

1 **Enhanced upward motion through the troposphere over the tropical**  
2 **western Pacific and its implications for the transport of trace gases**  
3 **from the troposphere to the stratosphere**

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13

14 **Abstract**

15 The tropical western Pacific (TWP) is a preferential area of air uplifting from the  
16 surface to the upper troposphere. A significantly intensified upward motion through  
17 the troposphere over the TWP in the boreal wintertime (November to March of the  
18 next year, NDJFM) has been detected using multiple reanalysis datasets. The upward  
19 motion over the TWP is intensified at rates of  $8.0 \pm 3.1\%$  decade<sup>-1</sup> and  $3.6 \pm 3.3\%$   
20 decade<sup>-1</sup> in NDJFM at 150 hPa from 1958 to 2017 using JRA55 and ERA5 reanalysis  
21 datasets, while the MERRA2 reanalysis data show a  $7.5 \pm 7.1\%$  decade<sup>-1</sup> intensified  
22 upward motion for the period 1980-2017. Model simulations using the Whole  
23 Atmosphere Community Climate Model, version 4 (WACCM4) suggest that warming  
24 global sea surface temperatures (SSTs), particularly SSTs over the eastern maritime  
25 continent and tropical western Pacific, play a dominant role in the intensification of  
26 the upward motion by strengthening the Pacific Walker circulation and enhancing the  
27 deep convection over the TWP. Using CO as a tropospheric tracer, the WACCM4  
28 simulations show that an increase of CO at a rate of 0.4 ppbv decade<sup>-1</sup> at the layer  
29 150-70 hPa in the tropics is mainly resulted from the global SST warming and the  
30 subsequent enhanced upward motion over the TWP in the troposphere and  
31 strengthened tropical upwelling of Brewer-Dobson (BD) circulation in the lower  
32 stratosphere. This implies that more tropospheric trace gases and aerosols from both  
33 natural maritime source and outflow from polluted air from South Asia may enter the  
34 stratosphere through the TWP region and affect the stratospheric chemistry and  
35 climate.

36 **Keywords:** Upward motion; Troposphere-to-stratosphere transport; Tropical western

37 Pacific; Trend; Sea surface temperature

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## 40 **1 Introduction**

41 The tropical western Pacific (TWP) is a critical region for tropical and global  
42 climate (e.g., Webster et al., 1996; Hu et al., 2020). It has the largest area of warm sea  
43 surface temperature (exceeding 28 °C) which fuels intense and massive deep  
44 convection and thus is the largest source of latent heat and water vapor into the  
45 atmosphere (Webster and Lukas, 1992). The TWP region is also the most important  
46 source of tropospheric air entering the stratosphere due to the strong upward motion  
47 and deep convection over this region (e.g., Fueglistaler et al., 2004; Pan et al., 2016).  
48 Through the TWP region, tropospheric trace gases, e.g., the natural maritime  
49 bromine-containing substances and outflow from anthropogenic emissions from South  
50 Asia, are lifted to the upper troposphere and lower stratosphere (UTLS) by the strong  
51 upward motion and the deep convection and subsequently into the stratosphere by the  
52 large-scale upwelling (e.g., Levine et al., 2007, 2008; Navarro et al., 2015), which  
53 affect the ozone concentration and other chemical processes in the stratosphere (e.g.,  
54 Feng et al., 2007; Sinnhuber et al., 2009). At the same time, the TWP region has the  
55 lowest cold-point tropopause temperature (CPTT) over the globe and plays an  
56 important role in controlling the water vapor concentration in the stratosphere (e.g.,  
57 Fueglistaler et al., 2009; Newell and Gould-Steward, 1981; Pan et al., 2016; Randel  
58 and Jensen, 2013). The TWP is an important region for tropospheric trace gases being  
59 transported from the troposphere to the stratosphere, and therefore influencing the  
60 stratospheric chemistry (e.g., Fueglistaler et al., 2004; Levine et al., 2007; Krüger et



61 al., 2008; Pan et al., 2016).

62 The TWP was thought to be the main pathway of the troposphere-to-stratosphere  
63 transport. A concept of “stratospheric fountain” was proposed by Newell and  
64 Gould-Steward (1981), which suggested that the poor-water vapor air in the  
65 stratosphere stems mainly from the TWP region. However, following studies using the  
66 observational and reanalysis data showed that there is subsidence at the  
67 near-tropopause level over the maritime continent, which is named as the  
68 “stratospheric drain” (Gettelman et al., 2000; Sherwood, 2000; Fueglistaler et al.,  
69 2004). Further studies verified that the large-scale transport from the tropical  
70 tropopause layer (TTL) to the stratosphere is dominated by the upward branch of the  
71 Brewer-Dobson (BD) circulation (Brewer, 1949; Dobson, 1956; Holton et al., 1995)  
72 while the local upwelling may play a minor role (e.g., Levine et al., 2007; Fueglistaler  
73 et al., 2009; Schoeberl et al., 2018).

74 Though the vertical transport from TTL to the lower stratosphere is dominated by  
75 the BD circulation, numerous studies confirmed that the TWP region is an important  
76 pathway of the surface air entering the TTL (Fueglistaler et al., 2004; Levine et al.,  
77 2007; Krüger et al., 2008; Haines and Esler, 2014). Based on a trajectory model,  
78 Fueglistaler et al. (2004) pointed out that approximately 80% of the trajectories  
79 ascending into the stratosphere from the TTL are originated from the TWP region.  
80 Bergman et al. (2012) suggested that the tropospheric air over the TWP enters the  
81 stratosphere mainly in boreal winter, while less air over the TWP could be transported  
82 into the stratosphere during boreal summer. Other studies also found that the TWP

83 region is an important source of the tropospheric trace gases in the TTL (e.g., Newton  
84 et al., 2018; Pan et al., 2016; Wales et al., 2018), even the polluted air from East Asia  
85 could be transported rapidly to Southeast Asia by meridional winds and subsequently  
86 be elevated to the tropical upper troposphere by the strong upward motion and the  
87 deep convection (Ashfold et al., 2015). Hence, the strength of the upward motion over  
88 the TWP region during boreal winter is a key feature for understanding the variations  
89 of trace gases in the TTL and therefore important for stratospheric chemistry and  
90 climate.

91 The strength of the TWP upward motion is closely related to atmospheric  
92 circulation and deep convection. The ascending branch of the Pacific Walker  
93 circulation and the strong deep convection over the TWP allow rapid transport from  
94 the surface to the upper troposphere (Hosking et al., 2012). In association with global  
95 warming, atmospheric circulation, deep convection as well as the boundary conditions  
96 (e.g., sea surface temperature; SST) have been changed. For example, the Hadley cell  
97 has been extended to the subtropics and the Walker circulation over the Pacific has  
98 been shifted westward over the past decades (e.g., Lu et al., 2007; Garfinkel et al.,  
99 2015; Ma and Zhou, 2016). At the same time, SSTs over most of areas are getting  
100 warmer (Cane et al., 1997; Deser et al., 2010), which modulates the deep convection  
101 and atmospheric wave activities in the troposphere and then lead to changes of  
102 atmospheric circulations from the troposphere and the stratosphere (e.g., Garfinkel et  
103 al., 2013; Xie et al., 2012, 2014a; Wang et al., 2015; Hu et al., 2016; Lu et al., 2020).  
104 However, how the strength of the upward motion in the lower TTL over the TWP

105 region has been changed over the past decades remains unclear. In this study, we  
106 investigate the long-term trend of the upward motion over the TWP using JRA55,  
107 ERA5, and MERRA2 reanalysis datasets and different WACCM4 simulations as  
108 described in Section 2. The implication of the changes in the upward motion over the  
109 TWP to the transport of trace gases from the surface to the UTLS will be discussed in  
110 Section 3.

## 111 **2 Data and method**

112 **Reanalysis data.** To investigate the long-term trend of the upward motion over  
113 the TWP through the troposphere, three most recent reanalysis datasets, including  
114 JRA55 from the Japan Meteorological Agency (JMA), ERA5 from the European  
115 Centre for Medium Range Weather Forecasting (ECMWF) and MERRA2 from the  
116 National Aeronautics and Space Administration/Global Modeling and Assimilation  
117 Office (NASA/GMAO) are used in this study. The JRA55 is produced by a four  
118 dimensional variational (4D-Var) data assimilation system and provide 6-hourly data  
119 (Harada et al. 2016). It has a vertical resolution of 60 levels from the surface to 0.1  
120 hPa and a high horizontal resolution (T319). It covers the period from 1958 to present,  
121 are interpolated to the standard pressure levels and  $1.25^{\circ} \times 1.25^{\circ}$  horizontal mesh.  
122 More details could be found in Kobayashi et al., 2015. The ERA5 reanalysis is the  
123 newest generation product from the ECMWF (Hersbach et al., 2020). The ERA5 data  
124 are based on the Integrated Forecasting System (IFS) Cy41r2, which includes the  
125 improved model physics, core dynamics and data assimilation. The ERA5 has hourly  
126 output, a horizontal resolution of 31 km, and a vertical resolution of 137 levels

127 extending into the middle atmosphere (0.01 hPa) and covers the period from 1979 to  
128 present. The ERA5 data also extend back to 1958, which is coinciding with the time  
129 that radiosonde observations in the Arctic became more systematic and regular. It  
130 should be noted that the ERA5 data suffer from a bias during 2000-2006, and are  
131 replaced by the ERA5.1 data in this period here. The MERRA2 data are also used,  
132 which are only accessible after 1980 (Gelaro et al., 2017). The MERRA2 data are  
133 produced by NASA/GMAO using Goddard Earth Observing System model (GEOS),  
134 which have 3-hourly temporal resolution, 72 vertical levels up to 0.01 hPa, and a  
135 horizontal resolution of  $0.5^{\circ} \times 0.625^{\circ}$ . Although the horizontal and vertical resolution  
136 of MERRA2 data are similar to MERRA data, the MERRA2 data represent UTLS  
137 processes better (Gelaro et al., 2017). The monthly mean air temperature, horizontal  
138 wind fields and vertical velocity at different pressure levels are extracted from the  
139 three Reanalysis datasets. In the present study, we mainly focus on the upward motion  
140 over TWP region in NDJFM, which is defined as  $20^{\circ}\text{S}-10^{\circ}\text{N}$ ,  $100^{\circ}\text{E}-180^{\circ}$  due to the  
141 strong upward motion (Fig. 1) and significantly increasing trends of the upward  
142 motion (Fig. 2) over there.

143 A special caution is needed because of the limitations of reanalysis data. The  
144 reanalysis datasets assimilate observational data based on the ground- and  
145 space-based remote sensing platforms to provide more realistic data products.  
146 However, previous studies suggested that there are still uncertainties in the reanalysis  
147 data (e.g., Simmons et al., 2014; Long et al., 2017; Uma et al., 2021). The accuracy of  
148 the vertical velocity in reanalysis data sets has been evaluated by the Reanalysis

149 Intercomparison Project (Fujiwara et al., 2017), which is initiated by the  
150 Stratosphere-troposphere Processes And their Role in Climate (SPARC). Results of a  
151 comparison between the radar observed data and the reanalysis data indicate that the  
152 updrafts in the UTLS are captured well near the TWP even though there are still large  
153 biases in the reanalysis datasets and the updrafts from the JRA55 data are stronger  
154 than those from the ERA5 and MERRA2 data (Uma et al. 2021). Additionally,  
155 discontinuities in the reanalysis data due to different observing systems (for example,  
156 transition from TOVS to ATOVS) may still exist (e.g., Long et al., 2017), which could  
157 lead to uncertainties in the long-term trend of a certain meteorological field.  
158 Hitchcock (2019) suggested that the reanalysis uncertainty is larger in the radiosonde  
159 era (after 1958) than in the satellite era (after 1979), but the radiosonde era is of  
160 equivalent value to the satellite era because the dynamical uncertainty dominates in  
161 the both eras. The data in the radiosonde era (1958-1978) used in the present study  
162 may induce uncertainties in our results. Therefore, we discuss the trends for both the  
163 periods of 1958-2017 and 1980-2017. In addition, we combine three most recent  
164 reanalysis datasets (JRA55, ERA5, and MERRA2) to obtain relatively robust results.

165 **Observed CO data.** CO is used as a tropospheric tracer in this study to indicate  
166 the vertical transport from the near-surface to the upper troposphere and the lower  
167 stratosphere. The CO data used in the present study are from space-borne Microwave  
168 Limb Sounder (MLS; Livesey et al., 2015) observation and Measurements Of  
169 Pollution In The Troposphere instrument (MOPITT; Deeter et al., 2019). MLS is  
170 carried by Aura, which has a sun-synchronous orbit at 705 km with a 16-day repeat

171 cycle. MLS observations are made from 82°S to 82°N and cover the period from 2005  
172 to the present. MLS provides the CO data from the upper troposphere to the  
173 mesosphere. MLS CO v4 level1 data used in the present study are processed using the  
174 recommended procedures (Livesey et al., 2015) and interpolated into a 5°×5°  
175 horizontal mesh. MOPITT CO data are also used for comparison. MOPITT  
176 instrument is aboard on the Terra satellite permitting retrievals of CO vertical profiles  
177 using both thermal-infrared and near-infrared measurements and has a field of view of  
178 22 km×22 km. The Terra satellite was launched in 1999 with a 705 km  
179 sun-synchronous orbit. MOPITT provides the CO data from the surface to the upper  
180 troposphere during the period of 2000/03 to the present. Here, we use the daytime  
181 only MOPITT v8 level3 CO data. For comparison, we focus on the CO concentrations  
182 in MLS and MOPITT data at similar level (215 hPa in MLS data and 200 hPa in  
183 MOPITT data, respectively).

184 **SST and outgoing longwave radiation (OLR) data.** SST data are used in this  
185 study to investigate the relationship between the upward motion and SSTs. The SST  
186 data are from the HadISST dataset (1°×1° horizontal mesh) during 1958-2018  
187 (Rayner et al., 2003). OLR is often utilized to reflect the deep convection in the  
188 tropics. The OLR data are extracted from NOAA Interpolated OLR dataset on a  
189 2.5°×2.5° horizontal mesh during 1974/11-2018/03 (Liebmann and Smith, 1996).

190 **Model simulations.** A series of model simulations with the Whole Atmosphere  
191 Community Climate Model version 4 (WACCM4) are performed to find out the main  
192 impact factors of the trend of the upward motion over the TWP. The WACCM4 is a

193 chemical-climate model with a horizontal resolution of  $1.9^{\circ}\times 2.5^{\circ}$  (Marsh et al., 2013).  
194 The WACCM4 has vertical 66 levels from the surface to 145 km with vertical  
195 resolution of approximately 1 km in the UTLS, which is numerously used to  
196 investigate the transport of the trace gases from the troposphere to the stratosphere  
197 (e.g., Randel et al., 2010; Xie et al., 2014b; Minganti et al., 2020). A hindcast  
198 simulation (Control simulation) is performed with observed greenhouse gases, solar  
199 irradiances, and prescribed SSTs (HadISST dataset is used) during 1955-2018. A  
200 single-factor controlling simulation (Fixsst simulation) is done for the same period  
201 with the same forcings, except that the global SSTs are fixed to the climatological  
202 mean values during 1955-2018 (long-term mean for each calendar month during  
203 1955-2018).

204 To figure out the impact of the warming SST over the TWP region on the  
205 intensification of the upward motion over the TWP region, a couple of time-slice  
206 simulations (R1 and R2) are also integrated for 33 years. The SSTs over the eastern  
207 maritime continent and tropical western Pacific ( $20^{\circ}\text{S}-20^{\circ}\text{N}$ ,  $120^{\circ}\text{E}-160^{\circ}\text{E}$ ) in the  
208 boreal wintertime (November to March of the next year, NDJFM) in R1 are  
209 prescribed as the climatological mean SSTs during 1998-2017, while the SSTs over  
210 other regions are fixed as the climatological mean SSTs during 1958-2017. The SSTs  
211 in R2 are the same as the SSTs in R1 except that the SSTs over the region ( $20^{\circ}\text{S}-20^{\circ}\text{N}$ ,  
212  $120^{\circ}\text{E}-160^{\circ}\text{E}$ ) in NDJFM are prescribed as the climatological mean SSTs during  
213 1958-1977. Since the SSTs over the eastern maritime continent and tropical western  
214 Pacific ( $20^{\circ}\text{S}-20^{\circ}\text{N}$ ,  $120^{\circ}\text{E}-160^{\circ}\text{E}$ ) show significantly warming trends, the SSTs

215 during 1998-2017 are higher than the SSTs during 1958-1977 (approximately 0.5 K).  
 216 Hence, the difference between R1 and R2 reflects the impact of the warmed SSTs  
 217 over the eastern maritime continent and tropical western Pacific (20°S-20°N,  
 218 120°E-160°E) on the atmospheric circulation. The first 3 years of the numeric  
 219 simulations are not used in the present study to provide a spin-up.

220 **Transformed Eulerian Mean (TEM) Calculation.** To diagnose the changes in  
 221 the BD circulation, the meridional and vertical velocities of the BD circulation are  
 222 calculated by the TEM equations (Andrews and McIntyre, 1976):

$$223 \quad v^* = \bar{v} - \frac{1}{\rho} \left( \frac{\overline{\rho v' \theta'}}{\theta_z} \right)_z$$

$$224 \quad w^* = \bar{w} + \frac{1}{a \cos \varphi} \left( \cos \varphi \frac{\overline{v' \theta'}}{\theta_z} \right)_\varphi$$

225 Where  $v^*$  and  $w^*$  denote the meridional and vertical velocities of the BD circulation;  
 226 the overbar represents the zonal mean; the prime denotes the deviation from the zonal  
 227 mean;  $\theta$ ,  $a$ ,  $\varphi$ , and  $\rho$  indicate the potential temperature, the radius of the earth,  
 228 the latitude, and the standard density.

229 **Linear trends and the significance test.** The linear trends are estimated using a  
 230 simple least square regression method. The significances of the correlation  
 231 coefficients, mean differences, and trends are determined via a two-tail Student's t-test.

232 The confidence interval of trend is calculated using the following equation (Shirley et

$$233 \quad \text{al., 2004): } \left( b - t_{1-\frac{\alpha}{2}}(n-2)\sigma_b, b + t_{1-\frac{\alpha}{2}}(n-2)\sigma \right)$$

234 where  $b$  is the estimated slope,  $\sigma$  denotes the standard error of the slope, and



235  $t_{1-\frac{\alpha}{2}}(n-2)$  represents the value of t-distribution with the degree of freedom equal to

236  $n-2$ .  $\alpha$  is the two-tailed confidence level.  $\sigma$  is calculated as:  $\sigma = b\sqrt{\frac{1}{r^2}-1}$ .

### 237 **3 Results**

#### 238 **3.1 Enhanced upward motion over the TWP**

239 According to previous studies, the lapse-rate tropopause is a good proxy to  
240 separate the tropospheric and the stratospheric dynamic behavior (vertical motion  
241 dominated and horizontal mixing dominated, respectively) over the TWP (Pan et al.,  
242 2019). Since the lapse-rate tropopause over the TWP in the boreal winter is near 100  
243 hPa (not shown), we utilize the vertical velocity at 150 hPa to reflect the vertical  
244 transport in the upper troposphere. Figure 1 shows mean values of the vertical  
245 velocity at 150 hPa for each month averaged over 60 years from 1958 to 2017. The  
246 TWP region at the UTLS level has strong upward motion due to the frequent intense  
247 deep convection and the Pacific Walker circulation. It is noteworthy that there is  
248 strong upward motion at 150 hPa in NDJFM over the TWP, while the upward motion  
249 in other months shifts northward corresponding to the Asia summer monsoon. This is  
250 consistent with previous studies (Newell and Gould-Steward, 1981; Bergman et al.,  
251 2012). Therefore, we mainly focus on the changes in the upward motion in NDJFM,  
252 which is more important to the transport of air over the TWP from the lower  
253 troposphere to the TTL compared to the summer months (as shown in Fig. 1) and  
254 subsequently to the lower stratosphere. As seen in Figs. 1a-c and 1k-l, the upward  
255 motion ( $w$ ) at 150 hPa is most evident over the region 20°S-10°N, 100°E-180°, which

256 is used to indicate the TWP in the following analysis. The climatological mean 150  
257 hPa vertical velocity ( $w$ ) in NDJFM in ERA5 during 1958-2017 and MERRA2 during  
258 1980-2017 are also given in Supplementary Fig. 1. Comparing with the 150 hPa  $w$  in  
259 NDJFM using JRA5, the 150 hPa  $w$  in ERA5 and MERRA2 data shows larger values  
260 (maximum larger than  $1.5 \text{ m s}^{-1}$ ) over the land areas but smaller values (minimum less  
261 than  $-0.4 \text{ m s}^{-1}$ ) over the marine area. Notably, the 150 hPa  $w$  shows no subsidence  
262 over the maritime continent, while there is descending motion over the maritime  
263 continent at 100 hPa (Supplementary Fig. 2), which is referred to the “stratospheric  
264 drain” (Gettleman et al., 2000; Sherwood, 2000).

265 Figure 2 displays the linear trends of  $w$  in the upper (150 hPa), middle (500 hPa)  
266 and lower (700 hPa) troposphere in NDJFM from 1958 to 2017 using JRA55, ERA5,  
267 and MERRA2 reanalysis datasets. The 150 hPa  $w$  increased significantly over most  
268 areas of the TWP during 1958-2017 (Fig. 2). At the same time, the upward motion  
269 over the TWP in the lower and middle troposphere also mainly shows positive trends  
270 (Figs. 2d and g). This indicates that the upward motion over the TWP is increasing  
271 through the troposphere from 1958 to 2017. Such an enhancement of the upward  
272 motion over the TWP is evident in all three reanalysis datasets used here (JRA55,  
273 ERA5, and MERRA2), although there are also some differences between the three  
274 reanalysis datasets. For example, the trends of the horizontal winds in the upper  
275 troposphere in MERRA2 (Fig. 2c) are larger than those in JRA55 and ERA5 (Figs. 2a  
276 and b). There are negative trends of vertical velocity in JRA55 and ERA5 while  
277 positive trends of vertical velocity in MERRA2 over the northern Pacific. However,

278 these differences are mainly due to the different time periods which are used to  
279 calculate the linear trends in JRA55 (1958-2017), ERA5 (1958-2017) and MERRA2  
280 (1980-2017). Supplementary Fig. 3 gives the trends of  $w$  and horizontal winds in  
281 NDJFM during 1980-2017 derived from JRA55, ERA5, and MERRA2 data, which  
282 shows insignificant differences between these reanalysis datasets. The trend patterns  
283 of the horizontal winds in JRA55, ERA5, and MERRA2 are consistent with each  
284 other (Supplementary Fig. 3). For the trends of vertical velocity, significant positive  
285 trends over the TWP region can be noted in the JRA55, ERA5, and MERRA2 datasets,  
286 although the trends in ERA5 are slightly weaker than those in JRA55 and MERRA2  
287 (Fig. 2 and Supplementary Fig. 3). Comparing to the negative trends of the vertical  
288 velocity over the central Pacific in JRA55 and ERA5, the negative trends in MERRA2  
289 extend more northward (Supplementary Fig. 3).

290 The time series of the upward motion intensity over the TWP from different  
291 datasets are given in Fig. 3. The intensity of the upward motion over the TWP used in  
292 Fig. 3 is simply defined as the area-averaged upward mass flux at a specific level, and  
293 the standardized intensity is calculated as the intensity divided by the standard  
294 deviation of the intensity at the corresponding level. The intensity of the upward  
295 motion over the TWP at 150 hPa increased significantly in NDJFM during last  
296 decades, which can be confirmed by all the three reanalysis datasets (Fig. 3). The  
297 intensity of the upward motion over the TWP at 150 hPa increased  $3.0 \pm 1.2 \times 10^8 \text{ kg s}^{-1}$   
298  $\text{decade}^{-1}$  ( $8.0 \pm 3.1\% \text{ decade}^{-1}$ ),  $1.3 \pm 1.2 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$  ( $3.6 \pm 3.3\% \text{ decade}^{-1}$ ), and  
299  $3.0 \pm 2.8 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$  ( $7.5 \pm 7.1\% \text{ decade}^{-1}$ ) in JRA55, ERA5, and MERRA2 data,

300 respectively. As shown in Figs. 3b and c, the intensity of the upward motion at 500  
301 hPa and 700 hPa in JRA55 and the intensity of the upward motion at 500 hPa in  
302 ERA5 over the TWP also increased significantly at 95% confidence level  
303 ( $4.6 \pm 2.6 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$ ,  $2.9 \pm 1.7 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$ , and  $2.5 \pm 2.5 \times 10^8 \text{ kg s}^{-1}$   
304  $\text{decade}^{-1}$ , respectively). The increasing trends of the intensity of the upward motion at  
305 700 hPa in ERA5 and at 500 hPa and 700 hPa in MERRA2 are significant at the 90%  
306 confidence level at rates of  $1.9 \pm 1.6 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$ ,  $5.4 \pm 5.3 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$   
307 and  $3.9 \pm 3.8 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$ , respectively. This suggests a comprehensive  
308 enhancement of vertical velocity through the whole troposphere, which is evident  
309 from the surface to 100 hPa (Supplementary Fig. 4). It can also be inferred that the  
310 upward motions over the TWP increased at different rates during the past decades due  
311 to the difference between JRA55, ERA5, and MERRA2 data. Hence, caution is  
312 suggested when investigating the trend of the upward motion over the TWP using the  
313 reanalysis data. While the trace gases in the TTL are modulated by the upward motion  
314 and subsequent vertical transport (e.g., Garfinkel et al., 2013; Xie et al., 2014b), such  
315 a strengthening of the upward motion over the TWP may lead to more tropospheric  
316 trace gases in the TTL.

317 The changes in the atmospheric circulation at the UTLS level in the tropics are  
318 closely related to the changes in the tropical deep convection and SSTs (e.g., Levine  
319 et al., 2008; Garfinkel et al., 2013; Xie et al., 2020). Here, the trends of observed OLR  
320 provided by NOAA (see Section 2) in NDJFM during 1974-2017 are shown in Fig. 4a.  
321 Though the time period of the observed OLR data is shorter than the time period we

322 analyzed, the changes in OLR could partly reflect the changes in the deep convection  
323 during 1958-2017. The OLR shows significantly negative trends over the TWP which  
324 indicates intensified deep convection over the TWP. The OLR trend pattern is very  
325 similar to the trend pattern of the 150 hPa  $w$  (Figs. 2a-c), which indicates that the  
326 increasing trends of 150 hPa  $w$  are closely related to the intensified deep convection  
327 over the TWP. The intensified deep convection not only lead to the strengthened  
328 upward motion in the UTLS (Highwood and Hoskins, 1998; Ryu and Lee, 2010), but  
329 also result in the decreased temperature near the tropopause which plays a dominant  
330 role in modulating the lower stratospheric water vapor concentration (e.g., Hu et al.,  
331 2016; Wang et al., 2016). Corresponding to the enhanced deep convection over the  
332 TWP, the CPTT derived from JRA55 data (see Fig. 4b) shows significantly decreasing  
333 trends over the TWP in NDJFM during 1958-2017, which is consistent with Xie et al.,  
334 (2014a). However, negative trends are also found in other regions in low and  
335 mid-latitudes, except over the central and east Pacific. It should be noted that the  
336 CPTT from different reanalysis datasets may show different trends even for the  
337 satellite period (Tegtmeier et al., 2020). Additionally, the JRA55 data before 1978  
338 may also lead to uncertainties in the CPTT trends. Caution is needed when discussing  
339 the trends of CPTT from reanalysis datasets.

340 The changes in the deep convection over the tropical Pacific may be related to  
341 the changes in the Pacific Walker circulation. The Pacific Walker circulation shows a  
342 significant intensification over the past decades (e.g., Meng et al., 2012; L'Heureux et  
343 al., 2013; McGregor et al., 2014). The vertical velocity at 500 hPa and 150 hPa shows

344 significantly positive trends over the TWP in NDJFM during 1958-2017 (Fig. 2).  
345 Meanwhile, the lower tropospheric zonal wind shows easterly trends over the tropical  
346 Pacific, while the upper tropospheric zonal wind shows westerly trends over the  
347 tropical Pacific, which suggests a strengthened Pacific Walker circulation and is  
348 consistent with previous studies (Hu et al., 2016; Ma and Zhou, 2016).

349 The strengthened Pacific Walker circulation is closely related to the changes in  
350 the SSTs (e.g., Meng et al., 2012; Ma and Zhou, 2016). The trends of the SSTs in  
351 NDJFM during 1958-2017 are shown in Fig. 4c. The SST shows significantly  
352 warming trends almost over the world except the central Pacific in NDJFM during  
353 1958-2017. In addition, the intensity of the upward motion over the TWP is  
354 significantly correlated with the SST (Fig. 4d), which suggests that the SST has  
355 important effects on the upward motion over the TWP. The correlation coefficient in  
356 Fig. 4d shows a La Niña-like pattern and indicates that the ENSO events exert  
357 important impacts on the upward motion over the TWP (Levine et al., 2008). The  
358 SSTs over the TWP are mainly positively correlated with the upward motion intensity  
359 over the TWP with negative correlations shown over the western maritime continent,  
360 while the SSTs over tropical central, eastern Pacific, and Indian Ocean show negative  
361 correlations with the intensity of the upward motion over the TWP. The SSTs over the  
362 Atlantic Ocean are poorly correlated with the upward motion intensity over the TWP  
363 (not shown). This result suggests that the changes in global SSTs may be the primary  
364 driver of the strengthened Pacific Walker circulation, which leads to enhanced deep  
365 convection and intensified upward motion over the TWP.

366 It could be found that there are extreme minima (1982, 1991, and 1997) in Fig. 3,  
367 which may be related to the El Niño events occurred in these years. To further figure  
368 out the impact of ENSO events on the upward motion over the TWP, Supplementary  
369 Fig. 5 displays the time series of the standardized intensity of the upward motion over  
370 the TWP at 150 hPa, 500 hPa, and 700 hPa in NDJFM in JRA55, ERA5, and  
371 MERRA2 with the ENSO signal removed using the linear regression method (Hu et  
372 al., 2018; Qie et al., 2021). The extreme minima (1982, 1991, and 1997) become  
373 much weaker in Supplementary Fig. 5 than those in Fig. 3, which indicates that the El  
374 Niño events are responsible for the extreme minima. The upward motions over the  
375 TWP at 150 hPa, 500 hPa, and 700 hPa in NDJFM in JRA55, ERA5, and MERRA2  
376 still show statistically significant increasing trends after removing the ENSO signal in  
377 Supplementary Fig. 5, which suggests that ENSO events exert limited impacts on the  
378 trends of the upward motion over the TWP in NDJFM during 1958-2017.

### 379 **3.2 Simulated trend of the upward motion over the TWP and its potential** 380 **mechanism**

381 To verify the impact of SST on the trend of the upward motion over the TWP, a  
382 couple of model simulations with WACCM4 are employed in the following analysis.  
383 Consistent with the results shown using the reanalysis data (Figs. 2a-c), the simulated  
384 150 hPa  $w$  (Control simulation) shows significantly increasing trends over the TWP  
385 and decreasing trends over the tropical eastern Pacific in NDJFM during 1958-2017  
386 (Fig. 5a). Additionally, the 150 hPa  $w$  simulated in the Fixsst simulation shows weak  
387 trends over the TWP (Fig. 5b). The difference between the Control and the Fixsst

388 simulations suggests that the trends of the 150 hPa  $w$  over the TWP region is  
389 dominated by the changes in the global SSTs during 1958-2017. There are also  
390 significantly positive trends of the vertical velocity over the TWP in the lower (700  
391 hPa) and middle troposphere (500 hPa) in the Control simulation, while the zonal  
392 winds are also enhanced over the tropical Pacific. The vertical velocity over the TWP  
393 in the Fixsst simulation shows weak negative trends and the changes in zonal winds  
394 over the tropical Pacific are very weak. This confirms the dominant role of the  
395 changes in global SSTs on the enhancement of the Walker circulation.

396 Previous studies found that the changes in the intensity of the Pacific Walker  
397 circulation and the stratospheric residual circulation are closely related to the changes  
398 in tropical SST (Meng et al., 2012; Tokinaga et al., 2012; Lin et al., 2015). As  
399 suggested by the correlation coefficients between the upward motion at 150 hPa over  
400 the TWP and SSTs in Fig. 4d, warmer SSTs over the tropical central and eastern  
401 Pacific, and Indian Ocean may lead to a weakened upward motion over the TWP  
402 (negative correlation). The warming trends of SSTs over the eastern maritime  
403 continent and tropical western Pacific may result in an intensification of the upward  
404 motion over the TWP. To verify the impact of the changes in the SSTs over eastern  
405 maritime continent and tropical western Pacific on the trends of the upward motion  
406 over the TWP, a couple of single-factor controlling time-slice simulations (R1 and R2)  
407 are performed with only SSTs over eastern maritime continent and tropical western  
408 Pacific (20°S-20°N, 120°E-160°E) in NDJFM changed in these two simulations. In  
409 R1, the SSTs over the eastern maritime continent and tropical western Pacific are



410 prescribed as the climatological mean SSTs during 1958-2017, while the SSTs over  
411 the eastern maritime continent and tropical western Pacific in R2 are prescribed as the  
412 climatological mean SSTs during 1958-1977 (more details are given in the section 2).  
413 The differences of the wind fields between R1 and R2 are shown in Fig. 6. The 150  
414 hPa  $w$  shows significantly positive anomalies over the TWP and negative anomalies  
415 over the tropical eastern Pacific, which is consistent with the trends of the 150 hPa  $w$   
416 in the Control simulation and the reanalysis datasets (Figs. 2 and 5). The upward mass  
417 flux over the TWP at 150 hPa increased approximately 27% in the R1 comparing with  
418 R2 due to the warming SSTs over the eastern maritime continent and tropical western  
419 Pacific (approximately 0.5 K). The upward motion in the lower and middle  
420 troposphere over the TWP shows increasing trends due to the enhanced convergence  
421 induced by the warmer SSTs over the TWP. This result is consistent with Hu et al.  
422 (2016), which suggested that the increased zonal gradient of the SSTs over the  
423 tropical Pacific could lead to a strengthened Pacific Walker circulation and an  
424 enhanced upward motion over the TWP. Therefore, the warmer SSTs over the TWP  
425 could contribute largely to the trend of the upward motion over the TWP in NDJFM  
426 during 1958-2017.

427       The changes in the OLR simulated in WACCM4 associated with the changes in  
428 the global SSTs are shown in Fig. 7. There are significantly enhanced deep convection  
429 as indicated by OLR over the TWP due to the strengthened convergence in the  
430 Control simulation, while the deep convection shows weak and even decreasing  
431 trends over the TWP in the Fixsst simulation (Figs. 7a and b). The enhanced deep

432 convection over the TWP could lead to the enhancing trends of the upward motion.  
433 Hence, it can be inferred that the changes in the global SSTs are responsible for the  
434 intensification of the Pacific Walker circulation, and the enhanced deep convection  
435 and a stronger upward motion over the TWP which could extend to the upper  
436 troposphere.

### 437 **3.3 Implications for the concentrations of water vapor and CO in the TTL** 438 **and lower stratosphere.**

439 Previous studies showed that the enhanced deep convection and upward motion  
440 could lead to increased CO in the UTLS (e.g., Duncan et al., 2007; Livesey et al.,  
441 2013). At the same time, water vapor mixing ratios in the UTLS may increase due to  
442 the enhanced upward motion which could bring more wet air from low altitude to  
443 high altitude (e.g., Rosenlof, 2003; Lu et al., 2020). However, the water vapor mixing  
444 ratios in the lower stratosphere also depend on the tropopause temperature (e.g.,  
445 Highwood and Hoskins, 1998; Garfinkel et al., 2018; Pan et al., 2019). Hence, the  
446 relationship between the intensity of upward motion and the water vapor  
447 concentration in the UTLS is complex. Here, the relationship between the trends of  
448 the upward motion over the TWP and the changes in CO and water vapor in the ULTS  
449 simulated with WACCM4 are analyzed.

450 The trends of CPTT, the 100 hPa streamfunction, and the water vapor  
451 concentration are shown based on the Control and the Fixsst simulation as well as  
452 their difference in Figs. 7d-i. The changes in the deep convection could lead to the  
453 changes in the atmospheric circulation by releasing the latent heat. The changes in the

454 tropical deep convection lead to a Rossby-Kelvin wave response at the UTLS level  
455 and then induce the changes in the air temperature near the tropopause (e.g., Gill,  
456 1980; Highwood and Hoskins, 1998). The trends of the 100 hPa streamfunction show  
457 a Rossby wave response over the TWP and a Kelvin wave response over the tropical  
458 eastern Pacific in the Control simulation (Fig. 7d), which is caused by the changes in  
459 the deep convection over the tropical Pacific. The Rossby-Kelvin wave response  
460 further leads to the decrease the CPTT over the TWP and the increase of the CPTT  
461 over the tropical eastern Pacific. Previous studies suggest that the lower stratospheric  
462 water vapor is mainly influenced by the coldest temperature near the tropopause (e.g.,  
463 Garfinkel et al., 2018; Zhou et al., 2021). Since the TWP has the coldest CPTT in the  
464 boreal winter (e.g., Pan et al., 2016), the significantly decreased CPTT over the TWP  
465 may result in significantly dried lower stratosphere (Fig. 7g). The intensity of the  
466 upward motion over the TWP shows negative correlations with the concentration of  
467 the tropical lower stratospheric water vapor (not shown). Hence, the enhanced upward  
468 motion over the TWP may correspond to a dried lower stratosphere. The CPTT shows  
469 weak trends over the TWP, and the tropical water vapor shows insignificant trends at  
470 70 hPa in the Fixsst simulation. The comparison between the Control simulation and  
471 the Fixsst simulation suggests that the trends of the deep convection, the CPTT, and  
472 the lower stratospheric water vapor concentration in the tropics in NDJFM during  
473 1958-2017 are dominated by the trends of the global SSTs, while other external  
474 forcings may play minor roles.

475 Generally, the intensified upward motion may lead to more tropospheric trace

476 gases lifting to the upper troposphere and entering the lower stratosphere (e.g.,  
477 Rosenlof, 2003; Lu et al., 2020). Here we use CO as a tropospheric tracer to detect the  
478 possible influences of the enhanced upward motion over the TWP on the  
479 transportation of the tropospheric trace gases to the upper troposphere and the lower  
480 stratosphere. Due to the data limitation, it is not possible to show the corresponding  
481 changes of trace gases by observations in NDJFM during 1958-2017. Here, the trends  
482 of CO at around 200 hPa from MOPITT and MLS observations are shown in the Fig.  
483 8. The CO increased significantly over the TWP in NDJFM in the upper troposphere  
484 from the MOPITT (at 200 hPa during 2000-2017) and MLS data (at 215 hPa during  
485 2005-2017). The concentration of MLS CO over the TWP is approximately 80 ppbv  
486 at 215 hPa from MLS observations and 70 ppbv at 200 hPa from MOPITT  
487 observations, which is consistent with previous study (e.g., Huang et al., 2016). The  
488 MLS CO data show that the area-averaged CO increased approximately  $2.0 \pm 3.7$  ppbv  
489  $\text{decade}^{-1}$  over the TWP in NDJFM during 2005-2017. The area-averaged MOPITT CO  
490 data show a stronger increase of approximately  $5.0 \pm 3.1$  ppbv  $\text{decade}^{-1}$  at 200 hPa  
491 from 2000 to 2017 (significant at the 95% confidence level). It should be pointed out  
492 that the linear trends of CO are calculated based on the satellite data which only cover  
493 14 or 18 years due to the data limitation. Hence, the linear trends of CO may have  
494 uncertainties particularly in the regions with large interannual variations. To partially  
495 overcome this shortage, the trends of MLS CO at 215 hPa during time periods of  
496 2005-2016, 2006-2016, 2006-2017, and 2007-2016 and the trends of MOPITT CO at  
497 200 hPa during time periods of 2000-2016, 2001-2016, 2001-2017, and 2002-2016

498 are shown in Supplementary Fig. 6. It could be found that the CO in the upper  
499 troposphere increased robustly over the TWP from both the MLS and MOPITT data.  
500 Overall, though the observed CO only covers less than 20 years, the results from the  
501 satellite data suggest a possible impact of the intensified upward motion over the  
502 TWP on the trace gases in the upper troposphere.

503 To further illustrate the impacts of the enhanced upward motion on the trace gas  
504 in the upper troposphere and lower stratosphere, the Control and Fixsst simulations  
505 with WACCM4 are used. The trends of the CO concentrations from the Control and  
506 Fixsst simulations as well as their differences are shown in Fig. 9. The tropical CO at  
507 150 hPa shows significantly increasing trends both in the Control and the Fixsst  
508 simulations at rates of  $3.4 \text{ ppbv decade}^{-1}$  and  $3.2 \text{ ppbv decade}^{-1}$ , respectively, (Figs. 9a  
509 and b). This suggests that the surface emission of the CO exerts the most important  
510 effect on the increase of the tropical CO concentration. The differences of the CO  
511 trends at 150 hPa between the Control simulation and the Fixsst simulation are also  
512 displayed in Fig. 9c. Since the surface emission inventories of the two simulations are  
513 the same, it can be inferred that the trends of the CO concentration in Fig. 9c are  
514 mainly caused by the changes in the atmospheric circulation induced by the changes  
515 in the global SSTs. The difference of the CO concentration at 150 hPa between the  
516 Control simulation and the Fixsst simulation shows a significantly increasing trend at  
517 a rate of  $0.2 \pm 0.1 \text{ ppbv decade}^{-1}$  over the TWP (significant at the 95% confidence  
518 level). At the same time, decreasing trends over the central Africa exist, which  
519 resembles to the trend patterns of the vertical velocity in the lower TTL and the deep

520 convection (Figs. 5i and 7c). This indicates that the enhanced deep convection in the  
521 TWP lead to the strengthened upward motion over the TWP, which results in an extra  
522 6% increasing trend of CO in the upper troposphere over the TWP. It could also be  
523 found that CO also increased in the mid latitudes of the southern hemisphere (Fig. 9c).  
524 According to previous studies, the CO perturbation from the Indonesian fires at upper  
525 troposphere could be transported to the tropical Indian Ocean by easterly winds and  
526 then to the subtropics in the southern hemisphere through the southward flow during  
527 boreal winter. The CO perturbation then spreads rapidly circling the globe following  
528 the subtropical jet (Duncan et al., 2007). This is consistent with our results which  
529 show intensified northerlies over the subtropical Indian Ocean (15°S-25°S,  
530 60°E-100°E) at a rate of approximately  $0.2 \text{ m s}^{-1} \text{ decade}^{-1}$  and strengthened westerlies  
531 over the subtropical Indian Ocean and western Pacific (20°N-35°N, 60°E-160°E) at a  
532 rate of approximately  $0.3 \text{ m s}^{-1} \text{ decade}^{-1}$  (Figs. 5c and f).

533 The trends of the zonal mean CO concentration from model simulations are  
534 displayed in Figs. 10a-c. The zonal mean CO shows significantly increasing trends at  
535 all levels in the Control simulation and the Fixsst simulation, while the difference of  
536 the zonal mean CO between the Control simulation and the Fixsst simulation shows  
537 significantly increasing trends in the TTL but negative trends in the middle  
538 troposphere in the tropics and the Northern Hemisphere. At the same time, the  
539 difference of CO concentration between the Control simulation and the Fixsst  
540 simulation averaged in the western Pacific (100°E-180°E) shows significantly  
541 increasing trends in the tropics (20°S-10°N) from the surface to the TTL (Fig. 10f).

542 The CO in the layer 150-70 hPa over the TWP increased  $3.2 \text{ ppbv decade}^{-1}$  and  $2.8$   
543  $\text{ppbv decade}^{-1}$  in the Control and Fixsst simulations in NDJFM during 1958-2017,  
544 respectively. And the CO difference between the Control and Fixsst simulations  
545 increased  $0.4 \pm 0.2 \text{ ppbv decade}^{-1}$  (significant at the 95% confidence level) in the layer  
546 150-70 hPa over the TWP, which suggests that the intensifying upward motion over  
547 the TWP and the tropical upwelling of BDC could lead to an extra 14% increasing  
548 trend of CO. This indicates that the increased zonal mean CO in the TTL (Fig. 10c) is  
549 mainly transported through the western Pacific bands and highlights the importance of  
550 the upward motion over the TWP in elevating trace gases from the surface to the  
551 upper troposphere.

552 To understand the CO trends in the Control and Fixsst simulations and their  
553 differences, the trends of vertical velocity averaged over the globe and the TWP band  
554 are given in Fig. 11. The zonal mean  $w$  shows weak and even decreasing trends in the  
555 tropics while the  $w$  over the TWP intensified in the Control simulation in NDJFM  
556 during 1958-2017. This is consistent with Fig. 5. While the SSTs fixed to  
557 climatological values, the zonal mean  $w$  shows weak trends and the  $w$  over the TWP  
558 shows significantly negative trends. The changes in the global SSTs therefore leads to  
559 the increase of the  $w$  over the TWP region as indicated in the differences between the  
560 two simulations in Fig. 11f. In summary, the CO shows increasing trends ( $3.5 \text{ ppbv}$   
561  $\text{decade}^{-1}$ ) at 150 hPa over the TWP in NDJFM during 1958-2017 induced by the  
562 changes in the surface emissions and the upward motion. The trends of CO at 150 hPa  
563 over the TWP in NDJFM during 1958-2017 in the Fixsst simulation mainly include

564 the impact induced by the increased surface emissions since the upward motion over  
565 the TWP in the Fixsst simulation shows weak trends. The difference between the  
566 Control and Fixsst simulations indicates that the enhanced tropospheric upward  
567 motion over the TWP forced by the changes in the global SSTs leads to some extra  
568 increase of CO concentrations in the upper troposphere. It should be mentioned that  
569 the increasing trends of CO in the lower troposphere in Fig. 10f may be mainly caused  
570 by the changes in the horizontal winds. Girach and Nair (2014) suggested that  
571 enhanced deep convection and the subsequent intensified upward motion may lead to  
572 a decreased CO concentration in the lower troposphere and an increased CO  
573 concentration in the upper troposphere. The trends of horizontal winds at 925 hPa are  
574 shown in Supplementary Fig. 8c. There are northerly trends over east Asia and  
575 northeasterly trends near the south Asia (Supplementary Fig. 8c), which suggests that  
576 more CO-rich air from east Asia and south Asia could be transported to the TWP in  
577 the Control simulation comparing to the Fixsst simulation. Since the CO  
578 concentration in the lower troposphere over the northern Pacific is higher than that  
579 over southern Pacific, the northerly trends over the western and central Pacific may  
580 also contribute to the increased CO in the lower troposphere over the TWP in Fig. 10f.

581 As discussed in the Introduction, the tropospheric trace gases enter the  
582 stratosphere mainly through the large-scale tropical upwelling associated with the BD  
583 circulation. The trends of the BD circulation in different model simulations as well as  
584 their differences are displayed in Fig. 12. The tropical upwelling of BDC ( $w^*$ )  
585 calculated using the TEM formula increased significantly in the lower stratosphere



586 over past decades as seen in the JRA55 data and the Control simulation (Figs. 12a and  
587 12b). We found that the 70 hPa upward mass flux in NDJFM in the tropics  
588 (15°S-15°N) increased  $2.8 \pm 1.9\%$  decade<sup>-1</sup> ( significant at the 95% confidence level) in  
589 the JRA55 data from 1958 to 2017 (Fig. 12a) and  $4.6 \pm 4.3\%$  decade<sup>-1</sup> ( significant at  
590 the 95% confidence level) in the MERRA2 data from 1980 to 2017 (Supplementary  
591 Fig. 7b). From the ERA5 data, the 70 hPa upward mass flux in NDJFM increased in  
592 the north hemisphere (0-15°N) at a rate of  $5.0 \pm 2.8\%$  decade<sup>-1</sup> ( significant at the 95%  
593 confidence level), but decreased significantly in the south hemisphere (0-15°S) during  
594 1958-2017 (Supplementary Fig. 7a). On average, the trend of the 70 hPa upward mass  
595 flux in NDJFM in the tropics (15°S-15°N) is not significant in ERA5. In fact, many  
596 previous studies have investigated the trends of BDC. For example, Abalos et al.  
597 (2015) investigated the trends of BDC derived from JRA55, MERRA, and  
598 ERA-Interim data during 1979-2012 and suggested that the BDC in JRA55 and  
599 MERRA significantly strengthened throughout the layer 100-10 hPa with a rate of  
600 2-5% decade<sup>-1</sup>, while the BDC in ERA-Interim shows weakening trends. Diallo et al.  
601 (2021) compared the trends of the BDC in the ERA5 and ERA-Interim during  
602 1979-2018 and pointed out that the BDC in the ERA-Interim shows weakening trend  
603 and the BDC in the ERA5 strengthened at a rate of 1.5% decade<sup>-1</sup> which is more  
604 consistent with other studies. In the present study, we only focus on the trend of the  
605 BDC in the wintertime (NDJFM) in the tropics (15°S-15°N) during 1958-2017, which  
606 may lead to some differences between our result and that in the previous studies.  
607 Overall, the trends of the tropical upwelling of BDC derived from JRA55, MERRA2

608 data and the Control simulation are similar to that in previous studies using both  
609 reanalysis datasets and model results (e.g., Butchart et al., 2010; Abalos et al., 2015;  
610 Fu et al., 2019; Rao et al., 2019; Diallo et al., 2021). However, the tropical upwelling  
611 of the BDC decreased in ERA5 data in the tropics (15°S-15°N), which are different  
612 from the results in JRA55 and MERRA2.

613 In the Fixsst simulation, the trend of  $w^*$  is much weaker and not significant in  
614 most areas. The changes in the global SSTs therefore play an important role in the  
615 intensification of the shallow branch of the BDC as shown by the differences between  
616 the two simulations in Fig. 12d. In summary, the tropical upwelling of the BDC is  
617 likely strengthened as shown in JRA55 and MERRA2 reanalyses as well as model  
618 simulations, although there are some uncertainties since the ERA5 data show a  
619 negative trend. This may impact on the transport of the tropospheric trace gases from  
620 the TTL to a higher altitude. The increased concentration of CO in the UTLS in Fig.  
621 9c and 10f may be due to a combined effect of the strengthened tropical upwelling of  
622 the BD circulation and the enhanced upward motion over the TWP. The enhancement  
623 of upward motion over the TWP, which transported more tropospheric trace gases to  
624 the upper troposphere, works together with the strengthened BD circulation under  
625 global warming may lead to an increase of tropospheric trace gases over the TWP in  
626 the lower stratosphere.

#### 627 **4 Summary and Discussion**

628 The recent trends of the upward motion from the lower to the upper troposphere  
629 in boreal winter over the TWP is investigated for the first time based on the JRA55,

630 ERA5, MERRA2 datasets and four WACCM4 simulations (more details could be  
631 found in Section 2). The upward motion at 150 hPa over the TWP in NDJFM  
632 increased  $8\pm 3.1\%$  decade<sup>-1</sup> and  $3.6\pm 3.3\%$  decade<sup>-1</sup> in NDJFM from 1958 to 2017 in  
633 JRA55 and ERA5 reanalysis datasets, respectively. Despite the possible  
634 discontinuities between the radiosonde era (after 1958) and the satellite era (after  
635 1979), the upward motion at 150 hPa over the TWP in NDJFM increased  $7.5\pm 7.1\%$   
636 decade<sup>-1</sup> during 1980-2017 in MERRA2 data. Such intensification of the upward  
637 motion over the TWP also exist in the middle- and lower-troposphere in NDJFM in  
638 JRA55, ERA5, and MERRA2, which can be confirmed by the WACCM4 model  
639 simulations. Comparing the results between the Control and Fixsst simulations with  
640 WACCM4, it is found that the trend of the upward motion over the TWP is closely  
641 related to the changes in global SSTs, especially the SST warming over the eastern  
642 maritime continent and tropical western Pacific (see the results from the experiments  
643 R1 and R2 in Fig. 7). Warmer SSTs over the eastern maritime continent and tropical  
644 western Pacific (approximately 0.5 K) lead to a strengthened Pacific Walker  
645 circulation, enhanced deep convection and approximately 27% intensified upward  
646 motion at 150 hPa over the TWP as shown by the results from the experiments R1 and  
647 R2. The enhanced deep convection over the TWP could lead to a dryer lower  
648 stratosphere over the TWP, as the strong upward motion and the Rossby-Kelvin wave  
649 responses induce a colder tropopause over the TWP. It should be pointed out that the  
650 results in the present study are mainly based on the reanalyses data, and some  
651 uncertainties may exist. More observational data are expected to be used to obtain a

652 more robust result in the future.

653 Results from the Control simulation indicate that the CO concentrations  
654 increased significantly from the surface to the stratosphere over the TWP. The CO at  
655 150 hPa increased at a rate of approximately  $3.4 \text{ ppbv decade}^{-1}$  with increased surface  
656 emissions and the enhanced upward motion over the TWP. Specifically, an  
657 enhancement of tropospheric upward motion and subsequent upward transport of  
658 trace gases over the TWP lead to an extra 6% increasing trend of CO concentrations  
659 in the upper troposphere.

660 Furthermore, the upward mass fluxes at 70 hPa in the tropics ( $15^{\circ}\text{S}$ - $15^{\circ}\text{N}$ ) show  
661 strengthening trends at rates of  $2.8 \pm 1.9\% \text{ decade}^{-1}$  and  $4.6 \pm 4.3\% \text{ decade}^{-1}$  in JRA55  
662 data (during 1958-2017) and MERRA2 data (during 1980-2017) in NDJFM,  
663 respectively, which is consistent with previous studies (e.g., Butchart et al., 2010; Fu  
664 et al., 2019; Rao et al., 2019). However, such enhancement in tropical upward mass  
665 flux at 70 hPa has large uncertainties since the ERA5 data show a negative and  
666 insignificant trend (Supplementary Fig. 7a). The results from the Control and Fixsst  
667 simulations indicate that the elevated CO in the upper troposphere is further uplifted  
668 to the lower stratosphere by the intensified tropical upwelling of the BD circulation  
669 due mainly to global SST warming and lead to an increase of CO in the lower  
670 stratosphere. An extra 14% increasing trend of CO at the layer 150-70 hPa over the  
671 TWP is derived from the Control and Fixsst simulations.

672 Tropospheric trace gases and aerosols have important impacts on the  
673 stratospheric processes if they enter the stratosphere. For example, ozone-depleting

674 substances, CH<sub>4</sub> and N<sub>2</sub>O could influence on the stratospheric ozone significantly  
675 (e.g., Shindell et al., 2013; Wang et al., 2014; WMO, 2018), which also modify the  
676 temperature in the stratosphere significantly through their strong radiative effects.  
677 Water vapor in the lower stratosphere, in particular, has a significant warming effect  
678 on the surface climate (Solomon et al., 2010). Therefore, changes of trace gases in the  
679 UTLS have important impacts on both tropospheric and stratospheric climate. Our  
680 results suggest that the upward motion over the TWP and the vertical component of  
681 the BDC at the lower stratosphere level have been intensified. These results suggest  
682 that the emission from the maritime continent and surrounding areas may play a more  
683 important role in the stratospheric processes and the global climate. In addition, more  
684 very short lived substances emitted from the tropical ocean could be elevated to the  
685 TTL by the enhanced convection and then transported into the stratosphere by the  
686 large-scale uplifts and exert important effects on the stratospheric chemistry. However,  
687 the quantitative impacts of the intensified upward motion over the TWP on  
688 tropospheric and stratospheric trace gases and aerosols and their climate feedbacks  
689 await further investigation using more observations and model simulations.

690

691 **Competing interests.** The authors declare that they have no conflict of interest.

692

693 **Author contributions.** WT designed the study. WW provided suggestions about the  
694 statistical methods and model simulations. KQ ran the models and wrote the first draft.  
695 RH, MX, and TW contributed to the manuscript writing. YP provided the data used in

696 the study. All authors contributed to the improvement of the results.

697

698

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704 corresponding scientific groups. The JRA55 data are from:

705 <http://rda.ucar.edu/datasets/ds628.0/>.

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1032

1033 **Figure captions:**

1034 **Fig. 1.** The climatological mean (averaged over 1958-2017) values of 150 hPa  $w$  ( $10^{-2}$   
1035  $\text{m s}^{-1}$ ) in different months derived from the JRA55 data.

1036 **Fig. 2.** Trends of vertical velocity and horizontal winds at 150 hPa, 500 hPa, 700 hPa  
1037 in NDJFM derived from JRA55, ERA5, and MERRA2 data. The trends of horizontal  
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1042 1980-2017 and the wind field data are from MERRA2. The vertical velocity trends  
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1044 white areas denote missing values. The black rectangles denote the TWP region  
1045 ( $20^{\circ}\text{S}$ - $10^{\circ}\text{N}$ ,  $100^{\circ}\text{E}$ - $180^{\circ}\text{E}$ ).

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1048 (c) 700 hPa extracted from JRA55 (red), ERA5 (black) and MERRA2 (blue) datasets.  
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1052 **Fig. 4.** Trends of (a) observed outgoing longwave radiation (OLR, units:  $\text{W m}^{-2} \text{a}^{-1}$ )  
1053 provided by NOAA during 1974-2017; (b) cold-point tropopause temperature (CPTT,  
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1055 during 1958-2017 in NDJFM. (d) The correlation coefficients between the intensity of  
1056 the upward motion at 150 hPa over the TWP and SST in NDJFM during 1958-2017  
1057 with the linear trends removed. The trends and correlation coefficients over the dotted  
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1060 **Fig. 5.** The trends of vertical velocity and horizontal winds at 150 hPa, 500 hPa, and  
1061 700 hPa in NDJFM during 1958-2017 in the Control and Fixsst simulations as well as  
1062 their difference. The trends of 150 hPa  $w$  (shading, units:  $10^{-4} \text{m s}^{-1} \text{a}^{-1}$ ) and horizontal  
1063 winds (arrows;  $10^{-1} \text{m s}^{-1} \text{a}^{-1}$ ) from (a) Control simulation; (b) Fixsst simulation; and  
1064 (c) difference between the Control simulation and the Fixsst simulation in NDJFM  
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1069 **Fig. 6.** The difference of vertical velocity (shading, units:  $10^{-2} \text{m s}^{-1}$ ) and horizontal

1070 winds (arrows, units:  $\text{m s}^{-1}$ ) at (a) 150 hPa; (b) 500 hPa; and (c) 700 hPa in NDJFM  
1071 between experiments R1 and R2. The differences between vertical velocity over the  
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1074 **Fig. 7.** Trends of OLR ( $\text{W m}^{-2} \text{a}^{-1}$ ) (a)-(c), CPTT (shading, units:  $10^{-1} \text{K a}^{-1}$ ) and 100  
1075 hPa streamfunction (contour, units:  $10^6 \text{m}^2 \text{s}^{-1} \text{a}^{-1}$ ) (d)-(f), and 70 hPa water vapor  
1076 concentration (units:  $10^{-2} \text{ppmv a}^{-1}$ ) (g)-(i) in NDJFM during 1958-2017 in the  
1077 Control and Fixsst simulations as well as their difference. (a), (d), and (g) are the  
1078 results in the Control simulation; (b), (e), and (h) are the results in the Fixsst  
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1084 **Fig. 8.** The trends of CO derived from the MOPITT and MLS data. (a) The trends of  
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1086 trends of CO ( $10^{-1} \text{ppbv a}^{-1}$ ) at 200 hPa using MOPITT data in NDJFM during  
1087 2000-2017. The trends of CO over the dotted region are statistically significant at the  
1088 90% confidence level.

1089 **Fig. 9.** The trends of 150 hPa CO concentration ( $10^{-4} \text{ppmv a}^{-1}$ ) from (a) Control  
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1091 and the Fixsst simulation in NDJFM during 1958-2017. The trends in (a)-(c) over the

1092 dotted regions are statistically significant at the 95% confidence level. The black  
1093 rectangles denote the TWP region (20°S-10°N, 100°E-180°E).

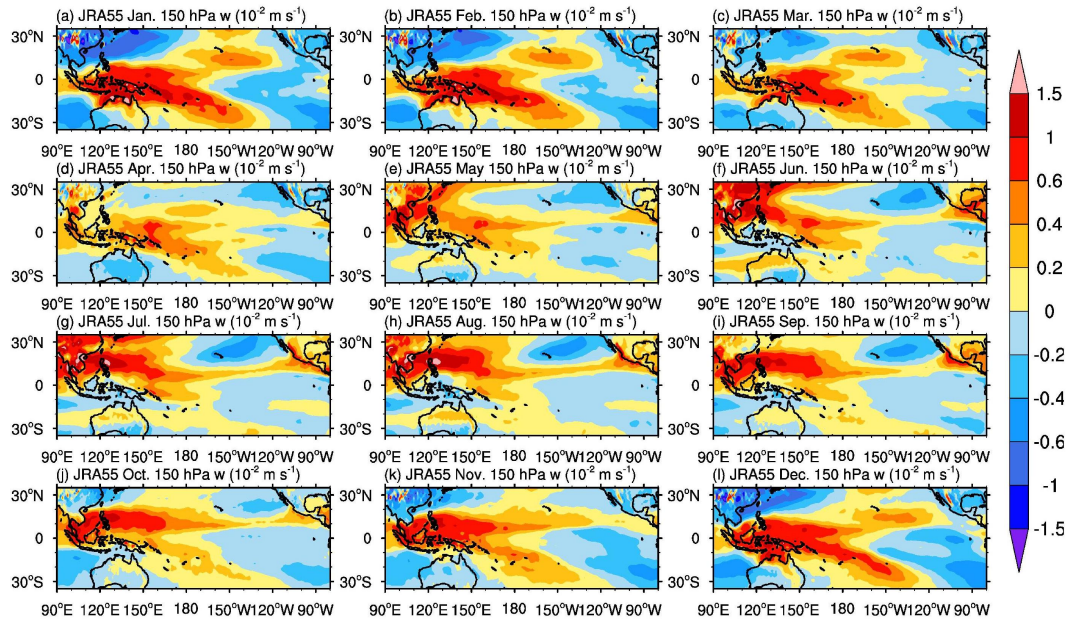
1094 **Fig. 10.** Latitude-pressure cross sections of the trends of (a)-(c) zonal mean CO  
1095 concentration ( $10^{-4}$  ppmv  $a^{-1}$ ) and (d)-(f) CO concentration ( $10^{-4}$  ppmv  $a^{-1}$ ) over the  
1096 TWP (100°E-180°E) in NDJFM during 1958-2017 in the Control simulation and  
1097 Fixsst simulation as well as their difference. (a) and (d) are the CO trends in the  
1098 Control simulation. (b) and (e) are the results in the Fixsst simulation. (c) and (f) are  
1099 the results derived from the difference between the Control and Fixsst simulations.  
1100 The trends over the dotted regions are statistically significant at the 95% confidence  
1101 level.

1102 **Fig. 11.** Latitude-pressure cross sections of the trends of (a)-(c) the zonal mean  $w$  ( $10^{-4}$   
1103  $m s^{-1} a^{-1}$ ) and  $v$  ( $10^{-1} m s^{-1} a^{-1}$ ) and (d)-(f)  $w$  ( $10^{-4} m s^{-1} a^{-1}$ ) and  $v$  ( $10^{-1} m s^{-1} a^{-1}$ ) over  
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1105 Fixsst simulation as well as their difference. (a) and (d) are the trends of  $w$  and  $v$  in  
1106 the Control simulation. (b) and (e) are the results in the Fixsst simulation. (c) and (f)  
1107 are the results derived from the difference between the Control and Fixsst simulations.  
1108 The shadings denote the trends of the  $w$  ( $10^{-4} m s^{-1} a^{-1}$ ). The trends over the dotted  
1109 regions are statistically significant at the 90% confidence level.

1110 **Fig. 12.** Trends of the BDC (vectors, units in the horizontal and vertical components  
1111 are  $10^{-2}$  and  $10^{-5} m s^{-1} a^{-1}$ , respectively) calculated using the TEM formula from (a)  
1112 JRA55; (b) Control simulation; (c) Fixsst simulation; and (d) difference between the  
1113 Control simulation and the Fixsst simulation in NDJFM during 1958-2017. The

1114 shadings are the trends of the  $w^*$  ( $10^{-5} \text{ m s}^{-1} \text{ a}^{-1}$ ). The trends of the vertical velocity  
1115 over the dotted regions are statistically significant at the 90% confidence level.  
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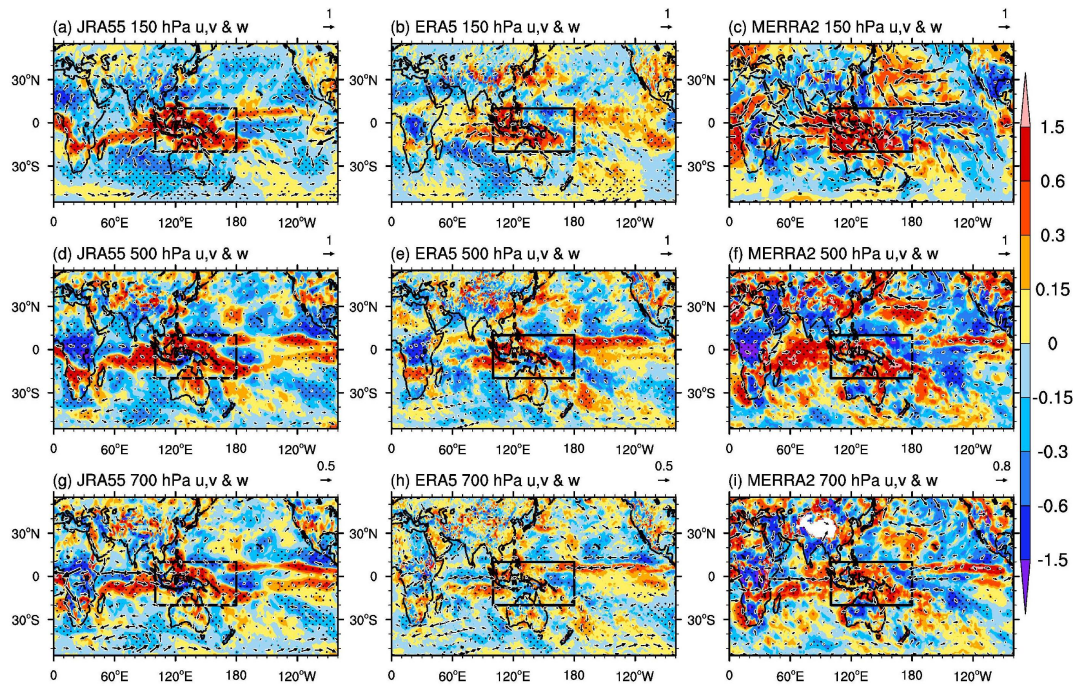
1117 **Figures**



1118

1119 **Fig. 1.** The climatological mean (averaged over 1958-2017) values of 150 hPa  $w$  ( $10^{-2}$   
1120  $m s^{-1}$ ) in different months derived from the JRA55 reanalysis data.

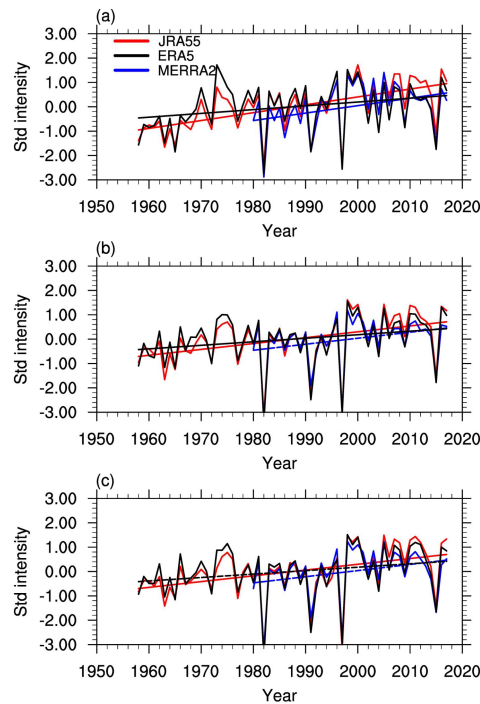
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1123 **Fig. 2.** Trends of vertical velocity and horizontal winds at 150 hPa, 500 hPa, 700 hPa  
 1124 in NDJFM derived from JRA55, ERA5, and MERRA2 data. The trends of horizontal  
 1125 winds (arrows, units:  $10^{-1} \text{ m s}^{-1} \text{ a}^{-1}$ ) and vertical velocity (shading, units:  $10^{-4} \text{ m s}^{-1} \text{ a}^{-1}$ )  
 1126 at (a) 150 hPa; (d) 500 hPa; and (g) 700 hPa from JRA55 in NDJFM during  
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 1131 white areas denote missing values. The black rectangles denote the TWP region  
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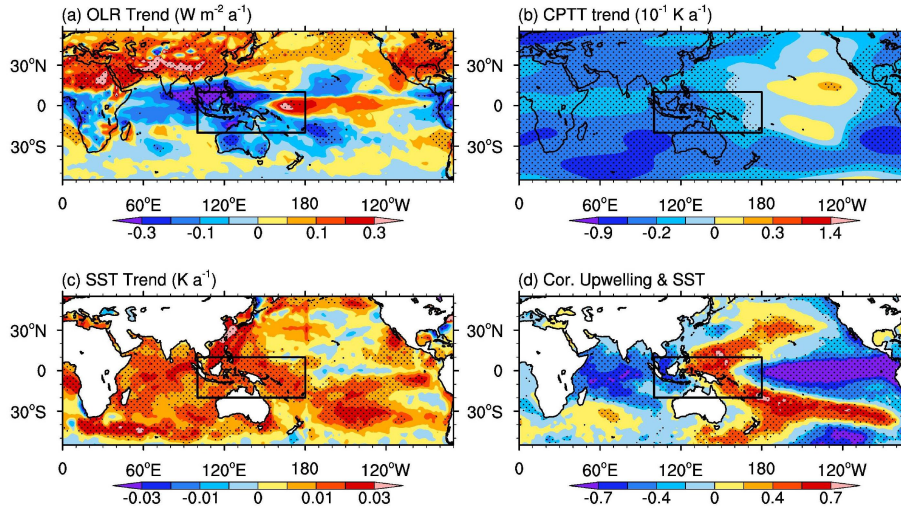


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1136 **Fig. 3.** The time series of the standardized intensity of the upward motion over the  
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 1138 (c) 700 hPa extracted from JRA55 (red), ERA5 (black) and MERRA2 (blue) datasets.  
 1139 The straight lines in each figure indicate the linear trends. The solid lines denote the  
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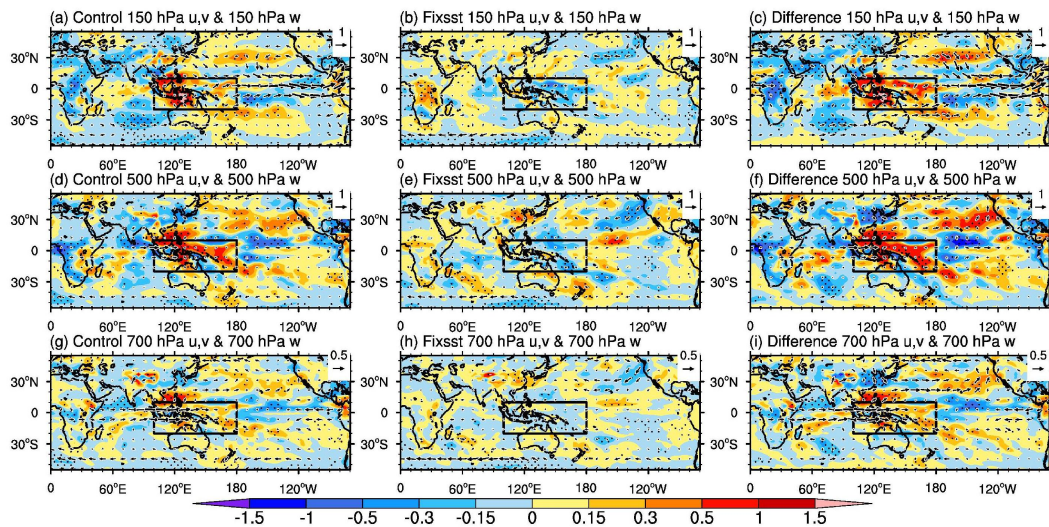




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 1147 during 1958-2017 in NDJFM. (d) The correlation coefficients between the intensity of  
 1148 the upward motion at 150 hPa over the TWP and SST in NDJFM during 1958-2017  
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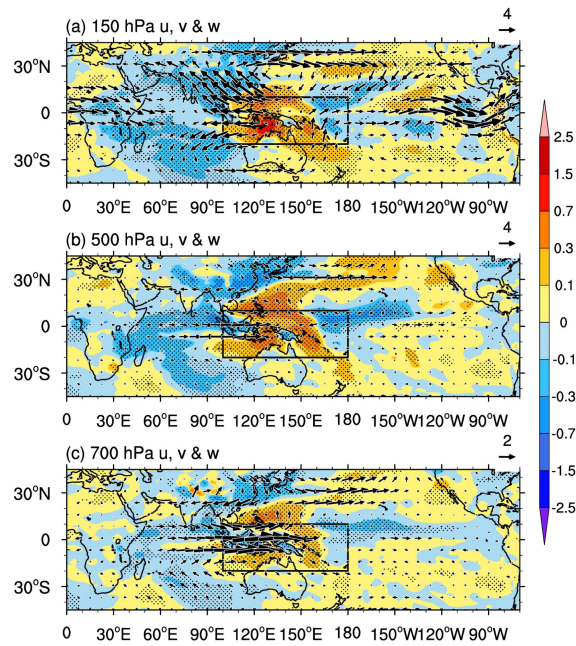


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 1158 (c) difference between the Control simulation and the Fixsst simulation in NDJFM  
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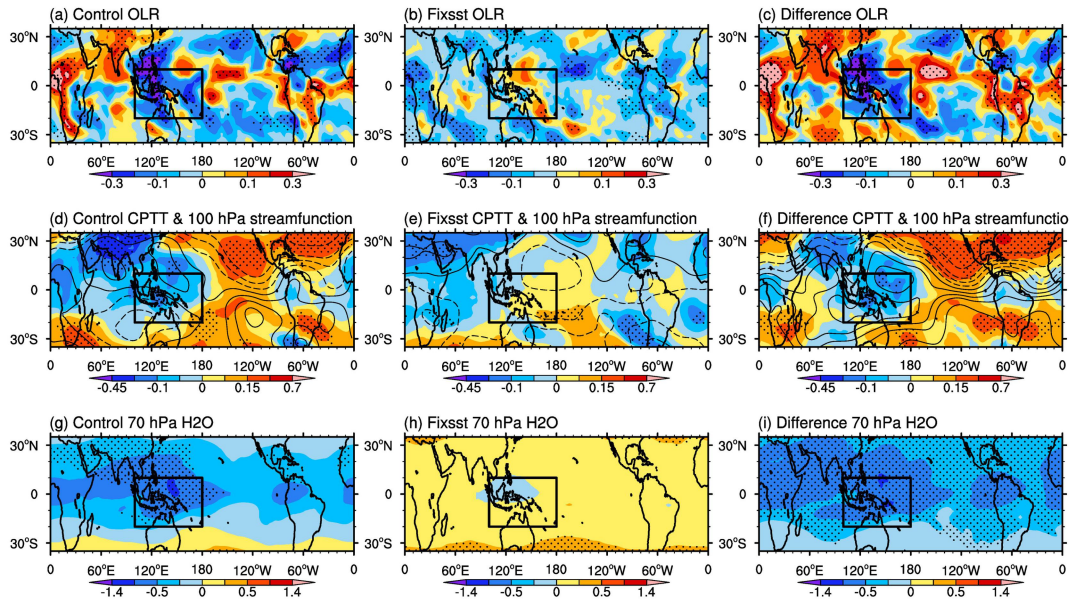
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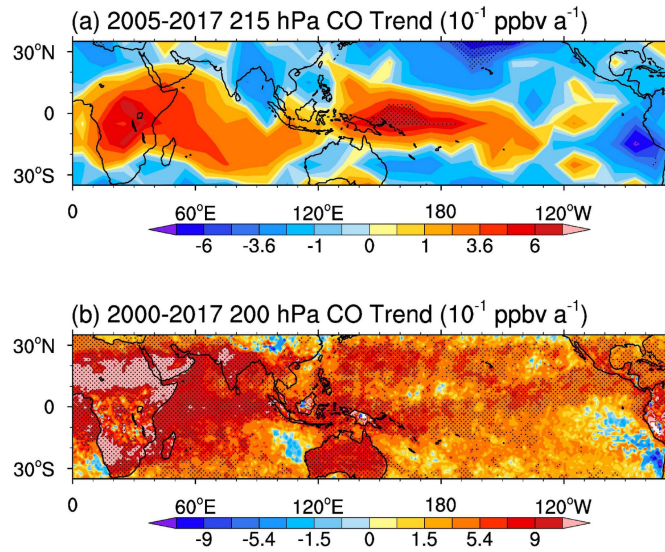


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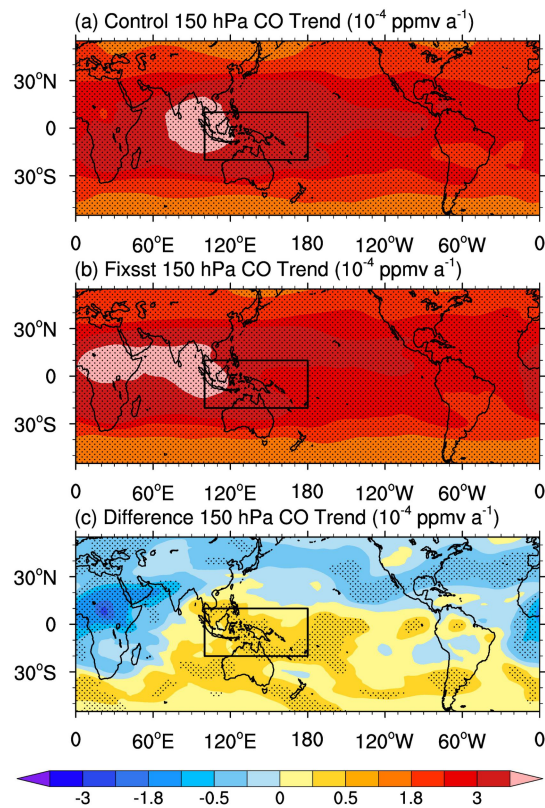


1184

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 1187 trends of CO ( $10^{-1}$  ppbv  $a^{-1}$ ) at 200 hPa using MOPITT data in NDJFM during  
 1188 2000-2017. The trends of CO over the dotted region are statistically significant at the  
 1189 90% confidence level.

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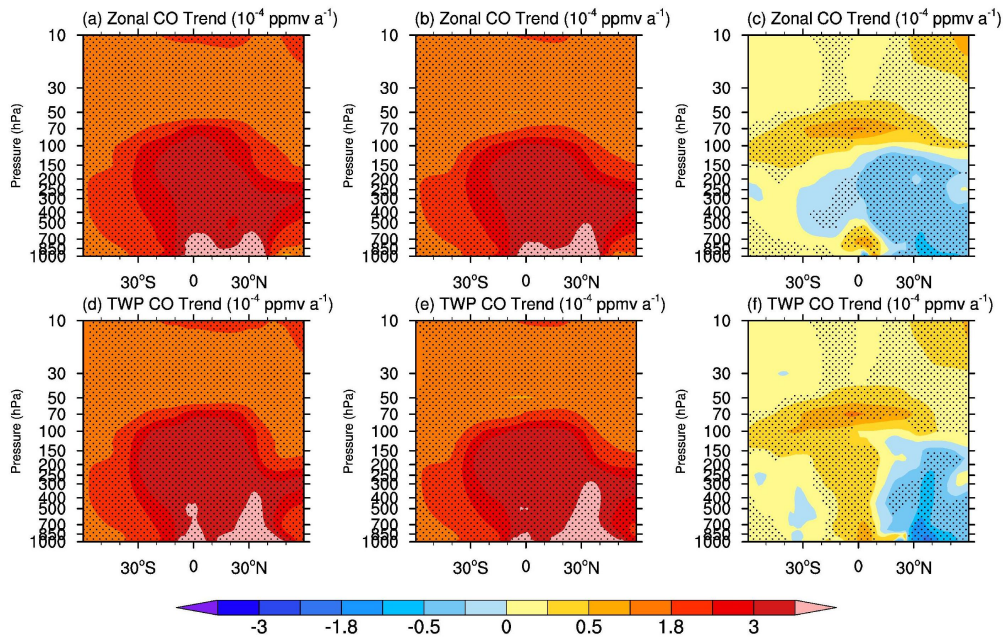
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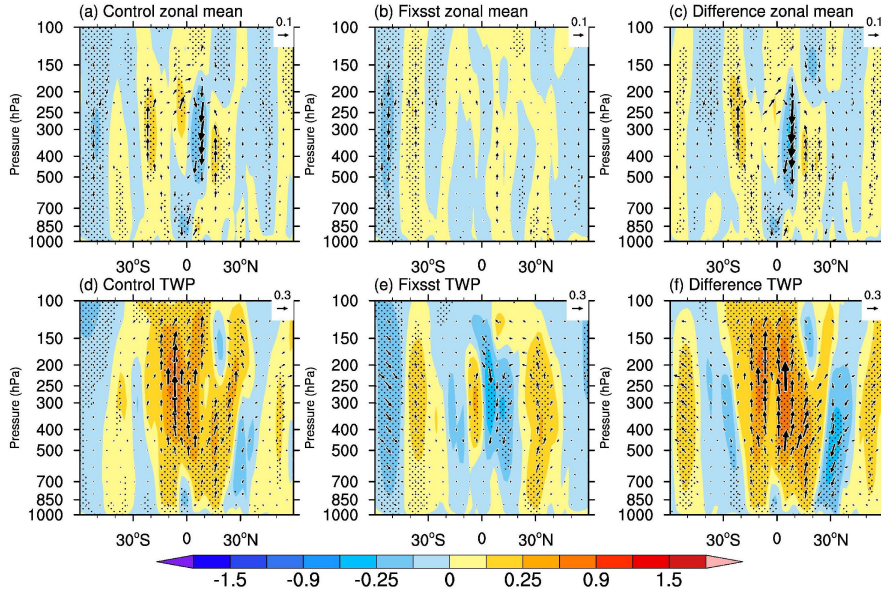
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1206 Control simulation. (b) and (e) are the results in the Fixsst simulation. (c) and (f) are  
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1208 The trends over the dotted regions are statistically significant at the 95% confidence  
1209 level.

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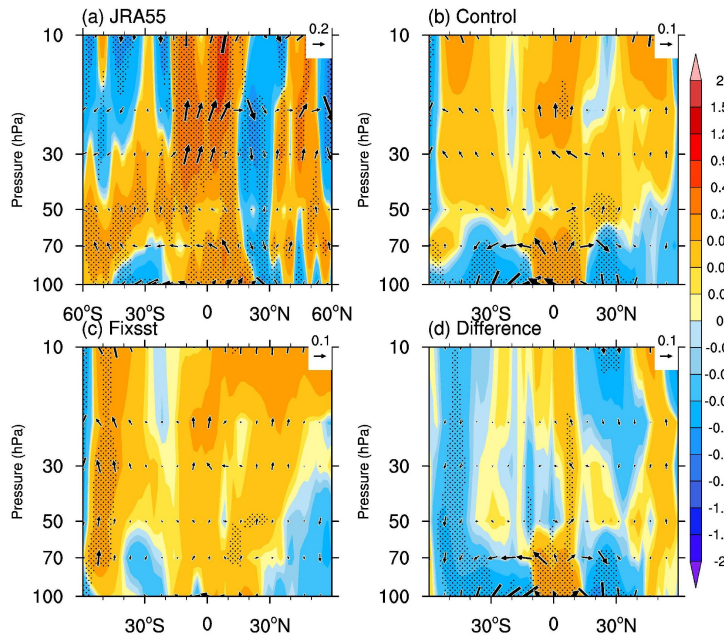


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1212 **Fig. 11.** Latitude-pressure cross sections of the trends of (a)-(c) the zonal mean  $w$  ( $10^{-4}$   
 1213  $\text{m s}^{-1} \text{a}^{-1}$ ) and  $v$  ( $10^{-1} \text{m s}^{-1} \text{a}^{-1}$ ) and (d)-(f)  $w$  ( $10^{-4} \text{m s}^{-1} \text{a}^{-1}$ ) and  $v$  ( $10^{-1} \text{m s}^{-1} \text{a}^{-1}$ ) over  
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 1217 are the results derived from the difference between the Control and Fixsst simulations.  
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 1219 regions are statistically significant at the 90% confidence level.

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1221

1222 **Fig. 12.** Trends of the BDC (vectors, units in the horizontal and vertical components  
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 1224 JRA55; (b) Control simulation; (c) Fixsst simulation; and (d) difference between the  
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 1227 over the dotted regions are statistically significant at the 90% confidence level.

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