

# 1 Land use and anthropogenic heat modulate ozone by meteorology: 2 A perspective from the Yangtze River Delta region

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9  
10 **Abstract:** With the rapid advance in urbanization, land use and anthropogenic heat (AH) dictated  
11 by human activities significantly modify the urban climate and in turn the air quality. Focusing on  
12 the Yangtze River Delta (YRD) region, a highly urbanized coastal area with severe ozone (O<sub>3</sub>)  
13 pollution, we estimate the impacts of land use and AH on meteorology and O<sub>3</sub> using the WRF-Chem  
14 model. ~~These results~~ which can enhance our understanding ~~of about~~ the formation of O<sub>3</sub> pollution in  
15 ~~these~~ rapidly developing city clusters with place-specific topography, as most of our results can be  
16 supported by previous studies conducted in other regions around the world. Regional O<sub>3</sub> pollution  
17 episodes occur frequently (~26 times per year) in the YRD from 2015 to 2019 ~~in recent years~~. These  
18 O<sub>3</sub> pollution episodes are usually in calm conditions characterized by high temperature (over 20 °C),  
19 low relative humidity (less than 80%), light wind (less than 3 m s<sup>-1</sup>) and shallow cloud cover (less  
20 than 5 okta). In this case, O<sub>3</sub> pollution belts tend to appear in the converging airflows associated  
21 with the sea and the lake breezes. On the other hand, ~~The rapid~~fast urbanization has significantly  
22 changed land use and AH in this region, which subsequently affects the meteorology and O<sub>3</sub>  
23 concentration. The largest change in land use comes from ~~the~~ urban expansion, which causes an  
24 increase in 2-m temperature (T<sub>2</sub>) by a maximum of 3 °C, an increase in planetary boundary layer  
25 height (PBLH) by a maximum of 500 m, a decrease in 10-m wind speed (WS<sub>10</sub>) by a maximum of  
26 1.5 m s<sup>-1</sup> and an increase in surface O<sub>3</sub> by a maximum of 20 μg m<sup>-3</sup>. With regard to the sea and lake  
27 breezes, the expansion of coastal cities, like Shanghai, can enhance the sea breeze circulation by ~1  
28 m s<sup>-1</sup>. During the advance of the sea breeze front inland, the updraft induced by the front makes well  
29 vertical mixing of O<sub>3</sub>. However, once the sea breeze is fully-developed in theat afternoon (~17:00

30 LT), further progression inland will be stalled. Then the O<sub>3</sub> removal by the low sea breeze will be  
31 weakened, and surface O<sub>3</sub> can be 10 μg m<sup>-3</sup> higher in the case with cities than no-cities. The  
32 expansion of lakeside cities, like such as Wuxi and Suzhou, can extend the lifetime of the lake  
33 breezes from noon to afternoon. Since the offshore flow of the lake breeze transports high O<sub>3</sub> from  
34 the land to the lake, the onshore flow brings the high O<sub>3</sub> back to the land. Surface O<sub>3</sub> in lakeside  
35 cities can increase as much as 30 μg m<sup>-3</sup>. Compared to land use, the effects of AH are relatively  
36 small. And the changes mainly appear in and around cities where AH fluxes are large. There are  
37 increases in T<sub>2</sub>, PBLH, WS<sub>10</sub> and surface O<sub>3</sub> when AH fluxes are taken into account, with  
38 the increments of approximately increment of about 0.2 °C, 75 m, 0.3 m s<sup>-1</sup> and 4 μg m<sup>-3</sup>, respectively.  
39 AH contributes largely to the urban environment, altering meteorological factors, O<sub>3</sub> concentration  
40 and urban breeze circulation, but its effect on the sea and the lake breezes seems to be limited.

41 **Key Words:** ozone; local circulations; land use; anthropogenic heat; the Yangtze River Delta;

42

## 43 1 Introduction

44 ~~Ozone (O<sub>3</sub>) is a key constituent in the atmosphere, but acts quite differently in different parts~~  
45 ~~of the atmosphere, often described as being “good up high and bad nearby”. O<sub>3</sub> in the stratosphere~~  
46 ~~helps protect life on earth from strong ultraviolet radiation. However, high O<sub>3</sub> in the troposphere is~~  
47 ~~harmful to human respiratory system (Jerrett et al., 2009), the growth of vegetation (Mills et al.,~~  
48 ~~2011) and climate (Worden et al., 2008). Therefore, tropospheric O<sub>3</sub> has long been regarded as an~~  
49 ~~important air pollutant and has received continuous attention within the last few decades.~~

50 ~~Tropospheric~~ Tropospheric O<sub>3</sub> is a secondary pollutant, mainly formed by a series of complex  
51 chemical reactions (Chameides and Walker, 1973; Xie et al., 2014) of precursor gases such as  
52 nitrogen oxides (NO<sub>x</sub>=NO+NO<sub>2</sub>) and volatile organic compounds (VOCs) in combination with  
53 sunlight. It has received continuous attention within the last few decades due to its negative effects  
54 on human respiratory system (Jerrett et al., 2009) and the growth of vegetation (Mills et al., 2011).  
55 The global average lifetime of ~~tropospheric~~ tropospheric O<sub>3</sub> is 20 to 25 days, and it will  
56 ~~decrease~~ reduce to 5 days in the boundary layer (Young et al., 2013). The relatively long lifetime of  
57 ~~tropospheric~~ O<sub>3</sub> favors regional/long-range transport, and brings huge challenges to its control  
58 (Bergin et al., 2005). O<sub>3</sub> concentrations levels considerably depend on the weather conditions  
59 because they play an important role in determining the chemistry, dispersion and removal of O<sub>3</sub>

60 (Jacob and Winner, 2009). ~~In general, e~~Elevated O<sub>3</sub> usually occurs under warm dry weather with  
61 strong sunlight, high temperature, low relative humidity and light wind speed (Zhang et al., 2015).  
62 Furthermore, weather conditions have many similarities in certain weather pattern (Buchholz et al.,  
63 2010; Zhan et al., 2019), and the main weather patterns associated with O<sub>3</sub> pollution episodes in  
64 China are tropical cyclones and continental anticyclones (Wang et al., 2017).

65 O<sub>3</sub> concentrations ~~as well as~~and meteorology in urban areas are of great concern simply  
66 ~~because urban areas have huge populations~~because urban areas have huge populations. A report  
67 from the United Nations pointed out that 69.6% of the world's population will live in cities by 2050.  
68 ~~The urbanization process has also increased urban environmental hazards (Zhang et al., 2011);~~  
69 ~~particularly in the most rapidly developing countries like China (Liu and Tian, 2010)~~. Because of  
70 historical and cultural factors, many cities have similar topography, usually along the coasts, close  
71 to mountains or in basins. For these cities, the local circulations induced by thermal contrast of the  
72 topography, such as sea-land breezes, mountain-valley breezes and lake-land breezes, have an  
73 important impact on urban air quality, ~~especially~~ under calm conditions~~weak synoptic forcing~~  
74 (Crosman and Horel, 2010). Examples can be found around the world. Ding et al. (2004) simulated  
75 the main features of sea-land breezes during a multiday episode in the Pearl River Delta (PRD)  
76 region, and found that the sea-land breezes can transport air pollutants between inland and coastal  
77 cities. Miao et al. (2015) studied the effects of mountain-valley breezes on the boundary layer  
78 structure in the Beijing-Tianjin-Hebei (BTH) region, suggesting that ~~the~~ mountain-valley breezes  
79 are vital to the vertical transport and distribution of air pollutants in Beijing. Wentworth et al. (2015)  
80 identified a causal link between lake breezes and O<sub>3</sub> in the Greater Toronto Area that the daytime  
81 O<sub>3</sub> maxima ~~were~~as 13.6-14.8 ppb higher on lake breeze days than on no-lake breeze days.

82 Ongoing urbanization~~Human activities, —such as including~~ changes in land use and  
83 anthropogenic heat (AH), ~~contribute to changes of~~can affect meteorology and atmospheric  
84 compositions at local, regional and even global scales (Fu and Liao, 2014; Park et al., 2014; Oke et  
85 al., 2017). Land use changes via urban expansion (typically from vegetation to impervious surface)  
86 directly alters the surface physical properties (e.g., albedo, surface moisture and roughness),  
87 subsequently affecting the exchange of energy, moisture and momentum, and hence impacting the  
88 urban climate and air quality (Jiang et al., 2008; Wang et al., 2009). Li et al. (2019) found that  
89 increases in thermal inertia, surface roughness and evapotranspiration due to urban expansion can

90 rise O<sub>3</sub> by 5.6 ppb in Southern California. AH is an important waste by-product of urban metabolism.  
91 Nearly all energy consumed by human activities will be dissipated as heat within Earth's land-  
92 atmosphere system (Flanner, 2009; Sailor, 2011), ~~which that~~ is then "injected" into the energy  
93 balance processes. Ryu et al. (2013a) reported that AH affects the characteristics/structures of ~~the~~  
94 boundary layer and local circulations, resulting in an increase ~~in of~~ O<sub>3</sub> by 3.8 ppb in the Seoul  
95 metropolitan area.

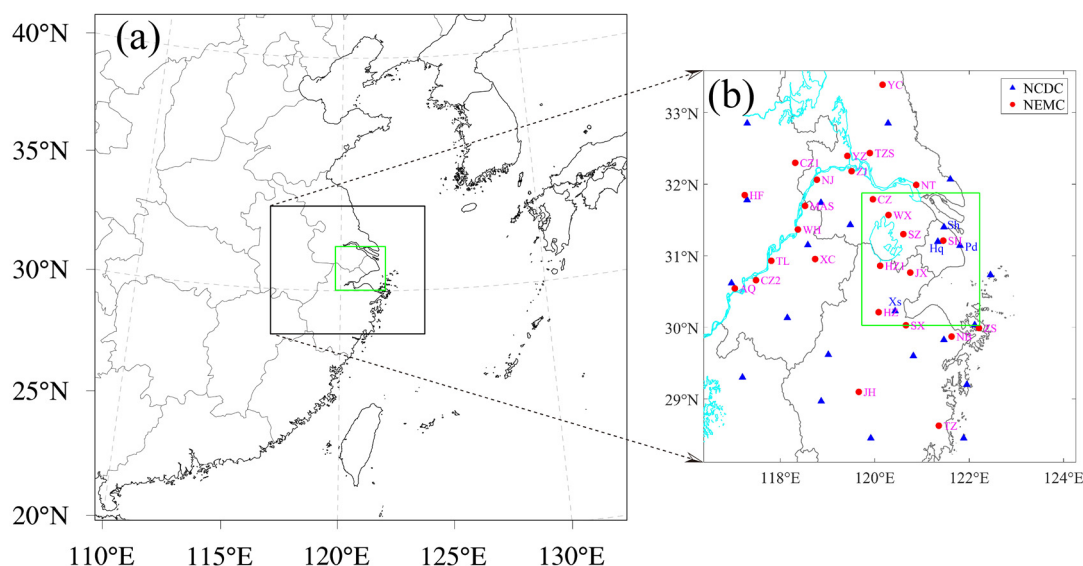
96 Previous studies usually investigated the impacts of topography, land use and AH on  
97 meteorology and air quality separately, and mainly ~~focussing~~ on a specific megacity. However,  
98 these factors can work together in near-calm conditions. Furthermore, complex interactions exist  
99 widely among ~~these~~ thermally-driven circulations, and the effects can even spread from one city to  
100 nearby areas. For example, Zhu et al. (2015) demonstrated that the meteorological conditions and  
101 air quality over Kunshan are significantly affected by Shanghai urban land surface forcing (Kunshan  
102 is located downstream of Shanghai, with a straight-line distance of ~~approximately about~~ 50 km).  
103 Given the increasing prevalence of cities, cities gradually appear in the form of clusters. Therefore,  
104 assessing the effects of land use and AH (~~the the~~-topography rarely changes.) in ~~the~~ city clusters is  
105 meaningful, which ~~also~~ helps understand the interactions between ~~the~~ urban environment and human  
106 activities.

107 The Yangtze River Delta (YRD) region, located on the western coast of the Pacific Ocean  
108 (Figure 1a), has undergone accelerated urbanization processes and rapid economic development  
109 over the past few decades. It is now one of the largest economic zones in the world. The YRD region  
110 consists of the southern part of Jiangsu Province, the northern part of Zhejiang Province and the  
111 eastern part of Anhui Province, including 26 mega/large cities such as Shanghai, Hangzhou and  
112 Nanjing (Figure 1b). With dense population and huge energy consumption, this area is now suffering  
113 from air quality deterioration (Xie et al., 2017; Zhan et al., 2020), especially the increasingly severe  
114 O<sub>3</sub> pollution in recent years (Li et al., 2020; Wang et al., 2020). Furthermore, cities with hot spots  
115 of O<sub>3</sub> ~~are~~ usually ~~concentratedeconcentrate~~ in the central YRD region, surrounding Tai Lake (Zhan  
116 et al., 2021). Numerous cities, unique topography and ~~severesever~~ O<sub>3</sub> pollution make the YRD an  
117 ideal study place.

118 In this study, the impacts of land use and AH on meteorology in the central YRD region, and  
119 how these ~~effectimpacts~~ further modulate O<sub>3</sub> are investigated using the Weather Research and

120 Forecasting model coupled to Chemistry (WRF-Chem). These results fill the knowledge gap about  
 121 the formation of O<sub>3</sub> pollution in this region and provide valuable insight for other rapidly developing  
 122 regions with complex topography in the world. The remainder of this paper is organized as follows.  
 123 Sect. 2 gives a detailed description ~~about of~~ the observation data, the model setup and the  
 124 experimental design. The main results, including the characteristics of O<sub>3</sub> pollution episodes, the  
 125 model evaluation and the changes in meteorology and O<sub>3</sub> caused by land use and AH, are presented  
 126 in Sect. 3. Summary and conclusions are given in Sect. 4.

127



128

129 **Figure 1.** (a) Three nested WRF-Chem domains; (b) ~~the~~ The locations of 26 cities (red dots) and  
 130 weather stations (blue triangles) in the YRD. The green rectangular regions represent the innermost  
 131 domain and also the central YRD region. ~~These~~ cities in (b) include: the megacity Shanghai (SH);  
 132 Hangzhou (HZ), Ningbo (NB), Jiaying (JX), Huzhou (HZ1), Shaoxing (SX), Jinhua (JH), Zhoushan  
 133 (ZS) and Taizhou (TZ) located in Zhejiang Province; Nanjing (NJ), Wuxi (WX), Changzhou (CZ),  
 134 Suzhou (SZ), Nantong (NT), Yancheng (YC), Yangzhou (YZ), Zhenjiang (ZJ) and Taizhoushi (TZS)  
 135 located in Jiangsu Province; and Hefei (HF), Wuhu (WH), Maanshan (MAS), Tongling (TL),  
 136 Anqing (AQ), Chuzhou (CZ1), Chizhou (CZ2) and Xuancheng (XC) located in Anhui Province.

137

## 138 2 Materials and methods

### 139 2.1 Surface observations

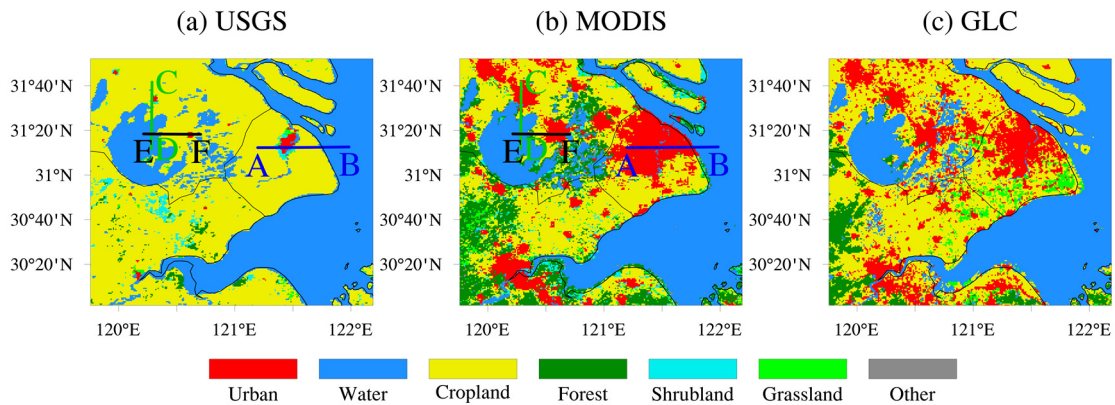
140 Hourly O<sub>3</sub> concentrations monitored by the National Environmental Monitoring Center  
141 (NEMC) of China are used in this study. These data strictly follow the national monitoring standards  
142 HJ 654-2013 and HJ 193-2013 (<http://www.cnemc.cn/jcgf/dqhi/>), and ~~are can be~~ available at  
143 <https://quotsoft.net/air/>. The nationwide observation network initially operated in 74 major cities  
144 since 2013, and it has grown to more than 1,500 stations covering 454 cities by 2017 (Lu et al.,  
145 2018). The urban hourly O<sub>3</sub> concentrations are the average results of measurements at all monitoring  
146 sites ~~in thatfor each~~ city. The ~~maximum~~ daily maximum 8-h ~~running~~ average (MDA8) O<sub>3</sub>  
147 concentrations are ~~then~~ calculated ~~using based on~~ the hourly O<sub>3</sub> concentrations for days with more  
148 than 18-h measurements ~~in the day~~ (Liao et al., 2017).

149 Meteorological data are ~~taken from provided by~~ the National Climatic Data Center (NCDC),  
150 including 2-m air temperature (T<sub>2</sub>), relative humidity (RH), 10-m wind speed (WS<sub>10</sub>) and direction  
151 (WD<sub>10</sub>) and cloud cover (CC). These data as well as the technical documents recording the quality  
152 control, data collection and archive ~~can be are~~ available at [ftp://ftp.ncdc.noaa.gov/pub/data/noaa/isd-](ftp://ftp.ncdc.noaa.gov/pub/data/noaa/isd-lite/)  
153 [lite/](ftp://ftp.ncdc.noaa.gov/pub/data/noaa/isd-lite/). Locations of the ~~surface observation weather~~ stations are shown in Figure 1b. Specifically, the  
154 ~~meteorological weather~~ stations in the innermost domain ~~include are~~ Pudong (Pd), Shanghai (Sh),  
155 Hongqiao (Hq) and Xiaoshan (Xs).

## 156 2.2 MODIS-based and USGS land use classifications

157 To explore the effects of land use ~~on meteorology and O<sub>3</sub> in the YRD~~, two land use categories  
158 defaulted in WRF are used to set up the first two ~~scenario scenarios~~ simulations (Table 2). The  
159 MODIS-based land cover product was created from 500-m MODIS Terra and Aqua satellite imagery  
160 (Friedl et al., 2010), and replaced USGS as the default settings in WRF since version 3.8. The USGS  
161 data ~~were~~ primarily derived from the Advanced Very High Resolution Radiometer (AVHRR) from  
162 1992 to 1993 at 1-km spatial resolution (Loveland et al., 2000), which reflects the distribution of  
163 cities in the late 1980s. Figure 2 presents the land cover maps in the innermost domain. The most  
164 obvious difference between MODIS and USGS ~~is comes from~~ the urban fraction, which is related  
165 to the urban expansion caused by rapid urbanization ~~in recent decades in the YRD~~. In addition, the  
166 Finer Resolution Observation and Monitoring-Global Land Cover in 2015 (GLC), ~~which is~~ one of  
167 the latest (2015) and finest (30-m) land cover datasets (Gong et al., 2019), is quite consistent with  
168 the performance of MODIS in this region. This confirms that the urban fraction in MODIS is close  
169 to ~~the~~ reality. Thus, the MODIS data can generally refer to today's distribution of cities.

170



171

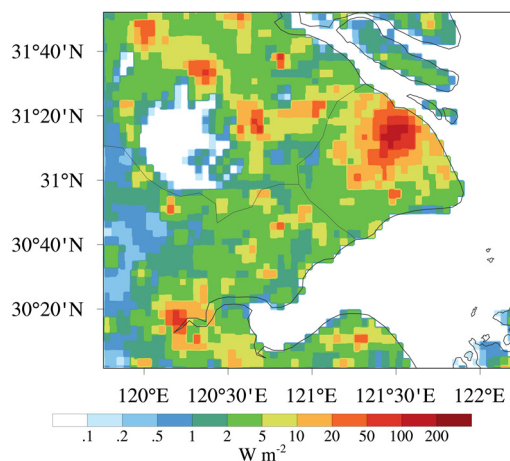
172 **Figure 2.** Land cover maps in the innermost domain, ~~including the result of~~with (a) USGS, (b)  
173 MODIS, and (c) GLC datasets.

174

### 175 2.3 Anthropogenic heat flux modeling

176 Another scenario simulation incorporates the urban canopy model with ~~the~~ gridded AH fluxes  
177 to diagnose the impact of AH. The AH fluxes were calculated based on the ~~statistics~~ statistical data  
178 of energy consumption of China in 2016, and then were grided as 144 rows and 144 columns with  
179 a resolution ~~of~~ at 2.5° using population density downloaded from Columbia University's  
180 Socioeconomic Data and Applications Center. AH fluxes with their diurnal variations are considered  
181 by adding them to the sensible heat flux from the urban canopy layer within the Single Layer Urban  
182 Canopy Model (SLUCM). The AH fluxes for each grid are determined by the fixed AH value for  
183 the urban land use category, the urban fraction value on each grid and the fixed temporal diurnal  
184 pattern. Details on the calculation of AH fluxes, and how to add AH fluxes into the model can ~~refer~~  
185 ~~to be found in~~ Xie et al. (2016a, b). Figure 3 gives the spatial distribution of AH fluxes in the  
186 innermost domain. In ~~the~~ urban — areas, the AH fluxes usually exceed 20 W m<sup>-2</sup>. Some megacities,  
187 like Shanghai, can have an value of AH flux value as high as 200 W m<sup>-2</sup>. Except for the urban areas,  
188 the AH fluxes are generally less than 5 W m<sup>-2</sup> in most parts of the YRD region. In particular, in ~~those~~  
189 places where there is no human activity, the AH flux is 0.

190



191  
192 **Figure 3.** Spatial distribution of anthropogenic heat fluxes in the innermost domain.

193  
194 **2.4 Model setup and experimental designs**

195 In this study, ~~the~~ WRF-Chem version 3.9.1 was applied. The WRF-Chem model is a fully  
 196 coupled online numerical weather prediction model with chemistry components (Grell et al., 2005),  
 197 in which ~~\_~~chemical and ~~the~~ meteorological variables use the same coordinates, transport schemes  
 198 and physics schemes in space and time. ~~The initial and boundary conditions of meteorological fields~~  
 199 ~~are from the National Centers for Environmental Prediction (NCEP) global final analysis fields~~  
 200 ~~every 6 h with a spatial resolution of  $1^\circ \times 1^\circ$ .~~ There are 32 vertical levels extending from the surface  
 201 to 100 hPa with 12 levels located below 2 km to resolve the boundary layer processes. ~~Furthermore,~~  
 202 ~~†~~The domain and options for physical and chemical parameterization schemes are summarized in  
 203 Table 1. The initial and boundary conditions of meteorological fields are from the National Centers  
 204 for Environmental Prediction (NCEP) global final analysis fields every 6 h at  $1^\circ \times 1^\circ$  resolution.  
 205 The anthropogenic emissions are provided by the Multiresolution Emission Inventory for China  
 206 (MEIC) in 2017 with a resolution of  $0.25^\circ$  (<http://meicmodel.org/>), which includes 10 air pollutants  
 207 and  $\text{CO}_2$  from power, industry, residential, transportation and agriculture sectors. The biogenic  
 208 emissions are calculated online using the Model of Emissions of Gases and Aerosols from Nature  
 209 (MEGAN) available in WRF-Chem (Guenther et al., 2006). As our main objective is to explore the  
 210 response of  $\text{O}_3$  to the meteorological changes induced by land use and AH, we use the same surface  
 211 biogenic emission rates for different land use scenarios (Li et al., 2014, 2017). Further studies will  
 212 be carried out to quantify the contribution of biogenic volatile organic compounds changed by  
 213 meteorological conditions to  $\text{O}_3$ .



214

215 **Table 1.** The domains and major options for WRF-Chem.

Items	Contents
Dimensions (x, y)	(101, 96), (146, 121), (236, 206)
Grid spacing (km)	25, 5, 1
Time step (s)	75
Microphysics	Purdue Lin microphysics scheme (Chen and Sun, 2002)
Longwave radiation	RRTM scheme (Mlawer et al., 1997)
Shortwave radiation	Goddard scheme (Kim and Wang, 2011)
Surface layer	Revised MM5 Monin-Obukhov scheme
Land-surface layer	Noah land-surface model (Chen and Dudhia, 2001)
Planetary boundary layer	YSU scheme (Hong et al., 2006)
Cumulus parameterization	Grell 3D ensemble scheme (Grell and Devenyi, 2002)
Gas-phase chemistry	RADM2 (Stockwell et al., 1990)
Photolysis scheme	Fast-J photolysis (Fast et al., 2006)
Aerosol module	MADE/SORGAM (Schell et al., 2001)

216

217 As shown in Table 2, three numerical experiments are performed. The MODIS\_noAH  
 218 experiment is a control simulation with commonly used settings. Compared with MODIS\_noAH,  
 219 USGS\_noAH selects the USGS land use classification at ~~run-time~~runtime through the geogrid  
 220 program. Thus, the difference between the modeling results of MODIS\_noAH and USGS\_noAH  
 221 can illustrate the changes caused by land use. ~~As for~~The impact of AH, ~~it~~ can be identified by  
 222 comparing the modeling results of MODIS\_AH and MODIS\_noAH because AH is only added in  
 223 MODIS\_AH. To exclude the uncertainty conceivably caused by different configurations, all three  
 224 simulations use the same emission inventory, physical and chemical parameterization schemes  
 225 (Table 1), running from 00:00 UTC 21 May to 00:00 UTC 4 June 2017 with the first 88 h as spin-  
 226 up time.

227

228 **Table 2.** The three numerical experiments.

Scenario	Land use classification	Whether to add AH
MODIS_noAH	MODIS-based	No
USGS_noAH	USGS	No
MODIS_AH	MODIS-based	Yes

229

## 230 2.5 Model evaluation

231 To verify the model performance, the simulation results in the innermost domain, including O<sub>3</sub>  
 232 concentration, T<sub>2</sub>, RH, WS<sub>10</sub> and WD<sub>10</sub> are examined against the surface observations described in  
 233 Sect. 2.1. The statistical metrics, including the mean bias (MB), root mean square error (RMSE) and  
 234 correlation coefficient (COR), are also calculated. They are defined as follows:

$$235 \quad MB = \frac{1}{N} \sum_{i=1}^N (S_i - O_i), \quad (1)$$

$$236 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (S_i - O_i)^2}, \quad (2)$$

$$237 \quad COR = \frac{\sum_{i=1}^N (S_i - \bar{S})(O_i - \bar{O})}{\sqrt{\sum_{i=1}^N (S_i - \bar{S})^2} \sqrt{\sum_{i=1}^N (O_i - \bar{O})^2}}, \quad (3)$$

238 where  $S_i$  and  $O_i$  are the simulations and observations, respectively.  $N$  is the total amount of valid  
 239 data, and  $\bar{S}$  and  $\bar{O}$  represent the average of simulations and observations, respectively. Generally,  
 240 the model performance is acceptable if the values of  $MB$  and  $RMSE$  are close to 0, and that of  $COR$   
 241 is close to 1 (Xie et al., 2016a, b; Zhan et al., 2020).

242

## 243 3 Results and discussions

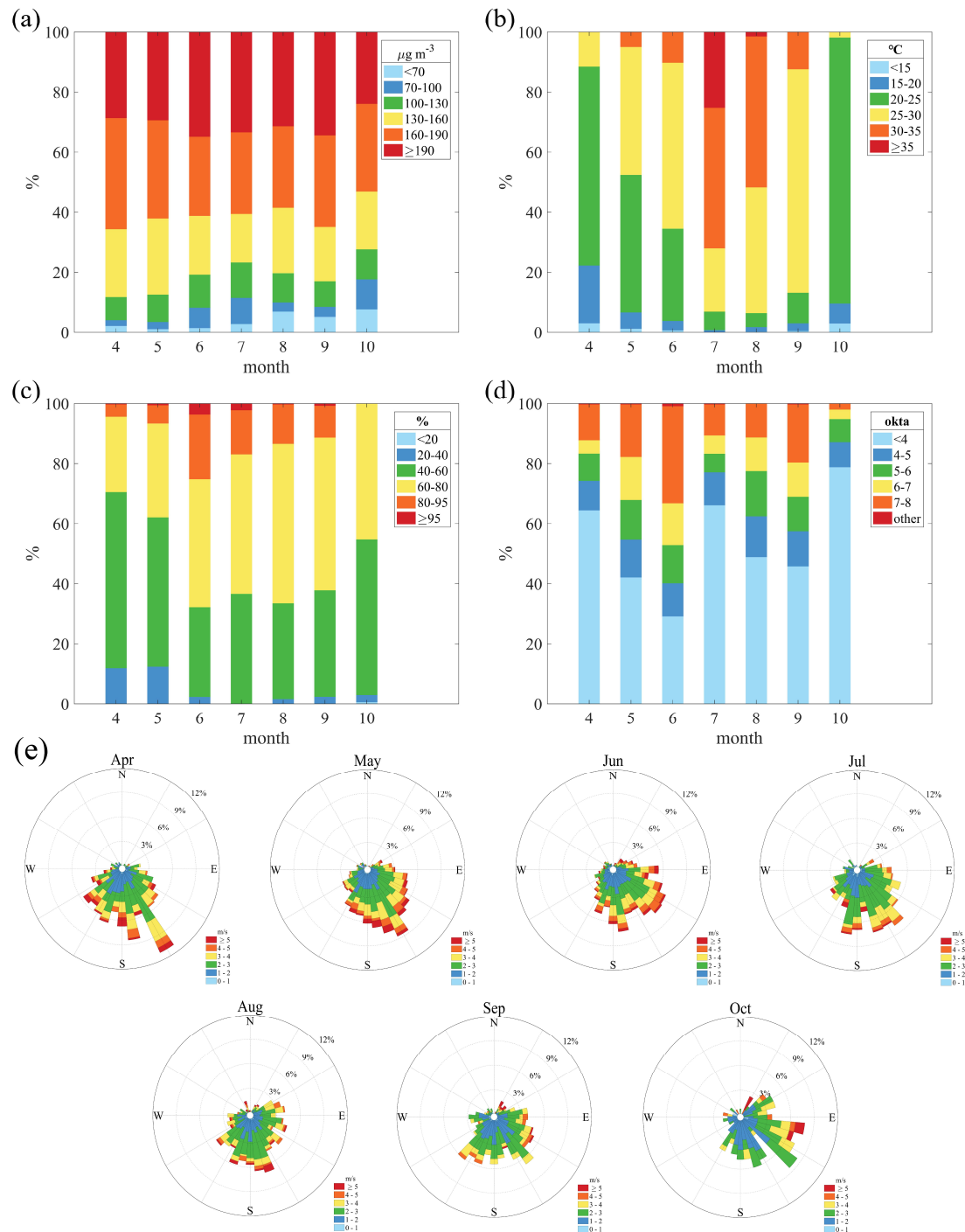
### 244 3.1 Regional O<sub>3</sub> pollution episodes in the YRD

245 On cloudless sunny days, regional O<sub>3</sub> pollution episodes occur frequently in the YRD (Gao et  
 246 al., 2020; Zhan et al., 2021), ~~affecting~~ ~~which can affect~~ an area of ~~up to~~ 3.5 million square  
 247 kilometers and ~~harming~~ more than 200 million people. ~~The regional~~ Regional O<sub>3</sub> pollution is  
 248 generally defined as when more than half of the 26 typical cities in the YRD fail to meet the national  
 249 O<sub>3</sub> standard (~~In~~ in China, the national ambient air quality standard for MDA8 O<sub>3</sub> is 160 μg m<sup>-3</sup>).

250 Based on the surface O<sub>3</sub> observations, we sort out all regional O<sub>3</sub> pollution episodes and the  
251 corresponding weather patterns from 2015 to 2019 (Table S1). There were 20, 19, 34, 28 and 30  
252 regional O<sub>3</sub> pollution cases in the YRD from 2015 to 2019, respectively. These cases mainly  
253 occurred in April to October of each year, and were usually related to high pressure, uniform  
254 pressure ~~field-fields~~ and typhoon activity.

255 Figure 4 further displays the monthly distribution of meteorological factors during the daytime  
256 (from 8:00 to 20:00 local time) when regional O<sub>3</sub> pollution occurs in the YRD. All the variables  
257 show significant monthly variations. The highest (lowest) temperature is found in July (April), and  
258 the relative humidity is highest in June. As for the cloud cover, the sky is covered with fewer clouds  
259 in October than in other months. In addition, southeast winds ~~s prevails-prevail~~ in the YRD from April  
260 to October under the influence of the monsoon climate. The correlation coefficients between  
261 temperature, relative humidity, cloud cover, wind speed and MDA8 O<sub>3</sub> are 0.12, -0.34, -0.15 and  
262 0.04, respectively. Therefore, O<sub>3</sub> pollution episodes tend to occur on days characterized by high  
263 temperature, low relative humidity, cloudless sky and light wind (the weak correlation between wind  
264 speed and MDA8 O<sub>3</sub> is due to the small change in light wind). More specifically, on days when the  
265 temperature exceeds 20 °C (Figure 4b), the relative humidity is less than 80% (Figure 4c), the cloud  
266 cover is less than 5 okta (Figure 4d), and the wind speed is less than 3 m s<sup>-1</sup> (Figure 4e) in the YRD.  
267 On the other hand, local circulations are clearest when in absence of clouds, radiative heating is  
268 strongest and wind is weakest. In this case, local circulation ~~will~~ inevitably have an impact on  
269 the ~~evolution-distribution~~ of O<sub>3</sub>.

270



271

272 **Figure 4.** The monthly distributions of (a) MDA8 O<sub>3</sub>, (b) temperature, (c) relative humidity, (d)  
 273 cloud cover, and (e) wind speed and direction during the daytime (8:00 to 20:00 LT) when regional  
 274 O<sub>3</sub> pollution occurs in the YRD.

275

## 276 3.2 Case selection

### 277 3.2.1 Case for O<sub>3</sub> pollution episode

278 For simplicity but without loss of generality, the longest-lasting regional O<sub>3</sub> pollution episode  
 279 is selected to investigate the impacts of land use and AH on meteorology and O<sub>3</sub> in the YRD. This  
 280 10-day regional O<sub>3</sub> pollution episode occurred from 25 May to 3 June in 2017 (Table S1).  
 281 Dominated by high pressure/uniform pressure fields (Figure S1), high O<sub>3</sub> concentrations are  
 282 accompanied by high air temperature, low relative humidity, light wind and shallow cloud cover  
 283 during this smog episode. An average of 18 out of the 26 cities experienced O<sub>3</sub> pollution every day  
 284 with MDA8 O<sub>3</sub> concentrations ranging from 80.0 to 269.0 µg m<sup>-3</sup> in the YRD. With regard  
 285 to the meteorological factors, T<sub>2</sub> ranged from 12.9 to 33.5 °C, with an average of 26.4 °C; RH ranged  
 286 from 26.6 to 99.4%, with an average of 58.6%; WS<sub>10</sub> ranged from 0.5 to 10.8 m s<sup>-1</sup>, with an average  
 287 of 2.8 m s<sup>-1</sup>; CC ranged from 0 to 8.4 okta, with an average of 4.2 okta (Table 3). The values of these  
 288 meteorological factors meet the standards in the previous section and can cover both the whole  
 289 YRD and the central YRD region (the innermost domain). Therefore, this O<sub>3</sub> pollution episode not  
 290 only meets the requirements of high O<sub>3</sub> concentration concentrations but also calm weather  
 291 conditions. And the long duration also provides relatively universal results.

292

293 **Table 3.** Mean, minimum and maximum of MDA8 O<sub>3</sub>, T<sub>2</sub>, RH, WS<sub>10</sub> and CC during the daytime  
 294 from 25 May to 3 June 2017.

	The YRD region			The central YRD region		
	Mean	Minimum	Maximum	Mean	Minimum	Maximum
MDA8 O <sub>3</sub> (µg m <sup>-3</sup> )	182.1	80.0	269.0	177.8	118.0	251.0
T <sub>2</sub> (°C)	26.4	12.9	33.5	26.7	21.4	29.8
RH (%)	58.6	26.6	99.4	52.9	33.8	73.7
WS <sub>10</sub> (m s <sup>-1</sup> )	2.8	0.5	10.8	3.6	1.6	6.0
CC (okta)	4.2	0	8.4	3.3	0	7.4

295

### 296 3.2.2 Evaluation of model performance

297 To evaluate the model performance, the simulation results in the innermost domain are  
 298 validated by comparing with the observational data. Table 4 presents the statistical metrics in  
 299 meteorological factors. Figure 5 further illustrates the time series of these meteorological factors  
 300 and their modeling results. T<sub>2</sub> is reasonably well simulated as the CORs (the mean of all the sites)  
 301 are 0.87, 0.86 and 0.86 in MODIS\_noAH, USGS\_noAH and MODIS\_AH, respectively. The small  
 302 negative MBs at all sites suggest that our simulations underestimate T<sub>2</sub> to some extent,

303 ~~although~~ though this light underestimation is acceptable because of the small RMSEs (2.3, 3.1 and  
304 2.3 °C). The MBs for  $T_2$  in USGS\_noAH, MODIS\_noAH and MODIS\_AH are -2.4, -1.0, and -  
305 0.8 °C, ~~indicating an improvement in temperature when new land use and AH are taken into account.~~  
306 The smaller RMSEs and MBs indicate that the  $T_2$  simulation is improved when new land use and  
307 AH are taken into account, which may be related to the improved latent and sensible heat fluxes in  
308 models (De Meij and Vinuesa, 2014). ~~The improvement is~~ can also be confirmed by Figure 5a. With  
309 respect to RH, the CORs are 0.82, 0.75 and 0.83 ~~infor~~ MODIS\_noAH, USGS\_noAH and  
310 MODIS\_AH, respectively. Thus, all three simulations can ~~well~~ capture the diurnal variation of RH  
311 ~~well~~, but have different performances ~~at on~~ different sites ~~(Figure 5b)~~. In USGS\_noAH, RH is  
312 overestimated at all sites, especially the Pudong site with ~~an~~ the MB ~~of is~~ 11.2%. While RH is  
313 overestimated at the two coastal sites (Pudong and Shanghai) but underestimated at other two sites  
314 (Hongqiao and Xiaoshan) in MODIS\_noAH and MODIS\_AH. Moreover, USGS\_noAH has the  
315 highest RMSEs of RH (16.3%), followed by MODIS\_AH (12.4%) and MODIS\_noAH (12.1%).  
316 Among the three experiments, the simulation result of RH in USGS\_noAH is the worst (Figure 5b).  
317 As for  $WS_{10}$ , the modeling values are slightly overestimated at all sites in all three simulations. The  
318 overestimation of  $WS_{10}$  may partly be attributed to the unresolved terrain features by the default  
319 surface drag parameterization causing an overestimation of wind speed especially at low values  
320 (Jimenez and Dudhia, 2012). In particular,  $WS_{10}$  in USGS\_noAH is the most overestimated,  
321 followed by MODIS\_AH and MODIS\_noAH with ~~the~~ MBs ~~of are~~ 1.2, 1.0 and 0.8  $m s^{-1}$ , respectively.  
322 In addition, high MBs of  $WS_{10}$  ~~are corresponding~~ correspond to high RMSEs (1.9, 1.8 and 1.7  $m s^{-1}$ )  
323 <sup>1)</sup> in our simulations. The MODIS-based land cover shows a larger urban fraction, and thereby leads  
324 to more friction and higher roughness than the USGS dataset. In this case, the overestimation of  
325  $WS_{10}$  is somewhat neutralized to fit the observations. In terms of  $WD_{10}$ , the model captures well the  
326 shift in wind direction during the study period (Figure 5d). ~~Thus, our modeling results of wind speed~~  
327 ~~and direction basically reflect the characteristics of wind fields.~~ In summary, both the statistical  
328 metrics in Table 4 and the time series in Figure 5 indicate that all three numerical experiments can  
329 capture the major changes ~~in about~~ meteorological factors during this  $O_3$  pollution episode.  
330 Nevertheless, updating the land use and adding AH can ~~somewhat~~ reduce the underestimation of  $T_2$   
331 and the overestimation of RH and  $WS_{10}$  in models. Benefitting from the development of computers,  
332 numerical models grow more complex, and the resolution requirements are higher. This puts

333 forward demands on many basic parameters. An updated land cover dataset, like the GLC, contains  
334 useful information and can improve the model results (Chen et al., 2016; Wang et al., 2018). Some  
335 factors that are generally ignored in models, like the AH fluxes, should also be estimated and  
336 evaluated.–

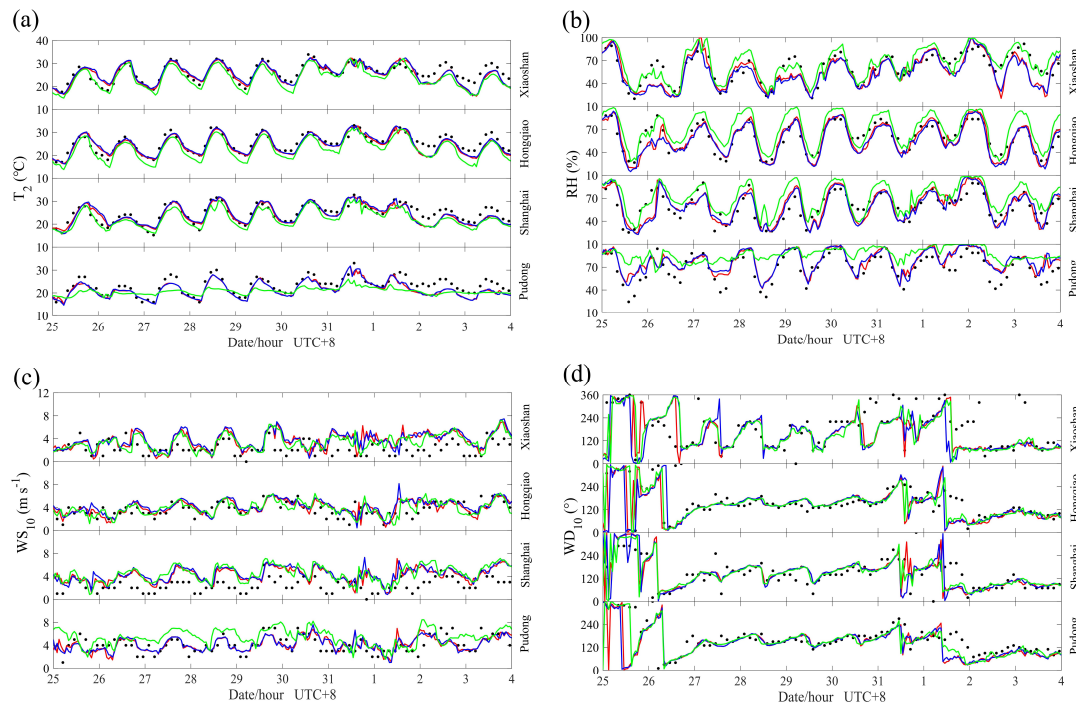
**Table 4.** Statistical metrics in meteorological variables between observations and simulations.

Variables	Site	MODIS_noAH				USGS_noAH				MODIS_AH				
		$\bar{O}^a$	$\bar{S}^b$	MB <sup>c</sup>	RMSE <sup>d</sup>	COR <sup>e</sup>	$\bar{S}$	MB	RMSE	COR	$\bar{S}$	MB	RMSE	COR
T <sub>2</sub> (°C)	Pd	23.2	21.5	-1.7	2.4	0.89	20.7	-2.5	3.8	0.70	21.5	-1.7	2.4	0.89
	Sh	24.6	23.9	-0.7	2.2	0.87	22.5	-2.1	2.7	0.90	24.2	-0.5	2.3	0.84
	Hq	25.3	24.4	-0.9	2.0	0.89	22.7	-2.6	3.0	0.95	24.8	-0.5	1.9	0.89
	Xs	25.9	25.1	-0.8	2.4	0.85	23.8	-2.2	2.8	0.91	25.5	-0.4	2.4	0.83
RH (%)	Pd	69.1	77.7	8.6	13.5	0.81	86.2	17.2	23.4	0.45	77.7	8.7	13.3	0.83
	Sh	59.3	60.6	1.3	11.7	0.81	71.1	11.8	16.1	0.81	59.4	0.1	12.4	0.78
	Hq	59.5	57.7	-1.8	9.8	0.88	70.6	11.1	14.5	0.89	56.2	-3.3	9.8	0.89
	Xs	60.6	55.4	-5.2	13.5	0.79	65.3	4.8	11.3	0.86	53.5	-7.1	14.1	0.80
WS <sub>10</sub> (m s <sup>-1</sup> )	Pd	4.1	4.1	0.0	1.4	0.47	5.5	1.3	2.1	0.35	4.2	0.1	1.3	0.51
	Sh	2.5	4.2	1.7	2.2	0.36	4.5	2.0	2.4	0.54	4.3	1.9	2.3	0.35
	Hq	3.7	3.9	0.2	1.2	0.54	3.9	0.2	1.2	0.53	4.2	0.5	1.3	0.50
	Xs	2.3	3.6	1.3	2.0	0.26	3.4	1.1	1.8	0.30	3.8	1.5	2.1	0.24
WD <sub>10</sub> (°)	Pd	160.4	136.1	-26.2	78.7	0.42	148.1	-14.3	55.1	0.72	137.3	-24.7	77.5	0.42
	Sh	141.6	146.4	4.8	66.4	0.60	141.7	0.1	63.9	0.59	142.6	1.0	69.9	0.56
	Hq	159.7	140.2	-23.4	80.2	0.46	153.1	-10.6	74.9	0.52	142.8	-20.4	91.8	0.29
	Xs	188.6	160.2	-28.4	99.5	0.48	161.4	-27.3	109.6	0.35	152.0	-36.6	109.9	0.38

<sup>a</sup>  $\bar{O}$  and <sup>b</sup>  $\bar{S}$  indicate the average of observations and simulations, respectively. <sup>c</sup> MB indicates the mean bias, <sup>d</sup> RMSE indicates the root mean square error and <sup>e</sup> COR

indicates the correlation coefficient, with **statistically significant at 99% confidence** **statistical significance at the 99% confidence level**.





341

342 **Figure 5.** Time series of (a)  $T_2$ , (b) RH, (c)  $WS_{10}$  and (d)  $WD_{10}$  for observations and simulations at  
 343 different weather stations. The black dots are the surface observations. The simulation results of  
 344 MODIS\_noAH, USGS\_noAH and MODIS\_AH are shown in red, green and blue lines, respectively.

345

346 Table 5 lists the statistical metrics in  $O_3$ , and Figure 6 gives the hourly variations in  $O_3$  for  
 347 observations and simulations in different cities. With high CORs (the CORs are 0.80, 0.81 and 0.80  
 348 in MODIS\_noAH, USGS\_noAH and MODIS\_AH, respectively), all three simulations well  
 349 reproduce the diurnal variation in  $O_3$ , which is that  $O_3$  concentration reaches its maximum in the  
 350 afternoon and gradually decreases to its minimum in the morning. The magnitudes of  $O_3$  modeling  
 351 results are reasonable (Figure 6), but the peak and valley values of  $O_3$  simulations are sometimes  
 352 differ from the observations, especially the peak values, like Huzhou. Considering the relatively low  
 353 MBs ( $6.9$ ,  $-1.6$  and  $9.0 \mu\text{g m}^{-3}$ ) and RMSEs ( $49.3$ ,  $46.2$  and  $49.0 \mu\text{g m}^{-3}$ ), the modeling results of  $O_3$   
 354 are generally reasonable and acceptable.

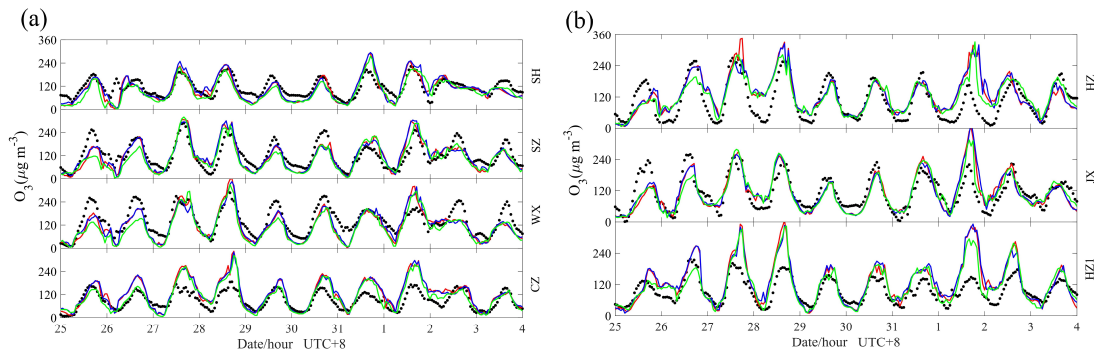
355

356 **Table 5.** Statistical metrics in  $O_3$  ( $\mu\text{g m}^{-3}$ ) between observations and simulations.

Case	Index	City						
		CZ	WX	SZ	SH	HZ1	JX	HZ

	$\bar{O}$	89.7	141.8	121.7	112.8	95.8	113.2	104.8
MODIS_noAH	$\bar{S}$	123.2	117.6	116.2	103.4	128.1	112.5	127.5
	MB	33.3	-24.2	-5.6	-9.1	32.1	-0.6	22.7
	RMSE	53.8	49.1	42.8	36.4	59.9	44.4	58.6
	COR	0.85	0.83	0.82	0.80	0.83	0.78	0.71
USGS_noAH	$\bar{S}$	108.1	106.8	107.1	93.8	118.6	111.0	122.5
	MB	18.5	-35.0	-14.7	-18.9	23.0	-2.0	18.0
	RMSE	43.5	56.0	44.7	37.7	50.1	41.1	50.0
	COR	0.83	0.81	0.80	0.81	0.82	0.80	0.77
MODIS_AH	$\bar{S}$	124.5	119.8	119.1	108.0	130.3	113.7	127.8
	MB	34.7	-21.9	-2.7	-4.6	34.3	0.6	23.0
	RMSE	53.5	47.3	42.4	37.4	59.4	44.7	58.2
	COR	0.84	0.83	0.81	0.80	0.82	0.78	0.71

357



358

359 **Figure 6.** Same as Figure 5, but for O<sub>3</sub>.

360

361 Above all, the WRF-Chem model using our configuration has a good capability in simulating  
 362 the meteorological factors and O<sub>3</sub> over the studied region. In addition, it is noteworthy that the object  
 363 of inter-comparisons between the three numerical experiments are not to determine which setting is  
 364 the most skillful in reproducing the observations. Rather, it is to diagnose and understand the  
 365 changes induced by land use and AH, and the response of O<sub>3</sub> to these changes.

366

### 367 3.3 Overall behaviors of O<sub>3</sub> and local circulations

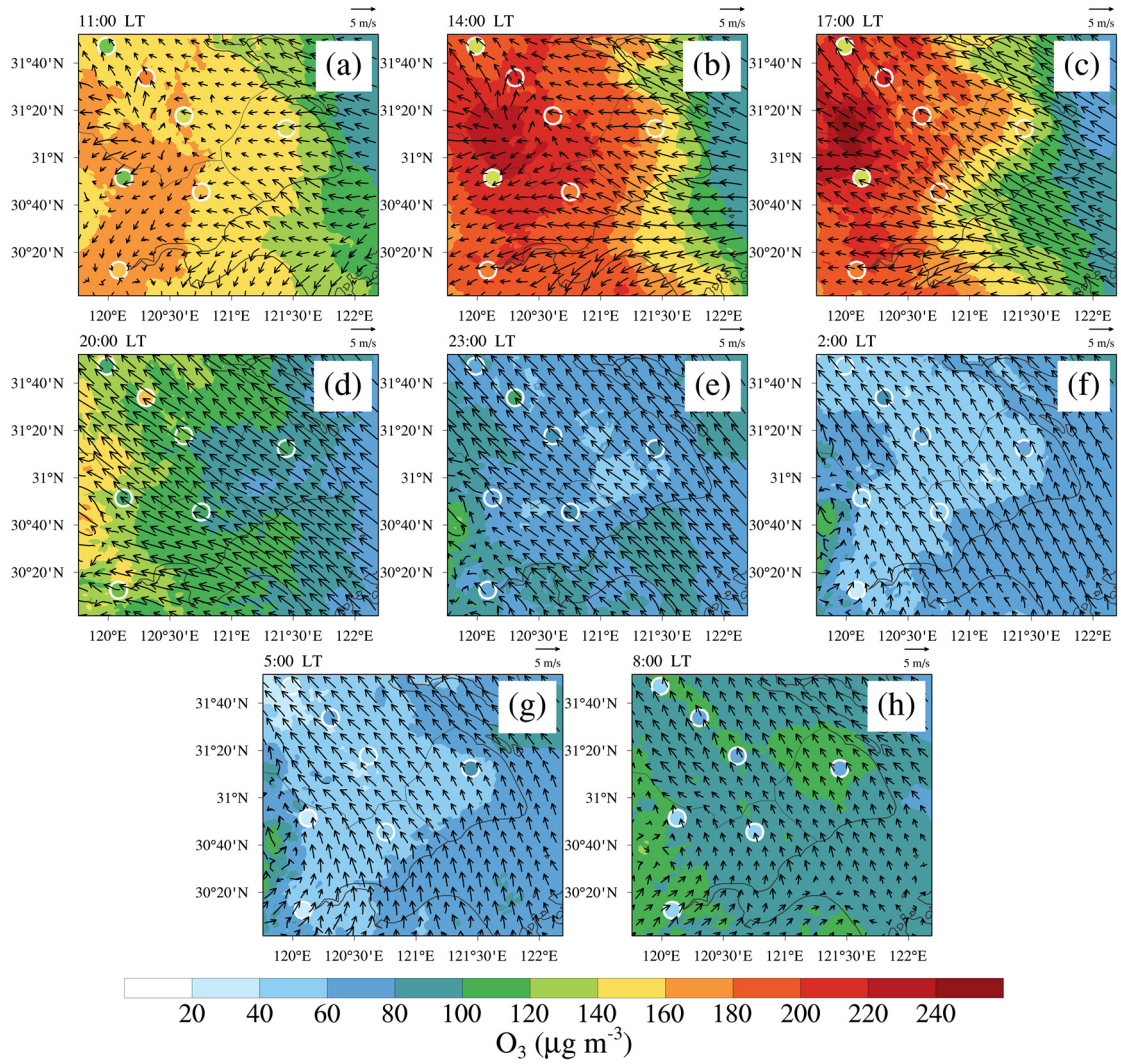
368 Based on the results of the control simulation (MODIS\_noAH), we first give an overall  
 369 behavior of O<sub>3</sub> and local circulations during the study period. Then the changes induced by land use  
 370 and AH are discussed via inter-comparisons between different numerical experiments/scenarios  
 371 simulations. Therefore/Thereby, only difference plots between USGS\_noAH/MODIS\_AH and  
 372 MODIS\_noAH are shown in this paper, and the corresponding original plots for

373 USGS\_noAH/MODIS\_AH can be found in the supplementary materials (Figure S2-7).

### 374 **3.3.1 Spatiotemporal variations of O<sub>3</sub>**

375 As ~~shown~~ in Figure 7, O<sub>3</sub> concentration began to rise around 8:00 local time (LT = UTC  
376 + 8 h); and became noticeable after only 3 hours (Figure 7a and h). During this stage, the nocturnal  
377 residual layer vanished due to the development of the convective boundary layer (Figure 8). The  
378 O<sub>3</sub>-rich air mass in the residual layer was mixed with the O<sub>3</sub>-poor air mass on the ground, which  
379 enhanced the surface O<sub>3</sub> in the morning (Hu et al., 2018). Around 11:00 LT, ~~the a~~ convective  
380 boundary layer was established (Figure 8), and high O<sub>3</sub> produced by photochemical reactions  
381 appeared over the central YRD and persisted until 18:00 LT (Figure 7b and c). After sunset, surface  
382 O<sub>3</sub> concentration generally decreased due to nitrogen oxide (NO) titration, and reached its minimum  
383 in the early morning (Figure 7f and g). In general, O<sub>3</sub> has a typical diurnal variation with high  
384 ~~concentrationseonecentration~~ in the daytime and low ~~concentrationseonecentration~~ at night. This is  
385 consistent with the observations in Figure 6, and this rule of O<sub>3</sub> can be applied to most parts of the  
386 world. Therefore, the situation during the daytime (we select 11:00, 14:00, 17:00 and 20:00 LT in  
387 this study) should be ~~paid attention to when it comes to~~ considered in regard to O<sub>3</sub> pollution.

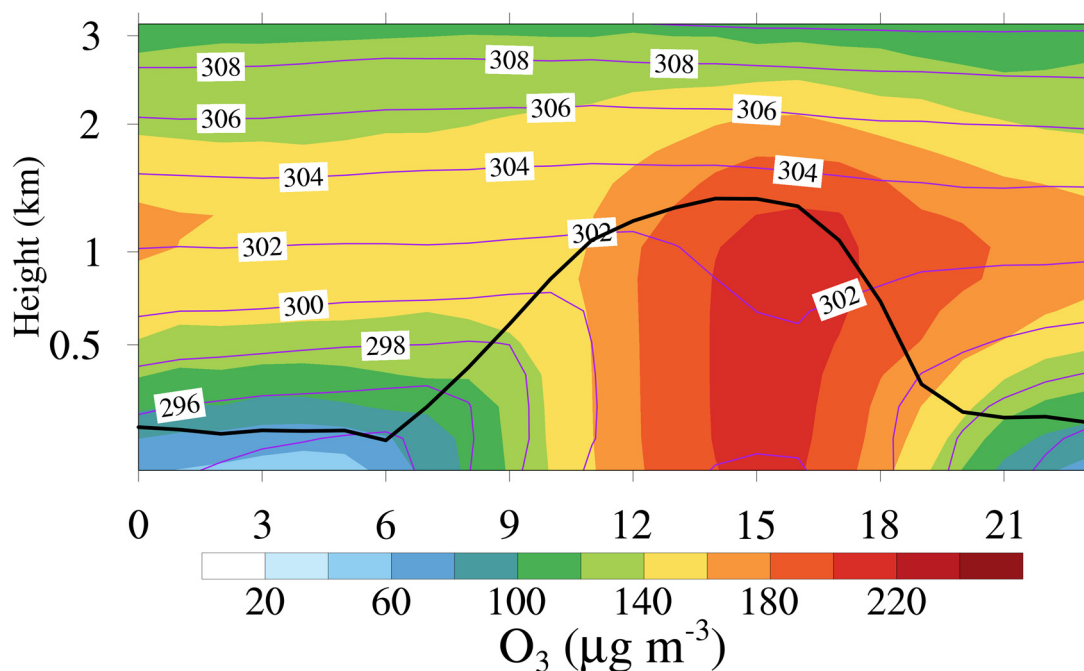
388



389

390 **Figure 7.** Horizontal distributions of O<sub>3</sub> and wind at the lowest model level in MODIS\_noAH. (a),  
 391 (b), (c) and (d) showare the results at 11:00, 14:00, 17:00 and 20:00 LT, referring to the daytime. (e),  
 392 (f), (g) and (h) showare the results at 23:00, 2:00, 5:00 and 8:00 LT, referring to the night. The  
 393 observations in different cities are overlaid using colored circles. To obtain universal features, all  
 394 results are the average of the study period, and the same for the subsequent results.

395



396

397 **Figure 8.** Temporal-vertical distribution of O<sub>3</sub> and potential temperature covering the CZ, WX, SZ,  
 398 SH, HZ1, JX and HZ over the innermost domain `inef` MODIS\_noAH.

399

### 400 3.3.2 The sSea and lake breezes

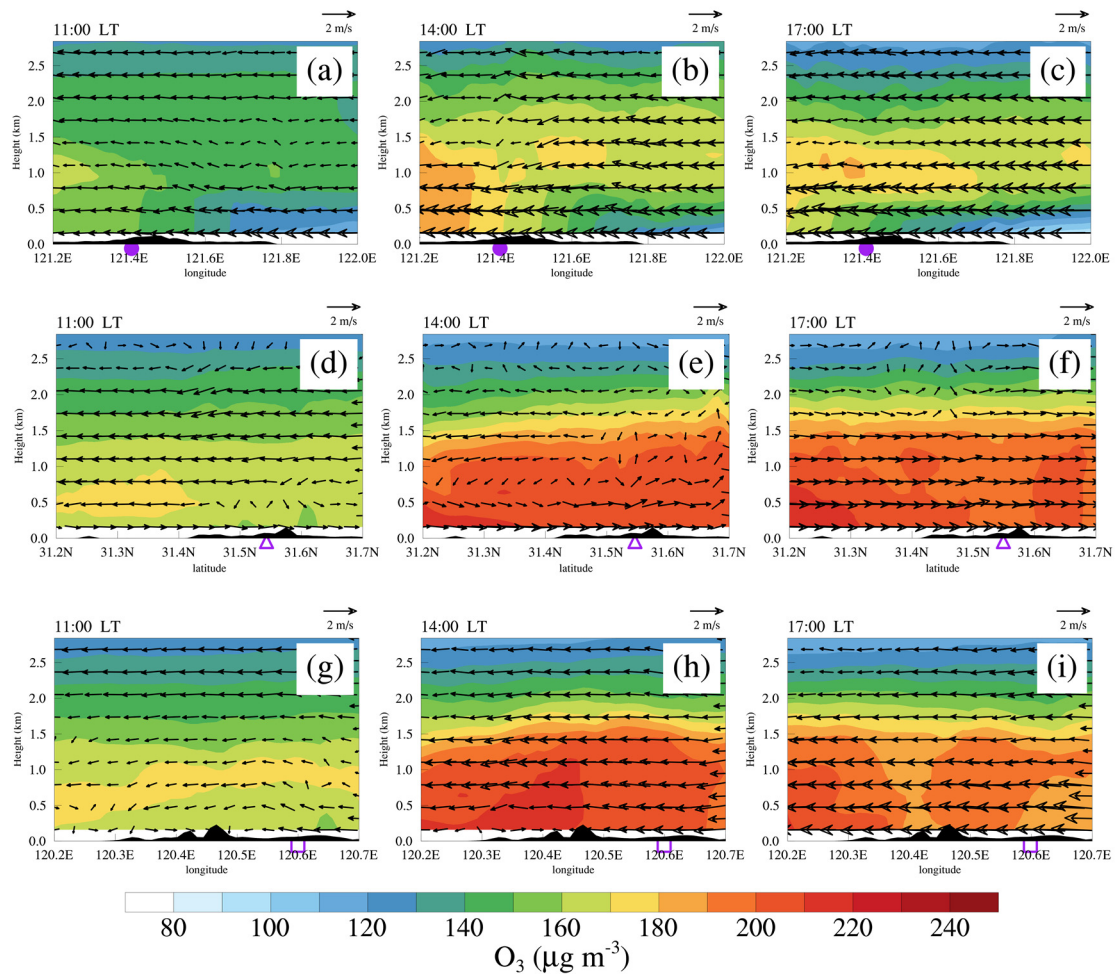
401 As shown in Figure 7a and b, high O<sub>3</sub> ~~concentration-concentrations~~ in the central YRD tended  
 402 to appear in the converging airflows associated with the sea breeze, the lake breeze and the  
 403 background southeast wind (Figure 4e). The sea breeze and the background southeast wind were  
 404 usually in the same direction, and thereby sea breeze affected a wide area and lasted a long time.  
 405 The sea breeze was obvious around 14:00 LT, ~~and~~ matured around 17:00 LT, and continuously  
 406 transported high O<sub>3</sub> from coastal to inland areas during this period (Figure 7b-d). Compared with  
 407 the sea breeze, the lake breeze had a much smaller influencing area and a shorter duration. Around  
 408 11:00 LT, the lake breeze was established. It reached its maximum intensity around 14:00 LT, ~~and~~  
 409 then disappeared sharply due to the predominant southeast wind (Figure 7b and c). Both the sea and  
 410 the lake breezes are the typical local circulations in the YRD, ~~andwhich~~ plays important roles in the  
 411 horizontal distributions of O<sub>3</sub> over this region.

412 Since the coastline is generally north-south (Figure 1b), the cross sections along blue line AB  
 413 (east-west) depicted in Figure 2a are illustrated to show representative examples of the vertical  
 414 structure of the sea breeze (Figure 9a-c). By 11:00 LT, the sea breeze below 500\_m had already

415 developed. The sea breeze front was found in front of Shanghai (~121.6°E), with a height of 1.5 km  
416 (Figure 9a). Around 14:00 LT, the speed of sea breeze increased, ~~which exceeded~~ exceeding 5 m s<sup>-1</sup>.  
417 The sea breeze front moved inland for a distance of 20-30 km (~121.4°E), and was elevated to ~2  
418 km (Figure 9b). Around 17:00 LT, ~~the strong~~ sea breeze ~~swept across the central YRD~~ matured,  
419 reducing the O<sub>3</sub> concentration near the surface in Shanghai. ~~However, But~~ the O<sub>3</sub> in the mixed layer  
420 still maintained a high level, which may result in an O<sub>3</sub>-rich reservoir forming in the nocturnal  
421 residual layer (Figure 9c). The penetration of the sea breeze front and its effect on surface O<sub>3</sub> are  
422 also observed in other coastal regions, such as Taiwan (Lin et al., 2007), the Athens basin (Mavrakou  
423 et al., 2012) and Paulo (Freitas et al., 2007).

424 As for the lake breeze, since the lake is inside the land, the lake breeze has different directions.  
425 Thus, the cross sections along green line CD (south-north) and black line EF (east-west) in Figure  
426 2a are given. ~~since the lake is usually inside the land so that the lake breeze can have different~~  
427 ~~directions.~~ The lake breeze was established by 11:00 LT (Figure 9d and g) although it was shallow  
428 at that time. Around 14:00 LT, the lake breeze strengthened. The extension of the lake breeze  
429 circulation zone ~~can even~~ reach up to 2 km in the vertical dimension (Figure 9e). The offshore flow  
430 of the lake breeze circulation (~2 m s<sup>-1</sup>) transported high O<sub>3</sub> concentrations s from the urban areas to  
431 the lake, while the onshore flow blew the O<sub>3</sub> back to urban areas (Figure 9e and h). Thus, the net  
432 effect of the lake breeze is to accelerate the vertical mixing of O<sub>3</sub> in the boundary layer, resulting in  
433 high surface O<sub>3</sub> in the lakeside cities. This was also reported in other lakeside cities, such as the  
434 Lake Michigan (Lennartson and Schwartz, 2002), the Great Lakes (Sills et al., 2011) and the Great  
435 Salt Lake (Blaylock et al., 2017). By 17:00 LT, the lake breeze disappeared.

436



437

438 **Figure 9.** Vertical cross sections of  $O_3$  and wind for the sea breeze at (a) 11:00, (b) 14:00 and (c)  
 439 17:00 LT along blue line AB in Figure 2a. (d), (e) and (f) are the same as (a), (b) and (c), respectively,  
 440 but for the lake breeze along green line CD in Figure 2a. (g), (h) and (i) are also the same as (a), (b)  
 441 and (c), respectively, but for the lake breeze along black line EF in Figure 2a. The purple dots,  
 442 triangles and rectangles represent the locations of Shanghai, Wuxi and Suzhou, respectively. The  
 443 black shaded areas represent the terrain, and the terrain ~~ishas been~~ multiplied by a factor of 10 when  
 444 plotting.

445

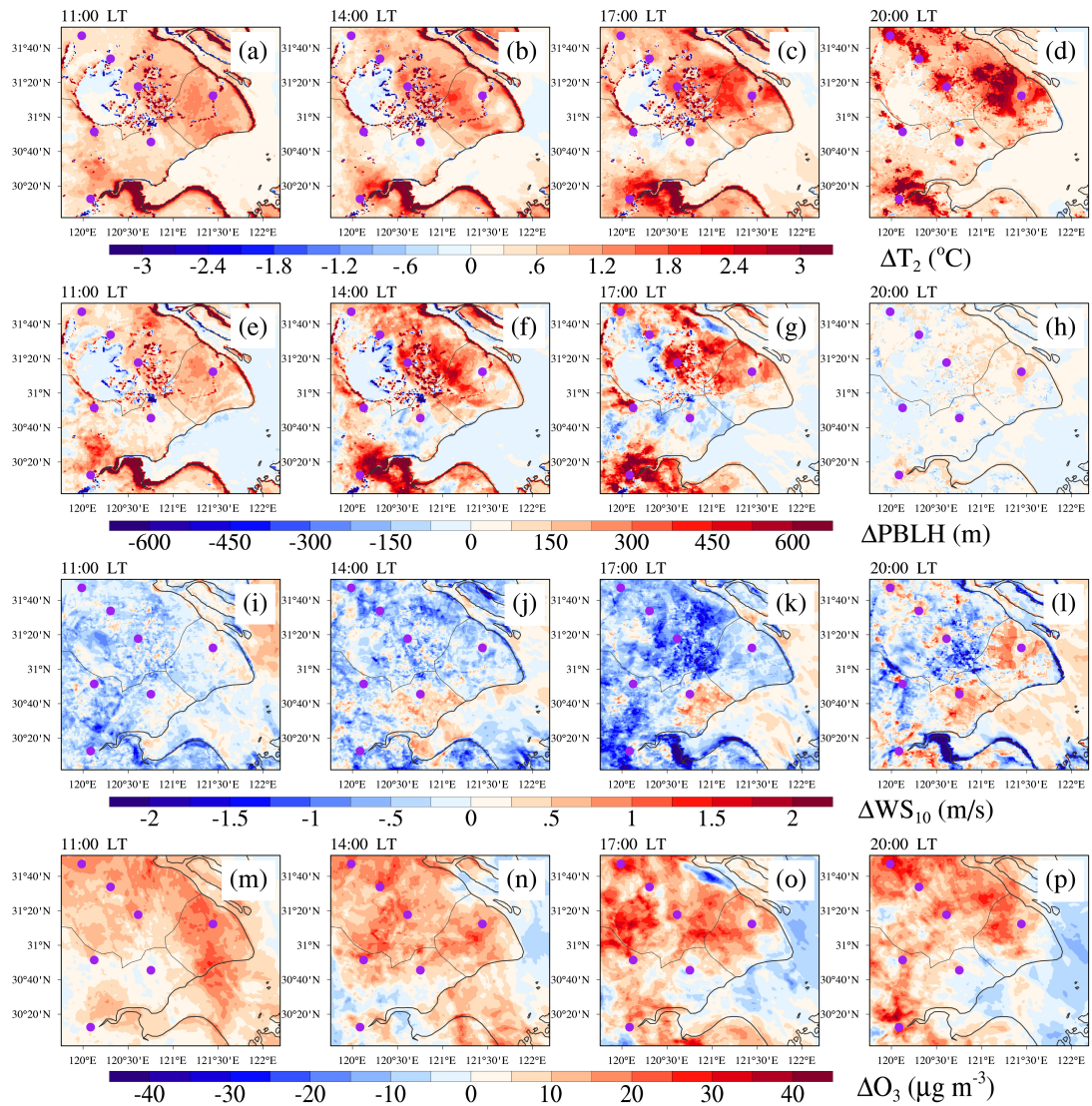
### 446 3.4 Impacts of land use on meteorology and $O_3$

#### 447 3.4.1 The changes in horizontal direction

448 Figure 10 presents the spatial differences of the main factors ( $T_2$ , PBLH,  $WS_{10}$  and  $O_3$ ) between  
 449 MODIS\_noAH and USGS\_noAH. Land use changes via urban expansion can enhance surface  
 450 heating through upward sensible heat fluxes so that  $T_2$  will increase. As shown in Figure 10a-d, the

451 spatial pattern of ~~the~~ remarkable warming effect for  $T_2$  was consistent with the urban\_~~fraction~~  
452 change associated with ~~the~~ urbanization (Figure 2a and b), which is the positive temperature  
453 anomaly ~~that~~ mainly\_~~appeared~~ in cities and their surrounding areas. In megacities like Shanghai,  
454  $T_2$  increased by even 3 °C. The change in PBLH was similar to that in  $T_2$  because the warming up  
455 of  $T_2$  was ~~conducive~~~~conductive~~ to ~~the~~ vertical movement in the boundary layer, which increased the  
456 PBLH (Figure 10e-h). The maximum positive change of PBLH can reach up to 500 m at noon but  
457 down to 100 m after sunset. With regard to ~~the~~  $WS_{10}$ , it decreased in ~~the~~ MODIS\_noAH (Figure  
458 10i-l), with a maximum decrease up to 1.5 m s<sup>-1</sup> in Hangzhou around 17:00 LT. This is because the  
459 roughness of cities and forest is larger than that of cropland (Figure 2a and b). Apart from the  
460 abovementioned meteorological factors, urban expansion also increased the surface O<sub>3</sub>  
461 concentration (Figure 10m-p). The largest increment of O<sub>3</sub> appeared in the afternoon, with a value  
462 of 20 µg m<sup>-3</sup> around 17:00 LT in Changzhou. In addition to these results, it is noteworthy that there  
463 were confusing “false” changes at the junction of land and sea/lake, especially for meteorological  
464 factors, such as  $T_2$  and  $WS_{10}$ . This was caused by the different ~~treatments~~~~treatment~~ of the MODIS-  
465 based and USGS land use classifications at the boundary conditions of land versus water instead of  
466 urban expansion.  
467





468  
 469 **Figure 10.** Horizontal distributions of the differences of (a-d)  $T_2$ , (e-h) PBLH, (i-l)  $WS_{10}$  and (m-p)  
 470  $O_3$ -differences between MODIS\_noAH and USGS\_noAH (MODIS\_noAH – USGS\_noAH) during  
 471 the daytime. The purple dots represent the locations of cities in the innermost domain.

472

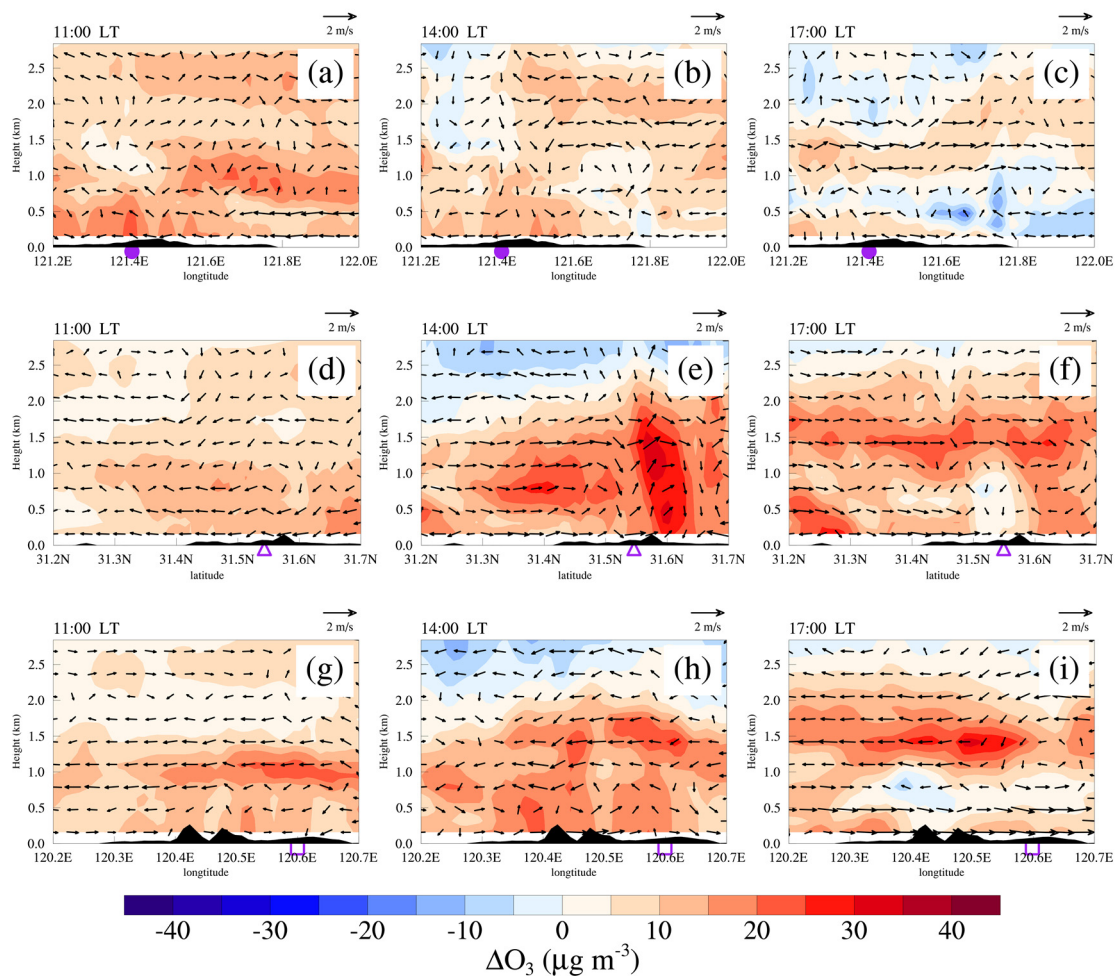
### 473 3.4.2 The changes in vertical direction

474 Urban expansion alters not only alters the meteorological factors, but also the local circulations.  
 475 As shown in Figure 11a-c, the sea breeze below 500 m increased by  $\sim 1 \text{ m s}^{-1}$  due to the enhanced  
 476 temperature contrast between the land and the sea induced by the expansion of Shanghai. During  
 477 the advance of the sea breeze front inland, the updraft induced by the sea breeze front promoted the  
 478 vertical mixing of  $O_3$ , elevating the surface  $O_3$  concentration in Shanghai (Figure 11a and b). When  
 479 the sea breeze matured around 17:00 LT, its transport effect reduced the surface  $O_3$  concentration of  
 480 the coastal cities (Figure 9c). However, this “transport effect” was weakened because the sea breeze

481 near the surface was slowed affected by the rough urban surface. Finally, surface  $O_3$  of  $\sim 10 \mu g m^{-3}$   
 482 was left compared to the scenario without cities. In contrast to the onshore flow, the offshore flow  
 483 transported  ~~$O_3$  high concentration of  $O_3$~~  to the sea, which may be an important source of  $O_3$  in the  
 484 nocturnal residual layer. Influenced by the strong background southeast wind, the offshore flow was  
 485 imperceptible during the daytime (Figure 9), but it ~~wasean~~ be enhanced by urban expansion (Figure  
 486 11c).

487 As for the lake breeze, it was also enhanced by  $\sim 1 m s^{-1}$  because of the larger temperature  
 488 contrast resulting from the expansion of lakeside cities (Figure 11e and h). ~~What's more~~ Moreover,  
 489 the life of the lake breeze was extended to 17:00 LT (Figure 11f and i). Since the lake breeze  
 490 circulation was conducive to the vertical mixing of  $O_3$  in the boundary layer, ~~and its onshore flow~~  
 491 ~~transported high concentration of  $O_3$  from the lake to the city~~ (Sect. 3.3.2), the  $O_3$  concentration will  
 492 increase in the lakeside cities, with a maximum of  $30 \mu g m^{-3}$  in Wuxi at 14:00 LT.

493



494

495 **Figure 11.** Same as Figure 9, but for the differences between MODIS\_noAH and USGS\_noAH  
496 (MODIS\_noAH – USGS\_noAH).

497

### 498 **3.4.3 The mechanism of land use modulating O<sub>3</sub>**

499 Changing land use from USGS to MODIS leads to an increase in T<sub>2</sub> by a maximum of 3 °C,  
500 an increase in PBLH by a maximum of 500 m and a decrease in WS<sub>10</sub> by a maximum of 1.5 m s<sup>-1</sup>  
501 in the YRD, which is comparable to those in the BTH region (Yu et al., 2012), the PRD region (Li  
502 et al., 2014) and the National Capital Region of India (Sati and Mohan, 2017). These changes are  
503 particularly evident in and around cities as the ~~largest~~biggest change in land use is ~~related to urban~~  
504 ~~expansion~~urban surface fractions. ~~The elevated~~ air temperature is conducive to the photochemical  
505 production of O<sub>3</sub>, and the well-developed convective boundary layer favors the vertical mixing of  
506 O<sub>3</sub>. These changes in meteorological factors —eventually increase the surface O<sub>3</sub> concentration by  
507 a maximum of 20 µg m<sup>-3</sup> in the YRD. Furthermore, local circulations, including the sea and the lake  
508 breezes, are also influenced by urban expansion, which further alters O<sub>3</sub> in the vertical direction.  
509 For ~~the~~ coastal cities, like Shanghai, the larger temperature contrast caused by cities enhances the  
510 sea breeze ~~below 500 m~~. As the sea breeze front moves inland, it enhances upward movement, which  
511 is ~~conducive~~conductive to the mixing of O<sub>3</sub> in the boundary layer. However, the movement of the  
512 sea breeze is slowed due to the rough urban surface after the sea breeze matures. The removal of O<sub>3</sub>  
513 via the sea breeze near the surface is then weakened. ~~The A~~ similar response of the sea breeze to  
514 cities as well as its impact on O<sub>3</sub> has also ~~been also~~ reported in the PRD region (You et al., 2019)  
515 and Paulo (Freitas et al., 2007). For the lakeside cities, like Wuxi and Suzhou, the lifetime of the  
516 lake breezes s is extended to the afternoon due to the expansion of cities. The offshore flow of the  
517 lake breeze transports high O<sub>3</sub> ~~concentration~~concentrations in the middle of the boundary layer  
518 from the land to the lake, while the onshore flow brings the O<sub>3</sub> back to the land, which accelerates  
519 the vertical mixing of O<sub>3</sub> and increases the surface O<sub>3</sub>. Thus, high surface O<sub>3</sub> usually appears when  
520 the lake breeze is established. This was also observed in the Greater Toronto Area (Wentworth et al.,  
521 2015) and the Lake Michigan (Abdi-Oskouei et al., 2020).

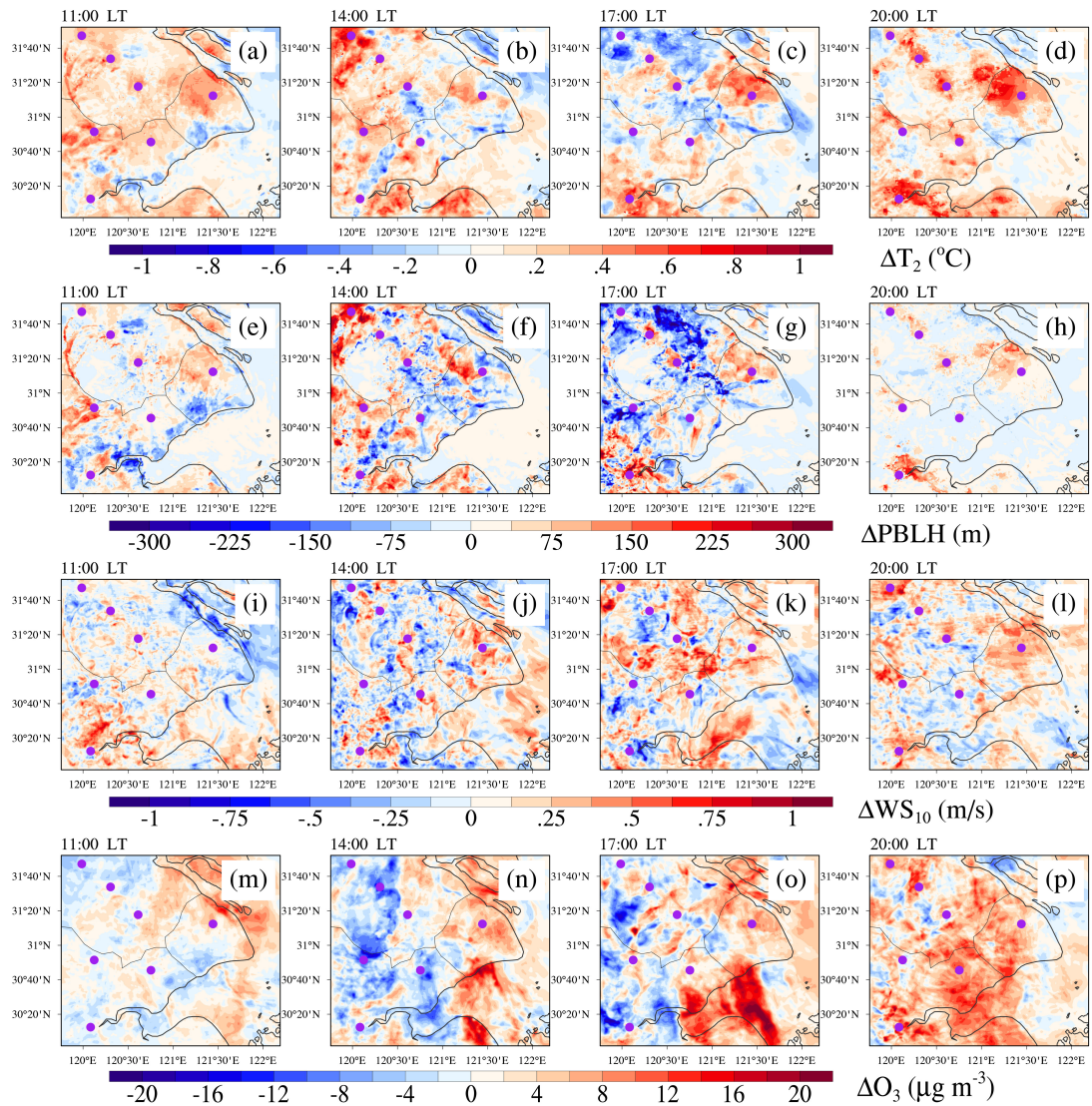
522

## 523 **3.5 Impacts of anthropogenic heat on meteorology and O<sub>3</sub>**

### 524 **3.5.1 Horizontal changes**

525 Compared with land use, the changes caused by AH are much smaller (Figure 12). Furthermore,  
526 these changes are effective in and around cities as they usually have large AH flux densities (Figure  
527 3). By adding more surface sensible heat into the atmosphere, the AH fluxes led to an increase  
528 in  $T_2$  of 0.2 °C in urban areas, with ~~the a~~ typical value of 0.42 °C in Shanghai (Figure 12a-d). Vertical  
529 air movement in the boundary layer was then enhanced by the warming of  $T_2$ , and the PBLH ~~will~~  
530 ~~increase~~ increased as well. According to the simulations, the PBLH increased by ~75 m in the urban  
531 areas (Figure 12e-h). Contrary to the decrease in  $WS_{10}$  caused by urban expansion,  $WS_{10}$  increased  
532 by  $\sim 0.3 \text{ m s}^{-1}$  in the urban areas when AH fluxes were taken into account (Figure 12i-l). This is  
533 ascribed to the strengthened urban breeze circulations ~~caused by the AH fluxes~~, which ~~is~~ are  
534 conducive to the transmission of momentum from the upper layer to the surface. With regard to  
535 surface  $O_3$  concentration, it increased by  $\sim 4 \mu\text{g m}^{-3}$  in the simulation with adding AH. ~~In~~  
536 ~~particular~~ What's more, the increases in  $T_2$ , PBLH,  $WS_{10}$  and  $O_3$  ~~seemed to be~~ were clearer after  
537 sunset as the solar shortwave radiation disappeared.

538



539

540 **Figure 12.** Same as Figure 10, but for the differences between MODIS\_AH and MODIS\_noAH  
 541 (MODIS\_AH – MODIS\_noAH).

542

### 543 3.5.2 Vertical changes

544 The phenomenon that cities are almost always warmer than their surroundings is widely known

545 as the urban heat island (UHI), and ~~the this difference between the urban and the rural surface energy~~

546 ~~balance~~ urban-rural difference in temperature can further induce the urban heat island circulation

547 (UHIC). ~~It is clearly seen that~~ There was an enhanced UHIC driven by AH ~~appeared in~~ the megacity

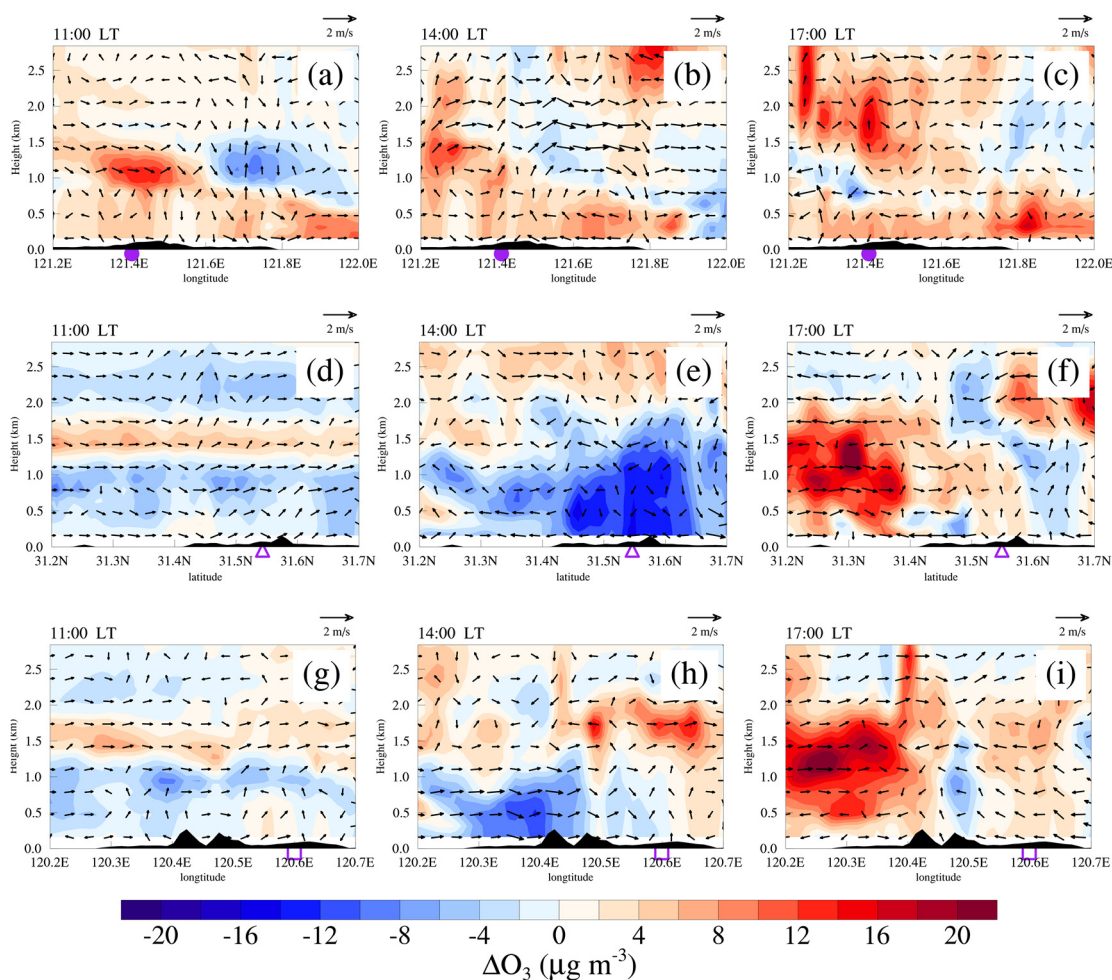
548 Shanghai around 14:00 LT (Figure 13b). This circulation extended horizontally 20-30 km from the

549 city center to the urban edge, and vertically to ~2 km from the ground to the top of the urban

550 boundary layer, resulting in. ~~In this case, there was~~ a small increase (4–6  $\mu\text{g m}^{-3}$ ) in surface  $\text{O}_3$ .

551 However, for the lakeside cities, the enhanced UHIC was not perceptible. ~~And~~ The  $\text{O}_3$  concentration

552 in urban areas was reduced on average, with a maximum of  $16 \mu\text{g m}^{-3}$  in Wuxi around 14:00 LT  
 553 (Figure 13e). The decrease in  $\text{O}_3$  may be related to the increased wind (Figure 12i-k), which was  
 554 beneficial to the diffusion and dilution of  $\text{O}_3$ . Furthermore, AH has a limited effect on the sea and  
 555 the lake breezes as it cannot affect any branch of the two as significantly as ~~the~~ urban expansion.  
 556



557  
 558 **Figure 13.** Same as Figure 9, but for the differences between MODIS\_AH and MODIS\_noAH  
 559 (MODIS\_AH – MODIS\_noAH).  
 560

### 561 3.5.3 The mechanism of anthropogenic heat modulating $\text{O}_3$

562 AH and land use play different roles in meteorology and  $\text{O}_3$ . AH allows the atmosphere to  
 563 reserve more energy via the additional sensible heat fluxes, which increases  $T_2$  by  $\sim 0.2^\circ\text{C}$ . Higher  
 564 temperature induces stronger upward air movement to the development of the convective boundary  
 565 layer, rising the PBLH by  $\sim 75$  m. In the convective boundary layer, the atmosphere is associated

566 with turbulent motions, and is unstable. Together with the enhanced urban breeze caused by AH,  
567 WS<sub>10</sub> increases by  $\sim 0.3$  m s<sup>-1</sup>. These findings are comparable to the values estimated in other cities  
568 ~~all~~ around the world, such as Philadelphia in the United States (Fan and Sailor, 2005), Winnipeg in  
569 Canada (Ferguson and Woodbury, 2007) and Berlin in ~~Germany~~ German (Menberg et al., 2013).  
570 These changes in meteorological factors eventually lead to an increase in surface O<sub>3</sub> by  $\sim 4$   $\mu\text{g m}^{-3}$ .  
571 It is noteworthy that the effects of AH are usually clearer at night in urban areas. In addition,  
572 ~~although~~ ~~though~~ AH plays an important role in urban breeze circulations, it may not be powerful  
573 enough to affect ~~the~~ ~~\_~~ local circulations such as the sea and the lake breezes.

574

#### 575 **4 Summary and conclusions**

576 Land use change via urban expansion and the increase of AH ~~release~~ are important  
577 manifestations of urbanization. They can alter the regional meteorology, and thereby affect O<sub>3</sub>  
578 concentrations in and around cities. In this study, the YRD region, a highly urbanized coastal area  
579 with ~~severe~~ ~~sever~~ O<sub>3</sub> pollution, is selected to ~~investigate~~ ~~discuss~~ this issue. Firstly, the basic  
580 characteristics of O<sub>3</sub> pollution in the YRD are ~~provided~~ ~~investigated~~ based on the surface  
581 observations. Secondly, a representative case is selected ~~for~~ ~~to~~ further study using ~~the~~ WRF-Chem  
582 model, and the model performance is evaluated by comparing with the observations. Finally, the  
583 response of O<sub>3</sub> to changes in meteorology caused by ~~urban expansion~~ ~~land use~~ and AH are discussed  
584 via the model inter-comparisons. The main findings are listed as below:

585 (1) Regional O<sub>3</sub> pollution occurs ~~reds~~ frequently in the YRD ( $\sim 26$  times per year) ~~from 2015 to~~  
586 ~~2019~~. These O<sub>3</sub> pollution episodes mainly occur in calm conditions characterized by high  
587 temperature (over 20 °C), low relative humidity (less than 80%), light wind (less than 3 m s<sup>-1</sup>) and  
588 shallow cloud cover (less than 5 okta). In this case, the sea and ~~the~~ lake breezes tend to develop and  
589 have an important impact on the distribution of O<sub>3</sub> in ~~the~~ ~~this region~~ ~~YRD~~.

590 (2) By updating the land use ~~data~~ ~~set~~ from USGS to MODIS, we find an increase in T<sub>2</sub> by ~~a~~  
591 maximum ~~of~~ 3 °C, an increase in PBLH by ~~a~~ maximum ~~of~~ 500 m and a decrease in WS<sub>10</sub> by ~~a~~  
592 maximum ~~of~~ 1.5 m s<sup>-1</sup> in the YRD. The higher temperature and PBLH elevate ~~the~~ surface O<sub>3</sub>  
593 concentration by ~~a~~ maximum ~~of~~ 20  $\mu\text{g m}^{-3}$  via ~~the~~ stronger photochemical reactions and vertical  
594 mixing processes. ~~These changes are mainly attributed~~ ~~Apart from meteorological factors, the sea~~  
595 ~~and the lake breezes are also modified by urban expansion associated with urbanization. To urban~~

596 ~~expansion associated with urbanization. Furthermore,~~ the sea breeze is enhanced due to the  
597 expansion of coastal cities. Nevertheless, further progression inland of the sea breeze ~~in the~~  
598 ~~afternoon-isean be~~ stalled in the afternoon on account of the rough urban surface, reducing the  
599 transmission of O<sub>3</sub> from the coast to the land. The expansion of lakeside cities extends the lifetime  
600 of the lake breeze from noon to afternoon. Since the lake breeze ~~-can~~ accelerates the vertical mixing  
601 of O<sub>3</sub> in the boundary layer, the surface O<sub>3</sub> in lakeside cities can increase by even as much as 30 μg  
602 m<sup>-3</sup>.

603 (3) When the AH fluxes are taken into account, T<sub>2</sub>, PBLH, WS<sub>10</sub> and O<sub>3</sub> will increase by about  
604 0.2 °C, 75 m, 0.3 m s<sup>-1</sup> and 4 μg m<sup>-3</sup> in and around cities, respectively. These changes are relatively  
605 smaller compared to urban expansion, and mainly appear around ~~the~~ cities where the AH fluxes are  
606 usually large. In addition, unlike ~~the~~ urban expansion, AH may have a ~~quite~~ limited impact on the  
607 sea and the lake breezes. But the urban breeze circulations are found to be sensitive to AH inputs.

608 Studying the impacts of land use and AH forced by human activities on urban environment is  
609 fundamental in improving the urban air quality. Although this study only focuses on the YRD region,  
610 most of the results can be supported by previous studies ~~that~~ conducted in other regions around the  
611 world. As ~~more and more cityan increasing number of city~~ clusters composed of large and medium-  
612 sized cities are being built, ~~this~~ This work can provide valuable insight into the formation of O<sub>3</sub>  
613 pollution in ~~those~~ rapidly developing regions with unique geographical features.

#### 614 ***Data Availability Statement.***

615 Air quality monitoring data were acquired from a mirror of data from the official NEMC real-time  
616 publishing platform (<https://quotsoft.net/air/>). Meteorological data were issued by the NCDC  
617 (<ftp://ftp.ncdc.noaa.gov/pub/data/noaa/isd-lite/>). The FNL meteorological data were ~~acquired-taken~~  
618 from the NCEP (<https://doi.org/10.5065/D6M043C6/>). The MEIC data were accessible at  
619 <http://meicmodel.org/>. These data can be downloaded for free as long as you agree to the official  
620 instructions.

#### 621 ***Author contributions.***

622  
623 CZ and MX had the original ideas, designed the research, collected the data and prepared the original  
624 draft. CZ did the numerical simulations and carried out the data analysis. MX acquired financial  
625



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627

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637

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642

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