



# Aerosol properties and aerosol-radiation interactions in clear sky conditions over Germany

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**Abstract.** The clear-sky radiative effect of aerosol–radiation interactions is of relevance for our understanding of the climate system. The influence of aerosol on the surface energy budget is of high interest for the renewable energy sector. In this study, the radiative effect is investigated in particular with respect to seasonal and regional variations for the region of Germany and the year 2015 at the surface and top of atmosphere using two complementary approaches.

First, an ensemble of clear-sky models which explicitly consider aerosols is utilized to retrieve the aerosol optical depth and the surface direct radiative effect of aerosols by means of a clear sky fitting technique. For this, short-wave broadband irradiance measurements in the absence of clouds are used as a basis. A clear sky detection algorithm is used to identify cloud free observations. Considered are measurements of the shortwave broadband global and diffuse horizontal irradiance with shaded and unshaded pyranometers at 25 stations across Germany within the observational network of the German Weather Service (DWD). Clear sky models used are MMAC, MRM v6.1, METSTAT, ESRA, Heliosat-1, CEM and the simplified Solis model. The definition of aerosol and atmospheric characteristics of the models are examined in detail for their suitability for this approach.

Second, the radiative effect is estimated using explicit radiative transfer simulations with inputs on the meteorological state of the atmosphere, trace-gases and aerosol from CAMS reanalysis. The aerosol optical properties (aerosol optical depth, Ångström exponent, single scattering albedo and assymetrie parameter) are first evaluated with AERONET direct sun and inversion products. The largest inconsistency is found for the aerosol absorption, which is overestimated by about 0.03 or about 30 % by the CAMS reanalysis. Compared to the DWD observational network, the simulated global, direct and diffuse irradiances show reasonable agreement within the measurement uncertainty. The radiative kernel method is used to estimate the resulting uncertainty and bias of the simulated direct radiative effect. The uncertainty is estimated to  $-1.5 \pm 7.7$  and  $0.6 \pm 3.5 \,\mathrm{W}\,\mathrm{m}^{-2}$  at the surface and top of atmosphere, respectively, while the annual-mean biases at the surface, top of atmosphere and total atmosphere are -10.6, -6.5 and  $4.1 \,\mathrm{W}\,\mathrm{m}^{-2}$ , respectively.

The retrieval of the aerosol radiative effect with the clear sky models shows a high level of agreement with the radiative transfer simulations, with an RMSE of  $5.8\,\mathrm{W\,m^{-2}}$  and a correlation of 0.75. The annual mean of the REari at the surface for the 25 DWD stations shows a value of  $-12.8\pm5\,\mathrm{W\,m^{-2}}$  as average over the clear sky models, compared to  $-11\,\mathrm{W\,m^{-2}}$  from the radiative transfer simulations. Since all models assume a fixed aerosol characterisation, the annual cycle of the aerosol

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radiation effect cannot be reproduced. Out of this set of clear sky models, the largest level of agreement is shown by the ESRA and MRM v6.1 models.

## 1 Introduction

Aerosols influence the earth's climate through their interaction with atmospheric radiation. A fundamental measure of the strength of this interaction is the radiative effect resulting from aerosol-radiation interactions (REari), which is also referred to as the direct radiative effect of aerosols (Boucher et al., 2014). This includes aerosols from natural and anthropogenic sources. The REari is computed as the hypothetical difference of the net irradiance with aerosols and in pristine conditions, and can be considered at any vertical level of the atmosphere. Climatological studies are often focused on the REari on the total atmosphere to investigate the heating or cooling by aerosols. This requires the knowledge of the REari at top of atmosphere and surface. The best estimate of the global mean REari by anthropogenic aerosols, called the aerosol radiative forcing, is  $-0.45\,\mathrm{W\,m^{-2}}\pm0.5\,\mathrm{W\,m^{-2}}$  at the top of atmosphere according to the latest IPCC report, and is one of the major uncertainties for estimating the total radiative forcing by anthropogenic aerosols of the climate system (Myhre et al., 2014). The REari is considered in terms of shortwave (solar) and longwave (terrestrial) radiation, with solar and terrestrial radiation being defined as the electromagnetic radiation at wavelengths less and more than 4 µm respectively. The REari at the surface is also of relevance for our understanding of the climate system due to its influence on the surface energy budget, and thus its influence on latent and sensible heat fluxes (e.g., Chaibou et al., 2020). In addition, the effect of aerosols on the surface solar irradiance is of high interest for the renewable energy sector, e.g. the planning of photo-voltaic (PV) power plants (e.g., Schroedter-Homscheidt et al., 2012). Depending on their optical properties, aerosols reduce the global horizontal irradiance by changing both its diffuse and direct irradiance components. While the impact of REari on PV-power depends mainly on changes in global irradiance, its effect on concentrating solar power is mainly caused by changes in direct irradiance. Several regional studies clearly show the impact of REari on solar power production (e.g., Gueymard and Jimenez, 2018; Neher et al., 2019), but none of them considers wavelength dependent aerosol properties.

Considerable effort has been spend over the last decades to quantify the clear sky shortwave REari at the surface, referred to simply as REari in the following text unless indicated otherwise. The REari is studied at global (e.g., Yu et al., 2006; Bellouin et al., 2013; Kinne, 2019) and regional scales (e.g., Papadimas et al., 2012; Esteve et al., 2016; Bartók, 2016). Neher et al. (2019) found a median daily REari of 9.4 % to 14 % for six AERONET (AErosol RObotic NETwork) stations located in the ECOWAS region using AOD retrieved from AERONET and radiative transfer calculations using libRadtran (Mayer and Kylling, 2005). For Europe, Nabat et al. (2014) quantified the REari by utilizing a coupled regional climate system model (CNRM-RCSM4). Bartók (2016) used the MAGIC radiation code with aerosols and water vapor climatology from Aerocom and ERA-INTERIM, respectively for calculating REari. Esteve et al. (2016) utilized a different radiation scheme (ES96) along



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with aircraft measurements of aerosol optical properties during the EUCAARI-LONGREX campaign. These studies found annual mean values of REari ranging from -7 to  $-15 \, \mathrm{W \, m^{-2}}$ , with uncertainties of about  $5 \, \mathrm{W \, m^{-2}}$ . The discrepancies of the REari found in the literature are the result of the different methods and models used, as well as the use of a wide variety of measured data.

The present investigation is focused on REari in particular with respect to seasonal and regional variations across Germany. For this purpose, two sources of information are considered here:

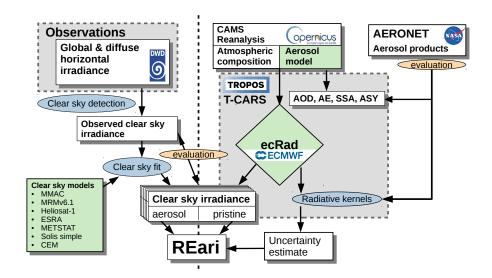
First, high-quality broadband global and diffuse irradiance measurements carried out at 25 stations across Germany as part of the observational network of the German Weather Service (DWD). These observations representing the current state of the atmosphere including aerosols. To calculate the REari, the observations are combined with different clear sky models (CSM) (e.g., Sun et al., 2019) to simulate the irradiance of the aerosol-free (pristine) atmosphere. A large variety of CSM is available (Sun et al., 2019) ranging from simple to highly complex schemes developed for different applications. The accuracy of these models to simulate the clear sky irradiance at the surface is intensively evaluated in numerous studies, most recently and detailed by Sun et al. (2019). CSM are widely used to estimate the solar irradiance at the surface in cloudfree conditions. Applications range from the evaluation of power generation of photo-voltaic power plants (Bright et al., 2017) to the determination of the global radiation budget on a spatial resolution which is not possible with ground based observations (Ruiz-Arias and Gueymard, 2018). These models can also be used in the quality control of observational data (e.g., Long and Ackerman, 2000; Ineichen, 2014; Reno and Hansen, 2016). In this study the CSM utilized are evaluated on their usability for REari quantification. The CSM are namely the MMAC, MRM v.6.1, METSTAT, ESRA, Heliosat-1, CEM and the simplified Solis model. With this approach, the REari is computed directly for the location of the measuring station. This makes this approach particularly suitable for case studies such as determining the influence of aerosol on the performance of photo-voltaic systems. On the other hand, the restricted temporal and spatial coverage are limitations for climate studies.

Secondly, the Copernicus Atmosphere Monitoring Service (CAMS) provides a global reanalysis (CAMS RA) dataset of atmospheric composition including aerosol properties (Inness et al., 2019b). The CAMS RA is based on the Integrated Forecast System (IFS) of the European Centre for Medium-Range Weather Forecasts (ECMWF) and the assimilation of satellite observations, the amounts of various atmospheric constituents are estimated by explicit modelling of their sources, atmospheric transport and their sinks. This dataset provides complete spatio-temporal coverage and also enables explicit radiative transfer simulation as all the required variables are included. The aerosol optical properties are highly dependent on the aerosol mixture, which in the underlying aerosol model of CAMS RA is described by a set of seven different aerosol types. Therefore, a lower accuracy of the aerosol representation can be assumed compared to locally measured reference values. Furthermore, the accurate representation of the REari at a specific location is limited by sub-grid scale effects (e.g., Gueymard and Yang, 2020). In this study, the CAMS RA aerosol representation is evaluated using the AERONET direct sun and inversion products as reference, including single scattering albedo and asymmetry parameter (e.g., Dubovik and King, 2000; Sinyuk et al., 2007). This provides insight in possible shortcoming of the aerosol input from CAMS RA and the ability on a detailed uncertainty analysis on REari simulated using the CAMS RA data. The level of agreement of the CAMS RA aerosol optical depth (AOD) and Ångström exponent (AE) products compared to reference observations is promising and has already been extensively eval-



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**Figure 1.** Schematic view of the analysis conducted in this study. Datasets are shown as white boxes, methods as blue ellipses and models in green. The study involves clear sky detection (see Sect. 3.1), clear sky fitting (see Sect 3.2), the T–CARS setup (see Sect. 3.3) and a method utilizing radiative kernels to analyse the sensitivity and estimate the uncertainty of the REari simulation (see Sect. 3.3.4).

uated versus ground based observations (e.g., Inness et al., 2019b; Witthuhn et al., 2020; Zhang et al., 2020; Gueymard and Yang, 2020). Bulk absorption properties (e.g., single scattering albedo) has, to our knowledge, not been evaluated yet, despite its major impact on REari calculation (Thorsen et al., 2020). The REari is simulated with the TROPOS (Leibniz Institute of Tropospheric Research) – Cloud and Aerosol Radiative effect Simulator (T–CARS) using the CAMS RA data as input to the offline version of the ECMWF radiation scheme (ecRad) (Hogan and Bozzo, 2018).

Given the fundamental differences of these two approaches, the consistency of the underlying aerosol properties and the resulting REari is of prime interest to us. The scheme presented in Fig. 1 outlines the analysis conducted in this study. Specific goals of the study are summarized as follows:

- 1. Evaluation of the CAMS RA aerosol properties database versus AERONET Version 3 direct sun and inversion products.
  - 2. Sensitivity analysis of REari on aerosol optical properties and atmospheric parameters.
  - 3. Investigation of the influence of aerosol and atmospheric definitions in the CSMs on the retrieval of irradiance and REari.
  - 4. Evaluation of irradiance and REari estimates, by intercomparing CSMs and T-CARS approach and comparing with DWD irradiance observations as reference.
- 5. Determination of aerosol conditions and best estimate of REari over Germany in the year 2015.

This paper is structured as follows: First the utilized datasets are described in Sect. 2. Methods and metrics used in this study are described in Sect. 3. The results and discussion is presented in Sect. 4, including uncertainty and sensitivity analysis of





the T-CARS setup (Sect. 4.1), intercomparison of irradiance and REari estimates with the different setups and comparison to DWD observations (Sect. 4.2), and a best estimate of REari over Germany in 2015 (Sect. 4.3). Finally the results are concluded in Sect. 5.

## 2 Datasets

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In this section, the datasets utilized for this study are described. Information on the data availability is given separately at the end of the article.

#### 2.1 DWD Radiation Network

This study is based on a dataset of 1-minute average values of the downwelling shortwave broadband global and diffuse horizontal irradiance observed at 25 stations in Germany during the year 2015 as part of the German Weather Service (DWD) observational network (Becker and Behrens, 2012). Global horizontal irradiance (GHI) and diffuse horizontal irradiance (DHI) is measured using secondary standard pyranometers of types CM11 and CM21 from the manufacturer Kipp & Zonen. To observe the diffuse horizontal irradiance, the pyranometers are equipped with a shadow ring to block the direct component of the incoming solar radiation. A correction is applied to the DHI to account for the diffuse radiation blocked by the shadow ring. All pyranometers are operated in a ventilation unit, which blows slightly preheated air over the radiometer dome to impede the formation and accumulation of dew, ice, and snow. The direct normal irradiance (DNI) is calculated as the difference of GHI and DHI, scaled by the inverse of the cosine of the solar zenith angle. In addition, a fully automated quality control is applied to the dataset following the recommendation of the world radiation monitoring center for BSRN data (Long and Shi, 2008; Schmithüsen et al., 2012).

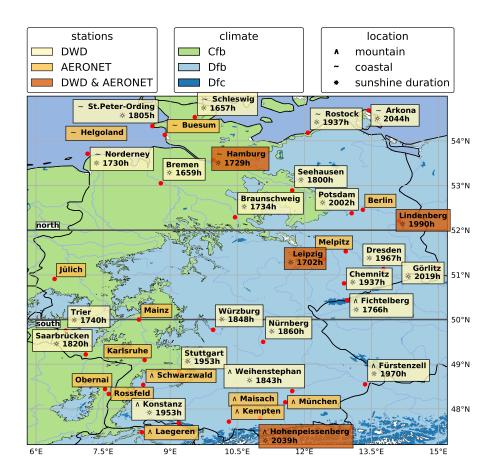
The measurement uncertainty under clear-sky conditions for this class of pyranometers is about 2% for GHI and about 4% for DHI, due mostly to uncertainty of the shadow ring correction. Therefore, the uncertainty of DNI is estimated to be about 5% under clear-sky conditions. The calibration of the instruments is conducted at a 2-year interval, and is performed in the laboratory using a lamp and a reference pyranometer traceable to the World Radiation Reference (WRR). All stations are maintained by weather observers or technical staff to guarantee the regular cleaning of instruments and adjustment of the shadow ring manually at least once a week.

To study regional differences, the DWD stations are labeled based on their location, altitude and Köppen-Geiger climate classification (Beck et al., 2018). Measurements of stations with the same tag are aggregated in the analysis. The following classes are defined:

- (coastal,  $\sim$ ) Stations in cities in coastal areas.
- (mountain, ∧) Stations with an altitude higher than 400 m.
- (south) Stations on latitudes smaller than 50° N.
- (north) Stations on latitudes larger than 52° N.







**Figure 2.** Map of Germany showing the locations of DWD and AERONET stations, along with the sunshine duration as accumulated hours for the year 2015 at the DWD stations. On the map, location labels are indicated for mountain, coastal, northern and southern stations. The underlying map shows the Köppen-Geiger climate classification (Beck et al., 2018).

- (Cfb) Stations of temperate climate with no dry season and warm summer.
- (Dfb) Stations of cold climate with no dry season and warm summer.
  - (Dfc) Stations of cold climate with no dry season and cold summer.

An overview of the station locations and labels is shown in Fig. 2 and Table 1.

# 2.2 CAMS reanalysis

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The Copernicus Atmosphere Monitoring Service (CAMS) provides a reanalysis dataset (CAMSRA) of atmospheric composition (Inness et al., 2019b). CAMSRA is produced by the ECMWF with CY42R1 of the Integrated Forecast System (IFS), and provides global information on aerosol composition as well as various trace gases and meteorological parameters (e.g.





**Table 1.** Table of available DWD stations with corresponding altitude and selection labels. Hours of clear sky attributed to cloud-free (CSDc) and free-sun (CSDs) are shown in comparison to the WMO sunshine duration (SD). In addition, the number of days feasible for the CSF method are shown for every season and the year 2015.

DWD	stations		altitude	CSDc	CSDs	SD		C	SF day	s	
abbr.	label	name	[m]	[h]	[h]	[h]	DJF	MAM	JJA	SON	year
AK	$\sim$ , n, Cfb	Arkona	42	164.0	417.7	2044.5	5	23	31	5	64
BG	n, Cfb	Braunschweig	88	75.2	205.4	1734.5	5	15	24	13	57
BN	n, Cfb	Bremen (FWW)	5	67.1	188.0	1659.4	3	16	17	8	44
СН	Dfb	Chemnitz	357	57.7	234.0	1936.8	7	6	21	13	47
DN	Dfb	Dresden-Klotzsche	222	86.0	260.2	1966.7	14	23	28	17	82
FB	$\wedge$ , Dfc	Fichtelberg	1213	32.5	148.9	1765.6	1	4	7	6	18
FL	$\land$ , s, Dfb	Fürstenzell	476	123.6	397.6	1969.9	11	15	38	15	79
GZ	Dfb	Görlitz	238	79.8	262.1	2019.0	8	21	30	13	72
HF	$\sim$ , n, Cfb	Hamburg-Fuhlsbüttel	16	60.7	187.8	1728.8	3	14	22	10	49
HP	$\land$ , s, Dfb	Hohenpeißenberg	977	147.7	418.2	2038.9	22	9	32	15	78
KS	$\land$ , s, Dfb	Konstanz	443	157.1	451.7	1952.8	7	19	35	13	74
LG	n, Dfb	Lindenberg (RAO)	98	117.2	311.5	1989.7	11	21	25	13	70
LZ	Dfb	Leipzig-Holzhausen	148	52.1	203.8	1702.4	6	9	11	9	35
NB	s, Dfb	Nürnberg (FWW)	312	75.1	278.9	1860.0	4	17	23	15	59
NY	$\sim$ , n, Cfb	Norderney	13	48.5	177.2	1730.4	0	0	15	3	18
PG	$\sim$ , n, Cfb	St.Peter-Ording	5	93.5	280.1	1804.5	2	13	24	9	48
PT	n, Dfb	Potsdam	81	89.7	252.9	2001.5	7	15	31	12	65
RO	$\sim$ , n, Cfb	Rostock-Warnemünde	4	96.8	315.7	1937.3	3	17	28	6	54
SG	$\sim$ , n, Cfb	Schleswig	43	82.9	217.7	1657.2	4	12	24	10	50
SN	n, Cfb	Seehausen	21	72.7	218.0	1800.3	5	17	18	13	53
SR	s, Cfb	Saarbrücken (FWW)	320	103.8	270.1	1820.4	2	17	27	12	58
SY	s, Cfb	Stuttgart-Schnarrenberg	311	84.6	308.7	1952.8	13	14	25	11	63
TR	s, Cfb	Trier	265	96.1	237.8	1740.0	4	14	23	6	47
WN	$\land$ , s, Dfb	Weihenstephan	467	99.0	306.9	1843.2	10	10	28	12	60
WZ	s, Dfb	Würzburg	268	62.4	243.3	1847.7	5	12	18	14	49

pressure, temperature, humidity). It was developed based on the experiences gained with the former Monitoring Atmospheric Composition and Climate (MACC) reanalysis and the CAMS interim analysis (Inness et al., 2019b). Output parameters are provided at a temporal resolution of 3 h on a global grid of 0.75° (corresponding to a T255 spatial resolution) and for 60 vertical model levels. For a best estimate of the output parameters, CAMS RA relies on the assimilation of global satellite observations into the IFS.



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Aerosol in the CAMS system is represented by five aerosol types, which are assumed to be externally mixed: sea salt, dust, organic matter, black carbon and sulfate aerosol. Hygroscopic effects are considered for organic matter, black carbon, sulfates and sea salt. Mineral dust and sea salt aerosol are described using three size bins each. The climatology used to describe the spectral aerosol optical properties in the ECMWF models is described in detail in Bozzo et al. (2020). The spectral aerosol optical properties for each species are computed for the 30 radiative bands of the ECMWF radiative scheme (Hogan and Bozzo, 2018) as well as for 20 single spectral wavelengths in the range of 340 nm to 2130 nm.

In terms of aerosol properties, the AOD from the products of the MODIS C6 from both Terra and Aqua are assimilated, while the composition mixture is maintained as given from the IFS. Before its failure in March 2012, retrievals from the Advanced Along-Track Scanning Radiometer (AATSR; Popp et al. (2016)) flown aboard the Envisat mission were also being assimilated. At the time of writing, the dataset covers the period 2003-2019, and will be extended into the future in the coming years.

## 2.3 AERONET

Global long-term ground-based measurements of aerosol optical properties are provided at a large number of stations by the AERONET project (Holben et al., 1998, 2001). AERONET sites are equipped with a standardized multi-spectral sunphotometer manufactured by the company CIMEL. It measures the direct-beam irradiance at several spectral channels between 340 nm and 1640 nm. The AERONET direct sun algorithm provides spectral AOD and AE (Giles et al., 2019). The uncertainty of the resulting spectral AOD was intensively evaluated, and is estimated to about  $\pm$  0.02 for the AERONET version 3 products (Giles et al., 2019). Furthermore, AERONET inversion products estimate spectral single scattering albedo (SSA) and the asymmetry parameter (ASY) using almucantar scans by the sun-photometer (Sinyuk et al., 2007, 2020). The uncertainty of these parameters has been estimated by perturbation of measurements and auxiliary inputs. For spectral SSA and for an urban or industrial area, it has been estimated to about  $\pm$  0.03 (Sinyuk et al., 2020), while in case of ASY and sites in Germany, the mean uncertainty is about  $\pm$  0.01. The uncertainty estimates for SSA and ASY can be acquired from the AERONET website.

In Germany and close to German border, a total of 25 AERONET stations are available, counting permanent and campaign based datasets in the period from 2003 to 2019. The locations of the stations are shown in Fig. 2, except for stations of the HOPE-campaign, which are located close to the permanent sites Jülich and Melpitz.

### 3 Methods

This section gives an overview of the methods and algorithms utilized in this study. The REari ( $\Delta F$ ) at surface or top of atmosphere (TOA) is computed by:

$$\Delta F = F_{\text{net,aer}} - F_{\text{net,pri}},\tag{1}$$

where the net irradiances (down - up) are denoted as  $F_{\text{net,aer}}$  (with aerosols) and  $F_{\text{net,pri}}$  (without aerosols). For the total atmosphere, REari can be computed from the difference of TOA minus surface REari, indicating atmospheric heating if the result is a positive value.



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Comparison analysis are focused mainly on the following metrics: Standard deviation (SD), mean bias error (MBE), root mean square error (RMSE) and Pearson correlation coefficient (R, referred simply as correlation in the following text).

The clear sky detection and model algorithms as well as the offline version of the ecRad radiation scheme are publicly available, see the section on code and data availability at the end of the article.

# 3.1 Clear sky detection

In this study, only clear sky conditions are considered. Therefore, determination of the clear sky state of the atmosphere is a critical aspect for the accuracy of our results. Here, it is determined by applying a clear sky detection (CSD) method to the irradiance measurements of the DWD, the Bright–Sun CSD algorithm proposed by Bright et al. (2020). This method was developed based on a detailed analysis of the performance and shortcomings of a large number of earlier methods in the study by Gueymard et al. (2019). The main goal of its development was to combine the best aspects of previous methods in a single, globally applicable algorithm.

Following the examples of Long and Ackerman (2000) and Reno and Hansen (2016), all three irradiance components are considered by the algorithm, and a multi criteria approach is adopted to identify changes associated with cloudiness in the irradiance time series, respectively. Applying the unmodified Reno method (Reno and Hansen, 2016) initially to the GHI data to identify potential clear sky periods, a first guess of GHI, DHI and DNI is subsequently optimized by scaling factors to match the observations as proposed by Alia-Martinez et al. (2016) and Ellis et al. (2018). A set of threshold tests is then applied in a tri–component analysis, based on the investigation by Gueymard et al. (2019) and as documented in Bright et al. (2020): a modified Reno method is applied to GHI and DHI, including threshold tests on the running mean, variance and extremes adapted for different solar zenith angles; for the DNI, clear sky periods are identified by comparing the ratio of the observed DNI to the clear sky DNI using a dynamic threshold depending on the sun elevation, inspired by Long and Ackerman (2000); Quesada-Ruiz et al. (2015); Larrañeta et al. (2017).

Two types of situations can be differentiated: the "cloudless sky" method involves duration criteria, which require prolonged periods of clear sky condition within a cascade of two moving windows of 90 min and 30 min length to ensure that the specific situation is not affected by cloud contamination, based on the filters defined in Shen et al. (2018). The less stringent "clear sun" mode disables the duration filters, therefore only providing the information that the sun disk is free of clouds. Both methods have been applied to the observations in this study and are compared in Table 1.

The Bright–Sun algorithm thus requires measured GHI and DHI as input, as well as first-guess estimates of the clear sky

GHI and DHI. From the GHI and DHI, the DNI is calculated internally. It is relatively insensitive to the accuracy of the CSM which is used to provide the initial clear sky irradiance estimate. Therefore, a simple CSM from Kasten (1983) (KASM) is used to calculate the clear sky irradiance in this study. Besides the solar zenith angle, the KASM model only requires surface pressure and water vapour column as input, and no information on aerosol properties. The surface pressure measured at each DWD station, and the altitude corrected water vapor column is acquired from the closest station of the Global Navigation

Satellite Systems (GNSS) Meteorology product of the German Research Centre of Geoscience (GFZ) (Ning et al., 2016) are used here. Despite the limited set of inputs, the performance of the KASM model is ranked on place 16 in a comparison of 75



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CSM for observations in temperate climate in the study of Sun et al. (2019). According to Sun et al. (2019), clear sky irradiance calculated with KASM shows an MBE below 3%, RMSE below 5% and a correlation of 0.98 compared to measurements at ground stations across all climates.

# 3.2 Retrieval of AOD and REari based on clear sky models

To retrieve the surface REari from clear sky broadband irradiance observations, an estimate of the clear sky irradiance without aerosols is required. For this purpose, several CSM are used. Furthermore, the CSMs are used to fill cloud contaminated gaps in the observation data in order to calculate appropriate daily averages of REari. This is accomplished by inverting the CSM for a daily mean AOD using a fitting method to clear sky irradiance observations (CSF).

The following CSM are used: MMAC, MRM v.6.1, METSTAT, ESRA, Heliosat-1, CEM, and the simplified Solis model (see Appendix A for a detailed description). The models have been selected based on the ranking established by (Sun et al., 2019), as well as their input requirements. The design of this analysis requires that the CSM explicitly contains AOD as input parameter. This AOD value can be a spectral or broadband value, but models which require additional aerosol parameters have also been excluded. For these CSM, the clear sky irradiance without the effect of aerosols can be estimated by setting the AOD to zero. The selected CSM, required input parameters and details about the definition of aerosols and the atmosphere are given in Table 2.

A mandatory step for the CSF is to determine the clear sky state of the recent measurement. In this study, the CSF is used in combination with the "cloudless sky" CSD. In the further text, a situation identified as "cloudless sky" is simply called clear sky (see Sect. 3.1).

An observation day is considered for CSF if the identified clear sky situations are spread at least over 2 hours during the day. This ensures different solar zenith angles as support centers for the fit. The threshold of 2 hours is a somewhat arbitrary choice. Stricter thresholds lead to an increased fit performance but dramatically reduce the available amount of data. Analysis of simulated clear sky irradiance accuracy fitted with different thresholds (not shown here) show that this choice leads to a considerable balance of fit performance and data quantity.

Fulfilling this requirement, each of the selected CSM is compared to the irradiance observations at the identified clear sky situations. The agreement of CSM and observation is determined by a set of statistical metrics following Gueymard (2014). The following metrics are considered: Standard deviation (SD), root mean square error (RMSE), the slope of best-fit line, the uncertainty at 95 % and the t-statistic. These metrics are indicators of dispersion between the observation and prediction. Each of the metrics indicates best agreement if its value is zero. The free AOD variable is varied until the sum of all metrics is minimal. This approach implies a fixed AOD value through the day. The so inverted AOD value is limited to physical values in the range from 0 to 0.7 and then used to calculate the clear sky irradiance with the CSM for the full day, and fill the cloud contaminated gaps in the irradiance observation.

For the retrieval of REari from this approach, the net flux with aerosol is fitted as described above. For the irradiance in pristine conditions the AOD input value for the CSMs is zero. The utilized CSM models are developed and evaluated to represent the clear sky irradiance in the presence of aerosols (Sun et al., 2019). Setting AOD to zero in these models may





Table 2. The table lists the CSM used in this study and their definitions, assumptions and considered input parameters. Parameters considered as input are marked with (i). Listed parameters are the assumed solar constant ( $S_0$ ) and scaling for site altitude which is usually applied in the definition of air mass (m). Further listed are the surface albedo ( $a_{\rm sfc}$ ), transmittance from Rayleigh scattering ( $T_{\rm R}$ ), considered ozone column ( $O_3$ ) and transmittance from absorption by mixed gases in the atmosphere ( $T_{\rm G}$ ). The aerosol representation is listed for its extinction and scattering properties to calculate the direct normal and diffuse irradiance, respectively. Some aerosol scattering functions are based on a fixed SSA ( $\omega$ ) value. All models receive measured pressure (p) and water vapor column as input. In all models a standard pressure ( $p_0$ ) of 1013.25 hPa is assumed.

		atmospheric definit				ons		
clear sky model	citation	$S_0$	altitude	m	$a_{ m sfc}$	$T_{ m R}$	$O_3$	$T_{ m G}$
MRM v6.1	[CM1]	$1366.1\mathrm{Wm^{-2}}$	$\frac{p}{p_0}$	[AM1]	i	[TR1]	i	[TG1]
ESRA	[CM2]	$1367.0\mathrm{Wm^{-2}}$	i & $\frac{p}{p_0}$	[AM1]	-	[TR2]	$343\mathrm{DU}$	-
Heliosat-1	[CM3]	$1367.0\mathrm{Wm^{-2}}$	$\frac{p}{p_0}$	[AM1]	-	[TR2]	$343\mathrm{DU}$	-
Solis simple	[CM4]	$1367.0\mathrm{Wm^{-2}}$	$\frac{p}{p_0}$	fitted	i	fitted	$340\mathrm{DU}$	-
CEM	[CM5]	$1353.0\mathrm{Wm^{-2}}$	$\frac{p}{p_0}$	[AM2]	i	[TR3]	-	-
MMAC	[CM6]	$1353.0\mathrm{Wm^{-2}}$	$\frac{p}{p_0}$	[AM2]	i	[TR3]	$350\mathrm{DU}$	-
METSTAT	[CM7]	$1367.0\mathrm{Wm}^{-2}$	$\frac{p}{p_0}$	[AM3]	i	[TR4]	i,[TO1]	[TG2]
				aerosol de	finition	ıs		
clear sky model	citation	AOD	aerosol e	xtinction		aerosol	scattering	
MRM v6.1	[CM1]	550 nm	SMARTS	S [AE1]		SMARTS [AS1]		
ESRA	[CM2]	550 nm	Turbidity	[AE2]		[AS2]		
Heliosat-1	[CM3]	550 nm	Turbidity	[AE2]		[AS3]		
Solis simple	[CM4]	700 nm fitted Solis [AE3]		fitted So	olis [AS4]			
СЕМ	[CM5]	broadband	Turbidity	[AE4]		-		
MMAC	[CM6]	broadband Turbidity [AE4]			[AS5] ( $\omega = 0.98$ )			
METSTAT	[CM7]	broadband	Turbidity	[AE4]		[AS6] (		

<sup>[</sup>CM1] Kambezidis et al. (2017); [CM2] Rigollier et al. (2000); [CM3] Hammer et al. (2003); [CM4] Ineichen (2008a); [CM5] Atwater and Ball (1978); [CM6] Gueymard (2003); [CM7] Maxwell (1998)

lead to large uncertainties. Furthermore, additional data of surface albedo is required to calculate the upwelling radiation. The surface albedo data is acquired from the EUMETSAT Satellite Application Facility on Land Surface Analysis (LSA SAF;

<sup>[</sup>AM1] Kasten and Young (1989); [AM2] Hammer et al. (2003); [AM3] Kasten (1965)

 $<sup>[</sup>TR1]\ Psiloglou\ et\ al.\ (1995);\ [TR2]\ Kasten\ (1996);\ [TR3]\ Hammer\ et\ al.\ (2003);\ [TR4]\ Bird\ and\ Hulstrom\ (1981)$ 

<sup>[</sup>TO1] Heuklon (1979)

 $<sup>[</sup>TG1]\ Psiloglou\ and\ Kambezidis\ (2007);\ [TG2]\ Bird\ and\ Hulstrom\ (1981)$ 

<sup>[</sup>AE1] Kambezidis et al. (2017); [AE2] Ineichen (2008b); [AE3] Ineichen (2008a); [AE4] Unsworth and Monteith (1972)

<sup>[</sup>AS1] Kambezidis et al. (2017); [AS2] Rigollier et al. (2000); [AS3] Dumortier (1995); [AS4] Ineichen (2008a); [AS5] Davies and McKay (1982); [AS6] Bird and Hulstrom (1981)



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Trigo et al. (2011)). The one minute temporal resolution of the observational approach is feasible for the calculation of the daily average of REari, without the need of an up-sampling process.

#### 3.3 Radiative transfer simulations

The TROPOS – Cloud and Aerosol Radiative effect Simulator (T–CARS) is a Python based framework for radiative transfer simulations in particular for investigating the radiative effects of clouds and aerosols has been extended and used for the present study. T–CARS has been developed within the TROPOS Remote Sensing department (Barlakas et al., 2020).

Based on various supported input data sources describing the meteorological state of the atmosphere, aerosol and cloud properties, and trace-gases, T–CARS can simulate the resulting vertical profiles of broadband irradiances and heating rates as output. For this study, the CAMS RA (Sect. 2.2) is used as input, and required input variables have been retrieved from the Copernicus Atmosphere Data Store. In the present study, the radiative transfer equation is solved using the ecRad radiation scheme (Hogan and Bozzo, 2018), and cloud effects are not considered.

As CAMS RA provides aerosol properties in the form of vertical profiles of the mass mixing ratio for each considered aerosol type, conversion routines for calculating the resulting aerosol optical properties have been created and are described here. In addition, the precise method used to simulate station time series for comparison purposes is explained, in particular the adjustment of inputs to account for the station elevation.

## 3.3.1 CAMS RA aerosol optical properties

In this study, four optical properties of aerosol are investigated and compared to AERONET observations. The aerosol optical depth (AOD), the Ångström exponent (AE), the single scattering albedo (SSA) and the asymmetry parameter (ASY). Each property describes a different aspect of the interaction of aerosols with radiation. The AOD is a measure of extinction of radiation by aerosols; the AE describes the spectral dependency of AOD; the SSA is the fraction of scattering to absorption of radiation by aerosols; and ASY describes in which direction radiation is mainly scattered.

The column integrated values of AOD, AE, SSA and ASY are calculated from model level CAMS RA mass mixing ratios using the aerosol optical properties database described by (Bozzo et al., 2020a) as shown in Sect. B. For better comparability with AERONET products, the column integrated aerosol optical properties are calculated for a reference wavelength of 550 nm, using linear interpolation in wavelength. The AE ( $\alpha$ ) is calculated using the AOD at 440 nm and 870 nm with the Ångström relation:

$$\frac{\tau_{\text{ext}}(\lambda_1)}{\tau_{\text{ext}}(\lambda_2)} = \left(\frac{\lambda_1}{\lambda_2}\right)^{-\alpha} \tag{2}$$

To evaluate the method described above, the spectral AOD at wavelengths 469 nm, 550 nm, 670 nm, 865 nm and 1240 nm is compared to the AOD product provided by CAMS RA. The comparison shows a high level of agreement as shown in Table A2. Therefore, the aerosol properties calculated with T–CARS are used to represent the CAMS RA aerosol properties database in the evaluation versus the AERONET direct sun and inversion products (Sect. 4.1.1).



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#### 3.3.2 Collocation to measurement stations

In order to evaluate the 3 hourly, gridded CAMS RA dataset to measurements conducted at a fixed location, we use the following collocation strategy:

For the evaluation of the CAMS RA aerosol properties (see Sect. 4.1.1), the AERONET dataset is interpolated in time with the nearest–neighbor method using a maximal distance of 90 minutes to ensure no interference by changing atmospheric and aerosol conditions and ensure comparability of the CAMS RA and AERONET dataset. Next, a subset of the CAMS RA data is calculated for each station coordinate by a bi linear interpolation in space. As the CAMS RA resolution is 0.75°, the measurement from the observing station might not be representative for the whole grid cell, especially in case of orographic inhomogeneity as aerosols tend to be concentrated near the surface. Therefore, the measured surface pressure or altitude at the station is used to scale the CAMS RA model level pressure instead of the surface pressure of the CAMS RA dataset. This ensures comparability to the measurement station and is especially needed in regions with highly variable orography (e.g., high altitude sites). Note, that this approach is different of using a scale–height correction for AOD only (e.g., Bright and Gueymard, 2019), as AOD, AE, SSA, ASY as well as the clear sky irradiance are compared to ground based observations in this study.

For the evaluation of REari quantification from the observational approach, an interpolation in time is not necessary as daily averages are used for the comparison (see Sect. 4.2.3). Instead, the temporal resolution of the CAMS RA input data is enhanced to 30 minutes by linear interpolation of each parameter. The original temporal resolution is 3 hours, which is not sufficient for an accurate daily average. Analysis with further increased temporal resolution show, that a resolution of 30 minutes is sufficient for REari daily average calculation (not shown here). For the comparison to AERONET and the REari based on DWD observations, the surface albedo from the CAMS RA input is adjusted to ensure comparability.

# 3.3.3 Radiation scheme ecRad

The radiation scheme ecRad (Hogan and Bozzo, 2018) is used in the T–CARS setup to simulate clear sky irradiance with and without aerosols at the surface and top of atmosphere. This radiation scheme was developed for the use in the ECMWF model, but is also available as a detached offline version which is used in this study. Due to its modular structure, this radiation scheme is fully compatible with the aerosol properties database from CAMS RA (Bozzo et al., 2020). As this study is entirely focused on the clear sky REari, the shortwave homogeneous solver *Cloudless* is used to solve the radiative transfer equation in ecRad. The simulation conducted with ecRad provides the up– and down–welling irradiance at every model level. Further, also the direct down–welling irradiance is provided. The ecRad scheme applies the  $\delta$ –Eddington scaling to solve the radiative transfer equation (Joseph et al., 1976; Hogan and Bozzo, 2018). Therefore, the DNI simulated with ecRad is systematically overestimated depending on the atmospheric and aerosol scattering properties (Sun et al., 2016; Räisänen and Lindfors, 2019). Calculations are done twice, once with and without aerosols. From this output, the REari is calculated for surface and top of atmosphere.





#### 315 3.3.4 Irradiance and REari kernels

The sensitivity of simulated irradiance and REari on aerosol properties and atmospheric parameters is investigated in this study. Of particular interest are the aerosol optical properties such as AOD, AE, SSA and ASY, which affect the extinction of radiation by aerosols. In addition, the sensitivity on other atmospheric parameters such as surface albedo, ozone and water vapor is investigated, due to their strong effects on the radiation budget.

For this purpose partial derivatives (e.g., Soden et al., 2008; Shell et al., 2008; Thorsen et al., 2020)  $\frac{\partial}{\partial x}$  on a function f(x,...) are approximated by imposing a small perturbation  $\delta x$  to the variable x as follows:

$$\frac{\partial}{\partial x}(f(x,\ldots)) \approx \frac{f(x+\delta x,\ldots) - f(x,\ldots)}{\delta x}$$
(3)

Similar to the analysis of Thorsen et al. (2020), the size of the perturbation is chosen as an 1% increase to the base value ( $\delta x = 0.01x$ ). These approximated partial derivatives will be computed for GHI, DNI and REari and referred as irradiance kernels and REari kernel, respectively. As not denoted here explicitly, all kernels and variables are vertically integrated and also a function of time, latitude, longitude, wavelength bands and altitude.

In T-CARS these kernels are calculated for the parameters AOD, AE, SSA, ASY,  $O_3$  mixing ratio,  $H_2O$  mixing ratio and surface albedo. The perturbation of the aerosol optical properties is done on the aerosol specification input file for ecRad for all aerosol classifications and wavelength bands simultaneously.  $O_3$  and  $H_2O$  mixing ratio are directly scaled in the ecRad radiation scheme. The surface albedo is directly perturbed in the ecRad input file. Since AOD, SSA and ASY vary spectrally, a relative broadband kernel is calculated by the sum over all wavelength bands ( $\lambda$ ) and then scaled to 550 nm (Thorsen et al., 2020):

$$\left[\frac{\partial}{\partial x}(f(x,\ldots))\right]_{550} = \sum_{\lambda} \frac{\partial}{\partial x_{\lambda}} \left(f_{\lambda}(x_{\lambda},\ldots)\right) \frac{x_{\lambda}}{x_{550}}.$$
(4)

This relative broadband kernel provides the sensitivity to a perturbation in AOD, SSA and ASY at 550 nm. As AE, O<sub>3</sub>, H<sub>2</sub>O and surface albedo are spectrally independent, these broadband kernels are directly calculated from Eq. (3) using broadband fluxes simulated with ecRad.

The kernels are used to determine the systematic and random errors of the simulated irradiance and REari. In this study, only the errors resulting from errors in the aerosol optical properties of the CAMS input dataset are considered. For this purpose, the kernels are scaled with the MBE ( $\varepsilon$ ) and RMSE ( $\sigma_R$ ) of parameters ( $_j$ ) AOD, AE, SSA and ASY:

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$$\varepsilon(f) = \sum_{j=1}^{N} \left( \varepsilon(x_j) \frac{\partial}{\partial x} \left( f(x_j, \ldots) \right) \right), \tag{5}$$

$$\left(\sigma_{\mathbf{R}}(f)\right)^{2} = \sum_{j=1}^{N} \left(\sigma_{\mathbf{R}}(x_{j}) \frac{\partial}{\partial x} \left(f(x_{j}, \ldots)\right)\right)^{2}.$$
(6)



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#### 4 Results and Discussion

In this section, the results of the following analyses are presented: in Sect. 4.1 the uncertainty of the clear sky irradiance and REari simulated with T–CARS is estimated by an evaluation of the CAMS RA aerosol optical properties used as input and a sensitivity analysis using radiative kernels; in Sect. 4.2 the simulations of T–CARS and retrievals with the various CSMs are compared with each other and with observations from the DWD station network; Sect. 4.3 provides an overview of the aerosol optical properties and presents a best estimate of REari for Germany and the year 2015 using the T–CARS setup.

## 4.1 Sensitivity and uncertainty of T-CARS simulations

Aerosol mixing ratios from CAMS RA are used as input for the simulation of hypothetical irradiance and REari in T–CARS, in the absence of clouds. The accuracy of aerosol optical properties (AOD, AE, SSA and ASY) calculated from this data set is an important aspect of the accuracy of these simulations, and is evaluated in Sect. 4.1.1 by a comparison to reference data based on AERONET observations. In Sect. 4.1.2, the sensitivity of the simulations of irradiance and REari with the T–CARS setup to changes of aerosol optical properties (AOD, AE, SSA, ASY) and other input parameters (O<sub>3</sub> and H<sub>2</sub>O mixing ratios, surface albedo) is investigated. The results of both analyses are combined in Sect. 4.1.3 to estimate the uncertainty of the T–CARS simulations of REari due to uncertainty of AOD, AE, SSA and ASY from CAMS RA.

## 4.1.1 Comparison of CAMS RA and AERONET aerosol optical properties

The aerosol optical properties AOD, AE, SSA and ASY calculated from CAMSRA are compared with the corresponding collocated reference values from the AERONET direct sun and inversion products. The calculation of optical properties, and the collocation procedure applied to the CAMSRA dataset are described in Sect. 3.3.1 and Sect. 3.3.2, respectively. For the statistics presented here, AERONET data from 25 stations within and near the German border, and for the period from 2003 to 2019, are considered.

Figure 3 shows the comparison and evaluation statistics for all considered aerosol parameters. The difference of the CAMS RA and the AERONET properties are shown in the left side panels. In order to facilitate a better overview in which part of the distributions an over- or underestimation occurs, the difference from the median value of the AERONET variable expressed in multiples of the SD is plotted on the x-axis. In the panels on the right-hand side, the distributions of the aerosol optical properties from AERONET and CAMS RA are compared.

The CAMS RA AOD at 550 nm is on average in good agreement with the observations, as indicated by a MBE close to zero. Nevertheless, there is a slight overestimation of about 0.02 at AOD values below the median, and an underestimation at higher AOD values. The instantaneous agreement shows a relatively wide dispersion, as indicated by a correlation of 0.66 and an RMSE of 0.09. This magnitude clearly exceeds the uncertainty estimate of the spectral AOD of AERONET of about  $\pm 0.02$  (Giles et al., 2019), which implies that the deviation is mainly due to the uncertainty of the aerosol properties in CAMS RA, and possibly due to the collocation method used. Thus, a value of  $\pm 0.09$  is used here as estimate of the CAMS RA AOD uncertainty.



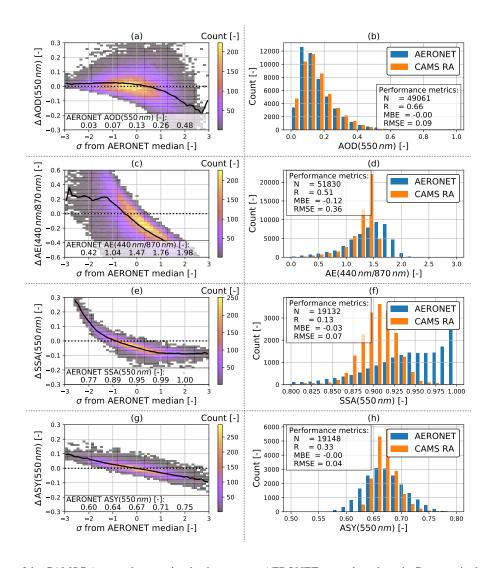


Figure 3. Evaluation of the CAMS RA aerosol properties database versus AERONET aerosol products in Germany in the period from 2003 to 2019. Left side panels show the deviation of an quantity (CAMS RA - AERONET) on left y-axis as 2d-histogram and the mean as black line. The values on the left side panels are plotted versus quantiles (number of standard deviations  $\sigma$  from median) of the AERONET distribution. The right side panels show the dataset distribution of each quantity and calculated evaluation metrics.

For both data sets, the AE is calculated from the spectral AODs at 440 nm and 870 nm. According to AERONET, the AE varies around a mean value of about 1.5 over Germany, with about 95 % of the values lying between 0.4 and 2. In contrast, the AE values calculated from CAMS RA appear to be limited to values below 1.6 with a frequency peak at 1.5. This indicates that the limited set of aerosol classes used in CAMS RA cannot realistically represent aerosol mixtures with a strong spectral dependence of the AOD. In consequence, spectral AOD values below and above 550 nm tend to be underestimated and overes-



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timated, respectively. AE values below 1 are overestimated by CAMS RA, with a mean bias of about 0.2, which mainly affects aerosol with spectral flat properties (mineral dust).

The SSA values at 550 nm vary around a median value of 0.9 over Germany according to CAMS RA, with the shape of the distribution resembling that of a normal distribution with a full-width half-maximum of about 0.05, and bounded between values of 0.8 and 0.98. On the other hand, the AERONET inversion product shows a much broader distribution of SSA values between 0.8 and 1, with a median value of 0.95. The SSA inferred by AERONET is clipped at a maximum value of 1 (no absorption), a value which is never reached by CAMS RA. In general, an overestimation of the amount of aerosol absorption in CAMS RA can be observed in comparison to AERONET (MBE = -0.03). This finding is important, because the SSA has a strong influence on the value of REari (see Sect 4.1.2 and Sect. 4.1.3). Furthermore, the instantaneous comparison shows a wide scatter with an RMSE of 0.07. This indicates that the aerosol representation in CAMS RA has problems in reproducing the aerosol absorption based on the set of aerosol classes used in the underlying aerosol model. In comparison, the uncertainty of the AERONET SSA inversion is estimated to be about  $\pm$  0.03 (Dubovik and King, 2000; Sinyuk et al., 2020), with increasing uncertainty at lower AOD values ( $\pm$  0.08 for AOD below 0.1 and  $\pm$  0.05 for AOD values between 0.1 and 0.2 (Sinyuk et al., 2020)).

In agreement of CAMS RA and AERONET, the ASY at 550 nm is distributed around a median value of 0.67. However, the distribution of CAMS RA ASY values is more narrow having a range from 0.62 to 0.76, while ASY values from AERONET span a range from 0.56 to 0.79. Besides this difference, the comparison shows an RMSE of 0.04, which, again is well above the uncertainty estimate of  $\pm$  0.01 for the ASY retrieved by AERONET (Sinyuk et al., 2020). Therefore, the uncertainty of ASY from CAMS RA is estimated to be about  $\pm$  0.04.

A subset of the data for the year 2015 has been used to identify possible outliers or unique aerosol conditions during this year (results not shown). The 2015 subset shows similar aerosol and comparison statistics to those for the complete period from 2003 to 2019. This indicates that the aerosol conditions over Germany during the year 2015 did not differ significantly from the long-term mean conditions. Thus, the year 2015 is considered to be representative and is used for the further analyses of this study.

The comparison results for AOD and AE from CAMS RA and AERONET reported here are consistent with several previous studies. Inness et al. (2019b) compared CAMS RA AOD at  $550 \,\mathrm{nm}$  and AE(440 nm,870 nm) against measurements from AERONET stations for the period from 2003 to 2016. Similar to our study, they found an insignificant underestimation of AOD (MBE = -0.003) compared to European AERONET stations. Compared to global AERONET stations, a correlation of 0.8 to 0.9 was reported for AOD. For AE, an overestimation of 5-20% and a correlation of 0.6 to 0.7 was found. These results show a higher degree of agreement and a positive instead of the negative bias obtained in the present study. Our study is however limited to the region of Germany, which may explain a lower correlation, due to lower AOD values and a more narrow distribution of AE in comparison to global aerosol conditions. Furthermore, the global mean AE values is about 1.2 (Inness et al., 2019b) versus the value of 1.5 over Germany, and a positive bias for smaller AE values is also observed for CAMS RA within the present study. Another long-term evaluation of CAMS RA AOD and AE for the period from 2003 to 2017 versus AERONET was performed by Gueymard and Yang (2020). For the European region, they found a MBE of 0.01



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and a RMSE of 0.09 for AOD, which is consistent with the results of this study (0 and 0.09, respectively). While our study finds a slight underestimation of AOD, this result lies within their proposed uncertainty range. Furthermore, it is shown here that the bias between CAMS RA and AERONET AOD depends on the magnitude of AOD, which implies that the MBE strongly depends on the current aerosol conditions. Evaluating the AE over the European region, Gueymard and Yang (2020) found an MBE of -0.02 and an RMSE of 0.33, again similar to our results (-0.12 and 0.36, respectively). Other studies (e.g., Witthuhn et al., 2020; Zhang et al., 2020) assessing the AOD and AE of CAMS RA show that the AOD at 550 nm is well-represented in CAMS RA. The level of agreement for AE, on the other hand, suffers from its restriction to values below 1.6 and, at the same time, from a positive bias for AE values below 1. When calculated from the Ångström relation, the spectral AOD at other wavelengths may be biased as a consequence of this behaviour.

The representation of the intrinsic aerosol optical properties SSA and ASY in the CAMS RA has not, to our knowledge, been evaluated in other studies. Our results show that the realistic representation of aerosol absorption as represented by the SSA is a weak point of CAMS RA in its current form. The SSA is generally underestimated compared to the AERONET inversion product, indicating a significant overestimation of aerosol absorption. This aspect is important because, when CAMS RA aerosol properties are used as input for radiative transfer calculations, it will lead to excessive atmospheric heating by aerosols, together with an underestimation of the DHI at the surface, and the planetary albedo at the top of atmsophere. This aspect is thus potentially of interest for studies of the impact of aerosols on the climate system using CAMS RA aerosol properties as basis, and should therefore be further investigated and potentially corrected.

The overestimation of aerosol absorption will also have an impact on PV-power potentials derived from CAMS RA. The PV-power will be underestimated if CAMS RA aerosol properties are used as an input of radiative-transfer models with coupled PV-power used for solar system planning. In addition to the positive bias in aerosol absorption, CAMS RA does not reproduce the full range of natural variability in either SSA or ASY, which can probably be attributed to the limitations of using a fixed set of aerosol types in the underlying aerosol representation. However, due to the wavelength dependent spectral response of PV-modules, uncertainties in wavelength dependent aerosol properties will lead to uncertainties in PV-power calculations. Nevertheless, in comparison to SSA, ASY is well represented in CAMS RA, as the MBE is close to zero and the RMSE has a value of 0.04. Therefore, the influence of the ASY uncertainty on simulations of solar irradiance and REari is expected to be minor.

# 440 4.1.2 Sensitivity of irradiance and REari

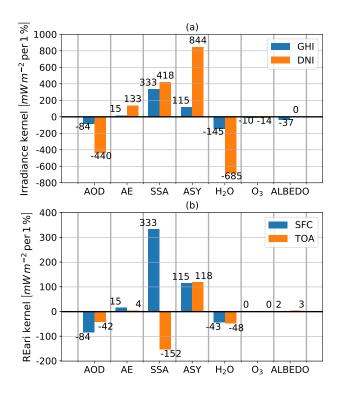
To analyse the sensitivity of T-CARS simulations to perturbations of the aerosol optical properties AOD, AE, SSA and ASY, the column amounts of  $O_3$  and  $H_2O$ , and the surface albedo, radiative kernels are utilized using the approach of Thorsen et al. (2020) as basis (see Sect. 3.3.4).

The radiative kernels calculated for a one-percent increase of the corresponding parameter are shown in Fig. 4. They are displayed as vertically integrated annual mean values over Germany for the year 2015 for both the GHI and DNI irradiance (panel (a)). The REari kernels at the surface and top of atmosphere are shown in panel (b). An increase of one percent in



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**Figure 4.** Irradiance and REari kernel calculated for perturbations of 1 % of different variables in the ecRad radiation scheme. The calculations are conducted for surface (blue) and top of atmosphere (orange).

AOD(550 nm), for example, would lead to a change of annual REari by  $-84 \,\mathrm{mW}\,\mathrm{m}^{-2}$  at the surface and  $-42 \,\mathrm{mW}\,\mathrm{m}^{-2}$  at the TOA.

For the irradiance kernels, the DNI is always more sensitive to a change of a certain parameter, since the DNI is defined normal to the sun beam, and thus has larger daily-average values. An increase of AOD leads to decreasing values of GHI and DNI at the surface. The GHI is less sensitive to a change of AOD as, depending on the absorption properties of the present aerosols, a part of the scattered radiation is transferred from the direct beam into the DHI, leading to partial cancellation of the changes in GHI. In general, parameters increasing atmospheric absorption (AOD, water and ozone) decrease the surface irradiance. An increase in AE leads to a decrease in AOD at wavelengths longer than 550 nm and thus to an increase in surface irradiance, as this part of the spectrum makes up the largest contribution to broadband irradiance. Similar to AOD, the GHI is less affected by changes in AE. An increase in the amount of scattered radiation (increased SSA) will lead to an increase in GHI, as some fraction of this radiation will reach the surface. To solve the radiative transfer, the scattered fraction of radiation from the direct beam is reduced with the  $\delta$ –Eddington scaling by a factor depending on ASY (Hogan and Bozzo, 2018). Therefore, the DNI is also sensitive to changes in SSA and ASY. An increase in SSA leads to more scattering and in turn increases the proportion of non-scattered radiation due to the scaling, which increases the DNI. This scaling factor is increased by an increase in ASY. Therefore, an increase in ASY will also affect the DNI. A change of surface albedo only affects the





GHI, as a fraction of the irradiance reflected by the surface is back-scattered towards the surface, whose magnitude depends on the scattering properties of the atmosphere.

For the REari kernels, the sign of the response to perturbations is equal at the surface and top of atmosphere, except for the SSA. The magnitude of the individual kernels are strongly dependent on the scattering properties of the aerosol mixture. For SSA, a changing sign at the surface and top of atmosphere is found, as an increase of SSA reduces atmospheric absorption. Thus, the downward irradiance and net flux at the surface increase with SSA (shown in panel (a)), leading to a positive REari kernel. On the other hand, the upward irradiance at the TOA will also increase with increasing SSA, which reduces the value of the net flux at the TOA, leading to a negative sign of the REari kernel. For the REari kernels for aerosol perturbations at the surface, it has to be noted that they are equal to the GHI kernels, as a change in these properties only affects the irradiance simulated with aerosol radiative effects (see Eq. 1). The REari kernels for parameters which affect both the irradiance with aerosol and in pristine conditions show generally lower values for pristine conditions, as the sensitivity is larger than in the presence of aerosols.

The different clear sky radiative kernels show that the value of surface irradiance and REari is most sensitive to changes in SSA, followed by ASY and AOD, according to a one percent change of each individual parameter. In addition, the surface irradiance also depends strongly on the amount of atmosperic water vapour. The difference of surface and top of atmosphere REari kernels (surface - top of atmosphere) shows an increase of atmospheric heating by aerosols if it is negative, and an atmospheric cooling if positive. Therefore, an increase of AOD leads to increased atmospheric heating, while increasing SSA leads to atmospheric cooling due to a reduction in aerosol absorption. Other parameters do not strongly affect atmospheric heating or cooling. The REari of the total atmosphere is most sensitive to variations in AOD and SSA, followed by ASY.

Since an increase of one percent in all variables is unrealistic, the REari uncertainty is investigated by scaling of these kernels by realistic uncertainty estimates of the observed parameters in Sect. 4.1.3.

# 4.1.3 Uncertainty of irradiance and REari

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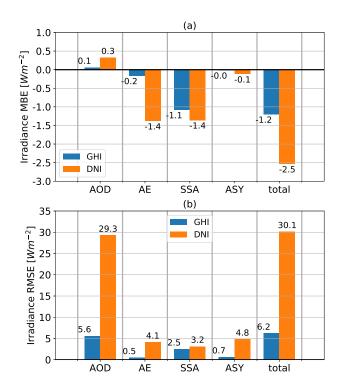
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To estimate the systematic and random uncertainties of clear sky irradiance and REari from T–CARS, the simulated radiative kernels are scaled with the values of MBE and RMSE, respectively, calculated for the optical properties of the aerosols from CAMS RA in Sect. 4.1.1. The results are shown in Fig. 5 and Fig. 6. Only the aerosol optical properties are shown, since the influence of the atmospheric parameters on REari uncertainty is negligible.

The major contributors to the MBE and RMSE values for irradiance and REari are the AOD and SSA uncertainty of CAMS RA. As the ASY is represented well in CAMS RA, its contribution is almost negligible. The biases of the simulated variables is dominated by the overestimation of aerosol absorption in CAMS RA. In consequence, surface irradiance and REari is underestimated, and REari at top of atmosphere is overestimated. For DNI, AE is also a major contributor to deviations, as it determines the aerosol optical depth and thus the amount of scattering and absorption at longer wavelengths relevant for broadband solar irradiances.

Regionally, the REari MBE and RMSE do not show a large variance (see Fig. A1). The MBE ranges between -2 to  $-1 \,\mathrm{W \, m^{-2}}$  at the surface, 0 to  $1 \,\mathrm{W \, m^{-2}}$  at the TOA, and 1.5 to  $2.5 \,\mathrm{W \, m^{-2}}$  for the total atmosphere. The RMSE values





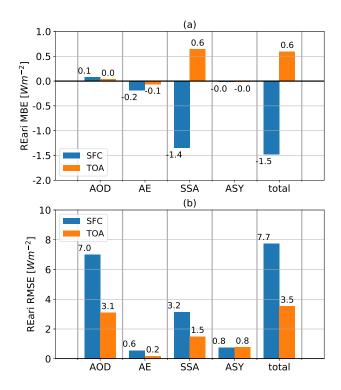
**Figure 5.** Mean bias (panel (a)) and RMSE (panel (b)) estimates of simulated GHI (blue) and DNI (orange). The estimates are computed from irradiance kernels weighted by MBE and RMSE of the CAMS RA aerosol optical properties compared to the AERONET aerosol products.

are about  $\pm$  7 W m<sup>-2</sup> at the surface and  $\pm$  3 W m<sup>-2</sup> TOA. The RMSE is largest in the southern part of Germany. This is the result of the combination of stronger incident radiation and lower AOD values, as AOD is the main contributor to REari RMSE. For comparison, the REari kernels are also scaled with the AERONET uncertainties documented in Giles et al. (2019) and Sinyuk et al. (2020). The result is shown in Fig. A2. According to this approach, the REari is most sensitive to AOD followed by SSA and AE, which agrees well with the results obtained based on the uncertainty of the CAMS RA input data shown in Fig. 6.

## 4.2 Irradiance and REari simulations with T-CARS and CSM

In this section, the results of irradiance and REari retrieval using CSMs and simulations from T–CARS are intercompared and evaluated with reference observations. First, the consistency of the pristine irradiances calculated with the different CSMs is tested (Sect. 4.2.1), to investigate the influence of different assumptions for atmosphere and aerosol on the accuracy of the predicted clear sky irradiance and REari. Next, the clear sky irradiances from the CSMs and T–CARS are compared to reference observations from the DWD station network (Sect. 4.2.2). Finally, the resulting REari values from the CSMs and T–CARS are intercompared in order to establish their accuracy and consistency (Sect. 4.2.3).





**Figure 6.** Mean bias (panel (a)) and RMSE (panel (b)) estimates of simulated REari at surface (blue) and top of atmosphere (orange). The estimates are computed from REari kernels weighted by MBE and RMSE of the CAMSRA aerosol optical properties compared to the AERONET aerosol products.

#### **4.2.1** Intercomparison of pristine irradiance simulations

The pristine irradiance can be calculated with the CSMs selected for this study by setting their AOD input to zero. The assumptions made for atmospheric transmittance for atmospheric gases and other factors used by the CSMs then determines their accuracy. Since the CSMs were not originally designed to provide accurate estimates for a hypothetical pristine situation, non-physical results and large deviations are possible. The irradiance under pristine conditions is however required as reference for calculation of the REari (see Eq. (1)). Thus, the accuracy of the irradiances predicted by the CSM under pristine conditions is compared here to the T–CARS simulations to assess their consistency. The results of the comparison for GHI<sub>pri</sub> and DNI<sub>pri</sub> are shown in Table 3.

In comparison to the T-CARS simulations, the best level of agreement for  $GHI_{\rm pri}$  is found for the models MMAC and Solis simple, with a MBE below  $1~{\rm W~m^{-2}}$  and an RMSE below  $3~{\rm W~m^{-2}}$ . For the  $DNI_{\rm pri}$ , the best agreement is again shown by the Solis simple model. The Solis simple model is based on a large set of radiative transfer simulations, which include simulations with an AOD value of zero (see Sect. A4). Therefore, the good agreement of T-CARS and the Solis simple model is not surprising. The models Heliosat-1 and ESRA have similar formulations for the DNI, which is also reflected in the comparison



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**Table 3.** Comparison of annual mean of daily average values of GHI and DNI in pristine (pri) conditions (AOD=0) simulated with each CSM compared to T-CARS and daily mean GHI and DNI at surface with aerosols comparing CSM and T-CARS to observations. Note that the number of days available for comparison varies between models. In addition to MBE, RMSE, the linear regression function is shown with reference irradiance simulated with T-CARS denoted as *X*. The correlation of CSM and T-CARS values are always larger than 0.99

daily average $\mathrm{GHI}_{\mathrm{pri}}~[\mathrm{W}~\mathrm{m}^{-2}]$								
model	mean	MBE	RMSE	linear regression				
MRM v6.1	354	5.16	6.34	3.50 + 1.00 X				
ESRA	351	7.45	15.19	-14.19 + 1.06 X				
Heliosat-1	345	0.90	11.70	-17.42 + 1.05 X				
Solis simple	345	0.90	2.77	0.42 + 1.00 X				
CEM	374	25.06	27.42	5.16 + 1.06 X				
MMAC	349	-0.01	3.04	-2.44 + 1.01 X				
METSTAT	339	-9.53	10.75	-9.37 + 1.00 X				
	daily av	erage DN	I <sub>pri</sub> [W m	[-2]				
model								
	l		KWISE	illical regression				
MRM v6.1	811	39.95	46.70	186.45 + 0.81 X				
MRM v6.1 ESRA	811 752							
111111111111111111111111111111111111111			46.70	186.45 + 0.81 X				
ESRA	752	-18.74	46.70 28.60	186.45 + 0.81 X $-100.02 + 1.11 X$				
ESRA Heliosat-1	752 748	-18.74 -23.14	46.70 28.60 32.15	186.45 + 0.81 X $-100.02 + 1.11 X$ $-114.01 + 1.12 X$				
ESRA Heliosat-1 Solis simple	752 748 782	-18.74 -23.14 11.01	46.70 28.60 32.15 16.42	186.45 + 0.81 X $-100.02 + 1.11 X$ $-114.01 + 1.12 X$ $75.39 + 0.92 X$				

results of Table 3. The GHI in these models is calculated from the DNI and DHI components. The DHI in Heliosat-1 and ESRA depends on the Linke turbidity at an air mass of 2, but the models use different empirical relations from Dumortier (1995) and Rigollier et al. (2000), respectively. According to the results of Table 3, the Dumortier (1995) estimate of the DHI better reproduces the conditions over Germany. Nevertheless, all CSMs except the CEM model agree well with the T-CARS model used as reference here, having biases smaller than  $\pm 10 \,\mathrm{W}\,\mathrm{m}^{-2}$ . The CEM model shows a large overestimation of the pristine irradiance, which is likely due to the neglecting of ozone absorption.

The biases found here for the  $GHI_{\rm pri}$  will propagate directly into the REari retrieval of the CSMs. An overestimation of the pristine irradiance will lead to a stronger radiative effect. Therefore, it is expected that the magnitude of REari is overestimated by MRM v6.1, ESRA and most strongly by the CEM model, while an underestimation of the magnitude of REari inferred from the METSTAT model is expected.





**Table 4.** Comparison of the annual mean of daily average values of GHI at surface simulated with each CSM and T–CARS compared to DWD observations. Note that the number of days available for comparison varies between models. In addition to MBE, RMSE and correlation (R), the linear regression function is shown with reference irradiance measured by DWD denoted as *X*. The correlation of retrieval and observation is always larger than 0.99.

	daily a	verage G	HI [W m	-2]
model	mean	MBE	RMSE	linear regression
MRM v6.1	327	0.66	3.79	2.31 + 0.99 X
ESRA	322	0.22	3.13	0.22 + 1.00 X
Heliosat-1	326	4.43	13.04	10.12 + 0.98 X
Solis simple	323	0.66	3.30	1.58 + 1.00 X
CEM	322	-4.29	6.57	-9.43 + 1.02 X
MMAC	324	-3.12	5.82	-6.97 + 1.01 X
METSTAT	326	-0.71	4.09	-1.45 + 1.00 X
T-CARS	315	1.19	13.45	9.01 + 0.98 X

#### 4.2.2 Comparison of clear sky irradiance simulations to DWD observations

In this section, the simulated irradiances from the CSMs and the T-CARS setup considering aerosol effects are evaluated by a comparison to reference observations in clear sky conditions from the DWD station network.

In Table 4, the daily average values of GHI are compared. For the CSMs, the results are an indicator for the quality of the clear sky fitting method (see Sect. 3.2), as results are fitted to the observations on a daily basis. The deviation of the CSMs from observations given in the table can be attributed to the underlying definition of atmosphere and aerosols in the CSMs, which might not realistically reproduce the diurnal cycle of irradiance. Despite the use of similar definitions by the ESRA and Heliosat-1 models, the Heliosat-1 model shows the largest random deviations in this comparison, as solar refraction is not corrected in the air mass calculation. The highest level of accuracy is achieved by the models Solis simple, ESRA, METSTAT and MRM v6.1, which show lower values of MBE than the T-CARS results. Unlike the CSM results, the simuations of the T-CARS setup are not adjusted to the observations. Therefore, the deviations shown in Table 4 can be attributed to the uncertainty of the CAMS RA inputs, in combination with the collocation method and altitude correction for the station location.

In the following, a detailed comparison of the T–CARS simulations and the DWD observations is presented. Here, the added value of the CAMS RA aerosol information for the simulation of solar irradiance is tested using the following equation:

$$\sigma(F_{\text{pri}} - F_{\text{obs}}) > \sigma(F_{\text{aer}} - F_{\text{obs}}),\tag{7}$$

where  $\sigma$  denotes the SD and F either one of GHI, DHI, or DNI. The subscripts  $_{pri}$  and  $_{aer}$  indicate the simulated irradiance in pristine conditions and in the presence of aerosols, respectively. Observed irradiance are denoted by the subscript  $_{obs}$ . This inequality (Eq. (7)) is true, as long as the aerosol properties provided by CAMS RA as input to ecRad improve the simulation



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**Table 5.** T–CARS direct normal irradiance with aerosols (DNI<sub>aer</sub>) and in pristine conditions (DNI<sub>pri</sub>) compared to DWD observations (DNI<sub>obs</sub>). The RMSE and MBE are shown for the comparison of DNI<sub>aer</sub> and DNI<sub>obs</sub>. Correlations are shown for  $R(DNI_{obs}, DNI_{aer})$  and  $R_{ARE}(DNI_{obs} - DNI_{pri}, DNI_{aer} - DNI_{pri})$ . The level of agreement is evaluated by the difference of the left and right side of Eq. (7) ( $\Delta\sigma_{all}$ ).

selection	N	$\overline{\mathrm{DNI}_{\mathrm{pri}}}$	$\overline{\mathrm{DNI}_{\mathrm{obs}}}$	$\overline{\mathrm{DNI}_{\mathrm{aer}}}$	RMSE	MBE	R	$R_{\mathrm{ARE}}$	$\Delta\sigma_{ m all}$
MAM	17153	830	685	711	62	26	0.973	0.744	27.54
JJA	59116	838	721	714	52	-6	0.972	0.755	25.75
SON	16953	811	703	743	69	40	0.961	0.618	14.15
DJF	9870	817	683	736	90	53	0.952	0.619	19.73
coastal (∼)	25415	858	763	762	45	-1	0.977	0.782	26.16
mountain (∧)	27997	862	751	757	58	5	0.958	0.676	14.84
north (n)	43945	829	716	719	53	3	0.972	0.749	25.51
south (s)	46699	838	717	733	57	16	0.966	0.689	15.90
Cfb	48299	827	713	723	51	10	0.976	0.752	24.34
Dfb	53762	833	703	718	69	15	0.949	0.629	15.93
all	103092	830	708	721	61	12	0.962	0.683	19.93

of the surface irradiance components. Applied to the DNI, this test shows the accuracy of the column-integrated aerosol extinction obtained from CAMSRA, given by AOD and AE. For GHI and DHI, the simulated irradiances also depend on the representation of aerosol scattering and absorption properties characterized by SSA and ASY.

The results of the comparison are presented in Table 5, Table 6 and Table 7 for DNI, GHI and DHI, respectively. The RMSE and MBE of simulated and observed irradiance values are listed together with the mean value found for the entire observation period. Two correlation values are given: first, the correlation comparing the observed and simulated irradiance ( $R(F_{aer}, F_{obs})$ ), and second the correlation of the simulated and observed aerosol radiative effect ( $R_{ARE}(F_{aer}, F_{pri}, F_{obs}, F_{pri})$ ). For the latter, a high value of correlation indicates a good representation of the aerosol radiative effect based on CAMS RA. The last metric presented in the tables is the difference of the left and right sides of Eq. (7) ( $\Delta \sigma$ ). Positive values of  $\Delta \sigma$  indicate a positive impact of the aerosol inputs obtained from CAMS RA for the T–CARS simulation on the agreement of simulated and observed irradiance, due to aerosol information obtained from CAMS RA in ecRad. All metrics are also given for different station selections (e.g., coastal or mountain stations, see Fig. 2 and Table 1). Also, a comparison for different seasons is included.

The results presented in Table 5 show a relatively good level of agreement of simulated and observed DNI, with a reduction of the RMSE by about  $20\,\mathrm{W\,m^{-2}}$  ( $\Delta\sigma$ ) for all stations. The simulation of the DNI is highly correlated with the observations, showing a correlation larger than 0.95 for all cases and selections. Best agreement is found for the spring and summer, the coastal and northern stations, and for the more temperate maritime climate (Cfb). In most cases, the DNI simulated with T–CARS is overestimated, especially in winter. The values of RMSE indicate an acceptable uncertainty of about 5 to 10 % versus



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**Table 6.** Same as Table 5 but for global horizontal irradiance (GHI). In addition, the diffuse to direct irradiance ratio is calculated for observations ( $DDR_{obs}$ ) and simulated irradiance ( $DDR_{aer}$ ).

selection	N	$\overline{\mathrm{GHI}_{\mathrm{pri}}}$	$\overline{\mathrm{GHI_{obs}}}$	$\overline{\mathrm{GHI}_{\mathrm{aer}}}$	DDR <sub>obs</sub>	$\mathrm{DDR}_{\mathrm{aer}}$	RMSE	MBE	R	$R_{\mathrm{ARE}}$	$\Delta\sigma_{\rm all}$
MAM	17153	400	377	381	0.195	0.175	14	4	0.998	0.425	0.93
JJA	59116	532	509	504	0.171	0.168	15	-5	0.999	0.589	0.63
SON	16953	327	308	318	0.189	0.175	14	9	0.998	0.261	-0.55
DJF	9870	271	254	261	0.233	0.210	14	7	0.997	0.177	-0.76
coastal (∼)	25415	534	519	513	0.154	0.150	16	-6	0.998	0.345	-2.01
mountain (∧)	27997	474	451	451	0.170	0.168	14	1	0.999	0.640	1.19
north (n)	43945	471	453	449	0.173	0.168	15	-5	0.999	0.419	-2.20
south (s)	46699	450	426	429	0.178	0.170	13	3	0.999	0.635	0.20
Cfb	48299	471	452	451	0.170	0.162	14	-2	0.999	0.391	-1.46
Dfb	53762	436	411	413	0.190	0.183	14	2	0.998	0.587	-0.56
all	103092	451	430	430	0.180	0.172	14	-0	0.999	0.509	-0.64

the reference observation, given that the uncertainty of DNI of the DWD observations is estimated to be about 5 %. Therefore, about half of the uncertainty may be attributed to the uncertainty of the irradiance observations. This is consistent with the results on the sensitivity analysis of the irradiance simulations (Sect. 4.1.3, which reported about 50 % smaller RMSE values. The  $R_{\rm ARE}$  values show an acceptable correlation above 0.7 in most cases, except for the winter and fall seasons. The good agreement for DNI is expected, since the DNI is strongly influenced by the AOD, and the CAMS RA AOD agrees well with AERONET observations (see Sect. 4.1.1). The MBE suggests an overestimation of DNI by the T-CARS simulations, although a slight underestimation of about  $-2.5 \, {\rm W \, m^{-2}}$  is expected from the sensitivity study. As the DNI from the DWD observations is inferred by use of a shadow ring, this bias may be caused by the shadow ring correction applied to the DWD observations.

For GHI, a similar level of agreement as for DNI could be expected, as the GHI is usually dominated by the direct irradiance component in a cloud-free atmosphere. Table 6 shows however that this is only partly true. In general, the simulated GHI agrees well with the observation, as the correlation is never lower than 0.997, and the RMSE is always below 5 %. Also, the MBE shows smaller values than found for the DNI. However, regardless of location and season, all values of  $\Delta \sigma$  are distributed around zero, which indicates that there is little skill for the simulations of the instantaneous values of GHI. A plausible explanation is that the aerosol over Germany has only weak absorption, which will cause a re-distribution of solar radiation from the DNI into the DHI based on the scattering properties of the aerosol. Hence, the aerosol effects on DNI and DHI partially cancel for the GHI, and thus differences are smaller between situations with and without aerosols. Therefore, no clear added value of the CAMS RA aerosol information is found for the T–CARS simulations. This is also reflected by the low values of correlation of R<sub>ARE</sub> at northern and coastal stations as well as in fall and winter. Additionally, Table 6 shows a comparison of observed and simulated diffuse to direct irradiance ratio (DDR = DHI ( $\mu_0$  DNI)<sup>-1</sup>, with  $\mu_0$  being the cosine



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**Table 7.** Same as Table 5 but for diffuse horizontal irradiance (DHI).

selection	N	$\overline{\rm DHI_{\rm pri}}$	$\overline{\mathrm{DHI_{obs}}}$	$\overline{\mathrm{DHI}_{\mathrm{aer}}}$	RMSE	MBE	R	$R_{\mathrm{ARE}}$	$\Delta \sigma_{ m all}$
MAM	17153	40	62	57	10	-5	0.923	0.799	5.58
JJA	59116	44	74	73	11	-2	0.928	0.876	11.60
SON	16953	39	49	47	7	-2	0.889	0.701	2.55
DJF	9870	36	48	45	9	-3	0.802	0.538	1.56
coastal (~)	25415	45	69	67	9	-3	0.923	0.840	7.35
mountain (∧)	27997	42	66	65	12	-1	0.913	0.860	11.68
north (n)	43945	43	67	65	9	-2	0.937	0.870	9.02
south (s)	46699	41	64	62	11	-2	0.921	0.862	10.55
Cfb	48299	43	66	63	9	-3	0.934	0.849	7.69
Dfb	53762	41	66	64	11	-2	0.933	0.889	12.74
all	103092	42	66	63	10	-2	0.933	0.877	10.60

of the solar zenith angle), to investigate the distribution between the solar irradiance components. A lower value of the DDR is expected for stronger atmospheric absorption. The results show that the irradiances simulated by T–CARS always result in a negative bias of the DDR, regardless of the specific selection. This indicates an overestimation of atmospheric absorption in the model as long as the total extinction is well-represented, and is consistent with the overestimate of aerosol absorption reported before.

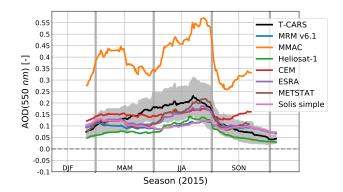
The hypothesis of a too strong aerosol absorption in CAMS RA is also supported by the DHI comparison shown in Table 7. Again, a good level of agreement similar to the metrics of the DNI evaluation are found. While the RMSE has a magnitude of 18% relative to the observations, observations and simulations are strongly correlated, as indicated by R values of >0.88, with the exception of the winter season (R=0.8). The lowest values of correlation  $R_{\rm ARE}$  for DHI are found for the fall and winter seasons with values of 0.7 and 0.5 respectively.

A larger bias and lower correlations during the winter are expected, since the lower sun elevation and amount of incident solar radiation increase the atmospheric path length and the measurement uncertainty. In general, the DNI simulated by T–CARS is overestimated by about 10%, while GHI and DHI are both underestimated. Furthermore, the diffuse to direct ratios presented in Table 6 shows a negative bias by the model. This suggests an overestimation of aerosol absorption by CAMS RA and T–CARS, since the total aerosol extinction is represented well in CAMS RA (see Sect 4.1.1).

A recent study by Marchand et al. (2020) evaluates the CAMS Radiation Service products and the HelioClim-3 database versus reference observations of all-sky and clear-sky irradiance at the DWD stations for the period from 2010 to 2018. The same DWD observations are utilized as reference in our study. The CAMS Radiation Service dataset provides broadband surface irradiance for clear sky conditions based on the clear sky model Mc-Clear. The input of atmospheric constitutes and aerosol properties is also based on the CAMS RA as in this study. The comparison results under clear sky conditions show an







**Figure 7.** Annual overview of average scaled AOD at 550 nm over all DWD measurement stations from CSF with different CSM compared to T–CARS. AOD values are shown as 30-day rolling mean. For T–CARS the 30-day standard deviation is shown as grey area.

**Table 8.** Comparison of annual mean of daily average AOD values, scaled to 550 nm. The values are averaged over all DWD stations and derived from CSF with different CSM. The reference AOD is calculated with T–CARS.

daily average AOD at 550 nm									
model	mean	MBE	RMSE	R					
MRM v6.1	0.10	-0.04	0.08	0.52					
ESRA	0.12	-0.01	0.06	0.68					
Heliosat-1	0.07	-0.05	0.08	0.62					
Solis simple	0.10	-0.03	0.08	0.48					
CEM	0.15	0.01	0.07	0.52					
MMAC	0.39	0.25	0.31	0.70					
METSTAT	0.13	-0.01	0.07	0.70					

underestimation of about  $-101 \, \mathrm{W \, m^{-2}}$  to  $-55 \, \mathrm{W \, m^{-2}}$ , and a correlation above 0.85. These uncertainties are mainly attributed to the clear sky identification of the Heliosat algorithm. This demonstrates the benefit of the clear sky detection based on the Bright-Sun algorithm and ground-based observations used in this study, which significantly exceeds the performance of the satellite-based cloud detection.

#### 610 4.2.3 Intercomparison of REari estimates

In this section, the daily average estimates of REari based on CSMs and T-CARS are intercompared. The simulation from the T-CARS setup are used as reference. To avoid inconsistencies due to the used surface albedo data, the T-CARS REari is scaled by the ratio of LSA SAF and CAMS RA surface albedo.

As the magnitude of the REari is mostly determined by the AOD, the AOD estimated with the CSMs is compared as a first step. The CSMs are based on the AOD at different spectral wavelengths: while the AOD at 550 nm is considered in the

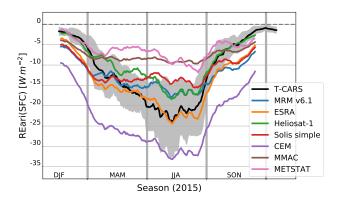


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**Figure 8.** The 30 days rolling mean of REari in the year 2015 utilizing different approaches. Shown REari values are computed as the mean over all DWD stations, while cloudy days are linearly interpolated over the year. The REari from T–CARS is simulated with collocated input to all DWD stations. In addition for T–CARS, the 30 days rolling standard deviation (grey area) is shown.

models MRM v6.1, ESRA and Heliosat-1, the AOD at 700 nm is considered in the simplified Solis model, and a broadband AOD is used in the models CEM, MMAC and MESTAT. These daily average AOD values are converted to a wavelength of 550 nm, to increase the comparability of the CSM results. For the AOD at 700 nm, this is done using the AE(550 nm,700 nm) calculated with T-CARS. The broadband AOD is scaled to 550 nm using the ratio of the T-CARS broadband AOD and the AOD at 550 nm. These scaled values of AOD are compared to the T-CARS AOD in Fig. 7 and Table 8. Figure 7 shows the annual time series of AOD as average over all DWD stations, comparing the AOD values used in T-CARS and retrieved by the CSMs. All values shown are smoothed by a 30-day rolling mean. This figure shows that in general the AOD can be reproduced by a CSM fit, especially for the winter and fall seasons with lower AOD values. During the summer season having the largest AOD values, almost all CSMs underestimate the AOD. An exception is the AOD retrieved from the MMAC model, which is strongly overestimated throughout the whole year. These results are also reflected by Table 8, which show absolute values of MBE below 0.05 for all models, except MMAC having a MBE of 0.25. The best accuracy is shown by the ESRA, METSTAT and CEM models, with a absolute MBEs of 0.01, RMSE values below 0.07, and correlations larger than 0.68. The strong overestimation by MMAC is likely the result of the assumptions on aerosol optical properties with a fixed value of 0.98 for the SSA, which nearly neglects absorption by aerosols. Since the scattering contribution of the aerosol extinction of radiation increases the diffuse irradiance, and thus also the global irradiance, a much higher AOD is needed to fit the MMAC global irradiance to the measurements. Due to the assumption of constant aerosol optical properties, the AOD retrieved with the CSMs are not able to reproduce the annual variability shown by the T-CARS setup based on the CAMS RA data. It should be noted that this also applies to the MRM v6.1 model, which is designed to use four different aerosol types, which are selected based on the input AOD. The results indicate that this approach does not seem to improve the accuracy for retrieving the AOD as is done here.



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**Table 9.** Annual mean of daily average values of the surface REari. The REari is simulated and averaged over all DWD measurement stations using CSM. The CSM are sorted by performance of REari versus the T–CARS method indicated by MBE, RMSE and correlation. In addition, the performance ranking of clear sky irradiance estimates of the individual CSM are shown as proposed by Sun et al. (2019).

dail	daily average REari(SFC) [W m <sup>-2</sup> ]									
model	rank	mean	MBE	RMSE	R					
MRM v6.1	18	-13.11	-2.1	6.3	0.72					
ESRA	32	-15.18	-4.2	6.6	0.77					
Heliosat-1	13	-11.67	-0.7	5.5	0.74					
Solis simple	21	-11.14	-0.1	6.4	0.64					
CEM	28	-24.57	-13.6	14.6	0.77					
MMAC	9	-8.23	2.8	7.6	0.54					
METSTAT	26	-5.35	5.6	8.7	0.60					
CSM mean		-12.75	-1.8	5.8	0.75					

Figure 8 shows the annual cycle of REari simulated by T-CARS (black line) in comparison to the values retrieved using the different CSMs (colored lines). From day to day, the REari varies by up to  $\pm$  8 W m<sup>-2</sup> around the rolling mean, as shown by the standard deviation (grey area) of the T-CARS REari. Figure 8 shows a pronounced annual cycle and large deviations between the different CSM-based estimates. Using the CEM model, the REari magnitude is strongly overestimated, which is caused by the overestimation of the pristine irradiance. Interestingly however, the AOD inferred from the CEM model shows a reasonable accuracy. In the CEM model aerosol scattering is not considered, therefore all aerosol extinction is attributed to absorption which drastically increases the attenuation of GHI with increased AOD values (see Table 2). On the other hand, the magnitude of the REari is strongly underestimated in summer, if the MMAC or METSTAT model are used. Oppositely to the CEM model, the MMAC-retrieved AOD is strongly overestimated in comparison to the CAMS RA-based AOD. On the other extreme, the SSA is fixed at 0.98, thus almost neglecting aerosol absorption, and therefore strongly reducing the attenuating effect of aerosols for the global irradiance. The behavior of the CEM and MMAC show once again the importance of a realistic representation of the underlying aerosol optical properties in general, and the influence of the SSA on REari in particular.

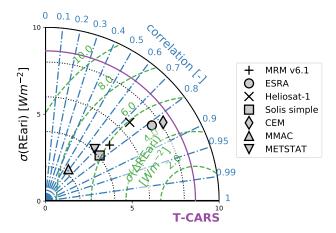
Most of the CSMs considered here are not able to reproduce the annual cycle of REari as simulated by T-CARS, due to the assumption of a fixed aerosol type by these models. Seasonal and annual mean values of REari from the model- and observation-based approaches are presented in Table 9, along with comparison metrics versus the T-CARS simulations. The CSM are sorted by performance considering their individual MBE and RMSE values. The average annual value of REari from all observational approaches is  $-12.8 \pm 5.5 \,\mathrm{W\,m^{-2}}$  ( $\pm 2 \,\mathrm{W\,m^{-2}}$  without CEM, METSTAT and MMAC), compared to  $-11. \,\mathrm{W\,m^{-2}}$  simulated by the T-CARS. Therefore, this set of CSM seems to be able to reproduce the annual mean results from T-CARS, despite the lack of an accurate annual cycle. For the individual CSMs, the highest level of agreement in the annual mean of REari is found for the MRM v6.1 model, with a deviation of  $-2 \,\mathrm{W\,m^{-2}}$  and a correlation of 0.72. The ESRA



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**Figure 9.** The REari simulated with different CSM as average over all DWD observation stations is compared to the collocated T–CARS simulation. The annual variability is indicated by the SD ( $\sigma$ ) of the individual dataset. The performance of the individual CSM is displayed by the SD of REari difference and correlation versus T–CARS.

model shows a larger MBE of  $-4 \,\mathrm{W\,m^{-2}}$ , but achieves the best correlation of 0.77. The ESRA model shows an overestimation of the absolute value of REari during the fall and winter seasons, but is able to largely reproduce the annual cycle of REari as simulated by T–CARS.

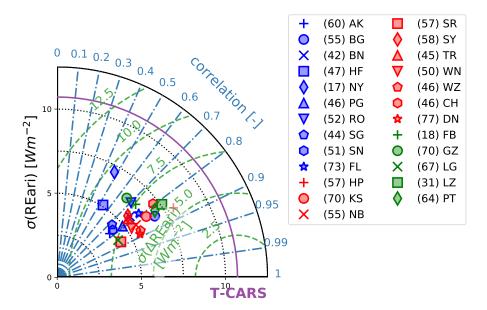
Figure 9 presents the level of agreement of the individual CSMs versus the T-CARS simulations in a Taylor-diagram. The annual variability is expressed by the SD of the individual datasets, and is shown as the radius in the diagram. For T-CARS, the annual variability value is about  $8\,\mathrm{W\,m^{-2}}$ . Further, the diagram shows the correlation (blue dashed lines) and the SD between the CSM and T-CARS values. Thus, the diagram expresses how well the annual cycle of REari are captured by the observational approach using the different CSMs. As the Taylor-diagram does not account for biases of the compared values, the CEM model shows the best performance for reproducing the annual variability and correlation versus T-CARS, followed by the ESRA model.

Another Taylor-diagram is shown in Fig. 10. Here, the average REari values retrieved from the CSMs are shown for each of the 25 DWD stations. This reveals regional performance differences of the observation based approach versus T–CARS. The stations are separated in northern stations (blue), southern stations (red) and the remaining stations (green). At most stations, the REari from CSM and T–CARS agree, having a correlation above 0.8. A correlation below 0.8 is mainly found for northern and coastal stations. This may have several reasons. On the one hand, T–CARS uncertainty in the northern region is increased due to lower incident radiation as shown by Fig. A1, and also due to lower aerosol absorption in this region, since CAMS RA tends to underestimate SSA (see Sect. 4.1.1). On the other hand, also the uncertainty of irradiance observations increases due to the lower sun elevation. The CSM models rely on their fixed empirical assumptions. Therefore, these models are limited in their representation of a pristine atmosphere. Especially for northern stations, the irradiance of an pristine atmosphere simulated by the CSM is close to the observed irradiance, leading to an underestimation of REari magnitude. The quality of the comparison



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**Figure 10.** The REari simulated at different DWD observation stations as average over all CSM is compared to the collocated T–CARS simulation. The annual variability is indicated by the standard deviation ( $\sigma$ ) of the individual dataset. The performance of REari calculated at an station is displayed by the SD of REari difference and correlation versus T–CARS. The amount of days with an successful clear sky fit are displayed in brackets in front of the station name in the legend. Stations markers are color coded based on their location labels (see Table 1): northern stations (blue), southern stations (red), remaining stations (green).

statistics are also limited by the number of available measurements. The number of successful clear sky fits used to estimate REari is shown in the legend of Fig. 10. This number varies between 17 and 75.

Based on the retrieval of REari from the adiance observations using the different CSMs, the annual mean value of REari for Germany and the year 2015 is quantified to be  $-13.2 \pm 5.5 \,\mathrm{W\,m^{-2}}$ . This indicates that the set of CSM selected for this study enable an estimate of REari which is consistent with the collocated T-CARS simulations, which yield a value of REari of  $-11.4 \,\mathrm{W\,m^{-2}}$ . However, the annual variability of REari is underestimated, leading to an overestimation of REari in the winter season, and an underestimation during summer. Only the ESRA and CEM models are able to reproduce a realistic annual cycle of REari, while the CEM largely overestimates the REari magnitude. From the set of chosen CSM, the ESRA, MRM v6.1, Heliosat-1 and Solis simple models shows the highest level of agreement of the annual-mean REari, lying in the range of  $-11.1 \,\mathrm{W\,m^{-2}}$  to  $-15.2 \,\mathrm{W\,m^{-2}}$ . With the ESRA model, the annual cycle of REari was reproduced with a reasonable correlation of 0.77. The annual mean of REari retrieved with Heliosat-1 agrees best with T-CARS, with a MBE of -0.1.

Compared to the CSM performance ranking given by Sun et al. (2019) (see Table 9), the ESRA model which performs best in this study, has the worst score in Sun et al. (2019). Since the CSMs were not designed to retrieve AOD or the REari, this performance discrepancy is not surprising. Since the analysis in this study is based on one year of data only, the representativity of our results may be limited.



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In general, the retrieval of REari from observations is limited by te availability of clear sky observations, and the number of suitable days is small in the mid latitudes. Also, the retrieved REari strongly depends on the individual assumptions and definitions used by the CSM, which are also not tuned for the German region explicitly. The representation of the pristine irradiance in the CSMs directly influences the accuracy of the simulated REari. The constant aerosol properties in the models limit their ability to reproduce the REari variation during the annual cycle. Therefore, this approach cannot be recommended for climatological studies, but may provide valuable information for case studies, e.g. for the evaluation of power generation and the influence of aerosols on photo-voltaic power plants.

## 4.3 Aerosol optical properties and REari over Germany

In this section, the hypothetical radiation budget, excluding clouds, and the REari over the region of Germany for the year 2015 is analyzed. For this purpose, the clear sky irradiance and REari as simulated with the T–CARS setup is used as basis, and a bias correction has been applied based on the results of the uncertainty analysis described in Sect. 4.1.3.

The considered region covers a domain from 6 to 15° E, and 47 to 55° N including parts of Central Europe (see Fig. 2). In the North, this domain includes parts of the coastal regions of the North and Baltic Sea. In the South, it covers the mountainous region of the Northern Alps. The north-western part is dominated by a temperate oceanic climate (Cfb), and the south-eastern part by a humid continental climate with warm summers (Dfb) according to Beck et al. (2018). Some individual regions at higher altitudes are designated as colder subarctic climate (Dfc).

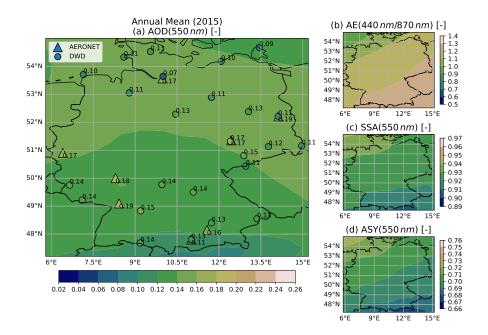
An overview of the annual mean aerosol properties over Germany is shown in Fig. 11, considering AOD, AE, SSA and ASY. Low AOD values are found in the southern regions especially at higher altitudes, while the AOD is largest in the northern and eastern parts of Germany. Aerosol absorption increases towards the South-East, as shown by lower SSA values. As expected, the south-eastern regions are also characterized by larger AE values, which indicates a more continental aerosol with a higher fine-mode fraction. Stronger forward scattering is indicated by larger values of the ASY in the North, which is attributable to the strong forward scattering properties of sea salt (Bozzo et al., 2020). These general patterns are similar for all seasons (see Appendix, Fig. A4 to Fig. A7). The seasonal cycle is characterized by higher average values of AOD and AE in summer, and lower values in winter.

Fig. 12 shows the seasonally averaged optical properties of the aerosol mixture from CAMS RA together with the mass fraction of each aerosol type contributing to the overall mixture. Sea salt is the dominant component, followed by organic matter which becomes larger in the summer season. Except for summer, the fraction of mineral dust, sulphate and black carbon lies below 10%, while these three aerosol classes make up 25% of the aerosol mixture in summer. This causes an increased aerosol absorption in summer in combination with larger values of AOD. In winter, sea salt contributes more than 80% of the aerosol mixture, which leads to lower values of absorption and AOD.

While the radiative transfer simulations made with the T-CARS scheme consider all 60 model layers available from CAMS RA, REari is only calculated and discussed for the surface and the TOA here.

The daily average surface irradiance increases towards the South due to the higher average sun elevation. Furthermore, a tendency of more frequent clear sky situations towards the South exists. This is reflected in the accumulated hours of sunshine





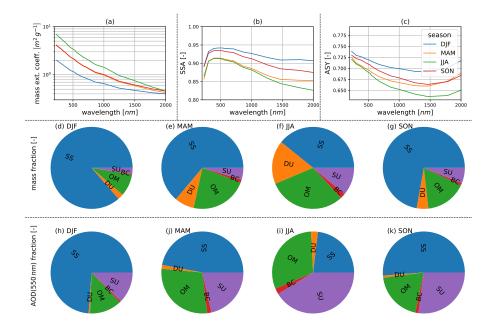
**Figure 11.** Annual mean of aerosol properties at surface over Germany. The calculation is conducted with the T–CARS setup. In addition for the AOD (panel (a)), annual mean values as observed from measurement stations from AERONET (triangles) and DWD (circles) are shown. Note, considerable differences between T–CARS and measurement stations may be attributed due to sampling effects on the estimation of the annual mean, localized intense sources of aerosol (inner cities) and terrain inhomogeneity (e.g., Gueymard and Yang, 2020).

duration (see Fig. 2 and Table 1), and causes an increased average irradiance during the considered year in the South. The radiant exposure for Germany is shown in Fig. 13. It increases from North to South from 1 to 2 MW h m<sup>-2</sup>. Figure 13 also shows the reduction of the radiant exposure by aerosols. The reduction of GHI shows values in the range from −0.14 MW h m<sup>-2</sup> to −0.08 MW h m<sup>-2</sup>. The reduction of the radiant exposure calculated from GHI seems to be dominated by aerosol absorption. The strongest GHI reduction by aerosols is shown for the south east, where aerosols are characterized by continental sources with larger absorption values. For comparison, the radiant exposure due to the contribution of the direct irradiance on a horinzontal plane (μ<sub>0</sub>DNI) is also shown in the appendix in Fig. A8. Similar patterns are evident here, despite the fact that the DNI is influenced more strongly by aerosols. The spatial pattern of the direct irradiance follows that of the AOD shown in Fig. 11, but is also increased by the larger incident radiation in the South.

The spatial distribution of the annual mean REari at surface, TOA and for the total atmosphere simulated by T-CARS is presented in Fig. 14. The annual mean values of REari over Germany 2015 vary regionally between -13 and  $-8 \,\mathrm{W}\,\mathrm{m}^{-2}$  at surface and between -9 to  $-5 \,\mathrm{W}\,\mathrm{m}^{-2}$  at TOA, where maximum absolute values are shown in the south eastern region, following the spatial pattern of irradiance reduction shown in Fig. 13. Aerosols contributing to atmospheric heating in general over Germany, as the magnitude of the annual mean REari at TOA is always smaller than REari at surface and therefore the REari for the total atmosphere remains positive. The magnitude of REari at surface and TOA is generally larger in towards the







**Figure 12.** Seasonal mean of mass extinction coefficient, single scattering albedo (SSA) and asymmetry parameter (ASY) (a)-(c) of the aerosol mixture (d)-(g) and (h)-(k) from the CAMS RA aerosol properties database over Germany 2015. The aerosol mixture pie charts show the column integrated mass fraction of each aerosol classification (d)-(g) and the contribution to the extinction by fraction of AOD at 550 nm (h)-(k) (SS - sea salt; DU - mineral dust; OM - organic matter; BC - black carbon; SU - ammonium sulphate).

**Table 10.** Annual and seasonal mean of the REari over Germany (6 to 15° E and 47 to 55° N) quantified by T-CARS.

REari	DJF	MAM	JJA	SON	annual
SFC	-2.22	-14.20	-20.76	-5.06	-10.62
TOA	-3.25	-7.87	-10.23	-4.53	-6.49
ATM	-1.03	6.33	10.53	0.53	4.13

east due higher values of aerosol absorption (see Fig. 11 and Fig. 13). Stronger incident radiation increases the REari towards the south, but due to higher altitudes and reduced aerosol concentration surface REari shows lower values in the southern region.

Spatially averaged values of REari are summarized as seasonal and annual mean in Table 10. The magnitude of all REari components is increasing from the winter to the summer season. The annual cycle of REari is also shown in Fig. 15. As the REari values vary strongly on a daily basis due to changing weather and aerosol conditions, the REari values are smoothed by a 30-days rolling mean to highlight the general form of the annual cycle shown in this figure. Also shown is the variability of the REari expressed by the 30-day running standard deviation. The surface REari varies over the year from a value about  $-3 \, \mathrm{W} \, \mathrm{m}^{-2}$  in winter up to  $-25 \, \mathrm{W} \, \mathrm{m}^{-2}$  in the summer season. This increase in magnitude is expected due to the larger incident



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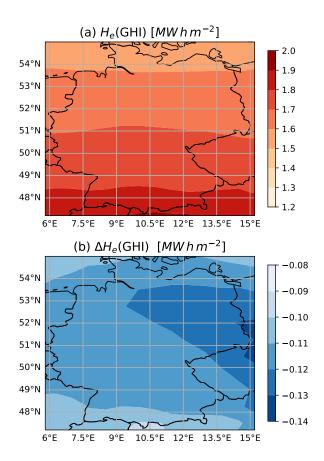


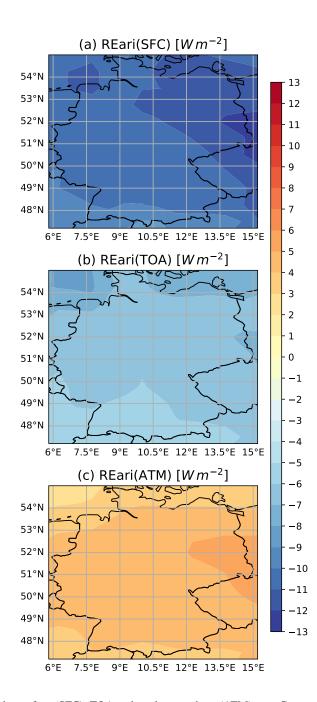
Figure 13. Annual radiant exposure  $(H_e = \int F_{\rm GHI} {\rm d}t)$  computed from GHI assuming cloud free conditions (panel (a)) and reduction due to aerosols ( $\Delta H_e = H_e - H_{e,\rm pri}$ , panel (b)) at the surface over Germany in 2015. The calculation is conducted with the T-CARS setup.

radiation and AOD values (see Fig. 12) during summer. During spring and summer, the REari magnitude at the TOA is always significantly smaller than at the surface, indicating significant atmospheric warming by aerosol. During fall and winter, REari values at the TOA and surface are nearly equal, which suggests that atmospheric warming due to aerosols is small or even zero.

The values of REari simulated with T–CARS for the German region are comparable to previous studies which have investigated the REari for the European region. Bartók (2016) quantified the annual-mean REari at the surface for the European region utilizing radiative transfer modelling (Mesoscale Atmospheric Global Irradiance Code, MAGIC) based on an aerosol (Kinne et al., 2006) and water vapour climatology (Dee et al., 2011). For the year 2005, Bartók (2016) found a similar pattern of the annual cycle of REari at the surface as shown in the present study (see Fig. 15). Values ranging from  $-14 \,\mathrm{W\,m^{-2}}$  in summer to  $-3 \,\mathrm{W\,m^{-2}}$  in winter and with an annual mean of  $-7.1 \pm 2.9 \,\mathrm{W\,m^{-2}}$  were given. Esteve et al. (2016) utilized a different radiation scheme (ES96) together with aircraft measurements of aerosol optical properties made during the EUCAARI-LONGREX campaign. The flights where conducted in May 2008 over Europe. Their results show values of  $-11 \pm 5 \,\mathrm{W\,m^{-2}}$  at surface and



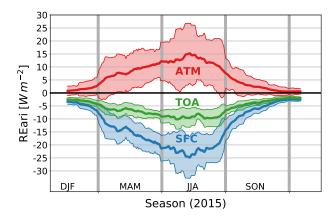




**Figure 14.** Annual mean REari at the surface (SFC), TOA and total atmosphere (ATM) over Germany. The simulation is conducted with the T–CARS setup.

 $-5 \pm 3 \,\mathrm{W\,m^{-2}}$  at TOA. In the current study, higher values of REari at the surface and TOA of May 2015 are  $-17 \pm 5 \,\mathrm{W\,m^{-2}}$  and  $-9 \pm 3 \,\mathrm{W\,m^{-2}}$ , respectively.





**Figure 15.** The 30 day rolling mean of REari at the surface (SFC), top of atmosphere (TOA) and total atmosphere (ATM) of the year 2015 simulated with T–CARS over Germany. The variability within 30 days is shown by the rolling SD as shaded areas.

Compared to Bartók (2016), the magnitude of the clear sky REari calculated in this study is considerably larger ( $-10.6\,\mathrm{W\,m^{-2}}$ ). This might be a result of the different regions of interest. While Bartók (2016) quantified REari for the entire European region, spanning longitudes from -25 to about  $35^{\circ}$  E and latitudes from 32 to  $73^{\circ}$  N, the present study is focused only on the region over Germany (6 to  $15^{\circ}$  E and 47 to  $55^{\circ}$  N). For this subset, the values of REari at the surface from Bartók (2016) increase roughly to between -14 and  $-10\,\mathrm{W\,m^{-2}}$ , in agreement with the present findings. Similar values over Germany have been found for the year 2005 by Kinne (2019) utilizing the Max Planck Aerosol Climatology version 2 (MACv2). Furthermore, Bartók (2016) proposed a trend of about  $-4.4\,\mathrm{W\,m^{-2}}$  per decade for the REari at the surface. Applying this trend to their results from the year 2005, an annual mean of  $-11.5\,\mathrm{W\,m^{-2}}$  is expected for the entire European region, which is slightly larger than the results obtained with T–CARS in this study.

### 5 Conclusions and outlook

In this study, the clear sky REari at the surface has been investigated for the region of Germany (6 to  $15^{\circ}$  E and 47 to  $55^{\circ}$  N) and the year 2015 based on two different approaches.

First, clear-sky irradiance observations from the DWD station network have been utilized together with 7 CSMs to retrieve the REari at 25 stations across Germany. This approach relies on a combination of a clear sky detection and fitting technique, and the subsequent use of the CSMs to quantify the aerosol effect on the solar surface irradiance components. Second, explicit radiative transfer simulations have been conducted with the T–CARS setup using aerosol and atmospheric properties from the CAMS RA as input to the ecRad radiation scheme. Given the fundamental differences of these two approaches, the consistency of the underlying aerosol properties and the resulting REari have been analysed and discussed.

The accuracy of the aerosol optical properties calculated from CAMS RA have been evaluated by a comparison to Version 3 direct sun and inversion products from AERONET observations as reference. The instantaneous values of CAMS RA AOD at



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550 nm shows a relatively large uncertainty of  $\pm$  0.09 and a correlation of 0.66, compared to the median value of 0.13. While the overall bias is nearly negligible, an increasing underestimation of larger AOD values, and a slight overestimation of about 0.02 for AOD values below 0.1 has been observed. The level of agreement of the AE calculated from the CAMS RA AOD suffers from its limitation to values below 1.6, and a positive bias for AE values below 1. As a consequence, the representation of the spectral AOD is distorted depending on the aerosol type, which will mainly affect mineral dust (low AE) and aerosols with a strong spectral dependency of AOD (e.g., continental aerosol). The evaluation results for AOD and AE generally agree with previous studies (e.g., Inness et al., 2019b; Gueymard and Yang, 2020). To our knowledge, this is the first study to evaluate the intrinsic aerosol properties SSA and ASY calculated from CAMS RA. A large inconsistency between CAMS RA and AERONET inversions is found for the SSA and reflected by a significant bias of -0.03, leading to a strong overestimation of aerosol absorption. The distribution of values of the ASY agrees comparatively well with the AERONET product, with a MBE of zero, and an RMSE of 0.04. It has to be emphasized, however, that the instantaneous agreement of all intrinsic aerosol properties shows potential for improvement, based on correlation coefficients of 0.51, 0.13 and 0.33 for AE, SSA and ASY, respectively.

In addition, the sensitivity of the REari has been studied utilizing the radiative kernel method (Thorsen et al., 2020). The results show that the REari is most sensitive to changes in SSA, ASY and AOD, while variations in AE and other atmospheric parameters (e.g.,  $H_2O$  and  $O_3$  column amounts or the surface albedo) do not significantly modify the magnitude of REari. AOD and SSA have been identified as the main contributors to the uncertainty of the REari. Correcting for the biases noted versus AERONET products, the REari calculated with T-CARS has a bias of  $-1.5 \,\mathrm{W\,m^{-2}}$  at the surface, and of  $0.6 \,\mathrm{W\,m^{-2}}$  at the top of atmosphere. The SSA is the dominating source of this bias, caused by the underestimation of SSA in the CAMS RA aerosol properties. The main contribution to the random uncertainty of the daily-mean REari is the AOD uncertainty of  $\pm 0.09$ . The resulting uncertainty of daily-mean REari has been calculated to have values of  $\pm 7.7 \,\mathrm{W\,m^{-2}}$  at the surface and  $\pm 3.5 \,\mathrm{W\,m^{-2}}$  at the top of atmosphere. This yields in an uncertainty of about  $\pm 8.5 \,\mathrm{W\,m^{-2}}$  for the REari within the atmosphere.

The clear sky irradiance simulations from T–CARS have been evaluated versus reference observations from the DWD station network. The results show a high level of agreement for all three irradiance components (DNI, GHI and DHI), with a very high correlation for GHI (R > 0.997) and values above 0.9 for DHI and DNI under most conditions. Furthermore, the level of agreement is within the expected range of the measurement uncertainty, with an RMSE of about 5 to 10 % compared to the reference observations. The simulations of DNI and DHI improve through the consideration of aerosol properties obtained from the CAMS RA, as the SD of simulated and observed irradiance is substantially reduced by 5 to 30 W m<sup>-2</sup>. Furthermore, lower agreement has been found for the winter seasons due to lower incident radiation and longer atmospheric path lengths. It has been found that the level of agreement is reduced for northern or coastal stations with less absorbing aerosol, likely due to the overestimation of aerosol absorption by the CAMS RA aerosol properties mentioned before.

The results of the simulation of clear sky irradiance and REari from the T-CARS setup are compared to the CSM-based retrieval results. This comparison provides insights into the level of detail required to estimate REari for climatology studies. The REari retrieved from the CSMs is strongly dependent on the assumptions of optical properties of aerosols and atmospheric gases used in the models. In general, the CSM have not been tuned for our specific application, which requires a realistic repre-



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sentation of REari. Nevertheless, most models show a high level of agreement compared to the T-CARS reference simulations under pristine conditions, with absolute values of the bias below  $10\,\mathrm{W\,m^{-2}}$ , and RMSE values below  $8\,\%$ . An exception is found for the CEM model, where the GHI in pristine conditions is overestimated by about  $7\,\%$  as ozone absorption is not taken into account. A bias of pristine irradiance will introduce a bias of opposite sign in the retrieved REari. Therefore, the magnitude of REari is overestimated by MRM v6.1, ESRA, and most strongly by the CEM model. An underestimation is noted for the METSTAT model. To retrieve the REari with the CSMs, the AOD was adjusted to fit the predicted GHI to observations under clear sky conditions. The retrieved AOD was also compared to the CAMS RA-based values used in T-CARS in order to determine their consistency. The results show that the models are unable to reproduce the annual cycle of AOD, due to their reliance on a single aerosol type. The best level of agreement was found for the ESRA, CEM and METSTAT models.

For REari, the value retrieved from the CSMs is able to reproduce the annual mean  $(-12.8 \pm 5.5 \,\mathrm{W\,m^{-2}})$  of the T-CARS simulation  $(-11 \,\mathrm{W\,m^{-2}})$ . However, the CSM-based time series do not realistically reproduce the annual cycle due to their assumption of a fixed aerosol type. The best performance is shown by the ESRA and MRM v6.1 models. For annual averages of the REari, an approach based on CSMs leads to reasonably accurate results for the ESRA, MRM v6.1 and Heliosat-1 models. However, such an approach cannot be recommend for the estimation of the REari on a daily basis, since the random and systematic uncertainties vary throughout the year. A limitation which should be noted is that the present analysis is based only on one year of observations. Hence, representativeness of the results reported here should be confirmed based on a longer time period. The estimation of REari from clear sky irradiance observations using CSMs may provide valuable information for the evaluation of the impact of aerosols on the power generation of photo-voltaic power plants. If atmospheric reanalysis data and aerosol properties data is available, the use of simulation based on explicit radiative transfer simulations is recommended, since this approach provides a more realistic representation of clear sky irradiance and REari, and also offers height-resolved information independent of surface observations.

Finally, a best estimate of REari for Central Europe and Germany has been presented using the T–CARS simulations as basis. The dominating contribution to the aerosol mixture over Germany is sea salt aerosol, followed by organic matter, whose contribution increases during the summer season. This is accompanied by increased values of AOD and lower values of SSA during summer, which also increases the magnitude of REari. This tendency is reinforced by higher sun elevations during summer. Throughout the year, REari varies between  $-3~\mathrm{W\,m^{-2}}$  and  $-25~\mathrm{W\,m^{-2}}$ ,  $-2~\mathrm{W\,m^{-2}}$  and  $-10~\mathrm{W\,m^{-2}}$ , and  $1~\mathrm{W\,m^{-2}}$  and  $15~\mathrm{W\,m^{-2}}$  for the surface, top of atmosphere and total atmosphere, respectively. Spatially, the aerosol mixture becomes increasingly continental towards the southeast, which is associated with an increased AE and stronger absorption. The AOD also follows this pattern, with an exception of lower AOD values in the South associated with higher altitudes in the mountain regions. A similar pattern is also observed for the REari. The bias-corrected annual mean values of REari are  $-10.6~\mathrm{W\,m^{-2}}$  at the surface,  $-6.5~\mathrm{W\,m^{-2}}$  at top of atmosphere, and  $4.1~\mathrm{W\,m^{-2}}$  for the total atmosphere. These results are consistent with previous studies quantifying the radiative effects of aerosols globally and for the European region (e.g., Bartók, 2016; Kinne, 2019).

The present study is limited to observations from a one-year period. In the future, this analysis will be extended by the consideration of the full time series of long-term measurements available from the DWD station network to support the findings



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of this study with more robust statistics. In addition, an expanded database will allow a more accurate selection of the CSM that is best suited to estimate the REari over Germany, depending on its choice of atmospheric and aerosol parameterisations. Optimization of an existing CSM or implementation of a new CSM optimised for Germany based on those analysed here will be considered. For this purpose, simulations by the T–CARS setup can be used as basis, investigating the choice of a suitable set of climatological aerosol optical properties, will provide additional insights into the level of detail required to estimate REari for climatological studies. A model optimised in this way will provide valuable information for case studies at specific locations.

Apart from case studies, the analysis of the REari will be extended utilizing the full temporal range of available CAMS RA data (2003-2020 at the time of writing). This provides the possibility to investigate REari trends in climatological studies. Furthermore, this study could be extended by using additional aerosol products that separate fine and coarse mode aerosol (e.g. MODIS, AERONET). This allows climatological studies on REari separately for aerosol from natural and anthropogenic sources.

Due to modular structure of the ecRad radiation scheme, it is possible to extend the present T–CARS setup with inputs of atmospheric and aerosol properties e.g. from active and passive remote sensing observations. This will improve the accuracy of the aerosol inputs, and can help to account for subgrid-scale effects not resolved by the CAMS RA data set in particular for simulations at specific locations. This way, the T–CARS setup can also provide additional information about the REari for case studies with special aerosol conditions such as wild-fire smoke (e.g., Baars et al., 2019; Ohneiser et al., 2020) or desert dust (e.g., Ansmann et al., 2017; Toledano et al., 2019), which might not be well represented in the CAMS RA.

A further interesting extension is the development of an optimum-estimation framework for adjusting the CAMS RA aerosol properties to yield solar irradiance components consistent with observations. If successful, such a framework might even open up the opportunity to assimilate broadband irradiance observations into CAMS RA in the future.

Code and data availability. The code and data used are available from the repositories Witthuhn (2021) (https://doi.org/10.5281/ZENODO. 4972436) and Witthuhn et al. (2021) (https://doi.org/10.5281/ZENODO.4892729), respectively. With these, users can repeat the analysis presented in this study. Specific datasets and source code is acquired from a variety of sources, as follows: The CAMS RA (CAMS global reanalysis EAC4) data can be downloaded from the Copernicus Atmosphere Monitoring Service (CAMS) Atmosphere Data Store (ADS) https://ads.atmosphere.copernicus.eu (last access: 16.11.2020) (Inness et al., 2019a). The data of the optical properties from CAMS RA, which is computed for each species for the 30 spectral band by the ECMWF radiation code and 20 single spectral wavelengths, is hosted on the CAMS data archive and available for download at https://doi.org/10.24380/jgs8-sc58 (Bozzo et al., 2020a). The AERONET Version 3 direct sun and inversion products of aerosol optical properties are available from the homepage: https://aeronet.gsfc.nasa.gov/ (last access: 25.11.2020). The surface albedo utilized in the observational approach in this study was provided by the EUMETSAT Satellite Application Facility on Land Surface Analysis (LSA SAF; Trigo et al. (2011)) (http://lsa-saf.eumetsat.int, last access: 14.10.2020.). The offline version of the ecRad radiation scheme is available from ECMWF at https://github.com/ecmwf/ecrad (last access: 15.06.2021) (Hogan and Bozzo, 2018). The Bright-Sun clear sky detection algorithm is available at GitHub: https://github.com/JamieMBright/csd-library (last access: 10.06.2021)





(Bright et al., 2020). The algorithms of CSM utilized in this study are coded in the R language by Xixi Sun and collected by Jamie Bright in the GitHub repository: https://github.com/JamieMBright/clear-sky-models (last access: 10.06.2021). (Sun et al., 2019).

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Figures are plotted with the python matplotlib package. Maps are plotted using the Cartopy library (Met Office, 2010-2015) and made with Natural Earth. Free vector and raster map data @ naturalearthdata.com. The labels on Fig. 2 are plotted using the Phlya/adjustText library for automatic text placement (Flyamer et al., 2018). Taylor diagrams are plotted utilizing the SkillMetrics package (Rochford, 2019).

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## 900 Appendix A: Clear sky models in this study

The clear sky models (CSM) used in this study utilize different parameterisations for atmospheric components such as aerosols, trace gases and Rayleigh scattering to simulate the global horizontal irradiance ( $F_{\rm GHI}$ ), the diffuse horizontal irradiance ( $F_{\rm DHI}$ ) and direct normal irradiance ( $F_{\rm DNI}$ ). This irradiance components are related as follows:

$$F_{\text{GHI}} = F_{\text{DHI}} + \mu_0 F_{\text{DNI}},\tag{A1}$$

where  $\mu_0$  denotes the cosine of the solar zenith angle. Table 2 lists definitions, assumptions and required inputs of the CSM used to quantify REari from irradiance observations.

## A1 MRM v6.1

The meteorological radiation model (MRM) was originally at the national observatory in Athens (NOA) and has been under continuous improvements. The version of MRM used in this study is 6.1 (Kambezidis et al., 2017). The direct normal  $F_{\rm DNI}$ 





910 and diffuse irradiance  $F_{\rm DHI}$  are calculated as follows:

$$F_{\rm DNI} = S_0 \epsilon T_{\rm R} T_{\rm W} T_{\rm O} T_{\rm MG} T_{\rm A},\tag{A2}$$

$$F_{\rm DHI} = \mu_0 S_0 \epsilon T_{\rm W} T_{\rm O} T_{\rm MG} \frac{T_{\rm A}}{T_{\rm AS}} \sqrt{0.5 f_a} (1 - T_{\rm AS} T_{\rm R}),$$
 (A3)

with: 
$$T_{AS} = \exp(-m\omega_0 \tau_A)$$
 (A4)

Transmittance is considered in this model for aerosol extinction  $_A$ , Rayleigh scattering  $_R$ , water vapor  $_W$  and ozone  $_O$  absorption, dependent on air mass m. Further, the absorption of mixed gases (CO $_2$ , CO, N $_2$ O, CH $_4$  and O $_2$ ) is considered ( $T_{MG}$ ). Each gas is considered with an individual fixed column amount. To estimate  $T_A$  and  $T_{AS}$  to account for aerosol extinction and scattering, a look-up table approach is used. The look-up table is based on four aerosol classifications taken from the SMARTS 2.9.5 models (Gueymard, 2005), which includes urban, maritime, desert dust and continental aerosol. The AOD at 550 nm as input is used to select the appropriate aerosol classification. The look-up table provides the aerosol SSA ( $\omega_0$ ) and the effective forward scattering coefficient  $f_a$  based on Brine and Iqbal (1983).

#### A2 ESRA

ESRA is used as the abbreviation for the clear sky model in the framework of the digital European Solar Radiation Atlas (Rigollier et al., 2000). In ESRA, the  $F_{\rm DNI}$  is calculated by:

$$F_{\text{DNI}} = S_0 \epsilon \exp\left(-0.8662T_L m \tau_R(m)\right),\tag{A5}$$

with  $\epsilon$  the correction from solar eccentricity for the solar constant  $S_0$ , the optical air mass m and  $\tau_R$  the Rayleigh optical depth. The influence of aerosols, ozone and water vapor in the atmosphere are described in this equation by the Linke turbidity at an air mass of 2 ( $T_L$ ). The AOD at 550 nm and water vapor column amount are considered as input for the  $T_L$  calculation, while ozone amount is fixed at 343 DU. The altitude (z) of the point of interest is considered in m including refraction correction and  $T_L$  with:

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$$\frac{p}{p_0} = \exp\left(-\frac{z}{z_h}\right),$$
 (A6)

where p and p0 are the pressure at altitude and surface ( $p_0$  equals  $1023.25 \,\mathrm{hPa}$ ), and  $z_h$  equal to  $8434.5 \,\mathrm{m}$  the scale height of the Rayleigh atmosphere near earth surface. The pressure ratio is used in  $T_L$  while the exponent of the altitude ratio is utilized for the calculation of m.

The diffuse horizontal irradiance  $(F_{DHI})$  also depends on  $T_L$ :

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$$F_{\text{DHI}} = S_0 \epsilon T_{\text{rd}}(T_L) f_{\text{d}}(\mu_0, T_L),$$
 (A7)

with  $T_{\rm rd}$  the diffuse transmission function and solar zenith scaled with the diffuse angular function  $f_{\rm d}$ :

$$T_{\rm rd} = -1.5843e^{-2} + 3.0543e^{-2}T_L + 3.797e^{-4}T_L^2, \tag{A8}$$

$$f_{\rm d} = A_0 + \mu_0 A_1 + \mu_0^2 A_2,\tag{A9}$$





with  $A_1$ ,  $A_2$  and  $A_3$  indicating unit less coefficients which depend on  $T_L$ . This coefficients are described in Rigollier et al. 940 (2000).

## A3 Heliosat-1

The Heliosat method for clear sky irradiance estimation was developed to estimate the surface clear sky irradiance from satellite images. In this paper the name Heliosat-1 is used for the method described in Hammer et al. (2003) following the naming in Sun et al. (2019).

The representation of the direct normal irradiance  $F_{\rm DNI}$  is equal to the calculation in the ESRA method (Sect. A2) with the exception, that m is not corrected for solar refraction. The diffuse irradiance component  $F_{\rm DHI}$  is calculated using an empirical relation by Dumortier (1995):

$$F_{\text{DHI}} = S_0 \epsilon [0.0065 + \mu_0 (-0.045 + 0.0646T_L) + \mu_0^2 (0.014 - 0.0327T_L)]$$
(A10)

The global irradiance is then calculated from the diffuse and direct component using Eq. (A1).

## 950 A4 Solis simple

The Solis model was developed within the framework of the Heliosat-3 project. It is a spectrally resolved physical model, based on radiative transfer calculation (Mueller et al., 2004):

$$F_{\rm DNI} = S_0 \epsilon \exp\left(-\frac{\tau_{\rm b}}{\mu_{\rm b}^{\rm b}}\right) \tag{A11}$$

$$F_{\text{GHI}} = \mu_0 S_0 \epsilon \exp\left(-\frac{\tau_{\text{g}}}{\mu_0^{\text{g}}}\right) \tag{A12}$$

955 
$$F_{\text{DHI}} = S_0 \epsilon \exp\left(-\frac{\tau_{\text{d}}}{\mu_0^{\text{d}}}\right)$$
 (A13)

where  $\tau_{\rm b}$ ,  $\tau_{\rm g}$  and  $\tau_{\rm d}$  are the direct normal, global and diffuse optical depths and b,g and d parameters obtained from radiative transfer calculations. For the use in real time processes a simplified version is presented by Ineichen (2008a). Based on a large set of simulations for altitude in the range of sea level to  $7000\,\mathrm{m}$ , AOD at  $700\,\mathrm{nm}$  from 0 to 0.45 and water vapor column from 0.2 cm to  $10\,\mathrm{cm}$ ,  $\tau_{\rm b}$ ,  $\tau_{\rm g}$ ,  $\tau_{\rm d}$ , b, g and d are parameterised. Ozone was taken as constant for the simulations at  $340\,\mathrm{DU}$ . Trace gases in the atmosphere or surface albedo are not explicitly considered in this model.

#### A5 CEM

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The CEM model was developed by Atwater and Ball (1978). The direct and global irradiance components are both calculated by the following equation:

$$F_{\text{GHI}} = \mu_0 S_0 \epsilon T_{\text{A}} \left( T_{\text{B,sh}} - a_{\text{W}} \right) f(a), \tag{A14}$$

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$$F_{\rm DNI} = S_0 \epsilon T_{\rm A} \left( T_{\rm R,bn} - a_{\rm W} \right),$$
 (A15)





where  $a_{\rm W}$  denotes the water vapor absorption and  $T_{\rm R}$  denotes the definitions of the Rayleigh and atmospheric transmission with individual coefficients for  $F_{\rm DNI}$  and  $F_{\rm GHI}$  which depend on pressure and the airmass m formulation of Atwater and Ball (1978):

$$m = \frac{35}{\sqrt{1 + 1224\mu_0^2}}\tag{A16}$$

970 The global irradiance is scaled by a factor f, which depends on surface albedo a.

Following Gueymard (2003), the original formulation of the aerosol transmission based on Mie theory as described in Atwater and Brown (1974) is replaced by the Unsworth-Monteith turbidity coefficient depended on the broadband AOD ( $\tau_A$ ):

$$T_{\rm A} = \exp\left(-m\frac{p}{p_0}\tau_{\rm A}\right),\tag{A17}$$

since aerosol is considered in  $F_{GHI}$  with  $T_A$  only, extinction by aerosols is related to absorption only. Ozone is not considered in the CEM model.

## A6 MMAC

The MAC model was originally developed by Davies and McKay (1982) and used in various forms in the literature. The direct normal irradiance  $F_{\rm DNI}$  is calculated by:

$$F_{\rm DNI} = S_0 \epsilon T_{\rm A} \left( T_{\rm R} T_{\rm O} - a_{\rm W} \right), \tag{A18}$$

similar to CEM,  $a_{\rm W}$  denotes the water vapor absorptance and transmittance T is considered for aerosol extinction  $_{\rm A}$ , Rayleigh scattering  $_{\rm R}$  and ozone absorption  $_{\rm O}$ . All parameters are dependent on relative air mass which is formulated as in the CEM model (Eq. (A16)). This model was reviewed by Gueymard (1993), concluding that the definition of aerosol transmittance  $T_{\rm A}$  degrading its performance. In the modified MAC model (MMAC)  $T_{\rm A}$  is expressed equally to the CEM model (Eq. (A17)), following (Gueymard, 2003). Although very similar to the expression of  $F_{\rm DNI}$  in the CEM model, the MMAC model considers for an climatological value of ozone and has a different parameterisation of  $T_{\rm R}$ .

For the diffuse horizontal irradiance, Rayleigh scattering and scattering by aerosols is considered:

$$F_{\text{DHLR}} = S_0 \epsilon (0.46T_{\text{O}}(1 - T_{\text{R}})),$$
 (A19)

$$F_{\rm DHI,A} = S_0 \epsilon \omega_0 f(1 - T_{\rm A}) (T_{\rm R} T_{\rm O} - a_{\rm W}),$$
 (A20)

$$F_{\rm DHI} = F_{\rm DHI,R} - F_{\rm DHI,A},\tag{A21}$$

990 with broadband aerosol SSA  $\omega_0$  equals 0.98 and the ratio of forward to total scattering by aerosol f of about 0.1, following (Sun et al., 2019).

# A7 METSTAT

The meteorological, statistical solar radiation model (METSTAT) was developed for the production of the national solar radiation data base of the United States (Maxwell, 1998). The clear sky  $F_{\rm DNI}$  is calculated based on Bird's model (Bird and





995 Hulstrom, 1981):

$$F_{\text{DNI}} = 0.9751 S_0 \epsilon T_{\text{R}} T_{\text{O}} T_{\text{W}} T_{\text{UM}} T_{\text{A}}, \tag{A22}$$

where transmittance T is considered for broadband Rayleigh scattering  $_{\rm R}$ , absorption by ozone  $_{\rm O}$ , water vapor  $_{\rm W}$ , uniformly mixed gases  $_{\rm UM}$  and extinction by aerosols  $_{\rm A}$ . Each transmittance is a function of air mass.  $T_{\rm A}$  is calculated similar to CEM (Eq. (A17)) but with a different formulation of air mass.

An empirical function is used to calculate the diffuse irradiance component  $F_{\rm DHI}$ . This involves assumptions of broadband aerosol SSA, which in this study equals 0.9. Further, the surface albedo is used to estimate the diffuse radiation from ground reflectance (Badescu et al., 2013).

## Appendix B: Calculation of aerosol optical properties from CAMS RA mass mixing ratios

The aerosol properties in CAMS RA are given as mass mixing ratios ( $r_{i,l}$ , see Table A1) for each of the 11 aerosol types ( $_i$ ) on 60 model levels ( $_l$ ). The aerosol optical properties database described by (Bozzo et al., 2020a) provides mass extinction coefficient ( $a_{ext,i}$ ), SSA and ASY for 20 monocromatic wavelengths in the range from 340 nm to 2130 nm as well as the ecRad bands and can be used for conversion to column integrated values of AOD ( $\tau_{ext,i}$ ), SSA ( $\omega_i$ ) and ASY ( $g_i$ ) for a specific wavelength following Benedetti et al. (2009):

$$\tau_{\text{ext,sfc}} = \sum_{l=1}^{60} \sum_{i=1}^{11} r_{i,l} a_{\text{ext,i}} \frac{\Delta p_l}{g_0}$$
(B1)

$$\tau_{\text{scat,sfc}} = \sum_{l=1}^{60} \sum_{i=1}^{11} r_{i,l} a_{\text{ext,i}} \omega_i \frac{\Delta p_l}{g_0}$$
(B2)

$$\tau_{\rm g, scat, sfc} = \sum_{l=1}^{60} \sum_{i=1}^{11} r_{i,l} a_{\rm ext, i} \omega_i g_i \frac{\Delta p_l}{g_0}$$
(B3)

$$\omega_{\rm sfc} = \frac{\tau_{\rm scat,sfc}}{\tau_{\rm ext,sfc}} \tag{B4}$$

$$g_{\rm sfc} = \frac{\tau_{\rm g,scat,sfc}}{\tau_{\rm ext,sfc}},$$
 (B5)

with  $\Delta p_1$  denoting the pressure difference of bottom and top layer interfaces and  $g_0$  the standard gravity on earth of 9.80665 m s<sup>-2</sup>.

A variation of  $g_0$  depending on latitude or altitude is not considered. Although not denoted here, all parameters except r,  $\Delta p$  and  $g_0$  are a function of spectral wavelength and humidity. In the database, the optical properties for hydrophilic aerosols are given in steps of 10 % humidity.

# Appendix C: Intercomparison of T-CARS and AERONET REari products

An REari estimate is provided by AERONET inversion product, which is calculated from the downward fluxes only (oppositely to net fluxes as in this study), therefore the surface albedo is neglected (Holben et al., 2006). As a consistency test, this REari



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product is compared with the T-CARS simulations. For this purpose, The T-CARS simulation collocated to the AERONET stations is calculated setting the surface albedo to 0. Furthermore, as AERONET provides occasional observations during the day, the daily mean of observations are scaled to a daily average value using the ratio of collocated T-CARS simulations versus the daily average simulated by T-CARS. The comparison is shown in Fig. A3. In general REari calculated for both products agree with a correlation of 0.65 at the surface and 0.62 at TOA. The MBE values indicate a stronger over- and underestimation of T-CARS REari as expected from the uncertainty estimate (Fig. 6) with values of  $-4.6 \,\mathrm{W}\,\mathrm{m}^{-2}$  at the surface and  $5.6 \,\mathrm{W}\,\mathrm{m}^{-2}$  at TOA. The MBE values found here are about five times larger than the theoretical uncertainty estimate based on the evaluation of the aerosol properties database ( $-1.5 \,\mathrm{W}\,\mathrm{m}^{-2}$  at the surface and  $0.6 \,\mathrm{W}\,\mathrm{m}^{-2}$  at TOA). The uncertainty estimate of REari shown above is based on the comparison of the aerosol properties products from AERONET. REari calculated by AERONET requires a set of assumptions about the state of the atmosphere (e.g.: trace gases, vertical distribution, surface reflectance) which may be not consistent with the CAMS RA data. Therefore these results deviate from the theoretical uncertainty estimate.





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**Table A1.** List of acquired CAMS RA parameter for this study. The table keyword specifies if the parameter is acquired for surface (sfc) or model level vertical column (ml).

Parameter	table	ID
temperature	ml	130
specific_humidity	ml	133
nitrogen_dioxide	ml	121.210
ozone	ml	203.210
sea_salt_aerosol_0.03-0.5um	ml	001.210
sea_salt_aerosol_0.5-5um	ml	002.210
sea_salt_aerosol_5-20um	ml	003.210
dust_aerosol_0.03-0.55um	ml	004.210
dust_aerosol_0.55-0.9um	ml	005.210
dust_aerosol_0.9-20um	ml	006.210
hydrophilic_black_carbon_aerosol	ml	007.210
hydrophilic_organic_matter_aerosol	ml	008.210
hydrophobic_black_carbon_aerosol	ml	009.210
hydrophobic_organic_matter_aerosol	ml	010.210
sulphate_aerosol	ml	011.210
mixing_ratio		
skin_temperature	sfc	235.128
surface_geopotential	sfc	129.128
surface_pressure	sfc	134.128
uv_visible_albedo_for_diffuse_radiation	sfc	015.128
uv_visible_albedo_for_direct_radiation	sfc	016.128
total_aerosol_optical_depth_469nm	sfc	213.210
total_aerosol_optical_depth_550nm	sfc	207.210
total_aerosol_optical_depth_670nm	sfc	214.210
total_aerosol_optical_depth_865nm	sfc	215.210
total_aerosol_optical_depth_1240nm	sfc	216.210

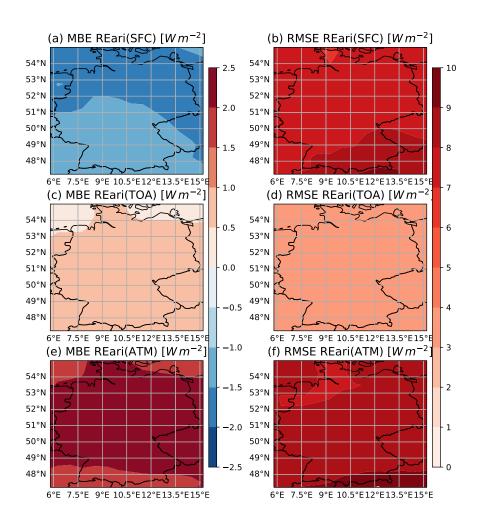




**Table A2.** Comparison of AOD provided by CAMS RA as reference and calculated with T–CARS from CAMS RA model level aerosol mass mixing ratio. The data is acquired in the period from 2003 to 2019 for Germany.

AOD	mean	MBE	RMSE	R
469 nm	0.15	-0.003	0.01	0.99
550 nm	0.13	-0.001	0.01	0.99
670 nm	0.10	-0.003	0.01	0.99
865 nm	0.07	-0.002	0.01	0.99
1240 nm	0.05	-0.002	0.01	0.99





**Figure A1.** Annual mean of REari MBE and RMSE of T-CARS over the region of Germany. The RMSE is calculated for daily average values of REari at surface (SFC), top of atmosphere (TOA) and for the total atmosphere (ATM).



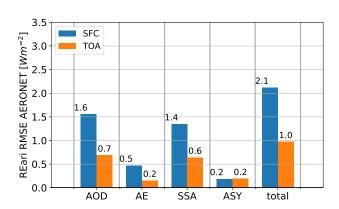
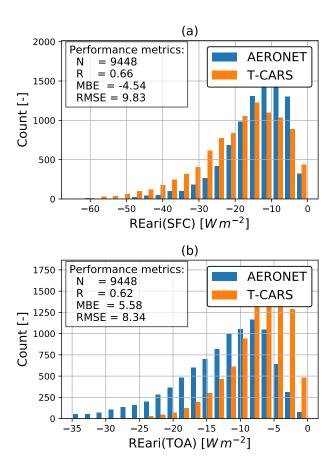


Figure A2. REari kernels weighted by uncertainty estimates of AOD ( $\pm 0.02$ ), AE ( $\pm 0.3$ ), SSA ( $\pm 0.03$ ) and ASY ( $\pm 0.01$ ) from the AERONET direct and inversion products. The calculations are conducted for surface (blue) and top of atmosphere (orange).





**Figure A3.** Comparison of AERONET and T-CARS REari daily average products at surface (SFC) and top of atmosphere (TOA) for the period from 2003 to 2019. The metrics are calculated using the definition of REari (global instead of net irradiance at surface) from AERONET and the AERONET data as reference.





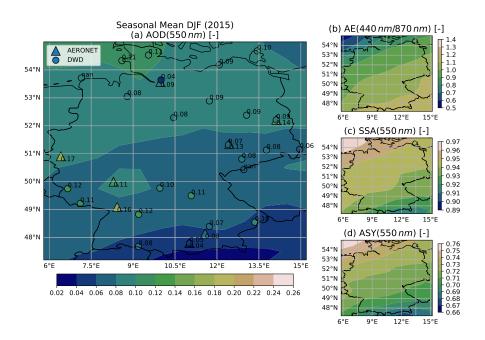


Figure A4. As Fig 11 but showing the average over the winter season 2015.





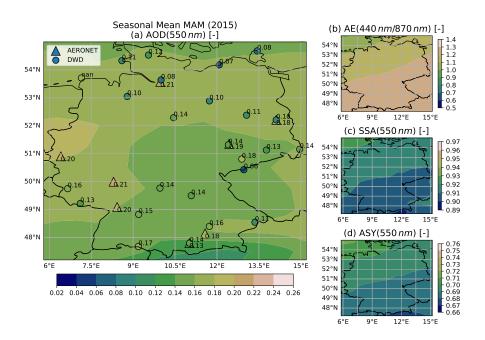
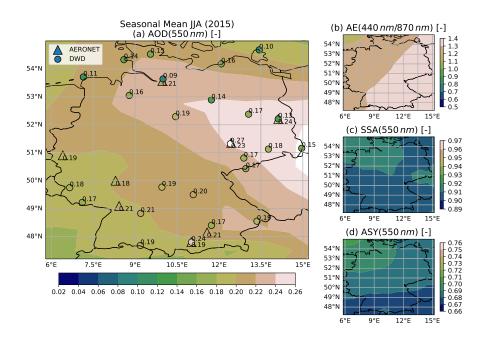


Figure A5. As Fig 11 but showing the average over the spring season 2015.



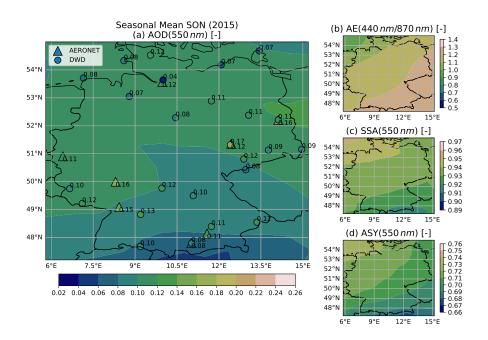




**Figure A6.** As Fig 11 but showing the average over the summer season 2015.







**Figure A7.** As Fig 11 but showing the average over the fall season 2015.



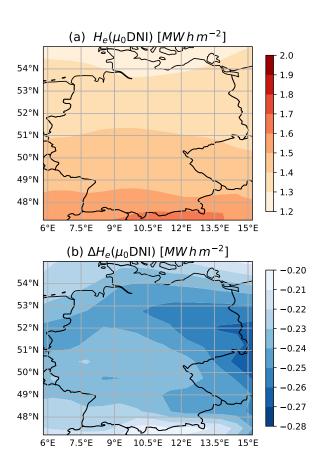


Figure A8. Annual radiant exposure  $(H_e = \int \mu_0 F_{\rm DNI} {\rm d}t)$  from  $\mu_0 {\rm DNI}$  assuming cloud free conditions (panel (a)) and reduction due to aerosols ( $\Delta H_e = H_e - H_{e,\rm pri}$ , panel (b)) at surface over Germany in 2015. The calculation is conducted with the T-CARS setup.