

1 **Response to RC#1:**

2 Dear Editor and anonymous referee #3:

3 We greatly appreciate your consideration and the reviewer's constructive
4 comments on the manuscript of "Estimation of Secondary PM_{2.5} in China and the
5 United States using a Multi-Tracer Approach" (acp-2021-683). We have carefully
6 revised the manuscript to address all the comments as described below. Reviewer
7 comments are shown in blue. Our responses are shown in black. The revised texts are
8 shown in italics.

9

10 This study developed a new method to determine the portion of primary and
11 secondary PM_{2.5} using some basic measurements and inventory. They evaluated this
12 new approach through the comparison with lots of observations in China and US. In
13 addition, they analyzed the temporal and spatial variation as well as correlation
14 between O₃ and PM_{2.5} using the results from their new method. Although their
15 evaluation looks very well, I think their results were not enough convincing because
16 of unclear statement of their method and defect of this method. I would suggest major
17 revision before reconsideration. My detail comments are following.

18 **Response:** We thank the reviewer for the comments. According to the reviewer's
19 helpful and insightful comments, we have revised our manuscript and the point-by-
20 point responses to the specific comments were given subsequently. We sincerely hope
21 the revisions are able to address the reviewer's concerns.

22

23 1. Eq (1) and Eq (2): These equations are the core of their method. They regarded
24 CO as one tracer to represent the combustion process and assumed the combustion
25 emission sources are same for CO, OC and EC. This assumption is mostly correct, but
26 the emission factor/emission ratio of CO, OC and EC from different combustion
27 sources are different. I think it is unconvincing to use one single coefficient without

28 the influence of diversity of sources to standard for all conditions. I may
29 misunderstand something, please discuss this uncertainty or make this clear.

30 **Response:** Thanks for the conducive comments. We also do believe that the
31 emission factors of CO, OC and EC from different sources are various as well. Our
32 method tracks the combustion process, which produces OC and EC, by regarding CO
33 as the tracer. However, the correlation between different sources of diverse
34 carbonaceous matter is hard to find out with the aid of current routine observations of
35 CO. The coefficients in Eq. 1 are aimed at representing the relative contribution of
36 combustion process and flying dust to primary PM_{2.5}. We constrained the uncertainty
37 of both coefficients by setting up a set of sensitivity tests. The specific discussion
38 about this uncertainty is in Section 4.5. The specific configuration issue your
39 concerned about the sensitivity experiment will be clarified in the following 3rd point.
40 The final experiment result indicates that the adjustment of coefficient for CO (*a*)
41 within 0.1 does not obviously affect the estimated secondary proportions of PM_{2.5} (<
42 3%). To make this point clearer, the detailed description of this part has been
43 corrected in the revised manuscript as follows.

44 ***Revision in Section 2.1:***

45 *As shown in Eq. 1, we use *a* and *b* to quantify the relative contributions of*
46 *combustion and dust process to PPM. Given that the complicated process such as the*
47 *combustion from multiple sources is hard to represent via current routine CO*
48 *observations, we avoid considering the correlation among these sources but focus on*
49 *the relative weights of combustion process and flying dust. Meanwhile, the*
50 *uncertainty resulting from the apportioning coefficient *a* and *b* will be further*
51 *discussed in Section 4.5.*

52

53 2. Eq (2): why did you name *b* as emission of fine dust? To my knowledge,
54 MEIC does not include the emission of dust even urban dust.

55 **Response:** Thanks for your concerns. The dust emissions are not specifically

56 separated from PM_{2.5} emissions in MEIC. In fact, the composition of PM_{2.5} emission
57 in MEIC includes EC, OM, sulfate, nitrate and other trace elements such as Al, Ca, Si,
58 Fe, Mg, K and other species etc. (Li et al., 2017a). Trace elements are usually related
59 to the flying dust from constructions and onroad traffic transportation. In the MTEA
60 approach, we would like to represent the dust-related part of PPM with the emissions
61 of the mineral dust in fine mode particulate matter. We calculated the dust-related
62 emissions by deducting the emissions of EC, OM, sulfate and nitrate from total PM_{2.5}
63 emissions. We revised the relevant texts for a clearer statement.

64 ***Revision in Section 2.1:***

65 *Coefficient b is aimed at reflecting the activity intensity of fine mode dust by*
66 *counting its emissions. However, MEIC does not directly provide fine mode dust*
67 *emissions. It is included in the emissions of total PM_{2.5} (Li et al., 2017a). Thus we*
68 *inferred the fine mode dust emission by deducting the emissions of EC, POA, sulfate*
69 *and nitrate from the PM_{2.5} emissions.*

70

71 3. I did not understand how you did the sensitivity experiment to examine the
72 uncertainty in the inventories. Page 16, you said you changed the emission coefficient
73 with 10%. If so, how can you keep $a+b=100\%$? According to my understanding on
74 this new method, the results should have large dependence on the inventory of PM_{2.5},
75 OC, EC even the factor you used to decide OA, SO₄²⁻ and NO₃⁻. I would strongly
76 suggest setting up more comprehensive and scientific sensitivity experiments to
77 discuss the dependence on the inventory.

78 **Response:** Thank you for your conducive comments and rigorous attitude to
79 scientific research. Coefficients a and b are determined by calculating the relative
80 ratio between EC+POA to dust as Eq. 1-2. Hence the uncertainty of emission
81 inventory can lead to the changes of the ratio a to b . In Section 4.5, we tested the
82 adjoint changes of the final estimated secondary proportions of PM_{2.5} by adjusting the
83 coefficient a . The adjustive test includes two parts. Firstly, we increased the value of a

84 in each city by 0.1 to check the model results in the case of underestimating the
85 contributions of combustion process (or overestimating the contributions of dust
86 process). Under this circumstance, the coefficient b which represents dust process
87 should be decreased by 0.1. On the contrary, we also decreased the value of a in each
88 city by 0.1 to check the model results in the case of overestimating the contributions
89 of combustion process (or underestimating the contributions of dust process).
90 Meanwhile, the coefficient b which stands for dust process is increased by 0.1. The
91 sum of a and b is still 100%. The sensitivity experiment results indicate that the
92 disturbance of coefficient a (± 0.1) lead to changes in the secondary proportions of
93 $PM_{2.5}$ within $\pm 3\%$ (refer to Table S5 in the supplementary material). In addition, the
94 discussion about the uncertainty of the primary sulfate and nitrate emissions also
95 reveals that the predicted results are not sensitive to their emissions (refer to Section
96 2.1 and Table S1 in the supplementary material). Therefore, we indeed agree that the
97 emission inventory can pose impacts on our model estimation, but the effects are not
98 obvious.

99 The assumed tracer of PPM (i.e. X , see Eq. 1) is one of the cores of MTEA
100 approach. However, the most determinative stuff for the final results of our model is
101 the principle of the minimum correlation between PPM and SPM but not only the
102 value of the tracer X . To prove this view, we also carried out another kind of test in
103 adjusting X by changing the concentrations of CO and PMC. We (1) increased CO
104 concentration by 10% as well as decreased PMC concentration by 10% and (2)
105 decreased CO concentration by 10% as well as increased PMC concentration by 10%.
106 Both sets of adjustment demonstrate changes within $\pm 2\%$ in the estimated secondary
107 proportions of $PM_{2.5}$ in all cities except for Urumqi (Table R1). This phenomenon also
108 supports that the impacts of the tracer X on the final model results are not obvious. To
109 clearly state the point mentioned by the reviewer, we have rephrased the relevant texts
110 in the manuscript.

111 ***Revision in Section 2.1:***

112 *We evaluated the potential effect of the coefficient, by conducting a set of*

113 comparative simulation with the coefficient of 0.03, and found that the final estimated
114 SPM was not sensitive to this coefficient (Table S1). Thus we concluded that the
115 uncertainty of primary sulfate and nitrate emissions did not significantly influence the
116 final estimation of MTEA model. For other uncertainties of X which are dependent on
117 emission intensities or tracer concentrations, we would conduct discussions in the
118 later Section 4.5.

119 **Revision in Section 4.5:**

120 To evaluate the uncertainty, a comparison test was conducted by adjusting the
121 apportioning coefficient (the a and b in Eq. 1) with a disturbance of ± 0.1 . Firstly, we
122 decreased the value of a in each populous city by 0.1. Meanwhile, the coefficient b
123 increased by 0.1. This scenario indicates an overestimation in contribution of
124 combustion-related process to primary $PM_{2.5}$ or underestimation in contribution of
125 dust-related process. Secondly, we increased the value of a in each populous city by
126 0.1 (decreased b by 0.1) for checking the opposite case. The results are presented in
127 Table S5 and point out that the estimated secondary proportions of $PM_{2.5}$ varied less
128 than $\pm 3\%$ in most populous cities caused by the changes of the apportioning
129 coefficient. This sensitivity experiment highlights that the apportioning coefficients
130 depending on emissions has limited impacts on the final estimation results. Generally,
131 the uncertainty of apportioning coefficient is one of two factors that directly affect the
132 tracer X . The other one is the concentration of CO and PMC itself. Hence, we also
133 conducted a similar test to check the impacts of tracer X on the model estimation by
134 changing the tracer concentrations mentioned in Eq.1. Specifically, we (1) increased
135 CO concentration by 10% as well as decreased PMC concentration by 10% and (2)
136 decreased CO concentration by 10% as well as increased PMC concentration by 10%.
137 Both sets of adjustment show changes within $\pm 2\%$ in the estimated secondary
138 proportions of $PM_{2.5}$ in all cities except for Urumqi (Table S6). This phenomenon from
139 the perspective of tracer concentration also supports that the impacts of the tracer X
140 on the final model results are limited. In summary, we believe that the most
141 determinative stuff for the final results of our model is the principle of the minimum

142 *correlation between PPM and SPM but not the tracer X which relies on emissions or*
 143 *concentrations.*

144 **Table R1.** Impacts of tracer concentration uncertainty on the estimated secondary proportion of
 145 PM_{2.5}¹ in China (Unit: %).

City	Secondary proportion of PM _{2.5}	Change of secondary proportion of PM _{2.5}	
		1.1 * CO concentration & 0.9 * PMC concentration	0.9 * CO concentration & 1.1 * PMC concentration
Beijing	40.3	-0.01	0.01
Tianjin	61.9	-0.32	-0.52
Shijiazhuang	44.8	-0.26	-0.28
Taiyuan	43.1	0.22	0.17
Hohhot	48.6	-0.03	-0.01
Shenyang	48.7	-0.06	-0.06
Changchun	47.9	0.03	0.04
Harbin	66.9	0.22	-0.59
Shanghai	68.0	-1.51	-1.90
Nanjing	50.3	0.00	0.03
Hangzhou	45.6	-0.42	-0.46
Hefei	65.4	-1.57	-1.73
Fuzhou	64.8	-0.25	-0.44
Nanchang	62.5	-0.33	-0.42
Ji'nan	54.6	-0.04	-0.02
Zhengzhou	54.6	0.14	0.14
Wuhan	61.5	-1.45	-1.49
Changsha	65.9	-1.60	-1.74
Guangzhou	65.2	0.00	-0.28
Nanning	65.2	-0.22	-0.47
Haikou	65.9	-0.15	-0.09
Chongqing	62.7	-0.23	-0.31
Chengdu	45.3	0.42	0.44
Guiyang	65.6	-0.22	-0.50
Kunming	70.4	-0.40	-0.69
Lhasa	56.1	0.07	0.05
Xi'an	52.6	-0.04	-0.01
Lanzhou	60.0	0.15	0.02
Xining	59.1	-0.56	-0.60

Yinchuan	59.5	0.02	-0.06
Urumqi	72.1	-2.70	-2.85

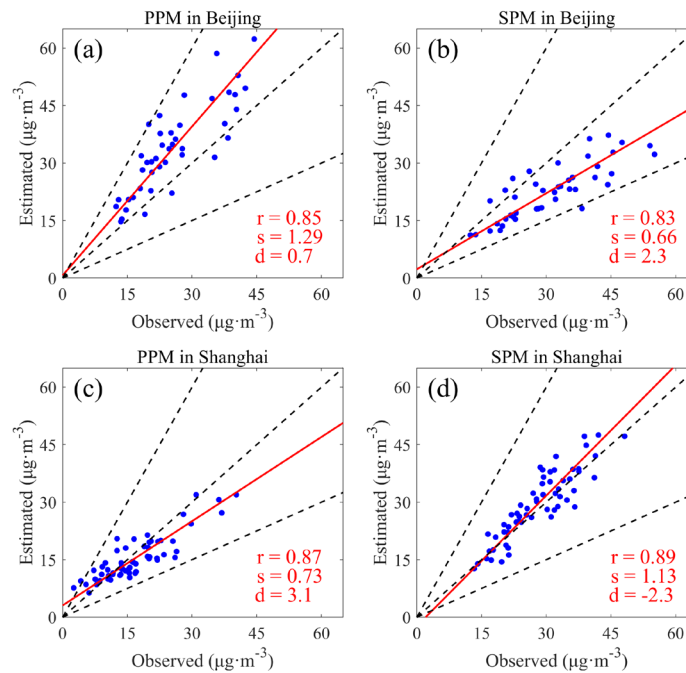
146 ¹ Based on the MEE observations in 2016.

147

148 4. Figure 3, as I saw, the largest concentration is < 60 $\mu\text{g}/\text{m}^3$. Why not short the
 149 range of axis to spread those dots?

150 **Response:** Thanks for your highly careful reminding. We have reduced the range
 151 of axis from 130 to 65 for aesthetics. And the revised figure is shown below.

152 **Revision in Fig. 3:**



153

154

155 5. P8L7: Why did you remove the heavy pollution cases here as well as in
 156 Section 4? As you stated at P10L25, you would like to avoid the influence of extreme
 157 high primary emission cases. However, mostly heavy pollution cases are caused by
 158 unfavored meteorological condition but not caused by sudden high primary emission
 159 (except the biomass burning cases). I would be curious that how your method applied
 160 to analyze the heavy pollution cases. In general, it is more important to understand the
 161 contribution of secondary particles to heavy pollution cases than the general

162 conditions.

163 **Response:** Thanks for your highly conducive comments and rigorous attitude to
164 scientific research. The data preprocessing in P8L7 and P10L25 are different. The
165 data preprocessing in Section 3.1.1 is aimed at removing the gap between long-term
166 measurements of PM_{2.5} at a single site and routine observation of PM_{2.5} from national
167 network for further evaluation.

168 However, the data preprocessing in Section 4 is prepared for the usage of data
169 from MEE. To address reviewer's concern, we take estimation in 2016 as an example
170 and make a comparison. MTEA method shows that the estimated secondary
171 proportions of PM_{2.5} without excluding the heavy polluted cases are 2.0-13.7% lower
172 than that including the data preprocessing (Fig. R1). We agree with the reviewer that
173 unfavorable meteorological conditions are major causes for haze events. Under these
174 unfavored meteorological conditions, the assumed tracer X may have extremely high
175 co-linear relationship with total PM_{2.5}. Thus the PPM concentrations may be falsely
176 overestimated. Here we excluded these days to avoid the incorrectly estimation and
177 focus more attention on the common characteristics of PPM/SPM during the general
178 periods. We revised the statement in Section 3.1.1 and Section 4 for a clearer version.

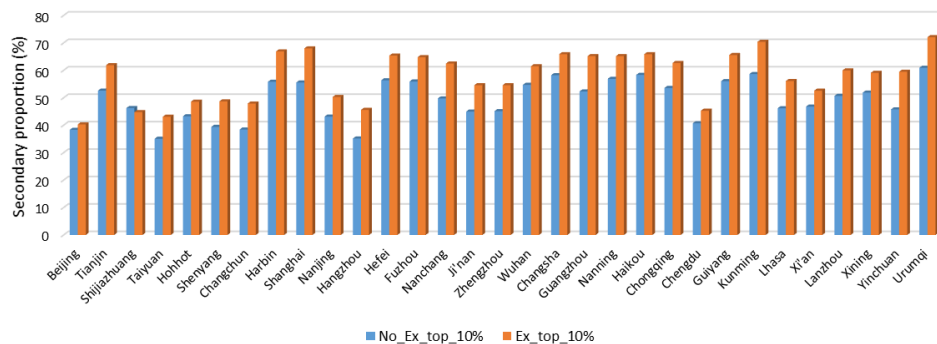
179 ***Revision in Section 3.1.1:***

180 *Given the discrepancy in PM_{2.5} concentrations between in-situ measurements of*
181 *a single site and multiple MEE national sites, we firstly preprocessed the data for*
182 *further evaluation. In data preprocessing, we removed the in-situ daily measurements*
183 *whose value was over 30 μg·m⁻³ higher than the city average (from MEE).*

184 ***Revision in Section 4:***

185 *The observations during severe haze events (top 10% CO and PMC polluted*
186 *days) were excluded to avoid the influence of unfavorable meteorological conditions*
187 *and extreme high primary emission cases. Unfavorable meteorological conditions are*
188 *major causes for haze events. PPM under these unfavored meteorological conditions*
189 *may have considerable high co-linear relationship with total PM_{2.5}. The concentration*

190 of SPM from complicated formation pathways is then underestimated. Therefore, we
 191 excluded these polluted days to focus more attention on general characteristics of
 192 PPM and SPM concentration.



193
 194 **Figure R1.** The estimated secondary proportions of PM_{2.5} in case of including (No_Ex_top_10%)
 195 and excluding top 10% polluted days (Ex_top_10%) in 2016.

196

197 [6. P10L30: Could you explain what is regional background cities you defined](#)
 198 [here? Usually, cities are not background.](#)

199 **Response:** Thank you for pointing this out. We agree that cities usually are not
 200 categorized as background regions. We are aimed at disclosing the discrepancy in
 201 PPM/SPM among diverse cities which depend on different levels of anthropogenic
 202 activity. The 19 regional background cities in this study are chosen because they
 203 suffered the least PM_{2.5} pollution during 2014-2018. The averaged mean PM_{2.5}
 204 concentration of each city is less than 35.0 μg m⁻³ (National Ambient Air Quality
 205 Standard level II of China, NAAQS) except for Guyuan, Ningxia Province (refer to
 206 Table S3 in the supplementary material). We believe that these selected cities can
 207 generally reveal the PM pollution characteristics of the regions which are under sparse
 208 anthropogenic emissions. For a clearer expression, we have revised the related texts in
 209 the manuscript.

210 **Revision in Section 2.2.1:** 31 among the 50 cities are provincial capital cities,
 211 employed to represent populous cities, while the rest 19 relatively small cities are
 212 categorized as regional background cities (Table S3). The mean PM_{2.5} concentration

213 of each regional background city is less than $35 \mu\text{g m}^{-3}$ (National Ambient Air Quality
 214 Standard level II of China, NAAQS) except for Guyuan, indicating that they are
 215 slightly impacted by anthropogenic activities. By comparing populous cities with
 216 regional background cities, we could reveal the discrepancy in PPM and SPM among
 217 those regions which suffer from different levels of $\text{PM}_{2.5}$ pollution.

218

219 7. Section 4.2.1: I think the seasonal variation of PPM and SPM is largely
 220 depend on the seasonal variation of emissions you applied.

221 **Response:** Thank you for your comments. We indeed agree with the reviewer
 222 that the seasonal pattern of the estimated PPM and SPM concentration can be
 223 attributed to the seasonal variations of emissions. Taking Shanghai as an example, we
 224 tested the impacts of the seasonal variations of emissions on the estimated PPM and
 225 SPM concentrations by comparing two cases (i.e. seasonal emissions in this study and
 226 homogenous emissions in the ideal sensitivity experiment). As listed in Table R2,
 227 though the seasonal maxima/minima of PPM and SPM concentration still happen in
 228 the wintertime/summertime, but the specific concentrations significantly change. The
 229 maximum of relative change can be 10% (PPM in DJF, changes from $15.8 \mu\text{g}\cdot\text{m}^{-3}$ to
 230 $14.3 \mu\text{g}\cdot\text{m}^{-3}$).

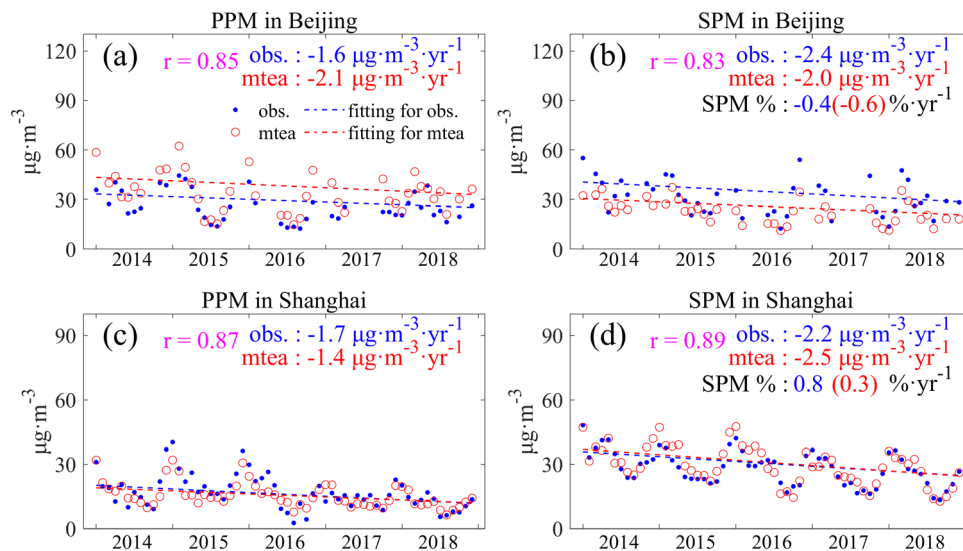
231 **Table R2.** Comparison of seasonal PPM and SPM concentrations between applying seasonal
 232 emissions or homogenous emissions in Shanghai (Unit: $\mu\text{g}\cdot\text{m}^{-3}$).

		MAM	JJA	SON	DJF
PPM	Seasonal emissions (This study)	12.4	11.1	11.7	15.8
	Homogenous emissions (Ideal study)	12.8	11.7	12.2	14.3
SPM	Seasonal emissions (This study)	29.5	22.5	20.8	25.4
	Homogenous emissions (Ideal study)	29.2	21.9	20.3	26.8

233

234 8. Section 4.2.2: Did you use the emission inventory for specific year here?
 235 China conducted a large reduction on PM_{2.5} emission since 2014. If you did not use
 236 the specific inventory, the estimated trend of PPM and SPM would not make sense,
 237 even though they agreed with observations. In addition, could you show the
 238 correlation coefficient between the observation and estimation here?

239 **Response:** Thanks for your concern. We indeed agree with the reviewer's
 240 opinion that the emission inventory should be matched for each year. For
 241 anthropogenic emissions from 2014 to 2017, we utilized the MEIC emission
 242 inventory (v1.3) developed by Tsinghua University, which is publicly offered at their
 243 website (<http://meicmodel.org/>) (Li et al., 2017a; Li et al., 2017b). In terms of
 244 emissions after 2017, we also accessed from MEIC support team (Zheng et al., 2021).
 245 For the correlation coefficient between the observation and estimation in Section 4.2.2,
 246 we have followed the suggestion from the reviewer and showed it both in the related
 247 figure and the related texts in the manuscript.



248

249 **Revision in Section 4.2.2:**

250 *Applying the MTEA model to this case, we are delighted to find that our model*
 251 *not only successfully reproduces the consistent decreasing trends of PPM and SPM in*
 252 *Beijing and Shanghai (correlation coefficient r of observation versus estimation*
 253 *ranges from 0.83 to 0.89), but also captures the different trends in secondary*

254 proportions of $PM_{2.5}$ in the two cities (-0.6% yr^{-1} in Beijing and 0.3% yr^{-1} in
255 Shanghai).

256

257 9. Section 4.3: The same issue as above. Did you update the inventory to the
258 lockdown condition? If yes, please state the inventory you used here and the decrease
259 in the emission of $PM_{2.5}$, CO, OC, EC.

260 **Response:** Thank you for your concern. We used the emission reduction ratio in
261 of various air pollutants during the COVID-19 lockdown from Huang et al. (2020).
262 The specific emission reduction ratios of various air pollutants are listed in Table R3.
263 Meanwhile, we modified the related texts in the manuscript to make it clearer.

264 **Revision in Section 4.3:** *With the help of MTEA, we tracked variations of the*
265 *secondary proportions of $PM_{2.5}$ in East China before and during the COVID-19*
266 *lockdown (Fig. 8 d-f). The specific emission reductions owing to the national*
267 *lockdown were derived from Huang et al. (2020). Based on the bottom-up dynamic*
268 *estimation, provincial emissions of CO, NO_x , SO_2 , VOC, $PM_{2.5}$, BC and OC decreased*
269 *by 13-41%, 29-57%, 15-42%, 28-46%, 9-34%, 13-54%, and 3-42%, respectively*
270 *during the lockdown period.*

271 **Table R3.** Estimation of provincial emission reduction ratio (%) of CO, NO_x , SO_2 , VOC, $PM_{2.5}$,
272 BC, OC due to COVID-19 lockdown in China.

Province	CO	NO_x	SO_2	VOC	$PM_{2.5}$	BC	OC
Beijing	22%	45%	26%	45%	18%	46%	8%
Tianjin	21%	38%	20%	41%	14%	22%	6%
Hebei	15%	45%	16%	36%	12%	17%	5%
Shanxi	18%	40%	20%	33%	16%	19%	10%
Inner Mongolia	14%	29%	15%	34%	13%	16%	6%
Liaoning	21%	40%	28%	36%	16%	28%	8%
Jilin	16%	39%	23%	34%	13%	18%	5%
Heilongjiang	17%	37%	27%	28%	13%	15%	7%
Shanghai	35%	48%	42%	45%	34%	54%	42%
Jiangsu	23%	50%	26%	41%	16%	35%	7%

Zhejiang	41%	50%	29%	45%	30%	49%	20%
Anhui	14%	56%	22%	31%	11%	22%	4%
Fujian	29%	51%	30%	42%	19%	31%	7%
Jiangxi	24%	53%	21%	43%	19%	30%	9%
Shandong	23%	50%	25%	39%	19%	35%	9%
Henan	23%	57%	22%	41%	18%	35%	8%
Hubei	19%	55%	23%	35%	16%	23%	10%
Hunan	22%	51%	25%	36%	20%	24%	15%
Guangdong	38%	50%	33%	46%	27%	42%	13%
Guangxi	24%	50%	28%	39%	17%	27%	5%
Hainan	24%	44%	25%	36%	14%	25%	4%
Chongqing	18%	53%	32%	37%	14%	20%	4%
Sichuan	16%	50%	27%	33%	9%	15%	3%
Guizhou	24%	39%	25%	30%	22%	25%	20%
Yunnan	24%	51%	25%	41%	18%	21%	8%
Tibet	16%	35%	15%	35%	14%	14%	5%
Shaanxi	19%	45%	18%	34%	13%	22%	5%
Gansu	13%	47%	16%	29%	9%	13%	3%
Qinghai	23%	46%	22%	39%	20%	20%	7%
Ningxia	24%	36%	24%	39%	20%	23%	8%
Xinjiang	16%	35%	15%	35%	14%	14%	5%

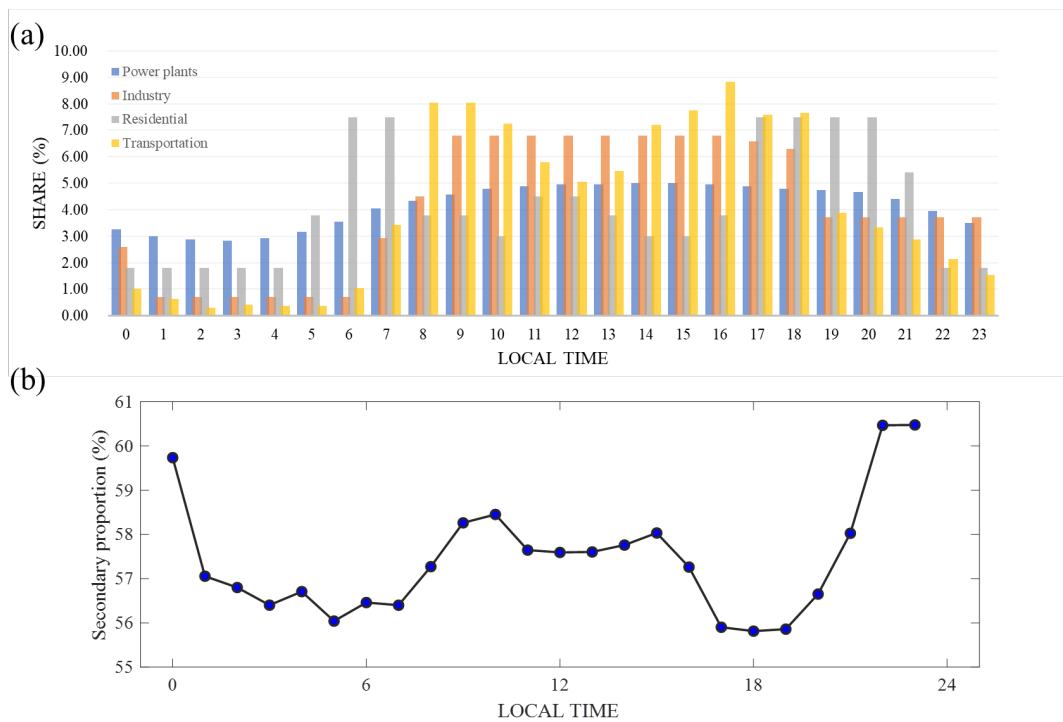
273

274 10. Section 4.4: How did you decide the diurnal variation of emission? Was your
275 result sensitive to the diurnal pattern? Because the diurnal pattern of O₃ concentration
276 is almost constant.

277 **Response:** Thank you for your careful concerns. MEIC provides the bottom-up
278 anthropogenic emission inventory with monthly time resolution. Based on the fixed
279 total emissions, we further distributed them with specific diurnal variation patterns of
280 each sector, including power plants, industry, transportation and residential sources
281 (Fig. R3a). This kind of preprocessing is also adopted for preparing emission input for
282 other air quality model studies and is proved to be reasonable (Li et al., 2021; Zhang
283 et al., 2021).

284 We used the processed emissions as input for MTEA method and found that the

285 model results show obvious diurnal pattern as well. The diurnal patterns are
 286 characterized by two peaks in the day, one occurring at 10:00-15:00 (local time,
 287 UTC+8) and the other appearing at 22:00-00:00. The 10:00-15:00 peak can be
 288 explained by the elevating emissions of PM_{2.5} precursors, such as NO_x and SO₂, as
 289 well as strong solar radiation. The intensive solar radiation around noon can promote
 290 production of hydroxyl (OH) radical, and further oxidizes substantial precursors to
 291 form secondary particles. However, the 22:00-00:00 peak is mostly attributed to the
 292 other two factors. Firstly, the primary PM_{2.5} obviously is decreased due to the
 293 reduction of emission activities at night. Meanwhile, the secondary PM_{2.5} requires
 294 some time to generate and accumulate, thus lagging behind changes in emission.
 295 Secondly, nitrate particles can also be produced via N₂O₅ heterogeneous reactions in
 296 the nighttime.



297
 298 **Figure R3.** (a) The diurnal distribution of anthropogenic emissions from power plants, industry,
 299 residential and transportation (Unit: %). (b) The diurnal variation of the estimated nationwide
 300 secondary proportion of PM_{2.5} (Unit: %).

301

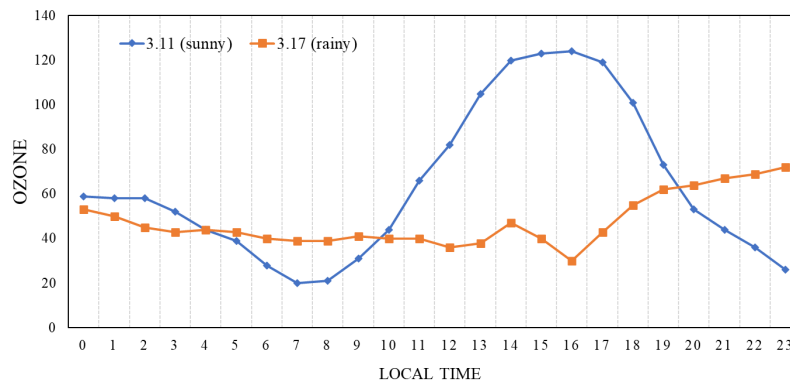
302 [11. Section 4.4: Why did you exclude the wet deposition case here but include in](#)

303 other sections? I would suggest adding the application condition for your method
304 somewhere.

305 **Response:** Thank you for your highly careful reminding. Section 4.4 is aimed at
306 discussing the statistical correlation between ozone versus PM_{2.5}. We used the daily
307 concentration of these two variables as inputs for further investigation. For PM_{2.5}, the
308 24-h mean concentration can be applied to representing its daily level. The maximum
309 daily 8-h average ozone concentration (MDA8) is usually adopted for describing its
310 concentration level on the daily time-scale. As the reviewer said in the 10th point,
311 ozone is a kind of typical secondary air pollutant with distinctive diurnal pattern
312 (Wang et al., 2017). As shown in Fig. R3, the precipitation process can destroy this
313 diurnal pattern because of the extremely weak radiative condition on rainy days.
314 Meanwhile, ozone concentration level under this condition is mainly affected by
315 background fields. Therefore, MDA8 of rainy days can reveal the background
316 concentration characteristics but not the intensity of secondary formation. To explain
317 the relationship between PM and O₃ from the aspect of chemical generation,
318 removing the background dominated cases of O₃ concentrations which under
319 precipitation is necessary. We have followed your suggestion to add the explanation
320 for using this preprocessing and rephrase the related texts in Section 4.4.

321 ***Revision in Section 4.4:***

322 *The O₃ diurnal formation regime can be destroyed because of the suppressed*
323 *radiative condition under precipitation. The local O₃ concentration level is mainly*
324 *dominated by background fields. Here we would like to focus our attention on the*
325 *secondary formation relationship between daily PM_{2.5} and O₃. Therefore the cases*
326 *when precipitation took place were removed to avoid the cleaning impacts of wet*
327 *deposition on MDA8 (maximum daily 8-h average) O₃ concentrations.*



328

329 **Figure R3.** The diurnal variations of O₃ concentration in Shanghai on 11 Mar (sunny weather) and
 330 17 Mar (rainy weather), 2022 based on the observations from MEE.

331

332 12. The general method to calculate the portion of secondary PM_{2.5} is chemical
 333 transport model using bottom-up inventory. It's better to examine the difference in the
 334 result between your method and CTM with same inventory.

335 **Response:** Thanks for your highly conducive comments and rigorous attitude to
 336 scientific research. It is really an awesome suggestion. We completely agree that
 337 chemical transport model (CTM) is another useful tool to reveal the aerosol
 338 compositions. It is interesting to conduct a parallel comparison between two kinds of
 339 modeling methods. To examine the difference in result between the MTEA approach
 340 and traditional CTM, we adopted the monthly simulated PPM/SPM concentrations
 341 from a data fusion system developed by Tsinghua University. This system, which is
 342 named Tracking Air Pollution in China (TAP), integrates ground measurements,
 343 satellite remote sensing retrievals, emission inventories (MEIC), and CTM
 344 simulations (WRF/CMAQ) based on machine learning algorithms. More descriptions
 345 of this dataset can be found at <http://tapdata.org.cn/> (Geng et al., 2021; Geng et al.,
 346 2017). We treated the PPM and SPM concentrations from TAP as the state-of-the-art
 347 model representation. Then we showed comparisons between MTEA and TAP in
 348 terms of PPM, SPM concentrations and their annual trends in 31 populous cities of
 349 China (Fig. R4). In general, comparisons indicate that MTEA estimation has a good

350 agreement with the CTM simulation. To add this part in the manuscript suggested by
351 reviewer, we introduced the TAP dataset in Section 2.3 and showed the related
352 comparisons in Section 3.1.3.

353 **Revision in Section 2.3:**

354 **2.3 PPM and SPM estimated by CTM**

355 *Apart from evaluating PPM and SPM with various composition measurements,*
356 *we also compared MTEA estimation with CTM results. Here we utilized the PM_{2.5}*
357 *composition gridded dataset with a spatial resolution of 10 km×10 km developed by*
358 *Tsinghua University for further comparisons. This dataset is named Tracking Air*
359 *Pollution in China (TAP, available at <http://tapdata.org.cn/>, last access 15 Mar 2022)*
360 *(Geng et al., 2021; Geng et al., 2017). TAP is directly calculated by Community*
361 *Multiscale Air Quality (CMAQ) model. In terms of methodology, based on machine*
362 *learning algorithms, TAP integrates surface measurements, satellite remote sensing*
363 *retrievals, emission inventories (MEIC) with CMAQ simulations. Moreover, it is also*
364 *constrained by ground aerosol composition measurements. We collected the monthly*
365 *mean concentrations of aerosol species during 2014-2018 from TAP, including SO₄²⁻,*
366 *NO₃⁻, NH₄⁺, OM, BC and total PM_{2.5}. SOA was further calculated from OM by EC-*
367 *tracer model (Ge et al., 2017). SPM concentrations were inferred by summing SO₄²⁻,*
368 *NO₃⁻, NH₄⁺ and SOA. PPM concentrations were then obtained via deducting SPM*
369 *from PM_{2.5}.*

370 **Revision in Section 3.1.3:**

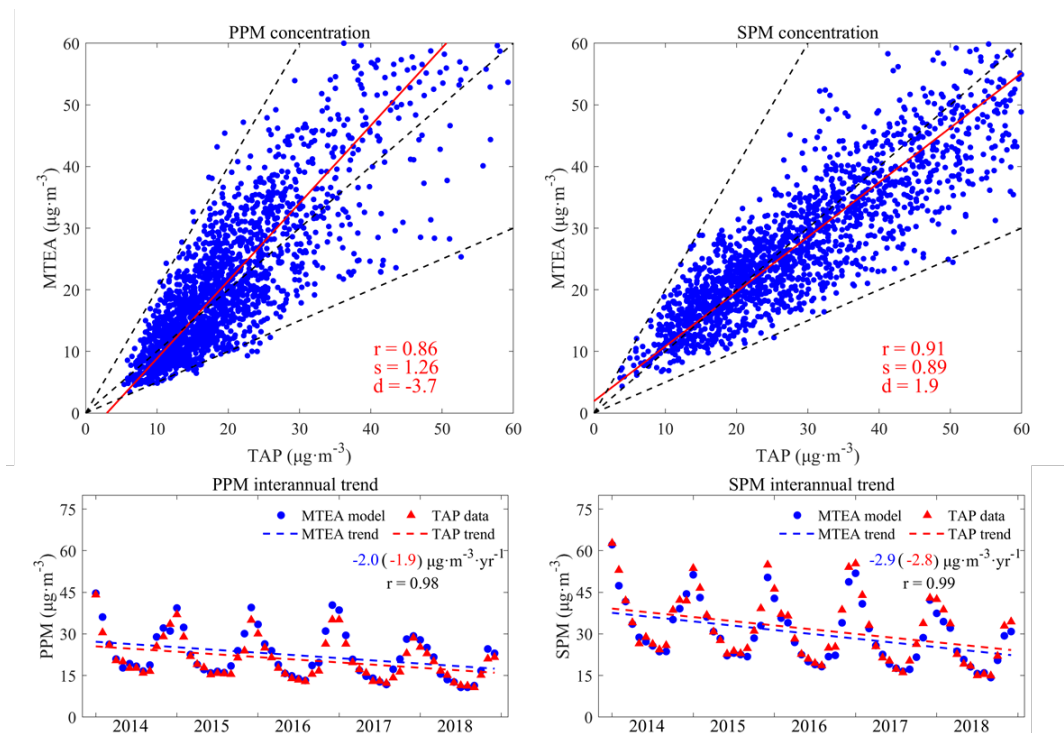
371 **3.1.3 Comparison with the CTM simulation**

372 *In addition to evaluating our model via PPM and SPM measurements in China,*
373 *we also provided a comparison between MTEA estimation and CTM simulation in 31*
374 *populous cities based on the monthly mean PM concentrations. As shown in Fig. R4*
375 *a-b, the correlation coefficient r for TAP versus MTEA is 0.86 in terms of PPM*
376 *concentration and 0.91 in terms of SPM concentration, showing a strongly positive*
377 *correlation between the two models. At the same time, both slopes (1.26 and 0.89) and*

378 intercepts ($-3.7 \mu\text{g m}^{-3}$ and $1.9 \mu\text{g m}^{-3}$) of the regression about PPM and SPM
 379 illustrate that most of the scattering spots distribute around 1:1 ratio line.

380 Moreover, we further compared the long-term varying trends between MTEA
 381 versus TAP in averaged PPM and SPM concentration of 31 populous cities (Fig. R4
 382 c-d). Both of them exhibit a descending interannual trend in PPM concentration, with
 383 a rate of $-2.0 \mu\text{g m}^{-3} \text{ yr}^{-1}$ for MTEA and $-1.9 \mu\text{g m}^{-3} \text{ yr}^{-1}$ for TAP. In terms of SPM
 384 concentration, the decline rates are $-2.9 \mu\text{g m}^{-3} \text{ yr}^{-1}$ and $-2.8 \mu\text{g m}^{-3} \text{ yr}^{-1}$, respectively.
 385 Meanwhile, the statistical correlations between two interannual variations are 0.98
 386 (PPM) and 0.99 (SPM), which are quite close to 1, showing a good agreement.

387 Thus, the comparisons about PPM/SPM concentration magnitudes and
 388 interannual variations between two kinds of models suggest that statistical model can
 389 infer similar estimation with traditional CTM. Meanwhile, it is again highlighted that
 390 our model is capable of capturing reasonable PPM and SPM concentrations.
 391 Furthermore, it is also shown that MTEA can track primary and secondary
 392 component of $\text{PM}_{2.5}$ by using proxy at a much lower cost when compared to
 393 traditional air quality model simulations.



395 **Figure R4.** Comparisons between MTEA and TAP in terms of PPM, SPM concentrations and

396 their annual trends from 2014 to 2018 in 31 populous cities of China. In panel (a) and (b), each
397 blue solid dot stands for a monthly mean concentration of PPM or SPM in one of 31 populous
398 cities. The number of samples is 1860 (60×31). The metrics r, s and d represent correlation
399 coefficient, slope and intercept of fitting line, respectively. The fitting method follows the
400 Reduced Major Axis (RMA) regression. In panel (c) and (d), MTEA and TAP are marked by blue
401 circles and red triangles. Each dot represents the mean PPM/SPM concentration of 31 cities. The
402 colorful numbers stand for the annual trends of PPM and SPM concentrations during 2014-2018.
403 At the same time, the correlation coefficient (r) between MTEA versus TAP is also provided.

404

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