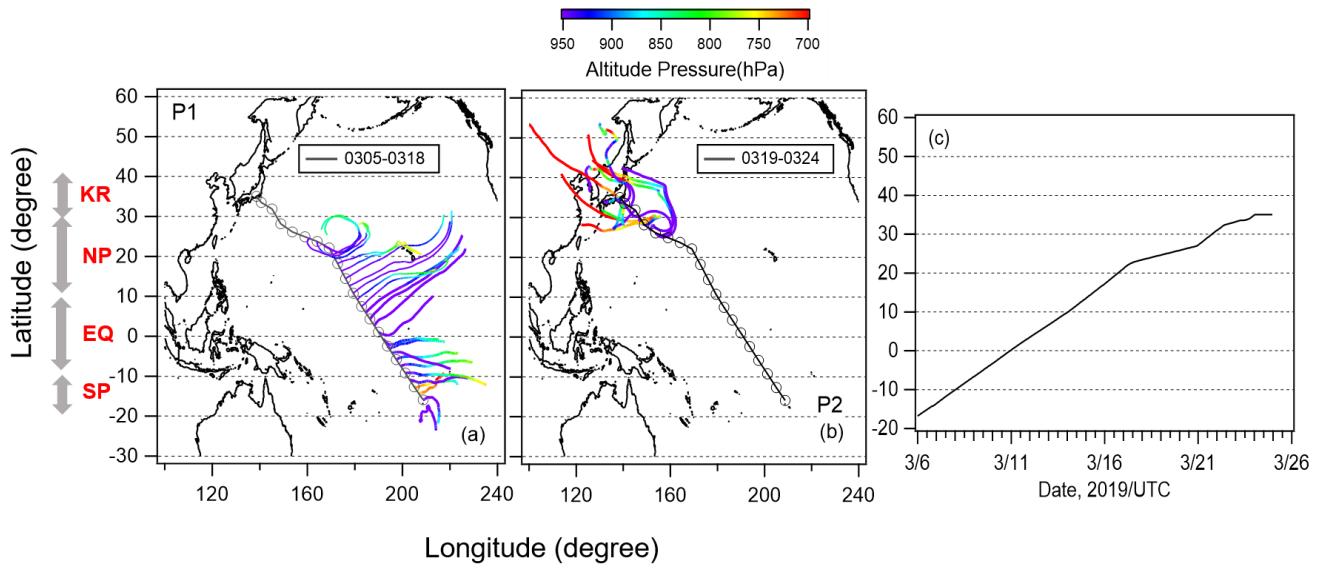
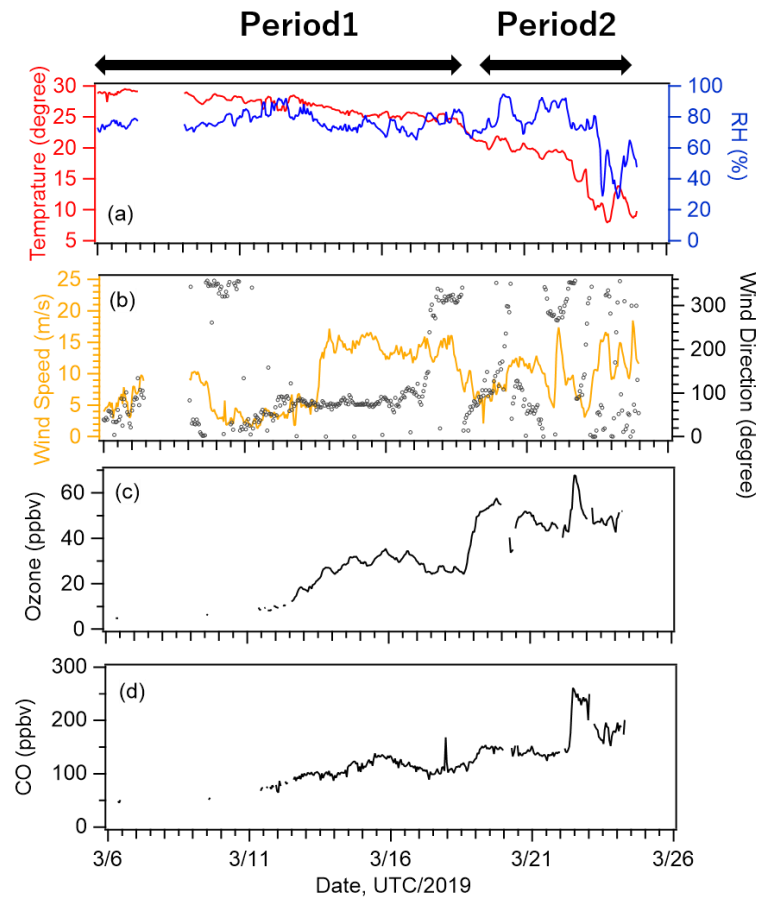


Figure 1. Five-day backward trajectories of air particles along with the cruise track initiated twice a day (0600 and 1800 UTC) for (a) Period 1 (5–18 March 2019), and (b) Period 2 (19–24 March 2019). Open cycle markers represent the ship position during the observation. Color on trajectories show air parcel altitude. (c) Ship position during study period.



5 **Figure 2.** Time series of meteorological parameters: (a) air temperature and relative humidity, (b) wind speed and direction. Time series of concentrations of (c) ozone and (d) carbon monoxide.

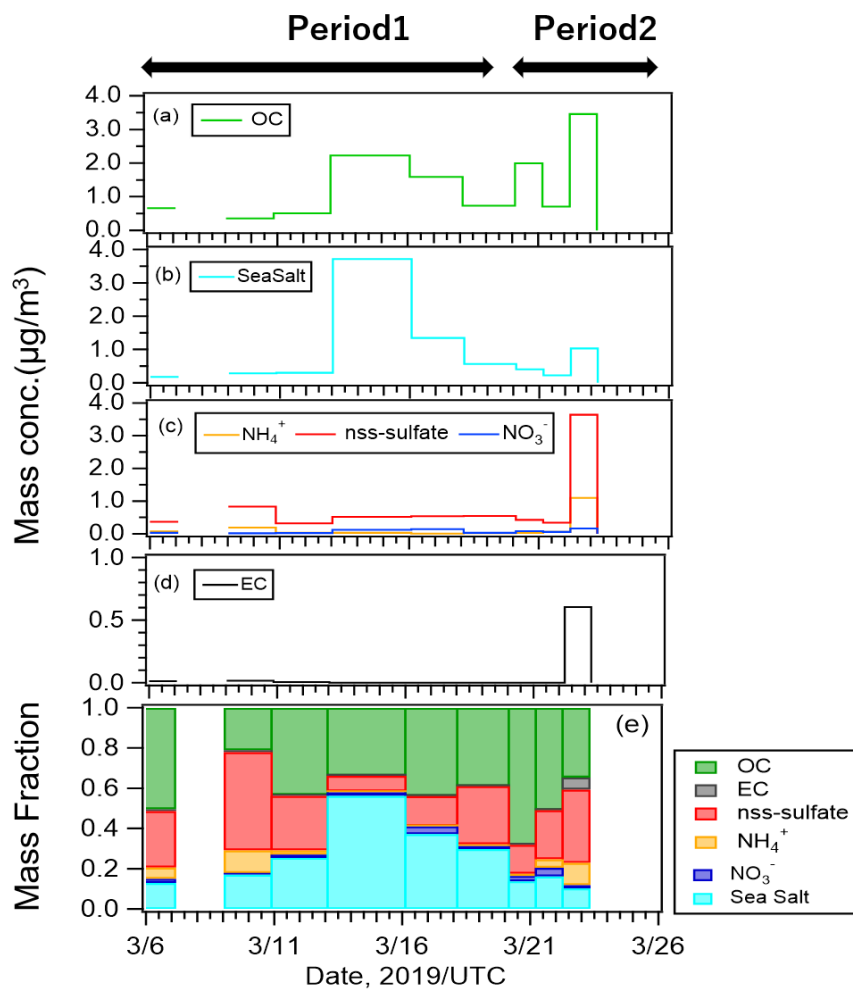
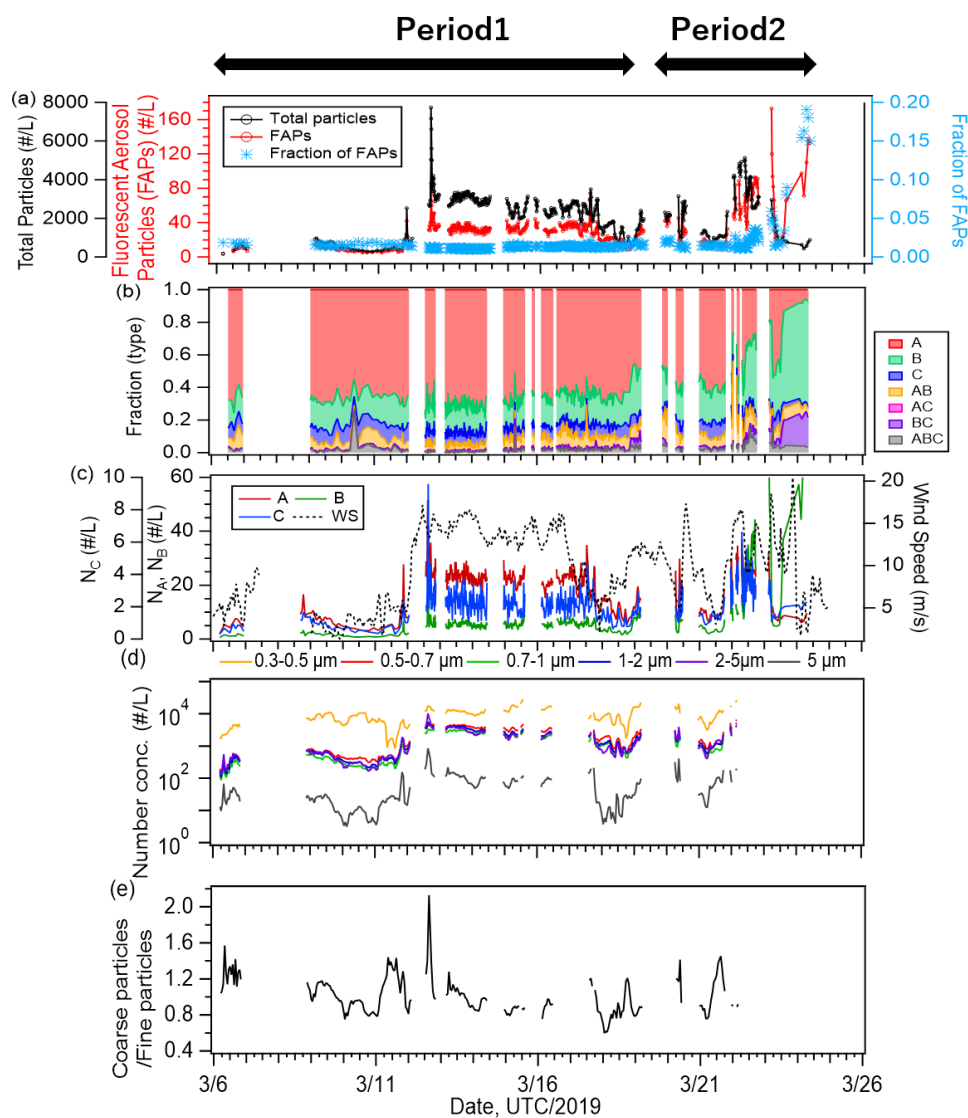


Figure 3. Mass concentrations of (a) organic carbon (OC), (b) sea salt, (c) inorganic compounds, (d) elemental carbon (EC).

5 And (e) mass fractions of all components.



5 **Figure 4.** Time series of (a) number concentrations of all particles (black line) and fluorescent aerosol particles (FAPs, red line), and number fractions of FAPs (blue marker), (b) relative fractions of types, and (c) number concentrations of Types A, B, and C (second axis) particles and wind speed (dashed line). Time series of (d) size-resolved number concentrations of ambient particles, (e) ratio of number concentration of particles larger than 2 μm to number concentration of particles smaller than 2 μm .

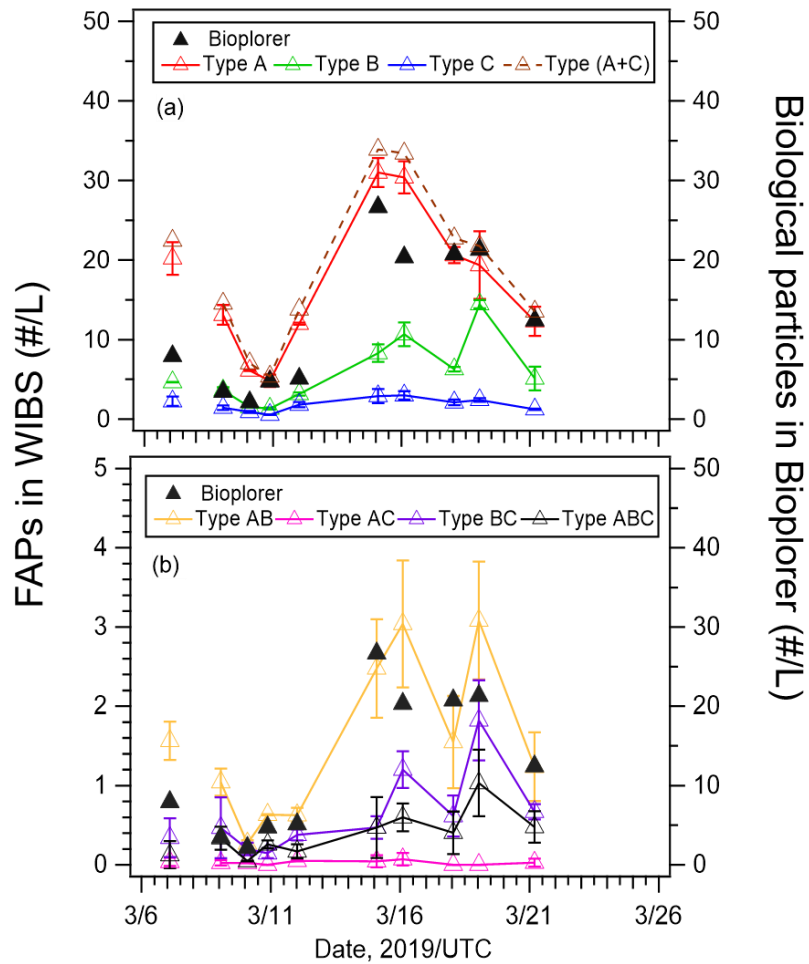


Figure 5. (a) Number concentrations of Types A, B, C and A+C fluorescent aerosol particles (FAPs), and (b) number concentrations of Types AB, AC, BC, and ABC fluorescent aerosol particles from WIBS-4A (left axis) and biological particles from Bioplorer (right axis) measurements. The error bars represent one standard deviation.

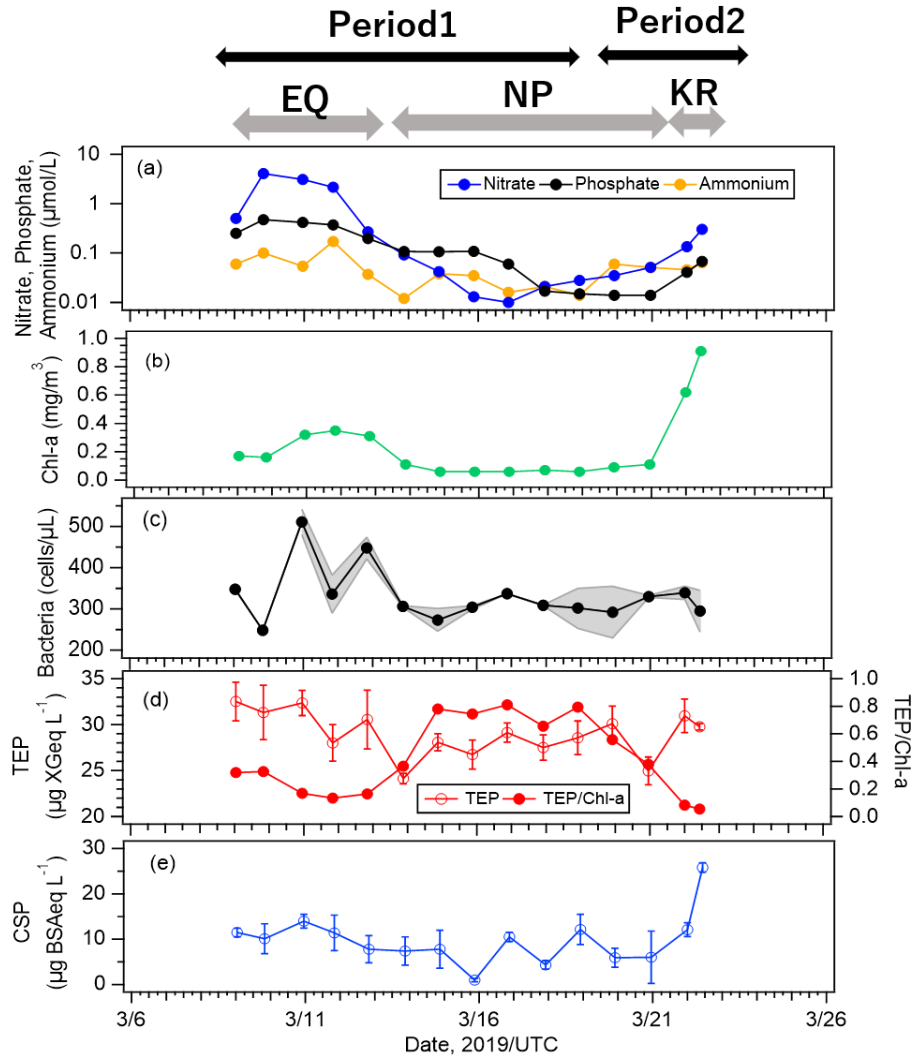


Figure 6. Time series of concentrations of (a) nutrients, (b) Chl-*a*, (c) bacteria, (d) TEPs and normalized TEP obtained by dividing TEP concentration by Chl-*a* concentration, and (e) CSPs at the equatorial upwelling region (EQ), North Pacific subtropical region (NP), and south of Kuroshio Extension (KR). The Y axis in Fig. 6a is displayed as logarithmic. The shade ranges in Fig. 6c are shown for samples where duplicate measurements were made. The error bars represent one standard deviation.

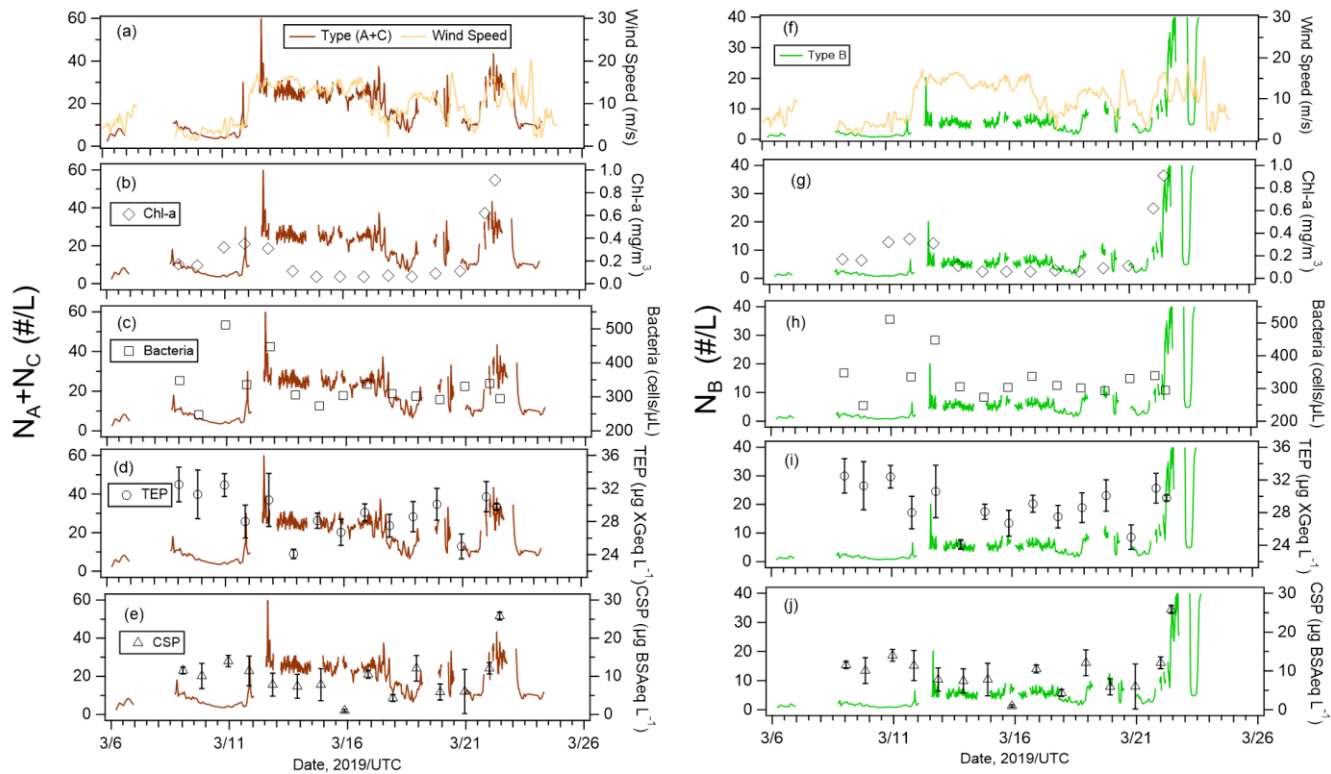
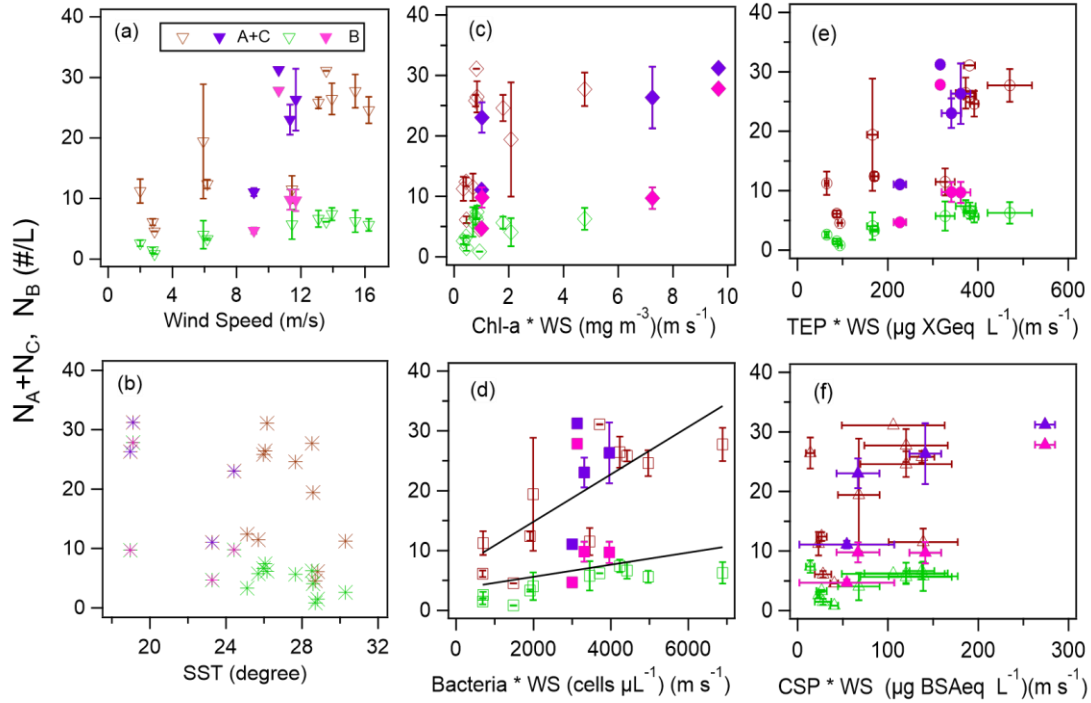
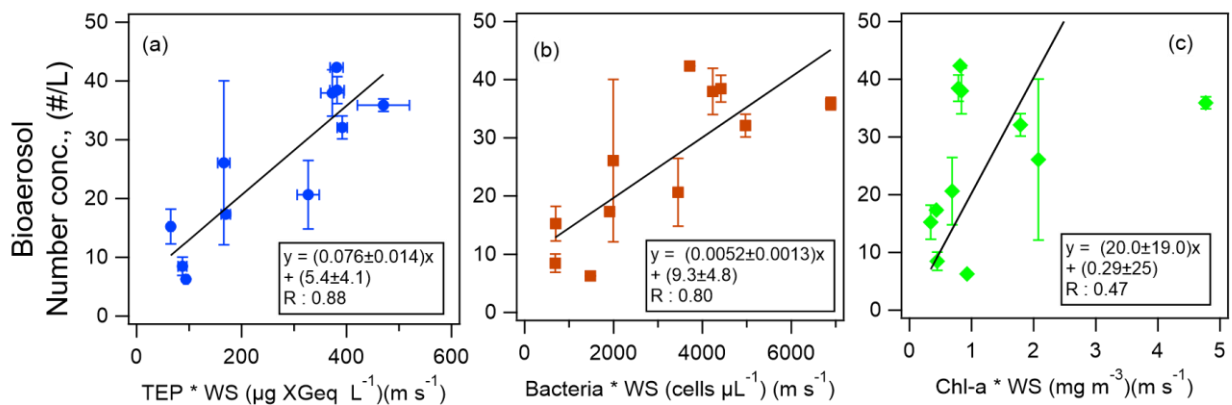


Figure 7. Time series of number concentrations of Types A+C particles and (a) wind speed, and concentrations of (b) Chl-*a*,
 5 (c) bacteria, (d) TEPs, and (e) CSPs. Time series of number concentrations of Type B particles and (f) wind speed, and
 concentrations of (g) Chl-*a*, (h) bacteria, (i) TEPs, and (j) CSPs. The error bars represent one standard deviation.



5 **Figure 8.** Number concentrations of Type A+C and Type B particles and (a) wind speed, (b) SST, (c) product of wind speed (WS) and Chl-*a* concentration, (d) product of WS and bacteria concentration, (e) product of W and TEP concentration, and (f) product of WS and CSP concentration. Open markers indicate Period 1 and solid markers indicate Period 2. The black lines in Fig. 8d represent the orthogonal regression lines for all Type A+C data and for all Type B data as an example. The error bars represent one standard deviation.



5 **Figure 9.** The scatter plots and equations of number concentrations of bioaerosols in the atmosphere as functions of the product of wind speed (WS) and the bioindicators of (a) TEP concentration, (b) bacteria concentration, and (c) Chl-a concentration. The black lines represent the orthogonal regression lines. The error bars represent one standard deviation.