



1 **Dipole Pattern of Summer Ozone Pollution in the east of China and Its** 2 **Connection with Climate Variability**

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16 **Abstract.**

17 Surface O₃ pollution has become one of the most severe air pollution problems in China, which makes it of
18 practical importance to understand O₃ variability. A south-north dipole pattern of summer-mean O₃ concentration
19 in the east of China (DP-O₃), which were centered at North China (NC) and the Pearl River Delta (PRD)
20 respectively, has been identified from the simulation of a global 3-D chemical transport model for the period
21 1980–2019. Large-scale anticyclonic (cyclonic) and cyclonic (anticyclonic) anomalies over NC and the PRD
22 resulted in a sharp contrast of meteorological conditions between the above two regions. The enhanced (restrained)
23 photochemistry and natural emissions of O₃ precursors in NC and restrained (enhanced) O₃ production in the PRD
24 contributed to the DP-O₃. Decreased sea ice anomalies near the Franz Josef Land and associated warm sea surface
25 in May enhanced the Rossby-wave source over northern Europe and West Siberia, which eventually induced an
26 anomalous Eurasia-like pattern to influence the formation of the DP-O₃. The thermodynamic signals of the
27 southern Indian Ocean dipole were stored in the subsurface and influenced spatial pattern of O₃ pollution in the
28 east of China mainly through the Hadley circulation. The physical mechanisms behind the modulation of the
29 atmospheric circulations and related DP-O₃ by these two climate anomalies at different latitudes were evidently
30 verified by large-scale ensemble simulations of the earth system model.

31 **Key words:** ozone pollution; sea ice; Eurasia pattern; sea surface temperature; meridional circulation



1. Introduction

Surface O_3 is an important air pollutant. Exposure to high concentrations of O_3 is detrimental to both human health and vegetation ecology (Rider and Carlsen, 2019). Since 2013, surface O_3 concentration has increased over most parts of China, which is largely attributed to changes in anthropogenic emissions (Xu et al. 2018). However, previous studies have shown that in addition to its trend of change, surface O_3 concentration also demonstrated large interannual variations with significant regional differences (Zhou et al. 2013; Chen et al. 2019). Based on analysis of 11 years of observational data over Hong Kong, Zhou et al. (2013) reported that the interannual variation of O_3 concentration observed during 2000–2010 could reach up to 30% of the annual average concentration. The O_3 concentration in Beijing also showed evident interannual variation during 2006–2016. For example, the O_3 concentrations in the summers of 2012–2013 were lower than that in 2011 and 2014 (Chen et al. 2019).

High O_3 events are usually associated with meteorological factors (e.g., intense solar radiation, high air temperature and low humidity) favorable for O_3 formation, which can accelerate photochemical reaction and weaken the dispersions and depositions (Han et al. 2020). For example, ozone pollution in China in 2017 was more serious than that in 2016, which was attributed to the large enhancement of nature emissions of ozone precursors caused by hot and dry climate condition in 2017 (Lu et al. 2019). In the summer of 2013, the Yangtze River Delta experienced a severe heat wave with more stagnant meteorological conditions. The upper-level anticyclonic circulation with sink airflows led to abnormally low atmospheric water vapor content above the Yangtze River Delta and thus less than normal cloud cover, which was conducive to a strong solar radiation environment and significant increases in surface ozone (Pu et al. 2017). On the interannual to decadal time scale, anticyclonic anomalies over North China (NC) were critical for O_3 distribution in the summer and remotely linked with the effects of Eurasia teleconnection (EU) and west Pacific patterns (Yin et al. 2019).

The Arctic sea ice (SI) declined rapidly while its variability has been increasing over the past decades, which significantly affected summer atmospheric circulations over Eurasia (Lin and Li 2018). The preceding Arctic SI anomalies could aggravate anomalously high air temperature and drought disasters in NC by triggering EU-like atmospheric responses in summer (Wang and He 2015). Spring SI anomalies in the Barents Sea could prompt the Silk Road Pattern and resulted in a north-south dipole pattern of summer air temperature anomalies in the east of China (Li et al. 2021). When greater than normal SI occurred in the Barents Sea, local 500 hPa geopotential height would decrease and a wave-chain would form, which subsequently induced more precipitation in the south of East China but less precipitation in the north (Wang and Guo 2004). Sea surface temperature (SST) in the Pacific and



62 Indian oceans also have significant effects on atmospheric circulation over the east of China (Li and Xiao 2021;
63 Xia et al. 2021). SST anomalies in the South China Sea and the equatorial Eastern Indian Ocean could trigger the
64 East Asian - Pacific pattern and resulted in a dipole pattern of summer temperature and precipitation in the east
65 of China, i.e., areas to the north of the Yangtze River became cold and wet, while areas to the south were hot and
66 dry (Han and Zhang 2009; Li et al. 2018). Tian and Fan (2019) found that winter SST in the southern Indian
67 Ocean might affect spring-summer SST anomalies near Australia. In summer, the anomalous Hadley circulation
68 in the western North Pacific played an important role in summer precipitation over the middle and lower reaches
69 of the Yangtze River.

70 Although great attention in previous studies has been paid to the increase of ozone pollution, little is known
71 about changes in the spatial pattern of summer-mean O_3 in the east of China. As revealed by Yin and Ma (2020),
72 the dominant pattern of daily-varying ozone pollution in the east of China showed an interannual variation that
73 was mainly driven by the large-scale western Pacific subtropical high and the East Asian deep trough. For example,
74 the frequent movements of the western Pacific subtropical high and the East Asian deep trough both contributed
75 to the out-of-phase variations in O_3 over North China and the Yangtze River Delta (Zhao and Wang 2017; Yin
76 and Ma 2020). However, to the best of our knowledge, whether the north-south dipole pattern of the summer mean
77 O_3 pollution existed in the east of China still remains unclear. In this study, we attempted to explore the dominant
78 pattern of summertime O_3 in the east of China and associated physical mechanisms behind. Its connections with
79 preceding climate variability were also examined. The remainder of this paper was organized as follows. The data
80 and methods are described in Section 2. Section 3 examined the dipole pattern of summertime O_3 in the east of
81 China and its possible influencing factors. The associated physical mechanisms were studied in Section 4. Major
82 conclusions and discussion are provided in Section 5.

83 2. Datasets and methods

84 2.1 Observations and Reanalysis Dataset

85 Hourly ozone concentration observations from 2015 to 2019 were publicly available at
86 <https://quotsoft.net/air/> and the last accessible data were for 23 September 2020. The relevant data were detrended
87 before all computations were conducted for the study period.

88 Monthly mean meteorological data in global $1^\circ \times 1^\circ$ grids for the period 1980 - 2019 were extracted from
89 the fifth generation of the European Center for Medium-Range Weather Forecasts reanalysis dataset (Hersbach et
90 al. 2020), including geopotential height at 500 hPa (Z500), downward solar radiation on the surface (Ssr), low



91 and medium cloud cover (Mlcc), precipitation (Prec), 10-m zonal and meridional winds (UV10m), and surface
 92 air temperature (SAT) and zonal and meridional winds and vertical velocity at different vertical levels. Monthly
 93 OLR data ($1^\circ \times 1^\circ$) could be acquired from the University of Maryland OLR Climate Data Record portal
 94 (<http://olr.umd.edu/>). Monthly SI concentrations and SST ($1^\circ \times 1^\circ$) for the period 1980 - 2019 were downloaded
 95 from the website of the Met Office Hadley Centre (Rayner et al. 2003). Monthly mean subsurface ocean
 96 temperatures in the upper 250 m with a horizontal resolution of $1^\circ \times 1^\circ$ were obtained from the Met Office Hadley
 97 Centre EN4 version 2.1 (Good et al. 2013).

98 The wave activity flux (WAF) was computed to illustrate the propagation of Rossby wave activities (Takaya
 99 and Nakamura 2001):

$$100 \quad W = \frac{1}{2|\bar{U}|} \left[\bar{u}(\psi'^2_x - \psi'\psi'_{xx}) + \bar{v}(\psi'_x\psi'_y - \psi'\psi'_{xy}) \right] \\
 + \frac{1}{2|\bar{U}|} \left[\bar{u}(\psi'_x\psi'_y - \psi'\psi'_{xy}) + \bar{v}(\psi'^2_y - \psi'\psi'_{yy}) \right]$$

101 where the overbar and prime represent the climatological mean and anomaly, respectively; ψ represents the
 102 stream function; and W denotes the two-dimensional Rossby WAF. The Rossby wave source $-\nabla \cdot V_x(f + \xi)$
 103 proposed by Sardeshmukh and Hoskins (1988) is also calculated in this study.

104 2.2 1980–2019 O₃ concentrations simulated by GEOS-Chem

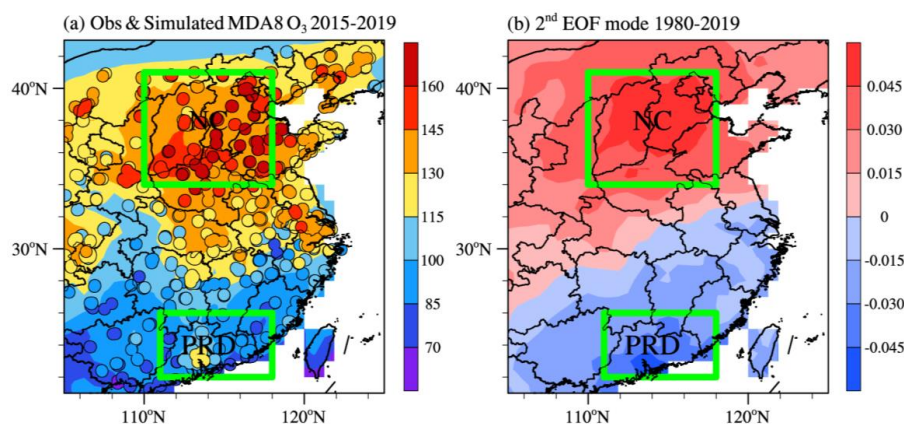
105 Hourly ozone concentrations were simulated by the nested-grid version of the global 3-D chemical transport
 106 model (GEOS-Chem), which included detailed description of oxidant–aerosol chemistry. The model was driven
 107 by MERRA-2 assimilated meteorological data (Gelaro et al. 2017). The nested grid over China ($15^\circ\text{--}55^\circ\text{N}$, 75°--
 108 135°E) had a horizontal resolution of 0.5° latitude by 0.625° longitude and consisted of 47 vertical layers up to
 109 0.01 hPa. The GEOS-Chem model included the fully coupled O₃–NO_x–hydrocarbon and aerosol chemistry
 110 modules with more than 80 species and 300 reactions (Bey et al. 2001).

111 The GEOS-Chem model has been widely used to examine historical O₃ changes in China. Yang et al. (2014)
 112 evaluated the simulated interannual variation of June–July–August (JJA) surface-layer O₃ concentration at the
 113 Hok Tsui station ($22^\circ13'\text{N}$, $114^\circ15'\text{E}$). They found that the model could well capture the peaks and troughs of the
 114 observed JJA O₃ concentration with a high correlation coefficient of +0.87 (exceed the 99% confidence level)
 115 between simulations and observations. Moreover, the model could also realistically simulate the spatial
 116 distribution of O₃, and the spatial correlation coefficient between simulations and observations in the summer of
 117 2017 could reach up to 0.89 (Li et al. 2019). These studies indicated that the GEOS-Chem model could capture
 118 the interannual variation and distribution of the surface O₃ concentration fairly well.

119 The GEOS-Chem model successfully reproduced the dominant patterns of summer O₃ pollution on a daily



120 scale from 2015 to 2019 (Yin and Ma 2020). In this study, we first simulated the maximum daily average 8 h
 121 concentration of O_3 (MDA8 O_3) from 2015 to 2019 and evaluated the performance of GEOS-Chem. Results
 122 indicated that the simulated spatial distribution of MDA8 O_3 was similar to that of observations with a spatial
 123 correlation coefficient of 0.87 (Figure 1a). The observed and simulated summer MDA8 O_3 anomalies in the east
 124 of China also presented consistent interannual differences (Figure S1 a, b). The high consistency in both the
 125 temporal and spatial distributions between the simulations and observations provided a solid evidence to support
 126 the feasibility of the present study.



127 **Figure 1.** (a) Spatial distributions of observed (dots) and GEOS-Chem simulated (shading) summer-mean MDA8 O_3 (unit: μg
 128 m^{-3}) for the period 2015–2019. (b) The second EOF spatial pattern of simulated summer-mean MDA8 O_3 from 1980 to 2019.
 129 The simulated O_3 concentrations were produced by GEOS-Chem with fixed emissions but changing meteorological conditions
 130 from 1980 to 2019. The green boxes represent the areas of NC and the PRD.

132 Based the above results, the GEOS-Chem model was then driven by fixed emissions in 2010 and changing
 133 meteorological fields from 1980 to 2019 to highlight the impact of climate variability on O_3 concentration. Results
 134 of this simulation were analyzed to reveal the dominant pattern of ozone pollution in the east of China in summer
 135 and its relationship with preceding climate anomalies.

136 2.3 Numerical experiments with CESM-LE

137 To provide evidences that support the proposed connections between SI and SST and large-scale atmospheric
 138 circulations, the simulations of the Community Earth System Model Large Ensemble (CESM-LE) were employed
 139 (Kay et al. 2015). The CESM consists of coupled atmosphere, ocean, land, and sea ice component models. The
 140 40-member ensemble of CESM-LE simulations over the period (1980–2019) includes a historical simulation
 141 (1980–2005) and a representative concentration pathway (RCP) 8.5 forcing simulation (2006–2019). To confirm
 142 the impact of preceding climate variability and associated physical mechanisms, composite analyses were



143 conducted based on the three years with the lowest and highest simulated SI in each member. The composite
144 results of atmospheric circulations could be considered as the relevant atmospheric responses associated with the
145 preceding climate variability.

146 3. Dipole pattern of summer O₃ and possible influencing factors

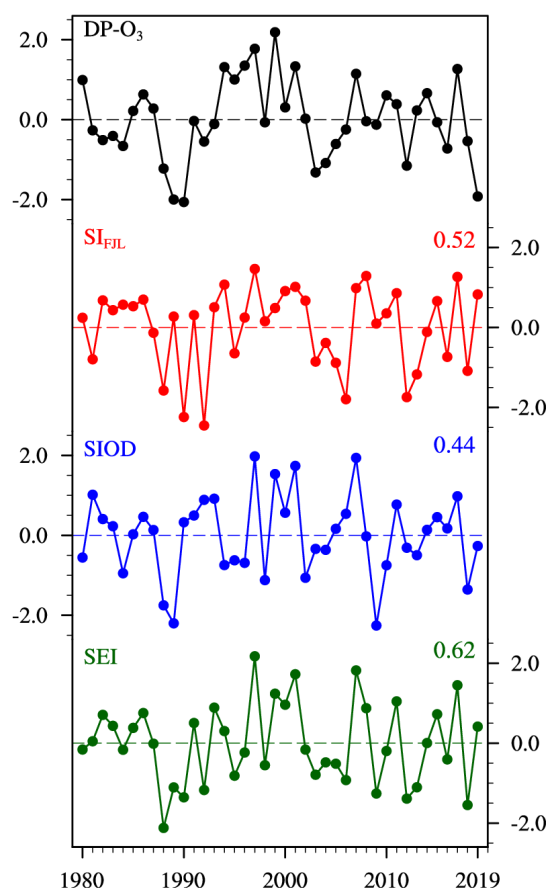
147 As aforementioned, the GEOS-Chem model has a good performance in simulating O₃ concentration.
148 Therefore, the EOF approach was applied to the GEOS-Chem simulation for the period 1980–2019 to explore the
149 dominant patterns of summer mean O₃ pollution in the east of China. Percentage contributions to the total variance
150 by the first and second EOF modes were 39% and 17.5%, respectively. The significance test of the EOF
151 eigenvalues confirmed that the first and second patterns were distinctly separated (passing the North test, North
152 et al, 1982). The first EOF pattern displayed a monopole pattern (Figure S2). The second EOF pattern presented
153 a north-south dipole pattern of O₃ (DP-O₃) distribution in the east of China with the two centers located in NC
154 and the Pearl River Delta (PRD, Figure 1b), respectively. Observations have shown that high O₃ concentration
155 frequently occurs in NC, and O₃ pollution in the PRD has become increasingly serious in recent years (Liu et al.
156 2020). Furthermore, about 80% of the MDA8 O₃ anomalies in NC were in opposite sign to those in PRD during
157 2015–2019 (Figure S1a, b). Therefore, despite the fact that it was only the second leading EOF mode, we still
158 focused on the investigation of DP-O₃ in the present study, since it was more similar to the actual pollution
159 situation. Impacts of climate variability are also analyzed.

160 The MDA8 O₃ anomalies were divided into positive (P) and negative phases (N) of DP-O₃ (Figure S3). For
161 convenience, DP-O₃P and DP-O₃N were defined by the EOF time series of DP-O₃ greater than 1 standard
162 deviation and less than $-1 \times$ standard deviation, respectively. The DP-O₃P corresponded to positive anomalies of
163 MDA8 O₃ in the north and negative anomalies in the PRD (Figure S3a). In contrast, high concentration of O₃
164 occurred in the PRD and low concentration center appeared in NC under the DP-O₃N condition (Figure S3b). The
165 correlation coefficient between time series of DP-O₃ and MDA8 O₃ difference between NC and the PRD was 0.91,
166 indicating that DP-O₃ reflected the opposite changes of O₃ concentration in NC and the PRD.

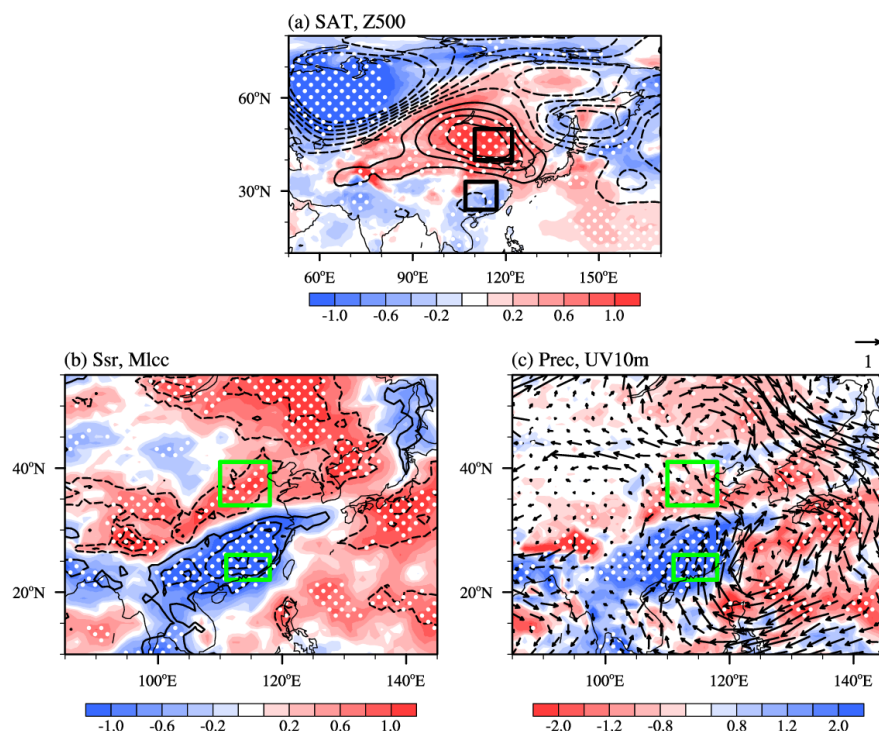
167 With fixed emissions, the changes in O₃ concentrations from 1980 to 2019 were solely caused by
168 meteorological conditions. The EOF time series of DP-O₃ showed a strong interannual variation (Figure 2).
169 Composite differences in large-scale atmospheric circulation and meteorological condition related to DP-O₃
170 between the positive and negative phases (DP-O₃P minus DP-O₃N) were analyzed to explore the impacts of
171 atmospheric circulation on photochemical reactions and accumulations of various pollutants in the above two
172 areas. During the positive phase of DP-O₃, cyclonic and anticyclonic anomalies in the middle troposphere were



173 found over the PRD and NC (C_{PRD} and AC_{NC}) (Figure 3a), respectively. The C_{PRD} and accompanied southerly
 174 winds in the PRD efficiently transported clean and moist air from the sea to the PRD (Figure 3c). Furthermore,
 175 low and medium cloud covers were significantly increased, which led to weak solar radiation and reduced
 176 photochemical reactions (Figure 3b). A moist, cool environment and weak solar radiation were conducive to low
 177 O_3 concentration in the PRD. On the other hand, the positive anomalies of geopotential height in NC increased
 178 surface air temperature (Figure 3a), resulting in a dry environment with decreased cloud covers and sunny weather
 179 (Figure 3b, c). Such kind of meteorological conditions was favorable for the generation of surface O_3 , which
 180 explained why high MDA8 O_3 was occurred in NC. The above analysis revealed that large-scale atmospheric
 181 anomalies result in different meteorological conditions between NC and the PRD, and thus played an important
 182 role in the formation of the DP- O_3 pattern.



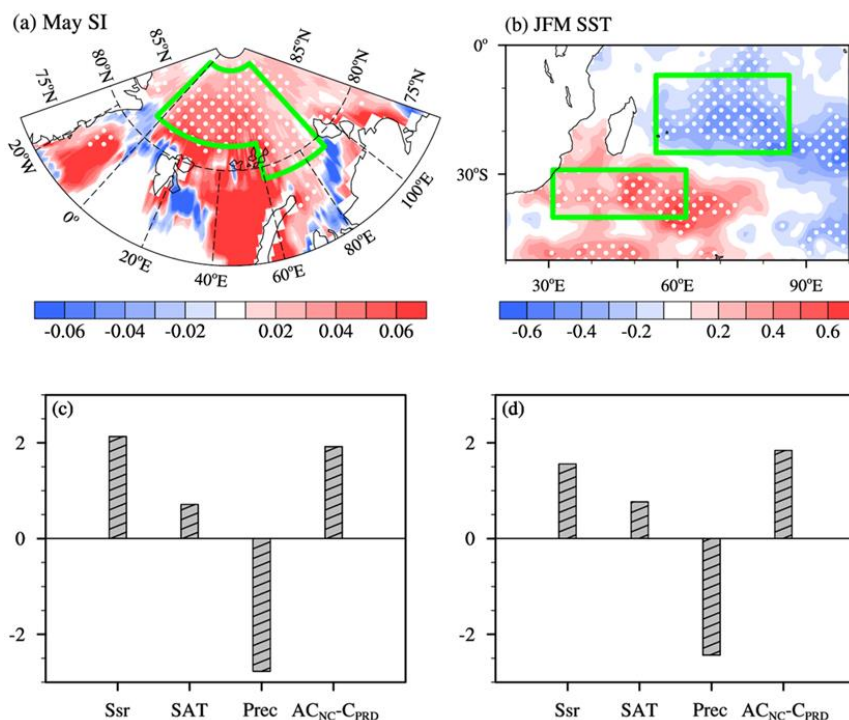
183
 184 **Figure 2.** Variations in standardized DP- O_3 time series (black), $SIFIL$ (red), $SIOD$ (blue), and SEI (green) from 1980 to 2019.
 185 The correlation coefficients of the DP- O_3 with $SIFIL$ (red), $SIOD$ (blue), and SEI (green) were shown in the figure.



186

187 **Figure 3.** Composite summer atmospheric circulations associated with the DP-O₃ (DP-O₃P minus DP-O₃N) for the period
 188 1980 to 2019, including (a) SAT (unit: K, shadings) and geopotential height at 500 hPa (unit: gpm, contours), (b) Ssr (unit: 10⁶
 189 J m⁻², shadings) and Mlcc (unit: 1, contours), and (c) Prec (unit: mm, shadings) and surface wind (unit: m s⁻¹, arrows). The
 190 white dots indicate that the composites with shading were above the 90% confidence level. The black boxes in (a) indicate the
 191 centers of the ACNC and C_{PRD}, respectively. The green boxes in (b) and (c) represent the areas of NC and the PRD.

192 Arctic SI in May was closely related to summer O₃ pollution in NC (Yin et al. 2019), but its effect on the
 193 north-south dipole distribution of O₃ had not been studied. The meridional O₃ dipole pattern in the east of China
 194 was positively correlated with SI anomalies near the Franz Josef Land (SI_{FJL}). Note that the correlation between
 195 them remains unchanged after the signal of El Niño-Southern Oscillation (ENSO) was removed. The area-
 196 averaged (82–88°N, 3°W–60°E; 79–88°N, 60–90°E; denoted by the green boxes in Figure 4a) SI in May was
 197 calculated and defined as the SI_{FJL} index, whose linear correlation coefficient with the time series of DP-O₃ was
 198 0.52 (exceeding the 99% confidence level). When the SI_{FJL} anomalies were significant (i.e., |anomalies| > its one
 199 standard deviation), the occurrence probability of the DP-O₃ in the same phase was 83% (Figure 2). Furthermore,
 200 the active centers of the anomalous atmospheric circulations and meteorological conditions associated with SI_{FJL}
 201 in the east of China were similar to that of the DP-O₃ (i.e., NC and PRD). That is, positive SI_{FJL} anomalies were
 202 conducive to less (more) precipitation, less (more) cloud cover, and strong (weak) solar radiation in NC (PRD),
 203 and *vice versa* (Figure 4c, Figure S4).



204

Figure 4. Composites of (a) May SI concentration and (b) JFM SST associated with the DP-O₃ (DP-O₃P minus DP-O₃N) from 1980 to 2019. The green boxes in (a) and (b) indicate where the SI_{FJL} and SIOD indices are calculated, respectively. The white dots indicate that the composites were above the 90% confidence level. Composite summer meteorological conditions and circulations associated with (c) SI_{FJL} (positive SI_{FJL} years minus negative SI_{FJL} years) and (d) SIOD (positive SIOD years minus negative SIOD years) from 1980 to 2019, including the differences in Ssr (unit: 10⁶ J m⁻²), SAT (unit: K), and Prec (unit: mm) between NC and the PRD (NC minus PRD), and the differences between AC_{NC} and C_{PRD}. The black slashes indicate that the composites were above the 90% confidence level.

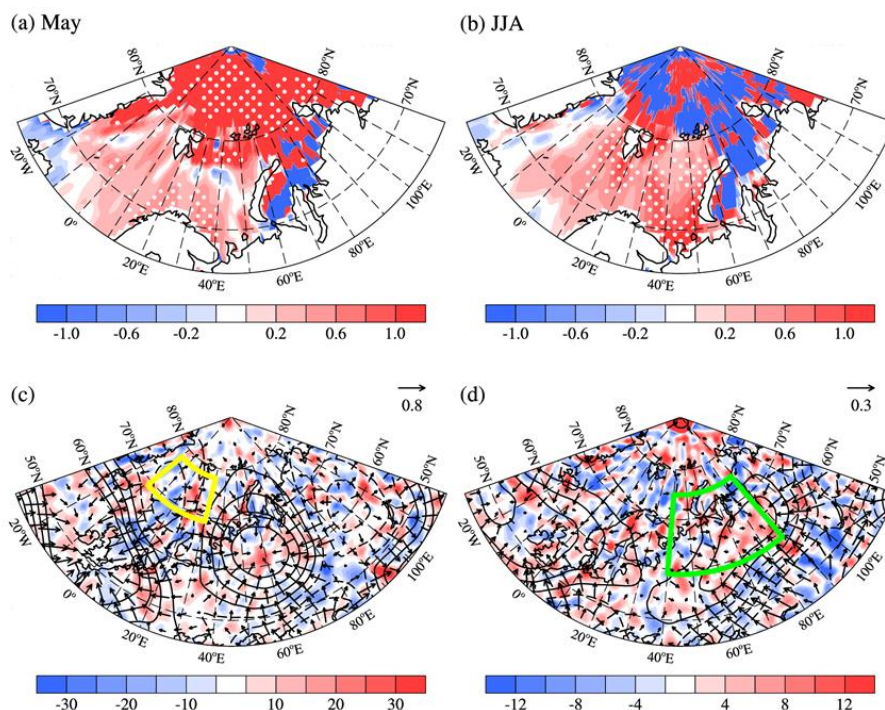
In addition to the signal from the Arctic, SST as an effective external forcing also has significant influences on summer climate in the east of China (Li et al. 2018). Therefore, it was important to answer the question whether SST could affect the DP-O₃ in the east of China in summer. Large anomalies of preceding January–February–March (JFM) SST over the southern Indian Ocean was obvious when we evaluated the relationship between the DP-O₃ and previous SST. After removing the influence of ENSO, the SST signal in the southern Indian Ocean still maintains (Figure 4b). The two regions with significant anomalies were similar to the Subtropical Indian Ocean Dipole (SIOD) regions found by Behera and Yamagata (2001). Variance analysis and correlation analysis of SST in the Indian Ocean also indicated that a SST dipole type oscillation occurred in the southern Indian Ocean, which usually developed in the preceding winter and reaches its strongest in the subsequent January to March (Jia and Li 2013). The difference between the mean SST of the two regions (29–40°S, 31–62°E and 7–25°S, 55–86°E; green box in Figure 4b; the southwest positive pole minus the northeast negative pole) was defined as the SIOD



index and calculated (Figure 2). The linear correlation coefficient between the SIOD index and the time series of DP-O₃ from 1980 to 2019 was 0.44 (significant at the 99% confidence level). When the SIOD anomalies were significant (i.e., |anomalies| > its one standard deviation), the occurrence probability of DP-O₃ in the same phase is 82% (Figure 2). Furthermore, the composite meteorological conditions in the positive and negative phases of SIOD had similar active centers to that of DP-O₃. That is, the anticyclone over NC was always accompanied by hot-dry meteorological condition, while the cyclone over PRD was always accompanied by cool-moist environment (Figure 4d; Figure S5).

4. Associated physical mechanisms

Changes in SI_{FJL} and SIOD both could possibly contribute to the formation of DP-O₃. Note that the correlation coefficient between them was only 0.21 and was not significant, indicating that SI_{FJL} and SIOD were independent of each other. Several previous studies have documented that the preceding Arctic SI anomalies could trigger EU-like atmospheric responses in the subsequent summer, and thus influenced the climate in the east of China (Wang and He 2015). Corresponding to reduced SI_{FJL}, SST anomalies in the Barents and Kara Sea were significantly positive and gradually increase from May to summer months (Figure 5a, b). The warm SST anomalies influenced local heat anomalies and caused anomalous atmospheric circulations. Following the decrease in SI_{FJL}, anomalous divergent winds appeared in the mid-troposphere, which were accompanied by warm SST anomalies and negative velocity potential anomalies (yellow box in Figure 5c). As proposed by Xu et al., (2021), the rotational component of the anomalous divergent winds could spread to the south and force the vorticity generation over Eurasia. Thus, during the subsequent summer, significant convergence and positive velocity potential with a positive Rossby wave source anomaly occurred over northern Europe and West Siberia (green box in Figure 5d). We also used the SST anomalies associated with SI_{FJL} (in Barents and Kara Sea in JJA) to composite relevant variables. Significant convergence, positive velocity potential, and positive Rossby source anomaly all appeared over Europe and West Siberia in JJA (Figure S6). This indicated that positive anomalies of Rossby-wave source over Europe and West Siberia could be generated by local heat anomalies associated with decreased SI_{FJL} in the Barents and Kara Sea.



248

Figure 5. Composites of (a) May Arctic SST (unit: K) and (c) Rossby wave source anomalies at 500 hPa (unit: 10^{-11} s^{-2}) associated with SI_{FIL} index (negative SI_{FIL} years minus positive SI_{FIL} years) from 1980 to 2019. (b, d) same as (a, c) but for JJA. The shadings, contours and vectors in (c, d) represent Rossby wave source, velocity potential (unit: $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergent wind (unit: m s^{-1}), respectively. The yellow box in (c) and green box in (d) represents the center of the velocity potential and Rossby wave source anomaly associated with SI_{FIL} , respectively. The white dots indicate that the composites with shading were above the 90% confidence level.

Moreover, corresponding to the decreased SI_{FIL} , the anomalous Rossby WAF propagated from Europe and West Siberia (consistent with the aforementioned Rossby wave source) to Northeast China and enhanced the cyclonic anomaly nearby (Figure 6a). The anomalous cyclonic circulation caused ascending motion from the surface up to 300 hPa over NC, and further induced a meridional circulation with an anomalous descending branch near 20°N (Figure 6b). Likewise, an anomalous anticyclone occurred in the middle troposphere above the PRD (Figure 6b). In other words, an EU-like Rossby wave train was induced in the mid-troposphere (Figure 6a), which propagated from northern Europe and West Siberian Plain (+), reaching the broad area from northeastern China (-) to the south of China (+). Thus, the reduction in SI near the Franz Josef Land in the May modulated the EU-like pattern in the subsequent summer and strengthened the anomalous cyclonic and anticyclonic circulations over NC and the PRD (Figure 6b), respectively. The differences in anomalous atmospheric circulations and associated meteorological conditions between NC and the PRD make great contributions to the occurrence of DP- O_3 .

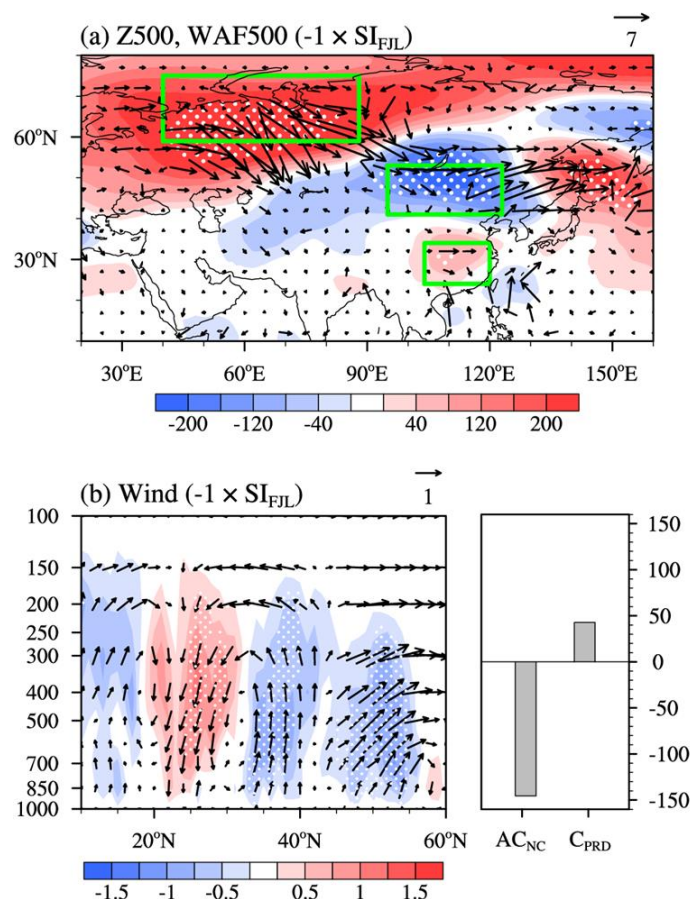
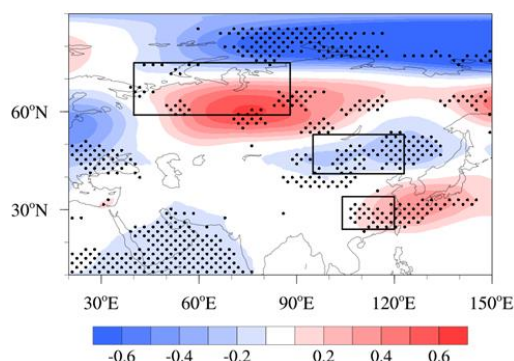


Figure 6. Composites of (a) wave activity flux anomalies (unit: $\text{m}^2 \text{s}^{-2}$, arrows), geopotential height (unit: gpm, shading) at 500 hPa and (b) mean wind (unit: m s^{-1} , arrows), omega (unit: $10^{-2} \text{ Pa s}^{-1}$, shading) over 100–130° E, and the anomalies of AC_{NC} and C_{PRD} (unit: gpm, bar) in summer associated with SI_{FJL} index (negative SI_{FJL} years minus positive SI_{FJL} years) from 1980 to 2019. The green boxes in (a) represent the centers of the EU-like pattern. The white dots indicate that the composites with shading were above the 90% confidence level.

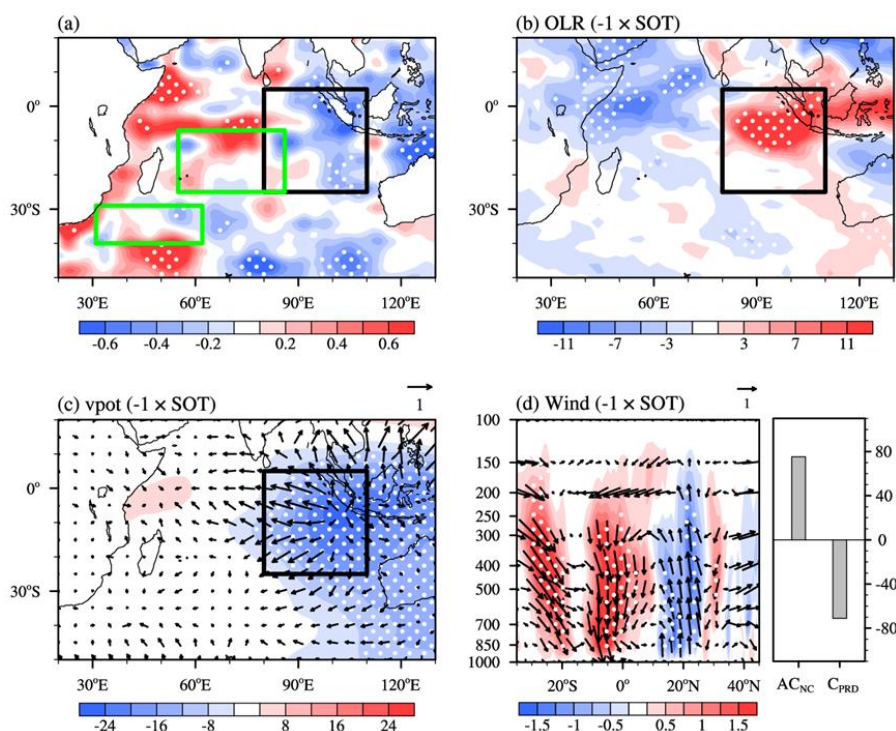
The relationship between the preceding May SI anomalies and the JJA EU-like pattern was also confirmed by large ensemble simulations of CESM. According to the simulated sea ice fraction near the Franz Josef Land, the three years with the lowest and highest SI in each member were selected to construct the composite maps based on all the 40 available members. The difference in JJA geopotential height at 500 hPa represented the atmospheric response to declining May SI_{FJL} . As shown in Figure 7, the decline of SI_{FJL} in May led to an EU-like pattern in the subsequent summer over Eurasia, which was in good accordance with the observed result (Figure 6a). The anticyclonic and cyclonic anomalies shown in the geopotential height at 500 hPa (i.e., AC_{NC} and C_{PRD}) in summer were also well reproduced by over 60% of the members. The above results confirmed the robustness of the physical mechanisms proposed in the present study.



281

282 **Figure 7.** Composite differences of geopotential height at 500 hPa in JJA between three low and high SIFIL years based on the
 283 ensemble of 40 CESM-LE simulations during 1980–2019. The black dots indicate that the mathematical sign of the composite
 284 results of more than 60 % of the members is consistent with the ensemble mean. The black boxes represent the centers of the
 285 EU-like pattern.

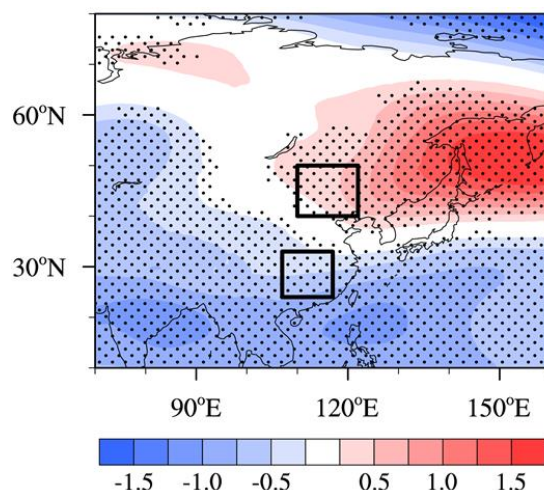
286 SIOD could influence atmospheric anomalies and distribution of summer precipitation in China mainly
 287 through Hadley circulation (Liu et al. 2019). Can SIOD anomalies also influenced the DP-O₃ via meridional
 288 atmospheric forcing? Despite the significant correlation between SIOD anomalies (defined by SST) and the DP-
 289 O₃ in the east of China (Figure 4b), it should be noted that the thermodynamic signals in the southern Indian Ocean
 290 not only existed on the sea surface but also extended to the subsurface (Figure S7). As time goes by, the center of
 291 negative SST anomalies moved to the northeast possibly due to the eastward movement of atmospheric forcing
 292 caused by the mean westerly flow (Behera and Yamagata 2001). When it moved to the vicinity of Sumatra Island
 293 in JJA, the abnormally cold signals of SST could extend downward from the surface to 60m (black box in Figure
 294 8a). The area-averaged (black box in Figure 8a) summer-mean subsurface ocean temperature of 0–60m was
 295 defined as the SOT index and calculated. Affected by negative SOT anomalies near Sumatra Island, the equatorial
 296 eastern Indian Ocean convection was suppressed (indicated by positive anomalies of OLR in Figure 8b) and
 297 significant divergence prevailed in the lower troposphere (Figure 8c). As a result, anomalous downward air flow
 298 developed near Sumatra Island from 300 hPa to the surface (about 20–5°S in Figure 8d). This anomalous
 299 downward air flow modulated the meridional circulation over 90–120 °E by strengthening the abnormal upward
 300 airflow at 20°N and downward airflow at 30°N. Thus, the AC_{NC} and C_{PRD} were enhanced simultaneously (Figure
 301 8d). Overall, following the positive phase of SIOD, the cold signal of SOT anomalies changed the meridional
 302 circulation in the subsequent JJA and strengthened the C_{PRD} and AC_{NC} in the troposphere above the east of China.
 303 Under these large-scale atmospheric anomalies, O₃ concentrations became higher in NC, whereas the generation
 304 of surface O₃ were weakened in the PRD.



305

Figure 8. (a) Composites of mean 0–60m subsurface ocean temperature (unit: K) in summer associated with the SIOD (positive SIOD years minus negative SIOD years) from 1980 to 2019. The green boxes represent the centers of the SIOD, and the black box indicates where the SOT index is calculated. Composites of (b) OLR (unit: W m^{-2}) and (c) velocity potential (unit: $10^5 \text{ m}^2 \text{ s}^{-1}$, shadings) and divergent winds (unit: m s^{-1} , vectors) at 1000 hPa in summer associated with SOT indexes of opposite sign (negative SOT years minus positive SOT years). The black box represents the center of the SOT. (d) Composites of summer mean winds (unit: m s^{-1} , arrows) and omega (unit: $10^{-2} \text{ Pa s}^{-1}$, shadings) over 90–120°E, and the anomalies of AC_{NC} and C_{PRD} (unit: gpm, bars) associated with SOT indexes of opposite sign. The white dots indicate that the composites with shading were above the 90% confidence level.

The CESM-LE datasets were also used to verify the statistical correlation between the preceding SIOD and large-scale atmospheric circulations in JJA. The composite differences of SIOD in JFM between the three high years and three low years of SI simulated by each ensemble member during 1980–2019 were investigated based on the ensemble of 40 CESM-LE simulations. The composite results (positive SIOD years minus negative SIOD years) of atmospheric circulations could be considered as the relevant atmospheric circulation responses associated with differences in SIOD. More than 60% of the CESM ensemble members could well reproduce the anticyclonic circulation over NC and the cyclonic circulation over the PRD in summer at 500hPa (Figure 9). That is, the CESM-LE also confirmed the relationship between the previous JFM SIOD anomaly and the DP- O_3 -related atmospheric circulations (i.e., AC_{NC} and C_{PRD}) in subsequent JJA.



323

324 **Figure 9.** Composite differences of geopotential height at 500 hPa in JJA between three high and low SIOD years based on
 325 the ensemble of 40 CESM-LE simulations during 1980–2019. The black dots indicate that the mathematical sign of the
 326 composite results of more than 60 % of the members is consistent with the ensemble mean. The black boxes represent the
 327 centers of AC_{NC} and C_{PRD} , respectively.

328 5. Conclusions and discussions

329 In general, the O_3 concentrations in NC were substantially high and the problem of O_3 pollution in the PRD
 330 has become increasingly prominent in recent years. A south-north dipole pattern of O_3 concentration in the east of
 331 China was identified based on GEOS-Chem simulations with fixed emissions and changing meteorological
 332 condition from 1980 to 2019. The DP- O_3 pattern presented opposite centers in NC and PRD. Corresponding to
 333 the positive phase of DP- O_3 , cyclonic and anticyclonic anomalies were located over the PRD and NC respectively,
 334 which resulted in dry and hot climate in NC, while the environment in the PRD region was cool and moist. The
 335 opposite was true in the negative phase of DP- O_3 . During positive phases, the meteorological condition mentioned
 336 above significantly enhanced natural emissions of O_3 precursors and photochemical reactions in NC but
 337 suppressed O_3 production in the PRD, and thus make great contributions to the south-north dipole pattern of O_3
 338 in the east of China.

339 Arctic SI near the Franz Josef Land in May played an important role in the occurrence of DP- O_3 . The warm
 340 SST anomalies associated with less SI_{FJL} could induce divergent wind field and vorticity advection in the upper
 341 layer, and enhanced positive Rossby wave source over northern Europe and West Siberia in summer. An EU-like
 342 pattern was triggered in Eurasia (solid lines in Figure 10), which could enhance the DP- O_3 -related atmospheric
 343 circulation (i.e., AC_{NC} and C_{PRD}) in JJA. As a result, meteorological conditions for O_3 concentration were
 344 completely different between NC and PRD, which eventually contributed the formation of DP- O_3 . In addition, the



precursory climatic driving signal of SIOD anomalies in the low latitudes in JFM was also closely linked to DP-
 O₃. The thermodynamic signal of SIOD could be stored in the subsurface, and the center of negative SST
 anomalies moved to the vicinity of Sumatra Island in summer. The meridional circulation intensified in summer
 (dashed lines in Figure 10), which, along with the enhancement of the AC_{NC} and C_{PRD} over the east of China,
 effectively increased O₃ concentration in NC but suppressed the generation of surface O₃ in the PRD. The linkages
 and corresponding physical mechanisms were well reproduced by the large CESM-LE ensemble simulation.

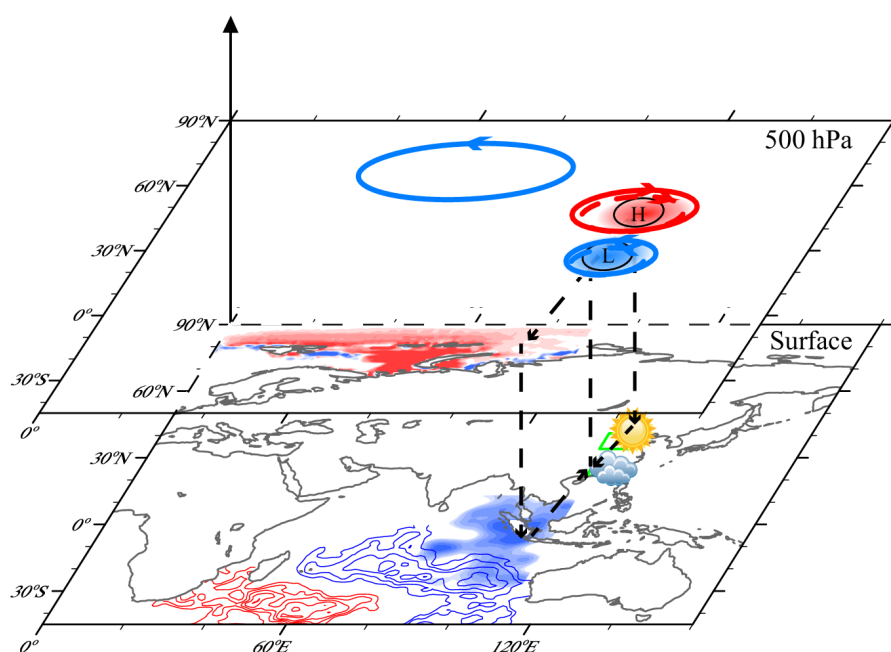


Figure 10. Schematic diagrams of the associated physical mechanisms. The May SI anomalies near the Franz Josef Land (red shadings) could trigger an EU-like pattern in the atmosphere in summer, which enhances the anticyclonic anomaly over NC and the cyclonic anomaly over the PRD. The thermodynamic signal of the preceding SIOD (contours) could be stored in the subsurface and the center of negative SST anomalies moves to the vicinity of Sumatra Island in summer (blue shading). The meridional circulation was enhanced in summer (dashed lines), along with the enhancement of AC_{NC} and C_{PRD} over eastern China. The solid lines indicate the anomalous atmospheric circulations affected by SI_{FJL}, while the dashed lines indicate the anomalous atmospheric circulations affected by SIOD.

The above analysis has revealed that the DP-O₃ is independently affected by SIOD and SI_{FJL} from 1980 to 2019. We attempted to discuss the combined impacts of the two precursory climatic drivers in the present study. For this purpose, a synthetic climate variability index SEI, defined as the weighted average of SI_{FJL} and SIOD, is calculated by

$$SEI = \frac{r_1 \times SI_{FJL} + r_2 \times SIOD}{|r_1| + |r_2|}$$



where r_1 and r_2 were the correlation coefficients of SI_{FJL} ($r_1 = 0.52$) and $SIOD$ ($r_2 = 0.44$) with the DP- O_3 time series, respectively. The correlation coefficient between SEI and DP- O_3 was 0.62 (Figure 2, exceeding the 99% confidence level). When the SEI anomalies were significant, the occurrence probability of the DP- O_3 in the same phase was 93% (Figure 2), which is higher than that based on individual influences of the two factors. Composite atmospheric circulation analysis has been carried out based on years of positive and negative SEI anomalies, and the results are shown in Figure 11a. The composite atmospheric circulation based on the SEI index was stronger, resulting in the concentrations of MDA8 O_3 in NC was $11.74 \mu g m^{-3}$ higher than that in PRD (Figure 11b). The main areas influenced by SI and SST were slightly different. Although the two precursory climatic drivers both could affect the atmospheric circulations over NC and the PRD, SI_{FJL} mainly affected atmospheric circulation anomaly over NC, while $SIOD$ played a major role in the PRD. However, climate variabilities at different latitudes jointly facilitated the dipole pattern of O_3 in the east of China from 1980 to 2019.

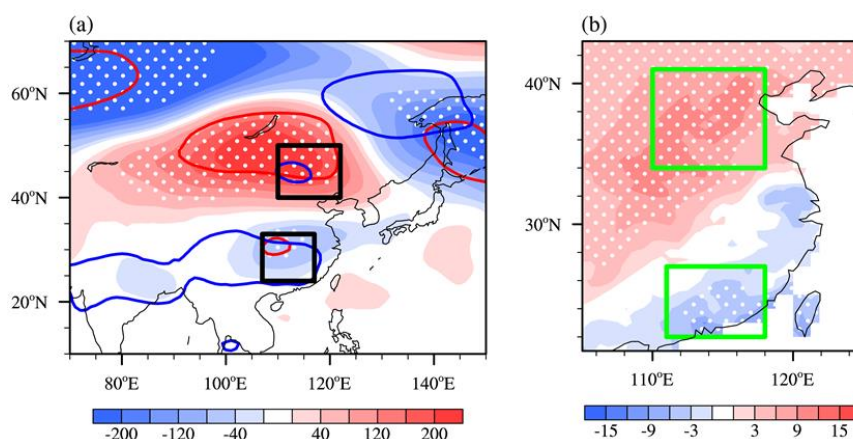


Figure 11. (a) Composites of geopotential height at 500 hPa (unit: gpm, shadings) in summer associated with the SEI (positive SEI years minus negative SEI years) from 1980 to 2019. The red and blue lines indicate areas where the composite geopotential height anomalies associated with SI_{FJL} and $SIOD$ exceed the 90% confidence level, respectively. The black boxes represent the centers of AC_{NC} and C_{PRD} , respectively. (b) Composite differences of the detrended summer-mean MDA8 O_3 (unit: $\mu g m^{-3}$) simulated by GEOS-Chem model between high and low SEI years during 1980–2019. The white dots indicate that the composite differences are above the 90% confidence level. The green boxes represent the areas of NC and the PRD.

The north-south dipole pattern of O_3 in the east of China in summer and its relationship with climate factors were clearly revealed in this study, yet some questions still remain unanswered and should be investigated in the future. The GEOS-Chem model simulations were used to explore the dominant pattern of O_3 in the east of China in summer due to the short sequence of O_3 observations. Although the GEOS-Chem demonstrated a good performance based on evaluation, there still exist some differences between the simulations and observations. In addition, statistical and numerical methods were used to reveal and verify the physical mechanisms behind the



388 dipole pattern of O₃ in the east of China and its relation with climate variability. However, further numerical
389 experiments should be carried out in the future. For example, coupled climate-chemistry models should be used
390 to not only simulated the influence of climate driving factors on O₃ pattern, but also revealed the effect of
391 individual climate factors as well as their comprehensive effects.

392

393

394 **Data Availability.** Hourly O₃ concentration data could be downloaded from <https://quotsoft.net/air/> (Ministry of
395 Environmental Protection of China, the last accessible data are for 23 September 2020). Sea ice concentration,
396 sea surface temperature, and subsurface ocean temperature data were from <https://www.metoffice.gov.uk/hadobs/>
397 (Met Office Hadley Centre, 2020). Monthly ERA5 reanalysis dataset was available at
398 <https://cds.climate.copernicus.eu/cdsapp#!/home> (Copernicus Climate Change Service. The last accessible data
399 were for 4 March 2021). The monthly OLR data could be acquired from <http://olr.umd.edu/> (University of
400 Maryland OLR Climate Data Record portal).

401

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405

406 **Authors' contribution**

407 Yin Z. C. designed the research. Ma X. Q. performed the research and analyzed the data. Yin Z. C. and Ma X. Q.
408 prepared the manuscript.

409

410 **Competing interests**

411 The authors declare no conflict of interest.



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Table and Figure captions

Figure 1. (a) Spatial distributions of observed (dots) and GEOS-Chem simulated (shading) summer-mean MDA8 O₃ (unit: $\mu\text{g m}^{-3}$) for the period 2015–2019. (b) The second EOF spatial pattern of simulated summer-mean MDA8 O₃ from 1980 to 2019. The simulated O₃ concentrations were produced by GEOS-Chem with fixed emissions but changing meteorological conditions from 1980 to 2019. The green boxes represent the areas of NC and the PRD.

Figure 2. Variations in standardized DP-O₃ time series (black), SI_{FJL} (red), SIOD (blue), and SEI (green) from 1980 to 2019. The correlation coefficients of the DP-O₃ with SI_{FJL} (red), SIOD (blue), and SEI (green) were shown in the figure.

Figure 3. Composite summer atmospheric circulations associated with the DP-O₃ (DP-O₃P minus DP-O₃N) for the period 1980 to 2019, including (a) SAT (unit: K, shadings) and geopotential height at 500 hPa (unit: gpm, contours), (b) Ssr (unit: 10^6 J m^{-2} , shadings) and Mlcc (unit: 1, contours), and (c) Prec (unit: mm, shadings) and surface wind (unit: m s^{-1} , arrows). The white dots indicate that the composites with shading were above the 90% confidence level. The black boxes in (a) indicate the centers of the AC_{NC} and C_{PRD}, respectively. The green boxes in (b) and (c) represent the areas of NC and the PRD.

Figure 4. Composites of (a) May SI concentration and (b) JFM SST associated with the DP-O₃ (DP-O₃P minus DP-O₃N) from 1980 to 2019. The green boxes in (a) and (b) indicate where the SI_{FJL} and SIOD indices are calculated, respectively. The white dots indicate that the composites were above the 90% confidence level. Composite summer meteorological conditions and circulations associated with (c) SI_{FJL} (positive SI_{FJL} years minus negative SI_{FJL} years) and (d) SIOD (positive SIOD years minus negative SIOD years) from 1980 to 2019, including the differences in Ssr (unit: 10^6 J m^{-2}), SAT (unit: K), and Prec (unit: mm) between NC and the PRD (NC minus PRD), and the differences between AC_{NC} and C_{PRD}. The black slashes indicate that the composites were above the 90% confidence level.

Figure 5. Composites of (a) May Arctic SST (unit: K) and (c) Rossby wave source anomalies at 500 hPa (unit: 10^{-11} s^{-2}) associated with SI_{FJL} index (negative SI_{FJL} years minus positive SI_{FJL} years) from 1980 to 2019. (b, d) same as (a, c) but for JJA. The shadings, contours and vectors in (c, d) represent Rossby wave source, velocity potential (unit: $10^5 \text{ m}^2 \text{ s}^{-1}$) and divergent wind (unit: m s^{-1}), respectively. The yellow box in (c) and green box in (d) represents the center of the velocity potential and Rossby wave source anomaly associated with SI_{FJL}, respectively. The white dots indicate that the composites with shading were above the 90% confidence level.

Figure 6. Composites of (a) wave activity flux anomalies (unit: $\text{m}^2 \text{ s}^{-2}$, arrows), geopotential height (unit: gpm, shading) at 500 hPa and (b) mean wind (unit: m s^{-1} , arrows), omega (unit: $10^{-2} \text{ Pa s}^{-1}$, shading) over 100–130° E, and the anomalies of AC_{NC} and C_{PRD} (unit: gpm, bar) in summer associated with SI_{FJL} index (negative SI_{FJL} years minus positive SI_{FJL} years) from 1980 to 2019. The green boxes in (a) represent the centers of the EU-like pattern. The white dots indicate that the composites with shading were above the 90% confidence level.

Figure 7. Composite differences of geopotential height at 500 hPa in JJA between three low and high SI_{FJL} years based on the ensemble of 40 CESM-LE simulations during 1980–2019. The black dots indicate that the mathematical sign of the composite results of more than 60 % of the members is consistent with the ensemble mean. The black boxes represent the centers of the EU-like pattern.



Figure 8. (a) Composites of mean 0–60m subsurface ocean temperature (unit: K) in summer associated with the SIOD (positive SIOD years minus negative SIOD years) from 1980 to 2019. The green boxes represent the centers of the SIOD, and the black box indicates where the SOT index is calculated. Composites of (b) OLR (unit: W m^{-2}) and (c) velocity potential (unit: $10^5 \text{ m}^2 \text{ s}^{-1}$, shadings) and divergent winds (unit: m s^{-1} , vectors) at 1000 hPa in summer associated with SOT indexes of opposite sign (negative SOT years minus positive SOT years). The black box represents the center of the SOT. (d) Composites of summer mean winds (unit: m s^{-1} , arrows) and omega (unit: $10^{-2} \text{ Pa s}^{-1}$, shadings) over $90\text{--}120^\circ\text{E}$, and the anomalies of AC_{NC} and C_{PRD} (unit: gpm, bars) associated with SOT indexes of opposite sign. The white dots indicate that the composites with shading were above the 90% confidence level.

Figure 9. Composite differences of geopotential height at 500 hPa in JJA between three high and low SIOD years based on the ensemble of 40 CESM-LE simulations during 1980–2019. The black dots indicate that the mathematical sign of the composite results of more than 60 % of the members is consistent with the ensemble mean. The black boxes represent the centers of AC_{NC} and C_{PRD} , respectively.

Figure 10. Schematic diagrams of the associated physical mechanisms. The May SI anomalies near the Franz Josef Land (red shadings) could trigger an EU-like pattern in the atmosphere in summer, which enhances the anticyclonic anomaly over NC and the cyclonic anomaly over the PRD. The thermodynamic signal of the preceding SIOD (contours) could be stored in the subsurface and the center of negative SST anomalies moves to the vicinity of Sumatra Island in summer (blue shading). The meridional circulation was enhanced in summer (dashed lines), along with the enhancement of AC_{NC} and C_{PRD} over eastern China. The solid lines indicate the anomalous atmospheric circulations affected by SI_{FJL} , while the dashed lines indicate the anomalous atmospheric circulations affected by SIOD.

Figure 11. (a) Composites of geopotential height at 500 hPa (unit: gpm, shadings) in summer associated with the SEI (positive SEI years minus negative SEI years) from 1980 to 2019. The red and blue lines indicate areas where the composite geopotential height anomalies associated with SI_{FJL} and SIOD exceed the 90% confidence level, respectively. The black boxes represent the centers of AC_{NC} and C_{PRD} , respectively. (b) Composite differences of the detrended summer-mean MDA8 O_3 (unit: $\mu\text{g m}^{-3}$) simulated by GEOS-Chem model between high and low SEI years during 1980–2019. The white dots indicate that the composite differences are above the 90% confidence level. The green boxes represent the areas of NC and the PRD.