# **Ozone deposition impact assessments for forest canopies require accurate ozone flux partitioning on diurnal timescales**

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**Abstract.** Dry deposition is an important sink of tropospheric ozone that affects surface concentrations, and impacts crop yields, the land carbon sink and the terrestrial water cycle. Dry deposition pathways include plant uptake via stomata and non-stomatal removal by soils, leaf surfaces and chemical reactions. Observational studies indicate that ozone deposition exhibits substantial temporal variability that is not reproduced by atmospheric chemistry models due to a simplified representation of

- 5 vegetation uptake processes in these models. In this study, we explore the importance of stomatal and non-stomatal uptake processes in driving ozone dry deposition variability on diurnal to seasonal timescales. Specifically, we compare two land surface ozone uptake parameterizations - a commonly applied 'big leaf' parameterization (W89; Wesely, 1989) and a multi-layer model (MLC-CHEM) constrained with observations - to multi-year ozone flux observations at two European measurement sites (Ispra, Italy, and Hyytiälä, Finland). We find that W89 cannot reproduce the diurnal cycle in ozone deposition due to a
- 10 mis-representation of stomatal and non-stomatal sinks at our two study sites, while MLC-CHEM accurately reproduces the different sink pathways. Evaluation of non-stomatal uptake further corroborates the previously found important roles of wet leaf uptake in the morning under humid conditions, and soil uptake during warm conditions. The misrepresentation of stomatal versus non-stomatal uptake in W89 results in an overestimation of growing-season cumulative ozone uptake (CUO), a metric for assessments of vegetation ozone damage, by 18% (Ispra) and 28% (Hyytiälä), while MLC-CHEM reproduces CUO
- 15 within 7% of the observation-inferred values. Our results indicate the need to accurately describe the partitioning of the ozone atmosphere-biosphere flux over the in-canopy stomatal and non-stomatal loss pathways to provide more confidence in atmospheric chemistry model simulations of surface ozone mixing ratios and deposition fluxes for large-scale vegetation ozone impact assessments.

# 1 Introduction

20 Ozone (O<sub>3</sub>) in the atmospheric surface layer is an air pollutant that is toxic to humans and plants. Ozone is removed by oceans, bare soil and vegetated areas, which together are called 'dry deposition' and account for  $\pm$  15-20% of the total tropospheric

ozone sink (Hu et al., 2017; Bates and Jacob, 2020). In vegetation canopies, the dominant deposition pathway is stomatal uptake, which typically accounts for 40-60% of the total deposition to vegetation (Fowler et al., 2009). Stomatal ozone uptake reduces carbon assimilation in vegetation (Sitch et al., 2007; Ainsworth et al., 2012), affects the terrestrial water cycle

- (Lombardozzi et al., 2015; Sadiq et al., 2017; Arnold et al., 2018) and causes economic damage through reduced crop yield 25 (e.g. Tai et al., 2014). Besides stomatal uptake, ozone removal occurs via a range of non-stomatal removal mechanisms such as uptake by the leaf exterior and soils, and in-canopy chemical removal involving nitrogen oxides ( $NO_x$ ) or plant-emitted reactive carbon species. The contribution of these ozone removal processes to the total non-stomatal term is uncertain (Fowler et al., 2009), and displays temporal variability on diurnal to inter-annual timescales that is incompletely understood (Clifton et al., 2020a). Given that these non-stomatal removal processes act in parallel to the stomatal removal of ozone, characterization and
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quantification of non-stomatal sinks is important for quantification of total and stomatal ozone uptake.

The contribution of different ozone uptake pathways cannot be routinely measured at the plant canopy level due to the various non-stomatal uptake pathways. Most studies infer stomatal conductance  $(g_s)$  from canopy-top micro-meteorological and eddy covariance observations using an inverted form of the Penman-Monteith equation (e.g. Fowler et al., 2001; Clifton

- et al., 2017, 2019; Ducker et al., 2018), although some studies apply alternative  $q_s$  estimation methods based on gross primary 35 production (GPP; El-Madany et al., 2017; Clifton et al., 2017). In such observation-based studies, the non-stomatal ozone removal component  $(g_{ns})$  is generally treated as the residual of the total uptake 'conductance'  $(g_c, inferred based on the ozone)$ dry deposition velocity) and  $g_s$ . However, sites with long-term ozone flux measurements are scarce (Clifton et al., 2020a), which limits characterization of the seasonal to inter-annual temporal variability in the stomatal and non-stomatal components
- 40 of ozone removal. Several campaign-based studies partitioned total canopy ozone fluxes by using ozone flux measurements along a vertical gradient, to study the in-canopy flux divergence and relate this to the vertical distribution of ozone sinks in the canopy (Fares et al., 2014; Finco et al., 2018), but these are limited to short timescales. Given the scarce availability of ozone deposition observations that span at least one year, and preferentially multiple years, quantifying temporal variability in stomatal and non-stomatal ozone deposition solely based on observations remains challenging.
- 45 Studies of ozone deposition (and its impacts) on regional to global scales rely on application of atmospheric chemistry models and their dry deposition parameterizations. Many models treat deposition in a zero-dimensional manner and do not, or only implicitly, account for the variation of different in-canopy loss pathways as a function of environmental drivers and height within the canopy (the "big leaf" approach, Clifton et al., 2020a). Recent advances in the description of ozone deposition have been made by improving the simulation of stomatal conductance (Lin et al., 2019; Clifton et al., 2020c), improved representa-
- tion of various non-stomatal removal terms (e.g. Zhang et al., 2003; Stella et al., 2011, 2019; Potier et al., 2015) and in-canopy 50 turbulence and radiation extinction (Makar et al., 2017). Additionally, some models account for vegetation ozone damage via effects on photosynthesis and stomatal conductance (Lombardozzi et al., 2015; Sadiq et al., 2017; Arnold et al., 2018). Another class of models treats the canopy as a separate exchange regime with different biophysical and chemical conditions compared to the lowermost atmospheric layer, and explicitly resolves in-canopy vertical gradients of ozone deposition and its driving
- variables by using multiple in-canopy layers (e.g. Ganzeveld et al., 2002, 2010; Fares et al., 2014; Otu-Larbi et al., 2020). 55 Despite these advances in the representation of ozone deposition in atmospheric chemistry models, their application for ozone

impact assessments remains a challenge. For example, the description of stomatal conductance is an important parameter for understanding year-to-year variability in impact metrics such as cumulative uptake of ozone (CUO; Clifton et al., 2020b), but stomatal versus non-stomatal ozone flux partitioning in these models is uncertain. Additionally, spatio-temporal controls

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o of ozone deposition pathways remain incompletely understood (Clifton et al., 2017, 2020a), in part owing to the scarcity of long-term ozone flux observations. Therefore, we here study temporal controls on stomatal and non-stomatal ozone deposition pathways, and their implications for simulations of CUO, using two multi-year ozone deposition datasets as well as a big leaf and multi-layer parameterization of land surface ozone uptake.

- Specifically, we investigate the added value of an explicit multi-layer canopy representation of ozone deposition (MLC-65 CHEM: the Multi-Layer Canopy-CHemistry Exchange Model; Ganzeveld et al., 2002) compared to a commonly applied big leaf parameterization (Wesely, 1989) in terms of simulating ozone deposition pathways and ozone impact metrics. We first study long-term (seasonal to annual) and short-term (diurnal) temporal variability in ozone dry deposition to forest canopies at a pristine boreal site (Hyytiälä) and a prealpine site that frequently experiences high ozone concentrations (Ispra). We then evaluate the performance of a big-leaf and a multi-layer representation of atmosphere-biosphere exchange in simulating
- 70 ozone dry deposition pathways and their temporal variability. Subsequently, we characterize the relationship of non-stomatal conductance as a function of environmental drivers. Lastly, we aim to demonstrate how representations of the drivers of long- and short-term variability in ozone stomatal and non-stomatal removal in those different land surface parameterizations affect simulated CUO. To this end, we employ multi-year canopy-top observations of micro-meteorology, ozone mixing ratios, surface energy balance components and fluxes of ozone to derive the stomatal and non-stomatal components of the total ozone
- 75 flux, combined with observation-driven ozone dry deposition simulations using the two aforementioned representations.

### 2 Data and Methods

#### 2.1 Site description

Our study makes use of half-hourly observations of micro-meteorology (net radiation, air pressure, air temperature, relative humidity, precipitation, wind speed, friction velocity) surface energy balance components and fluxes of CO<sub>2</sub> and ozone from 80 two forested flux observation sites (Ispra and Hyytiälä), which are detailed below.

The Ispra Forest Flux station is situated in a deciduous forest in Northern Italy (45.81°N, 8.63°E) at the European Commission Joint Research Centre (EC-JRC) in a 10 hectare almost natural ecosystem mainly consisting of Quercus robur (80%), Alnus glutinosa (10%), Popolus alba (5%) and Carpinus betulus (3%). Leaf Area Index (LAI) shows an average value of 4.1 m<sup>2</sup>m<sup>-2</sup> during the growing season (Fumagalli et al., 2016). In our analysis we rely on continuous LAI measurements unavailable at this site, which we therefore take from a remote sensing product derived from MODIS (Xiao et al., 2014). The LAI range at Ispra in this product is 0.7-3.7 m<sup>2</sup>m<sup>-2</sup>, scaled up to a locally measured LAI maximum of 4.5 m<sup>2</sup>m<sup>-2</sup> in July 2015 (Fumagalli et al., 2016) using a seasonally varying sinusoidal scaling function. The turbulent flux measurements of surface energy balance components and ozone were performed in 2013-2015 at 36m above ground level, approximately 10m above the canopy height of 26m. More information regarding the measurement setup of this site can be found in Gruening et al. (2012).

- 90 The Hyytiälä SMEAR II (Station for Measuring Forest Ecosystem Atmosphere Relations) measurement station is located in a needleleaf forest in Southern Finland (61.85°N, 24.28°E) with a forest cover dominated by Pine trees. LAI was periodically measured at this site and varies between 2.3 and 4 m<sup>2</sup>m<sup>-2</sup>. Ozone flux measurements are available for 2002-2012, with a one-year data gap in 2006. Turbulent flux measurements are performed at 23m above ground level, 5-9m above the forest top of 14-18m. Ozone mixing ratios at this altitude are derived by linearly interpolating between observations at 16.8 and 33m.
  95 More information about the measurement setup of this site and eddy-covariance flux calculation can be found in Rannik et al.
- 95 More information about the measurement setup of this site and eddy-covariance flux calculation can be found in Rannik et al. (2012) and Mammarella et al. (2016).

### 2.2 Observational approach

Our observational analysis, schematically depicted in Figure 1a, aims to derive bulk canopy stomatal and non-stomatal resistances from canopy-top eddy-covariance observations in order to estimate the magnitude of stomatal and non-stomatal ozone

removal. We first derive the ozone canopy conductance  $(g_{c,O_3})$  from the observed ozone dry deposition velocity  $(V_d(O_3))$ ,

We use the inverted Penman-Monteith equation to derive bulk canopy stomatal conductance  $(g_s)$  from canopy-top eddycovariance observations of the latent heat flux complemented with other observed variables (Monteith, 1965; Knauer et al., 2018):

measurement-inferred aerodynamic resistance  $r_a$  and bulk canopy quasi-laminar layer resistance  $r_b$  (see Supplement).

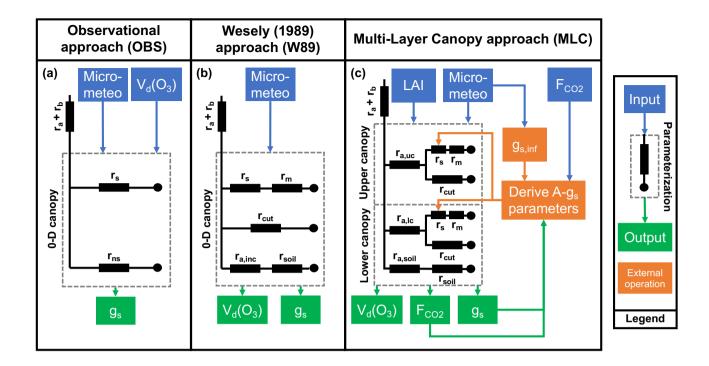
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$$g_s = \frac{\lambda E g_a \gamma}{\Delta (R_n - G) + \rho c_p g_a V P D - \lambda E (\Delta + \gamma)}$$
(1)

where  $g_a$  is the aerodynamic conductance to water vapor (Supplementary Information S1),  $\lambda E$  is the latent heat flux,  $\gamma$  is the psychrometric constant, which relates the water vapor partial pressure to air temperature,  $\Delta$  is the slope of the saturation vapor pressure curve,  $R_n$  is net radiation, G is the ground heat flux,  $\rho$  is the air density,  $c_p$  is the specific heat of air, and VPD is the vapour pressure deficit. Note that all components of Equation 1 are observed or derived from observations.  $g_s$  refers to stomatal conductance to H<sub>2</sub>O. When we refer to the stomatal conductance for ozone, we scale  $g_s$  for the diffusivity (D) ratio of ozone and water vapour:  $g_{s,O_3} = \frac{D_{O_3}}{D_{H_2O}}g_{s,H_2O} = 0.61g_{s,H_2O}$ . Non-stomatal conductance is derived as the residual of the bulk canopy conductance and the canopy stomatal conductance, assuming that stomatal and (bulk) non-stomatal uptake are two parallel pathways (see Fig. 1a).

#### 2.3 Ozone uptake parameterizations

#### 115 2.3.1 The 'big leaf' approach

The parameterization of gaseous dry deposition in many atmospheric chemistry models is based on the resistance in series framework introduced by Wesely (1989), hereafter referred to as W89. The discussion below considers the implementation of the big-leaf dry deposition approach in the coupled meteorology-chemistry model WRF-Chem (Grell et al., 2005). Other big leaf parameterizations are available with improved treatment of stomatal (e.g. Emberson et al., 2000; Val Martin et al.,



**Figure 1.** Schematic displaying the representation of the biophysical controls on surface ozone removal in plant canopies in the three different approaches in this study, and their input and output variables. The combination of uptake resistances (shown as black rectangles) inside the dashed grey rectangle yields the bulk canopy resistance ( $r_c$ ). In- and output variables of the mechanisms are shown in blue and green, respectively. Orange rectangles in panel c display the derivation of photosynthesis parameters required in MLC-CHEM, this procedure is described in more detail in Appendix A. Shown resistances are: stomatal resistance ( $r_s$ ), bulk canopy non-stomatal resistance ( $r_{ns}$ ), resistance to cuticular uptake ( $r_{cut}$ ), the resistance to in-canopy transport ( $r_{a,inc}$ ), resistance to soil uptake ( $r_{soil}$ ), resistance to in-canopy transport in the upper canopy layer ( $r_{a,uc}$ ), lower canopy layer ( $r_{a,lc}$ ), and to the soil ( $r_{a,soil}$ ).

120 2014; Lin et al., 2019) and non-stomatal uptake (e.g. Zhang et al., 2003). However, the common use of Wesely's (1989) parameterization in state-of-science 3D atmospheric chemistry and transport models (see e.g. Galmarini et al., 2021) motivates the choice for this scheme in our experiment setup.

Figure 1b depicts the resistance framework. Note that this dry deposition representation is zero-dimensional, i.e. no explicit in-canopy ozone mixing ratios are calculated. The aerodynamic resistance  $(r_a)$  is calculated following Monin-Obukhov Similarity Theory, and the quasi-laminar layer resistance  $(r_b)$  is estimated following Hicks et al. (1987). Stomatal resistance is calculated as follows (Wesely, 1989; Erisman et al., 1994):

$$r_s = r_i \left( 1 + \left(\frac{200}{R_n + 0.1}\right)^2 \right) \left(\frac{400}{T_s(40 - T_s)}\right)$$
(2)

where  $r_i$  is a season- and land use-dependent scaling factor,  $R_n$  is net radiation and  $T_s$  is the surface temperature.  $r_s$  is corrected for the diffusivity difference between  $H_2O$  and ozone, as explained in Section 2.2. In this formulation, the resistance to stomatal

- uptake is lowest during high-radiation conditions and for an optimum temperature of  $20^{\circ}$ C, reflecting that stomatal aperture 130 follows a diurnal cycle with a peak around mid-day. Note that this parameterization does not explicitly account for stomatal closure due to a vapour pressure deficit or soil moisture stress. We use the non-stomatal resistances following Wesely (1989), which are all constant except the resistance to transport to the lower canopy that depends inversely on net radiation. For the soil uptake resistance, we use site-inferred values of 300 s m<sup>-1</sup> for Ispra (Fumagalli et al., 2016) and 400 s m<sup>-1</sup> for Hyytiälä (Zhou et al., 2017).
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#### 2.3.2 The Multi-Laver Canopy-CHemistry Exchange Model (MLC-CHEM)

We also apply the Multi-Laver Canopy-CHemistry Exchange Model (MLC-CHEM) to evaluate simulated long-term canopyscale ozone deposition at the two sites. This one-dimensional model explicitly simulates canopy exchange and vertical profiles of ozone concentrations as a function of radiation, turbulent mixing, chemistry (using the Carbon Bond Mechanism version 4; CBM-4), biogenic emissions (following the Model for Emissions of Gases and Aerosols from Nature (MEGAN); Guenther 140 et al., 2006, 2012), soil NO emissions (Yienger and Levy, 1995) and (non-)stomatal uptake and their vertical gradients in the canopy. MLC-CHEM has been applied coupled to single-column and global chemistry-climate modelling studies (Ganzeveld et al., 2002, 2010), as well as in an offline set-up for the interpretation of site-scale measurements (e.g. Yanez-Serrano et al., 2018).

- 145 In our set-up, the model consists of three layers, representing the understory and the crown layer, as well as one layer aloft representing a bulk surface layer. In-canopy exchange is represented by two canopy layers whose depth depends on the canopy height  $(h_c)$ , each with a layer thickness of  $0.5h_c$ . This two-canopy layer set-up allows simulation of in-canopy concentration and flux profiles using a computationally efficient analytical solution, allowing for coupling MLC-CHEM to single-column and global chemistry-climate modelling studies (Ganzeveld et al., 2002, 2010). Given the large gradients in radiation in the canopy, vertical profiles of radiation and radiation-dependent processes (photolysis, biogenic emissions) are calculated considering four 150
- canopy layers. The four-layer radiation profiles and biogenic emission rates are subsequently averaged over the two canopy layer for the exchange simulation. The model simulation time step is 30 minutes, but for processes requiring a higher temporal resolution a sub-timestep temporal resolution is applied, which depends on the removal rate (Ganzeveld et al., 2002).
- Micro-meteorological variables are provided as input to the model, and ozone concentrations in the upper layer are nudged to observed above-canopy ozone concentrations to represent entrainment and advection. We use a weighting factor of 0.5, 155 which implies that we force simulated above-canopy ozone mixing ratios to observed mixing ratios with a timescale of  $\pm 2$  h, based on the applied temporal resolution of 0.5 h. The specific procedure to incorporate observations in our model set-up is described in Section 2.4.

In-canopy aerodynamic resistance ( $r_a$ ) is calculated as a function of canopy height, LAI and  $u_*$ . Leaf-level stomatal conduction tance is calculated using the assimilation-stomatal conductance model A-g<sub>s</sub> (Ronda et al., 2001):

$$g_{s,c,leaf} = g_{min,c} + \frac{a_1 A_g}{([CO_2] - \Gamma) \left(1 + \frac{D_s(a_1 - 1)}{D_0}\right)}$$
(3)

where  $g_{min,c}$  (cuticular conductance), the constant  $a_1$  and  $\Gamma$  (the CO<sub>2</sub> compensation point) depend on the vegetation type.  $A_g$  is gross assimilation, calculated as a function of photosynthetically active radiation (PAR), skin temperature, the internal CO<sub>2</sub> concentration and the soil water content (SWC). We refer the reader to Appendix A in Ronda et al. (2001) for more details on the calculation of  $A_g$ .  $D_s$  is the vapour pressure deficit (VPD) leaf level, and  $D_0$  the VPD at which stomata close.  $g_{s,c,leaf}$  is calculated at the leaf level and subsequently integrated to the specific layer as a function of layer-specific LAI and PAR (Ronda et al., 2001). This stomatal conductance representation accounts for observed increases in  $g_s$  for an increase in CO<sub>2</sub> assimilation (which responds to radiation), whereas  $g_s$  decreases as the external CO<sub>2</sub> concentration increases (a lower CO<sub>2</sub> uptake rate is needed to maintain the supply of CO<sub>2</sub> to the photosynthesis mechanism).  $g_s$  also decreases as the vapour

170 pressure deficit increases in order to minimize plant water loss through transpiration. This is a more mechanistic description of stomatal conductance compared to the big leaf approach (Equation 2), where  $g_s$  is parameterized as a function of radiation and temperature.

The A- $g_s$  model has several degrees of freedom in determining the parameter settings. In order to derive physically appropriate settings, we tested the sensitivity of the MLC-CHEM-simulated canopy stomatal conductance ( $g_s$ ) and the canopy CO<sub>2</sub>

175 flux to A- $g_s$  parameter settings by comparison with observation-inferred  $g_s$  (using Eqn. 1) and canopy-top  $F_{CO_2}$  observations (see Fig. 1c). This procedure is described in Appendix A, and the final, optimized A- $g_s$  parameters are shown in Table A1. With this approach, we effectively implement a realistic, observation-constrained representation canopy-top CO<sub>2</sub> flux and  $g_s$  in MLC-CHEM.

Non-stomatal removal in MLC-CHEM is represented using uptake resistances taken from Wesely (1989), Ganzeveld and 180 Lelieveld (1995) and Ganzeveld et al. (1998). Analogous to W89, we adapt MLC-CHEM's default soil uptake resistance to site-inferred values of 300 s m<sup>-1</sup> for Ispra (Fumagalli et al., 2016) and 400 s m<sup>-1</sup> for Hyytiälä (Zhou et al., 2017). Experimental evidence suggests increased deposition to dew-wet leaves (Zhang et al., 2002; Altimir et al., 2006). MLC-CHEM accounts for this by using two distinct uptake resistances for deposition to leaf cuticles and uptake by water films on leaves of  $10^5$  s m<sup>-1</sup> and 2000 s m<sup>-1</sup>, respectively (Ganzeveld and Lelieveld, 1995). Canopy wetness is represented by inferring the fraction of wet vegetation ( $f_{wet}$ ) as a function of RH (Lammel, 1999):

$$f_{wet} = \begin{cases} 1 & \text{RH} \ge 0.9\\ \frac{RH - 0.55}{0.35} & 0.55 \le \text{RH} < 0.9\\ 0 & \text{RH} < 0.55 \end{cases}$$
(4)

# 2.4 Experimental setup

We apply the W89 big-leaf parameterization and the multi-layer ozone atmosphere-biosphere exchange parameterization to simulate total canopy ozone removal, and its partitioning into stomatal and non-stomatal removal, at two locations with con-

- 190 trasting climate and pollution regimes, for a total of 12 site-years. These simulations are compared against observation-inferred  $g_s$  and  $g_{ns}$ . We restrict this analysis to daytime values (8-20 h LT) during April-September, which approximately coincides with the growing season. The observational approach is known to be biased under high canopy wetness conditions due to dew formation or precipitation, and various approaches to correct for this have been reported in the literature (e.g. Rannik et al.,
  - 195 mulated precipitation in the preceding 12 hours is less than 0.1 mm. This set of assumptions compromises between data quality and retention of data points.

2012; Launiainen et al., 2013; Clifton et al., 2017, 2019). We therefore only include data with RH < 90% and when the accu-

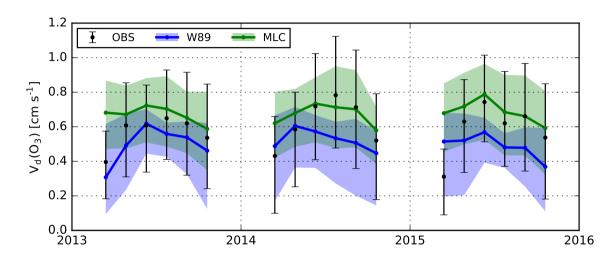
# 3 Results

# 3.1 Temporal variability in ozone dry deposition velocity

## 3.1.1 Monthly and inter-annual variability

- The observed ozone uptake at Ispra is generally highest in June-August, with little inter-annual variability (Fig. 2. W89 underestimates the observed dry deposition velocity (V<sub>d</sub>(O<sub>3</sub>)) by ±0.1 cm s<sup>-1</sup>, while MLC-CHEM reproduces the observed magnitude of V<sub>d</sub>(O<sub>3</sub>) within 7% in May-September. On the basis of the statistical model performance metrics in Table 1, there is no parameterization that consistently outperforms the other on monthly timescales. MLC-CHEM systematically overestimates ozone deposition in April. To evaluate this bias further, we performed MLC-CHEM simulations with a deactivated sink to wet leaves, motivated by the considerable uncertainty in this ozone removal pathway (Clifton et al., 2020a). This simulation resulted in the strongest decrease in V<sub>d</sub>(O<sub>3</sub>) in the relatively humid months of April (Fig. S2), ranging from 0.15 cm s<sup>-1</sup> in April 2013 to 0.05 cm s<sup>-1</sup> in April 2015. This modification results in an improved representation of seasonality in V<sub>d</sub>(O<sub>3</sub>), suggesting seasonal variation in the ozone sink to wet leaves that might not be properly captured by the RH-dependent parameterization of wet leaf uptake (Eqn. 4).
- The observed  $V_d(O_3)$  at Hyytiälä is generally lower compared to Ispra, reflecting a lower leaf area and thus less stomatal uptake at the Finnish site. W89 and MLC-CHEM both capture the observed magnitude of  $V_d(O_3)$  to within the interquartile range of observations (±0.2 cm s<sup>-1</sup>) in most years, although  $V_d(O_3)$  in W89 peaks one month early compared to the observations. MLC-CHEM reproduces the seasonal cycle in  $V_d(O_3)$  with a Pearson (temporal) correlation coefficient between simulations and observations which is markedly higher compared to the W89 approach (r<sup>2</sup>=0.59 for MLC-CHEM; r<sup>2</sup>=0.11 for
- 215 W89, Table 1). These results suggest that MLC-CHEM better reproduces stomatal and non-stomatal removal processes, and we will investigate this further below.

The interannual variability in the ozone dry deposition velocity for Hyytiälä is 0.17 cm s<sup>-1</sup>, and is slightly underestimated in both simulations (0.10-0.11 cm s<sup>-1</sup>, not shown). We therefore calculated the contributions from stomatal conductance and non-stomatal conductance to the overall deposition velocity, as described in Section 2.2. Interannual variability in stomatal conductance is overestimated slightly by W89 and MLC-CHEM compared to the observation-derived  $g_s$  estimates, by 0.02 cm s<sup>-1</sup> and 0.05 cm s<sup>-1</sup>, respectively. Interannual variability in non-stomatal conductance is strongly underestimated in both simulations (0.04-0.07 cm s<sup>-1</sup>) compared to the observed inter-annual variability in non-stomatal conductance (0.19 cm s<sup>-1</sup>). The missing interannual variability in the non-stomatal deposition pathway may be due the chemical, wet leaf and soil uptake pathways.



**Figure 2.** Time series of April-September monthly average daytime (8-20 h LT) ozone dry deposition velocity for Ispra, for W89 (blue), MLC-CHEM (green) and observations (black). Solid lines and points show monthly daytime medians for simulations and observations, respectively, and shaded areas and whiskers display the inter-quartile range.

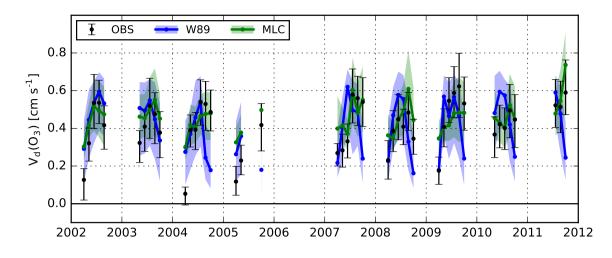


Figure 3. As Figure 2, but for Hyytiälä.

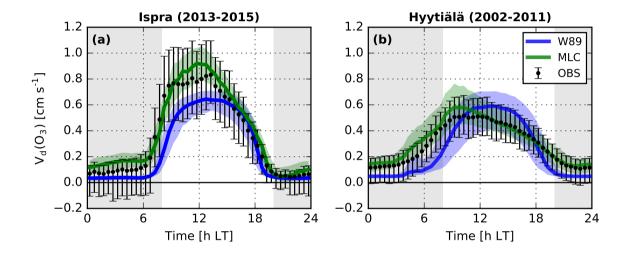
**Table 1.** Performance statistics for the monthly-averaged simulations of  $V_d(O_3)$  with W89 and MLC-CHEM (MLC). The unit is cm s<sup>-1</sup> for MBE, RMSE and intercept, and unitless for the other metrics. Shown are several conventionally applied performance metrics (MBE, RMSE, slope (s) and intercept (i) of a linear regression fit of simulations against observations, and r<sup>2</sup> from ordinarly least squares regression), as well as the index of agreement d (Willmott, 1982).

_	MBE	RMSE	$r^2$	slope, intercept	d	
	Ispra ( $n = 18$ months)					
W89	-0.09	0.14	0.24	0.79, 0.19	0.60	
MLC	0.08	0.13	0.28	1.18, -0.20	0.58	
	Hyytiala (n = 45 months)					
W89	-0.01	0.15	0.11	0.32, 0.28	0.62	
MLC	0.04	0.09	0.59	1.26, -0.16	0.78	

#### 225 3.1.2 Diurnal cycles

The observed diurnal cycle of  $V_d(O_3)$  at Ispra (Fig. 4a) is characterized by an asymmetrical pattern, with a steep morning increase that plateaus around 0.8 cm s<sup>-1</sup>, and a decrease in the afternoon that reflects stomatal closure and reduced nonstomatal uptake. W89 underestimates the observed median daytime  $V_d(O_3)$  values by  $\pm 0.1$  cm s<sup>-1</sup> (20%), while MLC-CHEM reproduces the observations within 10%. The onset of the W89-simulated daytime  $V_d(O_3)$  peak shows a one-hour time lag, with an underestimation by around -0.3 cm s<sup>-1</sup> (52%) in the morning (6-10 h LT) and an overestimation of 0.1 cm s<sup>-1</sup> (13%) in the afternoon (12-16 h LT). The contribution of stomatal and non-stomatal removal to this model-observation mismatch will be discussed in Section 3.2. MLC-CHEM reproduces the diurnal course of  $V_d(O_3)$  within 0.1 cm s<sup>-1</sup> throughout the day.

The observed  $V_d(O_3)$  diurnal cycle at Hyytiälä (Fig. 4b) increases earlier during the day compared to Ispra and decreases later, due to the extended day length during the growing season at the Finnish site.  $V_d(O_3)$  peaks at 0.5 cm s<sup>-1</sup> between 9-12 h LT, and decreases in the early afternoon due to decreasing (non-)stomatal sink ozone removal. W89 overestimates the magnitude of  $V_d(O_3)$  by 0.1 cm s<sup>-1</sup> (22%) in the afternoon (12-16 h LT), and underestimates ozone uptake in the morning (3-10 h LT) and evening (after 19 h LT). Apart from a morning overestimation by up to 0.1 cm s<sup>-1</sup>, MLC-CHEM reproduces the diurnal evolution of  $V_d(O_3)$  well, apparently due to a more realistic representation of stomatal and non-stomatal removal processes.



**Figure 4.** Diurnal cycles of April-September ozone dry deposition velocity at Ispra (panel a) and Hyytiälä (b), derived from observations (black), and simulations with the W89 parameterization (blue) and MLC-CHEM (green). Lines and points show median values, and shaded areas and whiskers display the inter-quartile range.

#### 240 **3.2** Diurnal variability in stomatal and non-stomatal uptake

# 3.2.1 Ispra

Next, we analyze the stomatal and non-stomatal components of ozone deposition to further understand the model-observation agreement on diurnal timescales. Figure 5 shows growing season median diurnal cyles of bulk canopy conductance  $(g_c)$ , canopy stomatal conductance  $(g_s)$  and non-stomatal conductance  $(g_{ns})$  for Ispra, in W89 and MLC-CHEM simulations and

observational estimates. At Ispra, the observation-derived daytime median ozone canopy conductance is 0.87 cm s<sup>-1</sup> (Fig. 5a). The inferred daytime median stomatal conductance is as small as 0.26 cm s<sup>-1</sup> (grey points in Fig. 5b), corresponding to a daytime stomatal uptake fraction of 35% (Fig. 5d). However, we found a substantial gap (of 56%) in the energy balance closure

 $(Q_{gap})$ , defined as the difference between net incoming radiation (Rn) and the surface energy balance components (Foken, 2008). This indicates underestimations in observed sensible and latent energy fluxes (H, LE), which affects our observation-

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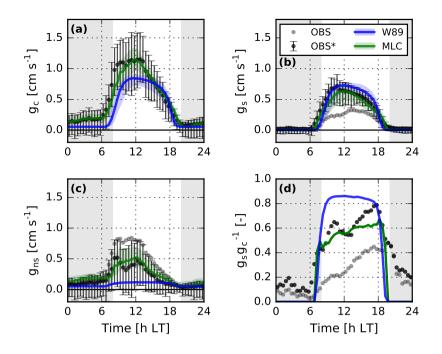
derived stomatal conductance. The energy balance closure issues remain after filtering the observations based on quality flags and  $u_*$  thresholds (Fig. S1).

To resolve these energy balance closure issues, we applied a correction method that partitions  $Q_{gap}$  to H and LE via the evaporative fraction (EF = LE/(H+LE)) (Twine et al., 2000; Renner et al., 2019). This correction increases LE and H by 156 W m<sup>-2</sup> and 25 W m<sup>-2</sup>, respectively, corresponding to an evaporative fraction of 0.86. With these corrected surface energy balance components, we derive a substantially larger daytime median stomatal conductance to ozone of 0.49 cm s<sup>-1</sup> (black points in Fig. 5b,d), an increase of nearly 90% with respect to the original observation-derived estimate. The  $Q_{gap}$  correction also leads to a better model-observation agreement for  $g_s$ . Ozone fluxes are also affected by the surface energy balance closure gap: additional data filtering based on u<sub>\*</sub> thresholds leads to increases in observed ozone fluxes, which exceeds 50% in the morning and evening when absolute fluxes are low, but the effect is smaller (<15%) during mid-day.

- The observed diurnal cycle in canopy conductance at Ispra is better captured by MLC-CHEM compared to W89 (Fig. 5a). MLC-CHEM also better captures decreases in g<sub>c</sub> observed in the afternoon. MLC-CHEM and W89 simulate a daytime median ozone stomatal conductance of 0.43 and 0.51 cm s<sup>-1</sup>, respectively, and thus agree better with the Q<sub>gap</sub>-corrected stomatal conductance estimate derived from observations (Fig. 5b). The observation-derived stomatal fraction during 8-20 h LT (0.62) is overestimated by W89 (0.72) and underestimated by MLC-CHEM (0.52). The observed stomatal uptake fraction increases throughout the day, from ±0.4 at 8 h LT to ±0.8 at 18 h LT, and this diurnal course is better reproduced by MLC-CHEM than
  - by W89.

Observation-derived non-stomatal conductance peaks in the morning and levels off at  $\pm 0.8$  cm s<sup>-1</sup> (Fig. 5c, grey points), and decreases in the afternoon before reaching a night-time value of 0.1 cm s<sup>-1</sup>. The stomatal conductance increase following  $Q_{gap}$  correction leads to a reduction in the daytime average inferred non-stomatal conductance, from 0.57 to 0.35 cm s<sup>-1</sup>.

- 270 This correction does however not affect the shape of the diurnal cycle in  $g_{ns}$ , characterized by a sharp increase in the morning and a more gradual reduction in the afternoon. Daytime non-stomatal conductance is strongly underestimated by W89, and shows little diurnal variability since most in-canopy resistances are constant, and apparently too high. MLC-CHEM reproduces the observed diurnal evolution in non-stomatal conductance more accurately than W89 (Fig. 5c), apparently due to its representation of diurnal variability in processes involved in non-stomatal removal, wet leaf uptake and in-canopy turbulence. The
- 275 contributions of different removal processes to total non-stomatal uptake will be discussed in Section 3.3.



**Figure 5.** April-September median diurnal cycles of ozone bulk canopy conductance (panel a), canopy stomatal conductance (b), bulk nonstomatal conductance (c) and the stomatal fraction of total ozone removal  $(g_s g_c^{-1}, \text{ panel d})$  for Ispra. Observed medians and inter-quartile ranges after  $Q_{gap}$  correction (OBS\*; see Text) are shown as black points and whiskers (the values prior to  $Q_{gap}$  correction, denoted as OBS, are shown in gray). The median and inter-quartile range of W89 and MLC-CHEM are shown in blue and green, respectively. The shaded area in panel d highlights the nighttime period (defined as 8-20 h LT) over which the stomatal flux is calculated.

# 3.2.2 Hyytiälä

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At Hyytiälä, the observation-derived daytime median  $g_c$  is 0.53 cm s<sup>-1</sup> (Fig. 6a), which is lower compared to Ispra due to lower non-stomatal ozone removal. W89 overestimates canopy conductance by up to 0.2 cm s<sup>-1</sup> in the afternoon, while morning and evening  $g_c$  are underestimated. Similar to Ispra, MLC-CHEM captures the diurnal evolution in  $g_c$  better than W89, with a peak around 9 h LT as in the observations, but overestimates morning canopy conductance by 0.1 cm s<sup>-1</sup>. We did not correct for surface energy balance closure gaps for the Hyytiälä observations, since this gap was considerably smaller (±20% of  $R_n$ , without a distinct diurnal cycle), and in closer agreement to literature-reported values for tall vegetation (Foken, 2008).

Observed stomatal conductance peaks at  $\pm 0.5$  cm s<sup>-1</sup> at 10 h LT, followed by a decrease in the afternoon (Fig. 6b). W89 underestimates  $g_s$  in the morning (5-10 h LT), and overestimates afternoon values by 20-25%. MLC-CHEM overestimates morning stomatal conductance, but follows the observed diurnal cycle well throughout the rest of the day. The observed stomatal ozone uptake fraction is relatively constant at 0.8 (Fig. 6), comparable to the upper range of stomatal uptake fraction

stomatal ozone uptake fraction is relatively constant at 0.8 (Fig. 6), comparable to the upper range of stomatal uptake fraction estimates by Rannik et al. (2012). The stomatal fraction is well reproduced by both parameterizations, although for BL this seems a coincidence given the mis-represented diurnal cycle in  $g_c$  and  $g_s$ .

Observation-derived non-stomatal conductance at Hyytiälä (Fig. 6c) is relatively constant at 0.1 cm s<sup>-1</sup>, except for a morning peak of 0.2 cm s<sup>-1</sup> around 8 h LT that likely reflects wet leaf ozone uptake (Altimir et al., 2006; Rannik et al., 2012). W89 290 reproduces the observed daytime magnitude of  $g_{ns}$ , but cannot reproduce its morning peak. MLC-CHEM overestimates the night-time non-stomatal ozone sink, in line with a study by Zhou et al. (2017) based on a one-month time series of ozone flux observations (August 2010) indicating that observed nighttime ozone deposition appear to reflect smaller nocturnal soil uptake efficiency than assumed. Except for an overestimation in the morning, MLC-CHEM captures the observation-inferred 295 magnitude of non-stomatal ozone deposition well during daytime.

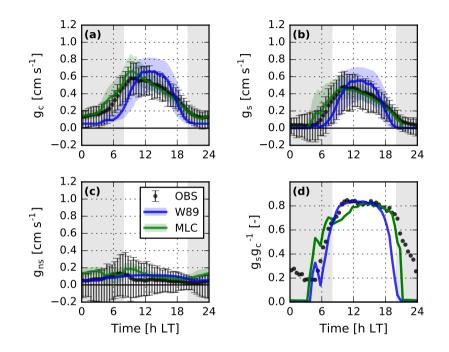


Figure 6. As Figure 5, but for Hyytiälä.

#### 3.3 Dependence of non-stomatal deposition on driving variables

Non-stomatal ozone uptake, and its dependence on micro-meteorological and other environmental drivers, is incompletely understood. Previous studies employed statistical or process-oriented modelling (Rannik et al., 2012; Fares et al., 2014; El-Madany et al., 2017; Clifton et al., 2019) to determine the contribution of driving variables to this ozone sink. In this section, we study observed and simulated relationships between the non-stomatal ozone removal fraction  $(g_{ns}g_c^{-1})$  and two variables (air temperature,  $T_a$ , and VPD) that we hypothesize to contribute to temporal variability in non-stomatal ozone removal. This section focuses on non-stomatal ozone removal at Ispra, since Rannik et al. (2012) previously characterized the non-stomatal ozone sink for Hyytiälä, and we compare our findings for Ispra to their results at the end of this section.

We first determine how W89 and MLC-CHEM can reproduce the observed relationship between non-stomatal ozone removal 305 and  $T_a$  and VPD. We focus on the average daytime response (8-20 h LT) and subsequently on three different periods in the

diurnal cycle (6-20 h LT, 10-14 h LT, 14-18 h LT). In this manner, we can disentangle processes affecting (non-)stomatal uptake that act during different periods of the diurnal cycle (wet leaf uptake in the morning, optimal stomatal functioning during mid-day, suppressed stomatal conductance during the afternoon).

- The temperature response of the relative contribution of non-stomatal removal to total ozone deposition (expressed by the non-stomatal fraction,  $g_{ns}g_c^{-1}$ ) during different periods of the diurnal cycle is shown in Figure 7 (panels a-d). Non-stomatal 310 uptake decreases with temperature during the day (Fig. 7a). This decrease is largely driven by the morning temperature sensitivity of  $q_{ns}g_c^{-1}$ , which shows less sensitivity to temperature later during the day (Fig. 7b-d). W89 underestimates the observed temperature dependence of the non-stomatal fraction throughout the day by  $\pm 0.2$ , although the morning non-stomatal fraction is higher for the lowest temperature bin (10-15 °C). MLC-CHEM reproduces the daytime response well, characterized by
- elevated morning non-stomatal uptake under low-temperature conditions. For most temperature bins, W89 strongly underesti-315 mates the observed variability in the non-stomatal fraction. The observed variability is also underestimated by MLC-CHEM, although to a smaller extent, and apparently indicates still missing or mis-represented deposition processes.

The observation-derived non-stomatal fraction increases with VPD during daytime (Fig. 7e-h), indicating that non-stomatal ozone removal decreases under dry conditions. This result contradicts an anticipated increase in the contribution by non-320 stomatal removal to overall canopy removal due to a VPD-induced decrease in stomatal uptake. However, the observed nonstomatal uptake also decreases in the afternoon (Fig. 5c), and therefore does not compensate for the decreasing stomatal sink with VPD. The non-stomatal fraction displays the strongest VPD sensitivity in the morning, which mainly reflects simulated wet leaf uptake under humid (i.e., low-VPD) conditions. Non-stomatal removal in W89 is insensitive to VPD, and this parameterization particularly underestimates the non-stomatal fraction under humid conditions (Fig. 7a-b). MLC-CHEM reproduces the daytime slope between VPD and the non-stomatal fraction well.

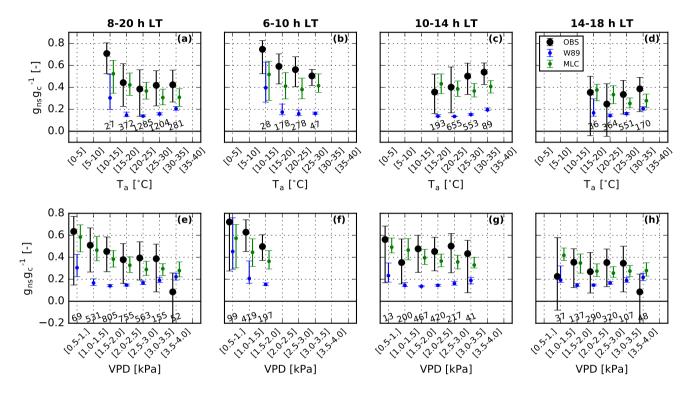
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We then perform a number of sensitivity experiments with MLC-CHEM with deactivated non-stomatal sinks, to identify the role of each sink in explaining temporal variability in non-stomatal ozone removal, and its dependence on  $T_a$  and VPD. In these experiments, we exclude the contribution by wet leaf uptake, soil uptake and in-canopy chemical removal, as well as an experiment with strongly enhanced turbulent exchange between the crown layer and the understory. Supplementary Information S2 and Figure S6 display the results from the sensitivity analysis of non-stomatal removal at Ispra. We list the main outcomes of this section and the MLC-CHEM sensitivity analysis below:

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- Soil deposition accounts for almost 40% of non-stomatal removal under high-temperature conditions, reflecting a simulated increase in in-canopy turbulent transport with air temperature.
- Non-stomatal uptake is elevated under cold and humid conditions in the morning. This is consistent with MLC-CHEMsimulated wet leaf uptake, which accounts for over 20% of the morning non-stomatal removal fraction.
- Enhanced turbulent transport from the crown layer to the understory reduces the non-stomatal uptake fraction in MLC-CHEM, as it leads to enhanced stomatal uptake in the understory.
- Chemical removal plays a minor role in the total canopy ozone sink at Ispra.

In their multivariate analysis of environmental drivers of non-stomatal ozone removal at Hyytiälä, Rannik et al. (2012) derived that air temperature and VPD are significantly associated with variations in non-stomatal ozone removal, similar to our findings for Ispra. However, Rannik et al. (2012) also found an explanatory role for monoterpene concentrations at Hyytiälä, while our results suggest a minor role of chemical removal at Ispra.



**Figure 7.** Non-stomatal ozone removal fraction  $g_{ns}g_c^{-1}$  binned by air temperature (panels a-d) and vapour pressure deficit (panels e-h) during June-September, 2013-2015, at Ispra. Black dots and whiskers show  $g_{ns}g_c^{-1}$  from observations, and simulations by W89 (blue points and whiskers) and MLC-CHEM (green points and whiskers). Dots and whiskers display the median and inter-quartile range per bin, respectively, and the number of observations in the bin is displayed at the bottom of the panels. Each column corresponds to a different time period in the diurnal cycle, namely all-day (8-20 h LT, panels a,e), morning (6-10 h LT, panels b,f), mid-day (10-14 h LT, panels c,g) and afternoon (14-18 h LT, panels d,h). Points and whiskers are only shown if the number of samples in the bin exceeds 10.

# 3.4 Cumulative Uptake of Ozone (CUO)

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In the previous sections we have shown that the seasonal evolution of ozone deposition in W89 and MLC-CHEM is relatively similar. However, there are consistent differences in daytime ozone stomatal and non-stomatal sinks between the deposition representations. In this section, we evaluate the implications of these differences in representation of (non-)stomatal removal for determining the cumulative stomatal uptake of ozone over the growing season (CUO), which is often used for ozone impact assessments (Musselman et al., 2006; Mills et al., 2011). We here use the term  $CUO_{st}$  to refer to cumulative stomatal uptake,

to distinguish this from cumulative non-stomatal ozone removal ( $CUO_{ns}$ ). In Figure 8 we compare growing season-integrated

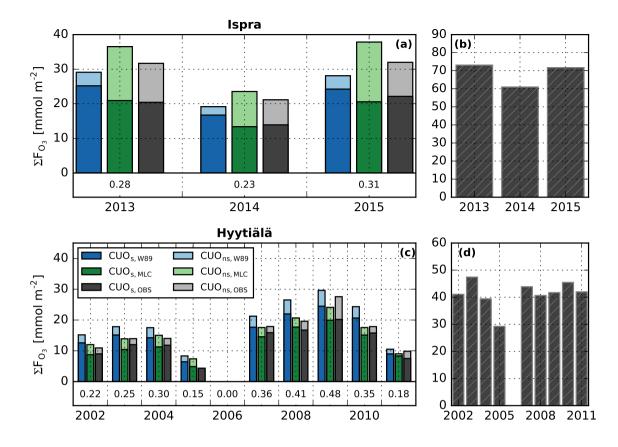
- stomatal and non-stomatal ozone fluxes from W89 and MLC-CHEM to observation-derived estimates of total seasonal ozone uptake for both sites (Fig. 8, panels a,c). Our observation-based derivation of stomatal conductance requires dry conditions (RH<90%, and no precipitation in the preceding 12 hours) to avoid overestimations in the observation-inferred stomatal conductance which lead to overestimations in CUO. However, application of these data selection criteria also lead to a reduction in data points that hinders the calculation of  $CUO_{st}$  based on observations. In order to derive a first-order  $CUO_{st}$  estimate,
- 355 we divide the cumulative stomatal uptake inferred from valid observations by the fraction of valid observations. This method serves mainly to perform a site-to-site comparison of inferred  $CUO_{st}$ . Inferred  $CUO_{st}$  at Ispra varies between 61 and 72 mmol m<sup>-2</sup> (Fig. 8b). The inferred  $CUO_{st}$  in 2014 was lower compared to 2013 and 2015 due to comparatively low ozone mixing ratios, while stomatal conductance displayed less year-to-year variability. At Hyytiälä, inferred  $CUO_{st}$  varies between 39 and 41 mmol m<sup>-2</sup> (Fig. 8d), where the lower value in 2005 (29 mmol m<sup>-2</sup>) is caused by missing data during June-August, when 360 stomatal ozone uptake peaks. The higher inferred  $CUO_{st}$  values at Ispra compared to Hyytiälä reflect both higher stomatal

conductance and ozone mixing ratios at the Italian site.

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The differences between W89- and MLC-CHEM-simulated conductances are also manifested in the simulated growingseason cumulative (stomatal) uptake (Fig. 8a-c). The cumulative total ozone flux for Ispra is underestimated by W89 (-10%), while this parameterization overestimates cumulative stomatal uptake by 14-22%. MLC-CHEM accurately reproduces observation-derived CUO<sub>st</sub> (within 7%), but overestimates the cumulative total flux by 15% (Fig. 8a). Therefore, the modelobservation agreement of the two parameterizations for simulated cumulative total ozone removal largely reflects non-stomatal uptake differences, which deviates from observation-inferred values by -64% and 51%, respectively. At Hyytiälä, the observed cumulative total ozone flux is 15.1 mmol m<sup>-2</sup> and is overestimated by 34% by W89 reflecting overestimated stomatal uptake (Fig. 8c). The observation-derived CUO<sub>st</sub> is 12.6 mmol m<sup>-2</sup>, compared to 15.8 mmol m<sup>-2</sup> in W89 (+28%) and 12.3 mmol m<sup>-2</sup> in MLC-CHEM (-2.4%). We conclude that the better representation of canopy stomatal conductance in MLC-CHEM

 $m^{-2}$  in MLC-CHEM (-2.4%). We conclude that the better representation of canopy stomatal conductance in MLC-CHEM compared to W89, particularly during the afternoon peak in ozone mixing ratios, may lead to a substantially reduced bias in the simulated growing-season integrated (stomatal) ozone flux.



**Figure 8.** Panels a,c: growing season-integrated daytime (8-20 h LT) stomatal ( $CUO_{st}$ ; dark colours) and non-stomatal ( $CUO_{ns}$ ; light colours) ozone fluxes for the different years in the study period, for Ispra (panel a) and Hyytiälä (panel c). Results from the W89 parameterization are shown in blue, from MLC-CHEM in green and from observations in grey. Only the data points with valid observation-inferred stomatal conductance estimates are selected for this comparison, the fraction of valid data points per growing season that remains is shown at the bottom of panels a and c. Panels b,d: inferred cumulative stomatal ozone uptake (CUO) estimate at both sites (hatched bars) after dividing the season-integrated daytime stomatal ozone flux (dark grey bars) by the fraction of valid data points. Note the different y axis ranges in the four panels.

## 4 Discussion

This study evaluates the potential added value of a multi-layer representation of vegetation canopies with respect to a commonly applied big leaf approach (W89; Wesely, 1989) for simulating ozone deposition and ozone impact metrics for forest canopies. We focus on short- to long-term temporal variability in  $V_d(O_3)$  and its partitioning into stomatal and non-stomatal components, as well as the simulation of ozone impact metrics. We find that both parameterizations reasonably reproduce the observed seasonal cycle in  $V_d(O_3)$ , in agreement with previous chemistry transport model evaluations (e.g. Hardacre et al., 2015). Despite their comparable performance on seasonal timescales, the parameterizations deviate in their simulation

- of the diurnal cycle: the W89 parameterization particularly underestimates morning ozone removal by 52% (Ispra) and 37% (Hyytiälä) due to a combination of underestimated stomatal removal and a missing non-stomatal sink, likely wet leaf uptake. In the afternoon, W89 deviates less from observations at both sites (-13% at Ispra, +22% at Hyytiälä). Consequently, cumulative stomatal ozone uptake is overestimated by on average 18% (Ispra) and 28% (Hyytiälä) in W89 simulations, while cumulative total ozone removal deviates by -10% (Ispra) and 20% (Hyytiälä). Ozone mixing ratios typically peak in the afternoon and thus
- 385 occur simultaneously with stomatal conductance misrepresentations, which may lead to simulated ozone fluxes overestimates using this mechanism. The multi-layer mechanism, constrained with latent energy and NEE observations to optimally represent stomatal exchange, displays a better agreement with the observed ozone deposition velocity (within 10%) and inferred cumulative stomatal and total uptake (within 15% and 9% for Ispra and Hyytiälä, respectively). Therefore, an accurate representation of diurnal variability in ozone uptake partitioned to stomatal and non-stomatal sinks is essential for reproducing cumulative 390 (stomatal) ozone uptake at the land surface.

We applied a big leaf parameterization that is commonly used in (regional) atmospheric chemistry models, for example in WRF-Chem (Grell et al., 2005; Galmarini et al., 2021). Big leaf parameterizations advantageously depend on a limited number of routinely available meteorological variables and a simplified description of land use characteristics, and can be readily applied at any location without location-specific parameter derivations (Clifton et al., 2020a). However, the empirical nature

- 395 of these schemes leads to an oversimplification of in-canopy physical and chemical processes that affect atmosphere-biosphere exchange of ozone, e.g. by not accounting for stomatal closure based on the vapour pressure deficit (VPD) and soil moisture, or in-canopy chemical reactions. There are big leaf versions available with a more process-based description of ozone deposition processes, particularly stomatal conductance (e.g. Lin et al., 2019; Clifton et al., 2020c; Emberson et al., 2001; Büker et al., 2012) and non-stomatal ozone removal (Zhang et al., 2003).
- 400 To further explore the effect of model assumptions in big leaf parameterizations, we performed a comparison between W89 and another commonly used big leaf dry deposition scheme by (Zhang et al., 2003, referred to as Z03) in Appendix B. This parameterization includes a separate treatment of sunlit versus shaded leaves and explicit treatment of water stress in the stomatal conductance calculation, and includes variations in non-stomatal resistances as a function of LAI and u<sub>\*</sub>. We find that both parameterizations overestimate afternoon stomatal conductance compared to observations, while Z03 better reproduces
- 405 morning  $g_s$  (Fig. B1). The differences between these parameterizations are therefore largely driven by differences in nonstomatal ozone removal (Fig. B1). The agreement with observation-inferred non-stomatal removal depends on site-specific conditions, particularly friction velocity. Our analyses highlight potential areas of improvement in process representation that can be considered in future larger-scale modelling studies to improve simulations of ozone deposition pathways and their temporal variability. This is particularly important for season-integrated (stomatal) ozone fluxes with big leaf parameterizations.
- 410 Our results suggest that  $A_{net}$ -g<sub>s</sub> parameterizations, as applied in MLC-CHEM, simulate stomatal conductance in good agreement with observation-inferred values throughout the diurnal cycle. Such models are sensitive to parameters typically derived at leaf level that display spatio-temporal variability. Further observational constraints on these parameters, e.g. from leaf-level ecophysiological measurements, improve the representation of stomatal conductance and biosphere-atmosphere exchange (Vilà-Guerau De Arellano et al., 2020), benefitting simulations of CO<sub>2</sub> and ozone exchange as simulated by  $A_{net}$ -g<sub>s</sub>

- 415 within MLC-CHEM. Determining these parameters from canopy-top observations is an underdetermined problem in a mathematical sense, which we circumvented by deriving a realistic set of model parameters based on a comparison with canopy-top observed NEE and observation-derived stomatal conductance while remaining as close as possible to the original parameter set in Ronda et al. (2001). Choosing  $A_{net}$ - $g_s$  parameters could be formalized by applying mathematical techniques such as data assimilation (Raoult et al., 2016).
- 420 MLC-CHEM can be driven by diagnostic variables available from CTM output (or their driving meteorological models), favoring its implementation to represent atmosphere-biosphere fluxes of reactive compounds (Ganzeveld et al., 2002, 2010). In such a coupled setup, MLC-CHEM would use simulated stomatal conductance from the driving model to represent atmospherebiosphere exchange consistent with the model's representation of (micro-)meteorology. An implementation of A-g<sub>s</sub> with CO<sub>2</sub> mixing ratios, calculated online or offline, can be tested if simulated stomatal conductance estimates are unavailable.
- Our analysis did not include soil moisture as a predictor of stomatal conductance. Sensitivity simulations in MLC-CHEM with observation-constrained soil water content (SWC) at different depths resulted in strong reductions in simulated NEE and g<sub>s</sub> during summer compared to observations, which suggests that these SWC observations are not indicative of root-zone soil moisture. Nonetheless, simulations of ozone deposition and mixing ratios at various spatial scales suggest a higher predictive skill when accounting for SWC (Anav et al., 2017; Lin et al., 2019, 2020; Clifton et al., 2020c; Otu-Larbi et al., 2020). Including
  this stress term is especially important in the context of projected drought risk and intensity increases in future climate scenarios (Cook et al., 2018), that may aggravate ozone smog episodes due to a decreased stomatal sink (Lin et al., 2020).

Our analysis of non-stomatal ozone removal as a function of micro-meteorological drivers (air temperature and VPD) for Ispra reveals that the non-stomatal sink is elevated under low-VPD (i.e., high-RH) morning conditions, likely indicating uptake at the leaf surface in water films formed by dew (Zhang et al., 2002; Potier et al., 2015). This sink is reproduced by MLC-CHEM

- 435 by applying a wet canopy fraction dependent on RH and a constant wet skin uptake resistance. Observations suggest that this non-stomatal ozone sink is less important at Hyytiälä, which could be due to a lower RH threshold for development of wet canopy conditions in MLC-CHEM compared to previous work (Altimir et al., 2006; Zhou et al., 2017). Since wet leaf uptake may affect simulated diurnal cycles of ozone in chemistry transport models (Travis and Jacob, 2019), uptake parameterizations would benefit from better observation-based constraints on this removal process, both in terms of canopy wetness and wet leaf
- 440 uptake efficiency.

Our sensitivity analysis also reveals an important role of soil deposition during the afternoon due to more active in-canopy transport. We applied a constant soil resistance to ozone uptake in our simulations, despite various environmental controls that have been identified, including air temperature, soil water content, near-surface air humidity and soil clay content (Fares et al., 2014; Fumagalli et al., 2016; Stella et al., 2011, 2019). Our results suggest a minor importance of chemical ozone removal

445 at the two considered sites. However, we did not investigate the role of ozone scavenging by reactive sesquiterpenes (Zhou et al., 2017; Hellén et al., 2018; Vermeuel et al., 2021) nor soil-emitted nitric oxide (Finco et al., 2018). Since most (big leaf) parameterizations work with a poorly constrained resistance to transport from canopy-top to the soil (e.g. Makar et al., 2017), the importance of the chemical and soil ozone sinks for total canopy ozone removal can be best explored with better-resolved in-canopy turbulent exchange in model simulations.

- We have shown that stomatal and non-stomatal sinks are not accurately reproduced using the W89 big leaf parameterization compared to observations at two forested ozone flux sites, leading to structurally biased instantaneous and growing-season cumulated (stomatal) ozone flux simulations. Improved methods (e.g., the DO3SE mechanism, Emberson et al., 2001; Büker et al., 2012) do correct for soil moisture and VPD in the stomatal conductance calculation. Overestimated stomatal ozone fluxes also likely have implications for simulated ozone mixing ratios. Many models underestimate mid-day ozone mixing
- 455 ratios in Europe (Solazzo et al., 2012; Im et al., 2015; Visser et al., 2019), and a mis-representation of land surface uptake may contribute to this bias. Therefore, an overestimated ozone deposition flux may also affect the simulation of concentrationbased vegetation ozone impact metrics, such as AOT40, in the opposite direction compared to flux-based metrics. An improved model representation of the ozone deposition process will provide more confidence in the application of atmospheric chemistry models for surface air quality and vegetation ozone damage assessments.
- 460 To stimulate improvement of big leaf and multi-layer parameterizations, modelers may benefit from evaluations against existing long-term dry deposition observations in various ecosystems (e.g. forests and grassland), and for contrasting environmental conditions (e.g. during dry vs. wet seasons). Such an assessment is currently underway in Stage 4 of the Air Quality Model Evaluation International Initiative (AQMEII4; Galmarini et al., 2021). Additionally, evaluation against in- and above-canopy ozone flux measurements (Fares et al., 2014; Finco et al., 2018) can reveal information about non-stomatal sinks in these parameterizations, such as soil deposition and in-canopy chemical removal. Lastly, the application of proposed parameterizations for non-stomatal ozone sinks, such as for wet leaf uptake (Potier et al., 2015) and soil uptake (Stella et al., 2019)

# 5 Conclusions

on diurnal timescales.

should be tested in 3D and single-point models of ozone deposition.

We compare ozone deposition simulations to multi-year observations at two European forested flux sites, with a focus on
temporal variability, contributions from stomatal and non-stomatal sinks, and metrics for the damage incurred by ozone on vegetation. The widely used big leaf parameterization (W89; Wesely, 1989) and the in-canopy process-resolving MLC-CHEM model both reproduce the seasonal cycle of daytime ozone deposition velocity reasonably well, but there are important differences in the skill of the two approaches to capture the diurnal changes in ozone deposition. Specifically, W89 consistently underestimates ozone deposition velocities in the morning (by 37-52%), while the afternoon model-observation is somewhat
smaller (-13-22%). MLC-CHEM captures the diurnal cycle much better with relatively small biases in the morning (-9% at Ispra, +17% at Hyytiälä), and good agreement (within 10%) in the afternoon. Accounting for stomatal closure, wet leaf removal and in-canopy turbulent transport followed by soil uptake turns out to be important for accurately simulating ozone deposition

The structural errors in W89 are explained by a misrepresentation of the diurnal cycle in stomatal and non-stomatal conductance. Simulations with a more recent big leaf parameterization result in similar biases regarding stomatal and non-stomatal uptake. The MLC-CHEM model, constrained by local observations of diurnal  $CO_2$  and latent energy fluxes, captures stomatal and non-stomatal ozone conductance better. As a result, W89 systematically overestimates cumulative ozone uptake by 20-30% in the growing season at Ispra and Hyytiälä, whereas MLC-CHEM reproduces cumulative ozone uptake within 3% at both sites. We conclude that MLC-CHEM, nudged with observation-inferred stomatal conductance, accurately describes non-stomatal uptake processes as well as vegetation ozone impact metrics.

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Sensitivity tests with MLC-CHEM for Ispra point out that in relatively cold and humid conditions, ozone deposition on wet leaves appears to explain up to 20% of the non-stomatal ozone sink. During high-temperature conditions characterized by efficient in-canopy transport, enhanced uptake by soils accounts for up to 40% of non-stomatal ozone deposition. The tests suggest a minor role for chemical destruction of ozone at Ispra.

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Our results indicate that current model representations of stomatal and non-stomatal ozone uptake by vegetation, often based on W89, should be thoroughly evaluated. This study provides a strategy for such evaluations, and shows how a more detailed, canopy-resolving model driven by ancillary measurements of  $CO_2$  and energy fluxes, can provide more realistic estimates of ozone deposition and vegetation ozone impact metrics.

Code and data availability. MLC-CHEM source code and model output are available upon request to the corresponding author.

## 495 Appendix A: A-g<sub>s</sub> optimization

Prior to applying MLC-CHEM to analyze ozone fluxes at our study sites, we first paid attention to simulations of the canopy  $CO_2$  flux ( $F_{CO_2}$ ) and canopy stomatal conductance ( $g_s$ ) to ensure that the photosynthesis parameterization (A- $g_s$ ) functions satisfactorily. An initial simulation with the default settings for the C3 vegetation class resulted in a strongly overestimated  $F_{CO_2}$  compared to observations at both sites (see Table A2). This is accompanied by strong overestimation of the canopy stomatal conductance at Ispra, while MLC-CHEM slightly underestimates stomatal conductance at Hyptiälä.

The default A- $g_s$  settings were derived for low vegetation such as grassland and crops (Ronda et al., 2001) and are therefore not necessarily representative for forest canopies. We performed a sensitivity analysis of simulated  $F_{CO_2}$  and  $g_s$  to A- $g_s$  model parameters in order to determine optimized parameter sets for our simulations. These settings are given in Table A1. We found that strongly overestimated  $F_{CO_2}$  is largely caused by a high presumed reference mesophyll conductance ( $g_{m,298}$ ), leading to overestimated transport of CO<sub>2</sub> in the plant's chloroplast. Our reductions of  $g_{m,298}$  are in better correspondence with previously reported estimates of 0.8-2.0 mm s<sup>-1</sup> for different forest plant functional types (Steeneveld, 2002; Voogt et al., 2006; ECMWF, 2020). At Ispra, we additionally modified the mesophyll conductance temperature response curve, which differs between plant species (Calvet et al., 1998; von Caemmerer and Evans, 2015), to improve the amplitude of the seasonal cycle in simulated  $F_{CO_2}$ . At Hyytiälä, the maximum internal CO<sub>2</sub> concentration ( $f_0$ , given as a fraction of the external CO<sub>2</sub> concentration) was

510 increased to improve the correspondence with observation-derived  $g_s$ .

Our observational constraints to A-g<sub>s</sub> lead to improved simulations of  $g_s$  and  $F_{CO_2}$  (Table A2). The parameter changes additionally affect the simulation of the ozone dry deposition velocity (V<sub>d</sub>(O<sub>3</sub>)), as shown in Table A3. At Ispra, the strong reduction in stomatal conductance leads to an underestimation in V<sub>d</sub>(O<sub>3</sub>) (MBE = -0.12 cm s<sup>-1</sup>), while the other statistical metrics indicate a modest model improvement. At Hyytiala, the growing-season model overestimation is slightly reduced from 0.04 cm s<sup>-1</sup> to 0.02 cm s<sup>-1</sup>. Our approach results in a reduced model bias at the two study sites, particularly for F<sub>CO2</sub>, while

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taking care to stay as close as possible to the original parameter set.

**Table A1.** A- $g_s$  parameter settings used in MLC-CHEM simulations. The first column indicates the default C3 settings from Ronda et al. (2001), and the other two columns show the optimal settings from our analysis. A dash ("-") indicates that a parameter is unchanged with respect to the default C3 value.

	C3 (reference)	Ispra	Hyytiälä
$g_{m,298} \text{ [mm s}^{-1}\text{]}$	7.0	1.5	1.5
f <sub>0</sub> [-]	0.89	-	0.99
$g_{m,T1}$ [K]	278	283	-
$g_{m,T2}$ [K]	301	306	-
$A_{m,max,T1}$ [K]	281	286	-

**Table A2.** Model performance statistics of MLC-CHEM before and after A-gs optimization for canopy stomatal conductance and CO<sub>2</sub> flux. Shown are several conventionally applied performance metrics (MBE, RMSE, slope (s) and intercept (i) of a linear regression fit of simulations against observations, and  $r^2$  from ordinarly least squares regression), as well as the index of agreement d Willmott (1982). The units are cm  $s^{-1}$  and  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, respectively, unless indicated otherwise.

	Hyytiälä			Ispra				
	$g_s [{ m cm}{ m s}^{-1}]$		$F_{CO_2} \ [\mu mol \ m^{-2} \ s^{-1}]$		$g_s [cm  s^{-1}]$		$F_{CO_2}$ [µmol m <sup>-2</sup> s <sup>-1</sup> ]	
	REF	OPT	REF	OPT	REF	OPT	REF	OPT
MBE	-0.04	-0.07	-20.1	-5.1	0.56	0.26	-45.8	-17.0
RMSE	0.43	0.41	21.1	6.1	0.89	0.66	50.3	18.4
r <sup>2</sup> [-]	0.12	0.22	0.64	0.46	0.16	0.16	0.57	0.61
s [-], i	0.77, 0.17	1.01, 0.07	0.36, 2.34	0.65, 0.62	0.42, 0.05	0.69, -0.02	0.25, 3.15	0.62, 6.50
d [-]	0.48	0.56	0.30	0.63	0.49	0.52	0.29	0.55

**Table A3.** As Table A2, but for  $V_d(O_3)$  (unit: cm s<sup>-1</sup>).

	Hyy	tiälä	Ispra		
	REF	MOD	REF	MOD	
MBE	0.04	0.02	0.11	-0.01	
RMSE	0.17	0.17	0.47	0.32	
r <sup>2</sup> [-]	0.37	0.39	0.28	0.45	
s [-], i	1.93, -0.01	0.86, 0.04	0.45, 0.32	0.89, 0.08	
d [-]	0.73	0.76	0.69	0.80	

#### Appendix B: Comparison between two big leaf parameterizations

In order to derive more generic conclusions about big leaf parameterizations, we considered another commonly applied parameterization (Zhang et al., 2003), and recently extended to different gases by Wu et al. (2018). This big leaf formulation (hereafter Z03) differs compared to the Wesely (1989) parameterization (hereafter W89) in several aspects: (1) Z03 calculates stomatal condutance for sunlit and shaded leafs differently, (2) stomatal conductance is affected by VPD and soil moisture stress, (3) non-stomatal resistances contain seasonal and diurnal variability due to dependencies on leaf area index and friction velocity ( $u_*$ ). This model version was derived from Zhang and Wu (2021), with two modifications. First, we adapted the soil resistance to locally derived values of 400 s m<sup>-1</sup> (Hyytiälä) and 300 s m<sup>-1</sup> (Ispra), similar to W89 and MLC-CHEM

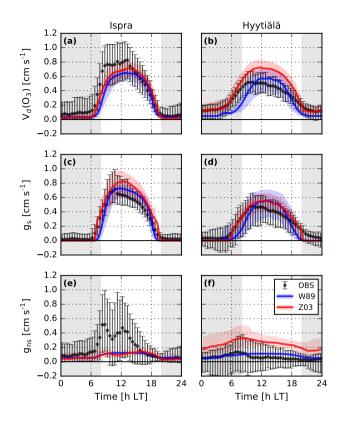
525 (see Methods). The implementation by Zhang and Wu (2021) relies on observed canopy wetness, which is not available for

our two study sites. We therefore parameterize canopy wetness as a function of relative humidity, analogous to MLC-CHEM (Eqn. 4). In this section, we compare simulations by W89 and Z03 to observations of the ozone dry deposition velocity, and observation-inferred stomatal and non-stomatal conductance.

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Figure B1 shows multi-year growing season median diurnal cycles of  $V_d(O_3)$ ,  $g_s$  and  $g_{ns}$  for Ispra and Hyytiälä. From this analysis, we conclude that W89 and Z03 perform similarly for Ispra compared against observed  $V_d(O_3)$  (panel a). Z03 better captures the early morning onset of  $V_d(O_3)$  for Hyytiälä than W89, but more strongly overestimates mid-day and afternoon  $V_d(O_3)$  compared to observations (panel b). Both parameterizations overestimate mid-day and afternoon  $g_s$ , while Z03 better captures the observed morning and afternoon  $g_s$  values than W89 (panels c,d). For  $g_{ns}$ , there is no parameterization that performs best for the two sites. Both parameterizations underestimate observation-inferred  $g_{ns}$  at Ispra (corrected for energy

- balance closure gaps, see Sect. 3.2), while W89 better captures the magnitude of observation-inferred  $g_{ns}$  (although Z03 better reproduces the shape of the diurnal cycle). This suggest that the  $g_{ns}$  dependence on  $u_*$  is less strong in the observations than is suggested in the Z03 parameterization: a sensitivity experiment with doubled  $u_*$  values for Ispra results in daytime  $g_{ns}$  values of 0.2-0.35 cm s<sup>-1</sup>, an increase by a factor 2.3-2.8. Based on our findings, we conclude that the different representation of non-stomatal ozone removal drives the differences between W89 and Z03, but the magnitude of these differences depends on
- 540 site-specific conditions.



**Figure B1.** Comparison of the dry deposition parameterizations W89 (Wesely, 1989) and Z03 (Zhang et al., 2003) against the observed dry deposition velocity (panels a,b) and observation-inferred stomatal conductance (panels c,d) and non-stomatal conductance (panels e,f) for Ispra and Hyytiälä (left and right panels, respectively). Lines and shaded areas (points and whiskers) show April-September median and inter-quartile range of the simulations (observations).

*Author contributions.* AJV, LNG and KFB designed the experiments, and AJV performed the simulations and data analysis. IG, IM and GM provided observational data and expertise on the use thereof in this study. AJV wrote the manuscript, with contributions from all co-authors.

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