



# Interpretable machine learning prediction of fire emissions and comparison with FireMIP process-based models

3 Sally S.-C. Wang<sup>1</sup>, Yun Qian<sup>1</sup>, L. Ruby Leung<sup>1</sup>, Yang Zhang<sup>2</sup>

<sup>1</sup>Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, Washington, 99354,
 <sup>5</sup> USA

6 <sup>2</sup> Department of Civil and Environmental Engineering, Northeastern University, Boston, Massachusetts, 02115, USA

7 Correspondence to: Sally S.-C. Wang (sing-chun.wang@pnnl.gov) and L. Ruby Leung (Ruby.Leung@pnnl.gov)

Abstract. Annual burned areas in the United States have increased twofold during the past decades. With more 8 9 large fires resulting in more emissions of fine particulate matter, an accurate prediction of fire emissions is critical for quantifying the impacts of fires on air quality, human health, and climate. This study aims to construct a machine 10 learning (ML) model with game-theory interpretation to predict monthly fire emissions over the contiguous US 11 and to understand the controlling factors of fire emissions. By comparing the predicted fire PM<sub>2.5</sub> emissions from 12 13 the interpretable ML model with the Global Fire Emissions Database (GFED) observations and predictions from process-based models in the Fire Modeling Intercomparison Project (FireMIP), the ML model is also used to 14 diagnose the process-based models to inform future development. Results show promising performance for the ML 15 16 model, Community Land Model (CLM), and Joint UK Land Environment Simulator-Interactive Fire And Emission Algorithm For Natural Environments (JULES-INFERNO) in reproducing the spatial distributions, seasonality, and 17 interannual variability of fire emissions over CONUS. Regional analysis shows that only the ML model and CLM 18 simulate the realistic interannual variability of fire emissions for most of the subregions (r>0.95 for ML and 19 r=0.14~0.70 for CLM), except for Mediterranean California, where all the models perform poorly (r=0.74 for ML 20 21 and r<0.30 for the FireMIP models). Regarding seasonality, most models capture the peak emission in July over western US. However, all models except for the ML model fail to reproduce the bimodal peaks in July and October 22 23 over Mediterranean California, which may be explained by the coarse spatial resolutions of the processed-based 24 models or atmospheric forcing data or limitations in model parameterizations for capturing the effects of Santa Ana winds on fire activity. Furthermore, most models struggle to capture the spring peak in emissions in southeastern 25 US, probably due to underrepresentation of human effects and the influences of winter dryness on fires in the 26 models. As for extreme events, both the ML model and CLM successfully reproduce the frequency map of extreme 27 emission occurrence but overestimate the number of months with extremely large fire emissions. Comparing the 28 29 fire PM<sub>2.5</sub> emissions from the interpretable ML model with process-based fire models highlights their strengths and uncertainties for regional analysis and prediction and provides useful insights on future directions for model 30 improvements. 31

## 32 1. Introduction

Large fires have increased across the United States over the past two decades, especially in the western US. While the total area burned in 2020 increased by 51% compared to the 10-year average for 2010-2019, the total number of fires in 2020 is smaller than the 10-year average. This indicates the contribution of larger and more powerful fires to the growing burned areas (NIFC, 2020). Large fires can directly lead to property damages and pose a threat to human lives (Thomas et al., 2017). Meanwhile, fine particulate matter (PM<sub>2.5</sub>, particles with an





aerodynamic diameter smaller than and equal to  $2.5 \,\mu\text{m}$ ) emitted from fires not only have negative impacts on 38 39 human health but also affect climate and ecosystems (Johnston et al., 2012; Ward et al., 2012; Rap et al., 2013; 40 Kaulfus et al., 2017; Liu et al., 2018; Wang et al., 2018; Stowell et al., 2019). Driven by stronger fire heating and with higher injection height, aerosols emitted from large fires can be transported to broader area and stay in 41 atmosphere longer. Given the increasing trend of fire emissions, fire smokes may become the predominant source 42 of PM<sub>2.5</sub> in the US in the future (Yue et al., 2013; Liu et al., 2016; Ford et al., 2018). Thus, an accurate prediction 43 of fire emissions is imperative for investigating the impacts of historical and future fires on air quality, human 44 45 health, and climate.

One of the widely used methods for predicting fire emission is process-based fire parameterization. These 46 process-based models generally employ universal functions depicting non-linear relationships between fires and 47 48 the input variables and apply the same functions to all grid cells in a model (Pechony and Shindell, 2009; Thonicke et al., 2010). In addition, the parameters of the process-based model are usually determined by empirical or 49 50 statistical functions, assuming that the same parameters apply to all the regions or regions with limited fire observations (Crevoisier et al., 2007; Parisien et al., 2016). Process-based models are usually included in the 51 52 dynamic global vegetation models (DGVMs) to simulate fire dynamics, vegetation dynamics, and biogeochemistry driven by atmospheric forcing and socio-economic data (Li et al., 2013; Knorr et al., 2016). Fire emissions, 53 including trace gases and aerosols, are calculated from the simulated fire carbon emissions and the emission factors, 54 with the former computed as the product of the burned area, fuel load, and combustion completeness. The process-55 56 based models in DGVM coupled with other components of Earth system models can be used to assess the impacts 57 of environmental factors on fires and the feedback between fire emissions, land processes, and climate (Kloster et al., 2010). In 2014, the Fire Model Intercomparison Project (FireMIP) was initiated to compare nine DGVMs that 58 59 include fire modules to better understand the performances of the global fire models (Rabin et al., 2017). The 60 FireMIP enables comprehensive evaluation and comparison across various process-based models and provides a dataset of long-term fire simulations for regional and global analysis (Li et al., 2019; Hantson et al., 2020). 61

Besides process-based fire models, data-driven statistical models are also commonly used to estimate fire 62 63 activities using relationships between fires and predictor variables. Multiple linear regression (MLR) is a popular simple statistical method used for fire modeling (Spracklen et al., 2009; Morton et al., 2013; Urbieta et al., 2015; 64 Williams et al., 2019). MLR can achieve a good performance, but it fails to capture the non-linear relationships 65 between fires and predictors, and it is sensitive to the collinearity and combinations of predictors (Littell et al., 66 2009). Unlike MLR, machine learning (ML) is a novel tool for advancing fire modeling, given its strengths in 67 resolving the complex relationships between the target and predictor variables. Different ML approaches have been 68 69 used to estimate fire occurrence, burned areas, or emissions at various time scales and spatial scales (Cortez and Morais, 2007; Aldersley et al., 2011; Dillon et al., 2011; Birch et al., 2015; Kane et al., 2015; Coffield et al., 2019; 70 Wang and Wang, 2020). Even though ML models generally achieve higher accuracy than simple statistical models, 71 72 their decision processes are often inscrutable, and hence lack interpretability. The development of explainable ML represents major advances for scientific applications beyond predictions (Gunning, 2017; Barredo Arrieta et al., 73 74 2020). For example, Wang et al. (2021) used the Extreme Gradient Boosting (XGBoost) algorithm and Shapley Additive explanation (SHAP) to predict wildfire burned area and revealed the relationships between burned areas 75 76 and predictor variables. As process-based and data-driven models have their own advantages and weaknesses, as 77 listed in Table 1, comparing these models and assessing their uncertainties in historical simulations and future 78 projections are important. Yue et al. (2013) applied an MLR and a parameterization method to estimate burned 79 areas in ecoregions of the western US and found that both models explained  $\sim$ 50% of the variance in the observed 80 burned areas. Although they compared the burned areas estimated by the two methods and quantified their uncertainties in fire projections, both methods are only driven by meteorology while the effects of fuels and human 81

82 activities are not considered.





The FireMIP dataset provides long-term simulations of multiple DGVMs with fire modules, allowing 83 84 comparisons between process-based and data-driven models, with all models considering all the potential factors 85 influencing fires, including climate, weather, vegetation, and human activities. This study aims to develop an ML model with game theory interpretation for fire emission prediction and to understand controls of fire emissions. 86 The interpretable ML model is then used to reveal the important factors controlling fire emissions and diagnosis 87 88 the process-based FireMIP models. The ML model predicts the monthly PM<sub>2.5</sub> emissions from fires during 2000-89 2020 at a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  over the contiguous US (CONUS). It uses the XGBoost algorithm and 90 incorporates various predictors, including local and large-scale meteorology, land surface characteristics, and socioeconomic variables, which are common input variables also used by the FireMIP models while some are 91 92 specifically related to fire activities in CONUS. The ML model and FireMIP models are optimized using different 93 data or predictors at various scales, which enables us to use the ML to diagnose the performance of FireMIP models 94 over CONUS through the comparisons of their performances and variable importance from the ML model. We 95 evaluate and compare the predicted fire emissions from the ML and FireMIP models against the GFED fire 96 emission product, focusing on spatial distributions, seasonality, and interannual variability over selected regions in 97 CONUS. Additionally, the ML model and the SHAP importance are used to identify the important drivers of fire 98 emissions in different regions and compare them with the corresponding parameterizations in the process-based 99 models. Lastly, we compare the process-based and ML model performances in simulating extremely large fire emissions, including the spatial distributions of frequency and two case studies. 100

#### 101 2. Data

## 102 2.1 Fire-induced PM<sub>2.5</sub> emission data

Monthly fire PM<sub>2.5</sub> emission data is obtained from the Global Fire Emissions Database (GFED). GFED 103 104 version 4 provides monthly burned area at 0.25° spatial resolution from 1997 to present, based on a combination of the MODIS burned area product with active fire data from the Tropical Rainfall Measuring Mission (TRMM) 105 106 Visible and Infrared Scanner (VIRS) and Along-Track Scanning Radiometer (ATSR) family of sensors (Giglio et 107 al., 2013). The GFED fire  $PM_{2.5}$  emissions are estimated by combining the burned area boosted by small fire burned area (Randerson et al., 2012) and the emission factor data with a revised version of the Carnegie-Ames-Stanford 108 109 Approach (CASA) biogeochemical model that estimates fuel loads and combustion completeness for each monthly time step (van der Werf et al., 2017). We use the GFED fire PM<sub>2.5</sub> emission as the target variable in the machine 110 111 learning model development and for model evaluation.

112 To reduce spatial heterogeneity and help model learning, we apply the inverse distance weighting (IDW) (Bartier and Keller, 1996; Shepard, 1968) to interpolate the monthly gridded fire  $PM_{2.5}$  emission at  $0.25^{\circ} \times 0.25^{\circ}$ . 113 The IDW method determines the value at a grid cell as the weighted average of the surrounding values within a 114 search distance, with the weights proportional to the inverse of the distance raised to the power value p. Here we 115 choose a value of 1 for p and a search distance of 35 km for IDW processing. Note that the total fire emitted PM<sub>2.5</sub> 116 117 within a search distance after IDW processing is constrained to be the same as the original data. In this study, we only include grids with more than eight months of fire emissions larger than zero (in a total of 250 months), 118 encompassing 90% of the total fire emissions and ensuring sufficient data for the XGBoost model training. The 119 120 interpolated fire emission is normalized based on its 21-year mean and standard deviation for each grid to reduce 121 the skewness and improve data symmetry.





## 122 2.2 Predictor variables

We develop an empirical model at  $0.25^{\circ} \times 0.25^{\circ}$  grid resolution driven by various predictor variables at a monthly scale from January 2000 to October 2020. Given the datasets have different spatial resolutions, all the predictor variables are resampled to the spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$  by linear interpolation. The predictor variables used in the model along with their original spatial and temporal resolutions are included in Table 2. Most variables were also used in Wang et al. (2021) for developing an ML model of fire burned area over the contiguous U.S.

*Local meteorology:* Same as the local meteorological predictors used in Wang et al. (2021), we include monthly 129 130 data of mean surface temperature, relative humidity (RH) at 2 m, daily precipitation, zonal (U) and meridional (V) components of wind at 10 m from the North American Regional Reanalysis (NARR) (Mesinger et al., 2006) and 131 132 1000-hour dead fuel moisture (FM1000), Energy Release Component (ERC), and vapor pressure deficit (VPD) from the gridMET dataset (Abatzoglou and Kolden, 2013; Coffield et al., 2019). Drought is a natural phenomenon 133 134 that influences fires through ignition efficiency, fuel availability, and fuel moisture. Thus, we include the monthly 135 Standardized Precipitation Evapotranspiration Index (SPEI), a multiscalar drought index based on climatic data (Vicente-Serrano et al., 2010). Given that lightning is one of the major ignition sources of fires and makes up 136 approximately 75% of burned areas in western US (Pyne, 1984; Stephens, 2005), in this study, we add the cloud-137 to-ground (CG) lightning flash density from Severe Weather Data Inventory (SWDI) based on the National 138 Lightning Detection Network (NLDN) (Cummins and Murphy, 2009; NOAA, 2006). The daily number of CG 139 lightning flashes is summarized in 0.1° tiles and we aggregate the daily data to monthly scale. 140

Large-scale meteorological patterns: Large-scale meteorological patterns at a synoptic scale have been found to 141 142 link to large fire events (Crimmins, 2006; Trouet et al., 2009; Zhong et al., 2020; Dong et al., 2021). Furthermore, it has been shown that including predictors of large-scale meteorological patterns conducive to wildfires 143 144 significantly improves the prediction of burned areas over CONUS (Wang et al., 2021). Thus, we follow the 145 methods developed by Wang et al. (2021) using the singular value decomposition (SVD) method to construct 146 predictors representing the synoptic patterns driving fire emission variability. Note that the only difference between 147 Wang et al. (2021) and this study is that they used wildfire burned area data and we use fire emissions to construct 148 the SVDs. Three regions where large fires periodically occur are selected for constructing SVDs: Northern 149 California, southern Rocky Mountains, and southeastern US, as defined in Wang et al. (2021). For each region, we 150 calculate the daily mean fire PM<sub>2.5</sub> emissions over the region and compute the day-to-day correlations between the regional mean fire PM<sub>2.5</sub> emissions and the five gridded daily meteorological variables (surface temperature, 2-151 152 meter RH, U-wind and V-wind at 850 hPa, and geopotential height at 500 hPa) for all  $1^{\circ} \times 1^{\circ}$  grid cells within the 153 large-scale domain, giving a correlation map for each meteorological variable. The correlation maps are then used to derive the SVD modes representing the large-scale meteorological patterns related to fires. Finally, we compute 154 155 the monthly standard deviation of the daily SVD time series for the first two SVD modes, representing the monthto-month variations of synoptic fluctuations and atmospheric instability. The detailed methods and discussions 156 157 about the SVDs are provided in Wang et al. (2021). Overall, the identified SVDs for the three regions are similar 158 to the SVDs in Wang et al. (2021) calculated using wildfire burned areas (Figs. S1-3).

Land-surface properties: We use the same set of variables in the burned area model that represent the effects of fuel and land surface states on fire emissions, including evapotranspiration (ET), surface soil moisture, land types, and topography (Wang et al., 2021). Monthly mean ET, vegetation fraction, and surface soil moisture are obtained from the North American Land Data Assimilation System (NLDAS-2) (Xia et al., 2012). Land cover data of the LAI classification scheme is obtained from the Terra and Aqua combined MODIS Land Cover Climate Modeling





Grid (CMG) Version 6 data (Friedl, 2015). Since the land cover data is at yearly intervals from 2001 to 2020, we
use the land cover data of 2001 for 2000. Topography data of slope and elevation is obtained from Amatulli et al.
(2018).

167 Besides the above-mentioned variables that were also used in Wang et al. (2021), in this study, we consider 168 the effect of fuel load on fire emissions, since fuel load is critical to fire emissions through its controls on fuel consumption and burned areas (Parks et al., 2012; Liu and Wimberly, 2015). As there are limited observations of 169 fuel load, we use LAI to approximate the canopy bulk density and vegetation fraction to represent the existing 170 171 amount of vegetation. LAI is taken from MODerate resolution Imaging Spectroradiometer (MODIS) instruments (Myneni et al., 2015) and vegetation fraction is obtained from the NLDAS-2. We also include fuel load simulated 172 by Community Land Model (CLM). Monthly fuel load data from 2000 to 2015 is obtained from a simulation by 173 174 CLM version 5 with biogeochemistry and prognostic crop, driven by atmospheric forcing from GSWP3v1 (Lawrence et al., 2019). The fuel load after 2015 is taken from a simulation under the SSP3 (shared socioeconomic 175 pathways) scenario. Additionally, we include normalized fuel load as a predictor to capture the effects of temporal 176 variation of fuel load, as the influence of fuel load on fire emissions is mainly attributed to its spatial variation 177 rather than the temporal variation (Lasslop and Kloster, 2015). 178

179

Socioeconomic variables: We use population density and gross domestic product (GDP) per capita to represent human effects on wildfires. The population density data is obtained from the Gridded Population of the World data collection (GPW V4) for the years 2000, 2010, 2015, and 2020, with a spatial resolution of 30 arc-second (CIESIN-Columbia University, 2017). The populations in other years are linearly interpolated between the abovementioned four years. The GDP per capita is taken from a gridded global dataset for 2000-2015 with a spatial resolution of 5 arc minutes (Kummu et al., 2018). For the GDP after 2015, we use the data of 2015.

# 186 **3. Description of fire emission models**

# 187 **3.1 Process-based fire emission models**

188 The Fire Model Intercomparison Project (FireMIP) includes a set of common fire modeling experiments from nine DGVMs driven by the same forcing data, allowing a better understanding of global fire models (Rabin 189 190 et al., 2017). The FireMIP dataset provides global gridded burned area fraction and fire emissions, including carbon and 33 species of trace gases and aerosols over 1700-2012. Nine DGVMs with different fire modules are included 191 in FireMIP, including Community Land Model version 4.5 (CLM4.5) with the CLM5 fire module, Canadian 192 Terrestrial Ecosystem Model (CTEM), Jena Scheme for Biosphere-Atmosphere Coupling in Hamburg with Spread 193 and InTensity fire model (JSBACH-SPITFIRE; hereafter referred to as JSBACH), Joint UK Land Environment 194 195 Simulator with Interactive Fire And Emission Algorithm For Natural Environments (JULES-INFERNO; hereafter 196 referred to as JULES), Lund-Potsdam-Jena General Ecosystem Simulator with Global FIRe Model (LPJ-GUESS-GlobFIRM; hereafter referred to as LPJ-Glob), LPJ-GUESS with SIMple FIRE model and Blaze-Induced Land-197 Atmosphere Flux Estimator (LPJ-GUESS-SIMFIRE-BLAZE; hereafter referred to as LPJ-SIM), LPJ-GUESS with 198 SPITFIRE model (LPJ-GUESS-SPITFIRE; hereafter referred to as LPJ-SPI), MC2, and Organizing Carbon 199 Hydrology In Dynamic Ecosystems with SPITFIRE model (ORCHIDEE-SPITFIRE; hereafter referred to as 200 201 ORCHIDEE) (Rabin et al., 2017).

The nine DGVMs in FireMIP are driven by the CRU-NCEP v5.3.2 atmospheric forcing data with a spatial resolution of 0.5° and a 6-hourly temporal resolution (Wei et al., 2014; Rabin et al., 2017). Other forcing data,





including annual global atmospheric CO<sub>2</sub> concentration, land use and land cover, and population density from 1700 204 to 2012 is taken from various data sources (Klein Goldewijk et al., 2010; Hurtt et al., 2011; Le Quéré et al., 2014). 205 Monthly cloud-to-ground lightning frequency with a resolution of  $0.5^{\circ} \times 0.5^{\circ}$  over 1901-2012 is calculated based 206 on the observed relationship between present-day lightning and convective available potential energy (CAPE) 207 208 anomalies (Pfeiffer et al., 2013). Fire emissions in FireMIP are calculated considering the fire carbon emissions and vegetation characteristics based on the plant functional type (PFT) from the FireMIP historical transient control 209 run (SF1). SF1 breaks the simulation period into three phases: the spin-up phase in 1700, the transient phase in 210 1701-1900, and the transient phase in 1901-2012 (see the detailed descriptions and model settings in Rabin et al., 211 2017, Li et al., 2019, and Hantsan et al., 2020). In the 1901-2012 transient phase, the models are driven by time-212 213 varying atmospheric forcing, CO<sub>2</sub> concentration, LULCC, population density, and lightning data. Note that the MC2 and CTEM runs start from 1901 and 1861, while the rest of the models start from 1700. As the spatial 214 resolutions of the FireMIP models are different, the regridded model outputs with  $1^{\circ} \times 1^{\circ}$  resolution obtained from 215 Li et al. (2019) are used to compare with the GFED data and the ML model. 216

#### 217 3.2 ML-based approach: An eXtreme Gradient Boosting (XGBoost) model

The eXtreme Gradient Boosting (XGBoost) is a decision-tree-based ensemble machine learning method using the gradient boosting approach (Chen and Guestrin, 2016). The XGBoost model builds multiple decision trees that are added subsequently and learn the errors of the previous tree to reduce the loss and obtain the best prediction. Unlike the gradient boosting machine (GBM) that also uses the gradient boosting approach, XGBoost utilizes a more regularized model formalization to prevent over-fitting and improve the computational efficiency. The formula for the prediction at step *t* and grid location *i* can be defined as follows:

224 
$$\hat{y}_i^t = \sum_{k=1}^t f_k(x_i) = \hat{y}_i^{(t-1)} + f_t(x_i)$$

where  $f_t(x_i)$  is the tree model at step t,  $\hat{y}_i^t$  and  $\hat{y}_i^{(t-1)}$  are the predictions at steps t and t-1, and  $x_i$  are the predictor variables. The parameters of the model  $f_t(x_i)$  are selected by optimizing the objective function that measures how well the model fit the training data:

which is composed of the loss function  $L^t$  and the regularizing term  $\Omega^t$  in each step.  $L_t$  is defined as  $l(y_i, \hat{y}_i^{t-1} + f_t(x_i))$  and  $\Omega^t$  is defined as  $\gamma T + \frac{1}{2}\lambda ||\omega||^2$ , where  $\gamma$  is the regularization term which penalizes the number of leaves in the tree T and  $\lambda$  is the regularization term which penalizes  $\omega$ , the weights of different leaves.

We use grid search to choose the set of suitable hyperparameters and achieve the best ML model performance. Grid search is a tuning technique for computing the optimal values of hyperparameters considering a range of numbers with a given increment. The parameter set that yields the best 5-fold cross-validation score is selected as the final set of hyper-parameters. The considered hyper-parameters, their search domains, and the final values are denoted in Table S1.

The 10-fold cross-validation (CV) technique is applied to evaluate the model and avoid overfitting. First, we randomly divide the fire emission dataset (2000-2020 over CONUS) into ten equal-sized splits. Then, we train the model with nine splits of the data and use the trained model to predict fire emissions for the remaining one split.





This process is repeated ten times for each split. Finally, the predictions are evaluated by grids and regions using root mean square error (RMSE), correlation coefficient (R), and the index of agreement (IoA). The IoA represents the ratio of the mean square error and the potential error, and the value closer to 1 indicates better agreement.

#### 243 **3.3 Shapley additive explanations (SHAP)**

244 We utilize the SHAP to identify the relative importance of the predictor variables. SHAP is a novel approach to resolve and explain variable importance based on game theory (Lundberg and Lee, 2017). Within the 245 246 scope of game theory, the goal is a prediction for a single observation. Each predictor variable is referred to as a "player" in this game and contributes to the goal ("payout"). For each predictor, the SHAP variable importance 247 measures the marginal contribution considering all possible combinations of the predictor variables. The marginal 248 249 contribution is calculated by comparing the differences between the model fit  $f_x(S \cup \{i\})$  including the predictor i and another model fit  $f_x(S)$  without predictor i. When there is more than one predictor i, the marginal contribution 250 251 also depends on the interactions with other predictors. Thus, the calculation repeats considering the whole set of the predictors. The final contribution  $\phi_i$  of predictor *i* is the weighted average of all marginal contributions: 252

253 
$$\phi_i = \sum_{S \subseteq F \setminus \{i\}} \frac{|S|! (F - |S| - 1)!}{F!} [f_x(S \cup \{i\}) - f_x(S)]$$

where *F* is the total number of features, *S* is the subset of predictors from all predictors except for predictor *i*,  $\frac{|S|!(F-|S|-1)!}{F!}$ is the weighting factor counting the number of permutations of the subset *S*.  $f_x(S)$  is the expected output given the predictors subset *S*.  $[f_x(S \cup \{i\}) - f_x(S)]$  is the difference made by predictor *i*.

Compared to the commonly used feature importance, such as gain, or split count, SHAP is more consistent and faithful to the model (Lundberg et al., 2019). More importantly, SHAP provides local importance that measures the variable importance for each sample, while most of the feature importance metrics only have global importance that measures variable contributions limited to the entire dataset. The global importance by SHAP is the average of the absolute SHAP values for each predictor, providing an overall picture of the predominant variables controlling fire emissions in CONUS. The local importance will be used to identify the important predictors for large fire events in the ML model and diagnose the deficiency of the process-based models.

#### 264 4. Results

#### 265 4.1 XGBoost model performance and variable importance

266 Table 3 shows the whole CONUS and regional model performance, including RMSE, IoA, and correlation. The model performs well at grid level over CONUS, with an RMSE of 0.16 g/m<sup>2</sup> and an IoA of 0.84. Figure 1a 267 shows the map of correlation between the observed and predicted monthly fire emission time series for each grid 268 over CONUS. Overall, the results indicate the ML model can reproduce the interannual variability of fire emissions 269 at 0.25° resolution over CONUS, with a mean correlation of 0.58 and more than 70% of the grids having 270 correlations larger than 0.4. To better assess model performance in different regions, Table 3 summarizes the model 271 performance for several selected regions: (1) western forest area, (2) Mediterranean California, (3) southwestern 272 US, and (4) southeastern US (color boxes in Fig. 1a). The regions where fires frequently occur are selected by the 273 similarity of ecoregions, vegetation types, and fire regimes. Figs. 1b-e show the time series of observed and 274 predicted fire  $PM_{2.5}$  emissions averaged over several regions. Generally, the ML model reproduces the interannual 275





variability of fire emissions for the selected regions (r=0.84-0.98). Among these regions, Mediterranean California has the smallest correlation coefficient and largest RMSE compared to other regions, which can be explained by the fact that fires in this region interact with multiple factors, including human activity, complex terrain, and Santa Ana winds (Syphard et al., 2008; Yue et al., 2014). The interactions between fires and these factors pose uncertainties and challenges in fire prediction over this region. It is also worth noting that the ML model captures the large fire events in September 2020 in Oregon and California but underestimates the peak values by  $\sim 30\%$ (Figs. 1b and 1c).

283 To improve understanding of the ML prediction, we utilize the SHAP method to quantify the contributions of each predictor variable to the prediction and identify the key contributing factors of fire PM<sub>2.5</sub> emission. Fig. 2 284 shows the 20 most important variables for the model ranked by the absolute mean SHAP values. Among the top 285 286 10 variables, seven of them are local meteorological variables, indicating local meteorology is the predominant control of fire emissions, as these variables control fire activity directly (Liu and Wimberly, 2015; Abatzoglou et 287 al., 2016; Wang et al., 2021). Besides local meteorology, the predictors of large-scale meteorology (SVD1 SElag2 288 289 and SVD2 SElag2) are identified as the eighth and tenth important variables, showing that meteorology is not only important at local scale but also at synoptic scale (Trouet et al., 2009; Pollina et al., 2013; Dong et al., 2021). 290 291 Finally, in addition to meteorology, fuel load is identified as the fifth important variable in the model, as fuel load affects emission through controlling burned area and fuel consumption (Seiler and Crutzen, 1980). Considering the 292 293 important variables in different regions, the selected regions in western US (western forest area, Mediterranean 294 California, and southwestern US) generally share the common top 10 variables (Fig. S4). Over western US, 295 predictors controlling fuel dryness and fuel amount, including RH, fuel moisture (FM1000), ERC, vegetation fraction, and fuel load, contribute more to fire emissions. On the other hand, large-scale meteorological patterns 296 (SVDs SElag2) are more important for fire emissions in southeastern US. 297

## 298 4.2 General comparison between GFED, ML, and FireMIP models

This section compares the performance of the ML and FireMIP models benchmarked against observations from GFED, and the evaluations are based on spatial distributions, seasonality, and interannual variability of fire  $PM_{2.5}$  emissions. Since the spatial resolutions of the GFED data, ML models, and FireMIP models are different, they are all regridded to  $1^{\circ} \times 1^{\circ}$  using bilinear interpolation. Note that the simulation period of FireMIP models ends in 2012, so we use the overlapping period of 2000-2012 for comparison and exclude the MC2 model because its simulation ends in 2008.

## 305 4.2.1 Spatial distributions of fire PM<sub>2.5</sub> emissions and sensitivities to RH and temperature

306 Fig. 3 compares the observed and simulated spatial distributions of long-term mean monthly fire PM<sub>2.5</sub> 307 emissions averaged over 2000-2012. Among the models, the ML model, CLM, and JULES have better performance in reproducing the spatial distributions of fire emissions over CONUS, with a correlation coefficient of 0.83, 0.52, 308 309 0.40, respectively. The ML model shows the best agreement with GFED, though it overestimates fire emissions 310 over Northern California. Both CLM and JULES simulate more PM2.5 emissions over southeastern US, and JULES overestimates fire emissions in Northern California. Some other models, such as CTEM, JSBACH, and LPJ-SIP, 311 tend to overestimate fire emissions over central US (e.g., Great Plains and Texas). LPJ-SIM captures the hotspots 312 of fire emissions over western US and southeastern US, but it simulates much more PM<sub>2.5</sub> emissions over the Rocky 313 Mountain and northeastern US. In terms of the total amount of PM<sub>2.5</sub> emissions, all models except ORCHIDEE-314 315 SPITFIRE overestimate PM<sub>2.5</sub> emissions (8.33-79.49 Tg), compared to the GFED estimate of 4.98 Tg during 2000-2012 over CONUS (Table 4). 316





317 The overestimations in some models may be explained by the sensitivities of fire emissions to individual 318 meteorological variables. Fig. 4 shows the slopes for the dependence of annual mean fire PM<sub>2.5</sub> emissions on annual 319 mean RH from the CRUNCEP atmospheric forcing data for GFED and the ten models based on linear regression. Since the ML model uses NARR meteorology as predictors, we also include sensitivities of the fire emissions 320 predicted by the ML model to the NARR meteorology (Fig. 4b). Almost all models capture the negative dependence 321 322 of  $PM_{2.5}$  emissions on RH over western US (r=-0.06~0.84), but the sensitivities in the models are much stronger (steeper negative slope) than in GFED. For temperature, positive sensitivity is shown over western US in GFED 323 324 (Fig. 5), with the most significant slope in northern California. The sensitivities to temperature in models agree with the observed sensitivities ( $r=-0.06\sim0.64$ ), but some models show much stronger sensitivities over western, 325 central, and southeastern US. Generally, the spatial distributions of the long-term mean fire emissions shown in 326 327 Fig. 3 match well with the spatial distributions of sensitivities to RH or temperature, suggesting an important role of the sensitivities in the model biases of predicting fire emissions. However, the correspondence of large fire 328 329 emissions to the sensitivities to RH or temperature shows regional differences. For instance, in western US, the 330 stronger sensitivities to both RH and temperature correspond to the overestimations in this region for most models, 331 including the ML model, CLM, CTEM, JSBACH, JULES, LPJ-SIM, and LPJ-SPI (Figs. 4 and 5). On the other 332 hand, over central US, larger PM<sub>2.5</sub> emissions simulated by CTEM and JSBACH only correspond to stronger sensitivity to temperature (Fig. 5). Similar to central US, in southeastern US, the overestimations in CLM and 333 334 JULES only correlate with stronger sensitivity to temperature (Fig. 5). Regional differences in the correspondences 335 between the predicted fire emissions and their sensitivity to meteorology can be explained by several factors. For western US, the overestimations of fire emissions correspond to both stronger sensitivities to RH and temperature, 336 337 given that fire activities are sensitive to fuel aridity that is controlled by temperature and fuel moisture (Abatzoglou and Williams, 2016; Holden et al., 2018). As for southeastern US, fuels in this region typically burn at higher RH 338 339 and the interannual RH variation (standard deviation) is smaller (Balch et al., 2017; Brey et al., 2018). With higher RH values and less variation in RH, the fire emissions in southeastern US show weaker sensitivity to RH than to 340 341 temperature in observation (Table S2). The above analysis shows that the overestimation of fire emissions in the 342 models may be attributed to the stronger sensitivities to meteorology. However, fire activities are controlled by 343 meteorology and other factors such as vegetation and human, so the analysis of fire emission sensitivity to 344 meteorology only provides a potential explanation to the overestimation of fire emissions in the models (Forkel et 345 al., 2019).

## 346 4.2.2 Seasonality and interannual variability over CONUS

347 In addition to evaluating spatial distributions, it is also important to compare the models' ability to 348 reproduce the temporal variability of fire emissions. As the models may systematically over-or underestimate fire 349 emissions, we normalize the emissions by the mean and standard deviation and focus only on its temporal 350 variability. Fig. 6a shows the seasonality of normalized fire PM<sub>2.5</sub> emission over CONUS. Most models capture the seasonality of fire emission successfully (r>0.85), except LPJ-SIM which simulates peak emission in August-351 October (r=0.65). Among the models, the ML model has the highest correlation coefficient between prediction and 352 observation from GFED (r=0.98) and successfully reproduces the peak in August. The seasonal peaks simulated 353 by the FireMIP models are broader and flatter than the peak in GFED, with an early peak in June-July continuing 354 to September (Fig. 6a). 355

In terms of interannual variability (Fig. 6b), the ML model, CLM, and JULES perform better than other models, with larger correlation coefficient between simulated and observed fire  $PM_{2.5}$  emissions (r=0.87, 0.71, and 0.55 for ML, CLM, and JULES, respectively; Table 4). Other models have relatively poor performance in capturing the interannual variability. The interannual variability of fire emissions shows several peaks in 2002, 2007, and 2012 (black line in Fig. 6b), when western US contributes 76% of the total emissions to the peaks in these years.





Almost all models except ORCHIDEE capture the peak in 2012. However, most models miss the peaks in 2002 and 2007. Among all models, LPJ-Glob model simulates the peaks in the two years, while ML, JULES, and CLM only capture the largest emission in 2007 (Fig. 6b).

#### 364 4.2.3 Seasonality and interannual variability by regions

365 As the temporal variability of fire activities varies by region, we compare the performance between GFED and the ML and FireMIP models by the regions defined in Sec. 4.1. Fig. 7 shows the seasonality and interannual 366 367 variability of normalized fire PM<sub>2.5</sub> emission over western forest area, Mediterranean California, southwestern US, and the southeastern US. All models generally capture the seasonality of the western forest area peaking in summer, 368 369 with correlation coefficients larger than 0.8 (Table 4). Even though the FireMIP models generally reproduce the 370 peaks in summer, the predicted peaks are broad and flat, indicating a relatively longer fire season starting in June and ending in September (Fig. 7a). When looking at the interannual variability, we find that the ML model has the 371 best performance with a correlation coefficient of 0.93, and it successfully captures the largest fire emission in 372 2007. CLM, JULES, and LGJ-Glob perform better than the rest of the models (r=0.70, 0.60, and 0.51 for CLM, 373 374 JULES, and LPJ-Glob, respectively; Table 4), but all of them still miss the peaks in 2007 and overestimate fire 375 emissions in 2001 and 2003 (Fig. 7b). The emission peak in 2007 is mainly attributed to the large fires in Idaho, 376 which were associated with synoptic weather patterns characterized by positive geopotential height and temperature anomalies over the Pacific Coast and western US (Zhong et al., 2020). Consistent with prior findings, SHAP 377 378 importance shows that in the ML model SVD predictors (SVD NCA and SVD RM in July and August 2007 Fig. 379 8a) are the dominant factors of fire emissions in 2007 (contribute 27% and 28% for July and August 2007, respectively), which are characterized by high pressure, low RH, and northeasterly winds over western US (Figs. 380 S1 and S2). Thus, the underestimation of peak emission in 2007 may be explained by the fact that the influences 381 of large-scale meteorology on fire activity are not fully considered in the FireMIP models, which are point models 382 383 driven only by local atmospheric forcing.

In Mediterranean California, the seasonality of fire emissions shows a bimodal pattern, peaking in August 384 and October. The peak in October is mainly due to the extremely large fires associated with Santa Ana winds in 385 386 2003 and 2007 (Keeley et al., 2009; Yue et al., 2014). The ML model simulates a flatter peak from July to October, while all the FireMIP models except ORCHIDEE capture the first emission peak in summer but fail to simulate the 387 388 large fire emission in October (Fig. 7c). The underestimation associated with the Santa Ana winds is also shown in 389 the interannual time series in Fig. 7d. Several models, including LPJ-Glob, CTEM, LPJ-SPI, and JULES, capture 390 the peak in 2007 but only the ML model predicts both peaks in 2003 and 2007 even though the peak in 2003 is 391 underestimated. According to the SHAP importance from the ML model, the peak emissions in October 2003 and October 2007 are mainly contributed by the SVD predictors and ERC (SVD2 NCA and SVD1 RM together 392 393 contribute 20% to the fire emissions for October 2003 and SVDs SElag2 and SVD2 RM together contribute 31% 394 to the fire emissions for October 2007) and ERC (15% and 18% for October 2003 and 2007, respectively) (Fig. 8b). The results indicate that the ML model captures the effect of synoptic weather patterns on fire activity by 395 including the SVD predictors. Even though the wind speed is included in simulating fire spread in the FireMIP 396 397 models, the spatial resolutions of the models and/or the atmospheric forcing data may not be fine enough to resolve the strengthened offshore winds through the complex terrain, and subsequently, they may not well capture the 398 399 effects of Santa Ana winds on fires. Besides the above-mentioned shortfall, all the models have problems reproducing the interannual variability of the fire emissions over Mediterranean California, with very low 400 correlations (r < 0.25) for the FireMIP models and a relatively low correlation (r = 0.72) for the ML model (Table 4; 401 402 Fig. 7d). The poor performance for this region may be due to the complex relations between fires and multiple factors, including meteorology, complex terrain, fuel, and human, which may not be fully represented in the models 403 404 (Mann et al., 2016; Radeloff et al., 2018).





405 Both the ML model and LPJ-SIM successfully reproduce the seasonality of fire emission in southwestern US peaking in June (r=0.99 and 0.94 for ML and LPJ-SIM, respectively), while other models simulate relatively 406 407 smooth seasonality (Fig. 7e and Table 4). The ML model, LPJ-SIM, and ORCHIDEE have better performance for the interannual variability, with correlation coefficients of 0.95, 0.40, and 0.45, respectively (Table 4). However, 408 most FireMIP models show larger variability in fire emissions than the GFED, and they all fail to capture the 409 extremely large fire emission in 2011 (Fig. 7f). The peak fire emission in 2011 over southwestern US was caused 410 by extremely low atmospheric moisture along with moderately high temperature, leading to record-breaking VPD 411 412 and wildfire activities (Williams et al., 2015). To explain why the FireMIP models fail to capture the peak of 2011, we compare the VPD calculated from CRUNCEP data and the VPD data from gridMET used in the ML model. As 413 Fig. S5 shows, CRUNCEP shows smaller positive anomalies of VPD over southwestern US in 2011 summer, while 414 415 gridMET data demonstrates a significantly larger VPD anomaly. The biases in CRUNCEP data may partially explain the underestimations in all FireMIP models. 416

417 For southeastern US, the seasonal cycle of fire  $PM_{2.5}$  emissions displays a bimodal pattern, peaking in spring (March-April) and fall (September and October) (Fig. 7g). Most models fail to reproduce the bimodal fire 418 419 emissions, but the ML model, LPJ-SIM, and LPJ-SPI can capture the bimodal pattern. Although LPJ-SIM and LPJ-420 SPI predict the bimodal peaks, the first peak simulated by LPJ-SIM shows a one-month delay, and the second peak simulated by LPJ-SIM and LPJ-SPI is one month early and one month late, respectively (Fig. 7g). In addition, the 421 ML model, CLM, and JSBACH reproduce the interannual variability of fire  $PM_{2.5}$  emissions relatively well (r=0.96, 422 423 0.57, and 0.72 for the ML model, CLM, and JSBACH, respectively) (Table 4 and Fig. 7h). Interestingly, CLM and JSBACH can capture several peaks in 2007, 2010, and 2011 but they do not simulate seasonality correctly, which 424 425 may be explained by the underestimation in spring compensated by the overestimations in summer related to abnormal dryness or drought. 426

## 427 **4.3 Performance in modeling extreme events**

Fire activity in the US is becoming more hazardous, particularly over western US, due to more frequent hotter and drier conditions as climate continues to warm (Williams et al., 2019). Thus, it is necessary to assess whether the ML model and process-based models can capture the extreme events in terms of their magnitude, frequency, timing, and location, which is essential to future projection and adaptation. As CLM performs relatively well among the FireMIP models, we select CLM for comparison with the ML model at  $1^{\circ} \times 1^{\circ}$  resolution, focusing on the spatial patterns of extreme event frequency and two case studies with extremely large fire emissions.

## 434 **4.3.1 Frequency of extreme event occurrence**

Fig. 9 shows the frequency maps of months with large fire emissions during 2000-2012 for GFED, the ML 435 model, and CLM. Large fire emission is defined as monthly fire PM2.5 emissions greater than the 95th percentile of 436 437 fire PM<sub>2.5</sub> emission considering all the grids over CONUS in 2000-2012. GFED shows hot spots with a higher frequency over northern California, the Pacific Northwest, and southeastern US, with total counts ranging from 15 438 to 105 (Fig. 9a). The ML model captures the spatial patterns (r=0.74), but it overestimates the number of months 439 by a factor of two to three compared to GFED, especially over western US (Fig. 9b). The spatial patterns of large 440 fire emission occurrence simulated by CLM are generally consistent with the observed distribution by GFED 441 442 (r=0.35). However, it overestimates the frequency, particularly over Idaho and northeastern US, and simulates more significant numbers of months with extreme events over large spatial extents, may be due to its coarse spatial 443 resolution (Fig. 9c). 444





#### 445 **4.3.2 Case studies**

446 To evaluate how well the models simulate the large fire emissions, we compare model performance for the two recent cases reported to be the largest fire events during 2000-2012, including the fires in southern US in 2011 447 448 and western US in 2012. During 2011, a severe drought leading to large wildfires was observed over southern US, 449 including Arizona, New Mexico, and Texas (NOAA, 2012; Wang et al., 2015). Fig. 10 shows the maps of annual 450 mean fire PM<sub>2.5</sub> emissions over southern US from GFED, the ML model, and CLM. GFED shows the largest fire emissions close to the border of Arizona and New Mexico in conjunction with other small hotspots over New 451 452 Mexico, Texas, and Louisiana (Fig. 10a). The ML model overall reproduces the spatial distributions of the fire emissions (r=0.96) and captures the largest fire emission in Arizona and New Mexico in 2011 (Fig. 10b). However, 453 454 CLM does not capture the hotspots observed in GFED over Arizona and New Mexico but simulates larger fire emissions in Louisiana instead (Fig. 10c). In terms of the time series, the ML model reproduces the temporal 455 variability of fire emissions and successfully captures the peak of total fire PM<sub>2.5</sub> emissions in June 2011 (r=0.98; 456 457 Figs. 10d and 10e). Although CLM simulates the peak in June, it overestimates fire emissions in the following 458 months by a factor of 4 (r=0.52; Figs. 10d and 10e).

459 In 2012, western US experienced several major wildfires (NOAA, 2013). The warm and dry conditions led to large wildfires in California, Oregon, New Mexico, and Colorado (Fig. 11). Both the ML model and CLM 460 461 capture the hotspots with large fire emissions (Fig. 11b and 11c) and have correlation coefficients of 0.56 and 0.37, 462 respectively. However, the ML model tends to overestimate fire emissions, especially in areas surrounding the grids with extremely large fire emissions (Fig. 11b). CLM misses some large fire emissions in Colorado and New 463 Mexico and underestimates the larger fire emissions in several hotspots (Fig. 11a), which may be explained by its 464 465 coarse resolution. The time series of normalized fire PM<sub>2.5</sub> emissions in 2012 show one peak in August. The ML model captures the peak and presents a high correlation between the simulated and observed normalized and total 466 467  $PM_{2.5}$  fire emissions (r=0.98). CLM captures the peak in August but overestimates emissions in September and October (r=0.84; Figs. 11d and 11e). 468

#### 469 **5. Discussion and conclusions**

470 This study provides the first assessment to evaluate the performance of data-driven and process-based 471 models in predicting fire PM<sub>2.5</sub> emissions over CONUS. We first demonstrate that the developed ML model performs well in predicting monthly fire PM<sub>2.5</sub> emissions nationwide at grid cells of  $0.25^{\circ} \times 0.25^{\circ}$  resolution from 472 2000 to 2020, with an RMSE of 0.16 g/m<sup>2</sup> and IoA of 0.84. The ML model outperforms prior statistical models 473 predicting fire activities at similar spatial and temporal scales (Carvalho et al., 2008; Bedia et al., 2014). 474 Considering the performance at a regional scale, the ML model reproduces the interannual variability of fire 475 emissions for the selected regions, with correlation coefficients ranging from 0.84 to 0.98. Therefore, the ML model 476 477 has a promising performance in predicting fire emission over CONUS at a relatively fine spatial resolution. Compared to the wildfire burned area model in Wang et al. (2021), the fire emission model in this study shows 478 slight degradations in capturing the interannual variability of fire emission at grid level (e.g., percentage of grids 479 480 with correlations larger than 0.4). This may be explained by the fact that the fire emission model may not effectively resolve the relationships between fires and predictors when more grids with less fire occurrence are included (i.e., 481 482 more zeros or unburned grids) without reliable information about ignition. As a side note, both burned area and 483 emission ML models have relatively poor performance over Mediterranean California, indicating the challenges in 484 modeling fire activities in this region where the terrain and land use are complex. The SHAP variable importance 485 shows that meteorology at both local and synoptic scale as well as fuel loads are important variables controlling fire emissions over CONUS. Regional analysis of predictors indicates that fuel dryness such as fuel moisture and 486





487 energy release component (ERC) and fuel load are important for predicting fire emissions in western US, while
 488 large-scale meteorological patterns (SVDs\_SElag2) contribute more to fire emissions in southeastern US.

489 We then compare the simulated fire  $PM_{2.5}$  emissions from the ML model and FireMIP models against GFED from 2000 to 2012 at the spatial resolution of 1° × 1°. The ML model, CLM, and JULES reproduce the 490 spatial distribution more reasonably than the rest of the FireMIP models (r=0.83, 0.52, and 0.40 for the ML, CLM, 491 and JULES, respectively). Both CLM and JULES simulate more fire PM<sub>2.5</sub> emissions over southeastern US, which 492 493 can be explained by several reasons. First, it has been shown that the satellite-observed burned areas in southeastern 494 US are much smaller than the burned areas estimated from the ground-based fire records, which might have resulted 495 from the small prescribed and agricultural fires (Hu et al., 2016; Nowell et al., 2018). In addition, large differences 496 exist among different satellite estimated fire  $PM_{2.5}$  emissions in southeastern US (Li et al., 2019). As a consequence, 497 these studies highlighted uncertainties about the GFED estimated burned area and emission over southeastern US. Second, cropland fires are one of the predominant fire types in this region. Among the FireMIP models, CLM is 498 499 the only model that simulates cropland fires (Li et al., 2013). For JULES, even though it does not simulate cropland fires, it treats croplands as natural grasslands. The emission factors of grasslands and croplands used in the FireMIP 500 501 models are larger than in GFED4s, thereby causing larger fire PM<sub>2.5</sub> emissions in southeastern US in CLM and 502 JULES (van der Werf et al., 2017; Li et al., 2019). Furthermore, Li et al. (2019) noted that CLM4.5 simulates higher fuel loads in croplands than the CASA model used by GFED4s, leading to higher fire carbon emissions 503 estimated by CLM than by GFED. It is worth noting that the ML model incorporates fuel load simulated by CLM4.5 504 505 but it predicts fire emissions closer to GFED4s, indicating a smaller sensitivity of fire emission to fuel load in the ML model. The overestimation of fire PM<sub>2.5</sub> emissions can also be explained by the sensitivity to meteorology. The 506 507 spatial distributions of the long-term mean fire emissions shown in Fig. 3 correlate with the spatial distributions of sensitivities to RH and/or temperature, with regional differences. For western US, large fire emissions are 508 associated with stronger sensitivities to both RH and temperature in the ML and most FireMIP models. For central 509 and southeastern US, overestimation of fire PM2.5 emissions only corresponds to stronger sensitivity to temperature 510 in some FireMIP models. 511

512 Besides comparing model performance aggregated over CONUS, we analyze the model performance for 513 several regions, including the western forest area, Mediterranean California, southwestern US, and southeastern 514 US. For the western forest area, the ML model performs well in capturing both seasonality and interannual variability of fire  $PM_{2.5}$  emissions, with correlation coefficients of 0.98 and 0.96, respectively. In contrast, the 515 FireMIP models generally reproduce the seasonality well but do not simulate the interannual variability well, 516 517 especially underestimating the peak in 2007, which related to large-scale meteorological patterns favorable for fires 518 in Pacific Northwest (Zhong et al., 2020). For Mediterranean California, all FireMIP models only capture the first 519 peak in August but fail to simulate the second peak in October, which is caused by large fires related to Santa Ana 520 winds in 2003 and 2007. Such lack of peak emission is also shown in the interannual variability, as all FireMIP 521 models show limited ability to simulate the peaks in these two years. By contrast, the ML model successfully predicts the bimodal seasonality and the large fire emissions related to the Santa Ana winds in 2003 and 2007. The 522 523 underestimation of the peak in the FireMIP models may be attributed to the underrepresentation of the effects of large-scale meteorology in the two regions, as the ML model and SHAP importance show that SVD predictors 524 525 have larger contributions to the fire emissions in both events. The results of the two regions in the western US 526 suggest that fire parameterization in the FireMIP models could be improved by including the effects of regional 527 and large-scale meteorology (e.g., Santa Ana winds) on fire activity (Yue et al., 2014). Modeling the effect of Santa 528 Ana winds on wildfires may be particularly challenging as the offshore Santa Ana winds exhibit variability related 529 to both synoptic scale pressure anomaly over the Great Basin and local thermodynamic forcing associated with strong desert-ocean temperature gradient (Hughes and Hall, 2010). 530

As for southwestern US, the ML model and LPJ-SIM estimate the peak in June (r=0.99 and 0.94 for ML and LPJ-SIM, respectively), which highly agrees with the GFED observation. Interestingly, most FireMIP models





533 fail to capture the extremely large fire emission in the 2011 summer mainly due to the low biases of VPD anomalies 534 in CRUNCEP (Tang et a., 2017). Unlike southwestern US, the seasonality of southeastern US has peaks in March-535 April and September-October. The two peaks of fire emissions correspond to wildfires (Mar-Apr and Sep), cropland fires (Feb-Mar and Aug-Oct), and prescribed fires (Feb-Apr and Oct) that include burnings for pest 536 controls and land cleaning (Knapp et al., 2009; Lin et al., 2014). Most models fail to reproduce the bimodal fire 537 538 emissions, but the ML model, LPJ-SIM, and LPJ-SPI can capture the bimodal pattern. Even though the seasonality of fires over this region is not simulated accurately, the CLM and JSBACH well reproduce the interannual 539 540 variability of fire PM<sub>2.5</sub> emissions and predict the peaks. The FireMIP models' shortfall in reproducing the bimodal seasonality can be explained by two reasons. First, the relationships between human and fire spread implemented 541 in the process-based models may not be realistic compared to the observed relationships. Parisien et al. (2016) 542 543 demonstrated the large spatial variability of human impacts on burned areas in North America, which is not well 544 represented in the FireMIP models (Li et al., 2019). Second, drier conditions in winter would promote fires in springtime (Wear and Greis, 2013; Wang et al., 2021), which may not be directly considered in the FireMIP models 545 546 but are incorporated as SVD predictors in the ML model. Overall, the representations of the effects of human and large-scale meteorology on fires may explain why the models simulate the seasonality incorrectly in southeastern 547 US. In addition to the comparison of general model performance, we also compare the ability of the data-driven 548 and processed-based models in predicting extremely large fire emissions. Both ML and CLM models reproduce 549 the spatial pattern of extreme fire events and reasonably simulate the historical events of large fires in southwestern 550 551 and western US.

To summarize, we utilize the ML model with SHAP importance to diagnose the fire emissions simulated 552 553 by process-based models and attributed model biases to several factors. First, the sensitivities of fire emissions to meteorology in the models are stronger than the observed, leading to overestimations. Second, the large-scale 554 meteorological patterns conducive to fires are not fully considered in the process-based models, which are 555 important contributors of large fire emissions in western US and southeastern US. Third, the spatial resolutions of 556 557 models and/or the atmospheric forcing they used may be too coarse to resolve the effects of regional weather 558 phenomenon such as Santa Ana winds. Fourth, biases in the atmospheric forcing data may result in biases of fire 559 emission predictions. Last but not least, human activities are a critical component shaping fire regimes but the human effects on fire activities in the FireMIP models may not reflect the human-fire relationships in the real-560 world. This is also an issue in the ML model as the human-related predictors in the ML model may be too simple 561 to represent the human influences. The underrepresentation of human effects in both types of models may cause 562 additional uncertainties in projecting future fire activities and their impacts on climate. By training the ML model 563 564 using the GFED emissions, the ML model is able to better explain fire emissions in the US, which makes it a useful tool for diagnosing processes or relationships that may be missing or not well represented in the process-based 565 models to guide future development for improving their performance. Besides its use in diagnosing process-based 566 models, the interpretable ML model provides a different and novel approach to simulate fire emissions more 567 accurately and identify the important predictor variables. While the ML model generally has higher accuracy than 568 the FireMIP models, the feedbacks between fire emissions and climate are not included, which could potentially 569 570 affect the reliability of ML-based models in fire emission prediction under future climate change scenario (Zou et 571 al., 2020). Lastly, due to limited training data, the ML model cannot predict fires in regions with longer fire return intervals, posing additional uncertainties in their use for making future projections. 572

573

576

574

575 *Code availability*. Model code is available upon request to the first author.

577 *Data availability*. The ML prediction and predictor dataset used in this study are publicly accessible online at 578 <u>https://zenodo.org/record/5076646#.YOZI4zZKjOQ</u>.





579

580 Author contributions. SW, YQ, RL conceived the research ideas. SW wrote the initial draft of the paper, performed 581 analyses, and model development. All authors contributed to the interpretation of the results and the preparation of 582 the manuscript.

583

584 Acknowledgements.

This research was performed at PNNL and funded under Assistance Agreement No. RD835871 by the U.S. Environmental Protection Agency to Yale University through the SEARCH (Solutions for Energy, AiR, Climate,

and Health) Center. It has not been formally reviewed by EPA. The views expressed in this document are solely those of the SEARCH Center and do not necessarily reflect those of the Agency. EPA does not endorse any products

those of the SEARCH Center and do not necessarily reflect thoseor commercial services mentioned in this publication.

#### 590 **References**

591 Abatzoglou, J. T. and Kolden, C. A.: Relationships between climate and macroscale area burned in the western United States,

592 Int. J. Wildland Fire, 22, 1003–1020, https://doi.org/10.1071/WF13019, 2013.

Abatzoglou, J. T. and Williams, A. P.: Impact of anthropogenic climate change on wildfire across western US forests, PNAS,
113, 11770–11775, https://doi.org/10.1073/pnas.1607171113, 2016.

Abatzoglou, J. T., Kolden, C. A., Balch, J. K., and Bradley, B. A.: Controls on interannual variability in lightning-caused fire activity in the western US, Environ. Res. Lett., 11, 045005, https://doi.org/10.1088/1748-9326/11/4/045005, 2016.

Aldersley, A., Murray, S. J., and Cornell, S. E.: Global and regional analysis of climate and human drivers of wildfire, Science
 of The Total Environment, 409, 3472–3481, https://doi.org/10.1016/j.scitotenv.2011.05.032, 2011.

Amatulli, G., Domisch, S., Tuanmu, M.-N., Parmentier, B., Ranipeta, A., Malczyk, J., and Jetz, W.: A suite of global, crossscale topographic variables for environmental and biodiversity modeling, 5, 180040, https://doi.org/10.1038/sdata.2018.40.,
2018.

- 602 Balch, J. K., Bradley, B. A., Abatzoglou, J. T., Nagy, R. C., Fusco, E. J., and Mahood, A. L.: Human-started wildfires expand
- 603 the fire niche across the United States, PNAS, 114, 2946–2951, https://doi.org/10.1073/pnas.1617394114, 2017.

Barredo Arrieta, A., Díaz-Rodríguez, N., Del Ser, J., Bennetot, A., Tabik, S., Barbado, A., Garcia, S., Gil-Lopez, S., Molina,
D., Benjamins, R., Chatila, R., and Herrera, F.: Explainable Artificial Intelligence (XAI): Concepts, taxonomies, opportunities
and challenges toward responsible AI, Information Fusion, 58, 82–115, https://doi.org/10.1016/j.inffus.2019.12.012, 2020.

- Bartier, P. M. and Keller, C. P.: Multivariate interpolation to incorporate thematic surface data using inverse distance weighting
  (IDW), Computers & Geosciences, 22, 795–799, https://doi.org/10.1016/0098-3004(96)00021-0, 1996.
- Bedia, J., Herrera, S., and Gutiérrez, J. M.: Assessing the predictability of fire occurrence and area burned across phytoclimatic
   regions in Spain, 14, 53–66, https://doi.org/10.5194/nhess-14-53-2014, 2014.
- 611 Birch, D. S., Morgan, P., Kolden, C. A., Abatzoglou, J. T., Dillon, G. K., Hudak, A. T., and Smith, A. M. S.: Vegetation,
- topography and daily weather influenced burn severity in central Idaho and western Montana forests, 6, art17,
- 613 https://doi.org/10.1890/ES14-00213.1, 2015.





- Brey, S. J., Barnes, E. A., Pierce, J. R., Wiedinmyer, C., and Fischer, E. V.: Environmental Conditions, Ignition Type, and Air
  Quality Impacts of Wildfires in the Southeastern and Western United States, 6, 1442–1456,
  https://doi.org/10.1029/2018EF000972, 2018.
- 617 Carvalho, A., Flannigan, M. D., Logan, K., Miranda, A. I., and Borrego, C.: Fire activity in Portugal and its relationship to 618 weather and the Canadian Fire Weather Index System, 17, 328–338, https://doi.org/10.1071/WF07014, 2008.
- 619 Center For International Earth Science Information Network-CIESIN-Columbia University: Gridded Population of the World,
- 620 Version 4 (GPWv4): Population Density, Revision 11, https://doi.org/10.7927/H49C6VHW, 2017.
- Chen, T. and Guestrin, C.: XGBoost: A Scalable Tree Boosting System, in: Proceedings of the 22nd ACM SIGKDD
  International Conference on Knowledge Discovery and Data Mining, New York, NY, USA, 785–794,
  https://doi.org/10.1145/2939672.2939785, 2016.
- 624 Coffield, S. R., Graff, C. A., Chen, Y., Smyth, P., Foufoula-Georgiou, E., and Randerson, J. T.: Machine learning to predict 625 final fire size at the time of ignition, 28, 861–873, 2019.
- 626 Cortez, P. and Morais, A.: A Data Mining Approach to Predict Forest Fires using Meteorological Data, Proceedings of the 627 13th Portuguese Conference on Artificial Intelligence, Portugal, 512–523, 2007.
- 628 Crevoisier, C., Shevliakova, E., Gloor, M., Wirth, C., and Pacala, S.: Drivers of fire in the boreal forests: Data constrained 629 design of a prognostic model of burned area for use in dynamic global vegetation models, 112, 630 https://doi.org/10.1029/2006JD008372, 2007.
- Crimmins, M. A.: Synoptic climatology of extreme fire-weather conditions across the southwest United States, 26, 1001–1016,
   https://doi.org/10.1002/joc.1300, 2006.
- Cummins, K. L. and Murphy, M. J.: An Overview of Lightning Locating Systems: History, Techniques, and Data Uses, With
  an In-Depth Look at the U.S. NLDN, 51, 499–518, https://doi.org/10.1109/TEMC.2009.2023450, 2009.
- Dillon, G. K., Holden, Z. A., Morgan, P., Crimmins, M. A., Heyerdahl, E. K., and Luce, C. H.: Both topography and climate
  affected forest and woodland burn severity in two regions of the western US, 1984 to 2006, 2, art130,
  https://doi.org/10.1890/ES11-00271.1, 2011.
- Dong, L., Leung, L. R., Qian, Y., Zou, Y., Song, F., and Chen, X.: Meteorological Environments Associated With California
  Wildfires and Their Potential Roles in Wildfire Changes During 1984–2017, 126, e2020JD033180,
  https://doi.org/10.1029/2020JD033180, 2021.
- Ford, B., Martin, M. V., Zelasky, S. E., Fischer, E. V., Anenberg, S. C., Heald, C. L., and Pierce, J. R.: Future Fire Impacts on 641 642 Smoke Concentrations, Visibility, and Health in the Contiguous United States, 2. 229-247. 643 https://doi.org/10.1029/2018GH000144, 2018.
- Forkel, M., Andela, N., Harrison, S. P., Lasslop, G., van Marle, M., Chuvieco, E., Dorigo, W., Forrest, M., Hantson, S., Heil,
  A., Li, F., Melton, J., Sitch, S., Yue, C., and Arneth, A.: Emergent relationships with respect to burned area in global satellite
  observations and fire-enabled vegetation models, 16, 57–76, https://doi.org/10.5194/bg-16-57-2019, 2019.
- Friedl, M. and Sulla-Menashe, D.: MCD12C1 MODIS/Terra+Aqua Land Cover Type Yearly L3 Global 0.05Deg CMG V006,
   https://doi.org/10.5067/MODIS/MCD12C1.006, 2015.





649 Giglio, L., Randerson, J. T., and Werf, G. R. van der: Analysis of daily, monthly, and annual burned area using the fourth-650 generation global fire emissions database (GFED4), 118, 317–328, https://doi.org/10.1002/jgrg.20042, 2013.

651 Gunning, D.: Explainable artificial intelligence (xAI), Defense Advanced Research Projects Agency (DARPA), 2017.

Hantson, S., Kelley, D. I., Arneth, A., Harrison, S. P., Archibald, S., Bachelet, D., Forrest, M., Hickler, T., Lasslop, G., Li, F.,

Mangeon, S., Melton, J. R., Nieradzik, L., Rabin, S. S., Prentice, I. C., Sheehan, T., Sitch, S., Teckentrup, L., Voulgarakis, A.,

and Yue, C.: Quantitative assessment of fire and vegetation properties in simulations with fire-enabled vegetation models from the Fire Model Intercomparison Project, 13, 3299–3318, https://doi.org/10.5194/gmd-13-3299-2020, 2020.

Holden, Z. A., Swanson, A., Luce, C. H., Jolly, W. M., Maneta, M., Oyler, J. W., Warren, D. A., Parsons, R., and Affleck, D.:
Decreasing fire season precipitation increased recent western US forest wildfire activity, PNAS, 115, E8349–E8357,
https://doi.org/10.1073/pnas.1802316115, 2018.

Hu, X., Yu, C., Tian, D., Ruminski, M., Robertson, K., Waller, L. A., and Liu, Y.: Comparison of the Hazard Mapping System
(HMS) fire product to ground-based fire records in Georgia, USA, 121, 2901–2910, https://doi.org/10.1002/2015JD024448,
2016.

Hughes, M. and Hall, A.: Local and synoptic mechanisms causing Southern California's Santa Ana winds, Clim Dyn, 34, 847–
857, https://doi.org/10.1007/s00382-009-0650-4, 2010.

Hurtt, G. C., Chini, L. P., Frolking, S., Betts, R. A., Feddema, J., Fischer, G., Fisk, J. P., Hibbard, K., Houghton, R. A., Janetos,
A., Jones, C. D., Kindermann, G., Kinoshita, T., Klein Goldewijk, K., Riahi, K., Shevliakova, E., Smith, S., Stehfest, E.,
Thomson, A., Thornton, P., van Vuuren, D. P., and Wang, Y. P.: Harmonization of land-use scenarios for the period 1500–
2100: 600 years of global gridded annual land-use transitions, wood harvest, and resulting secondary lands, Climatic Change,
109, 117, https://doi.org/10.1007/s10584-011-0153-2, 2011.

Johnston, F. H., Henderson, S. B., Chen, Y., Randerson, J. T., Marlier, M., Defries, R. S., Kinney, P., Bowman, D. M. J. S.,
and Brauer, M.: Estimated global mortality attributable to smoke from landscape fires, Environ Health Perspect, 120, 695–
701, https://doi.org/10.1289/ehp.1104422, 2012.

Kane, V. R., Cansler, C. A., Povak, N. A., Kane, J. T., McGaughey, R. J., Lutz, J. A., Churchill, D. J., and North, M. P.: Mixed
severity fire effects within the Rim fire: Relative importance of local climate, fire weather, topography, and forest structure,
Forest Ecology and Management, 358, 62–79, https://doi.org/10.1016/j.foreco.2015.09.001, 2015.

Kaulfus, A. S., Nair, U., Jaffe, D., Christopher, S. A., and Goodrick, S.: Biomass Burning Smoke Climatology of the United
States: Implications for Particulate Matter Air Quality, Environ. Sci. Technol., 51, 11731–11741,
https://doi.org/10.1021/acs.est.7b03292, 2017.

Keeley, J. E., Safford, H., Fotheringham, C. J., Franklin, J., and Moritz, M.: The 2007 Southern California Wildfires: Lessons
in Complexity, Journal of Forestry, 107, 287–296, https://doi.org/10.1093/jof/107.6.287, 2009.

Klein Goldewijk, K., Beusen, A., and Janssen, P.: Long-term dynamic modeling of global population and built-up area in a
spatially explicit way: HYDE 3.1, The Holocene, 20, 565–573, https://doi.org/10.1177/0959683609356587, 2010.

682 Kloster, S., Mahowald, N. M., Randerson, J. T., Thornton, P. E., Hoffman, F. M., Levis, S., Lawrence, P. J., Feddema, J. J.,

683 Oleson, K. W., and Lawrence, D. M.: Fire dynamics during the 20th century simulated by the Community Land Model, 7,

684 1877–1902, https://doi.org/10.5194/bg-7-1877-2010, 2010.





- Knorr, W., Jiang, L., and Arneth, A.: Climate, CO<sub>2</sub> and human population impacts on global wildfire emissions, 13, 267–282,
   https://doi.org/10.5194/bg-13-267-2016, 2016.
- Kummu, M., Taka, M., and Guillaume, J. H. A.: Gridded global datasets for Gross Domestic Product and Human Development
   Index over 1990–2015, Sci Data, 5, 180004, https://doi.org/10.1038/sdata.2018.4, 2018.
- Lasslop, G. and Kloster, S.: Impact of fuel variability on wildfire emission estimates, Atmospheric Environment, 121, 93–102,
   https://doi.org/10.1016/j.atmosenv.2015.05.040, 2015.

691 Lawrence, D. M., Fisher, R. A., Koven, C. D., Oleson, K. W., Swenson, S. C., Bonan, G., Collier, N., Ghimire, B., 692 Kampenhout, L. van, Kennedy, D., Kluzek, E., Lawrence, P. J., Li, F., Li, H., Lombardozzi, D., Riley, W. J., Sacks, W. J., Shi, 693 M., Vertenstein, M., Wieder, W. R., Xu, C., Ali, A. A., Badger, A. M., Bisht, G., Broeke, M. van den, Brunke, M. A., Burns, 694 S. P., Buzan, J., Clark, M., Craig, A., Dahlin, K., Drewniak, B., Fisher, J. B., Flanner, M., Fox, A. M., Gentine, P., Hoffman, 695 F., Keppel-Aleks, G., Knox, R., Kumar, S., Lenaerts, J., Leung, L. R., Lipscomb, W. H., Lu, Y., Pandey, A., Pelletier, J. D., 696 Perket, J., Randerson, J. T., Ricciuto, D. M., Sanderson, B. M., Slater, A., Subin, Z. M., Tang, J., Thomas, R. Q., Martin, M. 697 V., and Zeng, X.: The Community Land Model Version 5: Description of New Features, Benchmarking, and Impact of Forcing 698 Uncertainty, 11, 4245–4287, https://doi.org/10.1029/2018MS001583, 2019.

- 699 Le Quéré, C., Peters, G. P., Andres, R. J., Andrew, R. M., Boden, T. A., Ciais, P., Friedlingstein, P., Houghton, R. A., Marland,
- 700 G., Moriarty, R., Sitch, S., Tans, P., Arneth, A., Arvanitis, A., Bakker, D. C. E., Bopp, L., Canadell, J. G., Chini, L. P., Doney,
- 701 S. C., Harper, A., Harris, I., House, J. I., Jain, A. K., Jones, S. D., Kato, E., Keeling, R. F., Klein Goldewijk, K., Körtzinger,
- A., Koven, C., Lefèvre, N., Maignan, F., Omar, A., Ono, T., Park, G.-H., Pfeil, B., Poulter, B., Raupach, M. R., Regnier, P.,
- 703 Rödenbeck, C., Saito, S., Schwinger, J., Segschneider, J., Stocker, B. D., Takahashi, T., Tilbrook, B., van Heuven, S., Viovy,
- 704 N., Wanninkhof, R., Wiltshire, A., and Zaehle, S.: Global carbon budget 2013, 6, 235–263, https://doi.org/10.5194/essd-6-705 235-2014, 2014.
  - Li, F., Levis, S., and Ward, D. S.: Quantifying the role of fire in the Earth system Part 1: Improved global fire modeling
     in the Community Earth System Model (CESM1), 10, 2293–2314, https://doi.org/10.5194/bg-10-2293-2013, 2013.

Li, F., Val Martin, M., Andreae, M. O., Arneth, A., Hantson, S., Kaiser, J. W., Lasslop, G., Yue, C., Bachelet, D., Forrest, M.,
Kluzek, E., Liu, X., Mangeon, S., Melton, J. R., Ward, D. S., Darmenov, A., Hickler, T., Ichoku, C., Magi, B. I., Sitch, S., van
der Werf, G. R., Wiedinmyer, C., and Rabin, S. S.: Historical (1700–2012) global multi-model estimates of the fire emissions
from the Fire Modeling Intercomparison Project (FireMIP), 19, 12545–12567, https://doi.org/10.5194/acp-19-12545-2019,
2019.

- Littell, J. S., McKenzie, D., Peterson, D. L., and Westerling, A. L.: Climate and wildfire area burned in western U.S.
  ecoprovinces, 1916-2003, 19, 1003–1021, 2009.
- 715 Liu, J. C., Mickley, L. J., Sulprizio, M. P., Dominici, F., Yue, X., Ebisu, K., Anderson, G. B., Khan, R. F. A., Bravo, M. A.,
- and Bell, M. L.: Particulate Air Pollution from Wildfires in the Western US under Climate Change, Clim Change, 138, 655–
  666, https://doi.org/10.1007/s10584-016-1762-6, 2016.
- Liu, Y., Zhang, K., Qian, Y., Wang, Y., Zou, Y., Song, Y., Wan, H., Liu, X., and Yang, X.-Q.: Investigation of short-term
  effective radiative forcing of fire aerosols over North America using nudged hindcast ensembles, 18, 31–47,
  https://doi.org/10.5194/acp-18-31-2018, 2018.
- Liu, Z. and Wimberly, M. C.: Climatic and Landscape Influences on Fire Regimes from 1984 to 2010 in the Western United
   States, PLOS ONE, 10, e0140839, https://doi.org/10.1371/journal.pone.0140839, 2015.





- Lundberg, S. and Lee, S.: A Unified Approach to Interpreting Model Predictions, Neural Information Processing Systems
   (NIPS 2017), Long Beach, CA, USA, 2017.
- Lundberg, S. M., Erion, G. G., and Lee, S.-I.: Consistent Individualized Feature Attribution for Tree Ensembles, 2019.
- Mann, M. L., Batllori, E., Moritz, M. A., Waller, E. K., Berck, P., Flint, A. L., Flint, L. E., and Dolfi, E.: Incorporating
  Anthropogenic Influences into Fire Probability Models: Effects of Human Activity and Climate Change on Fire Activity in
  California, PLOS ONE, 11, e0153589, https://doi.org/10.1371/journal.pone.0153589, 2016.
- 729 Mesinger, F., DiMego, G., Kalnay, E., Mitchell, K., Shafran, P. C., Ebisuzaki, W., Jović, D., Woollen, J., Rogers, E., Berbery,
- 730 E. H., Ek, M. B., Fan, Y., Grumbine, R., Higgins, W., Li, H., Lin, Y., Manikin, G., Parrish, D., and Shi, W.: North American 721 Basis Base States and States Sec. 87, 242, 260 https://doi.org/10.1175/DAMS.87.2.242, 2006
- 731 Regional Reanalysis, Bull. Amer. Meteor. Soc., 87, 343–360, https://doi.org/10.1175/BAMS-87-3-343, 2006.
- Morton, D. C., Collatz, G. J., Wang, D., Randerson, J. T., Giglio, L., and Chen, Y.: Satellite-based assessment of climate controls on US burned area, 10, 247–260, https://doi.org/10.5194/bg-10-247-2013, 2013.
- Myneni, R., Knyazikhin, Y., and Park, T.: MCD15A2H MODIS/Terra+Aqua Leaf Area Index/FPAR 8-day L4 Global 500m
   SIN Grid V0006, https://doi.org/10.5067/MODIS/MCD15A2H.006, 2015.
- 736 NIFC: Total Wildland Fires and Acres (1983-2020), National Interagency Fire Center, 2020.
- 737 NOAA: Severe Weather Data Inventory (SWDI), https://www1.ncdc.noaa.gov/pub/data/swdi, 2006.
- NOAA: State of the Climate: Wildfires for Annual 2011, National Centers for Environmental Information, 2012.
- NOAA: State of the Climate: Wildfires for Annual 2012, National Centers for Environmental Information, 2013.
- Nowell, H. K., Holmes, C. D., Robertson, K., Teske, C., and Hiers, J. K.: A New Picture of Fire Extent, Variability, and
  Drought Interaction in Prescribed Fire Landscapes: Insights From Florida Government Records, 45, 7874–7884,
  https://doi.org/10.1029/2018GL078679, 2018.
- Parisien, M.-A., Miller, C., Parks, S. A., DeLancey, E. R., Robinne, F.-N., and Flannigan, M. D.: The spatially varying
  influence of humans on fire probability in North America, Environ. Res. Lett., 11, 075005, https://doi.org/10.1088/17489326/11/7/075005, 2016.
- Parks, S. A., Parisien, M.-A., and Miller, C.: Spatial bottom-up controls on fire likelihood vary across western North America,
  3, art12, https://doi.org/10.1890/ES11-00298.1, 2012.
- Pechony, O. and Shindell, D. T.: Fire parameterization on a global scale, 114, https://doi.org/10.1029/2009JD011927, 2009.
- Pfeiffer, M., Spessa, A., and Kaplan, J. O.: A model for global biomass burning in preindustrial time: LPJ-LMfire (v1.0), 6,
  643–685, https://doi.org/10.5194/gmd-6-643-2013, 2013.
- Pollina, J. B., Colle, B. A., and Charney, J. J.: Climatology and Meteorological Evolution of Major Wildfire Events over the
  Northeast United States, Wea. Forecasting, 28, 175–193, https://doi.org/10.1175/WAF-D-12-00009.1, 2013.
- Pyne, S. J.: Introduction to wildland fire. Fire management in the United States., John Wiley & Sons, New York, NY, USA,
  1984.





- Rabin, S. S., Melton, J. R., Lasslop, G., Bachelet, D., Forrest, M., Hantson, S., Kaplan, J. O., Li, F., Mangeon, S., Ward, D.
  S., Yue, C., Arora, V. K., Hickler, T., Kloster, S., Knorr, W., Nieradzik, L., Spessa, A., Folberth, G. A., Sheehan, T.,
  Voulgarakis, A., Kelley, D. I., Prentice, I. C., Sitch, S., Harrison, S., and Arneth, A.: The Fire Modeling Intercomparison
  Project (FireMIP), phase 1: experimental and analytical protocols with detailed model descriptions, 10, 1175–1197,
  https://doi.org/10.5194/gmd-10-1175-2017, 2017.
- 760 Radeloff, V. C., Helmers, D. P., Kramer, H. A., Mockrin, M. H., Alexandre, P. M., Bar-Massada, A., Butsic, V., Hawbaker,
- 761 T. J., Martinuzzi, S., Syphard, A. D., and Stewart, S. I.: Rapid growth of the US wildland-urban interface raises wildfire risk,
- 762 PNAS, 115, 3314–3319, https://doi.org/10.1073/pnas.1718850115, 2018.
- Randerson, J. T., Chen, Y., Werf, G. R. van der, Rogers, B. M., and Morton, D. C.: Global burned area and biomass burning
   emissions from small fires, 117, https://doi.org/10.1029/2012JG002128, 2012.
- Rap, A., Scott, C. E., Spracklen, D. V., Bellouin, N., Forster, P. M., Carslaw, K. S., Schmidt, A., and Mann, G.: Natural aerosol
   direct and indirect radiative effects, 40, 3297–3301, https://doi.org/10.1002/grl.50441, 2013.
- Seiler, W. and Crutzen, P. J.: Estimates of gross and net fluxes of carbon between the biosphere and the atmosphere from
  biomass burning, Climatic Change, 2, 207–247, https://doi.org/10.1007/BF00137988, 1980.
- Shepard, D.: A two-dimensional interpolation function for irregularly-spaced data, in: Proceedings of the 1968 23rd ACM
   national conference, New York, NY, USA, 517–524, https://doi.org/10.1145/800186.810616, 1968.
- 771 Spracklen, D. V., Mickley, L. J., Logan, J. A., Hudman, R. C., Yevich, R., Flannigan, M. D., and Westerling, A. L.: Impacts
- of climate change from 2000 to 2050 on wildfire activity and carbonaceous aerosol concentrations in the western United States,
  - 773 114, https://doi.org/10.1029/2008JD010966, 2009.
  - Stephens, S. L.: Forest fire causes and extent on United States Forest Service lands, Int. J. Wildland Fire, 14, 213,
     https://doi.org/10.1071/WF04006, 2005.
  - Stowell, J. D., Geng, G., Saikawa, E., Chang, H. H., Fu, J., Yang, C.-E., Zhu, Q., Liu, Y., and Strickland, M. J.: Associations
    of wildfire smoke PM2.5 exposure with cardiorespiratory events in Colorado 2011–2014, Environment International, 133,
    105151, https://doi.org/10.1016/j.envint.2019.105151, 2019.
  - Syphard, A. D., Radeloff, V. C., Keuler, N. S., Taylor, R. S., Hawbaker, T. J., Stewart, S. I., Clayton, M. K., Syphard, A. D.,
    Radeloff, V. C., Keuler, N. S., Taylor, R. S., Hawbaker, T. J., Stewart, S. I., and Clayton, M. K.: Predicting spatial patterns of
    fire on a southern California landscape, Int. J. Wildland Fire, 17, 602–613, https://doi.org/10.1071/WF07087, 2008.
  - 782 Thomas, D. S., Butry, D. T., Gilbert, S. W., Webb, D. H., and Fung, J. F.: The Costs and Losses of Wildfires, 2017.
  - Thonicke, K., Spessa, A., Prentice, I. C., Harrison, S. P., Dong, L., and Carmona-Moreno, C.: The influence of vegetation, fire
    spread and fire behaviour on biomass burning and trace gas emissions: results from a process-based model, 7, 1991–2011,
    https://doi.org/10.5194/bg-7-1991-2010, 2010.
  - Trouet, V., Taylor, A. H., Carleton, A. M., and Skinner, C. N.: Interannual variations in fire weather, fire extent, and synopticscale circulation patterns in northern California and Oregon, 95, 349–360, https://doi.org/10.1007/s00704-008-0012-x, 2009.
  - Urbieta, I. R., Zavala, G., Bedia, J., Gutiérrez, J. M., Miguel-Ayanz, J. S., Camia, A., Keeley, J. E., and Moreno, J. M.: Fire activity as a function of fire-weather seasonal severity and antecedent climate across spatial scales in southern Europe and Device activity and antecedent climate across spatial scales in southern Europe and Device activity and antecedent climate across spatial scales in southern Europe and Device activity and antecedent climate across spatial scales in southern Europe and Device activity activity activity activity activity activity activity and antecedent climate across spatial scales in southern Europe and Device activity activi
  - 790 Pacific western USA, Environ. Res. Lett., 10, 114013, https://doi.org/10.1088/1748-9326/10/11/114013, 2015.





- Vicente-Serrano, S. M., Beguería, S., and López-Moreno, J. I.: A Multiscalar Drought Index Sensitive to Global Warming:
   The Standardized Precipitation Evapotranspiration Index, 23, 1696–1718, https://doi.org/10.1175/2009JCLI2909.1, 2010.
- Wang, S. S.-C. and Wang, Y.: Quantifying the effects of environmental factors on wildfire burned area in the south central US
   using integrated machine learning techniques, 20, 11065–11087, https://doi.org/10.5194/acp-20-11065-2020, 2020.
- Wang, S. S.-C., Qian, Y., Leung, L. R., and Zhang, Y.: Identifying Key Drivers of Wildfires in the Contiguous US Using
  Machine Learning and Game Theory Interpretation, 9, e2020EF001910, https://doi.org/10.1029/2020EF001910, 2021.
- Wang, S.-C., Wang, Y., Estes, M., Lei, R., Talbot, R., Zhu, L., and Hou, P.: Transport of Central American Fire Emissions to
  the U.S. Gulf Coast: Climatological Pathways and Impacts on Ozone and PM2.5, 123, 8344–8361,
  https://doi.org/10.1029/2018JD028684, 2018.
- Wang, Y., Xie, Y., Cai, L., Dong, W., Zhang, Q., and Zhang, L.: Impact of the 2011 Southern U.S. Drought on Ground-Level
  Fine Aerosol Concentration in Summertime, 72, 1075–1093, https://doi.org/10.1175/JAS-D-14-0197.1, 2015.
- Ward, D. S., Kloster, S., Mahowald, N. M., Rogers, B. M., Randerson, J. T., and Hess, P. G.: The changing radiative forcing
  of fires: global model estimates for past, present and future, 12, 10857–10886, https://doi.org/10.5194/acp-12-10857-2012,
  2012.
- 805 Wear, D. N. and Greis, J. G.: The Southern Forest Futures Project: technical report, 178, 1–542, 2013.
- Wei, Y., Liu, S., Huntzinger, D. N., Michalak, A. M., Viovy, N., Post, W. M., Schwalm, C. R., Schaefer, K., Jacobson, A. R.,
  Lu, C., Tian, H., Ricciuto, D. M., Cook, R. B., Mao, J., and Shi, X.: The North American Carbon Program Multi-scale Synthesis
  and Terrestrial Model Intercomparison Project Part 2: Environmental driver data, 7, 2875–2893,
  https://doi.org/10.5194/gmd-7-2875-2014, 2014.
- van der Werf, G. R., Randerson, J. T., Giglio, L., van Leeuwen, T. T., Chen, Y., Rogers, B. M., Mu, M., van Marle, M. J. E.,
  Morton, D. C., Collatz, G. J., Yokelson, R. J., and Kasibhatla, P. S.: Global fire emissions estimates during 1997–2016, 9,
  697–720, https://doi.org/10.5194/essd-9-697-2017, 2017.
- Williams, A. P., Seager, R., Macalady, A. K., Berkelhammer, M., Crimmins, M. A., Swetnam, T. W., Trugman, A. T.,
  Buenning, N., Noone, D., McDowell, N. G., Hryniw, N., Mora, C. I., and Rahn, T.: Correlations between components of the
  water balance and burned area reveal new insights for predicting forest fire area in the southwest United States, Int. J. Wildland
  Fire, 24, 14–26, https://doi.org/10.1071/WF14023, 2015.
- 817 Williams, A. P., Abatzoglou, J. T., Gershunov, A., Guzman-Morales, J., Bishop, D. A., Balch, J. K., and Lettenmaier, D. P.: 818 Observed Impacts of Anthropogenic Climate Change on Wildfire in California, 7, 892-910, 819 https://doi.org/10.1029/2019EF001210, 2019.
- Xia, Y., Mitchell, K., Ek, M., Sheffield, J., Cosgrove, B., Wood, E., Luo, L., Alonge, C., Wei, H., Meng, J., Livneh, B.,
  Lettenmaier, D., Koren, V., Duan, Q., Mo, K., Fan, Y., and Mocko, D.: Continental-scale water and energy flux analysis and
  validation for the North American Land Data Assimilation System project phase 2 (NLDAS-2): 1. Intercomparison and
  application of model products, 117, https://doi.org/10.1029/2011JD016048, 2012.
- Yue, X., Mickley, L. J., Logan, J. A., and Kaplan, J. O.: Ensemble projections of wildfire activity and carbonaceous aerosol concentrations over the western United States in the mid-21st century, Atmospheric Environment, 77, 767–780, https://doi.org/10.1016/j.atmosenv.2013.06.003, 2013.





Yue, X., Mickley, L. J., and Logan, J. A.: Projection of wildfire activity in southern California in the mid-twenty-first century,
Clim Dyn, 43, 1973–1991, https://doi.org/10.1007/s00382-013-2022-3, 2014.

Zhong, S., Yu, L., Heilman, W. E., Bian, X., and Fromm, H.: Synoptic weather patterns for large wildfires in the northwestern
United States—a climatological analysis using three classification methods, 76, https://doi.org/10.1007/s00704-020-03235-y,
2020.

Zou, Y., Wang, Y., Qian, Y., Tian, H., Yang, J., and Alvarado, E.: Using CESM-RESFire to understand climate–fire–
ecosystem interactions and the implications for decadal climate variability, 20, 995–1020, https://doi.org/10.5194/acp-20-9952020, 2020.

- 835
- 836
- 050
- 837
- 838

#### 839 **Table 1.** Advantages and limitations of different types of fire models

	Representative method	Advantages	Limitations
Data-driven model	Multiple Linear regression	1. Computationally efficient	1. It cannot capture the non-
	(MLR)	2. Simple model	linear relationships between fires
		3. It is easy to interpret	and predictors
			2. It assumes that the predictor
			variables are independent
			3. It is sensitive to outliers
	Machine learning method	1. Computationally cheap	1. It requires a lot of training data
	(e.g., neural network,	2. The performance improves	2. It is relatively hard to interpret
	decision tree etc.)	when more training data are	3. The interactions between fires
		included	and vegetation/atmosphere
		3. It can easily handle multi-	cannot be updated to the model
		dimensional data	
Process-based model	Dynamic global vegetation	1. Physics-driven	1. Computationally expensive
	model (DGVM)	2. The simulations can include	2. The same parameterization
		feedbacks between fires and	may not be applied to all regions
		climate or vegetation	3. It only parameterizes the
			known processes or phenomena

840





## 842

# 843 Table 2. Predictor variables used in the ML model

Variables	Abbreviation	Categories	Temporal resolution	Spatial resolution	Data Source	References
Monthly mean surface temperature	temp	Local meteorology	monthly	32 km	North American Reanalysis (NARR)	Mesinger et al. (2006)
Monthly mean relative humidity	RH	Local meteorology	monthly	32 km	North American Reanalysis (NARR)	Mesinger et al. (2006)
Monthly mean of daily precipitation	precip	Local meteorology	monthly	32 km	North American Reanalysis (NARR)	Mesinger et al. (2006)
Monthly mean zonal component of wind speed	U	Local meteorology	monthly	32 km	North American Reanalysis (NARR)	Mesinger et al. (2006)
Monthly mean meridional component of wind speed	V f	Local meteorology	monthly	32 km	North American Reanalysis (NARR)	Mesinger et al. (2006)
Monthly Standardized Precipitation Evapotranspiration Index	SPEI x	Local meteorology	monthly	0.5°×0.5°	SPEI	Vicente- Serrano et al. (2010)
Monthly mean 1000- hour dead fuel moisture	FM1000	Local meteorology	daily	4 km	gridMET	Abatzoglou (2013)
Monthly mean energy release component	ERC	Local meteorology	daily	4 km	gridMET	Abatzoglou (2013)
Monthly mean vapor pressure deficit	VPD	Local meteorology	daily	4 km	gridMET	Abatzoglou (2013)
Monthly lightning flashes density	lightning	Local meteorology	daily	0.1°×0.1°	SWDI/NLDN	NOAA (2006); Cummins and Murphy (2009)
Monthly standard deviation of daily SVDs for northern California	SVD1_NCA and SVD2_NCA	Large-scale meteorological patterns	monthly	Regional	North American Reanalysis (NARR)	Wang et al. (2021)





Monthly standard deviation of daily SVDs for southern Rocky Mountain	SVD1_SRM and SVD2_SRM	Large-scale meteorological patterns	monthly	Regional	North American Reanalysis (NARR)	Wang et al. (2021)
Monthly standard deviation of daily SVDs for southeastern US (with 2-month lag)	SVD1_SElag2 and SVD2_SElag2	Large-scale meteorological patterns	monthly	Regional	North American Reanalysis (NARR)	Wang et al. (2021)
Monthly mean evapotranspiration	ET	Land-surface properties	monthly	0.25°×0.25°	North American Land Data Assimilation System (NLDAS-2)	Xia et al. (2012)
Monthly mean surface soil moisture	soilm	Land-surface properties	monthly	0.25°×0.25°	Global Land Data Assimilation System (GLDAS-2)	Xia et al. (2012)
Monthly mean vegetation fraction	Veg_frac	Land-surface properties	monthly	0.25°×0.25°	Global Land Data Assimilation System (GLDAS-2)	Xia et al. (2012)
Monthly mean Leaf Area Index	a LAI	Land-surface properties	8 days	500 m	MODerate resolution Imaging Spectroradiometer (MODIS); LAI classification scheme	Myneni et al. (2015)
Monthly fuel load/normalized fuel load	fuel_load/fuel_load_nor	Land-surface properties	monthly	0.9°×1.25°	Community Land Model (CLM)	Lawrence et al. (2019)
Land cover percentage	p_	Land-surface properties	Yearly	0.05°×0.05°	MODerate resolution Imaging Spectroradiometer (MODIS); LAI classification scheme	Friedl (2015)
Median Topography (slope and elevation)	Slope and elevation	Land-surface properties	Not change by time	100 km		Amatulli et al. (2018)
Gross domestic product	GDP	Socioeconomic and coordinate variables	Yearly	5 arc		Kummu et al. (2018)
Population density	Рор	Socioeconomic and coordinate variables	Yearly	30 arc	Gridded Population of the World data collection (GPW v4)	CIESIN- Columbia University (2017)





- 845
- 846

847 Table 3. The ML model performance for different regions: western forest area, Mediterranean California, southwestern US, 848 and southeastern US

	Western	Mediterranean		Sout	Southwestern US		outheastern	Whole US	
	forest area	Calife	ornia			U	S		
Grid scale (individual g	grid)								
RMSE (km <sup>2</sup> )	0.29	0.32		0.10		0.	02	0.16	
Correlation (r)	0.79	0.51		0.76		0.84		0.75	
IoA	0.86	0.60		0.85		0.90		0.84	
Percentage of grids with correlation $> 0.4$ (%)	68	47		52		80		74	
Regional scale (summa	tion over the	region)							
RMSE (km <sup>2</sup> )	37.80	13.94	1	2.76		3.	37	49.98	
Correlation (r)	0.98	0.81		0.94		0.	97	0.97	
	0.98	0.81	0.81		0.95		98	0.97	
Table 4. The model perfor			del and Fire						
Table 4. The model performance	rmance for the		del and Fire CTEM		LPJ-SPI	LPJ-	LPJ-SIM	ORCHIDEE	JULES
Table 4. The model perform	rmance for the	e ML mo		MIP models		LPJ- Glob			JULES
Table 4. The model perform	rmance for the ML C model	e ML mo LM	CTEM	MIP models JSBACH					JULES
Table 4. The model performed         Total amounts of fire PM2.5         emissions over 2000-	rmance for the ML C model 2.5 emissions (	e ML mo LM	CTEM	MIP models JSBACH					JULES 33.43
Table 4. The model performed perfor	rmance for the ML C model 2.5 emissions ( 8.33 16	e ML mo ELM Tg=10 <sup>12</sup> g 6.54	CTEM (GFED: 4. 41.50	MIP models JSBACH 89 Tg) 19.92	LPJ-SPI	Glob	LPJ-SIM	ORCHIDEE	
Table 4. The model performanceTotal amounts of fire PM2.5Total fire PM2.5emissions over 2000-2012 (Tg)Correlation of interannua	rmance for the ML C model 2.5 emissions ( 8.33 16	e ML mo ELM Tg=10 <sup>12</sup> g 6.54	CTEM (GFED: 4. 41.50	MIP models JSBACH 89 Tg) 19.92	LPJ-SPI	Glob	LPJ-SIM	ORCHIDEE	33.43
Table 4. The model performanceTotal amounts of fire PM2.5Total fire PM2.5emissions over 2000-2012 (Tg)Correlation of interannua	rmance for the ML C model 2.5 emissions ( 8.33 16	<u>e ML mo</u> LM T <b>g=10<sup>12</sup> g</b> 6.54 iability fo	CTEM (GFED: 4. 41.50 r the CONU	MIP models JSBACH 89 Tg) 19.92	LPJ-SPI 16.23	Glob 79.49	LPJ-SIM 35.38	ORCHIDEE	33.43
Table 4. The model perform         Total amounts of fire PM2.5         emissions over 2000-2012 (Tg)         Correlation of interannua         Correlation	rmance for the ML C model 2.5 emissions ( 8.33 16 Il/seasonal vari 0.87/0.98 0.	<u>e ML mo</u> LM T <b>g=10<sup>12</sup> g</b> 6.54 iability fo .71/0.92	CTEM (GFED: 4. 41.50 r the CONU 0.28/0.87	MIP models JSBACH 89 Tg) 19.92 S 0.15/0.89	LPJ-SPI 16.23	Glob 79.49	LPJ-SIM 35.38	ORCHIDEE	33.43
Table 4. The model performance         Total amounts of fire PM2         Total fire PM2.5         emissions over 2000-         2012 (Tg)         Correlation of interannual         Correlation of interannual         Correlation of interannual	rmance for the ML C model 2.5 emissions ( 8.33 16 Il/seasonal vari 0.87/0.98 0.	<u>e ML mo</u> LM T <b>g=10<sup>12</sup> g</b> 6.54 iability fo .71/0.92	CTEM (GFED: 4. 41.50 r the CONU 0.28/0.87	MIP models JSBACH 89 Tg) 19.92 S 0.15/0.89	LPJ-SPI 16.23	Glob 79.49	LPJ-SIM 35.38	ORCHIDEE	0.55/0.5
Table 4. The model performance         Total amounts of fire PM2.5         emissions over 2000-2012 (Tg)         Correlation of interannua         Correlation         (interannual/seasonal)         Correlation of interannua         Western forest area	rmance for the         ML       C.         model       C. <b>2.5 emissions (</b> 8.33       16         Il/seasonal vari       0.87/0.98       0.         Il/seasonal vari       0.93/0.98       0.	e ML mo ELM Tg=10 <sup>12</sup> g 6.54 iability fo 71/0.92 iability fo	CTEM (GFED: 4. 41.50 r the CONU 0.28/0.87 r the selected	MIP models JSBACH 89 Tg) 19.92 S 0.15/0.89 d regions	LPJ-SPI 16.23 0.15/0.92	Glob 79.49 0.02/-	LPJ-SIM 35.38 0.23/0.65	ORCHIDEE 2.43 0.03/0.91	





Southwestern US	0.95/0.99	0.14/0.85	-0.26/0.62	-0.28/0.45	0.34/0.42	0.30/-	0.40/0.94	0.45/0.72	-0.07/0.69
Southeastern US	0.96/0.99	0.57/-0.27	-0.16/0.09	0.72/-0.14	0.08/0.35	0.39/-	0.18/0.68	0.16/0.13	0.36/0.01

852

853



## 854

Fig. 1. (a) The map of temporal correlation between observed and predicted PM<sub>2.5</sub> fire emission for each grid. Time series of
observed (black) and predicted (red) PM<sub>2.5</sub> fire emission average across (b) western forest area (red box in 1a), (c)
Mediterranean California (blue box), (d) southwestern US (dusty box), (e) southeastern US (pink box).







859 Fig. 2. Top 20 variables for the model based on the mean absolute SHAP value with the 95% confidence intervals.

860



**Fig. 3.** Spatial distributions of the monthly mean  $PM_{2.5}$  fire emission (g/m<sup>2</sup>/month) over 2000-2012.

863







864

**Fig. 4.** Spatial distributions of the linear regression slope for the dependence of annual mean  $PM_{2.5}$  fire emissions on annual mean RH. Only the grids with slopes that are statistically significant (p<0.05) are shown.







**Fig. 5.** Spatial distributions of the linear regression slope for the dependence of annual mean  $PM_{2.5}$  fire emissions on annual mean temperature. Only the grids with slopes that are statistically significant (p<0.05) are shown.

871







872

**Fig. 6.** (a) Seasonality and (b) interannual variability of the normalized averaged PM<sub>2.5</sub> fire emission from the GFED (black line), ML model (red line), and the FireMIP models (color lines). The PM<sub>2.5</sub> fire emissions are first averaged over CONUS and normalized by the monthly (annual) mean and standard deviation for seasonality (interannual variability) plots.







Fig. 7. Seasonality and interannual variability of the PM2.5 fire emission from the GFED (black line), ML model (red line),
and the FireMIP models (color lines) for (a, b) western forest area, (c, d) Mediterranean California, (e, f) southwestern US,
and (g, h) southeastern US.





























Fig. 10. Top panel: Spatial distributions of the annual mean PM<sub>2.5</sub> fire emission in 2011 for (a) GFED, (b) ML model, and (c)
 CLM. Bottom panel: Time series of the (d) total PM<sub>2.5</sub> fire emissions and (e) normalized PM<sub>2.5</sub> fire emission over southern US
 domain during 2011.

896



Fig. 11. Top panel: Spatial distributions of the annual mean PM<sub>2.5</sub> fire emission in 2012 for (a) GFED, (b) ML model, and (c)
CLM. Bottom panel: Time series of the (d) total PM<sub>2.5</sub> fire emissions and (e) normalized PM<sub>2.5</sub> fire emission over western US
domain during 2012.