

1 **Influence of organic aerosol composition determined by offline**  
2 **FIGAERO-CIMS on particle absorptive properties in autumn**  
3 **Beijing**

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26 **Abstract:**

27 Organic aerosol (OA) is a major component of fine particulate matter (PM) affecting air quality, human health, and the  
28 climate. The absorptive and reflective behavior of OA components contributes to determining particle optical properties and  
29 thus their effects on the radiative budget of the troposphere. There is limited knowledge on the influence of the molecular  
30 composition of OA on particle optical properties in the polluted urban environment. In this study, we characterized the  
31 molecular composition of oxygenated OA collected on filter samples in autumn of 2018 in Beijing, China, with a filter inlet  
32 for gases and aerosols coupled to a high-resolution time-of-flight chemical ionization mass spectrometer (FIGAERO-CIMS).  
33 Three haze episodes occurred during our sampling period with daily maximum concentrations of OA of 50, 30, and 55  $\mu\text{g m}^{-3}$ ,  
34 respectively. We found that the signal intensities of dicarboxylic acids and sulfur-containing compounds increased during  
35 the two more intense haze episodes, while the relative contributions of wood-burning markers and other aromatic compounds  
36 were enhanced during the cleaner periods. We further assessed the optical properties of oxygenated OA components by  
37 combining the detailed chemical composition measurements with collocated particle light absorption measurements. We show  
38 that light-absorption enhancement ( $E_{\text{abs}}$ ) of black carbon (BC) was mostly related to more oxygenated OA (e.g. dicarboxylic  
39 acids), likely formed in aqueous-phase reactions during the intense haze periods with higher relative humidity, and speculate  
40 that they might contribute to lensing effects. Aromatics and nitro-aromatics (e.g. nitrocatechol and its derivatives) were mostly  
41 related to a high light absorption coefficient ( $b_{\text{abs}}$ ) consistent with light-absorbing (brown) carbon (BrC). Our results provide  
42 information on oxygenated OA components at the molecular level associated with BrC and BC particle light-absorption and  
43 can serve as a basis for further studies on the effects of anthropogenic OA on radiative forcing in the urban environment.

44

45 **1. Introduction**

46 Organic aerosol (OA) makes up a large fraction of submicron aerosol particles globally (Jimenez et al., 2009). As such, OA  
47 plays an essential role in numerous atmospheric processes such as photochemical oxidation, new particle formation and  
48 growth, and cloud formation, and influences atmospheric pollution and human health, as well as global radiative forcing  
49 (Jimenez et al., 2009; Riipinen et al., 2012; Lu et al., 2019; Lelieveld et al., 2015; Daellenbach et al., 2020). Secondary organic  
50 aerosol (SOA) or oxygenated organic aerosol OOA (a surrogate of SOA) comprises a large number of organic compounds,  
51 many of them unknown, formed via oxidation of gas-phase organic precursors (volatile organic compounds, VOCs). SOA  
52 accounts for a large fraction of the total OA burden in the atmosphere (Jimenez et al., 2009). Knowledge gaps remain regarding  
53 SOA sources and formation mechanisms, especially in polluted areas with strong anthropogenic emissions (Huang et al.,  
54 2014).

55 OA, is found to be an important source of brown carbon (BrC), as light-absorbing OA is denoted. OA can also act as an  
56 effective shell of internally mixed black carbon (BC) particles that focuses photons onto the BC core (named ‘lensing effect’  
57 (Jacobson, 2001)), which leads to so-called light-absorption enhancement ( $E_{\text{abs}}$ ) of BC particles (Xie et al., 2019a; Xie et al.,  
58 2019b; Zhang et al., 2018; Liu et al., 2015; Wang et al., 2018). For all these optical effects, the chemical composition of OA  
59 plays a role (Zhang et al., 2011; Fleming et al., 2020; Laskin et al., 2015); OA light absorption can therefore not be fully  
60 quantified based on bulk concentrations only. Certain OA compounds, e.g. nitrophenol derivatives and amorphous carbon  
61 spheres (i.e., tarballs), formed from anthropogenic precursors, were found to be important components of BrC (Cheng et al.,  
62 2016a; Mohr et al., 2013; Wang et al., 2019b) and to significantly enhance the light absorption properties of particles even  
63 when present in small amounts (Teich et al., 2017). In contrast, certain biogenic SOA compounds seem to be less light-  
64 absorbing (Zhang et al., 2011). Generally, OA with a higher degree of oxygenation leads to higher BC  $E_{\text{abs}}$  than less  
65 oxygenated OA (Zhang et al., 2018). In fact, less oxygenated OA was estimated to have a negligible or even negative effect  
66 on  $E_{\text{abs}}$  in a study conducted in Beijing, China (Xie et al., 2019a). To better understand the impact of OA composition on  
67 particle optical properties, and to estimate effects on radiative forcing on both regional and global scales, detailed OA chemical  
68 composition and BrC/BC optical measurements need to be combined.

69 OA components can be characterized at the molecular level using offline gas or liquid chromatography coupled to mass  
70 spectrometry (GC/MS or LC/MS), which allows identification and quantification of a limited number or groups of compounds,  
71 due to the lack of standards (Schauer et al., 2002; Guo et al., 2012). More recently established online mass spectrometer  
72 methods can provide detailed composition information for many OA compounds, albeit without structural information. For

73 example, Aerosol Mass Spectrometers (AMS) are widely used to yield insights into the chemical evolution of OA when  
74 combined with factor analytical methods (Cai et al., 2015; Du et al., 2017; Hu et al., 2017; Sun et al., 2016; Jimenez et al.,  
75 2009). Online organic aerosol measurements using the extractive electrospray ionization (EESI) technique could provide in-  
76 situ molecular composition (Lopez-Hilfiker et al., 2019; Pagonis et al., 2021). Mass spectrometers employing chemical  
77 ionization coupled with different inlets such as the filter inlet for gases and aerosols (FIGAERO) (Thornton et al., 2020) or  
78 the Chemical Analysis of Aerosol Online (CHARON) (Müller et al., 2017) allow for SOA composition analysis in both the  
79 gas and particle phase at the molecular level. In addition to online deployments, these mass spectrometers are also used to  
80 analyze particles that were collected offline on filters (Siegel et al., 2021; Daellenbach et al., 2016; Huang et al., 2019; Qi  
81 et al., 2020).

82 In this study, coupled offline filter collection done in Beijing in autumn 2018 and a FIGAERO high-resolution time-of-  
83 flight chemical ionization mass spectrometer (FIGAERO-CIMS, Aerodyne Research Inc., US) to investigate (1) OA  
84 composition at molecular level during different haze types and (2) its implications for aerosol light-absorptive properties.

## 85 2. Method

### 86 2.1 Sampling information

87 The sampling site (39° 56'31" N, 116°17'50" E) is located on the west campus of Beijing University of Chemical  
88 Technology (BUCT), which is near the West Third Road in urban Beijing and surrounded by residential areas with local  
89 pollution sources such as traffic, residential heating and cooking emissions. The site is located on the top floor of a five-floor  
90 building, about 20 m above ground level. Detailed information on the sampling site and its characteristics are reported in  
91 previous studies (Kontkanen et al., 2020; Liu et al., 2020b; Cai et al., 2020; Zhou et al., 2020; Kulmala et al., 2021; Yan et  
92 al., 2021; Yao et al., 2020). During the sampling period (Nov 3 to Nov 16, 2018), particulate matter with a diameter of 2.5  
93  $\mu\text{m}$  or less ( $\text{PM}_{2.5}$ ) was collected on filters using a four-channel sampler (TH-16A, Tianhong Co., China) with a sampling  
94 flow rate of  $16.7 \text{ L min}^{-1}$ . 12-h  $\text{PM}_{2.5}$  nighttime (21:30-9:00, the next day) and daytime (9:30-21:00) samples were collected  
95 on 47 mm quartz filters (7202, 47mm, Pall Corp., US), pre-baked for 4.5 hours at  $550 \text{ }^\circ\text{C}$  before sampling. The pre-baking  
96 time was selected following procedures in a previous study (Liu et al., 2016) to ensure the removal of potential organic  
97 contamination. A total of 27 samples (the Nov 6<sup>th</sup> daytime filter was not analyzed due to a data acquisition error) and 3 blanks  
98 were collected (sampling dates are shown in Figure 1 and Table S1). Samples were kept in the filter holders, wrapped in  
99 aluminum foil, sealed in bags, and kept in a freezer at  $-20 \text{ }^\circ\text{C}$  until analysis at Stockholm University 7 months after collection.  
100 The filters were transported in a thermally insulated box with ice packs.

### 101 2.2 Offline FIGAERO-CIMS analysis

102 The filters were analyzed using the FIGAERO-CIMS in offline mode, largely following the approach proposed in previous  
103 offline FIGAERO-CIMS analyses (Siegel et al., 2021; Huang et al., 2019b). The particles collected on the filter were thermally  
104 desorbed by high purity nitrogen gradually heated from room temperature to  $200 \text{ }^\circ\text{C}$ . The desorbed molecules were then  
105 charged by the addition of iodide ( $\text{I}^-$ ), which is formed via exposure of methyl iodide to a radioactive source,  $\text{Po}^{210}$  in this  
106 study (Lopez-Hilfiker et al., 2014). The IMR pressure was  $\sim 100 \text{ mbar}$  and the total ion count (TIC) varied between  $\sim 600,000$   
107 and 1.2 million counts per second (cps) during analysis. Mass accuracy is within 10 ppm and the mass resolution is between  
108 5000 to 6000 for ions  $> 200 \text{ Th}$ . In order to reduce reagent ion depletion, we adapted the analytical protocol as following: 1)  
109 we used a "sandwich technique" to hold small punches (2 mm in diameter) of the collected quartz filters (shown in Figure  
110 S1), which allowed reduction of the amount of measured  $\text{PM}_{2.5}$ , 2) we used a non-uniform heating protocol for the FIGAERO-  
111 CIMS desorption: a slower temperature ramping rate was applied at heating temperatures between 80 and  $100 \text{ }^\circ\text{C}$  to avoid  
112 depletion of the reagent ion by the large amount of gaseous  $\text{HNO}_3$  evaporating (shown in Figure S2 and S4). More information  
113 on the offline method including background determination can be found in the SI.

114 FIGAERO-CIMS data were analyzed with the Tofware package (v.3.1.0, Tofwerk, Switzerland and Aerodyne, US) within  
115 the Igor Pro software (v.7.08, Wavemetrics, US). We identified the molecular composition of 946 ions in the  $m/z$  range 46 to  
116 500 Th. Most of them (939 ions) were clustered with  $\text{I}^-$ . The rest were 7 inorganic ions with low molecular weight ( $\text{NO}_2^-$ ,  
117  $\text{NO}_3^-$ ,  $\text{HSO}_4^-$ ,  $\text{HN}_2\text{O}_5^-$ ,  $\text{NO}_6\text{S}^-$ ,  $\text{H}_2\text{NO}_7\text{S}^-$ ,  $\text{H}_2\text{N}_3\text{O}_9^-$ ) and not considered in the following discussions. Identified CHOX  
118 compounds (compounds with molecular composition  $\text{C}_{c \geq 1}$ ,  $\text{H}_{h \geq 2}$ ,  $\text{O}_{o \geq 1}$ ,  $\text{X}_{0-n}$ , X can be N, S, or both) were grouped into (1)  
119 compounds containing only carbon, hydrogen, and oxygen (CHO,  $65 \pm 5\%$  of total CHOX signal), (2) nitrogen-containing

120 compounds (CHON, 30±5%), (3), sulfur-containing compounds (CHOS, 5±1%), and (4) compounds containing both nitrogen  
121 and sulfur (CHONS, 0.2±0.05%). The time series of the signal intensities of each compound during a heating cycle was  
122 normalized to the signal of the reagent ion I<sup>-</sup>. The background signal was determined using field blanks, which were scaled  
123 by the ratio in signal during the last 1.5–3 min of the soak period of samples and field blanks to account for instrumental  
124 backgrounds. Details of the adjustments can be found in the supplementary information. The background-subtracted signal  
125 intensities over the entire heating cycle, which includes temperature ramp and soak, were integrated, resulting in a single data  
126 point (in total ion counts) per compound and filter sample. The good correlation between FIGAERO-CIMS and ToF-ACSM  
127 (CHOX vs OA from ToF-ACSM, HNO<sub>3</sub>I<sup>-</sup> vs NO<sub>3</sub> from ToF-ACSM, SO<sub>3</sub>I<sup>-</sup> vs SO<sub>4</sub> from ToF-ACSM, see Figure S3) validates  
128 the offline FIGAERO-CIMS analyses – at least in terms of bulk PM constituents – and suggests that artefacts related to the  
129 method only play a minor role. Given this study’s focus on the variability of the molecular composition of oxygenated OA  
130 and its relative changes, we did not attempt to convert total ion counts into atmospheric concentrations as the quantification  
131 of individual compounds is complicated by the variable sensitivities to different compounds (Lee et al., 2014).

132 In OA compound analysis, double bond equivalents (DBEs) provide information on the potential number of rings and double  
133 bonds in a molecule. DBEs were calculated following the method proposed by Wang et al. (2017), shown as in Eq. (1):

134 
$$\text{DBEs} = 1 + c - \frac{1}{2}h + \frac{1}{2}n \quad (1)$$

135 where *c*, *h* and *n* are the number of C, H, and N atoms in the molecular formulae of the corresponding compounds.

136 Chemical characterization by FIGAERO-CIMS, essentially a thermodesorption technique, is prone to thermal decomposition.  
137 For example, more oxygenated multi-functional organic compounds such as citric acid (C<sub>6</sub>H<sub>8</sub>O<sub>7</sub>) and sucrose (C<sub>12</sub>H<sub>22</sub>O<sub>11</sub>)  
138 were found to be affected by thermal decomposition in the FIGAERO-CIMS (Yang et al., 2021; Stark et al., 2017). Since  
139 thermal decomposition generally occurs at temperatures higher than the desorption temperature of most compounds (Buchholz  
140 et al., 2020), multi-modal thermogram shapes can be used as an indicator for signal contributions from thermally fragmented  
141 compounds. Based on such analysis of the filter collected on Nov 14, among the 10 ions with the highest intensity, only one  
142 (C<sub>2</sub>H<sub>4</sub>O<sub>3</sub>I<sup>-</sup>) appeared to be affected strongly by thermal decomposition (Figure S18).

### 143 2.3 Collocated measurements and analyses

144 An online Time-of-Flight-Aerosol Chemical Speciation Monitor (ToF-ACSM, Aerodyne Research Inc., US) equipped with  
145 a PM<sub>2.5</sub> lens and standard vaporizer was operated at the same site. In this study, the ionization efficiency (IE, 230 ions pg<sup>-1</sup>)  
146 and relative ionization efficiencies (RIE) for NH<sub>4</sub> (4.0), NO<sub>3</sub> (1.05), SO<sub>4</sub> (0.86) and Cl (1.5) were determined by calibrations  
147 with pure standards of ammonium nitrate, ammonium sulfate and ammonium chloride, while the RIE of OA (1.4) was taken  
148 from the literature (Canagaratna et al., 2007). A composition-dependent collection efficiency (CE) for ToF-ACSM was  
149 applied following the method proposed by Middlebrook et al. (2012). Organic carbon (OC) and elemental carbon (EC) of  
150 PM<sub>2.5</sub> were measured by a semi-continuous OC/EC carbon aerosol analyzer (Model-4, Sunset Laboratory Inc. US) with a time  
151 resolution of 1 hour. The instrument was routinely calibrated with a solution of sucrose.

152 Gaseous NH<sub>3</sub> was measured by a collocated modified Chemical Ionization–Atmospheric Pressure interface–Time Of Flight  
153 mass spectrometer (CI-APi-TOF, Aerodyne Research Inc., US) charged by H<sub>3</sub>O<sup>+</sup> or its hydrated clusters. The NH<sub>3</sub>  
154 measurement method is described in previous studies (Cai et al., 2021; Zheng et al., 2015). Meteorological parameters,  
155 including temperature, relative humidity (RH), wind direction and wind speed were measured at the same site. The boundary  
156 layer height was calculated by the method proposed by Eresmaa et al. (2012) based on ceilometer (CL-51, Vaisala Inc.)  
157 measurements and used to identify the stagnant conditions typical for haze episodes.

158 The aerosol water content (AWC) for the sampling period was calculated with ISORROPIA II (Fountoukis and Nenes, 2007)  
159 based on the chemical composition of non-refractory PM<sub>2.5</sub> (NR-PM<sub>2.5</sub>) measured by the ToF-ACSM, and gaseous NH<sub>3</sub>.  
160 ISORROPIA II was run in forward and metastable modes to achieve stable performance (Wang et al., 2020; Guo et al., 2017).  
161 Here we show the base case, i.e. calculations with RH, temperature, major components, and NH<sub>3</sub>. Including gaseous HCl,  
162 gaseous HNO<sub>3</sub>, and the effects of particulate organic nitrate (PON) did not significantly influence calculated AWC (see SI).

163 Aerosol light absorption measurements were conducted with a multi-wavelength aethalometer (Model AE-33, Magee  
164 Scientific Co., US) equipped with a PM<sub>2.5</sub> cyclone. The aethalometer measures the optical attenuation (ATN) of light  
165 transmitted through PM collected on filters at seven wavelengths (370, 470, 520, 590, 660, 880 and 950 nm) with a time  
166 resolution of 5 min. To fill a data gap from Nov 3 to Nov 6 due to calibrations at the BUCT site, we also analyzed the data

167 from another AE-33 located at the Tower Branch of the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences.  
 168 The IAP site is located ~6 km northeast of the BUCT site. During the entire month of Nov, the BC analyses agreed well  
 169 between the two measurement locations ( $r = 0.94\text{--}0.95$  and intercept =  $0.33\text{--}0.58 \mu\text{g m}^{-3}$  for the 7 wavelengths, Figure S6).

## 170 2.4 Aerosol optical properties calculations

171 The light absorption coefficient ( $b_{\text{abs}}$ ) is determined from the ATN measured by the aethalometer and corrected for the so-  
 172 called shadowing effect (Virkkula et al. (2015)), which represents attenuation variation due to high mass loadings on the filter.  
 173 BC mass concentrations are derived from the shadowing effect-corrected  $b_{\text{abs}}$  (Hansen et al., 1983).

174 The variation of  $b_{\text{abs}}$  as a function of wavelength ( $\lambda$ ) is described by the Ångström exponent (AAE), which is typically  
 175 calculated using observations from a pair of wavelengths (Lack and Langridge, 2013) as in Eq. (2):

$$176 \quad \text{AAE} = -\frac{\ln(b_{\text{abs},\lambda_1}) - \ln(b_{\text{abs},\lambda_2})}{\ln(\lambda_1) - \ln(\lambda_2)} \quad (2)$$

177 In this study, we selected the two wavelengths of 370 nm ( $\lambda_1$ ) and 880 nm ( $\lambda_2$ ) from the aethalometer measurements to  
 178 calculate the AAE, following previous studies (Wang et al., 2018; Tao et al., 2020; Lim et al., 2014). It has been shown that  
 179 in contrast to BC, light absorption of BrC has a strong wavelength dependence, which results in high AAE values for BrC (4  
 180 to 7 (Cheng et al., 2016a)), and much lower AAE values for BC (0.8 to 1.1 (Teich et al., 2017)). An AAE value of 1.0 is  
 181 generally adopted for BC ( $\text{AAE}_{\text{BC}}$ , (Teich et al., 2017; Xie et al., 2019b; Cheng et al., 2016a) and also used in this study. Here  
 182 we have used these differences in AAE to separate  $b_{\text{abs}}$  for BC and BrC following the method by Lack and Langridge (2013).  
 183 Due to the low absorption of BrC in the infrared and low concentrations of mineral dust in autumn Beijing (Zhang et al.,  
 184 2013), it can be assumed that  $b_{\text{abs}}$  at 880 nm is only from BC particles.  $b_{\text{abs}}$  at 370 nm for BC ( $b_{\text{abs,BC},370\text{nm}}$ ) and BrC ( $b_{\text{abs,BrC},370\text{nm}}$ )  
 185 can then be calculated using Eqs. (3) and (4):

$$186 \quad b_{\text{abs,BC},370\text{nm}} = b_{\text{abs,BC},880\text{nm}} \times \left(\frac{370}{880}\right)^{-\text{AAE}_{\text{BC}}} = b_{\text{abs},880\text{nm}} \times \left(\frac{880}{370}\right) \quad (3)$$

$$187 \quad b_{\text{abs,BrC},370\text{nm}} = b_{\text{abs},370\text{nm}} - b_{\text{abs,BC},370\text{nm}} \quad (4)$$

188

189 We note that  $\text{AAE}_{\text{BC}}$  can vary with many factors such as BC core size, coating thickness, morphology, etc. (Zhang et al., 2018;  
 190 Cheng et al., 2009); BC with a core-shell structure can have an  $\text{AAE}_{\text{BC}}$  higher than 1.0 (Bond and Bergstrom, 2007). We also  
 191 calculated  $b_{\text{abs,BrC},370\text{nm}}$  following the empirical equation method proposed by Wang et al. (2018) using Mie theory calculation  
 192 and observed a high correlation ( $r = 0.98$  and intercept of  $1.6 \text{ Mm}^{-1}$ ) of the time series between the two aforementioned  
 193 methods.

194 The contribution of BrC to total aerosol absorption at 370nm ( $P_{\text{BrC}}$ ) is assessed by Eq. (5):

$$195 \quad P_{\text{BrC}} = \frac{b_{\text{abs,BrC},370\text{nm}}}{b_{\text{abs},370\text{nm}}} \quad (5)$$

196 Lack and Langridge (2013) postulated that using different values for  $\text{AAE}_{\text{BC}}$  and  $\text{AAE}_{\text{BrC}}$  to attribute aerosol light-absorption  
 197 to organic and black carbon, respectively, is only valid when there is substantial light absorption contribution ( $P_{\text{BrC}} > 23\%$ )  
 198 from BrC; the average  $P_{\text{BrC}}$  in our study period is  $34 \pm 9\%$ .

199 The light absorption of BC can be enhanced due to the lensing effect (BC absorption enhancement  $E_{\text{abs}}$ ); Jacobson et al.  
 200 (2001) reported factors of up to 2.9.  $E_{\text{abs}}$  of BC was calculated here as the ratio of light absorption of BC particles measured  
 201 at 880 nm by the aethalometer to the theoretical absorption from uncoated pure BC at 880 nm (Eq. (6), (Zhang et al., 2018;  
 202 Xie et al., 2019a)). The latter is calculated by multiplying EC concentrations (measured by the OC/EC analyzer) by the pure  
 203 BC mass absorption coefficient (MAC,  $7.5 \text{ m}^2/\text{g}$ ) taken from literature (Bond and Bergstrom, 2007; Wu et al., 2018).

$$204 \quad E_{\text{abs}} = \frac{b_{\text{abs,BC},880\text{nm}}}{b_{\text{abs,pureBC},880\text{nm}}} = \frac{b_{\text{abs},880\text{nm}}}{\text{EC} \times \text{MAC}_{\text{pure,uncoated}}} \quad (6)$$

205

### 206 3. Results and discussion

#### 207 3.1 Three haze episodes: Temporal variation of PM<sub>2.5</sub> components and meteorological conditions

208 During the period of sampling, we observed three particulate pollution or haze episodes (visibility <10 km and RH < 90%  
209 (Cai et al., 2020)) with NR-PM<sub>2.5</sub>+BC concentrations higher than 100 µg m<sup>-3</sup>, Nov 3 to 4, Nov 7 to 9 and Nov 11 to 15 (Figure  
210 1). Between these episodes, 12-h NR-PM<sub>2.5</sub>+BC concentrations decreased to <15 µg m<sup>-3</sup>. During the cleaner days (Nov 5 to  
211 Nov 6 and Nov 9 to Nov 10), the OA mass spectra from FIGAERO-CIMS were generally similar (shown in Figure S7). We  
212 selected the days of Nov 3 (Ep1), Nov 8 (Ep2), Nov 14 (Ep3) and Nov 10 (clean period) to compare the molecular composition  
213 of OA and derive particle optical properties. Even though OA concentrations were similar (Ep1: 49 µg m<sup>-3</sup>, Ep2: 30 µg m<sup>-3</sup>,  
214 Ep3: 40 µg m<sup>-3</sup>), the AWC exhibited large differences (Ep1: 65 µg m<sup>-3</sup>, Ep2: 12 µg m<sup>-3</sup>, Ep3: 263 µg m<sup>-3</sup>), indicative of  
215 different haze formation mechanisms.

216 Figure 1 shows the time series of temperature, RH, simulated AWC, wind direction and wind speed, as well as the time  
217 series of the chemical components during the sampling period. We observed strong diel patterns and a slightly decreasing  
218 trend in temperature during the whole sampling period. The wind direction and wind speed did not strongly influence the  
219 pollution levels, likely due to the on average relatively low wind speed (0.6 m/s). The ratio of SO<sub>4</sub> to NO<sub>3</sub> (Fig. 1d) was  
220 0.47±0.45, much lower than in the year 2005 (SO<sub>4</sub>/NO<sub>3</sub> = 1.6) in Beijing (Yang et al., 2011), illustrating that nitrate has  
221 become a more important PM component due to SO<sub>2</sub> reductions in North China during the last decade. We multiplied the  
222 CHOX signals from FIGAERO-CIMS with their corresponding molecular weight to present the total CHOX abundance.  
223 Similar temporal variation was observed between CHOX abundance and the OA concentrations from ToF-ACSM (*r*=0.94,  
224 Figure 1(c)).

225 Ep1 and Ep3 were strong haze episodes, with hourly concentrations of PM<sub>2.5</sub> of over 200 µg m<sup>-3</sup> and high concentrations of  
226 secondary inorganic aerosol (SIA) compounds such as nitrate, ammonium and sulfate. The amplitude of the diurnal cycles of  
227 temperature and RH were reduced when NR-PM<sub>2.5</sub>+BC concentrations were larger than 200 µg m<sup>-3</sup> in both episodes. The  
228 highest hourly AWC was larger than 100 µg m<sup>-3</sup> and 400 µg m<sup>-3</sup> in Ep1 and Ep3, respectively. In addition to the similarly  
229 high RH and AWC, Ep1 and Ep3 were both characterized by the strong influence of air masses arriving from the south of the  
230 North China Plain (NCP) (Figure S8). Such conditions are typical for the most severe haze episodes observed in Beijing (Sun  
231 et al., 2015; Sun et al., 2013), where high RH and AWC lead to heterogeneous processes and a strong increase of SIA. In Ep1  
232 and Ep3, the increase of OA concentrations and *f*<sub>44</sub>— the fraction of signal measured by ToF-ACSM at mass-to-charge ratio  
233 44 and an indicator of more oxygenated and thus secondary OA (Ng et al., 2011) - shows that not only secondary inorganic  
234 but also secondary organic species contributed strongly to those two severe haze episodes (shown in Figure 1)). A complete  
235 buildup process of haze was observed in the period of Nov 11 to 15 with Ep3, which seems to occur in two phases: Start of  
236 pollution accumulation under relatively dry conditions (Nov 11 – Nov 13), and then the development of haze with high AWC  
237 (Nov 13 to Nov 14).

238 Ep2 (Nov 8) with the highest hourly PM<sub>2.5</sub> concentrations of 150 µg m<sup>-3</sup> was characterized by a prominent OA contribution  
239 (43% of NR-PM<sub>2.5</sub>+BC) as well as a higher OA to NO<sub>3</sub> ratio (1.5, Figure 1d) compared to Ep1 (24%, 0.50) and Ep3 (27%,  
240 0.53), more similar to the cleaner periods during the whole sampling period with PM<sub>2.5</sub> <35 µg m<sup>-3</sup> (52%, 3.4). In addition,  
241 AWC and RH were much lower during Ep2 than during Ep1 and Ep3. This indicates a different haze formation mechanism  
242 governing Ep2 compared to Ep1 and Ep3.

243 The clean period (Nov 10) is characterized by low PM and AWC levels, with average PM<sub>2.5</sub> and OA concentrations of 14±7  
244 µg m<sup>-3</sup> and 8.4 ±4 µg m<sup>-3</sup>, respectively. These are much lower than the average values of the whole sampling period (76±79  
245 µg m<sup>-3</sup> and 22 ±15 µg m<sup>-3</sup>, respectively). During the clean period, the highest value of OA/NO<sub>3</sub> during the sampling period  
246 was observed (>10), illustrating the rather small influence of SIA.

## 247 3.2 Molecular composition of OA

248 The three haze episodes varied in the relative contribution of OA to total NR-PM<sub>2.5</sub>+BC, and in the ratio of OA to inorganic  
249 species as exemplified by the OA/NO<sub>3</sub> ratio in Figure 1(d). In the following, we examine the molecular composition of OA  
250 more closely for the three episodes and the clean period. Figure 2(a) shows the stacked time series of the organic compounds  
251 identified by FIGAERO-CIMS and grouped according to their molecular composition into CHO, CHON, CHOS, and CHONS  
252 compounds, with the sum of all compounds referred to as CHOX. The time series of the sum of the signal of the CHOX  
253 compounds measured by the FIGAERO-CIMS correlates well with that of the OA mass concentrations measured by ToF-  
254 ACSM ( $r = 0.95$ ), which shows the robustness of our sampling and analysis method. CHO (65±5%) and CHON (30±5%)  
255 compounds dominated the CHOX signal, even though the relative contributions of the different groups varied between the  
256 different episodes. Ep1 and Ep3 showed a high relative contribution of CHO and CHOS compounds (68% and 6.8% for Ep1,  
257 and 72% and 7.3% for Ep3, respectively), which can be associated with the rapid formation of oxygenated OA and  
258 organosulfates during haze in Beijing (Wang et al., 2021a; Le Breton et al., 2018), and relatively low contribution of CHON  
259 compounds (28% and 21% in Ep1 and Ep3, respectively). On the opposite, for the clean period, the relative contributions of  
260 CHO and CHOS were lower (56 and 3.4%, respectively), and those of CHON compounds were increased by a factor of ~2  
261 times (40%) compared to Ep1 and Ep3. In Ep2, characterized by low AWC, the CHO compounds had strong signal  
262 contributions (73%), similar to Ep1 and Ep3, but much lower contributions of CHOS (3.6%) and a similar contribution of  
263 CHON (23%) were observed.

264 For a more detailed look at the molecular composition of compounds during the different episodes, we further subdivided  
265 the compounds measured by FIGAERO-CIMS based on their number of carbon atoms per molecule (Figure 2b). In general,  
266 during the period analyzed here, compounds with less than 10 carbons contributed most to the total CHOX signal (78%±7%).  
267 Although <C<sub>10</sub> compounds were dominant, variation of different carbon number compounds was observed for the different  
268 periods. In Ep1 and Ep3, the contribution of compounds with low carbon numbers (C<sub>2-6</sub>) was 83% and 88%, respectively,  
269 while in the clean period their fractions went down to 73%. The signal intensities of C<sub>2-4</sub> compounds were >20 times higher  
270 in Ep1 and 3 than the clean period, which is likely related to aqueous phase formation of small molecules (e.g. dicarboxylic  
271 acids), as indicated by their high correlation with AWC ( $r = 0.86-0.91$ ). Those small compounds are typically assumed to be  
272 formed in the aqueous phase since gas-particle partitioning theory would favor larger precursor (>C<sub>7</sub>) SOA semi-volatile  
273 products in the particle phase (Lim et al., 2010). Another indication of aqueous SOA formation in Ep1 and Ep3 are the  $f_{44}$  and  
274  $f_{43}$  ratios of ~0.14 and ~0.06, which are within the narrow range of aqueous OA ( $f_{44}$ : 0.09–0.16 and  $f_{43}$ : ~0.06) observed in a  
275 previous study in Beijing (Zhao et al., 2019). In contrast, the relative contributions of >C<sub>10</sub> compounds were higher in the  
276 clean period (36%, compared to Ep3 with 18%), likely attributable to the stronger relative contributions from combustion  
277 emissions. In Ep2, C<sub>6</sub> compounds were strongly enhanced (30%) compared to the clean period (18%) and Ep3 (14%), which  
278 we associate with organics emitted from biomass burning (discussed below).

279 In Figure 2b we also plot the O:C ratio of CHO group derived from FIGAERO-CIMS data. Similar to what was shown  
280 previously for winter of Beijing (Hu et al., 2017; Sun et al., 2016), the bulk O:C generally followed the trend of total OA and  
281 total CHOX signal, i.e. higher OA concentrations coincided with more oxygenated OA. The highest O:C values (0.6 to 0.7)  
282 were observed during Ep1 and Ep3, while during the clean days, the O:C ratio went down to 0.4 to 0.5. The higher O:C ratios  
283 during the haze periods were likely due to the enhanced contribution of SOA. An SOA component related to aqueous-phase  
284 processes was found to be a dominant factor for the increase of the degree of oxygenation of OA during a humid pollution  
285 period in Beijing (Sun et al., 2016; Zhao et al., 2019). In-cloud or droplet processes may be enhanced and form OA compounds  
286 such as small acids (e.g. oxalate) (Guo et al., 2010) and humic-like substances (HULIS) (Laskin et al., 2015). We can therefore  
287 expect that the compounds with small carbon numbers that show higher contributions during humid haze periods (e.g. Ep3)  
288 may be carboxylic acids and therefore have a relatively high O:C ratio.

289 With secondary OA species being related to smaller carbon number, the temporal variation of the bulk average carbon  
290 number was then similar to that of the BC fraction of total PM<sub>2.5</sub> ( $f_{BC}$ ) (Figure 2c). BC is a typical indicator of primary  
291 combustion emissions (residential heating, traffic exhaust) in Beijing (Cai et al., 2017; Cai et al., 2020; Sandradewi et al.,  
292 2008; Zotter et al., 2017). Through secondary formation and oxidation reactions at a later stage of the haze between Nov 11  
293 to Nov 15, the contribution of secondary components increased, resulting in a decrease of  $f_{BC}$  and H:C ratios, while the O:C  
294 ratio increased. In Ep2, BC and  $f_{BC}$  increased to 10  $\mu\text{g m}^{-3}$  and 9.2% compared to <2  $\mu\text{g m}^{-3}$  and 3.1% on Nov 5 (clean day,  
295 the end of Ep1), suggesting that this episode was more influenced by primary emissions rather than secondary formation.  
296 Also, the signal of C<sub>6</sub> compounds was increased (shown in Figure 2(b)) due to the increase of C<sub>6</sub>H<sub>10</sub>O<sub>5</sub>I, which corresponds  
297 to anhydrous sugars such as levoglucosan, mannosan, galactosan, and 1,6-anhydro- $\beta$ -D-glucofuranose from the breakdown  
298 of cellulose during wood combustion (Simoneit et al., 1999)), tracers for biomass burning activities. Another indicator for  
299 biomass burning,  $f_{60}$ , was measured by ToF-ACSM (Cubison et al., 2011) measured by ToF-ACSM, was also increased in

300 Ep2. Ep2 was overall characterized by a larger influence of biomass burning emissions, which is not the case for Ep1 and 3.  
301 In Figure S9, we further show the carbon number-segregated O:C ratios during the sampling period, which confirm the  
302 different nature of haze episodes 1 and 3 compared to Ep2: the percentage contribution of C<sub>6</sub> compounds to CHO and their  
303 O:C ratios were different during Ep2 (42% and 0.8, respectively), compared to 25% (19%) and 0.7 (0.7) for Ep1 (Ep3).

304 The respective roles of different processes such as gas-to-particle conversion and condensed-phase reactions in the increase  
305 of OA mass and O:C ratio during the haze episodes can be investigated by looking at the mass increase of carbon, oxygen,  
306 and hydrogen in the particle phase separately. As shown in Figure 2(d) and Figure S10, the signal-weighted mass (defined as  
307 atom number multiplying their atomic mass) of elements C, H, O, N was generally increased during the three episodes, but  
308 the increase in mass concentrations of OA was mainly driven by the addition of both carbon and oxygen, implying that  
309 ageing/oxidation reactions (e.g. functionalization of particle-phase organics and aqueous-phase reactions), and gas-to-particle  
310 conversion contributed to SOA formation in haze episodes.

311 We also calculated the relative atom fraction of the individual atoms of all CHOX compounds ( $f_{\text{atom signal}}$ ) using Eq. (7):

$$312 \quad f_{\text{atom signal}} = \frac{\sum \text{Signal}_i \times \text{Atom}_{i,j,\text{num}} \times \text{AM}_{i,j}}{\sum \text{Signal}_i \times \text{MW}_i} \quad (7)$$

313 Where  $\text{Signal}_i$  and  $\text{MW}_i$ , represent the signal intensity and molecular weight of compound  $i$ , respectively, and  $\text{Atom}_{i,j,\text{num}}$  and  
314  $\text{AM}_{i,j}$ , the number and atomic mass of atom  $j$  in compound  $i$ , respectively. The time series of  $f_{\text{atom signal}}$  is shown in Figure 2  
315 (e). Compared to the clean period, a higher  $f_{\text{atom signal}}$  of O and slightly lower contributions of C and H were measured in Ep1  
316 and Ep3. This indicates again that oxidation reactions play an important role in the increasing total OA mass in the humid  
317 haze periods. We can, however, based on this analysis, not make any conclusions about the importance of aqueous-phase  
318 reactions. The  $f_{\text{atom signal}}$  of N decreased during the haze periods (Ep3: 21%, clean period: 29%) while S increased (Ep3: 1.4%,  
319 clean period: 0.67%), consistent with the CHON and CHOS group fraction variations (shown in Figure 2(a)). Although the  
320 mechanism of organosulfur and inorganic sulfate formation in heterogeneous reactions is not fully understood, it seems  
321 probable that SO<sub>2</sub> is rapidly oxidized and sulfate/organosulfur is formed in aerosol water with different types of oxidants and  
322 catalysts (Song et al., 2018; Cheng et al., 2016b; Liu et al., 2020a; Wang et al., 2021b).

323 In the following, we further characterize SOA in the different episodes with respect to: (1) compounds across different  
324 carbon and oxygen numbers, (2) compounds with different DBEs and (3) homologous-like series and individual compounds  
325 in typical episodes. The distribution of compounds with different carbon and oxygen numbers is shown in Figure 3. During  
326 the clean period, the C<sub>6</sub> compounds, especially C<sub>6</sub>H<sub>x</sub>O<sub>5</sub>, (and particularly C<sub>6</sub>H<sub>10</sub>O<sub>5</sub>I<sup>-</sup>), made up 5.9% of all CHO compounds,  
327 70% higher compared to Ep1 and Ep3. This indicates that biomass burning emissions played a relatively more important role  
328 during clean periods. Another important characteristic of the clean period was the enhancement of the relative contributions  
329 of nitrogen-containing organics, which were dominated by C<sub>6</sub>H<sub>5-11</sub>O<sub>3</sub>N compounds (possibly nitrophenols, 5% to CHOX,  
330 shown in Figure S11).

331 During Ep2, the signals of C<sub>6</sub>H<sub>x</sub>O<sub>5</sub> increased to up to 21% of total CHOX signals, which is a much higher fraction compared  
332 to the clean period (5.9%) and haze days (3.5%). Also, during Ep2, C<sub>6</sub>H<sub>10</sub>O<sub>5</sub>I<sup>-</sup> was the main contributor to this group (shown  
333 in Figure S7). The time series of C<sub>6</sub>H<sub>10</sub>O<sub>5</sub>I<sup>-</sup> (as well as its fraction of CHOX,  $f_{\text{C}_6\text{H}_{10}\text{O}_5\text{I}^-}$ ) follows the trend of  $f_{60}$  measured by  
334 the ToF-ACSM, and both are strongly enhanced during Ep2 (shown in Figure 2 (b) and Figure S12). The count median  
335 diameter (CMD) of the particles was around 60 nm (Figure S8) in Ep2, consistent with fresh biomass burning emissions (50–  
336 70 nm during flaming (Vu et al., 2015)), in contrast to the CMD in the clean period (~20 nm) and haze episode Ep3 (~100  
337 nm).

338 Ep1 and Ep3 were characterized by higher contributions of compounds with small carbon numbers (<6). Here we show that  
339 C<sub>2-6</sub>H<sub>x</sub>O<sub>4</sub> made up 23% (Ep1) and 27% (Ep3) of the total CHOX, which is 2 and 3 times higher than in Ep2 and the clean  
340 period, respectively. Since Ep1 and Ep3 were characterized by lower UVB radiation (shown in Figure S8), high AWC and  
341 high  $f_{44}$ , this indicates further that these dominant OA compounds with 4 oxygen atoms are dicarboxylic acids, likely formed  
342 in aqueous phase reactions. The absolute signal intensity of oxalic acid (C<sub>2</sub>H<sub>2</sub>O<sub>4</sub>I<sup>-</sup>) was 50 and 70 times higher in Ep1 and  
343 Ep3 than in the clean period, and a high correlation was observed between dicarboxylic acids and AWC ( $r = \sim 0.75$  for different  
344 dicarboxylic acids). As PM<sub>2.5</sub> concentrations increased with RH and AWC in Ep3, the concentrations of OA gradually  
345 increased from <10 μg m<sup>-3</sup> (daytime of Nov 11) to over 50 μg m<sup>-3</sup> (nighttime of Nov 13) and the OA molecular composition  
346 changed as well. On Nov 11, the signals of C<sub>6</sub> and O<sub>5</sub> compounds were prominent (shown in Figure S13), similar to Ep2 and  
347 clean periods. As pollution levels increased, on Nov 12, the contributions of C<sub>2-6</sub> and O<sub>4</sub> compounds strongly increased and



348 the compound distribution became more similar to the haze period (Figure S13), indicative of the important role of AWC in  
349 SOA and severe haze formation in Beijing.

350 In order to further characterize organic compounds detected by FIGAERO-CIMS, we plot the Van Krevelen (VK)  
351 diagrams of CHO and CHON compounds in Figure 4 and Figure S14, respectively. Each dot in Figure 4 represents a measured  
352 OA compound, which is color-coded by the calculated DBE and sized by the square root of its signal. During the clean period,  
353 the OA components displayed a higher contribution of unsaturated species ( $DBEs \geq 6$ , 11% of total CHOX in the clean period,  
354 compared to 7.4% in Ep3) with lower H:C and O:C ratios. Typically, compounds with a DBEs to carbon ratio higher than 0.7  
355 are characterized as soot or oxidized polycyclic aromatic hydrocarbons (PAHs) (Cui et al., 2019). The relative contribution  
356 of the compounds with carbon number  $\geq 6$  meeting that criterion was around 12% of the total CHOX signal in clean periods,  
357 which was higher compared to Ep3 (7.0%). Figure 4 shows that the compounds with high DBEs generally have between 2  
358 and 3 oxygen atoms, implying that they underwent some oxidation. Those compounds with characteristics representative of  
359 oxidation products of aromatics (Molteni et al., 2018) exhibit stronger relative contributions during clean periods. For example,  
360 the relative intensity of  $C_6H_6O_2I^-$ , a benzene ( $C_6H_6$ ) oxidation product, was around 3 times higher during the clean period.  
361 The relative signal of  $C_7H_8O_2I^-$ , formed from toluene ( $C_7H_8$ ) oxidation, was 40 to 70% higher compared to the haze periods.  
362 For the CHON compounds, both the relative contributions of  $C_6H_5NO_3I^-$  (possibly nitrophenol) and  $C_7H_7NO_3I^-$  (possibly  
363 methyl nitrophenol) exhibited 2 to 3 times higher relative contributions during clean days than during the haze episodes. The  
364 average UVB radiation intensity for the daytime of Nov10 was around 4 times higher than during the haze episodes (shown  
365 in Figure S8), which might result in higher levels of OH radicals and a stronger photo-oxidative potential. In addition, the  
366 ratio of the signal intensities of nitrophenol to nitrocatechol ( $C_6H_5NO_4I^-$ ) in clean days was about 5 times higher than during  
367 the polluted days of Ep1 and Ep3, also consistent with recent findings in Beijing that the elevated  $NO_2$  during polluted days  
368 promotes the formation of nitrocatechols over nitrophenols (Wang et al., 2019b).

369 Generally, for Ep2 we found a number of CHO and CHON compounds reported from laboratory wood-burning ageing  
370 experiments and ambient environments strongly influenced by biomass burning emissions (Lin et al., 2012; Mohr et al., 2013;  
371 Bertrand et al., 2018; Daellenbach et al., 2019) enhanced compared to the clean period, such as  $C_6H_{10}O_5I^-$  (24 times),  
372  $C_6H_5NO_4I^-$  (33 times) and  $C_7H_7NO_4I^-$  (possibly methyl-nitrocatechol, 7.7 times) (shown in Figure S7). The 72-h back  
373 trajectory (air mass retrorplume) calculated for Ep2 shows an influence of southern areas at the receptor site, where residential  
374 biomass burning emissions are abundant (Figure S8 (c) and (g)).

375 In Ep1 and 3, as shown in Table S2, substantially higher absolute signals of inorganic ions were observed compared to Ep2  
376 ( $HNO_3I^-$ : 4 times (Ep1) and 3 times (Ep3),  $SO_3I^-$ : 39 times (Ep1) and  $>500$  times (Ep3)) and the clean period ( $HNO_3I^-$ : 43  
377 times (Ep1) and 27 times (Ep3),  $SO_3I^-$ :  $>200$  times (Ep1) and 700 times (Ep3)). As discussed previously, it is worth noting  
378 that during heavy haze (Ep1 and Ep3), the signals of  $CH_4SO_3I^-$  and  $C_2H_4SO_4I^-$  were much higher than during Ep2 ( $CH_4SO_3I^-$ :  
379 2 times for Ep1 and Ep3,  $C_2H_4SO_4I^-$ : 7 times (Ep1) and 8 times (Ep3)) and the clean period ( $C_2H_4SO_4I^-$ : 19 times for both Ep1  
380 and Ep3,  $C_2H_4SO_4I^-$ : 46 times (Ep1) and 58 times (Ep3)). As shown in Figure 4 (c), (e) and (g), a homologous-like series of  
381 dicarboxylic acids ( $C_nH_{2n-2}O_4$ ) and a series of compounds with one more DBEs ( $C_nH_{2n-4}O_4$ ) were enhanced in Ep1 and Ep3  
382 compared to Ep2. Apart from oxalic acid discussed previously, other dicarboxylic acid-like compounds such as  $C_3H_4O_4I^-$   
383 (likely malonic acid),  $C_4H_6O_4I^-$  (likely succinic acid), and  $C_5H_8O_4I^-$  (likely glutaric acid) showed much higher (20–60 times)  
384 signals compared to the clean period. These findings show that during humid haze in Beijing, a homologous series of  
385 dicarboxylic acids, likely formed in the aqueous-phase, may make up a substantial fraction of the more oxygenated OOA  
386 (MO-OOA) found in previous studies (Sun et al., 2016). It is also interesting to note that the OA components measured in  
387 Ep1 and Ep3 were very similar to those measured at Peking University (PKU), Beijing in winter 2017 during a haze episode  
388 with similar  $PM_{2.5}$  loadings (PKU:  $188 \mu g m^{-3}$ ) and RH levels (PKU: 74%) (Figure S15, (Zheng et al., 2021)).

389 In summary, the haze episodes during our sampling period can be classified by two different formation pathways: (1) mainly  
390 influenced by relatively fresh biomass burning emissions under low RH with strong OA compound signals of levoglucosan,  
391 aromatics and N-containing aromatics, (2) dominated by aqueous-phase reactions with high RH and air masses coming from  
392 the south of the NCP with more oxygenated and low molecular weight OA such as dicarboxylic acids. In the next section, we  
393 will investigate how the OA compounds formed in different haze types affect aerosol optical properties.

### 394 3.3 Influence of OA compounds on particle optical properties

#### 395 3.3.1 Temporal variation of $b_{abs}$ and $E_{abs}$

396 To investigate particle optical properties during the sampling period, we display the time series of AAE, the BrC absorption  
397 coefficient, the ratio of BC to EC, and  $E_{abs}$  calculated together with OA (Figure 5). The time series of BC and OA generally

398 follow each other, with a stronger diel variation of BC, especially during Ep1 and Ep3 (shown in Figure S8). AAE exhibited  
399 an inverse correlation with OA during Ep1 and Ep3, but not Ep2 when biomass burning occurred. Although still higher than  
400 during Ep1 and Ep3, the AAE decreased from the end of Ep2 (Nov 9) to the clean period of Nov 10. The average AAE during  
401 our sampling period was 1.4, slightly lower than in winter in Beijing (1.6, (Xie et al., 2019b)), likely due to the lower  
402 contribution of residential heating activates in autumn than in winter. The variation in AAE throughout the sampling period  
403 reflects aerosol optical properties being influenced by the variation of sources, compounds, pollution levels and formation  
404 pathways. The temporal evolution of the normalized (to OA)  $b_{\text{abs,BrC},370\text{nm}}$  is correlated with  $f_{60}$  ( $r=0.65$ ) and shows an  
405 enhancement during Ep2 and decreases during Ep1 and Ep3 when aqueous-phase reactions may be important. It shows that  
406 even though the total OA concentrations and  $E_{\text{abs}}$  were strongly increased in Beijing during the humid haze, the light-  
407 absorption ability of the OA compounds seemed to decrease.

408 During Ep1 and Ep3,  $E_{\text{abs}}$  was higher than during Ep2, indicating that BC particles were more aged and more thickly coated  
409 by organic and inorganic constituents (Figure S17). The lower  $E_{\text{abs}}$  of the clean period, on the other hand, implies that BC  
410 particles were more likely freshly emitted, and therefore less of a potential lensing effect could be observed. Ratios of PM<sub>2.5</sub>  
411 major components to EC were used in a previous study to investigate shell effects on BC particles and  $E_{\text{abs}}$  (Zhang et al.,  
412 2018). Here, we show  $E_{\text{abs}}$  variation as a function of SIA, primary organic aerosol (POA), and SOA to EC ratios (Figure S16).  
413 POA and SOA were estimated based on an empirical formula with  $f_{44}$  and  $f_{57}$  from ToF-ACSM measurements as input (Ng  
414 et al., 2011). Consistent with earlier work conducted in Paris, France (Zhang et al., 2018),  $E_{\text{abs}}$  was substantially enhanced  
415 with increasing SOA-to-EC ratio (up to 16), while the increase as a function of POA-to-EC and SIA-to-EC ratios was less  
416 prominent (shown in Figure S16). SOA thus has the potential to be a more effective shell for BC particles than SIA and POA.  
417 The similarity of the AAE,  $E_{\text{abs}}$  patterns and  $b_{\text{abs}}$  of different wavelengths from 2 sites ~6 km apart (BUCT and IAP sites,  
418 Figure S6) implies that these effects are likely to occur on a regional scale in Beijing. They suggest that light-absorption of  
419 BC and BrC particles can be strongly affected by different OA components and that the OA compounds formed in the two  
420 haze types have different light-absorption properties.

### 421 3.3.2 Correlations between optical parameters and OA compound signals

422 OA compounds and their potential optical effects are investigated with a correlation analysis in this study. In Figure 6, we  
423 show the histograms of the correlation coefficients ( $r$ ) between the OA compound signals (normalized by EC),  $E_{\text{abs}}$  (Figure 6  
424 (a)) and  $b_{\text{abs,BrC},370\text{nm}}/b_{\text{abs,BC},370\text{nm}}$  (Figure 6 (c)). We normalized OA and  $b_{\text{abs,BrC},370\text{nm}}$  since BrC and BC could be co-varied due  
425 to the same sources and the influence of meteorology. We selected the 20 OA compounds with the highest  $r$  as “key  
426 compounds” for  $E_{\text{abs}}$  of BC and  $b_{\text{abs,BrC},370\text{nm}}$  for brown carbon light absorption, respectively. Among those key species, in  
427 Figure 6 we marked the compounds that, according to their thermograms (Figure S19), likely are influenced by thermal  
428 decomposition ( $\text{C}_4\text{H}_6\text{O}_5\text{I}^-$ ,  $\text{C}_5\text{H}_{12}\text{O}_5\text{I}^-$ ,  $\text{C}_5\text{H}_3\text{NO}_3\text{I}^-$ ,  $\text{C}_4\text{H}_5\text{NO}_3\text{I}^-$ ,  $\text{C}_6\text{H}_5\text{NO}_4\text{I}^-$ ). Most of the other small compounds ( $C_{\text{num}} < 6$ )  
429 in the CHO (72%, 5/7), and CHON or CHOS (73%, 8/11) groups are not significantly influenced by thermal desorption.

430 The key compounds for  $E_{\text{abs}}$  generally exhibited relatively low DBEs ( $2.3 \pm 1.3$  for the CHO group and  $2.6 \pm 1.3$  for the CHON  
431 group) and high O:C ratios ( $0.86 \pm 0.34$  for the CHO group). The much higher O:C ratio of those compounds compared to all  
432 CHO compounds ( $0.48 \pm 0.31$ ) indicates that highly oxygenated SOA plays an important role in BC lensing effects and  $E_{\text{abs}}$  of  
433 BC. CHON with 2 to 4 DBEs such as  $\text{C}_n\text{H}_{2n-1}\text{NO}_3^-$  and  $\text{C}_n\text{H}_{2n-3}\text{NO}_3^-$  (e.g. amine/amides, organonitrites as well as  
434 organonitrates) also exhibited a high correlation with  $E_{\text{abs}}$ . Overall, low MW compounds, CHO with 4 or 5 oxygen atoms and  
435 CHON compounds with 3 to 5 oxygen atom, such as  $\text{C}_3\text{H}_4\text{O}_4\text{I}^-$ ,  $\text{C}_3\text{H}_6\text{O}_4\text{I}^-$ ,  $\text{C}_3\text{H}_6\text{O}_4\text{I}^-$ ,  $\text{C}_6\text{H}_{10}\text{O}_4\text{I}^-$ ,  $\text{C}_3\text{H}_5\text{NO}_3\text{I}^-$ ,  $\text{C}_2\text{H}_3\text{NO}_3\text{I}^-$ ,  
436 exhibited the highest correlation with  $E_{\text{abs}}$  at 880 nm, with  $r$  of 0.66–0.76. Their time series were similar, with strong  
437 enhancement during Ep1 and Ep3 (Figure S17). It has been suggested earlier that MO-OOA could be more important for the  
438 BC lensing effect than less oxygenated OOA (LO-OOA) and POA (Zhang et al., 2018). Based on our results we conclude  
439 that those small compounds (e.g. dicarboxylic acids) potentially act as important coating shells creating a strong light  
440 absorption enhancement for BC during the humid haze events. It should be noted that OA compounds could be both internally  
441 or externally mixed with BC-containing particles and thus, the identified OA compounds may not necessarily be coatings on  
442 BC particles. Yet, considering the large proportion of BC-containing to total particles during the heating season (60–78%,  
443 (Chen et al., 2020)) as well as the large proportion of organics in BC-containing particles in Beijing (60%, (Wang et al.,  
444 2019a)), these OA compounds are very likely important components of the BC coating shells with a high potential to increase  
445  $E_{\text{abs}}$ .

446 Compared to  $E_{\text{abs}}$ , the key compounds for  $b_{\text{abs,BrC}}$  such as  $\text{C}_8\text{H}_8\text{O}_2\text{I}^-$ ,  $\text{C}_8\text{H}_8\text{O}_3\text{I}^-$ ,  $\text{C}_5\text{H}_5\text{NOI}^-$  and  $\text{C}_7\text{H}_7\text{NO}_4\text{I}^-$  in general exhibit  
447 higher DBEs ( $3.4 \pm 0.68$  for CHO group and  $3.9 \pm 1.0$  for CHON group) and lower O:C ratios ( $0.32 \pm 0.12$  for CHO group).

448 These compounds are likely oxidized aromatics and nitro-aromatics. Apart from the aromatic-like compounds,  $C_6H_{10}O_5I^-$  (e.g.  
449 levoglucosan) and  $C_6H_{12}O_5I^-$  were also found to be moderately correlated with  $b_{abs,BrC,370nm}/b_{abs,BC,370nm}$ , likely due to their co-  
450 variation with light-absorbing carbon from biomass burning emissions. The time series of the key compounds for  $b_{abs}$  all  
451 showed a large enrichment during Ep2 (shown in Figure S17), confirming that biomass burning-related organics (e.g.  
452 aromatics) and N-containing organics (e.g. nitrophenol and nitrocatechol derivatives) were important contributors to the light  
453 absorption by brown carbon. The correlation coefficient of the normalized OA compounds' signals and  $b_{abs,BrC}/b_{abs,BC,370nm}$   
454 was observed to be lower than the normalized signals with  $E_{abs}$ . The generally higher correlation for  $E_{abs}$  is likely due to the  
455 co-varied time series for OA components and  $E_{abs}$  during the haze periods.

456 In summary, we presented a series of OA compounds that have the potential to influence OA light-absorption in two ways  
457 in Beijing: (1) during humid haze, more oxygenated OA, with compounds such as dicarboxylic acids likely formed in aqueous  
458 phase reactions, have the potential to strongly increase the absorption by BC due to the lensing effect, (2) during haze  
459 dominated by fresh biomass burning emissions, compounds with a high number of DBEs and low O numbers, such as  
460 aromatics and N-containing aromatics can act as brown carbon and potentially lead to more absorption at shorter wavelengths.

#### 461 4. Conclusions

462 Although OA was found earlier to be one of the dominant factors for aerosol optical effects, the chemical composition of  
463 OA may act in different roles in aerosol light absorption. To investigate the chemical composition of OA in a polluted  
464 megacity and its effects on particle optical properties, in this study for the first time we relied on the molecular composition  
465 of OA in autumn Beijing determined by FIGAERO-CIMS. We found that during severe humid haze periods, compounds with  
466 a low number of DBEs and high O:C ratios (e.g. dicarboxylic acids) were strongly enhanced. In contrast, during a strong  
467 biomass burning episode characterized by low AWC, compounds with a high number of DBEs and low O:C ratio were  
468 observed. The comparison between low and high RH haze conditions indicates different mechanisms for haze formation in  
469 Beijing, where the former was mainly influenced by local emissions while the latter was governed by secondary components  
470 (potentially formed via aqueous-phase reactions) and more influenced by air masses from the southern NCP areas. This  
471 implies that in order to reduce pollution in Beijing, the implementation of local direct particle emission control and gaseous  
472 precursor emission control in the areas south of Beijing is necessary.

473 By combining the molecular composition of OA with aerosol light-absorption measurements, we found that the compounds  
474 that are highly oxygenated, with a low number of carbon atoms and 4 oxygen atoms (e.g. dicarboxylic acids) were strongly  
475 increased during humid haze periods and highly correlated with  $E_{abs}$ . They are thus likely an important contributor to the  
476 coating shells of BC particles and also a potentially important contributor of  $E_{abs}$ . Contrarily, the contribution of oxygenated  
477 aromatics and nitro-aromatics were found to be closely linked to the light absorption of BrC.

478 In summary, we determined two kinds of haze episodes formed by different mechanisms in autumn Beijing: (1) driven by  
479 high AWC and secondary formation, (2) driven by fresh emissions from biomass burning activities. We also determined the  
480 OA molecular composition in those two types of episodes and in clean periods, which in turn influenced aerosol optical effects.  
481 This is a step forward towards a better understanding of anthropogenic SOA formation in a highly-populated megacity, its  
482 impacts on the local climate and its contribution to the air pollution cocktail.

#### 483 *Data availability*

484 Data used in figures are available from the Zenodo online repository. Supporting data are available from the authors upon  
485 request.

#### 486 *Author contributions*

487 MK, CM, KRD and JC designed the research. JC, CW, CM and KRD analyzed the FIGAERO-CIMS data. JC, JDW, XLF  
488 and KRD analyzed the aethalometer data for the BUCT site. JDW and YLS provided aethalometer data for the IAP site. JC,  
489 WD, FXZ, SH, XLF, BWC, LY, ZMF, TC, YCL, JTK, TP, JK, PC, DW, JZ, CY, FB, CM, MK and KRD performed the  
490 online measurements and interpreted the results. JDW provided the emission inventory for North China and SH provided back

491 trajectory analysis. MK supported and supervised this research. JC, KRD, and CM wrote the manuscript with contributions  
492 from all co-authors. All authors have given approval to the final version of this manuscript.

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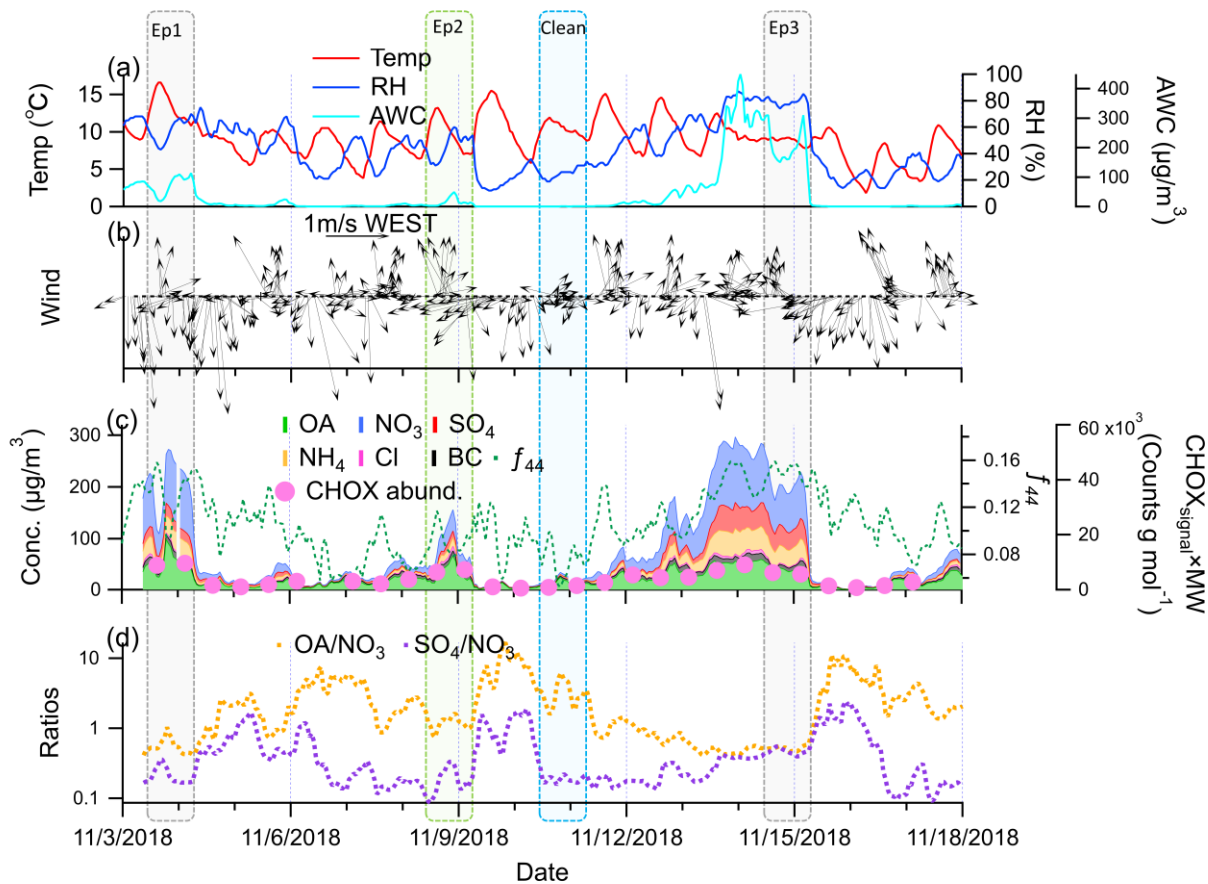
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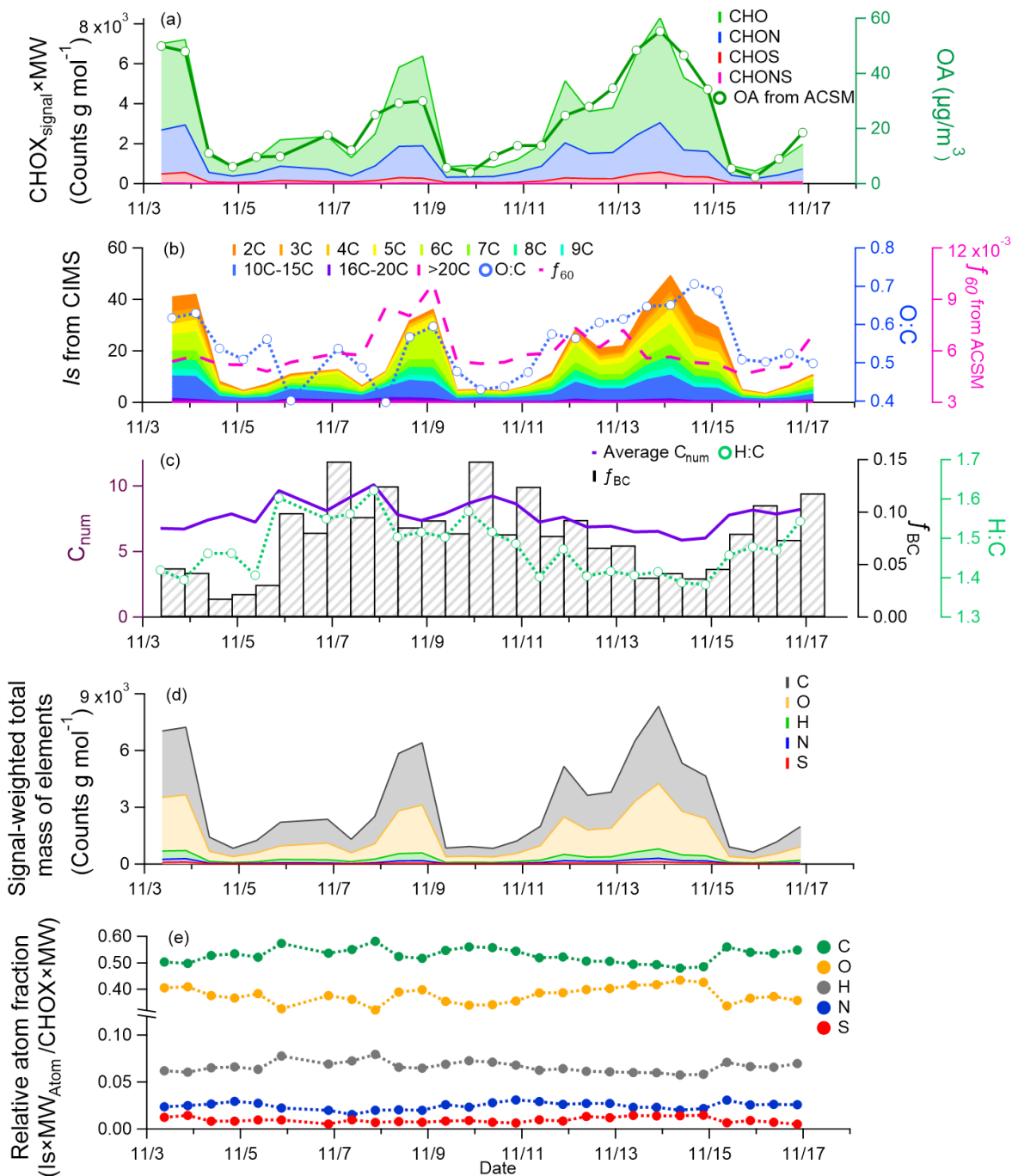
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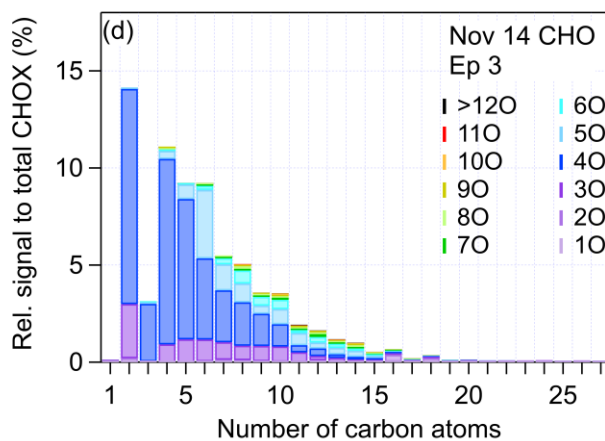
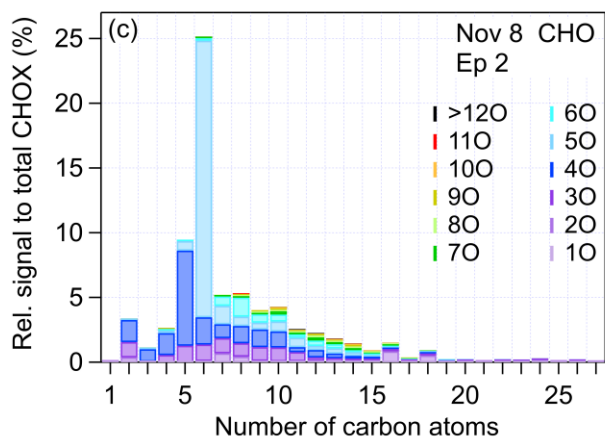
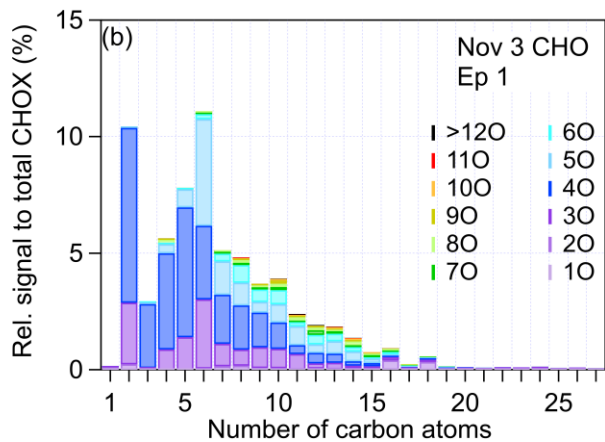
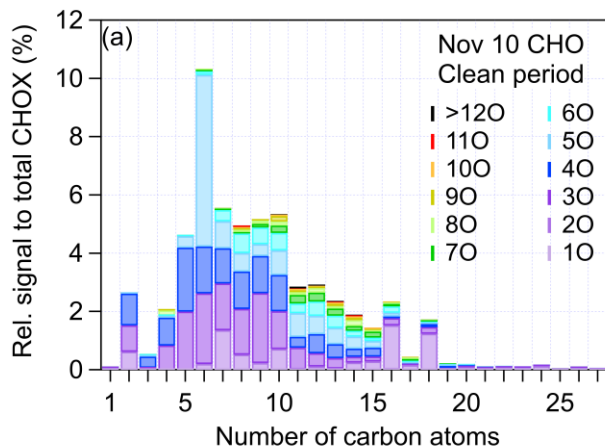
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**Figure 1.** Time series of (a) temperature, relative humidity (RH), aerosol water content (AWC), (b) 1-hour averaged wind direction and wind speed, (c) chemical components of NR-PM<sub>2.5</sub>, BC,  $f_{44}$  from ToF-ACSM, CHOX abundance from FIGAERO-CIMS and their sampling dates are marked by pink dots, (d) OA/NO<sub>3</sub> and SO<sub>4</sub>/NO<sub>3</sub>. The sampling time of episode days is marked by boxes, which last from 9:30 am to 9:00 am the next day.



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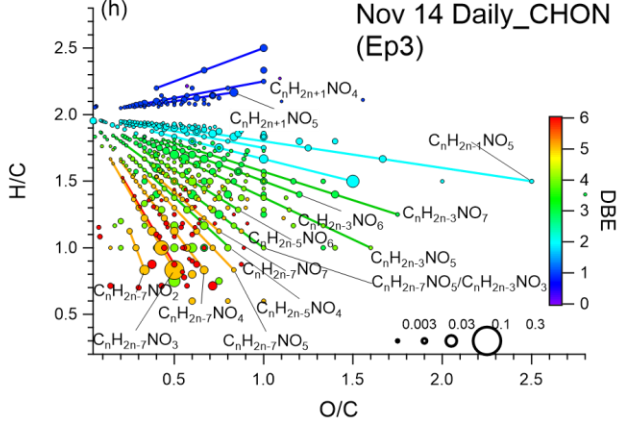
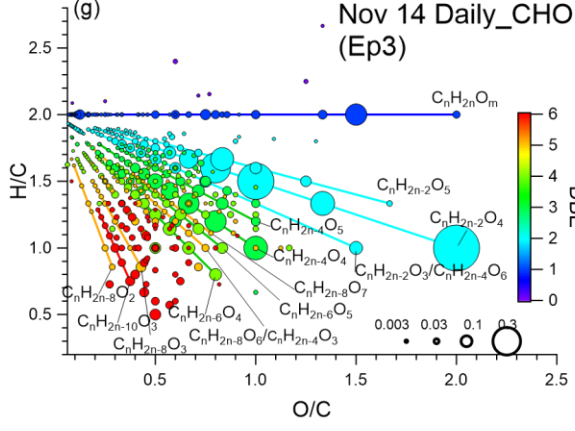
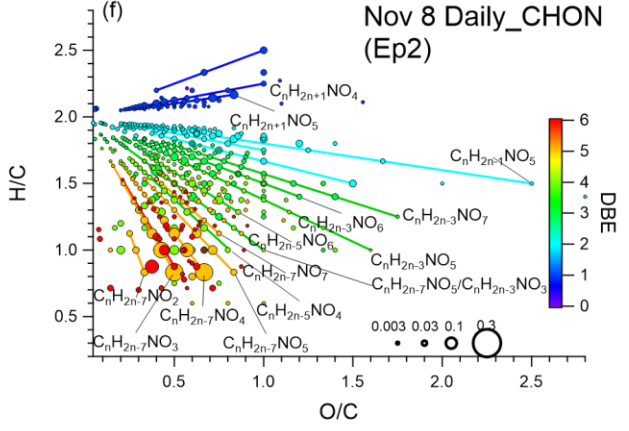
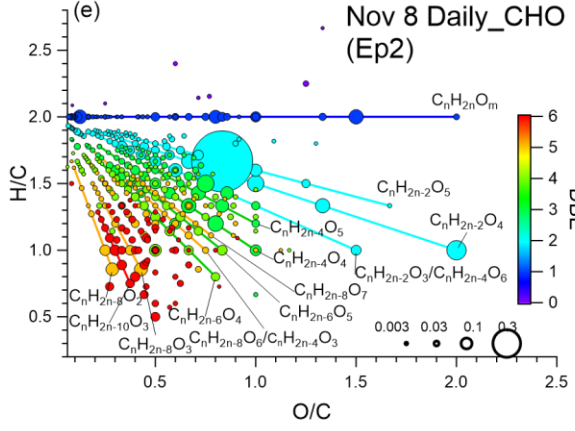
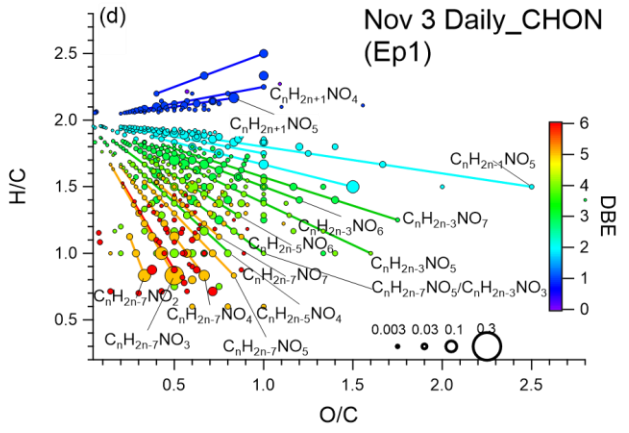
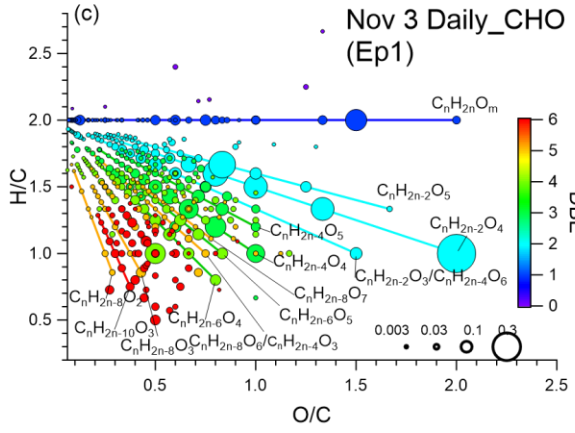
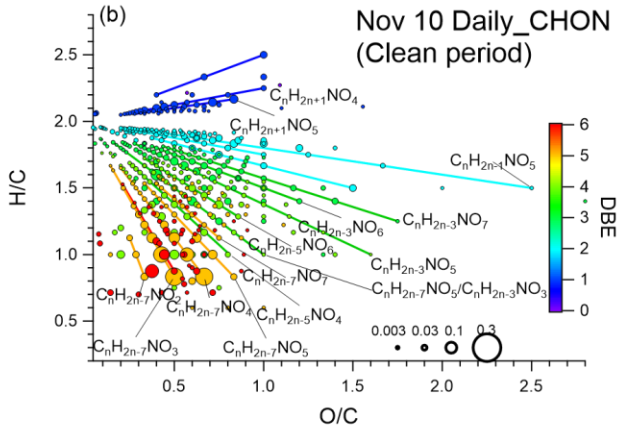
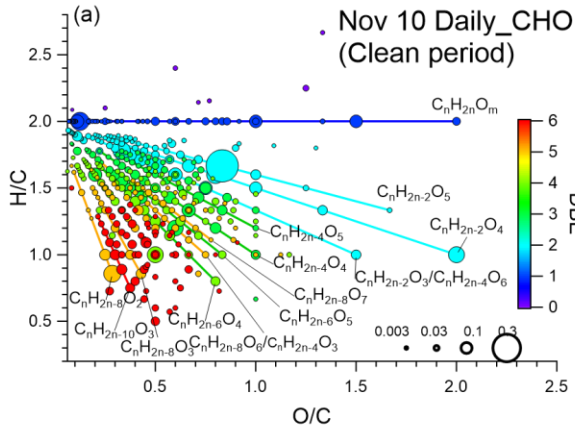
835 **Figure 2.** Time series of (a) abundance of CHO, CHON, CHOS, CHONS compounds, and OA concentrations measured by ToF-ACSM  
 836 (b) signals of compounds grouped according to carbon number, O:C ratio, (c) average carbon number, H:C ratio, the fraction of BC to NR-  
 837 PM<sub>2.5</sub>+BC, and  $f_{60}$  from ToF-ACSM, (d) the signal-weighted total mass of elements C, O, H, N, S, and (e) the relative atom fraction of C,  
 838 O, H, N and S.



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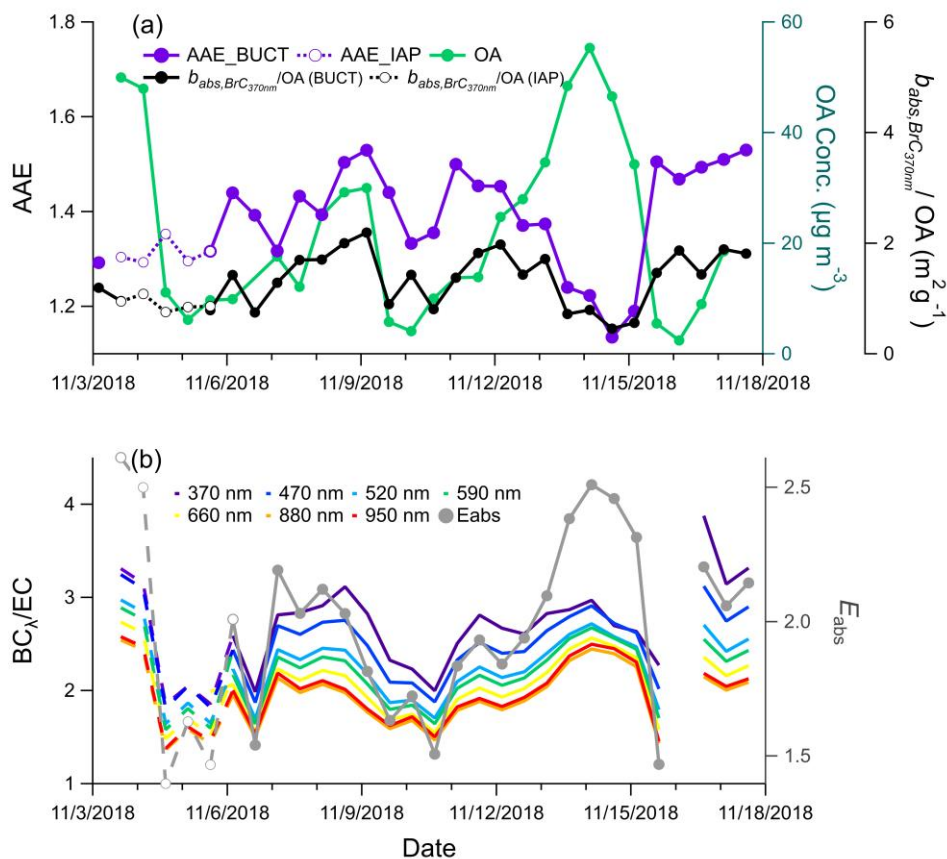
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**Figure 3.** Signal fractions to total CHOX for CHO compounds with different numbers of oxygen and carbon atoms in (a) the clean period (Nov 10), (b) Ep1 (Nov 3), (c) Ep2 (Nov 8) and (d) Ep3 (Nov 14) periods. The same plots for CHON compounds are displayed in Figure S11.



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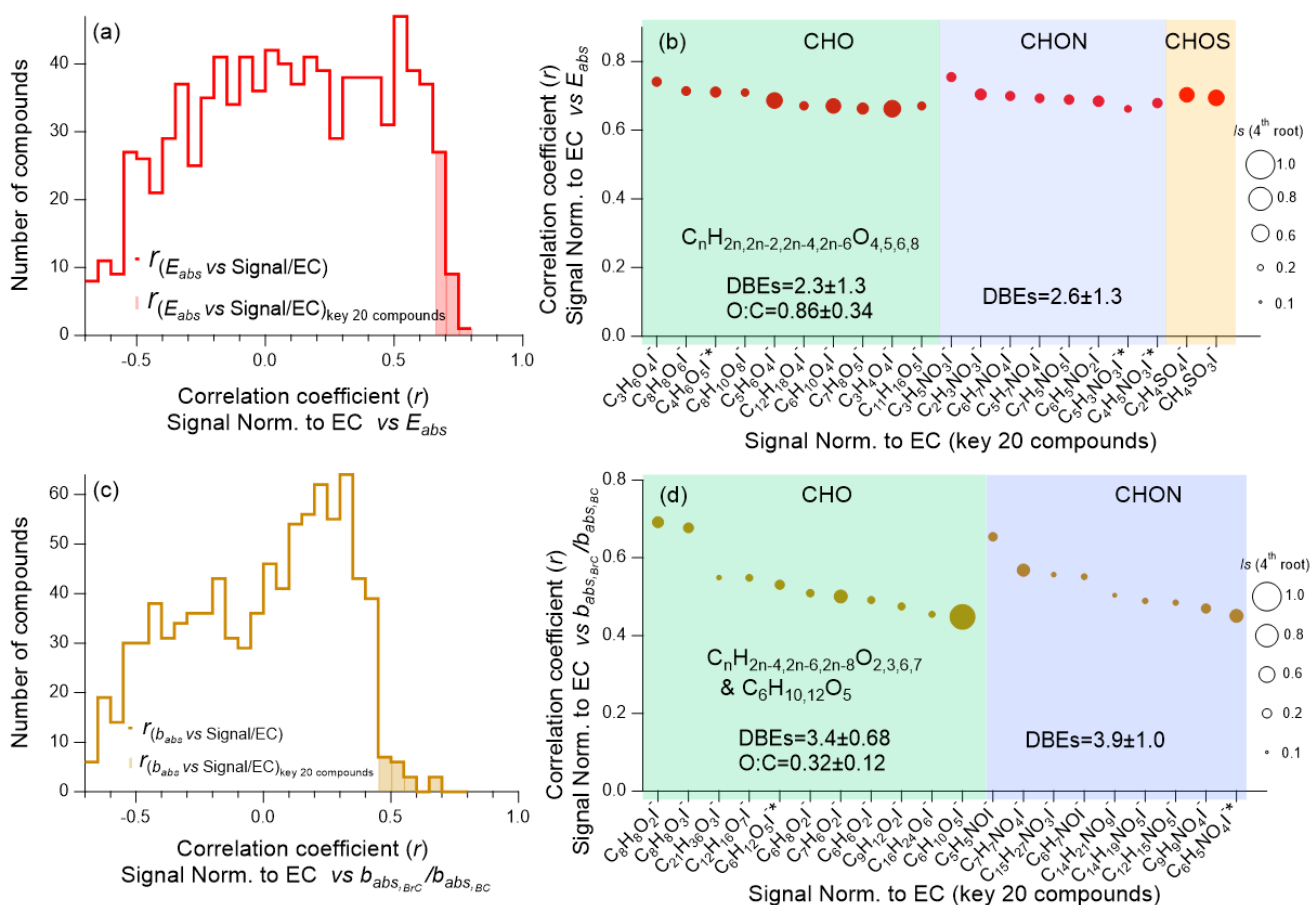
845 **Figure 4.** (a) Van Krevelen (VK) diagram of CHO compounds in the clean period (Nov 10), (b) VK diagram of CHON compounds in the clean period (Nov 10), (c) VK diagram of CHO compounds Ep1 (Nov 3), (d) VK diagram of CHON compounds in Ep1 (Nov 3), (e) VK diagram of CHO compound in Ep2 (Nov 8), (f) VK diagram of CHON compound in Ep2 (Nov 8), (g) VK diagrams of CHO compound in Ep3 (Nov 14), (h) VK diagram of CHON compound in Ep3 (Nov 14). Each dot represents an identified compound with its H/C and O/C ratios and color-coded by its DBEs. O/C ratios in CHO and CHON groups were calculated from the atom numbers in the formulae. The size of symbols is proportional to the square root of the relative contribution to the CHO<sub>X</sub> signal of each compound. The same plot color-coded carbon number is shown in Figure S14.



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853 **Figure 5.** (a) Time series of AAE, normalized  $b_{\text{abs}, \text{BrC}_{370\text{nm}}}$  (normalized to OA) and OA measured by ToF-ACSM during the sampling  
 854 period, (b) ratio of BC to EC and  $E_{\text{abs}}$  calculated with  $\text{BC}_{880\text{nm}}$  and EC. The solid lines represent the parameters measured at the BUCT site and dashed lines represent the parameters measured at the IAP site.  
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858 **Figure 6.** (a) Histogram of the correlation coefficients ( $r$ ) between the normalized OA signals and  $E_{abs}$  at 880 nm for all identified  
 859 compounds (red line) and the key 20 compounds (red shaded area), (b) the correlation coefficients of key 20 compounds for  $E_{abs}$  at 880 nm,  
 860 (c) histogram of the correlation coefficients between the normalized OA compound signals and  $b_{abs,BrC}/b_{abs,BC}$  at 370nm for all identified  
 861 compounds (brown line) and the key 20 compounds (brown shaded area), and (d) the correlation coefficients of key 20 compounds for  
 862  $b_{abs,BrC}/b_{abs,BC}$  at 370nm. The size of the symbols in (b) and (d) is proportional to the 4<sup>th</sup> of the average signal intensities of the  
 863 corresponding compound during the whole sampling period. Compounds that possibly have a substantial contribution of larger thermally  
 864 fragmented parent compounds are marked with \* in the axis labels.

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