

1 Warm and Moist Air Intrusions into Winter Arctic: A Lagrangian view on the near-surface energy budgets

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8 **Abstract.** In this study, warm and moist air intrusions (WaMAI) over the Arctic Ocean sectors of Barents, Kara,
9 Laptev, East Siberian, Chukchi and Beaufort Seas in recent 40 winters (from 1979 to 2018) are identified from
10 ERA5 reanalysis using both Eulerian and Lagrangian views. The analysis shows that WaMAIs, fuelled by Arctic
11 blockings, causes a relative surface warming and hence a sea ice reduction by exerting positive anomalies of net
12 thermal irradiances and turbulent fluxes to the surface. Over Arctic Ocean sectors with land-locked sea ice in
13 winter, such as Laptev, East Siberian, Chukchi and Beaufort Seas, total surface energy budget is dominated by
14 net thermal irradiance. From a Lagrangian perspective, total water path (TWP) increases linearly with the
15 downstream distance from the sea ice edge over the completely ice-covered sectors, inducing almost linearly
16 increasing net thermal irradiance and total surface energy-budget. However, over the Barents Sea, with an open
17 ocean to the south, total net surface energy-budget is dominated by the surface turbulent flux. With the energy in
18 the warm-and-moist air continuously transported to the surface, net surface turbulent flux gradually decreases
19 with distance, especially within the first 2 degrees north of the ice edge, inducing a decreasing but still positive
20 total surface energy budget. The boundary-layer energy-budget patterns over the Barents Sea can be categorized
21 into three classes: radiation-dominated, turbulence-dominated and turbulence-dominated with cold dome,
22 comprising about 52%, 40% and 8% of all WaMAIs, respectively. Statistically, turbulence-dominated cases with
23 or without cold dome occur along with one order of magnitude larger large-scale subsidence than the radiation-
24 dominated cases. For the turbulence-dominated category, larger turbulent fluxes are exerted to the surface,
25 probably because of stronger wind shear. In radiation-dominated WaMAIs, stratocumulus develops more strongly
26 and triggers intensive cloud-top radiative cooling and related buoyant mixing that extends from cloud top to the
27 surface, inducing a thicker well-mixed layer under the cloud. With the existence of cold dome, fewer liquid water
28 clouds were formed and less or even negative turbulent fluxes could reach the surface.

29 **Keywords:** Arctic climate, Stratocumulus, Trajectories, Warm and moist air intrusions

30 1. Introduction

31 In recent decades, rapidly intensified Arctic warming has been observed (Cohen et al., 2014; Graverson et al.,
32 2008a; Screen et al., 2018), which has become known as Arctic amplification (Serreze and Francis 2006).
33 Accompanying this warming has been a dramatic melting of Arctic sea ice (Screen and Simmonds, 2010;
34 Simmonds, 2015; Simmonds and Li, 2021). Particularly over the Barents Sea, a rapid warming rate, as well as a
35 remarkable sea ice decrease, is found, which may have impacts on the extreme cold winters in Eurasia (Kim et
36 al., 2014; Kim and Son, 2016; Li et al., 2021; Luo et al., 2019; Mori et al., 2014; Overland et al., 2011; Petoukhov
37 and Semenov, 2010; Rudeva and Simmonds, 2021; Tang et al., 2013).

38 Arctic amplification is likely a consequence of many contributing processes and a detailed attribution to different
39 factors is yet to be performed. The most commonly implied mechanism is the so-called albedo feedback, based
40 on the consideration that open water absorbs considerably more solar radiations than sea ice, which would
41 accelerate Arctic warming (Kim et al., 2019). However, Arctic amplification is the strongest in winter, when the
42 sun is mostly absent and the albedo by definition plays no role at all. This suggests that atmospheric energy
43 transport by warm-and-moist intrusions (WaMAI) may play an important role for Arctic amplification, especially
44 in winter. The positive trend in number of winter WaMAIs can statistically explain a substantial part of the surface
45 air temperature and sea-ice concentration trends in the Barents Sea (Luo et al., 2017; Nygård et al., 2020; Woods
46 and Caballero, 2016).

47 Most of these studies deal with winter and focus either on the dynamical mechanisms resulting in WaMAIs, or on
48 the effects of WaMAIs on the Arctic climate system conducted from an Eulerian perspective by retrieving
49 composite mean of WaMAIs properties (Liu et al., 2018), or calculating regressions between different metrics
50 (Gong and Luo, 2017). In recent years it has been increasingly argued that the concept of Lagrangian air mass
51 transformation is necessary for studying WaMAIs (Ali and Pithan, 2020; Komatsu et al., 2018; Pithan et al., 2018).
52 Trajectories have been utilized to study the origin and transport pathway of winter WaMAIs (Papritz et al., 2022),
53 as well as the thermodynamic processes along the trajectories (Papritz, 2020). A method using trajectories to
54 analyze WaMAIs from a Lagrangian perspective was designed by You et al. (2020) and tested on a summer
55 WaMAI event described in Tjernström et al. (2015). This method was utilized to build a climatology of summer
56 WaMAIs (You et al., 2021).

57 In this paper, we use this method to explore winter WaMAIs over several sectors of the Arctic Oceans: the Barents,
58 Kara, Laptev, East Siberian, Chukchi and Beaufort Seas. Over the Barents Sea, sea ice concentration is decreasing
59 and the near-surface atmosphere south of the ice edge is heated by comparatively warm open water. In contrast,
60 for the Laptev, East Siberian, Chukchi and Beaufort Seas, the ocean surface is almost completely frozen to the
61 coast and the insulation effect by sea ice suppresses heat transfer between ocean and atmosphere. We will attempt
62 understanding the distinctions between the ocean sector with open water and those with land-locked sea ice by
63 comparing surface and boundary-layer energy-budgets from both Eulerian and Lagrangian perspectives.

64 **2. Data and method**

65 **2.1 Data**

66 We use the latest reanalysis from European Centre for Medium-Range Weather Forecast (ECMWF), ERA5
67 (Hersbach et al., 2020) in this study. For the detection and Eulerian analysis of WaMAIs in recent 40 winters (DJF
68 from 1979 to 2018), we use the reanalysis dataset at a 6-hourly temporal and 0.75° horizontal resolution. This
69 includes the vertically integrated northward water vapor flux (f_w), sea ice concentration (SIC), 500-hPa
70 geopotential height (GH_{500}), 2m air temperature (T_{2m}), 850-hPa temperature (T_{850}), total water path (TWP),
71 liquid water path (LWP), ice water path (IWP) and precipitation rate (PRCP). For the Lagrangian analysis we also
72 use ERA5 3D wind field at a 6-hourly resolution for the calculations of air-mass trajectories during WaMAIs, in
73 the same way as described in You et al. (2020, 2021). We additionally interpolate energy-budget terms with
74 forecast data from ERA5 at the higher temporal resolution (1-hourly). This includes surface net solar (F_{sw}) and

75 thermal (F_{lw}) irradiances, the surface sensible (F_{sh}) and latent heat fluxes (F_{lh}), as well as the 1-hourly temperature
76 tendencies due to different model physics extracted at model levels.

77 Utilizing ERA5 reanalysis introduces uncertainty, especially for anything that comes from parameterized
78 model physics such as cloud parameters and the energy budget. Large upward residual heat flux biases exist
79 among all reanalysis and turbulent heat flux over the sea ice are also poorly simulated in all seasons (Graham et
80 al., 2019). In the data assimilation, the main variables in a reanalysis are constrained by observations and in-situ
81 observations over the central Arctic Ocean are sparse, especially in winter. The loss of all visible wavelengths in
82 passive remote sensing in winter also makes many satellite products less trustworthy. However ERA-Interim, the
83 predecessor of ERA5, generally performs best among the available reanalysis datasets, especially for the wind
84 (Lindsay et al., 2014) and substantial progress has been made in data quality and diagnostic techniques during
85 last few decades (Mayer et al., 2019). However, it would be not possible to analyze air mass transformation
86 climatologically on the energy-budgets along the trajectories of winter WaMAIs in any other way than relying on
87 reanalysis. Here, we alleviate uncertainty in two ways; first, by averaging over a large number of cases and second,
88 by considering anomalies rather than actual mean values. Avoiding single case studies reduces random errors,
89 while considering anomalies reduces systematic errors.

90 **2.2. WaMAI Detection**

91 Clouds and moisture are integral and important parts of the Arctic surface and boundary-layer energy budgets and
92 relative humidity in the Arctic boundary layer is almost always high (Andreas et al., 2002; Persson et al., 2002).
93 Although it is possible to have a warm and dry air mass intruding in the Arctic, it is quite unlikely to have an
94 intrusion that is moist and cold. We therefore identify WaMAIs by analyzing the vertically integrated northward
95 moisture flux, f_w , separately over the ocean sectors of Barents, Kara, Laptev, East Siberian, Chukchi and Beaufort
96 Seas (Figure 1). Among these sectors, winter SIC only varies substantially with the time over the Barents and
97 Kara Seas. North of $80^\circ N$ in the Barents Sea, SIC has a statistically significant correlation with f_w (Figure 2a).
98 locations that pass a $p < 0.05$ Student's t-test (stippled in Figure 2a) are considered the sensitive region. For the
99 remaining sectors, all sea ice covered locations are considered sensitive regions since they do not display winter
100 variability in SIC. The mean f_w over each sensitive region, $\overline{f_w}$, are approximately normally distributed (Figure 2b
101 and d). We define a WaMAI as a continuous period when $\overline{f_w} > 0$ (red lines in Figure 2c and e) with a maximum
102 larger than the 95-percentile of the distribution of all values of $\overline{f_w}$. The portion of a WaMAIs when $\overline{f_w}$ is larger
103 than the 95-percentile are moreover considered extreme moist intrusions (EMIs; blue line in Figure 2c and e);
104 note that each WaMAI can only include one EMI. The onset and terminal time of a WaMAI is taken at the nearest
105 minimum values of $\overline{f_w}$, or zero of $\overline{f_w}$.

106 Similar as You et al.(2021, 2020), ensembles of two day forward and backward trajectories at different
107 altitudes are calculated for each WaMAI over all ocean basins, using the trajectory algorithm from Woods et al.
108 (2013). Over each ocean sectors and for each WaMAI, we select a launch point along a latitude circle where the
109 T850 is the largest. The latitude circle of $75^\circ N$ (blue lines in figure 2a) is used for all ocean sectors, except for
110 the Barents Sea where $80^\circ N$ (red line in figure 2a) is used. Forward (backward) trajectories are also terminated
111 where they start to track southward (northward). Hence, we only capture the part of each trajectory that
112 continuously tracks northwards. Finally, the terminal points of selected trajectories have to be at least 5° north of

113 the sea-ice edge, defined as where SIC exceeds 15%. Trajectories are calculated at several different heights, every
 114 100 m, from 300 m to 800 m and vertical profiles of the various variables are then extracted from ERA5, from the
 115 surface to 2 km, by interpolation in time and space along each of these trajectories. The final vertical cross-section
 116 for each WaMAI is the ensemble average of the results along all trajectories initialised at different heights. For
 117 the 40 winters in this study, 87 (131) WaMAIs are detected over the ocean sectors with open ocean (land-locked
 118 sea ice) for a total of 218 WaMAIs.

119 **2.3. Energy Budgets**

120 As shown in Eq. 1, total surface energy-budget (F_{total}) is contributed by surface net solar irradiance (F_{sw}), surface
 121 net thermal irradiance (F_{lw}), surface turbulent sensible heat fluxes (F_{sh}) and surface turbulent latent heat fluxes
 122 (F_{lh}). Note that all surface net energy fluxes contributing to a surface warming are considered positive. Individual
 123 terms in Eq. 1 are also interpolated from ERA5 at each 0.5-degree interval in latitude along the trajectories.

$$124 \quad F_{total} = F_{sw} + F_{lw} + F_{sh} + F_{lh} \quad (1)$$

125 We also evaluate the cloud longwave radiative effects (CRE) ($F_{lw_CRE} = F_{lw_all_sky} - F_{lw_clear_sky}$), using the
 126 same method. $F_{lw_all_sky}$ is the surface net thermal irradiance, considering the actual clouds presence, while
 127 $F_{lw_clear_sky}$ is clear-sky counterpart, assuming clouds were not present.

128 For the atmospheric energy budget calculations, we also extract the temperature tendencies due to different model
 129 physics from ERA5, where we can resolve all terms in the thermal equation (Eq. 2). As shown in Eq. 2, the total
 130 temperature tendency T_t of an air-mass in a WaMAI is contributed by heating/cooling from the divergence of
 131 shortwave irradiance ($\frac{\partial T}{\partial t_{sw}}$), longwave irradiance ($\frac{\partial T}{\partial t_{lw}}$) and vertical turbulent heat flux ($\frac{\partial T}{\partial t_{TH}}$) and the latent heat
 132 of condensation in cloud formation ($\frac{\partial T}{\partial t_{LH}}$). In a Lagrangian view, the advection tendencies are by definition zero,
 133 while in an Eulerian view, the total tendencies would additionally be balanced by temperature advection. All these
 134 terms are also interpolated along the trajectories as previously discussed (also see You et al. 2020, 2021).

$$135 \quad T_t = \frac{\partial T}{\partial t_{sw}} + \frac{\partial T}{\partial t_{lw}} + \frac{\partial T}{\partial t_{LH}} + \frac{\partial T}{\partial t_{TH}} \quad (2)$$

136 Note that while the surface energy budget depends on the surface fluxes, the atmospheric energy budget depends
 137 on the vertical gradient of fluxes.

138

139

140 **3. Results**

141 **3.1 Large-scale Features**

142 EMIs were identified in the Arctic ocean basins of Barents, Kara, Laptev, East Siberian, Chukchi and Beaufort.
 143 Figure 3 (4) shows the composite of all EMIs over the Barents (Beaufort) Sea, representing the large-scale features
 144 of winter EMIs over ocean sectors with open ocean (land-locked sea ice). Both figure 3a and 4a show one pair of
 145 negative and positive GH₅₀₀ anomalies with a large geopotential height gradient in between, generating an

146 intensive f_w anomaly directed into the Arctic (Figure 3c, 4c), enhancing temperature advection (Figure 3b, 4b) and
147 cloud formation (Figure 3d, 4d), consistent with previous studies (Tjernström et al. 2015; Overland and Wang
148 2016; Gong and Luo 2017; Johansson et al., 2017; Sedlar and Tjernström 2017; Messori et al. 2018; Cox et al.
149 2019; You et al., 2021). Unlike over the Barents Sea, where the TWP anomaly is dominated by LWP (Figure 4d
150 and 4e), TWP over the Beaufort Sea is dominated by IWP. These features in the GH_{500} , T_{850} and TWP anomalies
151 are also found in all other ocean basins (Figure S1, S3, S5).

152 As warm and moist air is advected into the Arctic over the Barents Sea, it interacts with the cool ice
153 surface through turbulence and radiation, enforcing positive F_{sh} , F_{lh} and F_{lw} anomalies at the surface (Figure 5c,
154 5d and 5e). The F_{sh} anomaly reaches $> 60 \text{ W m}^{-2}$ over open water near the Norwegian coast, tapering off northward
155 over the ice all the way to the pole. The pattern of F_{lh} anomaly is similar to that of F_{sh} south of 80°N , but decreases
156 to nearly zero over the sea ice north of 80°N . Positive LWP and IWP anomalies in figure 3d and 3e, extending
157 from the coast to the north pole along the path of the EMIs, also affects the surface energy-budget with a positive
158 F_{lw} anomaly (Figure 5c). This relation between F_{lw} anomaly and winter EMIs over the Barents Sea is also
159 discussed in other climatological analyses (Gong et al., 2017; Gong and Luo, 2017). In total, these anomalies in
160 the surface-energy fluxes sum up to a positive F_{total} anomaly, inducing decreased SIC (Figure 5b).

161 Similar surface energy-budget pattern is also found over the Beaufort Sea (Figure 6) and other ocean
162 sectors with land-locked sea ice (Figure S2, S4, S6), but with some differences. The anomaly in F_{total} over the
163 Barents Sea is dominated by F_{sh} , while F_{total} anomaly over the Beaufort Sea is dominated by F_{lw} . The magnitudes
164 of F_{sh} , F_{lh} and F_{total} anomalies over the Beaufort Sea are less half the magnitude of those over the Barents Sea,
165 especially south of 80°N and hence induce four times less SIC decrease. As EMIs occur over the Beaufort Sea,
166 positive F_{sh} , F_{lh} , F_{total} , F_{lw} , LWP and IWP anomalies and negative SIC anomaly is found. However, negative F_{sh} ,
167 F_{lh} , F_{total} , F_{lw} , LWP and IWP anomalies and positive SIC anomalies could also be found over the Barents Sea
168 sector, while some WaMAIs from the Beaufort Sea pass through the pole and become cold spells over the Barents
169 Sea (Figure 4 and 6).

170 Table 1 summarizes the averaged surface energy-budgets over sea ice across the six basins. Except for
171 the Barents Sea, F_{lw} anomalies are almost twice larger than F_{sh} anomalies. Since F_{sw} anomalies can be ignored
172 in winter, the F_{lw} anomalies dominate F_{total} . However, over the Barents Sea, F_{sh} anomalies are almost twice larger
173 than F_{lw} anomalies and contribute to more than 50% of F_{total} anomalies. Over the Barents and Chukchi Sea,
174 positive F_{sh} anomalies are statistically significant, which is not the case for any of the other sectors. Except for
175 the Laptev Sea, positive F_{total} and F_{lw} anomalies are statistically significant.

176 The composites of large-scale pattern discussed above are extracted from the stronger EMI events to
177 generate a clear signal, however, these may not necessarily represent the general pattern of all WaMAIs. Therefore,
178 linear regressions of daily averaged GH, T_{850} , SIC, F_{total} , F_{sh} , F_{lh} , F_{sw} and F_{lw} anomaly against the time series of
179 daily averaged \bar{f}_w over the sensitive regions in recent 40 winters were calculated separately for all the examined
180 ocean basins. All the regressed fields have similar pattern as their counterparts in Figures 3~6, implying a similar
181 relationship for all days but at smaller magnitudes. Since the regressions confirm the conclusions, we will consider
182 only the Barents and Beaufort Seas as an example of ocean sector with open ocean and land-locked sea ice,
183 respectively (Figure 7 and 8).

184

185 3.2 The Surface Energy-budget

186 In this section, we will explore the transformation of temperature inversion, cloud formation and surface energy-
187 budget along the trajectories of warm-and-moist air masses over ocean basins with open water and land-locked
188 sea ice, respectively, by compositing the heights to the maximum specific humidity (h_{sh}), temperature (h_t) and
189 vertical temperature gradient (h_{tz}), along with TWP, LWP, IWP, precipitation rate (PRCR) and surface energy-
190 budget terms (F_{sh} , F_{lh} , F_{total} , F_{lw}) from all detected WaMAIs.

191 Over the completely ice-covered sea sectors such as the Laptev, East Siberia, Chukchi and Beaufort Seas,
192 strong temperature inversion develops with cloud formation below, as the warm-and-moist air propagates over
193 the sea ice. In this case, h_{sh} is higher than h_t , and both are higher than h_{tz} (Figure 9a). From the ice edge and
194 onward up to 10 degrees north of the ice edge, h_{sh} , h_t and h_{tz} increase almost linearly, by 30-40 m per degree
195 latitude (Figure 9a) as the inversion is lifted. TCW and PRCP also increase northward, although more slowly for
196 the first two degrees, in total by 6 g m^{-2} and 0.4 mm day^{-1} per degree latitude, respectively, implying that
197 stratocumulus develop continuously along the trajectories (Figure 9b, c). The increasing TWP is mainly due to
198 the increase in IWP since LWP is almost constant along the trajectories (Figure 9b). The increase of h_{tz} is
199 comparable to that of summer WaMAIs, while the increase in TWP is about half of that of summer WaMAIs
200 (You et al., 2021), since less moisture is available for cloud development in winter (Figure 4c). The gradual
201 increase of h_{tz} , a manifestation of increased boundary-layer mixing, leads to a reduction in near-surface gradients.
202 Since the turbulent heat fluxes at the surface depend on these gradients, the F_{sh} anomaly decreases gradually at a
203 rate of 1.5 W m^{-2} per degree latitude (Figure 10a). Simultaneously, the F_{lw} anomaly increases almost linearly by
204 2.5 W m^{-2} per degree latitude, while F_{lh} , the smallest contributor to F_{total} , is almost constant along the trajectories
205 (Figure 10a). The increase in F_{lw} along trajectories is due to an increasing cloud radiative effects by the evolving
206 stratocumulus clouds; F_{lw_CRE} increases at a similar rate as F_{lw} (Figure 10b). From 0 to 2 degrees north of the sea
207 ice edge, the F_{total} anomaly is dominated by the F_{sh} anomaly, while farther north it is dominated by F_{lw} anomaly
208 (Figure 10a). Generally, F_{total} anomaly increases with the distance from the sea ice edge at a rate of 1 W m^{-2}
209 (degree latitude) $^{-1}$ and this increasing trend is dominated by F_{lw} anomaly (Figure 10a).

210 Over the Barents Sea, with open warm water south of the ice edge, h_t and h_{sh} also increase nearly linearly
211 but at a 1.6 times larger rate than those over ocean sectors with land-locked sea ice, however, starting at
212 considerably smaller values (Figure 9d). The maximum values of h_t and h_{sp} here are comparable to the minimum
213 values over the completely ice-covered sectors, implying that WaMAIs over the Barents Sea develops a shallower
214 well-mixed layer and hence bring the moist and warm air closer to the surface. However, the temperature inversion
215 over the Barents Sea is too weak to be easily identified with the metrics used above. Unlike for the sectors with
216 land-locked sea ice, TWP and PRCR are constant with downwind distance from the ice edge, varying slightly
217 around 150 g m^{-2} and 7 mm day^{-1} (Figure 9e, f). As a consequence, F_{lw} anomaly and F_{lw_CRE} along the trajectories
218 (Figure 10c, d) are nearly constant with northward distance. Although TWP remains quasi-constant, LWP (IWP)
219 decreases (increases) at a rate of -6 g m^{-2} ($+6 \text{ g m}^{-2}$) along the trajectories (Figure 9e). From 0 to 4 degrees north
220 of the sea ice edge, TWP is contributed by LWP and IWP in about equal parts, while from 4 degrees north of the
221 sea ice edge and onward, TWP gradually becomes dominated by IWP. The F_{sh} anomaly decreases fast by nearly

222 50% over the first two degrees from the sea ice edge (Figure 10c). From 2 to 10 degrees north of the sea ice edge,
223 the decrease is more moderate at a rate of 4 W m^{-2} per degree latitude (Figure 10c), which is still faster than that
224 over the completely frozen ocean sectors. However, the F_{sh} anomaly is still larger than the largest corresponding
225 value for the completely frozen ocean sectors, even ten degrees north of the ice edge (Figure 10a). This is likely
226 due to the much warmer upstream conditions over the open ocean. The large thermal contrast between open ocean
227 and sea ice surface contributes to the stable atmospheric layer over the sea ice surface and rapidly reducing F_{sh}
228 anomaly, while the decrease of F_{sh} anomaly with downstream distance is due to the slowly reducing temperature
229 gradient resulting from the turbulent mixing. Similar decreasing trends are also present for F_{lh} and F_{total} anomaly
230 (Figure 10c). From 2 to 10 degrees north of the sea ice edge, they decrease at a rate of 1 and 5 W m^{-2} per degree
231 latitude, respectively (Figure 10c). Within 5 degrees north of the sea ice edge, F_{total} anomaly is dominated by F_{sh} ,
232 while downstream the turbulent heat flux ($F_{sh} + F_{lh}$) anomaly becomes comparable to F_{lw} anomaly and contribute
233 almost equally to F_{total} anomaly (Figure 10c).

234 Without the presence of solar radiation in winter, the variation of F_{total} anomaly over the Barents Sea is
235 dominated by F_{sh} anomaly (Figure 10a), while it is dominated by F_{lw} anomaly over ocean sectors with land-locked
236 sea ice (Figure 10c). This distinction between ocean sectors with and without open ocean upstream can be
237 explained by the stronger air-sea interaction over the Barents Sea (Kim et al., 2019). Before the air-mass is
238 advected in over the sea ice, it is heated and moistened by the ocean and consequently, exerts greater turbulent
239 heat fluxes to the surface as it suddenly enters over the sea ice (Figure 10c). Cloud formation happens already
240 upstream over the warm water and in a much deeper PBL and is hence not much affected by the advection over
241 sea ice. Instead a much shallower well-mixed layer forms as the air enters over the ice, and the larger vertical
242 gradients resulting from the large temperature difference across the ice edge gives rise to larger F_{sh} . This
243 dominance of turbulent heat fluxes remains until the halfway along the trajectories.

244 3.3 The Boundary-layer Energy-budget

245 As discussed in previous sections, cloud formation as part of the air-mass transmission can exert large variability
246 on the surface energy-budget. Here, we focus on the cloud effects on the boundary-layer energy-budget. For each
247 WaMAI, the boundary-layer energy-budget terms are evaluated and interpolated along the trajectory and analyzed
248 on a case-by-case basis, categorizing patterns into four main categories: a) lifting temperature inversion (INV); b)
249 radiation-dominated (RAD); c) turbulence-dominated (TBL); and d) turbulence-dominated with cold dome (TCD).
250 Some typical cases are shown in figure 11-14 respectively for these four categories, illustrating different
251 boundary-layer energy-budgets in each category, while conceptual summary graphs of all the different categories
252 are summarized in Figure 15.

253 All ocean sectors fall within either of these, except for the Kara Sea that has some sea ice variability and
254 open ocean. Hence, some WaMAIs behave as typical for the Barents Sea, while most behave like for the other
255 sectors with land-locked sea ice. Almost all WaMAIs over ocean sectors with land-locked sea ice feature a
256 boundary-layer energy-budget pattern of category INV. Similar to category TBL for summer WaMAIs (You et
257 al., 2021), category INV is characterized by increasingly lifting temperature inversion and continuously
258 stratocumulus development near the inversion. Different from the ocean sectors with land-locked sea ice, clouds
259 during WaMAIs over the ocean sector with an upstream open ocean (e.g. Barents Sea) form at the altitude of ~ 1

260 km, above the warm-and-moist air-masses. The boundary-layer energy-budget here is categorized into three
261 categories (RAD, TBL, TCD). Category RAD is characterized by stronger cloud-top radiative cooling and related
262 buoyant mixing, while category TBL is characterized by more intensive surface turbulent mixing. Category TCD
263 is similar to category TBL excluding a cold dome over the high Arctic. The boundary-layer energy-budget patterns
264 are categorized by manually checking case by case if they have the typical characteristics of each categories. Their
265 launch time and launch longitudes are listed in table S1.

266 Note that unlike radiation and condensation/evaporation, turbulence does not generate heating/cooling
267 by itself. Instead, it heats/cools air locally by redistributing heat from one altitude to another through mixing
268 within the column. Also, note that the temperature tendencies discussed below are only those that are due to model
269 physics in a Lagrangian view, while in an Eulerian framework, they would be balanced by advection (not shown).
270 In an absolute sense the boundary layer always undergoes a gradual cooling during the advection over the sea ice.

271 **3.3.1 Lifting temperature inversion (INV)**

272 In this category turbulent heating and cooling dominate the boundary-layer energy-budget (Figure 11e and 11h),
273 even though stratocumulus develops along the trajectories and affects the radiative processes (Figure 11a and f).
274 Turbulent mixing transports heat from the upper to the lower parts of the PBL, hence cooling the upper and
275 warming the lower parts of the PBL (Figure 11h). Since the turbulent mixing persists along the trajectories, the
276 well-mixed layer below the inversion continuously deepens northward (Figure 11b), while the inversion and the
277 cloud top are gradually lifted (Figure 11a). This supports the hypothesis from Tjernström et al. (2019), that the
278 surface inversion formed at the sea ice edge is eroded progressively downstream, by cloud-top cooling and surface
279 turbulent mixing, and eventually the boundary layer must transform into the often observed well-mixed cloud-
280 capped boundary layer (Brooks et al., 2017; Graversen et al., 2008; Morrison et al., 2012; Pithan et al., 2014;
281 Sotiropoulou et al., 2014; Tjernström et al., 2012; Tjernström and Graversen, 2009). Even though this hypothesis
282 was originally posed for summer WaMAIs, it is also applicable to winter WaMAIs over completely frozen ocean
283 sectors; see Figure 15a.

284 Clouds are relatively thin and radiative cooling near the cloud top is therefore weak (Figure 11f) and
285 only in a few cases the magnitude of radiative cooling is comparable to the turbulent cooling. Generally, in this
286 category, turbulent heating is larger than radiative heating as well as latent heating, and hence boundary-layer
287 warming is dominated by turbulence, but since turbulence only redistribute heat inside the PBL, as a whole it is
288 gradually cooled as the warm air progresses northward.

289 **3.3.2 Radiation-dominated (RAD)**

290 Over the Barents Sea, the maximum air temperature (Figure 12a, 13a, 14a) and specific humidity (Figure 12d,
291 13d, 14d) over open ocean south of the ice edge are always located right above the sea surface as a result of the
292 strong air-sea interaction and are also typically larger than those over ocean sectors with land-locked sea ice. As
293 this air-mass, considerably affected by air-sea interaction, is advected over the sea ice, different stories take place.

294 Around 8% of all WaMAIs over the Barents Sea belong to category RAD (Table 2). In this category, the
295 total temperature tendencies are forced by radiative processes. For this category, the large-scale subsidence is an
296 order of magnitude smaller than that in category TBL (Table 3, CONV) and LWP is three times larger than that

297 in category TCD (Table 3, LWP), suggesting that the stratocumulus develops more intensively in category RAD
298 (Figure 12a). With larger values of LWP, longwave radiation is effectively emitted at the cloud top like a black
299 body, exerting large cooling rates with maximum reaching -16 K day^{-1} . However, unlike the cloud formation in
300 category INV, here clouds always already form south of the ice edge over the open water and few clouds develop
301 in the near-surface inversion. In the cloud, heat is redistributed with warming at the cloud top and cooling in the
302 lower PBL by buoyant mixing driven by cloud-top longwave radiative cooling (Figure 12h). The turbulent cooling
303 layer in the PBL interior is apparently thicker than the turbulent warming layer whose absolute value of heating
304 rate is considerably more intensive (Figure 12h). As shown in figure 12h, the buoyant mixing can access the surface
305 and induce a thicker well-mixed layer below the stratocumulus (Figure 12b). As precipitation constantly erodes
306 the cloud, buoyant mixing continuously provides moisture for the cloud development from the moister air below
307 and hence cloud development as well as the cloud top cooling is maintained.

308 Meanwhile, the value of maximum temperature and specific humidity is decreasing gradually along the
309 trajectory, indicating that the heat and moisture within the warm-and-moist air is consumed continuously by the
310 cloud formation and surface turbulent mixing. For this category, F_{lw} is comparable to those of category TBL and
311 TCD (Table 3), and increases almost linearly along the trajectory (Figure 16d1) due to the enhancing TWP (Figure
312 16c1). F_{sh} and F_{lh} are generally smaller than those of category TBL since stronger mixing weakens vertical
313 gradients in the PBL and hence suppresses the surface turbulent heat flux (Table 3). The decreasing rates of F_{sh}
314 and F_{lh} from 0 to 2 degrees north of the sea ice edge are larger than for categories TBL and TCD as a result of
315 stronger buoyant mixing in the PBL (Figure 16a1), while onwards, their decreasing rates are smaller than those
316 for the other two categories since the lifting rates of h_t and h_{sp} are dramatically slowed down (Figure 16b1); see
317 Figure 15b.

318 **3.3.3 Turbulence-dominated (TBL)**

319 52% of WaMAIs over the Barents Sea belong to the turbulence dominated category. The variation of surface
320 energy-budget along the trajectory (Figure 16 a2, b2 and c2) is similar to the mean variation of WaMAIs from all
321 categories showed in figure 10c and 10d. Subsidence for WaMAIs in this category is typically a factor of three
322 larger than that in category RAD and it is statistically significantly positive (Table 3, CONV). Consequently,
323 clouds in this category do not develop as intensively as in category RAD and hence the radiative cooling rate at
324 the cloud top is considerably smaller. The boundary-layer energy-budget is mainly dominated by turbulent heating
325 near the surface. As warm-and-moist air is advected into the Arctic sea ice, turbulence exchanges heat between
326 warm and cold air-mass by cooling (heating) warmer (colder) air (Figure 13h), simultaneously inducing a
327 gradually thickening well-mixed layer capped by a strong inversion, and a continuously lifting of h_t and h_{sp} (Figure
328 13b). In this category, the well-mixed layer is substantially thinner than in category RAD, since the turbulent
329 mixing here is mainly forced by surface friction, weaker and less effective than the buoyant mixing in category
330 RAD (Figure 12b). Turbulence is mainly forced by wind shear and buoyancy, but buoyancy is negative here in
331 the initially very stable near-surface layer. Therefore, wind shear mostly fuels the turbulent mixing. In category
332 TBL, turbulent mixing is stronger than in category RAD, but the surface fluxes are still stronger, due to the
333 stronger gradients; F_{sh} and F_{lh} are 77% and 42% larger than those in category RAD. Also see Figure 15c.

334 **3.3.4 Turbulence-dominated with cold dome (TCD)**

335 40% of WaMAIs over the Barents Sea belong to this category. For this category, the boundary-layer energy-
336 budget is generally similar to that in category TBL. The main difference is that there is always a layer of cold air
337 (cold dome) laying below the warm-and-moist air-mass especially in the central Arctic (Figure 14c). This cold
338 dome enlarges the vertical temperature gradient and hence intensifies turbulent heat near the surface (Figure 14h).
339 As the warm-and-moist air-mass is advected over the cold dome, it is gradually lifted up by the cold dome and
340 consequently, h_t and h_{sp} are increasing at a faster rate than in category TBL (Figure 16b3). With faster lifting h_t
341 and h_{sp} , F_{sh} and F_{lh} would be reduced more rapidly or even become negative in the high Arctic (Figure 16a3).
342 TWP is dominated by LWP in category RAD and TWP is contributed almost equally by LWP and IWP in category
343 TBL, while in category TCD, TWP is gradually more dominated by IWP; the IWP-to-TWP ratio increases linearly
344 from ~50% to ~100% (Figure 16c3); also see Figure 15d.

345 4. Conclusion

346 Warm-and-moist air intrusions (WaMAI) greatly contribute to Arctic surface warming. To understand the surface
347 and boundary-layer energy-budget as WaMAIs occur, in this paper, we have detected WaMAIs over the Arctic
348 Ocean sectors of Barents, Kara, Laptev, East Siberian, Chukchi and Beaufort Seas in 40 recent winters (DJF from
349 1979 to 2018) using ERA5 reanalysis. The climatological analysis shows a consistent pattern with a blocking
350 high-pressure system over corresponding ocean sectors contribute to warm-and-moist air intrusions into winter
351 Arctic, supplying moisture for cloud formation, exerting a positive total energy-budget anomaly on the surface.

352 Statistically, as warm-and-moist air is advected over ocean sectors with land-locked ice cover, such as
353 the Laptev, East Siberian, Chukchi and Beaufort Seas, the longwave irradiance anomaly increases linearly by 2.5
354 W m^{-2} (degree latitude) $^{-1}$, while the total column cloud liquid water increases linearly by 6 g m^{-2} (degree latitude) $^{-1}$.
355 The longwave irradiance is dominant in the surface energy-budget. We have also analysed the boundary-layer
356 vertical structure along these trajectories, as well as the associated surface energy-budget pattern of over these
357 sectors, and find one main category, elevated lifting temperature inversion (INV), which in structure is similar to
358 summer WaMAIs (You et al., 2021) (Figure 15a).

359 During WaMAIs over the Barents Sea where open water exists to the south of the sea ice edge, turbulent
360 heat flux is dominant over the surface energy-budget, especially along the first half-way of the trajectories (Figure
361 10c). This difference on the surface energy-budget between the Barents Sea and frozen sea sectors is also
362 preliminarily discussed by Lee et al. (2017). Three main categories are found; radiation-dominated (category
363 RAD), turbulence-dominated (category TBL) and turbulent-dominated with cold dome (category TCD),
364 comprising 8%, 52% and 40%, respectively, of all WaMAIs. Unlike over the sectors with land-locked sea ice, air-
365 masses over the ice-free Barents Sea are warmed by the sea surface (local process) before being advected over
366 the sea ice (remote process), consequently resulting in more intensive surface warming.

367 In response to ten times smaller large-scale subsidence, stratocumulus develops more strongly in
368 category RAD with more intensive cloud-top radiative cooling, inducing apparently thicker well-mixed layer
369 (Figure 15b). However, this strong radiative cooling induces intensive buoyant mixing extending from the cloud
370 top till the surface, suppresses the surface turbulent mixing and decreases the lifting rate of the height to the
371 maximum temperature (h_t) and to the maximum specific humidity (h_{sp}). Therefore, surface turbulent fluxes in
372 category RAD and the lifting rate of h_t and h_{sp} are apparently smaller than those in category TBL (Figure 15c).

373 With cold dome, less liquid cloud water could be formed and fewer or even negative turbulent fluxes could access
374 to the surface, in comparison with category TBL (Figure 15d). In category TCD, turbulent fluxes decrease faster
375 along the trajectory since warm-and-moist air is lifted to higher altitude above the cold dome (Figure 15d).

376 Under the background of global warming, the rate of local process has been accelerated by 9% per year
377 (Kim et al., 2019), while the meridional heat and moisture transports (remote processes) over the Barents Sea are
378 also enhanced in recent decades (Nygård et al., 2020). This implies that WaMAI may play a more significant role
379 in the future Arctic warming. Therefore, the potential mechanism which enhances the occurrence and intensity of
380 WaMAI deserves more attentions from atmospheric scientists.

381 **Data availability**

382 All data used can be found on the ERA5 data repository at DOI: [www.ecmwf.int/en/forecasts/datasets/reanalysis-](https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5)
383 [datasets/era5](https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5).

384 **Author contributions**

385 CY conducted analysis and interpretation of the data under the supervision of MT and AD. CY prepared the
386 original version of the paper. MT and AD provided constructive comments and revisions to the final article.

387 **Competing interests**

388 The authors declare that they have no conflict of interest.

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522

523 Table 1. Regional averaged F_{sh} , F_{lh} , F_{sw} , F_{lw} and F_{total} in Kara, Laptev, East Siberian and
 524 Beaufort Sea sector. The unit is $W m^{-2}$ for all variables. Statistically significant positive values
 525 are in bold.

Sea sector	Barents	Kara	Laptev	East Siberian	Chukchi	Beaufort
F_{sh}	28.85±16.73	8.92±13.08	3.17±6.53	6.72±7.77	13.55±10.87	5.93 ±8.14
F_{lh}	10.05±9.83	0.65±6.58	-0.39±2.19	0.55±2.56	1.56±4.02	0.34±2.19
F_{sw}	-0.024±0.59	-0.077±0.40	-0.029±0.40	-0.16±0.47	-0.095±0.97	-0.077±0.9
F_{lw}	15.99±14.34	16.51±9.93	5.92±10.88	15.42±11.16	21.77±10.30	17.45±10.51
F_{total}	54.86±34.41	26.01±25.32	8.67±13.81	22.52±15.08	36.78±16.27	23.65±14.85

526 Table 2. Number of WaMAIs with boundary layer energy budget pattern of category RAD
 527 (radiation-dominated), TBL (turbulence-dominated), TCD (turbulence-dominated with cold
 528 dome) and INV (lifting temperature inversion), over melting (Barents) and frozen (Laptev,
 529 East Siberian, Chukchi and Beaufort) sea sectors.

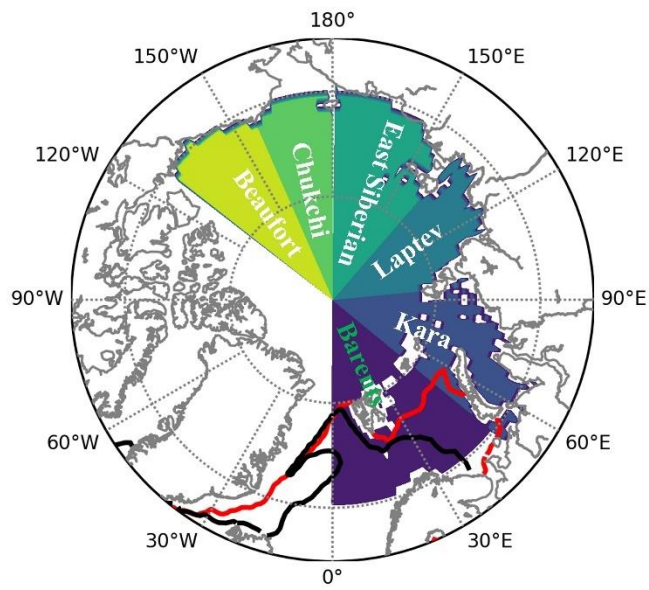
Sea sector	Melting			Frozen
Category	RAD	TBL	TCD	INV
Number	9	45	33	131

530 Table 3. Averaged F_{sh} , F_{lh} , F_{sw} , F_{lw} , TCLW (from bottom to h_{t_2} ; $g m^{-2}$) and large-scale
 531 convergence (CONV; $10^{-5} kg m^{-2} s^{-1}$) from category TBL and category RAD. Statistically
 532 significant positive values are in bold.

	Category RAD	Category TBL	Category TCD
F_{sw}	-0.0094±0.047	-0.00035±0.0013	-0.0050±0.035
F_{lw}	31.49±13.96	34.61±18.71	35.46±13.10
F_{sh}	40.99±28.27	72.58±40.21	9.77±23.08
F_{lh}	17.43±15.42	24.79±23.80	1.02±8.16
TCLW	96.78±53.31	83.11±54.27	30.13±31.89
CONV	17.19±174.89	236.05±225.90	115.00±230.01
Wind Shear	0.019±0.0061	0.026±0.008	0.02±0.011

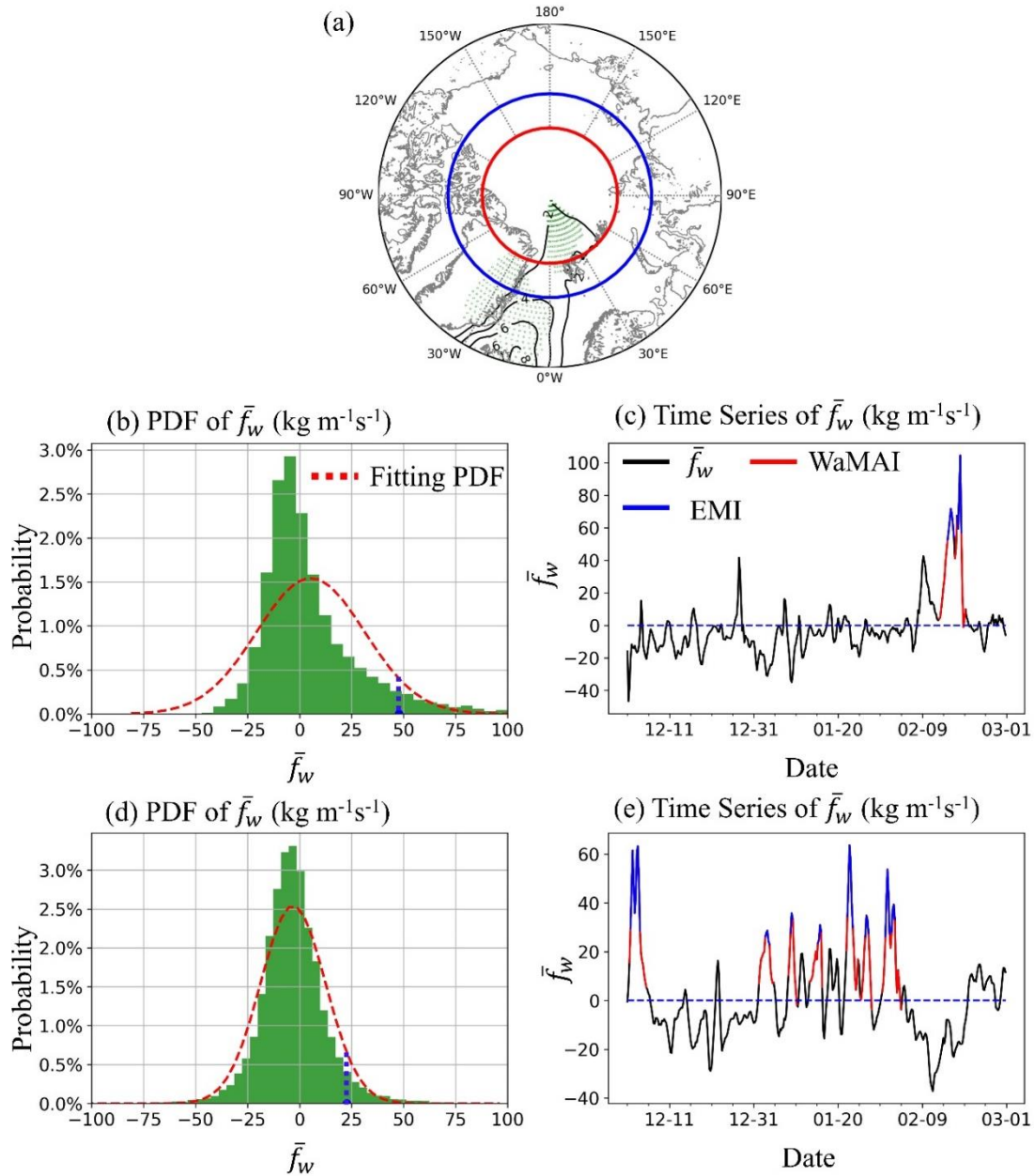
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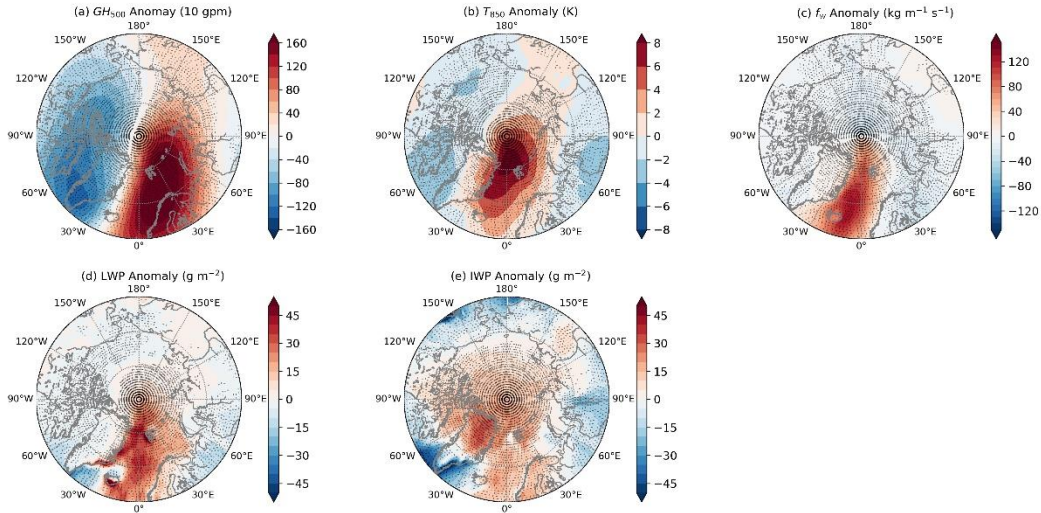
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537 Figure 1. Locations of six sea sectors discussed in this paper, the Barents, Kara, Laptev, East
538 Siberian, Chukchi and Beaufort Sea sectors. Black line is the mean March sea-ice edge in
539 1979 and red line is the mean March sea-ice edge in 2015 when the minimum winter sea ice
540 cover was recorded.



541

542 Figure 2. (a) Contours of the linear correlation between local f_w and normalized SIC anomalies
 543 (multiplied by -1), defined as the anomaly divided by its standard deviation, for the winter
 544 months (DJF) over the Barents Sea. The stippling indicates statistical significance at the $p <$
 545 0.05 level for the Student's t test. Red line is the latitude of 80°N where the trajectories over
 546 the Barents Sea are launched, while blue line is the latitude of 75°N where the trajectories are
 547 launched over the sea sectors of Kara, Laptev, East Siberian, Chukchi and Beaufort; (b) and (d)
 548 show the Probability Distribution Function of f_w over the Barents and Beaufort Sea,
 549 respectively, with the 95-percentile marked as a blue dash line; (c) and (e) are the time series
 550 of f_w over the Barents Sea and Beaufort Sea in 1980, respectively, with WaMAI highlighted
 551 in red and EMIs highlighted in blue.

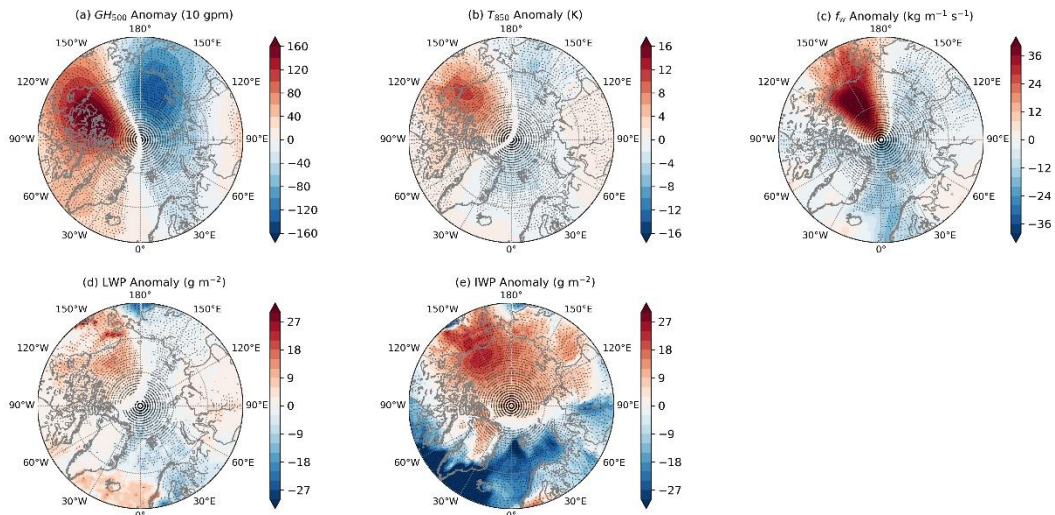


552

553 Figure 3. Composite ERA5 anomalies of (a) 500-hPa GH (10 gpm), (b) 850-hPa temperature
 554 (K), (c) northward water-vapor flux ($kgm^{-1}s^{-1}$), (d) liquid water path ($g m^{-2}$), and (e) ice
 555 water path for all EMIs over the Barents Sea, during 1979~2018 winters. The stippling
 556 indicates statistical significance at the $p < 0.01$ level from a Student's t test.

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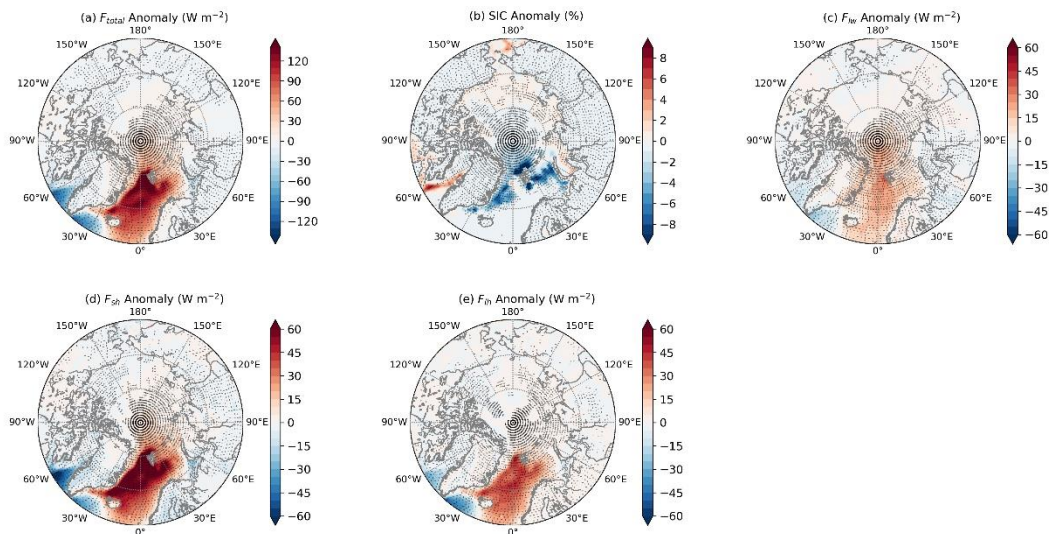


559

560 Figure 4. Composite ERA5 anomalies of (a) 500-hPa GH (10 gpm), (b) 850-hPa temperature
 561 (K), (c) northward water-vapor flux ($kgm^{-1}s^{-1}$), (d) liquid water path ($g m^{-2}$), and (e) ice
 562 water path for all EMIs over the Beaufort Sea, during 1979~2018 winters. The stippling
 563 indicates statistical significance at the $p < 0.01$ level from a Student's t test.

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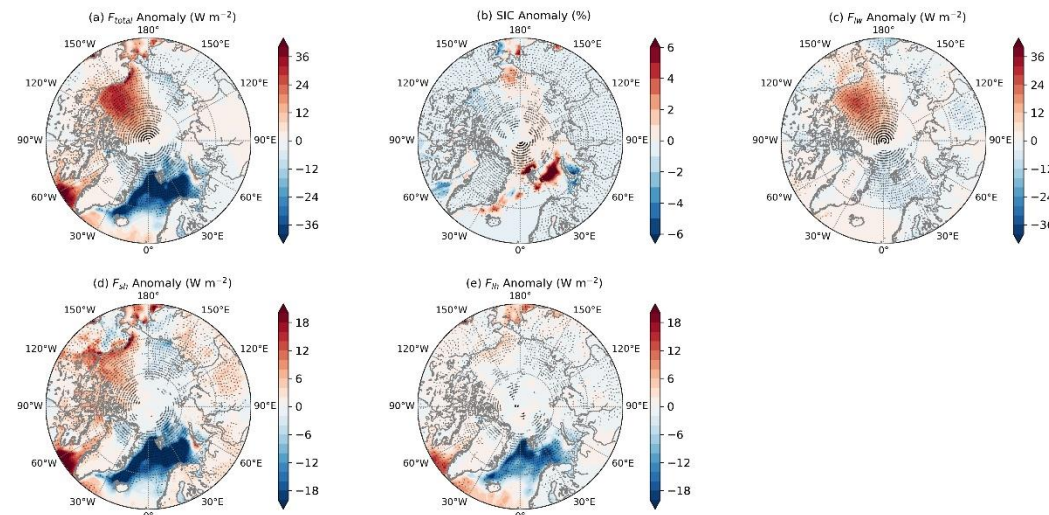
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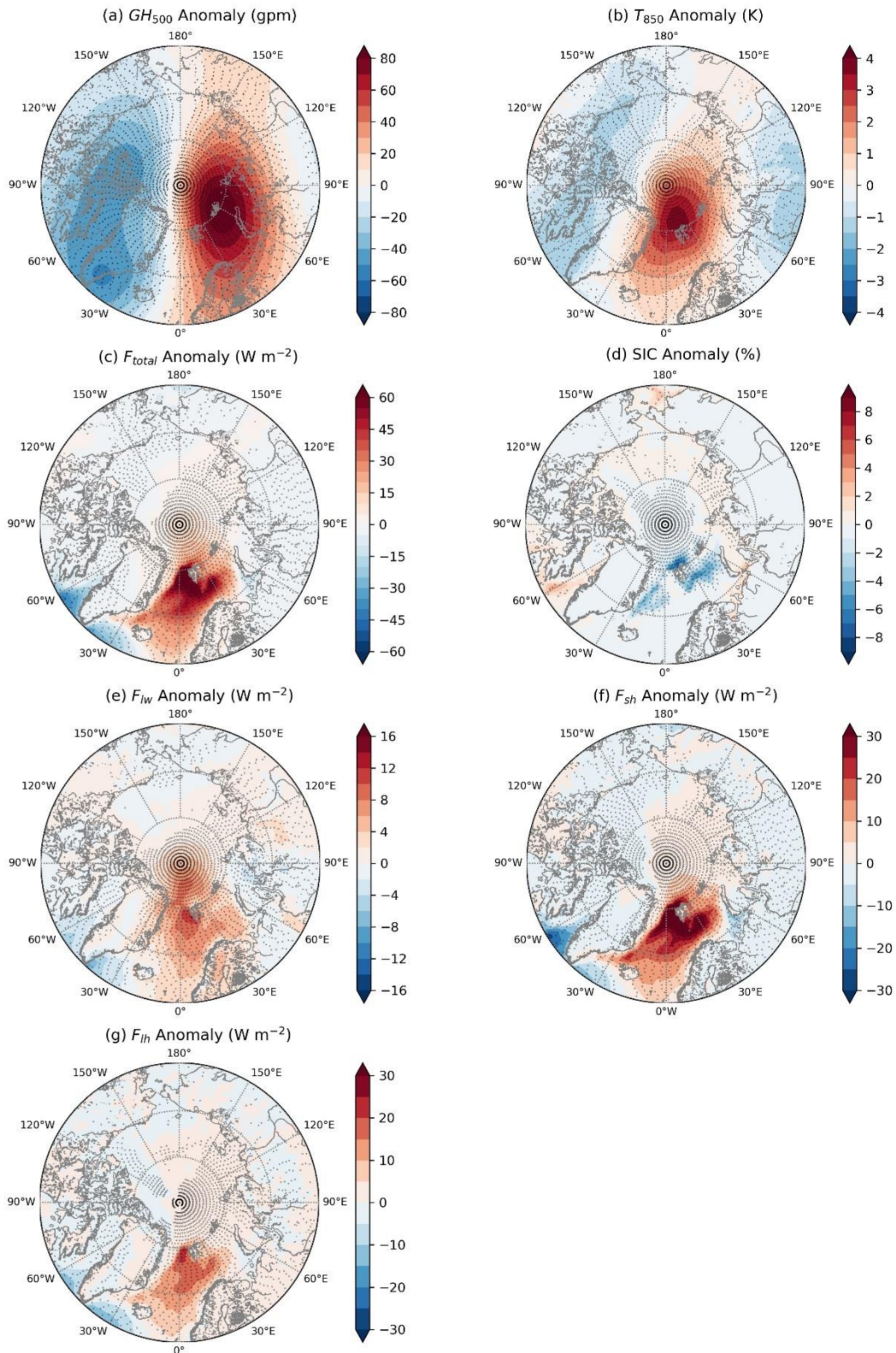
568 Figure 5. Composite ERA5 anomalies of (a) total surface energy ($W m^{-2}$), (b) sea ice
569 concentration (%), (c) surface thermal net irradiance ($W m^{-2}$), (d) surface sensible heat flux (W
570 m^{-2}) and (e) surface latent heat flux ($W m^{-2}$) for all EMIs over the Barents Sea, during
571 1979~2018 winter. The stippling indicates statistical significance at the $p < 0.01$ level from a
572 Student's t test.



573

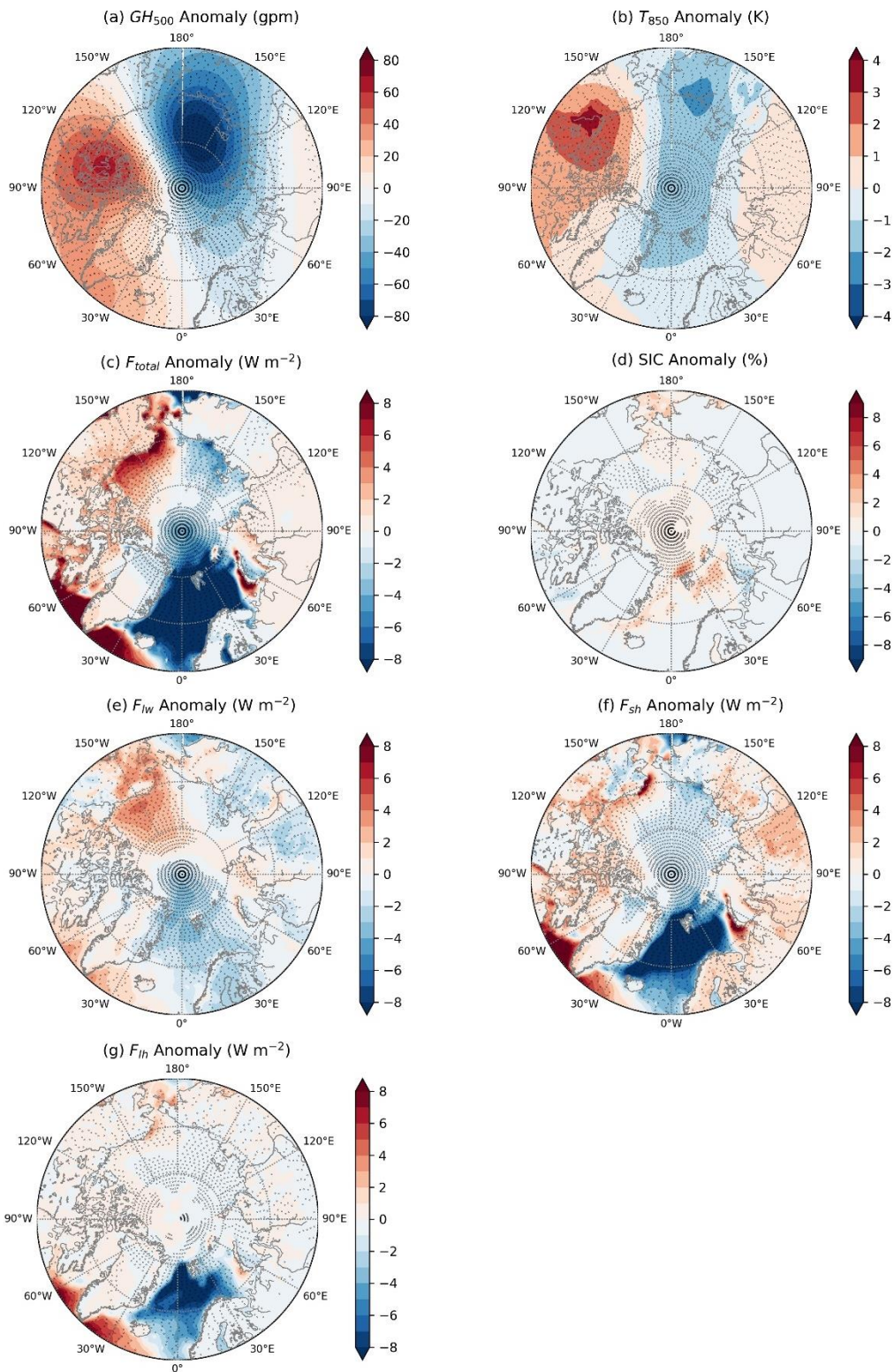
574 Figure 6. Composite ERA5 anomalies of (a) total surface energy ($W m^{-2}$), (b) sea ice
575 concentration (%), (c) surface thermal net irradiance ($W m^{-2}$), (d) surface sensible heat flux (W
576 m^{-2}) and (e) surface latent heat flux ($W m^{-2}$) for all EMIs over the Beaufort Sea, during
577 1979~2018 winter. Noted that the color-bars here are different than those in figure 5. The
578 stippling indicates statistical significance at the $p < 0.01$ level from a Student's t test.

579



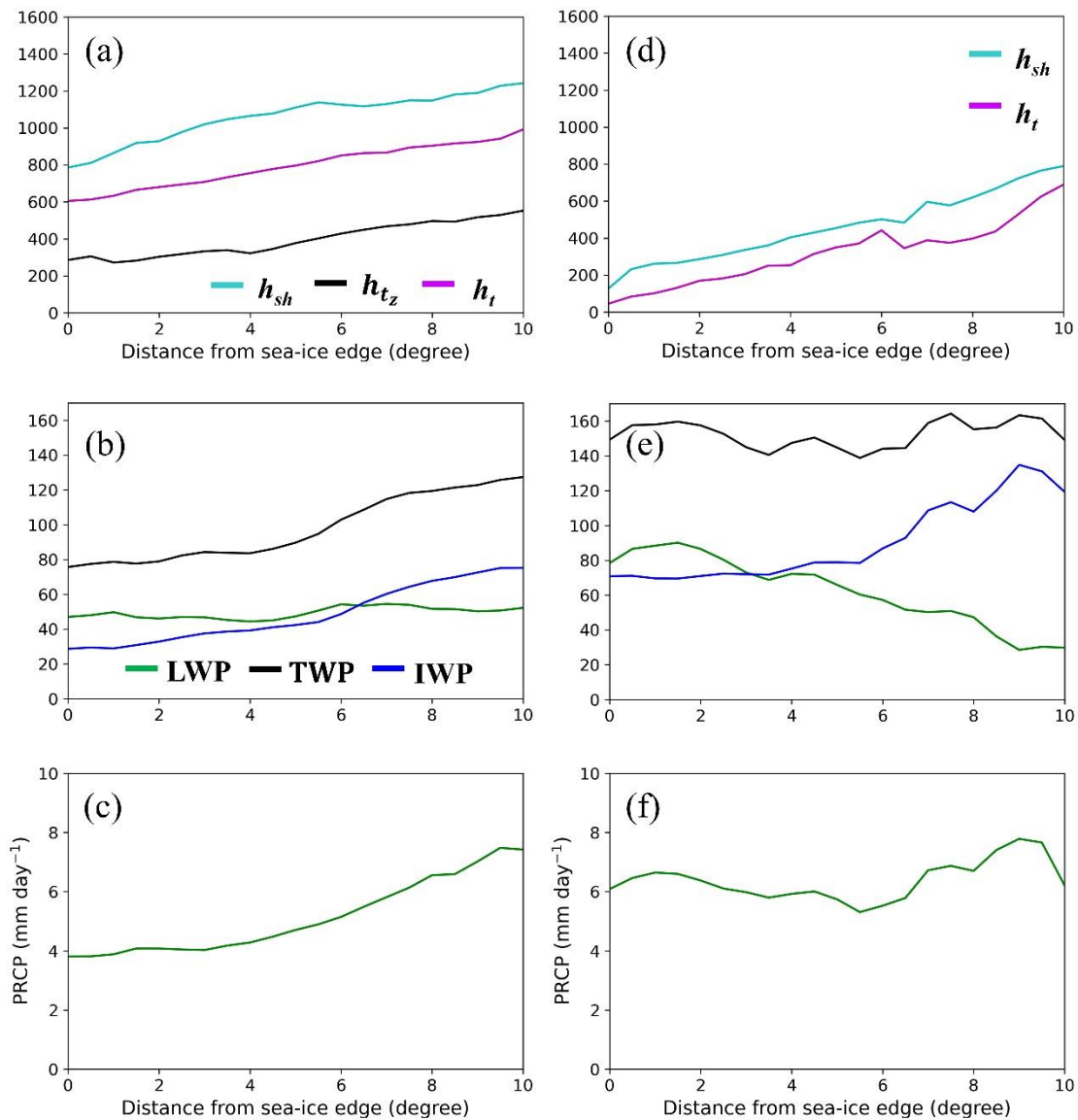
580
 581 Figure 7. Anomalies of (a) 500-hPa geopotential height (gpm), (b) 850-hPa temperature (K),
 582 (c) F_{total} , (d) SIC, (e) F_{lw} , (f) F_{sh} , and (g) F_{lh} from linear regressions against daily \bar{f}_w time
 583 series over the Barents Sea. The stippling indicates statistical significance at the $p < 0.05$ level
 584 from a Student's t test.

585



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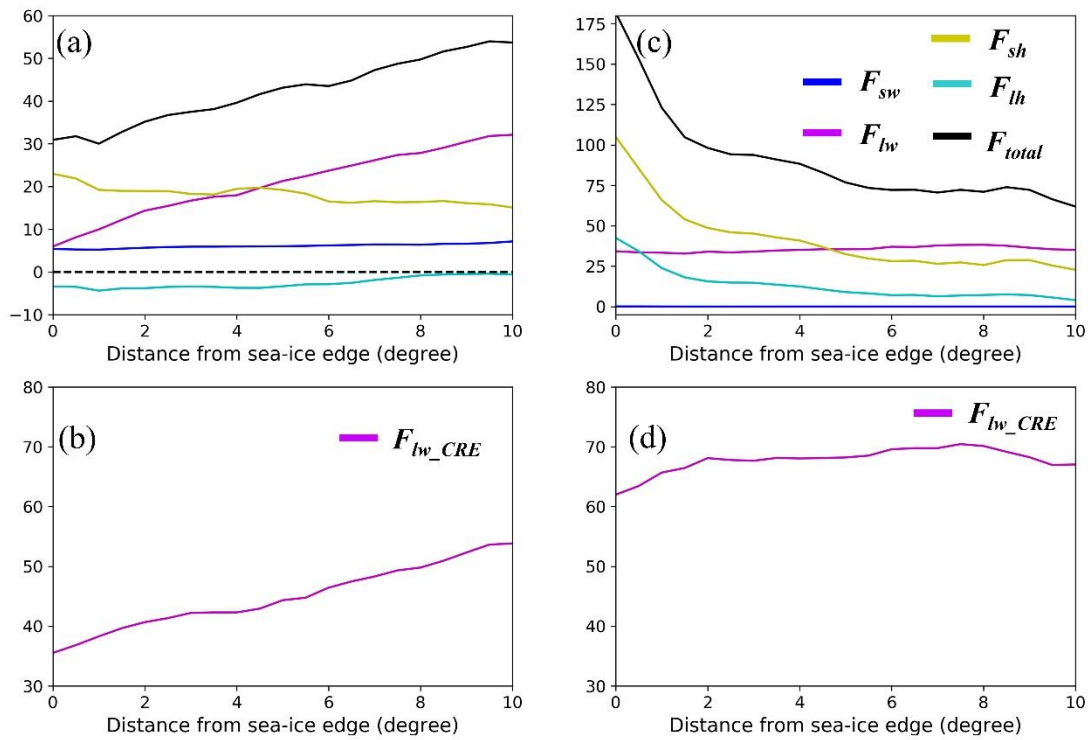
588 Figure 8. Anomalies of (a) 500-hPa geopotential height (gpm), (b) 850-hPa temperature (K),
 589 (c) F_{total} , (d) SIC, (e) F_{lw} , (f) F_{sh} , and (g) F_{lh} from linear regressions against daily \bar{f}_w time
 590 series over the Beaufort Sea. The stippling indicates statistical significance at the $p < 0.05$
 591 level from a Student's t test.



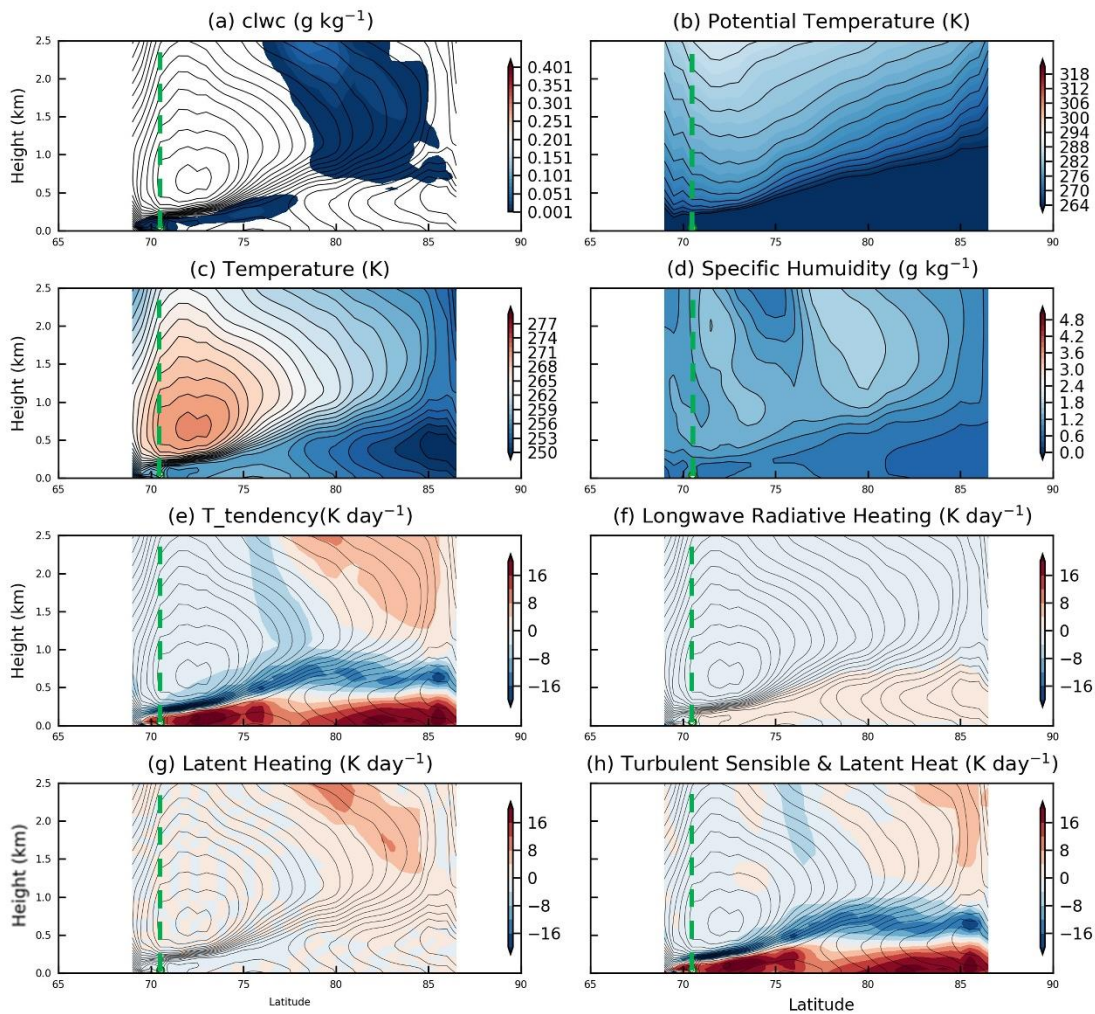
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594

595 Figure 9: Average variation of (a) the height to the maximum specific humidity (h_{sh}),
596 temperature gradient (h_{tz} ; m) and temperature (h_t); (b) liquid water path (LWP; g m⁻²), ice
597 water path (IWP; g m⁻²) and total water path (TWP; g m⁻²); (c) precipitation rate (PRCP; mm
598 day⁻¹), with the downstream northward distance from sea-ice edge, along the WaMAI
599 trajectories over the Barents Sea. (d) (e) (f) are the counterparts of (a)(b)(c) over the frozen
600 seas. Note that this is not necessarily the distance travelled, since WaMAIs do not need to travel
601 due northward.

602



605 Figure 10. the average meridional evolution in the anomalies of (a) the sum (F_{total} , $W m^{-2}$;
 606 black) and individual surface fluxes of sensible heat (F_{sh} , $W m^{-2}$; yellow), latent heat (F_{lh} , $W m^{-2}$;
 607 cyan), net longwave irradiance (F_{lw} , $W m^{-2}$; magenta) and net shortwave irradiance (F_{sw} , $W m^{-2}$;
 608 blue) along the trajectories. (b) shows the cloud radiative effect by longwave (F_{lw_CRE} ; magenta) over
 609 the Barents Sea. (c)(d) are the counterparts of (a)(b) over the frozen seas.



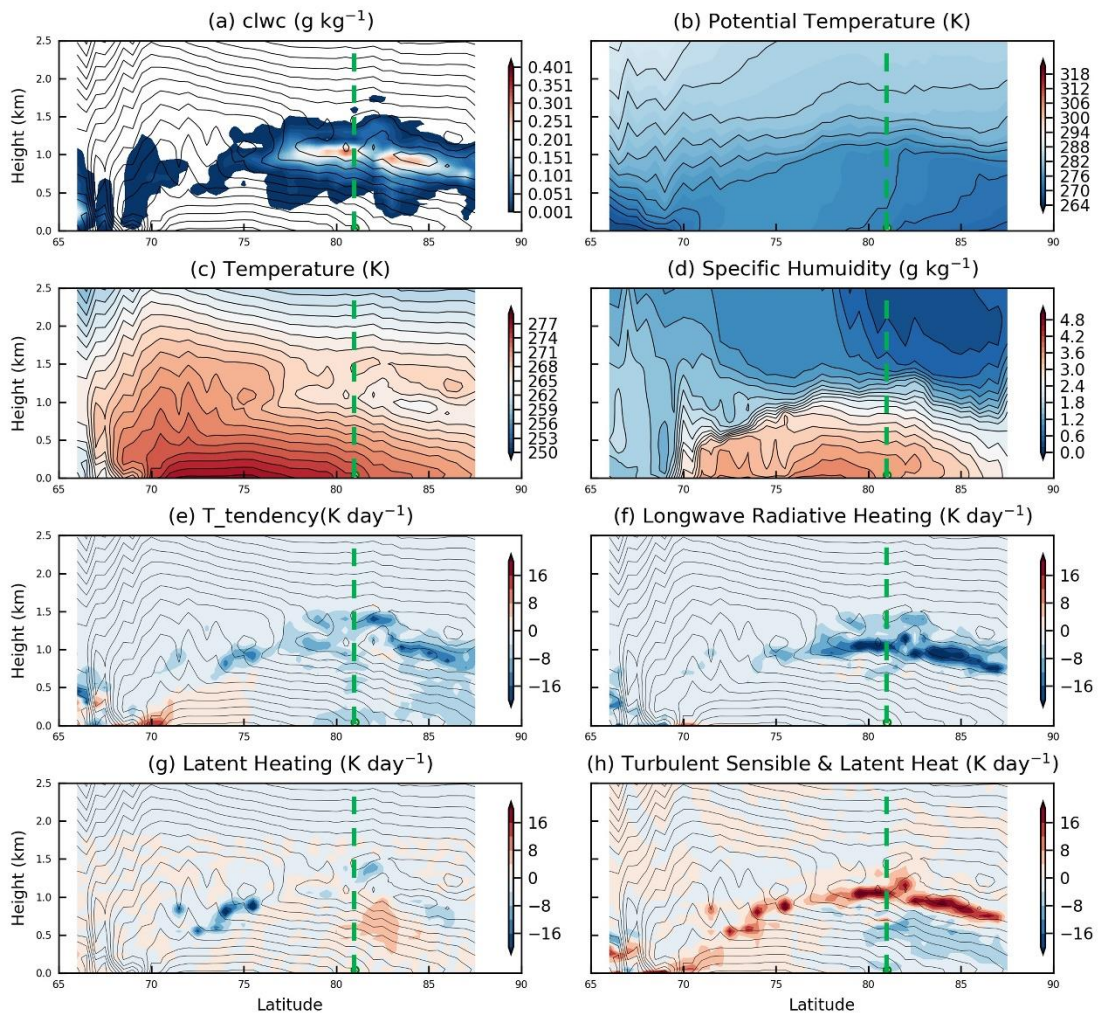
611

612 Figure 11. Latitude-height cross-section of (a) cloud liquid water concentration (g kg^{-1}), (b) potential
 613 temperature (K), (c) temperature (K), (d) specific humidity (g kg^{-1}), (e) temperature tendency due
 614 to model physics (K day^{-1}), (f) longwave radiative heating (K day^{-1}), (g) latent heating (K
 615 day^{-1}) and (h) turbulent heating (K day^{-1}), interpolated from ERA5 along trajectories of one
 616 selected WaMAI from category INV. The green dash lines mark the location of ice-edge. See the text
 617 for a detailed discussion.

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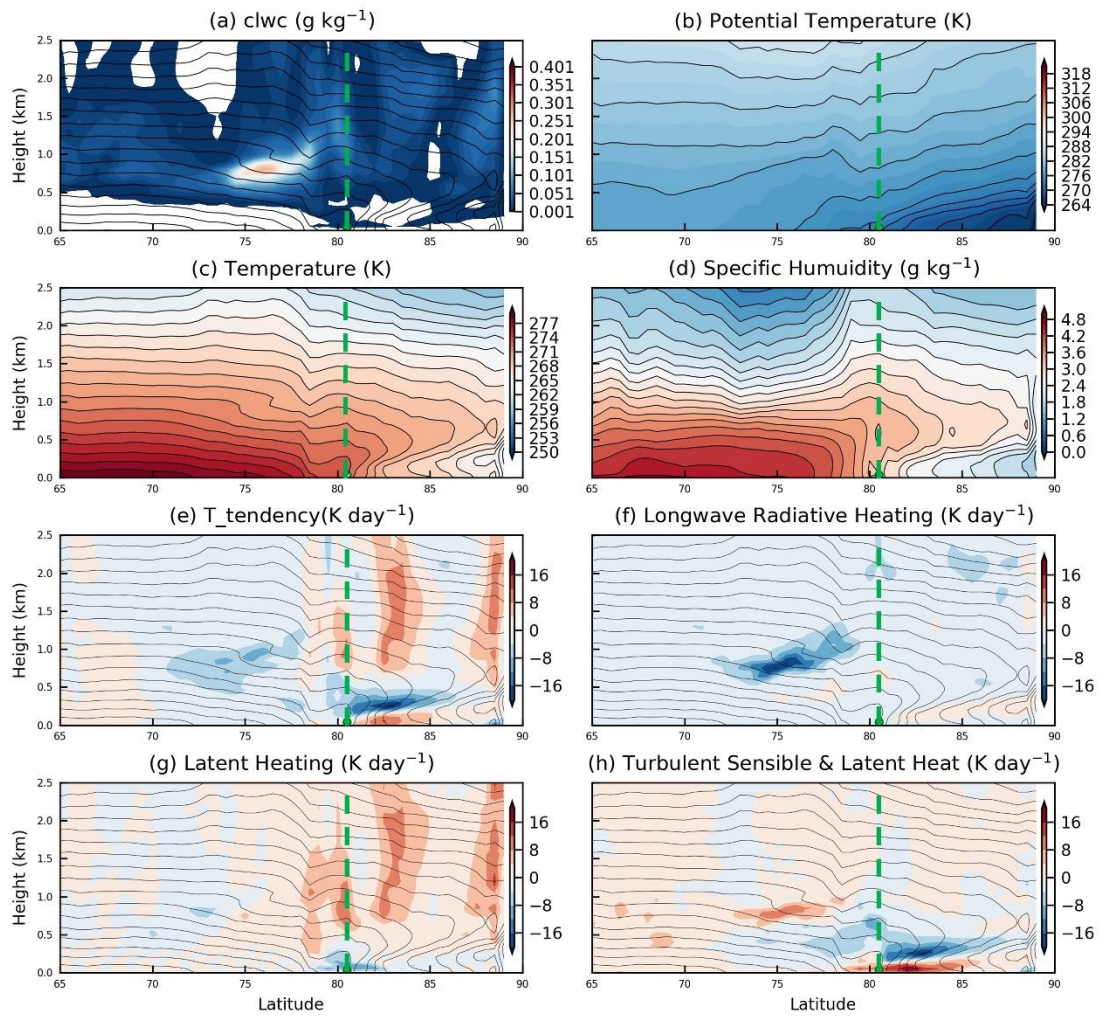
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622

623 Figure 12. Same as figure 11 but for a selected radiation-dominated WaMAI.

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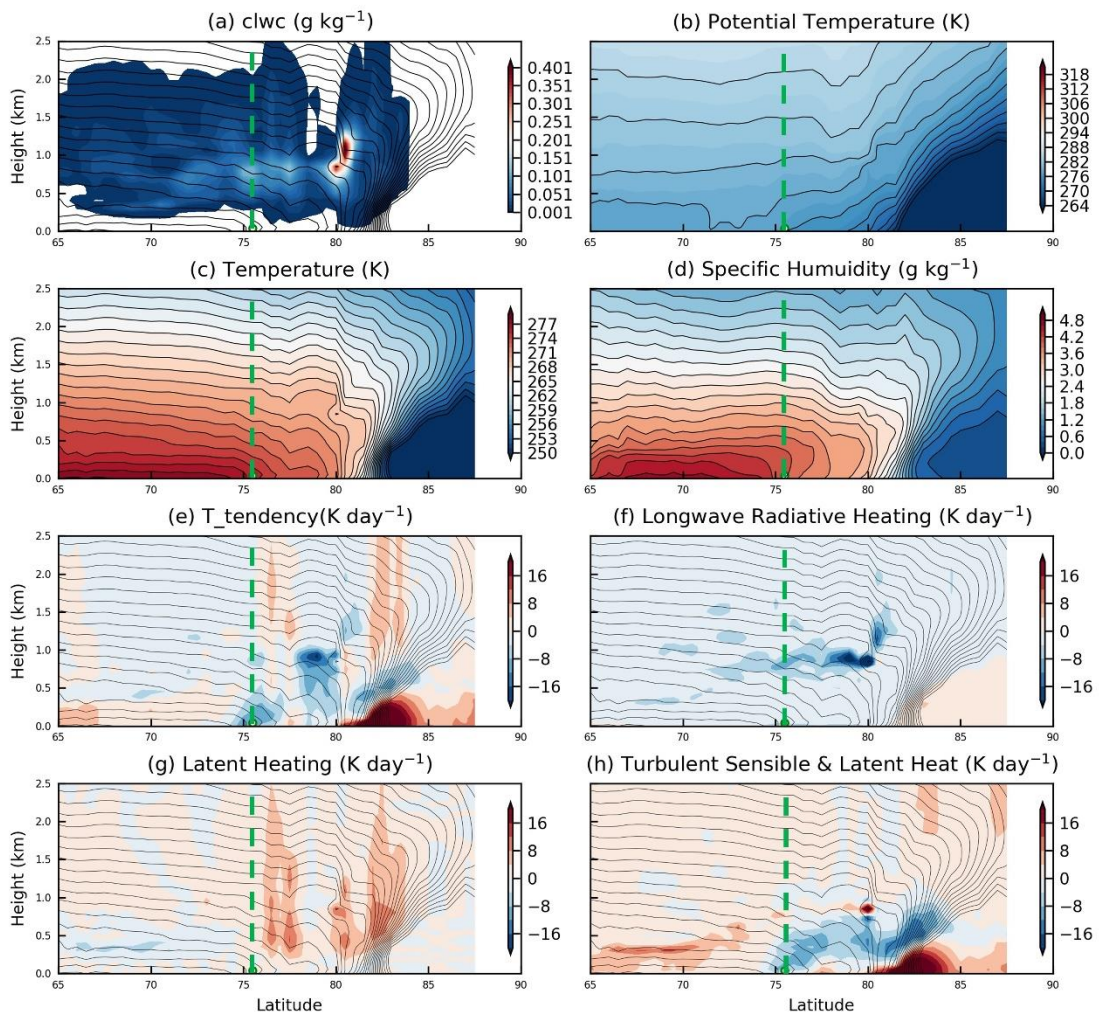


626

627 Figure 13. Same as figure 11 but for a selected turbulence-dominated WMAI.

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629

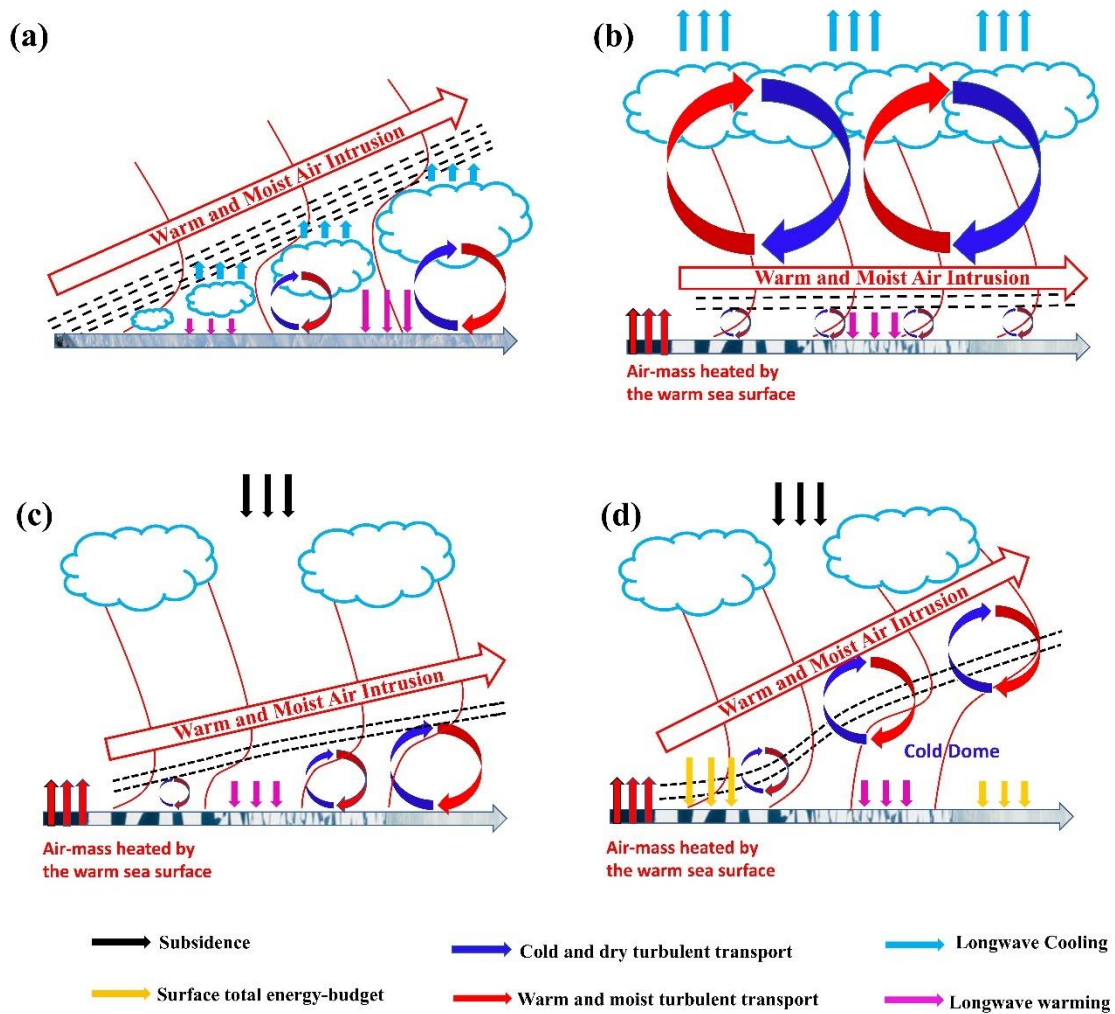


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631

632 Figure 14. Same as figure 11 but for a selected turbulence-dominated WaMAI with cold
633 dome.

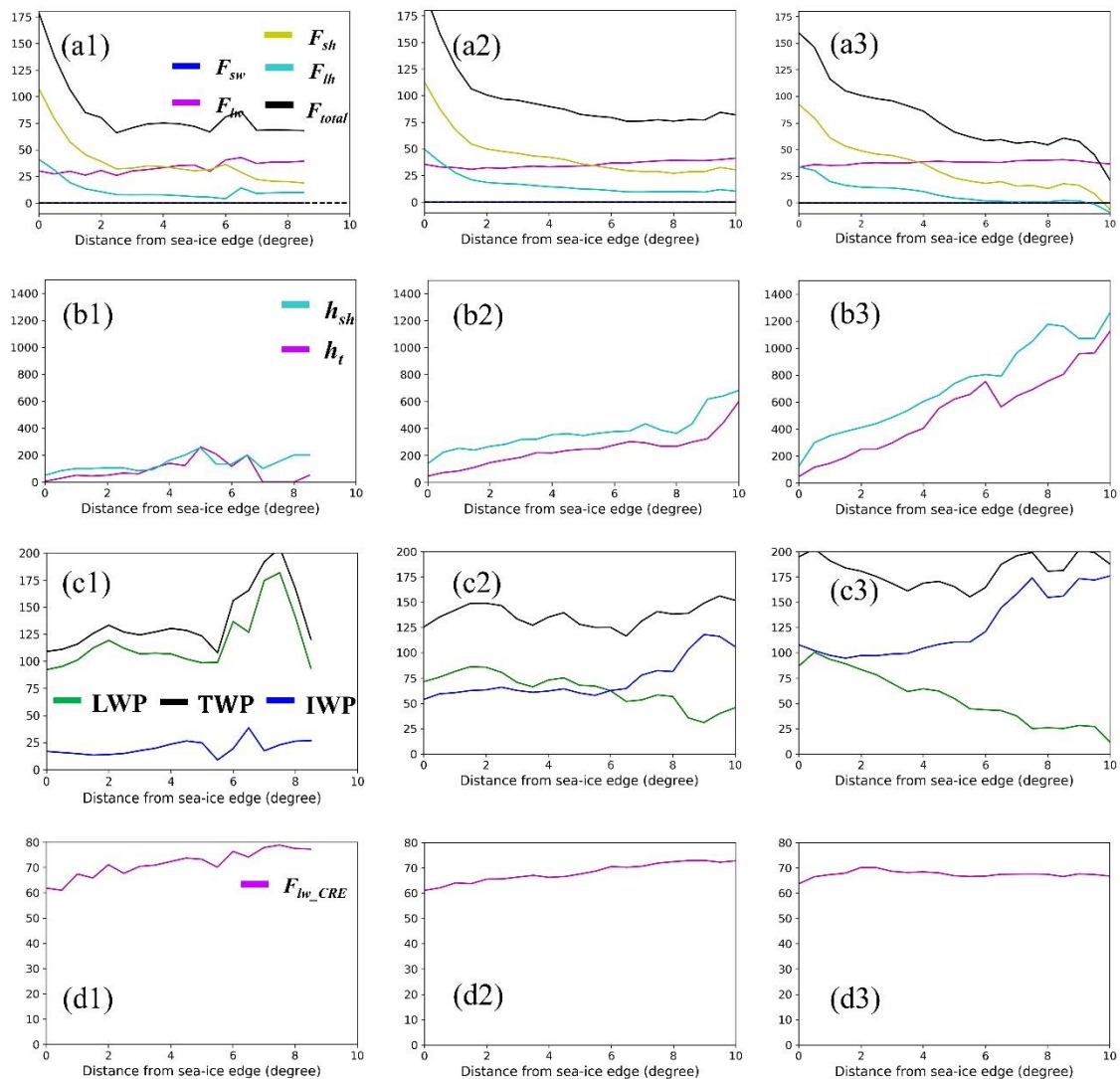
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636

637 Figure 15. Concept graph of WaMAI from category (a) INV, (b) radiation-dominated
 638 WaMAI, (c) turbulence-dominated WaMAI, (d) turbulence-dominated WaMAI with cold
 639 dome. The red lines in (a)(b)(c) are temperature or humidity profiles. Red arrows represent
 640 the WaMAIs. The horizontal arrows represent the Arctic surface with frozen or melting sea-
 641 ice. Black lines represent inversions.

642



643

644 Figure 16. Average variation of (a1) the sum (F_{total} , $W m^{-2}$; black) and individual surface fluxes
 645 of sensible heat (F_{sh} , $W m^{-2}$; yellow), latent heat (F_{lh} , $W m^{-2}$; cyan), net longwave irradiance
 646 (F_{lw} , $W m^{-2}$; magenta) and net shortwave irradiance (F_{sw} , $W m^{-2}$; blue); (b1) the height to the
 647 maximum specific humidity (h_{sh}) and temperature (h_t); (c1) liquid water path (LWP; $g m^{-2}$), ice
 648 water path (IWP; $g m^{-2}$) and total water path (TWP; $g m^{-2}$); (d1) the cloud radiative effect by
 649 longwave (F_{lw_CRE} ; magenta), with the downstream northward distance from sea-ice edge,
 650 along the trajectory of WaMAI in category of RAD over the Barents Sea. (a2)(b2)(c2)(d2)
 651 ((a3)(b3)(c3)(d3)) are the same but for WaMAIs in category of TBL (TCD).

652