# General Comments:

This work investigates the aerosol chemical composition in Harbin in the past 2 years based primarily on in-situ measurement. Generally, I find that this manuscript may be more like a measurement report since that it does not provide sufficient new insight into atmospheric chemistry but the dataset seems unique and presents some new perspectives. One main problem with this work is that it entirely attributed the variations of secondary aerosol to the chemical processes, but aerosol does have a lifetime of  $1\sim2$  weeks and regional transport could largely contribute to the temporal variation at one specific site. Overall, I think this work fits the scope of ACP but there are some issues that need to be addressed to improve this work.

**Our responses:** We thank the referee for the constructive comments. The referee raised one major point regarding the roles of meteorology and regional transport, and further expanded in the specific comment #2. Please refer to our responses to that specific comment #2 for details.

# Major Comments:

(1) The method ought to be detailed in the main text. Section 2 is too sketchy, in which some basic information like the geographic location of the campaign site, its representativeness, the main emission sources in the surrounding areas, the instrumentation and the QAQC should be included. Also, other analysis like AWC, Positive Matrix Factorization (PMF) results discussed in Section 3, which is not from the direct observation, should be described in this section. This article itself missed a lot of necessary information on the method and analysis tools. I suggest moving the supplementary method to the main text. Another issue related to the method is that PMF analysis usually needs a large amount of data to support the factorizations since that it basically is statistical analysis. According to the Supporting Information, the sampling number is around 200~300, which is not enough to get a reliable result.

**Our responses:** Two points were raised in this comment. The first one was that the Methods section (i.e., Section 2) should be expanded substantially, by including all the necessary information. Following this suggestion, (i) detailed descriptions of the filed campaign, which was originally presented as supplementary information, was moved to the main manuscript, and (ii) procedures of the ISOROPPIA and PMF analyses were

also moved to the Methods section. In the revised manuscript, Section 2 was reorganized as:

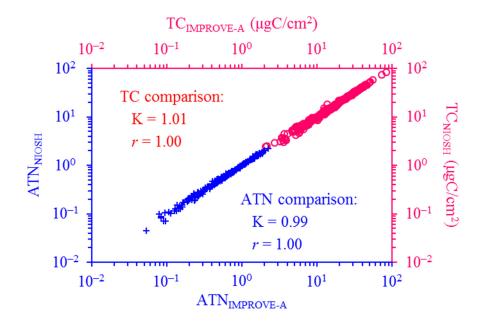
## 2.1 Field observation and additional data sets used

Two campaigns were conducted at an urban site located in the campus of Harbin Institute of Technology (HIT; 45°45'24" N, 126°40'49" E) during the heating seasons of 2018–2019 (from 16 October, 2018 to 14 April, 2019; N = 180) and 2019–2020 (from 16 October, 2019 to 4 February, 2020; N = 112), following the same sampling and analytical procedures. As described for the 2018–2019 campaign (Cheng et al., 2021a), a low volume sampler (MiniVol; Airmetrics, OR, USA) operated at a flow rate of 5 L/min was used to collect airborne PM<sub>2.5</sub> onto pre-baked quartz-fiber filters (2500 QAT-UP; Pall Corporation, NY, USA), and the measured species included organic carbon (OC), elemental carbon (EC), organic tracers for biomass burning (levoglucosan and mannosan) and water-soluble inorganic ions (sulfate, nitrate, ammonium, etc.). Briefly, OC and EC were determined by a thermal/optical carbon analyzer (DRI-2001; Atmoslytic Inc., CA, USA), using the IMPROVE-A temperature protocol with transmittance charring correction. Precision of the carbon analyzer was investigated by analyzing the samples using another protocol (NIOSH). Comparisons of total carbon and optical attenuation results between the two protocols suggested good repeatability for both the carbon and transmittance measurements (Figure R1). Levoglucosan and mannosan were detected by a Dionex ion chromatography (IC) system (ICS-5000<sup>+</sup>; Thermo Fisher Scientific Inc., MA, USA), using the high-performance anion-exchange chromatography coupled to pulsed amperometric detection (HPAEC-PAD) method. In addition, the IC was also used to measure the inorganic ions. Precision of the IC was evaluated by analyzing selected solutions 5-10 times, and the relative standard deviations were found to be within 5% for all the water-soluble species detected, either organic or inorganic. Based on the observed aerosol components, PM<sub>2.5</sub> mass was reconstructed as the sum of organic matter (determined as  $1.6 \times OC$ ), EC and inorganic ions. The reconstructed  $PM_{2.5}$  will be specified as  $(PM_{2.5})^*$  in the flowing discussions.

Air quality data including PM2.5, sulfur dioxide (SO2), nitrogen dioxide (NO2), carbon monoxide (CO), etc. were obtained from China's National Urban Air Quality Real Time Publishing Platform (http://106.37.208.233:20035/). They were measured at monitoring sites operated by the China National Environmental Monitoring Center (CNEMC), and could be accessed with a time resolution of 1 hour. There are a total of

12 CNEMC sites in Harbin. Results from the nearest one to the filter sampling site (~2.4 km apart), i.e., Taiping Hongwei Park, were used in this study. In addition, hourly meteorological data including temperature and relative humidity (RH) were obtained from Weather Underground (https://www.wunderground.com).

Using levoglucosan as the reference component, the relative abundances of watersoluble potassium ( $K^+$ ) were found to increase substantially for five samples collected during the Chinese New Year periods in February of 2019 (N = 2; Cheng et al., 2021a) and in January of 2020 (N = 3; Figure S2), pointing to significant influence of firework emissions. Given that such emissions may result in primary sulfate and nitrate which are difficult to quantify, the firework events were excluded, and the remaining sulfate and nitrate were considered secondary in the following discussions. Then taking together observational results from the filter sampling and CNEMC sites, the sulfur oxidation ratio (SOR) was determined as the molar ratio of sulfate to the sum of sulfate and SO<sub>2</sub>, and the nitrogen oxidation ratio (NOR) was determined similarly based on nitrate and NO<sub>2</sub>.



**Figure R1.** Comparisons of total carbon (TC) and optical attenuation (ATN) measured by different temperature protocols. Results from both campaigns are involved. ATN is calculated as  $\ln(I_{\text{final}}/I_{\text{initial}})$ , where  $I_{\text{initial}}$  and  $I_{\text{final}}$  indicate filter transmittance signals measured at the beginning (i.e., when the loaded filter has not been heated) and end (i.e., when all the deposited carbon has been combusted off the filter) of thermal-optical analysis, respectively. Linear regression results are shown with K as slope (intercept was set as zero). TC and ATN agreed well between different protocols, demonstrating good precisions for both the carbon and transmittance measurements.

## 2.2 Thermodynamic simulation

The ISORROPIA-II model (Fountoukis and Nenes, 2007) was used to predict aerosol water content (AWC) and aerosol pH. The calculations were conducted in two ways, namely the "reverse" and "forward" modes. For the "reverse" mode, the measured aerosol-phase data were deployed as input to derive AWC and pH directly. For the "forward" mode, the model was run in an iteration way (Liu et al., 2021a). Briefly, we used the measured aerosol-phase data as initial input, ran ISORROPIA-II in the "forward" mode to predict gas-phase concentrations of semi-volatile species (e.g., ammonia and nitric acid), and used the sum of predicted gas-phase and measured aerosol-phase concentrations as the input for next round. The calculations were repeated until the simulated results were stable and in line with the observational data. Although the "reverse" and "forward" mode simulations showed comparable AWC levels for this campaign (Figure S3), the latter approach has been suggested to give more accurate and robust estimation of pH (Guo et al., 2017; Song et al., 2018). Thus, AWC and pH results predicted by the iteration approach were used in the following discussions.

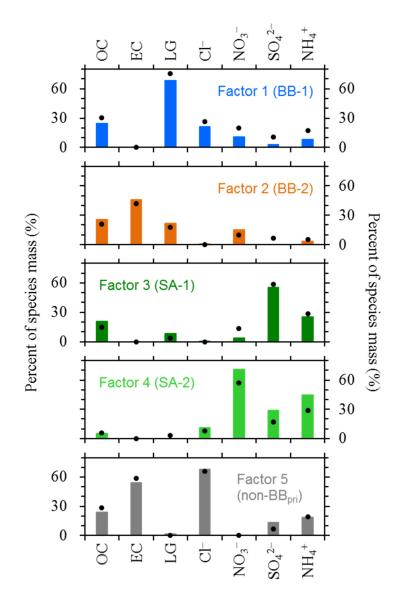
### 2.3 Source apportionment

Source apportionment was performed using EPA's Positive Matrix Factorization (PMF) model (version 5.0), with times series of OC, EC, levoglucosan, chloride, nitrate, sulfate and ammonium from both campaigns as inputs. A total of five factors were resolved, and their profiles were shown in Figure S4. Two factors (BB-1 and BB-2) were strongly associated with primary biomass burning emissions, since almost all the levoglucosan (~90%) were apportioned to these two factors whereas neither of them was a major contributor to secondary ions. Another two factors were inferred to represent secondary aerosols (SA-1 and SA-2), as they had zero EC but the majority of nitrate and sulfate. The last factor (non-BB<sub>pri</sub>) was attributed to primary emissions from non-BB sources, because more than 50% of EC but little levoglucosan was found in this factor.

The second point raised in this major comment was about the reliability of the PMF analysis. As pointed out by the reviewer, a large number of samples are usually required to get reliable source apportionment results, while the User Guide of PMF recommends that a minimum of 100 samples should be involved in the analysis. In this study, a total of 292 samples were collected, with 180 and 112 from the 2018-2019 and

2019-2020 campaigns, respectively. After excluding those heavily impacted by firework emissions (N = 5), all the remaining samples (N = 287) were used for the PMF analysis. We think this number of samples could generally be considered acceptable. In addition, we compared the source profiles derived by this study with those obtained by Cheng et al. (2021a), which were based only on the 2018-2019 campaign. As shown by Figure R2, the profiles were quite similar between the two studies. We think this consistency could to some extent support the reliability of the PMF results.

The discussions above were reflected in the revised manuscript (see lines 107-194, 237-246, 318-319, Figures S1 and S4).



**Figure R2.** Source profiles resolved by PMF. The solid bars and circles indicate results obtained by this study and Cheng et al. (2021a), respectively. For this study, aerosol compositions measured during the 2018–2019 and 2019–2020 campaigns were

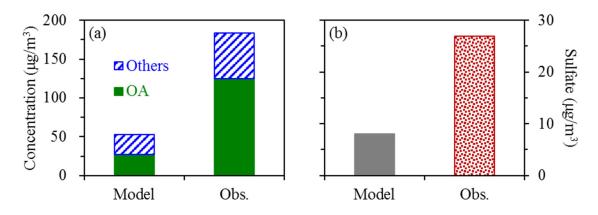
combined and used as the PMF inputs, whereas Cheng et al. (2021a) was based only on the former campaign. In general, similar profiles were resolved by the two studies, despite the different measurement periods covered.

(2) The discussion part is too descriptive and the majority of the main text is just describing the variation of different aerosol components. In some places, the authors jumped to some conclusions without careful investigation. For instance, the RH-dependent increase of OC was attributed to heterogeneous reactions without investigating the cloud-water chemistry and diffusion/dispersion analysis (Line 236-238). Additionally, the author concluded that the inter-annual (I would not call it inter-annual since it is just a two-year comparison) variation of OC was related to RH levels without other vital information of the regional transport pattern and other meteorological parameters. Besides, the higher threshold RH for the sharp increase of SOR in Harbin is not certainly indicative of the fact that the heterogeneous formation of sulfate was less efficient there. Many previous works have pointed out that the polluted air mass from the southern area of Beijing also brings about humidity, rather than heterogeneous chemical reactions at a local/city scale. Thus, some in-depth analysis and rigorous arguments need to be added to this work.

<u>**Our responses:**</u> We agree with the referee that some discussions in the original manuscript were not sound enough, especially regarding the RH-dependent increase of secondary OC ( $OC_{sec}$ ), the inter-campaign variation of  $OC_{sec}$ , and Harbin's higher threshold RH for sharp increase of SOR compared to Beijing. As pointed by the reviewer, a major problem was that all the observed phenomena were attributed to the influence of atmospheric chemistry, i.e., the roles of other factors such as meteorology and transport were ignored.

In general, air quality modeling is usually required to quantitatively evaluate the contributions of various factors to the variations of PM<sub>2.5</sub> concentration and composition. If applied to this study, simulations need to be performed for six scenarios: (A–B) actual emissions and meteorological conditions of the 2018–2019 and 2019–2020 measurement periods, respectively; (C) 2018–2019 emissions with 2019–2020 meteorological conditions; (D) 2019–2020 emissions with 2018–2019 meteorological conditions; (E–F) zero emissions from Harbin for the two periods, respectively. The A vs. C and B vs. D differences indicate the influence of meteorology; the A vs. D and B vs. C differences can be attributed to the influence of emissions; the A vs. E and B vs. F differences point to the influence of regional transport. Of course, a precondition for

these simulations is that the model could properly reproduce the observational results. Actually, we have performed the simulation for the 2018–2019 measurement period (i.e., scenario A), using a revised CMAQ model (Hu J. et al., 2016). Compared to the original version (5.0.1), the revised model involved a modified Statewide Air Pollution Research Center (version 11; SAPRC-11) photochemical mechanism, which includes the isoprene epoxydiols (IEPOX) and methacrylic acid epoxide formation pathways, and allows predictions of glyoxal and methylglyoxal formed by oxidation of various precursors including isoprene. In addition, heterogeneous pathways were incorporated into the revised CMAQ to account for secondary inorganic and organic aerosols formed through reactive uptake of gaseous species on aerosol surfaces. The simulations were performed over East Asia with a horizontal resolution of 36×36 km. The meteorological inputs were retrieved from the Weather Research and Forecasting (WRF) model. The emission inputs were generated by combining various inventories, e.g., the Multiresolution Emission Inventory for China (MEIC; http://www.meicmodel.org/) was used to derive anthropogenic emissions of OC, EC, volatile organic compounds (VOCs), SO<sub>2</sub>, NO<sub>2</sub>, etc., whereas the satellite-based Fire INventory from NCAR (FINN; Wiedinmyer et al., 2011) was used for open burning emissions. When comparing the observational and modeling results, large gaps were observed for two cases. The first one was agricultural fire episodes. For example, the simulated PM<sub>2.5</sub> accounted for only ~30% of the observed concentrations during February 25 to March 2, 2019, when intensive fire counts were detected (Figure R3a). In addition, the large differences in PM<sub>2.5</sub> could be attributed mainly to the underestimation of organic aerosol (OA, determined as 1.6×OC) mass by the model, and eventually pointed to the underestimation of open burning emissions by the FINN inventory. The second case was high RH conditions, when enhanced formation of secondary aerosols was evident. Such a period occurred during 12 to 15 January, 2019, showing increased RH (exceeding 80%) and elevated levels of SOR and NOR. The simulated sulfate were substantially lower than the observed concentrations during this period (with averages of ~8 and 27  $\mu$ g/m<sup>3</sup>; Figure R3b), although the model involved both in-cloud and heterogeneous pathways for sulfate formation. The agricultural fire-related underestimation of OA could be resolved by adjusting the open burning emission (Uranishi et al., 2019); however, the RH-related underestimation of sulfate by the revised CMAQ has not been addressed. Thus, we prefer not to conduct additional simulations (e.g., for scenarios B–E) at the current stage.



**Figure R3.** (a) Comparison of modeled and observed  $PM_{2.5}$  for a typical agricultural fire episode, with concentrations of OA and other species shown separately. (b) Comparison of modeled and observed sulfate concentrations for a high RH period.

Given that variation of meteorological conditions could indeed influence the absolute concentrations of a given species (e.g., OC<sub>sec</sub>) but will not change its relative abundances (e.g., the OC<sub>sec</sub> to OC ratio and the OC<sub>sec</sub> to EC ratio), in the revised manuscript, the comparisons among different cases (e.g., low-, medium- and high-RH conditions of 2019–2020) were primarily performed using various ratios and fractions. In addition, it was also clearly stated that our discussions on heterogeneous reactions did not exclude the presence of in-cloud chemistry or indicate secondary aerosol formation on a city scale. Specifically, the following changes were made:

(i) The discussions on the  $OC_{sec}$  (the second and third paragraphs of section 3.2 in the original manuscript) were reorganized as (see lines 296-329):

As shown in Figure 3,  $OC_{sec}$  exhibited a positive dependence on RH, with an explosive increase of  $OC_{sec}$  after RH exceeded 80%. Only ~6% of the 2018–2019 samples (10 out of 180) experienced such humid conditions, whereas this fraction was as high as ~37% for 2019–2020 (corresponding to 42 out of the 112 samples). Thus the potential influence of RH on SOA formation was primarily investigated based on results from the 2019–2020 campaign. Figure 4 compares OC source apportionment results across different RH ranges (< 60%, 60–80% and > 80%), which are termed low, medium- and high-RH conditions, respectively, for this measurement period. Not only  $OC_{sec}$  but also its contribution to OC ( $f_{sec}$ ) increased significantly from the low- through high-RH conditions, by factors of 9.8 and 2.6, respectively. Although the 2019–2020 campaign experienced much lower ambient temperatures (as low as –20 °C) compared to Beijing's winter (~0 °C), the  $f_{sec}$  of Harbin reached 42% for the RH range of > 80%, generally comparable with the typical range of oxygenated organic aerosol (OOA)

contribution (~35–60%) determined under humid winter conditions in Beijing (Sun et al., 2013, 2014, 2018; Hu et al., 2016; Xu et al., 2019). Given the considerable AWC levels predicted for the high-RH conditions (typically above 50  $\mu$ g/m<sup>3</sup>; Figure 3), it was inferred that heterogeneous reactions might be at play in the RH-dependent increase of  $f_{sec.}$ 

(ii) The statement arguing the less efficient sulfate formation in Harbin was removed (see lines 372-373):

However, the threshold RH for sharp increase of SOR was higher in Harbin (80%) than that in Beijing (~40–70%), and the SOR in Harbin with RH above 80% (averaging 0.2) were at the lower end of those the corresponding values observed during winter in Beijing (typically with averages of ~0.2–0.6) (Sun et al., 2013; Zheng et al., 2015b; Zhang et al., 2018; Li et al., 2019; Liu et al., 2020). A likely cause for these differences was the relatively low temperatures during the measurement period, which would reduce the rate coefficients of relevant aqueous-phase reactions (Cheng et al., 2016).

(iii) Conclusions and implications were presented in separate sections, with the limitations of this study (i.e., the future work that needs to be done) being clearly stated (see lines 469, 495 and 514-523):

It should be noted that the discussions on heterogeneous formation of SOA and SNA did not necessarily exclude the reactions in fog/cloud water. Actually, based on the observational results available, we could not robustly distinguish the relative importance of various aqueous-phases pathways for secondary aerosol formation. To address this problem, air quality modeling with a focus on HC should be conducted in future studies, which could also quantitatively evaluate the contributions of various factors (e.g., meteorology, emissions and regional transport) to long-term trends of PM<sub>2.5</sub> concentration and chemical composition. An essential precondition is that the model could properly re-produce the observational results, which appears a substantial challenge for Harbin as indicated by the limited inter-comparison studies, especially for the periods with intensive agricultural fires or high RH levels (Cheng et al., 2021b).

(3) There are also some inconsistent arguments that needed to be checked. For instance, in the abstract, the authors claimed that "we found that open burning activities were actually not eliminated ......", but Line 168 stated that "indicating that agricultural fires

were almost completely eliminated during the measurement period". It doesn't seem very clear.

<u>**Our responses:**</u> Regarding the open burning activities under the "strict prohibition" policy, the message we would like to convey was actually that "although not occurred during the 2019–2020 measurement period, agricultural fires broke out within a short period before crop planting in spring of 2020". All the inconsistent/misleading descriptions were corrected in the revised manuscript. In addition, the use of "interannual" was avoided following the suggestion raised in the major comment #2. (See lines 36, 234, 315 and 472).

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# 1 Dramatic changes in Harbin aerosol during 2018–2020: the roles of open burning policy and

- 2 secondary aerosol formation
- Yuan Cheng<sup>1</sup>, Qin-qin Yu<sup>1</sup>, Jiu-meng Liu<sup>1,\*</sup>, Xu-bing Cao<sup>1</sup>, Ying-jie Zhong<sup>1</sup>, Zhen-yu Du<sup>2</sup>, Lin-lin
  Liang<sup>3</sup>, Guan-nan Geng<sup>4</sup>, Wan-li Ma<sup>1</sup>, Hong Qi<sup>1</sup>, Qiang Zhang<sup>5</sup>, Ke-bin He<sup>4</sup>

<sup>1</sup> State Key Laboratory of Urban Water Resource and Environment, School of Environment, Harbin
 Institute of Technology, Harbin, China

- <sup>2</sup> National Research Center for Environmental Analysis and Measurement, Environmental
   Bevelopment Center of the Ministry of Ecology and Environment, Beijing, China
- <sup>3</sup> State Key Laboratory of Severe Weather & CMA Key Laboratory of Atmospheric Chemistry,
   Chinese Academy of Meteorological Sciences, Beijing, China

<sup>4</sup> State Key Joint Laboratory of Environment Simulation and Pollution Control, School of
 Environment, Tsinghua University, Beijing, China

- <sup>5</sup> Department of Earth System Science, Tsinghua University, Beijing, China
- <sup>\*</sup> Corresponding author. Jiu-meng Liu (jiumengliu@hit.edu.cn).
- 15 Abstract

16 Despite the growing interest in understanding haze formation in Chinese megacities, air pollution has been largely overlooked for the Harbin-Changchun (HC) metropolitan area located in 17 18 the severe cold climate region in Northeast China. In this study, we unfolded significant variations of fine particulate matter (PM<sub>2.5</sub>) in HC's central city (Harbin) during two sequential heating seasons 19 20 of 2018–2019 and 2019–2020, and explored major drivers for the observed variations. The two 21 campaigns showed comparable organic carbon (OC) levels but quite different OC sources. The 22 biomass burning (BB) to OC contribution decreased substantially for 2019-2020, which was 23 attributed primarily to the transition of local policies on agricultural fires, i.e., from the "legitimate burning" policy released in 2018 to the "strict prohibition" policy in 2019. Meanwhile, the 24 contribution of secondary OC (OCsec) increased significantly, associated with the much more 25 26 frequent occurrences of high relative humidity (RH) conditions during the 2019–2020 measurement

27	period. Similar to $OC_{sec}$ , the major secondary inorganic ions, i.e., sulfate, nitrate and ammonium
28	(SNA), also exhibited RH-dependent increases. Given the considerable aerosol water contents
29	predicted for the high-RH conditions, heterogeneous reactions were likely at play in secondary
30	aerosol formation even in the frigid atmosphere in Harbin (e.g., with daily average temperatures
31	down to below –20 °C). In brief, compared to 2018–2019, the 2019–2020 measurement period was
32	characterized by a policy-driven decrease of biomass burning OC, a RH-related increase of $OC_{sec}$
33	and a RH-related increase of SNA, with the former two factors generally offsetting each other. In
34	addition, we found that open burning activities were actually not eliminated by the "strict prohibition"
35	policy released in 2019, based on a synthesis of air quality data and fire count results. Although not
36	evident throughout occurred during the 2019–2020 measurement period, agricultural fires broke out
37	within a short period before crop planting in spring of 2020, and resulted in off-the-chart air
38	pollution for Harbin, with 1- and 24-hour $PM_{2.5}$ concentrations peaking at ${\sim}2350$ and 900 $\mu g/m^3,$
39	respectively. This study indicates that sustainable use of crop residues remains a difficult challenge
40	for the massive agricultural sector in Northeast China.

# **1. Introduction**

42	Despite nationwide reductions in anthropogenic emissions (Zhang et al., 2019), severe haze
43	pollution characterized by high concentrations of fine particulate matter (PM <sub>2.5</sub> ) is far from being
44	effectively controlled in China, e.g., haze episodes were observed in Beijing even during the
45	COVID-19 lockdown (Lv et al., 2020). This reveals the complex yet poorly understood responses
46	of air pollution to changes of primary emission. While secondary aerosol production has been
47	thought to be largely responsible for this lack of understanding, the chemical mechanisms remain
48	vague (Le et al., 2020; Wang et al., 2020b; Huang et al., 2021). For example, state-of-the-art models
49	incorporating gas-phase and cloud chemistry frequently underestimated sulfate and secondary
50	organic aerosol (SOA) concentrations for winter haze events in Beijing (Wang et al., 2014; Zheng
51	et al., 2015a; Cheng et al., 2016; Liu et al., 2020a). The underestimation was more significant with
52	increasing relative humidity (RH) or aerosol water content (AWC) levels, pointing to the importance
53	of aqueous-phase reactions in aerosol water (Wang et al., 2016; Shrivastava et al., 2017; Su et al.,
54	2020; Liu et al., 2021b). On the other hand, quantitative prediction of secondary aerosol aerosols
55	formed through aqueous-phase reactions remains challenging, partially due to uncertainties in
56	aerosol pH (Guo et al., 2017b; Song et al., 2018; Zheng et al., 2020) and oxidant concentrations (Ye
57	et al., 2018; Wang et al., 2020a). In addition, despite the role of heterogeneous chemistry has been
58	widely accepted for sulfate formation, the its effects on SOA remain unclear, with more evidences
59	indicating an enhancement effect (Hu et al., 2016; Kuang et al., 2020; Liu et al., 2020a; Wang et al.,
60	2021a) overwhelming those suggesting little influence of RH or AWC on SOA formation (Zheng et
61	al., 2015b). In all, there is a growing interest in understanding haze pollution in Chinese megacities
62	(Shi et al., 2019), especially regarding the driving factors responsible for the spatio-temporal

63 variations, since these factors are essential for the development of efficient air pollution control64 strategies.

65 Studies on haze in China have been historically concentrated in the North China Plain (NCP), especially around Beijing. Recently, new hotspots began to emerge, e.g., the Harbin-Changchun 66 67 (HC) metropolitan area. HC is located in the severe cold climate region in Northeast China, and 68 includes 11 cities in the two provinces of Heilongjiang and Jilin. Compared to NCP and other traditional hotspots of air pollution research (e.g., the Yangtze River Delta), HC is characterized by 69 70 its extremely cold winter when the daily average temperatures could drop to below -20 °C. Thus, 71 the heating season is usually as long as six months in HC, lasting from late fall through early spring 72 of next year. During this period, intensive energy use is expected, e.g., coal combustion for central 73 heating in urban areas and household biomass burning for space-heating in rural areas. The intensive 74 energy use, to a large extent, determines the relatively high baseline of PM2.5 pollution in HC's 75 heating season. According to the open access air quality data routinely published by China National 76 Environmental Monitoring Center (http://106.37.208.233:20035/), the monthly averages of PM<sub>2.5</sub> 77 measured during winter in Harbin stayed above 55  $\mu$ g/m<sup>3</sup> from 2013 throughout 2020, whereas the 78 corresponding value could drop to below 30  $\mu$ g/m<sup>3</sup> for Beijing.

Another feature of HC is that it is located in a main agricultural region in China. For example, Heilongjiang Province provided ~13% and 15% of the national rice and corn productions in 2019, respectively, with only ~5% of China's land area (National Bureau of Statistics of China, 2020). The massive agricultural sector results in a huge amount of crop residues, which are produced after harvesting in autumn and must be disposed before planting in spring of the next year. Although nominally prohibited, open burning persists as an important approach for the disposal of crop

85	residues in Northeast China, with a time window largely overlapped with the heating season. These
86	agricultural fires frequently resulted in heavily-polluted $PM_{2.5}$ episodes, e.g., with 24-hour $PM_{2.5}$
87	peaking at ~650 $\mu$ g/m <sup>3</sup> during early November of 2015 in Harbin (Li et al., 2019b). Given that the
88	agricultural fires were never eliminated, interim provisions were released by Heilongjiang Province
89	in 2018, which approved a window of approximately 3 months (from 11 December, 2018 to 9 March,
90	2019) for open burning of crop residues (Department of Ecology and Environment of Heilongjiang
91	Province, 2018). However, the interim provisions were amended in 2019, i.e., the "legitimate
92	burning" policy was terminated and was replaced by a toughest-ever policy on open burning, which
93	required that agricultural fires should be strictly prohibited for the period of 15 September, 2019 to
94	15 May, 2020. The rapid transition of open burning policy reflects the ongoing attempts of local
95	government to control the severe haze pollution caused by agricultural fires. However, the most
96	effective and reliable approach remains inconclusive, given that very little is known about the role
97	of biomass burning in PM <sub>2.5</sub> pollution in Northeast China. Actually, PM <sub>2.5</sub> in Northeast China is far
98	from being well characterized yet with the limited studies (e.g., Cao et al., 2016; Yang et al., 2017;
99	Li et al., 2019b; Zhang et al., 2020), especially regarding sources and chemical mechanisms of
100	aerosol formation.

In this study, we investigated the variations of Harbin aerosol during two sequential heating
seasons of 2018–2019 and 2019–2020, with focuses on the roles of (1) rapid transition of open
burning policy and (2) significant change of meteorological conditions (especially relative
humidity), which would influence primary emissions and secondary aerosol formation, respectively.
Policy implications for improving air quality in the HC region were also discussed.

**2. Methods** 

# 107 2.1 Field observation and additional data sets used

108	Two campaigns were conducted at an urban site located in the campus of Harbin Institute of
109	Technology (HIT; 45°45'24" N, 126°40'49" E) during the heating seasons of 2018–2019 (from 16
110	October, 2018 to 14 April, 2019; N = 180) and 2019–2020 (from 16 October, 2019 to 4 February,
111	2020; $N = 112$ ), following the same sampling and analytical procedures. As described for the 2018–
112	2019 campaign (Cheng et al., 2021a), a low volume sampler (MiniVol; Airmetrics, OR, USA)
113	operated at a flow rate of 5 L/min was used to collect airborne PM <sub>2.5</sub> onto pre-baked quartz-fiber
114	filters (2500 QAT-UP; Pall Corporation, NY, USA), and the measured species included organic
115	carbon (OC), elemental carbon (EC), organic tracers for biomass burning (levoglucosan and
116	mannosan) and water-soluble inorganic ions (sulfate, nitrate, ammonium, etc.). Briefly, OC and EC
117	were determined by a thermal/optical carbon analyzer (DRI-2001; Atmoslytic Inc., CA, USA),
118	using the IMPROVE-A temperature protocol with transmittance charring correction. Precision of
119	the carbon analyzer was investigated by analyzing the samples using another protocol (NIOSH).
120	Comparisons of total carbon and optical attenuation results between the two protocols suggested
121	good repeatability for both the carbon and transmittance measurements (Figure S1). Levoglucosan
122	and mannosan were detected by a Dionex ion chromatography (IC) system (ICS-5000 <sup>+</sup> ; Thermo
123	Fisher Scientific Inc., MA, USA), using the high-performance anion-exchange chromatography
124	coupled to pulsed amperometric detection (HPAEC-PAD) method. In addition, the IC was also used
125	to measure the inorganic ions. Precision of the IC was evaluated by analyzing selected solutions 5-
126	10 times, and the relative standard deviations were found to be within 5% for all the water-soluble
127	species detected, either organic or inorganic. Based on the observed aerosol components, $PM_{2.5}$ mass
128	was reconstructed as the sum of organic matter (determined as $1.6 \times OC$ ), EC and inorganic ions.

129 The reconstructed  $PM_{2.5}$  will be specified as  $(PM_{2.5})^*$  in the flowing discussions.

Air quality data including PM<sub>2.5</sub>, sulfur dioxide (SO<sub>2</sub>), nitrogen dioxide (NO<sub>2</sub>), carbon 130 131 monoxide (CO), etc. were obtained from China's National Urban Air Quality Real Time Publishing Platform (http://106.37.208.233:20035/). They were measured at monitoring sites operated by the 132 133 China National Environmental Monitoring Center (CNEMC), and could be accessed with a time 134 resolution of 1 hour. There are a total of 12 CNEMC sites in Harbin. Results from the nearest one to the filter sampling site (~2.4 km apart), i.e., Taiping Hongwei Park, were used in this study. In 135 136 addition, hourly meteorological data including temperature and relative humidity (RH) were 137 obtained from Weather Underground (https://www.wunderground.com). Using levoglucosan as the reference component, the relative abundances of water-soluble 138 potassium  $(K^+)$  were found to increase substantially for five samples collected during the Chinese 139 140 New Year periods in February of 2019 (N = 2; Cheng et al., 2021a) and in January of 2020 (N = 3; Figure S2), pointing to significant influence of firework emissions. Given that such emissions may 141 142 result in primary sulfate and nitrate which are difficult to quantify, the firework events were 143 excluded, and the remaining sulfate and nitrate were considered secondary in the following discussions. Then taking together observational results from the filter sampling and CNEMC sites, 144 145 the sulfur oxidation ratio (SOR) was determined as the molar ratio of sulfate to the sum of sulfate 146 and SO<sub>2</sub>, and the nitrogen oxidation ratio (NOR) was determined similarly based on nitrate and NO<sub>2</sub>. 147 2.2 Thermodynamic simulation The ISORROPIA-II model (Fountoukis and Nenes, 2007) was used to predict aerosol water 148 content (AWC) and aerosol pH. The calculations were conducted in two ways, namely the "reverse" 149 and "forward" modes. For the "reverse" mode, the measured aerosol-phase data were deployed as 150

input to derive AWC and pH directly. For the "forward" mode, the model was run in an iteration 151 152 way (Liu et al., 2021a). Briefly, we used the measured aerosol-phase data as initial input, ran 153 ISORROPIA-II in the "forward" mode to predict gas-phase concentrations of semi-volatile species 154 (e.g., ammonia and nitric acid), and used the sum of predicted gas-phase and measured aerosol-155 phase concentrations as the input for next round. The calculations were repeated until the simulated 156 results were stable and in line with the observational data. Although the "reverse" and "forward" mode simulations showed comparable AWC levels for this campaign (Figure S3), the latter 157 approach has been suggested to give more accurate and robust estimation of pH (Guo et al., 2017a; 158 159 Song et al., 2018). Thus, AWC and pH results predicted by the iteration approach were used in the following discussions. 160

161 **2.3 Source apportionment** 

162 Source apportionment was performed using EPA's Positive Matrix Factorization (PMF) model (version 5.0), with times series of OC, EC, levoglucosan, chloride, nitrate, sulfate and ammonium 163 164 from both campaigns as inputs. A total of five factors were resolved, and their profiles were shown 165 in Figure S4. Two factors (BB-1 and BB-2) were strongly associated with primary biomass burning 166 emissions, since almost all the levoglucosan ( $\sim 90\%$ ) were apportioned to these two factors whereas 167 neither of them was a major contributor to secondary ions. Another two factors were inferred to represent secondary aerosols (SA-1 and SA-2), as they had zero EC but the majority of nitrate and 168 169 sulfate. The last factor (non-BBpri) was attributed to primary emissions from non-BB sources, 170 because more than 50% of EC but little levoglucosan was found in this factor. 171 Two campaigns were conducted at an urban site located in the campus of Harbin Institute of

172 Technology (HIT) during the heating seasons of 2018–2019 (from 16 October, 2018 to 14 April,

173	2019; N = 180) and 2019–2020 (from 16 October, 2019 to 4 February, 2020; N = 112), following
174	the same sampling and analytical procedures. As described for the 2018-2019 campaign (Cheng et
175	al., 2021), a low-volume sampler operated at a flow rate of 5 L/min was used to collect airborne
176	PM <sub>2.5</sub> onto pre-baked quartz-fiber filters, and the chemical components quantified included organic
177	carbon (OC), elemental carbon (EC), organic tracers for biomass burning (levoglucosan and
178	mannosan) and water-soluble inorganic ions (sulfate, nitrate, ammonium, etc.). Based on the
179	measured species, PM <sub>2.5</sub> mass was reconstructed as the sum of organic matter (determined as $1.6 \times$
180	OC), EC and inorganic ions. The reconstructed $PM_{2.5}$ -will be specified as $(PM_{2.5})^*$ in the flowing
181	discussions. In addition to the observational results from HIT, online data sets were used to obtain
182	hourly meteorological data such as temperature and relative humidity (RH), and air quality data
183	including PM <sub>2.5</sub> , inhalable particles (PM <sub>10</sub> ), sulfur dioxide (SO <sub>2</sub> ), nitrogen dioxide (NO <sub>2</sub> ), carbon
184	monoxide (CO) and ozone ( $O_3$ ). Refer to supplementary material for details on the field
184 185	monoxide (CO) and ozone ( $O_3$ ). Refer to supplementary material for details on the field measurement and collection of additional data.
185	measurement and collection of additional data.
185 186	measurement and collection of additional data. Using levoglucosan as the reference component, the relative abundances of water-soluble
185 186 187	measurement and collection of additional data. Using levoglucosan as the reference component, the relative abundances of water-soluble potassium (K <sup>+</sup> ) were found to increase substantially for five samples collected during the Chinese
185 186 187 188	measurement and collection of additional data. Using levoglucosan as the reference component, the relative abundances of water-soluble potassium (K <sup>+</sup> ) were found to increase substantially for five samples collected during the Chinese New Year periods in February of 2019 ( $N = 2$ ; Cheng et al., 2021) and in January of 2020 ( $N = 3$ ;
185 186 187 188 189	<ul> <li>measurement and collection of additional data.</li> <li>Using levoglucosan as the reference component, the relative abundances of water soluble</li> <li>potassium (K<sup>+</sup>) were found to increase substantially for five samples collected during the Chinese</li> <li>New Year periods in February of 2019 (N = 2; Cheng et al., 2021) and in January of 2020 (N = 3;</li> <li>Figure S1), pointing to significant influence of firework emissions. Given that such emissions may</li> </ul>
185 186 187 188 189 190	<ul> <li>measurement and collection of additional data.</li> <li>Using levoglucosan as the reference component, the relative abundances of water soluble potassium (K<sup>+</sup>) were found to increase substantially for five samples collected during the Chinese New Year periods in February of 2019 (N = 2; Cheng et al., 2021) and in January of 2020 (N = 3; Figure S1), pointing to significant influence of firework emissions. Given that such emissions may result in primary sulfate and nitrate which are difficult to quantify, the firework events were</li> </ul>
185 186 187 188 189 190 191	<ul> <li>measurement and collection of additional data.</li> <li>Using levoglucosan as the reference component, the relative abundances of water-soluble</li> <li>potassium (K<sup>+</sup>) were found to increase substantially for five samples collected during the Chinese</li> <li>New Year periods in February of 2019 (N = 2; Cheng et al., 2021) and in January of 2020 (N = 3;</li> <li>Figure S1), pointing to significant influence of firework emissions. Given that such emissions may</li> <li>result in primary sulfate and nitrate which are difficult to quantify, the firework events were</li> <li>excluded, and the remaining sulfate and nitrate were considered secondary in the following</li> </ul>

# **3. Results and discussion**

# **3.1 Variation of biomass burning (BB) OC**

197	Although comparable OC levels were observed during the 2018-2019 and 2019-2020
198	measurement periods (averaging $20.66 \pm 18.17$ and $20.64 \pm 16.76 \ \mu gC/m^3$ , respectively), the former
199	campaign exhibited substantially higher contributions of levoglucosan to OC (Figure 1a). Here we
200	applied the levoglucosan to OC ratio (LG/OC) as the indicator for BB impact, given that the absolute
201	concentrations of ambient levoglucosan could be influenced by other factors in addition to biomass
202	burning (e.g., wind speed and planetary boundary layer height). LG/OC averaged $1.83 \pm 1.18$ and
203	$1.17 \pm 0.30\%$ (on a basis of carbon mass) during 2018–2019 and 2019–2020, respectively, indicating
204	that the influence of biomass burning was stronger during the former campaign. This difference was
205	mainly caused by the 2018–2019 samples collected during and after the "legitimate burning" periods
206	(periods of P-2 and P-3, with average LG/OC ratios of $2.09 \pm 1.42$ and $2.15 \pm 0.94\%$ , respectively;
207	Figure 1b), whereas the LG/OC ratios observed before the onset of "legitimate burning" (P-1,
208	averaging $1.20 \pm 0.36\%$ ) were in general comparable with those during the 2019–2020 campaign.
209	Recalling the different open burning policies released in 2018 and 2019, the observed
210	variations of LG/OC appeared to be associated with agricultural fires. According to the relationship
211	between levoglucosan and OC, Cheng et al. (2021a) classified the 2018-2019 samples into three
212	groups (Cases A, B and C) with LG/OC ranges of < 1.5%, 1.5–3.0% and > 3.0%, respectively.
213	Levoglucosan exhibited strong linear correlations with OC for all the three cases ( $r \ge 0.95$ ), with
214	slopes, i.e., $\Delta LG/\Delta OC$ (approximately equivalent to LG/OC given the close-to-zero intercepts), of
215	1.1, 2.3 and 5.0%, respectively. The variation of LG/OC across the three cases was inferred to be
216	driven mainly by agricultural fires that had relatively low combustion efficiencies, based on a

217	synthesis of the following evidences (Cheng et al., 2021a): (1) the levoglucosan to $K^+$ ratios and
218	levoglucosan to mannosan ratios observed throughout the 2018-2019 campaign were in line with
219	the characteristics of BB smoke emitted by the burning of crop residues; (2) no dependence of
220	LG/OC on temperature was observed, indicating that the variations of LG/OC could not be
221	explained by biomass burning for household space-heating in rural areas; (3) elevated LG/OC ratios
222	were typically associated with intensive fire counts, i.e., open burning of crop residues, around
223	Harbin; (4) chemical signatures associated with combustion phase exhibited changes toward
224	smoldering-dominated burning from Cases A through C, e.g., $\Delta EC/\Delta CO$ (derived from linear
225	regression of EC on CO) decreased whereas the levoglucosan to K <sup>+</sup> ratios increased. Following
226	Cheng et al. (2021a), LG/OC ratios higher than 1.5% were considered an indicator for apparent
227	impacts of agricultural fires around Harbin. As shown in Figures 1c-1d, approximately 50% of the
228	2018–2019 samples exhibited LG/OC above 1.5%, with various fractions for the three periods, i.e.,
229	15, 64 and 71% for P-1, P-2 and P-3 samples, respectively. Thus, apparent impacts of agricultural
230	fires were frequently encountered in the 2018-2019 campaign, particularly after the onset of
231	"legitimate burning". It is noteworthy that the agricultural fires did not actually disappear after the
232	ending of "legitimate burning" and instead extended to mid-April of 2019. For the 2019-2020
233	campaign, however, only less than 5% of the samples showed LG/OC larger than 1.5% (Figure 1c),
234	indicating that the rare occurrence of agricultural fires-were almost completely eliminated during
235	the measurement period.
236	Comparison of source apportionment results between the two campaigns also indicated

238 performed using EPA's Positive Matrix Factorization (PMF) model (version 5.0), with OC, EC,

237

substantial changes in the influence of agricultural fires. In this study, source apportionment was

239	levoglucosan, chloride, nitrate, sulfate and ammonium from both campaigns as inputs. A total of
240	five factors were resolved, and their profiles were shown in Figure S2. Two factors (BB-1 and BB-
241	2) were strongly associated with primary biomass burning emissions, since almost all the
242	levoglucosan (~90%) were apportioned to these two factors whereas neither of them was a major
243	contributor to secondary ions. Another two factors were inferred to represent secondary aerosols
244	(SA-1 and SA-2), as they had little EC but the majority of nitrate and sulfate. The last factor (non-
245	BB <sub>pri</sub> ) was attributed to primary emissions from non-BB sources, because more than 50% of EC but
246	little levoglucosan was found in this factor. For the 2018–2019 campaign, both the OC mass
247	apportioned to BB-1 (OC <sub>BB-1</sub> ; Figure S5) and the contribution of BB-1 to OC ( $f_{BB-1}$ ; Figure 2)
248	increased substantially after the onset of "legitimate burning", likely indicating that this factor was
249	representative of agricultural fire emissions. This inference was also supported by the comparison
250	of OC source apportionment results across the three cases (A-C) with increasing LG/OC ratios, i.e.,
251	with stronger impacts of agricultural fires. $\mathrm{OC}_{BB\text{-}1}$ increased drastically by ~25 folds (from 1.2 to
252	$30.9 \ \mu gC/m^3$ ) from Cases A through C, with OC attributed to other factors being largely unchanged,
253	and correspondingly, $f_{\rm BB-1}$ increased sharply from 9 to 69% across the three cases (Figure S6). In
254	addition, it was noticed that negligible EC was apportioned to the BB-1 factor (Figure S4), which
255	was the characteristic of smoldering-dominated combustion as supported by numerous BB source
256	emission studies (McMeeking et al., 2009; May et al., 2014; Pokhrel et al., 2016; McClure et al.,
257	2020; Wang et al., 2020c). This feature was consistent with the inference that the agricultural fires
258	had relatively low combustion efficiencies (Cheng et al., 2021a). During the 2018–2019 campaign,
259	the contribution of agricultural fires to OC was rather small (9%) before the onset of "legitimate
260	burning", whereas after this time point, the contribution increased to $\sim 40\%$ (Figure 2). The overall

*f*<sub>BB-1</sub> was 34% for the entire measurement period of 2018–2019, suggesting agricultural fire emissions as the dominant source of OC. For the 2019–2020 campaign, however,  $f_{BB-1}$  was substantially lower (9%; Figure 2), comparable with that determined for the 2018–2019 samples collected during P-1, i.e., before the onset of "legitimate burning". Regarding the temporal variation of agricultural fire impacts, therefore, the same patterns were observed based on the comparisons of LG/OC and PMF results across various measurement periods.

Unlike OC<sub>BB-1</sub>, OC masses apportioned to the BB-2 factor (OC<sub>BB-2</sub>) were comparable for the 267 268 2018–2019 samples collected before, during and after the "legitimate burning" periods (Figure S5). 269 OC<sub>BB-2</sub> was also largely unchanged across the three cases (A-C) with stronger impacts of agricultural fires (Figure S6). Therefore, it seems that BB-2 was associated with biomass burning 270 271 activities that did not have significant daily variation, with the most likely candidate being 272 household combustion of crop residues (for cooking and heating). In addition, OC<sub>BB-2</sub> appeared to be slightly higher for the 2019–2020 campaign compared to 2018–2019 (6.24 vs. 4.51  $\mu$ gC/m<sup>3</sup>; 273 274 Figure S5), presumably because more crop residues were consumed through household use during 275 2019 2020 in response to the "strict prohibition" open burning policy.

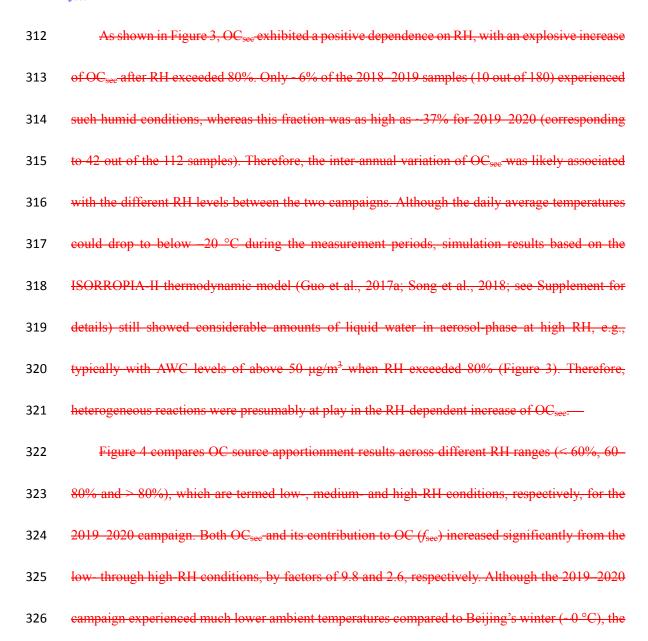
The two biomass burning factors constituted 57% of OC for the 2018–2019 campaign (Figure 2). Before the onset of "legitimate burning", the total contribution of biomass burning ( $f_{BB}$ ) was 46% and was dominated by the BB-2 factor (i.e., household burning of crop residues), whereas after this time point,  $f_{BB}$  increased to 59% and was dominated by BB-1 (agricultural fires). For the Case C samples, i.e., under the strongest impacts of agricultural fires,  $f_{BB}$  was as high as 79% (Figure S6). A prominent reduction in OC<sub>BB-1</sub>, however, occurred for the 2019–2020 measurement period, and  $f_{BB}$  dropped to 39% with BB-2 as the dominant driver contributor (Figures 2 and S5). It is noteworthy that compared to the typical  $f_{BB}$  determined during winter in Beijing (~10–20%, derived from field observations using aerosol mass spectrometer; Hu et al., 2016; Sun et al., 2018; Li et al., 2019a; Xu et al., 2019), the BB contributions were much higher in Harbin even when the contribution of agricultural fires was limited (e.g., during the 2019–2020 campaign, and P-1 in 2018–2019), pointing to strong emissions from residential burning of crop residues throughout the heating season in Northeast China.

289 **3.2 Variation of secondary OC** 

OC masses apportioned to the SA-1 and SA-2 factors ( $OC_{sec}$ ) were considered secondary.  $OC_{sec}$ were 3.9 and 7.6 µgC/m<sup>3</sup> for the 2018–2019 and 2019–2020 campaigns, respectively, constituting 19 and 37% of OC (Figures 2 and S5). It was noticed that for biomass burning OC and  $OC_{sec}$ , their inter-campaign differences showed comparable absolute values but opposite signs. This explains why the two heating seasons had significantly different OC sources but almost the same OC levels average concentrations.

296 As shown in Figure 3, OC<sub>sec</sub> exhibited a positive dependence on RH, with an explosive increase 297 of OCsec after RH exceeded 80%. Only ~6% of the 2018–2019 samples (10 out of 180) experienced such humid conditions, whereas this fraction was as high as ~37% for 2019–2020 (corresponding 298 299 to 42 out of the 112 samples). Thus the potential influence of RH on SOA formation was primarily 300 investigated based on results from the 2019-2020 campaign. Figure 4 compares OC source apportionment results across different RH ranges (< 60%, 60–80% and > 80%), which are termed 301 low-, medium- and high-RH conditions, respectively, for this measurement period. Not only OCsec 302 but also its contribution to OC (fsec) increased significantly from the low- through high-RH 303 conditions, by factors of 9.8 and 2.6, respectively. Although the 2019–2020 campaign experienced 304

305	much lower ambient temperatures (as low as $-20$ °C) compared to Beijing's winter (~0 °C), the $f_{sec}$
306	of Harbin reached 42% for the RH range of $>$ 80%, generally comparable with the typical range of
307	oxygenated organic aerosol (OOA) contribution (~35-60%) determined under humid winter
308	conditions in Beijing (Sun et al., 2013, 2014, 2018; Hu et al., 2016; Xu et al., 2019). Given the
309	considerable AWC levels predicted for the high-RH conditions (typically above 50 $\mu$ g/m <sup>3</sup> ; Figure
310	3), it was inferred that heterogeneous reactions might be at play in the RH-dependent increase of
311	$f_{ m sec}$ .



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329

of oxygenated organic aerosol (OOA) contribution (~35-60%) determined under humid winter conditions in Beijing (Sun et al., 2013, 2014, 2018; Hu et al., 2016; Xu et al., 2019).

330 The OC to EC ratio (OC/EC) is also a commonly used indicator for SOA, giving rise to the EC-tracer method for the estimation of OCsec mass. However, it has long been recognized that SOA 331 formation is usually not the only factor that can increase OC/EC, and another factor that could be 332 333 playing a crucial role is the biomass smoke with relatively high emission ratios of OC to EC. Among 334 the three primary factors resolved in this study, OC/EC for the primary emissions of BB-1 335 (extremely high as negligible EC was apportioned to this factor; Figure S4) and BB-2 (3.5) were 336 both larger than that of non-BB<sub>pri</sub> (2.8). Thus the influences of not only SOA but also biomass 337 burning emissions need to be considered when interpreting the observed OC/EC. For the 2018–2019 338 campaign, the temporal variation of OC/EC was mainly driven by biomass burning emissions (especially the BB-1 factor), as can be seen from the positive dependence of OC/EC on levoglucosan 339 and the comparison of OC/EC across the three cases with increasing LG/OC (Figure S7). In this 340 341 case, the EC-tracer method should be used with caution, since the basic assumption, i.e., variation 342 of OC/EC can be attributed primarily to SOA formation, was invalid. Unlike 2018–2019, SOA was 343 the dominant driver for the variation of OC/EC during the 2019-2020 measurement period, as indicated by the positive dependence of OC/EC on sulfate and the comparison of OC/EC across the 344 345 low- through high-RH conditions (Figure S8). During the 2019–2020 campaign, similar patterns of temporal variation were observed for OC<sub>sec</sub> retrieved using the EC-tracer method and PMF approach, 346 347 and both results supported the RH-dependent increase of  $OC_{sec}$  (Figure S9). However, compared to the PMF-based  $f_{sec}$ , the EC-tracer method resulted in a higher contribution of OC<sub>sec</sub> to OC for the 348

349 high-RH conditions (60% vs. 42%). This is not surprising, as variation of biomass burning emissions 350 could also contribute to the elevated OC/EC of the high-RH conditions (Figure S8), but this 351 contribution could not be distinguished from that of SOA by the EC-tracer method. Nonetheless, 352 enhanced SOA formation was evident for the high-RH conditions, which mainly occurred within 353 the coldest months (December and January) during the 2019–2020 measurement period.

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## **3.3 Variation of secondary inorganic aerosol**

Both sulfate and SOR exhibited increasing trends as RH became higher (Figure 5), e.g., SOR 355 356 averaged  $0.09 \pm 0.04$  and  $0.20 \pm 0.07$  for the RH ranges of below and above 80%, respectively. The 357 apparent increase of SOR after RH exceeded 80% pointed to enhanced sulfate formation, 358 presumably through heterogeneous reactions given the high AWC levels (as can be seen from Figure 359 3). In addition, NO<sub>2</sub> appeared to be at play in the heterogeneous conversion of SO<sub>2</sub> to sulfate, 360 because the RH-dependent increase of SOR was more significant for the samples with relatively high NO<sub>2</sub> concentrations (e.g., above 30  $\mu$ g/m<sup>3</sup>; Figure 6). Based on the observational results 361 available, however, it was inconclusive whether NO2 was the dominant oxidant for the 362 363 heterogeneous formation of sulfate. Simulation results by ISORROPIA-II suggested moderately 364 acidic aerosols (pH of  $4.2 \pm 1.1$ ) for the high-RH conditions, and the importance of other oxidants 365 (e.g.,  $H_2O_2$ ) could be comparable with or even overwhelm NO<sub>2</sub> for the oxidation of SO<sub>2</sub> in aerosol water at such pH levels (Guo et al., 2017b; Liu et al., 2017; Ye et al., 2018; Wang et al., 2021b). 366 367 Nonetheless, the relationship between SOR and RH observed in Harbin was in general consistent with the wintertime results from Beijing. However, the threshold RH for sharp increase of SOR was 368 higher in Harbin (80%) than that in Beijing (~40–70%), and the SOR in Harbin with RH above 80% 369 (averaging 0.2) were at the lower end of those the corresponding values observed during winter in 370

Beijing (typically with averages of ~0.2–0.6) (Sun et al., 2013; Zheng et al., 2015b; Zhang et al.,

372 2018; Li et al., 2019a; Liu et al., 2020b). These differences indicated that heterogeneous formation

of sulfate was less efficient in this study, and a likely cause A likely cause for these differences was
the relatively low temperatures during the measurement period, which would reduce the rate
coefficients of relevant aqueous-phase reactions (Cheng et al., 2016).

376 The 2018–2019 and 2019–2020 campaigns exhibited comparable sulfate concentrations for the RH range of below 80%, with median values of 3.72 and 3.39  $\mu$ g/m<sup>3</sup>, respectively (Figure S10). 377 378 RH-dependent increase of sulfate was evident for both campaigns but was less significant for the 379 former one, e.g., the median sulfate were 5.32 and 15.84  $\mu$ g/m<sup>3</sup> for the high-RH conditions of 2018– 2019 and 2019–2020, respectively. As mentioned earlier, only 10 out of the 180 samples from the 380 381 2018–2019 campaign fell into the high-RH conditions. Among these 10 samples, the RH-dependent 382 increase of sulfate was observed for only three ones with NO<sub>2</sub> concentrations of above 60 µg/m<sup>3</sup>, but was not evident for the remaining samples which had much lower NO<sub>2</sub> (mostly below  $30 \,\mu\text{g/m}^3$ ; 383 Figure 7). For the 2019–2020 campaign, however, the majority of the samples with RH above 80% 384 385 showed NO<sub>2</sub> concentrations of above 60  $\mu$ g/m<sup>3</sup>, accompanied with elevated sulfate. Therefore, the different NO<sub>2</sub> levels under high-RH conditions between the two campaigns (with median 386 concentrations of 21.27 and 72.41 µg/m<sup>3</sup> during 2018–2019 and 2019–2020, respectively; Figure 387 S11) was a likely cause of the more significant RH-dependent increase of sulfate observed during 388 389 the 2019–2020 campaign.

The 2019–2020 campaign also exhibited more significant RH-dependent increase of nitrate, similar to sulfate (Figure S12). In addition, an An obvious difference between the two campaigns was that the nitrate to sulfate ( $NO_3^{-}/SO_4^{2-}$ ) ratios tended to be higher during 2019–2020 (Figure

393	S13), with an average of $1.28 \pm 0.51$ (compared to $1.10 \pm 0.66$ for 2018–2019). This trend was
394	somewhat surprising, as the 2019-2020 measurement period experienced substantially lower
395	temperatures than 2018-2019 (Figure S13) and consequently was expected to be impacted by
396	stronger heating-induced coal combustion emissions, which were a large source of SO <sub>2</sub> . However,
397	SO <sub>2</sub> were actually lower for the 2019–2020 campaign, presumably due to the implementation of
398	clean air actions targeting pollutants from coal combustion. On the other hand, NO2 were higher
399	during 2019–2020. Factors responsible for this increase were unclear, while a possible explanation
400	was that the meteorological conditions of 2019-2020 were generally less favorable for dispersion
401	of air pollutions, as indicated by the frequent occurrences of high RH. In this case, the decrease of
402	SO <sub>2</sub> emission emissions in 2019–2020 was inferred to be more significant after accounting for the
403	unfavorable meteorological conditions. In general, the 2019–2020 campaign exhibited higher $NO_2$
404	to SO <sub>2</sub> ratios (Figure S13), which were in line with the observed variation of nitrate to sulfate ratios.
405	In addition to the relative abundances of NO <sub>2</sub> and SO <sub>2</sub> , the influence of their gas-to-particle
406	conversion ratios should also be considered when comparing $NO_3^{-}/SO_4^{2-}$ across different conditions.
407	The two campaigns differed with respect to humidity levels and biomass burning emissions, both
408	of which could influence SNA formation. Although NOR and SOR were indeed influenced by RH,
409	NO3 <sup>-</sup> /SO4 <sup>2-</sup> did not show clear dependence on RH (Figure S14). In addition, there were
410	observational evidences indicating that biomass burning emissions could enhance photochemical
411	oxidation of NO <sub>2</sub> whereas this effect was much weaker for SO <sub>2</sub> (Akagi et al., 2012; Collier et al.,
412	2016), i.e., stronger BB impacts favor the increase of $NO_3^{-}/SO_4^{2-}$ . Therefore, the larger $NO_3^{-}/SO_4^{2-}$
413	during the 2019-2020 campaign could not be explained by the reduced BB influences or the
414	elevated RH levels, and instead should be attributed primarily to the higher NO <sub>2</sub> to SO <sub>2</sub> ratios. The

increasing trend of NO<sub>2</sub>/SO<sub>2</sub> observed in this study was consistent with inventory results which
typically indicated a more rapid decrease of SO<sub>2</sub> emissions compared to NO<sub>2</sub> during recent years in
China (Zheng et al., 2018).

418 **3.4** Vari

# 3.4 Variation of aerosol composition

The discussions above indicated significant differences between the two campaigns regarding the characteristics of both primary emissions and secondary aerosol formation. This in turn resulted in substantially different aerosol compositions between the two measurement periods, with the dominant drivers for the variation of aerosol composition being different as well (Figure 8).

423 For the 2018–2019 campaign, the contribution of OA to  $(PM_{2.5})^*$  was much higher than that of SNA (60 vs. 28%). The variation of (PM<sub>2.5</sub>)<sup>\*</sup> composition was driven mainly by biomass burning 424 425 emissions (especially those from agricultural fires), which tended to increase the OA contribution 426 and correspondingly decrease the relative abundance of SNA. During the most intensive BB episodes (with LG/OC above 3.0%), the OA contribution reached 66% whereas the SNA 427 contribution dropped to 23%. For the 2019–2020 campaign, however, the contribution of SNA to 428 (PM<sub>2.5</sub>)\* was largely comparable with OA (41 vs. 49%), and heterogeneous chemistry became the 429 dominant driver for the variation of  $(PM_{25})^*$  composition. The relative abundances of both SNA and 430 431 SOA increased considerably from the low-RH through high-RH conditions, with their total 432 contributions reaching 62% for the RH range of above 80%.

During the 2019–2020 measurement period, significantly higher levels of major secondary ions were observed than 2018–2019, i.e., the total concentrations of sulfate, nitrate and ammonium (SNA) averaged 27.30 and 15.53  $\mu$ g/m<sup>3</sup>, respectively. This difference was largely explained by the RHdependence. For the 2019–2020 campaign, the sampling events with RH above 80% were mainly

encountered in January of 2020 (N = 20) as well as in December of 2019 (N = 17), when the daily 437 438 average temperatures were typically below  $-10^{\circ}$ C. The frequent occurrences of high RH were 439 uncommon for Harbin's winter, as can be seen from the comparison of RH in January across the 440 past twenty years (Figure 9). Thus, the 2019–2020 campaign provided a unique opportunity to 441 explore heterogeneous chemistry in Chinese cities located in the severe cold climate region, and might be considered as an upper limit regarding the RH-dependent enhancement of secondary 442 aerosols. On the other hand, the effective increase of SNA and SOA under high-RH conditions 443 444 implied the abundances of gaseous precursors, both organic and inorganic. To avoid the occurrence 445 of extreme pollution events, a more fundamental solution would point to the effective control of 446 gaseous pollutants.

## 447 **3.5 Agricultural fires missed by the 2019–2020 campaign**

448 The 2019–2020 campaign was designed to cover the entire heating season but was interrupted by the outbreak of COVID-19. Although there was no observational result on aerosol composition 449 450 after 5 February, 2020, a severe PM<sub>2.5</sub> episode caused by agricultural fires was identified during 17– 451 18 April, 2020, as indicated by the intensive fire counts recorded for Harbin and the surrounding 452 areas (Figure 10). According to the open-access air quality data, the 24-hour PM<sub>2.5</sub> in Harbin reached 453  $\sim$ 500 and 900 µg/m<sup>3</sup> on these two days, respectively, with the hourly concentrations peaking at ~2350 µg/m<sup>3</sup>. During this period, similarly high PM<sub>2.5</sub> levels were observed for a nearby city, Suihua, 454 455 which is located in the same region (the Song-Nen Plain) as Harbin. Based on a synthesis of air quality data and air mass trajectory, we found that the massive amounts of air pollutants in the 456 Harbin-Suihua region, which were emitted by the agricultural fires within a concentrated period of 457 two days, could be transported ~500 km northward to Heihe, a city located on the border between 458

China and Russia. As shown in Figures 10 and S15, PM2.5 in Heihe started to increase when the 459 460 back trajectory suggested air masses passing over the Harbin-Suihua region, resulting in an episode with a peak PM<sub>2.5</sub> concentration of  $\sim$ 310 µg/m<sup>3</sup>. The discussions above indicated that although 461 462 agricultural fires were not evident during the 2019–2020 measurement period, they were postponed 463 to late April of 2020. Thus, agricultural fires were not actually eliminated by the toughest-ever policy on open burning, but broke out within a short period before the planting of crops in spring 464 instead. It is noteworthy that the intensive open burning activities resulted in not only off-the-chart 465 466 air pollutions for the nearby cities but also heavily-polluted episodes for downwind regions far away 467 from the source areas. We suggest that transboundary transport of agricultural fire emissions from 468 the Northeast Plain, especially the two provinces of Heilongjiang and Jilin, deserves more attention.

469 **4. Conclusions and implications** 

470 Significant differences were observed between aerosol properties measured during two 471 sequential heating seasons in the central city of the HC metropolitan area, i.e., Harbin. Briefly, the 472 differences were caused by inter-annual inter-campaign variations of both primary emissions and 473 secondary aerosol formation. The 2018–2019 measurement period was characterized by (i) frequent 474 occurrences of agricultural fires, which were boosted by the "legitimate burning" policy, and (ii) 475 overall low RH levels which were unfavorable for heterogeneous formation of secondary aerosols. 476 Correspondingly, the observed (PM<sub>2.5</sub>)\* was dominated by organic aerosol, with a substantially higher contribution than SNA (60 vs. 28%). Biomass burning emissions were the largest OC source 477 478 for this measurement period. The BB to OC contribution ( $f_{BB}$ ) was 46% before the onset of "legitimate burning" primarily due to household burning of crop residues, and increased to 59% 479 after the onset of "legitimate burning" with the major contribution from agricultural fire emissions. 480

In addition to OC, the temporal variations of  $(PM_{2.5})^*$  mass concentration and chemical composition were mainly driven by biomass burning as well, especially by agricultural fires. The average (PM<sub>2.5</sub>)<sup>\*</sup> reached ~100 µg/m<sup>3</sup> for the most intensive BB episodes, with an enhanced OA contribution of 66% and a reduced SNA contribution of 23%.

485 Compared to 2018–2019, the 2019–2020 campaign was influenced by (i) a transition of open burning policy, i.e., agricultural fires were strictly prohibited, and (ii) frequent occurrences of high-486 RH conditions. In this case, no evidence was observed to indicate apparent influence of agricultural 487 fires, and correspondingly, the  $f_{BB}$  (39%) was dominated by household burning of crop residues. In 488 addition, both SNA and secondary OC (OCsec) exhibited significant RH-dependent increases. For 489 the RH range of above 80%, SOR and the OCsec to OC contribution reached 0.2 and 42%, 490 491 respectively, despite the low ambient temperatures encountered (averaging about  $-16^{\circ}$ C in terms of 492 daily average). Unlike 2018–2019, organic aerosol and SNA showed comparable contributions to (PM<sub>2.5</sub>)\* for the 2019–2020 campaign (49 vs. 41%), and the variations of (PM<sub>2.5</sub>)\* during this 493 measurement period were mainly driven by secondary components. 494

### 495 **5. Implications**

This study has crucial implications for further improving the air quality in HC region. First,  $f_{BB}$ remained relatively high for the heating season of Harbin (e.g., compared to the wintertime results from Beijing), even without apparent influence of agricultural fires. This highlights the importance of reducing domestic use of crop residues, on top of previous clean air actions implemented for the residential sector primarily focusing on coal combustion. Second, driven by the transition of open burning policy, agricultural fires exhibited different patterns but were never eliminated. For example, although there was no "legitimate burning" period during 2019–2020 and agricultural fires did not

occur as frequently as during 2018-2019, burning did break out in spring of 2020 before crop 503 504 planting. Thus, neither the "legitimate burning" policy released in 2018 nor the toughest-ever "strict 505 prohibition" policy released in 2019 could be considered successful for the effective control of 506 agricultural fires. More studies are necessary to design a new roadmap towards sustainable use of 507 crop residues in Northeast China, which may contribute to the dual targets of air quality improvement and climate change mitigation. Third, it is noteworthy that  $(PM_{2.5})^*$  averaged ~115 508  $\mu$ g/m<sup>3</sup> for the high-RH conditions of 2019–2020, even higher than results from the most intensive 509 510 BB episodes during 2018–2019. This reveals the need for effective control of gaseous precursors, 511 both organic and inorganic, of secondary aerosols. Given the increasing trends of NO<sub>2</sub>/SO<sub>2</sub> and NO3<sup>-</sup>/SO4<sup>2-</sup> observed between 2018 and 2020, control of the NO2-related sources should be 512 513 strengthened.

514 It should be noted that the discussions on heterogeneous formation of SOA and SNA did not necessarily exclude the reactions in fog/cloud water. Actually, based on the observational results 515 516 available, we could not robustly distinguish the relative importance of various aqueous-phases 517 pathways for secondary aerosol formation. To address this problem, air quality modeling with a focus on HC should be conducted in future studies, which could also quantitatively evaluate the 518 519 contributions of various factors (e.g., meteorology, emissions and regional transport) to long-term 520 trends of PM<sub>2.5</sub> concentration and chemical composition. An essential precondition is that the model 521 could properly re-produce the observational results, which appears a substantial challenge for 522 Harbin as indicated by the limited inter-comparison studies, especially for the periods with intensive 523 agricultural fires or high RH levels (Cheng et al., 2021b).

524 Data availability.

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525 Data are available from the corresponding author upon request (jiumengliu@hit.edu.cn).

#### 526 Author contribution

- 527 YC and JL designed the study and prepared the paper with inputs from all the coauthors. QY, XC,
- 528 YZ, ZD and LL carried out the experiments. GG provided the air quality data. WM and HQ
- 529 participated in the field campaign and data analysis. QZ and KB supervised the study.

#### 530 Competing interests.

531 The authors declare that they have no conflict of interest.

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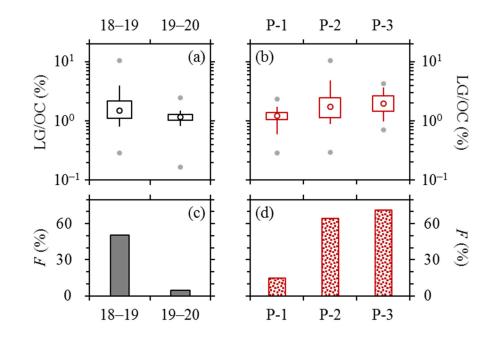
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**Figure 1.** Comparisons of levoglucosan to OC ratios, i.e., LG/OC (on a basis of carbon mass), and the fractions of samples with LG/OC above 1.5% (denoted as *F*), **(a, c)** between the 2018–2019 and 2019–2020 campaigns, and **(b, d)** across the 2018–2019 samples collected before (P-1), during (P-2) and after (P-3) the "legitimate burning" periods. Lower and upper box bounds indicate the 25<sup>th</sup> and 75<sup>th</sup> percentiles, the whiskers below and above the box indicate the 5<sup>th</sup> and 95<sup>th</sup> percentiles, the solid circles below and above the box indicate the minimum and maximum, and the open circle within the box marks the median (the same hereinafter).

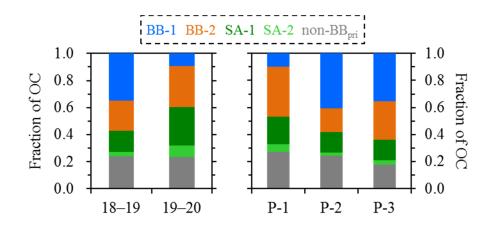


Figure 2. Comparison of OC source apportionment results between the 2018–2019 and 2019–2020
campaigns (left panel), and across the 2018–2019 samples collected before (P-1), during (P-2) and
after (P-3) the "legitimate burning" periods (right panel).

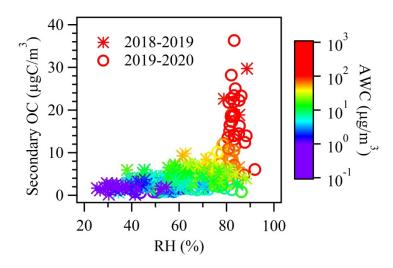
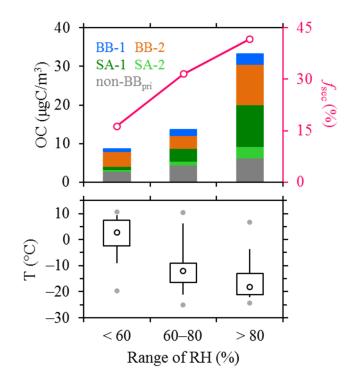


Figure 3. Dependence of secondary OC (OC<sub>sec</sub>) on RH among the two campaigns, color-coded by
 AWC levels. Results from the 2018–2019 campaign and 2019–2020 campaign were marked using

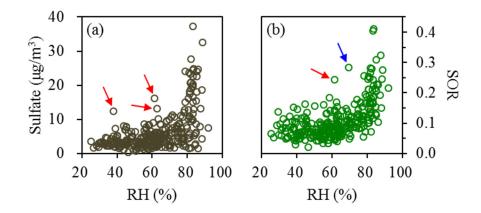
stars and circles, respectively. The majority of the data points with RH above 80% were observed

during 2019–2020. RH exceeded 80% for only ten samples collected during 2018–2019, and only

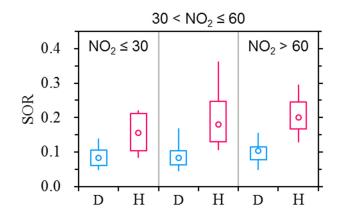
753 three out of these ten samples showed RH-dependent increase of  $OC_{sec.}$ 



**Figure 4.** Comparisons of OC source apportionment results (upper panel, left axis), the contribution contributions of PMF-based OC<sub>sec</sub> to OC ( $f_{sec}$ ; upper pannel, right axis), and ambient temperatures across different RH ranges (lower panel) for the 2019–2020 campaign.

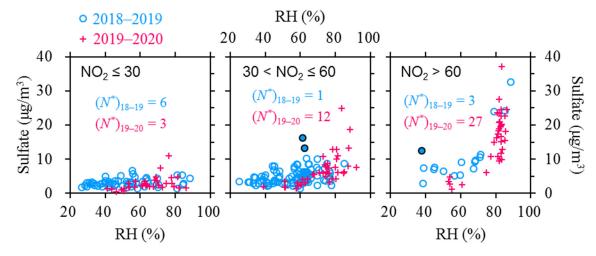


759 Figure 5. Dependences of (a) sulfate and (b) SOR on RH. Results from the 2018–2019 and 2019– 760 2020 campaigns are combined. Relatively high sulfate are typically observed for the conditions with RH above 80%, which is also the case for SOR. There appear to be several outliers showing 761 considerably higher sulfate or SOR than other samples at similar RH. All the outliers occurred 762 during the 2018–2019 measurement period, and most of them were accompanied with extremely 763 764 high levoglucosan concentrations (above 5  $\mu$ g/m<sup>3</sup>), as highlighted by the red arrows. The outlier highlighted by the blue arrow was observed with at an ambient temperature of above 10 °C, which 765 766 was uncommon for the heating season. The outliers indicate that factors other than RH were also at play in sulfate formation, but the influences were evident for only several samples. 767



**Figure 6.** Comparisons of SOR between different RH levels, with results from different NO<sub>2</sub> ranges (below 30, 30–60 and above 60  $\mu$ g/m<sup>3</sup>) shown separately. Results from both the 2018–2019 and 2019–2020 campaigns are included. The terms "D" and "H" indicate relatively dry (RH below 80%)

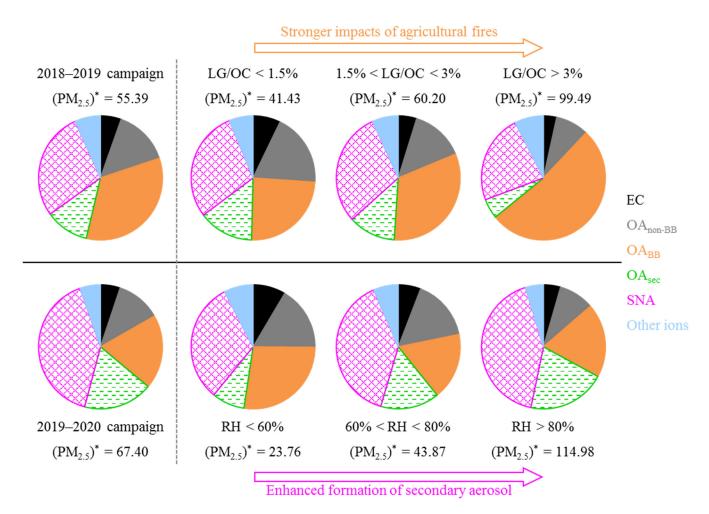
and more humid conditions (RH above 80%), respectively.



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**Figure 7.** Dependences of sulfate on RH in different NO<sub>2</sub> ranges (below 30, 30–60 and above 60  $\mu$ g/m<sup>3</sup>). Results from the 2018–2019 and 2019–2020 campaigns are shown using different markers. The outliers in Figure 5a are highlighted by the solid circles. *N*<sup>\*</sup> indicates the number of samples with RH above 80%. High-RH conditions were typically accompanied with NO<sub>2</sub> concentrations of

with RH above 80%. High-RH conditions were typically accompanied with NO<sub>2</sub> concentration below 30  $\mu$ g/m<sup>3</sup> during 2018–2019, and NO<sub>2</sub> above 60  $\mu$ g/m<sup>3</sup> during 2019–2020, respectively.



780 Figure 8. Comparison of aerosol compositions measured for the 2018–2019 and 2019–2020 campaigns. The 2018– 2019 measurement period experienced relatively dry meteorological conditions (with RH levels rarely exceeding 781 80%) and was characterized by a wide window of ~3 months for "legitimate burning". Correspondingly, variations 782 of  $(PM_{2.5})^*$  concentration (in  $\mu g/m^3$ ) and aerosol composition observed during 2018–2019 were mainly driven by 783 agricultural fires. However, the "legitimate burning" policy was terminated in 2019, and the 2019–2020 campaign 784 785 did not show clear evidence for apparent influence of agricultural fires. On the other hand, high-RH conditions 786 occurred much more frequently during the 2019-2020 measurement period compared to 2018-2019. 787 Correspondingly, variations of (PM<sub>2.5</sub>)\* concentration and aerosol composition observed during 2019–2020 were mainly driven by RH-dependent increase of secondary aerosols. 788

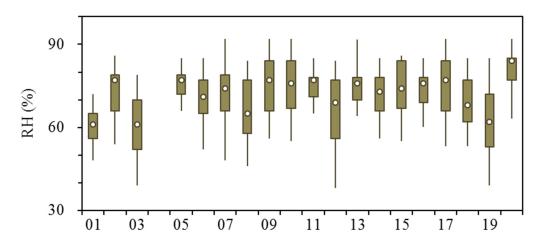
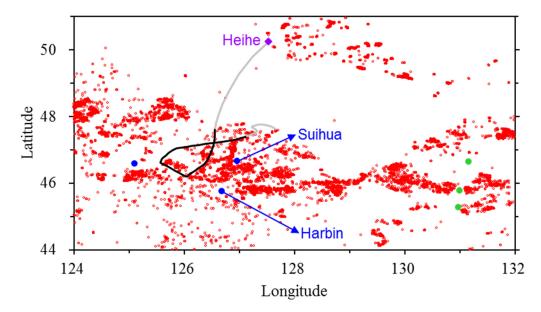


Figure 9. Comparison of RH measured during January in Harbin across the past twenty years (from
2001 through 2020). Time resolution is 1-h for the RH data. No observational result is available for
January of 2004.



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Figure 10. Active fires (red circles) detected by the joint NASA/NOAA Suomi-National Polar 794 795 orbiting Partnership (S-NPP) satellite for Heilongjiang Province during 17-18 April, 2020. Three 796 cities located in the Song-Nen Plain are shown using blue dots (the unlabeled city is Daqing), and three cities located in the San-Jiang Plain (i.e., Shuangyashan, Qitaihe and Jixi with decreasing 797 latitudes) are shown using green dots. The two plains, separated by mountains, are the main 798 agricultural regions in Heilongjiang. Intensive agricultural fires are evident for both plains during 799 800 the two-day episode, indicating the open burning activities are province-wide, although prohibited. The agricultural fires resulted in severe PM<sub>2.5</sub> pollution for nearby cities, e.g., the 24-hour 801 802 concentrations peaked at ~900 and 675 µg/m<sup>3</sup> in Harbin and Jixi, respectively. A PM<sub>2.5</sub> episode was 803 observed even for Heihe (~500 km away from Harbin) on 19 April, 2020, which was attributed to 804 the pollutants transported from the Harbin-Suihua region. The solid line indicates the 72-hour back 805 trajectory ending at 7:00 in Heihe, accompanied with the highest 1-hour PM<sub>2.5</sub> observed on 19 April,  $2020 \ (\sim 310 \ \mu g/m^3)$ . The trajectory indicates transport pathway of air masses impacting Heihe, with 806 807 the segment in black showing locations of the air masses during 17-18 April, 2020.

# Supporting Information for:

# Dramatic changes in Harbin aerosol during 2018–2020: the roles of open burning policy and secondary aerosol formation

Yuan Cheng<sup>1</sup>, Qin-qin Yu<sup>1</sup>, Jiu-meng Liu<sup>1,\*</sup>, Xu-bing Cao<sup>1</sup>, Ying-jie Zhong<sup>1</sup>, Zhen-yu Du<sup>2</sup>, Lin-lin Liang<sup>3</sup>, Guan-nan Geng<sup>4</sup>, Wan-li Ma<sup>1</sup>, Hong Qi<sup>1</sup>, Qiang Zhang<sup>5</sup>, Ke-bin He<sup>4</sup>

<sup>1</sup> State Key Laboratory of Urban Water Resource and Environment, School of Environment, Harbin Institute of Technology, Harbin, China

<sup>2</sup> National Research Center for Environmental Analysis and Measurement, Environmental Development Center of the Ministry of Ecology and Environment, Beijing, China

<sup>3</sup> State Key Laboratory of Severe Weather & CMA Key Laboratory of Atmospheric Chemistry, Chinese Academy of Meteorological Sciences, Beijing, China

<sup>4</sup> State Key Joint Laboratory of Environment Simulation and Pollution Control, School of Environment, Tsinghua University, Beijing, China

<sup>5</sup> Department of Earth System Science, Tsinghua University, Beijing, China

\* Corresponding author. Jiu-meng Liu (jiumengliu@hit.edu.cn).

### SI-1. Field observation.

Two campaigns were conducted at an urban site in Harbin during the heating seasons of 2018-2019 (from 16 October, 2018 to 14 April, 2019; *N* = 180) and 2019–2020 (from 16 October, 2019 to 4 February, 2020; *N* = 112). The sampling was performed in the campus of Harbin Institute of Technology (45°45′24″ N, 126°40′49″ E), using a low volume sampler (MiniVol; Airmetries, OR, USA) operated with pre-baked quartz-fiber filters (2500 QAT-UP; Pall Corporation, NY, USA) at a flow rate of 5 L/min. As described in Cheng et al. (2021), the samples were analyzed for organic carbon (OC), elemental carbon (EC), organic tracers for biomass burning (levoglucosan and mannosan) and water-soluble inorganic ions. Briefly, OC and EC were measured by a thermal/optical carbon analyzer (DRI-2001; Atmoslytic Inc., CA, USA), using the IMPROVE-A temperature protocol with transmittance charring correction. Levoglucosan and mannosan were detected by a Dionex ion chromatography (IC) system (ICS-5000<sup>+</sup>; Thermo Fisher Scientific Inc., MA, USA), using the high performance anion exchange chromatography coupled to pulsed amperometric detection (HPAEC-PAD) method. The IC was also used to determine the inorganic ions including sulfate, nitrate, ammonium, etc.-

Hourly air quality data (e.g., CO), which were measured at a nearby monitoring site (Taiping Hongwei Park, about 2.4 km away from the filter sampling site) operated by the China National Environmental Monitoring Center (CNEMC), were obtained from China's National Urban Air Quality Real Time Publishing Platform (http://106.37.208.233:20035/). Hourly meteorological data including temperature and relative humidity were obtained from Weather Underground (https://www.wunderground.com).-

## SI-2. Aerosol water content (AWC) and aerosol pH estimated using ISORROPIA-II

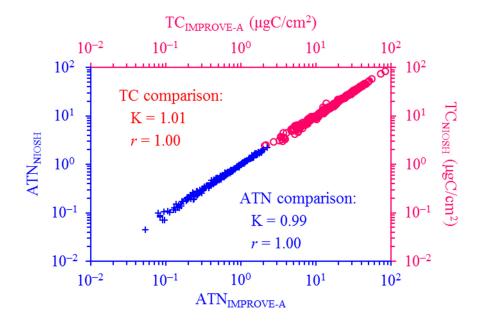
To estimate AWC and aerosol pH during the two campaigns, ISORROPIA-II was run with daily-integrated data (including concentrations of aerosol-phase species, ambient temperature and relative humidity) as input. To simplify the simulations, ISORROPIA-II was run assuming particles are "metastable". It is also assumed that the particles are internally mixed and that pH does not vary with particle size (so that bulk properties represent the overall aerosol pH).-

Because of limitations in input data, e.g., no gas phase data available directly on site, the calculation was done in two ways, the "reverse" and "forward" mode. For the "reverse" mode, the measured aerosol-phase data were deployed as input to retrieve AWC and pH directly. For the "forward" mode, the model was run in an "iteration" way, that we used the measured aerosol-phase data as initial input, ran ISORROPIA-II in the "forward" mode to predict gas phase concentrations of NH<sub>2</sub>, HNO<sub>2</sub>- and HCl, and used the sum of predicted gas-phase and measured aerosol-phase

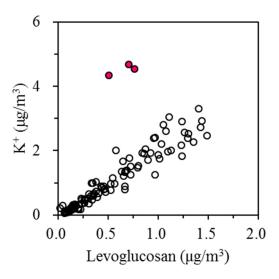
concentrations as the input for next round. The calculations were repeated until the simulated results were stable and in line with the observational data. Both the reverse and forward modes showed comparable AWC levels (Figure S14). For pH, we specifically deployed results using the forward mode, as studies have suggested that the forward mode gives more accurate and robust results than the reverse mode (e.g., Guo et al., 2017, 2018; Song et al., 2018).

## **References**

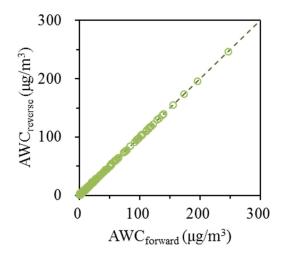
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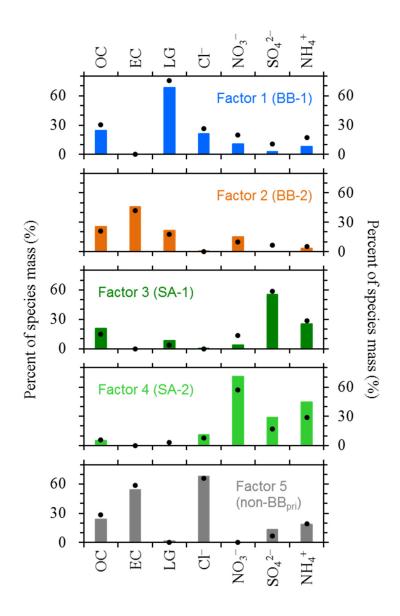
**Figure S1.** Comparisons of total carbon (TC) and optical attenuation (ATN) measured by different temperature protocols. Results from both campaigns are included. ATN is calculated as  $\ln(I_{\text{final}}/I_{\text{initial}})$ , where  $I_{\text{initial}}$  and  $I_{\text{final}}$  indicate filter transmittance signals measured at the beginning (i.e., when the loaded filter has not been heated) and end (i.e., when all the deposited carbon has been combusted off the filter) of thermal-optical analysis, respectively. Linear regression results are shown with K as slope (intercept was set as zero). TC and ATN agreed well between different protocols, demonstrating good precisions for both the carbon and transmittance measurements.



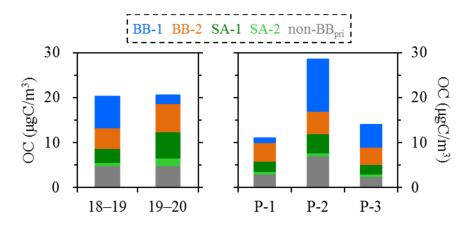
**Figure S2.** Relationship between  $K^+$  and levoglucosan during the 2019–2020 campaign. Three samples collected during the Chinese New Year period exhibit substantially higher  $K^+$  to levoglucosan ratios (as highlighted by the solid circles), pointing to significant influence of firework emissions.



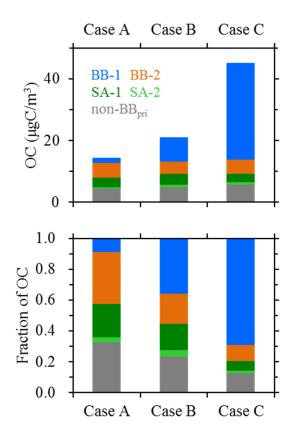
**Figure S3.** Comparison of AWC results predicted by reverse and forward modes. The dashed line indicates one-to-one correspondence.



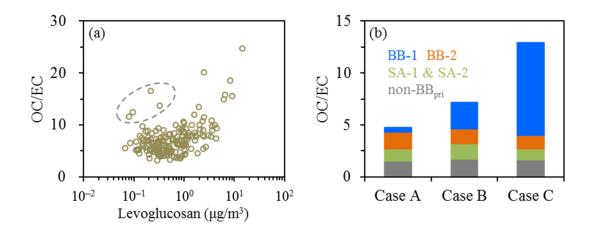
**Figure S4.** Source profiles resolved by PMF. The solid bars and circles indicate results obtained by this study and Cheng et al. (2021a), respectively. For this study, aerosol compositions measured during the 2018–2019 and 2019–2020 campaigns were combined and used as the PMF inputs, whereas Cheng et al. (2021a) was based only on the former campaign. In general, similar profiles were resolved by the two studies, despite the different measurement periods covered.



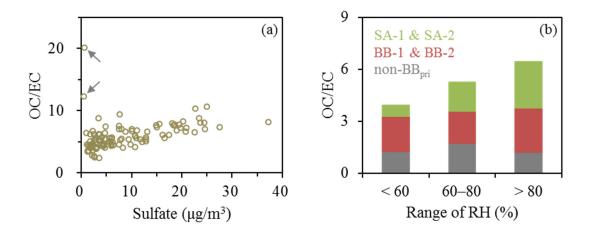
**Figure S5.** Comparisons of OC source apportionment results between the 2018–2019 and 2019–2020 campaigns (left panel), and across the 2018–2019 samples collected before (P-1), during (P-2) and after (P-3) the "legitimate burning" periods (right panel).



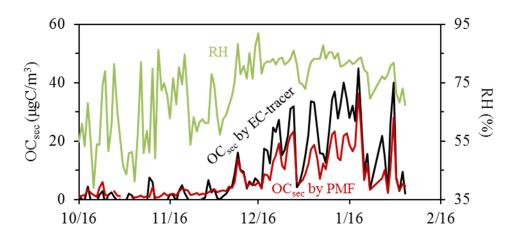
**Figure S6.** Comparison of OC source apportionment results across the 2018–2019 samples with increasing strength strengths of biomass burning impacts impact. Cases A, B and C correspond to LG/OC ranges of < 1.5%, 1.5–3.0% and > 3.0%, respectively.



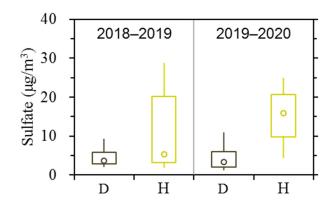
**Figure S7. (a)** Relationship between OC/EC and levoglucosan, and **(b)** comparison of OC/EC among Cases A–C, for the 2018–2019 campaign. OC/EC ratios in (b) are based on results from PMF analysis, i.e., for each case, OC/EC is presented as the sum of source-resolved OC to total EC ratios (e.g.,  $OC_{BB-1}/EC$  and  $OC_{BB-2}/EC$ ). The two factors representing secondary aerosols are not distinguished in (b). In general, OC/EC showed a positive dependence on levoglucosan, although there appeared to be several outliers (as highlighted by the dashed oval) which had the lowest EC concentrations of the measurement period (below ~0.5 µg/m<sup>3</sup>). Thus, biomass burning is considered the dominant driver for the temporal variation of OC/EC during the 2018–2019 campaign. This inference is also supported by (b), as OC/EC exhibited an increasing trend from Case A through Case C, which cannot be explained by SOA or non-BB emissions.



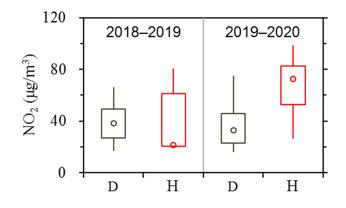
**Figure S8. (a)** Relationship between OC/EC and sulfate, and **(b)** comparison of OC/EC with increasing RH levels, for the 2019–2020 campaign. In general, OC/EC showed a positive dependence on sulfate, although there were two outliers (as highlighted by the arrows) which had the lowest EC concentrations of the measurement period (below  $0.3 \ \mu g/m^3$ ). Thus, SOA is considered the dominant driver for the temporal variation of OC/EC during the 2019–2020 campaign. This inference is also supported by (b), as with increasing RH, OC/EC exhibited an increasing trend, which cannot be explained by the primary factors (either BB or non-BB).



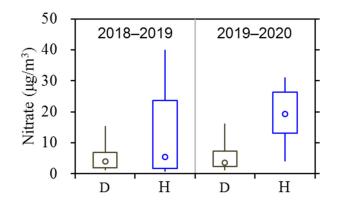
**Figure S9.** Temporal variations of  $OC_{sec}$  and RH during the 2019–2020 campaign. The PMF-based  $OC_{sec}$  was calculated as the sum of OC masses attributed to the SA-1 and SA-2 factors. For the EC-tracer method,  $OC_{sec}$  was calculated as:  $OC_{sec} = OC - EC \times (OC/EC)_{pri} - OC^*$ , where  $(OC/EC)_{pri}$  is the OC to EC ratio representative of combustion sources and  $OC^*$  indicates primary OC from non-combustion sources. For the 2019–2020 campaign,  $(OC/EC)_{pri}$  and  $OC^*$  were determined as the slope (2.13) and intercept (3.11) from the linear regression of OC on EC (r = 0.98), respectively, based on the low RH samples (i.e., those with RH below 60%). For the 2019–2020 campaign,  $(OC/EC)_{pri}$  as the slope (2.13) and  $OC^*$  as the intercept (3.11), respectively, using low-RH samples (i.e., those with RH below 60%). Compared to the PMF-based results,  $OC_{sec}$  calculated by the EC-tracer method showed a similar pattern of temporal variation. Results from both methods showed RH-dependent increase of  $OC_{sec}$ .



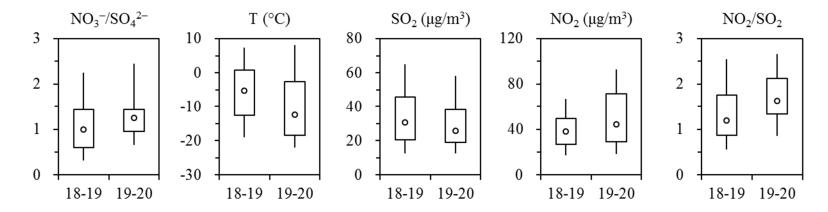
**Figure S10.** Comparison of sulfate between different RH levels for the 2018–2019 and 2019–2020 campaigns. The terms "D" and "H" indicate relatively dry and more humid conditions with RH below and above 80%, respectively.



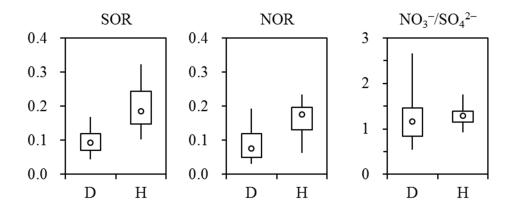
**Figure S11.** Comparison of NO<sub>2</sub> between different RH levels for the 2018–2019 and 2019–2020 campaigns. The terms "D" and "H" indicate relatively dry and more humid conditions with RH below and above 80%, respectively.



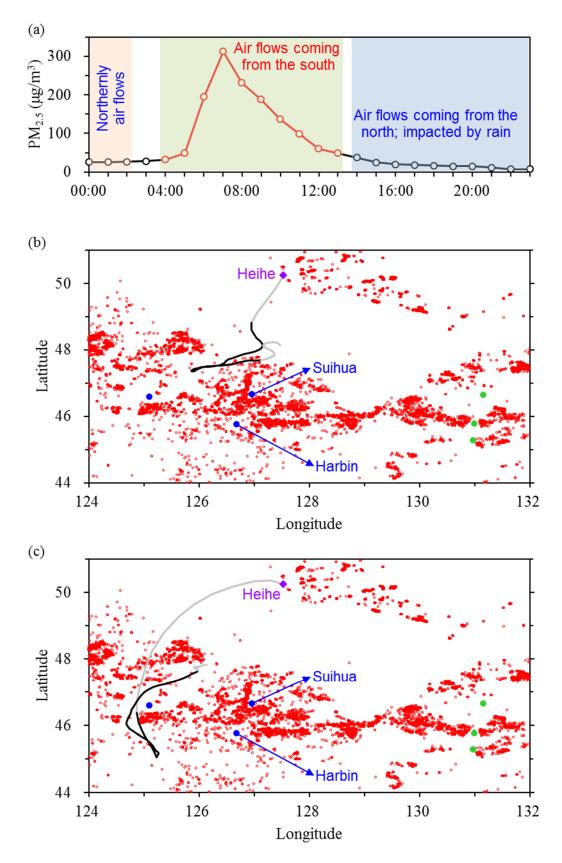
**Figure S12.** Comparison of nitrate between different RH levels for the 2018–2019 and 2019–2020 campaigns. The terms "D" and "H" indicate relatively dry and more humid conditions with RH below and above 80%, respectively.



**Figure S13.** Comparisons of the nitrate to sulfate ratios, temperature temperatures, SO<sub>2</sub>, NO<sub>2</sub> and the NO<sub>2</sub> to SO<sub>2</sub> ratios between the 2018–2019 and 2019–2020 campaigns.



**Figure S14.** Comparisons of SOR, NOR and the nitrate to sulfate ratios at different RH levels for the 2019–2020 campaign.



**Figure S15. (a)** Temporal variation of 1-h PM<sub>2.5</sub> observed in Heihe on 19 April, 2020. **(b–c)** 72-hour back trajectories ending at 4:00 and 13:00, respectively, in Heihe, overlaid with active fires detected during 17–18 April, 2020 as red circles. PM<sub>2.5</sub> were relatively low between 0:00 and 3:00,

when the air flows came from the northwest, moved fast and descended sharply.  $PM_{2.5}$  started to increase at 4:00, with the trajectory from the south and impacted by the region with agricultural fires (as shown in b). The increase of  $PM_{2.5}$  continued as the trajectory path moved towards Harbin and Suihua, where the impacts of open burning were inferred to be extremely strong based on their off-the-chart  $PM_{2.5}$  concentrations. The maximum  $PM_{2.5}$  was observed at 7:00, and then  $PM_{2.5}$  started to decrease although the air masses still passed over the Harbin-Suihua region (or the nearby area) before arriving at Heihe. A likely cause for the decrease of  $PM_{2.5}$  after 7:00 was the increase of planetary boundary layer height from morning through noon time. The trajectory left the Harbin-Suihua region at 13:00 (as shown in c) and returned to the north at 14:00. In addition, there was rain in Heihe after 14:00, and correspondingly,  $PM_{2.5}$  gradually decreased to below 10 µg/m<sup>3</sup>.