Measurement report: Long-term changes in black carbon and aerosol optical properties from 2012 to 2020 in Beijing, China

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Abstract. Atmospheric aerosols play an important role in radiation balance of the earth-atmosphere system. However, our knowledge of the long-term changes in equivalent black carbon (eBC) and aerosol optical properties in China are very limited. Here we analyze the nine-year measurements of eBC and aerosol optical properties from 2012 to 2020 in Beijing, China. Our results showed large reductions in eBC by 71% from 6.25 ± 5.73 μg m^{-3} in 2012 to 1.80 ± 1.54 μg m^{-3} in 2020, and 47% decreases in light extinction coefficient (b_{ext}, λ = 630 nm) of fine particles due to clean air action plan since 2013. The seasonal

and diurnal variations of eBC illustrated the most significant reductions in the fall and night time, respectively. ΔeBC/ΔCO also showed an annual decrease from ~ 7 to 4 ng m^{-3} ppbv^{-1} and presented strong seasonal variations with high values in spring and fall, indicating that primary emissions in Beijing have changed significantly. As a response to clean air action, single scattering albedo (SSA) showed a considerable increase from 0.79 ± 0.11 to 0.88 ± 0.06, and mass extinction efficiency (MEE) increased from 3.2 to 3.8 m^2 g^{-1}. These results highlight an increasing importance of scattering aerosols in radiative forcing, and a future challenge in visibility improvement due to enhanced MEE. Brown carbon (BrC) showed similar changes and seasonal variations to eBC during 2018 – 2020. However, we found a large increase of secondary BrC in the total BrC in most seasons, particularly in summer with the contribution up to 50%, demonstrating an enhanced role of secondary formation in BrC in recent years. The long-term changes in eBC and BrC have also affected the radiative forcing effect. The direct radiative forcing (ΔF_R) of BC decreased by 67% from +3.36 W m^{-2} in 2012 to +1.09 W m^{-2} in 2020, and that of BrC decreased from +0.30 to +0.17 W m^{-2} during 2018 – 2020. Such changes might have important implications in affecting aerosol and boundary-layer interactions and the future air quality improvement.

1 Introduction

Atmospheric aerosols play an important role in the radiative balance of the earth-atmosphere system by directly scattering and absorbing solar radiation or indirectly changing cloud reflectivity and precipitation processes (Rosenfeld, 2000; Bond and

40 Bergstrom, 2006). Accurate assessment of radiative forcing caused by aerosol is still a challenge (IPCC, 2013) due to uncertainties in the estimating scattering coefficient (b_{sca}), absorption coefficient (b_{abs}), and single-scattering albedo (SSA). Especially, SSA is a key factor determining whether aerosols exert warming or cooling effects. Previous studies found that an increase of SSA from 0.8 to 0.9 can shift the radiative forcing from positive to negative (Hansen et al., 1997; Lee et al., 2007). These key parameters of aerosol optical properties are closely related to the size distribution, mass concentration and

45 composition of aerosols which have been extensively studied in previous studies (Han et al., 2015; Paola Massoli et al., 2015; Zhai et al., 2017; Xie et al., 2019b). Generally, sulfate, nitrate and most organics predominantly scatter light and exert negative forcing effect (Haywood and Boucher, 2000; Han et al., 2015). Differently, black carbon (BC) and brown carbon (BrC) are the major absorption aerosols which warm atmosphere and present positive forcing effect (Bond et al., 2013). BC is mainly generated from incomplete combustion of fossil fuels and bio-fuels which has absorption at all wavelengths and produces

50 strong radiative forcing effect (Jacobson, 2001; Bond et al., 2013; IPCC, 2013). Depending on the measurement method, BC is also called elemental carbon (EC), refractory black carbon (rBC) and equivalent black carbon (eBC) which is derived from converting light absorption coefficient into mass concentration with a suitable mass absorption efficient (MAE) (Petzold et al., 2013). As a part of organic carbon, the absorbing ability of BrC depends strongly on wavelength (Andreae and Gelencsér, 2006; Cappa et al., 2019), and generally accounts for 20 ~ 40% to the total absorption of carbonaceous aerosol over a global

55 scale (Park et al., 2010; Saleh et al., 2013; Jo et al., 2016; Wang et al., 2019a). Besides the similar primary sources with BC (i.e., biomass burning, coal combustion and vehicle exhaust), BrC can also be produced from multiphase reactions like photochemical or aqueous-phase oxidation of volatile organic compounds (Laskin et al., 2015).

High concentrations of absorbing and scattering aerosols also cause air pollution and effect human's health (Oberdörster and Yu, 1990). BC is a particular pollutant which could affect the development of boundary layer by changing atmospheric heating

60 rate and aggravate air pollution (Ding et al., 2016). Severe air pollution has been a wide environmental concern in China during the last decade (An et al., 2019; Zhou et al., 2020). The previous studies showed that China and India contributed most to the

BC emissions in Asia, accounting for 25 ~ 35% in 2010 (Ramanathan and Carmichael, 2008; Bond et al., 2013; Li et al., 2017; Wei et al., 2020). In China, the BC emissions were estimated to be approximately 2534 Gg in 2014, twice as much as that in 1960 (Hoesly et al., 2018). Until 2017, the residential and industry contributed more than 83% of Chinese BC emissions (Wang et al., 2012). Therefore, it is important to characterize the long-term changes in BC and its response to the changes in source emissions.

Beijing as one of the largest megacities in the world has been a great success in decreasing $PM_{2.5}$ during the last decade by implementing clean air action plan (Zhang et al., 2019). Many previous studies focused on the changes in aerosol chemical components and the influences of emissions and meteorological conditions (Sun et al., 2018; Lei et al., 2020; Sun et al., 2020b). The mass concentration, mixing state, optical property and coating chemical composition of BC in Beijing were also widely investigated in field campaigns in specific seasons (Han et al., 2017; Liu et al., 2017b; Din et al., 2019; Xie et al., 2020; Sun et al., 2021). However, our understanding of the long-term change of black carbon, aerosol optical properties and radiative effects as a response to the "Atmospheric Pollution Prevention and Control Action Plan" (http://www.gov.cn/zwgk/2013-09/12/content_2486773.htm) is very limited.

In this study, we conducted nine-year measurements of eBC and light extinction coefficient (λ = 630 nm) by using Aethalometers along with cavity attenuated phase shift (CAPS) extinction monitor in Beijing. The long-term changes in eBC, b_{ext}, SSA and mass extinction efficient (MEE) are investigated, and their annual, seasonal and diurnal variations are elucidated. Moreover, we illustrate the changes in BrC absorption and absorption Ångström exponent (AAE) by using three-year measurements from 2018 to 2020. Particularly, the contributions of primary emissions and secondary formation to BrC absorptions are quantified and their changes during the past three years are demonstrated. Finally, the impact of the changes in BC and BrC on direct radiative forcing is estimated and discussed.

2 Methods

2.1 Sampling sites and measurements

All optical measurements were conducted at the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences (39°58′28″N, 116°22′16″E) in Beijing. More detail descriptions of the sampling site were given in previous study (Sun et al., 2020a). Equivalent BC (eBC) was measured by a two wavelength (375 and 880 nm) Aethalometer (AE22, Magee Scientific) from August 2012 to December 2014 and a seven-wavelength (370, 470, 520, 590, 660, 880, and 950 nm) Aethalometer (AE33, Magee Scientific) from January 2015 to November 2020, along with the measurement of light extinction (b_{ext}, $\lambda = 630$ nm) of dry fine particles using a CAPS extinction monitor from 2012 to 2020. Note that the measurements of Aethalometers and CAPS from June 2013 to September 2014 and from August 2015 to August 2017 were not available. The available data are from August 2012 to May 2013, October 2014 to September 2015 and September 2017 to December 2020. A more detailed instrument deployment is shown in Fig. S1. The AE22 and AE33 were operated at time resolutions of 10 min and 1 min, respectively, and the CAPS was operated at a time resolution of 1 s. Because the new version of AE33 using "dual-spot" technique can provide more reliable measurements by better correcting the filter-based loading effects (Drinovec et al., 2015), we further corrected the eBC measurement of AE22 according to a parallel measurement between AE33 and AE22 ($R^2 = 0.99$, slope = 1.38) (Han et al., 2017). The mass concentrations of $PM_{2.5}$ and CO were obtained from the air quality monitoring station at the Olympic Center (39°59′11″N, 116°23′58″E), which is approximately 4 km from our sampling site. The meteorological parameters of wind direction (WD) and wind speed (WS) were measured at the height of 102 m on the Beijing 325 m meteorological tower. In this study, four seasons are defined as: spring (March, April and May), summer (June, July and August), autumn (September, October and November), and winter (December, January and February in next year).

2.2 Calculations of aerosol optical properties

Single scattering albedo (SSA, $\lambda = 630$ nm) of $PM_{2.5}$ can be calculated:

$$SSA = \frac{b_{ext} - b_{abs}}{b_{ext}} \quad (1)$$

$$b_{abs,\ 630nm} = eBC \times MAE \quad (2)$$

where b_{ext} is the light extinction coefficient at 630 nm. The mass concentration of eBC was converted to b_{abs} at 630 nm using an MAE of 7.9 in spring and summer and 7.4 in fall and winter, respectively (Han et al., 2017).

The mass extinction efficiency (MEE) of $PM_{2.5}$ was derived as the ratio of b_{ext} to the mass concentration of $PM_{2.5}$,

$$MEE = \frac{b_{ext}}{PM_{2.5}} \qquad (3)$$

The absorption Ångström exponent (AAE) can be determined using Eq. (4) (Moosmüller et al., 2011), and the $b_{abs,BC}$ at each wavelength was estimated assuming an AAE = 1 for pure BC (Bond and Bergstrom, 2006). After subtracting $b_{abs,\,BC}$ from the total absorption coefficient $b_{abs,\,total}$ at 370 nm, the BrC absorption coefficient ($b_{abs,\,BrC}$) can be estimated with Eq. (5). Note that we may slightly overestimate the absorption of BrC due to the influence of dust though the MAE of dust was much lower than BC and BrC (Yang et al., 2008),.

$$\frac{b_{abs,\lambda 1}}{b_{abs,\lambda 2}} = \left(\frac{\lambda_1}{\lambda_2}\right)^{-AAE} \qquad (4)$$

$$b_{abs,BrC} = b_{abs,total} - b_{abs,BC} \qquad (5)$$

2.3 Quantification of primary and secondary BrC absorption

The absorption of BrC at 370 nm can be segregated into primary ($b_{abs,\,Primary\,BrC}$) and secondary ($b_{abs,\,Secondary\,BrC}$) using Eq. (6) assuming negligible contribution of dust.

$$b_{abs,\,Secondary\,BrC} = b_{abs,BrC} - (b_{abs,BrC}/b_{abs,BC})_{pri} \times b_{abs,BC} \qquad (6)$$

where $b_{abs,\,BC}$ was the absorption at 880 nm, $(b_{abs,\,BrC}/b_{abs,\,BC})_{pri}$ is the ratio of primary BrC absorption to BC absorption. Considering $b_{abs,\,BC} = [eBC]*MAE$, we simplify the Eq. (6) to Eq. (7).

$$b_{abs,\,Secondary\,BrC} = b_{abs,BrC} - (b_{abs,BrC}/eBC)_{pri} \times eBC \qquad (7)$$

Here $(b_{abs,\,BrC}/eBC)_{pri}$ was determined by the newly developed MRS method (Wu and Yu, 2016) using the mass concentration of BC as a tracer (Wang et al., 2019a). In MRS calculation, the correlation coefficients (R^2) between measured eBC and estimated $b_{abs,\,Secondary\,BrC}$ was examined as a function of a series of hypothetical $(b_{abs,\,BrC}/eBC)_{pri}$. The $(b_{abs,\,BrC}/eBC)_{pri}$ with the

minimum correlation coefficients (R^2) between BC and $b_{abs, Secondary BrC}$ was assumed as the most statistically probable ($b_{abs, BrC}$/eBC)$_{pri}$ considering the independent variations between BC and $b_{abs, Secondary BrC}$. Based on this method, we first determined the monthly ($b_{abs, BrC}$/eBC)$_{pri}$ with an example of analysis of three months in the fall of 2020 (Fig. S2). The $b_{abs, Secondary BrC}$ was then determined as the difference between the total $b_{abs, BrC}$ and $b_{abs, Primary BrC}$.

2.4 Estimation of radiative forcing of BC and BrC

We estimated the direct radiative forcing (ΔF_R) caused by BC and BrC at the top-of-atmosphere (TOA) based on forcing equations suggested by a previous study (Chylek and Wong, 1995), the modified wavelength-dependent version of the equation is given below (Chen and Bond, 2010):

$$\Delta F_R = \int -\frac{1}{4}\frac{dS(\lambda)}{d\lambda}\tau_{atm}^2(\lambda)(1 - F_c)[(1 - a_s)^2 2\beta\tau_{scat}(\lambda) - 4a_s\tau_{abs}(\lambda)]d\lambda \quad (8)$$

where S is the solar irradiance (W m^{-2}), τ_{atm} is the atmospheric transmission (unitless), F_c is the fractional cloud amount (unitless), a_s is the surface reflectance (unitless), and β is the backscatter fraction (unitless), and τ_{scat} and τ_{abs} are the aerosol scattering and absorption optical depths (unitless), respectively. Wavelength-dependent $S(\lambda)$ and $\tau_{atm}(\lambda)$ are derived from the ASTM G173-03 reference spectra (Chen and Bond, 2010). F_c and a_s are 0.6 and 0.19, respectively based on previous studies (Bond and Bergstrom, 2006; Wang et al., 2019b). Based on the small differences in mass size distribution of BC in recent years (Wu et al., 2021) and little effect of BC particle shape on backscatter fraction (Liu et al., 2017a), we used a constant value of β (0.29) (Charlson et al., 1992; Chylek and Wong, 1995) which could be adapted to the usual size distribution of BC in Beijing (Liu et al., 2019; Liu et al., 2020a; Liu et al., 2020b; Wu et al., 2021). Based on the method in previous study (Wang et al., 2019b), τ_{scat} and τ_{abs} can be estimated as $\tau_{scat}(\lambda) = b_{sca}(\lambda) \times H_{eff}$ and $\tau_{abs}(\lambda) = b_{abs}(\lambda) \times H_{eff}$, respectively, where $b_{sca}(\lambda)$ and $b_{abs}(\lambda)$ are scattering and absorption coefficients, respectively, and H_{eff} is effective height. The effective heights can be derived from the relationship between aerosol optical depth τ (=$\tau_{scat} + \tau_{abs}$, available from the Aerosol Robotic Network data archive) and light extinction coefficient by CAPS. The detail results of H_{eff} in four seasons are shown in Table S2. In addition, the uncertainties of BC and BrC ΔF_R were also estimated (see supplementary for details).

3 Results and discussion

3.1 Temporal variations of eBC

150   Fig. 1a shows the annual variations of eBC, $\triangle$eBC/$\triangle$CO and eBC/PM$_{2.5}$ during 2012-2020. The annual mean (±1σ)

concentration of eBC was 6.25 ± 5.73 µg m^{-3} in 2012 (from August in 2012 to May in 2013) and decreased by 71% ($1.80 \pm$

1.54) in 2020. The Mann-Kendall trend test supported that the decrease in eBC from 2012 to 2020 was significant (Table S1).

The annual mean concentration in 2020 was similar to that in Milan (Mousavi et al., 2019), lower than that in Xiamen (Deng

et al., 2020), Shanghai (Wei et al., 2020), and Hefei (Zhang et al., 2015), yet higher than that in Nanjing (Jing et al., 2019) and

155   New York City (Rattigan et al., 2013). A significant reduction in CO by 56% from 2012 to 2020 (Fig. S3) also indicated that

primary emissions from incomplete combustion reduced significantly during the past decade. Considering that different

primary sources showed different emissions of BC and CO (Derwent et al., 2001; Spackman et al., 2008), we calculated $\triangle$

eBC/$\triangle$CO as the ratio of (eBC – eBC$_0$) and (CO – CO$_0$) which was widely used to identify the variations of BC sources

(Kondo et al., 2006; Subramanian et al., 2010; Liu et al., 2020a). The background concentration of CO (CO$_0$) was determined

160   as the average of 1.25 percentile in each year, and that of eBC (eBC$_0$) was assumed as zero considering the negligible natural

sources of BC in the clean background except biomass burning and wild fires (Han et al., 2009; Pan et al., 2011) and the short

lifetime in the atmosphere (Bond et al., 2013). As shown in Fig. 1a, the annual mean values of $\triangle$eBC/$\triangle$CO and eBC/PM$_{2.5}$

presented similarly decreasing trends, indicating a significant change in the structure of primary emission sources. Fig. 2

presents the monthly variations of eBC, $\triangle$eBC/$\triangle$CO and eBC/PM$_{2.5}$. The eBC showed consistently seasonal patterns across

165   different years with wintertime eBC almost twice that in summer mainly due to largely enhanced coal combustion emissions

in heating season (Sun et al., 2018), consistent with the higher eBC/PM$_{2.5}$ in wintertime. $\triangle$eBC/$\triangle$CO presented pronounced

seasonal variations. The highest values up to 12.0 ng m^{-3} ppbv^{-1} occurred in spring and fall likely due to the influences of

biomass burning emissions (Streets et al., 2003; Spackman et al., 2008; Han et al., 2009; Westerdahl et al., 2009; Pan et al.,

2011). However, the monthly average $\triangle$eBC/$\triangle$CO became relatively constant after 2018, suggesting that the primary

170   emission sources of eBC and CO were relatively stable after the five-year clean air action (2013 – 2017) in Beijing (Spackman

et al., 2008).

As illustrated in Fig. 3, the mass concentrations of eBC were ubiquitously decreased during all seasons in 2020 compared to 2012. Especially, the mass concentration of eBC decreased by more than 63% and 44% from 2014 to 2017 CO in fall and winter, respectively, and even up to 75% in summer from 2012 to 2015, and the $\triangle$eBC/$\triangle$CO changed differently in different seasons. These results indicate a significant response of black carbon aerosol to the "Atmospheric Pollution Prevention and Control Action Plan". Although a temporary increase in eBC and $\triangle$eBC/$\triangle$CO (Fig.3 and Fig. S4) in 2018 suggested a change of primary emissions or meteorological influences, the implementation of the "Three-Year Action Plan for Blue Sky Defense" from 2018 to 2021 (www.gov.cn/zhengce/content/2018-07/03/content_5303158.htm) still resulted in a further decrease in eBC and then remained relatively stable at lower levels during 2019-2020. Considering to the entire 9-year period, the largest decrease in eBC was observed in fall (78%) from 2014 to 2020 with $\triangle$eBC/$\triangle$CO and eBC/PM$_{2.5}$ decreasing 53% and 3%, respectively. The eBC in spring decreased by 68% from 2013 to 2020 with the similarly significant decrement in $\triangle$eBC/$\triangle$CO. The average $\triangle$eBC/$\triangle$CO decreased from over 10 to below 5 in spring and fall, which suggested that the decrease of BC was mainly due to the reduced biomass burning emissions in the past eight years (Streets et al., 2003; Spackman et al., 2008; Han et al., 2009; Westerdahl et al., 2009; Pan et al., 2011). Different from the fall when BC emissions reduced more than other scattering pollutants, the value of eBC/PM$_{2.5}$ was relatively stable in spring. In comparison, the eBC and $\triangle$eBC/$\triangle$CO in summer were lower than those in other seasons except 2012, and they did not change substantially in recent years. This is likely due to relatively stable sources in summer, i.e., vehicle exhausts. Although eBC decreased by more than 60% in winter from 2012 to 2019, the different source contributions to BC were relatively constant in winter over eight years as indicated by flat $\triangle$eBC/$\triangle$CO (4 ~ 6 ng m^{-3} ppbv^{-1}, representing typical coal combustion emission in winter (Wang et al., 2015). One explanation is that coal combustion and biomass burning emissions in winter were reduced similarly in Beijing due to the promotion of clean fuels. Overall, the decreases in eBC during the last eight years in Beijing were mainly due to the changes in spring, fall and winter, and the reasons for the changes were different between winter and the other two seasons. In addition, more attention should be paid to the BC reduction in winter in the future based on the analysis of the four seasons.

Before 2015, the diurnal variation of eBC (Fig. 4) showed clear peaks at morning rush hours during four seasons. After implementing the "China 5" standard nationally and eliminating 5 million old vehicles in China (Zhang et al., 2019), the morning peaks of eBC disappeared. Still, the eBC presented pronounced diurnal variations during all seasons with the lowest

mass concentrations in the afternoon due to high mixing layer height (MLH) and low emissions (Xie et al., 2019b). Because of the deeper development of boundary layer in spring and summer, the lowest eBC values occurred during 15:00 ~ 17:00 which were later than those in fall and winter. Comparatively, ubiquitously higher concentration of eBC in early morning resulted from a synergetic effect of shallow boundary layer and high emissions from heavy-duty vehicles and diesel trucks that are only allowed to enter the city between 23:00 and 6:00. Consistently, the diurnal cycle of $\triangle$eBC/$\triangle$CO presented the highest value at 2:00 ~ 6:00 before 2019 due to the difference in vehicle emissions throughout the day. For example, previous studies found that CO is emitted primarily from gasoline vehicles which showed the low $\triangle$eBC/$\triangle$CO about 3 ng m^{-3} ppbv^{-1}, while BC is dominated from diesel trucks and heavy-duty vehicles (Kondo et al., 2006; Han et al., 2009). We also found that the decreases in $\triangle$eBC/$\triangle$CO and eBC from 2013 to 2019 were more significant at night, highlighting that the reductions in diesel truck and heavy-duty vehicle emissions at night contributed significantly to the decreases of BC in Beijing. Differently, the diurnal cycle of $\triangle$eBC/$\triangle$CO in winter was less pronounced than other seasons (Fig. 4), indicating that the source of BC was relatively stable during heating period although the mass concentration decreased. Particularly, we found that the diurnal variations of both $\triangle$eBC/$\triangle$CO and eBC in 2019 and 2020 were less pronounced during four seasons. One explanation was the significantly reduced primary emissions, e.g., vehicle emissions due to the influences of COVID-19.

Fig. 5 shows the bivariate polar plots of eBC during four seasons and Fig. S5 illustrates the distribution of cities and towns around Beijing. In general, higher concentrations of eBC occurred in the region with low WS (< 2 m s^{-1}), while lower concentrations often occurred in the region with high WS from the northwest during all seasons. In summer, the eBC presented similar distribution with high concentration in the middle and the regions to the south and southeast except 2012, suggesting the important contributions from both local emissions and regional transport. Different from summer, high concentrations of eBC occurred dominantly in a small region close to the sampling site during the other three seasons, suggesting the dominant source contributions from local emissions. However, the regional transport from the south and southeast was also found to play an important role, e.g., spring 2015 and 2020, and winter 2017. It's interesting to note that the eBC from the southwest with low WS decreased significantly over eight years in fall while it still exceeded 3 μg m^{-3} from the southeast in the fall of 2019. These results indicate that the source regions of eBC can be substantially different in different years depending on meteorology. By comparing with the seasonal variation of $\triangle$eBC/$\triangle$CO, we inferred that biomass burning emissions from

the southwest and regional transport from the southeast are two important non-local sources of eBC in Beijing. Therefore, the synergistic control of source emissions in Beijing and surrounding regions would greatly benefit the reductions in eBC in Beijing.

3.2 Temporal variations of aerosol optical properties

Fig. 1b presents the annual variations of b_{ext}, SSA and MEE. The annual mean (±1σ) of b_{ext} decreased by 47% from 2012 to 2020, while that of SSA increased from 0.79 ± 0.11 to 0.88 ± 0.06. Such an increase in SSA could shift the radiative forcing from positive to negative (Hansen et al., 1997; Lee et al., 2007). MEE is also a key factor reflecting the responses of atmospheric light properties to aerosol composition changes. In particular, the annual mean MEE and SSA increased despite the decreases in eBC and b_{ext} and the relatively minor variations in particle size distributions (Fig. S6) in the past decade, which indicated that scattering aerosol species played more important roles than absorbing aerosol species in radiative forcing. The increased MEE is consistent with the findings of previous studies showing increased contributions of high scattering ammonium nitrate in fine particles (Y. Huang et al., 2013; Lei et al., 2020). The seasonal variations of SSA and MEE showed generally higher values in winter and lower values in summer (Fig. S7).

Fig. 3 shows an increasing trend in the seasonal average SSA during all seasons indicating a more effective control of absorbing aerosol (i.e., eBC) than scattering components during the last decade. This is consistent with recent studies showing larger reductions in primary aerosol species than secondary species in response to emission controls (Sun et al., 2020b). The most significant increase of SSA was observed in fall from 0.75 ± 0.12 to 0.87 ± 0.07 during 2012-2020, followed by summer from 0.77 ± 0.12 in 2012 to 0.88 ± 0.06 in 2020. While the increase of SSA in fall was mainly due to the changes in aerosol chemical composition such as the decrease in BC, the increase of SSA in summer was also likely affected by the increase in aerosol particle sizes. These results were supported by the similar particle size distributions (mode ~ 200 nm) in fall, while a clear increase in the mode was observed during summer from 2013 to 2018 (Fig.S8). Note that the particle size in summer 2019 was smaller than that in 2018, yet the seasonal mean SSA was higher in 2019, suggesting that the changes in aerosol chemical components contributed significantly to the increased SSA. The highest seasonal average SSA (0.88 ± 0.06) was observed in summer 2020, which was close to that during the COVID-19 outbreak in spring 2020, yet was much higher than 0.82 ± 0.05

observed in North China Plain in 2009 (Ma et al., 2011). We also noticed that the increase in SSA was becoming smaller over past eight years indicating that the light absorbing and scattering components became relatively stable as the progress of clean air action. Fig. 3 also shows the seasonal average of b_{ext} and MEE over past nine years. The MEE increased mostly by more than 43% in summer from 2012 to 2020 although b_{ext} decreased ubiquitously during all seasons and most notably in the fall

250 from 432 Mm^{-1} in 2014 to less than 140 Mm^{-1} in 2020 ($\sim$ 68%). Comparatively, b_{ext} was relatively stable at 230 Mm^{-1} in spring before 2019 and decreased substantially by 40% in 2019 due to significant reductions in fine particles. Although b_{ext} was comparable in springs of 2019 and 2020, SSA was increased by 8%. These results suggest that scattering aerosol played an important role in changing aerosol optical properties. For example, higher SSA in spring 2020 resulted from larger reductions in primary emissions e.g., absorbing eBC, than scattering secondary aerosol due to the decreases in anthropogenic emissions

255 during the COVID-19 lockdown (Li et al., 2022). The increase of MEE from 2.6 m^2 g^{-1} in 2019 to 3.6 m^2 g^{-1} in 2020 also suggested a significant change in scattering aerosols, such as an increase in nitrate contribution (Lei et al., 2020). Compared with spring, b_{ext} decreased by 60% in summer from 2012 to 2015 and then gradually increased afterwards. Similarly, b_{ext} also showed a sharp decrease of 60% in winter from 2014 to 2017 and after that it continuously increased to > 200 Mm^{-1} in 2019. Considering the increased SSA and particle size (Fig. S8) yet relatively constant mass concentrations of eBC during 2017

260 ~2019, we inferred that the increased light extinction in winter was mainly caused by scattering aerosols that can vary substantially in different years due to the changes in meteorological conditions (Zhou et al., 2019). Overall, the results in this study clearly demonstrate the responses of aerosol optical properties to the changes in aerosol composition and particle size distribution since clean air action in 2013.

The diurnal cycles of b_{ext} and SSA in four seasons are shown in Fig. 4. Because the diurnal variations of particle size

265 distributions are not available in this study, our discussions are mainly focused on the influences of chemical components. The pronounced diurnal variations of SSA were characterized by afternoon peaks in all seasons, consistent with the measurements at 260 m in Beijing (Xie et al., 2019a). Before 2015, SSA presented an obvious valley during 7:00 ~ 9:00 mainly due to the increased BC concentrations and contributions, and the valley was much smaller after 2015 due to the improvement of vehicle emission standards and the reduction in vehicle emission. After the early morning, SSA presented the highest values during

270   12:00 ~ 13:00. The major reason is the reduced eBC emission during daytime and enhanced photochemical production of secondary scattering aerosols (Han et al., 2017).

Over the nine years, the diurnal variation of b_{ext} was characterized by higher values at night and lower values in daytime during all seasons. One of the major factors driving the diurnal variations is the evolution of boundary layer height (Han et al., 2015; Han et al., 2017; Xie et al., 2019b). As a response, b_{ext} reached the minimum at 12:00 ~ 14:00 in fall and winter whereas the

275   minimum occurred during 16:00 ~ 18:00 in spring and summer due to a deeper vertical convection in late afternoon. In fall, the b_{ext} decreased while SSA increased at nighttime from 2012 to 2019, indicating that the reduction of BC at night had a significant impact on the decrease of b_{ext}. Note that b_{ext} increased by more than 62% in winter from 2017 to 2019 as discussed above, yet the reason causing the increased b_{ext} was different according to the diurnal variations. For example, the diurnal variations of b_{ext} in winters of 2017 and 2018 suggested that the increased b_{ext} was mainly due to simultaneously enhanced

280   absorbing and scattering aerosols at night, consistent with relatively similar diurnal patterns of SSA in the two winters. However, the relative consistency of b_{ext} values from 20:00 to 4:00 in 2018 and 2019 indicated that the increase in seasonal average of b_{ext} from 2018 to 2019 was mainly due to the increase in scattering aerosols during daytime when the eBC mass concentration was relatively stable. Overall, the reduced eBC and increased scattering aerosols together resulted in the increase in seasonal average of SSA by more than 4% from 2018 to 2019.

285   **3.3 Temporal variations of light absorption of BrC**

Fig. 6a shows the seasonal variations of BrC absorption and AAE during 2017-2020. The seasonal average of BrC absorption was the highest during winter which was approximately twice that in spring and fall, and five times higher than that in summer. Consistent with the seasonal variations of eBC, the absorption of BrC in 2018 was generally higher than other years mainly due to the increased biomass burning emissions. The lowest AAE ubiquitously occurred in summer while the highest value up

290   to 1.5 occurred in winter. Despite the stronger absorption in fall than spring in 2018 and 2019, the AAE was similar indicating the similar emission sources of BrC in the two seasons (Ran et al., 2016). Note that AAE was up to 1.39 in 2020 and showed a higher frequency at AAE > 1.3 in spring (Fig. 9), suggesting that the emissions with high combustion efficiency (e.g., traffic) decreased much more than the low efficiency sources (e.g., biomass burning) during the COVID-19 lockdown in Beijing. As

illustrated in Fig. 7, the distribution of AAE in summer mainly concentrated in the range of 1.0 - 1.3 due to the low source

295   emissions BrC than other seasons, particularly primary coal combustion and biomass burning emissions. However, we found

a change in AAE distribution in summer 2020, which was characterized by a higher frequency at AAE > 1.3 suggesting a

stronger BrC absorption. Further analysis showed that such a change was mainly due to the enhanced contribution from

secondary BrC. Compared with summer, the AAE distribution was relatively stable in fall and winter, and the distribution

range of ~ 1.2 - 1.9 in winter was overall higher than that in other seasons

300   As shown in Fig. S6, the diurnal variations of BrC absorption were similar to that of eBC with generally higher values at

nighttime except in summer during 2018 – 2020. This result indicated that the BC-related primary emissions were also the

main sources of BrC in spring, fall and winter. In comparison, the diurnal variations of BrC absorption were largely different

from eBC in summer because BrC was significantly influenced by secondary organic aerosols. The diurnal variation of BrC

absorption in summer 2020 was different from previous years while the variations of eBC did not change significantly,

305   supporting the increased contribution of secondary aerosol to BrC. Generally, the AAE showed a minimum at night followed

by a daytime increase from 8:00 to 12:00 during four seasons (Fig. S6), suggesting that photochemical production contributed

dominantly to the BrC formation during daytime.

By using the MRS method (Wu and Yu, 2016), we estimated the primary and secondary BrC absorptions in each month during

2017-2020. As shown in Fig. S8, the monthly variation of primary BrC absorption was pronounced and similar to that of eBC,

310   with high values in January and low values in July. These results are mainly due to enhanced primary emission except summer,

consistent with previous study showing enhanced BrC contribution to absorption within higher aerosol emission (Tian et al.,

2020). Despite this, the primary BrC absorption decreased gradually from 2017 to 2020, mainly due to the decreased emissions

of biomass burning and coal combustion. We further explored the seasonal variations of primary and secondary BrC. As shown

in Fig. 6b, BC dominated ultraviolet light absorption at 370 nm during four seasons with the highest contribution being in

315   summer (~ 85%) and the lowest in winter (~ 60%). One reason is because BrC from biomass burning and coal combustion in

summer was small, which is also supported by the lower AAE in summer than other seasons (Fig. 7). Note that the average

contribution of BrC to the total absorption in summer increased to 16% from 2018 to 2020 likely due to enhanced secondary

organic aerosol in OA (Lei et al., 2020), and correspondingly, the contributions of primary BrC to the total BrC absorption

decreased from 75% to 50%. The contributions of BrC absorption were comparable in spring and fall, accounting for 25-30%.

320 Due to the decreased primary emission and enhanced secondary production during the COVID-19, we found that the contribution of BrC absorption was increased by more than 7% in spring from 2018 to 2020. In comparison, the contributions of BrC were larger than 40% in winter with slightly downward trends in past three years. The declines of primary emissions might be an explanation, mainly due to the replacement of coal to natural gas for residential heating in recent years.

Although the contributions of BrC absorption to the total absorption were relatively stable during four seasons from 2018 to

325 2020, the relative contributions of primary and secondary BrC changed significantly (Fig. 6c). Overall, the primary BrC was much higher than secondary BrC, yet showing decreasing trends from 2017 to 2020 except in spring. The primary BrC contributed more than 75% to the total BrC in fall and winter, while they reached the minimum in summer (50-75%). The contribution of summertime primary BrC decreased by more than 25% from 2018 to 2020, and that of secondary BrC increased up to 50% to the total BrC in summer 2020 with an increase in AAE to 1.2. Given that eBC decreased continuously in summer

330 in past three years, the increases in AAE were mainly due to larger secondary BrC production from photochemical reaction. We also observed a large increase in secondary BrC in winter from 2018 to 2020. While the secondary BrC was negligible in 2018, the contribution increased to ~ 25% in 2020, suggesting that secondary production of BrC became more important in winter, which is consistent with the continuous increase SOA in winter in recent years (Lei et al., 2020). Similar increases in secondary BrC were also observed in fall.

335 **3.4 Direct radiative forcing of BC and BrC**

As shown in Fig. 8, the annual mean ΔF_R caused by BC was about +3.36 W m^{-2} in 2012, close to that previously reported in north China (Yang et al., 2017). Combined with the low SSA (annual mean value was about 0.79) in 2012, the negative radiative effect caused by scatting aerosols at TOA might be offset by BC, forming an inversion layer that exacerbated air pollution. Ding et al. (2016) found that the aerosol-boundary layer feedback to unit quantity of BC will be lower in higher

340 aerosol loading case as solar radiation weakened. However, ΔF_R decreased substantially by 67% (+1.09) in 2020, suggesting that the BC radiative forcing was largely reduced during last decade which would help improve the air quality by reducing aerosol-boundary layer interaction. The relatively lower ΔF_R caused by BC in recent years could lead to the negative radiative

forcing of aerosols at TOA, thereby facilitate the dispersion of air pollutants in boundary layer, which will in turn maintain air pollution at a low level. However, the ΔF_R in 2020 was also much higher than the global annual mean TOA radiative forcing 0.40 W m^{-2} (IPCC, 2013), indicating the positive radiative effect of BC in Beijing should be continually concerned in the future. The seasonal variation of BC ΔF_R (Fig. S9) suggested the largest decrease in summer and fall. However, we noticed that the BC ΔF_R was relatively stable in each season from 2019 and 2020, consistent with the small changes in eBC concentrations.

We also estimated the radiative effects of BrC. As shown in Fig. 8, BrC ΔF_R decreased by 43% from +0.30 W m^{-2} in 2018 to +0.17 W m^{-2} in 2020, yet it was much higher than the global mean (+0.04 ~ 0.11 W m^{-2}) (Feng et al., 2013). The scattering radiative forcing of BrC was estimated at -1.00 ~ -1.65 W m^{-2}. The absorbing radiative forcing of BrC led to ~18% reduction in the amount of negative radiative forcing caused by BrC scattering compared to the results from the non-absorbing assumption. The seasonal variation of BrC ΔF_R (in Fig. S9) showed a large decrease during all seasons from 2018 to 2019. However, compared with 2019, the BrC ΔF_R became stable in summer 2020 which was different from the decreases in spring and fall. We further estimated the primary and secondary BrC ΔF_R. Primary BrC ΔF_R was approximately +0.16 W m^{-2} in 2020 decreasing by 41% compared with 2018 (+0.27 W m^{-2}). Such a value was higher than the global average of radiative forcing (+0.11 W m^{-2}) from primary organic aerosols (Lu et al., 2015). Compared with primary BrC, the secondary BrC ΔF_R was generally small yet showing an increase from +0.005 W m^{-2} in 2019 to +0.016 W m^{-2} in 2020.

4 Conclusions

Nine-year measurements of eBC and light extinction coefficient in Beijing were analyzed in this study. Our results showed that the annual mean eBC concentration decreased by 71% from 6.25 μg m^{-3} in 2012 to 1.80 μg m^{-3} in 2020, and the decreases dominantly occurred at nighttime suggesting an effective control of primary emissions due to clean air action since 2013. b_{ext} showed similar reductions by 47% from 2012 to 2020. We also observed a pronounced seasonal variation in $\triangle$eBC/$\triangle$CO with high values in spring and fall, and a gradual decrease in recent years, indicating a significant change in primary sources. As a response of the changes in primary and secondary aerosols, SSA increased substantially from 0.79 ± 0.11 in 2012 to 0.88 ± 0.06 in 2020, and it presented similar increasing trends during all seasons. These results highlight increasingly important

role of scattering aerosol in radiative forcing. Similarly, the seasonal average MEE increased gradually from 2012 to 2020, and the increase was most significant in summer by more than 43%. The increased MEE explained the fact that $PM_{2.5}$ decreased substantially after clean air action, while the visibility did not show similar improvements as $PM_{2.5}$.

370 We further analyzed the changes in BrC during 2018 – 2020. The BrC absorption presented the pronounced seasonal variation with the highest value in winter. We found that the primary emissions co-emitted with BC were the main sources of BrC during most seasons while the secondary BrC was also important in summer. In particular, the contribution of secondary BrC to the total BrC showed a large increase in summer, and it was up to 50% in summer 2020. These results indicated the BrC from secondary formation played an increasing role in the absorption at 370 nm during 2018 – 2020 in Beijing. By estimating

375 the direct radiative forcing caused by absorbing aerosols, we found that the annual mean BC ΔF_R decreased by 67% from +3.36 W m^{-2} in 2012 to +1.09 W m^{-2} in 2020, and that of BrC decreased from +0.30 to +0.17 W m^{-2} during 2018 - 2020. Considering that the BC-induced aerosol and boundary layer feedback plays an important role in severe haze formation, the decreases in BC and radiative forcing would weak aerosol - boundary layer interaction and help mitigate air pollution.

380 ***Data availability.*** The data in this study are available from the authors upon request (sunyele@mail.iap.ac.cn).

Author contributions. YS and JS designed the research. JS, WZ, CX, CC, and TH conducted the measurements. JS, ZW, WZ and CC analyzed the data. CW, QW, ZL, JL, PF and ZiW reviewed and commented on the paper. JS and YS wrote the paper.

Competing interests. The authors declare that they have no conflict of interest.

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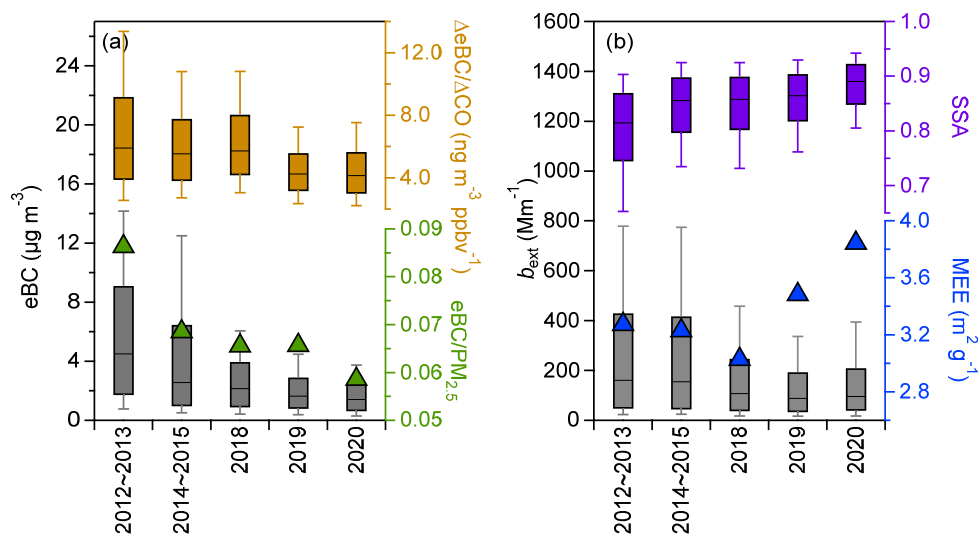


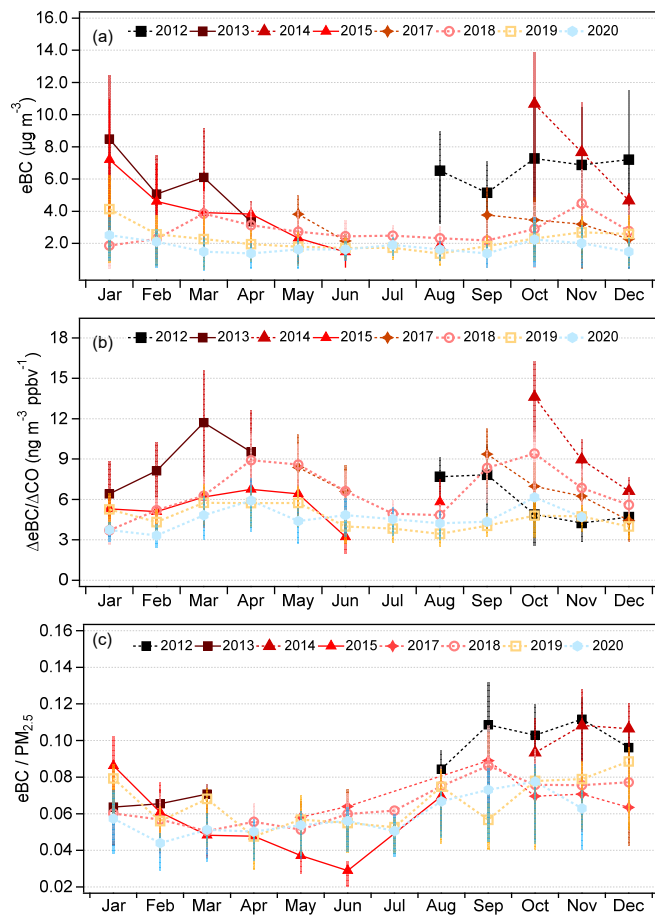
Fig. 1. Annual variations of (a) eBC, △eBC/△CO, eBC/PM2.5, (b) b_{ext}, SSA and MEE. The median (horizontal line), mean (markers), 25th and 75th percentiles (lower and upper box), and 10th and 90th percentiles (lower and upper whiskers) are also shown, same as below.

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Fig. 2. Monthly variations in (a) eBC, (b) △eBC/△CO and (c) eBC/PM2.5. The mean (markers),25th and 75th percentiles (sticks) are also shown.

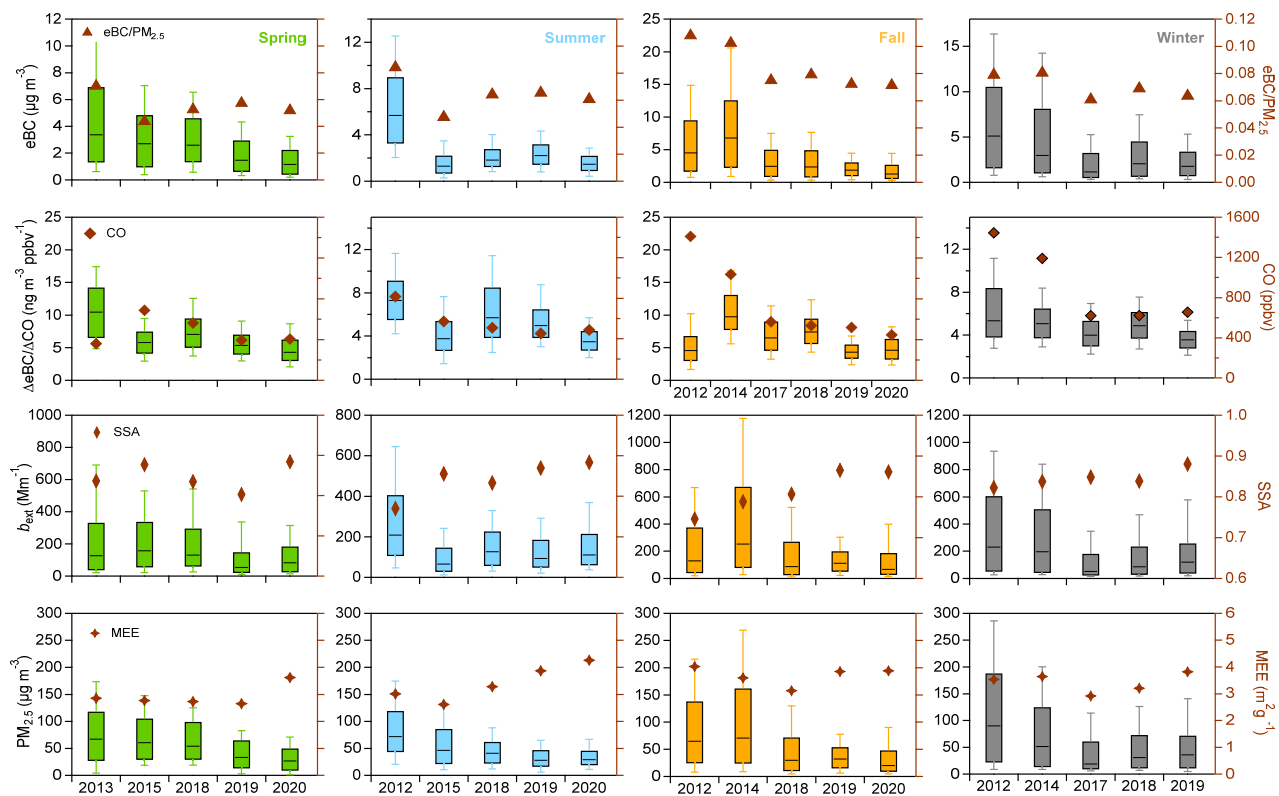



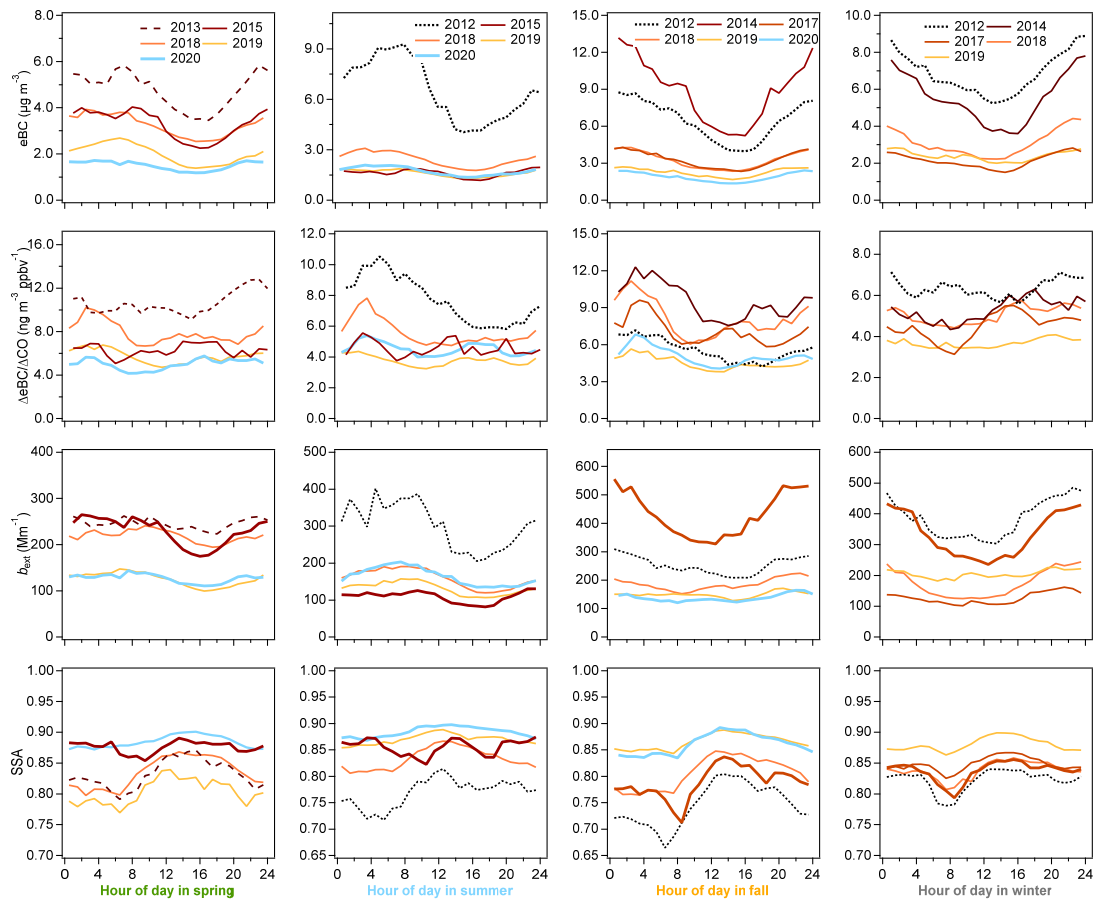
Fig. 3. Seasonal variations in eBC, eBC/PM₂.₅, △eBC/△CO, CO, b_{ext}, SSA, PM₂.₅ and MEE.

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Fig. 4. Diurnal variations of eBC, △eBC/△CO, b_{ext} and SSA for spring, summer, fall and winter time in different years.
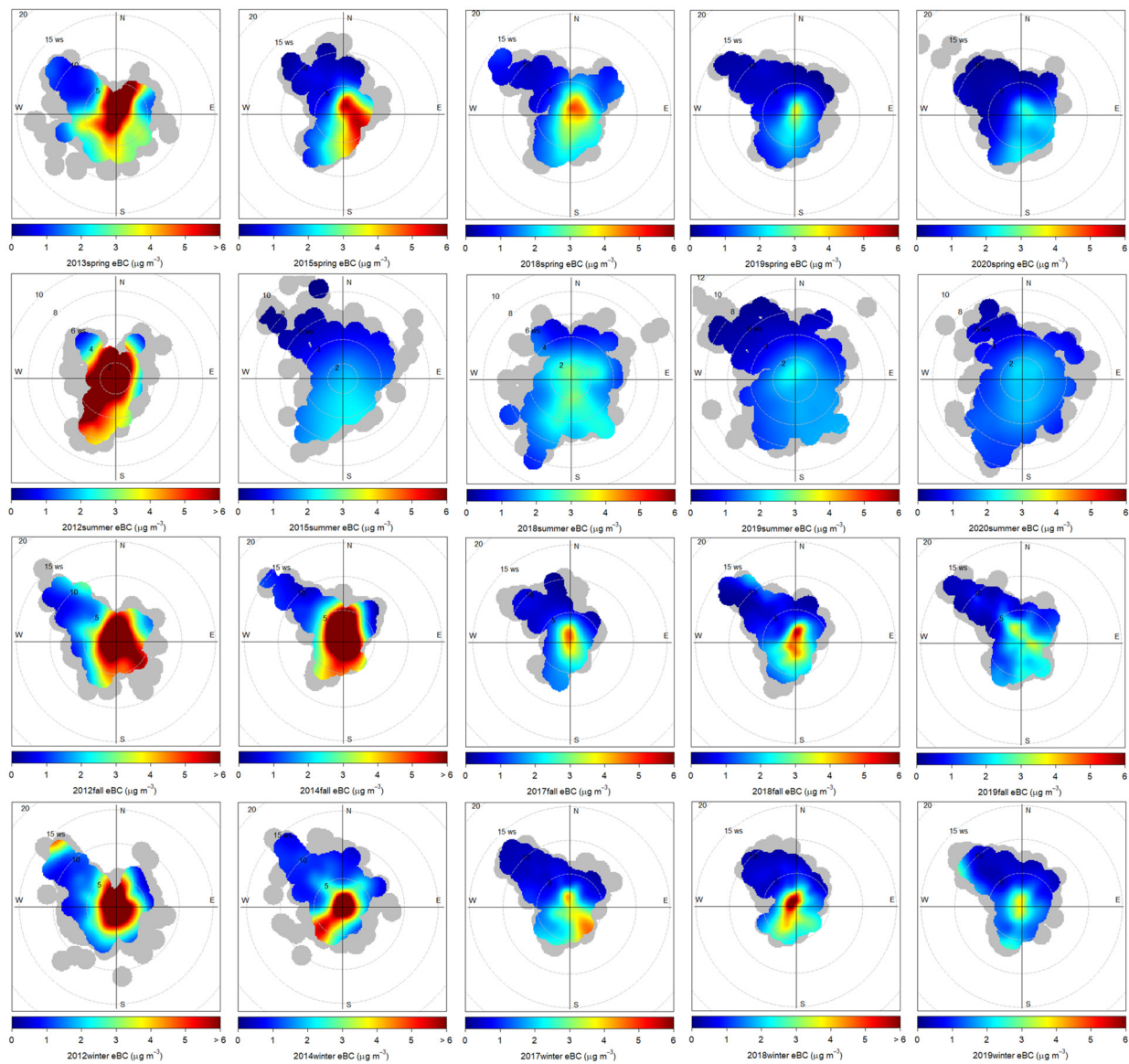
Fig. 5. Bivariate polar plots for hourly eBC mass concentration in the four seasons over nine years.

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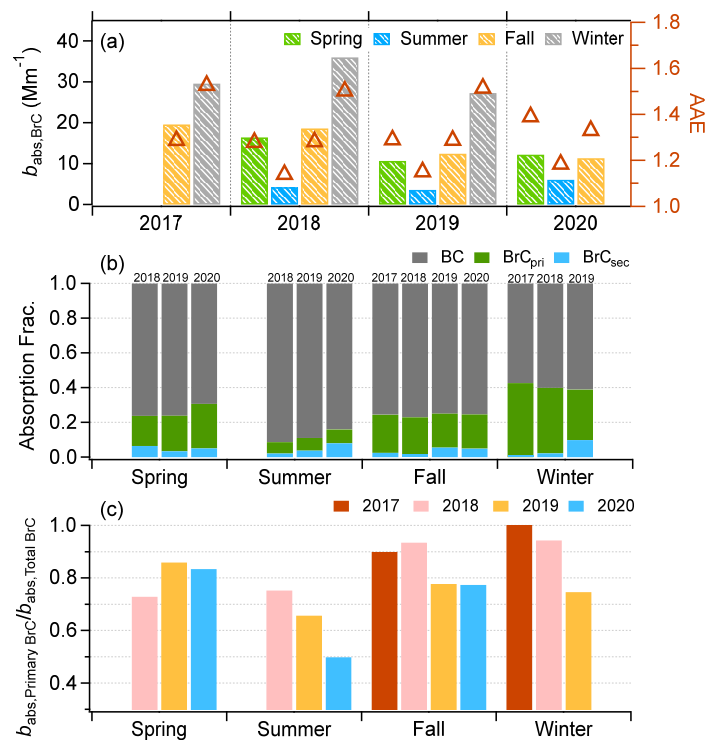


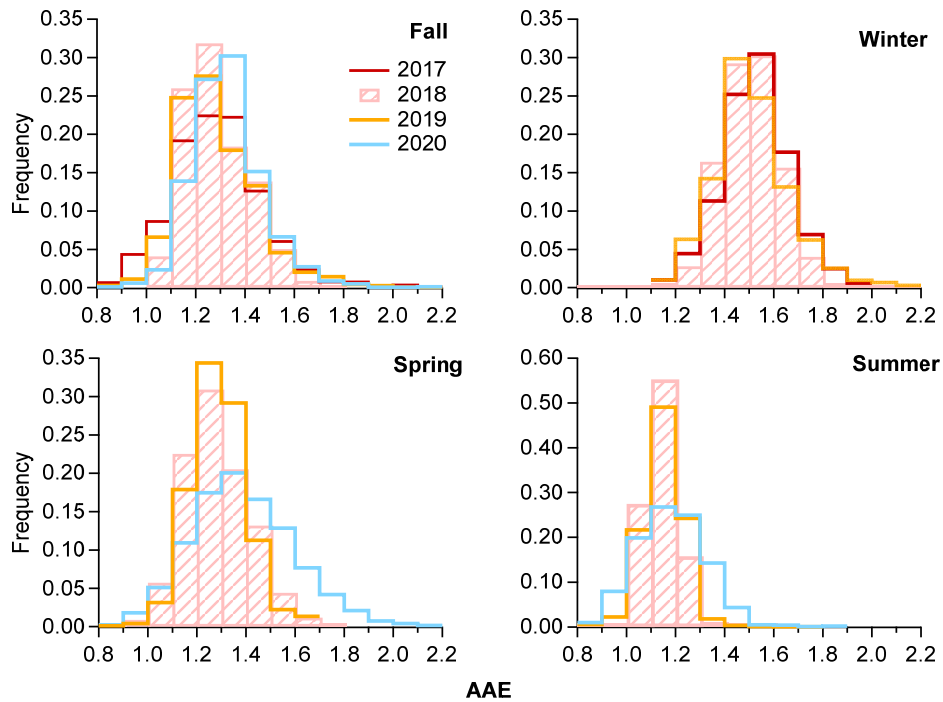
Fig. 6. Seasonal variations of (a) $b_{abs, BrC}$, AAE, percentage contribution of (b) absorbing components to the absorption coefficient and (c) the proportion of $b_{abs, Secondary BrC}$ in BrC absorption coefficient at 370nm from 2018 to 2020.

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Fig. 7. The frequency distributions of AAE in four seasons.
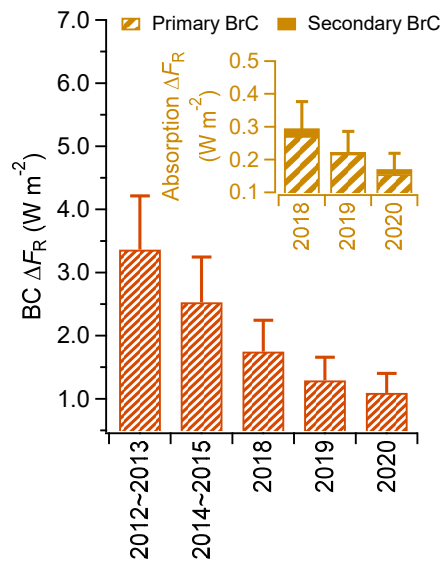
Fig. 8. Temporal variations of the annual mean ΔF_R caused by BC, primary BrC and Secondary BrC.