Evaluating Arctic clouds modelled with the Unified Model and Integrated Forecasting System

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Abstract: By synthesising remote-sensing measurements made in the central Arctic into a model-gridded Cloudnet cloud product, we evaluate how well the Met Office Unified Model (UM) and European Centre for Medium-Range Weather

product, we evaluate how well the Met Office Unified Model (UM) and European Centre for Medium-Range Weather Forecasting Integrated Forecasting System (IFS) capture Arctic clouds and their associated interactions with the surface energy balance and the thermodynamic structure of the lower troposphere. This evaluation was conducted using a four-week observation period from the Arctic Ocean 2018 expedition, where the transition from sea ice melting to freezing conditions

was measured. Three different cloud schemes were tested within a nested limited area model (LAM) configuration of the UM – two regionally-operational single-moment schemes (UM_RA2M and UM_RA2T), and one novel double-moment scheme (UM_CASIM-100) – while one global simulation was conducted with the IFS, utilising its default cloud scheme (ECMWF_IFS).

Consistent weaknesses were identified across both models, with both the UM and IFS overestimating cloud occurrence below 3 km. This overestimation was also consistent across the three cloud configurations used within the UM framework, with >90% mean cloud occurrence simulated between 0.15 and 1 km in all model simulations. However, the cloud microphysical structure, on average, was modelled reasonably well in each simulation, with the cloud liquid water content (*LWC*) and ice water content (*IWC*) comparing well with observations over much of the vertical profile. The key microphysical discrepancy between the models and observations was in the *LWC* between 1 and 3 km, where most simulations (all except UM_RA2T) overestimated the observed *LWC*.

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Despite this reasonable performance in cloud physical structure, both models failed to adequately capture cloud-free episodes: this consistency in cloud cover likely contributes to the ever-present near-surface temperature bias in every simulation. Both models also consistently exhibited temperature and moisture biases below 3 km, with particularly strong cold biases coinciding with the overabundant modelled cloud layers. These biases are likely due to too much cloud top radiative cooling from these persistent modelled cloud layers and were consistent across the three UM configurations tested, despite differences in their parameterisations of cloud on a sub-grid-scale. Alarmingly, our findings suggest that these biases in the regional model were inherited from the global model, driving a cause/effect relationship between the excessive low-altitude cloudiness and coincident cold bias, Using representative cloud condensation nuclei concentrations in our double-moment UM configuration,

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while improving cloud microphysical structure, does little to alleviate these biases; therefore, no matter how comprehensive we make the cloud physics in the nested LAM configuration used here, its cloud and thermodynamic structure will continue to be overwhelmingly biased by the meteorological conditions of its driving model.

1 Introduction

The Arctic is warming at more than twice the global average rate (Serreze and Barry, 2011; Cohen et al., 2014), with recent evidence suggesting the rate of warming could be up to three times the global average (AMAP 2021). Coupled general circulation models (GCMs) fail to agree on the magnitude of recent warming and exhibit large biases in surface temperature and energy balance (Boeke and Taylor, 2016) driven largely by model parameter uncertainties on a decadal scale (Hodson et al., 2013). Biases in such surface properties are also present in atmosphere-only versions of these models with fixed ocean and sea ice boundaries, indicating that there is an important atmospheric source of disparity between models and reality (Bourassa et al., 2013). Arctic clouds have a net warming effect at the surface (Boucher et al., 2013) and are likely a contributing factor to the spread of surface energy balance estimates obtained from these models, with a large spread in cloud fractions, liquid water paths (LWP), and ice water paths (IWP) identified in past phases of the Coupled Model Intercomparison Project (CMIP; Karlsson and Svensson, 2011; Boeke and Taylor, 2016). Early results from the most recent CMIP indicate that high latitude discrepancies in cloud fraction are still prevalent in recent revisions of these models (Vignesh et al., 2020).

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change both on short weather prediction and longer climate time scales (Jung et al., 2016). Models such as the Met Office Unified Model (UM) and European Centre for Medium-Range Weather Forecasting (ECMWF) Integrated Forecasting System (IFS) are commonly used for assessing future Arctic change; however, recent work has shown that, like other large-scale models, both exhibit surface energy balance discrepancies with comparison to high Arctic observations. In both the UM and the IFS, these biases have largely been attributed to incorrect cloud cover (Birch et al., 2012; Sotiropoulou et al., 2016; Tjernström et al., 2021).

With accelerating Arctic warming, we need to build suitable numerical models to confidently predict how the atmosphere will

Several studies have considered why such large-scale models fail to reproduce observed cloud cover in the high Arctic. Observations have shown that during summer, Arctic clouds experience episodes of extremely low concentrations of cloud condensation nuclei (CCN; <10 cm⁻³) approximately 10-30% of the time (Mauritsen et al., 2011; Tjernström et al., 2014), highlighting that model capability to reproduce cloud free conditions in the Arctic is likely dependent upon representing these low CCN numbers (Birch et al., 2012; Stevens et al., 2017; Hines and Bromwich, 2017). Such conditions are difficult to

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simulate with large-scale numerical models utilising single-moment microphysics schemes with assumed constant droplet number concentrations, N_d . Both the IFS and the UM make such assumptions in their current global operational configurations: while climatological aerosol concentrations are referenced in the calculations of the first and second indirect effect, droplet number cannot evolve independently of cloud liquid mass.

The operational single-moment microphysics scheme within the UM was found to hinder its ability to reproduce tenuous cloud periods during the *Arctic Summer Cloud Ocean Study* (ASCOS); when clouds were modelled, the model produced too thin cloud layers in a boundary layer (BL) that was often too well-mixed and too shallow (**Birch et al., 2012**). The prevalence of too much low-level cloud caused surface energy balance, and hence surface temperature, biases. The new Cloud-Aerosol Interactive Microphysics (CASIM) double-moment scheme in the UM has enabled improvements in its representation of Arctic clouds; **Stevens et al. (2017)** noted it improved the surface net longwave radiation (LW_{net}) in both cloudy and cloud-free conditions. Specifically, inclusion of aerosol processing within CASIM successfully led to cloud dissipation when modelling the CCN-limited clouds observed during the ASCOS campaign, indicating that this explicit description of double-moment microphysics (rather than a simplified cloud physics description) is key to modelling these clouds in the high Arctic.

Like the UM, the IFS also failed to capture episodic cloud-free periods observed during ASCOS, leading to similar surface energy biases (**Sotiropoulou et al., 2016**). The updated IFS cloud scheme, used operationally since 2013, has improved its ability to capture mixed-phase Arctic clouds in recent revisions; however, **Sotiropoulou et al. (2016**) reported that the IFS still

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exhibits a persistent positive near-surface temperature bias, despite the improvement to its representation of these clouds. These Arctic surface biases persist in version Cy45r1 of the model, as shown by **Tjernström et al. (2021)**. Given that reanalysis products created using the ECMWF IFS (e.g., ERA5; **Hersbach et al. 2020**) are widely used, both to produce lateral boundary conditions for process studies with numerical weather prediction (NWP) models and to analyse Arctic atmospheric structure, we must understand the root of these biases and make recommendations for process improvements.

Here, we evaluate the performance of recent revisions of both the UM and IFS focusing on their ability to capture clouds and the thermodynamic structure of the BL, highlighting common process relationships between the models which may explain differences from observations. To achieve this, we compare these models with recent high Arctic observations made during the Arctic Ocean 2018 (AO2018; Vüllers et al., 2021) expedition, where a suite of remote-sensing instrumentation was active aboard the Swedish icebreaker *Oden* measuring summertime cloud and BL properties in the high Arctic. We use Cloudnet (Illingworth et al., 2007) to compare observations with cloud properties simulated by the models, to test the respective

components in each model simulation with a focus on evaluating the relative contributions of the following on cloud structure:

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- 1. The choice and use of large-scale cloud schemes at high resolution
 - 2. The cloud microphysics scheme chosen to represent resolved clouds
 - 3. Representative CCN concentrations, and thus droplet number concentrations, as a function of altitude
 - 4. The global model analyses used to produce boundary conditions for high resolution nested configurations

By testing these <u>components</u> with two different atmospheric models, operating on different grid configurations, we assess whether representative CCN concentrations are indeed the key model development still required to suitably capture Arctic clouds, or whether other factors are restricting model performance in the high Arctic. Deleted: hypotheses

110 2 Data and methods

2.1 Arctic Ocean 2018 expedition

During the AO2018 expedition, *Oden* drifted with an ice floe near the North Pole from 14 August to 14 September 2018 (Fig. 1). Campaign details, instrumentation, and meteorological measurements from the AO2018 expedition are summarised in Vüllers et al. (2021). Here, we use a subset of the measurements for direct comparison with our model simulations.

Radiosondes (Vaisala RS92) launched at 0000, 0600, 1200, 1800 UTC, provide in situ thermodynamic profiles with a 0.5°C and 5% manufacturer specified uncertainty associated with temperature and humidity sensors, respectively. The radiosonde data were distributed via the global telecommunications system and assimilated operationally at the Met Office and ECMWF. Remote sensing measurements from a Metek MIRA-35 Doppler cloud radar, a Halo Photonics Streamline Doppler lidar, and an RPG HATPRO microwave radiometer were processed through the Cloudnet algorithm (Illingworth et al., 2007) following the data preparation steps of Achtert et al. (2020). A Vaisala PWD22 present weather sensor (PWS) measured visibility, precipitation type, precipitation intensity, and cumulative amount; near surface temperature and relative humidity (RH) were obtained from an aspirated Rotronic HMP101 sensor. Broadband downwelling solar and infrared radiation were measured on board the ship by Eppley PSP and PIR radiometers. 3-hourly albedo estimates from surface images were used to calculate upwelling shortwave radiation (Viglers, et al., 2021).

2.2 Cloudnet

Cloudnet is used to directly compare between our measured and modelled cloud properties (Illingworth et al., 2007). Cloudnet ingests Doppler cloud radar and lidar, ceilometer, microwave radiometer, and radiosonde data to derive cloud fractions and cloud water contents on a chosen model grid. A comprehensive description of the algorithm is beyond the scope of this paper and is provided by Illingworth et al., 2007 (and references therein), but essentially the algorithm first homogenizes observational data to a common time resolution of 30 s and interpolates data to the radar height grid. Radar reflectivity (Z_e) and lidar backscatter (β) profiles are used to determine cloud boundaries. Cloudnet takes advantage of the lidar's sensitivity to small particles, such as cloud droplets and aerosol, and the radar's sensitivity to large particles, such as ice particles, rain, and drizzle. Cloud phase is determined using Z_e , β , and thermodynamic information from the radiosondes. Cloud ice water content (*IWC*) is derived using Z_e and temperature (Illingworth et al., 2007), while liquid water content (*LWC*) is derived by partitioning *LWP* measured by the radiometer to the identified liquid cloud layers from the lidar. Additionally, an adiabatic *LWC* is calculated from temperature and humidity profiles and the identified cloud top and base height from radar and lidar measurements.

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Cloudnet has already been utilised to study Arctic cloud properties using measurements made aboard *Oden* both during this campaign (Vüllers et al., 2021) and during the *Arctic Clouds in Summer Experiment* in 2014 (Achtert et al., 2020). Potential errors associated with the Cloudnet procedure are described in Achtert et al. (2020). One particular limitation relevant to this study is the minimum detection height of 156 m (lowest radar range gate). Low level clouds/fog below this height are hence missed by Cloudnet (Vüllers et al., 2021) and not included in model comparisons. This limitation also results in problems with

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the *LWC* derived from radiometer measurements; therefore, we use the calculated *LWC* under adiabatic assumption in this study (for further details see **Appendix A**)

For comparisons with models, cloud fraction by volume (C_V), adiabatic *LWC*, and cloud *IWC* from observations are averaged to a reference model grid; here, we use the UM grid, but we could have equally chosen that of the IFS (**Fig. S1**). Cloud properties are calculated using measurement profiles alongside model wind speed and grid-box size, where changes in cloud properties over time are assumed to be driven primarily by advection and not microphysical changes (**Illingworth et al., 2007**). This procedure is applied for C_V , *LWC*, and *IWC*, with C_V defined as the fraction of pixels in a 2D slice which are categorised as liquid, supercooled liquid, or ice (**Illingworth et al., 2007**).

2.3 Models

A summary of each model simulation is included in **Table 1** and detailed in the following sections. 36-hour forecasts were performed with each model, initialised each day at 1200 UTC with the first 12 hours of spin up discarded, thereby producing daily forecast products (0000 UTC – 0000 UTC) with hourly diagnostics for analysis. This is common practice for such forecasts to ensure discrepancies due to spin up are avoided, while maintaining meteorology close to reality; however, as noted by **Tjernström et al. (2021)**, model error growth is often a function of forecast time and thus the findings of this study may be related to the time window chosen for each model forecast.

160 Column diagnostics from the grid cell closest to the position of *Oden* were extracted from the model domain, updated hourly to account for the ship's drifting position. These variables (e.g., temperature, humidity, cloud fraction, condensate variables, wind versus time) were then used for comparisons with alike variables constructed using Cloudnet (**Illingworth et al., 2007**) with measured data (see **Sect. 2.1**).

2.3.1 Integrated Forecasting System (IFS; ECMWF_IFS)

Cycle 46r1 (Cy46r1) of the IFS (used operationally from Jung 2019 to Jung 2020) was used to create global meteorological forecasts. The IFS uses a spectral formulation with a wave-number cut off corresponding to a horizontal grid size of approximately 9 km (**Fig. 1b**). It has 137 levels in the vertical up to 80 km, the lowest at \approx 10 m with 8 levels below \approx 200 m and 20 below 1 km. IFS forecasts were initialised from ECMWF operational analyses. Operational forecasts produced at the time of the campaign (with Cy45r1) were recently evaluated on a 3-day lead time from a statistical viewpoint for this expedition (**Tjernström et al., 2021**); in contrast, lead-time averaged verification was conducted in this study using a 1-1 comparison of a concatenated timeseries of forecast values (T+13—T+36) with hourly observations.

Cloud properties are parameterised following **Forbes and Ahlgrimm (2014)**. This cloud scheme was implemented in Cy36r4 and has been previously evaluated for Arctic clouds by **Sotiropoulou et al. (2016)** using Cy40r1. Five independent prognostic cloud variables are included (grid box fractional cloud cover, and specific water contents for liquid, rain, ice, and snow). Heterogeneous primary ice formation is diagnosed following **Meyers et al. (1992)**, with a mixed-phase cut-off of -23 °C. Liquid cloud formation occurs when the average relative humidity within a grid box exceeds a critical threshold, RH_{crit} , representing sub-grid-scale variability of moisture. This threshold is 80% in the free troposphere, increasing towards the surface

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in the boundary layer (**Tiedtke**, **1993**). Once formed, cloud liquid mass is distributed across a fixed cloud droplet number concentration, N_d , of 50 cm⁻³ over the ocean (and 300 cm⁻³ over land) to act as a threshold for autoconversion from liquid to rain. For interactions with the radiation scheme, the IFS follows **Martin et al. (1994)** for estimating droplet number, using the prognostic specific liquid water content and a prescribed CCN profile. CCN concentrations are calculated as a function of the near-surface wind speed but decreases with altitude to represent the vertical distribution of aerosol within and above the BL. Further details regarding the cloud scheme can be found in the ECMWF documentation (**IFS Documentation – Chapter 7: Clouds and large-scale precipitation**, <u>https://www.ecmwf.int/node/19308</u>).

The IFS is coupled to a 0.25° resolution dynamic sea-ice model (Louvain-la-Neuve Sea Ice Model, LIM2) which provides sea ice fractions to the IFS and the surface flux tiling scheme (**Buizza et al., 2017; Keeley and Mogensen, 2018**). The surface energy balance over the sea ice fraction is, however, calculated separately from LIM2 using an albedo parameterisation following **Ebert and Curry (1993)** with fixed monthly climatology values interpolated to the actual time, and a heat flux through the ice calculated using a constant sea-ice thickness of 1.5 m.

190 2.3.2 Unified Model (UM)

The UM was operated as a high-resolution LAM with a 1.5 km × 1.5 km grid (grid is shown in **Fig. 1**). A rotated pole configuration provided approximately equal spacing between grid points towards 90 °N. The LAM contained 500 × 500 grid boxes, spanning from 83.25 °N to 90 °N centred on the 30 °E meridian. In the vertical, there were 70 vertical levels up to 40 km, with 24 levels within the lowest 2 km of the domain (**Grosvenor et al., 2017**). Lateral boundary conditions were generated hourly from UM global model 36-hour forecasts at N768 resolution (corresponding to approximately 17 km at 90 °N with the rotated pole) using the Global Atmosphere 6.1 configuration (**Walters et al., 2017**; **Table 1**). Three configurations of the UM LAM were tested for the main body of this study, each using different combinations of cloud microphysics and large-scale cloud schemes. Each simulation uses the same boundary layer scheme, where mixing in the vertical is described by Lock et al. (2000); however, one must note that turbulent interactions can be influenced by the relationship between cloud top radiative cooling and subsequent convective overturning with cloud microphysics. Details on the pertinent microphysical processes represented in each simulation are listed in **Table 2**.

2.3.2.1 Regional Atmosphere model configurations (UM_RA2M and UM_RA2T)

Version 2 of the Regional Atmosphere model within the UM framework has two standard configurations: the mid-latitude configuration (UM_RA2M) and the tropical configuration (UM_RA2T). Both are used operationally in their respective geographical regions. The key difference between these configurations can be found in their turbulent mixing processes: UM_RA2M employs weak turbulent mixing to encourage heterogeneity in model fields to facilitate the triggering of small convective showers; however, while this weak mixing works well to reproduce conditions often experienced in the mid-latitudes, it triggers convection too early in the tropics. Therefore, these two standard Regional Atmosphere configurations were designed separately to account for these subtle differences in convection initiation on km-scales (Bush et al., 2020).

210 Neither configuration has been previously evaluated for use in the Arctic. Note that both UM_RA2M and UM_RA2T use the default Regional Atmosphere surface albedo thresholds, giving a 50% albedo at an ice surface temperature of 0 °C and

increasing to 80% at -10 °C. **Gilbert et al. (2020)** tested both configurations for polar cloud modelling over the Antarctic Peninsula, finding that the mid-latitude scheme performs better than the tropical configuration for capturing polar cloud liquid water properties and associated radiative interactions (with the surface albedo modelled to within 2% of observed values), whereas the tropical scheme enabled a too-efficient ice phase (and associated liquid depletion).

Both UM_RA2M and UM_RA2T include the **Wilson and Ballard (1999)** description of large-scale precipitation to simulate resolved cloud microphysics. This microphysics scheme describes prognostic liquid and ice mixing ratios (q_{liq} and q_{ice} , respectively), with an assumed fixed N_d profile calculated from an aerosol climatology and tapered to 50 cm⁻³ towards the surface (between 150 m and 50 m). A single ice species (encapsulating pristine crystals, aggregates, and snow particles) is represented, with an assumed particle size distribution based on **Field et al. (2007)**.

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UM_RA2M uses the **Smith (1990)** large-scale cloud scheme to parameterise sub-grid-scale fluctuations in humidity and cloud, designed to ensure coarse grid GCMs do not have entirely cloudy grid boxes. *IWC* is fixed for a given temperature and only the total cloud fraction is represented. Smith (1990)_r diagnoses cloud fraction and condensate variables for input to the microphysics scheme, referencing a prescribed RH_{crit} profile (based on a symmetric triangular probability density function of sub-grid-scale variability in temperature and moisture) to permit condensation below 100 % humidity (**Wilson et al., 2008**). Condensation cannot occur within a grid box until the grid box mean *RH* exceeds RH_{crit} (described in **Table 2**).

In UM_RA2T, the prognostic cloud fraction and prognostic condensate (PC2; Wilson et al., 2008) large-scale cloud scheme is used, designed to address the over-sensitive diagnostic links between cloud fraction and cloud condensate in Smith (1990). Total, liquid, and ice cloud fractions are included as prognostic variables in PC2; ice cloud fraction is calculated from a prognostic ice mass mixing ratio, with a distribution of *IWC* values possible for the same cloud fraction. Cloud fractions and condensate canvary through other interactions (such as BL processes and cloud microphysics) and are not simply diagnosed from temperature and humidity as in Smith (1990) (Wilson et al., 2008). PC2 prognostic variables are advected by the wind and continually updated following incremented sources and sinks in the model, with the additional inclusion of sub-grid-scale turbulent production of liquid in mixed-phase cloud from an analytical model of sub-grid-scale moisture variability (Furtado et al., 2016). Differences between the methods of representing cloud fraction in the PC2 and Smith schemes are detailed in the Supporting Information.

2.3.2.2 Regional Atmosphere with Cloud-Aerosol Interactive Microphysics scheme (UM_CASIM-100)

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UM_CASIM-100 uses the CASIM scheme (detailed by **Hill et al., 2015**) coupled with the **Smith (1990)** large-scale cloud scheme (as in **Grosvenor et al., 2017**). **Stevens et al. (2017)** previously tested the CASIM scheme within the UM nesting suite in an Arctic cloud case study, showing that it performed well in capturing cloud dissipation; however, the authors did not include sub-grid-scale contributions from **Smith (1990)** in that study.

CASIM utilises prescribed lognormal aerosol distributions to provide a double-moment representation of cloud particle processes and is the only double-moment setup included in this study. Particle size distributions of five hydrometeors (liquid droplets, ice, snow, graupel, and rain) are each described by a gamma distribution, with prognostic mass mixing ratios and number concentrations. Ice number concentrations are diagnosed via a temperature-number concentration parameterisation

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(Cooper, 1986), but require liquid to be present before ice can form; a relationship thought to be important in Arctic mixedphase clouds (e.g., de Boer et al., 2011; Young et al., 2017). Droplet activation follows Abdul-Razzak and Ghan (2000), referencing a fixed soluble accumulation mode aerosol number concentration profile of 100 cm⁻³. This profile was approximated based on aerosol concentration profiles previously measured during summertime in the central Arctic (Kupiszewski et al., 2013).

CASIM offers user flexibility regarding aerosol processing, as described by **Miltenberger et al.**, (2018). Here we do not impose wet-scavenging processes, likely important for capturing cloud-free conditions, for consistency with the simpler single-moment liquid microphysics schemes used in the other simulations; however, use of this option will be explored in future work.

For our CASIM simulation, we adapt the warm ice temperature albedo of the LAM to 72% (at 0 °C), with 80% albedo achieved at -2 °C, to match the parameterisation limits currently used in the JULES (*Joint UK Land Environment Simulator*) surface scheme of the Global Atmosphere 6.0 global model (under the assumption that snow is present on the sea ice surface). For the drift period, we know that snow was indeed present on the surface from first-hand knowledge and surface imagery; therefore, we use this simulation to test the effect of such an increased albedo at warmer surface ice temperatures on the modelled surface energy balance. An example simulation utilising the CASIM scheme with the default Regional Atmosphere albedo settings used in UM_RA2M and UM_RA2T, to demonstrate the radiative impact of CASIM alone, is described in the **Supporting Information (Sect. S2)**.

2.4 Comparison methodology and compared parameters

 C_V , q_{liq} , and q_{ice} from each model simulation were ingested by Cloudnet to calculate *LWC* and *IWC*. Within these calculations, Cloudnet filters model data for values outside the range observable by the instrumentation used; for example, q_{ice} data are filtered for values which would be beyond the observable range of the radar.

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We use an additional metric alongside C_V based on total condensate for comparisons between our measured and modelled clouds; a total water content (*TWC*) mask where the grid-box is considered cloudy; this mask is set to 1, when $TWC \ge 1 \times 10^{-6}$ kg m⁻³ below 1 km, and $TWC \ge 1 \times 10^{-7}$ kg m⁻³ above 4 km, with vertical interpolation in between (following **Tjernström et al., 2021; Fig. S3**). While this mask will not capture fractional cloud at cloud boundaries, averages of this mask are directly comparable between the observations and models. It acts as a comparison metric based solely on cloud water contents, which are prognostic in every simulation, and does not depend on a specific definition of e.g., cloud fraction.

In addition to a full overview of model performance over the drift, we further split our data into sub periods to aid our interpretation of the comparisons between the measurements and models. The sea ice melt/freeze transition was captured by the measurements; **Vüllers et al. (2021)** identified the sea ice freeze onset date as 28 Aug and defined sub-periods throughout the drift based on consistent meteorology (see **Fig. 2g**). We concentrate on the sea ice melt and freeze periods separately and on shorter episodes within these periods; one during the sea ice melt (14—18 Aug) and one during the freeze (4—8 Sept).

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3.1 Surface radiation

Figure 2 shows measured and modelled time series of net surface shortwave (SW_{net}) radiation, LW_{net} , and the combined surface net radiation (Rnet) during the AO2018 drift period. All radiative quantities are defined as positive downwards.

All models overestimate SW_{net} (Fig. 2a) with respect to measurements, with ECMWF_IFS and UM_CASIM-100 in better agreement with observations than UM_RA2M and UM_RA2T. From Fig 2d, all simulations fail to capture strong longwave net emission likely related to cloud-free episodes (e.g., 20-21 Aug) and sporadically predict such cloud-free conditions (and net longwave emission) when clouds were observed (e.g., 2 Sep).

Considering the melt and freeze periods separately, the measured R_{net} is often negative after 28 Aug (Fig. 2g) driven by LW_{net} , while SWnet decreases with the declining solar elevation angle (Fig. 2a). In contrast, the models' net radiation is not typically negative until after 8-9 Sep, excluding a short negative period at 2 Sep driven by the lack of modelled cloud (as suggested by strong net longwave emission; Fig. 2d). This delay would likely affect the freeze onset if the models were fully coupled to a sea ice model; as such, this feedback may be active within the (simple) coupled atmosphere-sea ice system of the IFS.

Probability Density Functions (PDFs) of these data, split between melt and freeze periods (Fig. 2b-c, e-f, h-i), reveal some clear distinctions in model capability. SWnet PDFs vary substantially between the models during the melt period (Fig. 2b); no simulation captures the observation distribution well. Observed SW_{net} from the ship has a median of +18.2 W m⁻², with each simulation producing medians at greater values (UM_CASIM-100 = +18.7 W m⁻²; ECMWF_IFS = +21.6 W m⁻²; UM_RA2M = +40.5 W m⁻²; and UM_RA2T = +41.9 W m⁻²). While the medians for UM_CASIM-100 and ECMWF_IFS are in good agreement with observations, both exhibit a too-narrow distribution. These too-narrow distributions - which also all lack a very high positive tail - suggest that the modelled cloud cover is too consistent, likely related to the lack of cloud-free episodes indicated by the LWnet data (Fig. 2d). Median SWnet of both the UM_RA2T and UM_RA2M PDFs is much too high, with non-negligible occurrences > +50 W m². The improvement of UM_CASIM-100 over UM_RA2T and UM_RA2M indicates that the surface albedo used by default in the Regional Atmosphere configurations is too low and the updated cloud physics description of CASIM improves the modelled cloud-radiation interactions. A trial simulation utilising the cloud physics setup of UM_CASIM-100 alongside the default Regional Atmosphere surface albedo parameterisation inputs (as used in UM_RA2M and UM_RA2T) shows that the double-moment cloud physics representation alone does improve radiative properties with comparison to the standard configurations (see Supporting Information); however, the combination of improved cloudradiation interactions and an updated surface albedo (as shown here in UM_CASIM-100) provides the best agreement between the UM and our observations.

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During the freeze period, measurement estimates of SWnet peak at +7.9 W m⁻², while ECMWF_IFS, UM_CASIM-100, UM_RA2M, and UM_RA2T have maxima at +10.0, +10.4, +25.0, and +26.6 W m⁻² respectively (Fig. 2c). The peak modelled SWnet remains too high in all simulations but, in contrast to the melt period, all PDFs are now too broad. ECMWF_IFS and UM_CASIM-100 perform best with comparison to observations (both with a positive bias of less than +3 W m² at their peaks). However, both UM_RA2M and UM_RA2T have a broad bimodal structure, with the secondary peak in better agreement with Deleted: both

the observations than their maxima. Both UM_RA2T and UM_RA2M are largely in better agreement with observations during the freeze period than during the melt; this improved agreement is likely due to either a better representation of incoming shortwave radiation or the surface albedo; the surface temperatures decreases through the transition to sea ice freezing conditions, and Fig. S4 indeed shows that the albedo modelled during the freeze for UM_RA2M and UM_RA2T is in better agreement with observational estimates than that modelled during the melt period.

320 During the melt period, LWnet aligns well between the measurements and models; however, all simulations produce a narrower PDF than the observations and largely miss the tail ≤ -20 W m⁻² (Fig. 2e) resulting from observed cloud-free episodes at 15-16 Aug, 20 Aug, 22 Aug, and 26 Aug (Fig. 2d). Despite this, each simulation performs well in replicating the median of the PDF, with a maximum model-observation difference of -1.9 W m⁻² (UM_RA2T). As with SW_{net}, model-observation agreement generally improves during the freeze period, with UM_RA2M, UM_RA2T, and ECMWF_IFS producing PDFs closely matching the shape of the observed PDF, with median values at -9.4, -11.5, and -6.8 W m⁻² respectively compared with an observation peak of -6.5 W m⁻² from the ship estimates. Each of these cases manage to reproduce the negative distribution tail missed by all simulations during the melt (Fig. 2f). UM CASIM-100 displays a narrower distribution with fewer negative values, yet still performs well in reproducing the median of the LW_{net} PDF (with a bias of -5.5 W m⁻²). With the exception of the too-narrow UM_CASIM-100 PDF, this improved agreement in LWnet indicates that cloud cover is indeed 330 captured better by the models during the freeze, and remaining discrepancies in the SW_{net} comparisons may indeed be related more so to cloud microphysical structure or surface properties.

To investigate this relationship in more detail, we split our radiation data into periods of consistent meteorology, indicated on Fig. 2g, based on similarity of equivalent potential temperature and relative humidity profiles measured as defined in Vüllers et al., (2021). In agreement with Fig. 2, model SW_{net} and downwelling shortwave radiation (SW_1) biases are at their greatest during period 3 (Table 3).

Each of these simulations highlight that small SW_4 biases do not necessarily produce similarly small SW_{net} biases, as both the modelled cloud properties and surface albedo need to be representative to remedy the SWnet discrepancies. In UM RA2T and UM_RA2M, the surface albedo is poorly captured, as indicated by the consistently high SWnet biases; however, ECMWF_IFS and UM CASIM-100 perform better in terms of surface albedo, with UM CASIM-100 performing the best with the smallest SWnet biases across the four sub-periods considered. Further discussion of the surface albedo comparison is included in the Supporting Information.

All simulations exhibit their greatest LWnet, biases during period 3 (Table 3); less cloud cover was observed during this period with relation to other periods during the drift (Vüllers et al., 2021). LW_{net} biases do not exceed +5.5 W m⁻² over periods 4 6.6; however, biases are greater (up to +16.3 W m⁻² during period 3; Table 3) due to the models' inability to reproduce cloud-free conditions.

Combining these radiative components, we find that R_{net} is overestimated by all simulations during the melt (with UM_CASIM-100 and ECMWF_IFS performing better than UM_RA2M and UM_RA2T; Fig. 2h), largely driven by too much surface SW_{net} modelled when cloud is present in reality, thus indicating that the model surface albedo is too low and thus does not reflect enough SW_1 . On the other hand, there are also non-negligible occurrences of too much modelled cloud when the

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Deleted: All simulations similarly exhibit their greatest LWnet biases during period 3 (Table 3); less cloud cover was observed during this period with relation to other periods during the drift (Vüllers et al., 2021). Both UM_RA2T and UM RA2M perform best in terms of SW1 during period 3; however, both perform particularly poorly in SWnet, indicating that their surface albedo is not representative of observations. During period 4, UM CASIM-100 performs very well in terms of these SW1 biases, suggesting the modelled cloud structure was in good agreement with observations. However, ECMWF IFS exhibits the smallest SW_{\downarrow} biases of the four simulations during periods 5 and 6; periods when the sea ice was beginning to freeze.

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conditions should be cloud-free, driving strong LW_{net} biases at these times. While agreement with observations largely improves during the freeze period, these discrepancies still exist in the SW_{net} data. While the SW_{net} biases may be strongly influenced by errors in the surface albedo, and thus beyond the scope of this study, the role of cloud structure in SW_4 biases and the LW_{net} emission episodes missed by each simulation are driven by the description of cloud: in the following sections, we investigate the cloud macro- and microphysical structure to explain these radiative differences.

3.2 Cloud properties

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To evaluate model performance, we use two metrics for cloud occurrence: the model diagnosed cloud fraction, C_{ν} , and the cloud occurrence inferred from cloud water contents, the *TWC* cloud mask. **Figure 3** shows *TWC* and cloud fraction, C_{ν} , calculated from observations using Cloudnet and output by models. *TWC* comparisons indicate that each simulation captures the observed cloud aloft, except for UM_CASIM-100 between 4 and 10 Sep. Below 3 km, observed *TWC* is generally lower in magnitude than the model simulations.

In contrast, all simulations except UM_RA2T fail to reproduce the observed C_V aloft. Low-altitude (below 2 km) cloud cover appears to be captured comparatively better across all simulations. Cloud height simulated by ECMWF_IFS is in reasonable agreement with the observations; however, there are notable periods where the persistence of clouds aloft is not reproduced. For example, the altitude and timing of onset of the (likely precipitating) high clouds at 3_4 Sep is initially captured, but the clouds are not sustained. Cloud layers aloft appear more tenuous also in UM_RA2M and UM_CASIM-100 than in the observations: there are few cases of cloud fractions > 0.5 at altitudes above 3 km.

Figure 4a shows mean profiles of C_V over the drift period. Only periods where we have measurement data are included in these profiles for fair comparison. Note that cloud fraction below 0.15 km is not evaluated against observations here due to low-altitude measurement limit of the cloud radar. Supporting qualitative interpretation of **Fig. 3**, model-observation agreement of C_V is best at low altitude (below 1 km); however, all simulations produce too much very low (between 0.15 and 0.5 km) cloud. Modelled near-surface C_V (between 0.15 and 0.5 km) is up to 16% too high (UM_RA2T). However, we can speculate that the frequent fog episodes reported during the ice drift (**Vüllers et al., 2021**) may be somewhat captured by the models, as indicated by mean values of C_V below 0.15 km of 82%, 72%, 53%, and 39% respectively for UM_RA2T, UM_RA2M, UM_CASIM-100, and ECMWF_IFS. All simulations except UM_RA2T perform poorly aloft: ECMWF_IFS, UM_RA2M, and UM_CASIM-100 strongly underestimate C_V between 1 km and 8 km, with UM_CASIM-100 and UM_RA2M reproducing less than 20% of the observed C_V at 4.5 km. Only the UM_RA2T C_V profile agrees well at altitude, with particularly good agreement between 0.5 and 2 km___in fact, C_V between 2 km and 5.5 km agrees best with observations out of the four simulations considered.

Figure 3 highlights that the observations, UM_RA2T, and (to an extent) ECMWF_IFS have a C_V field scaling largely as either 0 or 1, whereas UM_RA2M and UM_CASIM-100 are more likely to have a fractional cloud cover aloft, thus producing a poor comparison with our observations (Fig. 4a). Despite this, qualitative model-observation comparisons of *TWC* indicate that the models are performing well. Further discussion of these differences is included in the **Supporting Information**. In summary, the Cloudnet calculation of C_V from observations is not directly equivalent to our model cloud fractions and such comparisons,

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in isolation, should be approached with caution in the Arctic. To bypass this issue, we also use a cloud mask built from TWC data to aid interpretation of our results. The observed TWC cloud mask (**Fig. 4b**) differs from the mean C_V profile, with a subtle bimodal structure peaking at approximately 0.5 and 4.5 km (with a minimum around 2 km).

All simulations overestimate cloud occurrence below 2.5 km (**Fig. 4b**), in contrast to the underestimation between 1 and 2.5 km shown in the C_V data (**Fig. 4a**). Mean observed cloud occurrence only reaches 75% between 0.15 km (lowest radar range gate) and 0.5 km, while UM_RA2M and UM_CASIM-100 have more than 98% cloud occurrence at 0.2 km. UM_RA2T performs slightly better, peaking to only 92% at 0.2 km; however, the improvement is not as significant between UM_RA2T and UM_RA2M/UM_CASIM-100 as is suggested by the mean C_V profiles (**Fig. 4a**). ECMWF_IFS peaks at a slightly higher altitude, overestimating cloud occurrence by 33% at approximately 0.5 km (**Fig. 4b**).

420 Above 2 km, each model simulation underestimates the observed cloud occurrence, in line with the C_V metric comparison. ECMWF_IFS, UM_RA2M, and UM_RA2T perform similarly; the greatest difference aloft occurs at 4.5 km, where there is a minor peak in the mean observed cloud occurrence (up to 41%; **Fig. 4b**). ECMWF_IFS produces only 28% cloud cover at this altitude. UM_CASIM-100 cloud occurrence monotonically decreases with altitude above 3.5 km, producing only 20% cloud cover at 4.5 km, in agreement with the qualitative findings of **Fig. 3**. Therefore, with the exception of UM_CASIM-100, the *TWC* cloud mask_indicates that modelled cloud occurrence aloft is, in fact, in reasonable agreement with observations, in contrast to the trends indicated by the C_V data (**Fig. 4a**). These data suggest that the C_V comparisons <u>are misleading if used in</u> isolation, likely due to the different methods for representing cloud fractions and associated sub-grid-scale variability in models (see **Supporting Information**). <u>Cloud masks constructed from cloud water contents provide a more consistent metric between</u> <u>observations and models</u>.

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Averaged in-cloud water content profiles are shown in **Fig. 4c**—**d**. Adiabatic *LWC* calculated from observations with Cloudnet is shown in **Fig. 4c**. This adiabatic assumption was used in place of the HATPRO *LWP* due to the data quality issues introduced to the latter because of the frequent occurrence of fog at altitudes below the lowest radar range gate (0.15 km; discussed further in **Appendix A**). However, we must note that this assumption likely overestimates the observed *LWC* as these clouds are likely sub-adiabatic.

The adiabatic LWC peaks between 0.5 and 1 km then decreases steadily with altitude between 1 and 3 km. All simulations

overestimate in-cloud *LWC* between 1 and 3 km; however, below 1 km, each simulation (except UM_RA2T) performs reasonably well. At 0.5 km, UM_RA2T underestimates by 47 %, while UM_CASIM-100 overestimates by just 10 % and UM_RA2M and ECMWF_IFS are in reasonable agreement with observations. UM_RA2T and UM_RA2M have bimodal distributions, with peaks below 0.5 km and around 2 km, perhaps linked to their common use of the **Wilson and Ballard (1999)** microphysics scheme. The increase in *LWC* towards the surface in UM_RA2M is suggestive of fog, and UM_RA2M is the only simulation to display this vertical structure. The mean *LWC* calculated for ECMWF_IFS does not vary greatly between 0.5 and 2 km; however, there is more spread in the data at 2 km than at lower altitudes, indicating that this may be a more dominant liquid cloud layer occurring at some time periods. Only UM_CASIM-100 displays a similar shape to the observations, yet its *LWC* is often greater than the observed *LWC* at all altitudes above 1 km. <u>Considering that we employ an adiabatic</u>

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450 <u>assumption for our observations, thereby giving an upper limit for the observed LWC, these model LWC biases are likely</u> greater in reality than shown here.

All simulations agree with the Cloudnet-calculated mean *IWC* above 4 km (**Fig. 4d**); in fact, UM_RA2M performs particularly well across the entire vertical profile. ECMWF_IFS and UM_CASIM-100 also agree well for most of the profile apart from slight overestimations below 1.5 km (though still within one standard deviation of the observed mean). UM_RA2T overestimates below 4 km, producing almost seven times the observed *IWC* (0.019 g m⁻³ versus 0.003 g m⁻³) at 0.5 km. Shaded areas, depicting \pm one standard deviation from the mean, also indicate that UM_RA2T is also more variable than the three other simulations and the measurements, consistent with previous studies showing its ice phase is more active than UM_RA2M in polar mixed-phase clouds (Gilbert et al., 2020).

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Column-integrated metrics and surface measurements provide an additional perspective for evaluating model performance with regards to clouds. Measured *LWP* and precipitation fluxes are shown alongside corresponding model diagnostics in **Fig. 5**. Cloudnet-filtered *LWP* is included in **Fig. 5a**, **b** for comparison; these data are HATPRO measurements filtered by Cloudnet for bad points (e.g., strong precipitation events). ECMWF_IFS, UM_RA2M, and UM_CASIM-100 produce *LWPs* in reasonable agreement with measurements throughout the full drift period, with the PDFs of **Fig. 5b** indicating that these *LWPs* are overestimated slightly with respect to the measurements/Cloudnet data. UM_RA2M overestimates in some periods, for example the *LWP* peak during the storm of 12 Sep is 230 g m⁻² more than measured (**Fig. 5a**). In contrast, UM_RA2T underestimates the *LWP* overall, with few occurrences of > 200 g m⁻³ (**Fig. 5b**). This underestimation of *LWC* (**Fig. 4c**) and *LWP* (**Fig. 5a**, **b**) by UM_RA2T aligns with its overestimation of *IWC* below 4 km; with too much ice in mixed-phase cloud, liquid is depleted too efficiently via the Wegener-Bergeron-Findeisen mechanism.

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Each simulation broadly captures the notable precipitation events measured (Fig. 5c—d). UM_CASIM-100 and UM_RA2T reproduce the measured total precipitation flux well and capture the short episodes where more precipitation was observed at 22 Aug, 3 Sep, and 12 Sep. ECMWF_IFS and UM_RA2M also capture some precipitation events; however, the magnitude of these events is best reproduced by UM_CASIM-100. No simulation reproduces the precipitation intensity measured at 8 Sep. While the key precipitation events are largely captured by the models, with each model producing precipitation as predominantly snow rather than rain, the precipitation rates simulated are low and likely contribute to the lack of cloud-free periods as indicated by the LW_{net} comparisons shown previously (Fig. 2d, e, f).

These results therefore indicate that the modelled microphysical structure is positively biased in terms of cloud liquid with respect to observations (**Figs. 4c, d**—**5**). There is a consistent model-observation bias, with all simulations producing too much cloud (**Fig. 4a, b**) below 2.5 km. In ECMWF_IFS, UM_RA2M, and UM_CASIM-100, this cloud contains too much liquid (as indicated by positive biases in *LWC* and *LWP*). Only UM_RA2T underestimates the cloud liquid properties due to its active ice phase. **Figure 6** links the radiation, *LWP*, and *C_V* biases of our four model simulations with respect to observations. *C_V* biases are calculated as the model-observation bias <u>between 0.15 and 3 km</u>. Here, *C_V* is used in place of the *TWC* cloud mask as the latter is calculated from in-cloud *LWC* and is therefore not strictly independent of *LWP*. Positive *C_V* biases often coincide with positive *LWP* biases, negative *SW*₁ biases (**Fig. 6a, d, g, j**), and positive *LW*₁ biases (**Fig. 6b, e, h, k**), and vice versa, indicating that too much cloud cover, and too much cloud liquid water, is tied to the radiative biases shown. The correlation,

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with LWP bias is weaker for R_{net} than for SW_1 or LW_1 , likely due to the additional influence of other factors (e.g., surface albedo) on the net radiative properties.

3.2.1 Influence of CCN concentration

500 Each simulation overestimates cloud occurrence below 2.5 km and struggles to maintain cloud-free conditions, problems previously identified for earlier versions of these models. Both Sotiropoulou et al. (2016) and Birch et al. (2012) commented on the need for variable, representative cloud nuclei concentrations in the IFS and the UM to enable cloud-free periods to be captured. A fixed accumulation-mode aerosol number and mass concentration profile was used in UM_CASIM-100; however, such consistency with altitude is unlikely to occur in reality. While the concentration chosen was based on previous measurements in the Arctic (Kupiszewski et al., 2013), aerosol number concentrations are typically very low and heterogeneous within the BL during the Arctic summer (Mauritsen et al., 2011; Tjernström et al., 2014) while long-range transport provides comparatively greater, more homogeneous concentrations aloft.

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output from the UK Chemistry and Aerosol (UKCA; **Morgenstern et al., 2009**; **O'Connor et al., 2014**) global model. Details on the UKCA model configuration used to obtain these aerosol data are included in the **Supporting Information**. Using representative aerosol profiles as input to the CASIM scheme (with lower CCN concentrations within the lower troposphere and greater concentrations within the free troposphere; denoted UM_CASIM-AeroProf) affects the SW_1 as expected via the associated influence on N_d and q_{tiq} (**Fig.** 7). <u>UM_CASIM-AeroProf has a mean accumulation mode number concentration of 18.5 ± 11.4 cm⁻³ below 500 m which, with comparison to the 100 cm⁻³ specified for UM_CASIM-100, is more appropriate for the region. As a result, Jow altitude (below 1 km) clouds have a significantly lower N_d than in UM_CASIM-100; UM_CASIM-AeroProf has a mean N_d of 20.9 \pm 15.9 cm⁻³ below 500 m, compared with 101.0 \pm 40.2 cm⁻³ in this altitude range for UM_CASIM-100, Such a low N_d is expected from periodic episodes of low CCN in the Arctic BL (Mauritsen et al., 2011); cloud residual concentrations of up to 10 cm⁻³ were measured on board *Oden* during the AO2018 expedition (**Baccarnini et al., 2021**).</u>

An additional simulation with the CASIM scheme was tested, using a more representative CCN vertical profile guided by

520 However, despite the differences in N_d between these two CASIM simulations, q_{liq} does not differ much as the simulated clouds are not heavily precipitating (and thus cloud lifetime is largely unaffected), This similarity is also displayed in the diagnosed cloud fractions, related to the comparatively unaffected q_{liq} . Despite the consistency in cloud fractions and q_{liq} , the cloud albedo is subtly lowered (as fewer CCN are available) in UM_CASIM-AeroProf, as shown by the SW_1 comparisons in Fig. 7a—b.

3.3 Thermodynamic structure

Differences between modelled and observed cloud properties are likely related to the thermodynamic structure of the atmosphere and how well this is modelled. Figure 8 shows temperature (T) and water vapour specific humidity (q) from radiosondes and anomalies of each simulation with respect to these measurements. Specific humidity is considered here as a relative humidity comparison would require a calculation involving temperature: Tjernström et al. (2021) note that the errors in temperature and humidity compensate to produce a < $\pm3\%$ error in relative humidity (for work with the IFS operational

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analyses comparing also with measurements from this campaign). This error was found to be positive below 1 km and negative around 3-5 km, and the magnitude of these errors are within the measurement accuracy,

Each simulation is typically too cold with respect to observations at altitudes just above the main inversion (left column; Fig. 8): this anomaly is a consistent feature throughout the drift period and across models, however it is most prominent at the beginning of the drift. These trends indicate that the altitude of the modelled temperature inversion capping the BL is too high, likely driven by too much BL mixing and the associated too-deep cloud layers modelled in each simulation (Fig. 4b). Below the observed inversion, the simulations are typically warmer than measured; for example, at 18 Aug all UM simulations have a strong bias (> 3 K) below the observed main inversion, with ECMWF_IFS exhibiting a similar, but smaller, bias. Above approximately 3 km the T biases are typically smaller in magnitude and variable in sign. All UM simulations display similar differences with respect to the radiosonde measurements; for example, each UM simulation exhibits a strong T bias up to 4.4 K at 6.5 km during 9 Sep.

q biases are typically small throughout much of the atmospheric column (right column; Fig. 8), with some instances of larger biases. These stronger biases are not confined to the lowest 3 km as with the temperature data. Radiosonde humidity data up to 22 Aug are variable aloft, and this variability affects the biases calculated over this period. However, a strong moisture bias of > 0.90 g kg¹ is evident between 2 km and 4 km over 20–22 Aug in all UM simulations. Similarly, the dry bias (of up to 1.86 gkg¹/₂) across the UM simulations from 2–4 Sep is notable and is also present, to a lesser extent, in ECMWF IFS (up to 0.82 <u>g kg-1</u>).

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When these data are simplified into median profiles (Fig. 9), the characteristic biases exhibited by the models become clearer. Figure 9(a, c) shows that the T biases are small above 4 km, with all UM simulations exhibiting a slight warm bias and ECMWF IFS exhibiting a slight cold bias. Similarly, moisture biases are negligible above 4 km in all simulations (Fig. 9b, d). However, below 4 km strong biases emerge.

From the surface up to 0.5 km, there is a decreasing positive T bias in all simulations. However, the positive surface T bias is reduced during the freeze period for UM_RA2M and UM_RA2T (from +0.28/+0.31 K to +0.20/+0.14 K, respectively) while it intensifies from +0.52 K (+0.46 K) to +0.90 K (+0.56 K) for ECMWF IFS (UM CASIM-100) (Fig. 9c).

During the melt period, all simulations underestimate the temperature between 1 and 3 km, yet there is a clear bimodal structure evident in each profile with secondary negative peaks at lower altitudes (Fig. 9a). ECMWF_IFS remains too cold across a deeper layer than the UM simulations, between 0.4 and 3 km. Both the IFS and the UM exhibit strong (up to -1.54 K) biases at 1.75 km. The negative T bias layers at lower altitudes differ in height between the models, with ECMWF IFS reaching -0.94 K at 0.85 km while the UM simulations exhibit negligible positive biases at this height. The secondary peak in the UM simulations is in fact lower in altitude, at 0.4—0.5 km. T biases are smaller than during the melt period, reaching up to -1.06K (ECMWF IFS) between 0.65 km and 1 km, and the negative bias peak at 2 km seen previously is no longer present (Fig. 9c).

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Similarly, each simulation exhibits a positive q bias towards the surface. These biases change little between the melt and freeze periods (Fig. 9b, d); ECMWF_IFS produces the greatest bias in both periods (+0.31 g m⁻³ during both the melt and freeze), Deleted: The altitude of the main inversion base identified from the radiosondes is shown in black

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while UM RA2T produce the lowest (+0.24 g m⁻³ and +0.10 g m⁻³ during the melt and freeze, respectively). ECMWF IFS is too dry, as well as too cold, between 0.5 and 4 km, while the UM simulations are typically too moist (though variable; Fig. 9b).

There is less variability in the q biases during the freeze period. The UM simulations in particular exhibit only small q biases above 0.5 km (Fig. 9d). ECMWF IFS performs well above 2 km; however, similar to trends identified during the melt, it is again too dry between 0.5 and 2km.

Figure 10 similarly shows the median T and q biases modelled by UM_CASIM-100 and UM_CASIM-AeroProf over the whole AO2018 drift period. Even though the clouds are likely more representative of the high Arctic environment in UM CASIM-AeroProf than UM_CASIM-100, the thermodynamic biases are largely unchanged from the approximated aerosol input of UM_CASIM-100. A minor reduction in q_{lia} between approximately 500 m and 1.5 km in UM_CASIM-AeroProf is reflected in the thermodynamic biases exhibited by these two simulations - UM_CASIM-100 has a stronger negative temperature bias at 500 m than UM_CASIM-AeroProf, and a warmer BL towards the surface, likely caused by the warming effect from an overestimated cloud LWC and amount of cloud cover. We speculate that these biases would perhaps differ more so if the modelled clouds were precipitating strongly in either simulation, thus affecting q_{liq} and cloud lifetime.

However, considering each of the UM LAM configurations shown here, there is little variability in their thermodynamic biases despite the differences in their representation of aerosol inputs, cloud microphysics, and large-scale cloud scheme. Interestingly, these biases are shared by the UM global model (UM_GLM, shown in grey; Fig. 9) used to generate lateral boundary conditions for each LAM. UM_GLM exhibits similar biases as its high-resolution LAM counterparts, suggesting that these thermodynamic biases are sourced from the driving model itself.

3.3.1 Influence of the UM driving model

hourly from the global model.

To investigate how the large-scale forcing is influencing the UM biases, an additional test was performed over a subset of the drift (31 Aug to 5 Sep) using ERA-Interim to initialise the UM global model (labelled UM_RA2M-ERAI-GLM; Fig 11). This test was designed to evaluate whether the initial conditions of the global driving model, and therefore the associated data assimilation (DA) systems used to derive the operational analyses used for its initialisation, are responsible for the LAM thermodynamic biases we have found in this study. For this test, we used the UM_RA2M configuration for the LAM, and all global model physics options remained the same as in previous simulations (as described in Table 1); the only difference was

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in the initial conditions of the global model. As with the other UM LAM simulations, lateral boundary conditions are generated

We find that UM_RA2M-ERAI-GLM exhibits T biases following ECMWF_IFS between the surface and 3 km, inheriting the ECMWF IFS near-surface temperature bias discussed previously (Fig. 11a). Over this short time period, the UM simulations do not have this bias. Above 3 km, UM_RA2M-ERAI-GLM follows UM_RA2M and UM_GLM, exhibiting a slight warm bias (0.45 K at 5.5 km) in contrast to the cold bias of ECMWF IFS (-0.65 K at the same altitude). UM RA2M-ERAI-GLM q biases track the ECMWF_IFS biases below 1 km and between 2.5 and 9 km, with clearer alignment with UM_GLM and Deleted: much

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UM_RA2M between 1 and 1.5 km. In particular, there is a shift towards a stronger (positive) moisture bias towards the surface when the driving model is initialised with ERA-Interim.

These results confirm that the UM LAM biases within the lower atmosphere shown in **Figs. 8** and **9** are driven by biases in the large-scale forcing from the global model, which is likely a combined result of the model physics and the DA used to produce the operational analyses. Given that the Arctic lacks good in-situ observational data coverage, DA systems still rely heavily on their model components when creating the analysis products used for model initialisation. The comparatively comprehensive spatial coverage from satellites does not compensate for good in-situ observations from radiosoundings and does little to correct a biased model DA input (Naaka et al., 2019). Therefore, improved in-situ data coverage may improve these DA system biases, and thus global model initial conditions. In the meantime, a different LAM configuration, with a larger nested domain with lateral boundaries further from the science region of interest may break the relationship between global model and LAM biases shown here.

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3.4 Links between cloud properties and thermodynamic biases

To better understand how the model thermodynamic biases relate to cloud properties in each simulation, we split our drift period further into four subsections – periods 3 to 6, as illustrated in **Figs. 2 and 8** – to study periods of consistent meteorology. Mean equivalent potential temperature (θ_e) and q profiles measured by radiosondes during these periods are shown in **Fig. 12**. Of the four periods considered, period 3 had cloud-free conditions most often and the clouds which were present most typically occurred in a single layer, Periods 5 and 6 were similar; both were cloudy and influenced synoptically by three different lowpressure systems over their duration (<u>Vüllers et al., 2021</u>).

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Cloud properties and thermodynamic biases during periods 3 and 6 are shown in **Fig. 13** (with similar analysis for periods 4 and 5 included as **Fig. S7**). As mentioned previously, mean observed cloud occurrence was lower for period 3 than in any other period during the drift. All simulations overestimate the *TWC* cloud mask below 2 km, with each UM case producing a bimodal mean profile peaking below 0.5 km and at 1.8 km (**Fig. 13a**). Such bimodality is less clear with ECMWF_IFS; it exhibits a lower layer with cloud top at 1 km and a more prominent_secondary layer at 1.6 km, although the separation of these layers is not as distinct as in the UM cases. The secondary layer at 1.6 km has a greater *LWC* than the lower layer, with a peak of 0.14 g m⁻³ (**Fig. 13b**). The bimodal cloud structure is also liquid dominated in the UM simulations, where both peaks reach around 0.1 g m⁻³ (and even exceed this magnitude in the 1.8 km layer), across all three configurations.

Considering the corresponding median *T* biases (Fig. 13d), there are clear correlations between negative biases and modelled cloud height, suggesting that cloud top *LW* cooling is a contributing source of these biases. The lower layer (0.75 km) bias in ECMWF_IFS is particularly striking, reaching -4.45 K, and corresponds with the top of a large fraction of liquid-dominated cloud (Fig. 13a, b). The mean *LWC* modelled at this altitude is over three times greater than was observed, with cloud frequency overestimated by 73%. *q* biases (Fig. 13e) are negligible for ECMWF_IFS between 0.75 and 1 km, yet positive below and above this altitude range. The coinciding overestimation of cloud at these heights indicates that the IFS has simulated too much condensation, driven by the availability of too much moisture. Similarly, all UM simulations exhibit a moist bias between 0.5 and 1.6 km, between the modelled cloud layers, and exhibit small dry biases where too much cloud is modelled (e.g., 0.5 km). These results indicate that both models have an excess of water vapour, particularly below 3 km, where negligible/dry biases 17 of 53

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with comparison to observations are in fact an artefact of too much condensation and resulting cloud cover. This excessive cloud cover, on the other hand, has a negative effect on the temperature bias profile, resulting in strong cold biases.

670 The models are in good agreement with the observed *LWC* during period 6, with the exception of UM_CASIM-100 which produces double the observed *LWC* at 0.7 km (Fig. 13g). In particular, ECMWF_IFS performs well below 2.5 km in terms of *LWC*, *IWC*, and cloud occurrence, with the largest difference in the latter occurring at approximately 0.7 km (100% in ECMWF_IFS in comparison to 79% observed). Consequently, the *T* biases are smaller during period 6 than period 3 for ECMWF_IFS. However, these *T* biases are still present (Fig. 13i), peaking at -0.96 K at 0.65 km, likely caused by this minor overestimation in cloud cover, albeit with representative microphysics.

The magnitude of the *T* biases for the UM simulations is similar between both periods, likely caused by this model producing up to 100 % cloud cover at low altitude. All UM simulations exhibit stronger *T* and *q* biases below 1 km than ECMWF_IFS during period 6 (**Fig. 13i—j**). Strong negative *T* biases accompany the overestimation of cloud cover in each UM case, and the improved model-observation agreement of *LWC* by UM_RA2M and UM_RA2T does little to alleviate these biases with comparison to the overestimated *LWC* of UM_CASIM-100. Simply, there is too much low-altitude (below 1 km) cloud causing too much cloud-top radiative cooling in the model, no matter which representation of cloud microphysics or large-scale cloud is used.

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However, while the q biases were negligible when ECMWF_IFS exhibited particularly strong T biases during period 3, q biases for the UM become notably negative for the similarly strong cold bias during period 6; this is the largest dry bias simulated over the four periods considered (with periods 4 and 5 included in the **Supporting Information**). The surface q bias for the UM simulations is smaller during period 6 than during period 3, and the tropospheric q bias is positive less often, suggesting the positive moisture bias hypothesised previously (leading to too much condensation and cloud cover) is not ubiquitous in the model. In fact, results shown in **Fig. 13**, and **Fig. S7** for periods 4 and 5, suggest that either the increased synoptic activity or freezing sea ice conditions (or both) of periods 5 and 6 acts to reduce this moist bias in the UM.

690 In summary, both models exhibit strong negative *T* biases at altitudes coinciding with too much liquid-dominated cloud (e.g., Fig. 13a, b, d), likely caused by the consequent enhancement of cloud-top radiative cooling and subsequent feedback on low-altitude cloudiness. *q* biases improve where cloud is modelled during the melt period (Fig. 13a, e), suggesting that the *q* field was perhaps too moist below 3 km to begin with, leading to too much condensation and excessive cloud cover. However, this hypothesis does not appear to be valid during the freeze nor at altitude, as indicated by the negative *q* biases above 2.5 km which occur where more cloud was observed than modelled: for example, 2.5 to 4 km during period 3 for all simulations (Fig. 13a, e), or 2.5 to 3.5 km for the UM simulations during period 6 (Fig. 13f, j). In these instances, our models produce too little cloud as they are too dry to facilitate cloud formation. With underestimated cloud formation, the models are also slightly too warm (approximately 0.3 K) due to the missing radiative cooling occurring at these altitudes in reality.

While the model *T* biases align well with their overestimation of cloud cover, our analysis thus far does not account for the
 height of the capping inversion. Therefore, incorrect placement of cloud in the models, or a too-deep or too-shallow modelled
 BL, could be contributing to these biases and thus could affect the interpretation of our results.

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Figure 14 shows the strongest temperature inversion base identified from each model simulation and the radiosonde measurements. In each dataset, the strongest inversion below 3 km was identified (following Vüllers et al., 2021); if a weaker inversion was modelled at a lower altitude which was closer to the inversion base identified from the radiosonde, the model inversion height was adjusted accordingly. In keeping with previous analysis, radiosonde and IFS data were interpolated to the UM vertical grid for fair comparison; this procedure smooths some high-altitude detail in the radiosonde profiles, such that the strength of some higher-altitude inversions is reduced causing weaker low-altitude inversions to be identified as the primary inversion instead.

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0 These results indicate that the strongest (unadjusted) inversion in each simulation is often too high (grey points, Fig. 14), and weaker inversions at lower altitude are typically in better agreement with identified inversions from radiosondes. Low inversion bases (below approximately 0.5 km) are consistently overestimated in each simulation, particularly during the melt period (not shown), supporting our previous deduction that the model inversions were often too high. The detection algorithm does fail to capture some inversions, predominantly during the freeze period, and instead underestimates the modelled inversion base during this time window with comparison to measurements (lower right-hand points in each panel).

Modelled and observed temperature profiles were scaled using these identified inversions to remove the differences in inversion height from our interpretation of the model biases (Fig. 15). When averaged over the full drift, the models are largely biased warm below the inversion and cold above (up to 3 km; Fig. 15a), with the exception of UM_CASIM-100 which also exhibits a subtle cold bias just below the inversion. This warm below/cold above signal is more consistent between the models during
the melt period (Fig. 15b). Above the inversion, ECMWF_IFS exhibits a stronger cold bias than the UM simulations. The shape of the scaled profile is rather consistent between the melt and freeze with ECMWF_IFS; the model is consistently too warm below the inversion, and too cold above, with comparison to radiosonde measurements. However, the UM simulations, particularly UM_RA2M, are partially biased cold below the inversion detection algorithm performed less well during this time window, with several modelled inversions missed. However, these scaled *T* bias profiles support our previous hypothesis that cloud longwave cooling is producing colder thermodynamic conditions in the models than were observed, irrespective of the differences between modelled and observed inversion heights. Similarly, the warm surface bias indicated previously can be interpreted to span most of the lower troposphere below the main inversion base, rather than solely near the surface.

4 Discussion

730 4.1 Surface radiative balance

4.1.1 Shortwave

The small SW_{\downarrow} biases exhibited by the standard UM configurations concurrent with a more significant SW_{net} bias indicate that the modelled surface albedo is to low. While the observed albedo may be biased high due to its calculation from a spatially small sample of sea ice (directly surrounding the ship), the UM surface albedo parameterisation has previously been shown to be too low in the high Arctic (**Birch et al., 2009; 2012**). The temperature and albedo limits used in the standard Regional Atmosphere parameterisation have been increased since **Birch et al. (2009, 2012**); however, **Fig. 2** demonstrates that the snow-

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on-sea-ice parameterisation limits tested here with ECMWF_IFS and UM_CASIM-100, produce a better comparison with our high Arctic measurements.

4.1.2 Longwave

The root of the *LW* error in each simulation is likely the >90% liquid-dominated low cloud occurrence which is not representative of the observations (**Fig. 4b**). This problem has been previously identified in the high Arctic with both models used in this study (**Birch et al., 2012**; **Sotiropoulou et al., 2016**) and recent model improvements/microphysical changes have not sufficiently improved model performance in this regard. The positive *LW* biases are consistent with the too-warm surface *T* biases in all simulations (**Figs. 9, 10**), which is also consistent with previous findings with both models (**Birch et al., 2009**; **Sotiropoulou et al., 2016**) and with the ERA-Interim reanalysis product (**Jakobson et al., 2012**; **Wesslén et al., 2014**). **Figures 9** and **10** suggest that the UM simulations are perhaps better at capturing the near-surface *T* over the freeze, while ECMWF_IFS consistently has a warm surface bias regardless of season. The overestimation of cloud cover and *LWC*, which drives too much radiative cooling at cloud top, will contribute an excess LW_{i} flux which would act to warm the lower BL and thus contribute this warm bias. **Tjernström et al. (2021**) suggest that surface is warmed by the atmosphere in the IFS, not the opposite, as indicated by the enhanced downward sensible heat flux, in combination with diminished *SW*₁ with comparison to observations.

Given these results, we suggest that excessive cloudiness is likely a contributing factor to the warm surface bias in all simulations. In particular, it is noteworthy that UM_CASIM-100 performs most poorly of the UM simulations. This result is disappointing given the improvement of UM_CASIM-100 over the standard Regional Atmosphere configurations in both SW_4 and SW_{net} . Including CASIM aerosol processing through wet scavenging – thus enabling cloud dissipation (e.g., **Stevens et al., 2017**) – may rectify this issue, or the representation of prognostic ice nucleating particles in place of a simple diagnostic relationship between temperature and cloud ice number concentrations (e.g., **Varma et al., 2021**). These pathways will be explored in future work; however, it is highly likely that other meteorological factors (e.g., mean sea level pressure anomalies, and subsequent influence on cloud dynamics) and incorrect model processes (e.g., turbulent flux biases) are contributing to this warm surface bias across <u>all</u> our simulations, in addition to cloudiness (**Tjernström et al., 2021**).

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4.2 Lower troposphere

4.2.1 Temperature

Temperature biases are strongest within the lowest 3 km of our model domains (**Figs. 9, 13**); this is also the altitude range over which the models overestimate cloud occurrence. With too much cloud, cloud top radiative cooling likely lowers the temperature too efficiently; this, coupled with incorrect cloud positioning (e.g., period 3; **Fig 13**), gives a cold bias <u>above the observed main capping inversion</u>. Where the liquid (and ice) phase is modelled more effectively – e.g., ECMWF_IFS during period 6 (**Fig. 13**) – the associated median biases are smaller ($< \pm 1$ K), supporting this conclusion. <u>Turner et al.</u> (2018) note that the presence of cloud aloft can significantly modulate the radiative cooling response of low-level Arctic clouds – in period 6, multi-layered clouds were prevalent, thereby potentially muting the radiative impact. In period 3, however, few clouds were observed and those which were present often occurred in single layers (**Vüllers et al.**, **2021**); during this period, we found the greatest thermodynamic biases in our models with respect to our observations.

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The dipole in *T* errors shown in Figs. 8 and 9, with a positive bias towards the surface below a negative bias between 0.5 and 3 km, suggests that heat and moisture are not being sufficiently transported upwards from the surface or downwards from cooling at cloud top. This *T* bias is present in all simulations during both the melt and freeze periods and could result from the models failing to reproduce the structure of more than one strong observed inversion, instead exhibiting comparatively smooth *T* profiles. As shown by Fig. 14, the height of low-altitude *T* inversions are often overestimated by the models, particularly during the melt period (not shown): this too-deep surface mixed layer likely results in incorrect cloud placement, leading to thermodynamic model-observation biases on a 1-to-1 comparison. Our scaled thermodynamic analysis (Figs. 14, 15) indicates that, while the models are often incorrectly placing the temperature inversion (consistent with previous findings; Birch et al., 2012), the relationship between too much cloud and strong negative *T* biases suggested by Fig. 13 appears to be robust under these scaled height adjustments by the inversion base, and that the simulations are still largely too warm below the inversion.

Both Sotiropoulou et al. (2016) and Tjernström et al. (2021) found a similar vertical structure of the temperature biases with the IFS model, with positive biases within the lower 0.5 km of the atmosphere and a consistent cold bias present around 1 km. Tjernström et al. (2021) found that this cold bias intensifies with time during 3-day forecasts, indicating that it is made worse by processes within the model. They hypothesised the mid-level convection parameterisation triggering too-efficiently within the IFS could be transporting water vapour out of the BL, resulting in too much condensation to form cloud. While our UM LAM simulations do not employ such a convection scheme, the global driving model does, Given the apparent close relationship between the biases exhibited by the LAM and global model (Fig. 9, 11), we conducted a short 6-day test with the global mid-level convection scheme switched off; this test caused over an order of magnitude increase in the UM_GLM cold biases shown in Fig. 11 (not shown). More investigation into vertical transport and mixing of scalars (temperature, moisture and clouds) is needed; however, such an investigation is beyond the scope of this paper.

4.2.2 Moisture

800 During the melt period, our results indicate that the UM is particularly moist throughout much of the troposphere (Fig. 9b), suggesting that the melting ice is enabling a too-great moisture source from the surface to the atmosphere. However, this tropospheric bias appears to be rectified during the freeze, while the surface bias remains (Fig. 9d); therefore, the hypothesised melting ice source is likely not the only contributor of this moisture bias. Latent heat fluxes measured during the expedition indicate no significant change between the melt and freeze periods (not shown); therefore, the hypothesised increased moisture flux during the melt is unlikely.

Given the close relationship between our UM LAM and global model biases (Figs. 9, 11), increased poleward moisture transport introduced at the lateral boundary conditions from the mid-latitudes could partly explain these biases. This phenomenon has been previously identified to be a consequence of climate change and may promote increased cloudiness in the polar regions (e.g., Held and Soden, 2006; Vavrus et al., 2009; Allen et al., 2012; Bender et al., 2012). The moist surface bias is also present over both the melt and freeze in ECMWF_IFS; however, ECMWF_IFS is routinely too dry between 0.5 and 4 km, in contrast to the UM. Instead, the IFS traps too much moisture in the lowest 0.5 km, suggesting that the upward transport of moisture may be insufficient, the cloud sink above 0.5 km is too great, or there are consistent biases introduced via assimilation of data other than the radiosonde data (e.g., satellite).

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The moist bias exhibited by ECMWF_IFS towards the surface has previously been highlighted by **Sotiropoulou et al. (2016)**, who suggested that this problem may explain why this model struggles to reproduce humidity inversions above the BL. There are instances where negative T biases coincide with negative q biases at altitudes just above the main temperature inversion (for example, at 27 Aug; Fig. 9). Moisture inversions have often been observed during the Arctic summertime (Sedlar et al., 2012; Nygård et al., 2014); ECMWF_IFS fails to reproduce such inversions observed during AO2018. This dry bias above the observed capping inversion around 27 Aug is not as strong in the UM simulations, but the UM does successfully reproduce a small humidity inversion.

4.3 Cloud macro- and microphysics

The UM simulations have >98% cloud occurrence around 0.2 km over all four periods. Reduced SW_1 biases with respect to the standard Regional Atmosphere configurations indicate that UM_CASIM-100 does improve agreement with our high Arctic observations (**Table 3**), though there are still clear deficiencies in model capability.

The ice phase differs more between the models than the liquid phase, likely due to its strong relationship with temperature: UM_RA2M and UM_RA2T use the Fletcher (1962) parameterisation for primary ice formation, while ECMWF_IFS uses Meyers et al. (1992) and UM_CASIM-100 uses Cooper (1986). Each of these parameterisations is inherently temperaturedependent, with Meyers et al., (1992) producing the largest ice number concentration, and Fletcher (1962) producing the smallest. Given that each simulation does not reproduce the observed temperature profile well below 3 km, the onset of ice nucleation (occurring below a threshold of -10 °C in the UM, for example) will be affected. If ice production is triggered prematurely, cloud liquid properties should be dampened via the Wegener-Bergeron-Findeisen mechanism; evidence of this can be seen in UM_RA2T during period 3, where an overestimation of ice below 2 km corresponds with a smaller mean *LWC* than the other simulations (Fig. 13b—c).

When considering the drift as a whole, IWC is overestimated by all simulations (except UM_RA2M) below 1.5 km, where our T and q biases are at their greatest. To test whether the method of parameterising primary ice itself has any effect on these biases, we used the **Fletcher (1962)**, **Cooper (1986)**, and **Meyers et al. (1992)** parameterisations over a short test period within the CASIM framework; however, we found little difference in the tropospheric ice with the different parameterisation methods (**Fig. S8**). Given the spread in IWC results shown here, further investigation into the best methods to represent primary ice production in such global and NWP models should be considered in future, with specific focus on employing prognostic ice nucleating particles or a diagnostic temperature-dependent function based on Arctic measurements (Li and Wieder et al., 2022) to facilitate ice formation

Below 3 km, the mean modelled *LWC* often exceeds the observed value, (Fig. 4, 13). This overestimation of cloud liquid is also evident from the *LWP* data, with each simulation exhibiting a greater *LWP* than was measured (Fig. 5) when averaged over each period, (not shown). The exception to this is UM_RA2T; this is the only simulation which often underestimates *LWP*, due to its increased cloud ice mass within the lower troposphere in comparison to the other simulations (Fig. 4d). The mean measured *LWP* during period 3 is 122.8 g m⁻², yet UM_RA2T only produces 70.4 g m⁻². In contrast, UM_RA2T reproduces the mean measured *LWP* well during period 6 (48.5 g m⁻² measured versus 43.2 g m⁻² modelled), with agreement improving with time throughout the drift. This efficient ice-producing simulation suggests that the ice phase influences cloud properties

Deleted: In particular, the comparison between UM_CASIM-100 and UM_RA2M (which use the same large-scale cloud scheme and differ only in their representation of resolved cloud physics) shows that the new CASIM scheme reproduces the observed Arctic clouds better on a microphysical level.

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Deleted: The primary ice parameterisations used here do affect the clouds modelled – for example, with a large spread in modelled *IWC* aloft during period 3 (**Fig. 13c**) – and a more realistic representation of the ice phase would likely contribute to improved cloud liquid properties. In particular, it is likely that ice formation at warm supercooled temperatures (> -10 °C) will be of importance given the overestimated dominance of cloud liquid at low altitude in our simulations.

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as time progresses more so in reality, while the other UM cases, with less dominant ice <u>microphysics</u>, retain too much liquid in comparison to the measurements. To an extent, ECMWF_IFS also behaves in this way, retaining too much cloud liquid; however, it performs much better than UM_RA2M and UM_CASIM-100 in reproducing the mean *LWC* and *IWC* during period 6 (**Fig. 13g, h**).

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These simulations suggest that the model development community has effectively <u>reduced</u> the ice phase efficiency too much in central Arctic mixed-phase clouds. The surface *LW* balance is positively biased, and these excessive low-level clouds are a contributing factor: by enabling too much liquid to form, <u>these clouds efficiently absorb and re-emit upwelling *LW* radiation back towards the surface. Our results show that we have made great improvements in the *SW*, driven by the improvements we have made to our cloud physics representation in these models (in addition to a better estimation of the surface albedo). However, the too-consistent cloud cover coupled with too much cloud liquid is hampering our model capability, and further developments (such as the inclusion of representative CCN and INP inputs to double-moment cloud schemes to facilitate cloud dissipation) will likely go some way to tackle this issue.</u>

5 Conclusions

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Met Office UM and ECMWF IFS model performance was evaluated using observations made in the high Arctic during the Arctic Ocean 2018 expedition, with particular focus on modelled clouds and the surface radiative balance. Four key simulations were considered: a global configuration with the IFS and three nested configurations with the UM (each using different combinations of large-scale cloud and microphysics schemes but driven by the same global model setup). These four simulations were compared with observations by using Cloudnet to build model-comparable cloud fractions and water contents and thus identify consistent model weaknesses between the configurations chosen.

Modelled BLs are often too deep (Fig. 9, 14), particularly during the melt period, and thermodynamic biases, cloud occurrence, and cloud microphysics are consequently in poor agreement with observations below 3 km. Excessive low-cloud occurrence is prevalent in both models (Fig. 3) and no simulation adequately reproduced cloud-free periods and associated increases in longwave net emission (Fig. 2)_xStrong negative temperature biases (Figs. 8, 9, 13) coincide with too-frequent liquid-dominated cloud layers (Fig. 13a, b, f, g), likely associated with over productive cloud-top radiative cooling <u>and subsequent feedbacks on</u> <u>low-level cloudiness in the models</u>. Cloud liquid and ice water contents, especially below 1 km, were within an order of magnitude of the observations (Fig. 4), but clouds occurred too frequently, contained too much liquid between 1 and 3 km, and were often at too-high an altitude (Fig. 13a—c).

Radiative interactions are in better agreement with observations and all models capture the observed distribution of SW_{net} and LW_{net} better during the sea ice freeze period in comparison to the melt period (Fig. 9). Improved radiative interactions and thermodynamic biases during the freeze can be linked with improved agreement of cloud occurrence and microphysics (Fig. 13, S7). The surface albedo in each model configuration is underestimated with respect to observational estimates (see Supporting Information), but this is unsurprising given the models are representing an average albedo over a 1.5 / 9 km grid box while our observed estimates are from the area immediately surrounding the ship. Updating the surface albedo parameterisation limits used within the UM Regional Atmosphere configurations (UM_RA2M/UM_RA2T) to those used in the Global Atmosphere GA6.0/6.1 configuration (UM_CASIM-100) greatly improves our surface albedo comparison with

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observational estimates (see Supporting Information) and thus contributes to the good comparison of UM_CASIM-100 with measured shortwave radiation data.

We propose that four factors are important to failings in our model simulations:

- 1. The choice and use of large-scale cloud schemes at high resolution:
 - Both the UM and IFS poorly capture Cloudnet-calculated cloud fractions from observations over Aug—Sep 2018 in the central Arctic, particularly at altitudes between 2 km and 8 km (Fig. 4a). Building a comparable mask based on *TWC* shows that the cloud modelled aloft is actually in good agreement with observations (Fig. 4b), while highlighting that the over prediction of cloud occurrence below 3 km is in fact much worse than suggested by the *C_V* comparison. As such, we suggest that cloud fractions should not be used in isolation as a model comparison metric over the Arctic as models represent this parameter differently at the present time (as detailed in the Supporting Information) and we would advocate for the use of cloud water contents to derive comparable cloud occurrence metrics between observations and models.
- 2. The cloud microphysics scheme chosen to represent resolved clouds:

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- UM_CASIM-100 performs best in terms of SW_{net} (Fig. 2, Table 3), but it struggles to capture cloud-free episodes, thus producing a LW_{net} PDF which is too narrow in comparison to our measurements. ECMWF_IFS shares the too-narrow LW_{net}_PDF of UM_CASIM-100; however, it often produces a IWC in reasonable agreement with observations, and its mean LWC profile does agree particularly well with the observations at times (e.g., period 6; Fig. 13g). Of the UM simulations considered, UM_CASIM-100 is in best agreement with both ECMWF_IFS and observations in terms of net radiation, SW_{net} and SW₁. This improved radiative agreement can be linked to its better cloud microphysical agreement with our Cloudnet-derived cloud liquid water content over the standard Regional Atmosphere configurations (Figs. 4, 5, 13); however, UM_CASIM-100 produces even poorer cloud fractions aloft than either UM_RA2M or UM RA2T.
- 3. Representative CCN concentrations, and thus droplet number concentrations, as a function of altitude:
 - Representative CCN concentrations in UM_CASIM-AeroProf somewhat improves the overestimation of q_{llq} within low level clouds in UM_CASIM-100. However, the q_{llq} decrease is not sufficient to trigger an increase in liquid precipitation, which would thus decrease cloud lifetime, and so the modelled C_V is essentially unchanged (Fig. 7). Crucially, thermodynamic biases with respect to observations are not improved through this enhanced complexity (Fig. 10), highlighting that these biases may not be fixed by a more comprehensive representation of cloud physics. Further work is required, with the inclusion of wet scavenging of aerosols and prognostic INP, to rule out whether such processes could improve the model biases over and above the inclusion of representative aerosol concentrations alone.
- 4. The global model analyses used to produce boundary conditions for high resolution nests:
 - The thermodynamic biases identified in our models differ only a little between the UM simulations despite differences in their cloud configurations. Comparisons with the global model show that the biases within the LAM are largely inherited from the global model and <u>its initial conditions</u> (Fig. 9, 11); therefore, for LAM

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configurations such as that tested here, we will not obtain the true benefit of more sophisticated cloud microphysics schemes in NWP simulations until we address the large-scale biases in their driving models/DA system.

While representative CCN concentrations are indeed important for properly reproducing Arctic cloud structure and its consequential impact on the net surface radiation, our findings indicate that such representative cloud nuclei inputs still have only a small impact on thermodynamic biases in the lower troposphere. For our given LAM configuration, we speculate that these biases will always be inherited from the driving model/DA and will continually bias cloud formation processes and BL depth; however, using an increased domain size, with the science area of interest as far from the lateral boundaries as possible, may help to reduce the influence of the driving model/DA. The issue of inherited thermodynamic biases is concerning as both the UM global model and IFS are both used within the community to drive NWP configurations of the same model (UM) or others (IFS). For example, the IFS configuration tested here is similar to that used by ERA5; therefore, these biases could influence future high Arctic NWP simulations if these reanalyses are used for initialisation.

990 Our recommendations are thus twofold. To improve our Arctic cloud modelling capability, we must continue to improve the cloud physics description striving for an optimum complexity, such as the introduction of representative CCN concentrations and double-moment cloud liquid illustrated here, in addition to the inclusion of prognostic INP and associated aerosol processing mechanisms. However, we must concurrently address the overabundant occurrence of a too-well-mixed and too-cloudy lower troposphere, and tackle the resultant thermodynamic biases, in our global driving models and their respective DA systems.

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Appendix A Cloudnet mishandling of fog data

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LWP measurements from the HATPRO microwave radiometer were used in this study; this instrument provides measurements of microwave brightness temperatures, from which *LWP* is derived for the full atmospheric column above the instrument (here located approximately 13 m above the surface). This includes measurement of liquid clouds at altitudes below the radar's first range gate at 156 m. Fog periods occurred frequently throughout the expedition (**Vüllers et al., 2021**); therefore, we had several instances where liquid fog was measured with the HATPRO with small quantities of liquid, or none, detected in the clouds above (from lidar/radar).

Cloudnet calculates an offset to be deducted from the *LWP* time series dependent upon its categorisations of cloud to ensure that liquid is partitioned throughout the cloud column only if liquid clouds were present. This offset is non-uniform, calculated as a given fraction of the *LWP* signal on a daily basis, and is deducted from the *LWP* data to ensure liquid partitioning is conducted correctly within the Cloudnet algorithm. Given the frequency of fog occurrence, this offset was often overestimated and too much liquid data were removed, thus negatively impacting the *LWP* and *LWC* comparisons with our model simulations.

1010 To rectify this problem, we removed the *LWP* offset calculation from the Cloudnet procedure, enabling all ingested data to be used by Cloudnet. We then compared these adapted Cloudnet *LWC* data to a *LWC* calculated under an adiabatic assumption to test whether the latter could be used as an approximation of the true *LWC* if there was not as much fog present during the expedition. Figure A1 shows this comparison using all data from the drift period and indicates that, by keeping all fog liquid data in the time series, Cloudnet artificially partitions these data to liquid cloud layers identified by the lidar, leading to too much liquid in clouds within the lowest 1 km of the atmosphere (with comparison to the adiabatic profile). These data also indicate that we can safely use the adiabatic *LWC* as this artificial liquid enhancement is confined to the lowest 1 km and does not significantly affect the comparison for higher altitudes. Following these comparisons, we chose to include the adiabatic *LWC* in our comparisons with model simulations to exclude the artificial enhancement of cloud liquid at low altitudes in our measurement data. However, it must be noted that it is unlikely that these clouds are truly adiabatic and therefore we are potentially overestimating the observed cloud liquid water content in this study.

Tables

Simulation	Details	References
ECMWF IFS	Cy46r1; cloud and large-scale precipitation following update to Cy36r4.	Forbes and Ahlgrimm
_	Snow included in all cloud fraction and cloud ice water content analyses.	(2014)
UM_CASIM-100	UM with LAM using CASIM scheme operating with 100 cm ⁻³	Smith (1990); Hill et al.
	accumulation mode aerosol particles over the full model column and across	(2015); Grosvenor et al.,
	the entire LAM. Droplet activation: Abdul-Razzak and Ghan (2000);	(2017); Kupiszewski et al.
	primary ice formation: Cooper (1986). Diagnostic cloud fraction and	(2013)
	condensate from large-scale cloud (Smith, 1990) scheme.	
UM_RA2T	UM with LAM operating with the tropical regional atmosphere	Wilson and Ballard
	configuration (RA2T). Prognostic cloud and prognostic condensate (PC2)	(1999); Wilson et al.
	cloud scheme used with cloud microphysics based on Wilson and Ballard	(2008); Bush et al. (2020)
	(1999).	
UM_RA2M	UM with LAM operating with the mid-latitude regional atmosphere	Smith (1990); Wilson and
	configuration (RA2M). Wilson and Ballard (1999) cloud microphysics	Ballard (1999); Bush et
	scheme with diagnostic cloud fraction and condensate from large-scale	al. (2020)
	cloud (Smith, 1990) scheme.	
UM_GLM	UM global model operating a N768 resolution (corresponding to	Walters et al. (2017);
	approximately 17 km at the mid-latitudes) using the Global Atmosphere 6.1	Wilson et al. (2008);
	configuration with a rotated pole. Uses 70 quadratically-spaced vertical	Wilson and Ballard
	levels up to 80 km with PC2 large-scale cloud and cloud microphysics	(1999)
	based on Wilson and Ballard (1999). Data over the full drift period are	
	included to contextualise thermodynamic profiles extracted from the UM	
	LAMs.	
UM_CASIM-AeroProf	As UM_CASIM-100, except day-averaged soluble coarse- and	Morgenstern et al. (2009);
	accumulation-mode concentrations from UKCA are input in place of the	O'Connor et al. (2014);
	constant profile (see Supporting Information for details) to indicate role	Mann et al. (2010)
	of realistic aerosol number concentrations.	
UM RA2M-ERAI-GLM	As UM_RA2M LAM configuration, except using ERA-Interim data to	Dee et al. (2011)
-	initialise the UM global model instead of standard global start dumps. Data	
_	initialise the UM global model instead of standard global start dumps. Data only included from a short subset of the drift period (31 Aug to 5 Sep) for	

Table 2: Summar	y of cloud microphysical pro-	cess representation in each sin	nulation setup. Chosen proc	esses are highlighted as key
differences betwee	en the schemes. $k = model lev$	rel; $Z = altitude$.		
	Model			
Physical	ECMWF_IFS	UM_CASIM-100	UM_RA2T	UM_RA2M
process				
Prognostic cloud	Cloud fraction, vapour,	Vapour, cloud liquid, cloud	Liquid, ice, and total	Vapour, cloud liquid,
variables	cloud liquid, cloud ice,	ice, graupel, rain, and snow	cloud fractions; vapour,	cloud ice (all ice, includes
	rain, and snow	mixing ratios and number	cloud liquid, cloud ice (all	snow), and rain
	(single moment)	concentrations (double	ice, includes snow), and	(single moment).
		moment)	rain (single moment).	
Large-scale	Prognostic	Diagnostic	Prognostic	Diagnostic
cloud fraction	(Tiedtke, 1993)	(Smith, 1990)	(Wilson et al., 2008)	(Smith, 1990)
(described in)				
Droplet number	Diagnostic. Wind-speed	Prognostic; Abdul-	Diagnosed by land-	Diagnosed by land-surface
concentration	dependent function for	Razzak and Ghan (2000),	surface mask (ocean	mask (ocean surface,
	radiation calculations	referencing an	surface, fixed); 100 cm ⁻³ .	fixed); 100 cm ⁻³ . Tapered
	(following Martin et al.,	accumulation mode aerosol	Tapered to 50 cm $^{\text{-3}}$ at Z \leq	to 50 cm ⁻³ at Z \leq 50 m from
	1994). For auto-	profile of 100cm ⁻³ at all Z.	50 m from 150 m.	150 m.
	conversion, diagnosed by			
	land-surface mask (ocean			
	surface, fixed); 50cm ⁻³ .			
Critical grid-box	$RH_{crit} = 0.8$, increasing	0.96 at the surface and	0.96 at the surface and	0.96 at the surface and
mean RH for	towards the BL as a	decreases monotonically	decreases monotonically	decreases monotonically
condensation	function of height.	upwards to 0.80 at 0.85 km,	upwards to 0.80 at 0.85	upwards to 0.80 at 0.85
		above which it remains	km, above which it	km, above which it
		constant with altitude (k $\geq=$	remains constant with	remains constant with
		15) (Grosvenor et al.,	altitude (k >= 15)	altitude (k >= 15)
		2017)	(Grosvenor et al., 2017)	(Grosvenor et al., 2017)

Table 2: Summary of cloud microphysical process representation in each simulation setup. Chosen processes are highlighted as key

Compon	ent	Observations	ECMWF_IFS	UM_CASIM-100	UM_RA2T	UM_RA2M
	P3	24.35	16.41	6.09	39.69	38.02
SW _{net}	P4	19.45	4.23	0.85	24.74	20.77
	P5	9.87	9.75	7.88	19.81	18.09
	P6	7.37	6.75	5.11	16.67	15.3
Ś₩↓	P3	117.39	-20.4	-10.93	7.44	4.08
	P4	72.86	-6.84	-1.49	14.68	6.99
	P5	55.55	0.9	9.7	16.43	12.66
	P6	41.66	-0.3	-2.21	11.86	7.48
LW _{net}	P3	-21.38	9.56	16.32	10.48	10.71
	P4	-9.48	3.16	4.85	2.71	3.25
	P5	-11.77	-2.96	1.89	-3.77	-3.65
	P6	-13.22	-0.27	5.46	-3.6	-0.29
L₩↓	P3	285.82	19.0	22.41	18.42	18.66
	P4	303.12	6.0	6.5	5.04	5.54
	P5	291.53	0.31	2.6	-2.25	-2.15
	P6	286.88	5.02	9.6	0.28	5.13

Table 3: Mean surface radiation biases (model-observations) over periods 3—6, with mean measured values for reference. Observations included are hourly-integrated values for consistency with the models. All values are in W m⁻². Smallest biases are highlighted in bold.

Figures

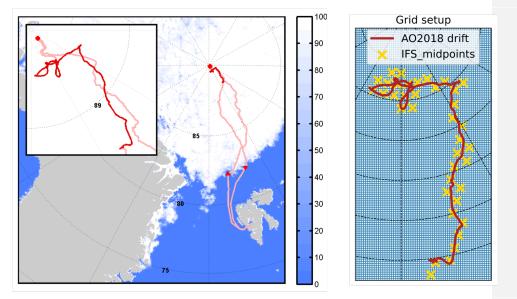
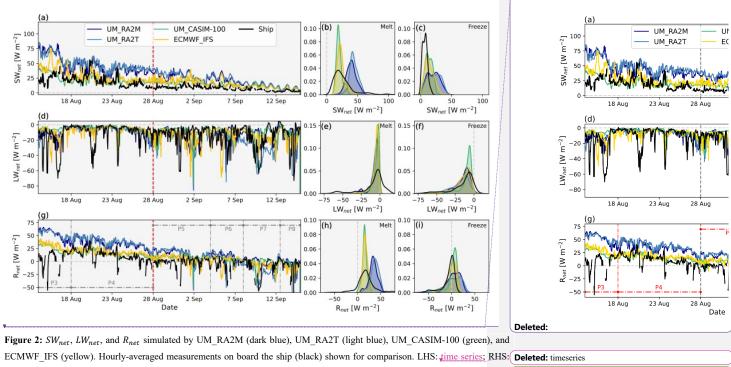


Figure 1: LHS: Map of cruise track and sea ice cover during AO2018 expedition from **Vüllers et al. (2021)**, with drift period (red) in inset. RHS: Ship position during the drift period (red), with grid outline for UM_CASIM-100, UM_RA2T, and UM_RA2M shown in blue and mid-points of ECMWF_IFS grid indicated by yellow crosses. Note grid size difference for illustrative purposes and not to scale: UM grid boxes are 1.5×1.5 km, IFS grid boxes are 9×9 km in size.



ECMWF_IFS (yellow). Hourly-averaged measurements on board the ship (black) shown for comparison. LHS: <u>time series</u>; RHS: **Deleted**: timeseries PDFs. PDFs are split between melting and freezing sea ice conditions using a threshold of 28 Aug as indicated by the <u>red</u> vertical **Deleted**: grey dashed line in panels (a), (d), and (g). Radiation terms are defined as positive downwards. Sub-periods used in subsequent sections are marked (grey) in panel (g).

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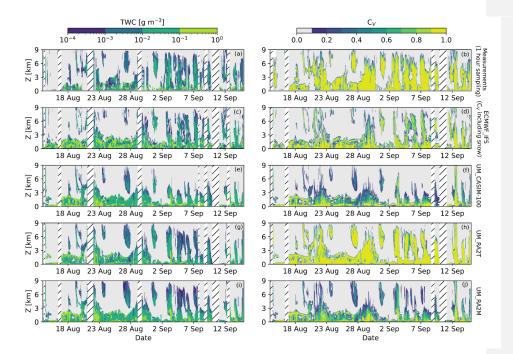


Figure 3: Total water content (*left, TWC*) and cloud fraction (*right, C_V*). (**a**—**b**) calculated from observations using Cloudnet and diagnosed by (**c**—**d**) ECMWF_IFS, (**e**—**f**) UM_CASIM-100, (**g**—**h**) UM_RA2T, and (**i**—**j**) UM_RA2M. Missing measurement data are indicated by hatched areas; times where data are missing from the observations are removed from the model data to provide a fair comparison. Missing data periods differ between the *TWC* and *C_V* products due to the different instrumentation requirements within Cloudnet for each.

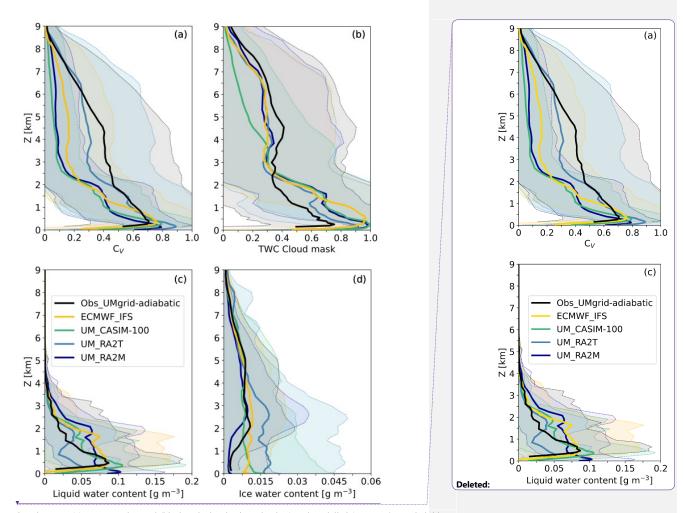


Figure 4: Comparison between (a) mean C_V observed (black, calculated using Cloudnet) and modelled (UM_RA2M = dark blue; ECMWF_IFS = yellow; UM_CASIM-100 = green; UM_RA2T = light blue) over the AO2018 drift period. (b) *TWC* cloud mask comparison, where masks are calculated using only in-cloud data as described in **Sect. 2.4**. (c—d) Same comparison for liquid and ice cloud water contents respectively, using in-cloud data only. *LWC* data from the observations are calculated using Cloudnet by assuming an adiabatic profile (see **Appendix A**). Lines indicate the mean profiles of each dataset, shaded areas depict ± one standard deviation from the mean. Uncertainties associated with the retrieval process are not shown.

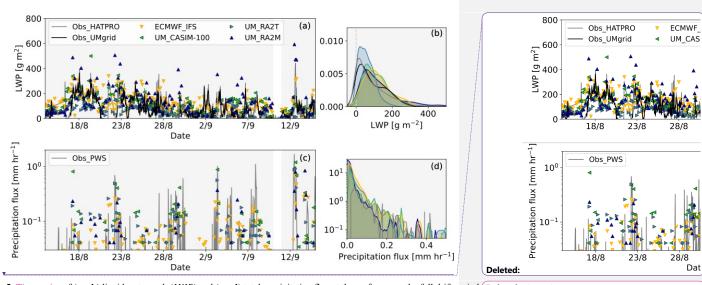
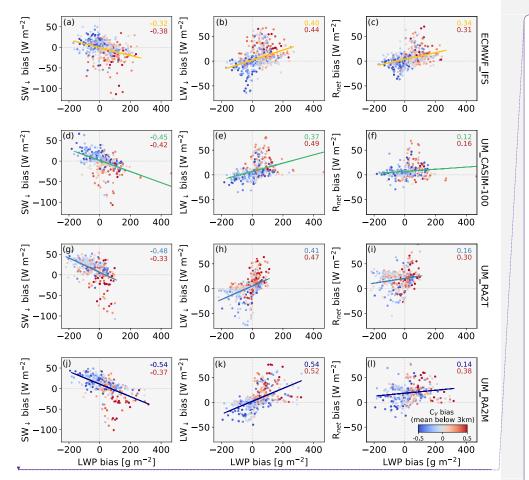


Figure 5: <u>Time series</u> of (**a**—**b**) liquid water path (*LWP*) and (**c**—**d**) total precipitation flux at the surface over the full drift period. **Deleted:** Timeseries (**a**—**b**) HATPRO measurements (grey) are included for comparison with the model data (coloured markers). *LWP* data averaged on to the UM grid by Cloudnet are shown in black (*Obs_UMgrid*). (**c**—**d**) Weather sensor (PWS) measurements of total precipitation from the 7th deck (grey) are included for comparison with model rain and snow fields. (**a**, **c**) model data shown every 3 hours for clarity; (**b**, **d**) all model data included for comparison.

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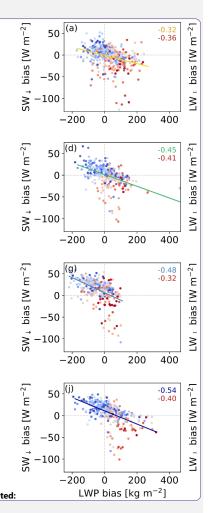


Figure 6: Model biases in radiation terms (SW_{\downarrow} (*left*), LW_{\downarrow} (*middle*), and R_{net} (*right*)), LWP, and C_V . Model-observation biases are calculated hourly for the radiation and LWP terms using measurements from the ship-based radiometers and HATPRO microwave radiometer, respectively. Shading: model-observation difference between mean C_V below 3 km, where model data below the height of the lowest radar range gate (156 m) is excluded from the comparison with observations. Correlation coefficients for the radiation-LWP (*top*) and radiation- C_V regressions (*bottom*) are noted in the top right of each panel.

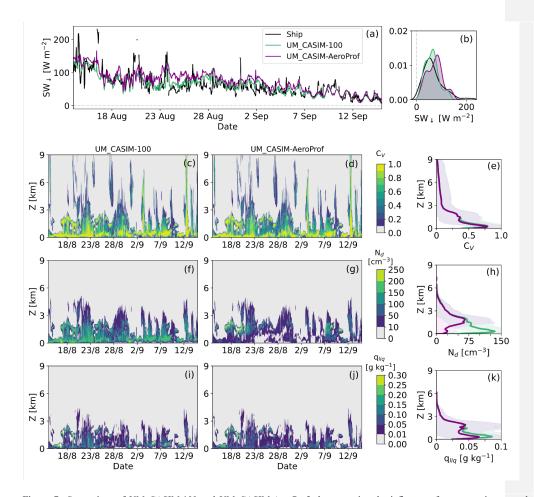


Figure 7: Comparison of UM_CASIM-100 and UM_CASIM-AeroProf, demonstrating the influence of representative aerosol concentrations on the modelled cloud structure. (**a**—**b**) downwelling shortwave radiation (SW_1) at the surface, with observations (black) shown for comparison; (**c**—**e**) C_V ; (**f**—**h**) cloud droplet number concentration (N_d) ; and (**i**—**k**) liquid water mixing ratio (q_{liq}) . (**c**, **f**, **i**): UM_CASIM-100; (**d**, **g**, **j**): UM_CASIM-AeroProf; (**e**, **h**, **k**): mean profiles with ± one standard deviation shown in shading. Radiative differences are only notable between 22 Aug and 27 Aug. Slight differences in q_{liq} and cloud fraction can also be identified during this period; for example, UM_CASIM-100 produces a larger cloud fraction below 2 km at 23 Aug

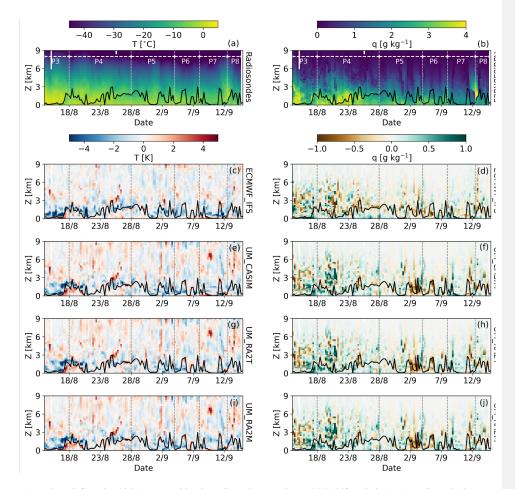
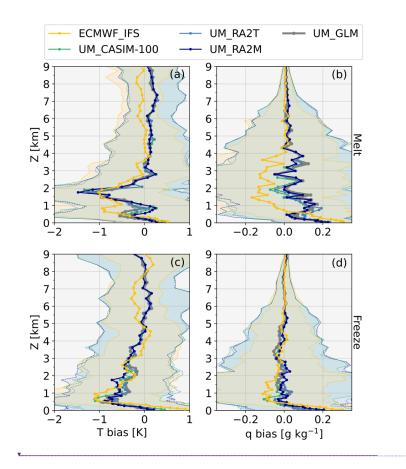


Figure 8: *T* (left) and *q* (right) measured by the radiosondes over the AO2018 drift period. (**a**—**b**): radiosonde data regridded to the UM vertical grid for model comparisons. (**c**—**d**): biases of IFS data, re-gridded to the UM vertical grid, with respect to observations. (**e**—**j**) UM_CASIM-100, UM_RA2T, and UM_RA2M biases, with no vertical re-gridding. The common vertical grid (from the UM) provides 50 vertical levels below 10 km, with 21 of these below 2 km. The black line in all panels depicts the altitude of the main inversion base as identified using the radiosonde measurements, and meteorological time periods with common characteristics are indicated with grey dashed lines (see Vüllers et al., 2021 for details).

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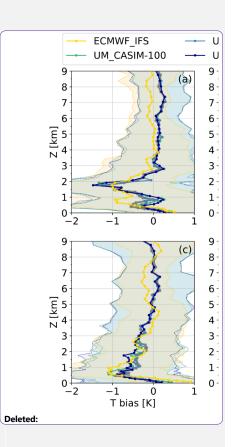


Figure 9: Median profiles of modelled (**a**, **c**) *T* and (**b**, **d**) *q* biases with respect to the radiosonde measurements over the sea ice melt (*top*) and freeze (*bottom*) periods (using 28 Aug as a threshold). Model data are coloured as previous (ECMWF_IFS: yellow; UM_CASIM-100: green; UM_RA2T: light blue; and UM_RA2M: dark blue) and \pm one standard deviation shown to illustrate variability. Median anomalies from the UM global model (UM_GLM; grey) are also included for reference; variability is not shown for these data.

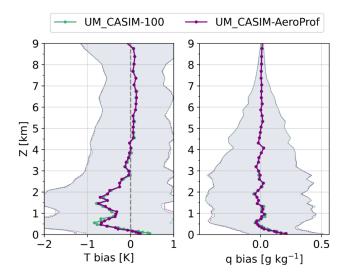


Figure 10: Temperature (*left*) and moisture (*right*) biases exhibited by the UM_CASIM-100 (green) and UM_CASIM-AeroProf (purple) simulations with respect to radiosonde measurements made over the entire drift period. \pm one standard deviation shown in shading to illustrate variability.

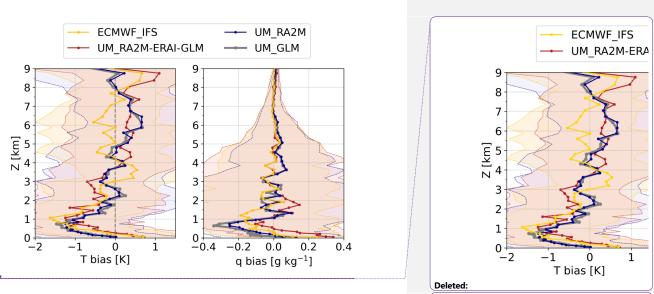


Figure 11: Median *T* (*left*) and *q* (*right*) biases from a subset of the drift (31 Aug to 5 Sep) for ECMWF_IFS (yellow), UM_RA2M-Formatted: Font: Italic ERAI-GLM (red), UM_RA2M (dark blue), and UM_GLM (grey). UM_RA2M-ERAI-GLM biases follow ECMWF_IFS biases up to approximately 1 km, above which they largely behave more like the other UM cases. ± one standard deviation shown in shading to illustrate variability.

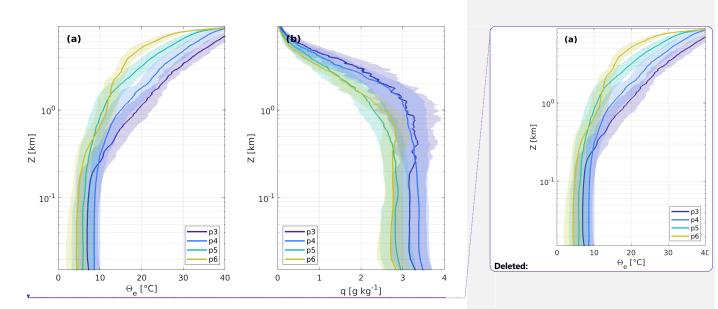


Figure 12: Mean profiles of (a) equivalent potential temperature (θ_e) and (b) q measured by radiosondes launched during periods 3—6 of the expedition, with \pm one standard deviation shown in shading.

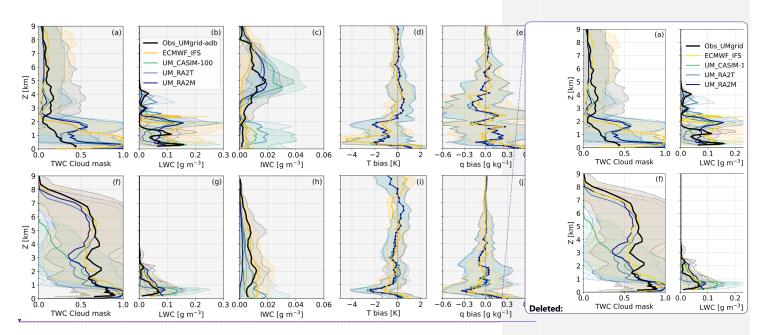


Figure 13: Comparison of mean cloud mask, *LWC*, and *IWC* profiles with median biases in *T* and *q* with respect to radiosondes for period 3 (\mathbf{a} — \mathbf{e} , *top row*) and period 6 (\mathbf{f} — \mathbf{j} , *bottom row*). Again, observed *LWC* calculated assuming adiabatic conditions using Cloudnet. \pm one standard deviation shown in shading to illustrate variability.

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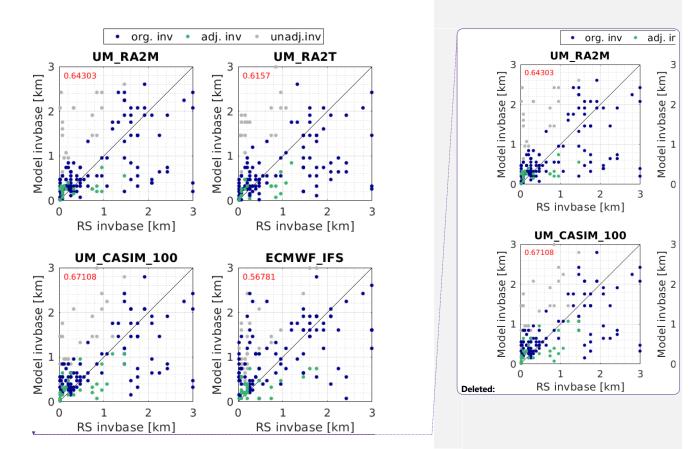


Figure 14: Model temperature inversion base as a function of the identified inversion base from radiosonde (RS) measurements. *org. inv:* strongest inversion below 3 km, identified following Vüllers et al. (2021). *adj. inv:* where models exhibit a secondary weaker inversion at lower altitude in better agreement with identified radiosonde inversions, these identified inversions are adjusted accordingly. *unadj.inv:* unadjusted primary inversions, not used for further analysis and shown for reference only. Correlation coefficients for the combined *adj. inv* plus *org. inv* data are quoted in red in at the top of each panel.

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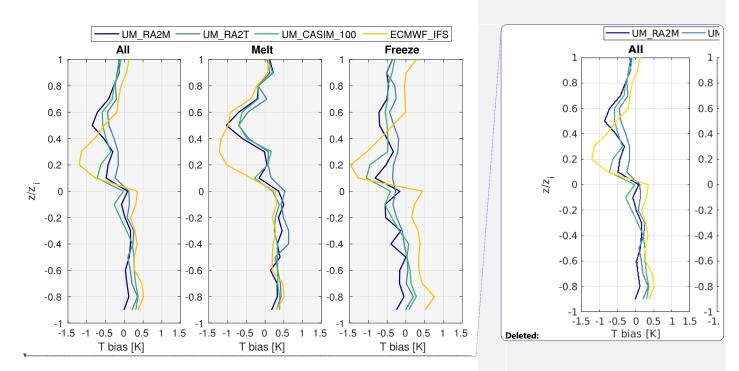


Figure 15: Scaled median model-observation *T* bias profiles for the full drift *(left)*, melt *(middle)*, and freeze *(right)* periods. Profiles are scaled such that –1 is the surface, 0 is the main inversion base, and 1 is 3 km.

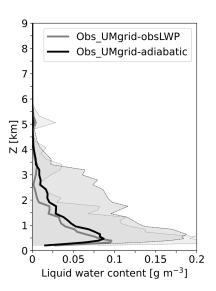


Figure A1: Comparison of mean *LWC* profiles calculated using an adiabatic assumption (black, \pm one standard deviation shown in dark grey shading) and from HATPRO *LWP* measurements (grey, \pm one standard deviation shown in light grey) without the Cloudnet offsetting procedure.

1070 Data availability

UK contributions, as well as selected other data, are available within the MOCCHA (*Microbiology-Ocean-Cloud Coupling in the High Arctic*) data collection in the Centre for Environmental Data Analysis (CEDA) archives (<u>http://archive.ceda.ac.uk</u>). Other cruise data are available from the Bolin Centre for Climate Research MOCCHA/AO2018 holdings (<u>http://bolin.su.se/data</u>).

Author Contributions

GY led the model data analysis, aided by PF, RP, JD, and RF. JV led the measurement and Cloudnet data analysis, with contributions from PA, IMB, MT, and EO. IMB, PA, MT, and JP performed the measurements during AO2018. IMB, MT, JD, PF, RN, GY and JV all contributed to the study design. All authors contributed to the discussion of results and writing of the manuscript.

1080 Competing Interests

The authors declare that they have no conflict of interest.

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