Authors' comments on the first revision of the manuscript: "On the cross-tropopause transport of water by tropical convective overshoots: a mesoscale modelling study constrained by in situ observations during TRO-Pico field campaign in Brazil", Reviewer-3

We greatly appreciate the reviewer's helpful and informative suggestions, which prompted us to revise our article. Thank you also for recognising the long-term study efforts to assess the influence of overshoots on the lower stratosphere's water budget. We make every effort to improve our style following your suggestions. This document contains a point-by-point answer to your remarks. There is a list of the original remarks. The typesets feature italic and boldface typefaces. Each remark is met with a response from us. The phrase "adjusted" is always included in the response when a change is made to the original version of the manuscript. The line numbers, page numbers, figure numbers, and table numbers refer to the original version of the document unless otherwise stated. The corrected version of the manuscript is also attached.

## 1 Major Comments

1. 165-69: this paragraph is extremely important as it sets the long-term strategy followed by this research group, involving field campaigns and numerical simulations at different resolutions. This is therefore a key pargraph. It would be good to make it a bit more precise and expand a bit. What is considered necessary as "fine-scale" simulations? In upscaling or generalizing results from fine-scale simulations to a larger scale, with parameterizations in mind, how do the authors suggest to tackle the issue of representativity? What do they expect the key variables from the large-scale state and circulation to be? What are candidates (for the large-scale variables that would, in a parameterization, influence the occurence or not of overshoots)? CAPE near the surface? The stability near the tropopause? How do these questions influence the design of case studies?

adjusted - To avoid any misunderstanding, this paragraph has been revised. We are talking about cloud-resolving simulations when we say "fine-scale" simulations. CAPE at the surface has a big impact on convection, and grid resolution has a big influence on it. Our research, on the other hand, is focused on the water vapour budget in cloud-resolving simulations where CAPE is high enough, and cloud-scale dynamics and TTL dynamics are good enough to induce overshoots. This calculation of the overshoot impact on the stratospheric water budget can then be utilised to feed a nudging strategy in a large-scale (Brazilian scale) simulation. Certainly, the estimate provided in the submitted paper does not represent the full range of hydration overshoots, and more results from other studies, including those conducted by our group, are required. However, in the simulations described in this paper, numerous overshooting cells exist, and the quantities presented here represent the average contributions of these several overshooting cells. We have chosen to share only broad information about how the nudging system should be since we do not want to reveal detailed indicators of what our nudging scheme (under development) will be until it is ready for publishing. In addition to what we want to undertake in our group in the near future, our estimates could be employed in a similar approach to superparameterization as detailed in Grabowski [2001], Khairoutdinov and Randall [2001], Khairoutdinov

et al. [2005]. The goal of superparameterisation is to explicitly include a convection parameterisation by a cloud-resolving model at each sub-grid scale in the GCM, integrating the local-scale aspects of convection in terms of the diurnal cycle and strength of convection that are typically unresolved by convective parameterisations in GCMs.

The updated paragraph is now: "Another potential strategy is to upscale stratospheric overshooting effects by forcing them into a large-scale simulation, where the overshoots are explicitly resolved in cloud-resolving numerical simulations. However, cloud-resolving simulation studies of several cases must be conducted before proceeding with this phase. The combined study of results corroborated by observations would encourage a stratospheric overshoot nudging strategy in a larger-scale or Brazilian size simulation. Furthermore, utilising the superparameterization method [Grabowski, 2001, Khairoutdinov and Randall, 2001, Khairoutdinov et al., 2005], explicitly adding cloud-resolving simulation in each grid or sub-grid point of a general circulation model (GCM) simulation or sub-GCM simulation to consolidate the local-scale aspects such as the diurnal cycle and convection strength [e.g., Khairoutdinov and Randall, 2006] would provide information on the influence of overshoots at a large scale. The goal of this research is to learn more about cloud-resolving simulations."

2. 187-93: for readers not very familiar with balloon technology, it would be worthwhile to explain a bit more (what are the different balloons inflated with, which are closed, which are open, what are typical ascent rates, flight durations, maximum altitudes? What are the payloads for different balloons, what are advantages and disadvantages?) and include references for interested readers.

adjusted - To cover the gaps you pointed out, we amended the paragraph concerning lines 87–93 with more informative information about balloon-borne data pertinent to this case study. We have also included references to a resource that goes through the basics of large-scale balloon campaigns and the strategies that go along with them.

The updated paragraph is now: "TRO-Pico is a French initiative based on a small balloon campaign in Bauru (22.36°S, 49.03°W), State of São Paulo, Brazil, and funded by the Agence Nationale de la Recherche (ANR). Its purpose is to study the stratospheric water vapour entry in the tropics at different spatial and time scales. In particular, TRO-Pico main's goal is to better quantify the role of overshooting convection at a local scale in order to better quantify its role at a larger scale with respect to other processes. It took place in March 2012 for the first intensive observation period (IOP) and from November 2012 to March 2013, with regular soundings including a second IOP in January and February 2013. The case under investigation in this paper is part of the first IOP while Behera et al. [2018] investigated the November 2012 to March 2013 TRO-Pico period. Several light-weight devices were used in this campaign, including the Pico-SDLA, which weighs 8 kg, the FLASH-B, which weighs 1 kg, and the COBALD, which weighs 1.3 kg. Hydrogen/helium-inflated Raven Aerostar zero-pressure plastic (open) balloons with volumes of 500 m<sup>3</sup> and 1500 m<sup>3</sup>, as well as 1.2 kg Totex rubber balloons that were somewhat larger than conventional radiosonde balloons, were used. The TRO-Pico campaign provided measurements of CO<sub>2</sub>, CH<sub>4</sub>, O<sub>3</sub>, and NO<sub>2</sub> using a large set of equipment. On the other hand, WV and particle measurements were the campaign's main sampling. Only the Pico-SDLA and FLASH-B WV measuring devices, along with the LOAC and COBALD particle measurement equipment, were flown on March 13, 2012. The balloons collected data with a vertical resolution of approximately 20 m. Readers interested in balloon-borne measurement technology may

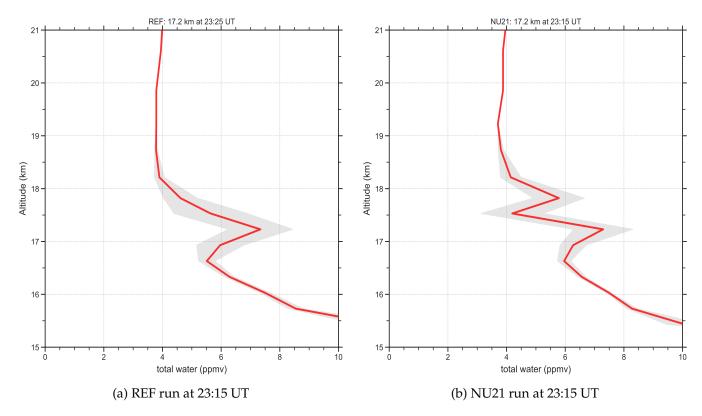
read Vernier et al. [2018] and Pommereau et al. [2011], as well as the references in those papers, which are based on large balloon campaigns, BATAL and HIBISCUS, respectively."

- 3. A major concern is that the authors have only very few simulations to explore the uncertainty on the impacts of overshoots. This is understandable these simulations are costly, and time-consuming to set up, store and analyze. Nonetheless, the simulations that have been made essantially sample the uncertainty due to the model setup. Another set of simulations that would be of interest would be similar simulations (same model setup as the reference simulation for example), but with variations of the large-scale conditions (artificial modifications of, say, lower level humidity, and/or mid-tropospheric humidity, and/or upper tropospheric stability...) to explore the sensitivity of the overshoots to these environmental factors. In the long-term strategy to guide parameterizations, the influence and relative importance of different environmental factors are crucial to estimate, at least qualitatively. It is not reasonable to expect new simulations to be carried out, but such considerations should be explained in a discussion or when sketching perspectives.
  - Thank you for bringing up the analysis time as well as the challenges and limitations that this type of numerical study may entail. To examine the variability of the impact of overshooting convection on the lower WV stratosphere and to aid in the development of a parameterisation, some sensitivity tests adjusting large-scale factors, such as humidity, may be of interest. However, our strategy is different. Our goal is to reproduce observed overshoots as realistically as possible so that we may be confident in our water budget quantifications. More than being the only simulations used to establish a parameterisation, such quantifications are utilised to feed nudging schemes (at least in our group). To be realistic, we choose to follow the ECMWF (operational analyses) initialisation and nudging at the boundary conditions, which are fed by observations via data assimilation.

Nevertheless, the cloud-resolving simulations of Hassim and Lane [2010] assisted to understand the unpredictability of overshoot hydration or dehydration for two instances with very different humidity in the TTL for the sensitivity test altering humidity. In a parameterisation scheme, the lower stratospheric humidity parameter is indeed highly essential. The nudging strategy developed by our group accounts for lower stratospheric humidity by allowing injected ice to sublimate as a function of local humidity.

- 4. For the validation, section 4.2, why is the focus so much on the local values? The vertical profiles in different location should be explored? Do simulated vertical profiles, in some places, reproduce the main features of the vertical profiles from balloon measurements?
  - We are focusing on local water vapour enhancements as a result of overshoots because overshoots are rather local. Figs. 3 and 4 in the submitted paper illustrate possible predicted local water vapour enhancements in Bauru at 17.2 km and 17.8 km levels, respectively, where the balloons caught two stratospheric wet air parcels arriving from overshoots during the TRO-Pico campaign. At these heights, the horizontal cross section of the model grid reveals multiple regions of water enhancement. The water vapour enhancement observed by the balloon may potentially be one of many grid points. Finding vertical water vapour profiles in Bauru that match the balloon profiles could be a time-consuming process. Normally, the model's vertical profiles are just that: vertical profiles, whereas balloons fly both vertically and slightly horizontally depending on wind flow, and each balloon delivers data every 20 m vertically, whereas the model is coarse in this regard. We should not expect a typical balloon-like profile. The simulated overshoots also have a little

temporal and spatial shift, as seen in Fig. 1 in the submitted paper. However, for the purpose of simplicity and context, we have extracted some vertical profiles (#1#) based on the local enhancements in Figs. 3 and 4 of the submitted paper, respectively.



#1# REF (a) and NU21 (b) vertical total water (ice + liquid+ vapour) profiles in the vicinity of overshoots. The grey colours represent the standard variation of total water content at corresponding heights, based on various grid-points somewhat northeast of Bauru as shown in Figs. 3a and 4a of the submitted paper. The mean value is represented by the red profile.

### 2 Minor Comments

- 1. Is meteorological model > climate model (the impact is for climate rather than weather forecasting)
  adjusted The sentence has been rewritten. Please see the answers below to the next suggestion.
- 2. 14-6: the sentence is a bit odd in the sense that it suggests three scales: local scale (cloud-resolving model), intermediate scale (mesoscale modelling?) and the global scale (climate models). What is meant exactly for the intermediate scale is not clear.

adjusted - To eliminate any confusion, we have rewritten this text: "Nevertheless, one debatable topic persists regarding the global impact of this event with respect to the temperature driven dehydration of air parcels entering the stratosphere. As a first step, it is critical to quantify their role at a cloud-resolving scale before assessing their impact on a large-scale in a climate model. It would lead to a nudging scheme for large-scale simulation of overshoots."

- 3. 19 numerical simulations depend on ...
  - adjusted This has now been fixed.
- 4. l19: '... could establish a forcing scheme...' > 'could inform the development of / provide guidance for ...'
  - adjusted The sentence has been rewritten: "In a large-scale simulation, these findings could provide guidance for a nudging scheme of overshooting hydration or dehydration."
- 5. 123: is exhibited extensively to be a part -> is known to play an important role in?
  - adjusted The sentence has been rewritten: "Water vapour (WV) concentrations in the stratosphere impact both chemistry [Shindell et al., 1999, Shindell, 2001, Herman et al., 2002] and Earth's radiative balance [Forster and Shine, 2002]."
- 6. 124: was also an element in the formation of polar stratospheric clouds
  - adjusted The sentence has been rewritten: "It also contributes to the formation of polar stratospheric clouds [Toon et al., 1990, Hervig et al., 1997]."
- 7. 129: 'the supercooled temperature field': there is a confusion here. The temperature field is a well-defined physical field. Supercooled water droplets are a thermodynamic phenomenon concerning water.
  - adjusted The sentence has been rewritten: "In the first order, the very cold temperature field across the tropical tropopause layer (TTL) constrains the abundance of WV in the stratosphere [Holton and Gettelman, 2001, Randel et al., 2001]."
- 8. 129: 'drives the abundance' -> 'constrains the abundance'? 'determines...'?
  - adjusted This suggestion has been carried out.
- 9. 132: beyond the level of positive ... -> above the level of zero radiative heating?
  - adjusted This suggestion has been carried out: "Inside, above the level of zero radiative heating, air masses progressively ascend and get dehydrated due to solid condensation or sedimentation of ice particles, a process known as the cold-trap mechanism [Sherwood and Dessler, 2000]."
- 10. l33: known as the cold-trap ...
  - adjusted This suggestion has been carried out.
- 11. l34-35: It is never certain if such modelling studies 'explain' the abundance of water vapor ... perhaps it is better to write: 'These trajectory studies have found agreement with ...'
  - adjusted This suggestion has been carried out:"The first trajectory studies by Fueglistaler et al. [2005], James et al. [2008], which ignored the contribution of deep convection in the

TTL, show agreement with the abundance and variability of WV in the tropical tropopause as measured by satellite-borne sensors, confirming the cold-trap as the principal mechanism dominating WV entry into the tropics."

12. l35: the text should mention that the studies considered here are just the first studies; the reader is otherwise surprised not to find certain more recent studies, which in fact are commented later in the text

adjusted - This suggestion has been carried out.

13. *l38: 'conclude' - > show? demonstrate?* 

adjusted - The sentence has been rewritten: "Nonetheless, open-ended debates over the trend of stratospheric WV [Oltmans et al., 2000, Rosenlof et al., 2001, Randel et al., 2006, Scherer et al., 2008] and tropopause temperature [Seidel and Randel, 2006] in the 1990s and 2000s demonstrate that additional factors may be at play in the processes that determine WV entering the stratosphere [Randel and Jensen, 2013]."

14. l39: 'the processes of WV entering into the stratosphere' - > 'the processes determining the WV entering into ...'

adjusted - This suggestion has been carried out.

15. l42: 'Recently many case studies'

adjusted - This suggestion has been carried out:"Recently many case studies, both based on modelling [e.g., Chaboureau et al., 2007, Grosvenor et al., 2007, Chemel et al., 2009, Liu et al., 2010, Dauhut et al., 2015] and observations [e.g., Corti et al., 2008, Khaykin et al., 2009, Iwasaki et al., 2012, Sargent et al., 2014, Khaykin et al., 2016, Jensen et al., 2020], have validated the hydration effect of stratospheric overshoots at local scales in the tropical belt."

16. l52: 'studies report' -> 'studies suggest'? bring evidence...?

adjusted - This suggestion has been carried out:"In recent years, studies suggest that deep convection reaching the tropopause may influence the stratospheric WV budget on a large scale"

17. l53: 'at a large scale' - > 'on a large scale'?

adjusted - This suggestion has been carried out.

18. l63: 'no studies can' -> 'it has not been possible to ...'?

adjusted - The sentence has been rewritten: "However, the critical impact of stratospheric overshoots on the global distribution of WV has so far proven difficult to estimate."

19. l70: observational – > observed

adjusted - This suggestion has been carried out:"Here, we perform three simulations of an observed case of stratospheric overshoots using the BRAMS (Brazilian version of RAMS) mesoscale model."

20. 172: a range of estimations -> a range of estimates

adjusted - This suggestion has been carried out:"It produces a range of estimates on the ice injection into the stratosphere and the remaining water after the sublimation."

21. 172: the remaining 'water'?

adjusted - This suggestion has been carried out.

22. 185: 'It': needs to be explained, too abrupt as it is

adjusted - This line and the paragraph it refers to have been updated: "TRO-Pico is a French initiative based on a small balloon campaign in Bauru (22.36°S, 49.03°W), State of São Paulo, Brazil, and funded by the Agence Nationale de la Recherche (ANR). Its purpose is to study the stratospheric water vapour entry in the tropics at different spatial and time scales. In particular, TRO-Pico main's goal is to better quantify the role of overshooting convection at a local scale in order to better quantify its role at a larger scale with respect to other processes."

23. 187: equipped with -> based on?

adjusted - This suggestion has been carried out.

24. 192: only the WV measuring instruments were flown: Pico-SDLA...

adjusted - The sentence has been rewritten: "Only the Pico-SDLA and FLASH-B WV measuring devices, along with the LOAC and COBALD particle measurement equipment, were flown on March 13, 2012."

25. l107: reference for the ETA model?

adjusted - There are now references: "At IPMet in Bauru, CAPE values of  $\geq$ 4000 J kg<sup>-1</sup> were forecast in the central and western parts of São Paulo State by the meso-ETA weather model [Mesinger et al., 2012, Betts and Miller, 1986], of which an adapted version [Held et al., 2007] was routinely running with a horizontal resolution of  $10 \, \mathrm{km} \times 10 \, \mathrm{km}$  during the TRO-Pico campaign."

26. l116:  $1200 \,\mathrm{g} - > 1.2 \,\mathrm{kg}$  as on line 89, for consistency and for the reader to easily recognize which balloon is referred to

adjusted - This suggestion has been carried out.

#### 27. l122-124: relationship between the two measurements?

– It is unclear to us. During the campaign, one balloon with Pico-SDLA and LOAC was flown, followed by another balloon with FLASH-B and COBALD three hours later. These water vapour profiles measured during TRO-Pico are discussed by Khaykin et al. [2016].

#### 28. l128: decayed -> decaying?

adjusted - The sentence has been rewritten: "However, based on a more extensive investigation of a deep convective system that developed during the local afternoon of March 13, 2012, in the southeast of Bauru, and decayed in the evening, the current work provides additional insights into the time evolution of this meteorological state."

#### 29. l156: determine -> solve

adjusted - This suggestion has been carried out: "Furthermore, using a smart grid-nesting system that solves equations simultaneously between computational meshes while applying any number of two-way interactions, the BRAMS/RAMS can solve the fully compressible non-hydrostatic equations [Tripoli and Cotton, 1982]."

#### 30. 1159: reproduces

adjusted - The sentence has been rewritten: "Marécal et al. [2007] are able to simulate the WV distribution in the tropical UTLS in a deep convective atmosphere using this model."

- 31. l161-162: about the simulations of Liu et al (2010): it reads as if these simulations could be very similar to the ones carried out here; more precisions would be welcome. Were these simulations validated against observations? How?
  - Because they use three nested grids with the BRAMS model, these simulations appear to be very comparable to those in Liu et al. [2010]. However, more overshoot case studies using cloud-resolving models are needed to further comprehend their large-scale impact on the stratospheric water budget. Nonetheless, Liu et al. [2010] focus on well-organised convective systems in West Africa, whereas the current work focuses on significantly less well-organised convective systems in South America, with a little greater horizontal resolution (800 m here versus 1 km in Liu et al. [2010]). Furthermore, we have extended on our advantages (S-band radar) in this study to further constrain the simulations.
- 32. l168: before the paragraph explaining the technical setup, the modelling strategy (and in particular the overall choices and compromises for the nesting and domains) should be explained

adjusted - This paragraph has been rewritten: "We use the BRAMS model to run three cloud-resolving simulations, including multiple grid-nesting to explicitly address the stratospheric overshoots associated with the case study in sect. 2. In these simulations, the modelling strategy is to assess the sensitivity of the stratospheric water budget linked to overshoots to the model setup, such as microphysical parameters or vertical resolution, resulting in various hydration or ice injection amounts. It is likely to have an impact on our

conclusions about the underlying physical characteristics related with overshoots, as well as the mechanism for setting them up in large-scale  $H_2O$  nudging scheme simulations (or Brazilian size). We employ the same domain (mother-grid) as a step forward from Behera et al. [2018] seasonal scale study, where the model cannot explicitly resolve the overshoots. Then we raise the spatial resolution until we reach the third grid, ensuring that the overshoots are explicitly resolved. We start the simulation several hours before the onset of deep convection activity in the radar data, because we will use Bauru radar observation to evaluate the development of convective cells, as mentioned in sect. 2.3, and to give the model enough time to spin up.

Following that, we run three simulations with a spatial resolution of  $800\,\text{m} \times 800\,\text{m}$ . The first of the three simulations is the reference simulation (REF). The shape parameter ( $\nu$ ) of the hydrometeors in the bulk microphysics setting differs from REF in the second simulation, which is indicated as NU21 ( $\nu$  = 2.1). NU21 is projected to produce hydrometeors with greater mean mass diameters. To better assess TTL dynamics, the third simulation, denoted HVR (High Vertical Resolution) hereafter, has a greater vertical grid-point resolution than REF and NU21. The impact of NU21's sensitivity to the microphysical component, as well as HVR's vertical resolution, on simulations of deep convection and overshooting plumes, is then examined."

#### 33. l171: presentation?

adjusted - We have replaced it with 'resolution'.

34. l183: this top is rather low given the height of the phenomena of interest; what is the vertical coordinate? What gives confidence to the authors that this model depth is sufficient?

adjusted - Marécal et al. [2007], Liu et al. [2010] have given us confidence in our cloud-

resolving simulation setup. During the TRO-Pico campaign, they employed the BRAMS model with a roughly same setup as we do here to examine overshoots over Brazil. Otherwise, the CSU RAMS model's terrain-following sigma (vertical) coordinate system is well-known. The text has been updated to include this sentence.

<u>The text is now:</u>"We restrict the top layer of the domain to 30 km altitude with a sponge layer of 5 km to absorb gravity waves at the top on a terrain-following sigma coordinate system, regardless of the vertical resolution of the simulations."

35. 1187: 'which varies between 2 and 10s for the coarsest / exterior grid'?

adjusted - This suggestion has been carried out: "To ensure numerical stability, the simulation integration time step varies between 2s to 10s for the coarsest grid."

36. *l204*: 'all the three' -> the three?

adjusted - This suggestion has been carried out: "We validate the three BRAMS simulations using observations from the S-Band radar of IPMet, located in Bauru, and the balloon-borne measurements of the TRO-Pico campaign, respectively."

#### 37. l209: interpreting – > comparing?

adjusted - This suggestion has been carried out: "We examine the BRAMS model's capacity to initiate and describe deep convection activity at an accurate time and location by comparing simulated outputs to S-Band radar data."

38. 1212: 'we determine the cloud top for this range of altitude': ambiguous formulation

adjusted - The paragraph corresponding to line 212 has been modified: "To do so, we estimate the modelled cloud top layers every 1 km at altitudes ranging from 9 km to 20 km, much like the echo top products. We determine the modelled cloud top height for this altitude range if the concentration of condensed water, i.e., ice plus liquid, exceeds a specified mixing ratio threshold within a specific layer."

39. l231: is it 'earlier' or 'later'?

adjusted - This correction has been carried out. 'earlier' is replaced with 'later'.

40. *1237*: remove 'now'

adjusted - This suggestion has been carried out.

41. l238: with tops typically at 9 to 10 km altitude?

adjusted - This suggestion has been carried out: "The radar is largely cloud-free at the start of the convective activity (15:01 UT); the only convective cells are around 100 km south-southeast of Bauru near Botucatu, with tops typically at 9 km to 10 km in altitude."

42. 1243-244: By 15:00 UT, the deep convection altitude in HVR is also higher than in REF...

adjusted - This suggestion has been carried out: "By 15:00 UT, the deep convection altitude in HVR is also higher than in REF and the radar echo tops."

43. *l249-250*: good point, but the formulation is somewhat clumsy; this should be reformulated

adjusted - This suggestion has been carried out:"Thus, all simulations predict the onset of convective activity to be slightly earlier than observed. Given the uncertainties in modelling and S-band radar perceptions of deep convective activity, associating one-by-one simulations with radar convective cells in spatial and temporal terms is a difficult task [e.g., Li et al., 2008, Rowe and Houze, 2014, Weisman et al., 1997]. As a result, it may not be the most appropriate criterion for evaluating these disorganised deep convective cloud simulations."

44. 1261: higher than REF and NU21: could this be quantified?

adjusted - To avoid any misunderstanding, the sentence has been rewritten. We're talking about the number of overshoots here. In addition, from the three simulations, we deter-

mine the number of overshoots and their cloud top heights in Table 1. According to the estimates, there are 10 overshoots in REF, 6 in NU21, and about 18 in HVR.

<u>The text is now:</u>"HVR, on the other hand, has approximately 18 overshooting plumes during the observation period, which is significantly more than REF (10 overshoots) and NU21 (6 overshoots)."

#### 45. 1267: inertial gravity wave: should this be internal gravity wave?

adjusted - We believe we are describing the same phenomenon. However, two references for inertial (internal) gravity waves has been added.

<u>The text is now:</u> "Aside from that, Eulerian model simulations of high vertical resolution, high-frequency wave motions, such as inertial-gravity waves [e.g., Staquet, 2004, Young, 2021], can be overdetermined."

# 46. 1268-269: given that there are only 3 simulations, it is unfortunate to leave one out. Are there not uses that could still be of relevance? (Sensitivity..?)

adjusted - We are surprised to see such deep convection behaviour in HVR run. We could not help ourselves when we observed the animation of cloud temporal progression compared to the S-band radar and the other two simulations. Despite this, we use HVR to count the number of overshoots (Table 1), a statistic that clearly distinguishes this run from REF and NU21 runs. Apart from that, we do not do any additional analysis with HVR. While there may be more applications for HVR, we have modified this paragraph to include more theoretical information as well as performance references to support our statements.

The updated paragraph is: "To further understand the situation, one can expect HVR to determine more reliable dynamics across the tropical tropopause than REF and NU21, respectively. Contrary to expectations, it tends to intensify massive deep convection activity. A plausible fact to explain such behaviour in HVR is the ratio between vertical and horizontal grid points, which overestimates vertical motions due to grid cell saturation [Homeyer et al., 2014, Homeyer, 2015]. It might be the model's Courant–Friedrichs–Levy(CFL) limit, which in finite-difference simulation techniques constrains the relationship between infinitesimal increases in space grid points and infinitesimal time step increments. In the BRAMS model, the von Neumann stability assessment [Deriaz and Haldenwang, 2020] is necessary for the transport equations related to convection. Aside from that, Eulerian model simulations of high vertical resolution, high-frequency wave motions, such as inertial-gravity waves [e.g., Staquet, 2004, Young, 2021], can be overdetermined. As a result, they can exaggerate cloud microphysics [Aligo et al., 2009] and cause erroneous cloud conditions near the TTL [Jensen and Pfister, 2004]. Therefore, we leave HVR out of the next sections to describe the details, and we do not look at this simulation's water budget in the lower stratosphere."

#### 47. 1366-368 and 1372-373: redundant, the second occurrence could be removed

adjusted - This suggestion has been carried out.

The updated paragraph is:"We provide the five conceivable combinations of hydrometeors inside an overshooting plume to document the quantitative information collected from the simulations on the structural characteristics of a typical overshooting plume. Its base is at the 380 K isentropic level, which is the stratosphere's lowest layer. At the 380 K isentropic

level, the instantaneous mass flux of individual hydrometeors is also estimated. Between 380 K to 430 K isentropic levels, it comprises the estimation of total ice mass and the five types of ice particles. Finally, a table provides the quantities that could lead to a road map of a nudging scheme of the water vapour enhancement in the lower stratosphere due to overshoots in large-scale simulations, which could lead to the quantification of the influence of overshoots on a large scale."

# 48. l388-393: very descriptive of model output, but could some more physical interpretation be suggested

adjusted - This paragraph has been revised to include greater physical meaning and reasoning. However, further physical interpretation of such processes would only be possible and make sense if we could establish a nudging method and run a large-scale simulation using this data.

The text is now:"Within the overshooting plume, Fig. 5 also reveals a large amount of aggregates and graupel at the tropopause level, particularly for REF. It is worth noting that pristine ice is completely absent towards the plume's deepest core at the base (16.6 km height, ~380 K). Snow, aggregates, and, to a lesser extent, graupels are the only hydrometeors that survive. The major ice hydrometeors in NU21 are snow particles, which disperse across a small area with a radius of around 5 km. The overshooting dome at the edge of the plume near the tropopause level in all three scenarios is entirely formed of pristine ice. In both scenarios going up to 18 km, well into the stratospheric region of the TTL, only pristine ice (70%) and snow (30%) are the principal constituents of the overshooting dome. Graupel and aggregates are present in REF, but not in NU21. This finding is in line with sensitivity tests conducted by manipulating microphysics in Chemel et al. [2009], Wu et al. [2009], who used the WRF model to investigate convective updrafts during the monsoon over Darwin, Australia. Our model illustrates an overshooting plume's overall particle distribution as well as its thermodynamic structure, which is controlled by particle size distribution and affects the convective updraft."

49. 1396: no need for a new paragraph

adjusted - This suggestion has been carried out.

50. l399-401: this sentence is not very clear; it could read as a criticism of that previous study, yet that study is by the same authors

adjusted - This sentence has been rewritten: "It is roughly the grid-point resolution of a large-scale simulation (400 km²), where Behera et al. [2018] show that with such horizontal grid-point resolution, BRAMS cannot explicitly produce overshoots, and illustrate the TTL dynamics and WV variability at a continental scale during a full wet season. In a cloud-resolving scale simulation, BRAMS generates overshoots that spread over 450 km² in the area at 380 K level, expanding from the third grid to the mother grid to disclose the intensity of convection. Hence, it is a critical point to consider when planning an overshoot nudging scheme."

51. l501: add 'the simulated': 'the simulated' overshooting plumes reaching...

adjusted - This suggestion has been carried out.

- 52. 1513: would it be possible to attempt to translate these numbers into a change of the ppmv content of water vapor, with appropriate assumptions on the volume affected by the injection?
  - A model like this can easily figure out the water vapour mixing ratio, which is largely determined by the background. In addition, the shape and distribution of the overshooting plume will have a substantial impact on the water vapour mixing ratio in the overshoots area. As a result, it cannot be compared to large-scale budget estimates. A mass budget, on the other hand, is an objective output that measures the amount of water injected into the stratosphere or that remains there after the event.

### References

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