



1 **Trends in secondary inorganic aerosol pollution in China and its responses to**
2 **emission controls of precursors in wintertime**

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33 **Abstract:** The Chinese government recently proposed ammonia (NH₃) emissions
34 reductions (but without a specific national target) as a strategic option to mitigate PM_{2.5}
35 pollution. We combined a meta-analysis of nationwide measurements and air quality
36 modelling to identify efficiency gains by striking a balance between controlling NH₃
37 and acid gas (SO₂ and NO_x) emissions. We found that PM_{2.5} concentrations decreased
38 from 2000 to 2019, but annual mean PM_{2.5} concentrations still exceeded 35 µg m⁻³ at
39 74% of 1498 monitoring sites in 2015-2019. Secondary inorganic aerosols (SIA) were
40 the dominant contributor to ambient PM_{2.5} concentrations. While sulfate concentrations
41 significantly decreased over the time period, no significant change was observed for
42 nitrate and ammonium concentrations. Model simulations indicate that the
43 effectiveness of a 50% NH₃ emission reduction for controlling SIA concentrations
44 decreased from 2010 to 2017 in four megacity clusters of eastern China, simulated for
45 the month of January under fixed meteorological conditions (2010). Although the
46 effectiveness further declined in 2020 for simulations including the natural experiment
47 of substantial reductions in acid gas emissions during the CoVID-19 pandemic, the
48 resulting reductions in SIA concentrations were on average 20.8% lower than that in
49 2017. In addition, the reduction of SIA concentrations in 2017 was greater for 50% acid
50 gas reductions than for the 50% NH₃ emissions reduction. Our findings indicate that
51 persistent secondary inorganic aerosol pollution in China is limited by acid gases
52 emissions, while an additional control on NH₃ emissions would become more important
53 as reductions of SO₂ and NO_x emissions progress.

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58 **1. Introduction**

59 Over the past two decades, China has experienced severe PM_{2.5} (particulate matter
60 with aerodynamic diameter ≤ 2.5 μm) pollution (Huang et al., 2014; Wang et al., 2016),
61 leading to adverse impacts on human health (Liang et al., 2020) and the environment
62 (Yue et al., 2020). In 2019, elevated PM_{2.5} concentrations accounted for 46% of polluted
63 days in China and PM_{2.5} was officially identified as a key year-round air pollutant
64 (MEEC, 2019). Mitigation of PM_{2.5} pollution is therefore the most pressing current
65 challenge to improve China's air quality.

66 The Chinese government has put a major focus on particulate air pollution control
67 through a series of policies, regulations, and laws to prevent and control severe air
68 pollution. Before 2010, the Chinese government mainly focused on controlling SO₂
69 emissions via improvement of energy efficiency, with less attention paid to NO_x
70 abatement (CSC, 2007, 2011, 2016). For example, the 11th Five-Year Plan (FYP) (2006-
71 2010) set a binding goal of a 10% reduction for SO₂ emission (CSC, 2007). The 12th
72 FYP (2011-2015) added NO_x regulation and required 8% and 10% reductions for SO₂
73 and NO_x emissions, respectively (CSC, 2011) This was followed by further reductions
74 in SO₂ and NO_x emissions of 15% and 10%, respectively, in the 13th FYP (2016-2020)
75 (CSC, 2016). In response to the severe haze events of 2013, the Chinese State Council
76 promulgated the toughest-ever 'Atmospheric Pollution Prevention and Control Action
77 Plan' in September 2013, aiming to reduce ambient PM_{2.5} concentrations by 15-20% in
78 2017 relative to 2013 levels in metropolitan regions (CSC, 2013). As a result of the
79 implementation of stringent control measures, emissions reductions markedly
80 accelerated from 2013-2017, with decreases of 59% for SO₂, 21% for NO_x, and 33%
81 for primary PM_{2.5} (Zheng et al., 2018). Consequently, significant reductions in annual



82 mean PM_{2.5} concentrations were observed nationwide (Zhang et al., 2019; Yue et al.,
83 2020), in the range 28-40% in the metropolitan regions (CSC, 2018a). To continue its
84 efforts in tackling air pollution, China promulgated the Three-Year Action Plan (TYAP)
85 in 2018 for Winning the Blue-Sky Defense Battle (CSC, 2018b), which required a
86 further 15% reduction in NO_x emissions by 2020 compared to 2018 levels.

87 Despite a substantial reduction in PM_{2.5} concentrations in China, the proportion of
88 secondary aerosols during severe haze periods is increasing (An et al., 2019), and can
89 comprise up to 70% of PM_{2.5} concentrations (Huang et al., 2014). Secondary inorganic
90 aerosols (SIA, the sum of sulfate (SO₄²⁻), nitrate (NO₃⁻), and ammonium (NH₄⁺)) were
91 found to be of equal importance to secondary organic aerosols, with 40-50%
92 contributions to PM_{2.5} in eastern China (Huang et al., 2014; Yang et al., 2011). The acid
93 gases (i.e., NO_x, SO₂), together with NH₃, are crucial precursors of SIA via chemical
94 reactions that form particulate ammonium sulfate, ammonium bisulfate, and
95 ammonium nitrate (Ianniello et al., 2010). In addition to the adverse impacts on human
96 health via fine particulate matter formation (Liang et al., 2020; Kuerban et al., 2020),
97 large amounts of NH₃ and its aerosol-phase products also lead to nitrogen deposition
98 and consequently to environmental degradation (Ortiz-Montalvo et al., 2014; Zhan et
99 al., 2021).

100 Following the successful controls on NO_x and SO₂ emissions, attention is turning
101 to NH₃ emissions as a possible means of further PM_{2.5} control (Bai et al., 2019; Kang
102 et al., 2016), particularly as emissions of NH₃ increased between the 1980s and 2010s.
103 Some studies have found that NH₃ limited the formation of SIA in winter in the eastern
104 United States (Pinder et al., 2007) and Europe (Megaritis et al., 2013). Controls on NH₃
105 emissions have been proposed in the TYAP, although mandatory measures and binding
106 targets have not yet been set (CSC, 2018b). Nevertheless, this proposal means that



107 China will enter a new phase of PM_{2.5} mitigation, with attention now given to both acid
108 gas and NH₃ emissions. However, in the context of effective control of PM_{2.5} pollution
109 via its SIA component, two key questions arise: 1) what are the responses of the
110 constituents of SIA to implementation of air pollution control policies, and 2) what is
111 the relative efficiency of NH₃ versus acid gas emission controls to reduce SIA pollution?

112 To fill this evidence gap and provide useful insights for policy-making to improve
113 air quality in China, this study adopts an integrated assessment framework. With respect
114 to the emission control policy summarized above, China's PM_{2.5} control can be divided
115 into three periods: period I (2000–2012), in which PM_{2.5} was not the targeted pollutant;
116 period II (2013–2016), the early stage of targeted PM_{2.5} control policy implementation;
117 and period III (2017–2019), the latter stage with more stringent policies. Therefore, our
118 research framework consists of two parts: (1) assessment of trends in annual mean
119 concentrations of PM_{2.5}, its chemical components and SIA gaseous precursors from
120 meta-analyses and observations; (2) quantification of SIA responses to emissions
121 reductions in NH₃ and acid gases using the Weather Research and Forecasting and
122 Community Multiscale Air Quality (WRF/CMAQ) models.

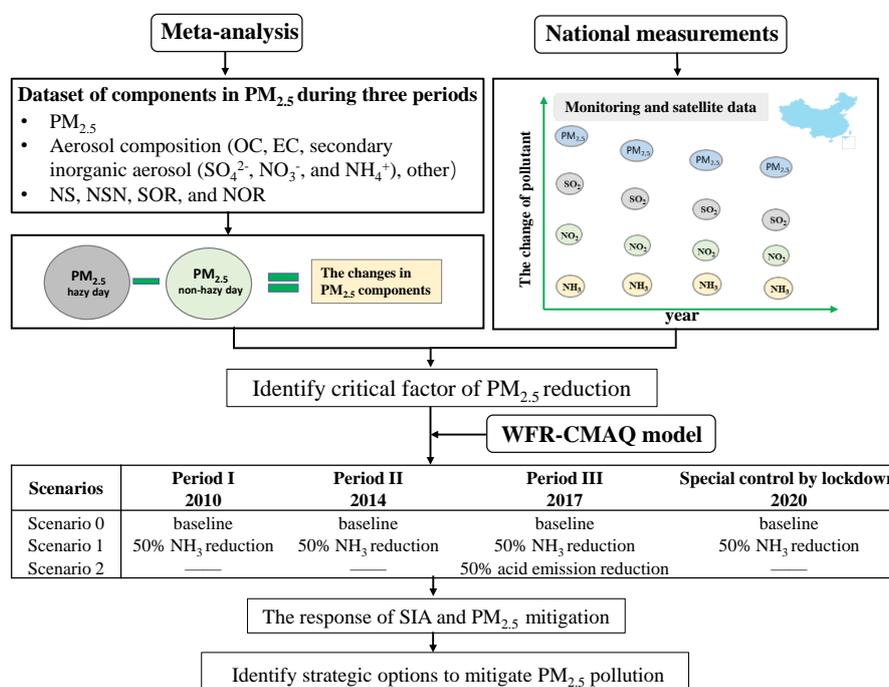
123 **2. Materials and methods**

124 **2.1. Research framework**

125 This study developed an integrated assessment framework to analysis the trends of
126 secondary inorganic aerosol and strategic options to reduce SIA and PM_{2.5} pollution in
127 China (Fig. 1). The difference in PM_{2.5} chemical components between hazy and non-
128 hazy days was first assessed by meta-analysis of published studies. These were
129 interpreted in conjunction with the trends in air concentrations of PM_{2.5} and its
130 secondary inorganic aerosol precursors (SO₂, NO₂, and NH₃) derived from surface
131 measurements and satellite observations. The potential of SIA and PM_{2.5} concentration



132 reductions from precursor emission reductions was then evaluated using the Weather
 133 Research and Forecasting and Community Multiscale Air Quality (WRF/CMAQ)
 134 models.



135

136 **Fig. 1.** Integrated assessment framework for Chinese PM_{2.5} mitigation strategic options.

137 OC is organic carbon, EC is elemental carbon, NO₃⁻ is nitrate, SO₄²⁻ is sulfate, and NH₄⁺

138 is ammonium. NS is the slope of the regression equation between [NH₄⁺] and [SO₄²⁻],

139 NSN is the slope of the regression equation between [NH₄⁺] and [SO₄²⁻ + NO₃⁻], SOR

140 is sulfur oxidation ratio, and NOR is nitrogen oxidation ratio. SIA is Secondary

141 inorganic aerosols. WRF-CMAQ is Weather Research and Forecasting and Community

142 Multiscale Air Quality models.

143 2.2. Meta-analysis of PM_{2.5} and its chemical components

144 To build a database of atmospheric concentrations of PM_{2.5} and chemical

145 components between hazy and non-hazy days, we conducted a literature survey using



146 the Web of Science and the China National Knowledge Infrastructure for papers
147 published between January 2000 and January 2020. The keywords included: (1)
148 "particulate matter," or "aerosol," or "PM_{2.5}" and (2) "China" or "Chinese". Studies were
149 selected based on the following conditions:

150 (1) Measurements were taken on both hazy and non-hazy days.

151 (2) PM_{2.5} chemical components were reported.

152 (3) If hazy days were not defined in the screened articles, the days with PM_{2.5}
153 concentrations > 75 µg m⁻³ (the Chinese Ambient Air Quality Standard Grade II for
154 PM_{2.5} (CSC, 2012)) were treated as hazy days.

155 (4) If an article reported measurements from different monitoring sites in the same city,
156 e.g. Mao et al. (2018) and Xu et al. (2019), then each measurement was considered an
157 independent study.

158 (5) If there were measurements in the same city for the same year, e.g. Tao et al. (2016)
159 and Han et al. (2017), then each measurement was treated as an independent study.

160 Ninety-eight articles were selected based on the above conditions with the lists
161 provided in the Supporting Material dataset. For each selected study, we documented
162 the study sites, study periods, seasons, aerosol types, and aerosol species mass
163 concentrations (in µg m⁻³) over the entire study period (2000–2019) (the detailed data
164 are provided in the dataset). In total, the number of sites contributing data to the meta-
165 analysis was 218 and their locations are shown in Fig. S1. If relevant data were not
166 directly presented in studies, a GetData Graph Digitizer (Version 2.25,
167 <http://www.getdatagraph-digitizer.com>) was used to digitize concentrations of PM_{2.5}
168 chemical components from figures. The derivations of other variables such as sulfur
169 and nitrogen oxidation ratios are described in Supplementary Information Method 1.

170 Effect sizes were developed to normalize the combined studies' outcomes to the



171 same scale. This was done through the use of log response ratios (lnRR) (Nakagawa et
172 al., 2012; Ying et al., 2019). The variations in aerosol species were evaluated as follows:

$$173 \quad \ln RR = \ln \left(\frac{X_p}{X_n} \right) \quad (1)$$

174 where X_p and X_n represent the mean values of the studied variables of PM_{2.5} components
175 on hazy and non-hazy days, respectively. The mean response ratio was then estimated
176 as:

$$177 \quad RR = \exp \left[\frac{\sum \ln RR(i) \times W(i)}{\sum W(i)} \right] \quad (2)$$

178 where $W(i)$ is the weight given to that observation as described below. Finally, variable-
179 related effects were expressed as percent changes, calculated as $(RR-1) \times 100\%$. A 95%
180 confidence interval not overlapping with zero indicates that the difference is significant.
181 A positive or negative percentage value indicates an increase or decrease in the response
182 variables, respectively.

183 We used inverse sampling variances to weight the observed effect size (RR) in the
184 meta-analysis (Benitez-Lopez et al., 2017). For the measurement sites where standard
185 deviations (SD) or standard errors (SE) were absent in the original study reports, we
186 used the "Bracken, 1992" approach to estimate SD (Bracken et al., 1992). The variation-
187 related chemical composition of PM_{2.5} was assessed by random effects in meta-analysis.
188 Rosenberg's fail safe-numbers (N_{fs}) were calculated to assess the robustness of findings
189 on PM_{2.5} to publication bias (Ying et al., 2019) (See Table S1). The results (effects)
190 were considered robust despite the possibility of publication bias if $N_{fs} > 5 \times n + 10$,
191 where n indicates the number of sites.

192 2.3. Data collection of air pollutant concentrations

193 To assess the recent annual trends in China of PM_{2.5} and of the SO₂ and NO₂
194 gaseous precursors to SIA, real-time monitoring data of these pollutants at 1498



195 monitoring stations in 367 cities during 2015–2019 were obtained from the China
196 National Environmental Monitoring Center (CNEMC) (<http://106.37.208.233:20035/>).
197 This is an open-access archive of air pollutant measurements from all prefecture-level
198 cities since January 2015. Successful use of data from CNEMC to determine
199 characteristics of air pollution and related health risks in China has been demonstrated
200 previously (Liu et al., 2016; Kuerban et al., 2020). The geography stations are shown
201 in Fig. S1. The annual mean concentrations of the three pollutants at all sites were
202 calculated from the hourly time-series data according to the method of Kuerban et al.
203 (2020). Information about sampling instruments, sampling methods, and data quality
204 controls for PM_{2.5}, SO₂, and NO₂ is provided in Supplementary Method 2. Surface NH₃
205 concentrations over China for the 2008–2016 (the currently available) were extracted
206 from the study of Liu et al. (2019). Further details are in Supplementary Method 2.

207 **2.4. WRF/CMAQ model simulations**

208 The Weather Research and Forecasting model (WRFv3.8) and the Models-3
209 community multi-scale air quality (CMAQv5.2) model were used to evaluate the
210 impacts of emission reductions on SIA and PM_{2.5} concentrations over China. The
211 simulations were conducted at a horizontal resolution of 12 km × 12 km. The simulation
212 domain covered the whole of China, part of India and east Asia. In the current study,
213 focus was on the following four regions in China: Beijing-Tianjin-Hebei (BTH),
214 Yangtze River Delta (YRD), Pearl River Delta (PRD), and Sichuan Basin (SCB). The
215 model configurations used in this study were the same as those used in Wu et al. (2018)
216 and are briefly described here. The WRFv3.8 model was applied to generate
217 meteorological inputs for the CMAQ model using the National Center for
218 Environmental Prediction Final Operational Global Analysis (NCEP-FNL) dataset
219 (Morrison et al., 2009). Default initial and boundary conditions were used in the



220 simulations. The carbon-bond (CB05) gas-phase chemical mechanism and AERO6
221 aerosol module were selected in the CMAQ configuration (Guenther et al., 2012).
222 Anthropogenic emissions for 2010, 2014 and 2017 were obtained from the Multi-
223 resolution Emission Inventory (<http://meicmodel.org>) with $0.25^\circ \times 0.25^\circ$ spatial
224 resolution and aggregated to $12\text{km} \times 12\text{km}$ resolution (Zheng et al., 2018; Li et al., 2017).
225 Each simulation was spun-up for six days in advance to eliminate the effects of the
226 initial conditions.

227 The years 2010, 2014 and 2017 were chosen to represent the anthropogenic
228 emissions associated with the periods I, II, III, respectively. January was selected as the
229 typical simulation month to represent the wintertime when the haze pollution frequently
230 occurred. The Chinese government has put a major focus on acid gas emission control
231 through a series of policies in the past three periods (Fig S2). The ratio decreases of
232 anthropogenic emissions SO_2 and NO_x in January for the years 2010, 2014, 2017 and
233 2020 are presented in SI Tables S2 and S3, respectively. The emissions from
234 surrounding countries were obtained from the Emissions Database for Global
235 Atmospheric Research (EDGAR): HTAPV2. The scenarios and the associated
236 reductions of NH_3 , NO_x and SO_2 for selected four years in three periods can be found
237 in Fig. 1.

238 The sensitivities of SIA and $\text{PM}_{2.5}$ to NH_3 emissions reductions were determined
239 from the average $\text{PM}_{2.5}$ concentrations in model simulations without and with an
240 additional 50% NH_3 emissions reduction. The choice of 50% additional NH_3 emissions
241 reduction is based on the feasibility and current upper bound of NH_3 emissions
242 reduction expected to be released in the near future (Liu et al., 2019; Table S4). Zhang
243 et al. (2020) found that societal benefits of halving agricultural NH_3 emissions in China
244 far exceed the abatement costs, with technical mitigation potential of 38-67% for



245 different crops and animal types. To eliminate the influences of varying meteorological
246 conditions, all simulations were conducted under the fixed meteorological conditions
247 of 2010.

248 During the COVID-19 lockdown in China, emissions of primary pollutants were
249 subject to unprecedented reductions due to national restrictions on traffic and industry;
250 in particular, emissions of NO_x and SO_2 reduced by 46% and 24%, respectively,
251 averaged across all Chinese provinces (Huang et al., 2021). We therefore also ran
252 simulations applying the same reductions in NO_x and SO_2 (based on 2017 MEIC) that
253 were actually observed during the COVID-19 lockdown as a case of special control in
254 2020.

255 The CMAQ model has been extensively used in air quality studies (Zhang et al.,
256 2019; Backes et al., 2016). The model's performance was evaluated against observation
257 data. Further information about the modelling is given in SI Method 3 and SI Figs. S3
258 and S4.

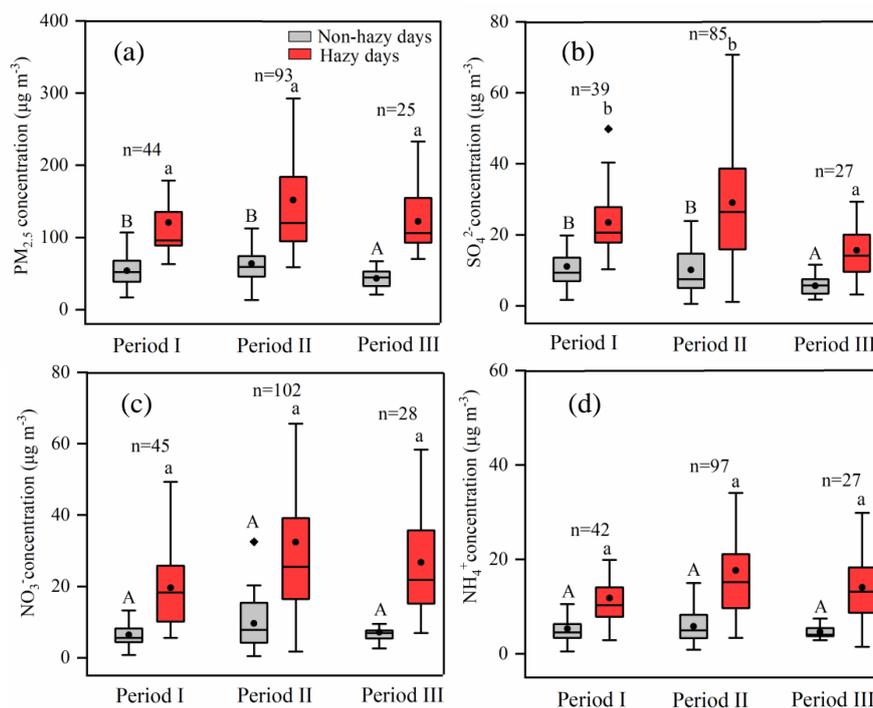
259 3. Results and discussion

260 3.1. Characteristics of $\text{PM}_{2.5}$ and its chemical components from the meta-analysis 261 and from nationwide observations

262 The meta-analysis based on all published analyses of $\text{PM}_{2.5}$ and chemical
263 component measurements during 2000–2019 reveals the changing characteristics of
264 $\text{PM}_{2.5}$. Concentrations of $\text{PM}_{2.5}$ showed a downward trend from Period I to Period III
265 on the non-hazy days, decreasing by 19.9% (Fig. 2a), despite no significant decreasing
266 trend on the hazy days (Fig. 2a). In addition, the annual mean $\text{PM}_{2.5}$ concentrations from
267 the nationwide measurements showed declining trends during 2015–2019 averaged
268 across all China and for each of the BTH, YRD, SCB, and PRD megacity clusters of
269 eastern China (Fig. 3a, d).



270 These results reflect the effectiveness of the pollution control policies (Fig. S2)
271 implemented by the Chinese government at the national scale. Nevertheless, PM_{2.5}
272 remained at relatively high levels. Over 2015–2019, the annual mean PM_{2.5}
273 concentrations at 74% of the 1498 sites (averaging $51.9 \pm 12.4 \mu\text{g m}^{-3}$, Fig. 3a) exceeded
274 the Chinese Grade-II Standard (GB 3095–2012) of $35 \mu\text{g m}^{-3}$ (MEEC, 2012), indicating
275 that PM_{2.5} mitigation is a significant challenge for China.

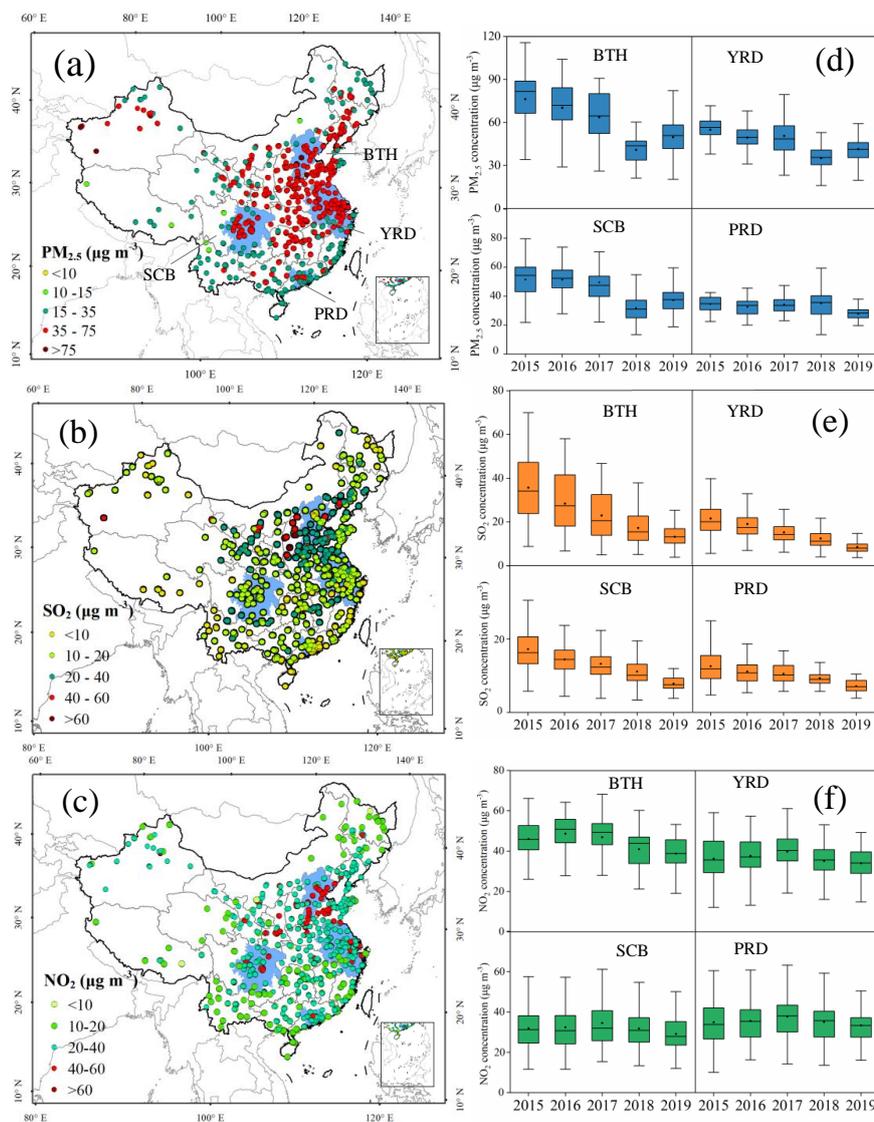


276
277 **Fig. 2.** Comparisons of concentrations of (a) PM_{2.5}, (b) SO₄²⁻, (c) NO₃⁻, and (d) NH₄⁺
278 between non-hazy and hazy days in Period I (2000–2012), Period II (2013–2016), and
279 Period III (2017–2019). Bars with different letters denote significant differences among
280 the three periods ($P < 0.05$) (upper and lowercase letters for non-hazy and hazy days,
281 respectively). The upper and lower boundaries of the boxes represent the 75th and 25th
282 percentiles; the line within the box represents the median value; the whiskers above and
283 below the boxes represent the 90th and 10th percentiles; the point within the box



284 represents the mean value.

285



286

287 **Fig. 3.** Left: spatial patterns of annual mean concentration of (a) $PM_{2.5}$, (b) SO_2 , (c) NO_2
288 at 1498 sites, averaged for 2015–2019. Right: the annual concentrations of (d) $PM_{2.5}$,
289 (e) SO_2 , and (f) NO_2 for 2015–2019 in four megacity clusters (BTH: Beijing-Tianjin-
290 Hebei, YRD: Yangtze River Delta, SCB: Sichuan Basin, PRD: Pearl River Delta). The



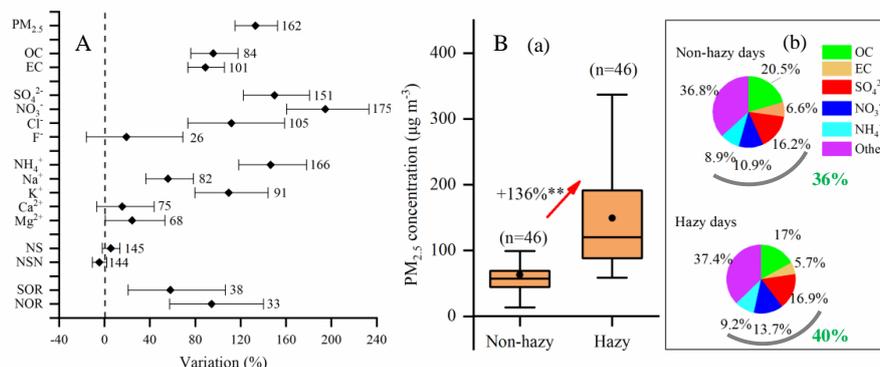
291 locations of the regions are indicated by the blue shading on the map. The upper and
292 lower boundaries of the boxes represent the 75th and 25th percentiles; the line within
293 the box represents the median value; the whiskers above and below the boxes represent
294 the 90th and 10th percentiles; the point within the box represents the mean value.

295 To further explore the underlying drivers of PM_{2.5} pollution, we analyzed the
296 characteristics of PM_{2.5} chemical components and their temporal changes in China. The
297 concentrations of PM_{2.5} and all its chemical components (except F⁻ and Ca²⁺) were
298 significantly higher on hazy days than on non-hazy days (Fig. 4A). Compared with
299 other components this difference was more significant for secondary inorganic ions (i.e.,
300 SO₄²⁻, NO₃⁻, and NH₄⁺). Sulfur oxidation ratio (SOR) and nitrogen oxidation ratio
301 (NOR) were also 58.0% and 94.4% higher on hazy days than on non-hazy days,
302 respectively, implying higher oxidations of gaseous species to sulfate- and nitrate-
303 containing aerosols on the hazy days (Sun et al., 2006; Xu et al., 2017).

304 To provide quantitative information on differences in PM_{2.5} and its components
305 between hazy days and non-hazy days, we made a comparison using 46 groups of data
306 on simultaneous measurements of PM_{2.5} and chemical components. As shown in Fig.
307 4B(a), PM_{2.5} concentrations significantly increased (by 136%) on the hazy days (149.2
308 ± 81.6 μg m⁻³) relative to those on the non-hazy days (63.2 ± 29.8 μg m⁻³). By contrast,
309 each component's proportions within PM_{2.5} differed slightly, with 36% and 40%
310 contributions by SIA on non-hazy days and hazy days, respectively (Fig. 4B(b)). This
311 is not surprising because concentrations of PM_{2.5} and SIA both significantly increased
312 on the hazy days (60.1 ± 37.4 μg m⁻³ for SIA) relative to the non-hazy days (22.4 ± 12.1
313 μg m⁻³ for SIA). Previous studies have found that increased SIA formation is the major
314 influencing factor for haze pollution in wintertime and summertime (mainly in years
315 since 2013) in major Chinese cities in eastern China (Huang et al., 2014; Wang et al.,



316 2019; Li et al., 2018). Our results extend confirmation of the dominant role of SIA to
 317 PM_{2.5} pollution over a large spatial scale in China and to longer temporal scales.



318 **Fig. 4.** (A) Variations in PM_{2.5} concentration, aerosol component concentration, NS,
 319 NSN, SOR, and NOR from non-hazy to hazy days in China during 2000–2019. (B) (a)
 320 Summary of differences in PM_{2.5} concentration between non-hazy and hazy days in
 321 China; (b) the average proportions of components of PM_{2.5} on non-hazy and hazy days.
 322 NS is the slope of the regression equation between [NH₄⁺] and [SO₄²⁻], NSN is the slope
 323 of the regression equation between [NH₄⁺] and [SO₄²⁻ + NO₃⁻], SOR is sulfur oxidation
 324 ratio, and NOR is nitrogen oxidation ratio. The variations are considered significant if
 325 the confidence intervals of the effect size do not overlap with zero. ** denotes significant
 326 difference (*P* < 0.01) between hazy days and non-hazy days. The upper and lower
 327 boundaries of the boxes represent the 75th and 25th percentiles; the line within the box
 328 represents the median value; the whiskers above and below the boxes represent the 90th
 329 and 10th percentiles; the point within the box represents the mean value. Values
 330 adjacent to each confidence interval indicate number of measurement sites.

332 The effect values of SIA on the hazy days were significantly higher than those on
 333 non-hazy days for all three periods (I, II, and III) (Fig. 5), indicating the persistent
 334 prevalence of the SIA pollution problem over the past two decades. Considering

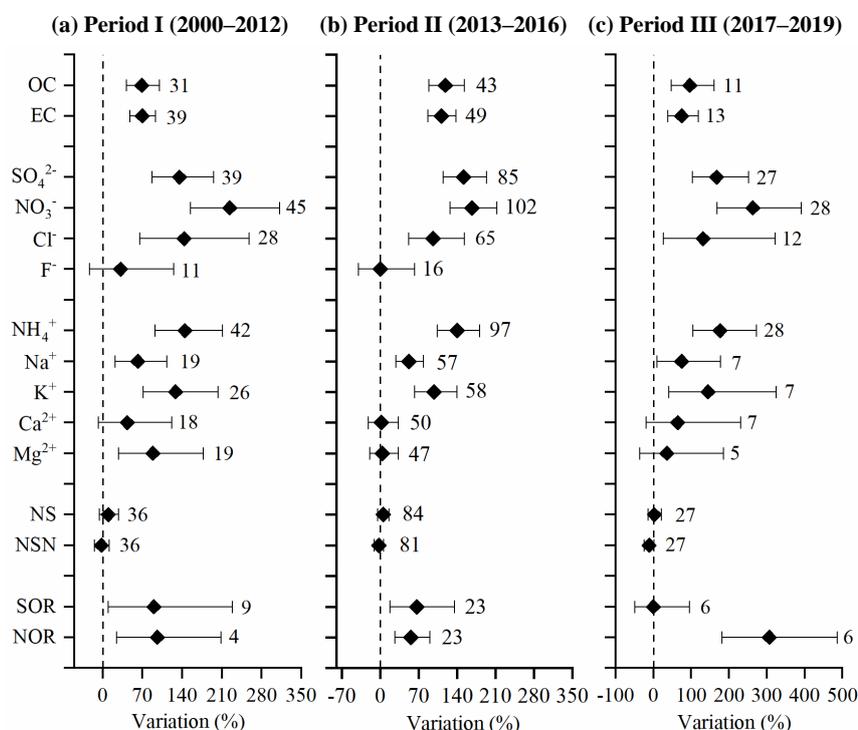


335 changes in concentrations, SO_4^{2-} showed a downward trend from Period I to Period III
336 on the non-hazy days, decreasing by 19.9% and 49.6%, respectively (Fig. 2b). These
337 results reflect the effectiveness of the SO_2 pollution control policies (Ronald et al.,
338 2017). In contrast, there were no significant downward trends in concentrations of NO_3^-
339 and NH_4^+ on either hazy or non-hazy days (Fig. 2c, d). The NO_x emissions in China
340 stayed at a high level because the Chinese government did not start promulgating
341 measures to reduce NO_x emission until 2011 (Fig. S2) (Zheng et al., 2018). The lack of
342 downward trends in NH_4^+ concentrations is due to increasing emissions of NH_3 from
343 agriculture and the absence in the past of NH_3 control policies (Kang et al., 2016).
344 Zhang et al. (2020) found that the clean air actions implemented in 2017 effectively
345 reduced wintertime concentrations of PM_{10} (particulate matter with diameter $\leq 10 \mu\text{m}$),
346 SO_4^{2-} and NH_4^+ in Beijing compared with those in 2007, but had no apparent effect on
347 NO_3^- . Our findings are to some extent supported by the nationwide measurements.
348 Annual mean SO_2 concentrations displayed a clear decreasing trend with a 53%
349 reduction in 2019 relative to 2015 for the four megacity clusters of eastern China (Fig.
350 3b, e), whereas there were only slight reductions in annual mean NO_2 concentrations
351 (Fig. 3c, f). In contrast, annual mean NH_3 concentrations showed an obvious increasing
352 trend in in both northern and southern regions of China, and especially in the BTH
353 region (Fig. S5).

354 Overall, the above analyses indicate that SO_4^{2-} concentrations responded
355 positively to air policy implementations at the national scale, but that reducing NO_3^-
356 and NH_4^+ remains a significant challenge. China has a history of around 10-20 years
357 for SO_2 and NO_x emission control and has advocated NH_3 controls despite to date no
358 mandatory measures and binding targets having been set (Fig. S2). Nevertheless, $\text{PM}_{2.5}$
359 pollution, especially SIA such as NO_3^- and NH_4^+ , is currently a serious problem (Fig. 4



360 and 5a, d). Some studies have reported that PM_{2.5} pollution can be effectively reduced
 361 if implementing synchronous NH₃ and NO_x/SO₂ controls (Liu et al., 2019). Therefore,
 362 based on the above findings, we propose that NH₃ and NO_x/SO₂ emission mitigation
 363 should be simultaneously strengthened to mitigate haze pollution.



364
 365 **Fig. 5.** Variations in PM_{2.5} composition, NS, NSN, SOR, and NOR from non-hazy to
 366 hazy days in (a) Period I (2000–2012), (b) Period II (2013–2016), (c) Period III (2017–
 367 2019). NS is the slope of the regression equation between [NH₄⁺] and [SO₄²⁻], NSN is
 368 the slope of the regression equation between [NH₄⁺] and [SO₄²⁻ + NO₃⁻], SOR is sulfur
 369 oxidation ratio, and NOR is nitrogen oxidation ratio. The variations are statistically
 370 significant if the confidence intervals of the effect size do not overlap with zero. Values
 371 adjacent to each confidence interval indicate number of measurement sites.

372 **3.2. Sensitivities from model simulations**



373 To further examine the efficiencies of NH₃ and acid gas emission reductions on
374 SIA and PM_{2.5} mitigation, the decreases of mean SIA and PM_{2.5} concentrations with and
375 without additional 50% NH₃ reductions were simulated using the WRF/CMAQ model.
376 Fig. 6 and Fig S6 shows that, compared to 2010, SIA and PM_{2.5} concentrations in
377 January in 2017 were significantly decrease in the BTH, YRD, SCB, and PRD megacity
378 clusters, respectively, in the simulations without additional NH₃ emission reductions.
379 Across the four megacity clusters, the reduction in SIA and PM_{2.5} is largest in the SCB
380 region from 2010 to 2017 and smallest in the PRD region.

381 When simulating the effects of an additional 50% NH₃ emissions reductions in
382 January in each of the years 2010, 2014 and 2017, the SIA concentrations in the BTH,
383 YRD, SCB and PRD megacity clusters decreased by $25.9 \pm 0.3\%$, $24.4 \pm 0.3\%$, and
384 $22.9 \pm 0.3\%$, respectively (Fig. 6 and Fig. S7). The reductions of PM_{2.5} exhibited a
385 similar trend (Figs. S6 and S8). Whilst these results confirm the effectiveness of NH₃
386 emission controls, it is important to note that the response of SIA concentrations is less
387 sensitive to additional NH₃ emission controls along the timeline of the SO₂ and NO_x
388 anthropogenic emissions reductions associated with the series of clean air actions
389 implemented by the Chinese government from 2010 to 2017 (Zheng et al., 2018). Given
390 the feasibility and current upper bound of NH₃ emission reductions options in the near
391 future (50%) (Liu et al., 2019), further abatement of SIA concentrations merely by
392 reducing NH₃ emissions is limited in China. In other words, the controls on acid gas
393 emissions should continue to be strengthened beyond their current levels.

394

395

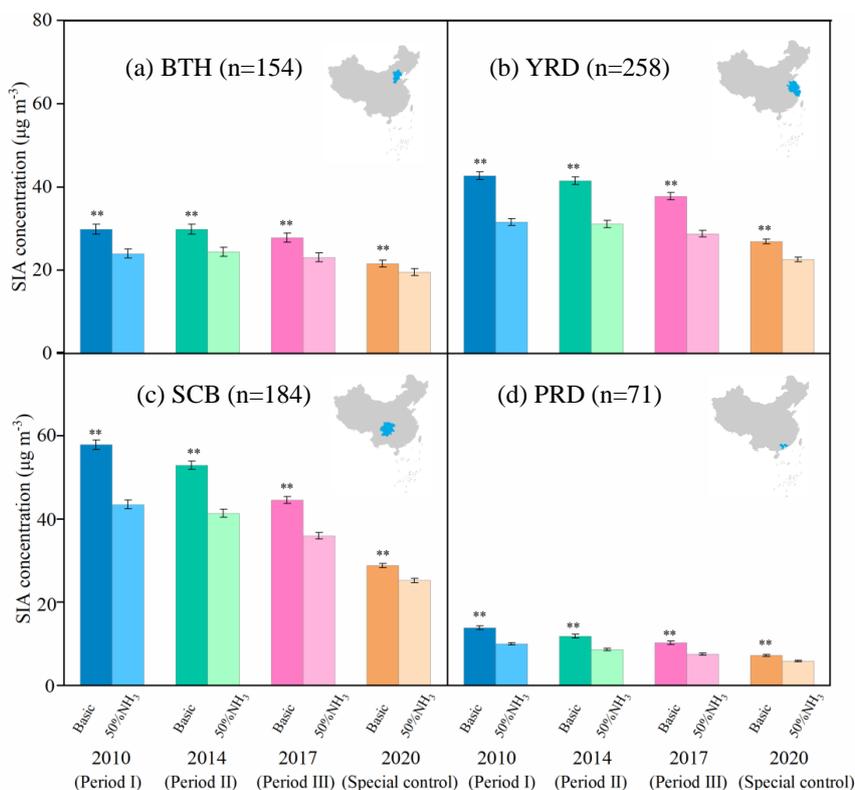
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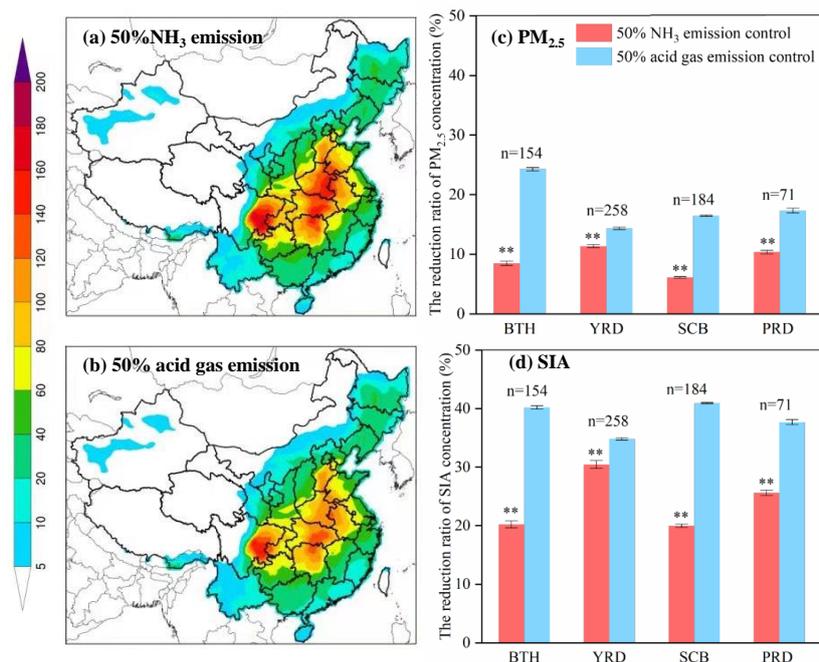


400

401 **Fig. 6.** Simulated SIA concentrations (in $\mu\text{g m}^{-3}$) without (basic) and with 50%
402 ammonia (NH_3) emissions reductions in January for the years 2010, 2014, 2017 and
403 2020 in four megacity clusters (BTH: Beijing-Tianjin-Hebei, YRD: Yangtze River
404 Delta, SCB: Sichuan Basin, PRD: Pearl River Delta). Inset maps indicate the location
405 of each region. ** denotes significant difference without and with 50% ammonia
406 emission reductions ($P < 0.05$). n is the number of calculated samples by grid extraction.
407 Error bars are standard errors of means. (Period I (2000–2012), Period II (2013–2016),
408 and Period III (2017–2019); Special control is the restrictions in economic activities
409 and associated emissions during the COVID-19 lockdown period in 2020.)



410 To further verify the above findings, we used the reductions of emissions of acid
411 gases (46% and 23% for NO_x and SO_2 , respectively, in the whole China) during the
412 COVID-lockdown period as a further scenario (Huang et al., 2021). The model
413 simulations suggest that the effectiveness of reductions in SIA and $\text{PM}_{2.5}$ concentrations
414 by a 50% NH_3 emission reduction further declined in 2020 ($15 \pm 0.2\%$ for SIA, and
415 $5.1 \pm 0.2\%$ for $\text{PM}_{2.5}$), but the resulting concentrations of them were lower ($20.8 \pm 0.3\%$
416 for SIA, and $15.6 \pm 0.3\%$ for $\text{PM}_{2.5}$) when compared with that in 2017 under the same
417 scenario of an additional 50% NH_3 emissions reduction (and constant meteorological
418 conditions) (Fig. 6), highlighting the importance of concurrently NH_3 mitigation when
419 acid gas emissions are strengthened. To confirm the importance of acid gas emissions,
420 another sensitivity simulation was conducted for 2017, in which the acid gas (NO_x and
421 SO_2) emissions were reduced by 50% (Fig. 7). We found that reductions in SIA
422 concentrations are $13.4 \pm 0.5\%$ greater for the 50% reductions in SO_2 and NO_x
423 emissions than for the 50% reductions in NH_3 emissions. These results indicate that to
424 substantially reduce SIA pollution it remains imperative to strengthen emission controls
425 on NO_x and SO_2 even when a 50% reduction in NH_3 emission is targeted and achieved.



426
427 **Fig. 7.** Left: the spatial distributions of simulated PM_{2.5} concentrations (in µg m⁻³) in
428 January 2017 with (a) 50% reductions in ammonia (NH₃) emissions and (b) 50%
429 reductions in acid gas (NO_x and SO₂) emissions. Right: the % decreases in PM_{2.5} (c)
430 and SIA (d) concentrations for the simulations with compared to without the NH₃ and
431 acid gas emissions reductions in four megacity clusters (BTH: Beijing-Tianjin-Hebei,
432 YRD: Yangtze River Delta, SCB: Sichuan Basin, PRD: Pearl River Delta). ** denotes
433 significant differences without and with 50% ammonia emission reductions ($P < 0.05$).
434 n is the number of calculated samples by grid extraction. Error bars are standard errors
435 of means.

436 3.3. Uncertainties and limitations

437 Some limitations should be noted in interpreting the results of the present study: this
438 study examined annual trends in PM_{2.5} chemical components based on a meta-analysis
439 and the efficiencies of NH₃ and acid gas emission reductions on PM_{2.5} mitigation. Some



440 uncertainties may still exist in the study sites regarding the continuity of temporal
441 variations. For instance, meteorological factors may vary across the underlying periods.
442 In addition, there is heterogeneous geographic coverage across China in that most of
443 the study sites were in the northeast coastal areas and megacities (Fig. S2). However,
444 these more heavily populated regions are where information on effectiveness of
445 mitigating PM_{2.5} is most needed. In addition, WRF-CMAQ model performance has
446 some uncertainty. In this study, the monthly predicted PM_{2.5} concentrations were
447 compared with the observations retrieved by the Space-Time Extra-Tree (STET) model
448 (Wei et al., 2020, 2021). The WRF-CMAQ model captured similar spatial distributions
449 to the STET model, but with some bias in identifying PM_{2.5} hotspots (Fig. S3). The
450 main reason for these disparities is that satellite retrievals have some spatial missing
451 values in the rainy southern or high-latitude northern regions in China due to cloud
452 contaminations or snow/ice cover in winter, respectively. Satellite PM_{2.5} is derived from
453 the Moderate Resolution Imaging Spectroradiometer Aerosol Optical Depth (MODIS
454 AOD) products together with abundant natural and human factors using machine
455 learning. The satellite historical PM_{2.5} predictions are reliable (average R² = 0.80 and
456 RMSE = 11.26 µg/m³) by validating against the in-situ surface observations on a
457 monthly basis (Wei et al., 2021). A more comprehensive evaluation of model
458 performance was limited by the lack of field measurements of PM_{2.5} and associated SIA
459 concentrations in 2010.

460 3.4. Implication and outlook

461 Improving air quality is a significant challenge for China and the world. A key
462 target in China is for all cities to attain annual mean PM_{2.5} concentrations of 35 µg m⁻³
463 or below by 2035 (Xing et al., 2021). However, this study has shown that 74% of 1498
464 nationwide measurement sites have exceeded this limit value in recent years (averaged



465 across 2015-2019). Our results indicated that acid gas emissions still need to be a focus
466 of control measures, alongside reductions in NH_3 emissions, in order to reduce SIA (or
467 $\text{PM}_{2.5}$) formation. Model simulations for the month of January underpin the finding that
468 the relative effectiveness of NH_3 emission control decreased over the period from 2010
469 to 2017. However, simulating the substantial emission reductions in acid gases due to
470 the lockdown during the COVID-19 pandemic, with fossil fuel-related emissions
471 reduced to unprecedented levels, indicated the importance of ammonia emission
472 abatement for $\text{PM}_{2.5}$ air quality improvements when SO_2 and NO_x emissions have
473 already reached comparatively low levels. Therefore, a strategic and integrated
474 approach to simultaneously undertaking acid gas emissions and NH_3 mitigation is
475 essential to substantially reduce $\text{PM}_{2.5}$ concentrations. However, the mitigation of acid
476 gas and NH_3 emissions pose different challenges due to different sources they originate
477 from.

478 The implementation of further reduction of acid gas emissions is challenging. The
479 prevention and control of air pollution in China originally focused on the control of acid
480 gas emissions (Fig.S2). The controls have developed from desulfurization and
481 denitrification technologies in the early stages to advanced end-of-pipe control
482 technologies. By 2018, over 90% of coal-fired power plants had installed end-of-pipe
483 control technologies (CEC, 2020). The potential for further reductions in acid gas
484 emissions by end-of-pipe technology might therefore be limited. Instead, addressing
485 total energy consumption and the promotion of a transition to clean energy through a
486 de-carbonization of energy production is expected to be an inevitable requirement for
487 further reducing $\text{PM}_{2.5}$ concentrations (Xing et al., 2021). In the context of improving
488 air quality and mitigating climate change, China is adopting a portfolio of low-carbon
489 policies to meet its Nationally Determined Contribution pledged in the Paris Agreement.



490 Studies show that if energy structure adjusts and energy conservation measures are
491 implemented, SO₂ and NO_x will be further reduced by 34% and 25% in Co-Benefit
492 Energy scenario compared to the Nationally Determined Contribution scenario in 2035
493 (Xing et al., 2021). Although it has been reported that excessive acid gas emission
494 controls may increase the oxidizing capacity of the atmosphere and increase other
495 pollution, PM_{2.5} concentrations have consistently decreased with previous acid gas
496 control (Huang et al., 2021). In addition, under the influence of low-carbon policies,
497 other pollutant emissions will also be controlled. Opportunities and challenges coexist
498 in the control of acid gas emissions.

499 In contrast to acid gas emissions, NH₃ emissions predominantly come from
500 agricultural sources. Although the Chinese government has recognized the importance
501 of NH₃ emissions controls in curbing PM_{2.5} pollution, NH₃ emissions reductions have
502 only been proposed recently as a strategic option and no specific nationwide targets
503 have yet been implemented (CSC, 2018b). The efficient implementation of NH₃
504 reduction options is a major challenge because NH₃ emissions are closely related to
505 food production, and smallholder farming is still the dominant form of agricultural
506 production in China. The implementation of NH₃ emissions reduction technologies is
507 subject to investment in technology, knowledge and infrastructure, and most farmers
508 are unwilling or economically unable to undertake additional expenditures that cannot
509 generate financial returns (Gu et al., 2011; Wu et al., 2018). Therefore, economically
510 feasible options for NH₃ emission controls need to be developed and implemented
511 nationwide.

512 We propose the following three requirements that need to be met to achieve
513 effective reductions of SIA concentrations and hence of PM_{2.5} concentrations in China.

514 First, binding targets to reduce both NH₃ and acid gas emissions should be set. The



515 targets should be designed to meet the PM_{2.5} standard, and NH₃ concentrations should
516 be incorporated into the monitoring system as a government assessment indicator. In
517 this study, we find large differences in PM_{2.5} concentration reductions from NH₃
518 emissions reduction in the four megacity regions investigated. At a local scale (i.e., city
519 or county), the limiting factors may vary within a region (Wang et al., 2011). Thus,
520 local-specific environmental targets should be considered in policy-making.

521 Second, further strengthening of the controls on acid gas emissions are still needed,
522 especially under the influence of low-carbon policies, to promote emission reductions
523 and the adjustment of energy structures and conservation. Ultra-low emissions should
524 be requirements in the whole production process, including point source emissions,
525 diffuse source emissions, and clean transportation (Xing et al., 2021; Wang et al., 2021).
526 The assessment of the impact of ultra-low emissions is provided in Table S5. In terms
527 of energy structure, it is a requirement to eliminate outdated production capacity and
528 promote low-carbon new energy generation technologies.

529 Third, a requirement to promote feasible NH₃ reduction options throughout the
530 whole food production chain, for both crop and animal production. Options include the
531 following. 1) Reduction of nitrogen input at source achieved, for example, through
532 balanced fertilization based on crop needs instead of over-fertilization, and promotion
533 of low-protein feed in animal breeding. 2) Mitigation of NH₃ emissions in food
534 production via, for example, improved fertilization techniques (such as enhanced-
535 efficiency fertilizer (urease inhibitor products), fertilizer deep application, fertilization-
536 irrigation technologies (Zhan et al., 2021), and coverage of solid and slurry manure. 3)
537 Encouragement for the recycling of manure back to croplands, and reduction in manure
538 discarding and long-distance transportation of manure fertilizer. Options for NH₃
539 emissions control are provided in Table S4. Although the focus here has been on



540 methods to mitigate NH₃ emissions, it is of course critical simultaneously to minimize
541 N losses in other chemical forms such as nitrous oxide gas emissions and aqueous
542 nitrate leaching (Shang et al., 2019; Wang et al., 2020).

543 4. Conclusions

544 The present study developed an integrated assessment framework using meta-
545 analysis of published literature results, analysis of national monitoring data, and
546 chemical transport modelling to provide insight into the effectiveness of SIA precursor
547 emissions controls in mitigating poor PM_{2.5} air quality in China. We found that PM_{2.5}
548 concentration significantly decreased in 2000-2019 due to acid gas control policies, but
549 PM_{2.5} pollution still severe. This is mainly caused by the persistent SIA pollution during
550 the same period, with sulfate concentrations significantly decreased and no significant
551 changes observed for nitrate and ammonium concentrations. The reductions of SIA
552 concentrations in January in megacity clusters of eastern China by additional 50% NH₃
553 emission controls decreased from $25.9 \pm 0.3\%$ in 2010 to $22.9 \pm 0.3\%$ in 2017, and to
554 $15 \pm 0.2\%$ in the COVID lockdown in 2020 for simulations representing reduced acid
555 gas emissions to unprecedented levels, but the SIA concentrations decreased by $20.8 \pm$
556 0.3% in 2020 compared with that in 2017 under the same scenario of an additional 50%
557 NH₃ emissions reduction. In addition, the reduction of SIA concentration in 2017 was
558 $13.4 \pm 0.5\%$ greater for 50% acid gas (SO₂ and NO_x) reductions than for the NH₃
559 emissions reduction. These results indicate that acid gas emissions need to be further
560 controlled concertedly with NH₃ reductions to substantially reduce PM_{2.5} pollution in
561 China.

562 Overall, this study provides new insight into the responses of SIA concentrations
563 in China to past air pollution control policies and the potential balance of benefits in
564 including NH₃ emissions reductions with acid gas emissions controls to curb SIA



565 pollution. The outcomes from this study may also help other countries seeking feasible
566 strategies to mitigate PM_{2.5} pollution.

567 **Data availability**

568 All data in this study are available from the from the corresponding authors (Wen Xu,
569 wenxu@cau.edu.cn; Shaocai Yu, shaocaiyu@zju.edu.cn) upon request
570

571 **Author contributions**

572 W.X., S.Y., and F.Z. designed the study. F.M., Y.Z., W.X., and J.K. performed the
573 research. F.M., Y.Z., W.X., and J.K. analyzed the data and interpreted the results. Y.Z.
574 conducted the model simulations. L.L. provided satellite-derived surface NH₃
575 concentration. F.M., W.X., Y.Z., and M.R.H. wrote the paper, S. R., M.W., K.W., J.K.,
576 Y.Z., Y.H., P.L., J.W., Z.C., X.L., M.R.H., S.Y. and F.Z. contributed to the discussion
577 and revision of the paper.

578 **Competing interests**

579 The authors declare that they have no known competing financial interests or personal
580 relationships that could have appeared to influence the work reported in this paper.

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