



1     **The role of anthropogenic aerosols in the anomalous cooling**  
2             **from 1960 to 1990 in the CMIP6 Earth System Models**

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11     **Abstract** The Earth System Models (ESMs) that participated in the 6<sup>th</sup> Coupled  
12     Model Intercomparison Project (CMIP6) tend to simulate excessive cooling in surface  
13     air temperature (TAS) between 1960 and 1990. The anomalous cooling is pronounced  
14     over the Northern Hemisphere (NH) midlatitudes, coinciding with the rapid growth of  
15     anthropogenic sulfur dioxide (SO<sub>2</sub>) emissions, the primary precursor of atmospheric  
16     sulphate aerosols. Historical simulations with and without anthropogenic aerosol  
17     emissions indicate that the anomalous cooling in the ESMs is partly due to  
18     overestimating anthropogenic aerosols and aerosol-forcing sensitivity. Structural  
19     uncertainties between ESMs that contribute to these two factors have a larger impact  
20     on the anomalous cooling than internal variability. CMIP6 simulations can also help  
21     us to quantify the relative contributions of aerosol-forcing-sensitivity by  
22     aerosol-radiation interactions (ARI) and by aerosol-cloud interactions (ACI).  
23     However, even when the aerosol-forcing-sensitivity is similar between ESMs, the  
24     relative contributions of ARI and ACI may be substantially different. The ACI  
25     accounts for 64 to 87% of the aerosol-forcing-sensitivity and is the main source of  
26     differences between the ESMs. The ACI can be further decomposed into a  
27     cloud-amount term (which depends linearly on cloud fraction) and a cloud-albedo  
28     term (which is independent of cloud fraction, to the first order). The large  
29     uncertainties of cloud-amount term are responsible for the aerosol-forcing-sensitivity  
30     differences and further the anomalous cooling differences among ESMs. The metrics



31 used here therefore provide a simple way of assessing the physical mechanisms  
32 contributing to anomalous twentieth century cooling in any given ESM, which may  
33 benefit future model developments.

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### 35 **1. Introduction**

36 Surface air temperature (TAS) variation is an essential indicator of climate  
37 change, and reproducing the evolution of historical TAS is a crucial criterion for model  
38 evaluation. However, the historical TAS anomaly simulated by the models in the 6<sup>th</sup>  
39 Coupled Model Intercomparison Project (CMIP6) is on average colder than that  
40 observed in the mid-twentieth century, whereas the CMIP5 models tracked the  
41 instrumental TAS variation quite well (Flynn and Mauritsen, 2020). This is surprising  
42 because the transient climate response in CMIP6 models is generally higher than in  
43 CMIP5 models (e.g., Flynn and Mauritsen, 2020; Meehl et al., 2020).

44 As a result of anthropogenic emissions, atmospheric aerosol concentrations  
45 increased along with rising greenhouse gases, but with greater decadal variability.  
46 Aerosols increased rapidly in the mid-twentieth century, predominantly due to US and  
47 European emissions. There has been little change in the global total emissions since  
48 1980, but there has been a shift in emission source regions. European and US  
49 emissions have declined following the introduction of clean air legislation, while  
50 Asian emissions have risen due to economic development. Although greenhouse  
51 warming was concluded to be the dominant forcing for long-term changes (e.g.,  
52 Weart, 2008; Bindoff et al., 2013), multidecadal variability in TAS and the reduced  
53 rate of warming in the mid-twentieth century in particular, has been attributed to  
54 aerosol forcing (e.g. Wilcox et al., 2013). Ramanathan and Feng (2009) noted that the  
55 aerosol cooling effect might have masked as much as 47% of the global warming by  
56 greenhouse gases in the year 2005, with an uncertainty range of 20~80%. The aerosol  
57 cooling effect is mainly attributed to the ability of sulphate particles to reflect  
58 incoming solar radiation and modify the microphysical properties of clouds (e.g.,  
59 Charlson et al., 1990; Mitchell et al., 1995; Lohmann and Feichter, 2005). The



60 increase in anthropogenic aerosols was also responsible for weakening the  
61 hydrological cycle between the 1950s and the 1980s (Wu et al., 2013).

62 There have been efforts to study the anomalous mid-twentieth century cooling in  
63 the CMIP6 models. Flynn and Mauritsen (2020) suggested that aerosol cooling is too  
64 strong in many CMIP6 models because there is no apparent relationship between the  
65 warming trends simulated by models and their transient climate responses (TCRs)  
66 before the 1970s. The warming trend is larger than observed post-1970 in CMIP6  
67 models, offsetting the pre-1970s cooling. Dittus et al. (2020) found that historical  
68 simulations can better capture the observed historical record by reducing the aerosol  
69 emissions in HadGEM3-GC3.1, demonstrating an overly strong aerosol cooling  
70 effect. They showed that simulations with large anthropogenic aerosol emissions had  
71 greater cooling trends between 1951 and 1980, which were significantly different to  
72 the observed trend, while simulations with smaller aerosol forcing were more  
73 consistent with observations.

74 In this study we characterize the mid-twentieth century excessive cooling in  
75 CMIP6 ESMs. In order to quantify the role of aerosol processes in this anomalous  
76 cooling, historical experiments with and without anthropogenic aerosol emissions are  
77 employed. The remainder of the paper is organized as follows. Section 2 introduces the  
78 models, data, and a quantitative method to separate the aerosol forcing components.  
79 The major features of anomalous cooling in CMIP6 ESMs are examined in section 3.  
80 Section 4 investigates the possible reasons for the anomalous cooling. The relative  
81 importance of aerosol-radiation interactions and aerosol-cloud interactions is  
82 quantified and discussed in section 5. Conclusion is given in Section 6.

83

## 84 **2. Model, data, and method**

### 85 **2.1 CMIP6 ESMs**

86 CMIP6 includes an unprecedented number of models with representations of  
87 aerosol-cloud interactions. Many also have interactive tropospheric chemistry and



88 aerosol schemes. Six such ESMs are employed in this study: BCC-ESM1 (Wu et al.,  
89 2020; Zhang et al., 2021), EC-Earth-AerChem (Noije et al., 2020), GFDL-ESM4  
90 (Dunne et al., 2020), MPI-ESM-1-2-HAM (Mauritsen et al., 2019), NorESM2-LM  
91 (Seland et al., 2020), and UKESM1-0-LL (Sellar et al., 2019). The surface air  
92 temperature simulated in corresponding models with lower-complexity are also  
93 examined: BCC-CSM2-MR (Wu et al., 2019b), EC-Earth3 (Döscher et al., 2021), and  
94 MPI-ESM1-2-LR (Mauritsen et al., 2019) with prescribed tropospheric chemistry and  
95 aerosol; GFDL-CM4 (Held et al., 2019), NorCPM1 (Bethke et al., 2019), and  
96 HadGEM3-GC31-LL (Williams et al., 2017) with prescribed tropospheric chemistry  
97 and interactive aerosol scheme. BCC-CSM2-MR, EC-Earth3, and MPI-ESM1-2-LR  
98 prescribe the anthropogenic aerosol forcings using the MACv2-SP parameterization  
99 (Stevens et al., 2017). MACv2-SP approximates the observationally constrained spatial  
100 distributions of the monthly mean anthropogenic aerosol optical properties and an  
101 associated Twomey effect. Except for BCC models, the horizontal resolutions of the  
102 ESMs are the same as the corresponding lower-complexity models. A brief summary of  
103 the ESMs and the lower-complexity models is introduced in Table 1.



104 **Table 1.** Information of the ESMs with interactive chemistry and aerosol scheme, as  
 105 well as the corresponding lower-complexity models.

Modeling group	ESM (Atmospheric Resolution)	Lower-complexity models (Atmospheric Resolution)	Prescribed tropospheric chemistry	Prescribed aerosol	Number of members	References
Beijing Climate Center (BCC)	<b>BCC-ESM1:</b> the BCC Earth System Model version 1 (T42, 26 layers to 2.914 hPa)	<b>BCC-CSM2-MR:</b> the median resolution BCC Climate System Model version 2 (T106, 46 layers to 1.459 hPa)	Y	Y	3	Wu et al.(2019b, 2020); Zhang et al. (2021)
European consortium of meteorological services, research institutes, and high-performance computing centres	<b>EC-Earth-AerChem:</b> the EC-Earth configuration with interactive aerosols and atmospheric chemistry (T255, 91 layers to 0.01 hPa)	<b>EC-Earth3:</b> the EC-Earth version 3 (T255, 91 layers to 0.01 hPa)	Y	Y	1	Noije et al. (2020); Döscher et al. (2021)
US Department of Commerce/NOAA / Geophysical Fluid Dynamics Laboratory (GFDL)	<b>GFDL-ESM4:</b> the GFDL Earth System Model version 4 (C96, 49 layers to 1 hPa)	<b>GFDL-CM4:</b> the GFDL Climate Model version 4 (C96, 33 layers to 1 hPa)	Y	N	1	Dunne et al. (2020); Held et al. (2019)
Max Planck Institute for Meteorology (MPI)	<b>MPI-ESM-1-2-HAM:</b> the HAMMOZ-Consortium of MPI Earth System Model (T63, 47 layers to 0.01 hPa)	<b>MPI-ESM1-2-LR:</b> the lower-resolution version of MPI Earth System Model (T63, 47 layers to 0.01 hPa)	Y	Y	3	Mauritsen et al. (2019);
Norwegian Climate Center (NCC)	<b>NorESM2-LM:</b> the lower-resolution of Norwegian ESM version 2 (About 2°, 32 layers to 2 hPa)	<b>NorCPM1:</b> the Norwegian Climate Prediction Model version 1 (About 2°, 26 layers to 3 hPa)	Y	N	3	Seland et al. (2020); Bethke et al. (2019)
Met Office's Hadley Centre for Climate Prediction and Research (MOHC)	<b>UKESM1-0-LL:</b> U.K. Earth System Model version 1 (N96, 85 layers to 85 km)	<b>HadGEM3-GC31-LL:</b> the Hadley Centre Global Environment Model in the Global Coupled configuration 3.1 (N96, 85 layers to 85km)	Y	N	3	Sellar et al. (2019); Williams et al. (2017)



106 **2.2 Data**

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**Table 2** Variables used in this study.

<b>Variable name</b>	<b>CMIP6 diagnostic label</b>	<b>Description</b>	<b>Units</b>
TAS	tas	Surface air temperature	°C
OSR	rsut	All-sky outgoing shortwave radiation at the top of atmosphere (TOA)	W m <sup>-2</sup>
OSRclr	rsutcs	OSR assuming clear sky	W m <sup>-2</sup>
mnrso4	mnrso4	Mass mixing ratio of sulphate aerosol in the atmosphere	kg kg <sup>-1</sup>
CLT	clt	Total cloud amount	%
r <sub>eff</sub>	reffclwtop	cloud-top effective droplet radius	µm
loadSO4		Sulphate loading in the atmosphere, calculated by mnrso4	mg m <sup>-2</sup>
OSRclr_hist		Mean OSRclr in the historical simulation from 1850 to 1990	W m <sup>-2</sup>
CLT_hist		Mean CLT in the historical simulation from 1850 to 1990	%

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110 The CMIP6 historical experiment and hist-piAer experiment are employed. The  
111 historical experiment is forced by time-evolving, externally imposed natural and  
112 anthropogenic forcings, such as solar variability, volcanic aerosols, greenhouse gases,  
113 and aerosol emissions (Eyring et al., 2016). The hist-piAer experiment is designed by  
114 the CMIP6-endorsed Aerosol Chemistry Model Intercomparison Project  
115 (AerChemMIP; Collins et al., 2017). It is run in parallel with the historical experiment  
116 but fixes aerosol and aerosol precursor emissions to pre-industrial conditions.  
117 Therefore, the differences between these two experiments are attributable to  
118 anthropogenic aerosol emissions. Note that we use the hist-piAer simulations but not



119 the hist-aer simulations designed by the Detection and Attribution Model  
120 Intercomparison Project (DAMIP; Gillett et al., 2016), which resembles the historical  
121 simulations but are only forced by transient changes in aerosol. The design of the  
122 hist-piAer simulation means that it can also capture any nonlinearities resulting from  
123 GHG-driven changes in clouds.

124 The monthly outputs from historical and hist-piAer simulations for ESMs are  
125 used, including TAS, all-sky outgoing shortwave radiation at the top-of-atmosphere  
126 (OSR), OSR assuming clear sky (OSRclr), mass mixing ratio of sulphate aerosol in  
127 the atmosphere (mmrso4), total cloud amount (CLT), and cloud-top effective droplet  
128 radius ( $r_{\text{eff}}$ ). The corresponding lower-complexity models have conducted the  
129 historical but not the hist-piAer simulations, and only the monthly TAS output from  
130 historical simulations are used. Therefore, we focus on the ESMs, which allow a  
131 simple way of diagnosing the sources of the anomalous cooling. The main variables are  
132 summarized in Table 2.

133 The verification data used in this study is HadCRUT5, the monthly  $5^{\circ}\text{lat}$  by  $5^{\circ}\text{lon}$   
134 gridded surface temperature (Morice et al., 2021), a blend of the Met Office Hadley  
135 Centre SST data set HadSST4 (Kennedy et al., 2019) and the land surface air  
136 temperature CRUTEM5 (Osborn et al., 2021).

### 137 **2.3 Method**

138 By comparing the TAS anomalies in ESMs and the lower-complexity models  
139 with HadCRUT5, our study found that TAS anomalies from 1960 to 1990 relative to  
140 1850-1900 in ESMs and most of the lower-complexity models are on average much  
141 lower than observed, resembling a "pot-hole" shape. This period of anomalous  
142 cooling, i.e., the "pot-hole" cooling (PHC), is then quantified as the near-global mean  
143 ( $60^{\circ}\text{S}$  to  $65^{\circ}\text{N}$ ) difference in the TAS anomaly between models and HadCRUT5 from  
144 1960 to 1990. The variations over the polar regions (north of  $65^{\circ}\text{N}$  and south of  $60^{\circ}\text{S}$ )  
145 are not considered due to the lack of long-term reliable observations (Wu et al., 2019a).  
146 The PHC period coincides with a period when global emissions of  $\text{SO}_2$ , the main  
147 precursor of sulphate aerosol, rapidly increased.



148           The aerosol cooling due to aerosol-radiation interaction (ARI) is dominated by  
149 the contribution of sulphate aerosol as estimated by models and observations ( $-0.35 \pm$   
150  $0.5 \text{ W m}^{-2}$  for the total ARI and  $-0.4 \pm 0.2 \text{ W m}^{-2}$  for ARI of sulphate aerosol (Myhre et  
151 al., 2013). We use the evolution of sulphate loading (loadSO4) through the historic  
152 simulation as a proxy for total aerosol concentration changes to link estimates of the  
153 impact of aerosol-forcing-sensitivity. Whilst the overall impact of aerosol forcing will  
154 also be driven by other aerosol species, we adopt this approach because the sulphates  
155 dominate estimates of aerosol-forcing-sensitivity during this period and other aerosols  
156 species can be assumed (as a 1<sup>st</sup> order approximation) to have covaried with the SO<sub>2</sub>  
157 emissions during this period. As such when we present estimates of the aerosol  
158 impact/loadSO4 we are presenting the impact of all aerosol species (including  
159 absorbing aerosols such as black carbon) as they covary with the sulphate  
160 concentrations during the historic period. The motivation for presenting it in this way,  
161 is we can separate differences in ESM responses to changes in aerosol amount from  
162 the differences in aerosol amount (represented by loadSO4) simulated by the ESMs.

163           We can estimate the impact of anthropogenic aerosol by using the difference in  
164 OSR between the historical and hist-piAer simulations,  $\Delta OSR$ .  $\Delta OSR$  is of course  
165 involves any differences in planetary albedo, between the two simulations, including  
166 clear-sky albedo changes and any adjustments in microphysical or macroscopic  
167 properties of clouds. The aerosol-forcing-sensitivity can be calculated from a linear fit  
168 between the OSR differences and loadSO4 differences between the historical and  
169 hist-piAer simulations ( $\Delta OSR / \Delta loadSO4$ ). The linear fit slope measures the sensitivity  
170 of total aerosol forcing. Wilcox et al. (2015) found a large diversity of the CMIP5  
171 models in simulating the total aerosol forcing, which arises from the diversity in  
172 global load and spatial distribution of sulphate aerosol, and differences in global mean  
173 cloud top effective radius, amongst other factors. In this study, we diagnose the OSR  
174 differences from historical simulations that also capture the temperature response.  
175 As such the OSR differences do not represent a measure of only the aerosol forcing  
176 impact but combine OSR differences arising from both the aerosol forcing and the





177 temperature response to this forcing, which we will refer to in this manuscript as the  
178 aerosol-forcing-sensitivity. So, the numbers diagnosed here cannot be directly  
179 compared to aerosol forcing estimates published elsewhere, but the relative magnitude  
180 and time evolution of the aerosol-forcing-sensitivity is informative of the aerosol  
181 radiative role in the simulations we explore here.

182 The aerosol-forcing-sensitivity can be further partitioned into a contribution from  
183 aerosol-radiation interactions (ARI), and aerosol-cloud interactions (ACI). ARI  
184 quantifies the influence of aerosols on clear-sky radiative fluxes. ACI is due to the  
185 impact of aerosol-induced changes in the properties of clouds, such as cloud spatial  
186 extent (amount), cloud longevity (lifetime), and cloud albedo on radiative fluxes. ARI  
187 and ACI can be readily estimated from the CMIP6 output because annual mean cloud  
188 amount, CLT, and the top-of-atmosphere radiative flux *assuming only clear-sky*,  
189 OSRclr, are available for all the CMIP6 ESMs. For each model, the OSR from clouds  
190 (OSRcld) can therefore be estimate as  $OSR - ((1 - CLT/100.) * OSRclr)$ . As shown in the  
191 Appendix, the aerosol-forcing-sensitivity can be expressed as:

$$\begin{aligned} \text{Aerosol-forcing-sensitivity} &= \text{Aerosol-rad. Interactions (ARI)} + \text{cloud-amount term} \\ \underbrace{\Delta OSR / \Delta \text{loadSO}_4}_{192} &= \underbrace{(1 - CLT_{\text{hist}}/100.) * M}_{193} + \underbrace{(A - OSRclr_{\text{hist}}/100.) * N}_{194} \\ &+ \text{cloud-albedo term} + \text{residual}, \quad (1) \end{aligned}$$

195 where CLT\_hist and OSRclr\_hist are the mean cloud amount (CLT) and clear-sky  
196 OSR (OSRclr) in the historical simulation, and M, N and A are empirically  
197 determined parameters. The parameter M is the slope of a linear fit of  $\Delta OSRclr$  to  
198  $\Delta \text{loadSO}_4$ , and therefore measures the strength of the aerosol-radiation interactions in  
199 each model. The term  $(1 - CLT_{\text{hist}}/100.) * M$  can therefore be identified with ARI.

200 The parameter A is the slope of a linear fit of  $\Delta OSRcld$  to  $\Delta CLT$ , and therefore  
201 measures the correlation of the short wave radiation reflected by clouds with changes  
202 in cloud amount. The parameter N is the slope of a linear fit of  $\Delta CLT$  to  $\Delta \text{loadSO}_4$ ,  
203 and therefore measures the sensitivity of cloud amount to aerosols. Note that changes  
204 in cloud amount by definition also affect the fraction of clear-sky, hence increases in



205 OSR<sub>cl</sub> due to increases in CLT (i.e.,  $A^*N$ ) can be partly offset by changes in area of  
206 clear-sky containing aerosols (OSR<sub>clr\_hist</sub> $^*N$ ). The second term on the right-hand  
207 side of Eq. (1) therefore contributes to the ACI, specifically it is the part of ACI that is  
208 linearly proportional to changes to cloud fraction. It is roughly analogous to the “cloud  
209 lifetime effect” (Albrecht, 1989), but is sensitive to *any* aerosol-induced cloud fraction  
210 changes (Lohmann and Feichter, 2005), including any slow adjustments in clouds due  
211 to feedbacks within the Earth System.

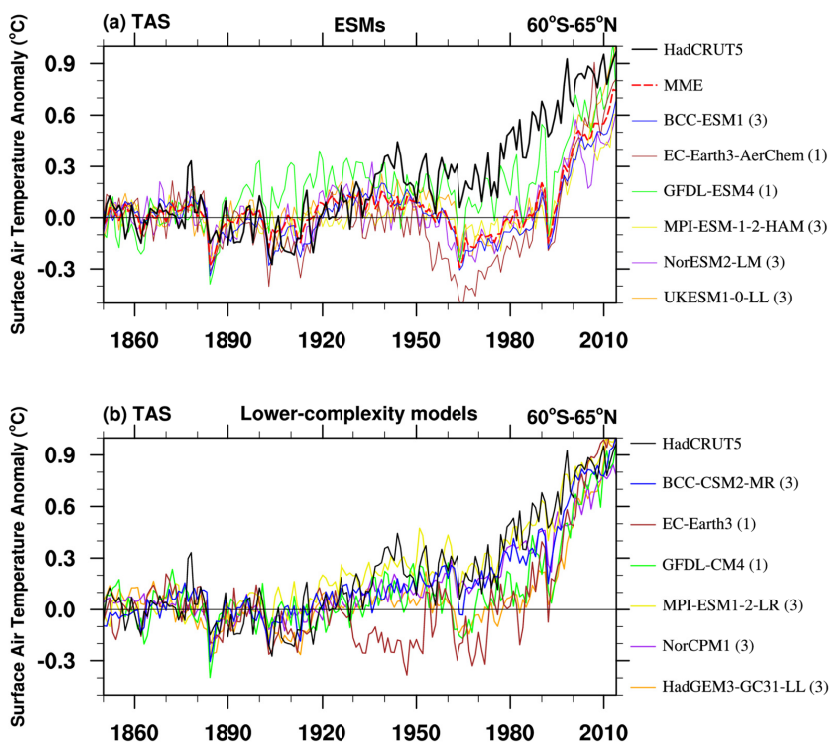
212 In addition to depending on  $\Delta$ CLT, ACI is also influenced by any changes in  
213 cloud-albedo that might occur independently of cloud-amount changes. Such  
214 adjustments would include increases in simulated cloud-droplet effective radius  
215 without accompanying changes in cloud cover. Changes purely in the brightness of  
216 clouds, without changes in macroscopic properties of clouds, are difficult to identify  
217 from the CMIP6 output because all the bulk-properties of clouds co-vary over the  
218 course of the projections. However, subtracting ARI and the cloud-amount term from  
219 the aerosol-forcing-sensitivity gives a residual that is, by definition, linearly  
220 independent of cloud fraction differences (since by construction these have been  
221 regressed out). This residual can then be interpreted as due to differences in the albedo  
222 of clouds between the historical and hist-piAer, and will be called the “cloud-albedo  
223 term”. Note that this method of calculation implies that purely albedo effects cannot  
224 be distinguished from general residual terms that result from the linear approximation  
225 made.

226 Note that our decomposed ACI does not correspond exactly to the definitions of  
227 “first” and “second” aerosol indirect effects. For example, the first indirect effect is  
228 properly defined as variations of aerosol forcing when cloud droplet number  
229 concentration varies at a constant value of the cloud liquid water path. This effect  
230 cannot be isolated from the available CMIP6 output.

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### 232 3. The “pot-hole” bias in CMIP6 ESMS

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**Figure 1.** (a) Historical near-global mean (60°S to 65°N) surface air temperature (TAS) anomalies from HadCRUT5 (thick black line), the multi-member ensemble mean for each ESM (MMM, solid color lines), and their ensemble (MME, dashed red line). (b) is the same as (a), but for the lower-complexity models. The baseline is from 1850 to 1900. Units: °C. Value in bracket is the number of available members for each model.

Figure 1a shows the near-global averaged time series of annual mean TAS anomaly relative to 1850 to 1900 in HadCRUT5, the multi-member ensemble means (MMMs) for BCC-ESM1, MPI-ESM1-2-HAM, NorESM2-LM and UKESM1-0-LL, and the first member for EC-Earth3-AerChem and GFDL-ESM4 during the historical period from 1850 to 2014. Only the first member for EC-Earth3-AerChem and GFDL-ESM4 is examined here, because only their first member is available for the hist-piAer experiment. The unforced, long-term drifts in TAS may occur in some of the ESMs, as estimated by their control simulation under pre-industrial conditions



250 (Yool et al., 2020). We have not accounted for long-term control simulation drifts in  
251 our study as we are assuming that our focus on inter-decadal scale variability of TAS  
252 anomalies is likely to be fairly insensitive to any century scale drifts.

253 The TAS anomaly in HadCRUT5 is generally above the baseline climate from the  
254 1940s onwards, and warms fastest from the 1980s to 1990s. Compared with the  
255 observations, all the ESM simulations have negative TAS anomaly biases after the  
256 1940s, which are also evident in the ensemble-mean historical TAS of 25 CMIP6  
257 models with and without interactive chemistry schemes (Flynn and Mauritsen, 2020).  
258 In the ESMs and their ensemble mean (MME), the cold anomaly biases resemble a  
259 "pot-hole" shape (Fig.1a), which is relatively small before the 1950s and after the  
260 2000s but expands from the 1960s to 1990s. To reduce the impact of cooling responses  
261 to the Pinatubo eruption in the early 1990s and the change in the spatial pattern of the  
262 emissions, we mainly focus on the excessively cold anomaly from 1960 to 1990 in this  
263 study. The period of anomalous cold in the global mean from 1960 to 1990 in model  
264 simulations is defined as the "pot-hole" cooling (PHC) as described in section 2.3.  
265 Table 3 shows the TAS anomaly biases in two typical periods, three decades before  
266 the PHC period (1929~1959) and the PHC period (1960~1990). The biases are all  
267 negative in the previous era; the negative biases increase in the PHC period and  
268 become larger than  $-0.3^{\circ}\text{C}$  in all the ESMs except for GFDL-ESM4. The PHC ranges  
269 from  $-0.20^{\circ}\text{C}$  to  $-0.58^{\circ}\text{C}$  among the MMMs with a standard deviation of  $0.11^{\circ}\text{C}$ , but  
270 intra-model spread of PHC for the three available members in BCC-ESM1,  
271 MPI-ESM-1-2-HAM, NorESM2-LM, and UKESM1-0-LL is relatively smaller. That  
272 is, model structural uncertainty is more responsible for PHC than internal climate  
273 variability.

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275 **Table 3.** Biases in near-global averaged TAS anomalies relative to 1850-1900 from  
276 the multi-member ensemble mean (MMM) and standard deviation across members  
277 (SD) for each ESM and the corresponding lower-complexity model during  
278 1929~1959, and the "pot-hole" period (1960~1990). The ensemble mean of MMMs



279 (MME) and the SD across MMMs are also examined. The anomalous cooling larger  
 280 than  $-0.3^{\circ}\text{C}$  is bolded. Biases are relative to the HadCRUT5.

ESMs	1929~1959	1960~1990 (PHC)	Lower-complexity models	1929~1959	1960~1990 (PHC)
	MMM (SD)	MMM (SD)		MMM (SD)	MMM (SD)
<b>BCC-ESM1</b>	-0.12 (0.01)	<b>-0.45</b> (0.07)	<b>BCC-CSM2-MR</b>	-0.09 (0.01)	-0.10 (0.01)
<b>EC-Earth-AerChem</b>	-0.27	<b>-0.58</b>	<b>EC-Earth3</b>	<b>-0.37</b>	<b>-0.37</b>
<b>GFDL-ESM4</b>	-0.02	-0.20	<b>GFDL-CM4</b>	-0.12	-0.26
<b>MPI-ESM1-2-HAM</b>	-0.16 (0.01)	<b>-0.39</b> (0.03)	<b>MPI-ESM1-2-LR</b>	0.03 (0.03)	0.01 (0.01)
<b>NorESM2-LM</b>	-0.16 (0.04)	<b>-0.41</b> (0.04)	<b>NorCPM1</b>	-0.10 (0.03)	-0.08 (0.04)
<b>UKESM1-0-LL</b>	-0.10 (0.09)	<b>-0.38</b> (0.08)	<b>HadGEM3-GC31-LL</b>	-0.16 (0.02)	<b>-0.33</b> <b>(0.03)</b>
<b>MME</b>	-0.14 (0.08)	<b>-0.40</b> (0.11)			

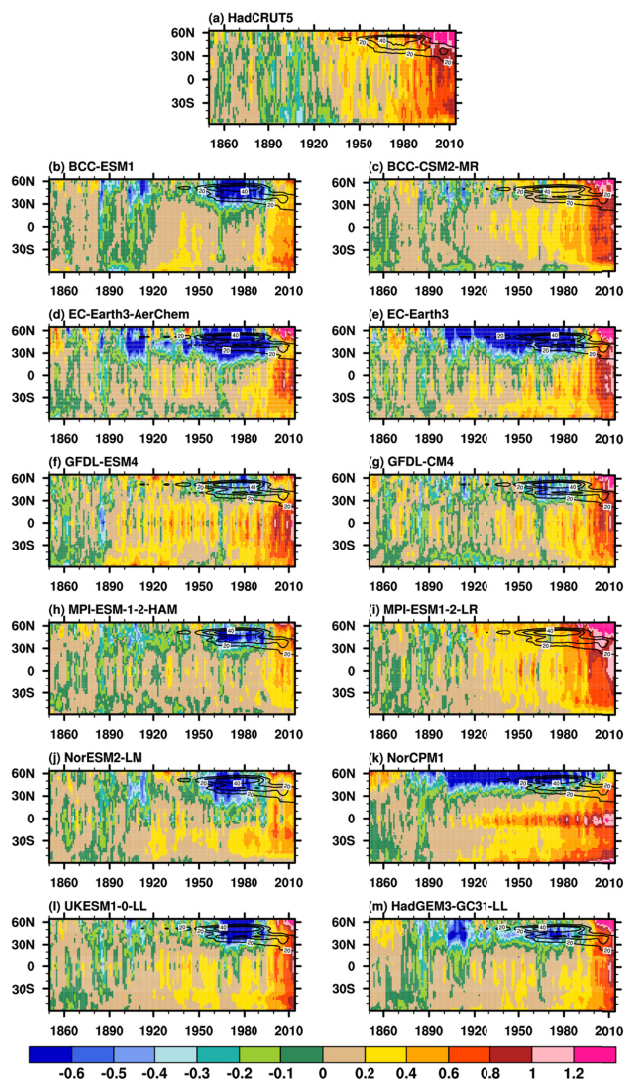
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282 The PHC bias is generally smaller in the corresponding lower-complexity models  
 283 (Fig.1b). BCC-CSM2-MR and MPI-ESM1-2-LR with prescribed chemistry and  
 284 aerosol can reasonably reproduce the TAS anomaly during the PHC period. The  
 285 anomalous TAS biases are about  $-0.10^{\circ}\text{C}$  in BCC-CSM2-MR and  $0.01^{\circ}\text{C}$  in  
 286 MPI-ESM1-2-LR, which are also close to the biases in previous era ( $-0.09^{\circ}\text{C}$  in  
 287 BCC-CSM2-MR and  $0.03^{\circ}\text{C}$  in MPI-ESM1-2-LR in 1929~1959). EC-Earth3 also  
 288 prescribes chemistry and aerosol but has a large PHC bias ( $-0.37^{\circ}\text{C}$ ). The anomalous  
 289 cooling bias is also evident in previous era (1929~1959) with comparable amplitude.  
 290 However, the anomalous TAS biases in the second and third historical members of  
 291 EC-Earth3 during the PHC period are smaller and both positive ( $0.07^{\circ}\text{C}$  and  $0.24^{\circ}\text{C}$ )  
 292 in our further examination. That is, TAS in EC-Earth3 may be sensitive to initial  
 293 condition and has been noted in Döscher et al. (2021). The PHC biases in GFDL-CM4



294 and HadGEM3-GC31-LL with prescribed chemistry and interactive aerosol scheme,  
295 are comparable with that in the corresponding ESMs, but the biases grow slower from  
296 previous era:  $-0.14\text{ }^{\circ}\text{C}$  in GFDL-CM4 v.s.  $-0.18\text{ }^{\circ}\text{C}$  in GFDL-ESM4,  $-0.17\text{ }^{\circ}\text{C}$  in  
297 HadGEM3-GC31-LL v.s.  $-0.28\text{ }^{\circ}\text{C}$  in UKESM1-0-LL. The NorCPM1 also employs an  
298 interactive aerosol scheme but has a small anomalous TAS bias ( $-0.08\text{ }^{\circ}\text{C}$ ), which is  
299 due to the overestimated tropical and southern hemispheric warming (Fig.2k).  
300 Generally, the different behaviours seen in Fig.1 suggest that aerosol forcings may be  
301 overestimated in the ESMs and the anomalous cooling in ESMs is a result of the extra  
302 complexity associated with interactive chemistry and aerosol processes.

303 The evolution of zonal mean annually averaged TAS anomalies in HadCRUT5,  
304 and the MMM for each ESM and lower-complexity model are further examined in  
305 Fig.2. In HadCRUT5, TAS anomalies are generally positive after the 1940s. The most  
306 significant TAS anomalies are evident in the late 20<sup>th</sup> Century and at the beginning of  
307 the 21<sup>st</sup> Century, especially over the NH midlatitudes, where the TAS anomalies are  
308 larger than  $1.0\text{ }^{\circ}\text{C}$ . The results from BCC-CSM2-MR and MPI-ESM1-2-LR agree well  
309 with the observations. However, the ESMs and the other lower-complexity models  
310 simulate pronounced cold anomalies over NH subtropical-to-high latitudes during the  
311 PHC period. Figure 2 also shows the evolution of surface anthropogenic  $\text{SO}_2$   
312 emissions (the contours). They rapidly increase during the PHC period. The latitudes of  
313 the cooling centers are spatially co-located with the  $\text{SO}_2$  emission sources – North  
314 America and East Asia (at around  $30^{\circ}\text{N}$ ) and Western Europe (at around  $50^{\circ}\text{N}$ ). The  
315 emission centers generally move south around the 1990s. European and North  
316 American  $\text{SO}_2$  emissions have reduced the since the 1980s; East Asian emissions  
317 clearly increased from 2000 to 2005, followed by a decrease with large uncertainties  
318 (Aas et al., 2020).

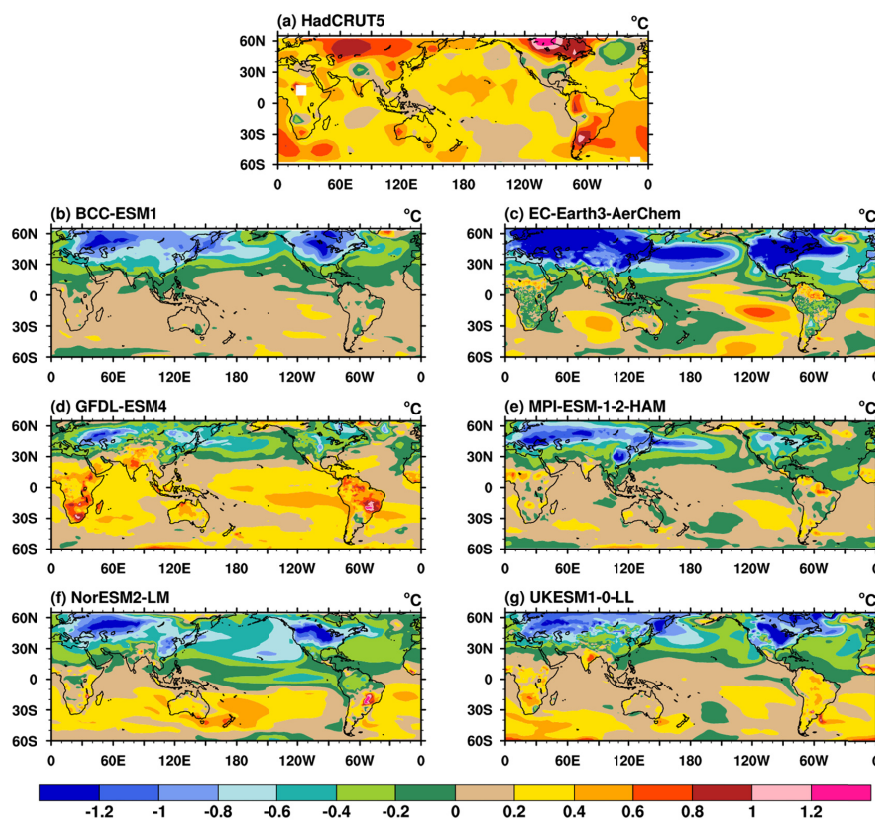


320

325 **Figure 2.** Time-latitude cross-section for annual-mean TAS anomalies (shaded) from (a) HadCRUT5,  
326 the MMM in each ESM (left panel), and the corresponding lower-complexity model (right panel). The  
327 anomalies are related to the 1850 ~ 1900 mean. Units: °C. Contours range from 20 to 40  $\text{ng m}^{-2} \text{s}^{-1}$  with  
328 an interval of 10  $\text{ng m}^{-2} \text{s}^{-1}$  show the zonal mean anthropogenic surface  $\text{SO}_2$  emission provided by  
329 CMIP6.

326





327

328

330 **Figure 3.** The TAS anomalies during the “pot-hole” period (1960 ~ 1990) from (a) HadCRUT5 and  
331 (b-g) the MMMs in each of the ESMs. The anomalies are relative to the 1850~1900 mean. Units: °C.

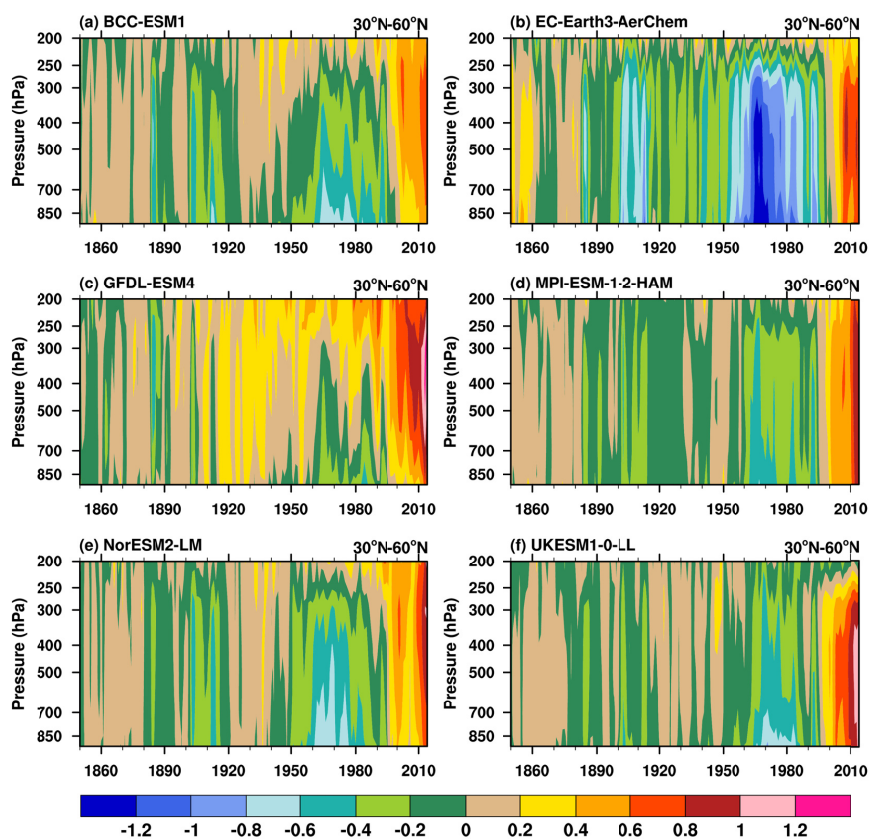
331

339 Figure 3 examines the spatial structure of TAS anomalies in HadCRUT5 and  
340 ESMs in the PHC period. The TAS anomalies in HadCRUT5 are generally positive and  
341 are the largest over Eurasia and North America. The warm anomalies are on average  
342 more than 0.4 °C along the 30°N ~ 60°N latitudinal belt. However, the ESMs show  
343 anomalies with the opposite sign. The PHC is pronounced over major SO<sub>2</sub> emission  
344 centers (Western Europe, East Asia, and the east US) and their downstream regions.  
345 The cold anomalies over Eurasia and North America are lower than -0.6°C in the  
346 ESMs.





340



341

342

344 **Figure 4** Time-height cross-section of temperature anomalies averaged over the 30°N~60°N for the  
345 MMM of each ESM. The anomalies are relative to the 1850 ~ 1900 mean. Units: °C.

345

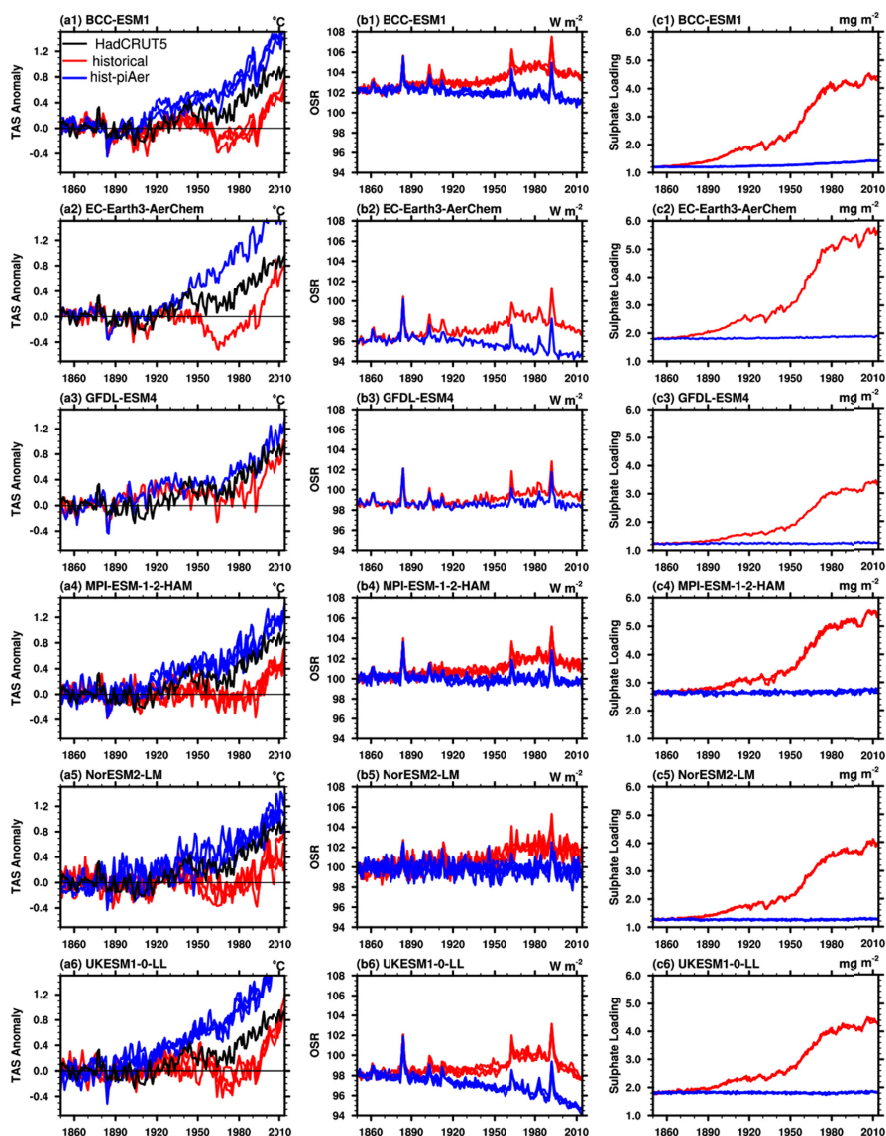
352 The vertical structures of temperature anomalies over the 30°N~60°N are also  
353 examined (Fig.4). The cold anomalies during the PHC period are the strongest at  
354 lower levels and extend into the upper troposphere. This is distinct from the amplified  
355 upper-tropospheric warming in the tropics in response to greenhouse gases (Thorne et  
356 al., 2011). The cooling extends to higher altitudes in the troposphere when an  
357 explosive volcanic eruption occurs, such as the 1963 Agung eruption, the 1974 Fuego  
358 eruption, the 1982 El Chichon eruption, and the 1991 Mount Pinatubo eruption.



353

#### 354 4. Possible reasons for the excessive cooling

355



356

357

361 **Figure 5.** Evolutions of global annual means of (a1-a6) TAS anomalies (left panel, units: °C.), (b1-b6)  
362 outgoing shortwave radiation at TOA (OSR, middle panel, units:  $W m^{-2}$ ), and (c1-c6) sulphate loading  
363 (right panel, units:  $mg m^{-2}$ ) in HadCRUT5 (black line), each ESM member of the historical (red lines),  
364 and hist-piAer experiments (blue lines). The TAS anomalies are relative to the 1850–1900 mean.



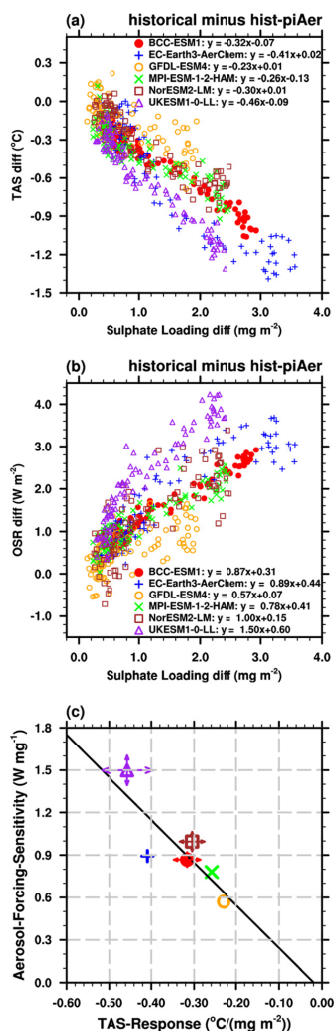
361

362       The differences between the historical and hist-piAer simulations help to  
363 investigate the impact of anthropogenic aerosol emissions and its possible contribution  
364 to the PHC biases. In this section, we examine the TAS, OSR, and sulphate loading  
365 differences, and look in detail at their relationship. As shown by the evolution of TAS  
366 anomalies in the two experiments (Fig.5, left panel), during the PHC period TAS  
367 anomalies in HadCRUT5 (black line) are higher than those in the historical members  
368 but lower than those in the hist-piAer members in all ESMs. That is, the model  
369 responses to anthropogenic aerosol emission are larger than the amplitude of the PHC.  
370 The temporal evolution of the OSR corresponds with that of the TAS but occurs in the  
371 opposite direction (middle panel). The OSR differences between the historical and  
372 hist-piAer simulations are larger in the ESMs that show big TAS differences (e.g.  
373 EC-Earth3-AerChem and UKESM1-0-LL). The temporal evolution of the sulphate  
374 loading (right panel) corresponds with the changes in anthropogenic surface SO<sub>2</sub>  
375 emissions (contours in Fig.2). Accordingly, the sulphate loading differences are  
376 relatively small in the 19<sup>th</sup> Century, mildly increase in the first half of the 20<sup>th</sup>  
377 Century, grow most rapidly during the PHC period, and remain high afterward. In  
378 comparison with the TAS and OSR differences, the intra-model spread of sulphate  
379 loading for each ESM is relatively small. However, the inter-model diversity of  
380 sulphate loading is large. For example, the sulphate loading difference between the  
381 historical and hist-piAer experiments around the year 2000 is about 4 mg m<sup>-2</sup> in  
382 EC-Earth3-AerChem, almost twice of that in GFDL-ESM4. With similar  
383 anthropogenic SO<sub>2</sub> emission rates, the lower sulphate loading difference in  
384 GFDL-ESM4 indicates it has a shorter sulphate aerosol residence time than that in  
385 EC-Earth3-AerChem, which may be due to their different sulphate production and  
386 deposition schemes. The large inter-model diversity is also evident in CMIP5 models  
387 (Wilcox et al., 2015).

388       The latitudinal movement of the SO<sub>2</sub> emission center from the 1990s affects the  
389 relative strength of aerosol forcing. Due to the more rapid oxidation at lower latitudes,



390 an equatorward shift in SO<sub>2</sub> emissions around 1990s result in a more efficient  
391 production of sulphate and stronger aerosol forcing (Manktelow et al., 2007). The  
392 northern mid-latitude temperature is also more sensitive to the distribution of aerosols,  
393 which is approximately twice as large as the global average (Collins et al., 2013;  
394 Shindell and Faluvegi, 2009). Therefore, we focus on the relationships between TAS,  
395 OSR and sulphate loading after 1900 when SO<sub>2</sub> emissions changes are dominated by  
396 its anthropogenic component, and before 1990 to reduce the effects of spatial changes  
397 in anthropogenic SO<sub>2</sub> emission centers and the uncertainty of model response to the  
398 1991 Mount Pinatubo eruption. As shown in Fig.6a, the TAS differences between the  
399 historical and hist-piAer simulations vary linearly with the differences in the sulphate  
400 loading for each ESM. The OSR differences are also linearly correlated with sulphate  
401 loading differences for all models except UKESM1-0-LL (Fig.6b). It is interesting that  
402 this nonlinearity is also observed in HadGEM2, a predecessor of UKESM1 (Wilcox et  
403 al., 2015).



405

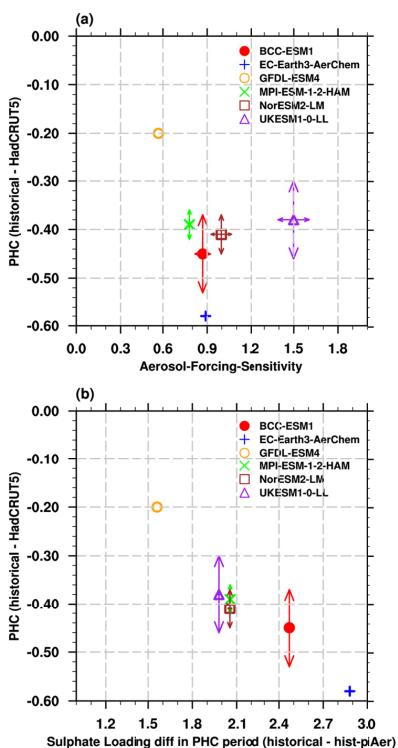
410 **Figure 6.** Scatters of 1900-1990 yearly sulphate loading differences between the historical and  
411 hist-piAer simulations (x-axis) versus (a) TAS differences and (b) OSR (y-axis). Results are from  
412 MMM in each ESM. The captions are the linear fitting equations. (c) shows the TAS response (x-axis)  
413 and aerosol-forcing-sensitivity (y-axis) which is equal to slope of linear fitting for each ESM (markers),  
414 and the corresponding intra-model spread (arrows).

411

414 The slope of the linear fitting equation between TAS (OSR) and sulphate loading  
415 as shown in the captions in Fig.6a (Fig.6b) is a measure of the sensitivity of TAS  
416 (aerosol forcing) to perturbations in atmospheric aerosol. Moreover, TAS-response



423 and aerosol-forcing-sensitivity are linearly correlated across the ESMs (Fig.6c). That  
424 is, the strength of the TAS-response can be understood as the magnitude of  
425 aerosol-forcing-sensitivity within each ESM. The similarities between the strength of  
426 TAS-response and aerosol-forcing-sensitivity indicate the dominant role of the aerosol  
427 cooling effect. The TAS-response and aerosol-forcing-sensitivity in UKESM1-0-LL  
428 (the purple marker in Fig.6c) are the strongest, as well as their intra-model spread (the  
429 length of arrows), indicating that TAS and aerosol forcing in this model are relatively  
430 more susceptible to changes in aerosol. The TAS-response and  
431 aerosol-forcing-sensitivity is the lowest in GFDL-ESM4.



424

427 **Figure 7.** Pot-hole Cooling (PHC) bias in ESMs (°C) versus (a) the aerosol-forcing-sensitivity (W  
428 mg<sup>-1</sup>) and (b) sulphate loading differences (mg m<sup>-2</sup>) during the PHC period. The arrows show the  
429 uncertainty ranges among the members in each ESM.

428



428 The aerosol-forcing-sensitivity may be partly responsible for the PHC bias.  
429 Figure 7a shows the PHC biases versus the aerosol-forcing-sensitivity (markers) and  
430 their intra-model spread (arrows). The uncertainty of aerosol-forcing-sensitivity  
431 (length of the horizontal arrows) in each ESM is smaller than the PHC bias uncertainty  
432 (length of the vertical arrows). Despite the intra-model spread, the PHC and  
433 aerosol-forcing-sensitivity seem to be negatively correlated. GFDL-ESM4 has the  
434 weakest aerosol-forcing-sensitivity ( $\sim 0.60 \text{ W mg}^{-1}$ ) and the smallest PHC ( $-0.20^\circ\text{C}$ ).  
435 The amplitude of PHC in BCC-ESM1, MPI-ESM-1-2-HAM, and NorESM2-LM are  
436 generally comparable, as is their aerosol-forcing-sensitivity. However, in  
437 EC-Earth3-AerChem, the aerosol-forcing-sensitivity is close to those in BCC-ESM1,  
438 MPI-ESM-1-2-HAM, and NorESM2-LM, but the PHC is more than  $0.1^\circ\text{C}$  lower than  
439 the others. In UKESM1-0-LL, the aerosol-forcing-sensitivity is the strongest ( $\sim 1.5 \text{ W}$   
440  $\text{mg}^{-1}$ ) but not the PHC bias. Therefore, the aerosol-forcing-sensitivity may be an  
441 important contributor to PHC bias but cannot fully explain the inter-model diversity in  
442 the PHC bias.

443 As shown by the X-axis in Fig.7b, the sulphate loading differences between the  
444 historical and hist-piAer experiments during the PHC period are large among ESMs,  
445 which are about  $1.5 \text{ mg m}^{-2}$  in GFDL-ESM4 but approximately  $2.9 \text{ mg m}^{-2}$  in  
446 EC-Earth3-AerChem. Examination of the sulphate loading differences during the PHC  
447 period and PHC biases shows that the PHC bias is generally larger in models with  
448 higher sulphate loading over this period (Fig.7b). Therefore, the PHC biases may be  
449 also attributable to sulphate loading related structural differences between ESMs.

450

## 451 5. Discussion

### 452 5.1 The proportions of ARI and ACI

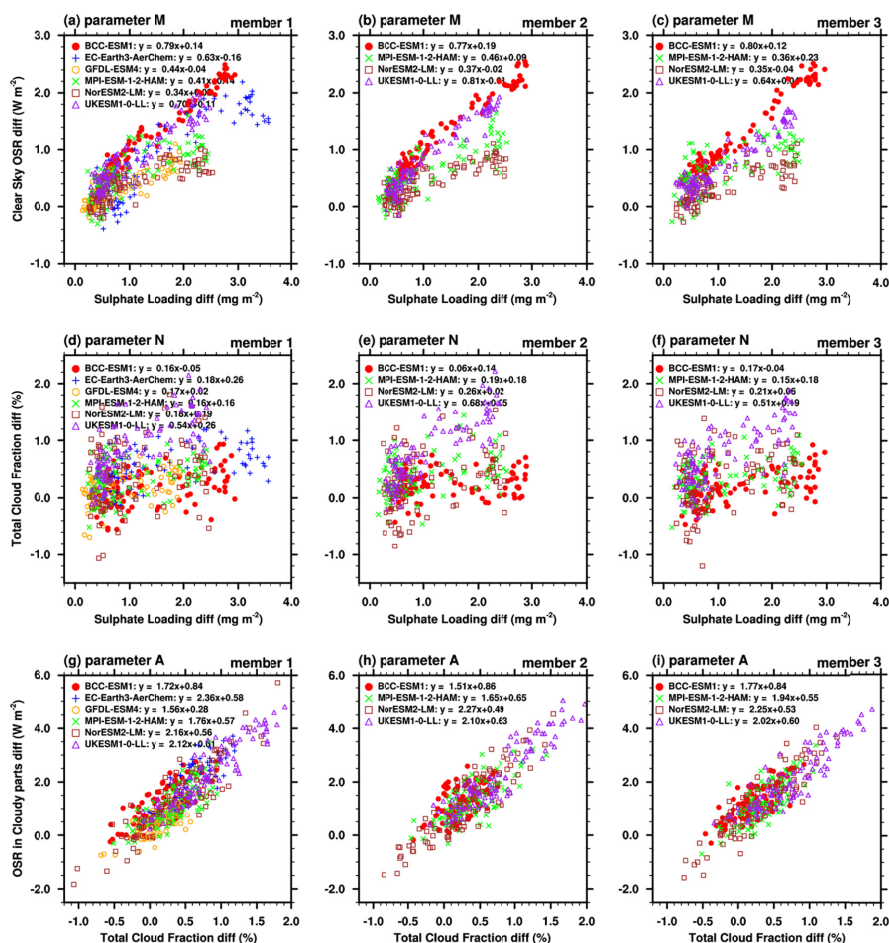
453 There are significant differences in the aerosol-forcing-sensitivity among ESMs  
454 (Fig.6b). The aerosol-forcing-sensitivity in UKESM1-0-L is almost three times of that  
455 in GFDL-ESM4. Due to the uncertainties in physical processes and cloud  
456 parameterizations, the dominant component (ARI or ACI) of





463 aerosol-forcing-sensitivity may also vary among ESMs. Here, we separate the different  
 464 components of the aerosol-forcing-sensitivity in each ESM by the method introduced  
 465 in the section 2.3 and Appendix. Sulphate loading is used as a proxy of aerosol amount  
 466 for all aerosol components in the quantification of the total effect because of its  
 467 dominant contribution to anthropogenic aerosol load during this period and its  
 468 covariation with the other aerosol species.

464



465

468 **Figure 8.** Annual mean differences between the historical and hist-piAer simulations in the ESM

469 members during 1900 to 1990 period for (a-c) sulphate loading ( $\text{mg m}^{-2}$ ) versus clear-sky OSR (OSR<sub>clr</sub>,

470  $\text{W m}^{-2}$ ), (d-f) sulphate loading versus total cloud fraction (%), and (g-i) total cloud fraction versus OSR



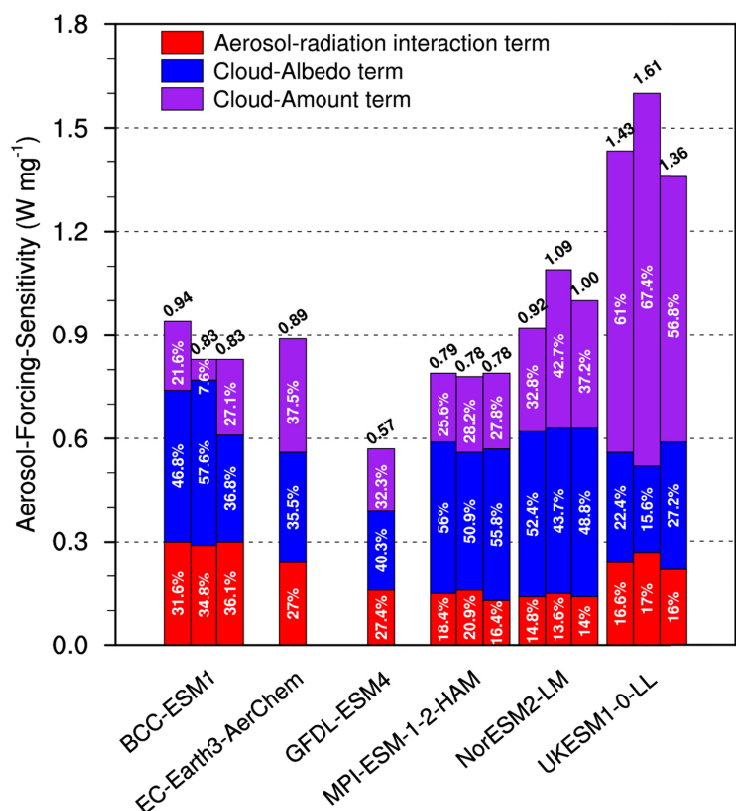


470 in cloudy parts ( $W m^{-2}$ ). Slopes of the linear fitting equations from the top row to the bottom row refer  
 471 to the parameters M, N, and A, respectively.

471

479 The ARI can be generally parameterized as  $(1-CLT\_hist/100) \cdot M$ , where  
 480  $CLT\_hist$  is cloud amount in the historical simulation and parameter M is a measure of  
 481 the strength of aerosol-radiation interactions ( $\Delta OSR_{clr}/\Delta load_{SO_4}$ ). Parameter M  
 482 varies widely from about  $0.35 W mg^{-1}$  in NorESM2-LM to about  $0.79 W mg^{-1}$  in  
 483 BCC-ESM1 (captions in Fig.8a-8c). Since parameter M does not change much among  
 484 ensemble members in each ESM, their ARI is similar. That is, the impact of internal  
 485 climate variability on the ARI is relatively small, which is consistent with the  
 486 quantitative analysis in Fig.9 (Red bars).

480



481



481 **Figure 9.** Total aerosol-forcing-sensitivity from each member in ESMs. The number marked on the top  
482 is the total aerosol-forcing-sensitivity. Partition of aerosol-radiation interaction term, cloud-albedo term,  
483 and cloud-amount term are marked in the corresponding color bars. Unit:  $W\ mg^{-1}$ .

484

485 The ACI can be estimated from the difference between the  
486 aerosol-forcing-sensitivity and the ARI. The proportion of the  
487 aerosol-forcing-sensitivity arising from the ACI is higher than 64% in all ESMs  
488 (Fig.9). The inter-model variation of the ACI ( $0.37\ W\ mg^{-1}$ ) is much larger than that  
489 for the ARI ( $0.09\ W\ mg^{-1}$ ). For example, the ACI in UKESM1-0-LL ( $\sim 1.2\ W\ mg^{-1}$ ) is  
490 higher than all the others and is about three times of that in GFDL-ESM4 ( $0.41\ W$   
491  $mg^{-1}$ ). This demonstrates that differences in the aerosol-forcing-sensitivity across the  
492 ESMs are dominated by the differences in their individual representation of ACI. The  
493 intra-model variations in the ACI are also larger than that for the ARI. That is because  
494 the intra-model variations of the ACI are influenced by the effects of climate system  
495 internal variability on aerosol-induced cloud microphysics, with cloud radiative  
496 properties and cloud lifetimes varying regionally. The intra-model variations are  
497 attributable to the differences in atmospheric circulation among different ensemble  
498 members, which may affect the geographical distributions of aerosols and clouds and  
499 lead to a different magnitude of interactions.

500 The quantitative analysis in Fig.9 also indicates that ESMs with similar  
501 aerosol-forcing-sensitivity may have different contributions from ARI and ACI. The  
502 aerosol-forcing-sensitivity is similar in BCC-ESM1, EC-Earth3-AerChem,  
503 MPI-ESM-1-2-HAM and NorESM2-LM, but the fractional contribution from the ACI  
504 is the largest in NorESM2-LM and its ARI is less than half of that in BCC-ESM1.  
505 Generally, BCC-ESM1 has the largest fractional ARI contribution (34%), whereas  
506 NorESM2-LM has the largest fraction of ACI contribution (86%).

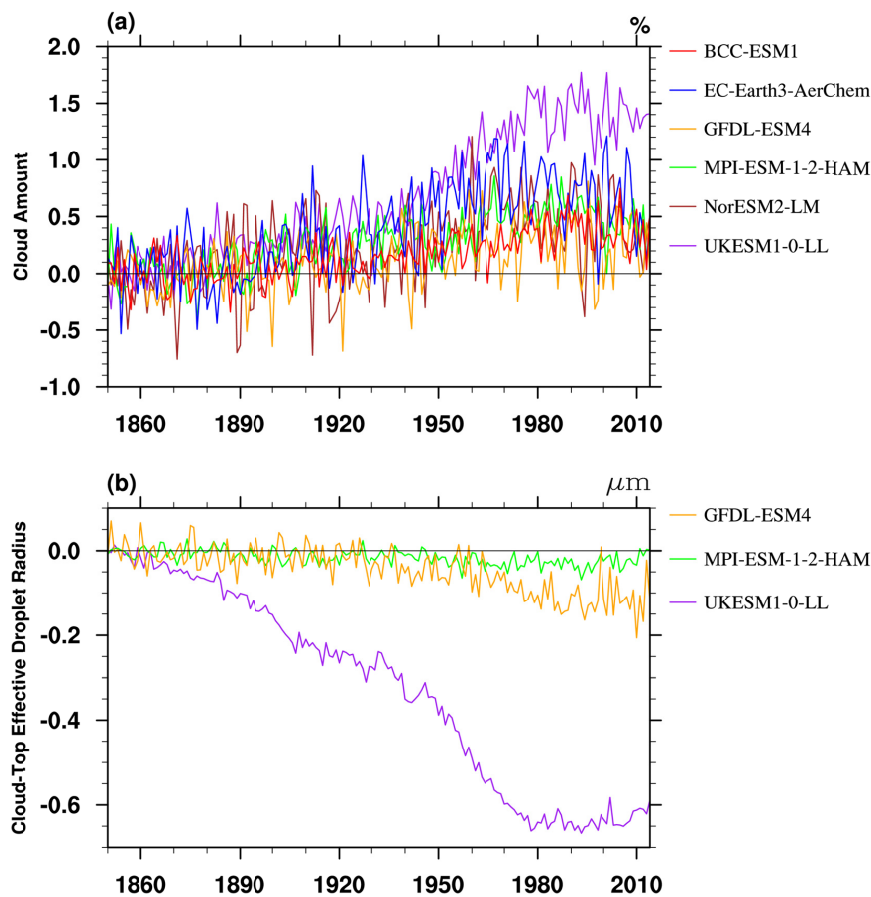
507

508 **5.2 The proportions of cloud-amount and cloud-albedo terms**



518 Our ACI metric includes several mechanisms by which aerosols can alter cloud  
519 properties. This includes the cloud-albedo effects (or 'Twomey' effect), referred to as  
520 the radiative forcing part of ACI, and effects of aerosols on the macroscopic properties  
521 of clouds (for example, cloud extent and lifetime), referred to as the adjustments part of  
522 ACI. However, it is complicated to separate these two parts of ACI directly using  
523 available CMIP6 diagnostics, because the former is most accurately defined as a  
524 change in cloud albedo with all other cloud properties held constant (i.e., a change in  
525 cloud-droplet number concentration only), whilst the latter allows cloud properties to  
526 respond.

519



520  
521



521 **Figure 10** (a) Evolutions of global mean cloud amount differences between the historical and  
522 hist-piAer simulations in MMMs, units: %. (b) is the same as (a), but for cloud-top effective droplet  
523 radius ( $r_{\text{eff}}$ ,  $\mu\text{m}$ ). The  $r_{\text{eff}}$  data is only available for GFDL-ESM4, MPI-ESM-1-2-HAM, and  
524 UKESM1-0-LL.

525

526 Figure 10 shows the evolution of global-mean differences in total cloud amount  
527 ( $\Delta\text{CLT}$ ) and cloud-top effective droplet radius ( $\Delta r_{\text{eff}}$ ) between the historical and  
528 hist-piAer experiments. The  $\Delta\text{CLT}$  and  $\Delta r_{\text{eff}}$  in UKESM1-0-LL are the largest and  
529 highly correlated with each other (with a correlation coefficient of -0.93). The  $\Delta r_{\text{eff}}$  and  
530  $\Delta\text{CLT}$  are generally related to the radiative forcing part and adjustments part of ACI,  
531 respectively (Albrecht, 1989; Twomey, 1991). Therefore, the radiative forcing part and  
532 adjustments part of ACI may be closely coupled in UKESM1-0-LL and are hard to  
533 separate statistically. The strong correlation between cloud amount and  $r_{\text{eff}}$  response in  
534 UKESM1-0-LL indicates that this model is sensitivity to aerosol-cloud interactions,  
535 which is likely to contribute to it having the strongest aerosol-forcing-sensitivity of all  
536 the CMIP6 models in Fig.6b.

537 However, it is still possible to split the ACI into a part that is correlated with cloud  
538 amount differences and a residual term. This can be done statistically by regressing-out  
539 the approximate linear dependence of the differences between historical and hist-piAer  
540 simulations of cloudy part OSR ( $\text{OSR}_{\text{cld\_p}}$ ) on cloud fraction in each ESM (parameter  
541 A in Fig.8g-8i). We call the degree of linear correlation of  $\Delta\text{OSR}_{\text{cld\_p}}$  with  $\Delta\text{CLT}$  the  
542 "cloud-amount term", and the residual will be referred to as the "cloud-albedo term".  
543 However, we reiterate that the so-called "cloud-amount term" may also include  
544 changes in the reflectivity of clouds if these are correlated with changes in cloud  
545 amount. Similarly, the cloud-albedo term will contain any sources of cloud amount  
546 changes which have not been removed by linearly regressing  $\text{OSR}_{\text{cld\_p}}$  against cloud  
547 amount. As such, we do not intend this nomenclature to indicate a precise separation of  
548 the radiative forcing part and adjustments part of ACI. Our decomposition allows first  
549 order assessment of these terms from historical simulations without the need for extra



550 simulations or calls, and also allows estimates from observations and intermodel  
551 comparisons.

552 As described in the section 2.3 and the Appendix, the cloud-amount term is  
553 sensitive to two parameters: the cloud amount response (parameter N in Fig.8d-8f)  
554 and the sensitivity of OSR reflected from clouds to cloud amount changes (parameter  
555 A, Fig.8g-8i). As shown in Fig.9, UKESM1-0-LL has the largest contribution of the  
556 cloud-amount term to aerosol-forcing-sensitivity (62%,  $0.91\text{W mg}^{-1}$ ); the  
557 cloud-amount term is the smallest in GFDL-ESM4 ( $\sim 0.18\text{W mg}^{-1}$ ). The cloud-albedo  
558 term is defined to be linearly independent of cloud-amount changes (adjustments). For  
559 the CMIP6 ESMs, it can only be estimated as the residual after subtracting the  
560 cloud-amount term from the ACI. The cloud-albedo term is similar in BCC-ESM1,  
561 MPI-ESM-1-2-HAM, and NorESM2-LM. The inter-model variation for the  
562 cloud-amount term is about twice of that for the cloud-albedo term ( $0.29\text{W/mg}$  v.s.  
563  $0.16\text{W/mg}$ ). That is, the variations of cloud-amount term are the major source of  
564 inter-model ACI (and the aerosol-forcing-sensitivity) differences between ESMs.  
565 Therefore, difference in the cloud-amount terms, across the ESMs, dominates the  
566 uncertainties in the aerosol-forcing-sensitivity.

567 Note that, neither do our definitions correspond to the effects measured by using  
568 multiple calls to the radiation scheme of a model, with and without aerosols, which  
569 measure instantaneous radiative effects; multiple calls give a measure of the fast response  
570 of clouds to aerosol perturbations in a fixed thermodynamic and dynamical background,  
571 allowing for a clear separation between ACI and rapid adjustments (e.g., Bellouin et  
572 al., 2013). This differs from aerosol forcing diagnosed by differencing climate  
573 projections with different aerosol forcings, which include the slow effects of other  
574 feedbacks. For example, differences in climate forcings can lead to different SST  
575 patterns, which in turn alter the location and characteristics of clouds. Despite these  
576 differences, an advantage of our classification is that it provides a possible method for  
577 model evaluation since the variables used are also, in principle, available from the  
578 observations.



579

## 580 **6. Conclusion**

581 This study focuses on the reproduction of historical surface air temperature  
582 anomalies in six CMIP6 ESMs. The ESMs systematically underestimate TAS  
583 anomalies relative to 1850 to 1900 in the NH midlatitudes, especially from 1960 to  
584 1990, the "pot-hole" cooling (PHC) period. In the global mean, the excessive cooling  
585 in models is more pronounced at the surface, which is distinct from the response to  
586 greenhouse gases that preferentially heat the tropical upper troposphere. Previous  
587 studies suggested that aerosol cooling is too strong in many CMIP6 models. Our  
588 study more specifically found that the PHC is concurrent in time and space with  
589 anthropogenic SO<sub>2</sub> emissions, which rapidly increase in the PHC period in NH. The  
590 primary role of aerosol emissions in these biases is further supported by the  
591 differences between ESMs and the lower-complexity models. Differences between  
592 historical simulations and simulations with aerosol emissions fixed at their  
593 preindustrial levels (hist-piAer) are used to isolate the impacts of industrial aerosol  
594 emission. We propose that the overestimated aerosol concentrations and  
595 aerosol-forcing-sensitivity in the ESMs account for the spurious drop in TAS in the  
596 mid-twentieth century.

597 A large inter-model spread in the aerosol-forcing-sensitivity is evident between  
598 CMIP6 models. A simple metric is derived for determining the dominant contribution  
599 to the aerosol-forcing-sensitivity in any specific model. The ARI has a slight  
600 intra-model variation. The ACI accounts for more than 64% of the  
601 aerosol-forcing-sensitivity in all analyzed ESMs. The considerable inter-model  
602 variation in the aerosol-forcing-sensitivity is mainly attributable to the uncertainty in  
603 the ACI within models. The ACI can be further decomposed into a cloud-amount term  
604 and a cloud-albedo term. The cloud-amount term is found to be the major source of  
605 inter-model diversity of ACI.

606 Although the TAS anomaly is systematically underestimated in all ESMs, the  
607 reasons for the PHC are different among models. Therefore, different models require



608 different improvement strategies. For example, modifying sulphate deposition  
609 processes may reduce the cold biases in EC-Earth3-AerChem, which has a relatively  
610 large sulphate loadings; BCC-ESM1 has a relatively large proportion of ARI and may  
611 need to focus on the effect of aerosol backscatter; the cloud-amount term (adjustments  
612 part of ACI) contributes to more than 60% of the aerosol-forcing-sensitivity in  
613 UKESM1-0-LL, which is comparable or even larger than the total  
614 aerosol-forcing-sensitivity in the other ESMs. Considering the crucial role of cloud  
615 properties on the inter-model spread in aerosol-forcing-sensitivity, the aerosol-cloud  
616 interactions should be a focus in development of aerosol schemes within ESMs.

617 In this study, we mainly focus on the ESMs since all of them have the hist-piAer  
618 experiment, which allows a simple way of diagnosing the sources of the anomalous  
619 cooling and estimates the aerosol-forcing-sensitivity. The method to estimate the  
620 aerosol-forcing-sensitivity can also be applied to the lower-complexity models.  
621 Therefore, if the hist-piAer experiments were available for lower-complexity models,  
622 it would be possible to isolate the contributions to aerosol-forcing-sensitivity that is  
623 due to the added aerosol complexity in the ESMs.

624

625



626 **Appendix: Decomposition of the Aerosol-radiation interaction and aerosol-cloud**  
627 **interaction**

628 Considering the dominate role of sulphate aerosol on anthropogenic aerosol  
629 forcing, we use the sulphate loading (loadSO4) as a proxy for all aerosol in our  
630 analysis. The aerosol-forcing-sensitivity (as determined by the difference between the  
631 historical and hist-piAer experiments) is estimated by the all-sky OSR differences per  
632 sulfate burden unit ( $\Delta OSR / \Delta loadSO4$ ) and it is the combination of OSR differences  
633 in the clear-sky parts ( $\Delta OSR_{clr\_p} / \Delta loadSO4$ ) and the cloudy parts ( $\Delta OSR_{cld\_p} / \Delta$   
634  $loadSO4$ ):

$$635 \quad \Delta OSR / \Delta loadSO4 = \Delta OSR_{clr\_p} / \Delta loadSO4 + \Delta OSR_{cld\_p} / \Delta loadSO4.$$

636 (A1)

637 The  $OSR_{clr\_p}$  for a particular experiment can be calculated as:

$$638 \quad OSR_{clr\_p} = (1-CLT/100.) * OSR_{clr}, \quad (A2)$$

639 where CLT is the total cloud amount (unit: %), and  $OSR_{clr}$  is the OSR assuming all  
640 clear sky (unit:  $W/m^2$ ). The cloud amount changes ( $\Delta CLT$ ) will modify the propotion  
641 of clear-sky and then affect the OSR changes attributed to the clear-sky part by  
642 covering or uncovering aerosols in clear sky. Therefore, based on equation (A2),  
643  $\Delta OSR_{clr\_p} / \Delta loadSO4$  can be decomposed into the  $OSR_{clr}$ -response ( $\Delta OSR_{clr} / \Delta$   
644  $loadSO4$ ) and CLT-response ( $\Delta CLT / \Delta loadSO4$ ):

$$645 \quad \Delta OSR_{clr\_p} / \Delta loadSO4 = (1-CLT_{hist}/100.) * \Delta OSR_{clr} / \Delta loadSO4$$
$$646 \quad - OSR_{clr\_hist} / 100 * \Delta CLT / \Delta loadSO4 + residual_{clrp}$$
$$647 \quad = (1-CLT_{hist}/100.) * M - OSR_{clr\_hist} / 100 * N + residual_{clrp}, \quad (A3)$$

648 where  $CLT_{hist}$  and  $OSR_{clr\_hist}$  are the mean CLT and  $OSR_{clr}$  in the historical  
649 experiment.  $Residual_{clrp}$  is the residual term that is non-linear in  $\Delta OSR_{clr}$  and  
650  $\Delta CLT$ . The parameter  $M = \Delta OSR_{clr} / \Delta loadSO4$  is related to strength of  
651 aerosol-radiation interaction and can be estimated by linear fitting of  $\Delta OSR_{clr}$  on  
652  $\Delta loadSO4$ . The parameter  $N = \Delta CLT / \Delta loadSO4$  is related to CLT-response and  
653 estimated by linear fitting of  $\Delta CLT$  on  $\Delta loadSO4$ .

654 The  $OSR_{cld\_p}$  is the cloudy part of OSR, accounting for the difference between  
655 OSR and  $OSR_{clr\_p}$ . The cloudy part of the OSR differences ( $\Delta OSR_{cld\_p}$ ) can be  
656 generally estimated as:





$$\Delta OSR_{cld\_p} = A \cdot \Delta CLT + \text{cloud-albedo relative changes} + \text{residual\_cld},$$

where the parameter  $A = \Delta(OSR - OSR_{clr\_p}) / \Delta CLT$  is the sensitivity of the shortwave flux reflected by clouds to changes in cloud amount. The parameter  $A$  depends on the baseline cloud albedo (radiative flux per cloud amount unit) and can be estimated by linear fitting of  $\Delta OSR_{cld\_p}$  on  $\Delta CLT$ . Hence,

$$\begin{aligned} \Delta OSR_{cld\_p} / \Delta loadSO4 &= A \cdot \Delta CLT / \Delta loadSO4 + \text{cloud-albedo term} \\ &+ \text{residual\_cld}, \\ &= A \cdot N + \text{cloud-albedo term} + \text{residual\_cld}, \quad (A4) \end{aligned}$$

where  $N$  is the parameter defined above. The cloud-albedo term on the right-hand side of equation (A4) can be obtained as a residual after subtracting  $A \cdot N$  from  $\Delta OSR_{cld\_p} / \Delta loadSO4$ , thereby eliminating any linear dependence of the cloudy-sky shortwave flux response on cloud-amount changes.

As with the clear-sky decomposition, *residual\_cld* is a possible non-linear term and is assumed to be small. This term cannot in fact be distinguished from the cloud-albedo term, in this analysis: we must therefore accept that cloud-albedo changes could be accompanied by non-linear changes in macroscopic cloud properties (in this framework).

The total aerosol-forcing-sensitivity can be measured by substituting the derived values of  $\Delta OSR / \Delta loadSO4$  from both the clear sky (equation A3) and cloudy (equation A4) parts back into equation (A1):

$$\begin{aligned} \Delta OSR / \Delta loadSO4 &= (1 - CLT_{hist}/100.) \cdot M - OSR_{clr\_hist}/100 \cdot N \\ &+ A \cdot N + \text{cloud\_albedo\_term} + \text{residual} \\ &= (1 - CLT_{hist}/100.) \cdot M + (A - OSR_{clr\_hist}/100.) \cdot N \\ &+ \text{cloud\_albedo\_term} + \text{residual\_osr}. \quad (A5) \end{aligned}$$

Based on equation (A5), the total aerosol-forcing-sensitivity can therefore be decomposed to the aerosol-radiation interaction term (ARI),  $(1 - CLT_{hist}/100.) \cdot M$ , cloud-amount term as  $(A - OSR_{clr\_hist}/100.) \cdot N$ , and cloud-albedo term (defined as a residual).



690 **Data Availability.** All the model data can be freely downloaded from the Earth System  
691 Federation Grid (ESGF) nodes (<https://esgf-node.llnl.gov/search/cmip6/>). The global  
692 historical surface temperature anomalies HadCRUT5 dataset is freely available on  
693 <https://www.metoffice.gov.uk/hadobs/hadcrut5/data/current/download.html>.

694

695 **Author contributions**

696 The main ideas were formulated by JZ, KF, STT, JPM, and TW. JZ, KF, and STT  
697 wrote the original draft, and the results were supervised by LJW, BBB, and DS. All  
698 the authors discussed the results and contributed to the final manuscript.

699

700 **Competing interests**

701 The authors declare that they have no conflict of interest.

702

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