

1 **Enhanced upward motion through the troposphere over the tropical**
2 **western Pacific and its implications for the transport of trace gases**
3 **from the troposphere to the stratosphere**

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13

14 **Abstract**

15 The tropical western Pacific (TWP) is a preferential area of air uplifting from the
16 surface to the upper troposphere. A significantly intensified upward motion through
17 the troposphere over the TWP in the boreal wintertime (November to March of the
18 next year, NDJFM) has been detected using multiple reanalysis datasets. The upward
19 motion over the TWP is intensified at rates of $8.0\pm 3.1\%$ decade⁻¹ and $3.6\pm 3.3\%$
20 decade⁻¹ in NDJFM at 150 hPa from 1958 to 2017 using JRA55 and ERA5 reanalysis
21 datasets, while the MERRA2 reanalysis data show a $7.5\pm 7.1\%$ decade⁻¹ intensified
22 upward motion for the period 1980-2017. Model simulations using the Whole
23 Atmosphere Community Climate Model, version 4 (WACCM4) suggest that warming
24 global sea surface temperatures (SSTs), particularly SSTs over the eastern maritime
25 continent and tropical western Pacific, play a dominant role in the intensification of
26 the upward motion by strengthening the Pacific Walker circulation and enhancing the
27 deep convection over the TWP. Using CO as a tropospheric tracer, the WACCM4
28 simulations show that an increase of CO at a rate of 0.4 ppbv decade⁻¹ at the layer
29 150-70 hPa in the tropics is mainly resulted from the global SST warming and the
30 subsequent enhanced upward motion over the TWP in the troposphere and
31 strengthened tropical upwelling of Brewer-Dobson (BD) circulation in the lower
32 stratosphere. This implies that more tropospheric trace gases and aerosols from both
33 natural maritime source and outflow from polluted air from South Asia may enter the
34 stratosphere through the TWP region and affect the stratospheric chemistry and
35 climate.

36 | **Keywords:** Upward motion; Troposphere-to-stratosphere transport; Tropical western

37 Pacific; Trend; Sea surface temperature

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39

40 **1 Introduction**

41 The tropical western Pacific (TWP) is a critical region for tropical and global
42 climate (e.g., Webster et al., 1996; Hu et al., 2020). It has the largest area of warm sea
43 surface temperature (exceeding 28 °C) which fuels intense and massive deep
44 convection and thus is the largest source of latent heat and water vapor into the
45 atmosphere (Webster and Lukas, 1992). The TWP region is also the most important
46 source of tropospheric air entering the stratosphere due to the strong upward motion
47 and deep convection over this region (e.g., Fueglistaler et al., 2004; Pan et al., 2016).
48 Through the TWP region, tropospheric trace gases, e.g., the natural maritime
49 bromine-containing substances and outflow from anthropogenic emissions from South
50 Asia, are lifted to the upper troposphere and lower stratosphere (UTLS) by the strong
51 upward motion and the deep convection and subsequently into the stratosphere by the
52 large-scale upwelling (e.g., Levine et al., 2007, 2008; Navarro et al., 2015), which
53 affect the ozone concentration and other chemical processes in the stratosphere (e.g.,
54 Feng et al., 2007; Sinnhuber et al., 2009). At the same time, the TWP region has the
55 lowest cold-point tropopause temperature (CPTT) over the globe and plays an
56 important role in controlling the water vapor concentration in the stratosphere (e.g.,
57 Fueglistaler et al., 2009; Newell and Gould-Steward, 1981; Pan et al., 2016; Randel
58 and Jensen, 2013). The TWP is an important region for tropospheric trace gases being
59 transported from the troposphere to the stratosphere, and therefore influencing the
60 stratospheric chemistry (e.g., Fueglistaler et al., 2004; Levine et al., 2007; Krüger et

61 [al., 2008; Pan et al., 2016](#)).

62 The TWP was thought to be the main pathway of the troposphere-to-stratosphere
63 transport. A concept of “stratospheric fountain” was proposed by Newell and
64 Gould-Steward (1981), which suggested that the poor-water vapor air in the
65 stratosphere stems mainly from the TWP region. However, following studies using the
66 observational and reanalysis data showed that there is subsidence at the
67 near-tropopause level over the maritime continent, which is named as the
68 “stratospheric drain” (Gettelman et al., 2000; Sherwood, 2000; Fueglistaler et al.,
69 2004). Further studies verified that the large-scale transport from the tropical
70 tropopause layer (TTL) to the stratosphere is dominated by the upward branch of the
71 Brewer-Dobson (BD) circulation (Brewer, 1949; Dobson, 1956; Holton et al., 1995)
72 while the local upwelling may play a minor role (e.g., Levine et al., 2007; Fueglistaler
73 et al., 2009; Schoeberl et al., 2018).

74 Though [the vertical transport from TTL to the lower stratosphere is dominated by](#)
75 [the BD circulation](#), numerous studies confirmed that the TWP region is an important
76 pathway of the surface air entering the TTL (Fueglistaler et al., 2004; Levine et al.,
77 2007; Krüger et al., 2008; Haines and Esler, 2014). Based on a trajectory model,
78 Fueglistaler et al. (2004) pointed out that approximately 80% of the trajectories
79 ascending into the stratosphere from the TTL are originated from the TWP region.
80 Bergman et al. (2012) suggested that the tropospheric air over the TWP enters the
81 stratosphere mainly in boreal winter, while less air over the TWP could be transported
82 into the stratosphere during boreal summer. Other studies also found that the TWP

83 region is an important source of the tropospheric trace gases in the TTL (e.g., Newton
84 et al., 2018; Pan et al., 2016; Wales et al., 2018), even the polluted air from East Asia
85 could be transported rapidly to Southeast Asia by meridional winds and subsequently
86 be elevated to the tropical upper troposphere by the strong upward motion and the
87 deep convection (Ashfold et al., 2015). Hence, the strength of the upward motion over
88 the TWP region during boreal winter is a key feature for understanding the variations
89 of trace gases in the TTL and therefore important for stratospheric chemistry and
90 climate.

91 The strength of the TWP upward motion is closely related to atmospheric
92 circulation and deep convection. The ascending branch of the Pacific Walker
93 circulation and the strong deep convection over the TWP allow rapid transport from
94 the surface to the upper troposphere (Hosking et al., 2012). In association with global
95 warming, atmospheric circulation, deep convection as well as the boundary conditions
96 (e.g., sea surface temperature; SST) have been changed. For example, the Hadley cell
97 has been extended to the subtropics and the Walker circulation over the Pacific has
98 been shifted westward over the past decades (e.g., Lu et al., 2007; Garfinkel et al.,
99 2015; Ma and Zhou, 2016). At the same time, SSTs over most of areas are getting
100 warmer (Cane et al., 1997; Deser et al., 2010), which modulates the deep convection
101 and atmospheric wave activities in the troposphere and then lead to changes of
102 atmospheric circulations from the troposphere and the stratosphere (e.g., Garfinkel et
103 al., 2013; Xie et al., 2012, 2014a; Wang et al., 2015; Hu et al., 2016; Lu et al., 2020).
104 However, how the strength of the upward motion in the lower TTL over the TWP

105 region has been changed over the past decades remains unclear. In this study, we
106 investigate the long-term trend of the upward motion over the TWP using JRA55,
107 ERA5, and MERRA2 reanalysis datasets and different WACCM4 simulations as
108 described in Section 2. The implication of the changes in the upward motion over the
109 TWP to the transport of trace gases from the surface to the UTLS will be discussed in
110 Section 3.

111 **2 Data and method**

112 **Reanalysis data.** To investigate the long-term trend of the upward motion over
113 the TWP through the troposphere, three most recent reanalysis datasets, including
114 JRA55 from the Japan Meteorological Agency (JMA), ERA5 from the European
115 Centre for Medium Range Weather Forecasting (ECMWF) and MERRA2 from the
116 National Aeronautics and Space Administration/Global Modeling and Assimilation
117 Office (NASA/GMAO) are used in this study. The JRA55 is produced by a four
118 dimensional variational (4D-Var) data assimilation system and provide 6-hourly data
119 (Harada et al. 2016). It has a vertical resolution of 60 levels from the surface to 0.1
120 hPa and a high horizontal resolution (T319). It covers the period from 1958 to present,
121 are interpolated to the standard pressure levels and 1.25°×1.25° horizontal mesh.
122 More details could be found in Kobayashi et al., 2015. The ERA5 reanalysis is the
123 newest generation product from the ECMWF (Hersbach et al., 2020). The ERA5 data
124 are based on the Integrated Forecasting System (IFS) Cy41r2, which includes the
125 improved model physics, core dynamics and data assimilation. The ERA5 has hourly
126 output, a horizontal resolution of 31 km, and a vertical resolution of 137 levels

127 extending into the middle atmosphere (0.01 hPa) and covers the period from 1979 to
128 present. The ERA5 data also extend back to 1958, which is coinciding with the time
129 that radiosonde observations in the Arctic became more systematic and regular. It
130 should be noted that the ERA5 data suffer from a bias during 2000-2006, and are
131 replaced by the ERA5.1 data in this period here. The MERRA2 data are also used,
132 which are only accessible after 1980 (Gelaro et al., 2017). The MERRA2 data are
133 produced by NASA/GMAO using Goddard Earth Observing System model (GEOS),
134 which have 3-hourly temporal resolution, 72 vertical levels up to 0.01 hPa, and a
135 horizontal resolution of $0.5^{\circ} \times 0.625^{\circ}$. Although the horizontal and vertical resolution
136 of MERRA2 data are similar to MERRA data, the MERRA2 data represent UTLS
137 processes better (Gelaro et al., 2017). The monthly mean air temperature, horizontal
138 wind fields and vertical velocity at different pressure levels are extracted from the
139 three Reanalysis datasets. In the present study, we mainly focus on the upward motion
140 over TWP region in NDJFM, which is defined as 20°S - 10°N , 100°E - 180° due to the
141 strong upward motion (Fig. 1) and significantly increasing trends of the upward
142 motion (Fig. 2) over there.

143 A special caution is needed because of the limitations of reanalysis data. The
144 reanalysis datasets assimilate observational data based on the ground- and
145 space-based remote sensing platforms to provide more realistic data products.
146 However, previous studies suggested that there are still uncertainties in the reanalysis
147 data (e.g., Simmons et al., 2014; Long et al., 2017; Uma et al., 2021). The accuracy of
148 the vertical velocity in reanalysis data sets has been evaluated by the Reanalysis

149 Intercomparison Project (Fujiwara et al., 2017), which is initiated by the
150 Stratosphere-troposphere Processes And their Role in Climate (SPARC). Results of a
151 comparison between the radar observed data and the reanalysis data indicate that the
152 updrafts in the UTLS are captured well near the TWP even though there are still large
153 biases in the reanalysis datasets and the updrafts from the JRA55 data are stronger
154 than those from the ERA5 and MERRA2 data (Uma et al. 2021). Additionally,
155 discontinuities in the reanalysis data due to different observing systems (for example,
156 transition from TOVS to ATOVS) may still exist (e.g., Long et al., 2017), which could
157 lead to uncertainties in the long-term trend of a certain meteorological field.
158 Hitchcock (2019) suggested that the reanalysis uncertainty is larger in the radiosonde
159 era (after 1958) than in the satellite era (after 1979), but the radiosonde era is of
160 equivalent value to the satellite era because the dynamical uncertainty dominates in
161 the both eras. The data in the radiosonde era (1958-1978) used in the present study
162 may induce uncertainties in our results. Therefore, we discuss the trends for both the
163 periods of 1958-2017 and 1980-2017. In addition, we combine three most recent
164 reanalysis datasets (JRA55, ERA5, and MERRA2) to obtain relatively robust results.

165 **Observed CO data.** CO is used as a tropospheric tracer in this study to indicate
166 the vertical transport from the near-surface to the upper troposphere and the lower
167 stratosphere. The CO data used in the present study are from space-borne Microwave
168 Limb Sounder (MLS; Livesey et al., 2015) observation and Measurements Of
169 Pollution In The Troposphere instrument (MOPITT; Deeter et al., 2019). MLS is
170 carried by Aura, which has a sun-synchronous orbit at 705 km with a 16-day repeat

171 cycle. MLS observations are made from 82°S to 82°N and cover the period from 2005
172 to the present. MLS provides the CO data from the upper troposphere to the
173 mesosphere. MLS CO v4 level1 data used in the present study are processed using the
174 recommended procedures (Livesey et al., 2015) and interpolated into a 5°×5°
175 horizontal mesh. MOPITT CO data are also used for comparison. MOPITT
176 instrument is aboard on the Terra satellite permitting retrievals of CO vertical profiles
177 using both thermal-infrared and near-infrared measurements and has a field of view of
178 22 km×22 km. The Terra satellite was launched in 1999 with a 705 km
179 sun-synchronous orbit. MOPITT provides the CO data from the surface to the upper
180 troposphere during the period of 2000/03 to the present. Here, we use the daytime
181 only MOPITT v8 level3 CO data. For comparison, we focus on the CO concentrations
182 in MLS and MOPITT data at similar level (215 hPa in MLS data and 200 hPa in
183 MOPITT data, respectively).

184 **SST and outgoing longwave radiation (OLR) data.** SST data are used in this
185 study to investigate the relationship between the upward motion and SSTs. The SST
186 data are from the HadISST dataset (1°×1° horizontal mesh) during 1958-2018
187 (Rayner et al., 2003). OLR is often utilized to reflect the deep convection in the
188 tropics. The OLR data are extracted from NOAA Interpolated OLR dataset on a
189 2.5°×2.5° horizontal mesh during 1974/11-2018/03 (Liebmann and Smith, 1996).

190 **Model simulations.** A series of model simulations with the Whole Atmosphere
191 Community Climate Model version 4 (WACCM4) are performed to find out the main
192 impact factors of the trend of the upward motion over the TWP. The WACCM4 is a

193 chemical-climate model with a horizontal resolution of $1.9^{\circ} \times 2.5^{\circ}$ (Marsh et al., 2013).
194 The WACCM4 has vertical 66 levels from the surface to 145 km with vertical
195 resolution of approximately 1 km in the UTLS, which is numerously used to
196 investigate the transport of the trace gases from the troposphere to the stratosphere
197 (e.g., Randel et al., 2010; Xie et al., 2014b; Minganti et al., 2020). A hindcast
198 simulation (Control simulation) is performed with observed greenhouse gases, solar
199 irradiances, and prescribed SSTs (HadISST dataset is used) during 1955-2018. A
200 single-factor controlling simulation (Fixsst simulation) is done for the same period
201 with the same forcings, except that the global SSTs are fixed to the climatological
202 mean values during 1955-2018 (long-term mean for each calendar month during
203 1955-2018).

204 To figure out the impact of the warming SST over the TWP region on the
205 intensification of the upward motion over the TWP region, a couple of time-slice
206 simulations (R1 and R2) are also integrated for 33 years. The SSTs over the eastern
207 maritime continent and tropical western Pacific (20°S - 20°N , 120°E - 160°E) in the
208 boreal wintertime (November to March of the next year, NDJFM) in R1 are
209 prescribed as the climatological mean SSTs during 1998-2017, while the SSTs over
210 other regions are fixed as the climatological mean SSTs during 1958-2017. The SSTs
211 in R2 are the same as the SSTs in R1 except that the SSTs over the region (20°S - 20°N ,
212 120°E - 160°E) in NDJFM are prescribed as the climatological mean SSTs during
213 1958-1977. Since the SSTs over the eastern maritime continent and tropical western
214 Pacific (20°S - 20°N , 120°E - 160°E) show significantly warming trends, the SSTs

215 during 1998-2017 are higher than the SSTs during 1958-1977 (approximately 0.5 K).
 216 Hence, the difference between R1 and R2 reflects the impact of the warmed SSTs
 217 over the eastern maritime continent and tropical western Pacific (20°S-20°N,
 218 120°E-160°E) on the atmospheric circulation. The first 3 years of the numeric
 219 simulations are not used in the present study to provide a spin-up.

220 **Transformed Eulerian Mean (TEM) Calculation.** To diagnose the changes in
 221 the BD circulation, the meridional and vertical velocities of the BD circulation are
 222 calculated by the TEM equations (Andrews and McIntyre, 1976):

$$223 \quad v^* = \bar{v} - \frac{1}{\rho} \left(\frac{\overline{\rho v' \theta'}}{\theta_z} \right)_z$$

$$224 \quad w^* = \bar{w} + \frac{1}{a \cos \varphi} \left(\cos \varphi \frac{\overline{v' \theta'}}{\theta_z} \right)_\varphi$$

225 Where v^* and w^* denote the meridional and vertical velocities of the BD circulation;
 226 the overbar represents the zonal mean; the prime denotes the deviation from the zonal
 227 mean; θ , a , φ , and ρ indicate the potential temperature, the radius of the earth,
 228 the latitude, and the standard density.

229 **Linear trends and the significance test.** The linear trends are estimated using a
 230 simple least square regression method. The significances of the correlation
 231 coefficients, mean differences, and trends are determined via a two-tail Student's t-test.

232 The confidence interval of trend is calculated using the following equation (Shirley et

$$233 \quad \text{al., 2004): } \left(b - t_{1-\frac{\alpha}{2}}(n-2)\sigma_b, b + t_{1-\frac{\alpha}{2}}(n-2)\sigma \right)$$

234 where b is the estimated slope, σ denotes the standard error of the slope, and

235 $t_{1-\frac{\alpha}{2}}(n-2)$ represents the value of t-distribution with the degree of freedom equal to

236 $n-2$. α is the two-tailed confidence level. σ is calculated as: $\sigma = b\sqrt{\frac{1}{n-2} - r^2}$.

237 3 Results

238 3.1 Enhanced upward motion over the TWP

239 According to previous studies, the lapse-rate tropopause is a good proxy to
240 separate the tropospheric and the stratospheric dynamic behavior (vertical motion
241 dominated and horizontal mixing dominated, respectively) over the TWP (Pan et al.,
242 2019). Since the lapse-rate tropopause over the TWP in the boreal winter is near 100
243 hPa (not shown), we utilize the vertical velocity at 150 hPa to reflect the vertical
244 transport in the upper troposphere. Figure 1 shows mean values of the vertical
245 velocity at 150 hPa for each month averaged over 60 years from 1958 to 2017. The
246 TWP region at the UTLS level has strong upward motion due to the frequent intense
247 deep convection and the Pacific Walker circulation. It is noteworthy that there is
248 strong upward motion at 150 hPa in NDJFM over the TWP, while the upward motion
249 in other months shifts northward corresponding to the Asia summer monsoon. This is
250 consistent with previous studies (Newell and Gould-Steward, 1981; Bergman et al.,
251 2012). Therefore, we mainly focus on the changes in the upward motion in NDJFM,
252 which is more important to the transport of air over the TWP from the lower
253 troposphere to the TTL compared to the summer months (as shown in Fig. 1) and
254 subsequently to the lower stratosphere. As seen in Figs. 1a-c and 1k-l, the upward
255 motion (w) at 150 hPa is most evident over the region 20°S-10°N, 100°E-180°, which

256 is used to indicate the TWP in the following analysis. The climatological mean 150
257 hPa vertical velocity (w) in NDJFM in ERA5 during 1958-2017 and MERRA2 during
258 1980-2017 are also given in Supplementary Fig. 1. Comparing with the 150 hPa w in
259 NDJFM using JRA5, the 150 hPa w in ERA5 and MERRA2 data shows larger values
260 (maximum larger than 1.5 m s^{-1}) over the land areas but smaller values (minimum less
261 than -0.4 m s^{-1}) over the marine area. Notably, the 150 hPa w shows no subsidence
262 over the maritime continent, while there is descending motion over the maritime
263 continent at 100 hPa (Supplementary Fig. 2), which is referred to the “stratospheric
264 drain” (Gettleman et al., 2000; Sherwood, 2000).

265 Figure 2 displays the linear trends of w in the upper (150 hPa), middle (500 hPa)
266 and lower (700 hPa) troposphere in NDJFM from 1958 to 2017 using JRA55, ERA5,
267 and MERRA2 reanalysis datasets. The 150 hPa w increased significantly over most
268 areas of the TWP during 1958-2017 (Fig. 2). At the same time, the upward motion
269 over the TWP in the lower and middle troposphere also mainly shows positive trends
270 (Figs. 2d and g). This indicates that the upward motion over the TWP is increasing
271 through the troposphere from 1958 to 2017. Such an enhancement of the upward
272 motion over the TWP is evident in all three reanalysis datasets used here (JRA55,
273 ERA5, and MERRA2), although there are also some differences between the three
274 reanalysis datasets. For example, the trends of the horizontal winds in the upper
275 troposphere in MERRA2 (Fig. 2c) are larger than those in JRA55 and ERA5 (Figs. 2a
276 and b). There are negative trends of vertical velocity in JRA55 and ERA5 while
277 positive trends of vertical velocity in MERRA2 over the northern Pacific. However,

278 these differences are mainly due to the different time periods which are used to
279 calculate the linear trends in JRA55 (1958-2017), ERA5 (1958-2017) and MERRA2
280 (1980-2017). Supplementary Fig. 3 gives the trends of w and horizontal winds in
281 NDJFM during 1980-2017 derived from JRA55, ERA5, and MERRA2 data, which
282 shows insignificant differences between these reanalysis datasets. The trend patterns
283 of the horizontal winds in JRA55, ERA5, and MERRA2 are consistent with each
284 other (Supplementary Fig. 3). For the trends of vertical velocity, significant positive
285 trends over the TWP region can be noted in the JRA55, ERA5, and MERRA2 datasets,
286 although the trends in ERA5 are slightly weaker than those in JRA55 and MERRA2
287 (Fig. 2 and Supplementary Fig. 3). Comparing to the negative trends of the vertical
288 velocity over the central Pacific in JRA55 and ERA5, the negative trends in MERRA2
289 extend more northward (Supplementary Fig. 3).

290 The time series of the upward motion intensity over the TWP from different
291 datasets are given in Fig. 3. The intensity of the upward motion over the TWP used in
292 Fig. 3 is simply defined as the area-averaged upward mass flux at a specific level, and
293 the standardized intensity is calculated as the intensity divided by the standard
294 deviation of the intensity at the corresponding level. The intensity of the upward
295 motion over the TWP at 150 hPa increased significantly in NDJFM during last
296 decades, which can be confirmed by all the three reanalysis datasets (Fig. 3). The
297 intensity of the upward motion over the TWP at 150 hPa increased $3.0 \pm 1.2 \times 10^8 \text{ kg s}^{-1}$
298 decade⁻¹ ($8.0 \pm 3.1\% \text{ decade}^{-1}$), $1.3 \pm 1.2 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$ ($3.6 \pm 3.3\% \text{ decade}^{-1}$), and
299 $3.0 \pm 2.8 \times 10^8 \text{ kg s}^{-1} \text{ decade}^{-1}$ ($7.5 \pm 7.1\% \text{ decade}^{-1}$) in JRA55, ERA5, and MERRA2 data,

300 respectively. As shown in Figs. 3b and c, the intensity of the upward motion at 500
301 hPa and 700 hPa in JRA55 and the intensity of the upward motion at 500 hPa in
302 ERA5 over the TWP also increased significantly at 95% confidence level
303 ($4.6\pm 2.6\times 10^8$ kg s⁻¹ decade⁻¹, $2.9\pm 1.7\times 10^8$ kg s⁻¹ decade⁻¹, and $2.5\pm 2.5\times 10^8$ kg s⁻¹
304 decade⁻¹, respectively). The increasing trends of the intensity of the upward motion at
305 700 hPa in ERA5 and at 500 hPa and 700 hPa in MERRA2 are significant at the 90%
306 confidence level at rates of $1.9\pm 1.6\times 10^8$ kg s⁻¹ decade⁻¹, $5.4\pm 5.3\times 10^8$ kg s⁻¹ decade⁻¹
307 and $3.9\pm 3.8\times 10^8$ kg s⁻¹ decade⁻¹, respectively. This suggests a comprehensive
308 enhancement of vertical velocity through the whole troposphere, which is evident
309 from the surface to 100 hPa (Supplementary Fig. 4). It can also be inferred that the
310 upward motions over the TWP increased at different rates during the past decades due
311 to the difference between JRA55, ERA5, and MERRA2 data. Hence, caution is
312 suggested when investigating the trend of the upward motion over the TWP using the
313 reanalysis data. While the trace gases in the TTL are modulated by the upward motion
314 and subsequent vertical transport (e.g., Garfinkel et al., 2013; Xie et al., 2014b), such
315 a strengthening of the upward motion over the TWP may lead to more tropospheric
316 trace gases in the TTL.

317 The changes in the atmospheric circulation at the UTLS level in the tropics are
318 closely related to the changes in the tropical deep convection and SSTs (e.g., Levine
319 et al., 2008; Garfinkel et al., 2013; Xie et al., 2020). Here, the trends of observed OLR
320 provided by NOAA (see Section 2) in NDJFM during 1974-2017 are shown in Fig. 4a.
321 Though the time period of the observed OLR data is shorter than the time period we

322 analyzed, the changes in OLR could partly reflect the changes in the deep convection
323 during 1958-2017. The OLR shows significantly negative trends over the TWP which
324 indicates intensified deep convection over the TWP. The OLR trend pattern is very
325 similar to the trend pattern of the 150 hPa w (Figs. 2a-c), which indicates that the
326 increasing trends of 150 hPa w are closely related to the intensified deep convection
327 over the TWP. The intensified deep convection not only lead to the strengthened
328 upward motion in the UTLS (Highwood and Hoskins, 1998; Ryu and Lee, 2010), but
329 also result in the decreased temperature near the tropopause which plays a dominant
330 role in modulating the lower stratospheric water vapor concentration (e.g., Hu et al.,
331 2016; Wang et al., 2016). Corresponding to the enhanced deep convection over the
332 TWP, the CPTT derived from JRA55 data (see Fig. 4b) shows significantly decreasing
333 trends over the TWP in NDJFM during 1958-2017, which is consistent with Xie et al.,
334 (2014a). However, negative trends are also found in other regions in low and
335 mid-latitudes, except over the central and east Pacific. It should be noted that the
336 CPTT from different reanalysis datasets may show different trends even for the
337 satellite period (Tegtmeier et al., 2020). Additionally, the JRA55 data before 1978
338 may also lead to uncertainties in the CPTT trends. Caution is needed when discussing
339 the trends of CPTT from reanalysis datasets.

340 The changes in the deep convection over the tropical Pacific may be related to
341 the changes in the Pacific Walker circulation. The Pacific Walker circulation shows a
342 significant intensification over the past decades (e.g., Meng et al., 2012; L'Heureux et
343 al., 2013; McGregor et al., 2014). The vertical velocity at 500 hPa and 150 hPa shows

344 significantly positive trends over the TWP in NDJFM during 1958-2017 (Fig. 2).
345 Meanwhile, the lower tropospheric zonal wind shows easterly trends over the tropical
346 Pacific, while the upper tropospheric zonal wind shows westerly trends over the
347 tropical Pacific, which suggests a strengthened Pacific Walker circulation and is
348 consistent with previous studies (Hu et al., 2016; Ma and Zhou, 2016).

349 The strengthened Pacific Walker circulation is closely related to the changes in
350 the SSTs (e.g., Meng et al., 2012; Ma and Zhou, 2016). The trends of the SSTs in
351 NDJFM during 1958-2017 are shown in Fig. 4c. The SST shows significantly
352 warming trends almost over the world except the central Pacific in NDJFM during
353 1958-2017. In addition, the intensity of the upward motion over the TWP is
354 significantly correlated with the SST (Fig. 4d), which suggests that the SST has
355 important effects on the upward motion over the TWP. The correlation coefficient in
356 Fig. 4d shows a La Niña-like pattern and indicates that the ENSO events exert
357 important impacts on the upward motion over the TWP (Levine et al., 2008). The
358 SSTs over the TWP are mainly positively correlated with the upward motion intensity
359 over the TWP with negative correlations shown over the western maritime continent,
360 while the SSTs over tropical central, eastern Pacific, and Indian Ocean show negative
361 correlations with the intensity of the upward motion over the TWP. The SSTs over the
362 Atlantic Ocean are poorly correlated with the upward motion intensity over the TWP
363 (not shown). This result suggests that the changes in global SSTs may be the primary
364 driver of the strengthened Pacific Walker circulation, which leads to enhanced deep
365 convection and intensified upward motion over the TWP.

366 It could be found that there are extreme minima (1982, 1991, and 1997) in Fig. 3,
367 which may be related to the El Niño events occurred in these years. To further figure
368 out the impact of ENSO events on the upward motion over the TWP, Supplementary
369 Fig. 5 displays the time series of the standardized intensity of the upward motion over
370 the TWP at 150 hPa, 500 hPa, and 700 hPa in NDJFM in JRA55, ERA5, and
371 MERRA2 with the ENSO signal removed using the linear regression method (Hu et
372 al., 2018; Qie et al., 2021). The extreme minima (1982, 1991, and 1997) become
373 much weaker in Supplementary Fig. 5 than those in Fig. 3, which indicates that the El
374 Niño events are responsible for the extreme minima. The upward motions over the
375 TWP at 150 hPa, 500 hPa, and 700 hPa in NDJFM in JRA55, ERA5, and MERRA2
376 still show statistically significant increasing trends after removing the ENSO signal in
377 Supplementary Fig. 5, which suggests that ENSO events exert limited impacts on the
378 trends of the upward motion over the TWP in NDJFM during 1958-2017.

379 **3.2 Simulated trend of the upward motion over the TWP and its potential** 380 **mechanism**

381 To verify the impact of SST on the trend of the upward motion over the TWP, a
382 couple of model simulations with WACCM4 are employed in the following analysis.
383 Consistent with the results shown using the reanalysis data (Figs. 2a-c), the simulated
384 150 hPa w (Control simulation) shows significantly increasing trends over the TWP
385 and decreasing trends over the tropical eastern Pacific in NDJFM during 1958-2017
386 (Fig. 5a). Additionally, the 150 hPa w simulated in the Fixsst simulation shows weak
387 trends over the TWP (Fig. 5b). The difference between the Control and the Fixsst

388 simulations suggests that the trends of the 150 hPa w over the TWP region is
389 dominated by the changes in the global SSTs during 1958-2017. There are also
390 significantly positive trends of the vertical velocity over the TWP in the lower (700
391 hPa) and middle troposphere (500 hPa) in the Control simulation, while the zonal
392 winds are also enhanced over the tropical Pacific. The vertical velocity over the TWP
393 in the Fixsst simulation shows weak negative trends and the changes in zonal winds
394 over the tropical Pacific are very weak. This confirms the dominant role of the
395 changes in global SSTs on the enhancement of the Walker circulation.

396 Previous studies found that the changes in the intensity of the Pacific Walker
397 circulation and the stratospheric residual circulation are closely related to the changes
398 in tropical SST (Meng et al., 2012; Tokinaga et al., 2012; Lin et al., 2015). As
399 suggested by the correlation coefficients between the upward motion at 150 hPa over
400 the TWP and SSTs in Fig. 4d, warmer SSTs over the tropical central and eastern
401 Pacific, and Indian Ocean may lead to a weakened upward motion over the TWP
402 (negative correlation). The warming trends of SSTs over the eastern maritime
403 continent and tropical western Pacific may result in an intensification of the upward
404 motion over the TWP. To verify the impact of the changes in the SSTs over eastern
405 maritime continent and tropical western Pacific on the trends of the upward motion
406 over the TWP, a couple of single-factor controlling time-slice simulations (R1 and R2)
407 are performed with only SSTs over eastern maritime continent and tropical western
408 Pacific (20°S-20°N, 120°E-160°E) in NDJFM changed in these two simulations. In
409 R1, the SSTs over the eastern maritime continent and tropical western Pacific are

410 prescribed as the climatological mean SSTs during 1958-2017, while the SSTs over
411 the eastern maritime continent and tropical western Pacific in R2 are prescribed as the
412 climatological mean SSTs during 1958-1977 (more details are given in the section 2).
413 The differences of the wind fields between R1 and R2 are shown in Fig. 6. The 150
414 hPa w shows significantly positive anomalies over the TWP and negative anomalies
415 over the tropical eastern Pacific, which is consistent with the trends of the 150 hPa w
416 in the Control simulation and the reanalysis datasets (Figs. 2 and 5). The upward mass
417 flux over the TWP at 150 hPa increased approximately 27% in the R1 comparing with
418 R2 due to the warming SSTs over the eastern maritime continent and tropical western
419 Pacific (approximately 0.5 K). The upward motion in the lower and middle
420 troposphere over the TWP shows increasing trends due to the enhanced convergence
421 induced by the warmer SSTs over the TWP. This result is consistent with Hu et al.
422 (2016), which suggested that the increased zonal gradient of the SSTs over the
423 tropical Pacific could lead to a strengthened Pacific Walker circulation and an
424 enhanced upward motion over the TWP. Therefore, the warmer SSTs over the TWP
425 could contribute largely to the trend of the upward motion over the TWP in NDJFM
426 during 1958-2017.

427 The changes in the OLR simulated in WACCM4 associated with the changes in
428 the global SSTs are shown in Fig. 7. There are significantly enhanced deep convection
429 as indicated by OLR over the TWP due to the strengthened convergence in the
430 Control simulation, while the deep convection shows weak and even decreasing
431 trends over the TWP in the Fixsst simulation (Figs. 7a and b). The enhanced deep

432 convection over the TWP could lead to the enhancing trends of the upward motion.
433 Hence, it can be inferred that the changes in the global SSTs are responsible for the
434 intensification of the Pacific Walker circulation, and the enhanced deep convection
435 and a stronger upward motion over the TWP which could extend to the upper
436 troposphere.

437 **3.3 Implications for the concentrations of water vapor and CO in the TTL** 438 **and lower stratosphere.**

439 Previous studies showed that the enhanced deep convection and upward motion
440 could lead to increased CO in the UTLS (e.g., Duncan et al., 2007; Livesey et al.,
441 2013). At the same time, water vapor mixing ratios in the UTLS may increase due to
442 the enhanced upward motion which could bring more wet air from low altitude to
443 high altitude (e.g., Rosenlof, 2003; Lu et al., 2020). However, the water vapor mixing
444 ratios in the lower stratosphere also depend on the tropopause temperature (e.g.,
445 Highwood and Hoskins, 1998; Garfinkel et al., 2018; Pan et al., 2019). Hence, the
446 relationship between the intensity of upward motion and the water vapor
447 concentration in the UTLS is complex. Here, the relationship between the trends of
448 the upward motion over the TWP and the changes in CO and water vapor in the ULTS
449 simulated with WACCM4 are analyzed.

450 The trends of CPTT, the 100 hPa streamfunction, and the water vapor
451 concentration are shown based on the Control and the Fixsst simulation as well as
452 their difference in Figs. 7d-i. The changes in the deep convection could lead to the
453 changes in the atmospheric circulation by releasing the latent heat. The changes in the

454 tropical deep convection lead to a Rossby-Kelvin wave response at the UTLS level
455 and then induce the changes in the air temperature near the tropopause (e.g., Gill,
456 1980; Highwood and Hoskins, 1998). The trends of the 100 hPa streamfunction show
457 a Rossby wave response over the TWP and a Kelvin wave response over the tropical
458 eastern Pacific in the Control [simulation](#) (Fig. 7d), which is caused by the changes in
459 the deep convection over the tropical Pacific. The Rossby-Kelvin wave response
460 further leads to the [decrease](#) the CPTT over the TWP and the [increase](#) of the CPTT
461 over the tropical eastern Pacific. Previous studies suggest that the lower stratospheric
462 water vapor is mainly influenced by the coldest temperature near the tropopause (e.g.,
463 Garfinkel et al., 2018; Zhou et al., 2021). Since the TWP has the coldest CPTT in the
464 boreal winter (e.g., Pan et al., 2016), the significantly decreased CPTT over the TWP
465 may result in significantly dried lower stratosphere (Fig. 7g). The intensity of the
466 upward motion over the TWP shows negative correlations with the concentration of
467 the tropical lower stratospheric water vapor (not shown). Hence, the enhanced upward
468 motion over the TWP may correspond to a dried lower stratosphere. The CPTT shows
469 weak trends over the TWP, and the tropical water vapor shows insignificant trends at
470 70 hPa in the Fixsst [simulation](#). The comparison between the Control [simulation](#) and
471 the Fixsst [simulation](#) suggests that the trends of the deep convection, the CPTT, and
472 the lower stratospheric water vapor concentration in the tropics in NDJFM during
473 1958-2017 are dominated by the trends of the global SSTs, while other external
474 forcings may play minor roles.

475 Generally, the intensified [upward motion](#) may lead to more tropospheric trace

476 gases lifting to the upper troposphere and entering the lower stratosphere (e.g.,
477 Rosenlof, 2003; Lu et al., 2020). Here we use CO as a tropospheric tracer to detect the
478 possible influences of the enhanced upward motion over the TWP on the
479 transportation of the tropospheric trace gases to the upper troposphere and the lower
480 stratosphere. Due to the data limitation, it is not possible to show the corresponding
481 changes of trace gases by observations in NDJFM during 1958-2017. Here, the trends
482 of CO at around 200 hPa from MOPITT and MLS observations are shown in the Fig.
483 8. The CO increased significantly over the TWP in NDJFM in the upper troposphere
484 from the MOPITT (at 200 hPa during 2000-2017) and MLS data (at 215 hPa during
485 2005-2017). The concentration of MLS CO over the TWP is approximately 80 ppbv
486 at 215 hPa from MLS observations and 70 ppbv at 200 hPa from MOPITT
487 observations, which is consistent with previous study (e.g., Huang et al., 2016). The
488 MLS CO data show that the area-averaged CO increased approximately 2.0 ± 3.7 ppbv
489 decade⁻¹ over the TWP in NDJFM during 2005-2017. The area-averaged MOPITT CO
490 data show a stronger increase of approximately 5.0 ± 3.1 ppbv decade⁻¹ at 200 hPa
491 from 2000 to 2017 (significant at the 95% confidence level). It should be pointed out
492 that the linear trends of CO are calculated based on the satellite data which only cover
493 14 or 18 years due to the data limitation. Hence, the linear trends of CO may have
494 uncertainties particularly in the regions with large interannual variations. To partially
495 overcome this shortage, the trends of MLS CO at 215 hPa during time periods of
496 2005-2016, 2006-2016, 2006-2017, and 2007-2016 and the trends of MOPITT CO at
497 200 hPa during time periods of 2000-2016, 2001-2016, 2001-2017, and 2002-2016

498 are shown in Supplementary Fig. 6. It could be found that the CO in the upper
499 troposphere increased robustly over the TWP from both the MLS and MOPITT data.
500 Overall, though the observed CO only covers less than 20 years, the results from the
501 satellite data suggest a possible impact of the intensified upward motion over the
502 TWP on the trace gases in the upper troposphere.

503 To further illustrate the impacts of the enhanced upward motion on the trace gas
504 in the upper troposphere and lower stratosphere, the Control and Fixsst simulations
505 with WACCM4 are used. The trends of the CO concentrations from the Control and
506 Fixsst simulations as well as their differences are shown in Fig. 9. The tropical CO at
507 150 hPa shows significantly increasing trends both in the Control and the Fixsst
508 simulations at rates of 3.4 ppbv decade⁻¹ and 3.2 ppbv decade⁻¹, respectively, (Figs. 9a
509 and b). This suggests that the surface emission of the CO exerts the most important
510 effect on the increase of the tropical CO concentration. The differences of the CO
511 trends at 150 hPa between the Control simulation and the Fixsst simulation are also
512 displayed in Fig. 9c. Since the surface emission inventories of the two simulations are
513 the same, it can be inferred that the trends of the CO concentration in Fig. 9c are
514 mainly caused by the changes in the atmospheric circulation induced by the changes
515 in the global SSTs. The difference of the CO concentration at 150 hPa between the
516 Control simulation and the Fixsst simulation shows a significantly increasing trend at
517 a rate of 0.2±0.1 ppbv decade⁻¹ over the TWP (significant at the 95% confidence
518 level). At the same time, decreasing trends over the central Africa exist, which
519 resembles to the trend patterns of the vertical velocity in the lower TTL and the deep

520 convection (Figs. [5i](#) and [7c](#)). This indicates that the enhanced deep convection in the
521 TWP lead to the strengthened upward motion over the TWP, which results in [an extra](#)
522 [6% increasing trend of](#) CO in the upper troposphere over the TWP. It could also be
523 found that CO also increased in the mid latitudes of the southern hemisphere (Fig. [9c](#)).
524 According to previous studies, the CO perturbation from the Indonesian fires at upper
525 troposphere could be transported to the tropical Indian Ocean by easterly winds and
526 then to the subtropics in the southern hemisphere through the southward flow during
527 boreal winter. The CO perturbation then spreads rapidly circling the globe following
528 the subtropical jet (Duncan et al., 2007). This is consistent with our results which
529 show intensified northerlies over the subtropical Indian Ocean [\(15°S-25°S,](#)
530 [60°E-100°E\) at a rate of approximately 0.2 m s⁻¹ decade⁻¹](#) and strengthened westerlies
531 over the subtropical Indian Ocean and western Pacific [\(20°N-35°N, 60°E-160°E\) at a](#)
532 [rate of approximately 0.3 m s⁻¹ decade⁻¹](#) (Figs. [5c](#) and [f](#)).

533 The trends of the zonal mean CO concentration from model simulations are
534 displayed in Figs. [10a-c](#). The zonal mean CO shows significantly increasing trends at
535 all levels in the Control [simulation](#) and the Fixsst [simulation](#), while the difference of
536 the zonal mean CO between the Control [simulation](#) and the Fixsst [simulation](#) shows
537 significantly increasing trends in the TTL but negative trends in the middle
538 troposphere in the tropics and the Northern Hemisphere. At the same time, the
539 difference of CO concentration between the Control [simulation](#) and the Fixsst
540 [simulation](#) averaged in the western Pacific (100°E-180°E) shows significantly
541 increasing trends in the tropics (20°S-10°N) from the surface to the TTL (Fig. [10f](#)).

542 The CO in the layer 150-70 hPa over the TWP increased 3.2 ppbv decade⁻¹ and 2.8
543 ppbv decade⁻¹ in the Control and Fixsst simulations in NDJFM during 1958-2017,
544 respectively. And the CO difference between the Control and Fixsst simulations
545 increased 0.4±0.2 ppbv decade⁻¹ (significant at the 95% confidence level) in the layer
546 150-70 hPa over the TWP, which suggests that the intensifying upward motion over
547 the TWP and the tropical upwelling of BDC could lead to an extra 14% increasing
548 trend of CO. This indicates that the increased zonal mean CO in the TTL (Fig. 10c) is
549 mainly transported through the western Pacific bands and highlights the importance of
550 the upward motion over the TWP in elevating trace gases from the surface to the
551 upper troposphere.

552 To understand the CO trends in the Control and Fixsst simulations and their
553 differences, the trends of vertical velocity averaged over the globe and the TWP band
554 are given in Fig. 11. The zonal mean w shows weak and even decreasing trends in the
555 tropics while the w over the TWP intensified in the Control simulation in NDJFM
556 during 1958-2017. This is consistent with Fig. 5. While the SSTs fixed to
557 climatological values, the zonal mean w shows weak trends and the w over the TWP
558 shows significantly negative trends. The changes in the global SSTs therefore leads to
559 the increase of the w over the TWP region as indicated in the differences between the
560 two simulations in Fig. 11f. In summary, the CO shows increasing trends (3.5 ppbv
561 decade⁻¹) at 150 hPa over the TWP in NDJFM during 1958-2017 induced by the
562 changes in the surface emissions and the upward motion. The trends of CO at 150 hPa
563 over the TWP in NDJFM during 1958-2017 in the Fixsst simulation mainly include

564 the impact induced by the increased surface emissions since the upward motion over
565 the TWP in the Fixsst simulation shows weak trends. The difference between the
566 Control and Fixsst simulations indicates that the enhanced tropospheric upward
567 motion over the TWP forced by the changes in the global SSTs leads to some extra
568 increase of CO concentrations in the upper troposphere. It should be mentioned that
569 the increasing trends of CO in the lower troposphere in Fig. 10f may be mainly caused
570 by the changes in the horizontal winds. Girach and Nair (2014) suggested that
571 enhanced deep convection and the subsequent intensified upward motion may lead to
572 a decreased CO concentration in the lower troposphere and an increased CO
573 concentration in the upper troposphere. The trends of horizontal winds at 925 hPa are
574 shown in Supplementary Fig. 8c. There are northerly trends over east Asia and
575 northeasterly trends near the south Asia (Supplementary Fig. 8c), which suggests that
576 more CO-rich air from east Asia and south Asia could be transported to the TWP in
577 the Control simulation comparing to the Fixsst simulation. Since the CO
578 concentration in the lower troposphere over the northern Pacific is higher than that
579 over southern Pacific, the northerly trends over the western and central Pacific may
580 also contribute to the increased CO in the lower troposphere over the TWP in Fig. 10f.

581 As discussed in the Introduction, the tropospheric trace gases enter the
582 stratosphere mainly through the large-scale tropical upwelling associated with the BD
583 circulation. The trends of the BD circulation in different model simulations as well as
584 their differences are displayed in Fig. 12. The tropical upwelling of BDC (w^*)
585 calculated using the TEM formula increased significantly in the lower stratosphere

586 over past decades as seen in the JRA55 data and the Control simulation (Figs. 12a and
587 12b). We found that the 70 hPa upward mass flux in NDJFM in the tropics
588 (15°S-15°N) increased $2.8\pm 1.9\%$ decade⁻¹ (significant at the 95% confidence level) in
589 the JRA55 data from 1958 to 2017 (Fig. 12a) and $4.6\pm 4.3\%$ decade⁻¹ (significant at
590 the 95% confidence level) in the MERRA2 data from 1980 to 2017 (Supplementary
591 Fig. 7b). From the ERA5 data, the 70 hPa upward mass flux in NDJFM increased in
592 the north hemisphere (0-15°N) at a rate of $5.0\pm 2.8\%$ decade⁻¹ (significant at the 95%
593 confidence level), but decreased significantly in the south hemisphere (0-15°S) during
594 1958-2017 (Supplementary Fig. 7a). On average, the trend of the 70 hPa upward mass
595 flux in NDJFM in the tropics (15°S-15°N) is not significant in ERA5. In fact, many
596 previous studies have investigated the trends of BDC. For example, Abalos et al.
597 (2015) investigated the trends of BDC derived from JRA55, MERRA, and
598 ERA-Interim data during 1979-2012 and suggested that the BDC in JRA55 and
599 MERRA significantly strengthened throughout the layer 100-10 hPa with a rate of
600 $2\text{-}5\%$ decade⁻¹, while the BDC in ERA-Interim shows weakening trends. Diallo et al.
601 (2021) compared the trends of the BDC in the ERA5 and ERA-Interim during
602 1979-2018 and pointed out that the BDC in the ERA-Interim shows weakening trend
603 and the BDC in the ERA5 strengthened at a rate of 1.5% decade⁻¹ which is more
604 consistent with other studies. In the present study, we only focus on the trend of the
605 BDC in the wintertime (NDJFM) in the tropics (15°S-15°N) during 1958-2017, which
606 may lead to some differences between our result and that in the previous studies.
607 Overall, the trends of the tropical upwelling of BDC derived from JRA55, MERRA2

608 data and the Control simulation are similar to that in previous studies using both
609 reanalysis datasets and model results (e.g., Butchart et al., 2010; Abalos et al., 2015;
610 Fu et al., 2019; Rao et al., 2019; Diallo et al., 2021). However, the tropical upwelling
611 of the BDC decreased in ERA5 data in the tropics (15°S-15°N), which are different
612 from the results in JRA55 and MERRA2.

613 In the Fixsst simulation, the trend of w^* is much weaker and not significant in
614 most areas. The changes in the global SSTs therefore play an important role in the
615 intensification of the shallow branch of the BDC as shown by the differences between
616 the two simulations in Fig. 12d. In summary, the tropical upwelling of the BDC is
617 likely strengthened as shown in JRA55 and MERRA2 reanalyses as well as model
618 simulations, although there are some uncertainties since the ERA5 data show a
619 negative trend. This may impact on the transport of the tropospheric trace gases from
620 the TTL to a higher altitude. The increased concentration of CO in the UTLS in Fig.
621 9c and 10f may be due to a combined effect of the strengthened tropical upwelling of
622 the BD circulation and the enhanced upward motion over the TWP. The enhancement
623 of upward motion over the TWP, which transported more tropospheric trace gases to
624 the upper troposphere, works together with the strengthened BD circulation under
625 global warming may lead to an increase of tropospheric trace gases over the TWP in
626 the lower stratosphere.

627 **4 Summary and Discussion**

628 The recent trends of the upward motion from the lower to the upper troposphere
629 in boreal winter over the TWP is investigated for the first time based on the JRA55,

630 ERA5, MERRA2 datasets and four WACCM4 simulations (more details could be
631 found in Section 2). The upward motion at 150 hPa over the TWP in NDJFM
632 increased $8\pm 3.1\%$ decade⁻¹ and $3.6\pm 3.3\%$ decade⁻¹ in NDJFM from 1958 to 2017 in
633 JRA55 and ERA5 reanalysis datasets, respectively. Despite the possible
634 discontinuities between the radiosonde era (after 1958) and the satellite era (after
635 1979), the upward motion at 150 hPa over the TWP in NDJFM increased $7.5\pm 7.1\%$
636 decade⁻¹ during 1980-2017 in MERRA2 data. Such intensification of the upward
637 motion over the TWP also exist in the middle- and lower-troposphere in NDJFM in
638 JRA55, ERA5, and MERRA2, which can be confirmed by the WACCM4 model
639 simulations. Comparing the results between the Control and Fixsst simulations with
640 WACCM4, it is found that the trend of the upward motion over the TWP is closely
641 related to the changes in global SSTs, especially the SST warming over the eastern
642 maritime continent and tropical western Pacific (see the results from the experiments
643 R1 and R2 in Fig. 7). Warmer SSTs over the eastern maritime continent and tropical
644 western Pacific (approximately 0.5 K) lead to a strengthened Pacific Walker
645 circulation, enhanced deep convection and approximately 27% intensified upward
646 motion at 150 hPa over the TWP as shown by the results from the experiments R1 and
647 R2. The enhanced deep convection over the TWP could lead to a dryer lower
648 stratosphere over the TWP, as the strong upward motion and the Rossby-Kelvin wave
649 responses induce a colder tropopause over the TWP. It should be pointed out that the
650 results in the present study are mainly based on the reanalyses data, and some
651 uncertainties may exist. More observational data are expected to be used to obtain a

652 more robust result in the future.

653 Results from the Control simulation indicate that the CO concentrations
654 increased significantly from the surface to the stratosphere over the TWP. The CO at
655 150 hPa increased at a rate of approximately 3.4 ppbv decade⁻¹ with increased surface
656 emissions and the enhanced upward motion over the TWP. Specifically, an
657 enhancement of tropospheric upward motion and subsequent upward transport of
658 trace gases over the TWP lead to an extra 6% increasing trend of CO concentrations
659 in the upper troposphere.

660 Furthermore, the upward mass fluxes at 70 hPa in the tropics (15°S-15°N) show
661 strengthening trends at rates of 2.8±1.9% decade⁻¹ and 4.6±4.3% decade⁻¹ in JRA55
662 data (during 1958-2017) and MERRA2 data (during 1980-2017) in NDJFM,
663 respectively, which is consistent with previous studies (e.g., Butchart et al., 2010; Fu
664 et al., 2019; Rao et al., 2019). However, such enhancement in tropical upward mass
665 flux at 70 hPa has large uncertainties since the ERA5 data show a negative and
666 insignificant trend (Supplementary Fig. 7a). The results from the Control and Fixsst
667 simulations indicate that the elevated CO in the upper troposphere is further uplifted
668 to the lower stratosphere by the intensified tropical upwelling of the BD circulation
669 due mainly to global SST warming and lead to an increase of CO in the lower
670 stratosphere. An extra 14% increasing trend of CO at the layer 150-70 hPa over the
671 TWP is derived from the Control and Fixsst simulations.

672 Tropospheric trace gases and aerosols have important impacts on the
673 stratospheric processes if they enter the stratosphere. For example, ozone-depleting

674 substances, CH₄ and N₂O could influence on the stratospheric ozone significantly
675 (e.g., Shindell et al., 2013; Wang et al., 2014; WMO, 2018), which also modify the
676 temperature in the stratosphere significantly through their strong radiative effects.
677 Water vapor in the lower stratosphere, in particular, has a significant warming effect
678 on the surface climate (Solomon et al., 2010). Therefore, changes of trace gases in the
679 UTLS have important impacts on both tropospheric and stratospheric climate. Our
680 results suggest that the upward motion over the TWP and the vertical component of
681 the BDC at the lower stratosphere level have been intensified. These results suggest
682 that the emission from the maritime continent and surrounding areas may play a more
683 important role in the stratospheric processes and the global climate. In addition, more
684 very short lived substances emitted from the tropical ocean could be elevated to the
685 TTL by the enhanced convection and then transported into the stratosphere by the
686 large-scale uplifts and exert important effects on the stratospheric chemistry. However,
687 the quantitative impacts of the intensified upward motion over the TWP on
688 tropospheric and stratospheric trace gases and aerosols and their climate feedbacks
689 await further investigation using more observations and model simulations.

690

691 **Competing interests.** The authors declare that they have no conflict of interest.

692

693 **Author contributions.** WT designed the study. WW provided suggestions about the
694 statistical methods and model simulations. KQ ran the models and wrote the first draft.
695 RH, MX, and TW contributed to the manuscript writing. YP provided the data used in

696 the study. All authors contributed to the improvement of the results.

697

698

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705 <http://rda.ucar.edu/datasets/ds628.0/>.

706 The SST data is obtained from HadISST:

707 <https://www.metoffice.gov.uk/hadobs/hadisst/data/download.html>.

708 The ERA5 data and ERA5.1 data are extracted from:

709 <https://cds.climate.copernicus.eu/#!/search?text=ERA5&type=dataset>.

710 The MERRA2 data are downloaded from:

711 <https://search.earthdata.nasa.gov/search?q=MERRA2&fst0=Atmosphere>.

712 The OLR data are from https://psl.noaa.gov/data/gridded/data.interp_OLR.html.

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1033 **Figure captions:**

1034 **Fig. 1.** The climatological mean (averaged over 1958-2017) values of 150 hPa w (10^{-2}
1035 m s^{-1}) in different months derived from the JRA55 data.

1036 **Fig. 2.** Trends of vertical velocity and horizontal winds at 150 hPa, 500 hPa, 700 hPa
1037 in NDJFM derived from JRA55, ERA5, and MERRA2 data. The trends of horizontal
1038 winds (arrows, units: $10^{-1} \text{ m s}^{-1} \text{ a}^{-1}$) and vertical velocity (shading, units: $10^{-4} \text{ m s}^{-1} \text{ a}^{-1}$)
1039 at (a) 150 hPa; (d) 500 hPa; and (g) 700 hPa from JRA55 in NDJFM during
1040 1958-2017. (b), (e), and (h) are the same as (a), (d), and (g) but for the results from
1041 ERA5. (c), (f) and (i) are the same as (a), (d), and (g) except that the trends are during
1042 1980-2017 and the wind field data are from MERRA2. The vertical velocity trends
1043 over the dotted regions are statistically significant at the 95% confidence level. The
1044 white areas denote missing values. The black rectangles denote the TWP region
1045 (20°S - 10°N , 100°E - 180°E).

1046 **Fig. 3.** The time series of the standardized intensity of the upward motion over the
1047 tropical western Pacific (20°S - 10°N , 100°E - 180°E) at (a) 150 hPa; (b) 500 hPa; and

1048 (c) 700 hPa extracted from JRA55 (red), ERA5 (black) and MERRA2 (blue) datasets.

1049 The straight lines in each figure indicate the linear trends. The solid lines denote the
1050 linear trends are significant at the 95% confidence level, while the dashed lines denote
1051 the linear trends are significant at the 90% confidence level.

1052 **Fig. 4.** Trends of (a) observed outgoing longwave radiation (OLR, units: $\text{W m}^{-2} \text{a}^{-1}$)
1053 provided by NOAA during 1974-2017; (b) cold-point tropopause temperature (CPTT,
1054 units: 10^{-1}K a^{-1}) derived from JRA55 data and (c) SST (K a^{-1}) derived from HadISST
1055 during 1958-2017 in NDJFM. (d) The correlation coefficients between the intensity of
1056 the upward motion at 150 hPa over the TWP and SST in NDJFM during 1958-2017
1057 with the linear trends removed. The trends and correlation coefficients over the dotted
1058 regions are statistically significant at the 95% confidence level. The black rectangles
1059 denote the TWP region (20°S - 10°N , 100°E - 180°E).

1060 **Fig. 5.** The trends of vertical velocity and horizontal winds at 150 hPa, 500 hPa, and
1061 700 hPa in NDJFM during 1958-2017 in the Control and Fixsst simulations as well as
1062 their difference. The trends of 150 hPa w (shading, units: $10^{-4} \text{m s}^{-1} \text{a}^{-1}$) and horizontal
1063 winds (arrows; $10^{-1} \text{m s}^{-1} \text{a}^{-1}$) from (a) Control simulation; (b) Fixsst simulation; and
1064 (c) difference between the Control simulation and the Fixsst simulation in NDJFM
1065 during 1958-2017. (d)-(f) are similar to (a)-(c) but for the results at 500 hPa. (g)-(i)
1066 are similar to (d)-(f) but for the results at 700 hPa. The vertical velocity trends over
1067 the dotted regions are statistically significant at the 95% confidence level. The black
1068 rectangles denote the TWP region (20°S - 10°N , 100°E - 180°E).

1069 **Fig. 6.** The difference of vertical velocity (shading, units: 10^{-2}m s^{-1}) and horizontal

1070 winds (arrows, units: m s^{-1}) at (a) 150 hPa; (b) 500 hPa; and (c) 700 hPa in NDJFM
1071 between experiments R1 and R2. The differences between vertical velocity over the
1072 dotted regions are statistically significant at the 95% confidence level. The black
1073 rectangles denote the TWP region (20°S - 10°N , 100°E - 180°E).

1074 **Fig. 7.** Trends of OLR ($\text{W m}^{-2} \text{a}^{-1}$) (a)-(c), CPTT (shading, units: 10^{-1}K a^{-1}) and 100
1075 hPa streamfunction (contour, units: $10^6 \text{m}^2 \text{s}^{-1} \text{a}^{-1}$) (d)-(f), and 70 hPa water vapor
1076 concentration (units: $10^{-2} \text{ppmv a}^{-1}$) (g)-(i) in NDJFM during 1958-2017 in the
1077 Control and Fixsst simulations as well as their difference. (a), (d), and (g) are the
1078 results in the Control simulation; (b), (e), and (h) are the results in the Fixsst
1079 simulation; (c), (f), and (i) are the results of the difference between the Control and
1080 Fixsst simulations. The trends in (a)-(c) and (g)-(i) over the dotted regions are
1081 statistically significant at the 95% confidence level. The CPTT trends in (d)-(f) over
1082 the dotted regions are statistically significant at the 95% confidence level. The black
1083 rectangles denote the TWP region (20°S - 10°N , 100°E - 180°E).

1084 **Fig. 8.** The trends of CO derived from the MOPITT and MLS data. (a) The trends of
1085 CO ($10^{-1} \text{ppbv a}^{-1}$) at 215 hPa using MLS data in NDJFM during 2005-2017. (b) The
1086 trends of CO ($10^{-1} \text{ppbv a}^{-1}$) at 200 hPa using MOPITT data in NDJFM during
1087 2000-2017. The trends of CO over the dotted region are statistically significant at the
1088 90% confidence level.

1089 **Fig. 9.** The trends of 150 hPa CO concentration ($10^{-4} \text{ppmv a}^{-1}$) from (a) Control
1090 simulation; (b) Fixsst simulation; and (c) difference between the Control simulation
1091 and the Fixsst simulation in NDJFM during 1958-2017. The trends in (a)-(c) over the

1092 dotted regions are statistically significant at the 95% confidence level. The black
1093 rectangles denote the TWP region (20°S-10°N, 100°E-180°E).

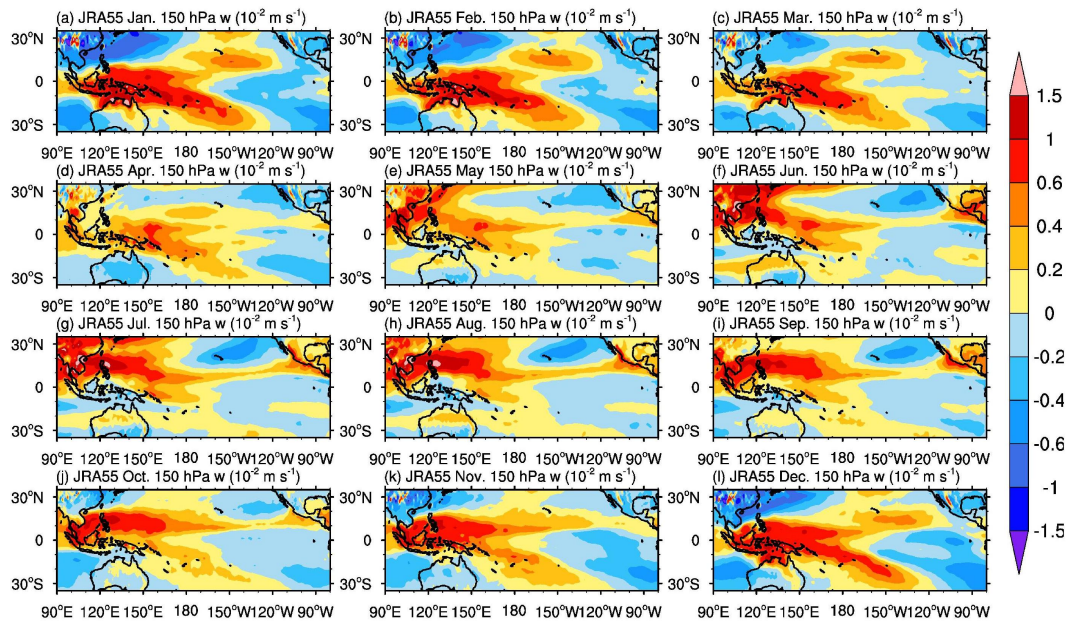
1094 **Fig. 10.** Latitude-pressure cross sections of the trends of (a)-(c) zonal mean CO
1095 concentration (10^{-4} ppmv a^{-1}) and (d)-(f) CO concentration (10^{-4} ppmv a^{-1}) over the
1096 TWP (100°E-180°E) in NDJFM during 1958-2017 in the Control simulation and
1097 Fixsst simulation as well as their difference. (a) and (d) are the CO trends in the
1098 Control simulation. (b) and (e) are the results in the Fixsst simulation. (c) and (f) are
1099 the results derived from the difference between the Control and Fixsst simulations.
1100 The trends over the dotted regions are statistically significant at the 95% confidence
1101 level.

1102 **Fig. 11.** Latitude-pressure cross sections of the trends of (a)-(c) the zonal mean w (10^{-4}
1103 $m s^{-1} a^{-1}$) and v ($10^{-1} m s^{-1} a^{-1}$) and (d)-(f) w ($10^{-4} m s^{-1} a^{-1}$) and v ($10^{-1} m s^{-1} a^{-1}$) over
1104 the TWP (100°E-180°E) in NDJFM during 1958-2017 in the Control simulation and
1105 Fixsst simulation as well as their difference. (a) and (d) are the trends of w and v in
1106 the Control simulation. (b) and (e) are the results in the Fixsst simulation. (c) and (f)
1107 are the results derived from the difference between the Control and Fixsst simulations.
1108 The shadings denote the trends of the w ($10^{-4} m s^{-1} a^{-1}$). The trends over the dotted
1109 regions are statistically significant at the 90% confidence level.

1110 **Fig. 12.** Trends of the BDC (vectors, units in the horizontal and vertical components
1111 are 10^{-2} and $10^{-5} m s^{-1} a^{-1}$, respectively) calculated using the TEM formula from (a)
1112 JRA55; (b) Control simulation; (c) Fixsst simulation; and (d) difference between the
1113 Control simulation and the Fixsst simulation in NDJFM during 1958-2017. The

1114 | shadings are the trends of the w^* ($10^{-5} \text{ m s}^{-1} \text{ a}^{-1}$). The trends of the vertical velocity
1115 | over the dotted regions are statistically significant at the 90% confidence level.
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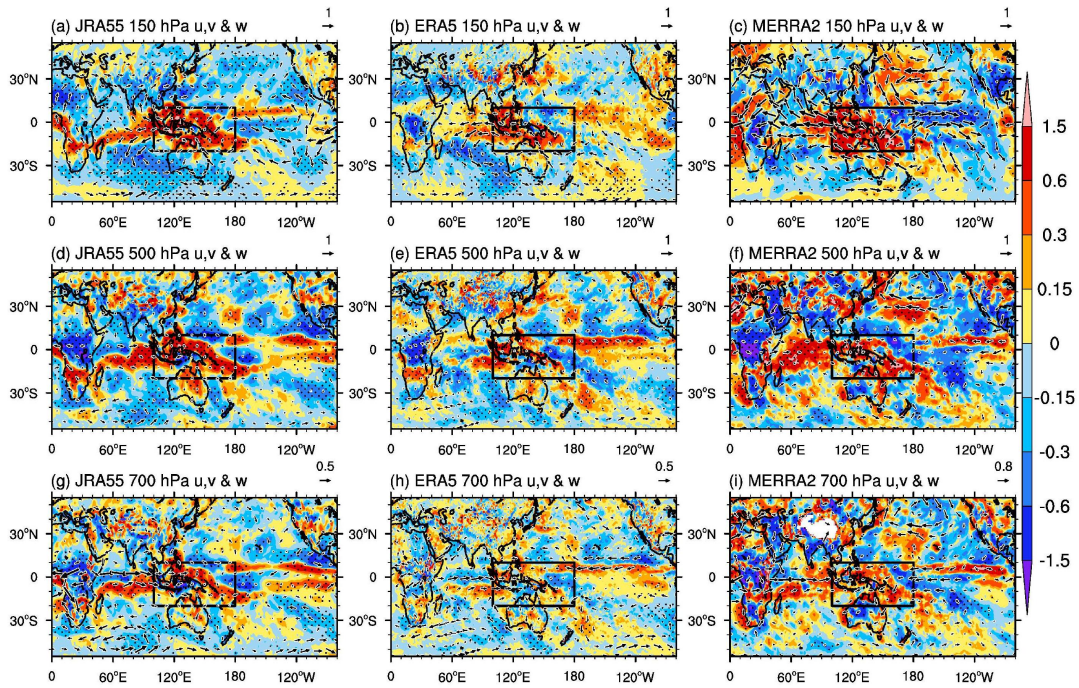
1117 **Figures**



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1119 **Fig. 1.** The climatological mean (averaged over 1958-2017) values of 150 hPa w (10^{-2}
1120 m s^{-1}) in different months derived from the JRA55 reanalysis data.

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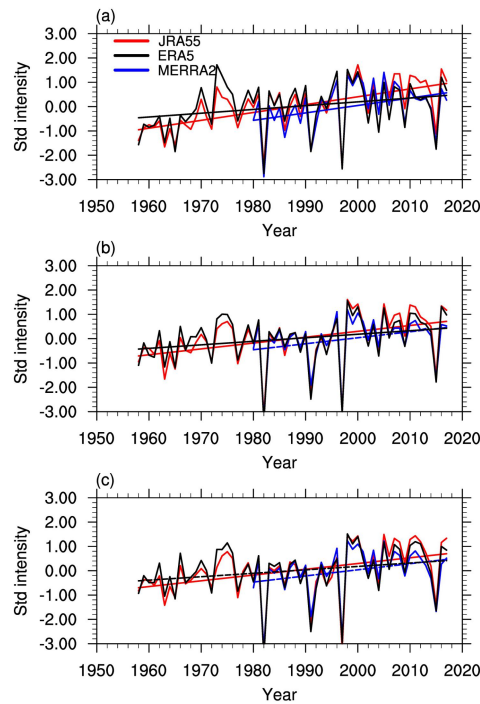
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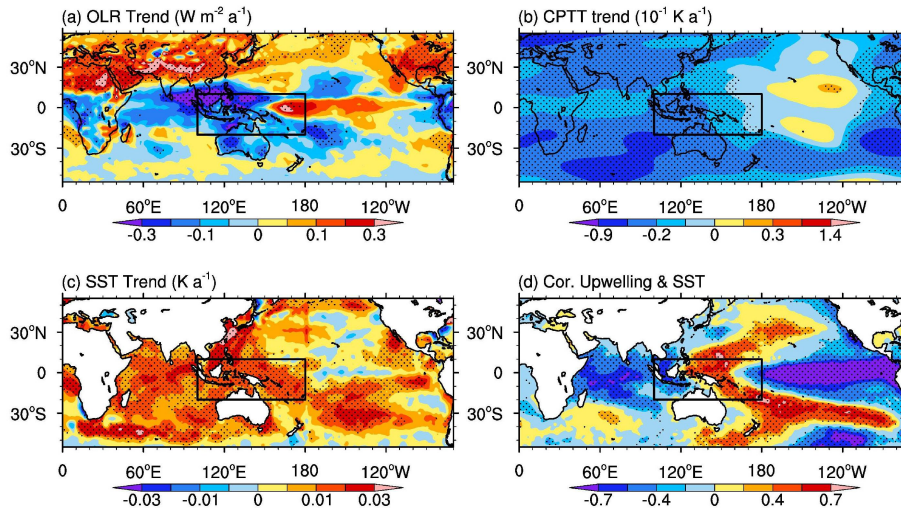
Fig. 2. Trends of vertical velocity and horizontal winds at 150 hPa, 500 hPa, 700 hPa in NDJFM derived from JRA55, ERA5, and MERRA2 data. The trends of horizontal winds (arrows, units: $10^{-1} \text{ m s}^{-1} \text{ a}^{-1}$) and vertical velocity (shading, units: $10^{-4} \text{ m s}^{-1} \text{ a}^{-1}$) at (a) 150 hPa; (d) 500 hPa; and (g) 700 hPa from JRA55 in NDJFM during 1958-2017. (b), (e), and (h) are the same as (a), (d), and (g) but for the results from ERA5. (c), (f) and (i) are the same as (a), (d), and (g) except that the trends are during 1980-2017 and the wind field data are from MERRA2. The vertical velocity trends over the dotted regions are statistically significant at the 95% confidence level. The white areas denote missing values. The black rectangles denote the TWP region (20°S - 10°N , 100°E - 180°E).



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1136 **Fig. 3.** The time series of the standardized intensity of the upward motion over the
 1137 tropical western Pacific (20°S - 10°N , 100°E - 180°E) at (a) 150 hPa; (b) 500 hPa; and
 1138 (c) 700 hPa extracted from JRA55 (red), ERA5 (black) and MERRA2 (blue) datasets.
 1139 The straight lines in each figure indicate the linear trends. The solid lines denote the
 1140 linear trends are significant at the 95% confidence level, while the dashed lines denote
 1141 the linear trends are significant at the 90% confidence level.

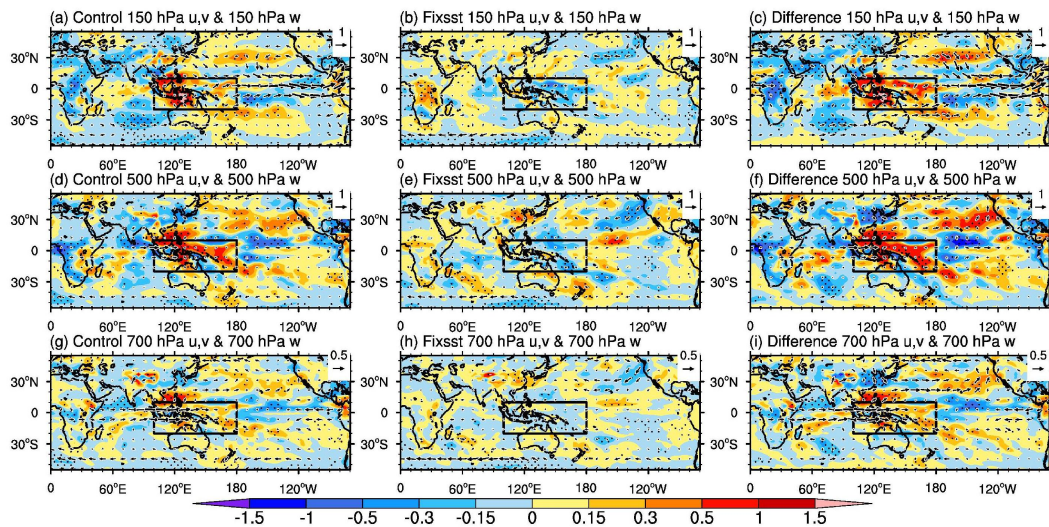
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1144 **Fig. 4.** Trends of (a) observed outgoing longwave radiation (OLR, units: W m⁻² a⁻¹)
 1145 provided by NOAA during 1974-2017; (b) cold-point tropopause temperature (CPTT,
 1146 units: 10⁻¹ K a⁻¹) derived from JRA55 data and (c) SST (K a⁻¹) derived from HadISST
 1147 during 1958-2017 in NDJFM. (d) The correlation coefficients between the intensity of
 1148 the upward motion at 150 hPa over the TWP and SST in NDJFM during 1958-2017
 1149 with the linear trends removed. The trends and correlation coefficients over the dotted
 1150 regions are statistically significant at the 95% confidence level. The black rectangles
 1151 denote the TWP region (20°S-10°N, 100°E-180°E).

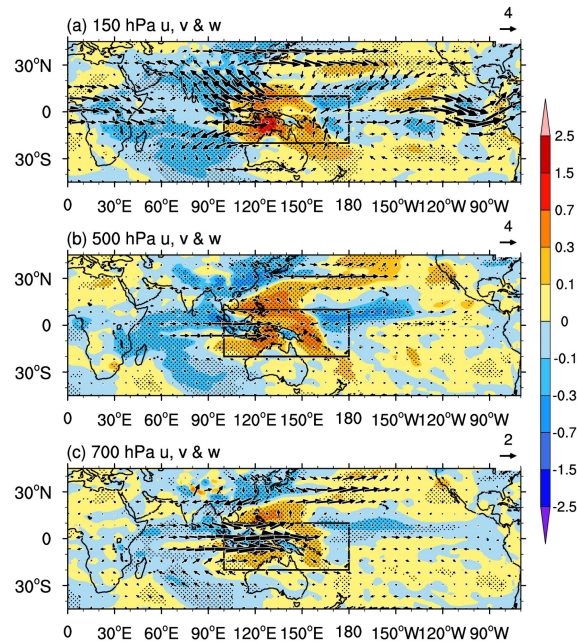
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1154 **Fig. 5.** The trends of vertical velocity and horizontal winds at 150 hPa, 500 hPa, and
 1155 700 hPa in NDJFM during 1958-2017 in the Control and Fixsst simulations as well as
 1156 their difference. The trends of 150 hPa w (shading, units: $10^{-4} \text{ m s}^{-1} \text{ a}^{-1}$) and horizontal
 1157 winds (arrows; $10^{-1} \text{ m s}^{-1} \text{ a}^{-1}$) from (a) Control simulation; (b) Fixsst simulation; and
 1158 (c) difference between the Control simulation and the Fixsst simulation in NDJFM
 1159 during 1958-2017. (d)-(f) are similar to (a)-(c) but for the results at 500 hPa. (g)-(i)
 1160 are similar to (d)-(f) but for the results at 700 hPa. The vertical velocity trends over
 1161 the dotted regions are statistically significant at the 95% confidence level. The black
 1162 rectangles denote the TWP region (20°S-10°N, 100°E-180°E).

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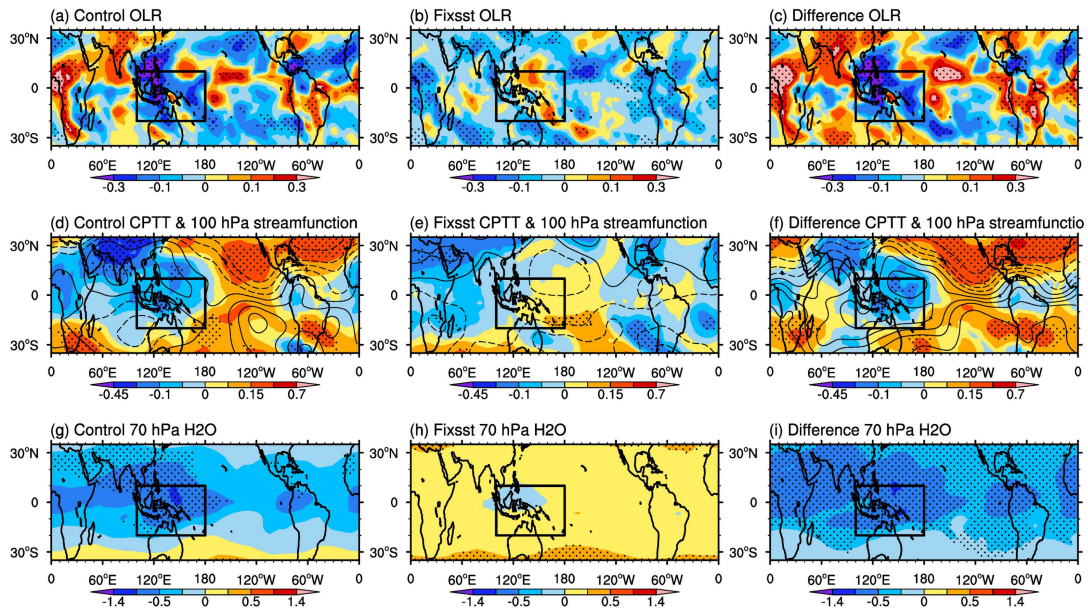
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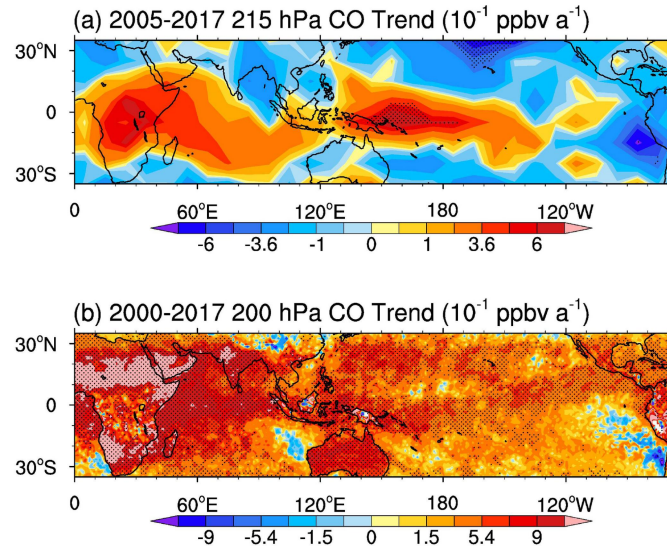
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1173 **Fig. 7.** Trends of OLR ($W\ m^{-2}\ a^{-1}$) (a)-(c), CPTT (shading, units: $10^{-1}\ K\ a^{-1}$) and 100
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 1175 concentration (units: $10^{-2}\ ppmv\ a^{-1}$) (g)-(i) in NDJFM during 1958-2017 in the
 1176 Control and Fixsst simulations as well as their difference. (a), (d), and (g) are the
 1177 results in the Control simulation; (b), (e), and (h) are the results in the Fixsst
 1178 simulation; (c), (f), and (i) are the results of the difference between the Control and
 1179 Fixsst simulations. The trends in (a)-(c) and (g)-(i) over the dotted regions are
 1180 statistically significant at the 95% confidence level. The CPTT trends in (d)-(f) over
 1181 the dotted regions are statistically significant at the 95% confidence level. The black
 1182 rectangles denote the TWP region (20°S-10°N, 100°E-180°E).

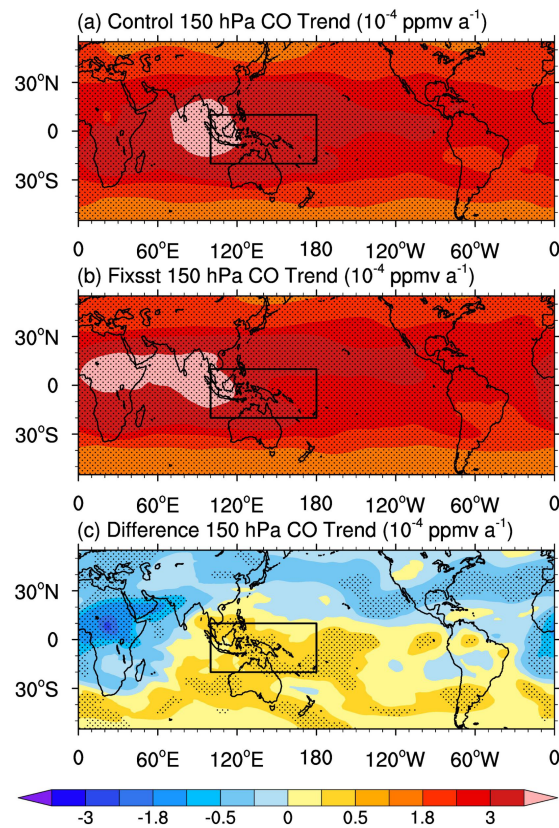
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 1187 trends of CO (10^{-1} ppbv a^{-1}) at 200 hPa using MOPITT data in NDJFM during
 1188 2000-2017. The trends of CO over the dotted region are statistically significant at the
 1189 90% confidence level.

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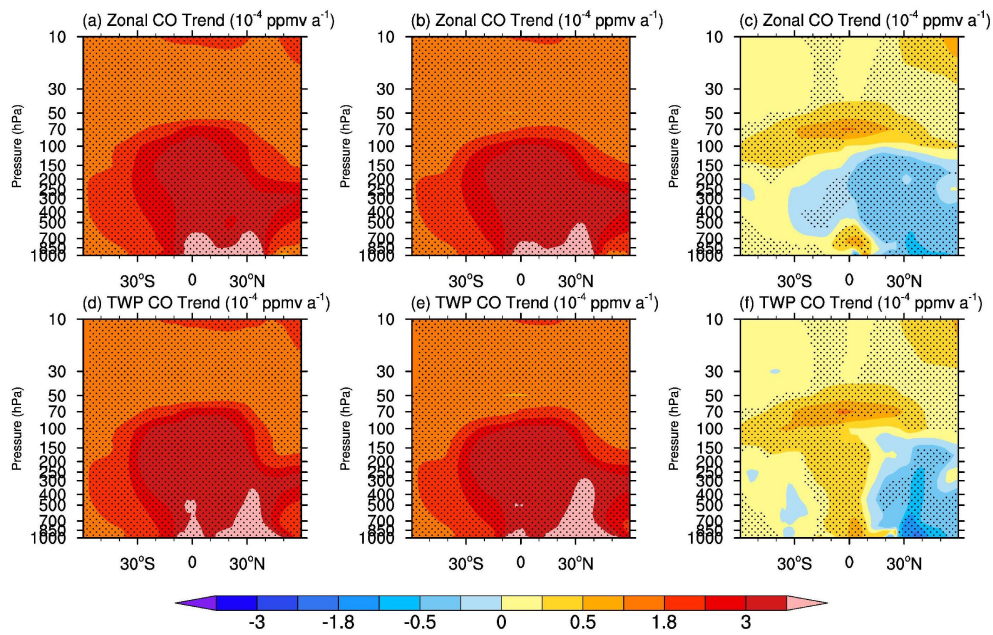
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 1194 simulation; (b) Fixsst simulation; and (c) difference between the Control simulation
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 1196 dotted regions are statistically significant at the 95% confidence level. The black
 1197 rectangles denote the TWP region (20°S-10°N, 100°E-180°E).

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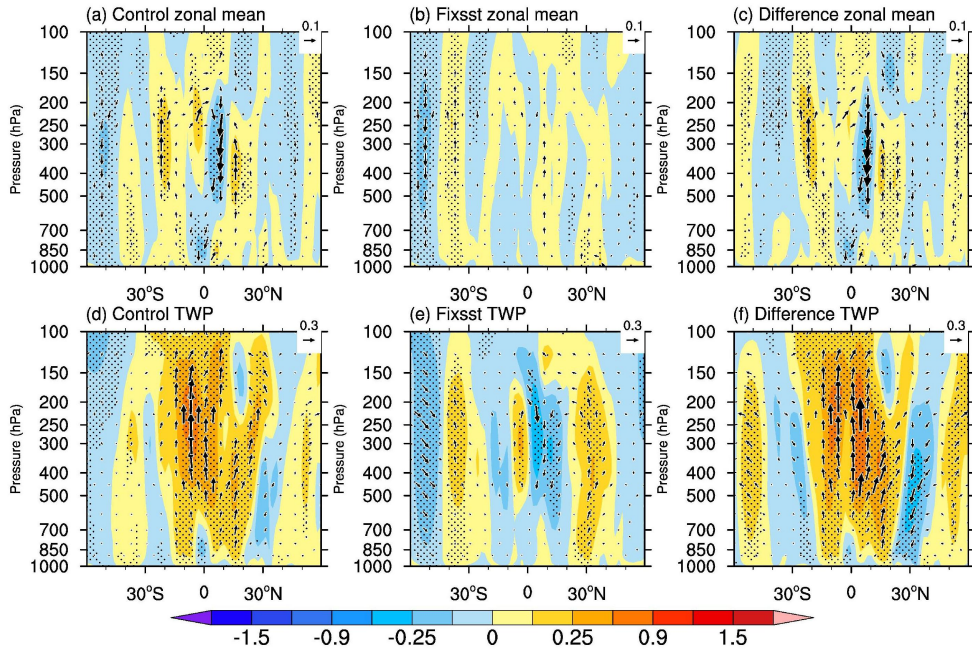


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1202 **Fig. 10.** Latitude-pressure cross sections of the trends of (a)-(c) zonal mean CO
1203 concentration (10^{-4} ppmv a^{-1}) and (d)-(f) CO concentration (10^{-4} ppmv a^{-1}) over the
1204 TWP (100°E-180°E) in NDJFM during 1958-2017 in the Control simulation and
1205 Fixsst simulation as well as their difference. (a) and (d) are the CO trends in the
1206 Control simulation. (b) and (e) are the results in the Fixsst simulation. (c) and (f) are
1207 the results derived from the difference between the Control and Fixsst simulations.

1208 The trends over the dotted regions are statistically significant at the 95% confidence
1209 level.

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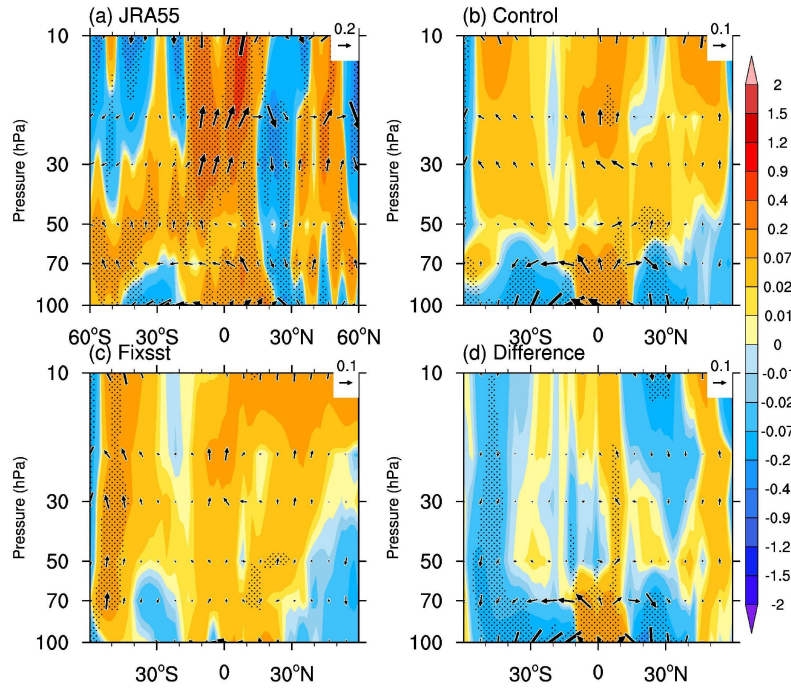


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 1215 Fixsst simulation as well as their difference. (a) and (d) are the trends of w and v in
 1216 the Control simulation. (b) and (e) are the results in the Fixsst simulation. (c) and (f)
 1217 are the results derived from the difference between the Control and Fixsst simulations.

1218 The shadings denote the trends of the w ($10^{-4} \text{m s}^{-1} \text{a}^{-1}$). The trends over the dotted
 1219 regions are statistically significant at the 90% confidence level.

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1222 **Fig. 12.** Trends of the BDC (vectors, units in the horizontal and vertical components
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 1224 JRA55; (b) Control simulation; (c) Fixsst simulation; and (d) difference between the
 1225 Control simulation and the Fixsst simulation in NDJFM during 1958-2017. The
 1226 shadings are the trends of the w^* (10^{-5} $\text{m s}^{-1} \text{a}^{-1}$). The trends of the vertical velocity
 1227 over the dotted regions are statistically significant at the 90% confidence level.

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