

## **2<sup>nd</sup> review of “Understanding aerosol composition in a tropical inter-Andean valley impacted by agro-industrial and urban emissions” by Mateus Fontecha et al**

The revised manuscript has certainly improved both in readability and discussion of some of the results. Given the limited dataset being discussed, the interpretation and context provided for the results seem sufficient to warrant publication in ACP, taking into account the lack of overall aerosol chemistry information for this part of the world. However, as already mentioned in the previous report, this should be accepted as a “Measurement Report”, since given the (understandably) limited conclusions.

I am responding here mostly to the initial response by the authors (which was not complete), and have added some additional comments at the end. My original comments are in blue, responses by the authors in green, and my new responses in orange.

Overall I think the authors still need to streamline the acidity discussion a bit more. I would also strongly suggest shortening the MSA discussion (or moving part of it to SI), since it does not seem to add much to our understanding of the CRV chemistry.

### Response to Anonymous Referee #2

#### Major comments

##### Comment 1

While the data and methods are presented quite well, the manuscript seems unfocused in the introduction. As the abstract makes pretty clear, this is first and foremost an observational report from a rural/suburban area with some AG burning thrown in. So I would expect a discussion of similar studies both in South America as well as other rural areas. Instead, the intro is focused on sugarcane burning, which might be one motivation for this study but is certainly not its main goal nor result. So a reorganization of the intro would I think make clearer what this effort is about and also help shorten the overall length.

#### Authors Response:

The introduction was reorganized and the focus on sugarcane burning was changed. The introduction now includes information about the diversity of PM<sub>2.5</sub> sources existing in CRV and the main studies on PM<sub>2.5</sub> chemical characterization conducted in the region. The literature review shows that studies with a detailed chemical composition on PM<sub>2.5</sub> in Latin America are scarce. Most of the studies found have been focused on main cities and a few other areas, but there is an important gap in knowledge on PM<sub>2.5</sub> composition and sources agro-industrial areas.

I appreciate the effort the authors put into the reorganization of the manuscript. As noted above, it certainly reads better now in my opinion. However, there are some new typos that I list at the end of the review. Also, as discussed in the following responses, the discussion could be streamlined further in some places.

##### Comment 2

The paper clearly shows that there is plenty of primary aerosol in the CRV, both from fossil fuel (FF) combustion and agricultural burning (BB). However, the EC/OC ratios for these two types of sources are quite different (also b/c of some fraction of brown carbon getting assigned to EC in the sunset

instrument). So using a simple regression to differentiate primary and secondary OC in this case is likely not very accurate, as the authors concede. A multivariate analysis is often superior in separating the different contributions, but the PCA analysis shown later does not really seem to be providing a meaningful distinction. So assuming a better multivariate analysis is not available, it might be better to try to predict primary OC based on a simple linear model that uses e.g. levoglucosan or K<sup>+</sup> (which as a non-volatile tracer is likely a better choice) as a predictor for primary BBOM, and the sum of the lower alkanes as a predictor for primary OM from FF. As a boundary condition, we know that for most types of primary BBOA, levoglucosan is 3-6% of the total OA, so 5-8% of OM (see e.g. Jolleys et al 2015 and Sullivan et al 2019). This approach will likely underestimate secondary OM, but it will possibly give us more insight on what conditions actually lead to these primary components being maximized.

#### Authors Response:

Attending the suggestion of the reviewer, a simple linear model was applied to find the proportion of OC<sub>prim</sub> from three sources that are significant in the CRV, namely fossil fuel combustion (OCFF), biomass burning (OCBB), and vegetable detritus (OCdet). OCFF, OCBB and OCdet was quantified using a linear model from the following tracers: BghiP and IcdP for fossil fuel; levoglucosan for biomass burning; and the sum of the highest molecular weight alkanes (C27 – C33) for vegetable detritus. As a result, we estimated that 16.4% of OC can be attributed to fossil fuel, 15.2% to biomass burning, and 1.5% to vegetable detritus. In total, 32.7% of OC can be attributed to primary OC from these sources. This result is consistent with the apportionment of OC<sub>prim</sub> obtained by the OC/EC method, which indicated that around 50% of OC can be attributed to primary emissions. This approach does not allow us to infer OC<sub>sec</sub> because we don't have information about specific organic tracers of this carbonaceous fraction, such as  $\alpha$ -pinene,  $\beta$ -caryophyllene, naphthalene-derived, and isoprene-derived SOA. Therefore, we decided to use both methods in the manuscript, to estimate both OC<sub>prim</sub> and OC<sub>sec</sub>, and check the consistency of OC<sub>prim</sub> results.

I appreciate the inclusion of this analysis, but I am completely missing the detail of how you come up with these numbers. Are these fitted values on minimizing r<sup>2</sup>? Or are you (as I was suggesting) taking literature values for the typical fraction of your markers (if so which ones?) and scaling them? Both approaches can work, I think the second one is likely more robust given your limited dataset, but either way this needs to be documented better. It is very clear how you did the EC/OC analysis, but this part is not.

#### Comment 3

The values currently used for the OM to OC conversion are reasonable, and clearly contribute to a decent mass closure (which I think should be better documented by e.g. showing a timeseries of the mass closure for each filter). However, I am not following the reasoning behind some of the values discussed. For primary BB emissions, in his recent review, Andreae (2019) used 1.6, which is a number that is roughly consistent with the results from other recent primary BB studies (e.g. Hodshire et al, 2019). BBOA can oxidize quite quickly, so secondary BBOA can indeed exhibit much higher OA:OC ratios, but that's not relevant in that context. Likewise, levoglucosan is an excellent tracer for primary BB, and hence does not belong into a discussion of secondary OM/OC. Importantly, references for the 2.1 value used for secondary OM are completely missing.

Authors Response:

We agree with the reviewer that levoglucosan does not belong into a discussion of OCsec and its conversion to OMsec. Accordingly, we have removed the corresponding sentence. We have rewritten the paragraph to clarify the OM/OC ratios used and their references. Now it reads: "Turpin and Lim (2001a) recommended an OM/OC ratio of  $1.6 \pm 0.2$  for urban aerosols, and  $2.1 \pm 0.2$  for non-urban aerosols, values comparable with those found by Aiken et al. (2008), of 1.71 (1.41 – 2.15), where lower values (1.6 – 1.8) are attributed to ground measurements in the morning, and higher values (1.8 – 1.9) to aircraft sample measurements. BB aerosols can have even higher f values (2.2-2.6), due to the presence of organic components with higher molecular weights, e.g., levoglucosan. However, Andreae (2019) recommends a factor of 1.6 for fresh BB aerosol, which is consistent with Hodshire et al (2019). We believe that traffic and biomass burning are the dominant OCprim sources at our site. Therefore, we used  $f_1 = 1.6$  to estimate OMpri. We used a factor of 2.1 to estimate OMsec from the OCsec fraction. This factor was chosen based on i) recommended ratios of  $2.1 \pm 0.2$  for aged aerosols."

This is a good discussion. It might be worth also mentioning that 2.1 is also the standard OM/OC ratio in many newer global models (e.g. Tsigaridis et al, 2014, Pai et al, 2020)

Comment 4

I am puzzled by the discussion of particle acidity. It is obviously always problematic to infer acidity without taking into account the gas phase, but having said that, a Cation:Anion ratio of  $\sim 0.8$  is in most cases indicative of a fairly acidic aerosol (e.g. not that different from the SE US, where  $\text{pH} \sim 1$  was reported by Guo et al, 2015). And as the authors write, the  $\text{NH}_4:\text{SO}_4$  ratio suggest that the fine aerosol might be even more acidic (Cation:Anion ratio of  $\sim 0.6$ ) and that sea salt and dust are probably biasing the bulk ratio high. This has a few important implications that I think should be discussed at greater length.

Authors Response:

We decided to estimate pH for each sample from the concentrations of ions  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{Cl}^-$  and  $\text{Na}^+$  using the E-AIM thermodynamic model. The estimated  $\text{pH} \sim 2.5$  indicates that the aerosol are slightly acid as was previously suggested from the  $[\text{NH}_4^+]/[\text{SO}_4^{2-}]$  ratio, as well as cations/anions equivalent ratios. However, these ratios and pH were not well correlated ( $r^2 =$  ), which would indicate that those ratios are not a robust indicator of aerosol acidity, as mentioned by (Pye et al., 2020). The  $[\text{NH}_4^+]/[\text{SO}_4^{2-}]$  ratio observed in the CRV was similar to that reported by Guo et al., (2015) in Southeastern US. However, the pH in the CRV aerosol was significantly higher ( $\text{pH} = 2-4$ ) than that in Southeastern US ( $\text{pH} < 1$ ) (Pye et al., 2020). The pH in the CRV aerosol can be explained by the presence of sulfate and organic compounds ( $\text{pH} = 1-3$ ), as well as some influence of BB aerosol ( $\text{pH} = 4-5$ ). This was added to the manuscript in section 3.3.

I am very confused. In the manuscript, you write that:

"Despite the ions molar ratio do not is centered fact in the pH central concept, the correlation observed between  $[\text{NH}_4^+]/[\text{SO}_4^{2-}]$  and pH was strong ( $r^2 = 0.96$ )"

The first part of the sentence makes no sense and has to be revised, but if I understand correctly this says the opposite of what the authors write above. Furthermore, this is not particularly surprising: In the

absence of gas inputs the only difference between the two is ultimately the water content, which mostly affects the activity, not the concentration of  $[H^+]$ . According to Pye et al,  $\log([H^+])$  ( $ph\_f$  in that paper) should be used for pH, not the activity coefficient, as the authors write in Table 1. So while this should probably be corrected, it clearly has no impact on the results. So the pH is basically just reproducing the info put into the model, e.g. the cation balance. While not ideal, I think taken together your analysis makes a strong case that the real pH is indeed acidic and probably around  $\sim 2$  or so.

#### Comment 5

If the aerosol is this acidic, nitrate is mostly going to partition to the gas phase, which is essentially what the data shows (although as mentioned below, some volatilization might play a role here). So nitrate from both NO<sub>x</sub> emissions from primary combustion processes

is not going to be detected, and therefore there is no point in interpreting NO<sub>3</sub>/SO<sub>4</sub> ratios as indicative of any particular source. Furthermore, as discussed later in the PCA section, a significant fraction of nitrate seems to be associated with dust, so it is likely that there is no secondary NH<sub>4</sub>NO<sub>3</sub> in this dataset at all. If so, the mass closure might improve by assuming all nitrate to be Ca(NO<sub>3</sub>)<sub>2</sub> and not NH<sub>4</sub>NO<sub>3</sub>.

#### Authors' Response:

We added a discussion about the partitioning of total nitrate (NO<sub>3</sub><sup>-</sup> + HNO<sub>3</sub>) and total ammonium (NH<sub>4</sub><sup>+</sup> + NH<sub>3</sub>) based on the acidity of aerosols in section 3.3. In this case, the aerosol acidity indicates that nitrate volatilization as HNO<sub>3</sub> to the gas phase is favored, which is consistent with the lower concentration of NO<sub>3</sub><sup>-</sup> (compared with SO<sub>4</sub><sup>2-</sup>). The [NH<sub>4</sub><sup>+</sup>]/[SO<sub>4</sub><sup>2-</sup>] ratio ( $\sim 1.6$ ) indicates an environment with insufficient concentrations of ammonium to neutralize SO<sub>4</sub><sup>2-</sup> completely. Instead, sulfates seem to be partially neutralized as letovicite ([NH<sub>4</sub>]<sub>3</sub>[SO<sub>4</sub>]<sub>2</sub>), as suggested by (Lee et al., 2008). Due to the estimated ammonium deficit, we suggest that nitrate salts were present in PM<sub>2.5</sub> samples as KNO<sub>3</sub>, NaNO<sub>3</sub>, or Ca(NO<sub>3</sub>)<sub>2</sub>.

The use of [SO<sub>4</sub><sup>2-</sup>]/[NO<sub>3</sub><sup>-</sup>] ratio to infer the origin of PM<sub>2.5</sub> was removed.

This is an important result that actually supports the notion of a strongly acidic pH and would strongly suggest presenting it that way. If there is really no reason to assume the presence of NH<sub>4</sub>NO<sub>3</sub>, a pH of 2 or lower for the submicron mode is fairly likely.

#### Comment 6

But more importantly, given that both agriculture and BB are large sources of NH<sub>3</sub>(g), this is a very surprising result and I would encourage the authors to try to understand how it comes about. Given the overall composition, a fairly large source of SO<sub>2</sub>/H<sub>2</sub>SO<sub>4</sub> would be needed to sustain such an imbalance (e.g. like the large SO<sub>2</sub> emissions from coal fired plants in the SE US). But that does not seem to be the case here. Alternatively, the marine aerosol coming off the Walker Circulation might be quite acidic (e.g. Nault et al, 2021), but that would imply that most of the sulfate is of marine origin, which seems unlikely.

#### Authors Response:

In order to explain the high concentrations of SO<sub>4</sub><sup>2-</sup> observed in PM<sub>2.5</sub>, we added a description of the diversity of sources of sulfur-containing compounds (SO<sub>2</sub>, SO<sub>3</sub> and H<sub>2</sub>S) in the CRV, including coal in

power plants and industrial facilities, the biomass burning activities, the emission of H<sub>2</sub>S in poultry production animal production system, and the consumption of diesel for vehicles and tractors used to collect the sugarcane harvest (see section 3.3). The high concentration of SO<sub>4</sub><sup>2-</sup> and its low correlation with typical soluble ions associated with sea salt aerosol, suggests that Walker Circulation is not the main source of sulfate.

The absence of seasalt is certainly a good argument in terms of ruling out strong marine aerosol influences. So it seems that this is again a case like the SE US or Western China where coal burning produces enough sulfuric acid to pretty much overwhelm everything else and hence explains the inorganic aerosol distributions. BUT in L548 the authors write that coal combustion is fairly limited in the CRV, and the AG H<sub>2</sub>S sources you mention don't seem strong enough to compensate for the ammonia emissions. So what are we missing here? Is it possible that diesel combustion is still a major SO<sub>2</sub> source? Otherwise it seems that the source of the acidity is really missing.

In terms of the ultimate conclusion, I think a more direct way to summarize this is to say that for the CRV the ratio of accumulation to coarse mode is fairly high (e.g both dust+seasalt, which are the main sources of alkalinity in most environments, are <5%) and hence the bulk acidity trends low. I think mentioning sulfate muddles this, since there is also sulfate present in seasalt and some dusts, so I would suggest focusing both here and in the abstract on the predominance of accumulation mode aerosol.

#### Comment 7

Related to the previous point, I wonder if there are published MSA/SO<sub>4</sub> (or SO<sub>4</sub>/seasalt) ratios for the coastal areas in Colombia that could be used to address one of the larger open questions in this study, namely how much background aerosol from the ocean (and also the mountains when the katabatic winds kick in) contributes to the air quality in the CRV.

#### Authors Response:

PM<sub>2.5</sub> chemical composition studies in the region are scarce and none of this has reported the concentration of methanesulfonate until now. We used the [methanesulfonate]/[SO<sub>4</sub><sup>2-</sup>] ratio to infer the impact of biogenic sulfur compounds on the total SO<sub>4</sub><sup>2-</sup> levels.  $23.6 \pm 20.1$  showing the abundance or between Na<sup>+</sup> and was

This response seems to be incomplete.

More generally, regarding the MSA discussion: as indicated by the authors, there are (not-well understood) anthropogenic sources of MSA, so calling it a marker for biogenic sulfate without qualification is not quite right, suggest revising. Ultimately it seems that the time resolution of the dataset is just not high enough to answer this specific question well, and this would certainly be a prime target for followup studies with 6-12 h time resolution or better.

#### Comment 8

In its current form, the PCA analysis does not really contribute much to our understanding of the data, especially since the factors are not really discussed in the context of the rich marker analysis introduced before. But regardless how it is presented, while the factors for FF combustion make some intuitive sense, that's not the case for the others. E.g. having all the secondary and marine aerosols in one factor (factor 2) probably mostly reflects that all of these come from the same wind direction and have no

strong correlations with other factor. Likewise, I was surprised to see levoglucosan in the road dust factor and Cl in one of the dust factors, but not associated with MSA/Na. To be clear, multivariate analysis is often messy, so some oddities are normal, but overall this analysis seems unfinished and tacked on. As the other reviewer already mentioned, this could at least partially be due to PCA not being the best tool to analyze this type of data, especially given how many low S/N tracers are included in the input matrix. But regardless of the choice of method, the whole point of such an analysis is to simplify the trends in the data, and it clearly fails at that. So I would suggest either eliminating it completely, and instead spend more time discussing how to combine the different findings from the marker analysis (which is currently missing), or try to find a new solution, either with PCA or other techniques (e.g. PMF) that is more suitable. In the end, the dataset might just be too flat to get good factors out of it, so the marker discussion approach seems more promising.

**Authors Response:**

Following the suggestion of Referee #2, we removed the PCA section from the manuscript. In fact, the preliminary PCA solution did not allow us to draw useful conclusions regarding the significance of sources in the CRV. We recognize that PCA is an ordinary least square fit of parametric variables, that does not include the uncertainty of each measurement and its variability, as indicated by Hopke, (2015). Therefore, its applicability is very limited in comparison with more robust techniques such as PMF or CBM. We will address source contribution analysis in a future study, so we focused on chemical species concentrations and diagnostic ratios in this manuscript.

Ok

**Minor/technical comments:**

All the abstract level numbers seem to be at least 1-2 digits over their actual significance, consider revising. The number of digits was adjusted

Ok

All Figures (except maybe Figure 3): please increase the font of the axis by at least 30-50%, very hard to read. The font size in figures was increased

Ok

A dust fraction of 9% in PM<sub>2.5</sub> is quite high, and would suggest that PM<sub>10</sub> is quite a bit larger than PM<sub>2.5</sub>, this should probably be mentioned in the discussion of inventories vs measurements. The re-calculated result for this fraction, with the adjustments made, was 3.5%, which is less than half of the previous value. Regarding emission inventories,

Answer seems incomplete. Ok on dust.

Semivolatile components of PM, such as ammonium nitrate and SVOA can easily evaporate from filters, biasing the fractional and overall composition measurement (see e.g. Heim et al, 2020 for a recent example). The sampling site seems to have been fair enough removed from primary sources that this is probably a minor concern, but it is a common filter artifact that should be mentioned.

This has not been addressed either here nor in the manuscript

Given how stable the RH was during sampling (which should probably be better emphasized when discussing this), there is nothing wrong with estimating a constant particulate water contribution with E-AIM, although it might be simpler and more comparable to just use speciated kappa values instead (e.g. Peters et al 2007, Brock et al 2016).

This has not been addressed either here nor in the manuscript

As a side note, I am a bit surprised that the authors used E-AIM Model II for the water estimation on Model IV for pH... Should not a make a difference, but for consistency it would probably be best to just pick one?

I am not too familiar with the CPI measure, but I wonder if BB emission could lead to e.g. a bias towards non-FF emissions, please discuss. The CPI for fossil fuel combustion emissions is around 1, whereas for sugarcane burning is around 2. The occurrence of BB will produce a value higher than 1 for CPI in ambient aerosol, and it would be close to 2 for areas heavily impacted by BB. In this study, a value of 1.2 suggests a moderate influence of BB and vegetative detritus in ambient aerosol.

Thanks for the clarification.

L44-L47: One large emission source that remains unmentioned here but is brought in later are FF emissions from often unregulated agricultural heavy machinery (L96), it could be mentioned here as well. We agree with the referee's comment. We mention this source in the Introduction section.

Ok. Going back to the sulfur in diesel comment above, I wonder if specifically the fuel for these machines is our missing source?

L54: This statement seems to be a gross exaggeration, and is also not supported by the reference provided. Biomass burning as a whole is indeed one of the largest sources of particles by mass worldwide, but the estimates for the contribution of agricultural fires are all over the place. This is to a large extent due to fact that non-wildfires BB sources are much less studied, and hence the current work is a welcome addition to the literature, but this needs to be qualified by a lot or rephrased. We have changed the structure of the introduction and removed the focus on agricultural burning. We removed the paragraph containing this sentence.

Ok

L60-62: If I follow, there is an important distinction to be made here: most AG burning is to clean out fields post-harvest. Sugarcane is burned as part of an expedited harvesting practice (although it might also be used post-harvest - this is not clear from the manuscript). This matters ultimately in terms of possible mitigation practices, so would suggest clarifying. We have clarified this with the following sentences: "The operations of sugarcane farming and harvesting, as well as the transport of the biomass to the mill factories, are all part of the sugar mill industry. Besides, the industrial process includes the use of sugarcane bagasse to cogenerate energy in boilers."

Ok

L67: Not sure how that reference is relevant. Also, you already mentioned AG burning field studies in L57-59, and those were not out of Europe/North America. In this paragraph, we focus on ambient PM

chemical composition and its use to infer the impact of different sources, rather than agricultural burning, so we find the reference relevant.

Thanks for the clarification.

L84: I think "center" makes more sense here than "centroid". Change was made.

Ok

L102: The source for the non-sugarcane emission inventories should be mentioned here as well, not just in the table.

Response missing, but I think the new intro addresses this.

L117: I think you mean "attribution", not "identification" (which has already happened). Change was made

Ok

L154: Source for the fire events? The source was the Regional Environmental Authority, which receives it from sugar companies. Change was made in the manuscript

Thanks. So this could well be a lower limit due to underreporting. Up to the authors if they want to emphasize this.

L212: "non-combustion" Change was made.

Ok

L218: same comment as before Change was made.

Ok

L507: "zero", not "cero" Change was made.

Ok

Figure 2: Please specify what the red points in the right side figures are. I assume they are the upper 10% outliers, but that's not explained. Also, I would strongly suggest to show the average direction as a function of time of the day in the right side plots as well, since the afternoon switch in wind direction is key to interpret the results.

This comment has not been addressed either here or in the revised manuscript

Figure S1: Please use English abbreviations in the X-Axis. Also, indicate the source of this information

This comment has not been addressed either here or in the revised manuscript.

Figure S2: Same comment about the X-Axis. Also please consider making the legends larger, it's extremely hard to read atm. Also please add the source of these measurements

This comment has not been addressed either here or in the revised manuscript

Figure S4: Legend for line+symbol trace missing

This comment has not been addressed either here or in the revised manuscript

### New comments:

L318: While hard to estimate, it might be worth pointing out that the wind distribution does suggest that near-surface concentrations (which are most relevant for health outcomes) might be substantially higher than presented here, especially in the summer months where stagnation can increase production of secondary species. It is also unclear to the reviewer to what extent this bias could potentially impact the comparisons with the other sugarcane studies mentioned in the next paragraph. Were those done closer to the ground?

Most of the Figures could be revised for better legibility and clarity. In particular:

Figure 1: Resolution seems a bit degraded, would try to ensure that its higher res for publication, some symbols are hard to see

Figure 3: A smaller axis range for levoglucosan would show the differences more clearly. Also, why not show the filter data from Fig 5 (as solid bars, not speciated) instead of PM2.5, given the much better spatial coverage and better correlation overall? I understand this is not specifically what Reviewer #1 asked for, but it would seem like the more appropriate Figure (and could go into SI as well if after replotting it does not really show any clear trends, which is likely).

Figure 4 would suggest adding a legend on the right of the two figures with the full names of the individual PAHs, that would make digesting it easier

Figure S6 is obsolete for the revised manuscript.

### Minor comments

L30: Incomplete sentence, please revise

L33: "PAHs" is I think the more common usage

L42: "of" not needed

L70: If providing the exact number of vehicles, either a cite or at a minimum a year of reporting should be mentioned

L76: I take it that there is no widespread use of small aircraft (which are typically very polluting) for insecticide application and other farming activities, correct? Otherwise please elaborate

L81 " and an estimation" (space missing)

L85: I assume you mean that an estimate of total emissions is not possible with these data, unlikely individual sources. Maybe worth clarifying?

L103: "air quality due to the agroindustry" is what you mean?

L105: "industrial sources and fertilizer use" would read better

L113-118: Probably nothing wrong with pointing out here that this study is meant to motivate future research activities that will allow for full source analysis

L237-L241: Has this been done before? References?

L358: Garbled sentence, do not follow

L361: This is mostly a summary of Pye's findings, please note it as such.

L369: "remaining as  $\text{NH}_4^+$  IN the aerosol" is I believe what the authors mean here

L372: "in the absence of submicron seasalt and dust" is an important caveat here (as discussed later in L394). As noted above, it would seem to make sense to restructure the discussion and show first that nitrate is mostly not associated with  $\text{NH}_4$  and then introduce this as a supporting fact for the acidity discussion.