



# Seasonal distribution and drivers of surface fine particulate matter and organic aerosol over the Indo-Gangetic Plain

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### Abstract.

The Indo-Gangetic Plain (IGP) is home to 6% of the global population and is responsible for a large fraction of agricultural crop production in Pakistan, India, and Bangladesh. Levels of fine particulate matter (mean diameter <2.5 microns, PM<sub>2.5</sub>) across the IGP often exceed human health recommendations, making cities across the IGP among the most polluted in the

- 5 world. Seasonal changes in the physical environment over the IGP are dominated by the large-scale South Asian monsoon system that dictates the timing of agricultural planting and harvesting. We use the WRF-Chem model to study the seasonal anthropogenic, pyrogenic, and biogenic influences on fine particulate matter and its constituent organic aerosol (OA) over the IGP that straddles Pakistan, India, and Bangladesh during 2017/2018. We find that surface air quality during pre-monsoon (March—May) and monsoon (June—September) seasons is better than during post-monsoon (October—December) and winter
- 10 (January—February) seasons, but all seasonal mean values of  $PM_{2.5}$  still exceed the recommended levels, so that air pollution is a year-round problem. Anthropogenic emissions influence the magnitude and distribution of  $PM_{2.5}$  and OA throughout the year, especially over urban sites, while pyrogenic emissions result in localized contributions over the central and upper parts of IGP in all non-monsoonal seasons, with the highest impact during post-monsoon seasons that correspond to the post-harvest season in the agricultural calendar. Biogenic emissions play an important role in the magnitude and distribution of  $PM_{2.5}$  and
- 15 OA during the monsoon season, and shows a substantial contribution to secondary OA (SOA) particularly over the lower IGP. We find that the OA contribution to  $PM_{2.5}$  is significant in all four seasons (17-30%), with primary OA generally representing the larger fractional contribution. We find that the volatility distribution of SOA is driven mainly by the mean total OA loading and the washout of aerosols and gas-phase aerosol precursors that result in SOA being less volatile during the pre-monsoon and monsoon season than during the post-monsoon and winter seasons.

### 20 1 Introduction

The Indo-Gangetic Plain (IGP), including parts of Pakistan, India and Bangladesh (Figure 1), is one of the most populous and polluted areas in the world. It is home to more than 400 million people (6% of the global population) and the associated sources of air pollution, which are distributed disproportionately over cities of various sizes from megacities of more than 10 million







Figure 1. Geographical and administrative features of the Indo-Gangetic Plain (IGP), including Pakistan, India, and Bangladesh. Numbers denote individual IGP states and purple dots denote the main cities.

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people, e.g. Karachi, Lahore, Delhi, Kolkata, and Dhaka, to smaller cities of a few million inhabitants, e.g. Faisalabad, Patna, Kanpur, Lucknow, and Varanasi (DESA, 2018). It has been estimated that there would be a potential gain in life expectancy in the IGP of approximately 4-6 years if levels of PM2.5 were reduced to standards set by the World Health Organisation (Greenstone et al., 2020; WHO, 2016). The unique geography of the IGP and broader scale meteorological drivers, coupled with the regional diversity of seasonal pollutant emission sources makes this region one of the most challenging places to study the controls of its air pollution and the consequent impact on human health. Here, we use the WRF-Chem regional atmospheric 30 chemistry and transport model to describe the seasonal patterns of surface organic aerosol and PM<sub>2.5</sub> and to help disentangle

the role of anthropogenic, pyrogenic and biogenic emissions on their surface patterns across the IGP.

The importance of the IGP lies in the fertility of its soils formed from alluvium that is deposited across the Indus and Ganges basins by the Indus and Ganges rivers. These rivers originate in the Himalaya mountains and the Tibetan Plateau. The Indus and Ganges basins benefit also from precipitation from the seasonal monsoon. The monsoon timing also defines the main seasons

over the IGP (India Meteorological Department): the pre-monsoon season runs from March to May, the monsoon season is 35 from June to September, the post-monsoon season is from October to December, and winter occurs in January and February. (June to September). The Indian states across the IGP (e.g. Punjab, Haryana, and Uttar Pradesh) represent the vast majority of





nationwide wheat and rice production. Rice and wheat are planted in May and November and harvested in October-November and April-May respectively, following the rice-wheat cropping cycle. The IGP is also an important producer of sugarcane,
cultivated mainly in the Indus Valley in Pakistan and in the Indian state of Uttar-Pradesh. The two main seasons for planting are in September-October and February-March, followed by harvesting during the winter and pre-monsoon months, respectively.

Crop residues left from harvesting, e.g. husk, bran, straw, are generally burned in open fires. Traditionally, these residues were ploughed back into the soil to maintain fertility and stability, but the sheer scale of current production precludes these practices in time for a second growing season (Chauhan et al., 2012; Ahmed et al., 2015). Open burning of these residues across the
IGP, particularly during the post-monsoon season, is a large source of gaseous and particulate pollution that has implications for regional air quality and human health (Vadrevu et al., 2011; Jethva et al., 2019; Sembhi et al., 2020). Residential biofuel combustion also plays an important role for air quality (Conibear et al., 2020; Agarwala and Chandel, 2020).

The high population density and intense human activity over the IGP result in anthropogenic emissions being a major source of regional surface air pollution (Begum et al., 2013; Guttikunda and Jawahar, 2014; Shahid et al., 2015; Venkataraman et al.,

- 50 2018). Residential energy consumption represents a major contribution to anthropogenic emissions with a large fraction of the rural and urban population using solid fuel for cooking (Conibear et al., 2018). Emissions from land transportation, particularly in cities, also represents a significant contribution to anthropogenic emissions (Begum et al., 2013; Guttikunda et al., 2014; Mallik and Lal, 2014). Intense agriculture over the IGP is associated with large emissions of ammonia, an aerosol precursor, from urea fertilizer application, as well as from post-harvest burning as described above (Kuttippurath et al., 2020; Wang et al.,
- 55 2020). Vegetation cover over the IGP consists mainly of croplands (Stibig et al., 2007; Gumma et al., 2019), which have lower isoprene emissions than trees (Hardacre et al., 2013). Consequently, biogenic emissions over the IGP are lower compared to other parts of South Asia (Guenther et al., 2006; Stavrakou et al., 2014).

Regional dispersion of air pollution over the IGP is dominated on a seasonal timescale by the monsoon system, influenced by the high mountain ranges of Hindu Kush and Himalayas that lie to the northwest to northeast of the IGP. Agricultural planting
and harvesting (and associated burning) are determined by the timing of the monsoon when the majority of the annual rainfall falls. Consequently, observed variations of PM<sub>2.5</sub> reflect large-scale variations in meteorology and the seasonal variations in anthropogenic, biogenic, and pyrogenic emissions (Jethva et al., 2005; Lelieveld et al., 2018; Schnell et al., 2018).

A growing body of regional models have been used to study the relationship between emissions, meteorology, and PM<sub>2.5</sub> over India (Kumar et al., 2015b; Bran and Srivastava, 2017; Kulkarni et al., 2020; Ojha et al., 2020), and to estimate the health impacts of outdoor exposure to PM<sub>2.5</sub> (Ghude et al., 2016; Conibear et al., 2018; David et al., 2019). Many studies have focused on post-monsoon biomass burning episodes and on air pollution during the winter season over the upper-central Indian part of the IGP (Guttikunda and Gurjar, 2012; Ram et al., 2012; Pant et al., 2015; Kumar et al., 2015a; Jethva et al., 2018; Singh et al., 2018; Krishna et al., 2019; Mhawish et al., 2020). But of course the IGP also includes parts of Pakistan and Bangladesh that remain poorly studied even though they are connected via atmospheric transport. With only a few exceptions, these studies

70 have focused on total PM<sub>2.5</sub> although there is evidence that single aerosol components play a major role in PM<sub>2.5</sub> composition over the IGP (Gani et al. (2019) and Singh et al. (2018) and references therein). Measurements have shown that organic aerosol (OA), originating from anthropogenic, pyrogenic, and biogenic emissions, constitute a significant fraction (20-35%) of PM<sub>2.5</sub>





across the IGP especially during post-monsoon and winter seasons (Ram et al., 2008; Alam et al., 2014; Rajput et al., 2014; Behera and Sharma, 2015; Sharma et al., 2016). OA exists as a complex mixture, comprising of thousands of individual organic
compounds, and it is made up of primary OA (POA), emitted directly to the atmosphere, and of secondary OA (SOA) formed by the condensation of organic vapours as they become progressively less volatile through oxidation (Seinfeld and Pandis, 2016; Donahue et al., 2006). Changes in OA volatility is key for the formation of SOA, and it is particularly sensitive to temperature, ambient concentration of OA, and nitrogen oxide levels (Shrivastava et al., 2017). We take advantage of the Volatility Basis Set (VBS) model, which helps to describe succinctly the evolving volatility of OA through oxidative chemistry in the atmosphere

80 (Donahue et al., 2006, 2012; Chuang and Donahue, 2016), described below. This method has been used successfully in a range of modelling studies (Lane et al., 2008b; Bergström et al., 2012; Ahmadov et al., 2012; Zhang et al., 2013; Zhao et al., 2016).

We use the WRF-Chem regional atmospheric chemistry model to characterise the seasonal and spatial distributions and composition of  $PM_{2.5}$  and OA in light of synoptic meteorology and emission drivers over three sub-regions of the IGP, including relevant parts of Pakistan and Bangladesh. We use a 1-D VBS model to describe the evolution of OA and its influence

on  $PM_{2.5}$ , described in section 2. In section 2, we also describe the *in situ* and satellite measurements we use to evaluate our model. In section 3, we describe the seasonal meteorology over the IGP, the seasonal distributions and composition of  $PM_{2.5}$ and OA, and the seasonal distribution of SOA volatility. In section 3 we also use a perturbative approach to understand the sensitivity of  $PM_{2.5}$  constituent distributions to changes in anthropogenic, pyrogenic and biogenic emissions and to seasonal changes in the atmospheric environment. We conclude our study in section 4.

### 90 2 Data and Methods

Here, we describe the WRF-Chem model that we use to understand the influence of anthropogenic, pyrogenic, and biogenic emissions on the atmospheric distribution of  $PM_{2.5}$  and OA over the IGP.

### 2.1 Weather Research and Forecasting model coupled with Chemistry

We use v.3.9.1.1 of the the Weather Research and Forecasting (WRF) model coupled with Chemistry (WRF-Chem) (Grell et al.,
2005) to describe the emissions and atmospheric chemistry and transport associated with gas and aerosol phase compounds over the IGP during 2017 and 2018. WRF uses the Advanced Research WRF (ARW) dynamical solver to solve the fully compressible, non-hydrostatic Euler equations that describe atmospheric flow. These calculations are coupled with atmospheric chemistry so that that our PM<sub>2.5</sub> and OA calculations are consistent with the meteorology.

Our study domain is defined as  $17^{\circ}-40^{\circ}$  N and  $64^{\circ}-97^{\circ}$  E, encompassing the IGP at a horizontal spatial resolution of 20 km and using 33 vertical levels that span from the surface to 50 hPa ( $\simeq 19$  km). To define our initial conditions and lateral boundary conditions, and for nudging (Newtonian relaxation), we use meteorological reanalyses from NCEP FNL Operational Model Global Tropospheric Analyses Data (National Centers for Environmental Prediction, National Weather Service, NOAA, U.S. Department of Commerce, 2015) at a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  and at a temporal resolution of six hours. We use the nudging approach at all levels to prevent our calculations from deviating too far from observed meteorology. Table A1 provides







**Figure 2.** Seasonal mean daily emissions over the IGP (g m<sup>-2</sup> day<sup>-1</sup>) of (left) column) anthropogenic, (middle column) biomass burning, and (right column) biogenic (isoprene) emissions. Anthropogenic emissions from EDGAR-HTAP and fire emissions from FINN. Biogenic emissions are calculated online in WRF-Chem using MEGAN. See main text for further details.

105 more details about the meteorological processes we use in our calculations. Chemical initial conditions and lateral boundary conditions for each month are provided by six-hourly CAM-CHEM global model data (Buchholz et al., 2019). We spin-up each simulation for a week before studying the model output to minimize the influence of the initial conditions.

To describe gas-phase chemistry we use the Model for OZone And Related chemical Tracers, version 4 (MOZART-4) chemical mechanism (Emmons et al., 2010), including the extended treatment of volatile organic compound (VOC) chemistry

110 (Knote et al., 2014). Photolysis rates are calculated by the Fast Tropospheric Ultraviolet–Visible (FTUV) module (Tie et al., 2003).

We use the Model for Simulating Aerosol Interactions and Chemistry (MOSAIC) to simulate aerosols chemistry (Zaveri et al., 2008), including aqueous-phase chemistry (Knote et al., 2014). MOSAIC describes aerosols using four sectional discrete size bins:  $0.039-0.156\mu$  m,  $0.156-0.625\mu$  m,  $0.625-2.5\mu$  m,  $2.5-10\mu$  m. The first three of these bins represent PM<sub>2.5</sub>, while

- 115 the largest one describes coarse particulate matter (PM<sub>2.5-10</sub>). We use the 1-D VBS method to describe SOA for WRF-Chem (Knote et al., 2015), based on previous studies (Lane et al., 2008b; Ahmadov et al., 2012). For each of the four aerosol size bins in MOSAIC, the 1-D VBS implementation considers five volatility bins for semi-volatile organic compounds (SVOCs), described by effective saturation concentrations C<sup>\*</sup> of 10<sup>-4</sup>, 1, 10, 100 and 10<sup>3</sup>  $\mu$ g m<sup>-3</sup> at 298 K. The  $log_{10}C^* = -4$  volatility corresponds to an inert compound, and serves computationally as a loss of particle phase organics to avoid unrealistic volatile
- 120 mixtures due to continuously aging of gas-phase SVOCs. Lumped anthropogenic, pyrogenic, and biogenic gas-phase aerosol





precursors undergo continuous gas-phase oxidation and partition between the gas and aerosol phase using pseudo-ideal partitioning theory (Pankow, 1994). Partitioning between the gas and aerosol phase depends on total organic aerosol load and temperature. SOA yields are also dependent on  $NO_x$  levels, so SOA yields is calculated differently for low and high  $NO_x$  conditions, through a branching ratio (Lane et al., 2008a). We also include the SOA formation from glyoxal (Knote et al., 2014).

- 125 Loss of SVOCs is from washout via convective and grid scale precipitation. Our chosen implementation of VBS only accounts for SVOCs, and assumes that POA is inert so that it contributes only to the aerosol mass. We do not include direct emissions of SVOCs or intermediate VOCs (IVOCs). This is a limitation of our current implementation given evidence that SVOC and IVOC vapours creates a considerable amount of regional SOA, and that POA emissions are semivolatile and undergo oxidation and should be also considered in describing SOA production (Robinson et al., 2007). To describe POA using the VBS approach
- 130 we would require information about the volatility distribution of POA, but conventional inventories typically consider POA as non-volatile. Further details of this VBS implementation in WRF-Chem are described in Knote et al. (2015) and references therein.

We use monthly anthropogenic emissions from Emission Database for Global Atmospheric Research with Task Force on Hemispheric Transport of Air Pollution (EDGAR-HTAP v2.2) for year 2010 (Janssens-Maenhout et al., 2015) as provided by the WRE Chem community, which provides the total anthropogenic emissions and includes a NMVOC speciation according

- 135 the WRF-Chem community, which provides the total anthropogenic emissions and includes a NMVOC speciation according to the gas and aerosol chemistry scheme we use here (MOZART-MOSAIC); hourly biomass burning emissions from the Fire Inventory from NCAR (FINN) inventory for year 2017/2018 (Wiedinmyer et al., 2011); and biogenic emissions are calculated online using the Model of Emissions of Gases and Aerosol from Nature (MEGAN, Guenther et al. (2006)).
- Figure 2 shows the seasonal distributions of total anthropogenic, pyrogenic, and biogenic (predominately isoprene) emissions over the IGP. Total anthropogenic emissions have been calculated by summing the mass contribution from all the chemical species (gas and particle) specified in the inventory once preprocessed onto the model domain using the WRF-Chem tools for the community ACOM-NCAR. We converted gas emissions to mass units using the appropriate molar mass for each species. The same approach has been used to calculate fire emissions, while isoprene emissions are calculated online by MEGAN in the WRF-Chem model and then converted to mass units. Anthropogenic emissions generally dominate in all seasons (Figure
- 145 2a,d,g,j) with daily values ranging from  $10^1$  to  $10^2$ g m<sup>-2</sup> day<sup>-1</sup>. The two largest localised regions of anthropogenic emissions are Delhi and Kolkata with emissions >100 g m<sup>-2</sup> day<sup>-1</sup>, followed by smaller indian cities, e.g. Patna, Varanasi, Kanpur and Lucknow (Figure 1). Just south of the border of Uttar-Pradesh, the Madhya Pradesh district of Singrauli hosts several large power plants. The Pakistani and Bangladeshi parts of the IGP generally have the lowest anthropogenic emissions, with the exception of Karachi in south Pakistan, the north Pakistani Punjab (the most populated part of Pakistan where Lahore and
- 150 Fasibalad are located), and Dhaka in Bangladesh. Emissions from Karachi and Dhaka have lower per capita emissions than Indian cities of comparable size.

Fires have a strong seasonal cycle, peaking during pre-monsoon and post-monsoon seasons (Figure 2b,h), with emissions  $\sim 10^{-1}$ g m<sup>-2</sup> day<sup>-1</sup> mainly due to agricultural stubble burning. The post-monsoon harvesting season includes fire emissions rates that are three times higher compared to the pre-monsoon season ( $\sim 0.3$  g m<sup>-2</sup> day<sup>-1</sup> and  $\sim 0.9$  g m<sup>-2</sup> day<sup>-1</sup>, respectively). Post-monsoon fires are almost exclusively located in the Indian Puniab, with the largest values at the border with





Haryana state. Pre-monsoon fires are located around the border of Pakistani and Indian Punjab and upper Haryana. There are also some isolated fires in the eastern part of the IGP. During winter (Figure 2k), low fire activity is present in the Indus valley in Pakistan and mainly over Uttar-Pradesh from post-harvesting of sugarcane crop.

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- Biogenic emissions peak during pre-monsoon and monsoon seasons (Figure 2c,f), with values of  $2 \times 10^{-3}$ g m<sup>-2</sup> day<sup>-1</sup> and  $1.5 \times 10^{-2}$ g m<sup>-2</sup> day<sup>-1</sup>, respectively. The largest values are over Sindh in Pakistan, West Bengal, and Bangladesh. Land cover over the IGP is dominated by croplands, but state of Sindh includes coastal mangrove plantations, inlands riverine forests, irrigated plantations, and rangelands (of Environment Government of Pakistan, 2009). Moreover, West Bengal and Bangladesh emissions are mostly confined close to the coast, where forest land is present (Reddy et al., 2016). During these two seasons there are also isoprene emissions over Uttar Pradesh from forests in Pilibhit and Kheri, and from northeast Pakistan.
- For computational expediency we have chosen a representative period of one month for each distinct season over the IGP. 165 We define, based on the seasonal definition of the Indian Meteorological Department (India Meteorological Department), the pre-monsoon period as 18th April to 16th May 2017; the monsoon season as 3rd to 31st July 2017; the post monsoon season at 18th October to 16th November 2017; and finally winter as 8th January to 5th February 2018. The 2017/2018 year is close to the climatological mean state so our results are typical of this region rather than being influenced by significant circulation 170 changes due to, for example, El Niño Southern Oscillation climate variations (Null, 2020).

For the purposes of reporting our results we divide the IGP into three sub-regions: the upper IGP that includes the Pakistani

states of Sindh and Punjab and the Indian Punjab; the middle IGP that includes the Indian states of Haryana, Delhi NCT, and Uttar Pradesh; and the lower IGP that include the Indian state of Bihar and West Bengal and Bangladesh, excluding the states of Chittagong and Sylhet (Figure 1).

#### 2.2 Determining the Sensitivity of PM<sub>2.5</sub> and OA to Changes in Precursor Emissions 175

We use a perturbative approach to determine the importance of different source sectors on  $PM_{2.5}$  and OA, which takes into account the non-linear chemical environment. Alternatively, setting a particular emission source to zero would result in a significant non-linear response that is unique to the source, consequently precluding any meaningful comparison of the importance of a particular source to  $PM_{2.5}$  and OA.

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- First, we run a base run for each season. We then, for each season, systematically perturb one emission source by +5% over the study domain for the central week of each season, keeping the other sources the same as the base run. Finally, we calculate the sensitivity  $S_{ij}$  of species concentration C at the gridpoint ij to the changes in emissions  $\Delta E$ :

$$S_{ij} = \frac{\Delta C_{ij}}{\Delta E} = \frac{\sum_{t} (C_{ij,t}^{p} - C_{ij,t}^{b})}{\sum_{ij,t,s} (E_{ij,t,s}^{p} - E_{ij,t,s}^{b})},$$
(1)

where  $C_{ij,t}^{p}$  and  $C_{ij,t}^{b}$  are the concentrations of our target species (PM<sub>2.5</sub> and OA in this study) of the perturbed run p and

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base run b, respectively;  $E_{ij,t,s}^p$  and  $E_{ij,t,s}^b$  are the emissions of species s associated with a specific emission sector from the perturbed and base runs, respectively. Total emissions at each gridpoint ij for species s over time duration  $\Delta t$  is calculated by  $E_{ij,t,s} = \epsilon_{ij,t,s} \Delta t A_{ij}$ , where  $\epsilon_{ij,t,s}$  is the species s emission rate at location ij and time t, and  $A_{ij}$  denotes the area of gridpoint ij, which in our calculations is 400 km<sup>2</sup>. The sum of emissions  $\Delta E$  in the equation denominator includes changes in





emissions over the IGP. To take into account the spatial variability of emissions patterns, we weight ∆E with the total number
of gridcells within the IGP that show emission difference corresponding to a mean emission rate above 0.001 g m<sup>-2</sup> day<sup>-1</sup> (translating to a change in cumulative emissions > 2.8 Mg for each gridcell for the one week simulation considered). This threshold corresponds to a lower limit for significant emissions rate across the area considered (Figure 2). We neglect values of S<sub>ij</sub> where the change in the pollutant concentration C<sub>ij</sub> <5% of mean pollutant seasonal concentration over the IGP (4 µg m<sup>-3</sup> and 1 µg m<sup>-3</sup> for PM<sub>2.5</sub> and total OA, respectively). Using this additional threshold allows us to isolate significant changes in concentrations due to direct changes in emissions, and remove smaller values due to model non-linearities. We report the sensitivity parameter S<sub>ij</sub> with units of µg m<sup>-3</sup> Gg<sup>-1</sup>.

We perturb directly anthropogenic and fire emissions rates. Biogenic emissions are calculated online by scaling normalized emission rates by factors that describes changes in, for example, temperature, photosynthetic active radiation, leaf area index (LAI) (Guenther et al., 2006). We modify the WRF-Chem code to increment only isoprene emissions because our calculations suggest they account for almost all of biogenic emissions over the IGP, in agreement with other studies (Singh et al., 2011; Surl et al., 2018).

### 2.3 Data Used for Model Evaluation

We use *in situ* measurements of PM<sub>2.5</sub>, PM<sub>10</sub>, CO, NO<sub>2</sub>, O<sub>3</sub>, and SO<sub>2</sub> from the Indian Central Pollution Control Board CPCB and PM<sub>2.5</sub> data collected atop the US Embassy in Pakistan and Bangladesh U.S. Department of State. We accessed these data
from the OpenAQ Platform (OpenAQ). Appendix B describes an overview of the *in situ* data, our data cleaning approach, and evaluation metrics. Given the lack of continuous measurements of OA and its componets POA and SOA over the IGP, we compare our model OA with measurements available from the literature. We also evaluate the model using satellite observations of aerosol optical depth (AOD) from the NASA Moderate Resolution Imaging Spectroradiometer (MODIS) instrument aboard the Terra and Aqua satellites, which have a local equatorial overpass time of 1030 and 1330, respectively. AODs are retrieved at 550 nm, corresponding to particle sizes of 0.1–2μm and comparable to the PM<sub>2.5</sub> size range. In particular, we use the MODIS Collection 6.1 Level 2 combined Dark Target and Deep Blue AOD product available on a 10 km spatial resolution (Levy et al., 2013).

Here we summarize the main results of our evaluation (detailed results are available in Appendix B). We report the normalized mean bias (NMB) and the Pearson correlation coefficient r, which we use to describe how well the model reproduces the

- observations. The model tends to overestimate surface  $PM_{2.5}$  concentrations (0.004<NMB<0.4) especially during monsoon season (NMB=0.4) but it has skill in reproducing observed seasonal variations (r > 0.62) with the exception of the monsoon season (r = 0.09). For  $PM_{10}$ , the model tends to underestimate the observation in all seasons (NMB up to -0.25) except in premonsoon season (NMB=0.15) and has poorer skill in reproducing observed  $PM_{10}$  variations compared to  $PM_{2.5}$  ( $r \le 0.69$ ), especially during winter and pre-monsoon season. We generally find poorer model agreement with gas-phase pollutants, espe-
- cially  $O_3$  and  $NO_2$  (Table A3), which may be due to discrepancies between model and observation spatial and temporal scales. Overall, our ground-based evaluation results are in agreement with Conibear et al. (2018) who used a similar model set-up over India. For OA, the model reproduces the order-of-magnitude seasonal trends (Table A4) but additional measurements





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are needed to robustly assess model performance. Table A5 shows that WRF-Chem AOD agree with spatial distributions of MODIS AODs with *r* typically > 0.5 with the exception of the monsoon season (*r*=0.35). Apparent poor model skill during the monsoon season may reflect difficulties in retrieving AOD due to extensive cloud coverage during this season. The model tends to overestimate MODIS AOD during pre-monsoon (NMB=0.33, 0.26 for Terra and Aqua satellites) and slightly underestimate AOD in the other seasons (NMB ranges from -0.06 to -0.19).

### 3 Results

First, we summarise the seasonal meteorology over the IGP, which influences the physical and chemical environments that determine  $PM_{2.5}$  and OA. We then report seasonal distributions of surface  $PM_{2.5}$  and the corresponding constituent aerosol composition. Finally, we investigate the seasonal influence of POA and SOA on  $PM_{2.5}$  and the volatility of the surface SOA across the IGP. In describing the seasonal distribution of  $PM_{2.5}$ , OA, POA and SOA we highlight the influence of anthropogenic, pyrogenic, and biogenic emissions and synoptic meteorology in shaping these patterns.

For the purpose of describing  $PM_{2.5}$  and OA we begin our narrative with the post-monsoon season and finish with the 235 monsoon season, reflecting the central importance of the monsoon system on atmospheric chemistry over the IGP. However, in the corresponding figures we retain the chronological order of events in a calendar year.

### 3.1 Seasonal Meteorological Drivers

Figure A1 shows model seasonal mean values for planetary boundary layer height (PBLH, m), surface relative humidity (RH, %), surface temperature (°C), mean daily rainfall (mm  $day^{-1}$ ), and 10 m wind (m s<sup>-1</sup>) over the IGP. Given that PBLH and RH show a diurnal cycle with high variance we report nighttime and daytime values for these variables.

During the pre-monsoon season, mean surface temperatures are higher than  $30^{\circ}$ C. Mean PBLH ranges from 1000 m up to 4500 m at daytime, with the highest values are over Pakistan and central IGP, and is almost an order of magnitude smaller during nighttime (120 m up to 400 m). Seasonal mean winds are typically 3 m s<sup>-1</sup>, southward from the northern mountain chain of Hindu Kush and the Himalayas, and stronger northward from the coast, allowing pollutants to be transported mainly in the inland. Air is much more humid over the lowest part of the IGP (>60%). Rainfall follows similar patterns of RH, limited

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to Bangladesh with values below  $\sim 3 \text{ mm } day^{-1}$ .

During the monsoon season, the dominant feature is the monsoon itself. This manifests most obviously in increased rainfall, which increases the washout of hydrophillic pollutants, mainly in the central and lower part of the IGP, with mean daily rainfall values of 3-7 mm day<sup>-1</sup> with localized regions of rainfall in excess of 15 mm day<sup>-1</sup>, and wind speeds in excess of 6 m s<sup>-1</sup>

250 north-northeastward. Values of RH are >50% almost everywhere over the IGP, and relatively low values for the PBLH allow a well mixed chemical environment, with smaller day to night variation compared to pre-monsoon (1000-3000 m day, 500-1200 m night). Mean temperatures are similar to those during the pre-monsoon, with the most prominent increase over northern Pakistan (>35 °C).







Figure 3. Seasonal mean spatial distributions of  $PM_{2.5}$  ( $\mu g m^{-3}$ ) over the upper, middle and lower IGP. The numbers inset of pre-monsoon (a-c), monsoon (d-f), post-monsoon (g-i), and winter (l-n) seasons denote the regional mean PM2.5 value.

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The post-monsoon season is characterized by cooler temperatures than the previous two seasons with mean values of  $\sim 23^{\circ}$ C, much lower values for PBLH (below 2000 m during day and  $\sim$ 200 m during night), and weaker wind speeds (< 1 m s<sup>-1</sup> with no predominant direction, a combination of factors that results in pollution stagnation. With the exception of Bangladesh and the Indian states that are adjacent to the Bay of Bengal, rainfall is almost absent from the IGP. Nevertheless, air continues to be humid with the distribution and values of RH similar to the monsoon season, with values of up to 80% over the central and lower IGP, environmental conditions that favour water significantly contributing to PM mass without washout from rain.

- During winter, mean temperature further drops to  $\sim 15^{\circ}$ C with cooler temperatures over regions adjacent to the northern 260 mountain chains. PBLH values are at their daily annual minimum (<~1000 m) and its night values are similar to post-monsoon  $(\sim 200 \text{ m})$ . Winds speeds are typically  $< 12 \text{ m s}^{-1}$  with a net west-east gradient from the upper IGP to the lower IGP, which transports pollutants towards Bihar, West Bengal and Bangladesh, and with a north-south gradient over the Indus Basin that transports pollution from northern Pakistan to the coast. Daily rainfall is below 3 mm day<sup>-1</sup> anywhere across the IGP, but as for post-monsoon, RH remains high over the central and lower IGP (>40% daytime, 70% during nighttime).
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**Figure 4.** Seasonal sensitivity of PM<sub>2.5</sub> concentrations to changes in (left column) anthropogenic, (middle column) pyrogenic, and (right column) biogenic emissions ( $\mu$  g m<sup>-3</sup>Gg<sup>-1</sup>). The calculation is described in the main text. Regions marked as white denote where sensitivity corresponds to PM<sub>2.5</sub> concentrations below the set threshold of 4  $\mu$ g m<sup>-3</sup>.

### 3.2 Seasonal Distributions of Surface PM<sub>2.5</sub>

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Figure 3 shows seasonal variations of surface  $PM_{2.5}$  across the upper, middle, and lower IGP. Generally, we find the highest values of surface  $PM_{2.5}$ , up to 350  $\mu$ g m<sup>-3</sup>, during post-monsoon and winter seasons that are associated with lower PBLH allowing large anthropogenic emissions to accumulate in the boundary layer without ventilation from strong winds. From this section we begin out narrative from the post-monsoon season and finish with the monsoon season, but retain the Figure panels in chronological order for a particular calendar year. Our seasonal distributions of  $PM_{2.5}$  are similar to recent studies (Shahid et al., 2015; Ojha et al., 2020; Mhawish et al., 2020) although we report higher  $PM_{2.5}$  concentrations especially over the lower IGP.

- During the post-monsoon season (Figure 3 (g–i)), the mean values of surface  $PM_{2.5}$  in the upper, middle, and lower IGP are 137  $\mu$ g m<sup>-3</sup>, 176  $\mu$ g m<sup>-3</sup>, and 185  $\mu$ g m<sup>-3</sup>, respectively. On a local scale, Kolkata and its surroundings in the lower IGP experience the worst air quality with mean  $PM_{2.5}$  values in excess of 300  $\mu$ g m<sup>-3</sup>, closely followed by Delhi NCT, the border region between Indian and Pakistani Punjab, and Singrauli at the southern border of middle IGP (~300  $\mu$ g m<sup>-3</sup>). The best air quality is found in the Pakistani state of Sindh with  $PM_{2.5}$  concentrations below 75  $\mu$ g m<sup>-3</sup>. Biomass burning in the Indian Punjab plays a key role in shaping the distribution of  $PM_{2.5}$  during this season. Figure 4h shows that fire emissions have the largest impact on  $PM_{2.5}$  concentrations across the Indian and Pakistani Punjab region, Haryana and Delhi NCT (sensitivities of
  - up to  $5 \times 10^3 \,\mu \text{g m}^{-3} \,\text{Gg}^{-1}$ ). Post-monsoon biomass burning emissions do not impact the central and lower IGP, where PM<sub>2.5</sub>



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concentrations are more sensitive to anthropogenic emissions. The sensitivity of  $PM_{2,5}$  to changes in anthropogenic emissions (Figure 4g) is similar to biomass burning over the cities of Lahore and Faisalabad in the Punjab states, and Delhi NCT. The sensitivity of PM<sub>2.5</sub> to changes in biogenic emissions (Figure 4i) have non-negligible values (  $5 \times 10^2 \ \mu g \ m^{-3} \ Gg^{-1}$ ) only over part of West Bengal in the lower IGP.

During the winter season (Figure 3(j-1)), wind patterns transport pollutants from the upper IGP to the lower IGP, resulting in west-east gradient in seasonal mean  $PM_{2.5}$  concentrations . The mean  $PM_{2.5}$  value in the lower IGP is 191  $\mu$ g m<sup>-3</sup>, the highest mean seasonal value for the IGP. The highest  $PM_{2.5}$  concentrations are reached in Kolkata (>300  $\mu g m^{-3}$ ), and in the Bihar state, with a local peak in Patna (>220  $\mu$ g m<sup>-3</sup>) known as the 'Bihar pollution pool' (Kumar et al., 2018). In the middle IGP, mean PM<sub>2.5</sub> concentrations are 18  $\mu$ g m<sup>-3</sup> lower than post-monsoon levels, with east Delhi and Singauli remaining the largest

hotspots of the region (>220  $\mu$ g m<sup>-3</sup>). The upper IGP experiences the lowest seasonal PM<sub>2.5</sub> concentration (86  $\mu$ g m<sup>-3</sup>), lower than half the value in the lower IGP, with concentrations decreasing from the Punjab to the Sindth coast. Anthropogenic emissions dominate the distribution of PM<sub>2.5</sub> during winter over central and lower IGP (sensitivity of  $3.5 \times 10^2 \ \mu g \ m^{-3} \ G q^{-1}$ , Figure 4j), with the highest sensitivities over Kolkata, Singrauli, and Delhi. The influence of biomass burning is mainly limited to the the Indus basin, stretching until Uttar Pradesh (Figure 4k), while biogenic emissions do not show a significant influence 295

during this season (Figure 41).

During the pre-monsoon season (Figure 3 a-c), air quality begins to improve due to higher PBLHs and stronger winds (Figure A1) that help to disperse pollutants. Mean PM<sub>2.5</sub> concentrations are similar over the upper and middle IGP with values lower than 90  $\mu$ g m<sup>-3</sup>. Higher concentrations remain in the lower IGP (128  $\mu$ g m<sup>-3</sup>) due to the accumulation of pollutants

- from the winds blowing from the Bay of Bengal to the slopes of the Himalayas over North Bangladesh. PM<sub>2.5</sub> values over the 300 upper part of the middle IGP (Figure 3 b) show some influence from biomass burning (Figure 4 b). We find that anthropogenic emissions are most important over the lower IGP and localized region in the central IGP (Figure 4 a). PM<sub>2.5</sub> concentrations in Delhi NCT are jointly influenced by biomass burning and anthropogenic sources. Biogenic sources only have a significant impact over localized regions in the lower and middle IGP (Figure 4(c)).
- 305

Generally, the onset of the monsoon results in better air quality across the IGP due to higher rainfall rates, which increases wet deposition of aerosols, and higher PBLHs that improve the physical dispersal of surface emissions. Mean values of PM<sub>2.5</sub> are  $\leq 100 \ \mu g \ m^{-3}$  across the IGP. The largest values of PM<sub>2.5</sub> are over the lower IGP (up to 170  $\mu g \ m^{-3}$ ). The sensitivity of PM<sub>2.5</sub> is highest for biogenic emissions, particularly for the upper and middle IGP. Anthropogenic emissions impact mainly the central and lower IGP and the upper part of the upper IGP. Fires play only a small role in PM<sub>2.5</sub> during this season.

#### Surface PM<sub>2.5</sub> composition 310

Figure 5 shows the composition of  $PM_{2.5}$  across the IGP. Generally, we find more variability between seasons than across different parts of the IGP, except for the water contribution to PM2.5 mass. The results we report for the chemical composition and seasonal trends of PM<sub>2.5</sub> are broadly consistent with chemical characterisation studies over the region (Chowdhury et al., 2007; Bhowmik et al., 2020).







**Figure 5.** Seasonal mean PM<sub>2.5</sub> composition from the WRF-Chem model across the IGP: (a) upper, (b), middle, and (c) lower IGP. The constituents include sea salt (sum of sodium (Na) and chloride (Cl)),  $NH_4^+$ ,  $SO_4^{2-}$ ,  $NO_3^-$ , the sum of the remaining inorganic compounds (OTHER), total OA, BC, and liquid water.

Inorganic species (secondary inorganic aerosol of sulfate, nitrate and ammonium and other inorganic aerosol) dominate the chemical composition by mass of  $PM_{2.5}$ , representing between 30–80% of total  $PM_{2.5}$  for each season across the IGP. The mean seasonal mass of total inorganics across the IGP is 54–70  $\mu$ g m<sup>-3</sup> during the pre-monsoon season, 27–35  $\mu$ g m<sup>-3</sup> during the monsoon season,79–111  $\mu$ g m<sup>-3</sup> during the post-monsoon season, and 51–114  $\mu$ g m<sup>-3</sup> during winter. The largest inorganic aerosol values are found during the post-monsoon and winter seasons due to nitrate from fossil fuel combustion and from residential and energy use. We find a similar but relatively muted seasonal variation for black carbon with mass values between 2–11  $\mu$ g m<sup>-3</sup>. Sea salt transported from the coasts during the monsoon season adds 3–5  $\mu$ g m<sup>-3</sup> (3–9%) to PM<sub>2.5</sub> across the IGP.

The water contribution to PM<sub>2.5</sub> is substantial over the lower IGP during pre-monsoon, monsoon, and post-monsoon seasons, with mass contribution of 32–44  $\mu$ g m<sup>-3</sup> (25–42%), while during winter it accounts for 6  $\mu$ g m<sup>-3</sup> (3.5%). For the middle

325 IGP, water is a non-negligible fraction of  $PM_{2.5}$  mainly during monsoon (20  $\mu$ g m<sup>-3</sup>, 24%) and winter (12  $\mu$ g m<sup>-3</sup>, 8%) seasons, while for the upper IGP the highest values of water mass are found during only the monsoon season (4  $\mu$ g m<sup>-3</sup>, 8%). The seasonal variation of water content reflects RH distributions, which above values of 60–70% allows PM hydrophilic components (e.g., nitrate, sulfate, sea salt) to uptake water via deliquescence.







Figure 6. Seasonal mean distributions of total OA over the upper, middle and lower IGP. The numbers inset of pre-monsoon (a-c), monsoon (d-f), post-monsoon (g-i), and winter (l-n) seasons denote the regional mean total OA value.

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The sum of primary and secondary OA contributes by mass between 17% and 31% of PM<sub>2.5</sub> across the IGP, with contributions from POA and SOA varying with season. During the pre-monsoon season, OA contributes  $11-21 \ \mu g \ m^{-3}$  to PM<sub>2.5</sub>, representing 17–22% of the total mass. A similar mass contribution is found during the monsoon season (18–21  $\mu$ g m<sup>-3</sup>) but with higher percentage contribution to PM<sub>2.5</sub> (20-31%). The percentage mass contribution of OA to PM<sub>2.5</sub> is similar during the post-monsoon (28–31%, 43–52  $\mu$ g m<sup>-3</sup>) and winter (22–31%, 26–60  $\mu$ g m<sup>-3</sup>), with higher mass contribution during post-monsoon for the middle and lower IGP and during the winter season for the lower IGP. Our results for modeled PM<sub>2.5</sub> composition confirm the significance of OA contribution to fine particulate matter, and we analyse in more detail OA and its 335 components in the next sections.

#### Seasonal Distribution of Surface OA 3.3







Figure 7. Seasonal sensitivity of total OA to changes in (left column) anthropogenic, (middle column) pyrogenic, and (right column) biogenic emissions ( $\mu$  g m<sup>-3</sup>Gg<sup>-1</sup>). The sensitivity calculation is described in the main text. Regions marked as white shows where sensitivity corresponds to OA concentrations below the set threshold of 1  $\mu$ g m<sup>-3</sup>.

Figure 6 shows the season mean distributions of total OA with the corresponding POA and SOA distributions shown by Figures A2 and A3. We generally find that POA dominates seasonal values of total OA across the IGP, with the exception of the post-monsoon season when SOA and POA have comparable values.

During the post-monsoon season (Figure 6g-i), the largest OA concentrations are over the upper IGP at the border of Pakistani and Indian Punjab (> 80  $\mu$ g m<sup>-3</sup>), where POA values can exceed 50  $\mu$ g m<sup>-3</sup>. Although the largest regional mean is found over the lower IGP (52  $\mu$ g m<sup>-3</sup>) due to urban anthropogenic emissions in and around Kolkata and Patna where values are >70  $\mu$ g m<sup>-3</sup>. Over the middle IGP, the mean OA value is similar to the lower IGP (52  $\mu$ g m<sup>-3</sup>) but shows a more homogeneous distribution, with the highest OA values found at the borders between upper and lower IGP. Regional mean POA values 345 range 23–29  $\mu$ g m<sup>-3</sup> (Figure A2g-i), similar to SOA values (20–24  $\mu$ g m<sup>-3</sup>, Figure A3g-i). POA levels are much higher than SOA over the Punjab states in India and Pakistan and in the Indian lower IGP (40–70 and 30–40  $\mu$ g m<sup>-3</sup> for POA and SOA, respectively). Over the middle IGP, SOA is generally higher than POA (29 and 24  $\mu$ g m<sup>-3</sup> for SOA and POA, respectively), with highest concentrations of SOA found in the lower Uttar Pradesh (up to  $40 \ \mu g \ m^{-3}$ ). Over Bangladesh and the Pakistani state of Sindth POA and SOA have comparable values ( $<35 \ \mu g \ m^{-3}$ ).

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We find that during the post-monsoon season, the OA distribution across the IGP is most sensitive to changes in anthropogenic emissions (Figure 7g-i), with the greatest sensitivity of the Indian part of the lower IGP and in the Punjabi Pakistan. We find that OA over the Delhi NCT megacity is not sensitive to these changes unlike other cities mentioned previously, so that Delhi is not one of the main hotspots of OA across IGP during this season (Figure 6h) unlike it is for PM<sub>2.5</sub> (Figure 3h).





- 355 We find that the sensitivity of POA and SOA to changes in anthropogenic emissions are comparable over the Punjab states and across major cities (Figures A4g, A5g). The sensitivity of OA to changes in biomass burning is localised with POA most influenced by fires from Indian Punjab (Figure A4h) and corresponding impact on SOA extending over Pakistan and towards the middle IGP (Figure A5h). Similarly, biogenic emissions play only a localised role in OA and SOA concentrations where biogenic emissions are still significant during this season (Figures 7i and A5i).
- We find that the largest seasonal mean values of OA are during winter over the lower IGP (60  $\mu$ g m<sup>-3</sup>, Figure 6j-1) with contributing localised peaks over Kolkata and Patna (>80  $\mu$ g m<sup>-3</sup>) and at the border between Pakistan and India (ranging 40– 70  $\mu$ g m<sup>-3</sup>). Seasonal mean values of POA and SOA also peak during winter over the lower IGP (34  $\mu$ g m<sup>-3</sup> and 26  $\mu$ g m<sup>-3</sup>, respectively.) During winter, the OA distribution is shaped by anthopogenic and pyrogenic emissions (Figure 7j-1). POA concentrations are determined mostly by anthropogenic emissions so that the resulting spatial distribution does not change much
- 365 from the post-monsoon season (Figure A2j). SOA is also mostly determined by anthropogenic emissions but mainly over the lower IGP and localised areas between Pakistan and India (Figure A5j). POA and SOA are also sensitive to pyrogenic emissions, but during this season it is limited to fires over the Indus basin in Pakistan, with additional sensitivity for SOA to fires over the central IGP (Figures A4k, A5k) with sensitivity  $(5 \times 10^2 \ \mu g \ m^{-3} \ Gg^{-1})$ ). We find that biogenic emissions do not significantly influence OA during winter.
- During pre-monsoon and monsoon seasons, the OA distributions (Figure 6a-f) have similar mean values over the middle and lower IGP (20–21  $\mu$ g m<sup>-3</sup>) and lower mean values over the upper IGP (11 and 18  $\mu$ g m<sup>-3</sup>, respectively). The highest POA concentrations are found at the border on India and Pakistan and over the lower IGP ( $\simeq$ 30 and 40  $\mu$ g m<sup>-3</sup>, respectively). In both seasons, mean SOA concentrations are below 15  $\mu$ g m<sup>-3</sup>) across all the IGP. During pre-monsoon and monsoon seasons, OA concentrations are sensitive to anthropogenic emissions across the IGP with similar spatial distributions (Figure
- 7a,d). Pyrogenic emissions influence the OA distribution during the pre-monsoon season over the central IGP (Figure 7b), but OA is an order of magnitude less sensitive to these emissions compared with the post-monsoon season (Figure 7h). During the monsoon season, the influence of fires on OA is negligible across the IGP. The influence of biogenic emissions on OA, determined exclusively in our model via SOA, is limited to the lower IGP during the pre-monsoon season. During the monsoon season, these emissions have a widespread impact on OA (Figure 7f) with seasonal mean peak sensitivity of up to 2.3 × 10<sup>2</sup> μg m<sup>-3</sup> Gg<sup>-1</sup>.

## 3.4 Seasonal Distribution of SOA Volatility

We use aerosol volatility to describe how SOA is partitioned between the gas and particle phase to understand when it contributes to PM<sub>2.5</sub> mass loading. Figure 8 shows the seasonal mean volatility distributions for SOA across the IGP simulated using the 1-D VBS model in WRF-Chem (Knote et al., 2015). Seasonal and regional variations reflect changes in the physical and chemical environment in which the SOA is formed. Broadly, we find a gradual increase in the volatility of SOA from the

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pre-monsoon season to the winter season, mainly reflecting the increase in the mean OA loading (Figure 6). Higher OA loading leads to a shift in the gas-particle partitioning towards more volatile bins, reflected in the seasonal variation in the population of







**Figure 8.** Seasonal mean volatility distribution of SOA over the upper, middle, and lower IGP as calculated within the WRF-Chem 1-D VBS scheme for (a–c) pre-monsoon, (d–f) monsoon, (g–i) post-monsoon, and (j–l) winter seasons.





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the inert bin (denoted here as  $log_{10}C^* = -4$ , as described above). The contribution of this inert bin is negligible during winter and peaks during the monsoon season, with intermediate values during the pre-monsoon and post-monsoon transition seasons. During the post-monsoon season, the particle phase organic mass is present at high volatility bins up to  $log_{10}C^* = 2$ . The largest particle phase mass loading (10 µg m<sup>-3</sup>) is found over the middle IGP. The upper and lower IGP show a similar volatility distribution as the middle IGP but with lower mass loadings, with the lower IGP having the lowest mass loadings. The smallest values over the lower IGP reflect the persistence of rainfall over this region that leads to continued removal of water soluble gas-phase and aerosol-phase organics.

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Surface-level atmospheric organic mass becomes even more volatile during the winter season, with particle phase organic matter present in all volatility bins. The largest mass loading for SOA are found over the lower IGP (> 10  $\mu$ g m<sup>-3</sup>) and decreases westwards towards the upper IGP, reflecting the E-W gradient of the total OA loading (Figure 6j–l).

SOA during the pre-monsoon (Figure 8 a–c) and monsoon (Figure 8 d–f) seasons are characterised by a volatility  $\leq log_{10}C^* = 1$ , and with aerosol masses lower than 5  $\mu$ g m<sup>-3</sup> for each volatility bin in both seasons. The higher volatility bins

- 400  $(log_{10}C^* = 2 \text{ and } log_{10}C^* = 2)$  are occupied exclusively by gas-phase organic compounds. We attribute this to water-soluble SVOCs being washed out by monsoonal rainfall. The washout of SVOCs results in gas-aerosol re-partitioning to establish thermodynamic equilibrium, associated with particle phase organics partitioning to the gas-phase. Aerosols are also removed via wet and dry deposition but we find most of the loss of SVOCs and SOA mass is lost via the gas phase (Knote et al., 2015). This also helps to explain the low levels of OA during the pre-monsoon and monsoon seasons (Figure 6). The OA volatility dis-
- 405 tribution is similar across the IGP, reflecting an approximately uniform physical environment during the two seasons (Figures A1 and 7).

### 4 Concluding Remarks

We used the WRF-Chem regional atmospheric chemistry model to understand the influence of anthropogenic, pyrogenic and biogenic emissions and meteorology on seasonal variations of the magnitude, distribution, and composition of PM<sub>2.5</sub> and
 organic aerosol across the Indo-Gangetic Plain (IGP) during 2017/2018.

We find that the IGP experiences the highest seasonal mean levels of  $PM_{2.5}$  during the post-monsoon (October—December, 166  $\mu g m^{-3}$ ) and winter (January—February, 145  $\mu g m^{-3}$ ) seasons with an heterogeneous distribution, in agreement with previous studies. The magnitude and distribution of anthropogenic emissions across the IGP are approximately constant throughout the year. During the post-monsoon season, agricultural burning emissions of post-harvest residues influence  $PM_{2.5}$  mostly

- 415 over the upper and middle IGP, particularly affecting the Indian and Pakistani Punjab region. These additional emissions are exacerbated by high pressure weather systems that reduce ventilation of surface air pollution to the free troposphere. During the winter season, ongoing anthropogenic emissions, wind patterns, and a seasonally shallow boundary layer result in a gradient in air quality from the upper to lower IGP, with the highest  $PM_{2.5}$  values (in excess of 250  $\mu g m^{-3}$ ) over Kolkata and the state of Bihar. During the pre-monsoon (March–May) and monsoon (June–September) seasons wet scavenging of hydrophilic
- 420 gas-phase aerosol precursors and aerosols, and more rigorous vertical mixing, reduces levels of PM<sub>2.5</sub> (95-79  $\mu g m^{-3}$  re-





spectively). We find that during the pre-monsoon seasons the impact of biomass-burning over the central IGP is an order of magnitude less that during the post-monsoon season. Generally, we find that  $PM_{2.5}$  composition has a stronger seasonal variation than a geographical variation within each season. Total inorganic species dominate  $PM_{2.5}$  composition (30-80%), with water uptake contributing substantially to the  $PM_{2.5}$  mass especially over the lower IGP (up to 40%).

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We find that OA represents a significant contribution to  $PM_{2.5}$  throughout the year. On an annual mean basis, OA represents 17–30% of  $PM_{2.5}$ , with higher contributions during post-monsoon and winter seasons. Typically, POA contributes more to the OA loading than SOA in all seasons across the IGP. Anthropogenic and pyrogenic sources impact POA and SOA with similar patterns of  $PM_{2.5}$  across the IGP during all seasons. Biogenic sources have a significant impact on SOA distribution across the IGP during the monsoon season but are limited to the lower IGP during the pre- and post- monsoon seasons. We find that the volatility distribution of SOA is driven mainly by the mean total OA loading and the washout of aerosols and gas-phase aerosol precursors that result in SOA being less volatile during the pre-monsoon and monsoon season than during the post-monsoon

and winter seasons.

Mitigating levels of  $PM_{2.5}$  over the IGP will require a range of regional and state-level policies that address the influences of intra- and inter- state anthropogenic, pyrogenic, and biogenic emissions. The relative influence of these emissions on  $PM_{2.5}$ 

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and the broader photochemical environment will likely change in the context of a warmer climate, e.g. biogenic emissions will increase as they are temperature dependent. It is therefore imperative that future studies should also consider sub-regional and city spatial scales, where individual sectors will be more important, and where there is the highest population density that will suffer from poor air quality.





### Appendix A: Meteorological drivers, POA and SOA distribution

440 Figure A1 shows the mean seasonal WRF-Chem meteorological driver or pre-monsoon, monsoon and post-monsoon 2017 and winter 2018. Figure A2, to A5 show POA and SOA distribution over the IGP and their sensitivity to emissions drivers.







**Figure A1.** Seasonal mean WRF-Chem meteorological fields: (a) daytime planetary boundary layer height (m); (b) nighttime planetary boundary layer height (m); (c) daytime surface relative humidity (%); (d) nighttime surface relative humidity (%); (e) surface temperature at 2 m (°C; (f) daily precipitation rate (mm day<sup>-1</sup>)); and (g) wind speed (m s<sup>-1</sup>) and direction at 10 m.







**Figure A2.** Seasonal mean distributions of POA over the upper, middle and lower IGP. The numbers inset of pre-monsoon (a–c), monsoon (d–f), post-monsoon g–i), and winter (j–l) seasons denote the regional mean POA value.







Figure A3. As A2 but for SOA.







**Figure A4.** Seasonal sensitivity of POA to changes in (left column) anthropogenic, (middle column) pyrogenic, and (right column) biogenic emissions ( $\mu$  g m<sup>-3</sup>Gg<sup>-1</sup>). The sensitivity calculation is described in the main text. Regions marked as white shows where sensitivity corresponds to OA concentrations below the set threshold of 1  $\mu$ g m<sup>-3</sup>.



Figure A5. As Figure A4 but for SOA.





### Appendix B: WRF-Chem set-up and evaluation

Table A1 summarises the parametrisation for meteorology we use in WRF-Chem. The following subsections describe the evaluation of model result with ground based observation, OA values from literature and with satellite AOD respectively.

### 445 Ground-based Measurements evaluation

We use ground-based measurements from the Central Pollution Control Board and the U.S. Embassies, which are available for our 2017/2018 study period, and accessed through the OpenAQ Platform (OpenAQ). Data from Pakistan are only available from 2019 so we use 2019 data for the monsoon and post-monsoon seasons and data from 2020 for the winter and pre-monsoon seasons.

- We apply a cleaning procedure of data for each pollutant. The cleaning procedure followed five sequential steps: 1) Exclude non valid, negative and zero values; 2) exclude hourly data with  $z_{score} \ge 3$  respect to daily mean; 3) exclude days with fewer then 12 hourly measurements per day; 4) exclude stations with less then 15 days measurements per simulated season; and 5) exclude all stations but one if there are multiple stations in the same model grid-cell (for statistical independence in the comparison). From this cleaning procedure we get 31 independent stations (Table A2) with a total of seasonal measurements:
- 455 63 for CO, 54 for SO<sub>2</sub>, 61 for NO<sub>2</sub>, 50 for O<sub>3</sub>, 84 for PM<sub>2.5</sub>, 20 for PM<sub>10</sub>. For particulate matter, we compare the dry mass of PM<sub>2.5</sub> and PM<sub>10</sub>.

To compare the model against these measurements, we sample the model at the time and location of each measurement. In practice, we identify the model value closest to the measurement. We report seasonal mean statistics.

We evaluate the model using five metrics: the Mean Bias (MB), Root Mean Square Error (RMSE), Normalised Mean Bias (NMB), Mean Normalised Absolute Error (MNAE), and sample Pearson correlation coefficient (*r*). These metrics are widely used for air quality model evaluation (Zhang et al., 2006; Kumar et al., 2012; Brasseur and Jacob, 2017; Conibear et al., 2018). Table A3 summarises the seasonal mean evaluation of the model with the metrics described.

### **Organic Aerosols**

In the absence of continuous monitoring data of OA, we compare our model OC values with values found in the literature. Table A4 shows the comparison of modeled OC with measurements studies. OA are converted from organic aerosol mass to organic carbon mass assuming OA/OC ratios 1.4 for POA and 2.0 for SOA, following (Knote et al., 2015).

### **Total AOD column**

We compare our modeled prediction against satellite AOD retrievals at 550 nm with a 10 km horizontal resolution obtained from both Terra (MOD04\_L2) and Aqua (MYD04\_L2) MODIS instruments. We use the best-quality AOD retrievals merged

470 from the dark target and the deep blue algorithms (Levy et al., 2013). We re-grid the 10 km Terra and Aqua MODIS AOD data to the coarse WRF-Chem 20 km×20 km model grid.



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We calculate the 550 nm AOD using WRF-Chem values at 300 nm and 1000 nm by interpolation using the Ångström power law. We sample the model at the local overpass time of Terra (1030) and Aqua (1330) where there exists at least one best-quality AOD retrieval. We then mean model and MODIS AOD values over time to generate seasonal statistics. Table A5 reports the main statistical metrics for AOD evaluation together with the range of observed and modeled AOD.





Table A1. Chosen parametrisations for meteorological processes in WRF-Chem.

Process	Parametrisation
Cloud microphysics	Morrison double-moment scheme (Morrison et al., 2005)
Planetary boundary layer	Mellor-Yamada Nakanishi and Niino 2.5 (MYNN2) (Nakanishi and Niino, 2006)
Convection	Grell 3D scheme (Grell and Dévényi, 2002).
Short and long wave radiation	The Rapid Radiative Transfer Model (RRTM) (Iacono et al., 2008).
Land surface	Noah Land Surface Model coupled with a urban canopy model
	(Ek et al., 2003; Kusaka and Kimura, 2004)





number	city	station name	latitude	longitude
1	Agra	Sanjay Palace	27.20	78.01
2	Delhi	Income Tax Office	28.62	77.25
3	Delhi	Delhi Technological University	28.74	77.12
4	Delhi	Shadipur	28.65	77.16
5	Delhi	Anand Vihar	28.65	77.32
6	Delhi	Punjabi Bagh	28.67	77.12
7	Delhi	NSIT Dwarka	28.59	77.05
8	Delhi	IHBAS	28.68	77.31
9	Delhi	Mandir Marg	28.63	77.20
10	Delhi	R K Puram	28.56	77.17
11	Dhaka	US Diplomatic Post: Dhaka	23.80	90.42
12	Faridabad	Sector16A Faridabad	28.41	77.31
13	Gaya	Collectorate - Gaya - BSPCB	24.75	84.94
14	Gurgaon	Vikas Sadan Gurgaon - HSPCB	28.45	77.03
15	Haldia	Haldia - WBPCB	22.06	88.11
16	Islamabad	US Diplomatic Post: Islamabad	33.72	73.12
17	Jaipur	VK Industrial Area Jaipur - RSPCB	26.97	75.77
18	Jodhpur	Collectorate Jodhpur - RSPCB	26.29	73.04
19	Kanpur	Nehru Nagar	26.47	80.33
20	Karachi	US Diplomatic Post: Karachi	24.84	67.01
21	Kolkata	US Diplomatic Post: Kolkata	22.56	88.36
22	Kolkata	Rabindra Bharati University, Kolkata - WBSPCB	22.63	88.38
23	Lahore	US Diplomatic Post:Lahore	31.56	74.34
24	Lucknow	Central School	26.85	81.00
25	Lucknow	Lalbagh, DN Park	26.85	80.94
26	Muzaffarpur	Collectorate - Muzaffarpur - BSPCB	26.08	85.41
27	Panchkula	Sector 6 Panchkula - HSPCB	30.71	76.85
28	Patna	IGSC Planetarium Complex - Patna - BSPCB	25.36	85.08
29	Peshawar	US Diplomatic Post: Peshawar	34.01	71.54
30	Rohtak	MD University, Rohtak - HSPCB	28.88	76.62
31	Varanasi	Ardhali Bazar	25.35	82.98

Table A2. List of selected ground-based stations and their measurements used for model evaluation.





	pollutant	season	NMB	NMAE	MB [ $\mu g \ m^{-3}$ ]	<b>RMSE</b> $[\mu g \ m^{-3}]$	r
		pre-monsoon	0.12	0.31	10	34	0.62
	DM	monsoon	0.41	0.65	19	36	0.09
	PN12.5	post-monsoon	0.19	0.28	33	62	0.84
		winter	0.004	0.28	0.7	53	0.69
		pre-monsoon	0.15	0.59	32	133	0.11
	DM	monsoon	-0.21	0.28	-25	46	0.69
	<b>F</b> 1 <b>V1</b> 10	post-monsoon	-0.14	0.36	-41	122	0.66
		winter	-0.25	0.43	-64	131	-0.85
		pre-monsoon.	-0.64	0.64	-643	825	0.44
	CO	monsoon	-0.55	0.55	-428	567	0.12
	co	post-monsoon	-0.65	0.65	-1439	2272	0.29
		winter	-0.52	0.61	-703	1163	-0.20
		pre-monsoon	0.14	0.95	6	57	0.27
	NO.	monsoon	0.46	1.00	11	32	0.08
	$\mathbf{NO}_2$	post-monsoon	0.65	1.44	36	97	0.15
		winter	0.31	0.98	17	66	0.30
		pre-monsoon	1.59	1.67	75	91	-0.52
	0.	monsoon	2.92	2.92	64	66	-0.12
	$O_3$	post-monsoon	2.96	2.98	98	113	-0.75
		winter	2.87	2.92	71	87	-0.55
		pre-monsoon	0.25	0.85	3	13	0.04
	50.	monsoon	0.27	1.38	4	34	-0.18
	$30_2$	post-monsoon	2.36	2.44	33	49	0.51
		winter	1.85	2.15	27	43	0.04

Table A3. Statistical evaluation of model performance with ground based measurements for main PM and main gas pollutants.





location	period	species	OC measurements [ $\mu g \ m^{-3}$ ]	OC model [ $\mu g \ m^{-3}$ ]	reference
	Jan-Feb 13-16		$23.6\pm12.9$	23.4	
D II.	Mar-May 13-16	DM	$9.82\pm4.16$	13.3	
Delhi	Jun-Set 13-16	PM2.5	$6.77 \pm 2.63$	12.1	Jain et al. (2020)
	Oct-Dec 13-16		25.2 ±14.7	38.6	
	Jan-Feb 13-16		30.1±12.1	23.5	
Dull	Mar-May 13-16	DM	$23.4\pm10.7$	13.4	Lein et al. (2020)
Delni	Jun-Set 13-16	$PM_{10}$	$15.9 \pm 9.7$	12.3	Jain et al. (2020)
	Oct-Dec 13-16		39.4 ±15.6	38.7	
	Oct-Nov 08	PM <sub>10</sub>	53.3 ±21.2	37.2	
Kanpur	Dec 08 - Feb 09		$29\pm14.5$	21.9	Ram et al. (2012)
	Mar-Apr 09		$23.1\pm11.5$	12.3	
Kharagpur	Nov 09 - Mar 10	$PM_{2.5}$	30.7± 12.1	42.0	Srinivas and Sarin (2014)
	Jan-06		18.5±2.0	67.2	
Kolkata	Apr-May 06	DM	$15.5 \pm 3.6$	7.8	Chattorian at al. (2012)
	Jul-06	PIM2.5	$5\pm1$	14.3	Challerjee et al. (2012)
	Oct-Nov 06		$11.5 \pm 5.0$	57.4.	
	Jan-07		76.5	34.0	
Labore	Apr-May-07		43.5	20.0	Stand at al. $(2010)$
Lahore	Jul-07	P1VI <sub>2.5</sub>	31.5	18.2	Stone et al. (2010)
	Oct-Nov 07		111.2	61.0	

**Table A4.** Comparison of modeled OC with measurements studies in the literature. Model values refers to the mean over the corresponding season of observations.





 Table A5. Seasonal comparison of modeled total AOD column and satellite AOD observations for the Terra and Aqua instruments over the IGP.

satellite	season	MB	NMB	NMAE	RMSE	r	range obs	range model
	pre-monsoon	0.33	0.53	0.56	0.44.	0.53	0.06 - 1.78	0.10 - 2.29
T	monsoon	0.04	0.05	0.45	0.52	0.35	0.00 - 3.42	0.10 - 3.78
Тегта	post-monsoon	-0.05	-0.06	0.25	0.25	0.76	0.07 - 3.50	0.12 - 1.71
	winter	-0.11	-0.19	0.30	0.21	0.64	0.05 - 1.74	0.11 - 1.16
	pre-monsoon	0.27	0.44	0.49	0.40	0.52	0.07 - 3.50	0.08 - 2.82
Aqua	monsoon	-0.18	-0.19	0.43	0.53.	0.35	0.04 - 2.53	0.11 - 3.17
	post-monsoon	-0.05	-0.06	0.25	0.23	0.74	0.06 - 2.23	0.12 - 1.47
	winter	-0.08	-0.14	0.33	0.22	0.47	0.09 - 1.17	0.08 - 1.2



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*Code and data availability.* All the data and materials used in this study are freely available. The WRF-Chem model code is available from https://www2.acom.ucar.edu/wrf-chem. NCEP FNL global tropospheric analyses were taken from https://rda.ucar.edu/datasets/ds083.3/. CAM-CHEM global model results were downloaded from https://www.acom.ucar.edu/cam-chem/cam-chem.shtml. The EDGAR-HTAPv2.2 emissions dataset ready for be used in WRF-Chem were downloaded from https://www2.acom.ucar.edu/wrf-chem/wrf-chem-tools-community. The FINN biomass burning emissions dataset was downloaded from https://bai.acom.ucar.edu/Data/fire/. Ground based observation used for the model evaluation where obtained from https://openaq.org/. The MODIS data are available from https://ladsweb.modaps.eosdis.nasa.gov/. Metadata that describe our calculations are available from https://github.com/catemgn/aqIGP; we move the metadata to zenodo once the

paper has been accepted for publication.

Author contributions. CM and PIP conceived the study and methodology. CM set-up the model with support from CK. CM performed the
 simulations, and led the model evaluation and data analysis, and interpreted the results together with PIP, CK and TJW. FY provided the
 AOD observations to be compared with the model AOD. CM and PIP wrote the paper, with input from all co-authors.

Competing interests. The authors declare that they have no conflict of interest.

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