1 **Exploiting satellite measurements to explore uncertainties in** 2 UK bottom-up NO<sub>x</sub> emission estimates 3 **Exploiting satellite measurements to reduce uncertainties in UK bottom-up NO<sub>x</sub>-emission estimates** 4 5 Richard J. Pope<sup>1,2</sup>, Rebecca Kelly<sup>1</sup>, Eloise A. Marais<sup>3</sup>, Ailish M. Graham<sup>1</sup>, 6 Chris Wilson<sup>1,2</sup>, Jeremy J. Harrison<sup>4,5</sup>, Savio J. A. Moniz<sup>6</sup>, Mohamed Ghalaieny<sup>6</sup>, Steve R. 7 Arnold<sup>1</sup> and Martyn P. Chipperfield<sup>1,2</sup> 8 9 10 1: School of Earth and Environment, University of Leeds, Leeds, UK 11 2: National Centre for Earth Observation, University of Leeds, Leeds, UK 12 3: Department of Geography, University College London, London, UK 4: Department of Physics and Astronomy, University of Leicester, Leicester, UK 13 5: National Centre for Earth Observation, University of Leicester, Leicester, UK 14 15 6: Department for Environment, Food and Rural Affairs, 2 Marsham Street, London, UK 16 17 Submitted to Atmospheric Chemistry and Physics 18 19 Correspondence to: Richard J. Pope (r.j.pope@leeds.ac.uk) 20 **Abstract** Nitrogen oxides (NO<sub>x</sub>, NO+NO<sub>2</sub>) are potent air pollutants which directly impact on human 21 health and which aid the formation of other hazardous pollutants such as ozone (O<sub>3</sub>) and 22 23 particulate matter. In this study, we use satellite tropospheric column nitrogen dioxide (TCNO<sub>2</sub>) data to evaluate the spatiotemporal variability and magnitude of the United 24 25 Kingdom (UK) bottom-up National Atmospheric Emissions Inventory (NAEI) NO<sub>x</sub> emissions. 26 Although emissions and TCNO<sub>2</sub> represent different quantities, for UK city sources we find a 27 spatial correlation of ~0.5 between the NAEI NO<sub>x</sub> emissions and TCNO<sub>2</sub> from the high-28 spatial-resolution TROPOspheric Monitoring Instrument (TROPOMI), suggesting a good 29 spatial distribution of emission sources in the inventory. Between 2005 and 2015, the NAEI 30 total UK NO<sub>x</sub> emissions and long-term TCNO<sub>2</sub> record from the Ozone Monitoring Instrument 31 (OMI), averaged over England, show decreasing trends of 4.4% and 2.2%, respectively. Top-32 down NO<sub>x</sub> emissions were derived in this study by applying a simple mass balance approach 33 to TROPOMI observed downwind NO<sub>2</sub> plumes from city sources. Overall, these top-down 34 estimates were consistent with the NAEI, but for larger cities such as London and 35 Manchester the inventory is significantly (>25%) less than the top-down emissions. 36 This NAEL NO<sub>\*</sub> emission underestimate is supported by comparing simulations from the 37 GEOS-Chem atmospheric chemistry model, driven by the NAEI emissions, with satellite and 38 39 surface NO2 observations over the UK. This yields substantial model negative biases,

providing further evidence to demonstrate that the NAEI may be underestimating NO<sub>\*</sub> emissions in London and Manchester.

## 1. Introduction

Poor air quality (AQ) can have a substantial impact on human health, increasing risk of ailments such as asthma, cancer, diabetes and heart disease (Royal College of Physicians, 2016). A key air pollutant is nitrogen dioxide (NO<sub>2</sub>) which was responsible for approximately 9600 premature deaths from long-term exposure in the UK in 2015 (EEA, 2018). NO2 is also a precursor to tropospheric ozone and nitrate aerosol in the UK (DEFRA, 2018a). Legislation (e.g. the EU directive 2008/50/EC Ambient AQ regulation, (DEFRA, 2018a)) is in place to reduce concentrations of NO<sub>2</sub> and other pollutants. However, many regions in the UK (33 out of 43 in 2019; DEFRA, 2020) still fail to meet the annual mean NO<sub>2</sub> limit of 40 μg/m<sup>3</sup> (WHO, 2018). To meet the UK's statutory reporting requirements and to help inform policy, Defra uses the National Atmospheric Emissions Inventory (NAEI, 2021). However, like all emission inventories, the NAEI is subject to uncertainties which are difficult to quantify. These uncertainties include unreported sources, diffuse sources such as agriculture, the use of proxy data (e.g. population or housing density data) to distribute emissions and updates to the NAEI methodologies between years (NAEI, 2017). In addition, the NAEI only includes emissions from anthropogenic sources. Spatial verification of the NAEI AQ emissions, until recently (Tsagatakis et al., 2021), has been restricted to comparisons with surface sites, which have limited and disproportional spatial coverage. Spatial verification of NAEL AQ emissions is restricted to comparisons with surface sites, which have limited and disproportional spatial coverage. The NAEI is also used to drive regional models (e.g. the UK Met Office Air Quality in the Unified Model (AQUM, Savage et al., 2013) which provides the official national AQ forecasts), land use regression models (e.g. Wu et al., 2017) and Pollutant Climate Mapping (PCM) models (e.g. Dibbens and Clemens, 2015), where uncertainties in the emissions can then feed into the simulated AQ predictions and resultant public health advisories.

Satellite measurements of tropospheric column  $NO_2$  (TCNO<sub>2</sub>) have frequently been used to derive top-down emissions of nitrogen oxides ( $NO_x$  = nitric oxide ( $NO_y$ ), which can be used to evaluate bottom-up inventories. Some studies have used statistical fitting of observed downwind plumes of TCNO<sub>2</sub> from anthropogenic sources (e.g. Beirle et al., 2011; Liu et al., 2016; Verstraeten et al., 2018), while others have used complex atmospheric chemistry models deploying approaches such as data assimilation (e.g. Miyazaki et al., 2016), mass balance (Martin et al., 2003) and model sensitivity experiments (e.g. Potts et al., 2021).

While model-derived estimates of  $NO_x$  emissions (e.g. from data assimilation) are robust, the methodology is computationally expensive and time intensive. Therefore, the statistical fitting to downwind plumes approach is a more achievable approach to derive top-down emissions, especially for government departments and agencies. Beirle et al. (2011) presented one of the first studies to use statistical fitting to downwind plumes for Riyadh, Saudi Arabia. The method was also applied to multiple megacities and compared with the bottom-up Environmental Database for Global Atmospheric Research (EDGAR) emission inventory (version 4.1). Verstraeten et al. (2018) used a similar, but modified, approach of a simple mass balance which assumes that the observed total mass of  $NO_2$  is a product of the emission rate and the effective lifetime. The assumption is that the removal of  $NO_2$  can be

described by a first-order loss (i.e. the chemical decay of NO<sub>2</sub> follows an exponential decay function with an e-folding time, and therefore distance from source).

In this study, we use satellite TCNO<sub>2</sub> records to evaluate the spatial distribution and 99 100 temporal evolution of the NAEI. In the past, and still presently, this is a challenge given the 101 climatological meteorological conditions (i.e. frequent frontal systems with widespread precipitation and cloud cover; Pena-Angulo et al., (2020)) experienced in the UK. Frequent 102 cloud cover means that satellite instruments are severely restricted in their ability to 103 retrieve information on trace gases and aerosols through the atmosphere (i.e. retrievals 104 105 only between the top of atmosphere and cloud top). Therefore, the lack of robust 106 observations makes it more difficult to clearly resolve large emission sources from space. 107 Also, previous sensors (e.g. the Ozone Monitoring Instrument, OMI) have had relatively coarse horizontal spatial resolutions (in the order of 10-100 km) which are larger than most 108 UK emissions sources. However, this work represents the first attempt to derive UK city-109 scale NO<sub>x</sub> emissions from the new state-of-the-art TROPOspheric Monitoring Instrument 110 111 (TROPOMI), which has unparalleled spatial resolution in comparison to previous sensors 112 (e.g. OMI). We apply a similar approach to Verstraeten et al. (2018), but determine the background NO<sub>2</sub> value and e-folding distance in different ways, to derive top-down NO<sub>x</sub> 113 114 emission estimates of UK cities and thereby directly evaluate the NAEI estimates. Therefore, we can derive NO<sub>x</sub> emissions from previously undetectable sources (e.g. Manchester and 115 Birmingham). From here on, we refer to this methodology as the simple mass balance 116 117 approach (SMBA). The satellite observations used, NAEI and SMBA are described in Section 2, the results presented in Section 3 and our conclusions discussed in Section 4.4 118 n this study, we use satellite TCNO2 records to evaluate the spatial distribution and temporal 119 120 evolution of the NAEI. We apply a similar approach to Verstraeten et al. (2018), but determine 121 the background NO2 value and e folding distance in different ways, to derive top down NO\* 122 emission estimates of UK cities and thereby directly evaluate the NAEI estimates. This work 123 represents the first attempt to derive UK city scale NO<sub>\*</sub> emissions from the new state of the 124 art TROPOspheric Monitoring Instrument (TROPOMI), which has unparalleled spatial resolution in comparison to previous sensors (e.g. the Ozone Monitoring Instrument, OMI). 125 Therefore, we can derive NO<sub>x</sub> emissions from previously undetectable sources (e.g. 126 127 Manchester and Birmingham). From here on, we refer to this methodology as the simple 128 mass balance approach (SMBA). The satellite observations used, NAEI and SMBA are 129 described in Section 2, the results presented in Section 3 and our conclusions discussed in Section 4. 130

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### 2. Data and Methods

### 2.1 NAEI Emissions

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The NAEI is the official UK bottom-up inventory of primary sources of emissions, used for statutory reporting, national air quality policy and driving regional air quality models (NAEI, 2021). The contract to deliver the NAEI is led by a consortium managed by Ricardo Energy and Environment for the UK Department for Business, Energy and Industrial Strategy (BEIS) and the Department for Environment, Food and Rural Affairs (Defra). The NAEI is compiled on an annual basis according to internationally agreed methodologies (EMEP/EEA, 2019), encompassing sectors ranging from transport, industry, through to agriculture and domestic sources (Ricardo Energy and Environment, 2021). Here, we use the NAEI emissions from 2016, which is the most recent version publically available.as this was the most recent dataset

available when this work started and used in computationally time consuming model simulations (see Section 3.4). The most recent year now available is 2019. For comparison to top down emissions estimates (see Section 3.3), using average TCNO<sub>2</sub> data centred on 2019, we extrapolate the NAEI 2016 emission values to 2019 levels for a more representative temporal comparison. The extrapolation was based on a simple linear trend (3.9%/yr) for the NAEI UK NO<sub>x</sub> total emissions between 2009 and 2018 (i.e. 10 years) and imposed on the NAEI 2016 gridded emissions.

# 2.2 Satellite Data

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188 189 OMI and TROPOMI are both nadir-viewing instruments on-board the NASA Aura and ESA Sentinel 5 – Precursor (S5P) polar orbiting satellites, respectively, and have local overpass times of 13:30. TROPOMI measures in the ultraviolet-visible (UV-Vis, 270-500 nm), similar to OMI (Boersma et al., 2007), as well as near-infrared (NIR, 675-775 nm) and short-wave infrared (SWIR, 2305-2385 nm) spectral ranges (Veefkind et al., 2012). TROPOMI and OMI have nadir pixel sizes of 3.5 km × 5.5 km (in the UV-Vis, 7.0 km × 7.0 km for other spectral ranges) and 13 km × 24 km, respectively. The OMI (DOMINO version 2 product) and TROPOMI (TM5-MP-DOMINO version 1.2/3x – OFFLINE product) data were downloaded from the Tropospheric Emissions Monitoring Internet Service (TEMIS) for January 2005 to December 2015 and February 2018 to January 2020, respectively. Given the issues with large cloud cover in the UK, we use two years of TROPOMI TCNO2 data to help increase the spatiotemporal sample size when deriving top-down emissions to evaluate the 2019 NAEI NO<sub>x</sub> emissions. The OMI row anomaly first occurred in 2008 (Torres et al., 2018) and over time has progressively had a detrimental impact on retrieved TCNO2. The study by Pope et al., (2018) successfully used the OMI record to look at long-term trends in UK TCNO2. However, after 2015, while still retrieving robust signals over source regions, the row anomaly appears to be substantially artificially enhancing background TCNO2. Therefore, as we consider regional trends in TCNO2 in Section 3.2, we did not use OMI TCNO2 after 2015. We did not consider OMI TCNO2 after 2015 as the row anomaly substantially degraded the quality of the data over the UK from this point. The data has been processed using the methodology of Pope et al., (2018) to map the TCNO<sub>2</sub> data onto a high-resolution spatial grid (0.025°  $\times$  0.025°, ~2-3 km  $\times$  ~2-3 km for TROPOMI,  $0.05^{\circ} \times 0.05^{\circ}$ , ~5 km × ~5 km for OMI). The TROPOMI data were quality controlled for a cloud radiance fraction <0.5, a quality control flag >50-0.75 and where the TCNO2 value was  $> -1.0 \times 10^{-5}$  moles/m<sup>2</sup> (i.e. random values round 0.0 may be slightly negative or positive so we filter for  $TCNO_2 > -1.0 \times 10^{-5}$  moles/m<sup>2</sup> otherwise a positive bias in average  $TCNO_2$  is imposed). While TROPOMI provides the greatest spatial resolution of any satellite instrument to measure air pollutants, suitable to derive TCNO<sub>2</sub> emission estimates over UK city-scale sources, the retrieved TCNO<sub>2</sub> has been shown to have a low bias. Over north-western Europe, Verhoelst et al., (2021) found that TROPOMI underestimated TCNO<sub>2</sub> by approximately 20-30% when compared with surface TCNO<sub>2</sub> measurements, which is consistent with Chan et al., (2020) and Dimitropoulou et al., (2020). OMI data were processed for a geometric cloud fraction of <0.2, quality flag = 0 (which also flags pixels influenced by the row anomaly (Braak, 2010)) and TCNO<sub>2</sub> > -1.0×10<sup>-5</sup> moles/m<sup>2</sup>.

## 2.3 Simplified Mass Balance Approach

To derive top-down emissions of  $NO_2$  we use the SMBA, which is based on downwind plumes of TROPOMI observed  $TCNO_2$  from the target source where the observed total mass of  $NO_2$  (i.e. the source-related enhancement of  $TCNO_2$  above the background level) is assumed to be a product of the emission rate and the effective lifetime. Therefore, we can derive the emission rate based on **Equation 1**:

$$E = \frac{\sum_{i=0}^{N} (NO_2 LD_i \times \Delta d)}{t \times e^{\frac{-t}{\tau}}}$$
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where E is the emission rate (moles/s),  $NO_2$  LD is the NO<sub>2</sub> line density (moles/m),  $\Delta d$  is the grid box length (m), i is the grid box number between the source and background value, t is time (s) and  $e^{\frac{-t}{\tau}}$  is the e-folding loss term with  $\tau$  as the effective lifetime. N represents the number of satellite TCNO<sub>2</sub> grid boxes between the source and background level B. t is calculated as the distance between the source and B divided by the wind speed (ws). To derive the full NO<sub>2</sub> loading emitted from the source,  $\frac{1}{\tau}$  The—the wind flow  $\frac{NO_2}{\tau}$  LD then—has the background  $\frac{NO_2}{\tau}$  LD value subtracted from all points between the source and  $\frac{B}{\tau}$  and is then summed yielding the total  $\frac{NO_2}{\tau}$  mass (moles).

The wind speed and direction at a particular source are determined from the European Centre for Medium-Range Weather Forecasts (ECMWF, 2021) ERA5 u- & v-wind component data. The wind data are sampled at 13:00 UTC (around 13:00 local solar time over the UK) to coincide with the TROPOMI overpass (i.e. 13:30 local solar time, LST) and averaged across boundary layer pressure levels (i.e. 1000-surface to hPa andto 850-900 hPa). In all cases, ws had to be greater than 2 m/s to avoid near stable meteorological conditions. Studies such as Beirle et al. (2011) and Verstraeten et al. (2018) averaged the wind speeds over the surface to 500 m layer. Beirle et al. (2011) suggested that the average winds across this altitude range yielded uncertainties over approximately 30%, but neither study provided definitive reasoning why 500 m was selected. In the UK, 500 m is approximately 950 hPa which sits comfortably within the boundary layer (approximately 1000 m or 880.0 to 910 hPa in Figure 1a based on ERA-5 data sampled at 13.00 LT and averaged for 2019). In this study, we argue that wind speeds throughout the boundary layer are likely to be important in controlling the spatial distribution of NO2 downwind of sources. Figure 1b shows the zonally averaged latitude-pressure NO2 profile from the Copernicus Atmosphere Monitoring Service (CAMS, 2021), sampled at 13.00 LT and averaged for 2019, over the UK. The bulk of the NO2 loading is near the surface with NO<sub>2</sub> concentrations of 0.5 ppbv to >1.0 ppbv between the surface and 900 hPa. As shown by the white dashed lines, 60-70% of the surface to 500 hPa NO<sub>2</sub> loading exists between the surface and 900 hPa. The zonally averaged boundary layer pressure (red dashed line) also straddles the 900 hPa level. In Figure 1c, the wind speed profile for London sampled under westerly flow increases with altitude until between 925 hPa and 900 hPa. For each pressure level, London westerly days are defined based average u- and v-components between the surface and the respective pressure level. As shown by the blue text, the wind speed gradient with respect to pressure substantially decreases (i.e. from -0.0406 m/s/hPa between 950 hPa and 925 hPa to -0.0045 m/s/hPa between 925 hPa and 900 hPa) at 900 hPa. Therefore, this profile gradient and the information in Figures 1a & b suggest that 900 hPa is a suitable level to derive the boundary layer average wind speed and flow direction. The table (panel d) in Figure 1 shows the sensitivity of the NO<sub>x</sub> emission parameters to the pressure layer used. The derivation of emissions is discussed further in this section. The surface-850 hPa average and surface only winds show substantially different NO<sub>x</sub> emission rates of 61.6

moles/s and 30.1 moles/s, respectively. However, the intermediate levels (900 hPa and 950 hPa) show less dramatic step changes with emission rates of 55.2 moles/s and 49.8 moles/s. Therefore, the surface-900 hPa layer is used to help derive  $NO_x$  emission rates in this study.

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**Figure 1a** shows the difference between TROPOMI TCNO<sub>2</sub>-sampled under westerly flow and the long term average based on London u and v wind components. The NO<sub>2</sub> LD is the product of the source width, which is perpendicular to the wind flow, and the source-width-average TCNO<sub>2</sub> profile downwind from the source on a grid box by grid box basis as shown in **Equation 2**.

$$NO_2LD_{i=1,N} = \frac{\sum_{j=1}^n TCNO_{2i,j}}{n} \times w \times \alpha_i \qquad (2)$$

where NO<sub>2</sub> LD (moles of NO<sub>2</sub>) is the NO<sub>2</sub> line density, i the grid box index downwind of the source starting at *i*=1 going to *i*=N at background point B, TCNO<sub>2</sub> is the tropospheric column  $NO_2$  grid box value (moles/m<sup>2</sup>) at point i and j is the grid box index for the number of grid boxes n, perpendicular to the downwind profile, which fit across the width of the source at grid box i downwind, w is the source width (m) (i.e. source width perpendicular to the downwind profile) of the  $NO_2$  source and  $\alpha$  is the grid box width (m) of the downwind grid box at point i. Though the source width is a subjective choice between the source edge locations, the same source width value is used when deriving the TROPOMI NO<sub>x</sub> emissions and summing up the NAEI NO<sub>x</sub> emissions over the source region. As the source emissions will be a function of the source width (i.e. larger at source centre and lower at source edge), the mean TCNO2 downwind profile is representative of the source-average NO2 emission. The NO2 LD is the product of the source width, which is perpendicular to the wind flow, and the source-width-average TCNO2 profile downwind from the source. The TCNO2 profile is the cross-section between the grid box the source centre is located in and the grid box where B is reached with units of moles/m2. As there may be several downwind TCNO2 profiles within the source width, these are averaged together to form an average downwind profile across the source width. As the source emissions will be a function of the source width (i.e. larger in source centre and lower at source edge), the mean TCNO2 downwind profile is most representative of source average NO2 emission.

Figure 2a shows the difference between TROPOMI TCNO2 sampled under westerly flow and the long-term average based on London u- and v-wind components, where there are clear downwind positive anomalies of >3.0 moles/m<sup>2</sup>. Similarly in As shown in Figure 21b, the downwind plume (e.g. westerly flow over London) has typically larger NO<sub>2</sub> LD values than the all-flow (i.e. all wind directions) NO<sub>2</sub> LD. The full NO<sub>2</sub> mass emitted from the source in the NO<sub>2</sub> LD is the summation of the wind-flow NO<sub>2</sub> LD from source up to point B minus the background value from all downwind pixels over this profile segment. -A reasonable estimate of when the wind-flow NO2 LD reaches B, for more isolated NO2 sources, is when it intersects with the allflow NO2 LD profile (i.e. returns to normal levels). A reasonable estimate of when the windflow NO2 LD reaches B is when it intersects with the all flow NO2 LD profile (i.e. returns to normal levels). However, when there are substantial upwind NO<sub>2</sub> sources, this can yield windflow NO<sub>2</sub> LD profiles which never intersect with the all-flow NO<sub>2</sub> LD profile within the domain (e.g. see Birmingham example in Figure 32a & b). Therefore, to determine when B has been reached, a running t-test was applied to the wind-flow NO2 LD profile to determine where turning points or levelling off occurred. As such a test can be sensitive to noise in the TCNO<sub>2</sub> data, a 10-pixel (0.5°) running average wind-flow NO<sub>2</sub> LD profile was calculated. The running

t-test was applied to this using two windows of the same size to identify step changes in the profile. The green line in Figure 21b shows where the t-test p-value has become large and there is a turning point in the wind-flow NO<sub>2</sub> LD profile. Such a reduction in the wind-flow NO<sub>2</sub> LD profile gradient is suggestive of the plume reaching B as NO<sub>2</sub> levels have stabilised. However, in Figure 21b, there are multiple locations potentially meeting this criteria. In reality, the turning points further downwind of London are sources from the Benelux region. The red dot represents the first instance, after the initial near-source wind-flow NO<sub>2</sub> LD peak, where the gradient in the running t-test p-value profile changes sign (i.e. positive to negative or vice versa). The wind flow NO2 LD then has the background NO2 LD value subtracted from all points between the source and B and is then summed yielding the total NO2 mass (moles).

The loss term  $e^{\frac{-\iota}{\tau}}$  is dependent upon  $\tau$  and is determined by applying an e-folding distance fit between the near-source peak wind-flow NO<sub>2</sub> LD value and B, before dividing by ws to get τ.

Here, a range of e-folding distances are tested in the loss term  $e^{\frac{-t}{\tau}}$  to find the distance value which yields the lowest root mean square error (RMSE), and a large R<sup>2</sup> (Pearson correlation coefficient squared) value, between the e-folding distance fit (red line, Figure 21c) and the wind-flow NO<sub>2</sub> LD (black line, Figure 1c2c). In the case of London, this yielded an e-folding distance of 148.0 km and  $\tau$  of 4.5 hours (8.6 and 3.1 hours) based on the average ws = 9.1 m/s with an uncertainty range (± 4.3 m/s; i.e. ± 1-sigma standard deviation) of 4.8 m/s to 13.4 m/s (i.e. a slower/faster wind speed yields a longer/shorter lifetime). In the case of London, this yielded an e-folding distance of 150.0 km and τ of 3.8 hours (7.0 and 2.6 hours) based on ws = 9.9 m/s (± 4.6 m/s; i.e. ± 1-sigma standard deviation). The effective lifetime derived here for London and other UK cities is typically consistent with values from other studies (e.g. Beirle et al., (2011) and Verstraeten et al. (2018)) for European cities (i.e. 1.0 –10.0 hours).

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The top-down E is calculated from **Equation 1**, but this is an emissions flux of NO<sub>2</sub> moles/s which needs to be converted to NO<sub>x</sub> for comparison with the bottom-up inventories. This is done by scaling the NO<sub>2</sub> emissions by 1.32 based on the NO:NO<sub>2</sub> concentration ratio (0.32) in urban environments at midday (Seinfeld and Pandis, 2006; Liu et al., 2016). Verstraeten et al., (2018) used modelled NO and NO<sub>2</sub> concentrations to derive a scaling more representative of the chemistry of the source. They estimate there is a 10% uncertainty (similar to Beirle et al., (2011)), but as the modelled NO<sub>2</sub>:NO<sub>x</sub> ratio is based on the input emissions, for which the satellite data is being used to evaluate, this process is rather circular and not independent. The final emission uncertainty estimates (Figure 21) are derived by  $\pm$  the satellite error ( $10^{-5}$ moles/m<sup>2</sup>) before obtaining the NO<sub>2</sub> LD (Sat NO<sub>x</sub> Emissions-1) and by using the uncertainties in  $\tau$  when determining the loss term (Sat NO<sub>x</sub> Emissions-2).

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Here, the top-down NO<sub>x</sub> emissions are derived by sampling TCNO<sub>2</sub> data under different wind directions in all seasons. Several studies, such as Beirle et al. (2011), have gone a step further and used TCNO<sub>2</sub> data to derive seasonal emissions. Unfortunately, here we are restricted to looking at annually derived emissions due to 1) the TROPOMI TCNO2 record only started in February 2018, 2) the COVID-19 pandemic resulted in a dramatic reduction in UK (and global) NO<sub>x</sub> emissions (Potts et al., 2021) meaning TCNO<sub>2</sub> data beyond February 2020 could not be used to derive top-down emissions under normal conditions and 3) the UK is subject to frequently cloudy conditions yielding a reduction in the number of observations from TROPOMI. The latter point predominantly influences TROPOMI retrievals in the winter-time. Therefore, even though we sample TCNO<sub>2</sub> data in all seasons, there is likely to be a tendency

towards summer-time TCNO<sub>2</sub> values, when TCNO<sub>2</sub> values tend to be lower (e.g. Pope et al., 2015), potentially leading to a low bias in the derived top-down NO<sub>x</sub> emissions.

# 3. Results

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## 3.1 NO<sub>x</sub> Sources

Surface emissions and observed TCNO<sub>2</sub> represent different quantities and are influenced by different processes. However, the short NO<sub>2</sub> lifetime of a few hours (Schaub et al., 2007; Pope et al., 2015) means there is a sharp gradient between sources and the background levels. Therefore, we can use the satellite TCNO<sub>2</sub> observations to provide some constraint on the spatial distribution of the NO<sub>x</sub> emissions. In Figure 43, spatial maps over south-eastern (Figure 43a & c) and northern England (Figure 43b & d) show evidence of co-located TCNO2 and NOx emission hot spots, especially over many of the UK cities shown by circles. Here, both data sets have been mapped onto the spatial resolution of 0.025° × 0.025°. In South East England, TCNO<sub>2</sub> and NO<sub>x</sub> emissions peak over London at over 14.0×10<sup>-5</sup> moles/m<sup>2</sup> and approximately >2.0 µg/m<sup>2</sup>/s, respectively. A secondary peak is also observed over western London for both quantities at similar levels. There are further co-located hotspots over Southampton (TCNO2  $\sim$ 8.0-9.0×10<sup>-5</sup> moles/m<sup>2</sup>, NO<sub>x</sub> >2.0 µg/m<sup>2</sup>/s), Portsmouth (TCNO<sub>2</sub>  $\sim$ 6.0-7.0×10<sup>-5</sup> moles/m<sup>2</sup>, NO<sub>x</sub>  $\sim 1.0 - 1.5 \, \mu g/m^2/s$ ), Brighton (TCNO<sub>2</sub>  $\sim 5.0 - 6.0 \times 10^{-5} \, \text{moles/m}^2$ , NO<sub>x</sub>  $\sim 0.5 - 0.8 \, \mu g/m^2/s$ ), Oxford  $(TCNO_2 \sim 7.0 - 7.5 \times 10^{-5} \text{ moles/m}^2, NO_x \sim 0.7 - 1.0 \, \mu\text{g/m}^2/\text{s})$  and Chelmsford  $(TCNO_2 \sim 8.5 - 9.5 \times 10^{-5} \, \text{moles/m}^2)$  $^{5}$  moles/m<sup>2</sup>, NO<sub>x</sub>  $^{\sim}$ 0.5 µg/m<sup>2</sup>/s). In northern England and the Midlands, peak TCNO<sub>2</sub> and NO<sub>x</sub> X-emissions are located over Manchester (TCNO<sub>2</sub> ~10.0-11.0×10<sup>-5</sup> moles/m<sup>2</sup>, NO<sub>x</sub> ~1.0-1.5  $\mu g/m^2/s$ ), Birmingham (TCNO<sub>2</sub> ~8.0-9.0×10<sup>-5</sup> moles/m<sup>2</sup>, NO<sub>x</sub> ~1.0-1.5  $\mu g/m^2/s$ ), Leeds (TCNO<sub>2</sub>  $\sim 8.0-9.0\times 10^{-5}$  moles/m<sup>2</sup>, NO<sub>x</sub>  $\sim 1.0-1.5$  µg/m<sup>2</sup>/s) and Liverpool (TCNO<sub>2</sub>  $\sim 7.0-8.0\times 10^{-5}$  moles/m<sup>2</sup>,  $NO_x \sim 0.5-1.0 \,\mu g/m^2/s$ ).

To quantify the spatial relationship between the TCNO<sub>2</sub> and NO<sub>x</sub> emissions over source regions, the corresponding pixels of both data sets were sub-sampled for each UK city (79 in total), normalised by the sample mean and correlated against each other (red circles, Figure 43e), which yielded a correlation  $R_{city1x1} = 0.358$  (i.e. city1x1 represents 1 grid box x 1 grid box or 0.025° × 0.025° around where the city centre is located). However, as atmospheric NO<sub>2</sub> is subject to chemical reactions and meteorological processes (e.g. transport), the signal around source regions is more diluted and the peak TCNO<sub>2</sub> not necessarily centred on the source. To allow for that, the spatial resolution of the quantities over each source was degraded, averaging over 3×3 (Figure 43f), 5×5 (Figure 34g) and 7×7 (Figure 43h) grid cells and the correlation recalculated (e.g. city3x3 represents 3 grid box × 3 grid box or 0.075° × 0.075° around where the city centre is located). This resulted in correlations of Rcity3x3 = 0.543, Rcity5x5 = 0.6258 and  $R_{city7x7}$  = 0.52. The correlation for full domain (i.e. the UK) was  $R_{all}$  = 0.2014. As expected, the correlation for all grid pixels (e.g. including pixels over the sea) is weak where long-range transport of NO2 can yield spatial variability in background regions with corresponding zero emission pixels. The R<sub>city1x1</sub>, R<sub>city3x3</sub>, R<sub>city5x5</sub> and R<sub>city7x7</sub> correlations were all larger. The largest city-scale correlation was for the R<sub>city5x5</sub> values where the spatial variability has been smoothed and is representative of the more diffuse pattern of TCNO2. However, the  $R_{city7x7}$  (0.175° × 0.175° or ~15-20 km × 15-20 km) correlation is lower than the  $R_{city5x5}$  value suggesting that this scale is larger than most UK city sizes. Overall, for all R values, except for Rall, there are statistically significant positive correlations at the 90% confidence level (CL) or above (>95% CL for  $R_{city3x3}$ ,  $R_{city5x5}$  and  $R_{city7x7}$ ). Therefore, the city-scale emission-satellite correlations provide confidence in the spatial distribution of the NAEI NO<sub>x</sub> emissions based on the observed satellite TCNO<sub>2</sub>.

### 3.2 Satellite NO<sub>2</sub> and Emission NO<sub>x</sub> Trends

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To evaluate the temporal evolution of the NAEI emissions, we use the long-term satellite record of TCNO<sub>2</sub> from OMI between 2005 and 2015. Annual total UK emissions of NO<sub>x</sub> (treated expressed as NO<sub>2</sub> here) from the NAEI start in 1970 and continue to present day (typically with a lag of approximately two years). Annual spatial maps of the NAEI also exist over the same time period. However, while there is a consistent methodology for the UK total estimates, the mapping methodology updates between years (NAEI, 2017). Therefore, instead of performing trends on the maps, we focus on trends in the UK NO<sub>x</sub> emission totals. For OMI, we have taken a similar broad scale approach focussing on averaged TCNO2 across England (defined as 3°W-2°E, 50-54°N). We focus on England as the majority of large UK sources with reasonable spatiotemporal coverage are located here and have clearly defined trends over source regions. Pope et al., (2018) showed significantly (at the 95% CL) decreasing trends over London, Birmingham, Manchester and the Yorkshire power stations of between 1.5% and 2.3% per year. OMI measurements can be subject to large uncertainties and variability, so this analysis also investigates trends in a range of OMI TCNO<sub>2</sub> percentiles over time. To estimate the annual absolute England total NAEI NO<sub>x</sub> emissions, we summed the emissions data for England (same geographical definition as for OMI above) from the 2016 2019 NAEI NO<sub>x</sub> emissions map and imposed the UK total NO<sub>x</sub> trend on it. Here, we use a simple linear fit which yields an annual decrease in the UK total NO<sub>x</sub> emission of 4.4%. The relative rate of change is the same for the England total NO<sub>x</sub> emissions, but the absolute values are lower than the UK total NO<sub>x</sub> emissions (Figure 54, top panel).

Over the 2005-2015 period, the England average OMI TCNO<sub>2</sub> trends in the 10<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup> and  $90^{th}$  percentiles are  $-0.18 \times 10^{-5}$  moles/m<sup>2</sup>/yr (-3.3%/yr),  $-0.20 \times 10^{-5}$  moles/m<sup>2</sup>/yr (-2.7%/yr),  $-0.21 \times 10^{-5}$  moles/m<sup>2</sup>/yr (-2.2%/yr),  $-0.17 \times 10^{-5}$  moles/m<sup>2</sup>/yr (-1.3%/yr) and -0.07  $\times 10^{-5}$  moles/m<sup>2</sup>/yr (-0.4%/yr), respectively (**Figure 45**). All of the satellite trends are significant at the 95% CL except for the 90<sup>th</sup> percentile. The UK and England total NO<sub>x</sub> emission trends between 2005 and 2015 are -7649.37 kt/yr and -4530.51 kt/yr (both -4.4%/yr). The OMI TCNO<sub>2</sub> trends range between -3.2% and -0.4% depending on the data percentile used to generate the average England TCNO2 annual time series. We also calculated annual trends in UK and England (same definition as above) surface NO<sub>2</sub> observations (Figure 54, bottom panel) from AURN (AURN, 2021). Here, we used urban background, suburban and rural sites. For the 10<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup> and 90<sup>th</sup> percentiles, UK (England) trends are -0.26 (-0.27)  $\mu g/m^3/yr$ , -0.40 (-0.52)  $\mu g/m^3/yr$ , -0.73 (-0.77)  $\mu g/m^3/yr$ , -0.95 (-0.95)  $\mu g/m^3/yr$  and -1.19 (-1.09)  $\mu g/m^3/yr$ . This corresponds to -3.77 (-3.03) %/yr, -3.07 (-3.24) %/yr, -3.03 (-2.86) %/yr, -2.49 (-2.31) %/yr and -2.29 (-1.98) %/yr. Therefore, the NAEI NO<sub>x</sub> emissions trend is of similar magnitude and direction to that of the observations. The differences are most likely explained by the non-linear conversion of emissions to atmospheric concentrations (i.e. complex meteorology and chemistry). The likely drivers for decreases in UK NO<sub>x</sub> emissions and NO<sub>2</sub> concentrations include a shift to cleaner energy sources (e.g. National Emissions Ceilings Regulations 2018, DEFRA. (2018b)), regulations on industrial and power generation emissions (Environmental Permitting Regulations 2016 (UK Government, 2016)) and tighter emissions for vehicles (e.g. Euro 6 emissions standards). Overall, these results provide confidence in the use of the satellite data as a tool to evaluate bottom-up emissions trends.

# 3.3 Top-Down NO<sub>x</sub> Emissions

 The top-down NO<sub>x</sub> emission rate for London under westerly flow (**Figure 24**) is 558.27 moles/s (375.57, 749.8 moles/s, based on Sat NO<sub>x</sub> Emissions-1 uncertainties), while the NAEI flux is 302.97 moles/s. Here, the NAEI has a low bias with the top-down estimate and sits outside the uncertainty range. The top-down emissions are based on 2 years, so the flux should be representative of an annual emission rate, corresponding to the NAEI reporting. In the case of Birmingham (**Figure 32a**), under easterly flow, there is a visible plume (i.e. positive differences of  $2.0-3.0\times10^{-5}$  moles/m²) superimposed on a background enhancement (0.5- $1.0\times10^{-5}$  moles/m²). As a result, the wind-flow  $NO_2$  LD is always larger than the all-flow  $NO_2$  LD and never reaches the background level (i.e. zero differences in **Figure 32a**) within the domain for which the TROPOMI TCNO<sub>2</sub> data has been processed for (e.g. there are positive differences in between the source, Birmingham, and the west of the domain, 8°W). Therefore, the running t-test methodology is used to determine when the wind-flow  $NO_2$  LD reaches a steady background state B, as shown in **Figure 32b**. Overall, the NAEI (124.89 moles/s) underestimates the top-down emissions for Birmingham under easterly flow (29.07.3 (187.78, 369.92) moles/s).

Our methodology was applied to 10 city sources where sources had suitable downwind TCNO2 enhancements to derive NO2 LDs and top-down emissions (Figure 6). A suitable downwind TCNO2 enhancement was subjectively identified when a clear TCNO<sub>2</sub> enhancement (i.e. positive anomalies) under a specific wind flow/direction occurred and a realistic lifetime (i.e. in the range of the literature - e.g. Verstraeten et al. (2018)) could be derived from the downwind TCNO2 profile of the target source. Our methodology was applied to 12 city sources where sources had suitable downwind TCNO2 enhancements to derive NO<sub>2</sub>-LDs and top-down emissions (Figure 5). These are shown in Table 1. Where top-down emissions could be derived for sources over several wind directions, they were averaged together. The TCNO<sub>2</sub> response to mesoscale and synoptic weather systems (i.e. large scale flow) can be seasonally influenced (e.g. Pope et al., 2015) with some wind directions occurring more frequently in certain seasons. Therefore, top-down NO<sub>x</sub> emission estimates derived from several wind directions for a particular source, though sampled throughout all months, can vary depending on the seasonal influence on the observed TCNO2 for which the wind direction more frequently occurs in. The top-down emissions derived here suggest that the NAEI bottom-up emissions for the largest sources such as London, Manchester and Birmingham are underestimated. The top-down emissions for London, Manchester and Birmingham are 47.99.2 (312.78, 654.90) moles/s, 20.519.7 (132.20, 287.13) moles/s and  $2\underline{24.10}$ ,  $(1\underline{43.65}$ ,  $2\underline{98.86}$ ) moles/s with corresponding NAEI emissions of  $3\underline{02.97}$ moles, 10.60 moles/s and 124.97 moles/s, respectively. Note for Birmingham though, the NAEI emissions value sits within the top-down estimate uncertainty range.

For the smaller sources (e.g. Edinburgh, Bristol and NorwichCardiff), the comparisons are in better agreement with the NAEI and are located within the top-down emission ranges. However, for Newcastle the NAEI emissions (34.10 moles/s) are substantially larger than the top-down estimate (1.97 (0.8, 2.96) moles/s). In contrast, for Leeds (3.70 moles/s), Norwich (1.0 moles/s and Belfast (1.6 moles) (3.5 moles/s) and Glasgow (5.5 moles), the NAEI

substantially underestimates the top-down emissions of 5.750 (3.76, 7.65) moles/s, 2.4 (1.3, 3.4) moles/s and 93.4 (25.18, 4.813.8) moles/s, respectively. For several of the top down For the estimates under 10.0 moles/s, the NO2 effective lifetime, we find it enames between 2.91.75 and 37.9.5 hours hours, which is enames are at the lower range of expected consistent with values in the literature values (e.g. Schaub et al., 2007; Pope et al., 2015), which in turn may yield positively skewed top down estimates (e.g. Leeds and Glasgow). For all cities in Figure 56 there is a strong correlation (0.99) between the NAEI and top-down emission sources investigated here, but the NAEI has a bias of -4.18 moles/s (-37.4%) low bias of 2.95 moles/s (28.3%) on average, dominated by the larger sources (i.e. London, Manchester and Birmingham). These metrics were calculated in linear space.

## 3.4 Comparison of GEOS-Chem and Observation NO2

As the input emissions for GEOS Chem come from the NAEI (2016 NAEI emissions scaled to 2019), any inconsistencies between simulated and observed NO<sub>2</sub> potentially indicates discrepancies in the underlying emissions. Such emission discrepancies, inferred by the model, and consistent with top down — NAEI NO<sub>x</sub> emission differences would help act as a verification of the top down emissions. **Figure 6** represents comparisons between GEOS-Chem and TROPOMI TCNO<sub>2</sub> between January and June 2019. In the case of London, there is a clear model underestimation of over 3.0×10<sup>-5</sup> moles/m² and the green polygon-outlined region shows where the absolute bias lies outside the satellite uncertainty range. A similar substantial negative bias (-2.0 to -1.0×10<sup>-5</sup> moles/m²) is found over Manchester. This suggests that the model, driven by the NAEI, substantially underestimates TROPOMI and that therefore the input emissions may be too low. For Birmingham, the GEOS-Chem-TROPOMI TCNO<sub>2</sub> biases are smaller peaking at -0.25×10<sup>-5</sup> moles/m².

However, over some regions comparisons with TROPOMI show model positive biases ( $^{\sim}1.0-2.0\times10^{-5}$  moles/m²). Investigation of January only shows that modelled TCNO<sub>2</sub> is substantially larger than TROPOMI across most of the central and north-eastern England. This is potentially suggestive of issues in the model's representation of the winter time boundary layer where too much NO<sub>2</sub> is trapped (not shown here). When January is removed from the 2019 average, a larger negative GEOS-Chem-TROPOMI TCNO<sub>2</sub> bias is produced over Birmingham ( $^{-}0.5\times10^{-5}$  moles/m²). Therefore, this again suggests that the NAEI NO<sub>\*</sub> emissions may be too low when compared to the top-down estimate here.

We also compared GEOS-Chem with surface AURN NO<sub>2</sub> data from sites across the UK and subsampled to 13:00 LST each day to match the TROPOMI overpass and model output times, between January and June 2019. On average, there is a UK negative model AURN bias of 9.96 μg/m³. A substantial proportion of the bias will be the comparison of area weighted model surface NO<sub>2</sub> against point measurement NO<sub>2</sub> observations. Here, the model horizontal resolution is too coarse to adequately represent smaller NO<sub>2</sub> sources (i.e. roads and point industry sources), while the AURN point measurements will be heavily influenced by higher resolution sources (Savage et al., 2013). AURN NO<sub>2</sub> measurements also use the chemiluminescence technique with molybdenum converters, which may overestimate true NO<sub>2</sub> concentrations and thus further compound the model negative bias (Savage et al., 2013 and references therein). When we compare the model against AURN for London, Birmingham and Manchester (averaging AURN sites within the city domains listed in **Table 1**) we find model biases of -15.3 μg/m³, -8.1 μg/m³ and 19.0 μg/m³, respectively. Unfortunately, all the

other cities in **Figure 5** are limited to one AURN site at most. Therefore, one site is unlikely to be representative of city scale NO<sub>2</sub>-level and thus our analysis is limited to these three large cities. Overall, the model AURN NO<sub>2</sub>-negative biases at London and Manchester are larger than the UK average negative bias, and support our hypothesis that the NAEI NO<sub>4</sub> emissions are underestimated for London and Manchester. The model negative bias at Birmingham is of similar size to the UK average and thus suggestive that the NAEI NO<sub>4</sub> emissions over Birmingham are more reasonable.

### 4. Conclusions

We have evaluated relationships between satellite observations (TROPOspheric Monitoring Instrument, TROPOMI) of tropospheric column nitrogen dioxide (TCNO<sub>2</sub>) and the UK National Atmospheric Emissions Inventory (NAEI) for nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>). Although they are different quantities, the short NO<sub>2</sub> lifetime means that our comparison can serve as a useful and important tool to evaluate bottom-up emissions. Here, spatial comparison of the TROPOMI TCNO<sub>2</sub> with the NAEI highlights consistency over the source regions with co-located peak values in the respective data sets. Correlation analysis of TCNO<sub>2</sub> and NO<sub>x</sub> emissions over the UK cities indicates moderate spatial agreement with R ranging between 0.4 and 0.6 (significant at the >90% confidence level). Analysis of long-term satellite records of TCNO<sub>2</sub> (from the Ozone Monitoring Instrument (OMI), 2005-2015) show comparable negative trends with the NAEI NO<sub>x</sub> emissions with rates of -2.2%/yr and -4.4%/yr, respectively. Though the relative NAEI trend is larger than OMI, meteorological conditions and photochemistry will control the atmospheric response to a change in NO<sub>x</sub> emissions, as seen by OMI. It is also possible that the NAEI overestimates the decreasing NO<sub>x</sub> emissions trend.

 We have also used TROPOMI data to derive top-down city-scale estimates of UK NO<sub>x</sub> emissions. While it can still be challenging to derive emissions from moderately city scale sized sources (e.g. e.g. frequent cloud cover in the UK cities such as Bristol and Cardiff), we estimate top-down emissions fluxes (using satellite data between February 2018 and January 2020) for several larger sources cities. Most of the city sources show reasonable agreement, but for larger sources like (i.e. London, and Manchester and Birmingham), the top-down and find emission values are substantially larger than those in the NAEI for 2019. (i.e. 2016 emissions scaled to 2019). When these NAEI emissions are used to drive the GEOS-Chem atmospheric chemistry model, we find substantial negative biases between the model and satellite/surface observations for London and Manchester. Therefore, this provides further evidence that the NAEI emissions for London and Manchester may be underestimated. Other sources, with lower emission rates (e.g. below 10.0 moles/s), tend to be in reasonable agreement between both datasets (i.e. NAEI emission rate is within the top down emission uncertainty range).

Overall, as far as we are aware, this study represents the first <u>robust</u> attempt to use satellite observations of  $TCNO_2$  to evaluate and constrain the official UK bottom-up NAEI. We find spatial and temporal agreement between the two quantities, but find evidence that the NAEI  $NO_x$  emissions for larger sources (e.g. London) may be too low (i.e. <u>by</u> >25%) sitting outside the top-down emission uncertainty ranges (i.e. based on the satellite retrieval errors). To fully

understand the discrepancies and the drivers of these NO<sub>x</sub> emissions differences, further investigation is required.

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## **Data Availability**

- TROPOMI and OMI tropospheric column NO<sub>2</sub> data comes from the Tropospheric Emissions
- Monitoring Internet Service (TEMIS, <a href="https://www.temis.nl/airpollution/no2.php">https://www.temis.nl/airpollution/no2.php</a>). The
- 568 bottom-up NO<sub>x</sub> emissions come from the National Atmospheric Emissions Inventory
- (https://naei.beis.gov.uk/data/data-selector?view=air-pollutants) and the point and area
- sources can be obtained from <a href="https://naei.beis.gov.uk/data/map-uk-">https://naei.beis.gov.uk/data/map-uk-</a>
- 571 <u>das?pollutant\_id=6&emiss\_maps\_submit=naei-20210325121854.</u> The specific UK total NO<sub>x</sub>
- 572 emissions came from https://naei.beis.gov.uk/data/data-selector-results?q=142818.
- 573 Meteorological wind, temperature and boundary layer height data came from ECMWF
- 574 (https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-
- 575 levels?tab=overview). Meteorological wind data came from ECMWF
- 576 (https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis\_era5\_pressure\_
- 577 <u>levels?tab=overviewhttps://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis\_era5</u>
- 578 <u>pressure levels?tab=overview</u>). <u>CAMS NO<sub>2</sub> data was retrieved form</u>
- 579 <a href="https://ads.atmosphere.copernicus.eu/cdsapp#!/dataset/cams-global-reanalysis-">https://ads.atmosphere.copernicus.eu/cdsapp#!/dataset/cams-global-reanalysis-</a>
- 580 <u>eac4?tab=form.</u>The AURN data was obtained from <a href="https://uk-page-14">https://uk-page-14</a>
- air.defra.gov.uk/networks/network-info?view=aurn.

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#### **Author contribution**

RJP undertook the research looking at the spatial maps and long-term trends. RJP, RK, CW and AMG worked on the satellite top-down city-scale NO<sub>x</sub> emission estimates. EAM provided the GEOS Chem simulations. RJP prepared the manuscript with contributions from all co-authors.

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## **Competing interests**

The authors declare that they have no conflict of interest.

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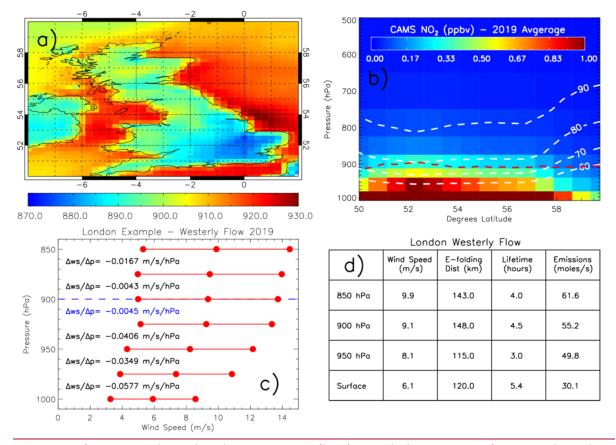
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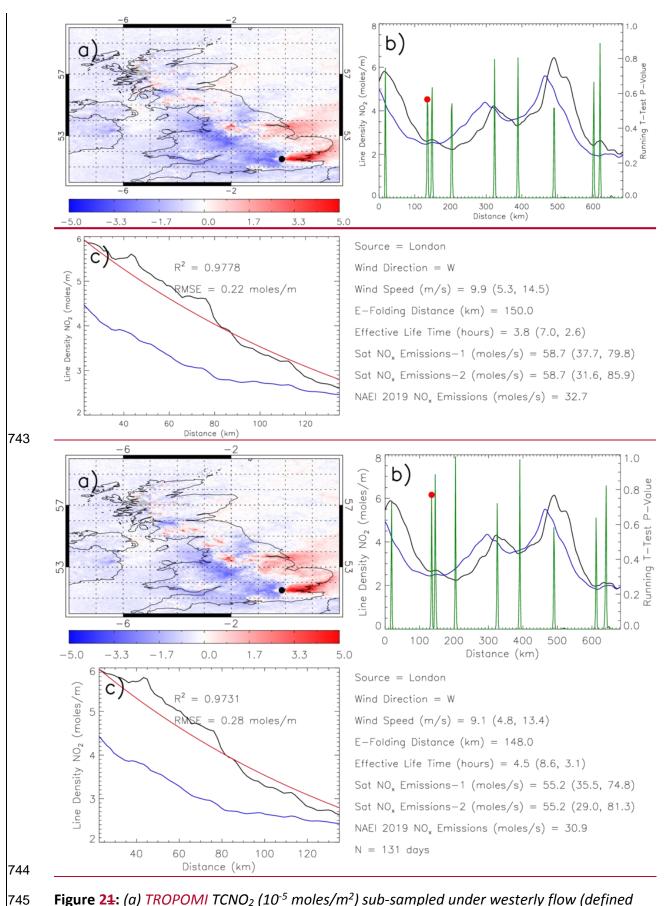
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728 Figures

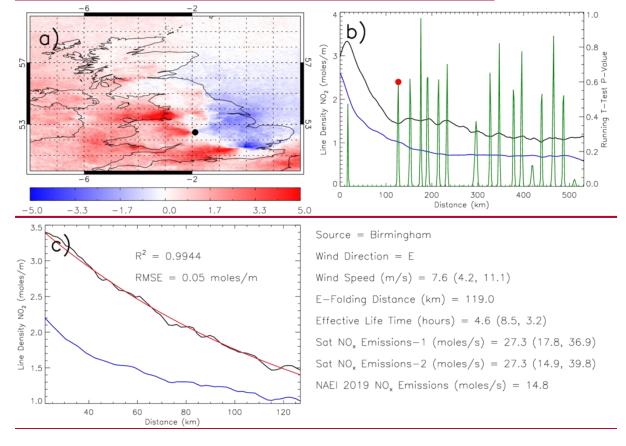


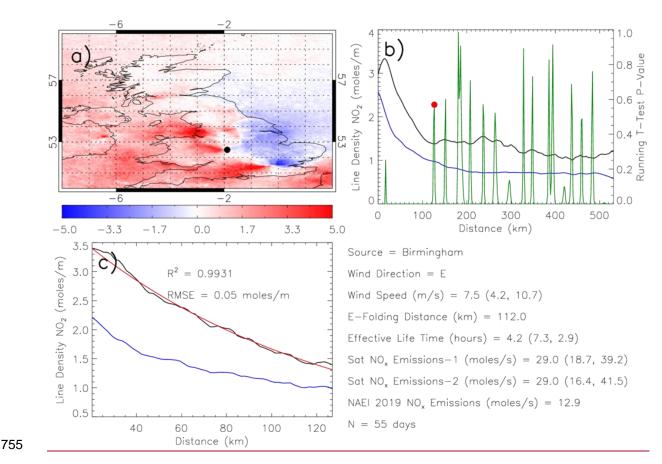
**Figure 1:** a) ERA-5 UK boundary layer pressure (hPa) sampled at 13.00 LT (to coincide with the TROPOMI overpass time) and averaged for 2019. b) CAMS reanalysis zonal (8.0°W-2.0°E) average latitude-pressure NO<sub>2</sub> (ppbv) cross-section over the UK between the surface and 500 hPa. White dashed lines represent the percentage of the surface-500 hPa NO<sub>2</sub> loading between the surface and the respective pressure levels. The red dashed line represents the zonal average boundary layer pressure (hPa). c) Average (surface to pressure level) wind speed (m/s),  $\pm$  the standard deviation, profile over London under westerly flow (determined from the ERA-5 u-wind and v-wind components at each pressure level).  $\Delta$ ws/ $\Delta$ p is the wind speed gradient between pressure levels. The blue text indicates the first small step change in the gradient indicative of reduced flow turbulence and a suitable surface-altitude range to average the winds speeds over. d) The table shows the impact to the NO<sub>x</sub> emission parameters when using different altitudes over which to average the wind speeds.



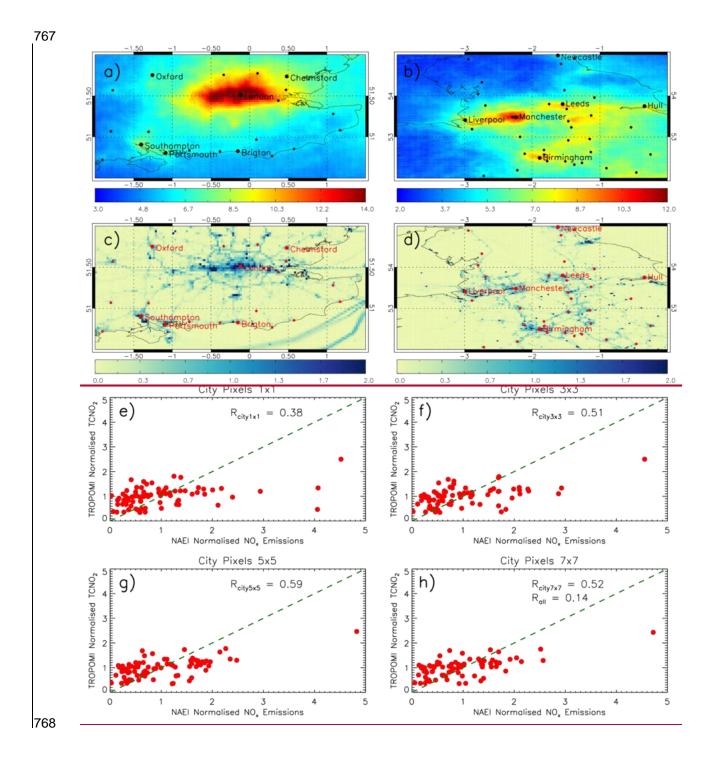
**Figure 21:** (a) <u>TROPOMI</u>  $TCNO_2$  ( $10^{-5}$  moles/ $m^2$ ) sub-sampled under westerly flow (defined over London, black dot) minus the long-term average <u>(February 2018 to January 2020)</u>. (b)

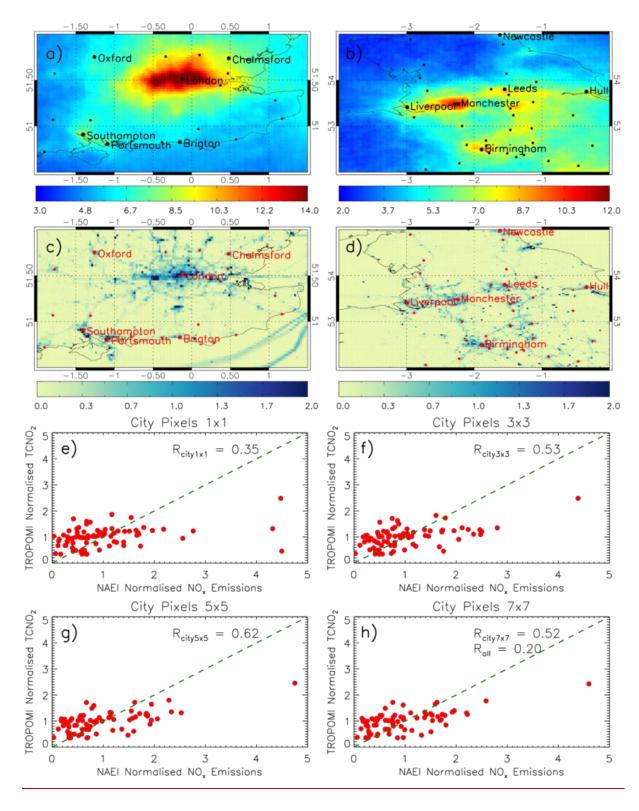
Downwind  $NO_2$  LD from London (black = westerly flow, blue = all-flow average) with the corresponding running t-test p-value (green line). The red dot represents the location of background level determined by the turning point in the running t-test p-value time series. (c) The westerly flow and all-flow  $NO_2$  LD between peak westerly flow  $NO_2$  LD and the background value. The red line represents the e-folding distance fit with the corresponding  $R^2$  and root mean square error (RMSE) between the westerly flow  $NO_2$  LD and fit profile. Nepresents the number of days classified under westerly flow over London.





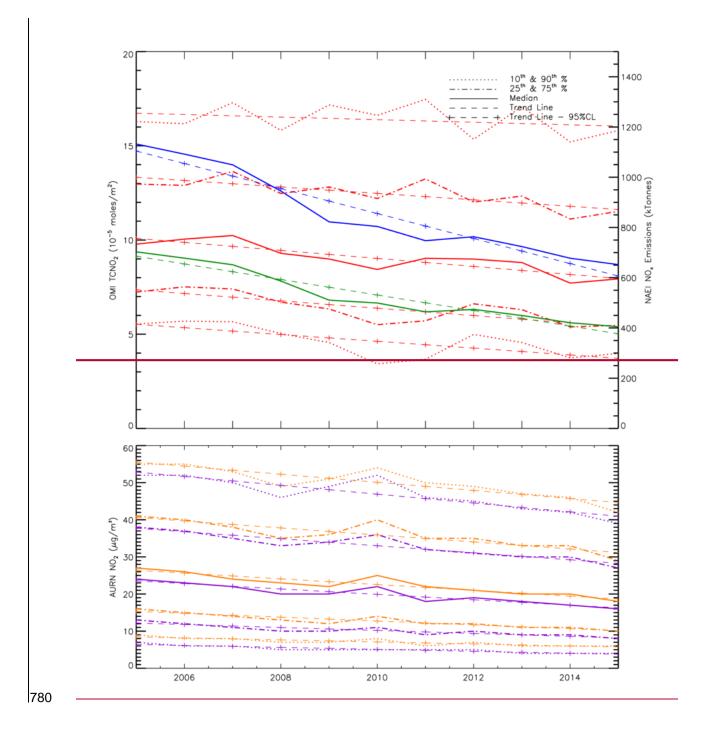
**Figure 32**: (a) <u>TROPOMI</u>  $TCNO_2$  ( $10^{-5}$  moles/ $m^2$ ) sub-sampled under easterly flow (defined over Birmingham, black dot) minus the long-term average <u>(February 2018 to January 2020)</u>. (b) Downwind  $NO_2$  LD from Birmingham (black = easterly flow, blue = all-flow average) with the corresponding running t-test p-value (green line). The red dot represents the location of background level determined by the turning point in the running t-test p-value time series. (c) The easterly flow and all-flow  $NO_2$  LD between peak easterly flow  $NO_2$  LD and the background value. The red line represents the e-folding distance fit with the corresponding  $R^2$  and root mean square error (RMSE) between the easterly flow  $NO_2$  LD and fit profiles. N represents the number of days classified under easterly flow over Birmingham.

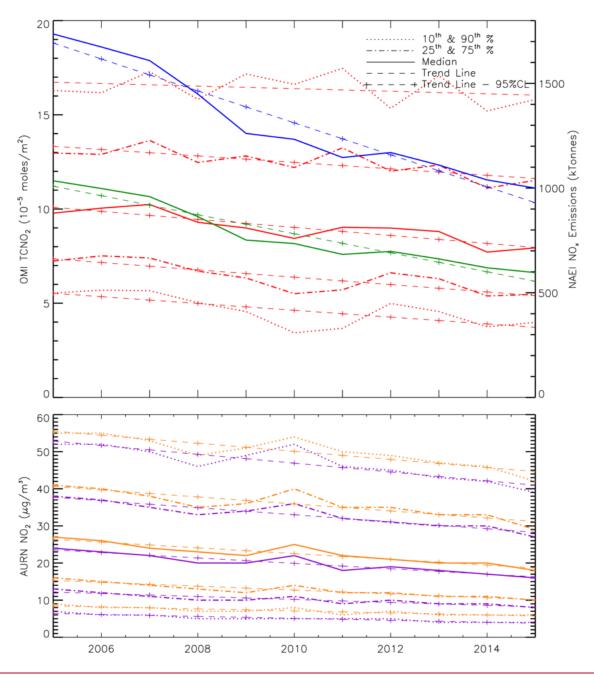




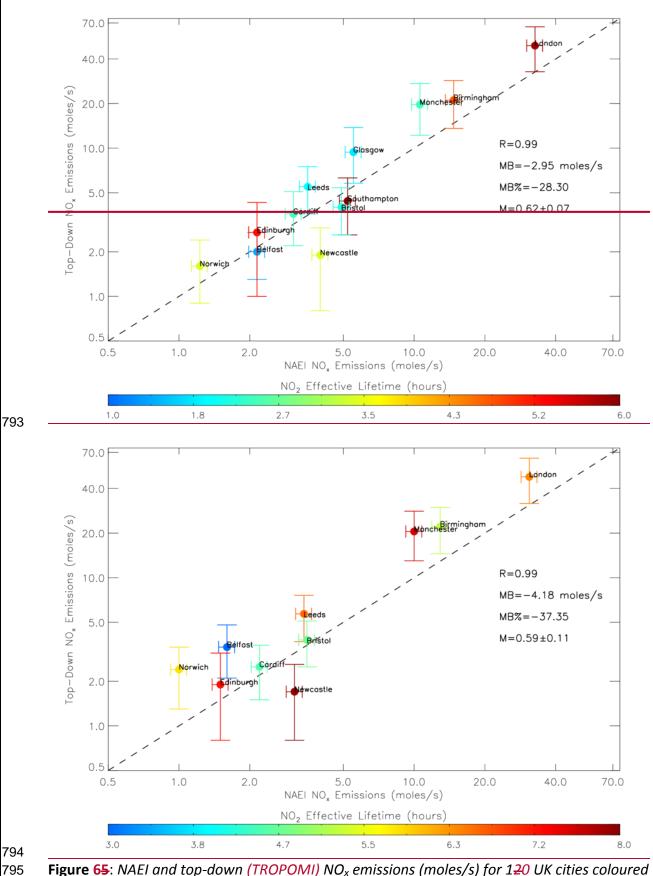
**Figure 43**: TROPOMI TCNO<sub>2</sub> (×10<sup>-5</sup> moles/m²) average for February 2018 to January 2020 across (a) south-eastern and (b) northern England. Black circles represent city locations. NAEI NO<sub>x</sub> emissions ( $\mu$ g/m²/s) for 20169 across (c) south-eastern and (d) northern England. Red circles represent city locations. Panels (e)-(h) represent the correlation of normalised TCNO<sub>2</sub> and NO<sub>x</sub> emissions for UK cities. The green dashed line is the 1:1 line. Each source is normalised by the average of all the sources. The four panels also represent city means using

varying pixel ranges around the source (i.e.  $1\times1$ ,  $3\times3$ ,  $5\times5$  and  $7\times7$  grid pixels). The correlations between the city-scale normalised NO<sub>x</sub> emissions and TCNO<sub>2</sub> are shown (R).



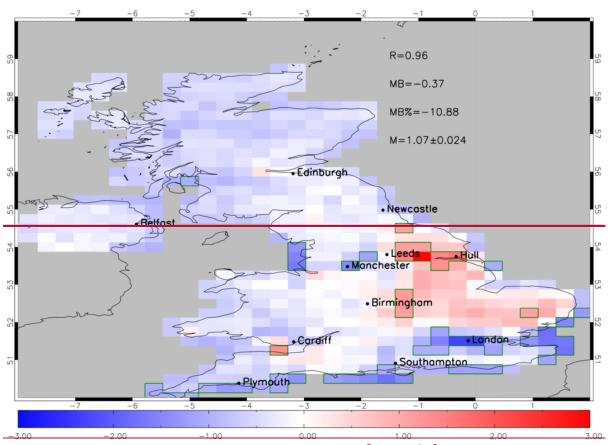


**Figure 54:** The top panel shows time series (2005 to 2015) in OMI TCNO<sub>2</sub> ( $\times$ 10<sup>-5</sup> moles/ $m^2$ ) and NAEI NO<sub>x</sub> emission totals (kt or Gq). OMI median, 10<sup>th</sup> & 90<sup>th</sup> and 25<sup>th</sup> & 75<sup>th</sup> percentiles are represented by solid, dotted and dot-dashed lines, respectively. NAEI NO<sub>x</sub> emission totals for the UK and England are represented by the blue and green solid lines. Here, the OMI TCNO<sub>2</sub> has been averaged over England (defined as 3°W-2°E, 50-54°N) and while the UK NO<sub>x</sub> emission totals are directly reported by the NAEI, the England NO<sub>x</sub> emission totals have been summed over the emissions maps for the same England definition used for OMI (see Section 3.2 for more information). In the bottom panel, AURN surface NO<sub>2</sub> ( $\mu$ g/m³) trends-times series are shown for the UK (purple) and England (orange).-Trends lines are shown by dashed and dash-crossed lines for insignificant and significant trends (at the 95% confidence level).



**Figure <u>65</u>**: NAEI and top-down <u>(TROPOMI)</u>  $NO_x$  emissions (moles/s) for  $1\underline{20}$  UK cities coloured by the  $NO_2$  effective lifetime (hours). <u>Where there is more than one top-down estimate for a city from multiple wind directions, the corresponding emission rates and lifetimes have been</u>

<u>averaged together.</u> The correlation (R), mean bias (MB, moles/s), percentage mean bias (MB%) and linear fit (M) are also shown. NAEI uncertainty is  $\pm 7.8\%$  (DEFRA, 2018b) and the top-down uncertainty range is based on satellite errors (i.e. Sat Emissions-1, see text). The black dashed line represents the 1:1 relationship and both axes are on log scales.



**Figure 6:** GEOS-Chem minus TROPOMI mean TCNO $_2$  (10<sup>-5</sup> moles/m<sup>2</sup>) for January to June 2019. The green polygon outlined regions represent where the absolute model satellite bias is greater than the satellite error (i.e. |mod-sat| > sat error) and the absolute bias (|mod-sat|) is greater than  $1.0 \times 10^{-5}$  moles/m<sup>2</sup> (i.e. where biases are the same order of magnitude as the mean TCNO $_2$  state). The domain correlation (R), mean bias (MB), percentage mean bias (MB%) and linear fit (M) are shown.

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**Table 1:** List of top-down  $NO_x$  (moles/s) emission estimates for UK city sources under different wind directions. Sat NOx Emissions-1 represents the emission flux estimated using the TROPOMI  $NO_2$  ± the retrieval uncertainty, while Sat NOx Emissions-2 is based on the lifetime derived from the wind speed data ± 1.0 sigma standard deviation.

, ,				
Source Name	London	London	London	Birmingham
<u>Longitude</u>	<u>-0.13</u>	<u>-0.13</u>	<u>-0.13</u>	<u>-1.89</u>
<u>Latitude</u>	<u>51.51</u>	<u>51.51</u>	<u>51.51</u>	<u>52.50</u>
Lon Edge - West	<u>-0.52</u>	<u>-0.52</u>	<u>-0.52</u>	<u>-2.18</u>
<u>Lon Edge - East</u>	0.28	0.28	0.28	<u>-1.72</u>
<u> Lat Edge - South</u>	<u>51.32</u>	<u>51.32</u>	<u>51.32</u>	<u>52.35</u>
<u> Lat Edge - North</u>	<u>51.69</u>	<u>51.69</u>	<u>51.69</u>	<u>52.66</u>
Wind Speed Average (m/s)	<u>9.10</u>	7.00	<u>7.50</u>	<u>7.50</u>
Wind Speed Standard Deviation (m/s)	<u>4.30</u>	<u>3.20</u>	<u>3.10</u>	<u>3.20</u>
Wind Direction	W	<u>N</u>	<u>E</u>	<u>E</u>
E-Folding Distance (km)	148.00	<u>189.00</u>	<u>195.00</u>	<u>112.00</u>
<u>Life Time (hr)</u>	<u>4.50</u>	<u>7.50</u>	<u>7.20</u>	4.20
<u>Life Time- Lower Wind (hr)</u>	<u>8.60</u>	<u>13.80</u>	<u>12.40</u>	<u>7.30</u>
<u>Life Time- Upper Wind (hr)</u>	<u>3.10</u>	<u>5.20</u>	<u>5.10</u>	<u>2.90</u>
Satellite Emission Rate (moles/s)	<u>55.20</u>	<u>55.90</u>	<u>32.50</u>	<u>29.00</u>
Sat NOx Emissions-1 - Lower (moles/s)	<u>35.50</u>	<u>37.40</u>	<u>22.10</u>	<u>18.70</u>
Sat NOx Emissions-1 - Upper (moles/s)	<u>74.80</u>	<u>74.30</u>	<u>42.80</u>	<u>39.20</u>
Sat NOx Emissions-2 - Lower (moles/s)	<u>29.00</u>	<u>30.50</u>	<u>18.80</u>	<u>16.40</u>
Sat NOx Emissions-2 - Upper (moles/s)	<u>81.30</u>	<u>81.20</u>	<u>46.10</u>	<u>41.50</u>
NAEI Emission Rate (moles/s)	30.90	30.90	30.90	12.90

**Source Name** <u>Birmingham</u> Birmingham Newcastle Manchester -2.25 Longitude -1.89 -1.89 -1.62 **Latitude** 52.50 53.50 <u>52.50</u> 54.98 Lon Edge - West -2.18 -2.18 -1.73 -2.47 Lon Edge - East -1.72 -1.72 -1.40 -2.01 Lat Edge - South 52.35 52.35 54.92 53.37 52.66 **Lat Edge - North** 52.66 55.02 53.60 Wind Speed Average (m/s) 5.80 9.10 10.50 5.60 Wind Speed Standard Deviation (m/s) 4.70 4.30 2.50 2.60 **Wind Direction** Ν <u>S</u> W Ν **E-Folding Distance (km)** 184.00 91.00 297.00 152.00 Life Time (hr) 8.70 2.80 7.90 7.50 **Life Time- Lower Wind (hr)** 15.80 5.80 13.60 13.60 Life Time- Upper Wind (hr) 6.00 1.80 5.60 5.20 Satellite Emission Rate (moles/s) 12.20 25.20 1.70 20.5 Sat NOx Emissions-1 - Lower (moles/s) 8.10 16.70 0.80 13.00

Sat NOx Emissions-1 - Upper (moles/s)	<u>16.40</u>	33.70	2.60	<u>28.10</u>
Sat NOx Emissions-2 - Lower (moles/s)	<u>6.80</u>	<u>12.20</u>	<u>1.00</u>	<u>11.30</u>
Sat NOx Emissions-2 - Upper (moles/s)	<u>17.70</u>	<u>38.20</u>	<u>2.40</u>	<u>29.80</u>
NAEI Emission Rate (moles/s)	12.90	<u>12.90</u>	<u>3.10</u>	<u>10.00</u>

Source Name	Belfast	Edinburgh	Norwich	Cardiff
<u>Longitude</u>	-5.93	-3.19	1.29	-3.18
<u>Latitude</u>	<u>54.61</u>	<u>55.96</u>	<u>52.63</u>	<u>51.49</u>
<u> Lon Edge - West</u>	<u>-6.00</u>	<u>-3.32</u>	<u>1.20</u>	<u>-3.36</u>
Lon Edge - East	<u>-5.84</u>	<u>-3.10</u>	<u>1.38</u>	<u>-3.10</u>
<u> Lat Edge - South</u>	<u>54.55</u>	<u>55.89</u>	<u>52.60</u>	<u>51.45</u>
<u> Lat Edge - North</u>	54.70	<u>55.98</u>	52.69	<u>51.55</u>
Wind Speed Average (m/s)	<u>8.30</u>	<u>10.10</u>	<u>10.30</u>	<u>5.30</u>
Wind Speed Standard Deviation (m/s)	4.10	4.20	<u>4.8</u>	<u>2.50</u>
Wind Direction	<u>E</u>	<u>W</u>	<u>W</u>	<u>N</u>
E-Folding Distance (km)	87.00	<u>262.00</u>	214.00	<u>86.00</u>
<u>Life Time (hr)</u>	<u>2.90</u>	<u>7.20</u>	<u>5.80</u>	<u>4.50</u>
<u>Life Time- Lower Wind (hr)</u>	<u>5.80</u>	<u>12.20</u>	<u>11.00</u>	<u>8.60</u>
<u>Life Time- Upper Wind (hr)</u>	<u>1.90</u>	<u>5.10</u>	<u>3.90</u>	<u>3.00</u>
Satellite Emission Rate (moles/s)	<u>3.40</u>	<u>1.90</u>	<u>2.40</u>	<u>2.50</u>
Sat NOx Emissions-1 - Lower (moles/s)	<u>2.10</u>	<u>0.80</u>	<u>1.30</u>	<u>1.50</u>
Sat NOx Emissions-1 - Upper (moles/s)	<u>4.80</u>	<u>3.10</u>	<u>3.40</u>	<u>3.50</u>
Sat NOx Emissions-2 - Lower (moles/s)	<u>1.70</u>	<u>1.10</u>	<u>1.20</u>	<u>1.30</u>
Sat NOx Emissions-2 - Upper (moles/s)	<u>5.20</u>	<u>2.70</u>	<u>3.50</u>	<u>3.70</u>
NAEI Emission Rate (moles/s)	<u>1.60</u>	<u>1.50</u>	1.00	2.20

<u>Leeds</u>	<u>Bristol</u>
<u>-1.55</u>	<u>-2.59</u>
<u>53.80</u>	<u>51.46</u>
<u>-1.69</u>	<u>-2.74</u>
<u>-1.44</u>	<u>-2.47</u>
<u>53.74</u>	<u>51.40</u>
<u>53.86</u>	<u>51.55</u>
<u>8.70</u>	<u>7.20</u>
<u>4.50</u>	<u>3.40</u>
<u>S</u>	<u>E</u>
<u>207.00</u>	<u>123.00</u>
<u>6.60</u>	<u>4.70</u>
<u>13.90</u>	<u>8.90</u>
<u>4.30</u>	<u>3.20</u>
<u>5.70</u>	<u>3.80</u>
<u>3.70</u>	<u>2.50</u>
<u>7.60</u>	<u>5.10</u>
<u>2.70</u>	<u>2.00</u>
<u>8.60</u>	<u>5.50</u>
3.40	3.50
	-1.55 53.80 -1.69 -1.44 53.74 53.86 8.70 4.50 § 207.00 6.60 13.90 4.30 5.70 3.70 7.60 2.70 8.60