1	Declines and peaks in NO ₂ pollution during the multiple waves
2	of the COVID-19 pandemic in the New York metropolitan area
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Abstract. The COVID-19 pandemic created an extreme natural experiment in which sudden changes in human
 behavior and economic activity resulted in significant declines in nitrogen oxide (NOx) emissions, immediately after

- 34 strict lockdowns were imposed. Here we examined the impact of multiple waves and response phases of the
- 35 pandemic on nitrogen dioxide (NO₂) dynamics and the role of meteorology in shaping relative contributions from
- 36 different emission sectors to NO₂ pollution in post-pandemic New York City. Long term (> 3.5 years), high
- 37 frequency measurements from a network of ground-based Pandora spectrometers were combined with TROPOMI
- 38 satellite retrievals, meteorological data, mobility trends, and atmospheric transport model simulations to quantify
- 39 changes in NO₂ across the New York metropolitan area. The stringent lockdown measures after the first pandemic
- 40 wave resulted in a decline in top-down NOx emissions by approx. 30% on top of long-term trends, in agreement
- 41 with sector-specific changes in NOx emissions. Ground-based measurements showed a sudden drop in total column
- 42 NO₂ in spring 2020, by up to 36% in Manhattan and 19-29% in Queens, New Jersey and Connecticut, and a clear
- 43 weakening (by 16%) of the typical weekly NO₂ cycle. Extending our analysis to more than a year after the initial
- 44 lockdown captured a gradual recovery in NO₂ across the NY/NJ/CT tri-state area in summer and fall 2020, as social
- 45 restrictions eased, followed by a second decline in NO₂ coincident with the second wave of the pandemic and
- 46 resurgence of lockdown measures in winter 2021. Meteorology was not found to have a strong NO₂ biasing effect
- 47 in New York City after the first pandemic wave. Winds, however, were favorable for low NO₂ conditions in
- 48 Manhattan during the second wave of the pandemic, resulting in larger column NO₂ declines than expected based on
- 49 changes in transportation emissions alone. Meteorology played a key role in shaping the relative contributions from
- 50 different emission sectors to NO₂ pollution in the city, with low-speed ($< 5 \text{ ms}^{-1}$) SW-SE winds enhancing
- 51 contributions from the high-emitting power-generation sector in NJ and Queens and driving particularly high NO₂
- 52 pollution episodes in Manhattan, even during and despite the stringent early lockdowns. These results have
- 53 important implications for air quality management in New York City, and highlight the value of high resolution NO₂
- 54 measurements in assessing the effects of rapid meteorological changes on air quality conditions and the
- 55 effectiveness of sector-specific NOx emission control strategies.
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57 1. Introduction

The global outbreak of the Coronavirus Disease 2019 (COVID-19) profoundly changed the world. From school closures to remote work and other physical distancing measures, this crisis changed the way we move within our communities, potentially with long term implications (Barbieri et al., 2021; Przybylowski et al., 2021). Altered mobility patterns led to sudden and significant worldwide decreases in nitrogen oxide (NOx) emissions from the transportation sector, as documented in many studies focusing on air quality changes immediately after the initial lockdowns (e.g., Liu et al., 2020; Goldberg et al., 2020; Gkatzelis et al., 2021). Yet, the impact of multiple pandemic waves over longer time periods, and the role of meteorology and sector-specific emissions as key drivers of high NOx pollution episodes that occurred in

- 65 major cities such as New York even during, and despite, the most stringent early lockdown periods remain largely
- 66 unknown, driving this study.
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68 New York City, the most populous and most densely populated city in the Unites States, was hit particularly hard by 69 the pandemic. By late-March 2020, the tri-state region of New York (NY), New Jersey (NJ) and Connecticut (CT) 70 declared a disaster emergency and issued stay-at-home restrictions in response to COVID-19. Almost 8 million New 71 Yorkers sheltered-in-place, while roughly 5% of New York City residents (about 420,000 people) left the city between 72 March and May (Quealy, 2020; Bounds, 2020). The largest decrease in residential population occurred in Manhattan-73 with more than 30% reduction in relatively wealthy neighborhoods including Upper West and Upper East Side-while 74 the rest of the city saw comparably modest losses (Quealy, 2020). The entire New York metropolitan area (approx. 75 12,000 km², McCarthy 2021) remained in lockdown with strict social distancing measures, including school and non-76 essential business closures, limited transit services, and suspension of public events and gatherings, for more than two 77 months, from mid-March through June 2020. Lockdown measures were relaxed and the first phase of reopening began 78 in June with the area progressing to the final stage of reopening in July. Yet, social distancing measures became strict 79 again, including school closures, as the city experienced a surge in COVID-19 cases in late fall 2020 that reached a 80 maximum in mid-January 2021 with more reported cases to NYC Department of Health and Mental Hygiene than 81 during the first wave of the pandemic (Fig. S1). Early studies using satellite data from the Ozone Monitoring 82 Instrument (OMI) and the Tropospheric Monitoring Instrument (TROPOMI) revealed 31(±14)% and 28(±11)% 83 reduction, respectively, in nitrogen dioxide (NO₂) tropospheric column amount within a 100-km radius of New York 84 City during the three weeks following the onset of the pandemic compared to the same period in 2019 (Bauwens et 85 al., 2020). Similarly, Goldberg et al. (2020) reported a 20% drop in TROPOMI NO₂ within a 22-km radius of New 86 York City between March 13 and April 30, 2020.

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88 Emitted to the atmosphere primarily during fossil fuel combustion, nitrogen oxides (NOx=NO+NO₂) are a major

89 source of air pollution and necessary precursors of tropospheric ozone, impacting climate as well as human and

90 ecosystem health (Fares et al., 2013; Duan et al., 2019; Lim et al., 2012; Burnett et al., 2004). High NO₂ levels have

- 91 been associated with lung irritation and reduced lung function, increased asthma attacks, cardiovascular disorders, as
- 92 well as lower birth weight in newborns and increased risk of premature death (U.S. EPA 2016). In addition, through
- 93 wet and dry deposition, the atmosphere is a major source of excess nitrogen to many terrestrial and aquatic ecosystems

94 worldwide (Paerl et al., 2002; Pardo et al., 2011). Prior studies have indicated atmospheric deposition accounts for a 95 third or more of total nitrogen loading in systems such as the Chesapeake Bay and Long Island Sound, with important 96 implications for soil biogeochemistry, aquatic biology, development of coastal eutrophication, harmful algal blooms, 97 and hypoxia (e.g., Stacey et al., 2001; Decina et al., 2017; Decina et al., 2020). A combination of strict air quality 98 regulation policies (e.g., Clean Air Interstate Rule, CAIR, 2009) and technological improvements over the past two 99 decades has resulted in significant declines in NOx emissions over the continental United States (van der A et al., 100 2008; Duncan et al., 2016; Krotkov et al., 2016). Satellite Aura/OMI observations have captured an approximately 101 4% yr⁻¹ decrease in tropospheric column NO₂ levels between 2005 and 2015 over the eastern United States (Krotkov 102 et al., 2016) and a 46% decline in NO_x emissions has been reported for New York City over the period from 2006 to 103 2017 (Goldberg et al., 2019a). Despite these improvements, air pollution continues to be the single biggest 104 environmental health risk in the United States and globally today (Burnett et al., 2018; Thakrar et al., 2020; WHO 105 2019). With significant NOx emissions from various sectors (e.g., transportation, energy, industrial), the New York 106 metropolitan area experiences among the highest national NO_2 levels (Herman et al., 2018) and has the worst 107 nonattainment record of ozone in eastern North America (based on the EPA 2015 standard) (Karambelas et al., 2020). 108

109 Restrictions on human and economic activities, particularly reductions in transportation emissions due to the COVID-110 19 stay-at-home orders, provide a unique opportunity to assess the importance of different sources of air pollution in 111 New York City and how further sector-specific NOx emission reductions may impact nitrogen pollution in this major 112 urban center. The overarching objective of this study was to examine how NO₂ dynamics changed in the New York 113 metropolitan area during the multiple phases of the pandemic and across regions experiencing different shifts in 114 mobility patterns. Ground-based measurements conducted over a period of 3.5 years (2017-2021) allowed us to 115 capture inter-annual variability, impacts of meteorology, and changes in air quality as human behavior changed during 116 the multiple pandemic waves and as vehicle traffic started to return to near pre-pandemic levels a year after the initial 117 lockdown. Combining these high-frequency observations with model simulations and satellite imagery uniquely 118 captured NO₂ dynamics across multiple scales and highlighted the impact of COVID-19 restrictions not only on NO₂ 119 column amounts but also on NO₂ spatiotemporal behavior, including seasonal and weekly cycles.

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121 Meteorological factors have a significant impact on atmospheric chemistry as well as transport, transformation, and 122 dispersion of air pollutants (Xu et al., 2011; Banta et al., 2011; Goldberg et al., 2020). Elucidating the role of 123 meteorology is thus important in assessments of COVID-19 impacts on urban air quality (Gkatzelis et al., 2021). 124 Seasonality and local meteorology were previously reported to drive NO₂ changes in New York City as large as a 125 factor of two over the course of a year (Goldberg et al., 2020). Although meteorological patterns were especially 126 favorable for low NO₂ in much of the United States in spring 2020, varying meteorological conditions in New York 127 City were not found to have a biasing effect in TROPOMI estimates of NO₂ declines during the initial lockdown 128 period (Goldberg et al., 2020). Because our study extended over a longer time-period, we explicitly investigated how 129 weather conditions may have impacted observed changes in NO2 pollution and the relative contribution of different 130 NO_x emissions sectors (i.e., energy versus transportation) during the multiple phases of the pandemic.

131 2. Methods

132 2.1 Ground-based measurements of column NO2 dynamics

133 To assess the impact of COVID-19 restrictions on NO₂ spatiotemporal behavior we used high-frequency (approx. 134 every 1 min) measurements of total column NO₂ (TCNO₂) from the ground-based Pandonia Global Network (PGN, 135 https://www.pandonia-global-network.org/, data last accessed on 4 June 2021). Sponsored by the National Aeronautics 136 and Space Administration (NASA) and the European Space Agency (ESA), PGN focuses on providing long-term, 137 real-time and verified QA/QC data on air quality and atmospheric composition from a network of standardized and 138 calibrated Pandora spectrometer instruments (PSIs, Herman et al., 2019). The PGN global network serves as a 139 validation resource for UV-visible satellite sensors on low-earth and geostationary orbit, and recent studies have 140 included Pandora measurements for ground-based validation of TROPOMI NO2 measurements near New York City 141 and Long Island Sound (Judd et al., 2020; Verhoelst et al., 2021). In the New York metropolitan area, PGN sites 142 include Manhattan, NY (PSI #135), Queens, NY (PSIs #55, #140), New Brunswick, NJ (PSIs #56, #69), and New 143 Haven, CT (PSIs #20, #64) (Table 1, Fig. 1). PSI #135 in Upper West Manhattan, NY, has the longest data record 144 (since Dec 2017) among these instruments and is located on the Advanced Science Research Center (ASRC) Rooftop 145 Observatory at the City College of New York campus, an intensive urban air-quality monitoring site. The Pandora 146 sensor in Queens, NY, is located at the CUNY Queens College, a New York Department of Environmental 147

	Temporal		TCNO ₂ (in DU)							
Pandora name, #, location (Principal Investigator)	range		Apr-May		June-Aug		Sept-Nov		Dec-Feb	
(--------	of data		Pre-	Post-	Pre-	Post-	Pre-	Post-	Pre-	Post-
Manhattan, NY	12/2017	mean	0.61	0.39	0.59	0.44	0.59	0.46	0.71	0.48
PSI #135	- 5/2021	stdev	0.34	0.25	0.35	0.24	0.38	0.27	0.45	0.30
40.8153°, -73.9505°		max	3.11	3.25	3.77	2.09	2.94	1.89	3.13	2.05
(M. Tzortziou)		change		-36%		-25%		-22%		-32%
Queens, NY	5/2018	mean	0.61	0.48	0.54	0.51	0.57	0.51	0.73	0.70
PSI #140, #55	- 5/2021	stdev	0.35	0.21	0.28	0.19	0.33	0.22	0.40	0.38
40.7361°, -73.8215°		max	3.42	3.60	2.74	1.54	3.36	2.34	3.04	2.81
(J. Szykman)		change		-21%		-6%		-11%		-4%
New Brunswick, NJ*	5/2018	mean	0.32	0.26	0.29	0.28	0.34	0.30	0.42	0.26
PSI #56, #69	- 1/2021	stdev	0.15	0.18	0.15	0.20	0.24	0.21	0.31	0.10
40.4622°, -74.4294°		max	1.46	2.06	1.98	2.42	2.55	4.59	2.72	0.53
(J. Szykman)		change		-19%		-3%		-12%		-38%
New Haven, CT	5/2018	mean	0.38	0.27	0.34	0.29	0.34	0.29	0.36	0.33
PSI #20, #64	- 5/2021	stdev	0.11	0.08	0.09	0.08	0.15	0.13	0.17	0.18
41.3014°, -72.9029°		max	0.75	0.78	0.77	0.83	1.71	1.13	1.37	1.83
(J. Szykman)		change		-29%		-15%		-15%		-8%

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151 152 153 Table 1: Pandora sites (including names of Local Principal Investigator (PI)), and mean, standard deviation (stdev) and maximum (max) total column NO₂ (TCNO₂) amounts (based on half-hour averages) measured pre- and post- the COVID-

19 lockdown in New York.

The Dec – Feb period for New Brunswick contains 16 days of data in December 2020, 1 day of data in January 2021, and no data in February 2021.

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Figure 1: Map of study area, indicating location of Pandora sensors (white symbols) in Manhattan NY, Queens NY, New Brunswick NJ, and New Haven CT, overlaid with mean 2019 annual total column NO₂ from TROPOMI (in DU). Major pollutant emitters (red circles) in the area are included, specifically the PSEG Bergen Generating Station in Ridgefield (BG), the Linden Generating Station (LG) and the Phillips 66 Bayway (PB) Refinery in Linden (major emission sources in NJ), and the Astoria (AG) and Ravenswood Generating (RG) Stations in Queens, NY (among the largest greenhouse gas polluters in the state of NY in 2018 and 2019).

154 Conservation (NYDEC) Air Toxics and NCore monitoring site within a dense residential neighborhood and near 155 several major roadways. The Pandora in New Haven, CT, is located at the Connecticut Department of Energy and 156 Environmental Protection (CTDEEP) Photochemical Assessment Monitoring Station (PAMS) in Criscuolo Park, at 157 the confluence of the Mill and Ouinnipiac Rivers surrounded by a residential neighborhood near the elevated 158 intersection of three major highways and industrial activities across the rivers. The New Jersey Department of 159 Environmental Protection (NJDEP) Photochemical Assessment Monitoring Station (PAMS) in New Brunswick, NJ, 160 includes a Pandora sensor located on the roof of the Rutgers (NJDEP) research shelter dedicated to atmospheric 161 research, on a university research farm in a suburban neighborhood and approximately 20 km from the coast. 162

163 Pandora is a sun/sky/lunar passive UV/Visible spectrometer system, driven by a highly accurate sun tracker that points 164 an optical head at the sun and transmits the received light to an Avantes low stray light CCD spectrometer (spectral 165 range: 280-525 nm; spectral resolution: 0.6 nm with 4 times oversampling) through a fiber optic cable (Herman et al., 166 2019; Tzortziou et al., 2014). The spectrometer is temperature stabilized at 20°C inside a weather resistant container. 167 Trace gas abundances along the light path are determined using differential optical absorption spectroscopy (DOAS). 168 The system can operate in both direct-sun and sky-scan mode for retrievals of O₃, NO₂, SO₂ and CH₂O total columns 169 and information on vertical profile (Tzortziou et al., 2018; Herman et al., 2018; Spinei et al., 2018), and is an enhanced 170 monitoring instrument for characterizing upper air pollutants under the U.S. EPA PAMS program (Szykman et al., 171 2019). The estimated TCNO₂ error in Pandora retrievals is approximately 0.05 DU (1 DU = 2.69×10^{16} molecules cm⁻ 172 ²) (Herman et al., 2019). Pandora data were filtered here for normalized root-mean square of weighted spectral fitting

173 residuals less than 0.05, uncertainty in NO₂ retrievals less than 0.05 DU, and $TCNO_2 > 0$.

174 **2.2 TROPOMI satellite retrievals**

175 Jointly developed by the Netherlands and ESA, TROPOMI is an air quality monitoring sensor onboard the sun-176 synchronous Copernicus Sentinel-5 Precursor satellite, launched on 13 October 2017 (Veefkind et al., 2012). On a 177 low-earth (825 km) orbit, Sentinel-5P has a daily equator overpass time of approximately 13:30 local time and global 178 daily coverage. TROPOMI has a spatial resolution of 7.2 km (5.6 km as of 6 August 2019) along-track by 3.6 km 179 across-track at nadir, a significant improvement compared to its predecessors OMI (Ozone Monitoring Instrument) 180 (Levelt et al., 2006) and SCIAMACHY (SCanning Imaging Absorption spectroMeter for Atmospheric CartograpHY) 181 (Bovensmann et al., 1999). Here, we also used data from OMI due to its long-time record exceeding 17 years. 182 TROPOMI has several spectral bands in the ultraviolet to shortwave-infrared (270-2385 nm) and a spectral resolution 183 between 0.25 and 1 nm, allow observations of cloud, aerosol properties, and key atmospheric trace gases including 184 O₃, NO₂, CO, SO₂, CH₄ and CH₂O (Veefkind et al., 2012). NO₂ retrievals from TROPOMI are based on measurements 185 in the 405-465 nm spectral window. Using a DOAS technique, similar to the Pandora instrument, the top-of-186 atmosphere spectral radiances are converted into slant column amounts of NO₂ between the sensor and the Earth's 187 surface (Boersma et al., 2018). In two additional steps, subtraction of the stratospheric component and incorporation 188 of an air mass factor, the slant column quantity is converted into a tropospheric vertical column content (Beirle et al., 189 2019; Dix et al., 2020; Goldberg et al., 2019b; Griffin et al., 2019; Ialongo et al., 2020; Reuter et al., 2019; Zhao et 190 al., 2020). For this analysis, we used the operational "off-line" TROPOMI NO₂ data set, Version 1.02 between 30 191 April 2018 – 19 March 2019 and Version 1.03 20 March 2019 – 28 November 2020. We do not continue the 192 TROPOMI analysis beyond 28 November 2020 due to a significant change in the algorithm (to version 1.04) on 29 193 November 2020. TROPOMI data are filtered using a quality assurance flag (QA), in which pixels with QA values 194 greater than 0.75 are utilized; no other filter has been applied. Validation of TROPOMI NO₂ V1.02 tropospheric 195 columns over the New York City metropolitan area indicate columns are biased low, varying 19-33% (Judd et al., 196 2020).

197 2.3 Satellite-derived NOx emissions

We used an inverse statistical modeling technique (Goldberg et al., 2019b; Laughner & Cohen 2019) to derive the New York City NO_X emission rates from a combination of TROPOMI satellite data and re-analysis meteorology. This method accounts for daily changes in temperature, sun angle, wind speed and wind direction by calculating a spatiotemporally specific NO₂ lifetime. In brief, all NO₂ satellite data over New York City were compiled and rotated based on the daily-observed wind direction, so that the oversampled plume is decaying in a single direction. We used the closest gridded value without interpolation of the 100-m (above the surface) horizontal wind speed and direction from the ERA5 re-analysis dataset (Hersbach et al., 2020) generated at $0.25^{\circ} \times 0.25^{\circ}$. Once all daily plumes were 205 rotated to be aligned as an effective horizontal plume and averaged together during a 5-month warm season period 206 (May-Sept; usually ~75 snapshots), we integrated $\pm 0.5^{\circ}$ along the y-axis about the x-axis to compute a one-207 dimensional line density in units of mass per distance. The line densities, which are parallel to the wind direction, 208 peak near the primary NO_x emissions source and gradually decay downwind from a combination of atmospheric 209 dispersion, chemical transformation, and deposition. The line densities were fit to a statistical exponentially modified 210 Gaussian (EMG) model (Beirle et al., 2011; de Foy et al., 2014; Valin et al., 2013; Verstraeten et al., 2018). The five 211 fitted parameters of the statistical fit are the NO₂ background, NO₂ mass perturbed above the background threshold 212 (burden), decay distance, horizontal location of apparent source (ideally at the origin), and sigma of the Gaussian 213 plume. The NO_x emissions rate from the source can be calculated from the NO₂ burden, decay distance, and NO_x/NO₂ 214 ratio, which previous work has shown to be 1.33 (Beirle et al., 2011). After accounting for a systematic low bias of 215 TROPOMI in polluted areas (Judd et al., 2020; Verhoelst et al., 2021), the NO_x emissions compare well with known 216 emissions from power plants (Goldberg et al., 2019b). For this project, we do not correct for TROPOMI low bias, but 217 instead assume the low bias is consistent between years and calculate changes between years. A full description of the

218 method can be found in Goldberg et al. (2019a; b).

219 2.4 STILT model simulations

220 We used STILT, the Stochastic Time-Inverted Lagrangian Transport model, to calculate the surface influence and 221 contributions from different sources of NO₂ pollution to the city. STILT is a Lagrangian particle dispersion model, in 222 this case driven by NOAA High-Resolution Rapid Refresh (HRRR) meteorology at 3 km horizontal resolution, that 223 follows the trajectory of 500 air parcels released from the receptor (measurement site) position backward in time over 224 the previous 24 hours. The motion of each parcel is determined by both advection by the large-scale wind fields and 225 random turbulent motion, independent of the other parcels. The proportion of parcels residing in the lower half of the 226 planetary boundary layer determines the influence of surface fluxes on the measured mole fractions. This surface 227 influence is tracked in time and space, which allows for the calculation of a two-dimensional footprint at hourly 228 intervals over the travel period and spatial domain of the particles. The unit of surface influence is defined as the 229 response of each receptor concentration measurement to a unit emission of a trace gas at each grid square (e.g., ppb 230 $(\mu mol m^{-2}s^{-1})^{-1})$. In this study, we ran hourly STILT simulations for the 10 hours surrounding daily peak NO₂, for cases 231 of particularly high total column NO₂ amounts (> 1.8 DU, more than three times the average of pre-pandemic levels) 232 measured at the Manhattan and Queens Pandora sites during the COVID-19 lockdown in April 2020 and after the 233 shutdown in October 2020. Simulated particles originated at the elevation of the Pandora instruments. We also 234 performed simulations for one low TCNO₂ case in April 2020 for comparison. The STILT footprints were multiplied 235 by 2015 annual gridded maps of NO_x emissions (µmol m⁻²s⁻¹) at 0.1° horizonal resolution from the Emissions Database 236 for Global Atmospheric Research (EDGAR) v5.0, which combine atmospheric pollutant data categorized by 237 anthropogenic emissions sector (e.g., power, manufacturing, transportation), to predict the NO₂ concentration 238 enhancement (ppb) that would be expected for each observed hour.

239 2.5 Meteorological Data

240 Wind speed and direction data from the ERA5 Model (Copernicus Climate Change Service (C3S), 2017) were used

241 to examine the impact of meteorology on TROPOMI retrieved NO₂ column amounts. To downscale the $0.25^{\circ} \times 0.25^{\circ}$

242 grid ERA5 reanalysis, we spatially interpolate daily averaged winds to $0.01^{\circ} \times 0.01^{\circ}$ using bilinear interpolation

243 (Goldberg et al., 2020). The average 100-m winds during 16–21 UTC (i.e., approximately the TROPOMI overpass

time over North America) were used in our analysis. To assess impacts of meteorology on ground-based measurements

245 of TCNO₂ from the Manhattan Pandora PSI#135, we used in situ measurements of wind speed and wind direction

246 (measured at a resolution of 0.01 m/s and 1°, respectively) collected by a collocated ATMOS 41 All-In-One weather

station on a 15-minute timescale.

248 2.6 Calculation of change in NO₂ column amounts

249 Change in NO₂ column amounts was estimated by comparing post-lockdown TROPOMI and Pandora measurements 250 to the same timeframe in 2018-2019, to account for seasonality and interannual variability (Goldberg et al., 2020; 251 Bauwens et al., 2020). The impact of meteorology on these estimates was explicitly quantified using ERA5 and in situ 252 meteorological data. We estimated changes in NO₂ over the different phases of the pandemic in New York City (i) 253 immediately following the initial lockdown in April-May 2020, (ii) as restrictions gradually eased in June-August 254 2020, (iii) during the re-opening phase in September-November 2020, (iv) as restriction became strict again in 255 December 2020-February 2021 due to the second wave of the pandemic, and (v) in March-May 2021, one year after 256 the initial lockdown. Pandora data were first averaged in half-hour bins to eliminate bias towards times of day with 257 more data, then averaged on weekly, monthly, and seasonal time scales. To examine weekly cycles from satellite 258 observations, TROPOMI data were averaged over longer timescales (April-November), due to the lower temporal 259 resolution and impacts of clouds on satellite retrievals. All computed means for seasonal and weekly cycles were 260 calculated with 95% confidence intervals using a two-tailed single sample t-test. While NO₂ data is non-normally 261 distributed, all sample sizes are large (n > 100), and statistics (e.g., p-values) were also calculated using the 262 nonparametric Mann-Whitney and Kruskal Wallis tests which confirmed the validity of t-test results.

263 2.7 Changes in mobility patterns

To examine changes in mobility patterns, we looked at sector-specific mobility indices provided by Apple (Forster et al., 2020) and traffic counts from the Metropolitan Transport Authority (MTA) day-by-day transit data, focusing on bridge and tunnel ridership to represent passenger vehicles (buses, motorcycles, cars, trucks) (NY MTA). Apple mobility data (accessed on 4 June 2021) tracked mobile phone movements and compared post-COVID-19 data with the average on February 13, 2020 (Forster et al., 2020). For MTA data (https://new.mta.info/coronavirus/ridership, accessed on 4 June 2021), bridge and tunnel traffic was quantified from E-ZPass and cash toll collection, and percent

270 (%) changes in ridership were calculated through comparison to traffic on the pre-COVID equivalent day in the

271 previous year.

272 **3. Results and Discussion**

273 3.1. Changes in NO₂ column amounts and spatiotemporal dynamics

274 Satellite imagery from TROPOMI captured significant post-shutdown NO2 reductions in the New York metropolitan 275 area, particularly during the first three months after the initial lockdowns (Fig. 2). As MTA bridge and tunnel traffic 276 plummeted by up to 80% in April 2020 (Fig. S2), TCNO₂ over a 50 x 50 km area around Manhattan dropped by 32% 277 in March-May 2020 compared to the same period in 2018-2019 (Fig. 2, left panel). Smaller declines (< 30%) were 278 found in the surrounding areas of NJ, upstate NY, and CT. These results are consistent with Bauwens et al., (2020) 279 reporting a decline in TROPOMI tropospheric NO2 column by 28(±11)% within a 100-km radius around New York 280 City during the three weeks following the onset of the pandemic compared to the same period in 2019. By June-281 August 2020, total NO₂ columns-lower during summer due to increased photochemical loss-rose closer to pre-282 pandemic levels, with approx. 15% decline over New York City and even smaller changes (<10%) in western NJ, CT, 283 and eastern Long Island (Fig. 2, mid panel). This recovery in NO₂ coincided with the city of New York commencing 284 the first phase of its reopening plan in June 2020 and gradually relaxing lockdown measures, including the opening 285 of restaurants (outdoor dining) and some workplaces. Daily traffic on New York City bridges and tunnels increased 286 to 22% lower than baseline in summer 2020 (Fig. S2). This trend continued in fall 2020, with TCNO₂ showing 13% 287 drop over New York City and smaller declines over more rural areas in northern NJ and eastern Long Island (Fig. 2, 288 right panel).



Figure 2: TROPOMI total vertical column NO₂ differences between 2018-2019 and 2020, over the New York metropolitan area. Results are shown for 13 March through May (left panels), June through August (middle panels) and September through November (right panel). Upper panels show the absolute difference between the 3-month period in 2018-2019 and 2020 in Dobson units. Bottom panels show the ratio between the 3-month period in 2018-2019 and 2020. Values denoted in bottom right of each panel are area-averaged difference within a 50 x 50km area around Manhattan (black box). 13 March – 29 April 2019 data are double counted in the March through May 2018 – 2019 period due to unavailable data in the 13 March – 29 April 2018 timeframe.

- 289 These values can be compared to long-term NO₂ trends from OMI (Fig. S3), which shows a \sim 3.8% yr⁻¹ drop between
- 2005 and 2019. The abrupt $TCNO_2$ changes during the initial phase of the COVID lockdowns, occurring within a
- 291 matter of days, were approximately equivalent to the drop seen over the prior 10-year period between 2009 and 2019.
- 292
- 293 These abrupt spatiotemporal changes in TCNO₂ detected by TROPOMI were remarkably consistent with the higher
- resolution TCNO₂ measurements from the ground-based Pandora network. Prior to lockdown, TCNO₂ in Manhattan
- and Queens, NY, was characterized by high variability, often surpassing 2 DU (Fig. 3). NO₂ total columns in New
- 296 Brunswick, NJ, and New Haven, CT, were overall considerably lower than measurements in New York City, in



Figure 3: Long term (December 2017- February 2021, May 2021 in Manhattan only) data record of TCNO₂ (in DU) measured by Pandoras in (a) Upper West Side Manhattan (blue circles), (b) Queens (yellow circles), (c) New Brunswick NJ (green circles) and (d) New Haven CT (pink circles). Total column TROPOMI overpass data at locations of the Pandora instruments is also shown (red squares). No data averaging was performed on Pandora or TROPOMI values.

297 agreement with pre-pandemic TROPOMI retrievals (Table 1, Figs. 1, 3). Across all sites, pre-pandemic TCNO₂ 298 showed a clear seasonal cycle typical of Northern Hemisphere mid-latitude locations, with maxima occurring during 299 the winter (Figs. 3, 4) due largely to increased fossil fuels for domestic heating, the longer tropospheric NO_2 lifetime 300 at colder temperatures, less light availability, and a shallower and more stable planetary boundary layer (A et al., 2008; 301 Semple et al., 2012). Post-shutdown, all Pandora sensors measured a significant drop in TCNO₂. In the two months 302 following the initial lockdown, TCNO₂ in Manhattan decreased by 36% compared to pre-pandemic levels, with 303 smaller declines, 21%, 19% and 29% respectively, in Queens, New Brunswick, and New Haven (Table 1). Variability 304 in TCNO₂ (Table 1) also decreased at most locations, indicating a reduction in the magnitude of high NO₂ pollution 305 episodes. As social distancing restrictions gradually started to ease in June, TCNO₂ in Manhattan started to slowly 306 recover, reaching 25% lower than the pre-pandemic seasonal mean in summer and 22% lower in fall 2020. NO₂ rose 307 even closer to pre-pandemic levels in Queens, New Brunswick, and New Haven, showing less than 15% decline in 308 summer and fall 2020 (Table 1), consistent with TROPOMI (Fig. 2). TCNO₂ in Manhattan, however, dropped again 309 significantly below pre-pandemic levels during the second wave of the pandemic in late 2020 (Table 1, Fig. 4). The 310 decline in TCNO₂ reached 39% in January 2021, consistent with both a decline in mobility (i.e., re-closing of 311 businesses and transition from in-person to online learning in many schools in the area; Fig. S1) as well as favorable 312 meteorological conditions for low NO2 (discussed in section 3.4). As restrictions eased again, NO2 levels rebounded 313 to 11% and 21% below pre-pandemic levels in April and May 2021, respectively, more than a year after the COVID-314 19 outbreak in the U.S. (Table 1, Fig. 4).

315

316 These changes resulted in a departure from typical seasonal NO₂ behavior, maximum in winter and minimum in

317 summer, with instead a maximum in monthly mean TCNO₂ in July 2020 and two minima tightly linked to the two

318 pandemic waves in May 2020 and January 2021 (Fig. 4). In agreement with Gkatzelis et al. (2021), the NO₂ decrease

319 closely followed changes in the stringency of lockdown measures and particularly decreases in traffic, further



Figure 4: Monthly mean seasonal cycle of TCNO₂ in Upper West Manhattan pre-lockdown (Dec 2017-Dec 2019, cyan) and post-lockdown (Apr 2020-Dec 2020, blue and January-May 2021, red), as measured by PSI #135 (30 min averaged data; 95% confidence intervals indicated by error bars; data not available during Jan-Mar 2020). The percent (%) change is also shown below each bar.

- 320 confirming the importance of the transportation sector as a source of NOx pollution in Manhattan. Still, as discussed
- in the next section, other emission sectors also contributed significantly to the observed spatiotemporal changes in
- 322 NO₂ pollution over the New York metropolitan area during the multiple waves of the pandemic.

323 3.2. Impacts of COVID-19 measures on NOx emissions

324 While meteorology plays a significant role in air pollution levels, our estimates of top-down NO_x emissions from 325 TROPOMI indicate that sudden reductions in NOx emissions due to COVID-19 measures were the dominant factor 326 driving the observed NO₂ decline in New York City during the first wave of the pandemic (Fig. 5). Five-month (May 327 to September) averaged top-down NOx emissions suggest a 34.5% drop between 2019 and 2020 (Fig. 5). This 328 reduction in NOx emissions is significantly larger than the long-term decline of approx. 4% yr⁻¹ captured by OMI 329 (Fig. S3) and reported in previous studies for the eastern U.S. and New York City (Krotkov et al., 2016; Goldberg et 330 al., 2019a), and suggests that COVID-19 measures during the first pandemic wave led to ~30% reduction in NOx 331 emissions in New York City, on top of the long-term trend resulting from air-quality regulations and technological 332 improvements. The reason TROPOMI TCNO₂ changes (Fig. 2) are smaller than NOx changes during the coincident 333 timeframe (Δ TCNO₂: ~24% vs. Δ NO_x: ~35%) is because there is a background component to NO₂.

334

The EPA National Emissions Inventory (NEI) provides context for expected changes in NOx emissions due to the COVID-19 pandemic. According to 2017 NEI data, mobile sources account for about 59% of annual NOx emissions in New York City (25% on-road, and 34% non-road transportation including non-road equipment (15%) and locomotives/aircrafts/marine vessels (19%)). The next largest contributing sector is energy (41%), which includes electric generation, and residential, commercial, and industrial fuel combustion. Wildfires, biogenic sources, and





Figure 5: Five-month averaged (May-September) top-down NO_x emission estimates for the New York metropolitan area, for 2018 (left panel), 2019 (middle panel) and 2020 (right panel). TROPOMI NO₂ data is rotated based on daily wind direction. Bottom panels show the TROPOMI NO₂ line densities, which are integrals along the y-axis \pm 50 km about the x-axis. The statistical EMG fit to the top-down line densities is shown in light blue.

- 341 weighted in the energy sector than other major U.S cities such as Los Angeles (13%) and Chicago (26%) (NEI, 2017).
- 342 During spring 2020, MTA bridge and tunnel traffic decreased on average by 55%, nation-wide commercial passenger
- 343 airline and business aviation travel decreased by approx. 75% and 70% (Transportation Research Board 2020;
- 344 FlightAware 2020; Bureau of Transportation Statistics (BTS) 2020), while operation of commercial marine vessels,
- non-road equipment, and locomotives dropped by an estimated ~6%, ~45%, and ~15-20%, respectively (United
- 346 Nations Conference on Trade and Development2020; Procore, 2020; BTS 2020). Applying these reported changes in
- 347 activity to corresponding estimated NOx contributions from different components of the mobility sector in New York
- 348 City (EPA) results in an approx. 26% decrease in NOx emissions. Declines in power generation demand/usage in New
- York City, however, were considerably smaller, on average 15% in spring 2020 (New York Independent Systems
 Operator, 2020). These changes in emissions from the transportation and power generation sector suggest
- 351 approximately 32% decrease in NOx emissions in New York City during the first wave of the pandemic, which is
- 352 consistent with our estimated reduction in top-down NOx emissions from TROPOMI.
- 353

354 The overall less dramatic declines in TCNO₂ observed at locations outside Manhattan (e.g., CT and NJ) during the 355 first two months following the initial lockdowns agree with reported changes in population, with many city residents 356 across the US relocating (temporally and long term) to their suburban areas, more so from wealthier than lower-income 357 neighborhoods (Quealy et al., 2020). They are also consistent with mobility trends across our study region, with the 358 strongest mobility declines occurring in New York City. According to Apple mobility data, transportation associated 359 with driving and transit during March-May 2020 were 36% and 72% lower than baseline, respectively, in New York 360 City, compared to 32% and 54% in Middlesex County NJ and 19% and 49% in New Haven, CT (Fig. S4). Moreover, 361 the mobile sector constitutes a larger portion of total NO_x emissions in Middlesex County NJ (72%) and New Haven 362 CT (71%) than in New York City, with significantly larger contributions from diesel at 36% of Middlesex total 363 emissions (22% in CT, 25% in NYC). National U.S diesel sales experienced a relatively smaller decrease from 2019 364 -2020 than gasoline sales did, with a maximum decrease of $\sim 10\%$ in spring (vs. a mean -40% for gas) (U.S. Energy 365 Information Administration, 2021), so the relatively larger contribution from diesel in NJ could also partially explain 366 the smaller decreases in NO_2 at these locations compared to those observed in NY.

367 **3.3 Changes in NO2 weekly cycles during the pandemic**

Anthropogenic NO_x emissions often display a clear weekly cycle in major cities around the world, with minima on rest days (e.g., Beirle et al., 2003; Kaynak et al., 2009; Tzortziou et al., 2013). The amplitude of this weekly cycle has been shown in OMI data (2015-2017) to be strengthening in regions undergoing rapid emission growth, while it has been weakening over European and U.S. cities due to the long-term decline in anthropogenic emissions (Stavrakou et al., 2020). Yet, recent data from TROPOMI (2018-2019) show that significant NO₂ decreases on Sunday are still prevalent in cities of North America, Europe, Australia, Korea and Japan (Stavrakou et al., 2020). In New York City,

- 374 TROPOMI captured 30% lower tropospheric column NO₂ on Sundays compared to a typical weekday in 2018-2019
- 375 (Goldberg et al., 2021), in agreement with pre-pandemic MTA and Apple data showing lower traffic into and around

- the city on Sundays. Similarly, Pandora measurements in Manhattan showed a clear weekly NO₂ dependence before
- the pandemic, with minima consistently observed on Sunday on average 33% lower than weekday values (Figs. 6, 7).
- 378 A strong diurnal variability in NO₂ was also found (e.g., Fig 8), although diurnal patterns were highly variable spatially
- and temporally, consistent with previous studies (Tzortziou et al., 2013). The Sunday-to-weekday TCNO₂ ratio varied
- 380 seasonally from 0.64 and 0.63 in spring and summer, to 0.75 and 0.88, respectively, in fall and winter (Figs. 6, 7b),
- 381 most likely due to the longer tropospheric NO₂ lifetime and an increase in relative contribution of NO_x sources that
- have no weekly cycle (e.g., heating) in winter (Beirle et al., 2003).
- 383 The COVID-19 measures significantly impacted this weekly NO₂ behavior. Over the nine months following the



Figure 6: Histogram of TCNO₂ measured in Upper West Manhattan by PSI#135 for pre-lockdown (grey, 2018-2019) and post-lockdown winter (blue) and post-lockdown spring (pink) conditions. Results are shown for weekdays (left column) and Sunday (right column) across seasons from April 2020 to May 2021. The mean NO₂ pre- and post-lockdown is also shown.

384 lockdown in New York (Apr-Nov 2020), TROPOMI captured a clear increase in the Sunday-to-weekday TCNO2 ratio 385 from 0.76 to 0.92 (Fig. 7a). Higher frequency Pandora measurements enabled comparison on seasonal timescales, 386 revealing a disproportionate drop in weekday TCNO₂ immediately after the initial lockdown (Figs. 6, 7). Weekday 387 NO₂ decreased by as much as 36% and 29% in spring and summer 2020, respectively, while Sunday NO₂, decreased 388 only by 26% and 15% (Fig. 6). The Sunday-to-weekday TCNO₂ ratio, thus, increased by 16% in the post-pandemic 389 spring months with a similar trend into the summer (Fig. 7b). By fall, although TCNO₂ was still significantly lower 390 than pre-pandemic levels (-22% on weekdays and -19% on Sundays, Fig. 6), the typical weekly cycle re-emerged with 391 a post-pandemic ratio of 0.78. Surprisingly, the weekly cycle in TCNO₂ increased during the winter (Fig. 7b), as a 392 result of a larger decrease in Sunday NO₂ (49%) compared to weekday NO₂ (27%, Fig. 6). A large departure from 393 typical weekend travel patterns during the second wave of the pandemic, with MTA bridge and tunnel traffic data 394 showing a relatively larger decrease in traffic on Sundays during winter 2021 (Fig. S4), could partly explain these 395 results while the adoption of socially distanced protocols by 2021 may have resulted in relatively fewer reductions of 396 weekday activities such as construction or shipping. By the reopening phase in March-May 2021, the weekly NO_2 397 cycle strengthened significantly (Fig. 7b). With the exception of two Sundays in March and April that showed high 398 peaks in TCNO₂ due to strong influence of low-speed ($\leq 5 \text{ ms}^{-1}$) south and westerly winds, the Sunday-to-weekday 399 ratio approached pre-pandemic levels in spring 2021, likely reflecting a gradual return to "normal" as the city-wide 400 COVID infection rate dropped (Fig. SI).

401

402 Long-term declines in anthropogenic NOx emissions and the resulting growing importance of background NO₂ had

403 already led to a significant dampening of the weekly NO₂ cycle in pre-pandemic New York City over the past 15

404 years, as shown by an increase in the OMI retrieved Sunday-to-week column ratio by 17% from 2005 to 2017 (Qu et

405 al., 2021; Stavrakou et al., 2020). Interestingly, the early stringent COVID-19 lockdown measures and related abrupt

406 changes in human behavior resulted in an additional 16% weakening of the TCNO₂ weekly cycle, in just three months.



Figure 7: (a) Sunday-to-weekday TCNO₂ ratios averaged over Apr-Nov 2018–2019 (pre-lockdown) and 2020 (post-lockdown) from TROPOMI and Pandora (PSI#135); (b) Seasonal change in Sunday-to-weekday column ratios pre- and post-lockdown from Pandora (PSI#135).

- 407 Including these changes (both weakening and recovery) in weekly cycles of emissions and pollutant concentrations in
- 408 chemistry-transport models is important in efforts to quantify and simulate the impacts of the COVID-19 pandemic
- 409 on regional air quality, human health, and ecosystems.

410 3.4. Meteorology as a driver of NO₂ decline and high pollution episodes during the pandemic

411 Despite the significant reduction in NO₂ emissions during and following the COVID-19 lockdown, both ground-based 412 and satellite sensors captured cases of high pollution in the New York metropolitan region with TCNO₂ often 413 exceeding three times the pre-pandemic levels (Table 1, Figs. 4, 8). April 23 and 25, 2020, during the initial lockdown, 414 are such instances of TCNO₂ exceeding 1.8 DU (three times the pre-pandemic seasonal TCNO₂ mean) and showing 415 remarkably similar diurnal behavior at the Manhattan and Queens locations (Figs. 8c, d). TROPOMI data was not 416 available, but OMI captured TCNO2 of 1.12 DU over New York city on April 25 (Fig. 8d)). At the early stage of the 417 second wave of the pandemic, TCNO₂ also exceeded 1.8 DU on October 9 in both Manhattan and Queens with a time-418 lag of approximately 2 hours between the maximum observed by the two instruments (Fig. 8e). On the same day, 419 TROPOMI TCNO₂ reached 0.9 DU, more than two times higher than the pre-pandemic satellite monthly NO₂ mean



Figure 8: Despite the decline in traffic and physical distancing restrictions, cases of high NO₂ pollution (TCNO₂ > 1.8 DU) were observed in the New York metropolitan area during and post the COVID-19 lockdown. TCNO₂ measurements are shown here for (a) April 2020 and (b) October 2020, from Pandora systems in Manhattan, Queens, New Brunswick, and New Haven, and TROPOMI over Manhattan. Diurnal dynamics in TCNO₂ during specific days of exceedances are shown for (c) April 23, (d) April 25 (square indicates OMI TCNO₂ over Manhattan), and (e) October 9, 2020. The EDGAR power-sector near-surface NOx concentration enhancements in Manhattan are shown by the grey line in (c)-(e).

- 420 (Fig. 8e). Overall, there were 12 days when ground-based measured TCNO₂ exceeded 1.8 DU in post COVID-19
- 421 New York City, despite a 34.5% drop in top-down NOx emissions (Fig. 5). Considering the significant decline in
- 422 transportation emissions, the post-lockdown high NO₂ pollution episodes are most likely associated with power plant
- 423 emissions and specific meteorological conditions. Indeed, the EDGAR v5.0 inventory shows that spatial patterns in
- 424 NOx emissions over the New York metropolitan area are primarily driven by the power generation sector, while
- 425 contributions from road traffic, buildings, and manufacturing show more even distribution with slight peaks of



Figure 9: Twenty-four hour total STILT surface influence contours for total column NO₂ exceedances on April 23 (a, d), April 25 (b, e), and October 9 (f-k) and a low NO₂ case on April 16 (c), 2020 for comparison. Contour lines represent surface influence of 1 ppb (μ mol m⁻² s⁻¹)⁻¹and are colored by hour-of-day of the receptor. October 9 is overlaid with EDGAR inventories of NO_x for 2015 (kg NO_x m⁻²yr⁻¹). The area encircled by each contour indicates the region of emissions that reaches the Manhattan and Queens observation sites for a given time and day.

426 approximately 0.05 kg $NO_x m^{-2}yr^{-1}$ in Brooklyn, Queens, and Manhattan (Fig. 9). Among the many power plants in

- 427 the area, the Astoria Energy LLC and Astoria and Ravenswood Generating Stations in Queens were among the largest
- 428 greenhouse gas polluters in the state of NY in 2018 and 2019, with total reported greenhouse emissions >3,500,000
- 429 metric tons CO₂e (EPA FLIGHT GHG Inventories) (Fig. 1). In NJ, the PSEG Bergen Generating Station in Ridgefield
- 430 (NW of Manhattan/NW of Harlem) and the Linden Cogeneration Facility (SW of Manhattan) are major power plants
- 431 located West of Manhattan with total reported emissions >7,000,000 metric tons CO₂e both in 2018 and 2019.
- 432
- 433 Consistent with the location of these power plants, we found that meteorological conditions on days when high TCNO₂ 434 was measured in Manhattan were characterized by low-speed southerly and westerly winds. STILT footprints showed 435 that on April 23 air masses from the high-emitting power sector in NJ and along the East River persisted over Upper 436 West Manhattan from 1600 to 2100 UTC (Fig. 9a) when TCNO₂ peaked in PSI #135 observations (Fig. 8c). A strong 437 increase in wind speed and change in direction, effectively mixing in clean ocean air, after 2100 UTC coincided with 438 a rapid decline in measured TCNO₂. A similar pattern was observed on April 25 (Figs. 8d, 9b,e), when air intercepted 439 by the Manhattan and Queens Pandoras shifts from the NW to SE, slowing while passing over NJ and the East River 440 power plants around 1800 UTC to produce the observed TCNO₂ peak at these sites. On October 9, westerly airflow 441 from NJ shifted to accumulate NOx emissions over the Manhattan Pandora location from 1700 to 1900 UTC when 442 observed TCNO₂ peaked at 1.95 DU. Wind accelerated and shifted SW in the evening, coinciding with a TCNO₂ 443 decrease to <0.5 DU (Figs. 8e, 9f,g). Low-speed westerly winds brought Manhattan and East River power plant 444 emissions to the Queens location approximately 2 hours earlier that day, in agreement with the earlier peak in TCNO₂ 445 measured by the Pandora (Fig. 8e). Strong winds, persisting in a single direction for several hours, consistently dispersed pollution resulting in low NO2 column amounts over Manhattan and Queens. An example is April 16 (Fig. 446 447 9c), when high-speed NW winds persisted throughout the day dispersing local and regional pollution and transporting 448 NO₂ out to the ocean.
- 449



Figure 10: Relationship between column NO₂ amounts (DU, PSI #135 data, 15-min averaged) and (a) wind direction (in degrees from north) and (b) wind speed (in m s⁻¹; ATMOS 41 data) organized by post-lockdown season, measured from June 2019 to February 2021 in Upper West Manhattan.

- 450 Combining the STILT footprints, which account for the meteorology described above, with the sector-specific
- 451 EDGAR NOx emission maps allows us to approximate the fraction of expected NOx concentration enhancements
- 452 from each emission sector observed at each Pandora station. For 25 April, we find that the largest contribution of NOx
- 453 at the Manhattan site is from power generation (42%), with manufacturing dominating at the Queens site (30%). Road
- 454 transportation (using pre-pandemic estimates) contributes only 13% and 18% at the Manhattan and Queens sites,
- 455 respectively. Despite the constant NOx emissions rate for each month in EDGAR (i.e., no diurnal cycle), the diurnal
- 456 pattern of the meteorology-driven simulated power-sector near-surface NOx concentration enhancement was
- 457 consistent with the TCNO₂ observed by the Pandoras on both April 23 and 25, 2020 (Fig. 8(c)-(e)). This result supports
- 458 the large role played by meteorology in causing NO₂ accumulation and demonstrates a clear connection between the
- 459 near-surface and total column NOx concentrations on these days.
- 460

461 Our measurements showed that the observed correlation between particularly high post-pandemic NO_2 pollution 462 episodes and low-speed winds is typical of NO_2 dynamics in Manhattan. In large cities with relatively flat topography,

463 including New York City, increasing wind speeds from nearly stagnant to $>8 \text{ m s}^{-1}$ were previously shown to decrease

464 NO₂ by 40–85% (Goldberg et al., 2020). Indeed, coincident measurements of wind conditions and NO₂ at the

- 465 Manhattan Pandora location before the pandemic showed that TCNO₂ rarely rose above 1 DU at wind speeds faster
- than 8 m s⁻¹ (Fig. 10b). The highest TCNO₂ amounts occurred when surface winds were in the range 1-5 m s⁻¹. Under
- 467 such conditions, winds are strong enough to transport pollution from local sources as well as major pollutant emitters
- 468 in the tri-state area but can still lead to accumulation of pollution in Manhattan.
- 469



Figure 11: TROPOMI NO₂ plumes over New York City (May 2018-December 2019) segregated into 100-m wind direction quadrants NW (top left), NE (top right), SW (bottom left), and SE (bottom right). The percentages of each direction are shown at the bottom right corner of each panel.

- 470 Moreover, the frequency of high NO₂ pollution events varies by wind direction, which correlate with sources of NOx
- 471 pollution. Most events with $TCNO_2 > 1$ DU, and all cases with $TCNO_2 > 2DU$, occurred with SE-SW winds (90-270°)
- 472 in Fig. 10a). These air mass origins encompass influences from Queens and Brooklyn (SE), lower Manhattan, and
- 473 northern New Jersey (SW-W) where most of the major power plants and economic activity are located (Fig. 1). Mean
- 474 TCNO₂ for SE-SW winds was 0.6 DU, compared to 0.4 DU for NE-NW winds. Pre-pandemic TROPOMI retrievals
- 475 (2018-2019) also showed that SE-SW winds yield the highest NO₂ levels in New York City, on average twice as high
- 476 compared to winds from the NW and NE (Fig. 11), where there are fewer upwind sources. Satellite imagery over the
- 477 2018-2019 period was evenly distributed across SE-SW (high NO₂) and NW-NE (low NO₂) wind directions.
- 478 TROPOMI retrievals also demonstrate a strong negative relationship between satellite NO₂ columns and wind speed
- 479 (Fig. 12), with the highest NO₂ occurring at wind speed $< 4 \text{ m s}^{-1}$ and the lowest at wind speed $> 6 \text{ m s}^{-1}$ over the New
- 480 York metropolitan area before the pandemic.
- 481

482 These meteorological factors, in addition to explaining the particularly high TCNO₂ values measured even under strict 483 social distancing restrictions during the COVID-19 lockdowns in the tri-state area, were also found to contribute to 484 the significantly reduced NO₂ values in winter 2021. January and February 2021 showed a drop in NO₂ by 39% and 485 30%, respectively, similar to the NO₂ decline observed immediately after the initial strict lockdowns (Fig. 4). Although 486 traffic (based on both MTA data and Apple mobility trends) showed a noticeable decrease during the second wave of 487 the pandemic, mobility was not nearly as restricted as in April-May 2020 (Figs. S1, S2). Bridge and tunnel traffic was 488 approximately 30% lower in winter 2021 compared to 55% lower in spring 2020. Interestingly, in winter 2021 wind 489 in Upper West Manhattan was mostly (72% in January and 65% in February) from NW-NE directions, which yields 490 the cleanest conditions and favors low NO₂ columns (Fig. 10a). For comparison, wind at the same location in January



Figure 12: TROPOMI NO₂ (May 2018-December 2019) segregated by 100-m wind speed in 2 m s⁻¹ intervals from ERA5 daily meteorology. The percentages of each wind-speed interval are shown at the bottom right corner of each panel.

491 and February 2020 was 49% and 50% from NW-NE direction. In contrast to winter 2021, in spring, summer, and fall 492 2020, wind was 54%, 33% and 42% from NW-NE directions (compared to 49% in pre-covid conditions, Fig. 10) and 493 mean wind speed was in the range $3.8-5.5 \text{ m s}^{-1}$, suggesting that wind conditions were not favorable for lower NO₂ in 494 Manhattan in 2020. Hence, our estimates of NO₂ decline in April-December 2020 primarily reflect the impact of 495 changes in anthropogenic emissions, particularly reductions in emissions from the transportation sector. These 496 findings corroborate results from Goldberg et al., (2020), who concluded that varying meteorological conditions (wind 497 speed and direction) in New York City, while different between years, did not have a strong biasing effect in their 498 estimates of the effects of COVID-19 physical distancing on NO2 in the month directly following the initial 499 lockdowns. The prevalence of northerly winds in winter 2021, however, minimized the relative contribution of 500 emissions from the energy sector to New York City, favoring low NO₂ conditions. This led to stronger NO₂ declines 501 compared to pre-pandemic levels than would be expected based on just changes in emissions from the transportation 502 sector during the second wave of the pandemic.

503 4. Summary and conclusions

504 Stringent lockdown measures following the COVID-19 outbreak resulted in an abrupt and significant decline in 505 TROPOMI top-down NOx emissions in New York City, by ~30% on top of long-term trends. A sudden drop in total 506 column NO₂ (by up to 36% in Manhattan), along with a weakening of the weekly NO₂ cycle and a disruption of typical 507 seasonal patterns were observed by the ground-based Pandora network in the New York metropolitan area. Yet, during 508 the same timeframe, traffic in New York City bridges and tunnels plummeted by 55%, on average, compared to pre-509 pandemic levels, reaching as much as 80% reduction in early April 2020. These results highlight that although on-510 road transportation is an important source of emissions in New York City, emissions from non-road transportation 511 and the power generation sector (not as strongly affected by the lockdown measures) critically affect NO₂ pollution 512 levels in New York. Accounting for each sector's contribution to total emissions, resulted in a change in NOx 513 emissions by approx. 32%, which was consistent with satellite top-down estimates.

514

515 Disentangling the impacts of meteorology and NOx emission changes on urban air quality is key for designing and 516 implementing improved emission-control strategies. Meteorology had different impacts across the different pandemic 517 waves in New York City. Although it was not found to have a strong biasing effect after the first pandemic wave in 518 spring to fall 2020, meteorology strongly favored clean air conditions over Manhattan after the second pandemic wave 519 in winter 2021, lowering NO₂ levels beyond what would be expected based on lockdown measures alone. The key 520 role that meteorology plays in shaping the relative contributions from different emission sectors to NO₂ pollution in 521 New York City was further demonstrated by the occurrence of several high NO₂ pollution events even during – and 522 despite - the extreme reductions in transportation emissions during the stringent early lockdowns. High total NO₂ 523 columns, often exceeding three times the pre-pandemic levels, were consistently characterized by low-speed (< 5m s⁻ 524 ¹) SW-SE winds that enhanced contributions from the high-emitting power-generation sector and accumulation of 525 pollution over New York City. A subsequent increase in wind speed and change in wind direction typically coincided

with a decrease in NO_2 over the city, indicating dispersion of pollutants across the coastal environment with potentially negative effects on downwind communities as well as terrestrial and aquatic ecosystems (Loughner et al., 2016).

528

529 The COVID-19 pandemic resulted in immediate and multifaceted impacts on human behavior that affected various 530 pollutant sectors and their relative contributions to urban NOx emissions differently. During this extreme natural 531 experiment, long-term and high-temporal resolution retrievals from the Pandora network were essential in capturing 532 the response of total column NO₂ – declines and high pollution episodes - during the multiple pandemic waves and 533 reopening phases in the New York metropolitan area. Incorporating observed NOx emissions changes across 534 timescales is important for improving air quality modeling and forecasting, especially in the context of sub-daily 535 stagnation events that produce NOx exceedances despite low emissions. Such high-resolution observations from 536 ground-based networks, and soon from geostationary satellite sensors such as TEMPO (Chance et al., 2013), enable 537 the characterization of fine-scale features in NO₂ behavior as well as assessment of the possible effects of rapid 538 meteorological changes on air quality conditions. In New York, a city transitioning to a NOx limited ozone production 539 environment during summer (Jin et al., 2017), NOx plays an important role in the oxidation of VOC's ozone 540 production as well as secondary aerosol formation. Integration of high-resolution NO₂ measurements from ground-541 based networks and geostationary satellite platforms is, thus, critical in further assessing changes in NO₂, aerosol, and 542 ozone pollution as the world re-opens, and in evaluating the effectiveness of future sector-specific NOx emission 543 control strategies and their impacts on air quality, human health, and urban ecosystems.

544 **Code/Data availability:** All Pandora data used in this study can be downloaded freely from the Pandonia Global 545 Network website <u>https://www.pandonia-global-network.org/</u>. Our gridded satellite NO₂ products and output from our 546 model simulations can be obtained by contacting the corresponding author, Maria Tzortziou (mtzortziou@ccny.cuny.edu).

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