

1 **Land use~~Land-surface forcing~~ and anthropogenic heat modulate**
2 **ozone by meteorology: A perspective from the Yangtze River Delta**
3 **region**

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10
11 **Abstract:** With the rapid advance in urbanization, ~~land surface forcing related to the land~~
12 ~~use~~urban expansion and anthropogenic heat (AH) ~~release from human activities dictated by human~~
13 ~~activities~~ significantly ~~modify~~ affect the urban climate and in turn the air quality. Focusing on the
14 Yangtze River Delta (YRD) region, a highly urbanized coastal area with sever ozone (O₃) pollution
15 ~~a highly urbanized place with sever ozone (O₃) pollution and complex geography~~, we estimate the
16 impacts of ~~land use~~land-surface forcing and AH on meteorology (~~meteorological factors and local~~
17 ~~circulations~~) and O₃ using the WRF-~~chem~~ Chem model, which can enhance our understanding
18 about the formation of O₃ pollution in those rapidly developing ~~regions~~ city clusters with unique
19 ~~geographical features~~ place-specific topography as most of our results can be supported by previous
20 studies conducted in other regions ~~around~~ the world. Regional O₃ pollution episodes occur
21 frequently (~26 times per year) in the YRD in recent years. These O₃ pollution episodes are usually
22 ~~in~~ under calm conditions characterized by high temperature (over 20 °C), low relative humidity (less
23 than 80%), light wind (less than 3 m s⁻¹) and shallow cloud cover (less than 5 okta). In this case, ~~In~~
24 ~~this case, high O₃ mainly appears during the daytime influenced by~~ O₃ pollution belts tend to appear
25 in the converging airflows associated with the ~~local circulations~~ (~~the sea and the lake breezes~~).
26 The fast urbanization has significantly changed land use and AH in this region. The largest change
27 in land use comes from the urban expansion, which ~~The change in land surface forcing can~~ causes
28 an increase in 2-m temperature (T₂) by maximum 3 °C, an increase in planetary boundary layer

29 height (PBLH) by maximum 500 m, ~~and~~ a decrease in 10-m wind speed (WS_{10}) by maximum 1.5
30 $m s^{-1}$; and an increase in surface O_3 can increase by maximum $20 \mu g m^{-3}$ ~~eventually~~. With regard to
31 the sea and lake breezes ~~Furthermore~~, the expansion of coastal cities, like Shanghai, can enhances
32 ~~the sea breeze below 500 m~~ the sea breeze circulation by $\sim 1 m s^{-1}$. During the advance of the sea-
33 breeze front inland, the ~~upward air flow~~ updraft induced by the front makes well vertical mixing of
34 O_3 . However, once the sea ~~breeze~~ is ~~fully-developed~~ fully formed at afternoon ($\sim 17:00$ LT), further
35 progression inland ~~will be~~ stalled. ~~Then, thus~~ the O_3 removal by the low sea ~~breeze~~ will be
36 weakened and surface O_3 can be $10 \mu g m^{-3}$ higher in the case with cities than no-cities. The
37 expansion of lakeside cities, like Wuxi and Suzhou, can extend the lifetime of the lake ~~breeze~~
38 ~~the noon to the afternoon~~. Since ~~the net effect of the lake breeze is to accelerate the vertical mixing~~
39 ~~in the boundary layer~~ the offshore flow of the lake breeze transports high O_3 from the land to the
40 lake, the onshore flow brings the high O_3 back to the land. ~~the S~~ surface O_3 in lakeside cities can
41 increase as much as $30 \mu g m^{-3}$ ~~in lakeside cities~~. Compared ~~to~~ with the effects from land surface
42 ~~foreingland use~~, the ~~effect~~ impacts of AH are relatively small. And the changes mainly appear in
43 and around cities where AH ~~emission fluxes are~~ large. There are increases in T_2 , PBLH, WS_{10} and
44 surface O_3 when AH are taken into account, with the increment ~~of about~~ about $0.2 ^\circ C$, 75 m, $0.3 m$
45 s^{-1} and $4 \mu g m^{-3}$, respectively. ~~Additionally, AH can affect the urban breeze circulations,~~
46 ~~meteorological factors and O_3 concentration~~ contributes largely to the urban environment, altering
47 meteorological factors, O_3 concentration and urban breeze circulation, but its effect on ~~local~~
48 ~~circulations, such as~~ the sea and the lake breezes, seems to be limited.

49 **Key Words:** ozone; ~~meteorology~~; local circulations; ~~land surface foreingland use~~; anthropogenic
50 heat; the Yangtze River Delta;

52 1 Introduction

53 Ozone (O_3) is a key constituent in the atmosphere, ~~and is deeply relevant to climate (Worden~~
54 ~~et al., 2008), biosphere (Van Dingenen et al., 2009) and human health (Jerrett et al., 2009).~~ O_3 but
55 acts quite differently in different parts of the atmosphere, often described as being “good up high
56 and bad nearby”. O_3 in the stratosphere helps protect life on earth from strong ultraviolet radiation.
57 However, high O_3 in the troposphere is harmful to human respiratory system (Jerrett et al., 2009),
58 ~~and~~ the growth of vegetation (Mills et al., 2011) and climate (Worden et al., 2008). ~~and~~

59 ~~Therefore, thereby the~~ tropospheric O₃ has long been regarded as an important air pollutant and has
60 received continuous attention within the last few decades(Young et al., 2013).

61 Tropospheric O₃ ~~is a secondary air pollutant, which~~ is mainly formed by a series of complex
62 chemical reactions (Chameides and Walker, 1973; Xie et al., 2014) of precursor gases such as
63 nitrogen oxides (NO_x=NO+NO₂) and volatile organic compounds (VOCs) in combination with
64 sunlight. The global average lifetime of tropospheric O₃ is 20 to 25 days, and it will ~~be~~
65 ~~reduced~~reduce to 5 days in boundary layer (Young et al., 2013). The relatively long lifetime of
66 tropospheric O₃ favors regional/long-range transport, and brings huge challenges to its control (~~Shao~~
67 ~~et al., 2006~~Bergin et al., 2005). O₃ levels considerably depend on the ~~variations in~~ weather
68 conditions because ~~they~~weather conditions play an important role in determining the chemistry,
69 dispersion and removal of O₃ (Jacob and Winner, 2009). ~~Generally~~In general, elevated O₃ occurs
70 under warm dry weather with strong sunlight, high temperature, low relative humidity and light
71 wind speed (Zhang et al., 2015). Furthermore, weather conditions ~~can~~ have many similarities in
72 certain weather pattern (Buchholz et al., 2010; Zhan et al., 2019), and the main weather patterns
73 associated with O₃ episodes in China are tropical cyclones and continental anticyclones (Wang et
74 al., 2017).

75 O₃ levels concentrations as well as ~~weather conditions~~meteorology in urban areas are of great
76 concern simply because urban areas have huge populations. A report from the United Nations
77 pointed out that 69.6% of the world's population will live in cities by 2050. The urbanization process
78 has also further increased urban environmental hazards (Zhang et al., 2011), particularly in the most
79 rapidly developing countries like China (Liu and Tian, 2010). Because of historical and cultural
80 factors, many cities have similar topography, usually along the coast, close to mountains or in basins.
81 For these cities, the local circulations induced by thermal contrast of the topography, such as sea-
82 land breezes, mountain-valley breezes and lake-land breezes, ~~will~~ have an important impact on
83 urban air quality ~~of the city~~, especially under weak synoptic forcing~~when the dominant background~~
84 ~~weather system is weak~~ (Crosman and Horel, 2010). Examples can be found around the world. Ding
85 et al. (2004) simulated the main features of ~~the~~ sea-land breezes during a multiday episode in the
86 Pearl River Delta (PRD) region, and found that the sea-land breezes can ~~play a crucial role in~~
87 transport ~~ing~~ air pollutants between inland and coastal cities. Miao et al. (2015) studied the effects
88 of mountain-valley breezes on boundary layer structure in the Beijing-Tianjin-Hebei (BTH) region,

89 suggesting that the mountain-valley breezes are vital to the vertical transport and distribution of air
90 pollutants in Beijing. Wentworth et al. (2015) identified a causal link between lake-breezes and O₃
91 in the Greater Toronto Area that the daytime O₃ maxima was 13.6-14.8 ppb higher on lake breeze
92 days than no-lake breeze days.

93 ~~_____The land surface forcing and anthropogenic heat (AH) of a city also affect the~~
94 ~~atmospheric state and compositions above it~~Human activities, such as changes in land use and
95 ~~anthropogenic heat (AH), contribute to changes of meteorology and atmospheric compositions at~~
96 ~~local, regional and even global scales (Fu and Liao, 2014; Yu et al., 2012; Park et al., 2014; Oke et~~
97 ~~al., 2017).~~Land use changes via urban expansion (typically from vegetation
98 ~~to impervious surface)~~ changes chiefly come from the urban expansion (typically from vegetation
99 ~~to impervious surface), which directly alter~~changes the surface physical properties (e.g., albedo,
100 surface moisture and roughness), ~~subsequently affecting the exchange of energy, moisture and~~
101 ~~momentum, and hence impacting the urban climate and air quality (Jiang et al., 2008; Wang et al.,~~
102 ~~2009) and thereby significantly affects the meteorology and in turn the air quality.~~ Li et al. (2019)
103 found that increases in thermal inertia, surface roughness and evapotranspiration due to urban
104 expansion ~~can lead to an increase in~~rise O₃ by ~~up to~~ 5.6 ppb in Southern California. AH is an
105 important waste by-product of urban metabolism. Nearly all energy consumed by human activities
106 will be dissipated as heat within Earth's land-atmosphere system (Flanner, 2009; Sailor, 2011) that
107 is then "injected" into the energy balance processes. Ryu et al. (2013a) reported that AH affects the
108 characteristics/structures of boundary layer and local circulations, resulting in an increase of O₃ by
109 3.8 ppb in the Seoul metropolitan area.

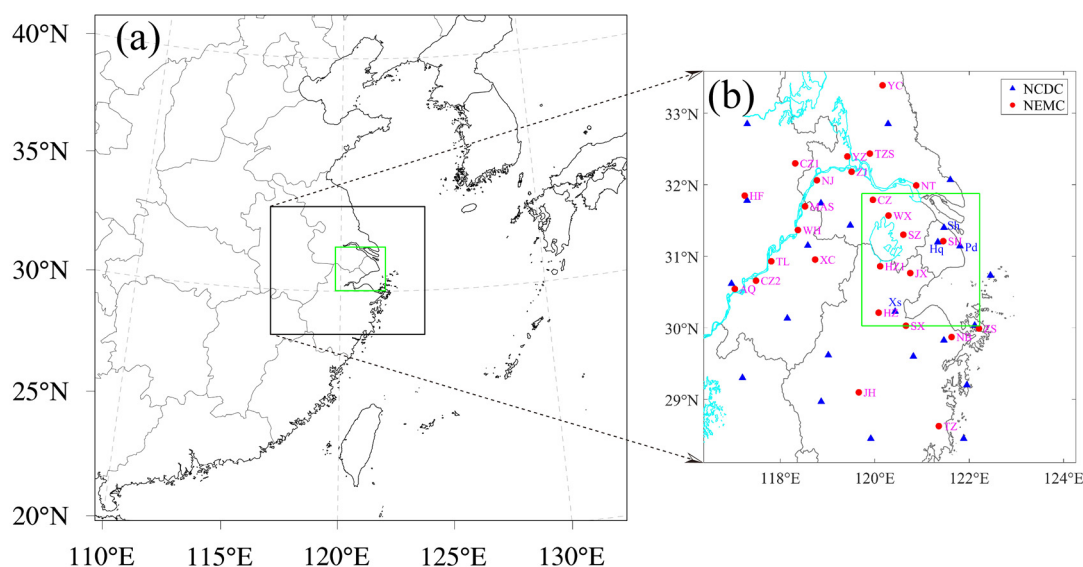
110 ~~These~~Previous studies ~~separately~~usually investigated the impact of ~~local~~
111 ~~circulation~~topography, land use, ~~land surface forcing~~ and AH on meteorology ~~and~~ and air quality,
112 ~~separately, and mainly~~usually focusing on a specific megacity. However, ~~local circulations, land-~~
113 ~~surface forcing and AH~~these factors can work together in near-calm conditions. ~~Furthermore,~~
114 ~~complex interactions exist widely among these thermally-driven circulations and the effects can~~
115 ~~even spread from one city to nearby areas. For example, Zhu et al. (2015) demonstrated that the~~
116 ~~meteorological conditions and air quality over Kunshan are significantly affected by Shanghai urban~~
117 ~~land surface forcing (Kunshan is located downstream of Shanghai, with a straight-line distance of~~
118 ~~about 50 km). Given the increasing prevalence of cities, cities gradually appear in the form of~~

119 ~~clusters. Therefore, And the role of multi-scale atmospheric circulations associated with the~~
120 ~~abovementioned factors in regional meteorology and air quality of city clusters is unclear. Actually,~~
121 ~~complex interactions exist widely among these thermally driven circulations and the effects can~~
122 ~~even spread from one city to nearby areas. For example, Zhu et al. (2015) demonstrated that the~~
123 ~~meteorological conditions and air quality over Kunshan are significantly affected by Shanghai urban~~
124 ~~land surface forcing (Kunshan is located downstream of Shanghai, with a straight line distance of~~
125 ~~about 50 km). Therefore, assessing the effects of land surface forcing and AH (The~~
126 ~~topography rarely changes.) in the city cluster is meaningful, which helps understand the~~
127 ~~interaction~~ connection ~~between urban environment and human activities development, local~~
128 ~~meteorology and regional air quality.~~

129 The Yangtze River Delta (Yangtze River Delta (YRD)) region, located on the western coast of
130 the Pacific Ocean (Figure 1a), has undergone accelerated urbanization process and rapid economic
131 development over ~~the the~~ past few decades, ~~and. It~~ is now one of the largest economic zones in the
132 world. ~~The YRD region~~ It includes the areas of ~~consists of~~ the southern part of Jiangsu Province, the
133 northern part of Zhejiang Province and the eastern part of Anhui Province, including ~~with~~ 26
134 mega/large cities such as Shanghai, Hangzhou and Nanjing (Figure 1b). With dense population and
135 huge energy consumption, this area is now suffering from air quality deterioration (Ding et al., 2013;
136 Xie et al., 2017; Zhan et al., 2020), especially the increasingly severe O₃ pollution in recent years
137 (Li et al., 2020; Wang et al., 2020 ~~Zhan et al., 2020, 2021~~). Furthermore, It was reported that 16 out
138 of the 26 typical cities in the YRD failed to meet the urban national standard for O₃ in 2017 (Bulletin
139 on the state of China's ecological environment in 2018, [http://www.cleanairechina.org/](http://www.cleanairechina.org/product/9943.html)
140 product/9943.html), and to make matters worse, O₃ concentration has been rising in this region
141 during the past few years (Li et al., 2020; Wang et al., 2020). The YRD region is deeply affected by
142 ~~the East Asian monsoon, and has complex weather like other mid-latitude regions in the world~~ cities
143 with hot spots of O₃ usually concentrate in the central YRD region, surrounding Tai Lake (Zhan et
144 al., 2021). Numerous cities, unique topography and s- ~~Sever O₃ air pollution and unique geography~~
145 ~~make this area~~ the YRD an ideal study ~~place for studying the complex interactions between the~~
146 ~~atmosphere and human activities.~~

147 In this study, the impacts of land surface forcing and AH on meteorology in the central
148 YRD region, and how these impacts further modulate O₃ are investigated using the Weather

149 Research and Forecasting model coupled to Chemistry (WRF-Chem). These results fill the
 150 knowledge gap about the formation of O₃ pollution in this region and provide valuable insight for
 151 other rapidly developing regions with complex geographytopography in the world. The remainder
 152 of this paper is organized as follows. Sect. 2 gives a detailed description about the observation data,
 153 the model setup and experimental design. The main results, including the characteristics of O₃
 154 pollution episodes, the model evaluation and the changes in meteorology and response of O₃ caused
 155 by to land surface forcing land use and AH, are presented in Sect. 3. Summary and conclusions are
 156 given in Sect. 4.
 157



158
 159 **Figure 1.** (a) Three nested WRF-Chem domains, (b) the locations of 26 cities (red dots) and weather
 160 stations (blue triangles) in the YRD. The green rectangular regions represent the innermost domain
 161 and also the central YRD region. These cities in (b) include: the megacity Shanghai (SH); Hangzhou
 162 (HZ), Ningbo (NB), Jiaxing (JX), Huzhou (HZ1), Shaoxing (SX), Jinhua (JH), Zhoushan (ZS) and
 163 Taizhou (TZ) located in Zhejiang Province; Nanjing (NJ), Wuxi (WX), Changzhou (CZ), Suzhou
 164 (SZ), Nantong (NT), Yancheng (YC), Yangzhou (YZ), Zhenjiang (ZJ) and Taizhoushi (TZS) located
 165 in Jiangsu Province; and Hefei (HF), Wuhu (WH), Maanshan (MAS), Tongling (TL), Anqing (AQ),
 166 Chuzhou (CZ1), Chizhou (CZ2) and Xuancheng (XC) located in Anhui Province.

167

168 **2 Materials and methods**

169 2.1 Surface observations

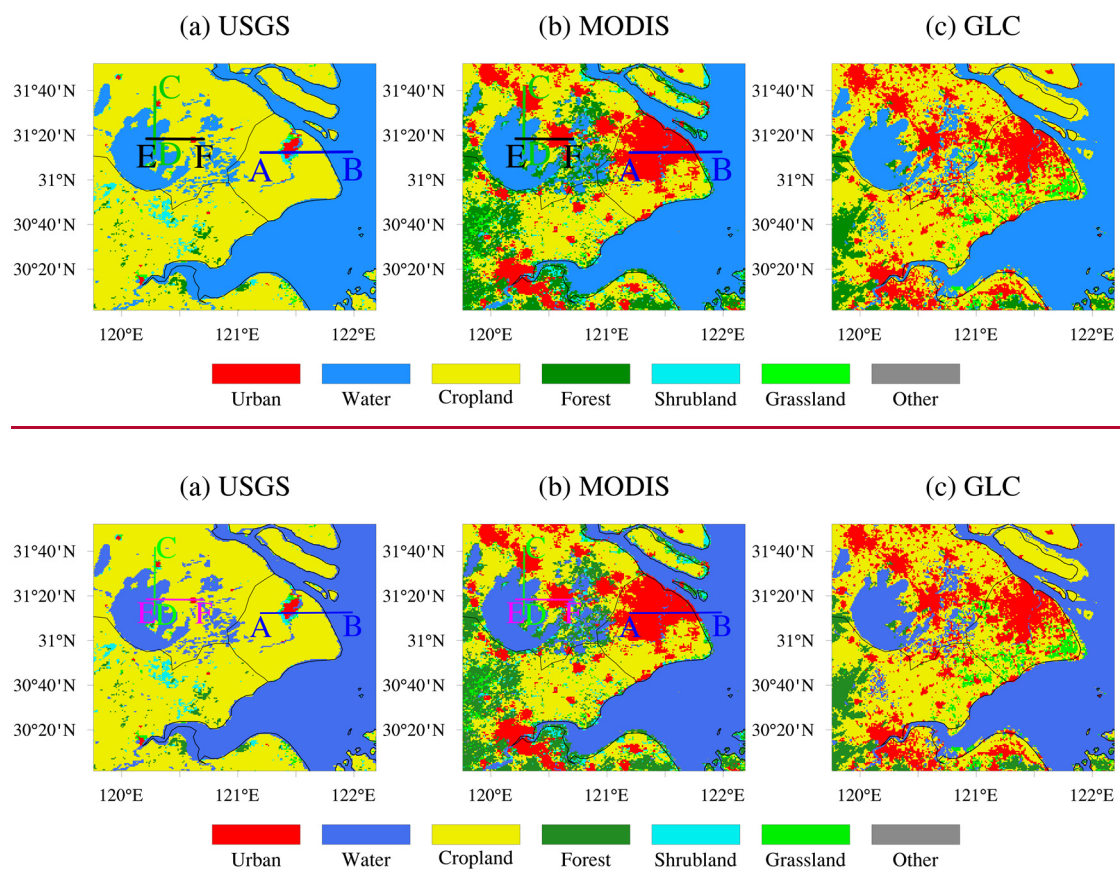
170 Hourly O₃ concentrations monitored by the National Environmental Monitoring Center
171 (NEMC) of China are used in this study. These data strictly follow the national monitoring standards
172 HJ 654-2013 and HJ 193-2013 (<http://www.cnemc.cn/jcgf/dqhj/>), and can be available at
173 ~~<https://quotsoft.net/air/>, a mirror of data from the official NEMC real-time publishing platform~~
174 ~~(<http://106.37.208.233:20035/>)~~. The nationwide observation network initially operated in 74 major
175 cities ~~since~~ 2013, and it has grown to more than 1,500 stations covering 454 cities by 2017 (Lu et
176 al., 2018). The urban hourly O₃ concentrations are average results of measurements at all monitoring
177 sites for each city. The maximum daily 8-h running average (MDA8) O₃ concentrations are then
178 calculated based on the hourly O₃ concentration with more than 18-h measurements in the day (Liao
179 et al., 2017).

180 Meteorological data are provided by the National Climatic Data Center (NCDC), including ~~2-~~
181 ~~m air~~ temperature (T_2), relative humidity (RH), 10-m ~~-~~wind speed (WS_{10}) and direction (WD_{10}),
182 ~~and relative humidity and cloud cover (CC)~~, etc. These data as well as the technical documents
183 recording the quality control, data collection and archive can be available at
184 <ftp://ftp.ncdc.noaa.gov/pub/data/noaa/isd-lite/>. Locations of ~~the~~ surface observation stations are
185 shown in Figure 1b. Specifically, the meteorological stations in the innermost domain include
186 Pudong (Pd), Shanghai (Sh), Hongqiao (Hq) and Xiaoshan (Xs).

187 2.2 MODIS-based and USGS land use classifications

188 To ~~investigate-explore~~ the ~~effects-impact~~ of ~~land-surface-forcing-land-use~~ on ~~regional~~
189 meteorology and O₃ ~~evolution~~ in the YRD, ~~the~~ two land use categories defaulted in WRF (~~MODIS-~~
190 ~~based-and-USGS-land-use-classifications~~) are used to set up the first two ~~sensitivity-scenarios~~
191 simulations (Table 2). The MODIS-based land cover product was created from 500-m MODIS Terra
192 and Aqua satellite imagery (Friedl et al., 2010), and replaced USGS as the default settings in WRF
193 since version 3.8. The USGS data primarily derived from the Advanced Very High Resolution
194 Radiometer (AVHRR) from 1992 to 1993 at 1-km spatial resolution (Loveland et al., 2000), which
195 ~~is-much-earlier-than-the-MODIS-data~~ ~~reflects~~ the distribution of cities in the late 1980s. Figure 2
196 presents the land cover maps in the innermost domain. ~~The most obvious difference between~~
197 ~~MODIS and USGS comes from the urban fraction, which is related to the urban expansion caused~~
198 ~~by~~ ~~Apparently, urban fraction with MODIS is much higher than USGS, indicating~~ rapid urbanization

199 in recent decades in the YRD. ~~The differences in urban land surface forcing between USGS and~~
 200 ~~MODIS mainly depend on urban expansion. In addition~~Additionally, the Finer Resolution
 201 Observation and Monitoring-Global Land Cover in 2015 (~~GLCFrom-GLC_2015~~), which ~~can be~~
 202 ~~considered as is~~ one of the latest (2015) and finest (30-m) land cover datasets (Gong et al., 2019),
 203 is quite consistent with the performance of MODIS in this region. This ~~further~~ confirms that urban
 204 fraction ~~inwith~~ MODIS is close to the reality. ~~Thus, the MODIS data can generally refer to today's~~
 205 ~~distribution of cities. :-~~



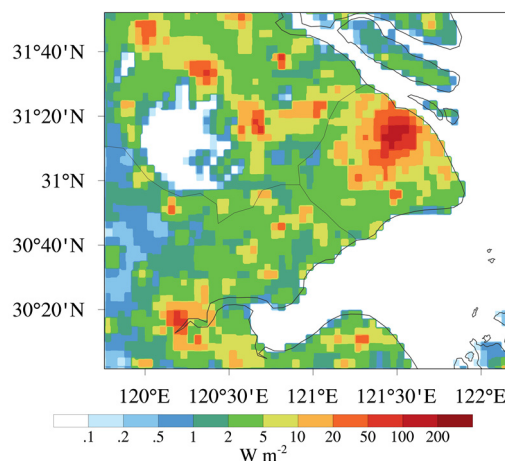
207
 208
 209 **Figure 2.** Land cover maps in the innermost domain, including the result of (a) USGS, (b) MODIS,
 210 and (c) ~~GLCFrom-GLC_2015~~.

212 2.3 Anthropogenic heat flux modeling

213 Another ~~scenario~~ simulation ~~incorporatesinvolved~~ the urban canopy model with the gridded
 214 AH fluxes ~~is conducted toto~~ ~~diagnose the impact of~~ ~~estimate~~ AH ~~release in the central YRD~~. The AH
 215 fluxes ~~were calculated based on the statistics data of energy consumption of China in 2016, and then~~

216 were grided as 144 rows and 144 columns with a resolution at 2.5° using population density
217 downloaded from Columbia University’s Socioeconomic Data and Applications Center,are mainly
218 the result of chemical energy or electrical energy that are converted to heat, thereby they can be
219 quantified using the top-down energy inventory method. Based on the statistics data of energy
220 consumption in 2016, the AH fluxes were calculated, and then were grided as 144 rows and 144
221 columns with a resolution at 2.5 aremin using population density in China. AH fluxes with their
222 diurnal variations are considered by adding them to the sensible heat flux from the urban canopy
223 layer within the Single Layer Urban Canopy Model (SLUCM). The AH fluxes for each grid are
224 determined by the fixed AH value for the urban land use category, the urban fraction value on each
225 grid and the fixed temporal diurnal pattern. Details on the calculation ~~as well as the distribution~~ of
226 AH fluxes, and how to add AH fluxes into the ~~urban-canopy model~~ can refer to Xie et al. (2016a, b).
227 Figure 3 gives the spatial distribution of AH fluxes in the innermost domain. In the urban areas, the
228 AH fluxes usually exceed 20 W m^{-2} . Some ~~megabig~~ cities, like Shanghai, can have a value of AH
229 flux as high as 200 W m^{-2} . Except for the urban areas, the AH fluxes are generally less than 5 W m^{-2}
230 ² in most parts of the YRD region. In particular, in those places where there is no human activity,
231 the AH flux is 0.

232



233

234 **Figure 3.** Spatial distribution of anthropogenic heat fluxes in the innermost domain.

235

236 2.4 Model set-up and experimental designs

237 In this study, the WRF-Chem version 3.9.1 is applied. The WRF-Chem model is a fully coupled
238 online numerical weather prediction model with chemistry component (Grell et al., 2005), in which

239 ~~air quality~~chemical and the meteorological ~~component~~variables use the same coordinates, transport
240 schemes and physics schemes in space and time. ~~In this study, the WRF-Chem version 3.9.1 is~~
241 ~~applied.~~The initial and boundary conditions of meteorological fields are from the National Centers
242 for Environmental Prediction (NCEP) global final analysis fields every 6 h with a spatial resolution
243 of $1^\circ \times 1^\circ$. There are 32 vertical levels extending from the surface to 100 hPa with 12 levels located
244 below 2 km to resolve the boundary layer processes. Furthermore, the domain and options for
245 physical and chemical parameterization schemes are summarized in Table 1. The anthropogenic
246 emissions are provided by the Multiresolution Emission Inventory for China (MEIC) in 2017 with
247 a resolution of 0.25° (<http://meicmodel.org/>), which includes 10 air pollutants and CO₂ from power,
248 industry, residential, transportation and agriculture sectors. The biogenic emissions are
249 ~~calculated~~estimated online ~~using~~by the Model of Emissions of Gases and Aerosols from Nature
250 (MEGAN) available in WRF-Chem (Guenther et al., 2006). As our main objective is to explore the
251 response of O₃ to the meteorological changes induced by land use and AH, we use the same surface
252 biogenic emission rates for different land use scenarios (Li et al., 2014, 2017). Further studies will
253 be carried out to quantify the contribution of biogenic volatile organic compounds changed by
254 meteorological conditions to O₃.

255

256 **Table 1.** The domains and major options for WRF-Chem

Items	Contents
Dimensions (x, y)	(101, 96), (146, 121), (236, 206)
Grid spacing (km)	25, 5, 1
Time step (s)	75
Microphysics	Purdue Lin microphysics scheme (Chen and Sun, 2002)
Longwave radiation	RRTM scheme (Mlawer et al., 1997)
Shortwave radiation	Goddard scheme (Kim and Wang, 2011)
Surface layer	Revised MM5 Monin-Obukhov scheme
Land-surface layer	Noah land-surface model (Chen and Dudhia, 2001)
Planetary boundary layer	YSU scheme (Hong et al., 2006)
Cumulus parameterization	Grell 3D ensemble scheme (Grell and Devenyi, 2002)

Gas-phase chemistry	RADM2 (Stockwell et al., 1990)
Photolysis scheme	Fast-J photolysis (Fast et al., 2006)
Aerosol module	MADE/SORGAM (Schell et al., 2001)

257

258 As shown in Table 2, three numerical experiments are performed. ~~to study the effects of land-~~
259 ~~surface forcing and AH on meteorology and O₃ in the YRD.~~ The MODIS_noAH experiment is a
260 control simulation with commonly used settings. Compared with MODIS_noAH, USGS_noAH
261 selects the USGS land use classification data at run-time through the geogrid program. Thus, the
262 difference between the modeling results of MODIS_noAH and USGS_noAH can illustrate the
263 changes caused by land use cover. As for the impact of AH, it can be identified by comparing the
264 modeling results of MODIS_withAH and MODIS_noAH. ~~All three simulations run from 00:00 on~~
265 ~~21 May to 00:00 on 4 June in 2017 with the first 88 h as spin-up time. To exclude the uncertainty~~
266 ~~conceivably caused by different configurations, all three simulations, using the same emission~~
267 ~~inventory, physical and chemical parameterization schemes (Table 1), running from 00:00 UTC 21~~
268 ~~May to 00:00 UTC 4 June 2017 with the first 88 h as spin-up time.)—~~

269

270 **Table 2.** The three numerical experiments.

<u>Scenario Cases</u>	Land use <u>classification categories</u>	Whether to add AH
MODIS_noAH	MODIS-based	No
USGS_noAH	USGS	No
MODIS_withAH	MODIS-based	Yes

271

272 2.5 Model evaluation

273 To verify model performance, ~~t~~The simulation results in the innermost domain, including O₃
274 concentration, ~~2-m air temperature (T₂), relative humidity (RH), 10-m wind speed (WS₁₀ and) and~~
275 ~~10-m wind direction (WD₁₀)~~ are examined against the surface observations described in Sect. 2.1.
276 The statistical metrics, including the mean bias (MB), root mean square error (RMSE) and
277 correlation coefficient (COR), are also used to evaluate the model performance calculated. They are

278 defined as follows:

$$279 \quad MB = \frac{1}{N} \sum_{i=1}^N (S_i - O_i), \quad (1)$$

$$280 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (S_i - O_i)^2}, \quad (2)$$

$$281 \quad COR = \frac{\sum_{i=1}^N (S_i - \bar{S})(O_i - \bar{O})}{\sqrt{\sum_{i=1}^N (S_i - \bar{S})^2} \sqrt{\sum_{i=1}^N (O_i - \bar{O})^2}}, \quad (3)$$

282 where S_i and O_i are the simulations and observations, respectively. N is the total amount of valid
283 data, and \bar{S} and \bar{O} represent the average of simulations and observations, respectively. Generally,
284 the model performance is acceptable if the values of MB and $RMSE$ are close to 0, and that of COR
285 is close to 1 (Xie et al., 2016a, b; Zhan et al., 2020).

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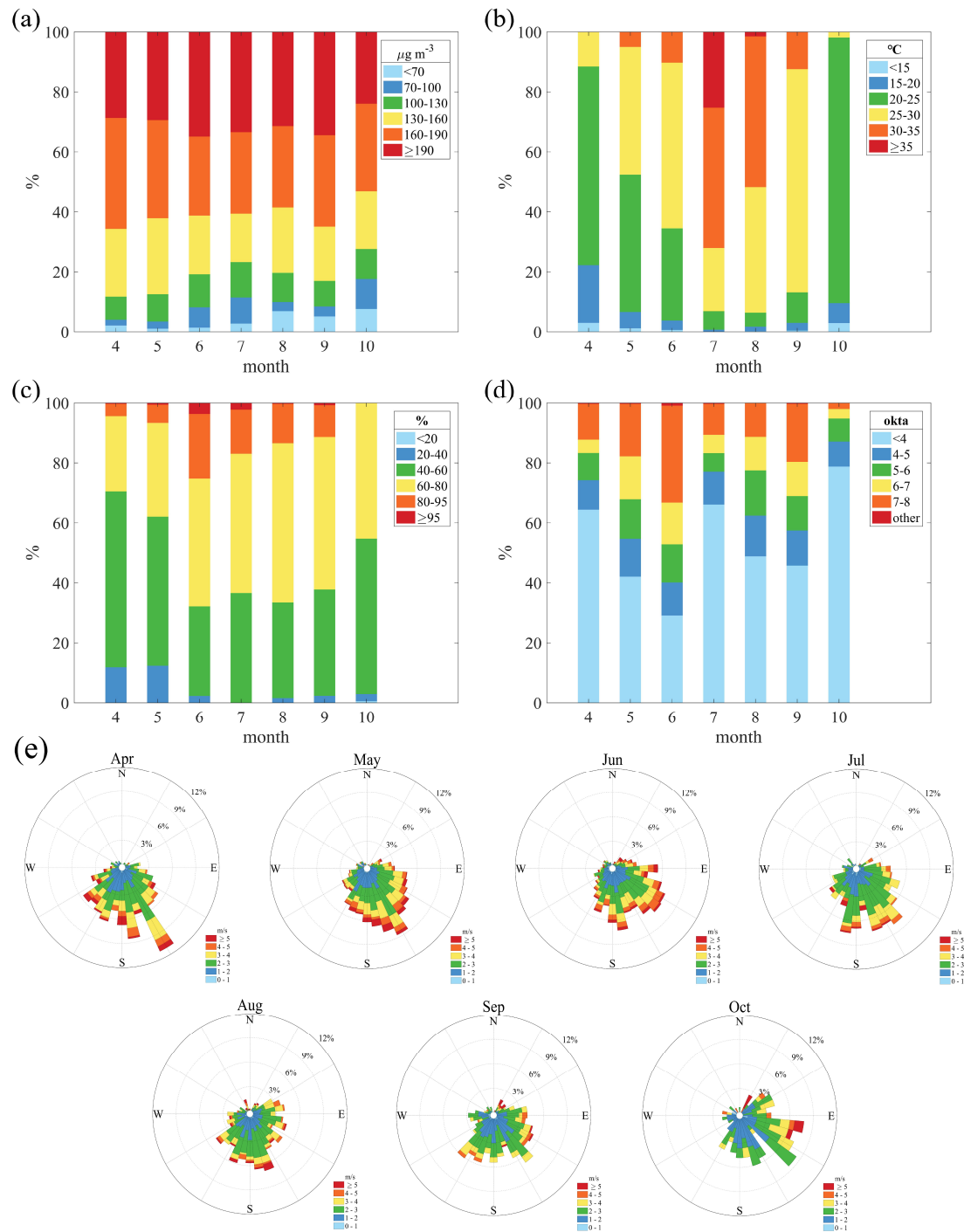
287 3 Results and discussions

288 3.1 Regional O₃ pollution episodes in the YRD

289 ~~Under adverse weather conditions~~On cloudless sunny days, regional O₃ pollution episodes
290 occur frequently in the YRD (Gao et al., 2020; Zhan et al., 2021), ~~which can~~ Sometimes, O₃
291 ~~pollution can spread throughout the YRD and cause regional O₃ pollution,~~ affecting an area of up to
292 3.5 million square kilometers and harming more than 200 million people. ~~Based on the surface O₃~~
293 ~~observations, we define t~~The regional O₃ pollution ~~in the YRD is generally defined~~ as when more
294 than half of the 26 typical cities in the YRD fail to meet the national O₃ standard (In China, the
295 national ambient air quality standard for MDA8 O₃ is 160 μg m⁻³). ~~Based on the surface O₃~~
296 ~~observations, and we then~~ sort out all regional O₃ pollution episodes and the corresponding weather
297 patterns from 2015 to 2019 (Table S1). There were 20, 19, 34, 28 and 30 regional O₃ pollution cases
298 in the YRD from 2015 to 2019, respectively. These cases mainly occurred in April to October of
299 each year, and were usually related to high pressure, uniform pressure field and typhoon activity.

300 Figure 4 further displays the monthly distribution of meteorological factors during the day
301 (from 8:00 to 20:00 local time) when regional O₃ pollution occurs in the YRD. All the variables
302 show significant monthly variations. The highest (lowest) temperature is found in July (April), and

303 the relative humidity is highest in June. ~~This may be related to the Meiyu in June, and the hot weather~~
304 ~~in July as the YRD is usually dominated by the western Pacific subtropical high after Meiyu.~~ As for
305 the cloud cover, the sky is covered with fewer clouds in October than other months. In addition,
306 southeast wind prevails in the YRD from April to October under the influence of monsoon climate.
307 The correlation coefficients between temperature, relative humidity, cloud cover, wind speed and
308 MDA8 O₃ are 0.12, -0.34, -0.15 and 0.04, respectively. As shown in Figure 4, O₃ pollution episodes
309 tend are likely to occur in the YRD on days characterized by high temperature, low relative humidity,
310 cloudless sky and light wind (the weak correlation between wind speed and MDA8 O₃ is due to the
311 small change in light wind). ~~when the~~ More specifically, on days when the temperature exceeds 20 °C
312 (Figure 4b), the relative humidity is less than 80% (Figure 4c), the cloud cover is less than 5 okta
313 (Figure 4d), and the wind speed is less than 3 m s⁻¹ (Figure 4e) in the YRD. On the other
314 hand ~~Interestingly, the local circulations induced by thermal differentiation are~~ is clearest when in
315 absence of clouds, radiative heating is strongest and wind is weakest. ~~Th~~ In this case, us, both O₃
316 pollution and local circulations tend to appear in calm conditions characterized by high temperature,
317 cloudless sky and weak wind, and the local circulation can will inevitably have an impact on the
318 distribution evolution of O₃ ~~in this case.~~
319



320

321 **Figure 4.** The monthly distribution of (a) MDA8 O₃, (b) temperature, (c) relative humidity, (d) cloud
 322 cover, and (e) wind speed and direction during the daytime (8:00 to 20:00 LT) when regional O₃
 323 pollution occurs in the YRD.

324

325 3.2 Case selection

326 3.2.1 Case for O₃ pollution episode

327 For simplicity but without loss of generality, the longest-lasting regional O₃ pollution
 328 ~~episode case in Table S1~~ is selected to investigate the impacts of ~~land surface forcing land use~~ –and
 329 AH on meteorology and O₃ ~~pollution~~–in the YRD. This 10-day regional O₃ pollution episode
 330 occurred from 25 May to 3 June in 2017 (Table S1). ~~Dominated by high pressure/uniform pressure~~
 331 ~~field (Figure S1), high O₃ concentrations are accompanied by high air temperature, low relative~~
 332 ~~humidity, light wind and shallow cloud cover~~ During this ~~smog episode period~~, ~~An~~ average of
 333 18 out of the 26 cities experienced O₃ pollution every day ~~with, and the~~ MDA8 O₃ concentrations
 334 ~~ranged~~ ~~ranged~~ from ~~80.04~~68.4 to ~~26905.04~~ 269.0 μg m⁻³ ~~in the YRD. Moreover, the daily maximum air~~
 335 ~~temperature ranged from 28.5 to 33.9 °C over the central YRD (the innermost domain) under high~~
 336 ~~pressure/uniform pressure field (Figure S1)~~ With regard to the meteorological factors, T₂ ranged
 337 from 12.9 to 33.5 °C, with an average of 26.4 °C; RH ranged from 26.6 to 99.4 %, with an average
 338 of 58.6 %; WS₁₀ ranged from 0.5 to 10.8 m s⁻¹, with an average of 2.8 m s⁻¹; CC ranged from 0 to
 339 8.4 okta, with an average of 4.2 okta (Table 3). The values of these meteorological factors meet the
 340 standards in previous section, and can cover both the whole YRD and the central YRD region (the
 341 innermost domain). Therefore, ~~–t~~ This O₃ pollution episode ~~not only~~ ~~case~~ meets the requirements of
 342 ~~calm weather and~~ high O₃ concentration ~~but also~~ ~~clm~~ weather conditions. And the ~~relatively~~ long
 343 duration– ~~provides relatively universal~~ ~~also provide a representative~~ results.

344
 345 **Table 3.** Mean, minimum and maximum of MDA8 O₃, T₂, RH, WS₁₀ and CC during the daytime
 346 from 25 May to 3 June 2017.

	The YRD region			The central YRD region		
	Mean	Minimum	Maximum	Mean	Minimum	Maximum
MDA8 O ₃ (μg m ⁻³)	182.1	80.0	269.0	177.8	118.0	251.0
T ₂ (°C)	26.4	12.9	33.5	26.7	21.4	29.8
RH (%)	58.6	26.6	99.4	52.9	33.8	73.7
WS ₁₀ (m s ⁻¹)	2.8	0.5	10.8	3.6	1.6	6.0
CC (okta)	4.2	0	8.4	3.3	0	7.4

347

348 3.2.2 Evaluation of model performance

349 ~~To evaluate the model performance~~ In this study, ~~three numerical experiments are conducted~~
 350 ~~using WRF-Chem (Sect. 2.4) during the period of the previously mentioned O₃ episode. The~~
 351 simulation results ~~in the innermost domain~~ are validated ~~in the innermost domain~~ by comparing

352 with the observational data. Table ~~5-4~~ presents the statistical metrics in meteorological
 353 ~~factors~~variables that includes 2-m air temperature (T_2), relative humidity (RH), 10-m wind speed
 354 (WS_{10}) and direction (WD_{10}). Figure 5 further illustrates ~~the~~ time series ~~of~~comparisons between
 355 these meteorological factors and their modeling results. T_2 is reasonably well simulated as the ~~mean~~
 356 CORs (the mean of all the sites) are 0.87~~5~~, 0.86~~5~~ and 0.86~~3~~ in MODIS_noAH, USGS_noAH and
 357 MODIS_AH, respectively. The small negative MBs at all sites suggest that our simulations
 358 underestimate T_2 to some extent, though this light underestimation is acceptable because of the small
 359 ~~mean~~RMSEs (2.3, 3.1 and 2.3 °C). The ~~mean~~ MBs for T_2 in USGS_noAH, MODIS_noAH and
 360 MODIS_AH are -2.4, -1.0, and -0.8 °C, indicting an improvement in temperature when new land
 361 use and AH are taken into account. ~~These results~~is can also be confirmed by Figure 5a. With respect
 362 to RH, the ~~mean~~ CORs are 0.82~~3~~, 0.75~~3~~ and 0.83~~25~~ for ~~the three numerical~~
 363 ~~experiments~~MODIS_noAH, USGS_noAH and MODIS_AH, respectively. Thus, aAll ~~three~~
 364 simulations can well capture the diurnal variation of RH, but have different performance on different
 365 sites (Figure 5b). In USGS_noAH, RH is overestimated at all sites, especially Pudong site ~~with~~, and
 366 the ~~mean~~ MB is 11.2%. While RH is ~~only~~ overestimated at the two coastal sites (Pudong and
 367 Shanghai) but underestimated at other two sites (Hongqiao and Xiaoshan) in MODIS_noAH and
 368 MODIS_AH. Moreover, USGS_noAH has the highest ~~mean~~ RMSEs of RH (16.3%), followed by
 369 MODIS_AH (12.4%) and MODIS_noAH (12.1%). As for WS_{10} , the modeling values are slightly
 370 overestimated at all sites in all three simulations. The overestimation of WS_{10} may partly be
 371 attributed to the unresolved terrain features by the default surface drag parameterization causing an
 372 overestimation of wind speed ~~in particular~~especially at low values (Jimenez and Dudhia, 2012). In
 373 ~~particular~~Specially, WS_{10} in USGS_noAH is the most overestimated, followed by MODIS_AH and
 374 MODIS_noAH, ~~with the~~ ~~mean~~MBs are 1.2, 1.0 and 0.8 m s⁻¹, respectively. AdditionallyIn
 375 addition, a high ~~mean~~MBs of WS_{10} ~~are~~is found ~~to~~ corresponding ing to a high ~~mean~~ RMSEs (1.9, 1.8
 376 and 1.7 m s⁻¹) in our simulations. In terms of WD_{10} , the model captures well the shift in wind
 377 direction during the study period (Figure 5d). Thus, our modeling results of wind speed and direction
 378 basically reflect the characteristics of wind fields. In summary, both the statistical metrics in Table
 379 ~~3-4~~ and time series in Figure 5 ~~illustrate~~ indicate that all ~~three~~ numerical experiments can capture
 380 reflect the major ~~changes~~characteristics ~~about~~of meteorological ~~conditions~~ factors during this O₃
 381 pollution episode. Nevertheless, updating thesing new-land -use data and adding AH can somewhat

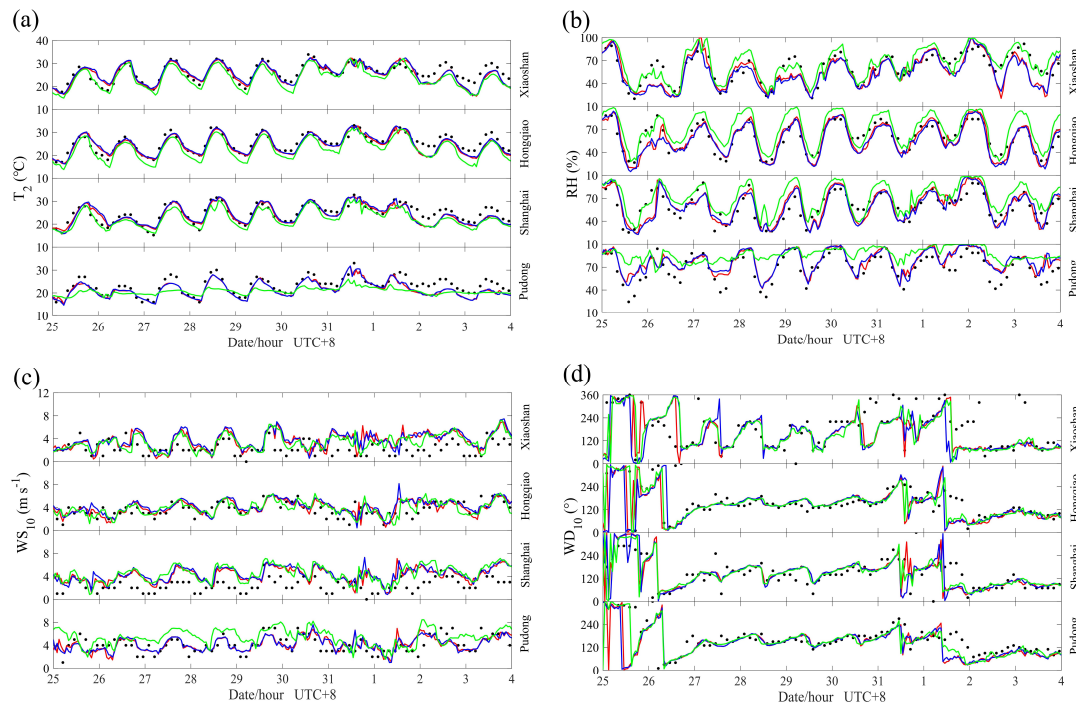
382 reduce the underestimation of T_2 and the overestimation of RH and WS_{10} in models to some extent.

Table 34. Statistical metrics in meteorological variables between observations and simulations.

Variables	Site	MODIS_noAH				USGS_noAH				MODIS_AH				
		\bar{O}^a	\bar{S}^b	MB ^c	RMSE ^d	COR ^e	\bar{S}	MB	RMSE	COR	\bar{S}	MB	RMSE	COR
T ₂ (°C)	Pd	23.2	21.5	-1.7	2.4	0.89	20.7	-2.5	3.8	0.70	21.5	-1.7	2.4	0.89
	Sh	24.6	23.9	-0.7	2.2	0.87	22.5	-2.1	2.7	0.90	24.2	-0.5	2.3	0.84
	Hq	25.3	24.4	-0.9	2.0	0.89	22.7	-2.6	3.0	0.95	24.8	-0.5	1.9	0.89
	Xs	25.9	25.1	-0.8	2.4	0.85	23.8	-2.2	2.8	0.91	25.5	-0.4	2.4	0.83
RH (%)	Pd	69.1	77.7	8.6	13.5	0.81	86.2	17.2	23.4	0.45	77.7	8.7	13.3	0.83
	Sh	59.3	60.6	1.3	11.7	0.81	71.1	11.8	16.1	0.81	59.4	0.1	12.4	0.78
	Hq	59.5	57.7	-1.8	9.8	0.88	70.6	11.1	14.5	0.89	56.2	-3.3	9.8	0.89
	Xs	60.6	55.4	-5.2	13.5	0.79	65.3	4.8	11.3	0.86	53.5	-7.1	14.1	0.80
WS ₁₀ (m s ⁻¹)	Pd	4.1	4.1	0.0	1.4	0.47	5.5	1.3	2.1	0.35	4.2	0.1	1.3	0.51
	Sh	2.5	4.2	1.7	2.2	0.36	4.5	2.0	2.4	0.54	4.3	1.9	2.3	0.35
	Hq	3.7	3.9	0.2	1.2	0.54	3.9	0.2	1.2	0.53	4.2	0.5	1.3	0.50
	Xs	2.3	3.6	1.3	2.0	0.26	3.4	1.1	1.8	0.30	3.8	1.5	2.1	0.24
WD ₁₀ (°)	Pd	160.4	136.1	-26.2	78.7	0.42	148.1	-14.3	55.1	0.72	137.3	-24.7	77.5	0.42
	Sh	141.6	146.4	4.8	66.4	0.60	141.7	0.1	63.9	0.59	142.6	1.0	69.9	0.56
	Hq	159.7	140.2	-23.4	80.2	0.46	153.1	-10.6	74.9	0.52	142.8	-20.4	91.8	0.29
	Xs	188.6	160.2	-28.4	99.5	0.48	161.4	-27.3	109.6	0.35	152.0	-36.6	109.9	0.38

384 ^a \bar{O} and ^b \bar{S} indicate the average of observations and simulations, respectively. ^c MB indicates the mean bias, ^d RMSE indicates the root mean square error and ^e COR

385 indicate the correlation coefficient, with statistically significant at 99% confident level.



387

388 Figure 5. Time series of T_2 , RH, WS_{10} and WD_{10} for observations and simulations at different
 389 weather meteorological stations. The black dots are the surface observations. The simulation results
 390 of MODIS_noAH, USGS_noAH and MODIS_withAH are shown in red, green and blue lines,
 391 respectively.

392

393 Table 4-5 lists the statistical metrics in O_3 , and Figure 6 gives the hourly variations of O_3 for
 394 observations and simulations inat different citiesites. With high CORs (the mean CORs are 0.80,
 395 0.81 and 0.80 in MODIS_noAH, USGS_noAH and MODIS_AH, respectively), all three
 396 simulations can well reproduce the diurnal variation of O_3 , which shows that O_3 concentration
 397 reaches its maximum in the afternoon and gradually decreases to its minimum in the morning. The
 398 magnitudes of O_3 modeling results is-are reasonable (Figure 6), but the peak and valley values of
 399 O_3 simulations are sometimes differ greatly from the observations, especially the peak value,
 400 like Huzhou. This may be related to the resolution of the emission inventory and the distribution
 401 of O_3 -precursors. Considering the relatively low mean $MB\bar{S}$ (6.9, -1.6 and $9.0 \mu\text{g m}^{-3}$) and mean
 402 $RMSE\bar{S}$ (49.3, 46.2 and $49.0 \mu\text{g m}^{-3}$), the modeling results of O_3 are generally reasonable and
 403 acceptable.

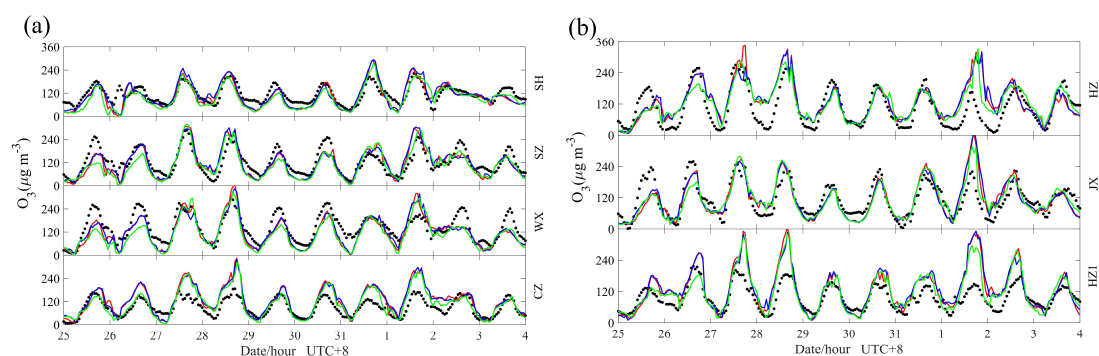
404

405

Table 45. Statistical metrics in O₃ ($\mu\text{g m}^{-3}$) between observations and simulations.

Case	Index	CitySite						
		CZ	WX	SZ	SH	HZ1	JX	HZ
MODIS_noAH	\bar{O}	89.7	141.8	121.7	112.8	95.8	113.2	104.8
	\bar{S}	123.2	117.6	116.2	103.4	128.1	112.5	127.5
	MB	33.3	-24.2	-5.6	-9.1	32.1	-0.6	22.7
	RMSE	53.8	49.1	42.8	36.4	59.9	44.4	58.6
	COR	0.85	0.83	0.82	0.80	0.83	0.78	0.71
USGS_noAH	\bar{S}	108.1	106.8	107.1	93.8	118.6	111.0	122.5
	MB	18.5	-35.0	-14.7	-18.9	23.0	-2.0	18.0
	RMSE	43.5	56.0	44.7	37.7	50.1	41.1	50.0
	COR	0.83	0.81	0.80	0.81	0.82	0.80	0.77
MODIS_AH	\bar{S}	124.5	119.8	119.1	108.0	130.3	113.7	127.8
	MB	34.7	-21.9	-2.7	-4.6	34.3	0.6	23.0
	RMSE	53.5	47.3	42.4	37.4	59.4	44.7	58.2
	COR	0.84	0.83	0.81	0.80	0.82	0.78	0.71

406



407

408 Figure 6. Same as Figure 5, but for O₃.

409

410 Above all, the WRF-Chem model using our configuration has a good capability in simulating
 411 the meteorological factors and O₃ air quality over the studied region in this study. In addition, it
 412 is still noteworthy that the object of inter-comparisons between the three numerical experiments is
 413 are not to determine which setting is the most skillful in reproducing the observations. Rather, it is
 414 to diagnose and understand the changed differences induced by land surface foreign land use and AH,
 415 and then to provide valuable insight into the formation of the O₃ pollution episodes response of O₃
 416 to these changes.

417

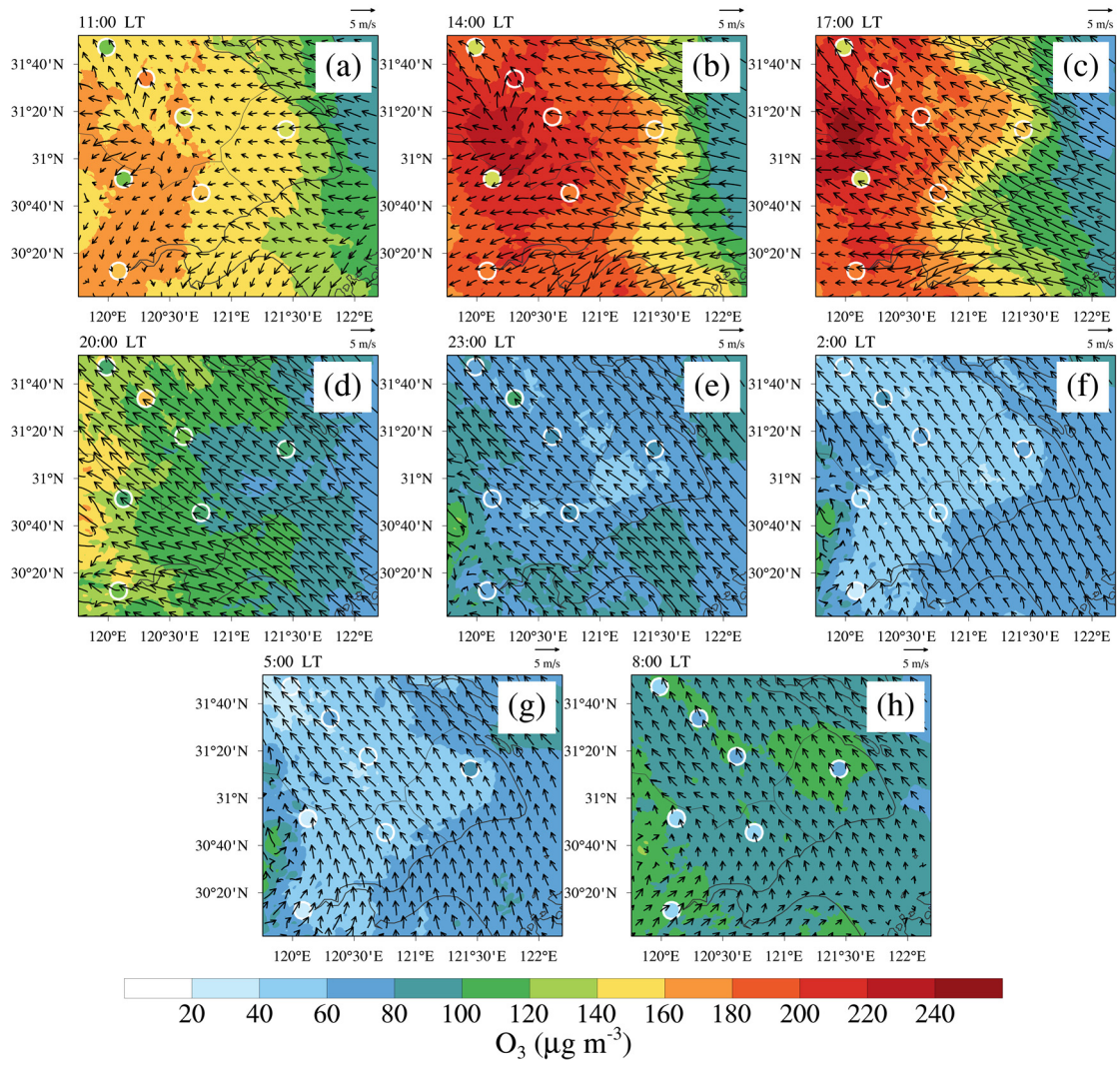
418 **3.3 Overall behaviors of O₃ and local circulations**

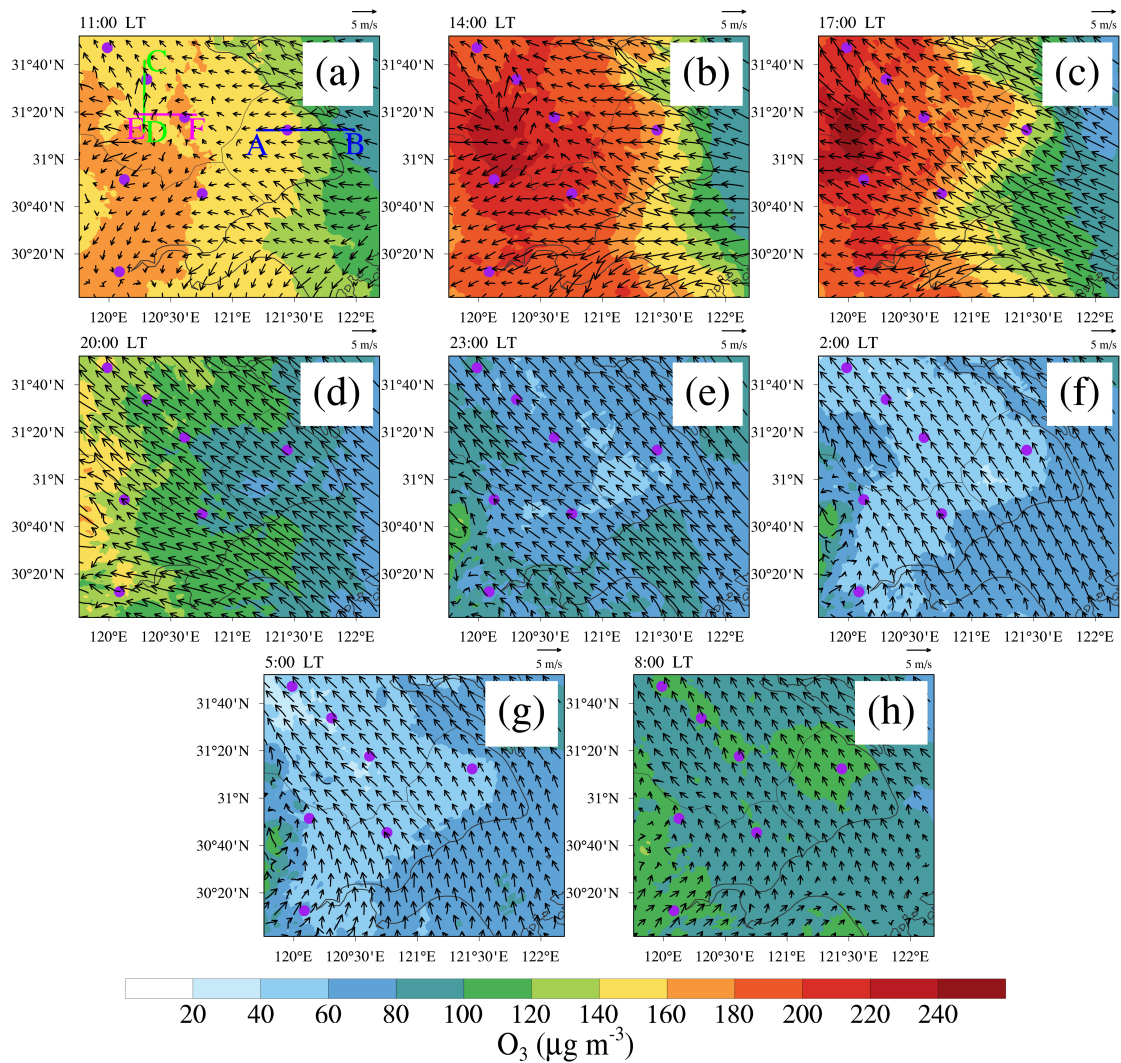
419 Based on the results of the control simulation (MODIS_noAH), we first give an overall
420 behavior of O₃ and local circulations during the study period. ~~T~~ And then the ~~changes~~differences
421 induced by ~~land use~~land surface forcing and AH are discussed via inter-comparisons between
422 ~~different~~the numerical experimentsscenarios simulations. Thereby, only difference plots between
423 USGS_noAH/MODIS_withAH and MODIS_noAH are shown in this paper, ~~and~~but the
424 corresponding original plots for USGS_noAH~~and~~/MODIS_withAH can be found in the
425 supplementary materials (Figure S2-~~5~~7).

426 3.3.1 Spatiotemporal variations of O₃

427 As show in Figure 7, O₃ concentration began to rise around 8:00 local time (LT = UTC + 8 h)
428 ~~after sunrise~~, and became noticeable after only 3 hours (Figure 7a and h). During this stage, the
429 nocturnal residual layer vanished due to the development of the convective boundary layer (Figure
430 8). The O₃-rich air mass in the residual layer was mixed with the O₃-poor air mass on the ground,
431 which enhanced the surface O₃ in the morning (Hu et al., 2018). Around 11:00 LT, the convective
432 boundary layer was established (Figure 8), and high O₃ produced by photochemical reactions
433 appeared over the central YRD and persisted until 18:00 LT (Figure 7b and , ~~7c~~ and 8). ~~The~~
434 ~~maximum O₃ production was in the middle of the boundary layer (~800 m) instead of at the surface~~
435 ~~(Figure 8)~~. After sunset, surface O₃ concentrations generally decreased ~~sharply~~
436 (NO) titration, and reached its minimum in the early morning. ~~The loss of O₃ caused by NO titration~~
437 ~~almost ceased around 2:00 LT when O₃ was at its lowest level of the day~~ (Figure 7f and g). In general,
438 O₃ has a typical diurnal variation with high concentration in the daytime and low concentration at
439 night. This is consistent with the observations~~results~~ in Figure 6, and this rule of O₃ can be applied
440 to most parts of the world. Therefore, the situation during the daytime (w~~We~~ select 11:00, 14:00,
441 17:00 and 20:00 LT in this study) should be paid attention to when it comes to O₃ pollution.

442

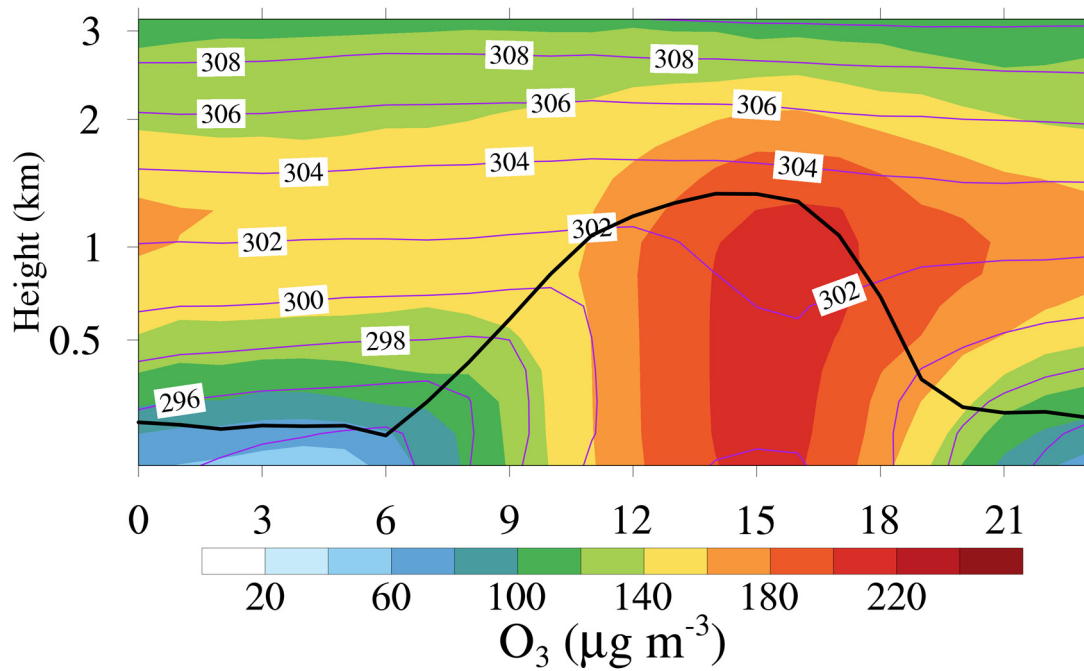




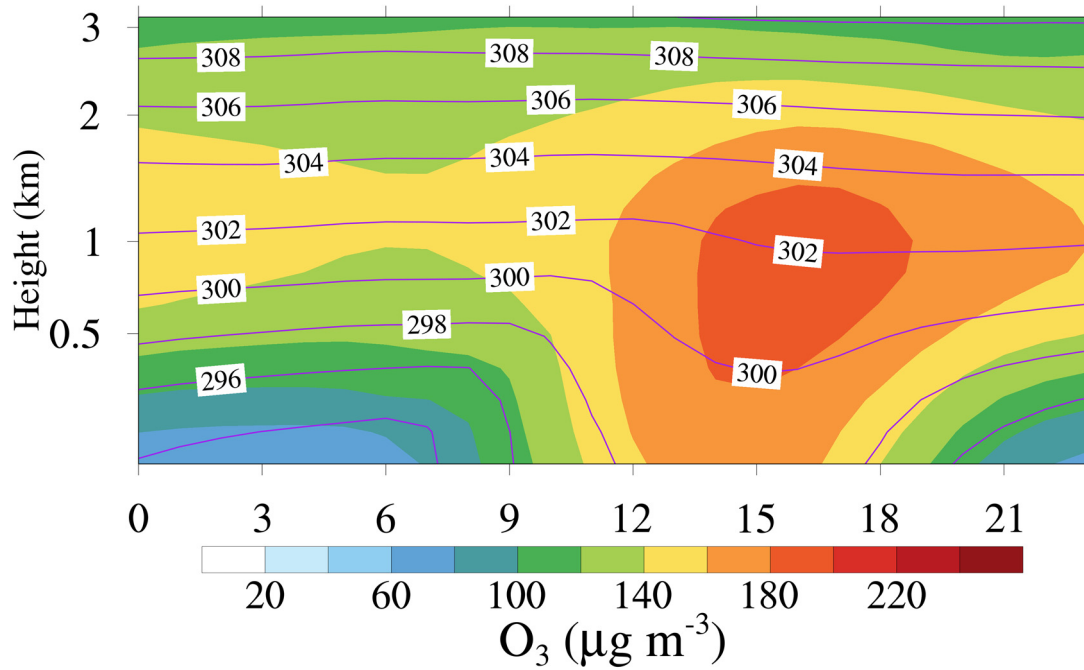
444

445 **Figure 7.** Horizontal distributions of O₃ and wind at the lowest model level in MODIS_noAH. (a),
 446 (b), (c) and (d) are the results at 11:00, 14:00, 17:00 and 20:00 LT, referring to the daytime. (e), (f),
 447 (g) and (h) are the results at 23:00, 2:00, 5:00 and 8:00 LT, referring to the night. The observations
 448 in different cities are overlaid using colored circles-purple dots represent the locations of cities (red
 449 dots in Figure 1b) in the innermost domain. To obtain general-universal feature, all results are the
 450 average of the study period, and the same for the subsequent results.

451



452



453

454 **Figure 8.** Temporal-vertical distribution of O₃ and potential temperature ~~over the innermost~~
 455 ~~domain~~ covering the CZ, WX, SZ, SH, HZ1, JX and HZ ~~over the innermost domain of in~~
 456 MODIS_noAH.

457

458 3.3.2 Sea and lake breezes

459 As shown in Figure 7a and b, high O₃ concentration in the central YRD tended to appear in the

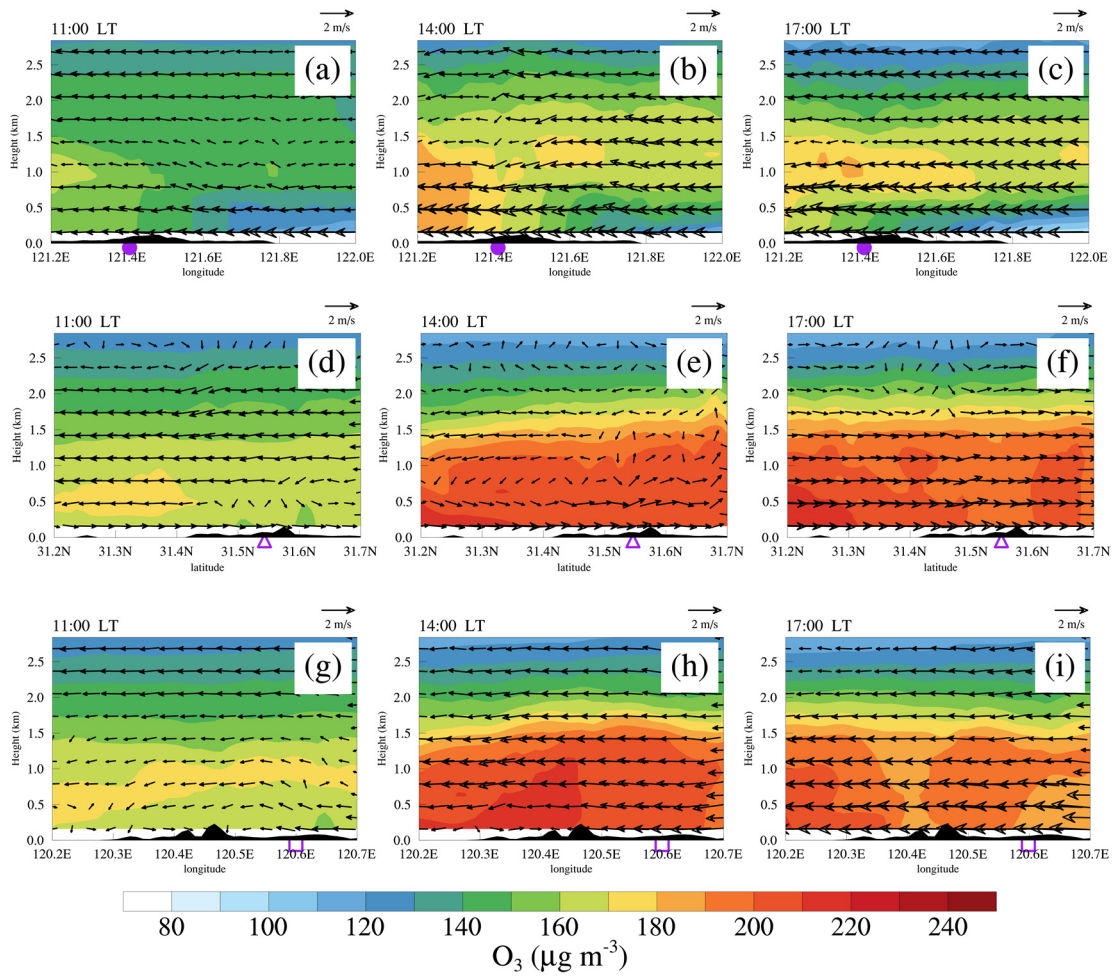
460 converging airflows associated with the sea breeze, the lake breeze and background southeast wind
461 (Figure 4c), in the areas where the local circulations meet the background dominant winds (the
462 southeast wind), the converging airflows make O₃ concentrations higher than those in the
463 surrounding areas. Furthermore, the typical local circulations in the central YRD are the sea and
464 the lake breezes around the Tai Lake. In this study, the sea breeze and the background southeast
465 wind were usually in the same direction, and thereby sea breeze affected a wide area and lasted
466 a long time, which may be related to the local background field since they are mostly in same
467 direction, and it is difficult to separate the sea breeze from the southeast wind. The sea breeze was
468 obvious around 14:00 LT and matured around 17:00 LT, and continuously transported high O₃ from
469 coastal to the inland areas during this period (Figure 7b-d). Compared with the sea breeze, the lake
470 breeze had a much smaller influencing area and a shorter duration. Around 11:00 LT, the lake
471 breeze was established. It reached its maximum intensity around 14:00 LT, and then disappeared
472 sharply due to the predominant southeast wind (Figure 7b and c). Both the sea and the
473 lake breezes are the typical local circulations in the YRD, which plays important roles in the
474 horizontal distributions of O₃ over this region in the central YRD.

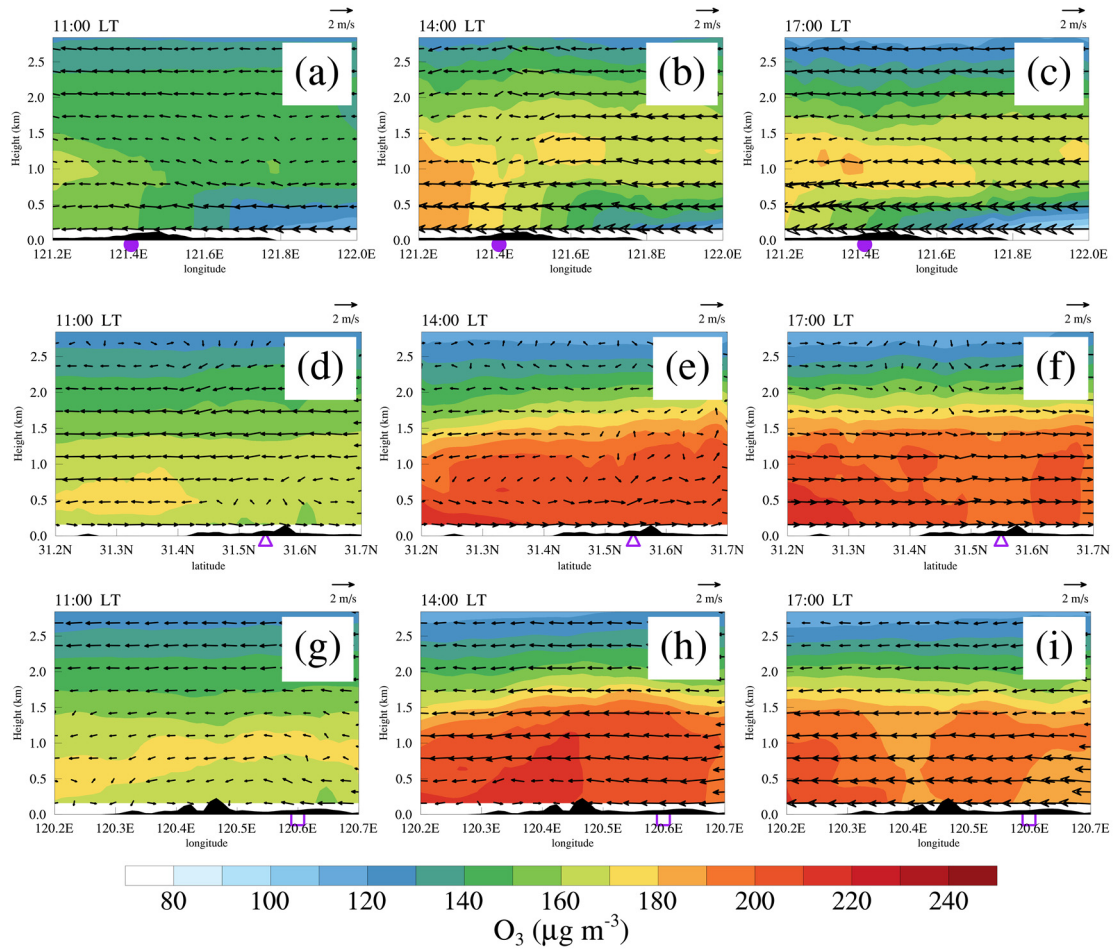
475 Since As the coastline is generally north-south (Figure 1b), the cross sections along blue line
476 AB depicted in Figure 2a are illustrated to show representative example of the vertical structure
477 of the sea breezes (Figure 9a-c). By 11:00 LT, the sea breeze below 500m had already developed
478 by 11:00 LT. A The sea breeze front was found in front of Shanghai (~121.6°E), with a height of
479 1.5 km. Around 14:00 LT, the speed of sea breeze increased around 14:00 LT, which can exceed
480 5 m s⁻¹. The intensified sea breeze front moved inland for a distance of 20-30 km, and
481 the sea breeze front (~121.4°E), and lifted the boundary layer top over Shanghai up was elevated to
482 ~2 km (Figure 9b). Around 17:00 LT, strong sea breeze swept across the central YRD around
483 17:00 LT, reducing the O₃ concentration near the surface in Shanghai coastal areas. But the O₃ in the
484 mixed layer still maintained a high level, which may result in an O₃-rich reservoir forming in
485 the nocturnal residual layer (Figure 9c and 8). The penetration of sea breeze front and its effect on
486 surface O₃ are also observed in other coastal regions, such as the Pearl River Delta Region
487 (You et al., 2019), Taiwan (Lin et al., 2007), the Athens basin (Mavrakou et al., 2012) and Paulo
488 (Freitas et al., 2007).

489 As for the lake breezes, the cross sections along green line CD (Figure 9d-f) and black line EF

490 ~~in Figure 2a(Figure 9g-i)~~ are given since the lake is usually inside the land so that the lake breezes
491 can have different directions. The lake_-breeze was established ~~when the surface wind was weak~~ by
492 11:00 LT (Figure 9d and g) though it was shallow at that time. Around 14:00 LT, the lake_-breeze
493 strengthened. The extension of the lake_-breeze circulation zone can even reach up to 2 km in the
494 vertical dimension (Figure 9e). The offshore flow (~~$\sim 2 \text{ m s}^{-1}$~~) of the lake_-breeze circulation (~~$\sim 2 \text{ m}$~~
495 ~~s^{-1}~~) transported high O₃ concentration from the urban areas to the lake, while the onshore flow blew
496 the O₃ back to urban areas (Figure 9e and h). Thus, the net effect ~~ofis that~~ the lake_-breeze is to
497 ~~“accelerated”~~ the vertical mixing of O₃ in the boundary layer, resulting in high ~~concentration of~~
498 surface O₃ in the lakeside cities. ~~The high surface O₃ concentration caused by the lake breezes~~ This
499 ~~was also reported in~~ has also been confirmed near other lakeside cities, such as the Lake Michigan
500 (Lennartson and Schwartz, 2002), the Great Lakes (Sills et al., 2011) and the Great Salt Lake
501 (Blaylock et al., 2017). ~~By 17:00 LT~~ Finally, the lake_-breeze ~~was destroyed by the prevailing~~
502 ~~southwest wind by 17:00 LT.~~ disappeared.

503





505

506 **Figure 9.** Vertical cross sections of O_3 and wind for the sea-breeze at (a) 11:00, (b) 14:00 and (c)
 507 17:00 LT along blue the line AB in Figure 27a. (d), (e) and (f) are the same as (a), (b) and (c),
 508 respectively, but for the lake-breeze along green the line CD in Figure 27a. (g), (h) and (i) are also
 509 the same as (a), (b) and (c), respectively, but for the lake-breeze along black the line EF in Figure
 510 27a. The purple dots, triangles and rectangles represent the locations of Shanghai, Wuxi and Suzhou,
 511 respectively. The black shaded areas represent the terrain, and the terrain has been multiplied by a
 512 factor of 10 when plotting.

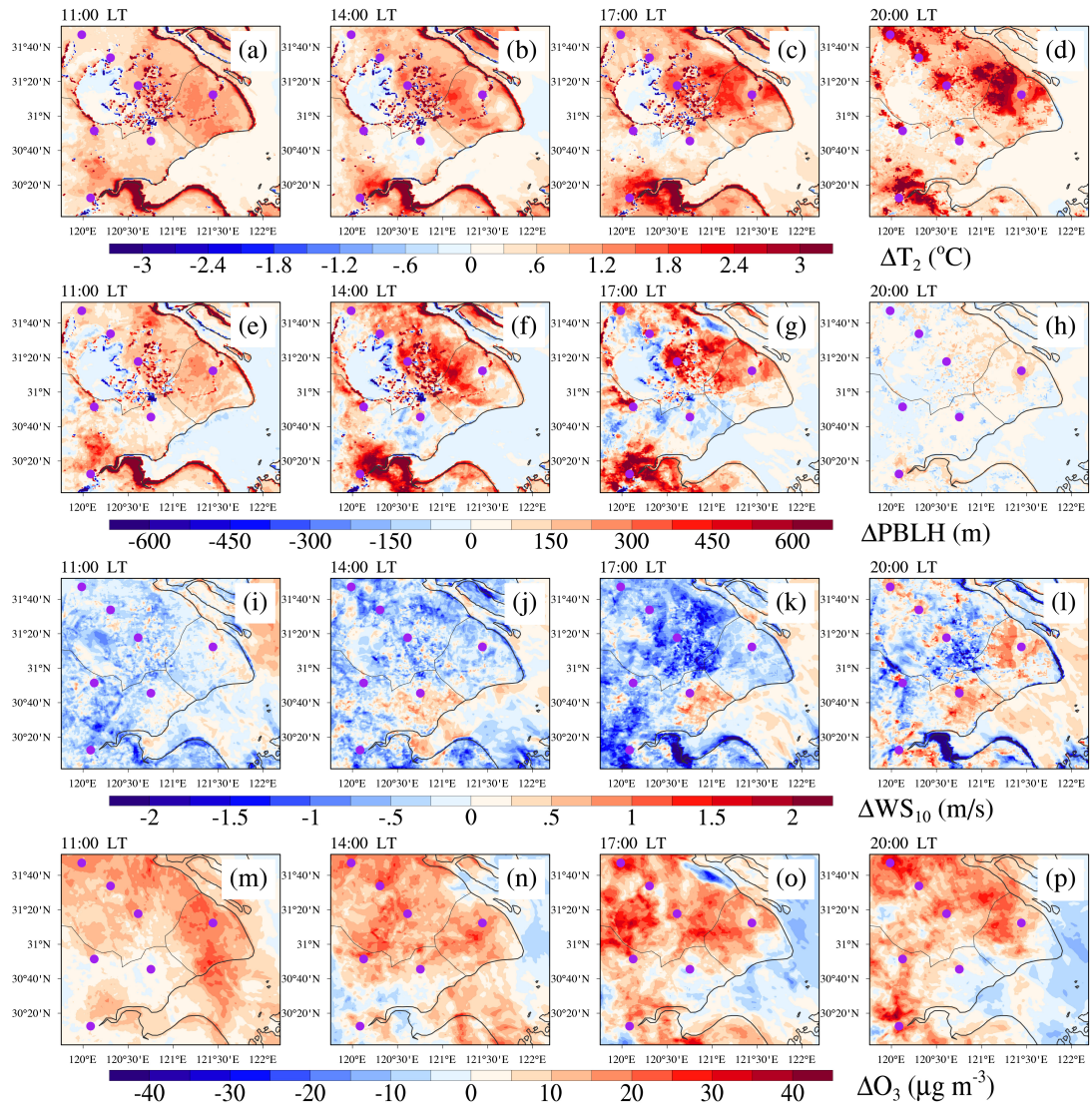
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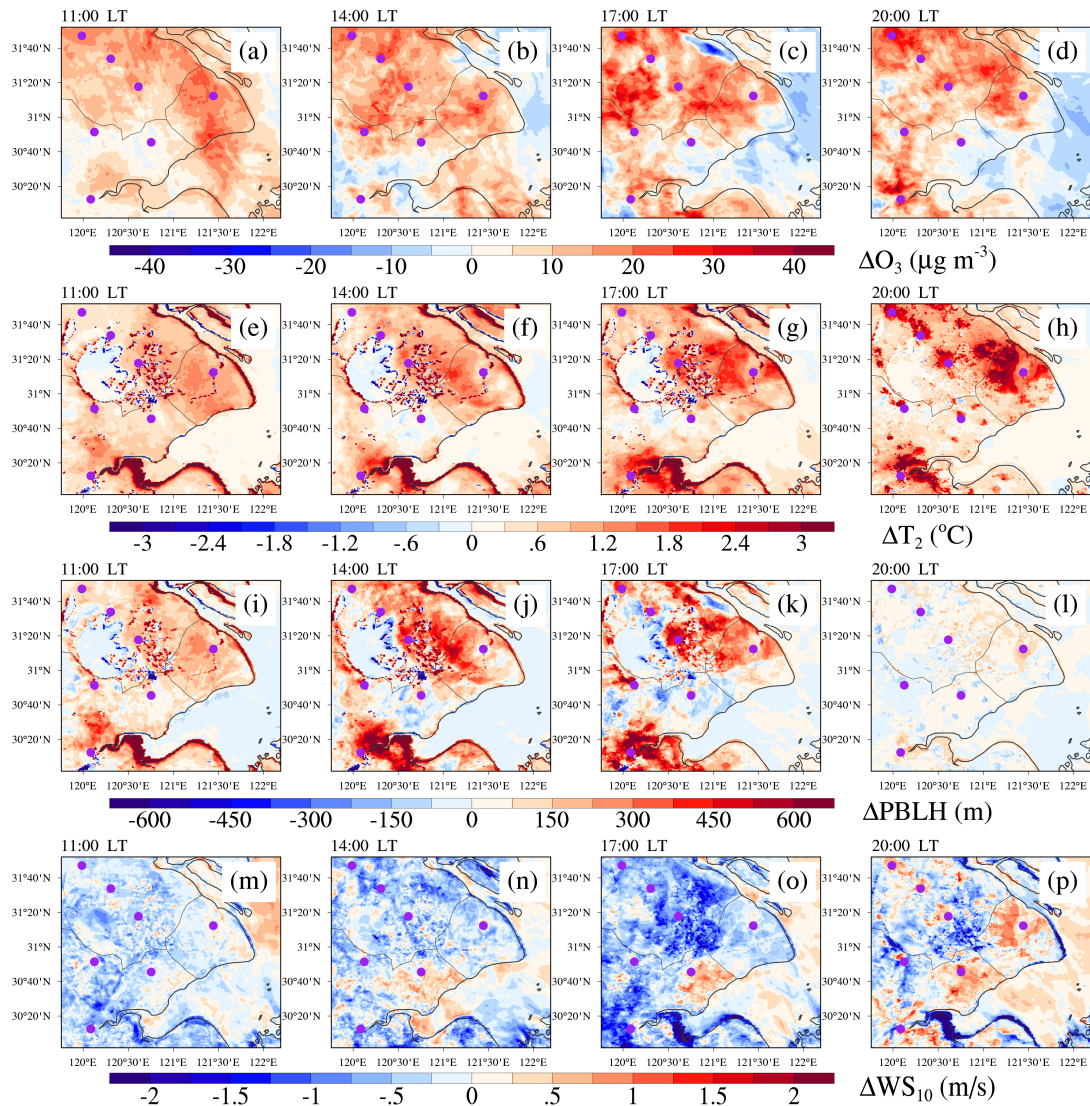
514 3.4 Impacts of land-surface-foreing-land use on meteorology and O_3

515 3.4.1 The changes in horizontal direction

516 Figure 10 presents the spatial differences of the main factors (including O_3 , T_2 , $PBLH_1$ and
 517 WS_{10} and O_3), between MODIS_noAH and USGS_noAH. Land use changes via urban expansion
 518 can enhance surface heating through upward sensible heat fluxes so that T_2 will increase. As shown
 519 in Figure 10a-d, the spatial pattern of remarkable warming effect for T_2 was consistent with the

520 urban-fraction change associated with the urbanization (Figure 2a and b), which is the positive
521 temperature anomaly mainly appeared in cities and their surrounding areas. In megacities like
522 Shanghai, T_2 increased by even 3 °C. The change in PBLH was similar to that in T_2 because the
523 warming up of T_2 was conducive to the vertical movement in the boundary layer, which increased
524 the PBLH (Figure 10e-h). The maximum positive change of PBLH can reach up to 500 m at noon
525 but down to 100 m after sunset. With regard to the WS_{10} , it decreased in the MODIS_noAH (Figure
526 10i-l), with a maximum decrease up to 1.5 m s⁻¹ in Hangzhou around 17:00 LT. This is because the
527 roughness of cities and forest is larger than that of cropland (Figure 2a and b). Apart from the
528 abovementioned meteorological factors, urban expansion also increased the surface O_3
529 concentration (Figure 10m-p). The largest increment of O_3 appeared in the afternoon, with a value
530 of 20 $\mu\text{g m}^{-3}$ around 17:00 LT in Changzhou. In addition to these results, it is noteworthy that there
531 were confusing “false” changes at the junction of land and sea/lake, especially for meteorological
532 factors, such as T_2 and WS_{10} . This was caused by the different treatment of the MODIS-based and
533 USGS land use classifications at the boundary conditions of land versus water instead of urban
534 expansion. Obviously, higher O_3 was produced in the MODIS_noAH, indicating that urban
535 expansion will increase surface O_3 concentrations. The largest increment of O_3 occurred in the
536 afternoon, with a value of 20 $\mu\text{g m}^{-3}$ around 17:00 LT in Changzhou. T_2 is directly affected by the
537 land-atmosphere heat fluxes resulting from land-surface forcing. The spatial pattern of remarkable
538 warming effect for T_2 was consistent with the urban-fraction change (Figure 2a and b), which is that
539 the positive temperature anomaly often appeared in large cities and their surrounding areas. This
540 positive forcing for T_2 is associated with the enhanced surface heating through upward-sensible heat
541 fluxes during the day. In megacities like Shanghai, T_2 can increase by 3 °C. It should be noted that
542 there was a confusing “false” warming at the junction of land and sea/lake, which was mainly caused
543 by the different treatment of the MODIS-based and USGS land use classifications at the boundary
544 conditions of land versus water (Figure 2a and b). The change in PBLH was similar to that in T_2 ,
545 but it was less obvious after sunset around 20:00 LT. This is because that the warming up of T_2 can
546 enhance the vertical air movement in the boundary layer and thereby increase the PBLH. The
547 maximum positive change of PBLH reached up to 500 m in the urban areas at noon but downed to
548 100 m after sunset. The roughness of cities and forest is greater than that of cropland, so there was
549 a decrease in WS_{10} in the MODIS_noAH (Figure 9m-p), with a maximum decrease up to 1.5 m s⁻¹





553
 554 **Figure 10.** Horizontal distributions of the differences of the (a-d) T_2-O_3 , (e-h) $PBLH-T_2$, (i-l)
 555 $WS_{10}PBLH$ and (m-p) O_3-WS_{10} differences between MODIS_noAH and USGS_noAH
 556 (MODIS_noAH – USGS_noAH) during at different times (11:00, 14:00, 17:00 and 20:00 LT) of the
 557 daytime. The purple dots represent the locations of cities (~~red dots in Figure 1b~~) in the innermost
 558 domain.

559

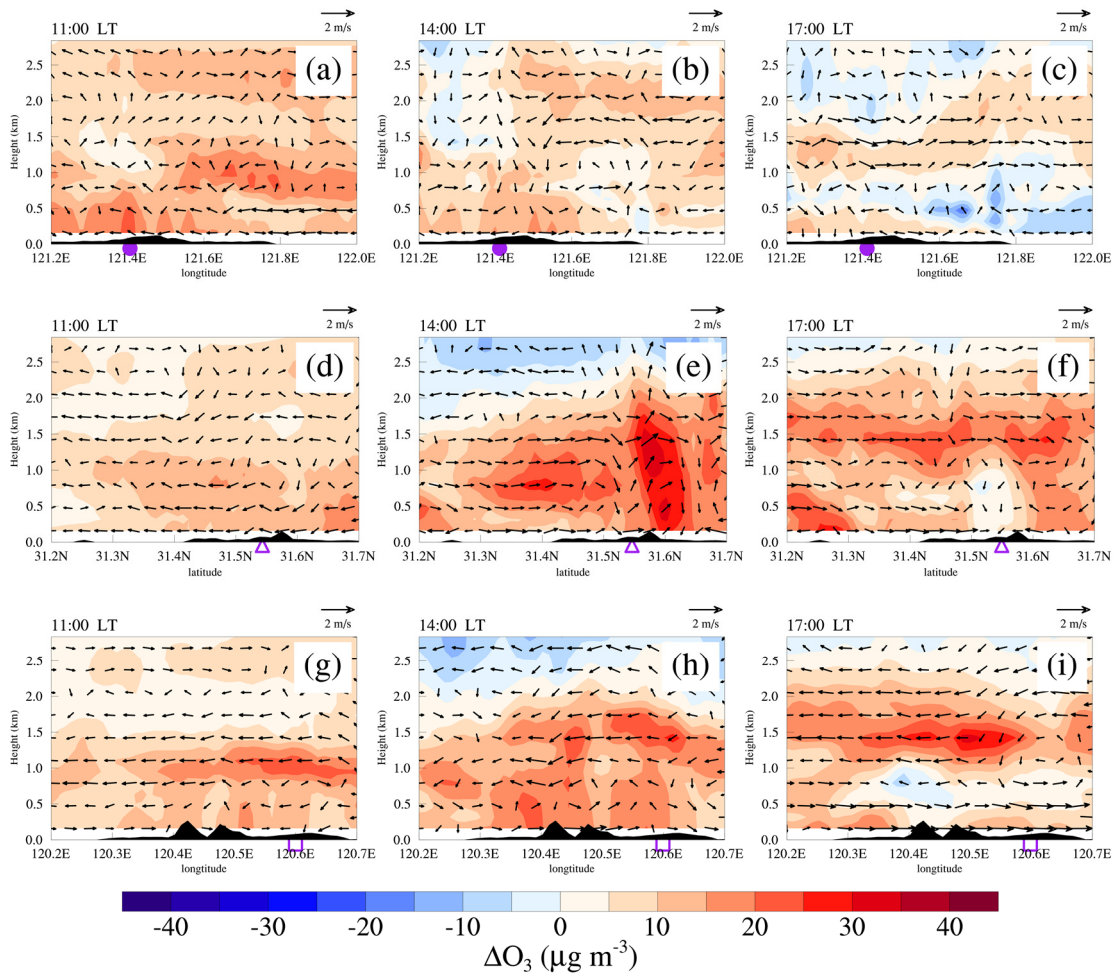
560 3.4.2 The changes in vertical direction

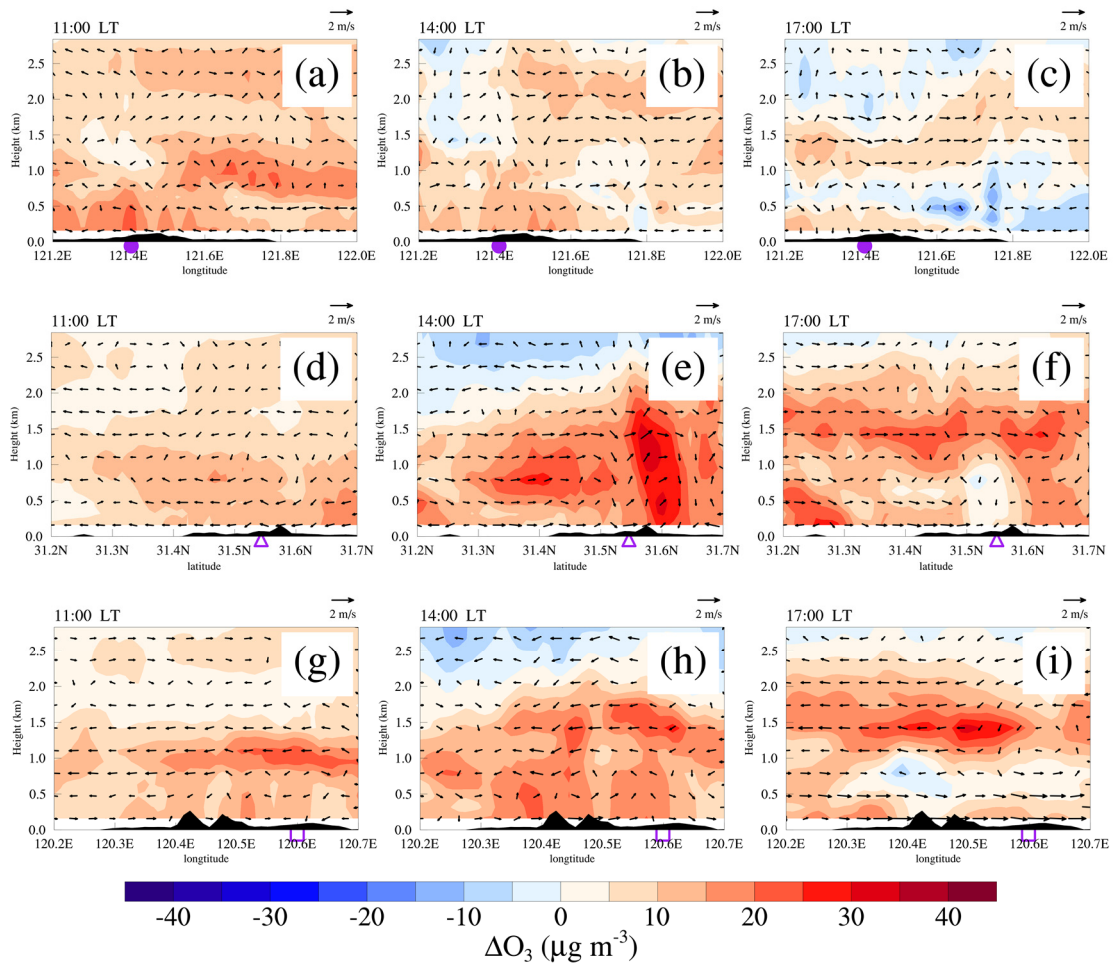
561 Urban expansion not only alters the meteorological factors, but also the local circulations. As
 562 shown in Figure 11a-c, the sea_breeze below 500 m increased by $\sim 1-2$ m s⁻¹ due to the existence of
 563 the cities which enhanced the enhanced temperature contrast between the land and the sea induced
 564 by the expansion of Shanghai. During the advance of the sea breeze front inland, the Strong turbulent
 565 mixing and updraft induced by the sea_breeze front promoted the development of the urban

566 ~~boundary layer~~vertical mixing of O₃, ~~contributing to elevate~~elevating surfaced O₃
567 ~~concentration~~levels at surface in Shanghai ~~the city during the advance of the sea breeze front inland~~
568 (Figure 11a and b). When the sea-breeze matured around 17:00 LT, its transport effect reduced the
569 surface O₃ concentration of the coastal cities (Figure 9c). However, this “~~transport effect~~removal”
570 was weakened because the sea-breeze near the surface was slowed ~~affected by~~due to the rough
571 urban surface. Finally, surface O₃ of ~~about~~~10 μg m⁻³ was left compared to the scenario without
572 cities (~~Figure 11e~~). In contrast to the onshore flow, the offshore flow transported high concentration
573 of O₃ to the sea, which may be an important source of O₃ in the nocturnal residual layer. Influenced
574 by the strong background southeast wind, the offshore flow was imperceptible during the day
575 (Figure 9), but it can be enhanced by urban expansion (Figure 11c).

576 As for the lake-breeze, it was also enhanced by ~~~1-2~~ m s⁻¹ ~~after the establishment~~ because of
577 the larger temperature contrast resulting from ~~the~~ expansion of lakeside cities, ~~just like the sea-~~
578 ~~breeze~~ (Figure 11e and h). What’s more, ~~And~~ the life of the lake-breeze was extended to 17:00 LT
579 (Figure 11f and i) ~~when the city exists~~. ~~Since~~Because the lake-breeze circulation was conducive to
580 the vertical mixing ~~in~~of the boundary layer, and its onshore flow ~~can~~ ~~transported~~blow high
581 concentration of O₃ from the lake to the city (Sect. 3.3.2), the ~~urban~~ O₃ concentration will ~~eventually~~
582 increase in the lakeside cities, with a maximum of 30 μg m⁻³ in Wuxi at 14:00 LT.

583





585

586 **Figure 11.** Same as Figure 9, but for the differences between MODIS_noAH and USGS_noAH
 587 (MODIS_noAH – USGS_noAH).

588

589 3.4.3 The mechanism of land-surface forcing land use modulating O₃

590 Land-surface forcing plays an important role in the evolution of O₃ by changing the local
 591 meteorology (meteorological factors and local circulations). Changing land use land-surface forcing
 592 from USGS to MODIS leads to an increase in T₂ by maximum 3 °C, an increase in PBLH by
 593 maximum 500 m and a decrease in WS₁₀ by maximum 1.5 m s⁻¹ in the YRD, which is comparable
 594 to those in the BTH region (Yu et al., 2012), the PRD region (Li et al., 2014) and the National Capital
 595 Region of India (Sati and Mohan, 2017). And these changes are particularly evident in and around
 596 cities as the biggest change in land use is related to urban expansion. The elevated air temperature
 597 is conducive to the photochemical production of O₃, and the well-developed boundary layer favors
 598 the vertical mixing of O₃. (Figure 12). These changes in meteorological factors, which eventually
 599 increases the surface O₃ concentration near the surface by maximum 20 μg m⁻³ in the YRD.

600 ~~Furthermore, This change magnitude in O₃ is consistent with the findings reported in Seoul (Ryu et~~
601 ~~al., 2013b) and Southern California (Li et al., 2019).~~ Local circulations, including (the sea and
602 ~~the lake breezes,)~~ are also influenced by urban expansion, which further alters O₃ in the vertical
603 ~~directionthe land-surface forcing, chiefly from the urban expansion as the most significant land-~~
604 ~~surface forcing in the YRD comes from urban expansion over the past few decades.~~ For the coastal
605 cities, like Shanghai, the larger temperature contrast ~~ca~~induced by cities enhances the sea-
606 breeze below 500 m. As the sea-breeze front moves inland, it ~~can enhance~~induce stronger upward
607 movementair flow that deepens the boundary layer, which is conducive to the mixing of O₃ in the
608 boundary layer. Thus, ~~high O₃ concentration in the middle of boundary layer can be more easily~~
609 ~~transported to the surface.~~ However, the movement of the sea-breeze is slowed due to the rough
610 urban surface after the sea-breeze matures. The removal of the sea-breeze near the surface is then
611 weakened~~and the surface O₃ increases by 10 μg m⁻³.~~ The similar response of the sea breezes to
612 ~~citiesurban expansion~~ as well as its impact on O₃ has been also reported in the PRD region (You et
613 al., 2019) and Paulo (Freitas et al., 2007). For the lakeside cities, like Wuxi and Suzhou, the lifetime
614 of the lake breezes is extended to the afternoon due to the ~~existence of expansion of cities~~the city.
615 The offshore flow of the lake-breeze transports high O₃ concentration in the middle of the boundary
616 layer from the land to the lake, while the onshore flow brings the O₃ back to the land, which
617 accelerates the vertical mixing of O₃ and ~~can increase~~s the surface O₃~~by even 30 μg m⁻³.~~ Thus,
618 hHigh surface O₃ usually appears when the lake breezes ~~is have been~~established. Thised wasean
619 ~~also be~~ observed in the Greater Toronto Area (Wentworth et al., 2015) and the Lake Michigan (Abdi-
620 Oskouei et al., 2020).

621

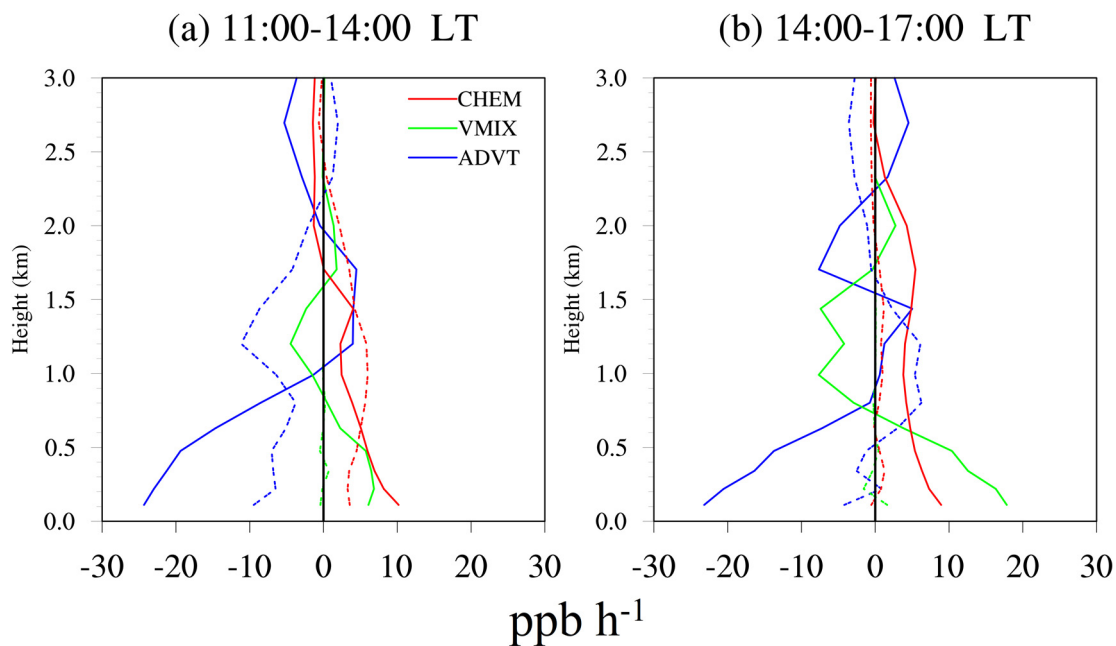


Figure 12. Vertical profiles of the changes in individual processes between MODIS_noAH and USGS_noAH (MODIS_noAH – USGS_noAH) at (a) 11:00–14:00 LT and (b) 14:00–17:00 LT over Shanghai (solid lines) and Wuxi (dashed lines). CHEM (in red), VMIX (in green) and ADVT (in blue) represent gas-phase chemical reactions, turbulent mixing and advection transport, respectively.

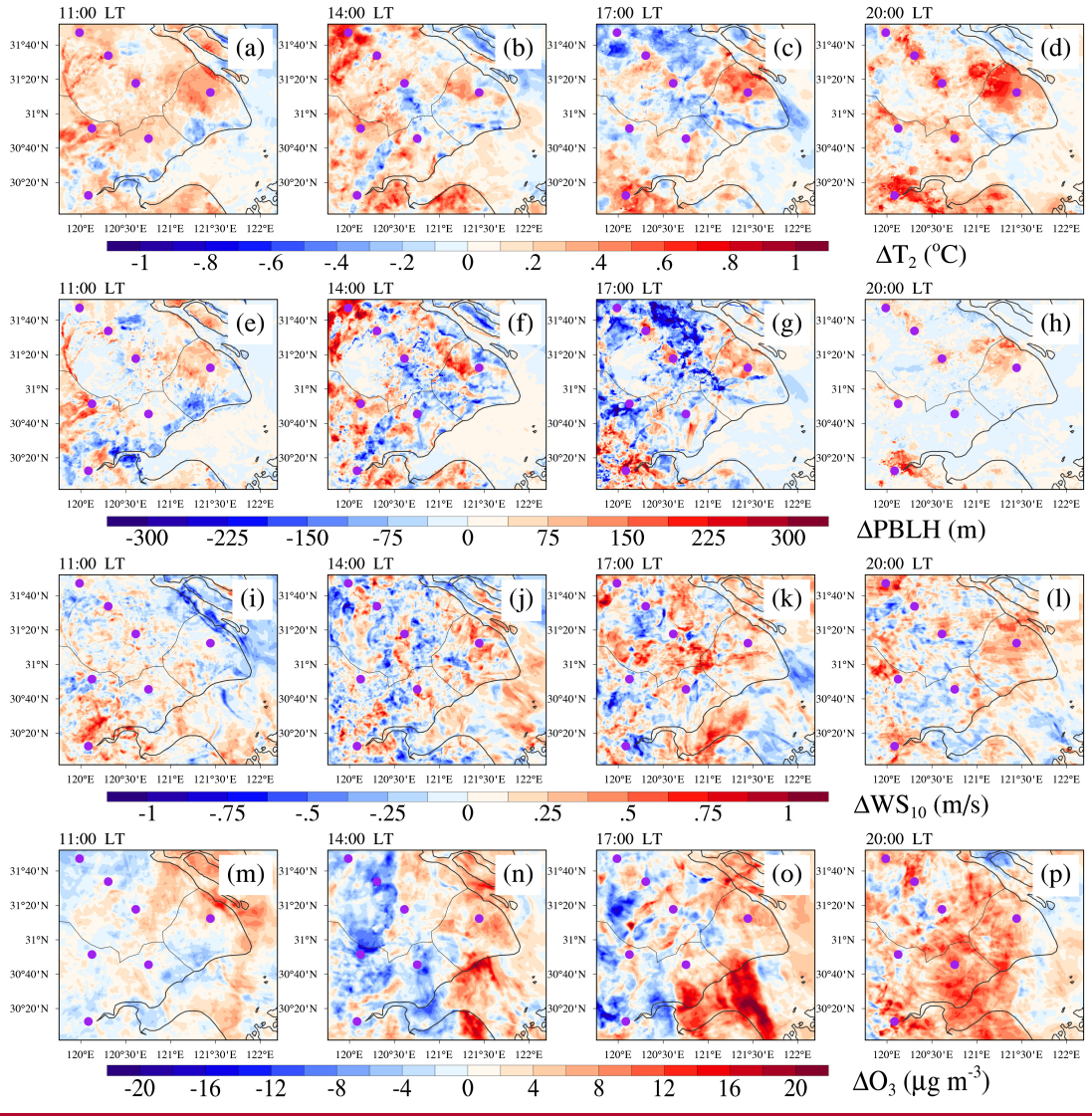
3.5 Impacts of anthropogenic heat on meteorology and O₃

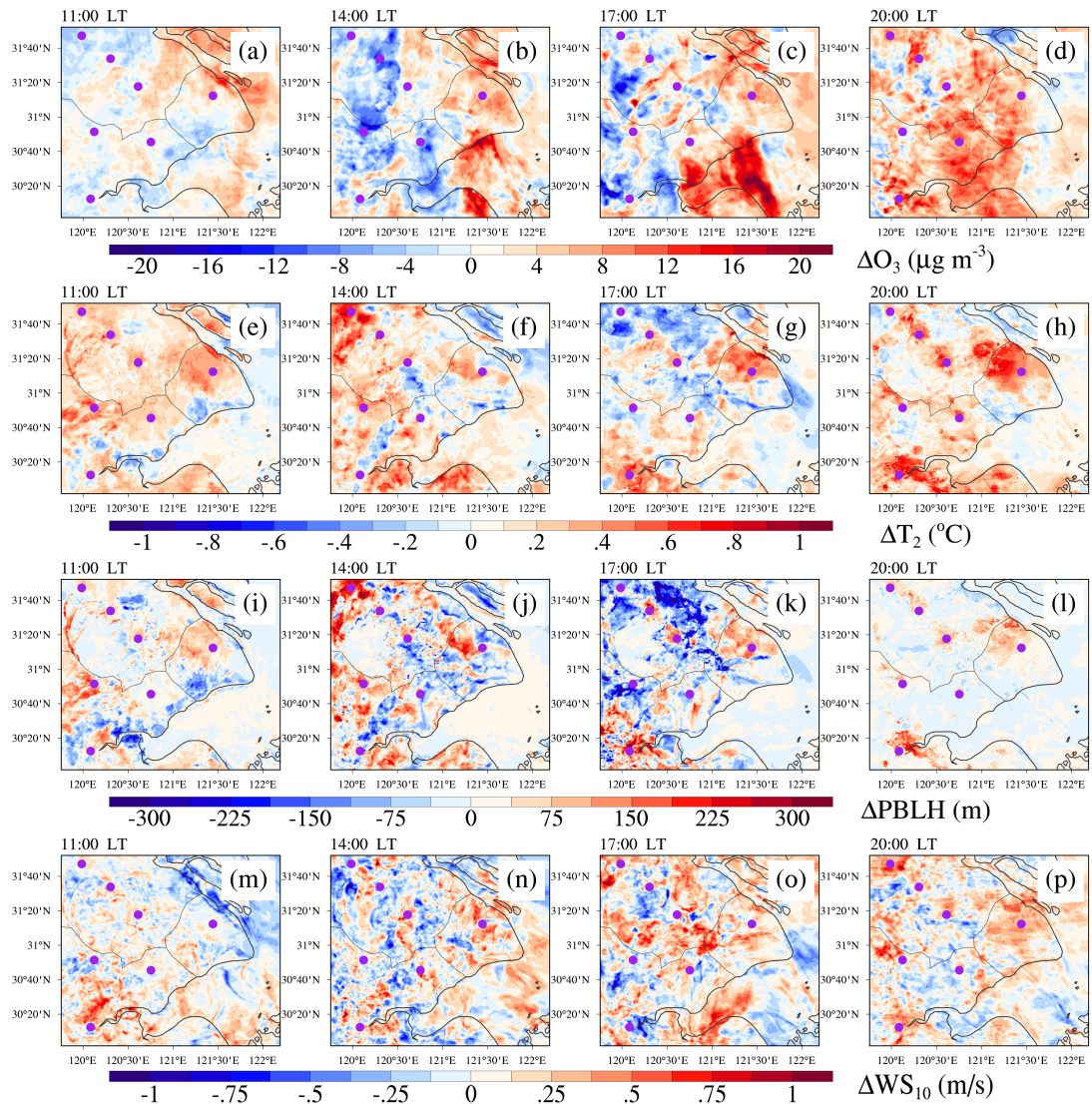
3.5.1 Horizontal changes

Compared with land use/land surface forcing, the changes caused by AH are much smaller (Figure 13-12). Furthermore, these changes in meteorology and O₃ are effectively mainly occur in and around cities as there are more AH emissions in they usually have large AH flux density these areas (Figure 3). By adding more surface sensible heat into the atmosphere, the AH fluxes led to an increase in T₂ of 0.2 °C in urban areas, with the typical value of 0.42 °C in Shanghai (Figure 12a-d). Vertical air movement in the boundary layer was then enhanced by the warming of T₂, and the PBLH will increase as well. According to the simulations, the PBLH increased by ~75 m in the urban areas (Figure 12e-h). Contrary to the decrease in WS₁₀ caused by urban expansion, WS₁₀ increased by ~0.3 m s⁻¹ in the urban areas when AH fluxes were taken into account (Figure 12i-l). This is ascribed to the strengthened urban breeze circulations caused by the AH fluxes, which is conducive to the transmission of momentum from the upper layer to the surface. With regard to

641 surface O₃ concentration, it increased by ~4 μg m⁻³ in the simulation with adding AH. In particular,
642 the increases in T₂, PBLH, WS₁₀ and O₃ seemed to be clearer after sunset as the solar shortwave
643 radiation disappeared. Surface O₃ concentration increased in the urban areas by about 4 μg m⁻³ in
644 the simulation with adding AH, and this phenomenon was clearer after sunset (Figure 13d). By
645 adding more surface sensible heat into the atmosphere, the AH fluxes can lead to an increase in T₂
646 of 0.2 °C during the day, with the typical value of 0.42 °C in Shanghai. Vertical air movement in the
647 boundary layer can be enhanced by the warming up of the surface air temperature, thereby the PBLH
648 will increase as well. According to the simulations, the PBLH increased by about 75 m in the urban
649 areas. With regards to WS₁₀, it increased by about 0.3 m s⁻¹ in the urban areas, which is contrary to
650 the decrease in WS₁₀ caused by land surface forcing (Sect. 3.4.1). This is ascribed to the
651 strengthened urban breeze circulations induced by the AH fluxes, which is mentioned in previous
652 studies (Ryu et al., 2013a, b; Xie et al., 2016a, b).

653





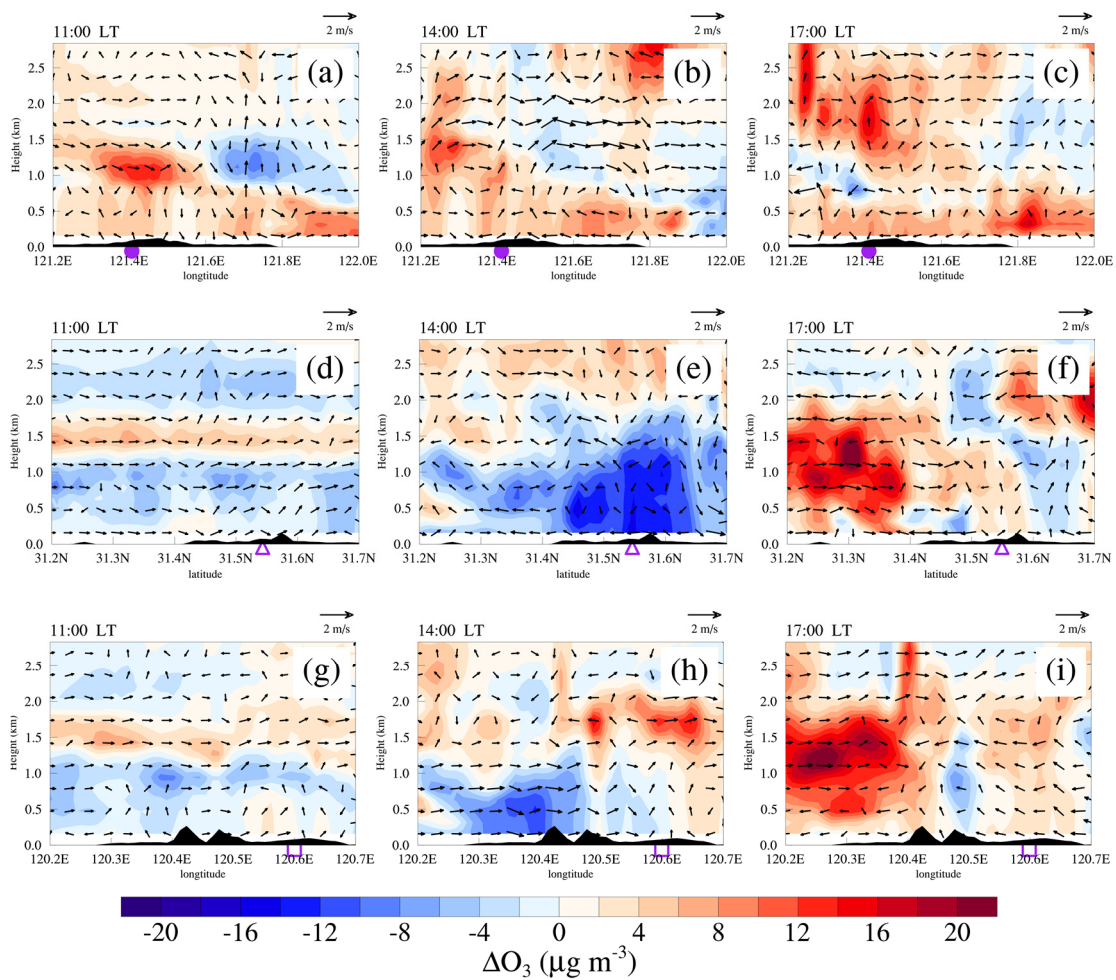
655
656 **Figure 1312.** Same as Figure 10, but for the differences between MODIS_withAH and
657 MODIS_noAH (MODIS_withAH – MODIS_noAH).

658
659 **3.5.2 Vertical changes**

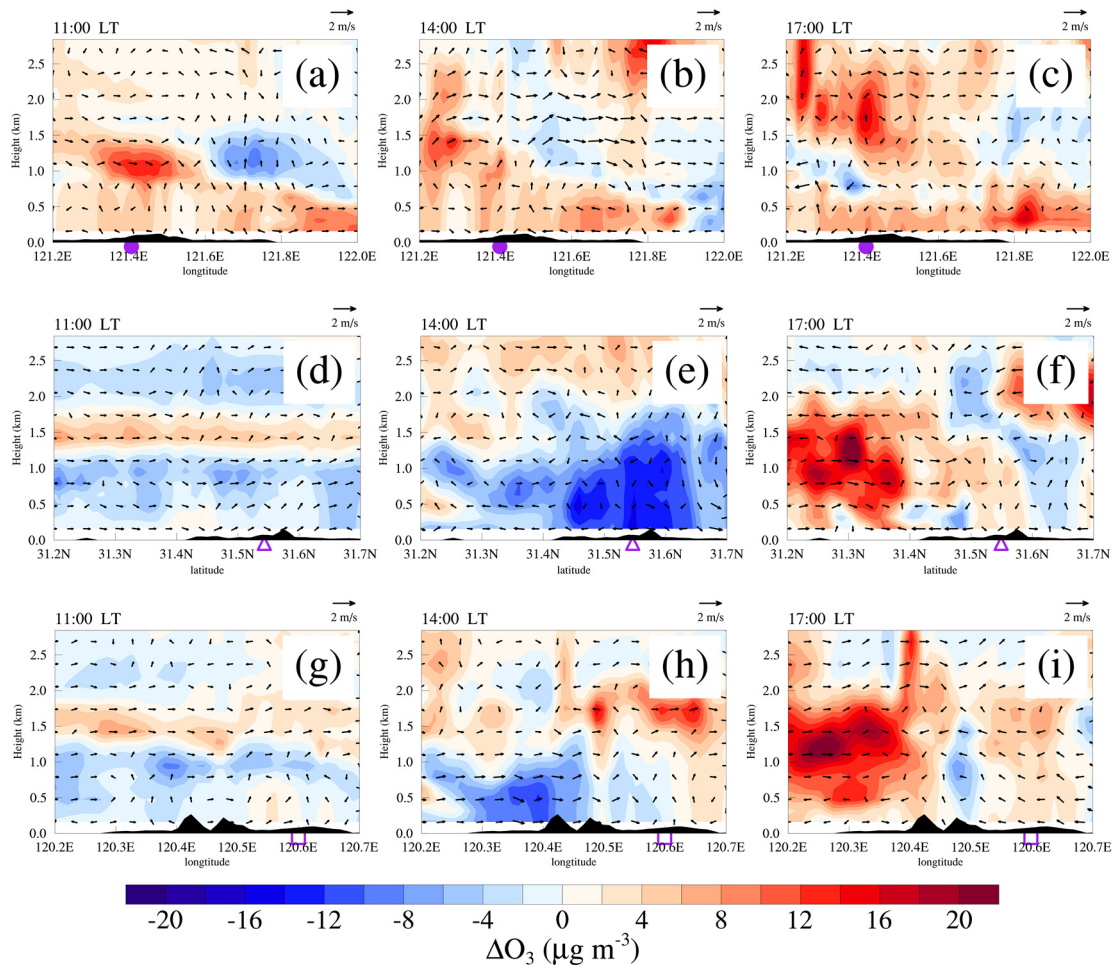
660 The phenomenon that cities are almost always warmer than their surroundings is widely known
661 as the urban heat island (UHI), and the difference between the urban and the rural surface energy
662 balance can further induce the urban heat island UHI circulation (UHIC). It is clearly seen that
663 an enhanced UHI-circulation driven by AH appeared in the megacity Shanghai around 14:00 LT
664 (Figure 14b13b). This circulation extended horizontally 20-30 km from the city center to the urban
665 edge, and vertically to nearly ~2 km from the ground to the top of the urban boundary layer. In this
666 case under this condition, there was a small increase (4~6 $\mu\text{g m}^{-3}$) in surface O_3 -concentrations in
667 the low boundary layer. However, for the lakeside cities, the enhanced UHI-circulation was not

668 ~~perceptiblevisibly noticed.~~ And ~~t~~ and the O₃ concentration in urban areas was reduced on average,
 669 with a maximum of 16 μg m⁻³ in Wuxi around 14:00 LT (Figure ~~14e~~13e). The ~~decrease in O₃e-lower~~
 670 ~~O₃-concentration~~ may be ~~related to~~ affected by the increased wind ~~_on the lake~~ (Figure ~~13~~12i-k),
 671 which was beneficial to the diffusion and dilution ~~of O₃processes~~. Furthermore, ~~it seems that~~ AH
 672 has a limited effect on ~~local circulations, regardless of the sea and/or lake breeze as,~~ ~~it cannot affect~~
 673 ~~any branch of the two as significantly as the urban expansion. though it play an important role in~~
 674 ~~the urban breeze circulations. In our simulation cases, AH does not continuously and significantly~~
 675 ~~affect any branch of the local circulations like the land surface forcing.~~

676



677



678

679 **Figure 1413.** Same as Figure 89, but for the differences between MODIS_withAH and
 680 MODIS_noAH (MODIS_withAH – MODIS_noAH).

681

682 3.5.3 The mechanism of anthropogenic heat modulating O₃

683 AH and ~~land surface forcing~~ land use play different roles in meteorology and O₃. AH allows
 684 the atmosphere to reserve more energy via the additional sensible heat fluxes, which increases T₂
 685 by about 0.2 °C. Higher temperature ~~is conducive to the development of the convective boundary~~
 686 ~~layer and can~~ induces stronger upward air movement to the development of the convective boundary
 687 layer, rising which rises the PBLH by about 75 m. In the convective boundary layer, the atmosphere
 688 is associated with turbulent motions, and is unstable. Together with the enhanced urban breeze
 689 caused circulations enhanced by AH, WS₁₀ ~~can~~ increases by 0.3 m s⁻¹. These findings are comparable
 690 to the values estimated in other cities all around the world, such as Philadelphia in the United States
 691 (Fan and Sailor, 2005), Winnipeg in Canada (Ferguson and Woodbury, 2007) and, Berlin in German

(Menberg et al., 2013) ~~and Tokyo in Japan (Dhaka and Hanaki, 2002).~~ These changes in meteorological factors eventually lead to an increase in surface O₃ by ~4 μg m⁻³. It is noteworthy that ~~the abovementioned changes mainly appear in large cities and their surrounding areas, where AH emission centers are located~~ the effects of AH are usually clearer at night in urban areas. ~~And these changes eventually caused an increase in surface O₃ concentration by about 4 μg m⁻³.~~ In addition Additionally, though AH ~~can~~ plays an important role in urban breeze circulations, it may not be powerful enough to affect the local circulations such as the sea and the lake breezes.

4 Summary and conclusions

Land use change via Land surface forcing related to the urban expansion and the increase of AH are important manifestations of urbanization ~~AH release from human activities can change the meteorology (meteorological factors and local circulations).~~ They can alter the regional meteorology, and thereby affect O₃ air quality concentrations in and around cities. In this study, the YRD region, a highly urbanized coastal place area with sever O₃ pollution ~~and complex geography~~, is selected to discuss this issue. Firstly, ~~we briefly describe the general~~ the basic characteristics of O₃ pollution in the YRD are investigated based on the surface observations. Secondly, ~~we simulate~~ a representative case is selected to further study using WRF-C_{chem} model, and ~~evaluate~~ the model performance is evaluated by comparing with the observations sal data. Finally, the response of ~~meteorology as well as~~ O₃ to changes in meteorology caused by urban expansion ~~land surface forcing~~ and AH are discussed ~~investigated from the model results~~ via the model inter-comparisons. The main findings are listed as below:

(1) Regional O₃ pollution occurs frequently in the YRD (~26 times per year). ~~Like other regions,~~ These O₃ pollution episodes mainly occur in warm season (April to October) in ~~under~~ calm conditions characterized by high temperature (over 20 °C), low relative humidity (less than 80%), light wind (less than 3 m s⁻¹) and shallow cloud cover (less than 5 okta). In this case, the sea and lake breezes ~~local circulations induced by thermal differentiation~~ tend to develop and ~~will~~ have an important impact on the distribution of O₃ in this region.

(2) By updating the land-use data from USGS to MODIS, we find an increase in T₂ by maximum 3 °C, an increase in PBLH by maximum 500 m and a decrease in WS₁₀ by maximum 1.5 m s⁻¹ in the YRD, ~~which is comparable to those in the BTH region (Yu et al., 2012), the PRD region~~

(Li et al., 2014) and the National Capital Region of India (Sati and Mohan, 2017). The higher temperature and PBLH elevate ~~surface O₃ concentration~~~~the O₃ level~~ by maximum 20 $\mu\text{g m}^{-3}$ via the ~~stronger~~ photochemical ~~reactions~~ and the vertical mixing processes, ~~respectively~~. ~~These changes are mainly attributed to urban expansion associated with urbanization. For changes in local circulations~~ Furthermore, the sea ~~-breeze below 500 m~~ is enhanced due to ~~larger temperature contrast induced by the urban expansion of coastal cities. Nevertheless, -During the advance of the sea-breeze front inland, the upward air flow in front of the front is conducive to the vertical mixing of O₃. When the sea breeze is well formed in the late afternoon, further progression inland of the sea breeze in the afternoon can be~~ stalled on account of the rough urban surface, ~~reducing the transmission of O₃ from the coast to the land.~~ The transport of high O₃ from coastal to the inland areas is weakened and thereby O₃ can be 10 $\mu\text{g m}^{-3}$ higher in the case with cities than without. The similar results have been also reported in the Paulo (Freitas et al., 2007) and the PRD region (You et al., 2019). With respect to the lake breezes ~~The expansion of lakeside cities extends, the its lifetime of the lake breeze will be extended from the noon to -the afternoon because of the urban expansion.~~ Since ~~the net effect of the lake -breeze can~~ is to accelerate the vertical mixing of O₃ in the boundary layer, the surface O₃ ~~in lakeside cities can-~~ increase by ~~even as much as~~ 30 $\mu\text{g m}^{-3}$ ~~influenced by the lake breeze. Similar phenomenon also be observed in the Greater Toronto Area (Wentworth et al., 2015) and the Lake Michigan (Abdi-Oskouei et al., 2020).~~

(3) ~~The changes caused by AH are different from land surface forcing. When the AH fluxes are taken into account, T₂, PBLH, WS₁₀ and O₃ will increase by about 0.2 °C, 75 m, 0.3 m s⁻¹ and 4 $\mu\text{g m}^{-3}$ in and around cities. These changes are relatively small compared to urban expansion, and mainly appear around the cities where the there are large AH fluxes are usually large emissions.~~ Through regulating the land-atmosphere heat fluxes, O₃, T₂, PBLH and WS₁₀ increases by about 4 $\mu\text{g m}^{-3}$, 0.2 °C, 75 m and 0.3 m s⁻¹ under the effect of the additional sensible heat fluxes induced by AH. The magnitudes of these changes are consistent with the values estimated in other cities all around the world, including Philadelphia in the United States (Fan and Sailor, 2005), Winnipeg in Canada (Ferguson and Woodbury, 2007), Tokyo in Japan (Dhakal and Hanaki, 2002) and Berlin in German (Menberg et al., 2013). Additionally ~~In addition, unlike the urban expansion, our results show that~~ AH may have a quite limited impact on ~~local circulations, such as the sea and the lake breezes. But~~ But the urban ~~-breeze circulations are found to be sensitive to AH inputs.~~ in and around

752 ~~big cities are sensitive to AH inputs, which can further affect the urban air pollutants.—~~

753 ~~Estimating the impacts of land surface forcing and AH on urban climate and air quality is a~~
754 ~~complex but necessary issue as these two are important manifestations of urbanization.~~Studying the
755 impacts of land use and AH forced by human activities on urban environment is fundamental in
756 improving the urban air quality. —Although ~~this~~~~our~~ study only focuses on the YRD region, most of
757 the results can be supported by previous studies that conducted in other region around the world. As
758 more and more city clusters composed of large and medium-sized cities are being built. This~~Thus,~~
759 ~~our~~ work can~~may~~ provide valuable insight into the formation of O₃ pollution in those rapidly
760 developing regions with unique geographical features.

761
762 ***Data Availability Statement.***

763 Air quality monitoring data were acquired from a mirror of data from the official NEMC real-time
764 publishing platform (<https://quotsoft.net/air/>). Meteorological data were issued by the NCDC
765 (<ftp://ftp.ncdc.noaa.gov/pub/data/noaa/isd-lite/>). The FNL meteorological data were acquired from
766 NCEP (<https://doi.org/10.5065/D6M043C6/>). These data can be downloaded for free as long as you
767 agree to the official instructions.

768
769 ***Author contributions.***

770 CZ and MX had the original ideas, designed the research, collected the data and prepared the original
771 draft. CZ did the numerical simulations and carried out the data analysis. MX acquired financial
772 support for the project leading to this publication.

773
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779 cluster system in the High Performance Computing and Massive Data Center (HPC&MDC) of
780 School of Atmospheric Sciences, Nanjing University. We also thank the constructive comments and
781 suggestions from the anonymous reviewers.

782

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