



1 **Evaluation of WRF-CHIMERE coupled models for the**  
2 **simulation of PM<sub>2.5</sub> in large East African urban conurbations.**

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12 **Abstract:** *Urban conurbations of East Africa are affected by harmful levels of air pollution. The paucity of local*  
13 *air quality networks and the absence of capacity to forecast air quality make difficult to quantify the real level of*  
14 *air pollution in this area. The chemistry-transport model CHIMERE has been coupled with the meteorological*  
15 *model WRF and used to simulate hourly concentrations of Particulate Matter PM<sub>2.5</sub> for three East African urban*  
16 *conurbations: Addis Ababa in Ethiopia, Nairobi in Kenya, and Kampala in Uganda. Two existing emission*  
17 *inventories were combined to test the performance of CHIMERE as an air quality tool for a target monthly period*  
18 *of 2017 and the results compared against observed data from urban and rural sites. The results show that the*  
19 *model is able to reproduce hourly and daily temporal variability of aerosol concentrations close to observations*  
20 *both in urban and in rural environments. CHIMERE's performance as a tool for managing air quality was also*  
21 *assessed. The analysis demonstrated that despite the absence of high-resolution data and up-to-date biogenic and*  
22 *anthropogenic emissions, the model was able to reproduce 66-99% of the daily PM<sub>2.5</sub> exceedances above the*  
23 *WHO 24-hour mean PM<sub>2.5</sub> guideline (25 µg m<sup>-3</sup>) in the three cities. An analysis of the 24-hour mean levels of*  
24 *PM<sub>2.5</sub> was also carried out for 17 constituencies in the vicinity of Nairobi. This showed that 47% of the*  
25 *constituencies in the area exhibited a low air quality index for PM<sub>2.5</sub> in the unhealthy category for human health*  
26 *exposing between 10000 to 30000 people/km<sup>2</sup> to harmful level of air contamination.*

27

28

29 **Keywords:** *Air quality, East Africa, Particulate Matter, Anthropogenic emissions, numerical modelling, Air*  
30 *Quality Index*

31

32 **1 Introduction**

33

34 The world's population has grown rapidly by 1 billion people in the last 12 years, reaching 7.9 billion in 2021.  
35 Future projections suggest a continuing annual increase of 1.8 %, meaning the global population will reach 8.5  
36 billion by 2030, 9.7 by 2050, and 11.2 by 2100 (WPP, 2015). The African continent is predicted to have the fastest  
37 growing population rate in the world, and it is projected to double between 2010 and 2050, surpassing two billion  
38 (WPP, 2011). In addition to this a 60 % increase in population has been predicted by 2050, specifically in urban  
39 areas (WPP, 2012).

40



41 Population in Sub-Saharan East African (SSEA) countries have increased drastically since 1991 to 2019. In that  
42 period of time and according to data from the World Bank database (<https://data.worldbank.org/>), the Kenyan  
43 population grew from 24 to 52 million, the Ugandan population from 17 to 44 million and the Ethiopian population  
44 from 50 to 112 million. These increases in population were accompanied by a similar rate of increase in road  
45 transport, industrial activities and in the use of solid fuels (e.g. woods, charcoal and agricultural residues) for  
46 cooking purposes in urban areas (Bockarie et al., 2020;Marais et al., 2019).

47  
48 As a result of these population increases, air quality of the urban areas of these countries, historically influenced  
49 by the large presence of seasonal burning biomass emissions (Haywood et al., 2008;Lacaux, 1995;Lioussé et al.,  
50 2010;Thompson A. M., 2001), is progressively degrading (Marais and Wiedinmyer, 2016). This, in combination  
51 with the expanding urban population, has greatly increased the exposure of citizens to harmful Particulate Matter  
52 (PM) pollution with an aerodynamic diameter smaller than 10 and 2.5  $\mu\text{m}$  ( $\text{PM}_{10}$  and  $\text{PM}_{2.5}$ , respectively) (Gatari  
53 et al., 2019;Kinney et al., 2011;Li et al., 2017;UN-Habitat, 2017).

54  
55 Several diseases have been attributed to PM exposure in SSEA, including cardiovascular and cardiopulmonary  
56 diseases, cancers and respiratory deep infections (Dalal et al., 2011;Mbewu, 2006;Parkin et al., 2008). In 2012,  
57 the World Health Organization (WHO) estimated that in 2012 176,000 deaths in SSEA were directly connected  
58 to air pollution(WHO, 2012). Modelling studies have also found that exposure to outdoor air pollution has led to  
59 626,000 disability-adjusted life per year (DALYs) in SSEA alone (Amegah and Agyei-Mensah, 2017),  
60 highlighting that these numbers could be much higher considering the limited amount of air quality data emanating  
61 from the region that are available for research purposes.

62  
63 Considering the likely severe impacts of air pollution on human health in SSEA, the research interest in  
64 understanding air pollution trends in East Africa has increased in recent years. Many researchers have analysed  
65 the level of contaminations by short-term measurement campaigns (Amegah and Agyei-Mensah, 2017;deSouza  
66 P., 2017;Egondi et al., 2013;Gaita et al., 2014;Gatari et al., 2019;Kume, 2010;Ngo et al., 2015;Pope et al.,  
67 2018;Schwander et al., 2014;Vliet, 2007;Singh et al., 2021). Other studies observed annual average  $\text{PM}_{2.5}$   
68 concentrations in the order of 100  $\mu\text{g m}^{-3}$  quantified in a small number of urban areas of SSEA (Brauer et al.,  
69 2012). These levels are about four times higher than the 24-hour average and ten times higher than the annual  
70 average WHO guidelines for  $\text{PM}_{2.5}$  (Avis W. and Khaemba W., 2018;WHO, 2016) and underline that air pollution  
71 is a serious problem in this area of the world. A recent study by Singh et al. (2020), using visibility as a proxy for  
72 PM, showed that air quality in Addis Ababa, Kampala and Nairobi has degraded alarmingly over the last 4  
73 decades.

74  
75 The lack of long-term air quality monitoring networks in many African countries have made it difficult to have  
76 reliable long-term air quality data (Petkova, 2013;Pope et al., 2018;Singh et al., 2020) and still little is known  
77 about the levels of air contamination in large urban conurbations (Peña, 2017). The paucity and sometimes  
78 complete absence of reliable data on air pollution levels makes it difficult to quantify the magnitude of the  
79 problem. Consequently, it is difficult for local and national authorities to plan possible improvement measures for  
80 the mitigation of anthropogenic emissions. Even if important steps forward have been made to improve the



81 knowledge relative to anthropogenic emissions and emission inventories for Africa used for numerical simulations  
82 and forecasts of air quality (Assamoi and Liousse, 2010;Liousse, 2014;Marais and Wiedinmyer, 2016) the lack of  
83 surface observations to validate the emission magnitude and the simulated concentrations make these inventories  
84 susceptible of large error.

85

86 In this work we present the results of the implementation of a modelling system for meteorology and chemistry-  
87 transport processes to simulate the air quality levels of particulate matter  $PM_{2.5}$  in the capital cities of Kenya,  
88 (Nairobi), Ethiopia (Addis Ababa) and Uganda (Kampala) and its validation against observation data. For Nairobi,  
89 we compare model outputs with observations from rural and roadside sites observations collected during the “A  
90 Systems approach to Air Pollution in East Africa” research project (ASAP-East Africa - [www.asap-](http://www.asap-eastafrica.com)  
91 [eastafrica.com](http://www.asap-eastafrica.com), hereafter called ASAP) (Pope et al., 2018). For Addis Ababa and Kampala, the model was  
92 validated using hourly observations of  $PM_{2.5}$  collected by the respective US Embassies.

93

94 Moreover, we assess the suitability of the chemistry-transport model as a decision support tool for policy makers  
95 to plan possible mitigation policies oriented to quantify the real level of air pollution in urban areas and quantify  
96 the human exposure to  $PM_{2.5}$ . Specifically, in terms of the accuracy of the model in estimating the daily WHO  
97 threshold limit exceedances of  $PM_{2.5}$  in the three urban conurbations. For the particular case of Nairobi, we  
98 evaluate the average air quality indices by local constituency for the whole analysed period giving a new insight  
99 of the real level of air contamination in Nairobi to the general public and the relative population density exposed  
100 to harmful level of air contamination.

101

## 102 **2 Material and Methods**

103

104 To correctly describe the impact of anthropogenic emissions on urban air quality of Nairobi, Kampala and Addis  
105 Ababa, industrial and on-grid power generation emissions from the Emissions Database for Global Atmospheric  
106 Research (hereafter EDGAR) (Crippa M., 2018) inventory are combined with non-industrial, prominent  
107 combustion sources from the Diffusive and Inefficient Emission inventory for Africa (hereafter DICE) (Marais  
108 and Wiedinmyer, 2016). EDGAR, version 4.3.2, is a global inventory developed for year 2012 and DICE is a  
109 regional inventory for 2013. DICE includes important sources in Africa (i.e. motorcycles, kerosene use, open  
110 waste burning, and ad hoc oil refining, among others) that are absent or misrepresented in global inventories.  
111 Both inventories represent the most up-to-date anthropogenic emissions available for East Africa at the time of  
112 developing the local air quality model for this work.

113

114 EDGAR and DICE were combined to simulate the main chemical patterns between the 14<sup>th</sup> of February and the  
115 14<sup>th</sup> of March 2017. The modelling system was validated for meteorological surface variables (temperature,  
116 relative humidity, wind speed and direction) and for hourly and daily concentration levels of  $PM_{2.5}$ . The period of  
117 simulation was chosen to align with the period of available data sampled from an airborne particulate matter  
118 monitoring campaign carried out in Nairobi as part of the ASAP project (Pope et al., 2018). Data from two  
119 different observation sites were chosen for the validation. These were the urban roadside sample site of Tom  
120 Mboya Street in Nairobi (1.28° S, 36.82° E) and the rural background site of Nanyuki (0.01°N, 37.07°E) (Pope et



121 al., 2018). Hourly concentration of  $PM_{2.5}$  used to compare the CHIMERE configuration applied to Kenya with  
122 Ethiopia and Kampala, were taken from two urban background sites corresponding to the US Embassies in Addis  
123 Ababa (9.05° N, 38.76° E) and Kampala (0.30° N, 32.59° E), respectively.

124

125 For the validation, all the available hourly observations for meteorology and chemistry were used. However, for  
126 the meteorological data only surface observations were available every three hours and the validation of the model  
127 in the vertical direction was not possible due to the lack of reliable vertical observed data for the simulated period.

128

### 129 **2.1 Meteorological model WRF**

130

131 The Weather Research and Forecasting (WRF) model is a numerical model for weather predictions and  
132 atmospheric simulations and is used commercially and for research purposes, including by the US National  
133 Oceanic and Atmospheric Administration (Powers, 2017;Skamarock, 2008).

134

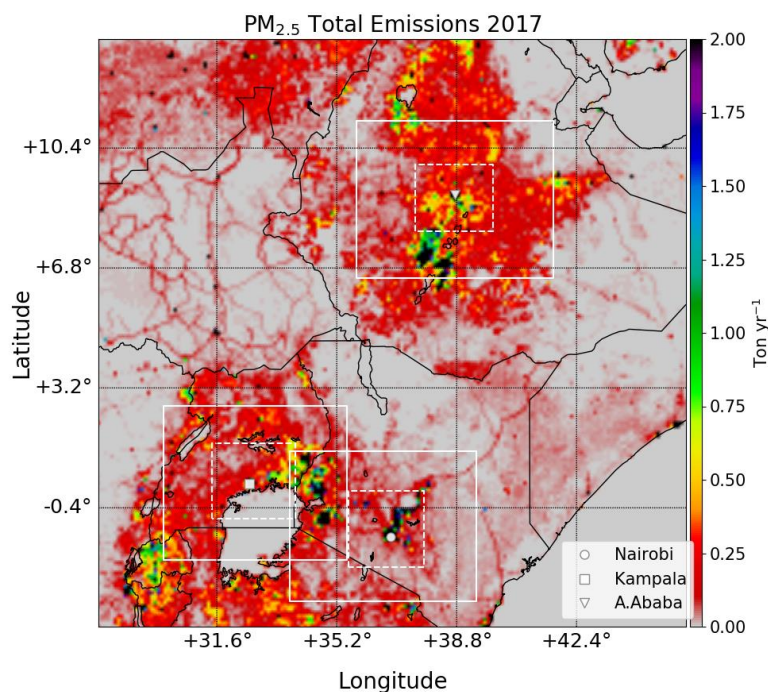
135 Meteorology for driving CHIMERE was modelled using WRF by three geographical domains at different  
136 resolutions (from 18×18 km to 2×2 km) and a vertical domain divided into 30 levels, nine of which are below  
137 1500 m. The first external domain has a spatial resolution of 18×18 km (Figure 1), with three nested domains at  
138 a resolution of 6×6 km centred on the three countries of interest (Figure 1, white squares). Three further nested  
139 domains with a resolution of 2×2 km were centred on Addis Ababa, Kampala, and Nairobi (Figure 1, white dashed  
140 squares and Figure 3a, b, c) and are the focus of the analysis.

141

142 The configuration adopted for the WRF simulations has been chosen according to previous works made on East  
143 Africa (Kerandi et al., 2016;Kerandi et al., 2017;Pohl et al., 2011) and is summarized in Table 1. The Yonsei  
144 University Scheme (YSU - (Hong S., 2006)) was chosen to represent the Planetary Boundary Layer while the  
145 Community Atmosphere Model (CAM - (Collins, 2004)) was used for the long and short-wave radiation scheme.  
146 Initial and boundary conditions for the external coarse domain at 18×18 km were obtained from the NCEP FNL  
147 (Final) Operational Global Analysis data (Wu, 2002). Boundary condition for the first (6×6 km) and second (2×2  
148 km) nest domains were taken from the respective parent domains using the two-way-nesting approach. The  
149 process enables the lateral conditions for the internal domains to be calculated from the outputs of the respective  
150 parent domains at lower resolution at every time step of the simulation.

151

152 The Land use option chosen for the simulations was based on NOAH (Tewari, 2004) while the WRF Single-  
153 moment 3-class Scheme (WSM3) for clouds and ice proposed by Hong S. (2004) was chosen for the reproduction  
154 of the microphysical processes in WRF.



155

156 **Figure 1:** Spatial distribution of the  $PM_{2.5}$  emissions from DICE-EDGAR merged emission inventory for East Africa for the  
157 WRF domain at  $18 \times 18$  km of resolution. The continuous white lines show the location of the first nested domain at  $6 \times 6$  km of  
158 resolution used in WRF-CHIMERE. The dashed white squares give the locations of the second nested domains at  $2 \times 2$  km  
159 centred on Addis Ababa (Ethiopia), Kampala (Uganda) and Nairobi (Kenya) used for WRF-CHIMERE.

160

## 161 2.2 The CHIMERE Chemistry Transport model

162

163 CHIMERE, version 2017r4 (Mailler et al., 2017), is a Eulerian numerical model for reproducing three-  
164 dimensional gas-phase chemistry and aerosols processes of formation, dispersion and deposition over a defined  
165 domain with flexible spatial resolutions. CHIMERE has been used for a number of comparative research studies  
166 of Ozone and particulate matter  $PM_{10}$  from the continental scale, (Bessagnet et al., 2016; Zyryanov et al., 2012) to  
167 the urban scale (van Loon et al., 2007; Vautard et al., 2007). Furthermore, the model has been used for event  
168 analysis, scenario studies (Markakis et al., 2015), forecasts, and impact studies of the effects of air pollution on  
169 health (Valari and Menut, 2010) and vegetation (Anav et al., 2011). The authors highlight that the version of  
170 CHIMERE adopted is the 2017r4, the most recent available at the time when the present work was realized.

171

172 The configuration adopted in this work uses initial and boundary conditions from the global three-dimensional  
173 chemistry-transport model (LMDz-INCA, (Hauglustaine et al., 2004)), both for gaseous pollutants and for  
174 aerosols for the most external domain at 6 km of resolution while for the most internal domains at 2 km of  
175 resolution, the boundary conditions are calculated from model outputs of the parent domains. The complete  
176 chemical mechanism used for all the simulations was SAPRC-07-A (Carter, 2010) which can describe more than



177 275 reactions of 85 species. SAPRC-07-A is the most recent chemical mechanism available for CHIMERE version  
178 2017r4.

179

180 Horizontal and vertical diffusion is calculated using the approach suggested by Van Leer (1979) and the  
181 thermodynamic equilibrium ISORROPIA model (Nenes, 1998) is used for the particle/gases partitioning of semi-  
182 volatile inorganic gases. The model permits calculation of the thermodynamical equilibrium between sulphates,  
183 nitrates, ammonium, sodium, chloride and water dependent upon temperature and relative humidity data.

184

185 Radiative transfer processes are accounted in CHIMERE using the Fast-JX model (Wild, 2000;Bian, 2002). Fast-  
186 JX is applied also in other models (Voulgarakis, 2009;Real and Sartelet, 2011;Telford et al., 2013). The photolysis  
187 rates calculated by Fast-JX model are validated both inside the limits of the boundary layer (Barnard, 2004) and  
188 in the free troposphere (Voulgarakis, 2009).

189

190 Secondary organic aerosols (SOAs), including biogenic and anthropogenic precursors, have been modelled in  
191 CHIMERE as described by (Pun, 2006). SOAs formation is represented as a single-step oxidation of the  
192 precursors, differentiating hydrophilic by hydrophobic SOAs in the partitioning formulation. Finally, biogenic  
193 emissions were taken in account within CHIMERE using MEGAN model outputs as described by (Guenther,  
194 2006).

195

196 **Table 1:** Main configuration parameters adopted for the modelling system WRF-CHIMERE for all simulations.

<b>WRFv3.9.1 Configuration</b>		
<b>Initial and Boundary conditions</b>	GFS FNL- Reanalysis	<i>Wu (2002)</i>
<b>PBL Parametrization</b>	YSU	<i>Hong S. (2006)</i>
<b>SW/LW Radiation Scheme</b>	CAM	<i>Collins (2004)</i>
<b>Land Use</b>	NOAH	<i>Tewari (2004)</i>
<b>Micro Physics Scheme</b>	WSM3	<i>Hong S. (2006)</i>
<b>Vertical Levels</b>	30	
<b>CHIMERE2017 Configuration</b>		
<b>Initial and boundary conditions</b>	LMDz-INCA	<i>Hauglustaine et al. (2004)</i>
<b>Anthropogenic Emissions</b>	EDGARv3.4.1 + DICE-Africa	<i>Crippa M. (2018);Marais and Wiedinmyer (2016)</i>
<b>Biogenic Emissions</b>	MEGAN	<i>Guenther (2006)</i>
<b>Gas/Aerosol Partitions</b>	ISORROPIA	<i>Nenes (1998)</i>
<b>Secondary Organic Aerosols</b>	1	<i>Pun (2006)</i>
<b>Radiative Transfer</b>	Fast-JX	<i>Wild (2000);Bian (2002)</i>
<b>Chemistry Mechanism</b>	SAPRC-07-A	<i>Carter (2010)</i>
<b>Horiz. / Vert. Transport scheme</b>	VanLeer	<i>Van Leer (1979)</i>
<b>Vertical Levels</b>	30	

197

### 198 2.3 Emission Inventories

199

200 The emission inventories used for the simulation of the anthropogenic emissions for East Africa were the  
201 EDGARv4.3.2 global inventory for the year 2012 (EDGAR) and the Diffuse and Inefficient Combustion  
202 Emissions in Africa inventory for the year 2013 (DICE). Both inventories were the most up to date available at



203 the time of the analysis, have the same spatial resolution of  $0.1 \times 0.1^\circ$  and provide the annual total of anthropogenic  
204 emissions for relevant gases and aerosols.

205

206 EDGAR provides emissions data for CO, NO, NO<sub>2</sub>, SO<sub>2</sub>, NH<sub>3</sub>, NMVOCs, BC, OC, PM<sub>10</sub> and PM<sub>2.5</sub> as an annual  
207 total divided by the sector according to the IPCC-1996 classification. All human activities with exception of large-  
208 scale biomass burning are included in EDGAR (Crippa M., 2018).

209

210 DICE provides emissions from particular diffuse and inefficient combustion emission sources (road transport,  
211 residential biofuel use, energy production and charcoal production and use) for gaseous pollutants (CO, NO, NO<sub>2</sub>,  
212 SO<sub>2</sub>, NH<sub>3</sub>, NMVOCs) and aerosols (BC, OC). Seasonal biomass burning that is considered a large pollution source  
213 in Africa is included in DICE as comparable emissions of black carbon and higher emissions of nonmethane  
214 volatile organic compounds. Emissions from DICE were used to provide annual total emissions for particular  
215 emission sources considered to be misrepresented or missing in a global inventory such as EDGAR.

216

217 The preparation of the final emission inventory was carried out in two steps. First, DICE and EDGAR inventories  
218 were merged, by pollutant and by sector, following the approach suggested by Marais and Wiedinmyer (2016).  
219 PM<sub>2.5</sub> emissions are included in DICE as individual components of organic carbon (OC) and black carbon (BC)  
220 but they need to be expressed as lumped PM<sub>2.5</sub> in CHIMERE. Therefore PM<sub>2.5</sub> was calculated as the sum of  
221 Organic Carbon (OC - originally present in DICE) multiplied for a conversion factor following Pai et al. (2020)  
222 to represent Organic Aerosols emissions and summed with Black Carbon (BC – originally present in DICE) as  
223 follows:

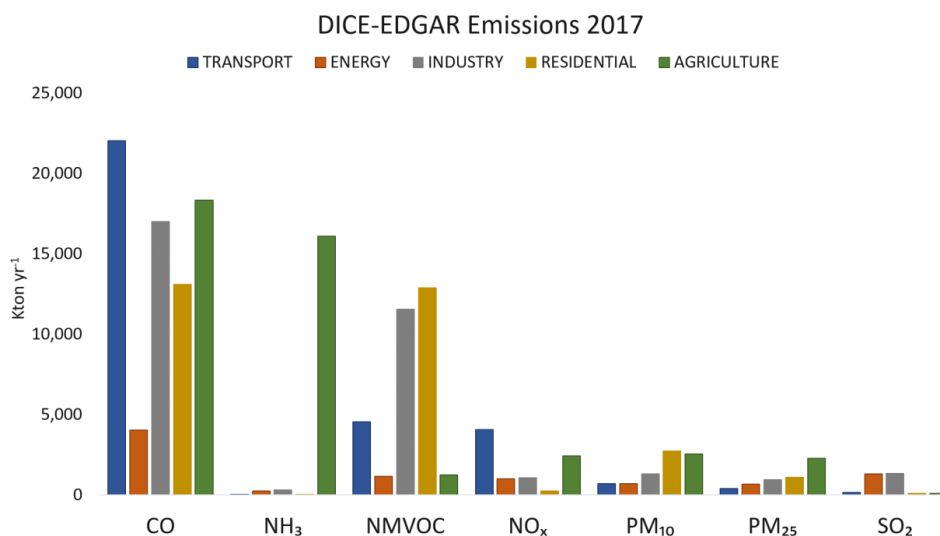
224

$$225 \quad PM_{2.5} = (OC \times c) + BC \quad \text{Eq. (1)}$$

226

227 Secondly, the emisurf2016 pre-processor of CHIMERE was used to scale the emissions from the original  
228 resolution of  $0.1 \times 0.1^\circ$  (~10 km) to the final resolution of each domain simulated (6×6 and 2×2 km) using  
229 population density data provided from the Socioeconomic Data and Application Centre (SEDAC)  
230 (<http://sedac.ciesin.columbia.edu/>) as proxy for the spatial distribution. SEDAC provides population density maps  
231 at high resolution (1×1 km) for the years 2010, 2015 and 2020. The SEDAC population density data calculated  
232 for most internal domains at 2×2 km (Figure 3a, b, c) suggest for 2010 a total population of 7 million for Nairobi,  
233 4.8 million for Kampala and 4.5 million for Addis-Ababa. These totals grow respectively to 8.1, 5.9 and 5.0  
234 million for 2015 and to 9.4, 7.3 and 5.7 million for 2020. The original SEDAC data were used for a linear  
235 extrapolation of the population density data to the target year 2017 and were used by emisurf2016 for the spatial  
236 allocation of the emissions. The resulting merged inventory (hereafter, DICE-EDGAR) totals by pollutant and  
237 sectors for the most external domain at 18x18km of resolution are shown in Figure 2.

238



239

240 **Figure 2:** Annual Totals for the merged emission inventory DICE-EDGAR for year 2017 calculated on the spatial domain at  
241 18x18 km shown in Figure 1.

242

243 Biogenic emissions and mineral dust considered in this work have been calculated in-line by CHIMERE. The  
244 former is calculated by MEGAN model outputs as described by Guenther (2006) while the latter are calculated  
245 using the USGS land use database provided by CHIMERE. The soil is represented by relative percentages of sand,  
246 silt, and clay for each model cell. The USGS database, called STATSGO-FAO accounts of 19 different soil types  
247 recorded in the global database with native resolution of 0.0083x0.0083 °. To have homogeneous datasets, the  
248 STATSGO-FAO data are re-gridded into the CHIMERE simulation grids. For mineral dust emission calculations,  
249 the land use is typically used to provide a desert mask specifying what surface is potentially erodible.

250

251 The emissions used in this work could still potentially not account for additional misrepresented or unaccounted  
252 sources due to the time difference between the age of the data in the EDGAR and DICE inventories and the  
253 observations used for the validation of the modelling system. The lack of up to date national emission inventories  
254 collected at a sufficient resolution, in addition to the lack of research sources providing projections of emissions  
255 for 2017, meant that it was not possible to generate more detailed information about the anthropogenic sources of  
256 emissions for East Africa.

257

258 It is noted that the time stamp of the anthropogenic emissions and the validation period are different. The emissions  
259 are relative to year 2013 while the observation used for the validation for 2017. In the absence of additional data  
260 and in the lack of national or local mitigation policies in the three countries we assume that the differences in time  
261 stamp do not make large difference to the emission estimates. More detailed analysis of the emission sources and  
262 the implementation of possible mitigation policies at national and local levels could in future change this situation.

263

264





## 265 2.4 Weather and Chemistry Observations

266

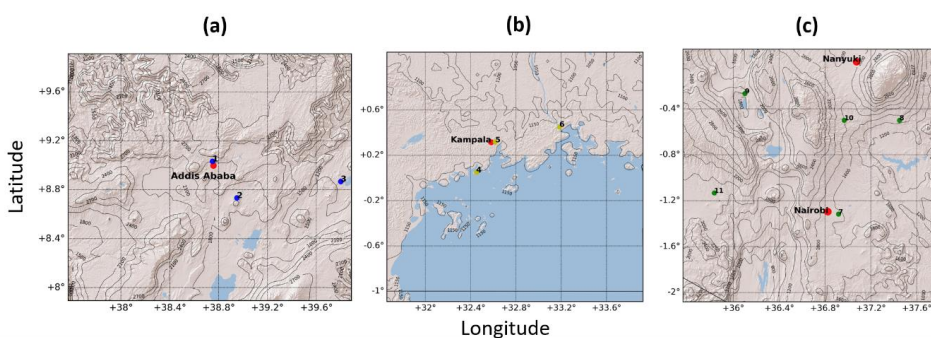
267 Observations for temperature, wind speeds and directions used for the validation of the WRF model were taken  
268 from the UK Met Office MIDAS database (<https://www.metoffice.gov.uk/>). Data from 11 weather stations, three  
269 for Ethiopia (hereafter ETH2K, Figure 3a) and Uganda (hereafter UGA2K, Figure 3b) and five for Kenya  
270 (hereafter KEN2K, Figure, 3c) were used to validate the simulations at a resolution of  $2 \times 2$  km (Table 2).

271

272 The ground stations are at different altitudes above sea level to a maximum of 2355 m (i.e. the Harar Meda station  
273 in Ethiopia, n.2 in Figure 3, a). The validation was performed by comparing model outputs with observations for  
274 the variables, namely surface temperature, wind speed and direction and relative humidity. The latter, not  
275 originally available in the MIDAS dataset, was calculated using the coefficients proposed by Alduchov O. (1996)  
276 based on hourly surface and dew point temperatures observed values and then compared with modelled data  
277 obtained by WRF.

278

279 Hourly concentrations of  $PM_{2.5}$  were used for the validation of CHIMERE for the three internal domains at  $2 \times 2$   
280 km (Figure 3a, b, c). For the urban area of Nairobi, data were provided by the calibrated sampling campaign  
281 performed by Pope et al. (2018) for the roadside site at Tom Mboya Street in Nairobi ( $1.28^\circ$  S,  $36.82^\circ$  E). For the  
282 urban areas of Addis Ababa and Kampala, hourly concentration of  $PM_{2.5}$  were obtained from the air quality  
283 monitoring stations of the two US Embassies in Ethiopia ( $9.05^\circ$  N,  $38.76^\circ$  E) and Uganda ( $0.30^\circ$  N,  $32.59^\circ$  E)  
284 using optical counters. Data from Uganda and Ethiopia were used to compare the configuration applied to  
285 CHIMERE for Kenya with the two other countries. Hourly concentrations from the rural site of Nanyuki, Kenya  
286 ( $0.01^\circ$  N,  $37.07^\circ$  E) provided by the calibrated sampling campaign performed by Pope et al., (2018) were used to  
287 complement the validation of CHIMERE outside the urban area of Nairobi (Table 2).



288

289 **Figure 3:** Second-nested domains at a spatial resolution of  $2 \times 2$  km centred on the cities of Addis Ababa (ETH2K - a), Kampala  
290 (UGA2K - b), Nanyuki and Nairobi (KEN2K - c) created using the WRF model. The red dots represent locations of  $PM_{2.5}$   
291 measurements. The blue, yellow and green dots refer to the location of the ground weather stations used for the meteorological  
292 validation in Ethiopia, Uganda, and Kenya, respectively. The numbers relate to the stations detailed in Table 2.

293

294

295



296 **Table 2:** MIDAS ground weather stations used for the validation of the 2×2km domains. Station no. corresponds to the  
297 position of each station in Figure 3a, b and c and PM<sub>2.5</sub> observation points for the urban domains of Addis Ababa, Kampala  
298 and Nairobi used for the validation of CHIMERE model.

Station n.	Domain	Name	Lat (°)	Lon (°)	Elev. (m a. g. l)
1	ETH2K	Addis – Bole	0.03	38.75	1900
2		Harar Meda	8.73	38.95	2355
3		Metehara	8.87	39.90	930
		US Embassy (PM <sub>2.5</sub> – urban background)	9.05	38.76	1900
4	UGA2K	Entebbe (Airport)	0.05	32.45	1155
5		Kampala	0.32	32.62	1144
6		Jinja	0.45	33.18	1175
		US Embassy (PM <sub>2.5</sub> – urban background)	0.30	32.59	1150
7	KEN2K	Nairobi (Airport)	-1.32	36.92	1624
8		Embu	-0.50	37.45	1493
9		Nakuru	-0.27	36.10	1901
10		Nyeri	-0.50	36.97	1759
11		Narok	-1.13	35.83	2104
		Tom Mboya Street (PM <sub>2.5</sub> – roadside)	-1.28	36.82	1795
	Nanyuki (PM <sub>2.5</sub> – rural background)	0.01	37.07	1947	

299  
300

### 301 3 Results and Discussion

302

303 The coupled WRF-CHIMERE model was run at spatial resolutions of 18×18, 6×6 and 2×2 km for meteorology  
304 and at 6×6 and 2×2 km for chemistry for the three domains of East Africa. The statistical analysis shown in the  
305 following sections describes the validation results for the three internal domains at a resolution of 2×2 km as these  
306 are the focus of the present work.

307

308 Ground weather stations from the MIDAS database, included in the 2×2 km domains of all countries, were  
309 analysed as individually, and shown as average of all stations. The time series and wind roses are relative to the  
310 closest stations from MIDAS database to each urban city centre of the three capital cities, namely Addis- Bole (n  
311 1 in Table 2), Kampala (n 5 in Table 2) and Nairobi Airport (n 7 in Table 2).

312

313 Initially, the validation of CHIMERE focused on Kenya for which hourly concentrations of PM<sub>2.5</sub> were taken from  
314 two different sites (roadside and rural) from the field sampling campaign described by Pope et al., (2018).  
315 Secondly, the same configuration adopted for Kenya was used for Ethiopia and Uganda to test both the  
316 homogeneity of the emission rates on other urban conditions, and the configuration chosen for CHIMERE in  
317 different urban and environmental conditions. At this stage of the validation, a threshold limit of 25 µg m<sup>-3</sup> for  
318 PM<sub>2.5</sub> per day provided by WHO (WHO, 2005) was used to quantify the number of exceedances observed and  
319 modelled by CHIMERE for the three cities.

320

321 The validation process was hindered by the highly variable quantity and quality of available meteorological data.  
322 The majority of the weather observations are provided on a 3-hourly basis, with varying amounts of missing data.  
323 Despite this the statistical evaluation for WRF has been performed comparing model and observations only when  
324 the latter were available. We recall that the objective of this work aims to test the performances of a modelling



325 system for the simulation of air quality concentrations for East Africa, updating and using the available input data  
326 available and assessing the possible adoption of these tools for air quality policy making at this extent of the data.

327

### 328 **3.1 Validation of the WRF simulations**

329

330 In order to assess the performance of WRF in simulating surface temperature, relative humidity wind speed and  
331 direction, the model simulation outputs were compared with all the available ground weather station data available  
332 for the period of analysis, 14<sup>th</sup> of February to 14<sup>th</sup> of March 2017.

333

#### 334 *3.1.1 Statistical evaluation of WRF performances*

335

336 A statistical analysis, in terms of the Mean Normalized Bias (MNB), Normalized Root Mean Square Error  
337 (NRMSE) and Pearson's coefficient (R), was carried out to compare modelled and observed values for the domain  
338 at 2×2 km resolution averaging the observed and modelled values on all the stations present on each domain  
339 (Table 3).

340

341 The results of the statistical analysis show that WRF is capable of reproducing the mean levels of surface  
342 temperature with a mean underestimation over the three domains of 1.4 and 1.5 °C for Ethiopia and Uganda and  
343 of 4.1 °C for Kenya. Relative humidity is overestimated by WRF in KEN2K of 0.2 % and underestimated in  
344 ETH2K of 6.4 % and in UGA2K of 7.5 % (Table 3). Wind Speed and directions for the three domains show  
345 respectively, the presence of northern winds in UGA2K correctly captured by the model with a difference of  
346 around 4 ° in comparison with the observations, an average eastern wind component in KEN2K partially  
347 reproduced by the model that allocate the average wind directions on a more south-eastern component of wind  
348 with a difference of around 40.2 ° while in ETH2K the average wind direction modelled and observed are close  
349 with a difference of 4.2 ° on a south-eastern component of prevailing wind. The observed and modelled wind  
350 speed in UGA2K and ETH2K are in reasonable agreement with a difference of 0.9 and 0.2 m s<sup>-1</sup>, respectively,  
351 while the difference is higher for KEN2K, 7.4 m s<sup>-1</sup> where the wind speed tends to be underestimated by the model  
352 (Table 3).

353

354 The MNB values (Table 3) for relative humidity and surface temperature are between -0.1 and 0.004, and -0.07  
355 and -0.1, respectively showing the capability of WRF of reproducing the hourly variation of these two variables  
356 close to the observations. The MNB values for Wind speed and directions are respectively between 0.4 and 0.7  
357 and between -0.03 and 13.7 showing the capability of WRF of reproducing the two components of wind despite  
358 the different topographic conditions in the three domains.

359

360 Similarly, the NRMSE suggests that the modelled and observed relative humidity and surface temperature are in  
361 good agreement. Ranging, for the former between 0.3 (UGA2K) and 0.5 (KEN2K) and founded for the latter as  
362 0.2 for the three domains. The wind direction NRMSE is higher in KEN2K (8.1) and similar in the two other  
363 domains, between 1.0 and 1.4 while wind speed NRMSE is similar in the three domains, ranging between 0.9 in  
364 KEN2K and 1.3 in ETH2K.



365

366 The calculated Pearson's coefficient (R) shows varying agreement between the model and observations of  
 367 between 0.1 and 0.7 for the three domains. The highest R value for relative humidity of approximately 0.7 was  
 368 obtained for ETH2K while the lowest R values occurred in UGA2K (0.3). Highest values of R for surface  
 369 temperature were found in ETH2K (0.6), followed by KEN2K (0.5) and UGA2K (0.3). For wind speed, the highest  
 370 R coefficient values are for the KEN2K (0.5) and the lowest for UGA2K (0.1) while for wind directions, the  
 371 highest R value found was for UGA2K (0.3) with values of approximately 0.2 for the other two domains (Table  
 372 3).

373

374 **Table 3:** Statistical analysis of relative humidity, surface temperature, wind speed and directions averaged on all the available  
 375 weather stations for the second nested domains UGA2K, KEN2K and ETH2K at 2×2km of resolution. Mean observed and  
 376 modelled values (ObsMean, ModelMean), mean normalized bias (MNB) normalized root mean square error (NRMSE) and  
 377 Pearson's Coefficient (R) have been calculated.

	Rel. Humidity			Temperature		
	UGA2K	KEN2K	ETH2K	UGA2K	KEN2K	ETH2K
<b>ObsMean</b>	68.2	63.1	51.3	24.5	23.2	22.7
<b>ModelMean</b>	60.7	63.3	44.9	23.0	19.1	21.3
<b>MNB</b>	-0.1	0.004	-0.1	-0.07	-0.1	-0.06
<b>NRMSE</b>	0.3	0.5	0.4	0.2	0.2	0.2
<b>R</b>	0.3	0.4	0.7	0.3	0.5	0.6
	Wind Dir			Wind Speed		
	UGA2K	KEN2K	ETH2K	UGA2K	KEN2K	ETH2K
<b>ObsMean</b>	6.8	91.5	104.0	2.5	11.2	3.5
<b>ModelMean</b>	2.8	131.7	99.8	3.4	3.8	3.7
<b>MNB</b>	-0.03	13.7	0.02	0.7	0.4	0.5
<b>NRMSE</b>	1.4	8.1	1.0	1.1	0.9	1.3
<b>R</b>	0.3	0.2	0.2	0.1	0.5	0.4

378

### 379 3.1.2 Hourly variation of Temperature and Relative humidity

380

381 The three MIDAS stations providing weather observations closest to the urban areas of the Addis Ababa, Kampala  
 382 and Nairobi have been analysed individually in form of hourly time series of surface temperature and relative  
 383 humidity and wind roses for wind speed and directions.

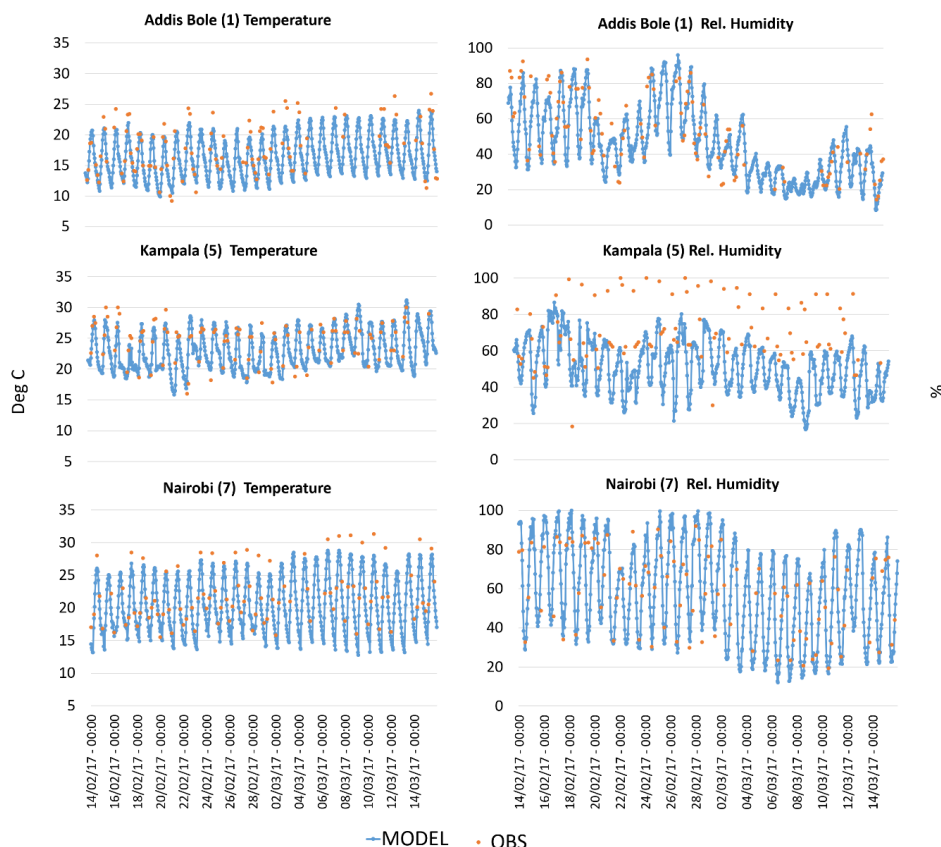
384 The hourly surface temperature and relative humidity are shown in Figure 4 for the three ground weather stations  
 385 closest to the centre of the three cities: Addis-Bole (n.1 in Figure 3a), Kampala Station (n.5 in Figure 3b) and  
 386 Nairobi (n.7 in Figure 3c).

387

388 The temperature range observed at the three stations was between 9 and 27° C for the Addis Bole Station, 16 and  
 389 31° C for Kampala and 16 and 33° C for Nairobi. By inspection of Figure 4, it can be seen that the WRF model  
 390 is able to reproduce the main diurnal cycle of variation of temperature and relative humidity for the three ground  
 391 weather stations. Surface temperature peaks are slightly underestimated by the model for the three stations with a  
 392 small mean bias at the three stations between -0.06 and -0.1. The highest agreement between the model and



393 observation is for Kampala while the model tends to underestimate the diurnal peaks of surface temperature almost  
394 systematically for Addis-Bole and Nairobi stations.

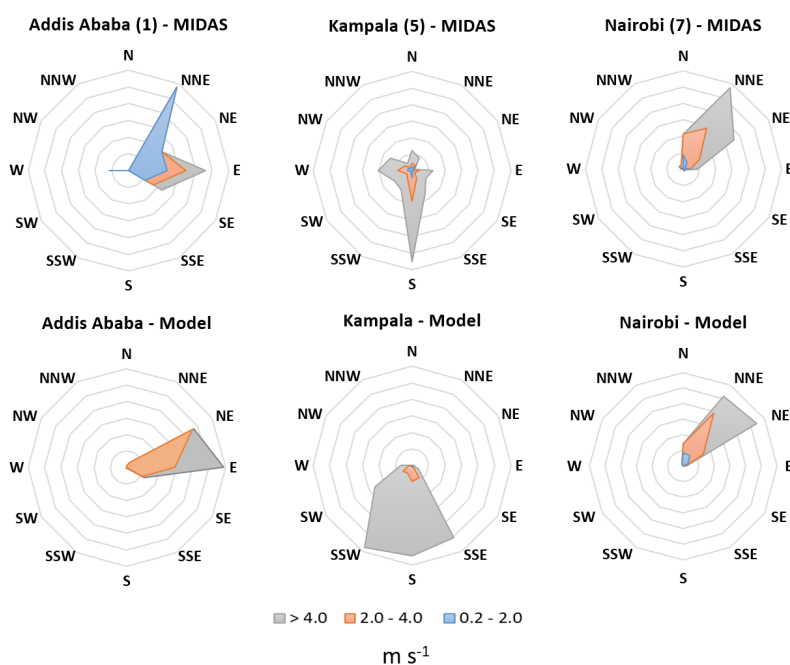


395  
396 **Figure 4:** Hourly time series of surface temperature (left column) and relative humidity (right column) for the closest ground  
397 weather stations to the urban centres of the cities of Addis Ababa (station 1 in Figure 3a), Kampala (station 5 in Figure 3b)  
398 and Nairobi (station 7 in Figure 3c). Comparison between modelled values (blue lines) obtained from the 2×2km domains  
399 and hourly observations (orange spots) from MIDAS database.  
400

401 The mean relative humidity observed at the three stations shows different ranges of excursion from the model  
402 predictions depending on the characteristics of the environment. The station of Addis-Bole shows the higher  
403 variation from 15 to 98 %, Nairobi station from 17 to 98 % and Kampala from 19 to 99 %. From Figure 4, it may  
404 be seen that relative humidity variations over time are correctly captured by WRF for the Nairobi and Addis-Bole  
405 stations. Both the diurnal peaks as the night lowest values seems to be correctly reproduced by the model with a  
406 mean bias between -0.1 and 0.004. However, WRF appears systematically to underestimate the relative humidity  
407 for the Kampala station showing a mean negative bias. Different reasons could affect the underestimation of the  
408 relative humidity at this station. The sensitivity of WRF model to the land use data (Teklay et al., 2019) connected  
409 with the proximity of Kampala to Lake Victoria, which is a massive inland body of water (surface area 68 800  
410 km<sup>2</sup>) could influence the local variation of relative humidity in ways which are not well reproduced by the model.



411 The influence of Lake Victoria and of the Kampala's complex topography on measurements of RH was previously  
412 highlighted by Singh et al. (2020) in relation to monthly visibility connected with PM levels. Noting that relative  
413 humidity was calculated from surface temperature and dew point values following Alduchov O. (1996) and not  
414 directly sampled, a better agreement in the simulation of relative humidity from WRF can be found in the station  
415 of Entebbe (n.4 in Figure 3b) where the mean normalized bias shows a small underestimation of 0.04 %.  
416



417

418 **Figure 5:** Averaged wind roses for the whole analysed period (14<sup>th</sup> of February to 14<sup>th</sup> of March 2017) from the closest ground  
419 weather stations to the urban centres of Nairobi (n.7 in Figure 3c), Kampala (n.5 in Figure 3b) and Addis Ababa (n.1 in Figure  
420 3a) (MIDAS, top) and from WRF simulation outputs (Model, bottom).

421

422 Wind speed and directions from the urban stations of Addis-Bole (n 1 in Figure 3a), Kampala Station (n 5 in  
423 Figure 3b) and Nairobi (n 7 in Figure 3c) are shown in Figure 5 in the form of wind roses. WRF can reproduce  
424 the average wind directions in close agreement with the observed data for both sampling sites for the analysed  
425 period for Nairobi showing the predominance presence of North-North-Eastern winds with high speed (> 4.0 m  
426 s<sup>-1</sup>). Moreover, the model replicates the wind directions for Nairobi and Kampala with higher agreement in  
427 comparison to Addis Ababa. The reason for this difference is due to the different locations of sampling sites that,  
428 in the case of Nairobi and Kampala are coincident with the respective airports while the wind speed and directions  
429 from Addis Ababa are relative to an urban weather station.

430

431 WRF does not appear to reproduce the wind speed and directions for Kampala as well as it does for the other two  
432 cities. For the wind speed, the observations from the ground weather stations suggest a strong southern wind



433 component ( $> 4.0 \text{ m s}^{-1}$ ) while the model seems to reproduce a similar magnitude of the wind speed on a larger  
434 range of directions ranging from the South-South-East direction to South-South-West. A similar comparison  
435 between the wind roses for the city of Addis Ababa, shows that WRF is able to capture and reproduce the main  
436 wind directions observed at both observation sites for the simulated period, i.e. Eastern and North-Eastern winds.  
437 On the other hand, lower winds between  $0.2$  and  $2.0 \text{ m s}^{-1}$  with a strong North-North East component do not seem  
438 to be replicated by the model. Also, in this case we recall that the station of Addis-Bole is the only one settled  
439 inside an urban area, while the stations of Kampala and Nairobi are settled in the respective airports located in  
440 peri-urban areas.

441

442 The results obtained from the validation of the meteorological simulations performed over East African domains  
443 using WRF show an acceptable agreement between modelled parameters of all four variables taken in account in  
444 comparison with available observed data from the analysis done on all the averaged stations present in the  $2 \times 2$   
445 km domains. The highest agreement in the weather analysis has been found for surface temperature with similar  
446 biases to Kerandi et al. (2017) and relative humidity similar to Pohl et al. (2011), which is sufficiently accurate to  
447 be able to accurately use the physical calculations done by the chemistry transport model.

448

449 However, the more detailed analysis of the urban weather stations revealed discrepancies in the reproduction of  
450 relative humidity and wind direction for the station of Kampala (UGA2K) that could affect the deposition, removal  
451 and transport processes simulated by CHIMERE and will be object of future investigation to further improve the  
452 meteorological performance of WRF. However, for the purposes of the present work the range of bias found for  
453 the meteorological variables can be considered acceptable and the differences negligible.

454

### 455 3.2 Validation of CHIMERE simulations

456

457 The reliability of a chemistry-transport model needs to be evaluated against observations to quantify its confidence  
458 as air quality tool for policy making, replicating scenarios and analysis purposes. While ozone modelling and  
459 evaluation has been fairly well developed over a number of decades, with the EPA (1991) criteria still used to  
460 evaluate the level of confidence of a modelling system, for the PM evaluation the criteria used for the analysis of  
461 the performance of a modelling system are still evolving (Boylan and Russell, 2006).

462

463 One cause of uncertainty when comparing modelling outputs with observations is the difference between a point  
464 measurement and a volumetric grid cell averaged modelled concentration (Seinfeld, 2016). On one hand, the  
465 extent of a measurement point, in fact, represents only the extent of the nearby points or an average concentration  
466 in a specified area. On the other hand, a surface level modelling grid typically has a highest resolution of  $1 \text{ km}$   
467 with a vertical height of between  $20$  and  $40 \text{ m}$  and the concentration represented by the model is the average over  
468 the entire grid cell.

469

470 The CHIMERE validation has been focused on the hourly levels of  $\text{PM}_{2.5}$  modelled at the two observation sites  
471 for the domain KEN2K, representative of an urban roadside site and a rural background site. Also, from the urban  
472 background observational sites of the US Embassies of Kampala (UGA2K) and Addis Ababa (ETH2K). The



473 analysis is presented in form of statistical parameters of mean normalized bias (MNB), normalized root means  
474 square error (NRMSE) and Pearson's coefficient (R) for the four observational sites on hourly and daily bases for  
475 the whole period of the simulation. The performance of CHIMERE has been analysed also in terms of mean  
476 fractional error (MFE) and mean fractional bias (MFB) against the different level of average concentrations of  
477 PM<sub>2.5</sub> in the four observation points to evaluate the response of the model in reproducing low and high levels of  
478 hourly concentrations in comparison with observed values.

479

### 480 3.2.1 Statistical evaluation of model performances

481

482 Mean observed and modelled hourly concentrations of PM<sub>2.5</sub> are overestimated by the model for the domain  
483 KEN2K by between 0.01 and 3.6 µg m<sup>-3</sup> for Nanyuki and Nairobi, respectively, and for Addis Ababa (0.6 µg m<sup>-3</sup>  
484 <sup>3</sup>). On the contrary, the model underestimates PM<sub>2.5</sub> for the domain UGA2K (Kampala) by 7.2 µg m<sup>-3</sup>. At a daily  
485 resolution, the difference between mean observed and modelled values show a similar overestimation for the two  
486 KEN2K sites, of between 0.01 and 3.8 µg m<sup>-3</sup> and for Addis Ababa of 0.2 µg m<sup>-3</sup> and an underestimation for  
487 Kampala of 6.7 µg m<sup>-3</sup> (Table 4).

488

489 The MNB values for KEN2K suggest that, at the hourly and daily level, CHIMERE overestimates PM<sub>2.5</sub> values  
490 both at the roadside (i.e. MNB = 0.22 and 0.21 for hourly and daily, respectively) and at the rural sites (MNB =  
491 0.064 and 0.062 for hourly and daily, respectively) (Table 4). In the two urban background sites of Addis Ababa  
492 and Kampala the MNB ranges between 0.88 and 0.10, and between -0.23 and -0.14, at the hourly and daily levels  
493 respectively. Hourly NRMSE values show the smallest error in the rural site of Nanyuki (0.0007) and a similar  
494 error of between 0.03 and 0.04 at the other three sites. Daily NMRSE follows the same trend with highest  
495 confidence of the model in Nanyuki (0.0011) and Nairobi (0.05) and a higher error of between 0.30 and 0.46 for  
496 Addis Ababa and Kampala, respectively.

497

498 The highest Pearson's coefficients (R) were found in Nanyuki with hourly and daily values of between 0.91 and  
499 0.93. The roadside site of Tom Mboya street in Nairobi had R values of between 0.35 and 0.38 while the urban  
500 background sites of Addis Ababa and Kampala had a lower agreement an hourly level (R values were between  
501 0.10 and 0.29, respectively) than at a daily level (R values of between 0.42 and 0.30, respectively).

502

503 In general, the statistical analysis described above demonstrates that the model can reproduce satisfactorily the  
504 daily pattern of the hourly changes in concentrations for the two pollutants both in the three urban sites and in the  
505 rural site considered. The low R coefficient values obtained for the urban domains at the hourly level suggests  
506 that sources of anthropogenic emissions affecting urban air quality are missing from the current emission  
507 inventory. Further work will be focused on the improvement of the magnitude of the emissions to better match  
508 the observed levels of concentrations of particulate matter at the urban level. Despite this and considering the  
509 daily average concentrations in the urban sites, the R coefficients were found to be between 30 and 42 %  
510 suggesting that CHIMERE better reproduces the concentrations of PM<sub>2.5</sub> using daily averaging.

511

512





513 **Table 4:** Hourly and daily statistical evaluation of CHIMERE model performance for the cities of Nairobi against ASAP  
 514 observed data and against US Embassies data for the cities of Addis Ababa and Kampala

ASAP OBS	NAIROBI PM <sub>2.5</sub> (roadside)		NANYUKI PM <sub>2.5</sub> (rural)	
	DAILY	HOURLY	DAILY	HOURLY
Mean MOD	58.33	58.16	3.24	3.24
Mean OBS	54.58	54.57	3.23	3.23
MNB	0.21	0.22	0.062	0.064
NRMSE	0.05	0.03	0.0011	0.0007
R	0.38	0.35	0.93	0.91
U.S. EMBASSY OBS	ADDIS A. – PM <sub>2.5</sub> (urban)		KAMPALA – PM <sub>2.5</sub> (urban)	
	DAILY	HOURLY	DAILY	HOURLY
Mean MOD	18.6	18.7	36.2	36.2
Mean OBS	18.4	18.1	42.9	43.4
MNB	0.10	0.88	-0.14	-0.23
NRMSE	0.30	0.03	0.46	0.04
R	0.42	0.10	0.30	0.29

515

516

517 *3.2.2 Mean Fractional Bias evaluation*

518

519 MFB and MFE normalise the bias and the error for each model-observed pair by the average of the model and  
 520 observation before taking the final average (Eq. 2 and 3). The advantage of these metrics is that the maximum  
 521 bias and errors are bounded, and that impact of outlier data points are minimised. Moreover, the metrics are  
 522 symmetric giving equal weight, to concentrations simulated higher than observations and to those that are  
 523 simulated lower than observations.

524 
$$MFB = \frac{1}{N} \sum_{i=1}^N (C_m - C_o) / (C_o + C_m / 2) \quad \text{Eq. (2)}$$

525

526 
$$MFE = \frac{1}{N} \sum_{i=1}^N |C_m - C_o| / (C_o + C_m / 2) \quad \text{Eq. (3)}$$

527

528 MFB and MFE have been expressed in terms of model performance goals and model performance criteria values  
 529 according to the methodology proposed by Boylan and Russell (2006). The performance goal for the modelling  
 530 system is attested for  $MFE \leq 50\%$  and  $MFB \leq \pm 30\%$ . In this range of values (shown as green dashed lines in  
 531 Figure 6) the performance of the model in reproducing the correct magnitude of the concentrations can be  
 532 considered good. A second larger range of values, called criteria, is attributed for  $MFE \leq 75\%$  and  $MFB \leq \pm 60\%$ .  
 533 Values inside this are (shown as red dashed lines in Figure 6) corresponds to an average model performance.  
 534 Finally values with  $MFE > 75\%$  and  $-60\% > MFB > +60\%$  represent a poor representation by the model.

535

536

537

538

539



540 **Table 5:** Hourly mean fractional bias (MFB) and error (MFE) percentage of points inside the goal limit (GOAL), inside the  
541 diagnostic range (CRITERIA) and outside the reliability criteria (OUT) from model outputs extracted from the four analysed  
542 locations.

City	MFB			MFE		
	GOAL	CRITERIA	OUT	GOAL	CRITERIA	OUT
	(%)	(%)	(%)	(%)	(%)	(%)
Tom Mboya St. (KEN2K)	69	22	9	88	7	5
Nanyuki (KEN2K)	97	2	1	99	1	0
Kampala (UGA2K)	48	37	15	74	16	10
A.Ababa (ETH2K)	57	30	13	80	11	9

543

544 The MFB and MFE analysis has been conducted comparing modelling outputs and observations from Nairobi and  
545 Nanyuki in KEN2K, and from the US Embassies of Kampala and Addis Ababa. The model performance in  
546 reproducing the PM<sub>2.5</sub> for the two sites in KEN2K shows a higher percentage of values within the MFB and MFE  
547 performance goals for the rural site of Nanyuki, than for Tom Mboya Street. i.e. 97% compared to 69% and 99%  
548 compared to 88% for the MFB and MFE measures respectively. For the criteria measure, the corresponding  
549 percentages are 2% vs. 22% and 1 vs. 7%.

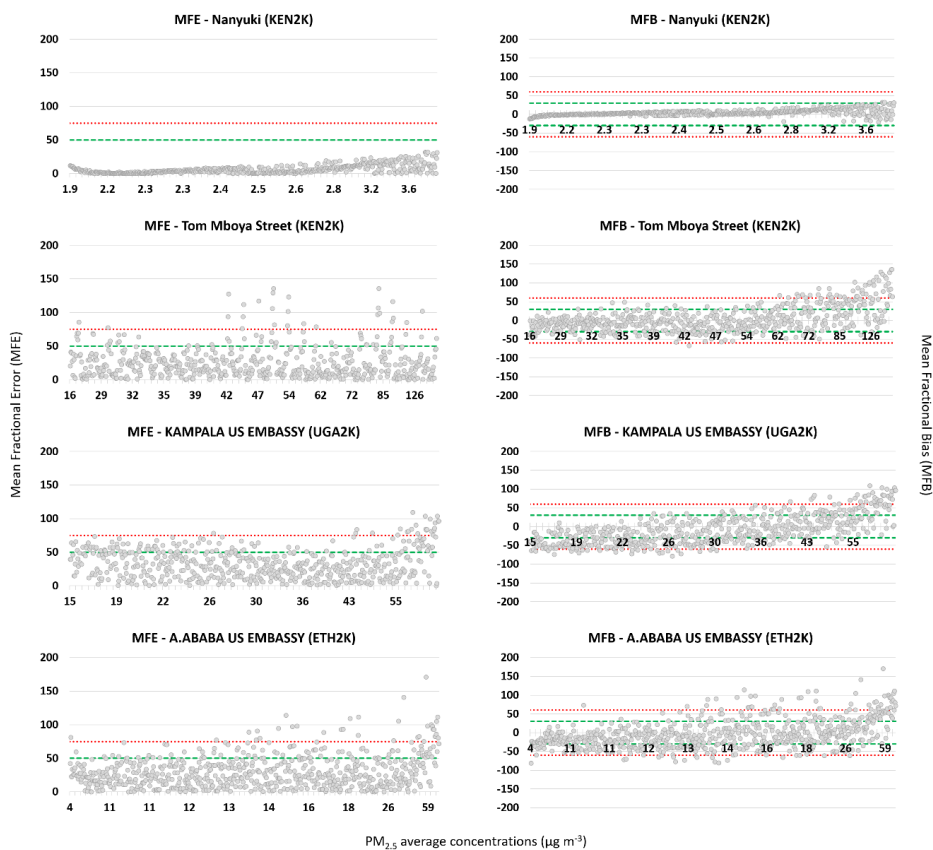
550

551 The percentages for the urban sites of Kampala and Addis Ababa show a lower agreement between the model and  
552 observations. For the former 48 % of the values according to the MFB measure are within the goal range, 37%  
553 are within the criteria range and 15 % are outside. For the latter, according to the MFB criteria, 57 % of the values  
554 are inside the goal range, 30 % of values are within the criteria range and 13 % are outside. In terms of the MFE  
555 measure, 74 % and 80 % of values for the two cities are within the goal range, 16 % and 11 % within the criteria  
556 range and 10 % and 9 % outside respectively.

557

558 According to the methodology proposed by (Boylan and Russel, 2006) the performance of a modelling system is  
559 fairly good for PM<sub>2.5</sub> representation if about the 50 % of the points are within the goal range and a large majority  
560 are within the criteria range. From the analysis of the four sampling sites the values of MFB inside both the goal  
561 and range for Tom Mboya street are 69%, 97% for Nanyuki and 57% for Addis Ababa and only for Kampala are  
562 48 %. Similarly, for the MFE measure, 99 % for Nanyuki, 88 % for Tom Mboya street, 80 % for Addis Ababa  
563 and 74 % for Kampala are inside both the goal range. The demonstrates that the performance of the model can be  
564 considered to be satisfactory.

565



566

567 **Figure 6:** Hourly mean fractional bias (MFB) and mean fractional error (MFE) values calculated for the locations of Tom  
 568 Mboya Street and Nanyuki (KEN2K), Kampala US Embassy (UGA2K) and Addis Ababa US Embassy (ETH2K) for the  
 569 analysed period against hourly concentrations of  $PM_{2.5}$ . The green lines represent the MFB range  $\pm 30 \mu g m^{-3}$  and the MFE  
 570 limit of  $50 \mu g m^{-3}$  for which the model performance can be considered reliable, the red lines represent the MFB range  $\pm 60 \mu g$   
 571  $m^{-3}$  and the MFE limit of  $75 \mu g m^{-3}$  for which model performance can be increased by diagnostic analysis on the chemical  
 572 precursors of  $PM_{2.5}$ .  
 573

574 There are some MFB values outside the criteria range for  $PM_{2.5}$  for the urban sites of Addis Ababa and Kampala  
 575 and for the roadside site of Tom Mboya Street in Nairobi. In terms of the upper limit (MFB > 60%) these values  
 576 tend to be concentrated between 60 and  $130 \mu g m^{-3}$  for Tom Mboya street, 40 and  $55 \mu g m^{-3}$  for Kampala and  
 577 between 13 and  $59 \mu g m^{-3}$  for Addis Ababa (Figure 6). A much smaller number of MFB values for the Addis  
 578 Ababa and Kampala sites are less than the lower criteria limit and these tend to be for lower concentrations  
 579 between 10 and  $26 \mu g m^{-3}$ .

580

581 MFE values outside the ranges of criteria are between 42-55 and 80- $130 \mu g m^{-3}$  for Tom Mboya street, 43 and 60  
 582  $\mu g m^{-3}$  for Kampala and 13 and  $59 \mu g m^{-3}$  for Addis Ababa (Figure 6). The latter two sites present a more variability  
 583 of MFB and MFE in comparison with the two sites of Kenya where is visible a common positive bias of the model  
 584 in reproducing the highest concentration levels. The reliability of the model is therefore higher for the domain of



585 Kenya, both for a rural and for a roadside site than for the two urban background sites in Uganda and Ethiopia.  
586 The reason for the presence in the Addis Ababa and Kampala simulations of values outside the criteria range both  
587 at high and at low concentrations of  $PM_{2.5}$  can be connected to the representation of the original PM emissions in  
588 the combined inventory and their representation and chemical reactivity inside CHIMERE that does not correctly  
589 reproduce all the chemical processes involved in the formation of inorganic and organic individual components  
590 of  $PM_{2.5}$ . Moreover, the possible misrepresentation of local emission sources not reproduced in DICE-EDGAR  
591 can also affect the performance of the model. Finally, the different location of the urban background observation  
592 sites and the sampling techniques for PM observation can also have a key role in the correct detection of the  
593 concentrations.

594

### 595 *3.2.3 Hourly variation of $PM_{2.5}$ in urban and rural sites of Kenya*

596

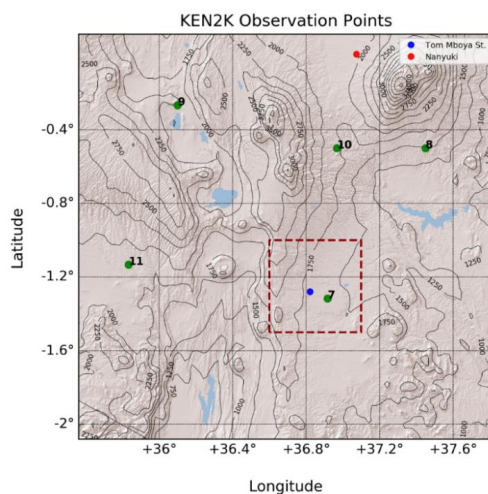
597 Hourly modelled variation of  $PM_{2.5}$  levels obtained by CHIMERE compared with observations from the field are  
598 shown for the urban sampling site of Tom Mboya Street, Nairobi and for the rural site of Nanyuki (Figure 7). By  
599 inspection of Figure 7 it can be seen that CHIMERE is able, in general, to reproduce the daily variation of  $PM_{2.5}$   
600 across the simulated period at both sites.

601

602 The magnitude of the emissions adopted seems to be suitable both for the roadside area of Tom Mboya street and  
603 for the rural background site of Nanyuki, with higher agreement shown by the latter. CHIMERE captures only  
604 part of the daily peak observed in Tom Mboya Street with comparable magnitude but misrepresents some peaks.  
605 In particular it models higher hourly peaks than those observed as previously mentioned in the MFB and MFE  
606 analysis above (Figure 8).

607

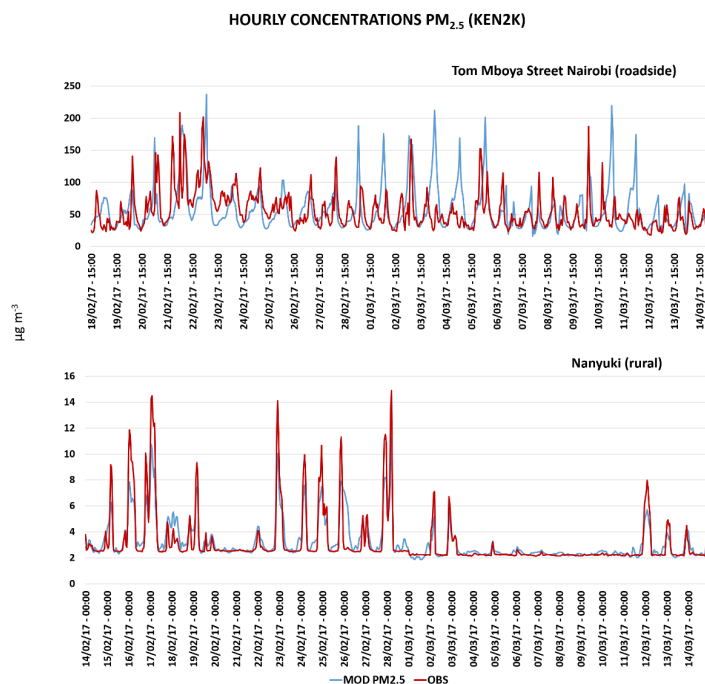
608 The misrepresentation of some high peaks in Tom Mboya street is possibly due to a number of different reasons.  
609 Firstly, is important to recall that the point measurements and relative observed concentrations are representative  
610 of a smaller portion of space in comparison with grid-cell concentrations modelled. In this particular case the  
611 comparison is between a roadside site subjected to possible additional local sources of  $PM_{2.5}$  not accounted for in  
612 the emissions and not correctly reproduced by CHIMERE. On the other hand, a few of the modelled peaks results  
613 were overestimated. This can be addressed by improved temporal description of the input emissions and in their  
614 magnitude in comparison to the reality. As mentioned previously, the anthropogenic emissions used in this work  
615 were the most up-to-date available at the time and that there is inevitably some difference between the measured  
616 data due to the difference in time between the inventories and the measurements. Despite this, there is reasonable  
617 agreement between model outputs and observed concentrations for the majority of the analysed period  
618 highlighting the reliability of CHIMERE in describing the hourly concentrations trends for a roadside site with  
619 expected high levels of  $PM_{2.5}$  contamination.



620

621 **Figure 7:** Map showing the second nested domain used in CHIMERE to simulate  $PM_{2.5}$  concentrations over Kenya. The blue  
622 dot shows the location of the roadside site of Tom Mboya Street in Nairobi ( $1.28^{\circ}S$ ,  $36.82^{\circ}E$ ) while the red dot shows the  
623 location of the rural site of Nanyuki ( $0.01^{\circ}N$ ,  $37.07^{\circ}E$ ). The green dots show the locations of all the MIDAS weather stations  
624 listed in Table 2 while the red dashed square defines the area of Nairobi. The contour lines represent the topography from the  
625 WRF outputs.

626



627

628 **Figure 8:** Hourly time series for  $PM_{2.5}$  from the roadside of Tom Mboya Street (top) and from the rural site of Nanyuki (bottom)  
629 from modelled output from CHIMERE model (blue line) and observed values from Pope et al. (2018) (red line) for the analysed  
630 period. The simulation started on the 14<sup>th</sup> of February. For the Tom Mboya Street site only the period of time between the 18<sup>th</sup>  
631 of February and the 14<sup>th</sup> of March when observations were available has been shown in the timeseries.

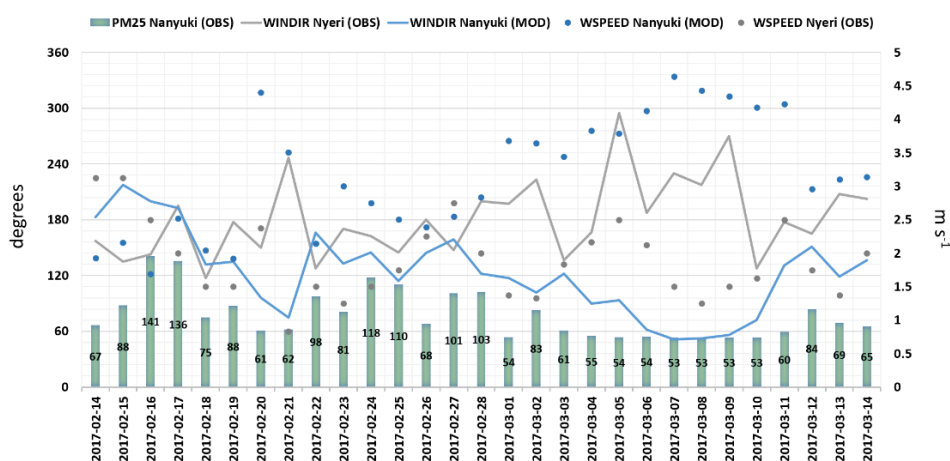


632

633 Similarly, in the rural site of Nanyuki, the model seems to correctly reproduce the hourly variation of the  
634 concentrations during the whole period, underestimating the maximum peaks at the beginning of February and in  
635 the last four days of simulation in March. (Figure 8). The site shows different magnitudes in the concentrations of  
636 PM<sub>2.5</sub> when comparing the February and March periods. While between the 4<sup>th</sup> and the 10<sup>th</sup> of March hourly  
637 concentrations are around 3–4 μg m<sup>-3</sup>, previously and subsequently to this period of time, the concentrations of  
638 PM<sub>2.5</sub> are more than two times higher. This behaviour is visible both in the observations from the site (red line in  
639 Figure 8, bottom) and from the model outputs obtained using CHIMERE (blue line in Figure 8, bottom).

640

641 A possible reason for this different behaviour may be due to different weather conditions of wind speed and wind  
642 directions during February and March in that particular area. The closest MIDAS weather station to the sampling  
643 area of Nanyuki is in the town of Nyeri (0.43°N, 36.95°E altitude 1916 m a.g.l.) (n 10 in Figure 7). Nyeri is only  
644 60 km from the Nanyuki site and is situated between Mount Kenya (0.10°N, 37.30°E, altitude 4341 m a.g.l.) to  
645 the west and the Aberdare Range (0.46°N, 36.69°E, altitude 3441 m a.g.l.).



646

647 **Figure9:** Comparison between daily observed values of wind speed (grey dots) directions (grey lines) from the MIDAS site  
648 of Nyeri (n.10 in Figure 7), modelled daily wind speed (blue dots) and directions (blue lines) from the site of Nanyuki with  
649 daily total observations of PM<sub>2.5</sub> (expressed in μg m<sup>-3</sup>, green columns) obtained from the sampling site of Nanyuki (red dot in  
650 Figure 7).

651

652 The daily total concentrations observed in the sampling site of Nanyuki have been compared with the daily mean  
653 values of wind speed and directions observed at the MIDAS station of Nyeri and with the daily mean values of  
654 wind speed and directions modelled by WRF in Nanyuki (Figure 9). The period between the 4<sup>th</sup> and the 10<sup>th</sup>  
655 of March, when the daily total PM<sub>2.5</sub> observed in Nanyuki was around 55 μg m<sup>-3</sup> corresponds to higher wind speed  
656 conditions (between 4 and 5 m s<sup>-1</sup>) mainly coming from North-Est (around 60 degrees). In the same period, at  
657 Nyeri the modelled wind speed was low (between 1 and 2.5 m s<sup>-1</sup>) and mainly with a westerly component (between  
658 220 and 300 degrees).



659

660 In the periods of higher hourly concentrations of  $PM_{2.5}$  between the 15<sup>th</sup> and the 19<sup>th</sup> and between 22<sup>nd</sup> and the  
661 28<sup>th</sup> of February 2017, both in Nyeri (using observations) and in Nanyuki (using model outputs) the component  
662 of wind directions seems to be consistent in reproducing southern winds (between 120 and 190 degrees) with wind  
663 speeds between 1.5 and 2.5  $m\ s^{-1}$  in the first period and between 2 and 3  $m\ s^{-1}$  in the second period.

664

665 The correspondence between the wind speed and directions in particular time periods and the vicinity of the towns  
666 could suggest the potential dispersion of pollutants from the southern area of Nyeri to the northern area of Nanyuki  
667 in accordance with the wind fluxes from south to north from Nyeri from the observations and also from WRF  
668 outputs extracted from the Nanyuki location. The flux could also be driven by the location of Nyeri sited at the  
669 entrance of a basin between two mountain ranges. On the other hand, in the period of low concentrations between  
670 the 4<sup>th</sup> and the 10<sup>th</sup> of March north eastern winds (around 60 degrees) blow with high speed on Nanyuki (around  
671 4  $m\ s^{-2}$ ) while lower speed winds (between 1 and 2  $m\ s^{-2}$ ) from a more variable directions (between 170 and 300  
672 degrees) are apparent in Nyeri preventing the possible dispersion of pollutants.

673

674 This evaluation done on the relationships between weather conditions and the relative correspondence in hourly  
675 and daily levels of  $PM_{2.5}$  cannot exclude the presence of possible transport phenomena. Nevertheless, the lack of  
676 additional weather observations in the sampling site of Nanyuki and in the middle way between the two towns  
677 prevent from any additional hypothesis in relation to the presence of possible pollutant transport phenomena that  
678 will be object of future investigations. Further efforts will be oriented in a more detailed trajectory analysis of the  
679 winds and in a more detailed representation of the emissive sources present in the area to investigate possible  
680 transport effects in this area.

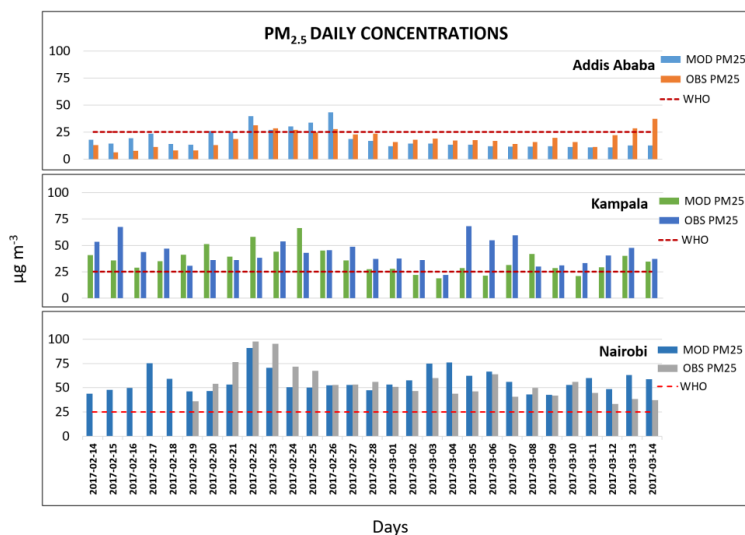
681

### 682 3.3 CHIMERE as an Air Quality Management Tool

683

684 The usefulness of CHIMERE as a decision support tool to facilitate air quality management of large urban  
685 conurbations of SSEA was investigated for the three domains at a resolution of  $2\times 2$  km, namely: KEN2K, UGA2K  
686 and ETH2K. In order to evaluate the performance of CHIMERE as a decision support tool, daily observations of  
687  $PM_{2.5}$  for the three domains were compared with modelled concentrations in terms of number of exceedances  
688 from the WHO limit of 25  $\mu g\ m^{-3}$  observed and captured by the model (Figure 10). Moreover, for the case of  
689 Nairobi, the spatial distribution of daily average concentrations of  $PM_{2.5}$  on the constituencies where analysed,  
690 highlighting how many areas of the city showed low air quality indexes during the analysed period and the relative  
691 population density exposed to  $PM_{2.5}$  pollution (Figure 11).

692



693

694 **Figure 10:** Daily concentrations of  $PM_{2.5}$  between the 14<sup>th</sup> of February and 14<sup>th</sup> of March obtained from CHIMERE outputs  
 695 from domains at 2x2 km compared with US Embassy daily totals for the cities of Addis Ababa (top) and Kampala (middles)  
 696 and with ASAP observations for the city of Nairobi (bottom). All three simulations have been compared also with the WHO  
 697 threshold limit for  $PM_{2.5}$  concentrations (red line). For the case of Nairobi, only observations from the 18<sup>th</sup> of February were  
 698 available.  
 699

700 Daily concentrations of  $PM_{2.5}$  modelled by CHIMERE were compared with the number of exceedances of the  
 701 WHO limit (i.e.  $25 \mu\text{g m}^{-3}$ ) observed during the simulated period. Figure 10 shows the daily average  
 702 concentrations for the three cities in the sampling sites used for the validation of the model. It can be seen that  
 703 Nairobi and Kampala have the highest number of exceedances from the WHO limits (24) followed by Addis  
 704 Ababa with only 6 observed exceedances. From Table 6 it can be seen that CHIMERE provides sufficient accuracy  
 705 to detect the exceedances of  $PM_{2.5}$  from the WHO limits. In particular, it was able to detect 67 % of the exceedance  
 706 for Addis Ababa with only two false positives, 91 % for Kampala and all of the exceedances for Nairobi without  
 707 any false positives.

708

709 **Table 6:** Summary of the number of WHO exceeding limits for  $PM_{2.5}$  during the simulated period from the 14<sup>th</sup> of February to  
 710 the 14<sup>th</sup> of March 2017 observed and modelled. Ratio between the observed and modelled Exceeding limit and number of  
 711 model overestimations are also reported.

Domains	WHO Exceeding Limits (obs)	WHO Exceeding Limits (mod)	Ratio (%)	Model False positive
Nairobi	24	24	100	0
Addis Ababa	6	4	67	2
Kampala	24	22	91	0

712

713 The Air Quality Index (AQI) represents the conversion of concentrations for fine particles such as  $PM_{2.5}$  to a  
 714 number on a scale from 0 to 500 (Table 7). The higher the AQI value, the greater the level of air pollution and the  
 715 greater the health concern. AQI values at or below 100 are generally thought of as satisfactory. When AQI values  
 716 are above 100, air quality is unhealthy: at first for certain sensitive groups of people (101 – 150), then for everyone  
 717 as AQI values get higher (>151) (EPA, 2012).

718





719 The daily average concentrations of  $PM_{2.5}$  during the analysed period between the 14<sup>th</sup> of February and 15<sup>th</sup> of  
 720 March 2017 have been averaged for the urban area of Nairobi (red square in Figure 7) and compared with the city  
 721 constituencies spatial extension according to data from the Open Africa dataset (Open-Africa, 2018). According  
 722 to the division, 17 are the constituencies inside the Nairobi city boundaries (Figure 11). Averaged daily  
 723 concentrations of  $PM_{2.5}$  show that 8 of 17 constituencies had AQI values between 55.5 - 150.4  $\mu\text{g m}^{-3}$  during the  
 724 whole period. These areas are the most central and urbanized of Nairobi. Starehe constituency (n. 13 in Figure  
 725 11) contains the Tom Mboya Street sampling site (black spot in Figure 11) previously discussed where the WHO  
 726 limits for  $PM_{2.5}$  have been systematically exceeded during the analysed period. According to the SEDAC  
 727 population density data this area has population density between 15000 and 30000 people/ $\text{km}^2$  exposed to AQI  
 728 between 151-200 corresponding to unhealthy category for human health.

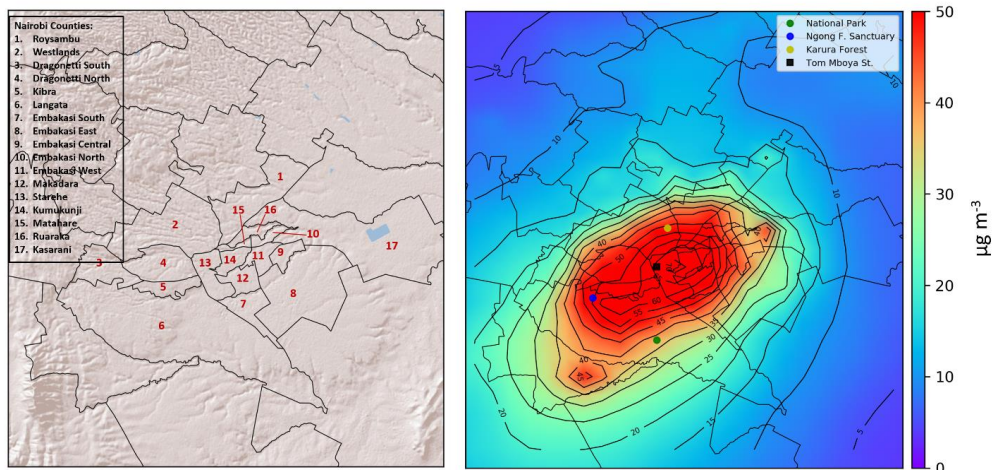
729

730 **Table 7:** Air Quality Index categories and relative range of 24-hour average concentrations for  $PM_{2.5}$  reported by the US  
 731 EPA revised air quality standard for particle pollution of 2012 (EPA, 2012)

AQI Category	Index values	AQI Breakpoints ( $\mu\text{g m}^{-3}$ on 24-hour average)
<b>Good</b>	0 - 50	0.0 - 12.0
<b>Moderate</b>	51 - 100	12.1 - 35.4
<b>Unhealthy for sensitive Groups</b>	101 - 150	35.5 - 55.4
<b>Unhealthy</b>	151 - 200	55.5 - 150.4
<b>Very Unhealthy</b>	201 - 300	150.5 - 250.4
<b>Hazardous</b>	>301	>250.5

732

733



734

735 **Figure 11:** Map showing the urban area of the city of Nairobi shown as dashed square in Figure 7. The constituency division  
 736 of Nairobi (left image) from Open Africa dataset (Open Africa, 2018) is compared with the average hourly concentrations of  
 737  $PM_{2.5}$  over the analysed period (top right) and the corresponding Air Quality Index levels as reported by the US EPA revised  
 738 air quality standard for particle pollution of 2012 (bottom right) (EPA, 2012) .

739



740 Moreover, Nairobi has a number of natural areas on the outskirts of city. Some particular locations such as the  
741 Karura Forest (yellow spot in Figure 11) and the Ngong Forest Sanctuary (blue spot in Figure 11) show averaged  
742 daily levels of  $PM_{2.5}$  around 50 and 55  $\mu g m^{-3}$  corresponding to an AQI of between 101 and 150 (i.e. unhealthy  
743 for certain sensitive groups of people). According to SEDAC data, the population density is between 10000 and  
744 15000 people for  $km^2$  in this area. Similarly, in the south side, near the entrance to the Nairobi National Park  
745 ( $1.36^\circ S$ ,  $36.82^\circ E$ , green spot in Figure 10) the average daily levels of  $PM_{2.5}$  are approximately 40  $\mu g m^{-3}$  with  
746 AQI values between 101 and 150 with a population density around 10000 people for  $km^2$ . This area (surface area  
747 117  $km^2$ ) has been impacted by a rapid urbanization since 1973 with a consequent increase of human activities  
748 including settlement, pastoralism and agriculture (Ogega O.M., 2019). These activities have already made it  
749 difficult for wildlife to migrate to and from the Nairobi National Park also are resulting in a deterioration of air  
750 quality. The rapid increase of population density in the south side of Nairobi seriously risk increasing the level or  
751 AQI exposing more people to harmful level of  $PM_{2.5}$ .

752

#### 753 4 Conclusions

754

755 The coupled modelling system WRF-CHIMERE was implemented and validated to simulate the air quality levels  
756 of PM in Eastern Sub-Saharan African urban conurbations.

757

758 In order to obtain updated anthropogenic emissions for 2017, the global EDGAR inventory and the DICE  
759 inventory for Africa were merged and spatially distributed using population density data for the year 2017  
760 obtained by linear extrapolation.

761

762 WRF has proved capable of reproducing the main meteorological patterns for all domains considered. A lower  
763 agreement between observations and the model was observed in Kampala for relative humidity and wind speed.  
764 The analysis was carried out on all surface meteorological stations available from the MIDAS network on a three-  
765 hourly basis. A further meteorological analysis extended to vertical profiles could reveal possible limitations of  
766 the model. However, the absence of vertical meteorological data limited the analysis and validation to ground  
767 level only.

768

769 CHIMERE was able to reproduce the daily levels of  $PM_{2.5}$  for the urban site of Nairobi as well as for the rural site  
770 of Nanyuki. The 69 % of the MFB values and 88 % of the MFE value were inside the highest confidence area for  
771 Nairobi and the 97 % and 99 % for Nanyuki attesting that the agreement between the observed and modelled data  
772 was sufficient to allow for quantitative analyses of daily average concentrations. Similar findings were also found  
773 for the other two urban background domains of Addis Ababa (57 % for MFB and 80 % for MFE) and Kampala  
774 (48% for MFB and 74 % for MFE) despite different characteristics and sources of observation being used for the  
775 validation. The discrepancies observed in the hourly trends of  $PM_{2.5}$  modelled by CHIMERE compared to  
776 observed values in the urban sites suggest that further studies are needed in the three urban areas. These studies  
777 are required to improve the understanding of the typology and quantity of local emission sources, which are  
778 sometimes misrepresented or absent in global emission inventories. This will enable the chemical processes acting  
779 in the urban troposphere to be adequately characterised and thereby actual air quality levels to be determined.



780

781 Nevertheless, using existing data sets, CHIMERE has shown reliability in reproducing both hourly and daily levels  
782 of PM<sub>2.5</sub> with hourly values largely inside the range of reliability connected with mean fractional bias and error.  
783 The model therefore can be adopted as a decision support tool for the management of air quality, as despite the  
784 low resolution of anthropogenic emissions the model can reproduce most of the exceedances of the limits set by  
785 the WHO for PM<sub>2.5</sub> for all three cities considered. The work has also shown for Nairobi the presence of low and  
786 unhealthy air quality indexes in 8 of 17 its constituencies and the relative population density exposed to harmful  
787 level of air contamination. Moreover, a number of natural areas in the outskirts of Nairobi have similarly low  
788 levels of AQI and increasing population highlighting how the problem of poor urban air quality due to rapid  
789 urbanisation, anthropogenic activities and lack of regulation can also detrimentally affect and deteriorate natural  
790 habitats.

791

792 Future efforts to improve the calibration and validation of the modelling system, especially relating to  
793 meteorology, will focus on assessing the dispersion dynamics of contaminants through urban centres and possible  
794 pollution transport events from urban to rural areas. To aid this, further work is required by local East African  
795 authorities and research bodies to improve the quantity and the quality of data for weather and air quality  
796 simulations. However, in this work, we have shown that currently available data is sufficient to carry out  
797 simulations of air quality that can be used for quantitative evaluation of anthropogenic emissions impact and to  
798 support mitigation policies at the local level.

799

800

801 **Authors Contribution:** **Andrea Mazzeo:** Conceptualization, Methodology, Software, Validation, Writing-  
802 Original draft preparation, Writing- Reviewing and Editing. **Michael Burrow:** Supervision, Writing - Review &  
803 Editing **Andrew Quinn:** Supervision, Resources. **Eloise A. Marais:** Data curation, Resources, Writing - Review  
804 and Editing. **Ajit Singh:** Resources, **David N'gang'a:** Resources, **Michael Gatari:** Resources. **Francis Pope:**  
805 Supervision, Data curation, Funding acquisition.

806

#### 807 **Acknowledgements:**

808 The work is funded by the UK Department for International Development (DFID) via the EPSRC grant 'Digital  
809 Air Quality' (EP/T030100/1) and by the East Africa Research Fund (EARF) grant 'A Systems Approach to Air  
810 Pollution (ASAP) East Africa'. The practical support of the Schools of Geography and Earth Sciences and  
811 Engineering at the University of Birmingham are gratefully acknowledged.

812

813 **Data Availability:** the combined DICE-EDGAR anthropogenic emission inventory is downloadable from:  
814 <https://doi.org/10.25500/edata.bham.00000695>

815

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