Circum-Antarctic abundance and properties of CCN and INP

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Abstract. Aerosol particles acting as cloud condensation nuclei (CCN) or ice nucleating particles (INP) play a major role in the formation and glaciation of clouds. Thereby they exert a strong impact on the radiation budget of the Earth. Data on abundance and properties of both types of particles are sparse, especially for remote areas of the world, such as the Southern Ocean (SO). In this work, we present unique results from ship-borne aerosol-particle-related in situ measurements and filter sampling in

- 5 the SO region, carried out during the Antarctic Circumnavigation Expedition (ACE) in the Austral summer of 2016/17. An overview of CCN and INP concentrations on the Southern Ocean is provided and, using additional quantities, insights regarding possible CCN and INP sources and origins are presented. CCN number concentrations spanned 2 orders of magnitude, e.g., for a supersaturation of 0.3 % values ranged roughly from 3 to 590 cm⁻³. CCN showed variable contributions of organic and inorganic material (inter-quartile range of hygroscopicity parameter κ from 0.2 to 0.9). No distinct size-dependence of
- 10 κ was apparent, indicating homogeneous composition across sizes (critical dry diameter on average between 37 and 12330 and 110 nm). The contribution of sea spray aerosol (SSA) to the CCN number concentration was on average small. Ambient INP number concentrations were measured in the temperature range from -5 to -27° C. Concentrations spanned up to 3 orders of magnitude, e.g., at -16° C from 0.2 to 100 m^{-3} . Elevated values (above 10 m^{-3} at -16° C) were measured when the research vessel was in the vicinity of land (excluding Antarctica), with lower and more constant concentrations when at sea.
- 15 This, along with results of backward-trajectory analyses, hints towards terrestrial and/or coastal INP sources being dominant close to ice-free (non-Antarctic) land. In pristine marine areas INP may originate from both oceanic sources and/or long range transport. Sampled aerosol particles (PM_{10}) were analysed for sodium and methanesulfonic acid (MSA). Resulting mass concentrations were used as tracers for primary marine and secondary aerosol particles, respectively. Sodium, with an average concentration around $2.8 \,\mu g m^{-3}$, was found to dominate the sampled particle mass. MSA was highly variable over the SO,
- with concentrations up to $0.5 \,\mu g \,\mathrm{m}^{-3}$ near the sea ice edge. A correlation analysis yielded strong correlations between sodium mass concentration and particle number concentration in the coarse mode, unsurprisingly indicating a significant contribution of SSA to that mode. CCN number concentration was highly correlated with the number concentration of Aitken and accumulation mode particles. This, together with a lack of correlation between sodium mass and Aitken and accumulation mode number concentrations, underlines the important contribution of non-SSA, probably secondarily formed particles, to the

25 CCN population. INP number concentrations did not significantly correlate with any other measured aerosol physico-chemical parameter.

1 Introduction

Earth's changing climate and the human influence on it are undeniable facts (IPCC, 2013). Emissions of greenhouse gases (e.g., carbon dioxide) and their impact on the radiation budget are well understood, with high confidence and low uncertainty.

- 30 A larger uncertainty emerges from the lack of knowledge on atmospheric aerosol particles, in particular their influence on cloud-radiative properties. As there are natural and anthropogenic aerosol sources, the human impact on aerosol-cloud interactions is difficult to quantify. One way of reducing the uncertainty concerning the human influence on atmospheric aerosol particles, pointed out by Carslaw et al. (2013), is better constraining conditions before human impact, in the preindustrial time. With an atmospheric general-circulation model, Hamilton et al. (2014) searched for still-existing regions with preindustrial-like
- 35 conditions, by comparing simulations of atmospheric conditions in 1750 and 2000. The Southern Ocean region was found to feature pristine aerosol conditions during the Southern hemisphere summer months, making it an excellent region for measurements of pristine preindustrial-like aerosol conditions. This was one of the key motivations for the "Study of Preindustrial-like Aerosol Climate Effects" (ACE-SPACE; Schmale et al., 2019) project within the framework of the Antarctic Circumnavigation Expedition (ACE), which was conducted across all sectors of the SO in the Austral summer 2016/17.
- 40 The focus of this study is on aerosol particles that can modulate cloud micro-physical properties and hence affect the cloud albedo (Twomey, 1974) and lifetime (Albrecht, 1989). Aerosol particles can initiate cloud droplet formation at levels of supersaturation (*SS*) much lower than the supersaturation necessary for homogeneous droplet formation (Köhler, 1936). The *SS* at which particles activate is dictated primarily by their size, but also their chemical composition (Dusek et al., 2006). If a particle is a suitable condensation nucleus at atmospheric supersaturation conditions, it is called a cloud condensation
- 45 nuclei (CCN). Another group of cloud property-altering aerosol particles are ice nucleating particles (INP), which initiate cloud droplet freezing above the point of homogeneous freezing at-(-38°C). In summary, CCN play an important role in the formation of clouds, while INP alter the phase state (frozen or liquid) of cloud droplets which affects cloud radiative properties (Vergara-Temprado et al., 2018). Furthermore, cloud glaciation influences the precipitation formation (Wegener, 1911) and dissipation of clouds (Albrecht, 1989). As a consequence, changes in cloud radiative properties and cloud lifetime impact
- 50 Earth's climate (Lindzen, 1990; Murray et al., 2012). With that, CCN and INP play an important role in the current state of the atmosphere and for both weather and climate, and respective observations are fundamental to estimate the progression of climate change.

Of the few aerosol-related studies over the SO, the majority focused on physical aerosol particle properties and aerosol composition. During the first Aerosol Characterization Experiment (ACE-1) in the Australian sector of the SO in 1995, Quinn

et al. (1998) found the marine boundary layer (MBL) aerosol population with a particle diameter (D_p) between 100 and 300 nm (referred to as "accumulation mode") to be minimally influenced by sea salt and mainly comprised of non-sea salt (nss) sulfate, i.e. the fraction of total sulfate not associated with sea salt. The main sources of nss-sulfate are: 1. sulfur compounds derived from continental anthropogenic sources (Savoie and Prospero, 1989), 2. oxidation of atmospheric dimethyl sulphide (DMS) (Covert et al., 1992; Raes, 1995), and 3. volcanic emissions. DMS is produced by marine microbial activity and emitted from

- 60 the ocean into the atmosphere in the gas phase (Curran et al., 2003; Abram et al., 2010). Sulfate formation from DMS oxidation is a complex multi-step process involving several intermediate molecules. For the sake of brevity, we simplify the description of the processes with three main pathways: 1. sulfuric acid production from homogeneous gas phase oxidation of DMS followed by condensation, 2. sulfur dioxide production from homogeneous gas phase oxidation of DMS followed by heterogeneous oxidation of sulfur dioxide to sulfuric acid in the liquid phase, and 3. reactive uptake on droplets or liquid particles of DMS
- 65 into aqueous solution or cloud droplets followed by heterogeneous oxidation (Chen et al., 2018).

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Properties of Aitken ($D_p = 10-100 \text{ nm}$) and accumulation mode particles ($D_p = 100-1000 \text{ nm}$ in this study) in the SO region were found to be clearly dependent on air mass air-mass origin, with two distinct air masses air-masses (polar and maritime) being encountered during the British Southern Ocean (BSO) campaign (O'Dowd et al., 1997), and the Plankton-derived Emissions of trace Gases and Aerosols in the Southern Ocean (PEGASO) cruise (Dall'Osto et al., 2017; Fossum et al.,

70 2018). The two air masses air-masses featured distinctly different aerosol populations in terms of concentration and chemical composition.

Looking at the composition of chemical composition in the larger particle size ranges, for ACE-1 the population of particles with $D_{\rm p} = 300-5000$ nm (referred to as "coarse mode") was found to be dominated by sea salt, with sporadic and minor contributions from nss-sulfate. Variations in the coarse mode sea salt concentrations could only partially (40 %) be explained by local wind speeds (Quinn et al., 1998).

The concentrations of particles in the MBL of the SO that act as CCN were investigated by a smaller number of studies. Quinn et al. (2017) found a large portion of the Aitken mode to act as CCN at a SS > 0.5 %. Sea spray aerosol (SSA), a mix of sea salt particles and ocean-derived organic species (de Leeuw et al., 2011), was found to dominate the CCN population, but only at SS = 0.1 % in the high latitudes (down to 70° S) of the Southern hemisphere (Quinn et al., 2017). Cases of polar

air during PEGASO featured CCN number concentrations at $SS = 0.8 \% (N_{\text{CCN},0.8})$ of $217 \pm 31 \text{ cm}^{-3}$, while maritime cases showed almost doubled concentrations ($420 \pm 168 \text{ cm}^{-3}$).

It remains an open question how CCN abundance is distributed over the SO and what typical values are, especially during the pristine conditions of the Austral summer. Further, CCN properties and origin are of interest. It is know that new particle formation (NPF) in the free troposphere is an important source of CCN in the MBL and occurs frequently over the summertime

85 SO (McCoy et al., 2021). To our knowledge, it is not known which process/source (e.g., NPF or SSA) governs the CCN population of the SO generally and what role horizontal and vertical atmospheric transport plays (Baccarini et al., 2021).

Studies of INP number concentration ($N_{\rm INP}$) and origin in the SO region started with immersion freezing experiments by Bigg (1973), who measured $N_{\rm INP}$ between 3–250 m⁻³ at –15°C. Two recent cruises: the Cloud, Aerosols, Precipitation, Radiation and Atmospheric Composition campaign (CAPRICORN I CAPRICORN-I & II). For CAPRICORN-I, observed

90 $N_{\rm INP}$ over the SO in the temperature range between -12 and -31° C varying between 0.04 and $1000 \,{\rm m}^{-3}$ (McCluskey et al., 2018a). For context, $N_{\rm INP}$ at -20° C was found to be lower by a factor of up to 100 compared to Bigg (1973). Preliminary INP results for CAPRICORN-II are presented in McFarquhar et al. (2021) and underline the findings for CAPRICORN-I of

low but highly variable N_{INP} values on the SO. They also investigated the contribution of biological INP using heat treatment methods, assuming biological INP to be heat-labile. McCluskey et al. (2018a) found INP on the SO to be mainly heat-resistant,

- 95 with contributions from heat-labile INP in the -15 to -20°C temperature range. In Bigg (1973) it was hypothesised, based on the fact that INP concentrations did not increase significantly in the vicinity of Australia, that there was no influence of dust from the continent. Correlation of INP and ambient radon concentration was used to assess whether sampled INP have terrestrial or oceanic sources for both CAPRICORN cruises the CAPRICORN-I cruise. The INP source potential of bubble bursting was characterised for CAPRICORN II CAPRICORN-I in McCluskey et al. (2018a), using seawater samples. They
- 100 found that INP were from oceanic sources, aerosolized by bubble bursting. Additionally, Uetake et al. (2020) show showed that bacteria sampled during CAPRICORN II CAPRICORN-II are mostly of marine origin, suggesting a restricted meridional transport of continental aerosol towards the SO. In consequence, a dominance of sea spray on INP the INP population in the SO's MBL was concluded.

However, data on INP abundance, spatial distribution, properties, and sources over the SO region remain sparse. Regayre
et al. (2020) pointed out that already a small number of observations from the SO can effectively reduce model uncertainty more than hundreds of measurements in the Northern hemisphere, as current simulations are based on very few observations in the Southern hemisphere. This demonstrates a need for further field measurements of CCN and INP in the SO region.

In this study, we present circum-Antarctic measurements from three months of continuous on-line CCN measurements and filter sampling with subsequent INP, sodium and MSA analysis Parts of the CCN and INP data set presented in this study.

- 110 have previously been presented in the overview on the ACE cruise in Schmale et al. (2019). Aerosol properties were found to be highly heterogeneous over the SO. The CCN abundance in the MBL showed a significant sea spray contribution in the strong westerly wind belt, while in the polynyas of the Ross and Amundsen Sea biogenic emissions are more important. INP abundance was shown to be lower on the SO than in Northern hemispheric marine air, with small differences between samples on the open ocean and close to the Antarctic coast. INP abundance was found to be similar to other studies on
- 115 the SO (e.g., McCluskey et al., 2018a) but lower than historic data from Bigg (1973). INP concentrations at -15° C from the ACE expedition have been presented in Welti et al. (2020). They show that N_{INP} from ship-based measurements are lowest in polar regions and highest in temperate climate zones. Overall, geographical variation in N_{INP} is below 2 orders of magnitude at any temperature. At low temperature, lower N_{INP} were encountered in the Southern hemisphere than in the Northern hemisphere and this was attributed to the concentration of dust particles active as INP. These two previous studies
- 120 (Schmale et al., 2019; Welti et al., 2020) presented a subset of the CCN and the INP data in larger contexts. This paper focuses on the detailed analysis and interpretation of the observations, including $N_{\rm CCN}$ at all available SS and $N_{\rm INP}$ at the full investigated temperature range. Based on 10-day backward-trajectories, an air-mass analysis was performed to locate potential INP sources. In addition, a correlation analysis – was performed using CCN, INP, and additional data from the ACE expedition in order to find potential links between the measured properties are discussed.

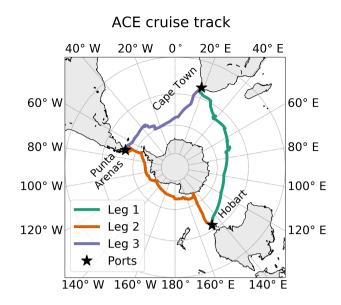


Figure 1. Hourly position of the RV *Akademik Tryoshnikov* during ACE. <u>The ports visited as part of the cruise are indicated (stars)</u>. Leg 1 (green) from Cape Town (South Africa) to Hobart (Australia) between 20 December 2016 and 19 January 2017, Leg 2 (orange) from Hobart to Punta Arenas (Chile) between 22 January and 22 February 2017, and Leg 3 (purple) from Punta Arenas to Cape Town between 26 February and 19 March 2017.

125 2 Methods

Measurements were carried out in the framework of ACE (Walton and Thomas, 2018). The cruise took place between December 2016 and March 2017 on board the research vessel (RV) *Akademik Tryoshnikov*. Starting and ending in Cape Town (South Africa), the cruise was divided into three legsLegs: Cape Town to Hobart (Australia), Hobart to Punta Arenas (Chile) and Punta Arenas to Cape Town (Fig. 1). Several islands (Marion, Crozet, Kerguelen, Balleny, Scott, Peter 1st, Diego Ramierez, South Georgia, South Sandwich, and Bovetoya island), an Antarctic glacier (Mertz Glacier), and the Siple ice shelf were passed during ACE. At Mertz Glacier the southern-most latitude of 78° S was reached.

The instrumentation for real-time aerosol measurements was situated in a laboratory container on the fore-deck of the RV, equipped with two standard aerosol inlets (Global Atmosphere Watch; Weingartner et al., 1999) at roughly 15 m above sea level (a. s. l.), allowing for particles with $D_p \le 40 \,\mu m$ (PM₄₀) to be sampled. The sampled air was dried to a relative humidity below 40° . An iso kinetic splitter was used together with as short as possible tubing, to feed the aerosol to the different

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below 40 %. An iso-kinetic splitter was used, together with as short as possible tubing, to feed the aerosol to the different instruments inside the measurement container. The operated low- and high-volume filter samplers were positioned on the upper deck of the RV (~28 m a. s. l.) and each

one run on a PM_{10} inlet. Further details on the filter sampling can be found in subsection 2.3. An ultrasonic anemometer was operated next to the high-volume filter sampler and provided wind direction data for an automatic shut-down mechanism for exclusive to the high volume sampler. Sampling was stopped automatically during periods with wind direction within a 180°

140 exclusive to the high-volume sampler. Sampling was stopped automatically during periods with wind direction within a 180°

half-circle at the sampler, with the RV's exhaust at 90°. A detailed description of the instrument set-up of the ACE-SPACE project is given in Schmale et al. (2019), and a full description of the instrument set-up during ACE is given in the cruise report by Walton and Thomas (2018). In the following, we focus on the instruments used in conjunction with our CCN- and INP-related investigations.

145 2.1 Aerosol Size Distribution

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Particle number size distributions (PNSD) of aerosol particles in the mobility diameter range of 11–400 nm and aerodynamic range of 500 nm–19 µm were measured using a Scanning Mobility Particle Sizer (SMPS,; custom-built by PSI) and an Aerodynamic Particle Sizer (APS,; model 3321 by TSI inc., Shoreview, MN, USA), respectively. The custom-built SMPS instrument is further described in Wiedensohler et al. (2012). Validation of sizing accuracy of both instruments was performed using polystyrene latex spheres. To minimize influences of the ship exhaust, data filtering was performed for the SMPS and APS data, based on sudden changes in the total aerosol particle number concentration, concentrations of carbon dioxide, black

carbon, and wind direction (Moallemi et al., 2021).

PNSD of SMPS and APS were merged by assuming spherical particle shape for the SMPS output to convert mobility diameter to geometric diameter as a first step. As a second step, the APS output is converted from aerodynamic to geometric diameter assuming spherical shape and material density of 1.8 g cm^{-3} . The combination of both outputs enables interpolation

- diameter assuming spherical shape and material density of $1.8 \,\mathrm{g \, cm^{-3}}$. The combination of both outputs enables interpolation of the gap between the SMPS ($D_p \le 400 \,\mathrm{nm}$) and APS ($D_p > 500 \,\mathrm{nm}$) instruments. Further, a mode fitting technique analogue to Modini et al. (2015) was applied, that is based on a method described in Khlystov et al. (2004) and fully described in the supplement to Landwehr et al. (2021). TherebyIn this approach, each PNSD is assumed to be a superposition of up to three aerosol modes and log-normal distributions in pre-defined size ranges are fitted. The three modes are Aitken (modal
- 160 diameter in the range 1 to 20 nm; referred to as "mode 1" in the following), accumulation (10 to 100 nm; "mode 2"), and a sea-spray mode (centered around 140 to 220 nm; "mode 3"). For each time step, combining all fitted modes results in a smoothed total PNSD that is used where a measured PNSD is too noisy at low concentrations, e.g., for the calculation of the particle hygroscopicity parameter described in subsection 2.2. An example of the mode fitting is given in Fig. S1. Integration of smoothed total PNSD over all mobility geometric diameters gave total aerosol particle number concentration (N_{total}) for
- 165 each time step. Analogously, the concentration of particles with $D_{\rm p} > 500 \,\mathrm{nm} \,(N_{500}N_{>500})$ was derived, which is later used in a commonly-used parameterization for INP concentration (see subsection 3.4).

2.2 Cloud Condensation Nuclei

A Cloud Condensation Nuclei counter (CCNc; *CCN-100* instrument by DMT, **BoulderLongmont**, CO, USA) was used to measure the CCN concentration at various *SS*. The CCNc's main part is a continuous-flow thermal gradient diffusion chamber,

170 in which a stream-wise temperature gradient is induced to achieve defined SS and corresponding particle activation to droplets. The aerosol flow rate inside the CCNc is $0.5 \,\mathrm{L\,min^{-1}}$. Activated particles are counted by an optical particle counter. Further documentation on the CCNc can be found in Roberts and Nenes (2005). Calibration of the CCNc was performed prior to the cruise, following the standard operating procedure given in Gysel and Stratmann (2014) and recommendations in Schmale et al. (2017). During ACE, the CCNc was operated at SS of 0.1, 0.15, 0.2, 0.3, 0.5, and 1% maintained for ten minutes

- 175 each. To ensure stable thermal conditions within the instrument, data collected during the first five minutes of each SS setpoint were discarded. Furthermore it was ensured that (1) the instrument's internal thermal stability control reported thermally stable conditions, and (2) the absolute difference between set and read temperature of the optics was smaller than 2 K. The remaining data were aggregated into one minute intervals and filtered for ship exhaust influences (same as for the SMPS and APS instruments). Based on the filtered values, averaged $N_{\rm CCN}$ at a particular SS were calculated. This procedure results in
- 180 one $N_{\rm CCN}$ value per hour and supersaturation. During data analysis, CCN concentrations at 0.1 % were found to lack sufficient data quality, therefore measurements at this supersaturation were discarded.

For determining the critical dry diameters for particle activation (D_{crit}) and aerosol particle hygroscopicity parameters (κ) , we applied the procedure used in, e.g., Kristensen et al. (2016) and Petters and Kreidenweis (2007). D_{crit} is implicitly defined as the lower boundary of the integral over the PNSD for which the integrated particle number concentration equals

- the measured CCN number concentration. In our case, the upper boundary of the integral was always 1040 µm, due to using instruments operated on a PM₁₀₋₄₀ inlet. The κ value, an indirect measure of chemical composition of the CCN at given D_{crit}, is derived from the SS applied in the CCNc and the corresponding D_{crit}. Corresponding to N_{CCN}, one κ value per hour and supersaturation is determined. A Monte Carlo simulation (MCS) approach with an iterative solver was used, following the procedure described in Herenz et al. (2019), to model error propagation in both derivation of D_{crit} and calculation of *N*_{crit}. The calculation of D_{crit} and thus κ is highly sensitive to the PNSD which, in our case, depends on the quality of the
- mode-fitting. To exclude unreasonable values, D_{crit} values were filtered. For this, the range between 10th and 90th percentile of D_{crit} was calculated for each SS separately. D_{crit} values outside this range and associated κ values were excluded from further analysis. Hence, the presented results are representative of the most frequently occurring κ values.

2.3 Filter sampling for INP, sodium and MSA analysis

- 195 Filter sampling of ambient air for off-line INP, sodium and MSA analysis at the laboratories of Leibniz Institute for Tropospheric Research (TROPOS) was carried out using a high-volume sampler (HV; *DHA-80* filter sampler, DIGITEL, Volketswil, Switzerland). Further, a low-volume sampler (LV; *DPA-14* filter sampler, DIGITEL) was used to collect additional samples for INP analysis. LV sampling was performed at eight hours time resolution using track-etched polycarbonate membrane filters (Whatman Nuclepore, Cytiva, Little Chalfont, UK; 200 nm pore size, 47 mm in diameter) at a flow rate of roughly
- 200 25 Lmin^{-1} . The HV sampler used a flow rate of roughly 500 Lmin^{-1} , sampling air through quartz-fibre filters (*MK 360*, Munktell, Bärenstein, Bärenstein, Germany) of 150 mm in diameter for up to 24 hours per filter. Here, each filter's filters showed an average sampled volume of $471.3 \pm 151.4 \text{ m}^3$ (mean \pm SD) due to individual sampling time (<1 to 1437 min) was dependent depending on the automatic shut-down mechanism. In total, 258 LV and 94 HV filters were collected throughout the cruise, including five (four) un-sampled reference filters for LV (HV) sampling, called field blank filters (FBF). FBF were
- 205 handled in the same way as the sampled ones, enabling assessment of background concentrations due to both methodology and handling. After sampling, filters were stored in a freezer at -20° C and shipped frozen to TROPOS for off-line analysis after the cruise concluded. INP analysis was performed for both LV and HV filters. LV filters were used solely for the INP

analysis, while the HV filters were split between INP, sodium and MSA analysis and reserve samples. HV filters with a too small sampling volume ($<100 \text{ m}^3$), due to the aforementioned automated shut-down mechanism, were not considered further

210 to prevent unreasonably high conversion factors to infer atmospheric concentrations from filter analysis results. A total of 79 sampled HV filters were included in the following analysis.

The freezing behaviour immersion freezing capability of the aerosol particles collected on each LV and HV filter was investigated measured using the Ice Nucleation Droplet Array (INDA) at TROPOS. INDA is based on the freezing array method described in Conen et al. (2012) and a detailed instrument description is given in the supporting information in Hartmann et al.

- 215 (2019). As a first step of the analysis process, stored filters were acclimatised to roughly -3° C in a fridge. LV filter contents were washed off by submerging the filter in 7.5 mL (V_{water} ; 10 mL at later stages) ultra-pure water (milliQ, 18.2 M Ω cm⁻²). In contrast, 96 randomly punched-out pieces of 1 mm in diameter ($D_{punchout}$) per filter were used for the INP analysis of the HV filters. The 96 wells of a PCR (polymerase chain reaction) plate (BRAND, Wertheim, Germany) were either filled with 50 µL each ($V_{droplet}$) of the filter washing water (LV) or with 50 µL of milliQ water and one punch-out (HV). The PCR plate was
- sealed and partially submerged in the ethanol bath of a cryostat (*FP 40*, Julabo, Seelbach, Germany). Cooled at a rate of roughly 1 Kmin^{-1} , the number of frozen droplets (n_{frozen}) and corresponding temperature value (*T*) was documented automatically every six seconds. Recommendations on sample handling and processing given in Polen et al. (2018) were followed.

The frozen fraction (f_{ice}) was calculated by dividing n_{frozen} by the total number of droplets per PCR plate ($n_{total} = 96$). Obtained f_{ice} at any T was used to derive the cumulative INP concentration N_{INP} , using:

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$$N_{\rm INP}(T) = -\frac{ln(1 - f_{\rm ice}(T))}{V}$$
 (1)

according to Vali (1971). The reference volume V for the LV filters was calculated as:

$$V = \frac{V_{\text{flow}}}{V_{\text{water}}} * V_{\text{droplet}},\tag{2}$$

where V_{flow} is the sampled air volume, V_{water} is the volume of washing water and V_{droplet} is the water volume per PCR plate well. For the HV filters, V was calculated using:

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$$V = \frac{(0.5 * D_{\text{punchout}})^2}{(0.5 * D_{\text{filter,HV}})^2} * V_{\text{flow}},$$
(3)

where D_{punchout} is the diameter of the filter sub-sample per well and $D_{\text{filter,HV}}$ the diameter of a HV filter. V_{flow} was logged by both (LV and HV) samplers. Due to the higher number of LV samples, resulting in more robust statistics compared to the HV samples, we focus in subsection 3.2 on INP results derived from the LV samples, while only briefly commenting on results from the HV samples.

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5 Uncertainties arising from the methodology were assessed similarly to previous studies (e.g., Wex et al., 2019; Gong et al., 2020). Confidence intervals for $f_{ice}(T)$ of each filter were determined using a method described in Agresti and Coull (1998). Resulting lower and upper values of each $f_{ice}(T)$ in Eq. 1 are reported as error bars of $N_{INP}(T)$ values.

To provide data points from each filter at a given temperature, estimated Lower and upper limits of INP concentrations are given for cases when ice fractions of $f_{ice} = 0$ or $f_{ice} = 1$ were obtained, i.e., none or all wells of the PCR plate were frozen.

For these two cases, Eq. 1 is not applicable to calculate valid concentrations. We then assume the probabilities of either none 240 $(f_{ice} = 0/96)$ or one $(f_{ice} = 1/96)$ of the PCR wells to be frozen as equal. A similar assumption is made when in the case of all $(f_{ice} = 96/96)$ or all but one $(f_{ice} = 95/96)$ wells are being frozen. Considering $f_{ice} = 1/96$ and $f_{ice} = 95/96$ in Eq. 1 yields estimates for the lower and upper limit of detectable $N_{\rm INP}$, respectively.

Based on the f_{ice} of the FBF, field blank filters (FBF) we determined averaged temperature-dependent INP concentrations for

- the FBF (, N_{INP FBF}), which were used as background, which are given as point of reference for background concentration 245 levels whenever $N_{\rm INP}$ for our sampled filters the sampled filters are shown. Equation 1 with an average (mean \pm SD) volume of sampled air for all sampled LV (HV) filters of $8.95 \pm 0.74 \text{ m}^3$ ($471.3 \pm 151.4 \text{ m}^3$) was used to calculate $N_{\text{INP,FBF}}$. In Tab. S3 $N_{\rm INP \ FBF}$ is given for the LV filters. No correction for contamination by the RV's stack exhaust was applied, as it was shown in Welti et al. (2020) that ship exhaust is not ice-active in the temperature range we are presenting $(T > -30^{\circ} \text{C})$.
- 250 The INP concentrations derived for the LV filters were normalised to the aerosol surface area or alternatively volume, following Mitts et al. (2021), in order to obtain normalized ice (nucleation) activity. For this, the total particle number size distributions (subsection 2.1) were used. For all particle size bins, the number of particles was multiplied with the mean particle surface area and volume, respectively, under the assumption of spherical particles. Results are the total particle surface area and volume for each size distribution. These values were averaged over the 8 h sampling time of each LV filter and $N_{\rm INP}$ 255 is divided by these values, resulting in the ice active site density (n_s) and ice active volume density (v_s) , respectively.

Analysis of the HV filters regarding mass concentrations of sodium and MSA was performed. Total filter mass load for each HV filter was determined using a micro-balance (AT261 Delta Range, Mettler Toledo, Greifensee, Switzerland). Filter contents were extracted and ion chromatography performed, following the procedures described in Müller et al. (2010) and van Pinxteren et al. (2017). Results of the analysis were corrected for standard conditions and are reported as atmospheric mass

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concentrations (in $\mu g m^{-3}$). An influence from the RV's exhaust stack on the measured sodium or MSA concentrations is not expected due to their respective sources mechanismmarine sources. Sodium is used as a conservative tracer for primary aerosol particles of marine origin, and MSA was found to be solely a product of DMS oxidation (Legrand and Pasteur, 1998).

2.4 **Further resources**

During the ACE cruise sea water was sampled every four hours using the RV's underway water supply system and during CTD (conductivity, temperature and depth) rosette deployments, at specific depths up down to 200 m (Walton and Thomas, 265 2018). Glass fibre filters (25 mm in diameter, 700 nm pore size) were sampled with up to 2 L of sampled sea water under low vacuum pressure and stored at -80° C prior to analysis on-board the RV. After extraction in 90% acetone for 24 h, chlorophyll a (Chl-a) pigment concentration (in mg m⁻³) was measured on a fluorometer (AU-10, Turner Designs, San Jose, CA, USA). Calibration was performed against a standard Chl-a solution (Sigma-Aldrich, St. Louis, MO, USA). Concentrations 270 of volatile organic compounds (VOC), like isoprene and DMS, in sea water were measured using a gas chromatography-mass spectrometry system (5975-T LTM-GC/MSL, Agilent Technologies, Santa Clara, CA, USA) by the Surveying Organic Reactive

gases and Particles Across the Surface Southern Ocean (SORPASSO) project. A description of the full procedure can be found in Rodriguez-Ros et al. (2020).

Continuous data of wind speed and direction during the cruise were obtained from two ultrasonic anemometers (part of

- 275 *MAWS* 420 system, Vaisala, Vantaa, Finland) located on the port- and starboard side of the RV on the observation deck (\sim 30 m a. s. l.) above the bridge of the RV (Walton and Thomas, 2018). Observed wind speeds were corrected by Landwehr et al. (2020) regarding the instrument's position on the ship. To estimate the wind speed at 10 m a. s. l. (U_{10}), measurement height and atmospheric stability were considered using a logarithmic wind speed profile, including the drag coefficient. Quantification of air-flow distortion bias generated by the RV's structures was performed using the data from the operational ERA-interim weather model as a free stream reference. The resulting correction was applied to the observed wind speed, leading to a data
- 280

set of wind speed at 10 m a. s. l. for the cruise with a five minute time resolution. The distance between the RV's position and the nearest land for ACE was calculated by Volpi et al. (2020) using the cruise

track, coast lines of the continents from the *NaturalEarth* project (version 4.1.0), and additional information on islands in the SO inside a the geographic information system application. *qGIS* (version 3.2.3-Bonn) with the help of the *NNJoin* plugin (version 3.1.2).

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Backward-trajectories along the ship track for ACE are available in Thurnherr et al. (2020). Calculations have been performed with the "LAGRANgian analysis TOol" (LAGRANTO). The three-dimensional wind fields used by LAGRANTO are from the six-hourly global operational analyses of ECMWF and short-term forecasts in between the analysis time steps. A variety of variables were interpolated along the trajectories, e.g., the pressure level of the planetary boundary layer (PBL), the condition of

290 the underlying surface (e.g., land, open ocean, ice) or the total precipitation. In our study the air parcel's height in combination with boundary layer height was used to assess when it was within the PBL. When in the PBL, the information on the type of underlying surface was used. The surface below the air parcel was characterised using geographical location information, similar to what is done in Radenz et al. (2021). The full procedure of the analysis is described in the SI to this study.

2.5 **Correlation analysis**

295 The collected data were used in a correlation analysis. The goal was to characterise the aerosol population on the SO by finding possible connections between their associated quantities, with the strength for lack of correlation as a first hint for potential sources.

Input variables were the MSA and sodium concentrations from the HV filters, INP concentrations at five temperatures $(-8, -12, -16, -20, \text{ and } -24^{\circ}\text{C})$ from the LV filters, $N_{\text{total}}, \frac{N_{500}}{N_{\geq}500}$, particle concentrations of individual PNSD modes

- 300 $(N_{\text{mode1}}, N_{\text{mode2}}, \text{and } N_{\text{mode3}}), N_{\text{CCN}}$ at all measured SS and respective κ values, wind speed at 10 m a. s. l. (U_{10}) and in-water Chl-a and DMS concentrations. Note that $N_{\rm INP}$ values from the analysis of the LV filters were considered in the correlation analysis given better statistical robustness due to a larger number of samples. Correlation analysis was performed by calculating Spearman's rank correlation coefficients and associated p values between input variables. As data of diverse temporal resolution were used, the coarsest resolution (24 h, HV filter samplingchemical analysis) was chosen and variables with finer
- resolution were averaged over 24 hour periods, using arithmetic mean values. For each variable, 79 data points were used 305 for the correlation analysis. This corresponds to the number of HV filters which sampled a sufficient $(>100 \text{ m}^3)$ volume (see subsection 2.3).

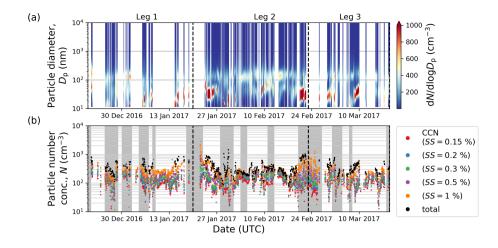


Figure 2. Time series of (a) hourly smoothed PNSD - and (b) total aerosol particle (black) and CCN number concentration (colour-coded by supersaturation) during legs-Legs 1–3. Ports visited (dotted dashed lines) and vicinity to land (grey area) are indicated in the figure. Data gaps stem from filtering for instrument availability and exclusion of stack exhaust contamination periods. In (a) the Hoppel minimum can be seen as local minimum in the Aitken mode of the PNSD (Leg 1: 48 nm, Leg 2: 74 nm, Leg 3: 68 nm on average).

3 **Results and Discussion**

3.1 **Aerosol Particles and Cloud Condensation Nuclei**

- In Fig. 2a, smoothed PNSD for legs Legs 1–3 of ACE are presented. For the most parts of the cruise, a bi-modal particle number 310 size distribution was present. Potential sources for the pronounced accumulation mode, which is causing the bi-modality, are either entrainment of aerosol particles from the free troposphere (FT) into the MBL or in-cloud processing, according to Hoppel et al. (1986). A general characterisation of the aerosol particles sampled during ACE is given in Schmale et al. (2019), including median values for the diameter of the Hoppel minimum, which are 48, 74, and 68 nm for Leg 1, Leg 2, and Leg 3,
- respectively (see Fig. 2a). 315

Time series of N_{total} and $N_{\text{CCN}}(SS)$ for the ACE cruise are given in Fig. 2b. Here, days for which the average distance to land is lower than $200 \,\mathrm{km}$ are highlighted with grey shading. Additionally, the starts and ends of the different eruise legs Legs are given as dashed lines. Filtering by stack exhaust contamination caused concurrent data unavailability, while differences in temporal resolution and availability of the instruments create times with no overlap between N_{total} and $N_{\text{CCN}}(SS)$. Fig. 2b

shows that $N_{\rm CCN}$ at a particular SS varied over 2 orders of magnitude throughout the cruise, e.g., at a SS of 0.2 % ($N_{\rm CCN,0.2}$) 320 from 4 to $309 \,\mathrm{cm^{-3}}$, which is consistent with the frequency distribution in a. In the vicinity of the ports, higher N_{total} and $N_{\rm CCN}(SS)$ are observed compared to the open ocean sections (stack exhaust contamination filtering being performed for both as described in subsection 2.2). This suggests aerosol particle abundance to be influenced by terrestrial and anthropogenic sources and is in line with Schmale et al. (2019) showing pristine conditions during ACE being encountered only south of 55° S. 325

Periodic differences between N_{total} and $N_{\text{CCN},1.0}$ throughout the cruise were observed (Fig. 2b). Periods of larger differences coincide with PNSD in Fig. 2a featuring a pronounced Aitken mode ($D_{\text{p}} = 10-100 \text{ nm}$) with elevated numbers in the size range below 40 nm. During these periods even SS = 1 % was not sufficient to activate the smaller Aitken mode particles. Consequently, quantities presented later in this manuscript, that are derived from $N_{\text{CCN},1.0}$, are representative for the larger Aitken mode particles $\frac{1}{2} \frac{1}{2} \frac{1}{2}$

In addition to the time series presented in Fig. 2b, spatial distribution of $N_{\rm CCN}$ at all measured *SS* are given as daily averages in Fig. S2. Averages of $N_{\rm CCN}(SS)$, for the three legs for the Legs of ACE are shown in Fig. 3a, individually. Due to the frequency distributions of $N_{\rm CCN}$ in Fig. 4a (introduced later) resembling log-normal distributions, leg-aggregated Leg-aggregated data and variability are given as geometric mean and geometric standard deviation values, respectively. $N_{\rm CCN}$ values increase with *SS*, e.g., for Leg 1 from 91 cm⁻³ ($N_{\rm CCN,0.15}$) to 241 cm⁻³ ($N_{\rm CCN,1.0}$). For all *SS*, the largest geometric mean values of $N_{\rm CCN}(SS)$ are observed during Leg 2. Moreover, the average Hoppel minimum diameter was found to be the largest for Leg 2, when compared to the other two legs Legs 1 and 3, indicating a pronounced Aitken mode. This, together with Schmale et al. (2019) showing less contribution (relative and absolute) of SSA to CCN during Leg 2, suggests a significant

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340 the ranges given by the respective geometric standard deviations. Therefore, the impact of longitudinal differences on The longitudinal differences in CCN abundance is either small against the overall variability of in the data, or a variety of effects cancel each other out so that no clear latitudinal longitudinal trend can be observed. A similar conclusion can be drawn in terms of latitudinal trends, because the majority of the cruise track during Leg 2 was south of 60° S, compared to legs Legs 1 and 3 being solely north of 60° S. With this, the CCN concentrations given in Tab. S1 can be considered representative for the whole S0 region.

fraction of CCN originating from secondary aerosol production. However, differences in $N_{\rm CCN}$ between legs Legs are within

In addition to our data, $N_{\rm CCN}$ from a selection of other studies performed on Antarctica or over the SO are given in Fig. 3a and summarized in Tab. 1. For the continental Antarctic research station *Princess Elisabeth* (PES), located at 71.95° S and 23.35° E on East Antarctica's Queen Maud Land and about 200 km in-land from the Antarctic coast, Herenz et al. (2019) reported $N_{\rm CCN,0.1}$, $N_{\rm CCN,0.2}$, $N_{\rm CCN,0.3}$, $N_{\rm CCN,0.5}$, and $N_{\rm CCN,0.7}$. Overall, we find good agreement between values for the measurement period 2013–2016 in Herenz et al. (2019) and the geometric mean values of this study covering roughly three months during the Austral summer. The reported concentrations of $N_{\rm CCN,0.2}$, $N_{\rm CCN,0.3}$, and $N_{\rm CCN,0.5}$ for cases of maritime air masses air-masses reaching PES show a difference of -28%, -9%, +3% to our values, respectively. Differences are

- expected, since (1) the station is A first hypothetical reason for the differences at SS = 0.2% is that PES is not located directly at the Antarctic coastand (2) the number of measurements during the Austral summer is higher (three years). A better agreement
 at higher. A second hypothetical reason is that activation at this low SS suggests that differences arise from larger particles, that in general activate at lower SS is associated with large particles, which might be removed due to atmospheric processes
- during transport to PES. At the Australian Cape Grim Baseline Station (CGBS; 40.68° S, 144.68° E), $N_{\text{CCN},0.5}$ is measured continuously since the mid-1970s (Gras and Keywood, 2017). In Humphries et al. (2021), average $N_{\text{CCN},0.5}$ over the time frame ACE's time frame (November 2017 to March 2018for) at CGBS are given. For the whole this period, a median of
- $\sim 230 \text{ cm}^{-3}$ is reported (triangle pointing left in Fig. 3a). This is above our average (mean \pm SD) of 189 ± 76 median value

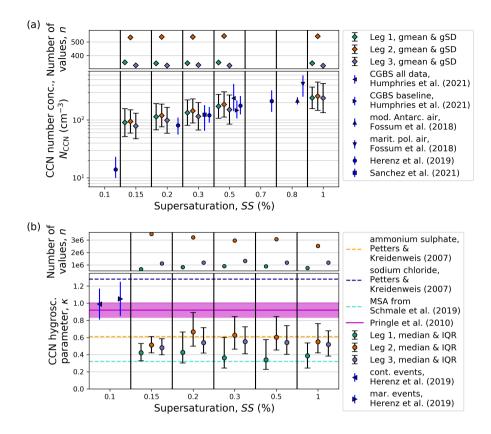


Figure 3. (a) Geometric mean values (gmean) and geometric standard deviation (gSD; whiskers) of CCN number concentration ($N_{\rm CCN}$) and (b) median values and respective inter-quartile range (IQR) of aerosol particle hygroscopicity parameter (κ). Both $N_{\rm CCN}$ and κ are given as function of supersaturation (SS) for Leg 1 (green), Leg 2 (orange), and Leg 3 (purple), respectively. All κ values resulting from $D_{\rm crit}$ values outside of 10th to 90th percentile range for $D_{\rm crit}$ (per SS) are excluded here. Included for comparison in (a) are the averages (median and IQR) over all measurements at Cape Grim Baseline Station (CGBS) coinciding with PEGASO and CAPRICORN-II from Humphries et al. (2021) (triangle pointing left) and measurements for "baseline" conditions (triangle pointing right) during that period. Baseline conditions are defined as wind directions between 190 and 280°, and ambient radon concentrations below 100 mBq m⁻³. Averages for events of modified Antarctic air (upward trianglespointing triangle) and maritime polar air (downward trianglespointing triangle) from Fossum et al. (2018) are given. As reference, mean κ values for sodium chloride (dashed black-blue line) and ammonium sulfate (dashed orange line) from Petters and Kreidenweis (2007), and a hypothetical κ value for MSA from Schmale et al. (2019) (dashed teal line) are given in (b). Averages for Antarctica from Herenz et al. (2019) for cases of continental (triangles-triangle pointing left) and marine events (triangles-triangle pointing right) are given. Modelled κ values for the Southern Ocean's surface layer (magenta line and area) from Pringle et al. (2010) for reference. The number of data points (n) are indicated in the figure.

for Leg 1 and differences of 181 cm⁻³ and at the upper end of our results (IQR: 138–225 cm⁻³). Differences could be due to continental air masses air-masses reaching CGBS. Conditions at CGBS are only representative for the SO when the wind direction is between 190° and 280°, the so-called "baseline" conditions (Gras and Keywood, 2017). The At CGBS, the ambient

Table 1. Overview of a selection of studies on aerosol particles and CCN over the Southern Ocean.

	Location (ACE equivalent)	Time frame Time frame	CCN measurements (sampling cut-off)	Reference
Princess Elisabeth station	71.95° S. 23.35° E (Leg 3)	2013– 2016	NCCN.0.1. NCCN.0.2. NCCN.0.3. NCCN.0.5. NCCN.0.7	Herenz et al. (2019)
Cape Grim baseline station	40.68° S, 144.68° E (Leg 1)	<u>Nov 2013–</u> <u>Mar 2016</u>	NCCN.0.5	Humphries et al. (2021)
PEGASO cruise	50–65° S, 70–40° W (Leg 3)	Jan- Feb 2015	N _{CCN,0.8} (PM2.5)	Fossum et al. (2018)
ACE cruise	34–78° S, circum- Antarctic	Dec 2016- Mar 2017	Nccn.0.151 Nccn.0.21 Nccn.0.31 Nccn.0.51 Nccn.1.0 (PM40)	<u>This study</u>
SOCRATES campaign	<u>42.5–62.1</u> ° S, <u>133.8–163.1</u> ° E (Leg <u>1)</u>	Jan 2017	NCONAL	Sanchez et al. (2021)
MARCUS cruise	44–69° S, 60–160° E (Leg 1)	Oct 2017- Mar 2018	NCCN.9.21 NCCN.9.5	Humphries et al. (2021)
CAPRICORN-II cruise	44-68° S, 130-150° E (Leg 1)	Jan- Feb 2018	Nccn.0.2, Nccn.0.3, Nccn.0.5	Sanchez et al. (2021), Humphries et al. (2021)

radon concentration is used as a proxy for terrestrial influence (e.g., McCluskey et al., 2018a) and a threshold of 100 mBq m^{-3} is used in Humphries et al. (2021). By excluding measurements at CGBS that are not from Averaging CGBS measurements which feature only baseline conditions, a median of $\sim 130 \text{ cm}^{-3}$ (triangle pointing right in Fig. 3) can be a) was found. This is in good agreement with at the lower end of our results for Leg 1 and we conclude that the terrestrial influence on our $N_{\text{CCN},0.5}$ average values is small. The terrestrial influence during Leg 1 being small is underlined later in the text by the backward trajectory analysis (see subsection 3.2). As for ship-based CCN measurements, comparison between our findings

and the PEGASO cruise in the SO's Atlantic sector during January–February 2015 (Fossum et al., 2018) can only be done semi-quantitatively, since *SS* are not identical. Further, a comparison is only reasonable for Leg 3, the part of ACE on the

Atlantic sector of the SO. The result of visual interpolation between our $N_{\text{CCN},0.5}$ and $N_{\text{CCN},1.0}$ for Leg 3 lies in the ranges of $217 \pm 31 \text{ cm}^{-3}$ reported for modified Antarctic air encountered during PEGASO (Fig. 3a). For the BSO-British Southern Ocean (BSO) cruise, only CCN concentrations inferred from nss-sulfate are available in O'Dowd et al. (1997), not comparable

with any of our N_{CCN}. As for aircraft-based CCN measurements, N_{CCN,0.3} between 17 and 264 cm⁻³, with an average of 123±58 cm⁻³ (mean±SD), are report in Sanchez et al. (2021) for flights through the MBL between 42.5–62.1° S and 133.8–163.1° E during the Southern Ocean Clouds, Radiation, Aerosol Transport Experimental Study (SOCRATES). The reported concentrations are slightly lower than what was measured in that area during ACE, with values between 48 and 452 cm⁻³ and an average of 178±99 cm⁻³ (mean±SD). Besides the difference in measurement height (SOCRATES: 50 m a. s. l. until height of inversion; ACE: ~15 m a. s. l., see section 2), another factor is that measurements are from successive years, with the

ACE cruise being in that area during 16 January to 26 January 2017 and the 15 flights during SOCRATES in the period of 15

An overview on the aerosol particle hygroscopicity parameter κ values observed during legs observed during Legs 1–3, individually, is given in Fig. 3b. Leg-wise averages of the hourly available κ values per SS are given as median values and respective inter-quartile ranges (IQR) because the frequency distributions of $\kappa(SS)$ in Fig. 4b (introduced later) do not resemble log-normal distributions. Error bars include both natural variability and the measurement uncertainty in κ , as described in subsection 2.2. Median κ values for all legs-Legs and SS are spread between 0.3 and 0.7, with a combined variabilityuncertainty range (indicated by IQR as error bars) ranging from 0.2 to 0.9. Differences between legs Legs can be seen, with the highest median values at each SS found for Leg 2. Reference values for pure compounds or compound classes are given

January to 25 February 2018.

- in Petters and Kreidenweis (2007), a mean κ between 0.1 and 0.2 for organic material, $\kappa_{mean} = 0.6$ for ammonium sulfate, $\kappa_{mean} = 0.9$ for sulfuric acid, and $\kappa_{mean} = 1.3$ for sodium chloride is reported. A typical κ value for ammonium nitrate is omitted in Fig. 3b, as nitrate-containing compounds were found to not play an important role for the CCN population. Additionally, in Schmale et al. (2019) a $\kappa \sim 0.3$ for MSA is hypothesised. The majority of our κ are above what is given for organic material and below the value given for sulfuric acid, which indicates that the sampled CCN population consists of a
- 395 variable mixture of organic and inorganic materials. Median κ values for Leg 1 are closest to what is assumed for MSA, while median values for leg Legs 2 and 3 are closer to the value for pure ammonium sulfate. Looking at size-dependency, no clear trend of κ between different SS is apparent when considering error bars. For the size range between roughly 37 and 12330 and 110 nm probed with our by the range of SS (Tab. S1), this suggests the chemical composition appears to be independent of particle size, which further suggests a well-mixed aerosol (or CCN) population. However, when considering median values
- 400 alone, for SS > 0.15% a slight decrease in κ values with increasing SS can be seen for Leg 2. Lower κ values at higher SS are in line with condensable organic vapors contributing to the aerosol chemical composition, while larger, aged particles activating at lower SS, are associated with higher κ (McFiggans et al., 2006). Legs 1 and 3 do not feature increasing κ values with decreasing SS, which suggests an internally mixed CCN population.

Comparison to Herenz et al. (2019) shows that our κ values observed over the SO are much lower than the ones reported 405 for the Austral summer on continental Antarctica, where κ values at SS = 0.1 % were found to be in the range between 0.8 and 1.3. This suggests significantly different particle composition at PES compared to the SO. Herenz et al. (2019) interpreted their sampled particles to be of mostly inorganic nature (i.e., sea spraysalt) and therefore assumed a primary, marine origin. Our values, because of the overall small κ values, hint towards a composition dominated by organics. Note , that particle sizes between 37 and 12330 and 110 nm were probed with the SS range of our instrument, hinting on the encountered aerosol

410 population being mainly comprised of smaller particles. For SOCRATES, Saliba et al. (2020) report κ values between 0.2 and 0.5 for particles with $D_{\rm p} < 100$ nm. Our κ values in this size range (corresponding to SS > 0.2%) lie partially in a similar range (Leg 1) and partially on the upper end (legs-Legs 2 and 3) of the range found during SOCRATES, respectively.

Using a global numerical weather model, simulations of κ at SS = 0.1 % for the SO region were presented in Pringle et al. (2010). Model results give values of 0.9 ± 0.1 for the surface layer. Comparison to our results suggests an overestimation of

- 415 sea salt contribution and/or an underestimation of the presence of organic material in the model. A similar effect is noted in Schmale et al. (2019), when the $N_{\text{CCN},0.2}$ measured during ACE are compared to the output of the Global Model of Aerosol Processes (GLOMAP) model. The largest differences between measurements and model output coincided with the highest gaseous MSA concentrations, suggesting an underestimation of CCN from secondary origin. A global model producing κ values for the SO region twice as high compared to what we measured in situ reveals a strong discrepancy in CCN properties
- 420 and the modelled CCN interacting with clouds suggests possible model deficiencies in the representation of CCN sources and modelled aerosol-cloud interactions.

Geometric mean values (and respective geometric standard deviation) of $N_{\rm CCN}$ and $D_{\rm crit}$, and median values (and respective IQR) of κ for the entire cruise and its three legs Legs are summarized in Tab. S1.

- Probability density functions (PDF) of normalized frequencies for $N_{\rm CCN}(SS)$ and $\kappa(SS)$ during legs 1-3 Legs 1-3 are given in Fig. 4. PDF of $N_{\rm CCN}$ (Fig. 4a) show mono-modal distributions for all SS, with the PDF maxima shifting towards higher $N_{\rm CCN}$ with increasing SS, e.g., ~90 cm⁻³ at 0.15% to ~210 cm⁻³ at 1%. Comparing the distribution for $N_{\rm CCN,0.2}$ (blue line in Fig. 4a) with yearly-averaged PDF from measurement sites around the globe in Schmale et al. (2018), our values show lower number concentrations with a PDF maximum at ~100 cm⁻³ and share resemblance in terms of number of modes and maximum location with the distribution reported for clean marine conditions (mono-modal, maximum at ~200 cm⁻³). For
- 430 the MBL legs Legs of SOCRATES, the PDF for $N_{\rm CCN,0.3}$ is bi-modal, with peaks at 100 and 150 cm⁻³ (Sanchez et al., 2021). In their study, the low concentration mode was associated with precipitation events, effectively removing larger particles. The high concentration mode was associated with atmospheric processes causing particle growth, e.g., 1) oxidation of volatile organic compounds and subsequent condensation or 2) cloud processing.

A change in distribution shape with increasing SS can be seen for PDF of $\kappa(SS)$ in Fig. 4b. All five shown distributions have their maximum distributions are mono-modal, with maxima between 0.4 and 0.6, while a mono-modal distribution is only found for $\kappa_{0.15}$ (red line). PDF for SS of 0.3, 0.5, and 1% (green, purple, and orange line, respectively) feature a tail towards smaller values of κ , which could be interpreted as an additional mode. This occurrence of small particles (activated at high SS) consisting of mainly organic material forms a strong case for the sampled Aitken-mode CCN originating from secondary organic aerosol formation and growth processes. The accumulation mode, probed with the measurement at SS = 0.15%,

440 shows similar κ values as the Aitken mode (Fig. 4b), while its N_{CCN} values are on average over 33 smaller (a). Additionally,

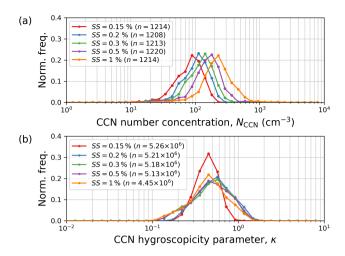


Figure 4. Normalized probability density function of (a) CCN number concentration (N_{CCN}) and (b) hygroscopicity parameter (κ) for levels of supersaturation 0.15, 0.2, 0.3, 0.5, and 1% (SS, colour-coded) for legs of all observations taken during Legs 1–3. The N_{CCN} values were measured at a five minute resolution result from averaging 5 min long intervals of 1 Hz measurements. Each of the six SS-levels is repeated once per hour. The κ values result from Monte-Carlo simulation runs $(n_{MCS} = 10^4)$ of hourly smoothed PNSD particle number size distributions. All κ values that resulted from D_{crit} outside of 10^{th} to 90^{th} percentile range (per SS) are excluded. The number of data points is are indicated (n) in the figure.

PDF for all SS other than 0.15% feature a tail towards higher κ values. Such high κ values at high SS seem counterintuitive and are indicative of highly hygroscopic Aitken mode particles being sampled. A sensitivity study of our methodology with respect to (1) modelling the measurement uncertainty via Monte Carlo simulations (Fig. S3a), (2) consideration of error propagation, and (3) quality of the fitted modes to the PNSD was performed. As κ values were robust against these variations, we conclude that this tail (yet counter-intuitive) is not an artefact that stems from of our methodology. However, to avoid speculation on the reason, we take a conservative approach in keeping the focus of the interpretation on the median values presented in Fig. 3b.

3.2 Ice Nucleating Particles

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Time series of $N_{\rm INP}(T)$ for $T = -24^{\circ}$ C ($N_{\rm INP,-24}$; orange), $N_{\rm INP,-20}$ (purple), $N_{\rm INP,-16}$ (blue), $N_{\rm INP,-12}$ (green), and 450 $N_{\rm INP,-8}$ (magenta) are given in Fig. 5a-ea-e, respectively. Triangles indicate INP concentrations outside the detectable range, estimated as described in subsection 2.3 and were included in the figure as they mark the maximum (lower detection limit) and the minimum concentration (upper detection limit) of the respective filter. Measurement uncertainties (indicated by error bars) become smaller with decreasing temperature. This is due to (1) increased freezing probability with decreasing temperature,

- and (2) the measurement uncertainty being described by binomial sampling confidence intervals (following Agresti and Coull, 1998). The combination of both effects results in smaller error bars at lower temperatures. At -12 and -16° C, N_{INP} show the
- highest variability of around 3 orders of magnitude. At -8 and -20° C the variability decreases to about two 2 orders of magni-

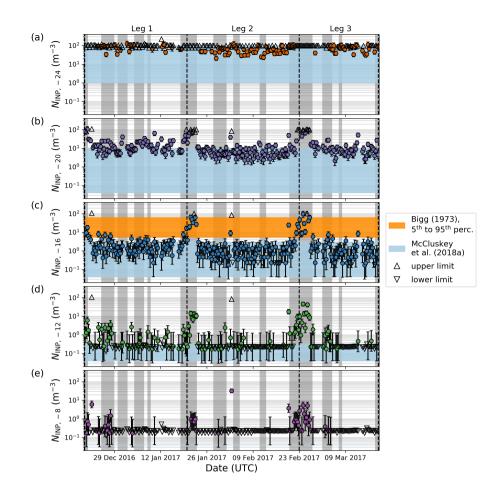


Figure 5. Time series of INP <u>number</u> concentration (N_{INP}) at (a) -24, (b) -20, (c) -16, (d) -12, and (e) -8°C from the LV filters sampled for eight hours eachduring ACE. Zero (infinite) values of N_{INP} at given temperatures are presented as values of lower (upper) edge of outside the detectable range and are indicated by downward (upward) pointing triangles if they are below (above) the lower (upper) edge of the detectable range. The legs Legs of ACE (dashed lines) and periods when the RV was close to land (grey area) are indicated. For N_{INP} from McCluskey et al. (2018a) are included for reference (blue area). In addition, $N_{INP,-15}$ from Bigg (1973) are included in (c) as the range between their 5th 5th and 95th 95th percentile (orange area). A correction of the $N_{INP,-15}$ values from Bigg (1973) was applied, following the supporting information to McCluskey et al. (2018a).

tude, while $N_{\rm INP}$ at -24° C only varies within 1 order of magnitude, because. This decrease in the range of values is considered a bias due to $N_{\rm INP}$ are close to and being close to or above the upper limit of detection detection limit. Each of the shown time series of $N_{\rm INP}$ in Fig. 5 contains episodes of elevated INP concentrations, coinciding with the RV being close to land (grey area; within 200 km) and harbours at the beginning of each leg (dashed line). During the open ocean sections of the cruise the majority of data points shows up to 2 orders of magnitude lower concentrations (e.g., $N_{\rm INP,-16}$ of 0.1 to $N_{\rm INP,-16} = 0.1-10$ m⁻³). This suggests that elevated atmospheric INP concentrations are connected to terrestrial (including coastal) INP sources. Without a tracer of terrestrial influence, e.g., measurements of ambient radon concentrations in McCluskey et al. (2018a), assessment of air mass origin is not possible for ACE and the aforementioned connection remains without solid prove. A way of determining

- 465 the parts of ACE on the open ocean is presented in Moallemi et al. (2021) using a threshold of 200This assumption is supported by the results of the air-mass origin analysis (subsection 2.4) using the LAGRANTO backward-trajectories for ACE provided in Thurnherr et al. (2020). An overview of the results is given in Fig. in distance between the RV's position and the nearest land mass. However, this is only an approximation based on a higher unlikeliness of terrestrial signal away from land rather than looking at the actual air mass origin and thus not considered as a quantitative in this study.S6 showing time series of
- 470 surface contributions to each LV filter. The time series for the surface type contributions to the PBL signal (Fig. S6c) and the contribution of geographical regions (Fig. S6d) show that periods of elevated INP concentration (Fig. 5) coincide with periods when air-masses that passed over African, Australian, South American land masses or coastal regions were sampled. Contrary to these regions, air-masses passing over Antarctica did not show higher *N*_{INP} than oceanic air-masses (Fig. S7).

For comparison, Fig. 5c shows contains the range between the 5th and 95th percentile of $N_{INP,-15}$ from Bigg (1973) (orange area). They sampled filters on in the SO around Australia, collecting 0.3 and 3 m³ of ambient air through a pair of membrane filters, respectively. In terms of sampling strategy, our LV sampling of 12 m³ through a porous filter over eight hours compares well with the sampling of Bigg (1973). The derivation of techniques to measure N_{INP} however was fundamentally different. In the supporting information (SI) were however different. Filter sampled during ACE were analysed with a freezing array method (subsection 2.3), while INP contents in Bigg (1973) were analysed by means of a thermal diffusion chamber. In the

SI of McCluskey et al. (2018a), the effect of background INP concentrations during the study of Bigg (1973) is assessed and a correction proposed (22 % lower values). This correction is-was applied to the values shown in Fig. 5c. The majority of our N_{INP,-16} measurements are in the open ocean sectors and clearly below the range of N_{INP,-15} observed by Bigg (1973). However, the N_{INP,-16} greater larger than 10 m⁻³ at the end of Leg 1, when the RV was in the vicinity of Australia, lie within the range of values from Bigg (1973).Our data are partially included in Welti et al. (2020) and form an integral part for a global view on marine INP concentrations.Spatial-given in Bigg (1973).

In McCluskey et al. (2018a) INP measurements from CAPRICORN-I are presented. The range of observed INP concentrations is included in Fig. 5 for comparison. At each temperature, $N_{\rm INP}$ observed during ACE are at the upper end or higher than concentrations observed during CAPRICORN-I, except at -16° C and -20° C, when low concentrations were measured on the open ocean in air-masses without terrestrial influence. Differences in sampled geographical area (CAPRICORN-I:

43–53° S and 141–151° E; this study: 34–78° S, circum-Antarctic) and season (CAPRICORN-I: March–April; this study: December–March) could be reasons for the differences in observed INP abundance. Our results are consistent with preliminary results from MARCUS, CAPRICORN-I & II in McFarquhar et al. (2021), where N_{INP} in the MBL over the SO are shown to exhibit a large variability, very low overall values and a weak overall latitudinal dependence. Further, the highest concentrations were found near land and values differed largely from historical measurements (e.g., Bigg, 1973). Feedback of the Earth's changing climate on INP in the SO region as a contributor to the observed difference between current and historical observations

cannot be ruled out (e.g., Bigg, 1990). However, potential mechanisms behind such a hypothetical feedback have not been

identified either, to the best of our knowledge. For completeness, spatial distributions of $N_{\rm INP, -16}$ from our study and $N_{\rm INP, -15}$ from Bigg (1973) are shown in Fig. S4c.

Mean values (crosses) and box-and-whiskers plots indicating the median (horizontal lines), inter-quartile range (boxes),

500

- and 10^{th} to 90^{th} percentiles (whiskers) of INP concentration (N_{INP}) derived from filters sampled for 8 during ACE's Leg 1 (green), Leg 2 (orange), and Leg 3 (purple). Averaging was performed by treating zero (infinite) values of N_{INP} at given temperature as values of the lower (upper) limit of the detectable range. Average values of $N_{\rm INP} = 24$, $N_{\rm INP} = 20$, $N_{\rm INP} = 16$, N_{INP.-12} and N_{INP.-8}, sorted by legs of ACE, are given in . Values were determined including the estimates for maximum and minimum INP concentrations (triangles in). Differences in median values between different legs are largest at -16, while
- 505 being within IQR. Mean values (crosses) are outside of the IQR for all temperatures other than -24. Averaging of the $N_{\rm INP}$ at selected temperatures has been performed, in order to showcase typical values for the SO region. A summary of average INP concentrations for legs 1–3 at selected temperatures is given in Tab. S2. Two different approaches were used for averaging using (a) only the values which are inside the detectable range or (b) including the values outside the detectable range by using a value on the edge of the detectable range instead (see subsection 2.3). Results of the two approaches differ in $N_{\rm INP}(T)$ mean,
- median, and geometric mean values by up to $\pm 50 \pm 50$ %. The largest differences were found for $N_{\text{INP},-8}$ and $N_{\text{INP},-24}$, where 510 the number of data points outside the detectable range is highestlargest. We report the average all averaged values with explicit reference to their potential biases. Average values of $N_{\rm INP,-24}$, $N_{\rm INP,-20}$, $N_{\rm INP,-16}$, $N_{\rm INP,-12}$ and $N_{\rm INP,-8}$, sorted by Legs of ACE, are given in Fig. 6. Values were determined including the estimates for INP concentrations outside the detectable range (triangles in Fig. 5). Differences in median values between different Legs are largest at -20° C, however still within the
- respective IOR. Mean values (crosses in Fig. 6) are higher than the median, and outside of the IOR for all temperatures other 515 than -24° C.

The air-mass origin for the whole cruise and individual Legs are presented in Fig. 7. The average contributions for the whole cruise are dominated by air-masses from the open ocean, with contributions of at least 80 % and up to 97 % (Leg 1). The terrestrial air-masses (land and coast; excluding Antarctica) contribute only between 2% (Leg 1) and 12% (Leg 3). Similar

Leg-wise average contributions could, hypothetically, be a result of dominant contribution of "open ocean" conditions during 520 all Legs combined with limited INP variability over the entire SO for "open ocean" conditions.

PDF of $N_{\rm INP}$ at selected temperatures are shown in Fig. 8. $N_{\rm INP}(T)$ values outside of the detectable range are not considered for the PDF. Due to the overall small number of data points As indication for the detectable range, averages for the upper and lower concentration limit are indicated in Fig. 8c-e (dashed line). Interpretation of the PDF for $N_{\rm INP,-8}$ and $N_{\rm INP,-24}$

- is omitted due to the low number of samples compared to other temperatures and concentrations being close to the FBF, 525 respectively. Also for the other temperatures, the overall number of samples considered in the PDF is small. Hence, the following discussion on PDF has to be considered as semi-quantitative . For the same reason, the PDF of $N_{\rm INP,-8}$ is shown in e only for completeness and not discussed further. in consequence. The N_{INP,-12} and N_{INP,-16} PDF (Fig. 8c,d) can be considered are tri-modal and the $N_{\text{INP},-20}$ PDF (Fig. 8b) is bi-modal. The lowest concentration mode in each of the two PDF exhibit
- values for $N_{\rm INP,-12}$ and $N_{\rm INP,-16}$ contains concentrations below $0.2 \,{\rm m}^{-3}$ and contain values at the lower edge which are on 530 the lower boundary of the detectable range. Attributing these values to a particular source or origin is difficult. In c-e, averages

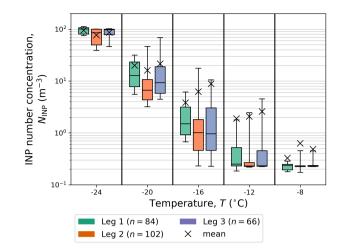


Figure 6. Normalized probability density functions Mean values (solidcrosses) and geometric mean values box-and-whiskers plots indicating the median (dottedhorizontal lines) for INP concentrations (N_{INP}) at (a) –24, inter-quartile range (bboxes) –20, and 10th to 90th percentiles (ewhiskers) –16, of INP number concentration (dN_{INP}) –12 and (e) –8are given for from the LV filters sampled for 8 during Leg 1 (blackgreen). For reference, $N_{INP,-15}$, $N_{INP,-12}$, and $N_{INP,-8}$ for Cabo Verde Leg 2 (North Atlantic; Welti et al., 2018orange) are given in (e), (d), and Leg 3 (epurple), respectively (blue). Additionally, $N_{INP,-15}$ from Bigg (1973) for data points south of 43 Averaging was performed by treating zero (orange infinite) is given for comparison. A classification values of modes by sampling location is N_{INP} at given (yellow: coastal; light blue: open ocean) and averages temperature as values of the upper (dark red) and lower concentration limit (dark blueupper) is indicated by dashed lines/limit of the detectable range. The number of data points (*n*) is are indicated in the Figfigure.

for the upper and lower concentration limit are indicated (dashed line). The detection limit of individual measurements depends on the volume of sampled air and can be lower or higher than this average. The second , middle concentrations to a source or geographical origin is ambiguous when considering the FBF as a point of reference for the background freezing signal. FBF
concentrations are 0.08 and 0.59 m⁻³ for -12°C and -16°C, respectively (Tab. S3). We therefore only discuss the two highest concentration modes in the following. The second (first) mode of N_{INP,-12} and N_{INP,-16} (N_{INP,-20}), referred to as "low concentration mode" in the following, covers a range of higher concentration values (0.3 to e.g., N_{INP,-16} = 0.3-10 m⁻³ for N_{INP,-16}). Values in this range were) encountered mainly during the open ocean sections of the cruise (Fig. 5e,d). As no further analysis on air mass origin was performed, b-d). The analysis of the air-mass origin in Fig. 7 shows that air-masses
reaching the RV during the sampling of this mode contained mainly open ocean signal (~90%) and only small contributions from either Antarctic (6%), marine, ice-covered regions (MIZ/sea ice; 2%), or coastal regions (1%). In consequence, we in-

- terpret this mode to contain a mix of typical marine signals, including marine sources and background concentration levels
 that could stem from be dominated by INP of marine origin potentially including some long-range transport. In consequence,
 this mode is labeled transported terrestrial or coastal INP. This mode is therefore labelled as "open ocean" (light blue area) in
 Fig. 8b-d. Moallemi et al. (2021) show that fluorescent primary biological aerosol particles (PBAP) measured during the open
 - ocean sections of ACE originate mainly from SSA. With PBAP PBAP were found to act as INP in several studies in marine

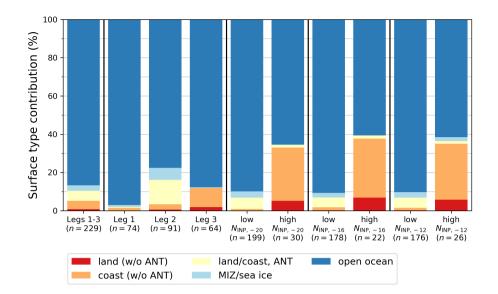


Figure 7. Percents of surface type over-passed by 10-day backward-trajectories (see subsection 2.4 and Text S1 in the SI for details). Color codes for surface types are: non-Antarctic land masses (light blue), non-Antarctic coastal regions or islands (orange), Antarctic continent or coastal regions (ANT; yellow), ice-covered regions (light blue), and open ocean (dark blue). From left to right, the different surface contributions to the air-masses are shown for the entire circumnavigation (Legs 1-3), separated by Leg (see Fig. 1), and cases of $N_{INP,-20}$ below ("low") or above 40 m⁻³ ("high"), $N_{INP,-16}$ below/above 10 m⁻³, and $N_{INP,-12}$ below/above 4 m⁻³ analogous to ranges indicated in the respective PDF (see Fig. 8b–d). The number of trajectory clusters (*n*) are indicated in the figure. Trajectory maps for ACE are available at an hourly resolution from Thurnherr et al. (2020).

regions of the Northern hemisphere (e.g., Tobo et al., 2013) McCluskey et al., 2018b; Hartmann et al., 2021) and we assume the same to be the case for the Southern hemisphere. Therefore, we conclude PBAP from SSA to be a potential source for the INP we measured on the open ocean . The highest sections of the cruise.

- 550 The high concentration mode in the PDF of $N_{\text{INP},-12}$ and, $N_{\text{INP},-16}$, and $N_{\text{INP},-20}$ consists of values (e.g., $10 \text{ to } N_{\text{INP},-16} = 10-100 \text{ m}$ $N_{\text{INP},-16}$) measured close to land (see Fig. 5c, d). Hence, this mode is indicated as b-d). This mode has a greater terrestrial and coastal influence (combined ~35%) than the low concentration mode, based on the air-mass origin analysis (Fig. 7). In consequence, the high concentration mode is labelled "Coastal terrestrial/coastal" in b-d Fig. 8b-d (yellow area). With our data, we cannot distinguish whether the sources of these INPs are located on the continents or the respective coastal regions.
- In Fig. 8c, a PDF of $N_{\text{INP},-15}$ from Bigg (1973) is included (orange line). Here, only a subset of the total of 126 data points from Bigg (1973) is shown, containing the 58 data points south of 43° S, mimicking the latitudinal range of the ACE cruise for a better comparison (Fig. S4). Differences between $N_{\text{INP},-16}$ from this study and $N_{\text{INP},-15}$ from Bigg (1973) are clearly visible, with over 1 order of magnitude lower maximum N_{INP} observed in our study. Agreement of their values is highest with the subset of our observations in the proximity to land. The PDF of $N_{\text{INP},-20}$ is bi-modal (b), indicating contributions
- 560 from terrestrial sources (higher concentration mode at 40 to 200). The lower concentration mode (1 to 40) represents the

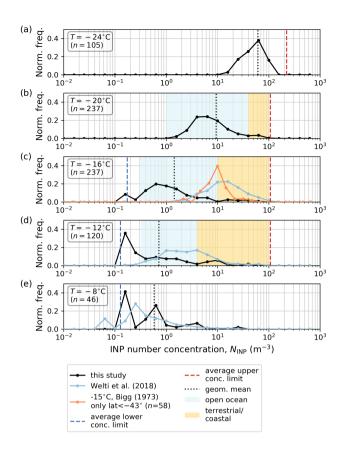


Figure 8. Normalized probability density functions (solid black line) and geometric mean values (dotted black line) for INP number concentrations (N_{INP}) at (a) -24, (b) -20, (c) -16, (d) -12 and (e) -8° C from the LV filters sampled during ACE. For reference, $N_{INP,-16}$, $N_{INP,-12}$, and $N_{INP,-8}$ for Cabo Verde (North Atlantic; Welti et al., 2018) are given in (c), (d), and (e), respectively (blue). Additionally, $N_{INP,-15}$ from Bigg (1973) for data points south of 43° S (orange) is given for comparison. A classification of modes based on sampling location and air-mass origin is given (yellow area: terrestrial/coastal; light blue area: open ocean). Averages of the upper (dark red) and lower concentration limit (dark blue) are indicated by dashed lines. The number of data points (*n*) are indicated in the figure.

background concentrations from either terrestrial sources, and/or marine sources. A recent study(Cornwell et al., 2020) has In a recent study, Cornwell et al. (2020) have shown that re-emission of dust particle particles from sea water into the atmosphere is possible and that the re-emitted particles retained their ability to act as INP. However, quantifying the contribution of this potential source is not possible with our data set.

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The PDF of $N_{\text{INP},-24}$ is mono-modal with a tail towards lower concentrations (a). We attribute this mono-modality foremost to the detectable range. Since the PDF represents a subset of the data (n = 105) with values that are not at the edge of the detectable range, a clear, elevated terrestrial and/or coastal signal can not be identified in the PDF. This interpretation is supported by the fact that the vast majority of $N_{\text{INP},-24}$ measured close to land are above the detectable range (see a) and actual concentrations might be higher. In other words, the PDF given is representative for the marine environment. Note

- 570 that INP active at this temperature range can be either of mineral nature and long-range transported from terrestrial sources, or originating from marine sources. Welti et al. (2018) present Welti et al. (2018) presented PDF of $N_{\text{INP}}(T)$ based on filters sampled at a fixed location on the Cabo Verde island of Sao Vicente, over a four year period (2009–2013). The PDF comprise changes in season, air mass air-mass origin, and bulk aerosol composition. The respective PDF of $N_{\text{INP},-16}$, $N_{\text{INP},-12}$, and $N_{\text{INP},-8}$ are included for comparison in b-dFig. 8c-e. Welti et al. (2018) found log-normal distributions for all temperatures,
- and attributed them to random dilution during transport, indicating a lack of strong local sources. In other words, the PDF are thought to represent background INP concentrations at the Cabo Verde islands. Comparing PDF, it can be seen that for N_{INP,-16} the bulk of our values is below what is reported in Welti et al. (2018), shifted by roughly 1 order of magnitude towards lower concentrations. For N_{INP,-12} a respective shift is not as clearly seen, however a tendency towards more frequent occurrence of higher concentrations compared to our study is obvious. For N_{INP,-8} this tendency is not visible anymore. The difference between the PDF given in Welti et al. (2018) and our study for N_{INP,-16} and N_{INP,-16} illustrate-illustrates the
- 580 difference between the PDF given in Welti et al. (2018) and our study for $N_{\text{INP},-12}$ and $N_{\text{INP},-16}$ illustrate illustrates the latitudinal difference in marine N_{INP} with lower concentrations in the SO compared to the Atlantic.

INP concentrations (N_{INP}) as function of temperature (T) from LV filters sampled during ACE. The measurement background from averaged spectra of field blank filters (FBF) \pm a factor of two is indicated (pink line and area). For comparison, the data range from McCluskey et al. (2018a) (light blue) is given. The temperature spectra of N_{INP} for all LV filters sampled during

- ACE are given in -Fig. S8a. The highest freezing onset was found at -4° C. Between filters, N_{INP} is spread over up to 3 orders of magnitude at individual temperatures. This mirrors what can be seen in the PDF in and indicates the frequent absence of local sources and the importance of long-range transport and related dilution processes for the prevailing INP concentrations.Fig. 8. A typical, steady increase in N_{INP} with decreasing temperature (1 order of magnitude per 5K) can be observed for the majority of filters and the FBF's curve (pink line). At temperatures above -20° C, a feature of sudden increase in curve steepness for a
- 590 number of filters is apparent. This feature is unlike the previously mentioned, steady increase in $N_{\rm INP}$. A high concentration of INP above -15° C is typically associated with a signal of a biological INP source mixed with a mineral or less efficient INP source (e.g., Creamean et al., 2019). In the range of -12.5 to -22.5° C, only the lowest curves of our spectra lie within the range observed by McCluskey et al. (2018a), who observe observed even lower $N_{\rm INP}$ in on the SO.Differences in sampled geographical area (roughly 43)
- 595 In order to test the hypothesis that typical marine INP (e.g., SSA) were encountered for the majority of the cruise, concentration values were normalised. This was achieved by dividing N_{INP} by the particle surface area concentration or the particle volume concentration derived from the total aerosol particle number size distributions under the assumption of spherical particles (see subsection 2.3). Normalisation enables comparison of INP properties across different studies, which can include different approaches to INP number derivation, independent of N_{INP} . The resulting spectra of ice-active number site density, n_{s} , and
- 600 volume site density, v_s , are given in Fig. S8b,c. Values of n_s spread over 4 orders of magnitude (0.1–53and 141–151; this study: 34–78, circum-Antaretie) 1000 cm⁻²) in the observed temperature range. For comparison, values from two laboratory experiments are included in Fig. S8b, that focused on sampling of artificially generated SSA and assessing its ice-activity (DeMott et al., 2016; Mitts et al., 2021). The results from DeMott et al. (2016) span a wider range of n_s and season (March-April;

this study: December-March) could be reasons for the observed differences. T than the ACE data, while values from Mitts et al. (2021)

- 605 overlap with the open ocean-sampled filters from ACE. Field measurements from CAPRICORN-I (McCluskey et al., 2018a) showing a large variability in n_s , are included for comparison. Contrary to N_{INP} (Fig. S8a), n_s values from ACE lie within the lower end of what is reported from CAPRICORN-I. This indicates observation of a similar or more ice-active particle population during CAPRICORN-I compared to ACE. The range of v_s reported in Mitts et al. (2021) are included in Fig. S8c for comparison. The range overlaps with the lower range of the values from ACE. In conclusion, the strong overlap between
- 610 ice-active site density profiles from ACE (derived from N_{INP}) and studies of artificial SSA (e.g., DeMott et al., 2016; Mitts et al., 2021) supports the idea that low N_{INP} measured on the open ocean might be driven by SSA.

Additional INPAs mentioned in subsection 2.3, HV filters were also analysed for INP, but due to better higher data coverage (LV: $n_{\text{filter}} = 253$; HV: $n_{\text{filter}} = 79$) we focused on the LV samples. However, for completeness some brief words on the additional INP spectra determined from HV samples (*DHA-80* sampler, see subsection 2.3) are given in Fig. S5. Compared to

- 615 the LV results in Fig. S8a, the determined $N_{\text{INP}}(T)$ are higher and in a narrower range. LV and HV samples differ in sample collection interval and filter material (LV: poly-carbonate pore filter, 200 nm pore size; HV: quartz fibre filter). Concerning collection intervals, continuous sampling over eight hour intervals were chosen for the LV filters to resolve diurnal N_{INP} variations (non-detected). HV filters were collected during intervals of 24 h, interrupted by breaks due to the automatic shutdown to avoid contamination from ship exhaust. Possible low biases of N_{INP} for higher sampled volumes have been discussed in
- Bigg et al. (1963) and Mossop and Thorndike (1966), but do not reflect the trend found for our two sampling techniques. There could be an averaging effect from the longer sampling interval for the HV filters, when sampling from an unevenly distributed INP population. However, such effects require further investigation. The differences in filter material could be another factor, but are in contrast to Wex et al. (2020) finding good agreement between quartz fibre and poly-carbonate filters for identical sampling intervals. Contamination from ship exhaust should not effect INP analysis results (see Appendix C in Welti et al.,
- 625 2020) as exhaust particles are not ice-active in the investigated temperature range. However, deactivation of some INP due to exhaust contamination can not cannot be ruled out. For completeness, we report N_{INP} for HV sampling in Tab. S4. Due to a higher data coverage (LV: $n_{\text{filter}} = 253$; HV: $n_{\text{filter}} = 79$) allowing for more robust statistics, we decided to focus on LV samples for the in-depth analysis presented in the framework of the paper.

3.3 Analysis of sodium and MSA

- 630 Information on the aerosol chemical composition is widely used to infer the origin of the sampled population. The abundance of specific compounds is used as indication of source strength. The inevitable removal of aerosol particlesfrom the atmosphere is taken into account by considering the atmospheric lifetimeaerosol particles. To aid the characterisation of CCN and INP sources over the SO, sampled HV filters were analysed regarding the aerosol load and the atmospheric particle mass concentrations of sodium and MSA, two compounds known to be unaffected by stack exhaust.
- 635 On average, $32.4 \,\mu g \,\mathrm{m}^{-3}$ (median; IQR: $26.1-49.6 \,\mu g \,\mathrm{m}^{-3}$) of PM₁₀ were observed during ACE (Tab. S5). Leg 1 exhibits a higher median value ($42.4 \,\mu g \,\mathrm{m}^{-3}$) compared to <u>legs-Legs</u> 2 and 3 ($31.1 \,\mathrm{and} \, 33.3 \,\mu g \,\mathrm{m}^{-3}$). Note that contrary to sodium

and MSA (see subsection 2.3), an influence of the RV's ship exhaust on PM_{10} mass <u>can not cannot</u> be ruled out. However, the quantification of this potential influence is beyond the scope of this study.

- Averaging sodium mass concentrations for the whole cruise gives a median value of 2.8 μg m⁻³, with an IQR from 1.8 -to
 3.9 μg m⁻³ (Tab. S4). Higher median values for legs Legs 1 and 3 compared to Leg 2 are found, similar to what is observed for PM₁₀. This is consistent with Blanchard and Woodcock (1957) showing SSA production to be driven by wave breaking and Schmale et al. (2019) showing on average higher wind speeds and significant wave heights for the legs Legs with extended open ocean sections (legs Legs 1 and 3). For the 34th Chinese National Antarctic and Arctic Research Expeditions (CHINARE) cruise on the SO (40–76° S, 170° E–110° W) in February–March 2018, Yan et al. (2020c) report an average sodium concentration of 0.8±0.8 μg m⁻³ (mean±SD). During Leg 2 of ACE, the part of the cruise that has the largest geographical overlap
- tration of $0.8 \pm 0.8 \,\mu g \,m^{-3}$ (mean \pm SD). During Leg 2 of ACE, the part of the cruise that has the largest geographical overlap with the region covered during CHINARE, the median sodium mass concentration was $1.8 \,\mu g \,m^{-3}$, i.e., more than two times

higher than that observed during CHINARE.

MSA mass concentrations were generally 2 and 1 order of magnitude lower than the ones for PM₁₀ and sodium, respectively. Consequently, values are reported in ng m⁻³ in the following. A median mass concentration of 102 ng m⁻³ for the entire ACE cruise was found (Tab. S5), with highly variable values ranging from 1 -to 455 ng m⁻³. Differences in median values between legs-Legs are very small. The highest concentration of 455 ng m⁻³ was observed on the Ross Sea close to the Antarctic coast (Leg 2). Comparing our measurements of MSA with historical records, Davison et al. (1996) report for south of the Falkland

- islands in November 1992 a mean concentration M_{MSA} of 27 ng m^{-3} , with values ranging up to 99 ng m^{-3} . During ACE in late February of 2017, values around 120 ng m^{-3} were found in this part of the SO between 70–36° W. Besides long-term trends over the last two decades, the difference of up to 1 order of magnitude might be due to the difference in season, with higher concentrations for ACE due to increased marine biological activity in early fall compared to late fall for Davison et al. (1996). Another factor is the large degree of variability in MSA abundance across the SO, depending on season and location as illustrated in Castebrunet et al. (2009), with values during ACE on the higher end of the scale. For a number of CHINARE
- 660 $160-185^{\circ}$ E) an average value of 44 ± 22 ng m⁻³ (mean \pm SD) for December of 2017 and 39 ± 28 ng m⁻³ for January 2018. The maximum mass concentration of 211 ng m⁻³ was reported for the Ross Sea, at around $64-67^{\circ}$ S, connected to the position of the dynamic sea ice edge at $\sim 64^{\circ}$ S. Here, with the start of the sea ice melting in early December, the release of iron from ice into the water can spur marine microbial activity (Turner et al., 2004), that may result in an increased DMS emission and consequently secondary MSA production. Consistently, the maximum MSA mass concentration during ACE was encountered

Antarctic cruises, MSA concentrations are reported. Yan et al. (2020b) report for the polynya regions of the Ross Sea (50–78° S,

- near the sea ice edge ($\sim 70^{\circ}$ S) of the Ross Sea in early February 2017. For the Amundsen Sea (40–76° S, 170° E–110° W) in February–March 2018 (34th CHINARE cruise), average MSA concentrations of 31 ± 17 ng m⁻³ are reported in Yan et al. (2020c). The ACE cruise went on the Amundsen Sea in early February 2017 and MSA concentrations in this region show a median value of 210 ng m⁻³. Overall, a difference in average MSA mass concentrations of up to 1 order of magnitude between our study and the CHINARE cruises becomes apparent. One factor might be the usage of different instrumentation and analysis
- 670 techniques. Another factor , playing into the causing year-to-year variability , might could be the presence of sea ice. Schmale et al. (2019) note a significantly lower sea ice extent on the Amundsen Sea during ACE , when compared to climatological

records. The lack of a sea ice cover enables marine activity and the emission of aerosol precursors into the air. Adding to the encountered variability in MSA are besides aforementioned sources also the atmospheric sinks, e. g., precipitation that is associated with the frontal zones on the SO and efficiently removes MSA Additionally, variations in atmospheric MSA

675 sink strength are a potential contributor to variability in observed MSA mass concentrations. For example, MSA is efficiently removed from the atmosphere , increasing the observed variability by precipitation. In the SO, rain events are associated with frontal zones. For South Georgia, a sub-micron (PM₁) MSA mass of up to 200 ng m^{-3} was reported in Schmale et al. (2013). During ACE, the RV was on station close to this island in the beginning of March 2017, with MSA mass concentrations around 75 ng m^{-3} during these days, underlining the high variability in MSA abundance on the SO.

680 3.4 Correlation Analysis

The results of a correlation analysis performed with a selection of variables gathered during ACE is given as a Spearman rank correlation matrix in Fig. 9.

With regards to the results of our in situ aerosol particle measurement, N_{total} was found to be correlated with N_{model} (correlation coefficient $\rho = 0.9$, p < .001) and $N_{\text{CCN},1.0}$ ($\rho = 0.8$, p < .001). This mirrors the behaviour these quantities show in Fig. 2a, and is indicative for the importance of Aitken mode particles for the total particle and CCN number concentrations

at high SS.

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with our SS.

Correlations between sodium and mode 3 ($\rho = 0.7$, p < .001) as well as PM₁₀ ($\rho = 0.7$, p < .001) concentrations were found. As sodium is used as a conservative tracer for primary aerosol particles of marine origin (Legrand and Pasteur, 1998), especially sea salt, the correlations suggest that SSA significantly contributes to both , PM₁₀ and the coarse mode. However, we

- 690 do not find a significant correlation between wind speed (U_{10}) and sodium mass concentration. Bates et al. (1998) attributed this kind of observation to the fact that the instantaneous wind speed at the RV is not representative for the conditions an air parcel experienced prior to its measurement. It is worth mentioning, that other studies on the SO found correlation Other studies in the SO region found positive but non-linear connections between wind speed and sodium mass concentrations (e.g., Schmale et al., 2013; Yan et al., 2020a; Landwehr et al., 2021). Another factor might be ,-that the wind speed was averaged
- 695 over 24 h, in order to match the temporal resolution of the filter sampling. Possible short term effects might be lost due to the averaging process. Note, that the that the wind conditions encountered during ACE are characterised by median values of 9.88 (Leg 1), 6.62 (Leg 2), and 8.85 m s⁻¹ (Leg 3; Schmale et al., 2019) and the relative variability of the daily U_{10} averages is between 0.7 and 6roughly $\pm 70\%$.

The particle concentration of mode 2 shows a positive correlation ($\rho \approx 0.7$) with N_{CCN} at $SS \leq 0.5$ %, pointing at the importance of accumulation mode particles for the CCN population at atmospheric atmospherically relevant SS. No correlation was found between N_{CCN} and mode 3 number concentrations, suggesting little influence of SSA on the CCN population probed

No correlation between $\frac{N_{CCN}}{N_{CCN}}$ and MSA CCN number concentration and MSA mass concentration was found. This The lack of a correlation in our analysis seemingly contradicts findings of previous studies (e.g., Ayers and Gras, 1991) and our financial studies in the last of the last

705 observations of . The lack of a correlation might be a smearing effect due to averaging, since the highest N_{CCN} (subsection 3.1)

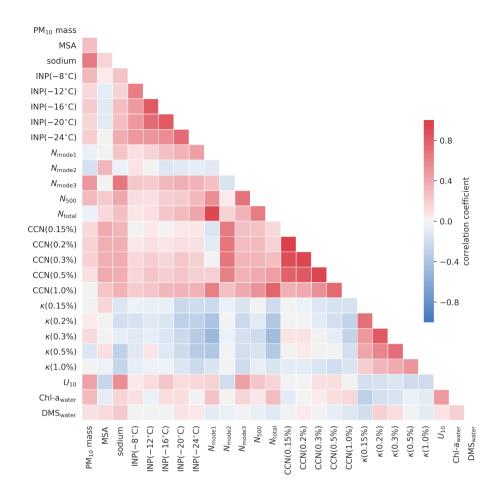


Figure 9. Spearman rank correlation matrix of quantities directly measured or derived from measurements during ACE. From the 24 h long HV sampling, PM₁₀ mass and mass concentrations of particulate sodium and MSA are included. INP number concentrations at temperatures of -8, -12, -16, -20, and -24° C (INP(*T*)) are included from the LV filters, sampling for eight hours. Here, the estimates for INP concentrations above and below the detection limit are included. From hourly smoothed particle number size distributions, the total particle number concentration (N_{total}), respective three modes (N_{mode1} , N_{mode2} , and N_{mode3}), and the number concentration of particles larger 500 nm in diameter ($N_{500}N_{>500}$) are included. CCN number concentration at 0.15, 0.2, 0.3, 0.5, and 1% supersaturation (CCN(*SS*)) and derived aerosol particle hygroscopicity parameter ($\kappa(SS)$) are included. Additionally, daily-averaged wind speed at 10 ma.s.l. (U_{10}), and in-water concentrations of chlorophyll-a (Chl- a_{water}) and dimethyl sulfide (DMS_{water}) are used. Positive correlation between two quantities indicates a similar trend over time, while an opposing behaviour is indicated by a negative correlation coefficient.

and the highest MSA concentration coincide with the highest M_{MSA} (subsection 3.3) occurring near the coast of Antarctica, and might be a smearing effect due to averaging when the cruise was in the vicinity of the Antarctic coast (Leg 2). However, finding no correlation with our method does not imply that there could not be a connection under specific conditions and shorter time scales.

- 710 Furthermore, no correlation between $N_{\rm CCN}$ and in-water Chl-a or DMS concentration could be found, which is in line with Ayers et al. (1997). Considering the long process chain from in-water DMS to particles of CCN size, this is not surprisingand the argument. The argument in Bates et al. (1998), that conditions at measurement point must may not be representative for the conditions encountered by the air-parcel during transportin Bates et al. (1998) are very likely applicable, is applicable here as well.
- 715 Looking at κ values, high correlation between different levels of supersaturation (except SS = 1%) could be found, mirroring the lack of size-dependent composition presented in subsection 3.1. Further, no correlation between sodium concentration and κ values was found, showing. This indicates that the chemical information for CCN between 30 and 110 nm approximated by κ is not connected to the mass-dominated results of the analysis of mass-dominating, seemingly larger particles represented by sodium and MSA. This again supports that the observation of SSA particles not significantly contributing to the CCN population, as SSA dominates the sampled particle mass but not the particle number. 720

No correlations with any other variable was found for the MSA concentration. This includes the absence of the correlation between MSA and in-water DMS concentration. Although MSA is known to form exclusively from oxidation of DMS in the atmosphere (Sorooshian et al., 2007), a direct correlation is not expected. In-water DMS concentrations are not representative of DMS concentrations in the atmosphere (Ayers et al., 1997) and DMS has an atmospheric lifetime of several days over the SO (Chen et al., 2018).

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- INP concentrations measured at a temperature difference (ΔT) of 4 K showed positive correlation ($\rho > 0.6$). This is expected, since these concentrations are cumulative along the temperature axis (see) and could indicate a common source that contributes INP over the a wide T-range. For $\Delta T \ge 8$ K, only $N_{\text{INP},-12}$ and $N_{\text{INP},-20}$ show a correlation ($\rho = 0.7, p < .001$). This correlation between $N_{\rm INP}$ at -12 and -20° C points at the importance of long-range transport and mixing influencing the INP
- 730 population in the same way at both temperatures (Welti et al., 2018). The in-water Chl-a concentrations were also included in the correlation analysis, as it can be used as a proxy for biological activity (e.g., McCluskey et al., 2018a). However, no direct correlation between $N_{\rm INP}$ and Chl-a was found. This suggests that the measured INP are not originating from local biogenic sources but does not exclude a delayed connectiontime-shifted response. In DeMott et al. (2010) a parameterization for $N_{\rm INP}$ is given that is based on $N_{500}N_{>500}$ for terrestrial conditions. We find no respective correlation between our mea-
- sured N_{INP} and $\frac{N_{500}}{N_{>500}}$, <u>concluding N_{>500}</u>, <u>underlining</u> that a parameterization based solely on $\frac{N_{500}}{N_{>500}}$ is not applicable 735 for the SO, a marine environment as the SO region. A number of additional INP parameterisations are available in the literature that include the normalisation of the INP concentration to the particle surface (e.g., McCluskey et al., 2018a) or volume (e.g., Mitts et al., 2021). Both approaches of normalisation were performed with the ACE data (Fig. S8b,c) and show good agreement with previous studies of marine environments. However, as discussed below the absence of a correlation between $N_{\rm INP}$ and
- neither PM_{10} nor N_{total} shows that both denominators (particle volume or surface) for the normalisation are not directly linked 740 to the INP. No significant correlations were found between $N_{\rm INP}$ and PM₁₀, $N_{\rm total}$ or any other physical and chemical properties measured. This clearly shows that trying to derive deriving INP-related properties from bulk total number-based or bulk total mass-based aerosol properties without considering air mass-air-mass history might lead to results not representative for atmospheric $N_{\rm INP}$. Instead, INP concentrations must be compared with results from methods selective to individual, rare par-

745 ticle types that could act as INP and the data must be segregated in terms of air mass air-mass properties or some other, more specific INP tracers such as mineral dust or proteins, to further elucidate INP sources.

4 Summary

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During the Austral summer of 2016/17, we performed in situ measurements of PM_{40} and filter sampling of PM_{10} aerosol particles for characterizing the physical and chemical properties of aerosol particles over the Southern Ocean during the Antarctic

750 Circumnavigation Expedition. We focused on the abundance and properties of CCN and INP. A-An air-mass origin and a correlation analysis was performed to identify and CCN and INP sources and interpret possible links between different aerosol physico-chemical parameters.

For the in situ measured aerosol particles, bi-modal aerosol particle number size distributions (PNSD) with a distinct Hoppel minimum between 50 and 80 nm were found (Fig. 2a). When the RV was close to continental land-masses (including Antarctica), increased total particle (N_{total}) and CCN number concentrations (N_{CCN}) were observed (Fig. 2b). The absolute

- difference between N_{total} and N_{CCN} varied during the cruise and was associated with particle activation in the Aitken mode size range. This indicates an importance of the Aitken mode for the CCN population and cloud-formation. Generally, N_{CCN} spanned 2 orders of magnitude (e.g., at SS = 0.3% from roughly 3 to 590 cm^{-3}), with the respective probability density functions (PDF) sharing resemblance with distributions in Schmale et al. (2018) for clean marine conditions of other locations
- around the globe. Averages of $N_{\rm CCN}$ per cruise leg Leg (Fig. 3a) showed little difference between the legs Legs and compare well (<30 % percentage difference) with measurements of previous studies in the SO region. Values of the aerosol hygroscopicity parameter κ were found to be in the range between 0.2 and 0.9, corresponding to mixtures with different amounts of organic and inorganic materials. Our κ are about a factor of two lower than what was measured, e.g., over continental Antarctica or modelled for the SO region. Average values of κ were found to be independent of SS and thus particle size (Fig. 3b), indicating
- 765 in first approximation an internally mixed CCN population in the Aitken and accumulation modes. The PDF of κ values was found to be mono-modal for SS = 0.15 % (Fig. 4c), while for higher SS tails towards smaller κ values were found, hinting at an increasing amount of organics in the smaller Aitken mode particles. In addition, tails towards higher κ at SS > 0.15 % indicate the occurrence of highly hygroscopic Aitken mode particles. The correlation analysis showed little-to-no connection between the CCN number concentration and quantities from the offline filter analysis, e.g., the concentrations of sodium and
- 770 MSA (Fig. 9). This is due to the fact that the in situ measured aerosol properties considered here are governed by particle number, while the quantities determined from the filter samples (except for INP) are governed by particle mass. This often implies a focus on different size ranges. However, a connection was found through a positive correlation between total particle number concentration of the coarse mode and sodium mass concentration (Fig. 9). In addition, the absence of correlation between the sodium mass concentration clearly implies that SSA is not an important source of CCN. This
- agrees well with previous findings, e.g., in Schmale et al. (2019).

Analysis of filter-collected atmospheric aerosol samples for $N_{\rm INP}$ yielded temperature dependent concentrations between -4 and -27° C (Fig. S8a). Typically, the $N_{\rm INP}$ from one filter sample increased by 3 orders of magnitude within steps of

 -10° C. Time series of N_{INP} showed elevated values coinciding with the RV being in the proximity of land (Fig. 5). This points towards terrestrial and/or coastal sources influencing the INP population. The air-mass origin (Fig. S6) underlines

- 780 this finding. Comparison with other studies (c) showed that our values the concentrations observed during ACE are lower than what was observed previously over the SO (Bigg, 1973), while being on the upper end of what is reported in Mc-Cluskey et al. (2018a) for a specific sector of the SO in March–April 2016 (). Fig. 5 and Fig. S8a). The PDF for N_{INP}(T) shows two concentration modes at -20, -16, and -12°C (Fig. 8). The analysis of backward-trajectories indicates that low concentrations are associated with air-masses from the open ocean and from Antarctica, while the air-masses transporting
- ⁷⁸⁵ higher $N_{\rm INP}$ passed over (non-Antarctic) land. INP spectra (Fig. S8a) for the most part of the cruise feature similar levels and temperaturedependence of INP concentrations a steady increase in INP concentration with decreasing temperature. Features in the spectra of increased $N_{\rm INP}$ at warm temperatures indicate warm-temperature INP which are connected to biological origin (e.g., Creamean et al., 2019). The correlation analysis indicates correlations between $N_{\rm INP}$ in the temperature range between -12 and -24° C. We interpret this signal as indication that mixed long-range-transported populations of INP of biogenic origin
- 790 $(T > -20^{\circ} \text{C})$ and mineral dust $(T < -20^{\circ} \text{C})$ were present. Indications for local INP sources are very rare, and no correlation between N_{INP} and Chl-*a*, as proxy for biological activity in the ocean, was found.

The results for the analysis of sodium and MSA in the sampled PM_{10} show that during ACE we encountered (mass-wise) a marine aerosol environment with typical SSA signals. Sodium concentrations showed a median of $2.8 \,\mu g \,m^{-3}$ (Tab. S5). A moderate positive correlation between sodium and PM_{10} (Fig. 9) underlined the importance of SSA for the sampled mass.

During ACE, MSA concentrations were found to be highly variable, with a median of 102 ng m^{-3} (Tab. S5). Values were up to 1 order of magnitude higher than in comparable studies and seasonal variation seems to be one reason. The location of peak MSA concentrations near the sea ice edge is consistent with other studies. Similar patterns in the occurrence of maximum MSA concentrations and the hypothesised κ value for MSA were found. However, a clear connection between MSA and CCN concentrations or κ values did not show in our correlation analysis. With our data covering all sectors of the SO and the rich variety of atmospheric conditions encountered during the cruise, we conclude that such a connection might only be event-based.

The presented data set gives an a unique, circum-Antarctic view on CCN and INP abundance, their properties and indications towards aerosol particle origin. Our data give insights into the conditions on the SO regarding cloud-relevant aerosol particles, compare well with previous studies and found already use in climate modelling (Regayre et al., 2020) and remote sensing applications (Efraim et al., 2020).

805 Data availability. The ACE data are available through web portal ZENODO, with INP data from LV sampling under https://zenodo.org/ record/4311665 (version 1.1), CCN data under https://zenodo.org/record/4415495 (version 1.1), and data on MSA and sodium under https: //zenodo.org/record/3922147 (version 1.0). INP data from HV sampling is available from the authors upon request. Further data sets are available at relevant citations within the manuscript. Author contributions. CT performed the analysis and interpretation with contributions from SH, AW, JS, and FS. CT, SH, and FS wrote the

810 manuscript. SH, AW, AB, JS, and MH performed the measurements during ACE. MGB and FS provided the in situ instrumentation. MvP provided the analysis of sodium and MSA of the filter samples. RM provided the PNSD data. All authors contributed to the writing and review of the manuscript.

Competing interests. The authors declare no competing interest.

Acknowledgements. ACE was a scientific expedition carried out under the auspices of the Swiss Polar Institute, supported by funding

- 815 from the ACE Foundation and Ferring Pharmaceuticals. This work was supported by the Deutsche Forschungsgemeinschaft (DFG) in the framework of the priority programme "Antarctic Research with comparative investigations in the Arctic sea ice areas" SPP 1158 (grant STR 453/12-1). EU FP7 project "BACCHUS" (project number 603445) is acknowledged for financial support. Julia Schmale holds the Ingvar Kamrad Chair for Extreme Environments Research. Andrea Baccarini was supported by the Swiss National Science Foundation grant No. 169090. The authors would like to thank the PIs of ACE's project 1, D. Antoine and S. Thomalla, for the chlorophyll a data. All data
- 820 processing was performed using *Python* (version 2.7.14) on *Ipython* (version 5.4.1; Pérez and Granger, 2007). The correlation analysis was made possible by the *spearmanr* function of the *scipy.stats* package for *Python*. All figures in this study were created using the *Matplotlib* package for *Python* (Hunter, 2007).

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