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**Responses to the comments by Referees**

**Manuscript number:** acp-2021-647

**Title: Enhanced upward motion through the troposphere over the tropical western Pacific and its implications for the transport of trace gases from the troposphere to the stratosphere**

**Author(s):** Kai Qie, Wuke Wang, Wenshou Tian\*, Rui Huang, Mian Xu, Tao Wang, Yifeng Peng

**December 2021**

22 Dear Editor and Reviewers,

23 Thank you very much for your helpful suggestions which help us improve our  
24 manuscript substantially. We have modified our manuscript according to the  
25 comments. Six major points are revised as follows:

26 1. The results in the revised manuscript are displayed in a more quantitative  
27 way. The differences and similarities of the results derived from JRA55, ERA5, and  
28 MERRA2 are further discussed (Reviewer#1 and Reviewer#2).

29 2. The trends of CO concentration derived from observational data are added in  
30 the revised manuscript. (Reviewer#1).

31 3. The impacts of ENSO events on the upward motion over the tropical western  
32 Pacific are discussed (Reviewer#1).

33 4. The limitations of the analysis based on the reanalysis datasets are discussed  
34 (Reviewer#2).

35 5. The physical mechanisms for the increasing trends of CO concentrations in  
36 the lower troposphere derived from the WACCM4 simulations over the tropical  
37 western Pacific are corrected (Reviewer#2).

38 6. The font sizes of the figures are enlarged and the figure captions are rewritten  
39 (Reviewer#1 and Reviewer#2).

40 Thanks again for your time and efforts in handling our manuscript. Our  
41 point-by-point replies are summarized in the following pages.

42

43 Sincerely yours,

44 Wenshou Tian

45

46

47

## Responses to the comments by Referee#1

48

49 This is an interesting and useful study. However the scientific content, the quality of  
50 the study and its presentation should be improved. In particular, the text is in some  
51 parts very descriptive and technical. I suggest some major revisions before publication  
52 by ACP.

53 **Re: Thank you very much for your helpful suggestions which help us improve**  
54 **our manuscript substantially. We have modified our manuscript according to the**  
55 **comments. Our point-to-point responses to the reviewer' s comments are below:**

56

### 57 **General comments:**

58 1) In general in the manuscript it is very often written 'we found a positive or negative  
59 trend'. Please specify here your message by adding some numbers in the text (a trend  
60 of xxx per year or a change of xxx within 60 years from 1958 to 2017). It would be  
61 also very helpful to give the reader an impression whether these trends are of minor or  
62 major importance by adding some numbers from the literature for comparison. In  
63 general, I am wondering that the results are not discussed more quantitatively (see  
64 specific comments below). Further, please explain in detail how the trends  
65 are calculated and how the El Niño Southern Oscillation (ENSO) is considered in  
66 calculating the trends.

67 **Re: We thank the reviewer for the constructive comments. The quantitative**  
68 **results are added to the revised manuscript according to the referee's specific**  
69 **comments below. The methods of how the trends are calculated and how the**  
70 **impact of ENSO is evaluated are also described in the revised manuscript. The**  
71 **details are shown in the responses to the referee's specific comments below.**

72

73 2) Figures: In general, the font size of the labels is very small and should be enlarged.  
74 Further, the text in the figure captions is very similar to each other. Please give here

75 the reader more information which data or model simulations are shown and add  
76 some explanation what is important or what is the main message of the figure.

77 **Re: Thanks for the suggestion. The font sizes of the labels in each figure are**  
78 **enlarged, and the figure captions are rephrased.**

79

80 3) In Section 2 the used data sets and model simulations are described. However, I am  
81 missing a bit more motivation for the reader to understand why these data sets and  
82 model simulations are used. A bit more explanation would be helpful.

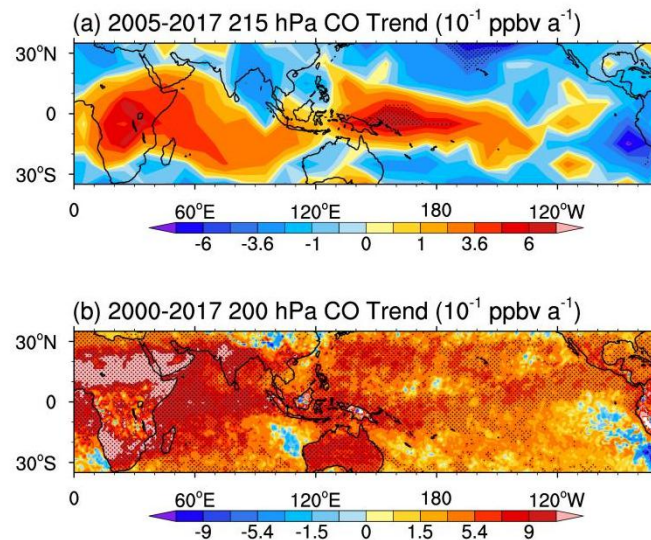
83 **Re: Thanks for the comment. We have added some text to explain why the**  
84 **datasets and model simulations are used in this study, and the descriptions about**  
85 **the reanalysis datasets and model simulations are rephrased according to the**  
86 **referee's specific comments.**

87

88 3) The use of observations such as CO satellite measurements would strengthen the  
89 main message of the manuscript. Therefore, I recommend to add some satellite data  
90 (e.g. MLS CO <https://mls.jpl.nasa.gov/eos-aura-mls/data-products/co>)

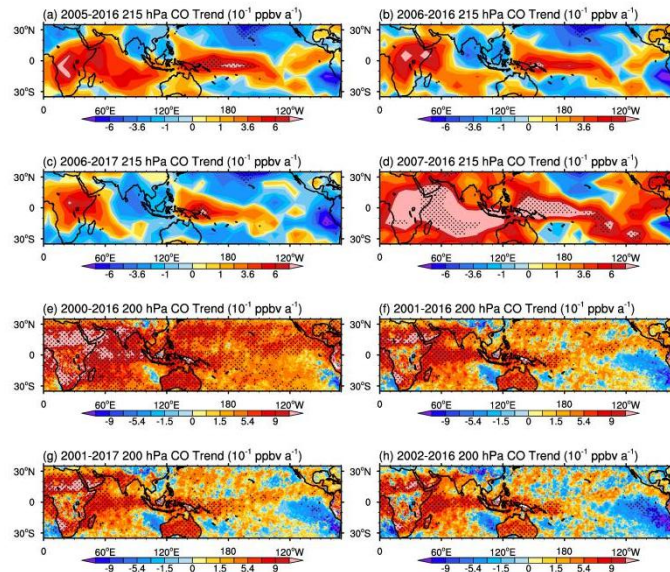
91 **Re: We thank the reviewer's good suggestion. An extra figure showing the trends**  
92 **of CO observed by MOPITT and MLS at near 200 hPa during 2000-2017 and**  
93 **2005-2017 is added in the revised manuscript. The CO shows significantly**  
94 **increasing trends over the TWP in NDJFM using MOPITT (at 200 hPa during**  
95 **2000-2017) and MLS data (at 215 hPa during 2005-2017). The MLS CO data**  
96 **show that the area-averaged CO increased approximately  $2.0 \pm 3.7$  ppbv decade<sup>-1</sup>**  
97 **over the TWP, while the CO increased  $5.0 \pm 3.1$  ppbv decade<sup>-1</sup> near the equator,**  
98 **150°E at 215 hPa in NDJFM during 2005-2017 (Fig. R1). The area-averaged**  
99 **MOPITT CO data increased at a rate of  $5.0 \pm 3.1$  ppbv decade<sup>-1</sup> at 200 hPa over**  
100 **the TWP in NDJFM during 2000-2017. It should be pointed out that the linear**  
101 **trends of CO are calculated based on the satellite data which only cover 14 or 18**

102 years due to the data limitation here. Hence, the linear trends of CO may have  
103 uncertainties particularly in the regions with large interannual variations in CO.  
104 To partially overcome this shortage, the trends of MLS CO at 215 hPa during  
105 time periods of 2005-2016, 2006-2016, 2006-2017, and 2007-2016 and the trends  
106 of MOPITT CO at 200 hPa during time periods of 2000-2016, 2001-2016,  
107 2001-2017, and 2002-2016 are shown in Fig. R2 (Supplementary Fig. 6). It could  
108 be found that the CO near 200 hPa shows robustly increasing trends over the  
109 TWP in satellite data (both of MLS and MOPITT). Overall, though the observed  
110 CO only covers less than 20 years, the results from the satellite data may provide  
111 extra evidence for the impact of the positive trends of upward motion over the  
112 TWP on the trace gases in the upper troposphere. The above discussion is added  
113 to the revised manuscript. We hope these results may further support our main  
114 conclusions in this study.



115

116 **Fig. R1.** The trends of CO derived from the MLS and MOPITT data. (a) The  
117 trends of CO ( $10^{-1}$  ppbv  $a^{-1}$ ) at 215 hPa using MLS data in NDJFM during  
118 2005-2017. (b) The trends of CO ( $10^{-1}$  ppbv  $a^{-1}$ ) at 200 hPa using MOPITT data  
119 in NDJFM during 2000-2017. The trends of CO over the dotted region are  
120 statistically significant at the 90% confidence level.



121

122 **Fig. R2. The trends of CO derived from the MLS and MOPITT data. (a)-(d) The**  
 123 **trends of CO ( $10^{-1}$  ppbv  $a^{-1}$ ) at 215 hPa using MLS data in NDJFM during**  
 124 **periods of (a) 2005-2016; (b) 2006-2016; (c) 2006-2017; and (d) 2007-2016. (e)-(h)**  
 125 **The trends of CO ( $10^{-1}$  ppbv  $a^{-1}$ ) at 200 hPa using MOPITT data in NDJFM**  
 126 **during periods of (e) 2000-2016; (f) 2001-2016; (g) 2001-2017; and (h) 2002-2016.**  
 127 **The trends of CO over the dotted region are statistically significant at the 90%**  
 128 **confidence level.**

129

130 **Specific Comments:**

131 P2 L2: 'A significantly intensified upward motion through the troposphere over the  
 132 TWP in the boreal wintertime (November to March of the next year) has been  
 133 detected.' Please make this statement more quantitative.

134 **Re: Corrected. The phrase is rewritten as: “A significantly intensified upward**  
 135 **motion through the troposphere over the TWP in the boreal wintertime**  
 136 **(November to March of the next year, NDJFM) has been detected using multiple**  
 137 **reanalysis datasets. The upward motion over the TWP is intensified at rates of**  
 138  **$8\pm 3.1\%$  decade $^{-1}$  and  $3.6\pm 3.3\%$  decade $^{-1}$  in NDJFM at 150 hPa from 1958 to 2017**

139 **using JRA55 and ERA5 reanalysis datasets, while the MERRA2 reanalysis data**  
140 **show a  $7.5 \pm 7.1\%$  decade<sup>-1</sup> intensified upward motion for the period 1980-2017.”**

141 P2 L18: Please specify here which reanalyses are used.

142 **Re: Added.**

143 P2 L23: 'numerical simulation' --> 'simulation with WACCM4' ?

144 **Re: Updated.**

145 P2 L24: 'show that more CO could be elevated to the tropical tropopause layer (TTL)'  
146 Please make this statement more quantitative.

147 **Re: Rephrased as: “Using CO as a tropospheric tracer, the WACCM4**  
148 **simulations show that an increase of CO at a rate of 0.4 ppbv decade<sup>-1</sup> at the**  
149 **layer 150-70 hPa in the tropics is mainly resulted from the global SST warming**  
150 **and the subsequent enhanced upward motion over the TWP in the troposphere**  
151 **and strengthened tropical upwelling of Brewer-Dobson (BD) circulation in the**  
152 **lower stratosphere.”**

153 P2 L27: Why is aerosol explicitly emphasized here. Please clarify (e.g. outflow from  
154 polluted air from South Asia?)

155 **Re: We thank the reviewer’s comment. This sentence has been rewritten as:**  
156 **“This implies that more tropospheric trace gases and aerosols from both**  
157 **natural maritime source and outflow from polluted air from South Asia may**  
158 **enter the stratosphere through the TWP region and affect the stratospheric**  
159 **chemistry and climate.”**

160 P3 L42: Please add possible sources of ozone-depleting halogen-containing  
161 substances in TWP (outflow from anthropogenic emissions from South Asia, natural  
162 maritime bromine-containing substances?).

163 **Re: We thank the reviewer’s comment. This sentence has been rewritten as:**  
164 **“Through the TWP region, tropospheric trace gases, e.g., the natural maritime**  
165 **bromine-containing substances and outflow from anthropogenic emissions from**  
166 **South Asia, are lifted to the upper troposphere by the strong upward motion and**  
167 **the deep convection and subsequently into the stratosphere by the large-scale**  
168 **upwelling (e.g., Levine et al., 2007, 2008; Navarro et al., 2015), which affect the**  
169 **ozone concentration and other chemical processes in the stratosphere (e.g., Feng**  
170 **et al., 2007; Sinnhuber et al., 2009).”**

171 P4 L45: (Saiz-Lopez and von Glasow, 2012; Wang et al., 2015). -> (e.g.  
172 Saiz-Lopez ...).

173 **Re: Corrected.**

174 P4 L46: 'the coldest tropopause' of what? Please specify.

175 **Re: Here we mean that the TWP region has the lowest tropopause temperature**  
176 **over the globe. Corrected as “At the same time, the TWP region has the lowest**  
177 **cold-point tropopause temperature (CPTT) over the globe and plays an**  
178 **important role in controlling the water vapor concentration in the stratosphere.”**

179 P4 L49: 'an important region for troposphere-to-stratosphere transport' Please add  
180 some references.

181 **Re: Added.**

182 P4 L50: Is the TWP more important for stratospheric chemistry as other regions in the  
183 atmosphere? Please clarify?

184 **Re: We thank for the reviewer’s comment. Here we want to summarize the**  
185 **importance of the TWP region. The sentence was modified as “The TWP is an**  
186 **important region for tropospheric trace gases being transported from the**  
187 **troposphere to the stratosphere, and therefore influencing the stratospheric**



188 chemistry (e.g., Fueglistaler et al., 2004; Levine et al., 2007; Krüger et al., 2008;  
189 Pan et al., 2016).”

190

191 P4 L66-70: The impact of ozone-depleting halogen-containing substances is already  
192 mentioned on P3 L42. I propose to combine these two sentences in one paragraph.

193 **Re: These sentences are combined in the first paragraph of Introduction section**  
194 **as: “Through the TWP region, tropospheric trace gases, e.g., the natural**  
195 **maritime bromine-containing substances and outflow from anthropogenic**  
196 **emissions from South Asia, are lifted to the upper troposphere by the strong**  
197 **upward motion and the deep convection and subsequently into the stratosphere**  
198 **by the large-scale upwelling (e.g., Levine et al., 2007, 2008; Navarro et al., 2015),**  
199 **which affects the ozone concentration and other chemical processes in the**  
200 **stratosphere (e.g., Feng et al., 2007; Sinnhuber et al., 2009).”**

201

202 P4 L71: 'Based on a trajectory model, Fueglistaler et al. (2004) pointed out that the  
203 TWP region is a primary source of the tropospheric air entering the stratosphere and  
204 approximately 80% of the trajectories ascending into the stratosphere enter the TTL  
205 from the TWP'. However, in L63 it is written: 'the TWP is not the dominant entry of  
206 trace gases transported from the troposphere into the lower stratosphere'. Please  
207 rephrase this statement more carefully.

208 **Re: Thanks for the comment. The statement is rephrased as: “Though the**  
209 **vertical transport from TTL to the lower stratosphere is dominated by the BD**  
210 **circulation, numerous studies confirmed that the TWP region is an important**  
211 **pathway of the surface air entering the TTL (Fueglistaler et al., 2004; Levine et**  
212 **al., 2007; Krüger et al., 2008; Haines and Esler, 2014). Based on a trajectory**  
213 **model, Fueglistaler et al. (2004) pointed out that approximately 80% of the**  
214 **trajectories ascending into the stratosphere from the TTL are originated from**  
215 **the TWP region.”**

216

217 P6 L100: 'using reanalysis datasets and model simulations' --> 'using JRA55, ERA5  
218 and MERRA2 reanalysis and different WACCAM4 simulations as described in Sect.  
219 2.'

220 **Re: Corrected.**

221

222 P6 L102: 'is also discussed.' --> ' will be discussed in Sect. 3'

223 **Re: Corrected.**

224

225 P6 L110: Please add the horizontal resolution of ERA5 data ( $0.3^\circ \times 0.3^\circ$ ), which is  
226 much higher as in JRA55 and MERRA2. What about differences in vertical and  
227 temporal resolution. Please specify.

228 **Re: Thanks for the comment. The description of the JRA55, ERA5 and**  
229 **MERRA2 datasets are rephrased in Section 2, and the information about the**  
230 **vertical, horizontal, and temporal resolution are added.**

231

232 P6 L124: 'UTLS' is not yet introduced in the text.

233 **Re: Corrected.**

234 P6 L125: 'even though there are still large biases in the reanalysis datasets' What are  
235 the differences between the three different reanalyses (JRA55, ERA5 and MERRA2)  
236 used here? Please specify.

237 **Re: According to the results of Uma et al. (2021), the description is added to the**  
238 **manuscript as: “the updrafts from the JRA55 data in the UTLS are stronger**  
239 **than those from ERA5 and MERRA2 data.” It should be mentioned that Uma et**  
240 **al. (2021) did not give quantitative differences between them.**

241

242 P8 L145: 'except that the global SSTs are fixed to the climatological mean values  
243 during 1955-2018 (long-term mean for each calendar month during 1955-2018.' Why  
244 are the SST not fixed to a value representative for the beginning of the 60-year  
245 period?

246 **Re: The Control and Fixsst simulations are designed to investigate the impact of**  
247 **SST changes on the intensified upward motion over the TWP. For this purpose,**  
248 **using the SST climatology representative for the beginning of the 60-year period**  
249 **to force the simulation should also be proper. Since we compare the trends**  
250 **between the Control (transient) and the Fixsst (constant) simulations, the state of**  
251 **the Fixsst simulation should not influence the results. The SSTs are fixed to the**  
252 **mean of 1958-2017 rather than 1960s to make the mean state of the two**  
253 **simulations more consistent with each other.**

254

255 P8 L146 Please explain the added-value of a time-slice experiment compared to the  
256 hindcast simulation.

257 **Re: Thanks for the comment. The SSTs in the hindcast simulation are prescribed**  
258 **as the observed SSTs, with changes of SSTs over the globe. SSTs in the time-slice**  
259 **simulations are only modified in the eastern maritime continent and the tropical**  
260 **western Pacific (20°S-20°N, 120°E-160°E) , which emphasizes the importance of**  
261 **the SSTs over these areas. The descriptions are clarified in the revised**  
262 **manuscript.**

263

264 P8 L150: For better motivation, please explain in more detail why this set up is used  
265 for the two time-slice simulations.

266 **Re: Thanks for the suggestion. Some explanations are added to the manuscript**  
267 **as: “To figure out the impact of the warming SST over the TWP region on the**  
268 **intensifying trend of the upward motion over the TWP region, a couple of**  
269 **time-slice simulations (R1 and R2) are also integrated for 33 years... Since the**

270 SSTs over the TWP show significantly warming trends, the SSTs during  
271 1998-2017 are higher than the SSTs during 1958-1977. Hence, the difference  
272 between R1 and R2 reflect the impact of the warmed SSTs over the TWP on the  
273 atmospheric circulation.”

274

275 P9 L171: 'the climatological distribution of the vertical velocity at 150 hPa for each  
276 month of the year.' --> Mean values of the vertical velocity at 150 hPa for each month  
277 averaged over 60 years from 1958 to 2017. Yes?

278 **Re: Yes. The statement is corrected correspondingly.**

279 Why is JRA55 and not ERA5 or MERRA2 selected for Fig.1? What are the difference  
280 between JRA55 and ERA5/MERRA2?

281 **Re: The pattern of the 150 hPa vertical velocity from JRA55 data shown in Fig. 1**  
282 **is similar to the patterns of the 150 hPa vertical velocity from ERA5 and**  
283 **MERRA2 datasets. To avoid repetition, only the result from JRA55 data is**  
284 **shown in Fig. 1. According to the referee's comment, the climatological mean**  
285 **vertical velocity in NDJFM in ERA5 and MERRA2 is added to the**  
286 **supplementary material. The vertical velocity differences between JRA55 and**  
287 **the ERA5 and MEERA2 data are further discussed in the revised manuscript.**

288 P9 L180: please add text within ++: 'which is more important to the transport of air  
289 over the TWP from the lower troposphere to the TTL +compared to the summer  
290 months (as shown in Fig. 1) + and subsequently to the lower stratosphere.

291 **Re: Corrected.**

292 P9 L182: 'Notably, the 150 hPa w shows no subsidence over the maritime continent,  
293 while there is descending motion over the maritime continent at 100 hPa (not shown),  
294 which is referred to the “stratospheric drain” (Gettleman et al., 2000; Sherwood,  
295 2000).' The 100 hPa values should be shown in an electronic supplement.

296 **Re: The 100 hPa  $w$  values using JRA55, ERA5 and MERRA2 are shown in**  
297 **Supplementary Fig. 2.**

298 P10 L186: Please explain in detail how the trend is calculated.

299 **Re: We thank for the reviewer's suggestion. The description about the trend and**  
300 **the significance test is added to Section 2 as:**

301 **“Linear trends and the significance test. The linear trends are estimated**  
302 **using a simple least square regression method. The significances of the**  
303 **correlation coefficients, mean differences, and trends are determined via a**  
304 **two-tail Student's t-test. The confidence interval of trend is calculated using the**  
305 **following equation (Shirley et al., 2004):**  $\left( b - t_{1-\frac{\alpha}{2}}(n-2)\sigma_b, b + t_{1-\frac{\alpha}{2}}(n-2)\sigma \right)$

306 **where  $b$  is the estimated slope,  $\sigma$  denotes the standard error of the slope, and**  
307  **$t_{1-\frac{\alpha}{2}}(n-2)$  represents the value of t-distribution with the degree of freedom**  
308 **equal to  $n-2$ .  $\alpha$  is the two-tailed confidence level.  $\sigma$  is calculated as:**

309 
$$\sigma = b \sqrt{\frac{\frac{1}{r^2} - 1}{n-2}}.$$

310 P10 L187: 'using reanalysis datasets' -> 'using JARA55, ERA5 and MERRA2  
311 reanalyses.'

312 **Re: Corrected.**

313 P10 L191: ->'is intensifying through the troposphere from 1958 to 2017.'

314 **Re: Corrected.**

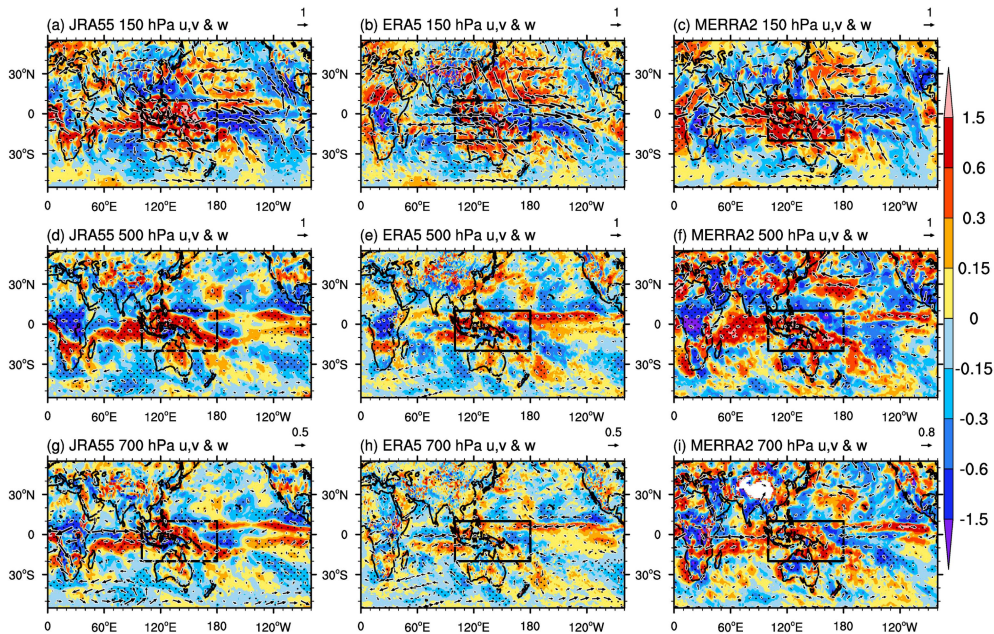
315 P10 L193 : add 'used here' or 'used in this study'

316 **Re: Added.**

317 Figure 2: In MERRA2 the horizontal winds seems to be much stronger compared to  
318 JARA55 and ERA5. Could you make a comment on this. Please discuss the  
319 similarities and differences of the three reanalyses in more detail. Maybe you could  
320 show an additional figure showing the differences of ERA5 and MERRA2 compared  
321 to JARA55. ERA5 has much higher spacial and temporal resolution as JARA55 and  
322 MERRA2, therefore I would expect pronounced differences to JARA55 and  
323 MERRA2, in particular convection is much improved compared to the previous  
324 ECMWF reanalysis ERA-Interim.

325 **Re: Thanks for the comment. In Fig. 2, the trends of the horizontal winds seem**  
326 **to be much stronger in MERRA2 compared to JRA55 and ERA5. It should be**  
327 **noted that the wind trends in JRA55 and ERA5 are calculated during the period**  
328 **1958-2017, however, the wind trends of in MERRA2 are calculated during the**  
329 **period 1980-2017. To further figure out whether there are large differences**  
330 **between the trends of the winds between JRA55, ERA5 and MERRA2, the**  
331 **trends of winds during 1980-2017 in NDJFM derived from JRA55, ERA5 and**  
332 **MERRA2 are shown here (and in the supplementary material). It could be seen**  
333 **that the trends of horizontal winds in Figs. R3a and R3b are larger than the**  
334 **trends of horizontal winds in Figs. 2a and 2b (in manuscript). And there are**  
335 **insignificant differences between the trends of horizontal winds in JRA55, ERA5,**  
336 **and MERRA2. Hence, the differences of the trends of the horizontal winds in Fig.**  
337 **2 are mainly due to the different time periods which are used to calculate the**  
338 **trends. The trend patterns of the winds in JRA55, ERA5, and MERRA2 are**  
339 **similar. However, there are also some differences between the trends of vertical**  
340 **velocity in JRA55, ERA5, and MERRA2. There are significantly positive trends**  
341 **over the TWP regions in JRA55, ERA5, and MERRA2, while the positive trends**  
342 **of vertical velocity over the TWP in ERA5 seem to be weaker than those in**  
343 **JRA55 and MERRA2. Comparing to the negative trends of the vertical velocity**

344 over the central Pacific in JRA55 and ERA5, the negative trends of the vertical  
 345 velocity over the central Pacific in MERRA2 extend more northward. The above  
 346 discussion is added to the corresponding paragraph in the revised manuscript.



347

348

349 **Fig. R3.** The trends of the vertical velocity and horizontal winds in NDJFM using  
 350 **JRA55 (a, d, g), ERA5(b, e, h) and MERRA2(c, f, i) data during 1980-2017 at**  
 351 **different levels. (a)-(c) are the trends of winds at 150 hPa. (d)-(f) are the trends of**  
 352 **winds at 500 hPa. (g)-(i) are the trends of winds at 700 hPa. The trends of**  
 353 **vertical velocity over the dotted region are statistically significant at the 90%**  
 354 **confidence level.**

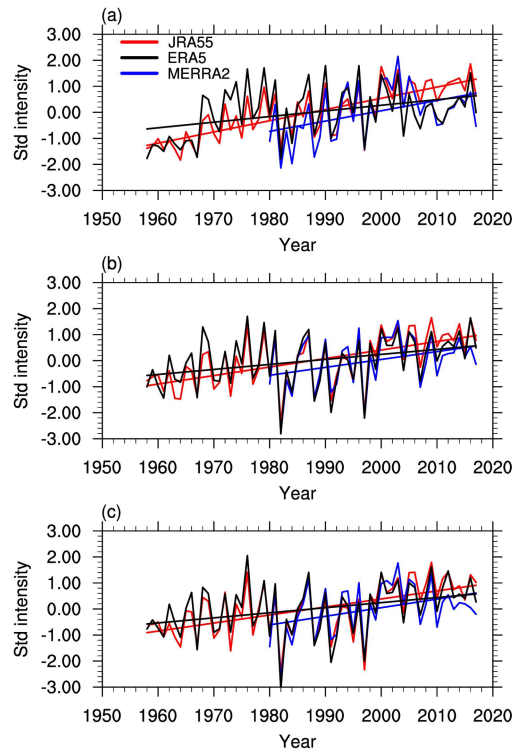
355

356 Figure 3: Please Explain how 'standardized intensity' is calculated. What is the reason  
 357 for the extreme minima (1981, 1991, 1999)? El Niño Southern Oscillation (ENSO)?

358 **Re: “The intensity of the upward motion over the TWP is simply defined as the**  
 359 **area-averaged upward mass flux at a specific level. And the standardized**  
 360 **intensity is the intensity divided by the standard deviation of the intensity at the**

361 corresponding level.” The explanation of the standardized intensity is added to  
362 the manuscript. The extreme minima (actually, the years are 1982, 1991, and  
363 1997) are mainly due to the ENSO events (El Niño), which may result in a weak  
364 upward motion over the TWP (e.g., Levine et al., 2008; Hosking et al., 2012; Hu  
365 et al., 2016). To figure out the influence of the El Niño events (1982, 1991, 1997),  
366 the time series of the standardized intensity of the upward motion over the TWP  
367 in NDJFM after removing the ENSO signal using the linear regression method  
368 (Hu et al., 2018) in JRA55, ERA5, and MERRA2 are shown here (Fig. R4 and  
369 Supplementary Fig. 5). It could be seen that the extreme minima become much  
370 weaker after removing the ENSO signal using the linear regression method. This  
371 result suggests that the El Niño events could affect the upward motion over the  
372 TWP and to a large extent result in the extreme minima (1982, 1991, and 1997).  
373 Notably, the upward motions over the TWP at 150 hPa, 500 hPa, and 700 hPa in  
374 NDJFM in JRA55, ERA5, and MERRA2 still show statistically significant  
375 intensifying trends after removing the ENSO signal in Supplementary Fig. 5,  
376 which suggests that ENSO events exert limited impacts on the trends of the  
377 upward motion over the TWP in NDJFM during 1958-2017. Some of above  
378 discussions are added to the revised manuscript.





379

380 **Fig. R4. The time series of the standardized intensity of the upward motion over**  
 381 **the tropical western Pacific (20°S-10°N, 100°E-180°E) at (a) 150 hPa; (b) 500 hPa;**  
 382 **and (c) 700 hPa extracted from JRA55 (red), ERA5 (black) and MERRA2 (blue)**  
 383 **datasets after removing the ENSO signal using linear regression method. The**  
 384 **straight lines in each figure indicate the linear trends. The linear trends of the**  
 385 **upward motion intensity over the TWP at 150 hPa, 500 hPa, and 700 hPa from**  
 386 **three datasets are statistically significant at the 95% confidence level.**

387

388 P10 L201: 'This suggests a comprehensive enhancement of vertical velocity though  
 389 the whole troposphere, which is evident from the surface to 100 hPa (not shown).'  
 390 Figures demonstrating this could be shown in an electronic supplement.

391 **Re: The trends of vertical velocity from the surface to 100 hPa in NDJFM**  
 392 **derived from JRA55, ERA5, and MERRA2 are added in the supplementary**  
 393 **material (Supplementary Fig. 4)**

394

395 P10 L205 : 'Due to the data limitation, it is not possible to show the corresponding

396 changes of trace gases by observations.' I agree that it is difficult to find observation  
397 from 1958 to 2017. However satellite measurements from shorter time period could  
398 be used (e.g. MLS CO available since August 2004; <https://mls.jpl.nasa.gov>).

399 **Re: We thank for the referee's comment. An extra figure showing the trends of**  
400 **CO observed by MOPITT and MLS at around 200 hPa during 2000-2017 and**  
401 **2005-2017 is added in the revised manuscript. The details could be found in the**  
402 **responses to the major comments above.**

403

404 P11 L210: 'of observed OLR' --> 'of observed OLR provided by NOAA (see Sect.  
405 2)'

406 **Re: Corrected.**

407 P11 L222: 'CPTT' is not yet introduced. Fig. 4b is not referred to in the text --> '.. the  
408 cold-point tropopause temperature (CPTT; see Fig. 4b) shows significantly decreasing  
409 trends over the TWP in NDJFM during 1958-2017,... However negative trends are  
410 also found in other regions in low and mid-altitudes, except in the Pacific.'

411 **Re: CPTT is introduced in the revised manuscript *Line 55*. The statement is**  
412 **corrected.**

413 P12 L242: 'The SSTs over the TWP are positively correlated with the upward motion  
414 intensity over the TWP, while the SSTs over tropical central, eastern Pacific, and  
415 Indian Ocean show negative correlations.' I am wondering that the positive correlation  
416 pattern is somewhat shifted to the east, then the western part of the maritime continent  
417 (100°E-120°E) is also negative correlated. However, in the western part of the  
418 maritime continent (100°E-120°E) the trends of horizontal winds (Fig. 2) are large.  
419 Maybe, it is useful to avoid misunderstandings to mark the region of the TWP  
420 somehow (e.g. by a box).

421 **Re: We are sorry for the possible confusion. The TWP is marked by a box in the**  
422 **figures of the revised manuscript, and the corresponding statement is corrected**  
423 **to avoid the confusion.**

424

425 P13 L253: 'a couple of model simulations' --> 'a couple of model simulations with  
426 WACCAM4'

427 **Re: Corrected.**

428 P14 L277: 'a couple of time-slice runs (R1 and R2) are performed (more details are  
429 given in the section 2).' --> It is maybe a matter of taste, but I would prefer in  
430 general to say 'simulations instead of 'run'. Please repeat the main features of R1 and  
431 R2 as a reminder for the reader.

432 **Re: Corrected. And the main features of R1 and R2 are added to the**  
433 **corresponding paragraph.**

434

435 P14 L289: 'The changes in the OLR' --> 'The changes in the OLR simulated in  
436 WACCAM4'

437 **Re: Corrected.**

438 P15 L300: 'We now discuss about the relationship between the trends of the upward  
439 motion over the TWP and the changes of the trace gases in the lower stratosphere.'  
440 -->'The relationship between the trends of the upward motion over the TWP and the  
441 change of CO and water vapor in the lower stratosphere simulated with WACCAM4  
442 will be analyzed. It is expected, that a positive trend in the upward motion over the  
443 TWP yield higher CO in the lower stratosphere caused be enhanced vertical upward  
444 transport. However, water vapor mixing ratios in the lower stratosphere depends in  
445 addition from the temperature in the UTLS ....' Is that what you would like to discuss  
446 here?

447 **Re: Yes. The corresponding phrases are corrected.**

448 Section 3.3 is written somewhat confusing, therefore I propose to write a short  
449 introduction of Sect. 3.3 summarizing previous results from the literature and  
450 subsequent the new results of Qie et al.

451 **Re: Thanks for the comment. A short introduction of Section 3.3 is added to the**  
452 **manuscript according to the comments of the referee and the literature.**

453 **“Previous studies showed that the enhanced deep convection and upward motion**  
454 **could lead to increased CO in the UTLS (e.g., Duncan et al., 2007; Livesey et al.,**  
455 **2013). At the same time, water vapor mixing ratios in the UTLS may increase**  
456 **due to the enhanced upward motion which could bring more wet air from low**  
457 **altitude to high altitude (e.g., Rosenlof, 2003; Lu et al., 2020). However, the water**  
458 **vapor mixing ratios in the lower stratosphere also depend on the tropopause**  
459 **temperature (e.g., Highwood and Hoskins, 1998; Garfinkel et al., 2018; Pan et al.,**  
460 **2019). Hence, the relationship between the intensity of upward motion and the**  
461 **water vapor concentration in the UTLS is complex. Here, the relationship**  
462 **between the trends of the upward motion over the TWP and the changes in CO**  
463 **and water vapor in the ULTS simulated with WACCM4 are analyzed.”**

464

465 P15 L303: 'in different simulations are displayed' --> 'are shown based on the Control  
466 and the Fixsst simulation as well as using their difference..'

467 **Re: Corrected.**

468 P15 L303: --> 'in Fig. 7d-i'

469 **Re: Corrected.**

470

471 P16 L328: 'As mentioned above in section 3.1, the observed tracer gases (e.g., CO)  
472 have very limited data record and may be affected by a mixture of anthropogenic and

473 natural (e.g., biomass burning) emissions and the ENSO events (e.g., Duncan et al.,  
474 2007; Logan et al., 2008). It is therefore very hard to identify the relative contribution  
475 of single factors.' This sentence is here not very helpful, please remove it.

476 **Re: Removed.**

477 P16 L332: 'We utilize the numeric simulations' --> 'We use the Control and the Fixsst  
478 simulation with WACCAM4 ..'

479 **Re: Corrected.**

480

481 P17 L344: 'increasing trends over the TWP' How much is the increase in CO within  
482 60 years? Please add some numbers in the text. ( $4 \times 10^{-4}$  ppm per year -> 0.024  
483 ppm change in CO in 60 years; that seems not to be much.)

484 Give some reference about CO values and variability of CO in this region from  
485 measurements to assess the trend in CO over TWP.

486 **Re: Thanks for the suggestion. We show the climatological mean CO values at**  
487 **215 hPa in NDJFM from MLS observations during 2005-2017 and at 200 hPa in**  
488 **NDJFM from MOPITT observations during 2000-2017. The concentration of**  
489 **MLS CO over the TWP is approximately 80 ppbv at 215 hPa and MOPITT CO**  
490 **is 70 ppbv at 200 hPa, which is consistent with previous study (e.g., Huang et al.,**  
491 **2016). The increasing trends of CO at 150 hPa over the TWP in the Control and**  
492 **Fixsst simulations are approximately 3.4 ppbv decade<sup>-1</sup> (20.4 ppbv within 60**  
493 **years) and 3.2 ppbv decade<sup>-1</sup> (19.2 ppbv within 60 years). The CO at 150 hPa**  
494 **over the TWP derived from the difference between the Control and Fixsst**  
495 **increased 0.2 ppbv decade<sup>-1</sup> (1.2 ppbv within 60 years), which suggests that the**  
496 **enhanced deep convection and intensified upward motion could lead to an extra**  
497 **6% increasing trend of CO at 150 hPa over the TWP. It should be mentioned**  
498 **that the changes in the CO at 150 hPa caused by the intensified upward motion**  
499 **over the TWP not only depend on the vertical transport but also on the gradient**

500 of CO concentration at around 150 hPa (Garfinkel et al., 2013). This may be the  
501 reason why the intensifying upward motion over the TWP only contribute to an  
502 extra 6% increasing trend of CO at 150 hPa in NDJFM during 1958-2017. For  
503 example, CO derived from the difference between the Control and Fixsst  
504 simulations shows higher increasing trends in the layer 150-70 hPa (0.4 ppbv  
505 decade<sup>-1</sup>) than those at 150 hPa (0.2 ppbv decade<sup>-1</sup>), which is due to the greater  
506 CO gradient in the UTLS comparing to the CO gradient in the upper  
507 troposphere.

508

509 P17 L354: 'This is consistent with our results which show intensified northerlies over  
510 the subtropical Indian Ocean and strengthened westerlies over the subtropical Indian  
511 Ocean and western Pacific'

512 Please add some numbers in the text: how much is the strengthening. Is it a large or  
513 weak change. Please give the reader some numbers to assess this change.

514 **Re: Thanks for the suggestion. The trends of the northerlies over the subtropical**  
515 **Indian Ocean (15°S-25°S, 60°E-100°E) are approximately 0.2 m s<sup>-1</sup> decade<sup>-1</sup> and**  
516 **the trends of westerlies over the subtropical Indian Ocean and western Pacific**  
517 **(20°N-35°N, 60°E-160°E) are approximately 0.3 m s<sup>-1</sup> decade<sup>-1</sup> (Figs. 5c and f).**  
518 **The discussion is added to the revised manuscript.**

519

520 P18 L377: 'In summary, the increase of CO as shown in Figs. 8a-8b is mainly caused  
521 by surface emissions.' My understanding is that the surface emissions are the same in  
522 the Control and Fixsst simulation and that the increase of UTLS CO is caused by  
523 stronger upwelling. Please clarify.

524 **Re: We are sorry for the confusion. The surface emissions are the same in the**  
525 **Control and Fixsst simulations, which are increasing in NDJFM during**  
526 **1958-2017. Hence, the trends of CO in Fig. 9a (in the revised manuscript) contain**  
527 **the CO trends induced both by the increased surface emissions and the enhanced**

528 upward motion. The trends of CO over the TWP in Fig. 9b (in the revised  
529 manuscript) only include the CO trends induced by the increased surface  
530 emissions since the upward motion over the TWP in the Fxsst simulation shows  
531 weak trends. Furthermore, the CO increased through the troposphere over the  
532 TWP using the difference between the Control and Fxsst simulations, which  
533 suggests that the increase of CO in the upper troposphere in Fig. 9c (in the  
534 revised manuscript) is caused by the intensified upward motion over the TWP.  
535 Some discussions are added to the text.

536

537 Figure 11: '(a) Control run; (b) Fxsst run; (c) difference between the Control run and  
538 the Fxsst run; and (d) JRA55.' --> labels a,b,c,d are not consistent to Fig.11.

539 **Re: We are sorry for the mistake. The figure caption is corrected.**

540

541 Why is MERRA2 and ERA5 not shown. How is the trend of the BD circulation  
542 calculated? Are zonal mean values shown? Please clarify.

543 **Re: Thanks for the suggestion. We have added the trends of the BDC derived**  
544 **from ERA5 and MERRA2 to the supplementary material. The trend of the BDC**  
545 **is calculated using the simple least square regression. The  $w^*$  used in the**  
546 **manuscript is calculated using the TEM formula and  $w^*$  denotes the monthly**  
547 **zonal mean of the vertical component of the BDC. To avoid confusion, the  $\bar{w}^*$**   
548 **and  $\bar{v}^*$  in the equation mentioned in the original manuscript are corrected as**  
549  **$w^*$  and  $v^*$  in the revised manuscript.**

550

551 P19 L384: 'The tropical upwelling of BDC ( $w^*$ ) are significantly increased in the  
552 lower stratosphere over past decades as seen in both reanalysis data and the control  
553 run (Figs. 11a and b).' --> 'in JARA55 and control simulation'

554 **Re: Corrected.**

555

556 Please indicate that the TEM is used to calculate  $w^*$ . Please specify 'significantly  
557 increased' with some numbers. Please compare the increase with numbers from other  
558 references.

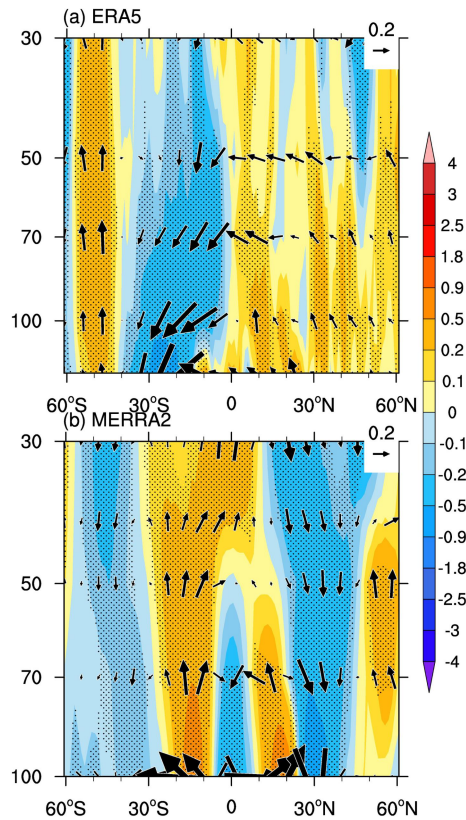
559 **Re: We thank the referee's comment. The manuscript is revised correspondingly.**

560 **The quantitative results and the comparison with other references are added.**

561 **The tropical upwelling of BDC ( $w^*$ ) calculated using the TEM formula increased**  
562 **significantly in the lower stratosphere over past decades as seen in the JRA55**  
563 **data and the Control simulation (Figs. 12a and 12b). We found that the 70 hPa**  
564 **upward mass flux in NDJFM in the tropics (15°S-15°N) increased  $2.8 \pm 1.9\%$**   
565 **decade<sup>-1</sup> ( significant at the 95% confidence level) in the JRA55 data from 1958**  
566 **to 2017 (Fig. 12a) and  $4.6 \pm 4.3\%$  decade<sup>-1</sup> ( significant at the 95% confidence level)**  
567 **in the MERRA2 data from 1980 to 2017 (Supplementary Fig. 7b). From the**  
568 **ERA5 data, the 70 hPa upward mass flux in NDJFM increased in the north**  
569 **hemisphere (0-15°N) at a rate of  $5 \pm 2.8\%$  decade<sup>-1</sup> ( significant at the 95%**  
570 **confidence level), but decreased significantly in the south hemisphere (0-15°S)**  
571 **during 1958-2017 (Supplementary Fig. 7a). On average, the trend of the 70 hPa**  
572 **upward mass flux in NDJFM in the tropics (15°S-15°N) is insignificant in ERA5.**  
573 **In fact, many previous studies have investigated the trends of BDC. For example,**  
574 **Abalos et al. (2015) investigated the trends of BDC using JRA55, MERRA, and**  
575 **ERA-Interim data during 1979-2012 and suggested that the BDC in JRA55 and**  
576 **MERRA significantly strengthened throughout the layer 100-10 hPa with a rate**  
577 **of  $2-5\%$  decade<sup>-1</sup>, while the BDC in ERA-Interim shows weakening trends. Diallo**  
578 **et al. (2021) compared the trends of the BDC in the ERA5 and ERA-Interim**  
579 **during 1979-2018 and pointed out that the BDC in the ERA-Interim shows**  
580 **weakening trend and the BDC in the ERA5 strengthened at a rate of  $1.5\%$**   
581 **decade<sup>-1</sup> which is more consistent with other studies. In the present study, we**



582 **only focus on the trend of the BDC in the wintertime (NDJFM) in the tropics**  
583 **(15°S-15°N) during 1958-2017, which may lead to some differences between our**  
584 **result and the previous studies. Overall, the trends of the tropical upwelling of**  
585 **BDC using JRA55, MERRA2 data and the Control simulation are similar to the**  
586 **previous studies using both reanalysis datasets and model results (e.g., Butchart**  
587 **et al., 2010; Abalos et al., 2015; Fu et al., 2019; Rao et al., 2019; Diallo et al.,**  
588 **2021). However, the tropical upwelling of the BDC decreased using ERA5 data**  
589 **in the tropics (15°S-15°N), which are different from the results in JRA55 and**  
590 **MERRA2. In summary, the tropical upwelling of the BD circulation is likely**  
591 **strengthened as shown in JRA55 and MERRA2 reanalyses as well as model**  
592 **simulations, although there are some uncertainties since the ERA5 data show a**  
593 **negative trend. This may contribute to the transport of the tropospheric trace**  
594 **gases from the TTL to a higher level. The increased concentration of CO in the**  
595 **UTLS in Fig. 9c and 10f may be due to a combined effect of the strengthened**  
596 **tropical upwelling of the BD circulation and the enhanced upward motion over**  
597 **the TWP.**



598

599 **Fig. R5.** The trends of the BD circulation (vectors) calculated using the TEM  
 600 formula using ERA5 and MERRA2 data. (a) The trends of  $w^*$  ( $10^{-5} \text{ m s}^{-1} \text{ a}^{-1}$ ) and  
 601  $v^*$  ( $10^{-2} \text{ m s}^{-1} \text{ a}^{-1}$ ) in NDJFM during 1958-2017 using ERA5 data. (b) The trends  
 602 of  $w^*$  ( $10^{-5} \text{ m s}^{-1} \text{ a}^{-1}$ ) and  $v^*$  ( $10^{-2} \text{ m s}^{-1} \text{ a}^{-1}$ ) in NDJFM during 1980-2017 using  
 603 MERRA2 data. The shadings are the trends of the vertical velocities ( $10^{-5} \text{ m s}^{-1}$   
 604  $\text{a}^{-1}$ ). The trends of the vertical velocity over the dotted regions are statistically  
 605 significant at the 90% confidence level.

606

607 P19 L400: 'The recent trends of the upward motion from the lower to the upper  
 608 troposphere in boreal winter over the TWP is investigated for the first time based on  
 609 the reanalysis datasets and model simulations.' Specify which reanalysis and which  
 610 model runs are used.

611 **Re: Corrected.**

612

613 P19 L405: 'Warmer SSTs over the TWP lead to a strengthened Pacific Walker  
614 circulation, enhanced deep convection and stronger upward motion over the TWP.'  
615 Please make this statement more quantitative. From the analysis it is not clear for me  
616 what is enhanced: convection or subsequent upward motion over the TWP by diabatic  
617 heating or both.

618 **Re: Thanks for the suggestion. The statement is rephrased. Both of the deep**  
619 **convection and the subsequent upward motion over the TWP by diabatic heating**  
620 **are enhanced. We are sorry for the confusion.**

621

622 How is downward transport over TWP by the Pacific Walker circulation during El  
623 Niño considered within the analysis? Please clarify?

624 **Re: Thanks for the comment. The impact of ENSO events on the upward motion**  
625 **over the TWP is discussed in the revised manuscript according to the referee's**  
626 **suggestion. Some discussions are also added in the Summary and Discussion.**

627 P20 L410:' Model simulations indicate that the CO concentration increases  
628 significantly from the surface to the stratosphere with increased surface emissions.'  
629 Please make the statement more quantitative.

630 **Re: Thanks for the comment. The statement is rephrased as: "Results from the**  
631 **Control simulation indicate that the CO concentration increased significantly**  
632 **from the surface to the stratosphere over the TWP. The CO at 150 hPa increased**  
633 **at a rate of approximately 3.4 ppbv decade<sup>-1</sup> with increased surface emissions**  
634 **and the enhanced upward motion over the TWP. Specifically, an enhancement of**  
635 **tropospheric upward motion and subsequent upward transport of trace gases**  
636 **over the TWP lead to an extra 6% increasing trend of CO concentrations in the**  
637 **upper troposphere. Furthermore, the upward mass fluxes at 70 hPa in the**  
638 **tropics (15°S-15°N) show strengthening trends at rates of 2.8±1.9% decade<sup>-1</sup> and**  
639 **4.6±4.3% decade<sup>-1</sup> in JRA55 data (during 1958-2017) and MERRA2 data (during**

640 1980-2017), respectively, which is consistent with previous studies (e.g., Butchart  
641 et al., 2010; Fu et al., 2019; Rao et al., 2019).”

642

643 P20 L417: 'Trace gases and aerosols in the stratosphere have important impacts on the  
644 stratospheric processes, and hence influence the troposphere weather and climate  
645 through their radiative and dynamical feedback'. This statement is very general.  
646 Please be more specific here.

647 **Re: We thank the referee’s comment. The statement is rephrased as: “Trace**  
648 **gases and aerosols entering the stratosphere from the troposphere have**  
649 **important impacts on the stratospheric processes. For example, ozone-depleting**  
650 **substances, CH<sub>4</sub> and N<sub>2</sub>O could influence on the stratospheric ozone significantly**  
651 **(e.g., Shindell et al., 2013; Wang et al., 2014; WMO, 2018), which also modify the**  
652 **temperature in the stratosphere significantly through their strong radiative**  
653 **effects. Water vapor in the lower stratosphere, in particular, has a significant**  
654 **warming effect on the surface climate (Solomon et al., 2010). Therefore, changes**  
655 **of trace gases in the UTLS have important impacts on both tropospheric and**  
656 **stratospheric climate.”**

657 My impression is that the conclusion section should be revised to summarize the  
658 results of Qie et al in a much more quantitative way.

659 **Re: Thanks for the referee’s suggestion. The conclusion section is revised**  
660 **according to the quantitative results in the revised manuscript.**

661 **The conclusion section is rewritten as:**

662 **“The recent trends of the upward motion from the lower to the upper**  
663 **troposphere in boreal winter over the TWP is investigated for the first time based**  
664 **on the JRA55, ERA5, MERRA2 datasets and four WACCM4 simulations (more**  
665 **details could be found in Section 2). The upward motion at 150 hPa over the**  
666 **TWP in NDJFM increased  $8\pm 3.1\%$  decade<sup>-1</sup> and  $3.6\pm 3.3\%$  decade<sup>-1</sup> in NDJFM**  
667 **from 1958 to 2017 in JRA55 and ERA5 reanalysis datasets, respectively. Despite**

668 the possible discontinuities between the radiosonde era (after 1958) and the  
669 satellite era (after 1979), the upward motion at 150 hPa over the TWP in NDJFM  
670 increased  $7.5\pm 7.1\%$  decade<sup>-1</sup> during 1980-2017 in MERRA2 data. Such  
671 intensification of the upward motion over the TWP also exist in the middle- and  
672 lower-troposphere in NDJFM in JRA55, ERA5, and MERRA2, which can be  
673 confirmed by the WACCM4 model simulations. Comparing the results between  
674 the Control and Fixsst simulations with WACCM4, it is found that the trend of  
675 the upward motion over the TWP is closely related to the changes in global SSTs,  
676 especially the SST warming over the eastern maritime continent and tropical  
677 western Pacific (see the results from the experiments R1 and R2 in Fig. 7).  
678 Warmer SSTs over the eastern maritime continent and tropical western Pacific  
679 (approximately 0.5 K) lead to a strengthened Pacific Walker circulation,  
680 enhanced deep convection and approximately 27% intensified upward motion at  
681 150 hPa over the TWP as shown by the results from the experiments R1 and R2.  
682 The enhanced deep convection over the TWP could lead to a dryer lower  
683 stratosphere over the TWP, as the strong upward motion and the Rossby-Kelvin  
684 wave responses induce a colder tropopause over the TWP. It should be pointed  
685 out that the results in the present study are mainly based on the reanalyses data,  
686 and some uncertainties may exist. More observational data are expected to be  
687 used to obtain a more robust result in the future.

688 Results from the Control simulation indicate that the CO concentrations  
689 increased significantly from the surface to the stratosphere over the TWP. The  
690 CO at 150 hPa increased at a rate of approximately 3.4 ppbv decade<sup>-1</sup> with  
691 increased surface emissions and the enhanced upward motion over the TWP.  
692 Specifically, an enhancement of tropospheric upward motion and subsequent  
693 upward transport of trace gases over the TWP lead to an extra 6% increasing  
694 trend of CO concentrations in the upper troposphere.

695 Furthermore, the upward mass fluxes at 70 hPa in the tropics (15°S-15°N)  
696 show strengthening trends at rates of  $2.8\pm 1.9\%$  decade<sup>-1</sup> and  $4.6\pm 4.3\%$  decade<sup>-1</sup>  
697 using JRA55 data (during 1958-2017) and MERRA2 data (during 1980-2017) in

698 NDJFM, which is consistent with previous studies (e.g., Butchart et al., 2010; Fu  
699 et al., 2019; Rao et al., 2019). However, such enhancement in tropical upward  
700 mass flux at 70 hPa has large uncertainties since the ERA5 data show a negative  
701 and insignificant trend (Supplementary Fig. 7a). The results from the Control  
702 and Fixsst simulations indicate that the elevated CO in the upper troposphere is  
703 further uplifted to the lower stratosphere by the intensified tropical upwelling of  
704 the BD circulation due mainly to global SST warming and lead to an increase of  
705 CO in the lower stratosphere. An extra 14% increasing trend of CO at the layer  
706 150-70 hPa over the TWP is derived from the Control and Fixsst simulations...”

707

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848 **Responses to the comments by Referee #2**

849 The manuscript presents an analysis of atmospheric upward transport through the  
850 upper troposphere and lower stratosphere over the tropical West Pacific based on  
851 reanalysis data and model observations. Long-term changes in the upwelling are  
852 linked to increasing global sea surface temperatures leading to a strengthening of the  
853 Pacific Walker circulation and deep convection. Implications for stratospheric  
854 entertainment of CO and H<sub>2</sub>O are discussed.

855 The research question addressed here is an important one and the topic is of general  
856 interest to the readers of ACP. Some parts of the analysis are solid and provide  
857 valuable insights into long-term changes of the underlying processes. However, I have  
858 some major concerns (listed below) and recommend major revisions before the  
859 manuscript can be published.

860 **Re: We thank for the reviewer's helpful comments. We have revised the**  
861 **manuscript thoroughly according to the comments and the manuscript has been**  
862 **improved substantially. The point-to-point responses are listed below.**

863 **Major comments**

864 1) Caution is advised when using reanalysis data for trend detection as the quality and  
865 character of reanalyses may have changed over time and non-physical trends can  
866 result from changes in the observing system or execution stream. This has been  
867 demonstrated for many atmospheric quantities such as stratospheric temperature  
868 (Long et al., 2017, ACP) and residual circulation velocities (Chapter 5, S-RIP report,  
869 2021).

870 Here, the trends derived from reanalysis are presented without any discussion of these  
871 aspects, but instead are used as if they would be reliable sources of long-term changes.  
872 A discussion of the limitations of reanalysis data for trend studies and words of  
873 caution are needed and the text should be changed accordingly throughout the  
874 manuscript, in particular when using reanalysis before 1979.

875 **Re:** We thank the reviewer for the very important comment. We totally agree  
876 with the reviewer that the limitations of reanalysis data for trend analysis should  
877 be discussed. Such discussion is added to the Section 2.

878 The text has been revised as: “A special caution is needed because of the  
879 limitations of reanalysis data. The reanalysis datasets assimilate observational  
880 data based on the ground- and space-based remote sensing platforms to provide  
881 more realistic data products. However, previous studies suggested that there are  
882 still uncertainties in the reanalysis data (e.g., Simmons et al., 2014; Long et al.,  
883 2017; Uma et al., 2021). The accuracy of the vertical velocity in reanalysis data  
884 sets has been evaluated by the Reanalysis Intercomparison Project (Fujiwara et  
885 al., 2017), which is initiated by the Stratosphere-troposphere Processes And their  
886 Role in Climate (SPARC). Results of a comparison between the radar observed  
887 data and the reanalysis data indicate that the updrafts in the UTLS are captured  
888 well near the TWP even though there are still large biases in the reanalysis  
889 datasets and the updrafts from the JRA55 data are stronger than those from the  
890 ERA5 and MERRA2 data (Uma et al. 2021). Additionally, discontinuities in the  
891 reanalysis data due to different observing systems (for example, transition from  
892 TOVS to ATOVS) may still exist (e.g., Long et al., 2017), which could lead to  
893 uncertainties in the long-term trend of a certain meteorological field. Hitchcock  
894 (2019) suggested that the reanalysis uncertainty is larger in the radiosonde era  
895 (after 1958) than in the satellite era (after 1979), but the radiosonde era is of  
896 equivalent value to the satellite era because the dynamical uncertainty dominates  
897 in the both eras. The data in the radiosonde era (1958-1978) used in the present  
898 study may induce uncertainties in our results. Therefore, we discuss the trends  
899 for both the periods of 1958-2017 and 1980-2017. In addition, we combine three  
900 most recent reanalysis datasets (JRA55, ERA5, and MERRA2) to obtain  
901 relatively robust results.”

902 The description about the trend analysis is also revised accordingly throughout  
903 the manuscript.

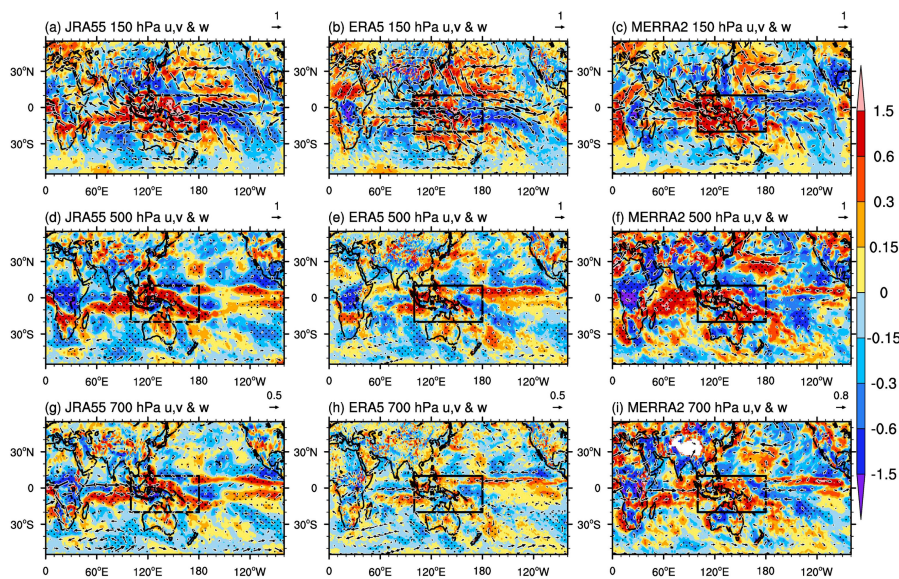
904 2) Trends of the vertical wind derived from the three reanalysis data sets agree in  
905 some regions but disagree in others as seen from Figure 2. A discussion of the level of  
906 agreement is needed. At the same time, it is not clear which region exactly is referred  
907 to as the tropical western Pacific (TWP). In many cases the authors would use the TWP  
908 in cases when the text and figures suggest that they refer to the Maritime Continent  
909 (e.g., ERA5 shows increasing trend of  $w$  over the Maritime Continent but decreasing  
910 trends over larger parts of the TWP). It would be very helpful, if the authors would  
911 define the regions upfront and use them consistently throughout the manuscript.

912 **Re: Thanks for the comment. Some discussions about the trends of horizontal**  
913 **winds and vertical velocity in the JRA55, ERA5, and MERRA2 are added to the**  
914 **revised manuscript. The differences between the reanalysis datasets may be**  
915 **mainly due to the different time periods which are used to calculate the linear**  
916 **trends in JRA55 (1958-2017), ERA5 (1958-2017) and MERRA2 (1980-2017). An**  
917 **additional figure showing the trends of horizontal winds and vertical velocity in**  
918 **the JRA55, ERA5, and MERRA2 (Fig. R1) during 1980-2017 is added to the**  
919 **supplementary material (Supplementary Fig. 3). The discussion in the revised**  
920 **manuscript is expressed as:**

921 **“Such an enhancement of the upward motion over the TWP is evident in all**  
922 **three reanalysis datasets used here (JRA55, ERA5, and MERRA2), although**  
923 **there are also some differences between the three reanalysis datasets. For**  
924 **example, the trends of the horizontal winds in the upper troposphere in**  
925 **MERRA2 (Fig. 2c) are larger than those in JRA55 and ERA5 (Figs. 2a and b).**  
926 **There are negative trends of vertical velocity in JRA55 and ERA5 while positive**  
927 **trends of vertical velocity in MERRA2 over the northern Pacific (Figs. 2a-c).**  
928 **However, these differences are mainly due to the different time periods used to**  
929 **calculate the linear trends in JRA55 (1958-2017), ERA5 (1958-2017) and**  
930 **MERRA2 (1980-2017). Supplementary Fig. 3 gives the trends of  $w$  and**  
931 **horizontal winds in NDJFM during 1980-2017 using JRA55, ERA5, and**  
932 **MERRA2 data, which shows insignificant differences between these reanalysis**

933 datasets. The trend patterns of the horizontal winds in JRA55, ERA5, and  
 934 MERRA2 are consistent with each other (Supplementary Fig. 3). For the trends  
 935 of vertical velocity, significant positive trends over the TWP region can be noted  
 936 in the JRA55, ERA5, and MERRA2 datasets, although the trends in ERA5 are  
 937 slightly weaker than those in JRA55 and MERRA2 (Fig. 2 and Supplementary  
 938 Fig. 3). Comparing to the negative trends of the vertical velocity over the central  
 939 Pacific in JRA55 and ERA5, the negative trends in MERRA2 extend more  
 940 northward (Supplementary Fig. 3).”

941 The TWP region is defined as 20°S-10°N, 100°E-180°E. According to the  
 942 referee’s comment, the TWP is marked using a black rectangle in the figures of  
 943 revised manuscript.



944

945 **Fig. R1.** The trends of the vertical velocity and horizontal winds in NDJFM using  
 946 JRA55 (a, d, g), ERA5(b, e, h) and MERRA2(c, f, i) data during 1980-2017 at  
 947 different levels. (a)-(c) are the trends of winds at 150 hPa. (d)-(f) are the trends of  
 948 winds at 500 hPa. (g)-(i) are the trends of winds at 700 hPa.

949 3) It seems that the upwelling trends (averaged over the region of interest) are hardly  
 950 significant even at the 90% confidence level. The uncertainty ranges and trend values  
 951 need to be provided in the text or figure. Furthermore, it is not clear why the

952 averaging is done over 20S-10N. Looking at Figure 2, my impression is the averaging  
953 over 20S-20N will not result in trends significant at the 90% confidence level. If this  
954 is the case, it should be stated in the text.

955 **Re: The uncertainty ranges and trend values are shown in the revised**  
956 **manuscript. “The intensity of the upward motion over the TWP at 150 hPa**  
957 **increased  $3.0\pm 1.2\times 10^8$  kg s<sup>-1</sup> decade<sup>-1</sup> ( $8.0\pm 3.1\%$  decade<sup>-1</sup>),  $1.3\pm 1.2\times 10^8$  kg s<sup>-1</sup>**  
958 **decade<sup>-1</sup> ( $3.6\pm 3.3\%$  decade<sup>-1</sup>), and  $3.0\pm 2.8\times 10^8$  kg s<sup>-1</sup> decade<sup>-1</sup> ( $7.5\pm 7.1\%$  decade<sup>-1</sup>)**  
959 **in JRA55, ERA5, and MERRA2 data, respectively. As shown in Figs. 3b and c,**  
960 **the intensity of the upward motion at 500 hPa and 700 hPa in JRA55 and the**  
961 **intensity of the upward motion at 500 hPa in ERA5 over the TWP also increased**  
962 **significantly at 95% confidence level ( $4.6\pm 2.6\times 10^8$  kg s<sup>-1</sup> decade<sup>-1</sup>,  $2.9\pm 1.7\times 10^8$  kg**  
963 **s<sup>-1</sup> decade<sup>-1</sup>, and  $2.5\pm 2.5\times 10^8$  kg s<sup>-1</sup> decade<sup>-1</sup>, respectively). The increasing trends**  
964 **of the intensity of the upward motion at 700 hPa in ERA5 and at 500 hPa and**  
965 **700 hPa in MERRA2 are significant at the 90% confidence level at rates of**  
966  **$1.9\pm 1.6\times 10^8$  kg s<sup>-1</sup> decade<sup>-1</sup>,  $5.4\pm 5.3\times 10^8$  kg s<sup>-1</sup> decade<sup>-1</sup> and  $3.9\pm 3.8\times 10^8$  kg s<sup>-1</sup>**  
967 **decade<sup>-1</sup>, respectively. ”**

968 **The description about how to calculate the uncertainty ranges is also added to**  
969 **the Section 2 as:**

970 **“The linear trends are estimated using a simple least square regression method.**  
971 **The significances of the correlation coefficients, mean differences, and trends are**  
972 **determined via a two-tail Student’s t-test. The confidence interval of trend is**  
973 **calculated using the following equation (Shirley et al., 2004):**

974 
$$\left( b - t_{1-\frac{\alpha}{2}}(n-2)\sigma_b, b + t_{1-\frac{\alpha}{2}}(n-2)\sigma \right)$$

975 **where  $b$  is the estimated slope,  $\sigma$  denotes the standard error of the slope, and**  
976  **$t_{1-\frac{\alpha}{2}}(n-2)$  represents the value of t-distribution with the degree of freedom**

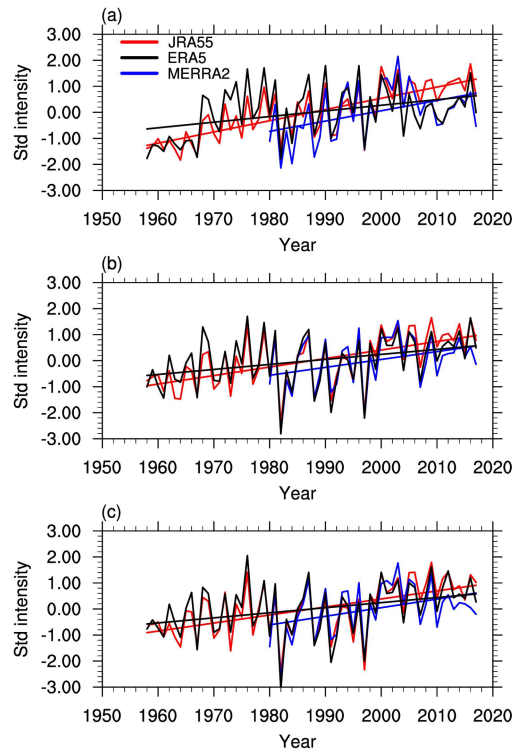
977 equal to  $n-2$ .  $\alpha$  is the two-tailed confidence level.  $\sigma$  is calculated as:

$$978 \quad \sigma = b \sqrt{\frac{\frac{1}{r^2} - 1}{n-2}}.$$

979 The averaging is done over 20°S-10°N because of two reasons: 1. The center of  
980 upward motion in the boreal winter (NDJFM) over the tropical western Pacific is  
981 mainly located in the region over 20°S-10°N. 2. The intensification of upward  
982 motion over the tropical western Pacific is more significant over 20°S-10°N. To  
983 avoid confusion, some explanations are added to the revised manuscript.

984 The confidence level of significance of the trend analysis could be impacted by  
985 the fluctuations in the time series. The other referee pointed out that there are  
986 extreme minima in the time series of the upward motion over the TWP (Fig. 3),  
987 which are mainly due to the ENSO events. Here, the time series of the upward  
988 motion over the TWP with the ENSO signal removed using the single linear  
989 regression method are also shown (Fig. R2). It could be seen that the extreme  
990 minima become much weaker after removing the ENSO signal using the linear  
991 regression method. This result suggests that the El Niño events could affect the  
992 upward motion over the TWP and to a large extent result in the extreme minima  
993 (1982, 1991, and 1997). After removing the large fluctuations due to the ENSO  
994 events, the upward motions over the TWP at 150 hPa, 500 hPa, and 700 hPa in  
995 NDJFM in JRA55, ERA5, and MERRA2 show statistically significant  
996 intensifying trends above the 95% confidence level.





997

998 **Fig. R2. The time series of the standardized intensity of the upward motion over**  
 999 **the tropical western Pacific (20°S-10°N, 100°E-180°E) at (a) 150 hPa; (b) 500 hPa;**  
 1000 **and (c) 700 hPa extracted from JRA55 (red), ERA5 (black) and MERRA2 (blue)**  
 1001 **datasets after removing the ENSO signal using linear regression method. The**  
 1002 **straight lines in each figure indicate the linear trends. The linear trends of the**  
 1003 **upward motion intensity over the TWP at 150 hPa, 500 hPa, and 700 hPa from**  
 1004 **three datasets are statistically significant at the 95% confidence level.**

1005 4) Where is the cold point temperature trend coming from (Figure 4)? This data  
 1006 source is not listed in the text or caption. Given that it starts at 1958, most likely the  
 1007 trend is derived from JRA55. Again, some words of caution are needed, given that  
 1008 cold point temperature trends from reanalysis data sets can show significant  
 1009 differences even for the satellite period (Tegtmeier et al., 2020, ACP).

1010 **Re: We thank for the referee's comment. The trend of CPTT in Fig. 4 is from**  
 1011 **JRA55 data. The data source is added to the figure caption in the revised**  
 1012 **manuscript. Caution is added to the revised manuscript as: "It should be noted**  
 1013 **that the CPTT from different reanalysis datasets may show different trends even**

1014 for the satellite period (Tegtmeier et al., 2020). Additionally, the JRA55 data  
1015 before 1978 may also lead to uncertainties in the CPTT trends. Caution is needed  
1016 when discussing the trends of CPTT from reanalysis datasets.”

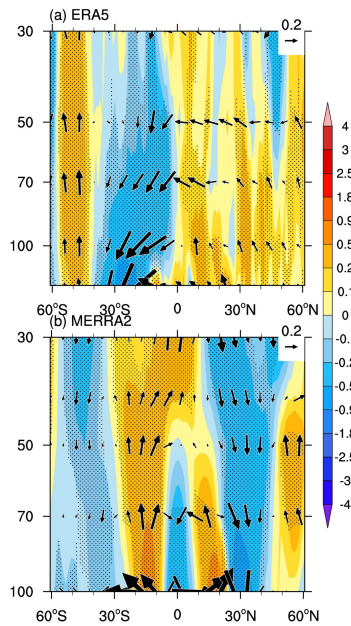
1017 5) The discussion of the trends of stratospheric upwelling needs to refer to Chapter 5  
1018 of the SPARC S-RIP report. Chapter 5 states in its abstract: ‘However, estimates of  
1019 long-term trends in tropical upwelling are inconsistent among different products,  
1020 showing either strengthening, weakening, or no trend.’ Therefore, results shown in  
1021 Figure 11 based on JRA55 are most likely not consistent with other reanalyses.

1022 **Re: We thank the referee’s comment. The discussion of the trends of**  
1023 **stratospheric upwelling is rewritten. The trends of stratospheric upwelling in**  
1024 **ERA5 and MERRA2 are added to the supplementary material (Fig. R3). The**  
1025 **discussion is written as:**

1026 “The tropical upwelling of BDC ( $w^*$ ) which calculated using the TEM  
1027 formula increased significantly in the lower stratosphere over past decades as  
1028 seen in the JRA55 data and the Control simulation (Figs. 12a and 12b). We found  
1029 that the 70 hPa upward mass flux in NDJFM in the tropics (15°S-15°N)  
1030 increased  $2.8\pm 1.9\%$  decade<sup>-1</sup> ( significant at the 95% confidence level) in the  
1031 JRA55 data from 1958 to 2017 (Fig. 12a) and  $4.6\pm 4.3\%$  decade<sup>-1</sup> ( significant at  
1032 the 95% confidence level) in the MERRA2 data from 1980 to 2017  
1033 (Supplementary Fig. 7b). From the ERA5 data, the 70 hPa upward mass flux in  
1034 NDJFM increased in the north hemisphere (0-15°N) at a rate of  $5.0\pm 2.8\%$   
1035 decade<sup>-1</sup> (significant at the 95% confidence level), but decreased significantly in  
1036 the south hemisphere (0-15°S) during 1958-2017 (Supplementary Fig. 7a). On  
1037 average, the trend of the 70 hPa upward mass flux in NDJFM in the tropics  
1038 (15°S-15°N) is insignificant in ERA5. In fact, many previous studies have  
1039 investigated the trends of BDC. For example, Abalos et al. (2015) investigated the  
1040 trends of BDC using JRA55, MERRA, and ERA-Interim data during 1979-2012  
1041 and suggested that the BDC in JRA55 and MERRA significantly strengthened  
1042 throughout the layer 100-10 hPa of order 2-5% decade<sup>-1</sup>, while the BDC in

1043 ERA-Interim shows weakening trends. Diallo et al. (2021) compared the trends  
1044 of the BDC in the ERA5 and ERA-Interim during 1979-2018 and pointed out  
1045 that the BDC in the ERA-Interim shows weakening trend and the BDC in the  
1046 ERA5 strengthened  $1.5\% \text{ decade}^{-1}$  which is more consistent with other studies. In  
1047 the present study, we only focus on the trend of the BDC in the wintertime  
1048 (NDJFM) in the tropics ( $15^{\circ}\text{S}$ - $15^{\circ}\text{N}$ ) during 1958-2017, which may lead to some  
1049 differences between our result and that in the previous studies. Overall, the  
1050 trends of the tropical upwelling of BDC derived from JRA55, MERRA2 data and  
1051 the Control simulation are similar to that in previous studies using both  
1052 reanalysis datasets and model results (e.g., Butchart et al., 2010; Abalos et al.,  
1053 2015; Fu et al., 2019; Rao et al., 2019; Diallo et al., 2021). However, the tropical  
1054 upwelling of the BDC decreased in ERA5 data in the tropics ( $15^{\circ}\text{S}$ - $15^{\circ}\text{N}$ ), which  
1055 are different from the results in JRA55 and MERRA2. ”

1056 “In summary, the tropical upwelling of the BDC is likely strengthened as shown  
1057 in JRA55 and MERRA2 reanalyses as well as model simulations, although there  
1058 are some uncertainties since the ERA5 data show a negative trend. This may  
1059 impact on the transport of the tropospheric trace gases from the TTL to a higher  
1060 altitude. The increased concentration of CO in the UTLS in Fig. 8c and 10f may  
1061 be due to a combined effect of the strengthened tropical upwelling of the BD  
1062 circulation and the enhanced upward motion over the TWP.”



1063

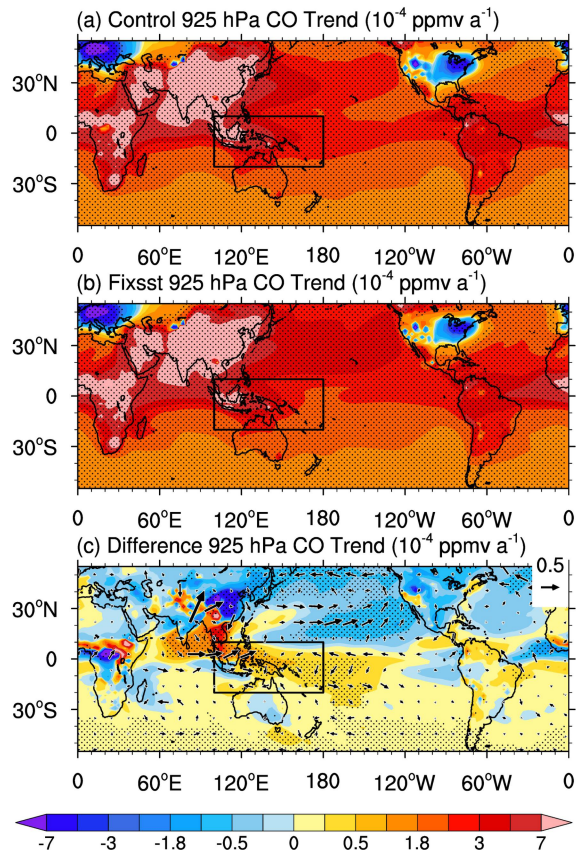
1064 **Fig. R3. The trends of the BD circulation calculated using the TEM formula in**  
 1065 **ERA5 and MERRA2. (a) The trends of  $w^*$  ( $10^{-5} \text{ m s}^{-1} \text{ a}^{-1}$ ) and  $v^*$  ( $10^{-2} \text{ m s}^{-1} \text{ a}^{-1}$ ) in**  
 1066 **NDJFM during 1958-2017 using ERA5 data. (b) The trends of  $w^*$  ( $10^{-5} \text{ m s}^{-1} \text{ a}^{-1}$ )**  
 1067 **and  $v^*$  ( $10^{-2} \text{ m s}^{-1} \text{ a}^{-1}$ ) in NDJFM during 1980-2017 using MERRA2 data.**

1068 6) I don't agree with the interpretation the CO changes based on various model runs  
 1069 as presented in Figure 9. Both simulations have the same sources and the control run  
 1070 shows enhanced convective uplifting bringing more CO to higher altitudes. For the  
 1071 tropical West Pacific, the trends are larger for the Control run throughout the whole  
 1072 vertical extent of the troposphere. However, enhanced upwelling would result in a less  
 1073 strong trend at the surface and boundary layer, opposite to what the simulations  
 1074 indicate here. In fact, some recent studies showed that over the Indian Ocean, CO  
 1075 abundance in the boundary layer decreases (despite the growing sources) while it  
 1076 increases in the mid to upper troposphere due to enhanced convective activity (e.g.,  
 1077 Girach and Nair, 2014). The discussions and conclusions regarding this figure need to  
 1078 be revised.

1079 **Re: We thank for the referee's comment. According to the referee's comment,**  
 1080 **the reason for the increasing trends of CO in the lower troposphere shown in Fig.**  
 1081 **9f is further investigated. The trends of CO in the lower troposphere using the**

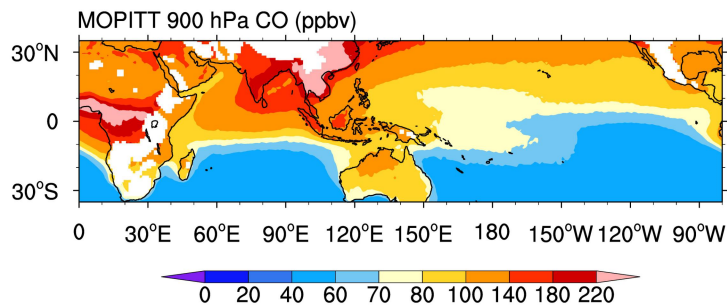
1082 Control and Fixsst simulations as well as the difference between them are shown  
1083 (Fig. R4). The trends of difference of horizontal winds at 925 hPa between the  
1084 Control and Fixsst simulations are also shown (Fig. R4c). It can be found that  
1085 there are northerly trends over east Asia and northeasterly trends near the south  
1086 Asia (Fig. R4c), which suggests that more CO-rich air from east Asia and south  
1087 Asia could be transported to the TWP in the Control simulation comparing to  
1088 the Fixsst simulation. Since the CO concentration at 900 hPa over the northern  
1089 Pacific is higher than that over southern Pacific (Fig. R5), the northerly trends  
1090 over the western and central Pacific may also contribute to the increased CO in  
1091 the lower troposphere over the TWP in Fig. 9f. The interpretation about the Fig.  
1092 9 is revised in the revised manuscript as:

1093 “It should be mentioned that the increasing trends of CO in the lower  
1094 troposphere in Fig. 10f may be mainly caused by the changes in the horizontal  
1095 winds. Girach and Nair (2014) suggested that enhanced deep convection and the  
1096 subsequent intensified upward motion may lead to a decreased CO  
1097 concentration in the lower troposphere and an increased CO concentration in  
1098 the upper troposphere. The trends of horizontal winds at 925 hPa are shown in  
1099 Supplementary Fig. 8c. There are northerly trends over east Asia and  
1100 northeasterly trends near the south Asia (Supplementary Fig. 8c), which suggests  
1101 that more CO-rich air from east Asia and south Asia could be transported to the  
1102 TWP in the Control simulation comparing to the Fixsst simulation. Since the CO  
1103 concentration in the lower troposphere over the northern Pacific is higher than  
1104 that over southern Pacific, the northerly trends over the western and central  
1105 Pacific may also contribute to the increased CO in the lower troposphere over  
1106 the TWP in Fig. 10f.”



1107

1108 **Fig. R4. The trends of CO ( $10^{-4}$  ppmv) at 925 hPa in NDJFM during 198-2017 in**  
 1109 **the (a) Control simulation, (b) Fxsst simulation, and (c) the difference between**  
 1110 **the Control and Fxsst simulations. The vectors in (c) denote the trends of the**  
 1111 **difference of 925 hPa horizontal winds ( $10^{-1}$  m  $s^{-1}$ ) between the Control and**  
 1112 **Fxsst simulations.**



1113

1114 **Fig. R5. The climatological mean CO concentration at 900 hPa in NDJFM**  
 1115 **during 2000-2017 using MOPITT data.**

1116 **Minor comments**

1117 Should the title say ‘... implications for ...’?

1118 **Re: Corrected.**

1119 For the fact that halogenated gases are enhanced over the WP, a citation is needed.  
1120 The citations given at the end refer to tropospheric halogen chemistry. What is meant  
1121 with the second part of the sentence? A general statement, that halogens impact  
1122 stratospheric ozone chemistry? Or that halogens injected over the West Pacific have a  
1123 relatively large impact on stratospheric ozone chemistry?

1124 **Re: We thank for the referee's comment. Citations are added to the revised**  
1125 **manuscript. The sentence is rewritten according to this comment and the**  
1126 **comment of the other referee as:**

1127 **“Through the TWP region, tropospheric trace gases, e.g., the natural maritime**  
1128 **bromine-containing substances and outflow from anthropogenic emissions from**  
1129 **South Asia, are lifted to the upper troposphere and lower stratosphere (UTLS)**  
1130 **by the strong upward motion and the deep convection and subsequently into the**  
1131 **stratosphere by the large-scale upwelling (e.g., Levine et al., 2007, 2008; Navarro**  
1132 **et al., 2015), which affects the ozone concentration and other chemical processes**  
1133 **in the stratosphere (e.g., Feng et al., 2007; Sinnhuber et al., 2009).”**

1134 Line 190: What is an intensifying trend? A trend increasing over time?

1135 **Re: Sorry for the confusing. It should be a positive trend, not an intensifying**  
1136 **trend. We have corrected the sentence in the revised manuscript.**

1137 Line 272: figure 2f shows wind fields at 500 hPa. Do you mean a different figure  
1138 here?

1139 **Re: We are sorry for the mistake. It should be Figure 4d here. The mistake is**  
1140 **corrected in the revised manuscript.**

1141 Line 270-274: This line of argumentation doesn't make any sense to me, and it is not  
1142 clear what the authors are trying to say.

1143 **Re: We are sorry for the confusion. The sentence is rewritten as:**

1144 “As suggested by the correlation coefficients between the upward motion at 150  
1145 hPa over the TWP and SSTs in Fig. 4d, warmer SSTs over the tropical central  
1146 and eastern Pacific, and Indian Ocean may lead to a weakened upward motion  
1147 over the TWP (negative correlation). The warming trends of SSTs over the  
1148 eastern maritime continent and tropical western Pacific may result in an  
1149 intensification of the upward motion over the TWP.”

1150 Nearly all figures are too small, and the captions are very hard to read.

1151 **Re: The figures are enlarged and the captions are rewritten.**

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