

1 **Impact of stratospheric aerosol intervention geoengineering on**
2 **surface air temperature in China: A surface energy budget**
3 **perspective**

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12 **Abstract.** Stratospheric aerosol intervention (SAI) geoengineering is a proposed scheme to counteract
13 anthropogenic global warming, but the climate response to SAI, with great regional disparities, remains
14 uncertain. In this study, we use Geoengineering Model Intercomparison Project G4 experiment
15 simulations from six models that counteract anthropogenic forcing under medium-low emissions
16 (RCP4.5) by injecting a certain amount of SO₂ into the stratosphere every year, to investigate the
17 surface air temperature response to SAI geoengineering over China. We have found that SAI leads to
18 surface cooling over China during the last 40 years of injection simulation (2030–2069), which varies
19 among models, regions and seasons. Decreased tropospheric temperature and water vapor and
20 increased stratospheric aerosols induce robust decreases in downward clear-sky longwave and
21 shortwave radiation fluxes at the surface respectively, dominating the temperature change over China.
22 Changes in cloud effective forcing and surface albedo feedback also relate to the temperature response,
23 but with large spatial and seasonal variations. We find that the increased summer cloud cover and
24 winter surface albedo lead to strong cooling, while the decreased summer cloud cover and winter
25 surface albedo lead to weak cooling or even warming for the certain subregions and models. Our results
26 suggest that cloud and land surface processes in models dominate the spatial pattern of SAI-induced
27 surface air temperature change over China.

28 **1 Introduction**

29 The increasing anthropogenic greenhouse gas (GHG) concentrations since the industrial
30 revolution have led to global warming. Although the international community has realized the risk of
31 global warming and attempted to reduce GHG emissions, global GHG emissions still show a
32 continuous increase (United Nations Environment Programme, 2020). The “2°C global temperature
33 target” in the Paris Agreements will be unachievable if the current increasing emission trend persists
34 (e.g., Robiou du Pont and Meinshausen 2018). Solar radiation modification (SRM), which refers to a
35 range of measures adjusting the Earth’s radiative balance, is considered as an option to counteract
36 anthropogenic global warming. Various specific techniques have been proposed to perform SRM
37 geoengineering, such as injecting sulfate aerosols into the stratosphere (Budyko, 1977), placing shields
38 or deflectors in space (Seifritz, 1989), brightening marine clouds (Latham, 1990), and thinning cirrus
39 clouds (Mitchell and Finnegan, 2009). The method of injecting sulfate aerosols or their precursors into

40 the stratosphere, also known as stratospheric aerosol intervention (SAI) geoengineering, is designed to
41 cool the surface by using these aerosols to reflect and scatter solar radiation (Crutzen, 2006; Wigley,
42 2006). As a proposed scheme, SAI has attracted great attention recently due to its assumed
43 technological feasibility (e.g., Irvine et al., 2016).

44 SRM geoengineering has not been implemented in reality because of its potential risks and
45 immature technology. The primary means of recognizing the climate response to geoengineering is
46 simulating via general circulation models (GCMs). However, the results from early simulations could
47 not be proved robust due to the differences in experimental schemes. The Geoengineering Model
48 Intercomparison Project (GeoMIP) has been proposed to address that issue (Kravitz et al., 2011; 2015).
49 To date, the GeoMIP has designed 12 experiments, including solar dimming, stratospheric aerosol
50 intervention, marine cloud brightening, and cirrus thinning geoengineering in Coupled Model
51 Intercomparison Project Phases 5 and 6 (CMIP5 and CMIP6). The GeoMIP provides detailed
52 guidelines for each model and experiment and calls for all the modeling groups worldwide to become
53 involved and share their simulations. A total of 19 GCMs have participated in the GeoMIP to date.
54 More detailed information is accessible from the GeoMIP website
55 (<http://climate.envsci.rutgers.edu/GeoMIP/>).

56 Previous studies have indicated that SRM geoengineering could counteract or even reverse
57 anthropogenic global warming and reduce sea ice melting and thermosteric sea-level rise, as well as
58 decrease the frequency and intensity of extreme temperature and precipitation events (Rasch et al.,
59 2008; Robock et al., 2015; Irvine et al., 2016; Ji et al., 2018; Jones et al., 2018). It might also come
60 with risks. For instance, SRM geoengineering would reduce the global mean precipitation and
61 monsoon precipitation and slow the hydrological cycle if it is used to offset the GHG-induced global
62 warming (Bala et al., 2008; Tilmes et al., 2013; Sun et al., 2020). SRM would not mitigate the
63 continued ocean acidification caused by CO₂ emissions (Caldeira et al., 2013). The sudden termination
64 of geoengineering would lead to a more rapid increase in temperature than the non-geoengineered case
65 (Matthews and Caldeira, 2007; Jones et al., 2013). The severity of the termination effect depends on
66 the magnitude of geoengineering deployment. Moreover, the SAI-induced heterogeneous chemistry
67 changes might cause stratospheric ozone depletion and thus increase ultraviolet radiation (UV) at the
68 surface (Tilmes et al., 2008; Eastham et al., 2018).

69 The appropriate SRM geoengineering might lead to global cooling and benefit most regions

70 (Irvine et al., 2019). However, it was still a concern that some regions might face greater climatic
71 impacts or risks under SRM forcing (Ricke et al., 2013; Kravitz et al., 2014). For example, Robock et
72 al. (2008) indicated that the weakening of the Asian and African summer monsoons caused by the
73 injected stratospheric aerosols over the Arctic would decrease cloudiness and in turn warm the surface
74 over northern Africa and India. In addition to the effect of cloudiness, changes in atmospheric moisture
75 and surface conditions caused by SAI also impact surface air temperature (Kashimura et al., 2017). As
76 the largest developing country in the world, China plays an important role in combating climate change.
77 China’s attitude to SAI is crucial to the international geoengineering research community. Considering
78 the combined effect of the Tibetan Plateau and the East Asian monsoon, the climate over China would
79 be strongly influenced by SAI. Large volcanic eruptions, which inject massive volcanic aerosols into
80 the stratosphere, are considered a natural analog to SAI geoengineering (Trenberth and Dai, 2007).
81 The 1815 Mt. Tambora eruption led to the “year without a summer” over China (e.g., Raible et al.,
82 2016). But the volcanic eruption is not a perfect analog. This is because the sulfate aerosols from
83 massive volcanic eruptions only last for 2–3 years, while the SAI-induced aerosols are continuously
84 replenished for decades or centuries (Duan et al., 2019). So far, few studies have studied the
85 temperature response to SAI geoengineering over China explicitly (Cao et al., 2015).

86 In this study, we investigate the impact of the SAI geoengineering on the surface air temperature
87 over China and the underlying physical processes from a surface energy perspective. Section 2
88 provides a brief introduction to the experiments, model data, and decomposition method of surface air
89 temperature change. Section 3 evaluates the ability of models to reproduce the climatological
90 temperature over China in summer and winter. Section 4 presents the summer and winter temperature
91 changes and associated reasons over China in response to SAI geoengineering, and we also analyze
92 the physical processes responsible for the SAI-induced temperature changes over China. Conclusions
93 and discussion are presented in Sect. 5.

94 **2 Experiments, data, and methods**

95 **2.1 Experiments**

96 We use the G4 experiment from the first phase of the GeoMIP (Kravitz et al., 2011). As a SAI-

97 based geoengineering experiment, G4 is designed to inject SO₂ into the low-level equatorial
98 stratosphere at a consistent rate of 5 Tg per year under the background scenario of Representative
99 Concentration Pathway 4.5 (RCP4.5) (Taylor et al., 2012). This injection rate is equivalent to a case in
100 which the 1991 Mt. Pinatubo eruption occurred every four years (Bluth et al., 1992). The injection
101 period is from 2020 to 2069, and then the experiment continues to run until 2089 to examine the
102 termination effect (Jones et al., 2013). The RCP4.5 simulation for the same period is used as a baseline
103 (non-geoengineered) state. In addition, the historical simulation for 1986–2005 is applied to evaluate
104 the ability of the selected models to reproduce the climatology of surface air temperature over China.

105 **2.2 Data**

106 A total of 12 GCMs participated in the G4 experiment (Kravitz et al., 2013a). However, some
107 models should not be considered in this study due to their known issues. For instance, CSIRO-Mk3L-
108 1-2 runs G4 by directly reducing solar irradiance rather than injecting stratospheric aerosols; GISS-
109 E2-R shows an inconsistency between G4/RCP4.5 and historical experiments; IPSL-CM5A-LR and
110 NorESM1-M have errors in the longwave treatment of the sulfate aerosol; GEOSCCM and ULAQ use
111 prescribed sea surface temperatures. Simulations from the other six models are applied for analyses.
112 Monthly datasets are used and calculated as the averages in summer (June–July–August, JJA) and
113 winter (December–January–February, DJF). The CN05.1 observation dataset (Wu and Gao, 2013) is
114 used to evaluate the ability of models to reproduce the climatology of temperature over China. All the
115 observations and model outputs are interpolated to a common grid with a mid-range horizontal
116 resolution (2.5° longitude by 2° latitude).

117 A brief description of the selected models is illustrated in Table 1. In addition to differences in the
118 physical and chemical modules related to sulfate aerosol particles, the models have different SO₂
119 injection treatments. For HadGEM2-ES, the CLASSIC aerosol module (Bellouin et al., 2011) used in
120 the stratosphere makes it possible to handle the injections of SO₂, allowing HadGEM2-ES to finish a
121 complete simulation including the generation and transportation of stratospheric sulfate aerosols. The
122 injection point is located on the equator (0° longitude), and the injection altitude ranges from 16 to 25
123 km. For CanESM2, the stratospheric aerosol optical depth (SAOD) caused by SAI is prescribed as a
124 consistent value. For other models (BNU-ESM, CNRM-ESM1, MIROC-ESM and MIROC-ESM-
125 CHEM), the prescribed distribution of SAOD, according to Sato (2006), is used to drive the G4

126 experiment. Besides, MIROC-ESM-CHEM calculates the surface density of sulfate aerosols by using
 127 the CHASER atmospheric chemistry module (Sudo et al., 2002; Kravitz et al., 2013a).

128 **2.3 Decomposition method for SAI-induced surface air temperature change**

129 Surface air temperature is a widely used variable in climate studies. Change in surface air
 130 temperature is associated with three components: surface vertical energy fluxes (including radiative
 131 and heat fluxes), horizontal temperature advection, and adiabatic warming or cooling (Gong et al.,
 132 2017). In this study, the SAI-induced changes in surface temperature and surface air temperature are
 133 strongly coupled in China during 2030–2069 (the correlation coefficients are higher than 0.98 and 0.99
 134 in summer and winter, respectively; Fig. 1). Thus, the surface vertical energy fluxes are considered to
 135 be the main factor affecting temperature change under SAI forcing.

136 According to the decomposition method based on the surface energy budget proposed by Lu and
 137 Cai (2009), the surface air temperature change caused by SAI can be written as:

$$138 \quad \Delta T = \frac{\Delta R^\downarrow + \Delta LH + \Delta SH + \Delta Q}{4\sigma \overline{T_s^3}} + \text{Res} \quad (1)$$

139 where Δ represents the difference between G4 and RCP4.5, the overbar represents the climatological
 140 value of RCP4.5, R^\downarrow is the downward net radiation at the surface, LH and SH are surface sensible and
 141 latent heat fluxes respectively, Q is surface heat storage, T_s is surface temperature, and σ is the Stefan-
 142 Boltzmann constant. Res represents the difference between changes in surface air temperature and
 143 surface temperature. In order to quantitatively separate the radiative effects of clouds and surface
 144 albedo, the ΔR^\downarrow can be decomposed as follow:

$$145 \quad \Delta R^\downarrow = \Delta LW^{cs\downarrow} + (1 - \overline{\alpha}) \Delta SW^{cs\downarrow} + \Delta SAF + \Delta CRF \quad (2)$$

$$146 \quad \Delta SAF = -(\Delta SW^{as\downarrow} + \overline{SW^{as\downarrow}}) \Delta \alpha \quad (3)$$

$$147 \quad \Delta CRF = (1 - \overline{\alpha}) \Delta SW^{cl\downarrow} + \Delta LW^{cl\downarrow} \quad (4)$$

148 In Eqs. (2)–(4), $SW^{as\downarrow}$ represents downward surface shortwave radiation in all-sky conditions,
 149 $SW^{cs\downarrow}$ and $LW^{cs\downarrow}$ represent downward surface shortwave and longwave radiations in clear-sky
 150 conditions respectively, $SW^{cl\downarrow}$ and $LW^{cl\downarrow}$ represent downward shortwave and longwave radiative
 151 effects of clouds (all-sky radiations minus clear-sky radiations) respectively, and α represents surface

152 albedo (the ratio of solar radiation reflected to the atmosphere at the surface). SAF is surface albedo
 153 feedback, and CRF is cloud radiative forcing. Under SAI forcing, both the changes in atmospheric
 154 reflection and atmospheric absorption affect the $SW^{cs\downarrow}$. We assume that the clear-sky atmospheric
 155 reflection change is only affected by atmospheric water vapor amount, and the clear-sky atmospheric
 156 absorption change is only affected by the aerosol scattering effect. As detailed by Kashimura et al.
 157 (2017), the change in $SW^{cs\downarrow}$ can be further decomposed as:

$$158 \quad \Delta SW^{cs\downarrow} \approx \Delta SW_{SRM} + \Delta SW_{WV} \quad (5)$$

$$159 \quad \Delta SW_{SRM} = SW^{cs\downarrow}(F_{G4}^{cs}, A_{RCP}^{cs}) - \overline{SW^{cs\downarrow}} \quad (6)$$

$$160 \quad \Delta SW_{WV} = SW^{cs\downarrow}(F_{RCP}^{cs}, A_{G4}^{cs}) - \overline{SW^{cs\downarrow}} \quad (7)$$

161 where F is the fraction of solar radiation reflected by the atmosphere, and A is the fraction of absorption
 162 during solar radiation passing through the atmosphere. SW_{SRM} and SW_{WV} represent the effects of solar
 163 radiation scattering and atmospheric water vapor amount, respectively. Although the $SW^{cs\downarrow}$ change is
 164 not precisely equal to the sum of changes in SW_{SRM} and SW_{WV} due to the assumption of a single-layer
 165 model (Donohoe and Battisti, 2011), this method is effective when analyzing the surface shortwave
 166 radiation change in response to SAI (Kashimura et al., 2017).

167 **3 Evaluation of the models**

168 The ability of the models to reproduce the surface air temperature over China is evaluated first.
 169 As shown in Fig. 2, the spatial correlation coefficient (SCC), standard deviation (SD), and centered
 170 root-mean-square error (CRMSE) between the observation and the historical simulation for
 171 climatological temperature over China during 1986–2005 are calculated and illustrated in a Taylor
 172 diagram (Taylor, 2001). The SCCs of the models range from 0.85 to 0.95 (0.94 in multi-model mean)
 173 in summer and from 0.91 to 0.96 (0.96 in multi-model mean) in winter. All the SCCs are statistically
 174 significant at the 99% level, meaning that the simulated temperature is in good agreement with the
 175 observed temperature. The normalized SDs range from 0.81 to 1.33 in summer (0.99 in multi-model
 176 mean) and from 1.03 to 1.23 (1.08 in multi-model mean) in winter. This result indicates that all
 177 selected models overestimate the spatial variability of the winter temperature in China. The CRMSEs
 178 are 0.34–0.53 (0.35 in multi-model mean) for summer and 0.32–0.46 (0.31 in multi-model mean) for

179 winter. Taken together, the simulations of summer and winter temperatures by selected models are
180 reliable over China. The multi-model mean results outperform most individual models for the
181 temperature climatology over China both in summer and winter, which is consistent with previous
182 findings (e.g., Jiang et al., 2016).

183 The observed spatial patterns of summer and winter temperature climatology over China show
184 a general decrease from south to north, and the lowest values mainly occur in the Tibetan Plateau
185 (Figs. 3a, d). These features can be well reproduced by all models and their mean (Figs. 3b, e).
186 Compared to the observation, the simulated temperature is generally overestimated in summer but
187 underestimated in winter over China according to the regionally averaged values. In summer, warm
188 biases occur in most of eastern China, especially in northeastern China (Fig. 3c). In winter, however,
189 the underestimation of temperature exists at the national scale, with a regionally averaged cold bias
190 of 1.79°C in multi-model mean (Fig. 3f). Substantial cold biases occur over the Tarim Basin and the
191 Tibetan Plateau, which are associated with regional topography. Most of the above biases are
192 consistent among individual models, with the averaged model consistency of 76% over China in both
193 summer and winter.

194 **4 Results**

195 **4.1 Changes in surface air temperature over China**

196 Figures 4 and 5 show the temporal evolution of surface air temperature changes in the G4
197 experiment and RCP4.5 scenario relative to the present climatology (1986–2005) over China. Both the
198 summer and winter temperatures in G4 increase over time, although they are colder than those in
199 RCP4.5. Positive values occur throughout the whole G4 simulation period, excluding several years in
200 winter. This indicates that although the injection of 5 Tg SO₂ per year leads to a surface cooling over
201 China, the climatological temperature in G4 is still higher than the present level. Considering that the
202 feedback response timescale of diffusive ocean heat uptake in climate models is approximately ten
203 years (Jarvis, 2011), the simulation representing the last 40 years of injection (2030–2069) is used to
204 examine the temperature response to SAI over China, as done by Kravitz et al. (2013b) and Tilmes et
205 al. (2013). During this period, the warming trends over all of China in G4 among models are 0.21–

206 0.43°C decade⁻¹ in summer and 0.30–0.59°C decade⁻¹ in winter. It can be seen that the warming trend
207 difference between G4 and RCP4.5 is small, and this is expected because of the similar trend of
208 radiative forcing variation in the two experiments during 2030–2069. The regionally averaged
209 temperature over China is decreased by 0.24–0.96°C (0.64°C in the multi-model mean) in summer and
210 0.30–1.52°C (0.80°C in the multi-model mean) in winter due to SAI forcing. Although the magnitude
211 of SAI-induced temperature change varies across models and seasons, the cooling response is
212 consistent among models over China. The winter cooling is stronger than the summer level in all
213 models. Additionally, the result shows the strongest SAI-induced cooling occurs in HadGEM2-ES in
214 both summer and winter.

215 The spatial pattern of the temperature difference between G4 and RCP4.5 over China is illustrated
216 in Figs. 6 and 7. The multi-model results show a robust and coherent cooling in both summer and
217 winter. Strong cooling with magnitudes greater than 0.8°C mainly occurs over high-latitude regions,
218 including northwestern and central China. For the individual models, the SAI-induced temperature
219 changes are negative and significant almost everywhere over China except for in MIROC-ESM and
220 MIROC-ESM-CHEM. SAI leads to the temperature increases over the upper reaches of the Yellow
221 River and the middle and upper reaches of the Yangtze River in MIROC-ESM in winter, and over
222 northeastern and southeastern China in MIROC-ESM-CHEM in summer, respectively (Figs. 6f and
223 7e). These increases are weak and insignificant. The physical processes responsible for SAI-induced
224 cooling or warming will be discussed in the subsequent sections.

225 **4.2 Decomposition of SAI-induced temperature change**

226 We decompose the SAI-induced change in surface air temperature over China by utilizing Eqs.
227 (1)–(4). The regionally averaged value of each term is illustrated in Fig. 8. It can be seen that SAI
228 decreases downward net surface radiation fluxes, leading to a surface cooling of 0.30–1.45°C in
229 summer and 0.48–2.10°C in winter over China. These decreases are partly compensated by decreased
230 nonradiative fluxes, especially the decreased LH. The contributions of SH, Q , and Res are relatively
231 small (Fig. 8a). The decomposition of downward surface radiation shows the decreases in $SW^{cs\downarrow}$ and
232 $LW^{cs\downarrow}$ in all models. The reduced $LW^{cs\downarrow}$ dominates the deficient downward net surface radiation and
233 decreases the temperature with magnitudes of 0.38–1.33°C in summer and 0.25–1.38°C in winter. The
234 reduced $SW^{cs\downarrow}$ also contributes to the surface cooling, with magnitudes of 0.04–0.33°C in summer and

235 0.13–0.41°C in winter. The winter decrease in $SW^{cs\downarrow}$ is stronger than the summer one in most models.
236 Besides, the inter-model differences in CRF and SAF changes are relatively substantial. The area-
237 averaged results illustrate that the changes in CRF and SAF have negative and positive contributions
238 to the SAI-induced cooling over China in most models, respectively (Fig. 8b).

239 The spatial patterns of SAI-induced changes in key energy-related variables over China are
240 illustrated in Fig. 9. Under SAI forcing, changes in atmospheric temperature and water vapor lead to a
241 general decrease in the $LW^{cs\downarrow}$. The $SW^{cs\downarrow}$, primarily related to the solar radiation scattering effect by
242 stratospheric sulfate aerosol particles, also exhibits a coherent reduction over China. The spatial pattern
243 of temperature change over China is primarily determined by $SW^{cl\downarrow}$ and surface albedo changes. In
244 summer, most models exhibit increases in cloud amount, especially over northwestern and central
245 China. The resultant decreased $SW^{cl\downarrow}$ leads to strong cooling over these regions. Conversely,
246 northeastern and southeastern China show increased $SW^{cl\downarrow}$ and relatively weak cooling (Fig. 9d). In
247 MIROC-ESM-CHEM, the excessive $SW^{cl\downarrow}$ (up to 8 W m^{-2}) offsets the clear-sky radiative effects and
248 causes abnormal warming over most regions of eastern China (Fig. S1a). In summer, the surface albedo
249 change due to SAI over China is relatively small. The increased surface albedo mainly occurs in the
250 Tibetan Plateau, which contributes to local surface cooling (Fig. 9f). This may help to explain why the
251 cloud effect is not a primary factor of temperature change over the Tibetan Plateau in summer.

252 In winter, a robust and coherent SAI-induced reduction in cloud cover is found over China (Fig.
253 9k). This reduction leads to a general increase in $SW^{cl\downarrow}$, causing the weak cooling south of the Yangtze
254 River valley. In other areas of China, however, the change in surface albedo is the primary factor
255 affecting the spatial pattern of temperature response under SAI forcing. The increased surface albedo
256 leads to strong cooling, especially over northwestern and central China. However, the decreased
257 surface albedo is found over the upper reaches of the Yellow River and the middle and upper reaches
258 of the Yangtze River in MIROC-ESM with magnitudes greater than 3%, which results in the abnormal
259 winter warming mentioned above (Fig. S1d). Taken together, the increased summer cloud cover and
260 winter surface albedo lead to strong cooling, while the decreased summer cloud cover and winter
261 surface albedo result in weak cooling, or even warming for the certain subregions and models, for
262 instance eastern China in MIROC-ESM-CHEM and the upper reaches of the Yellow River and the
263 middle and upper reaches of the Yangtze River in MIROC-ESM.

264 4.3 Physical processes responsible for SAI-induced temperature changes

265 Previous studies have illustrated that the SAI reduces the tropospheric temperature and
266 atmospheric water vapor amount on a global scale (Kashimura et al., 2017; Vioni et al., 2018). In
267 China, these reductions cause the decreased $LW^{cs\downarrow}$, contributing to the surface cooling primarily. We
268 further address the potential reasons for the $SW^{cs\downarrow}$ change by using the aforementioned decomposition
269 method. The atmospheric reflection of solar radiation increases after sulfate aerosols injection. In our
270 study, the effect of aerosols scattering on shortwave radiation is represented as SW_{SRM} , which can be
271 measured by the change in SAOD. As shown in Fig. 10, the latitudinal distributions of the calculated
272 (used in HadGEM2-ES) and prescribed (used in BNU-ESM, CNRM-ESM1 and the MIROC-based
273 models) SAOD changes caused by SAI in G4 display a coherent increase over China. The distribution
274 in CanESM2 is not shown because it is a constant field according to the experimental design. The
275 SAOD change in HadGEM2-ES is unavailable. Total aerosol optical depth is therefore considered as
276 a reasonable alternative variable for SAOD (e.g., Bellouin et al., 2011). The national-scale increased
277 SAOD results in a robust decrease in SW_{SRM} (Figs. 11a, d), contributing to the surface cooling with
278 magnitudes of 0.21–0.54°C in summer and 0.26–0.69°C in winter. Besides, the deficit in column-
279 integrated water vapor reduces the atmospheric absorption of solar radiation. The resultant increased
280 SW (SW_{WV}) counterbalance 37–81% and 11–48% of the reductions in SW_{SRM} over China in summer
281 and winter, respectively (Figs. 11b, e). This is the main reason why the SAI-induced winter cooling is
282 severer than the summer level.

283 As discussed in Sect. 4.2, the spatial patterns of summer and winter temperature changes over
284 China are mainly determined by the $SW^{cl\downarrow}$ and surface albedo, respectively. Generally, the SAI-induced
285 decrease in LH flux reduces the low cloud cover, resulting in the positive change in $SW^{cl\downarrow}$ (Figs. 11c,
286 f). Through this process, the significantly decreased LH over northeastern and southeastern China
287 causes the abnormal summer warming in MIROC-ESM-CHEM (Fig. S1c). However, in summer, the
288 effect of LH is partly offset by the SAI-induced moisture convergence at the troposphere in most
289 models. The resultant increased cloud cover enhances the surface cooling over northwestern and
290 central China (Fig. 11h). The change in surface albedo is closely related to land surface conditions.
291 The SAI-induced cooling can be amplified by increased snow cover or sea ice (e.g., Schmidt et al.,
292 2012). Considering surface albedo can be reasonably described as a linear function of snow cover

293 fraction (Qu and Hall, 2007; Li et al., 2016), we further investigate the spatial pattern of changes in
294 snow cover fraction, and find that matches with surface albedo over China (Figs. 11i, l; note that model
295 data are not available for HadGEM2-ES). Under SAI forcing, the increased snow cover mainly occurs
296 over the Tibetan Plateau in summer, and over northwestern and central China in winter. The enlarged
297 snow cover fraction gives rise to SW decrease at the surface, which in turn has a positive feedback on
298 surface cooling. Furthermore, the SAI-induced abnormal winter warming in MIROC-ESM is also
299 associated with the decreased snow cover over the upper reaches of the Yellow River and the middle
300 and upper reaches of the Yangtze River (Fig. S1e).

301 **5 Conclusions and discussion**

302 We analyze the surface air temperature response to SAI forcing over China based on the
303 simulations of the G4 experiment and RCP4.5 scenario by using six GCMs (BNU-ESM, CanESM2,
304 CNRM-ESM1, HadGEM2-ES, MIROC-ESM and MIROC-ESM-CHEM). We also discuss the
305 physical processes involved in the temperature response from a surface energy budget perspective. The
306 main conclusions are summarized as follows.

307 (1) All selected models can well reproduce the present climatological surface air temperature over
308 China in both summer and winter. Although the SAI in the G4 experiment leads to a surface cooling
309 over China, the climatological temperature in G4 is still higher than the present level. During the
310 simulation period of 2030–2069, SAI leads to a national-scale cooling over China in all models.
311 Regionally, the multi-model mean cooling is 0.64°C in summer and 0.80°C in winter, respectively. The
312 SAI-induced temperature change varies among models, regions and seasons.

313 (2) The decomposition of temperature change based on the surface energy budget indicates that
314 the SAI-induced surface cooling over China is dominated by the robust decrease in downward clear-
315 sky radiation fluxes (particularly in downward clear-sky longwave radiation flux), and associated with
316 the changes in cloud effective forcing and surface albedo feedback. The shortwave radiative effect of
317 clouds and the surface albedo feedback determine the spatial pattern of temperature change, which are
318 somewhat model-dependent and display a level of regional and seasonal discrepancies.

319 (3) Under SAI forcing, the decreased downward clear-sky longwave radiation is mainly due to
320 the decreased tropospheric temperature and water vapor amount, and the decreased downward clear-

321 sky shortwave radiation is mainly contributed by the aerosol scattering effect over China. The
322 decreased latent heat flux generally reduces the cloud cover over China, but the change in summer
323 cloud cover is closely associated with the anomalous tropospheric moisture flux convergence. The
324 negative surface albedo feedback related to increased snow cover fraction also amplifies the surface
325 cooling, especially over the Tibetan Plateau in summer, and over northwestern and central China in
326 winter. The results above are summarized schematically in Fig. 12.

327 Finally, note that equatorial stratospheric sulfate aerosol geoengineering can induce global
328 cooling through the transport of Brewer-Dobson circulation, and also leads to regional inequities in
329 the temperature response due to the complicated processes of aerosol microphysics and stratospheric
330 transport (Kravitz et al., 2019). This means that some areas will face more severe climatic disasters if
331 this kind of geoengineering is implemented. To solve this issue, certain SAI experiments based on the
332 injection at multiple locations have been proposed, such as the stratospheric aerosol geoengineering
333 large ensemble project (GLENS) using CESM1(WACCM) (Tilmes et al., 2018). In addition, the
334 uncertainty of the regional climate response to SAI is closely related to the reliability of the models
335 (Irvine et al., 2016). It has been indicated that the CMIP6 GCMs perform better in simulating the
336 temperature over China than their CMIP5 counterparts (Jiang et al., 2020). Therefore, the climate
337 response to SAI geoengineering over China based on state-of-the-art GCM experiments merits further
338 study.

339 *Code and data availability.* The dataset used in this study can be accessed with the following links:
340 <https://esgf-node.llnl.gov/search/cmip5/>.

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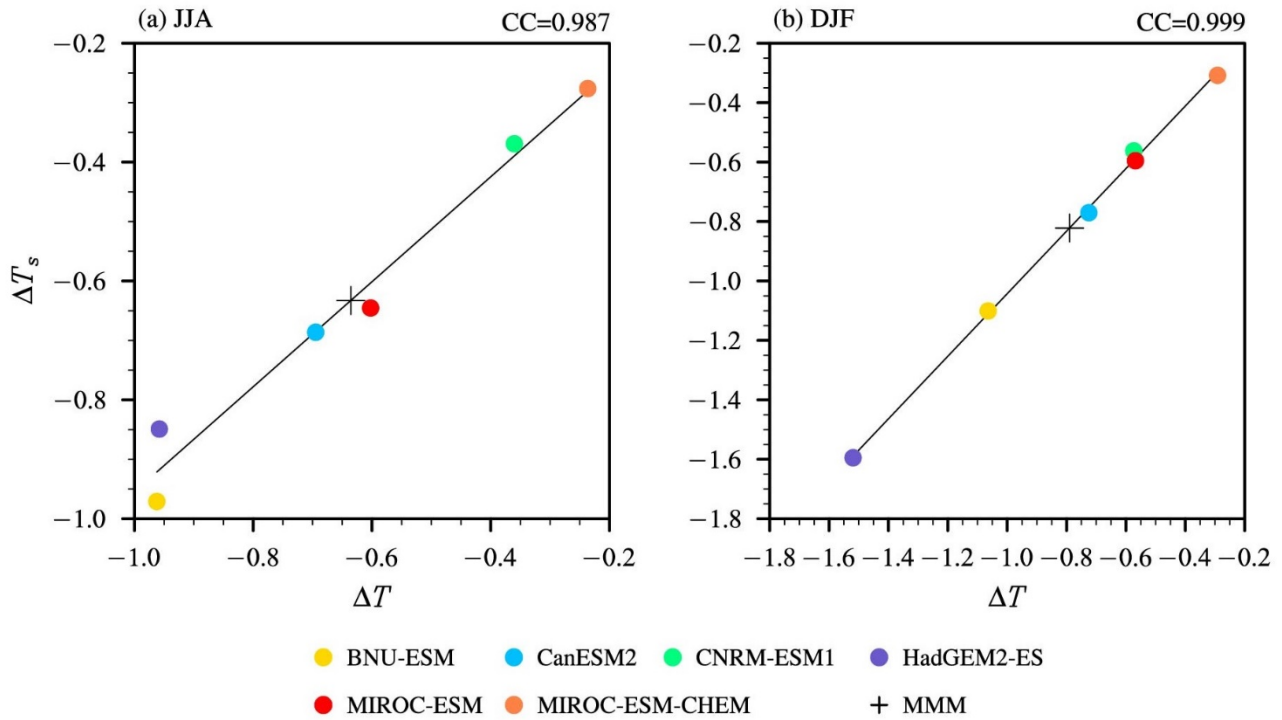
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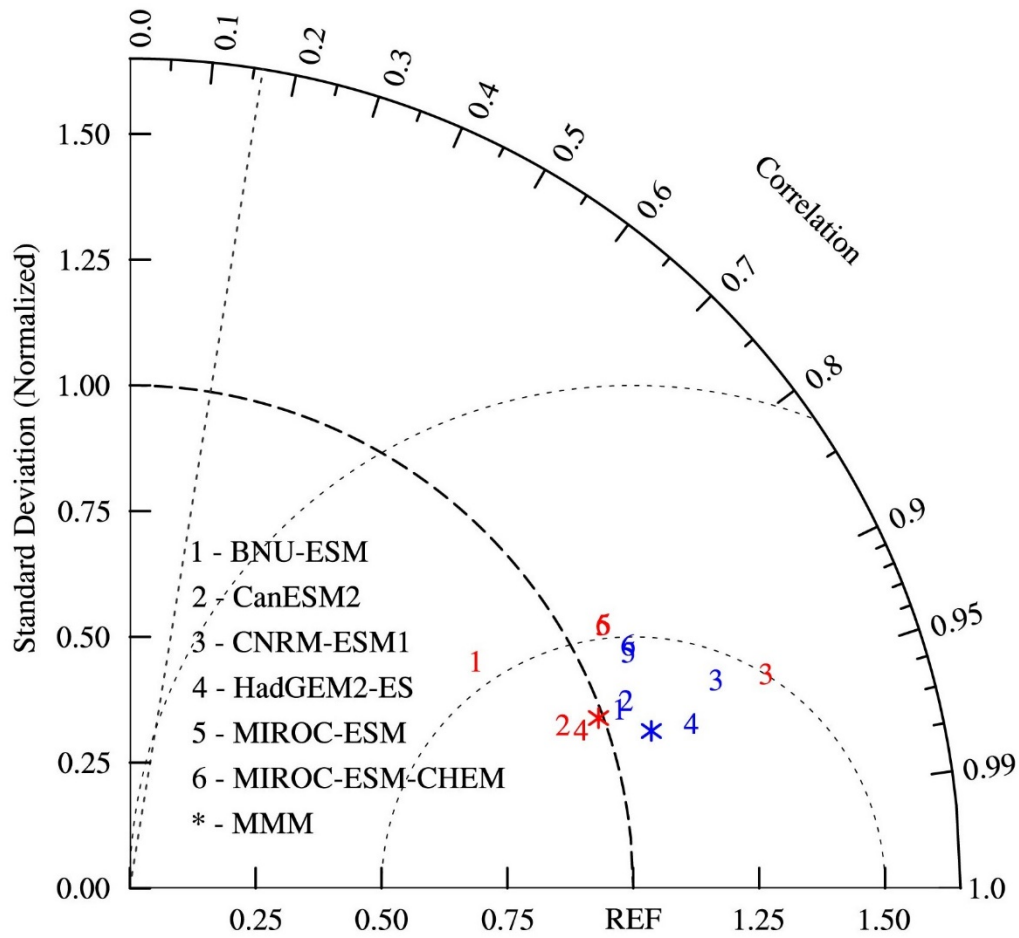
Table 1. Main features of climate models used in this study.

Model	Atmospheric resolution (longitude, latitude, and vertical levels)	Ensemble number	Stratospheric aerosol	Reference
BNU-ESM	$\sim 2.8^\circ \times \sim 2.8^\circ$, L26	1	Prescribed	Ji et al., 2014
CanESM2	$\sim 2.8^\circ \times \sim 2.8^\circ$, L35	3	Uniform	Arora et al., 2011
CNRM-ESM1	$\sim 1.4^\circ \times \sim 1.4^\circ$, L31	2	Prescribed	Séférian et al., 2016
HadGEM2-ES	$1.875^\circ \times 1.25^\circ$, L38	3	Generated from SO ₂ injection	Collins et al., 2011
MIROC-ESM	$\sim 2.8^\circ \times \sim 2.8^\circ$, L80	1	Prescribed	Watanabe et al., 2011
MIROC-ESM-CHEM	$\sim 2.8^\circ \times \sim 2.8^\circ$, L80	1	Prescribed	Watanabe et al., 2011



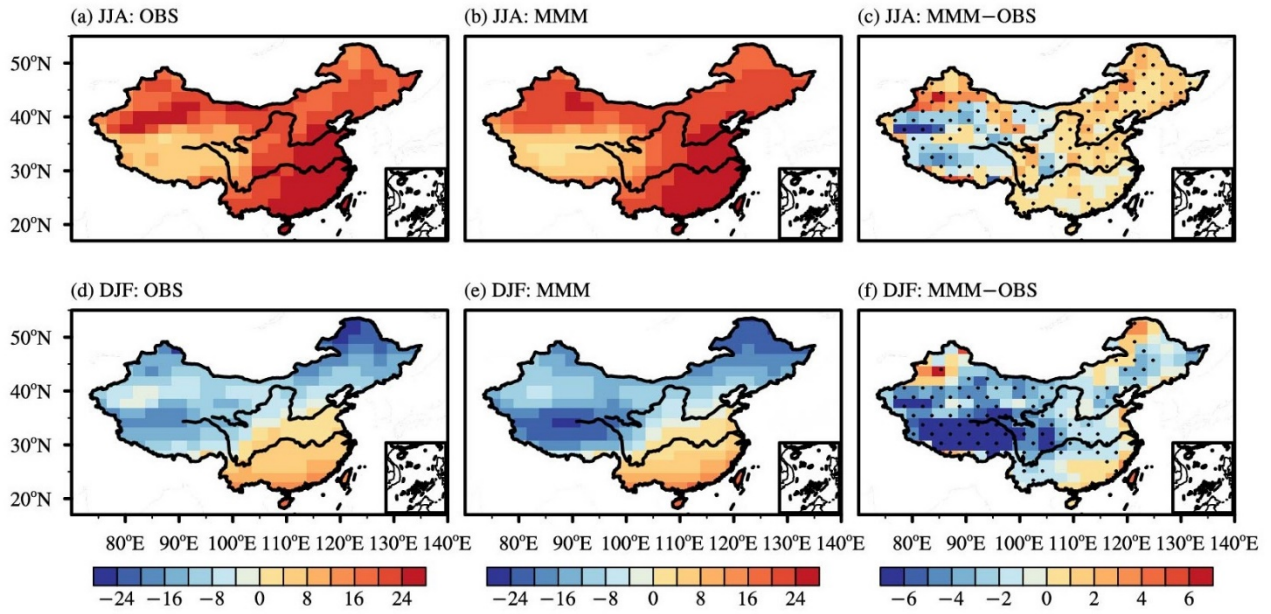
530

531 **Figure 1.** Scatter plots of relationship between changes in surface air temperature (T) and surface
 532 temperature (T_s) over China due to SAI forcing during the period of 2030–2069 in (a) summer (JJA)
 533 and (b) winter (DJF), and CC is their correlation coefficient. Scatters and cross represent individual
 534 models and their mean, respectively.



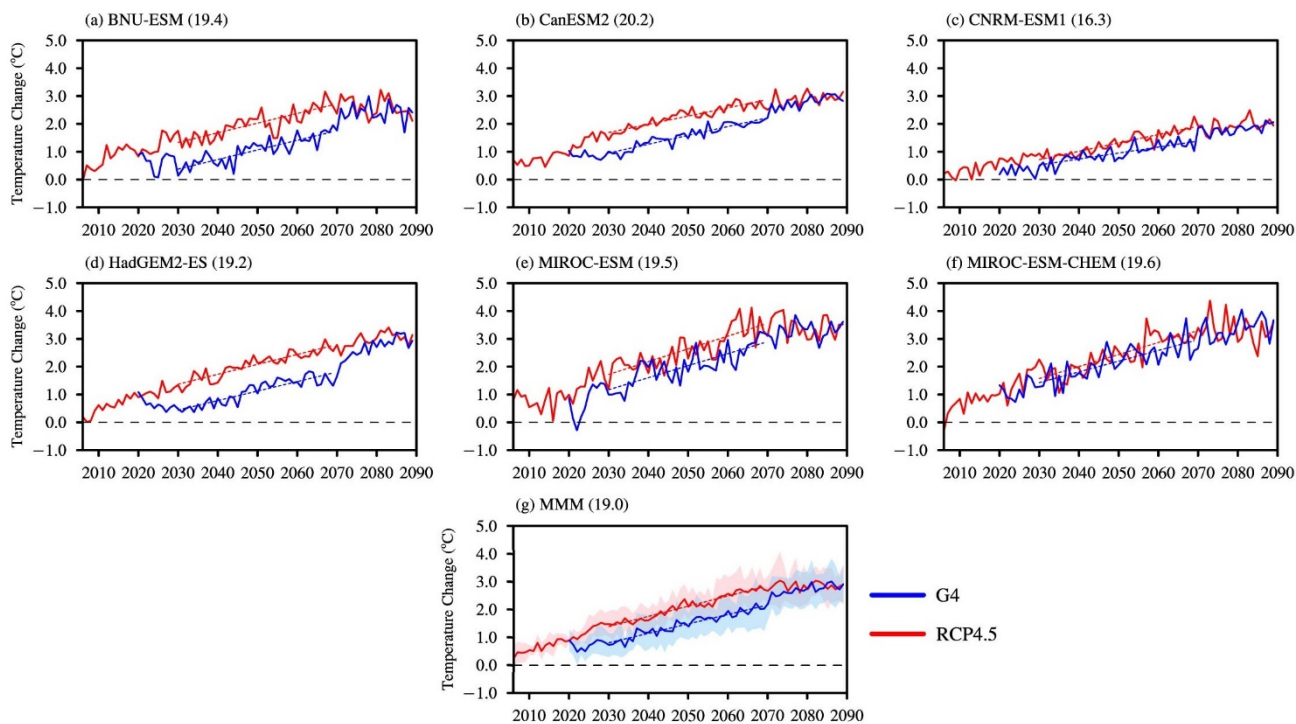
535

536 **Figure 2.** Taylor diagram of climatological summer and winter temperatures over China between the
 537 historical simulations in selected models and observation during the period of 1986–2005. Numbers
 538 represent individual models, and asterisks represent the multi-model mean. Red and blue represent
 539 summer and winter, respectively. The dotted straight line shows the 99% confidence level determined
 540 from the two-tailed Student’s *t*-test.



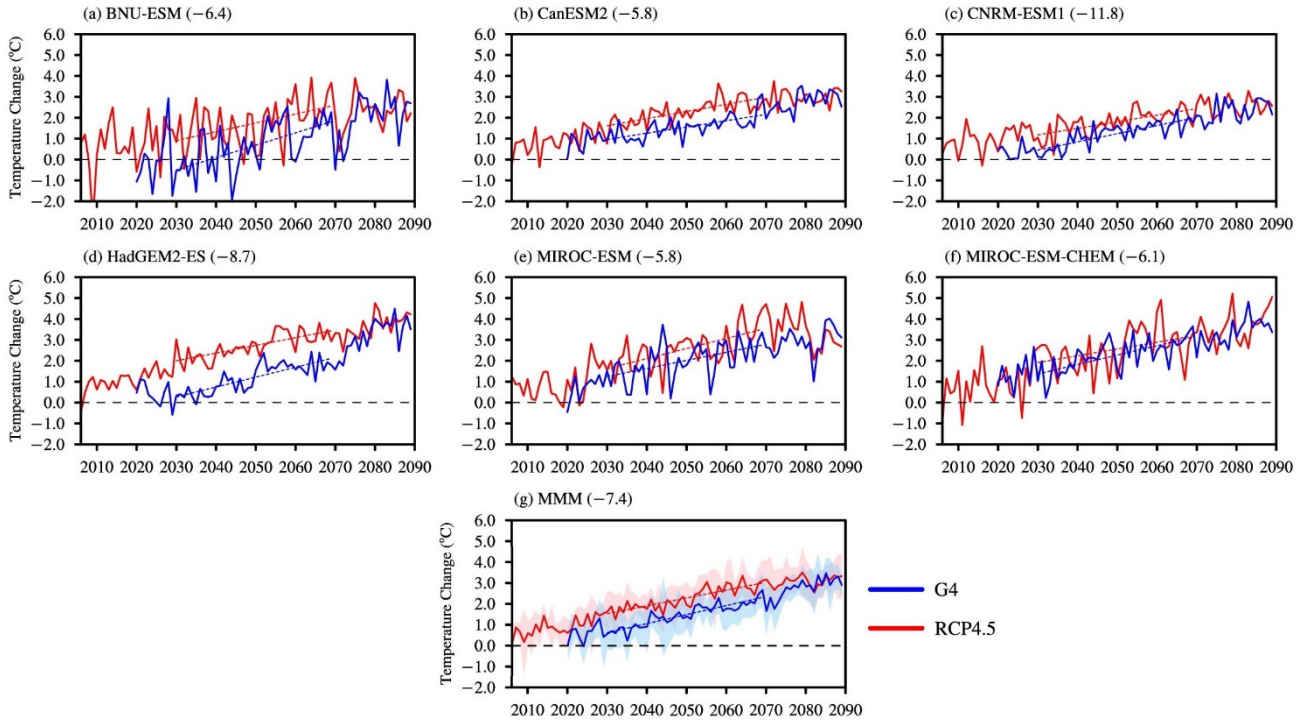
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542 **Figure 3.** Spatial patterns of surface air temperature climatology (units: °C) over China as obtained
 543 from observation (left column; OBS), the multi-model mean (middle column; MMM), and the
 544 difference between multi-model mean and observation (right column; MMM-OBS) during the period
 545 of 1986–2005 in summer (JJA) and winter (DJF). The dots in the right column indicate areas where at
 546 least two-thirds of models share the same sign of the bias.



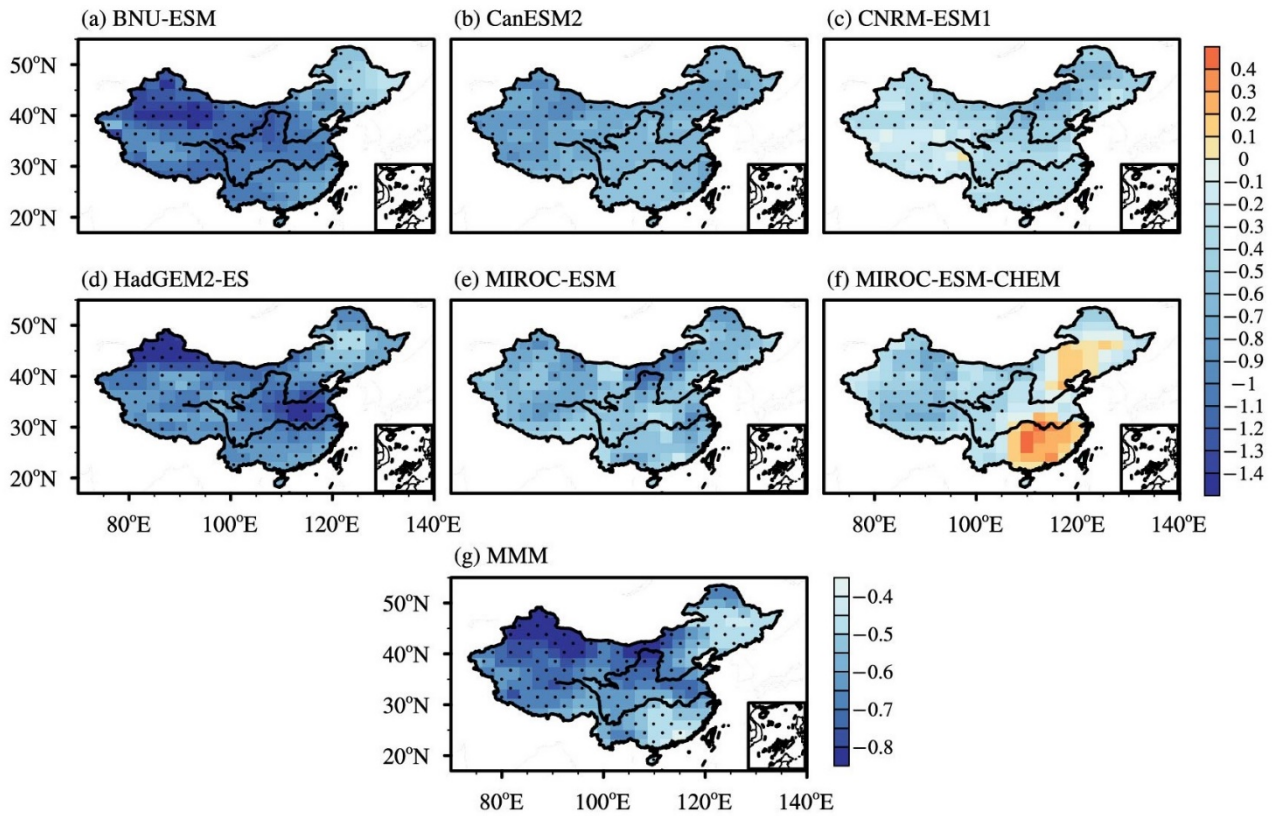
547

548 **Figure 4.** Time series of regionally averaged surface air temperature (units: °C) over China in the G4
 549 experiment (solid blue lines) and RCP4.5 scenario (solid red lines) in summer. The values are obtained
 550 by subtracting the present climatology (mean of 1986–2005; represented in parentheses) in the
 551 historical experiment. Red and blue dashed lines represent the linear trends of G4 and RCP4.5
 552 simulations during the period of 2030–2069, respectively. The multi-model mean (MMM) is
 553 represented at the bottom, with the shading indicating one inter-model standard deviation.



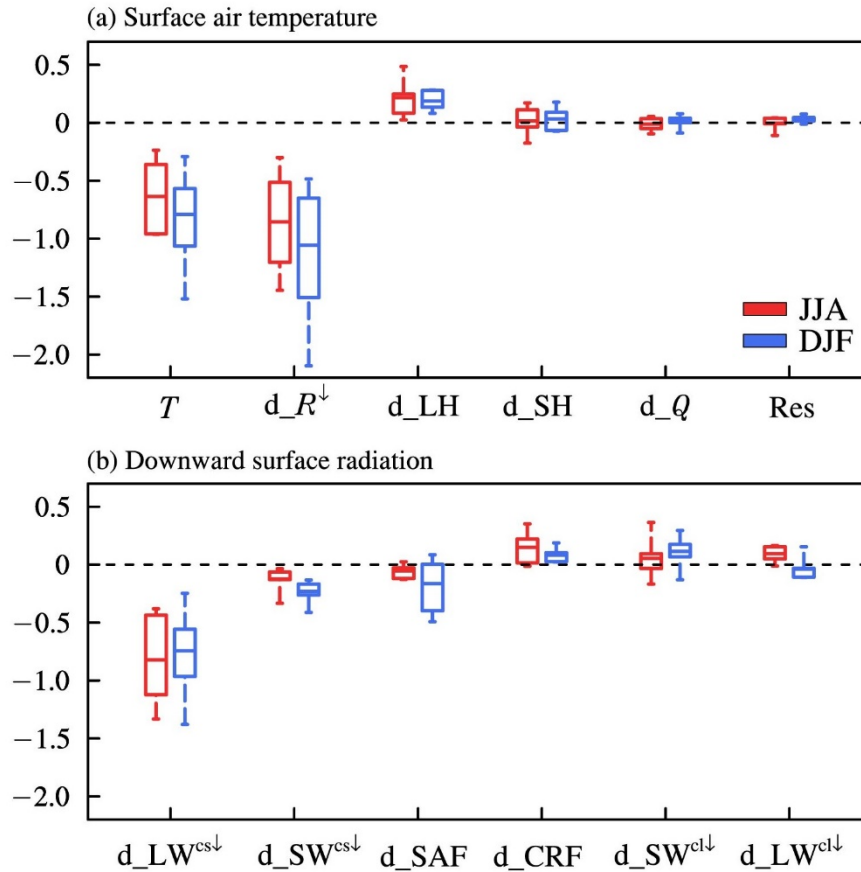
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555 **Figure 5.** Same as Figure 4, but in winter.



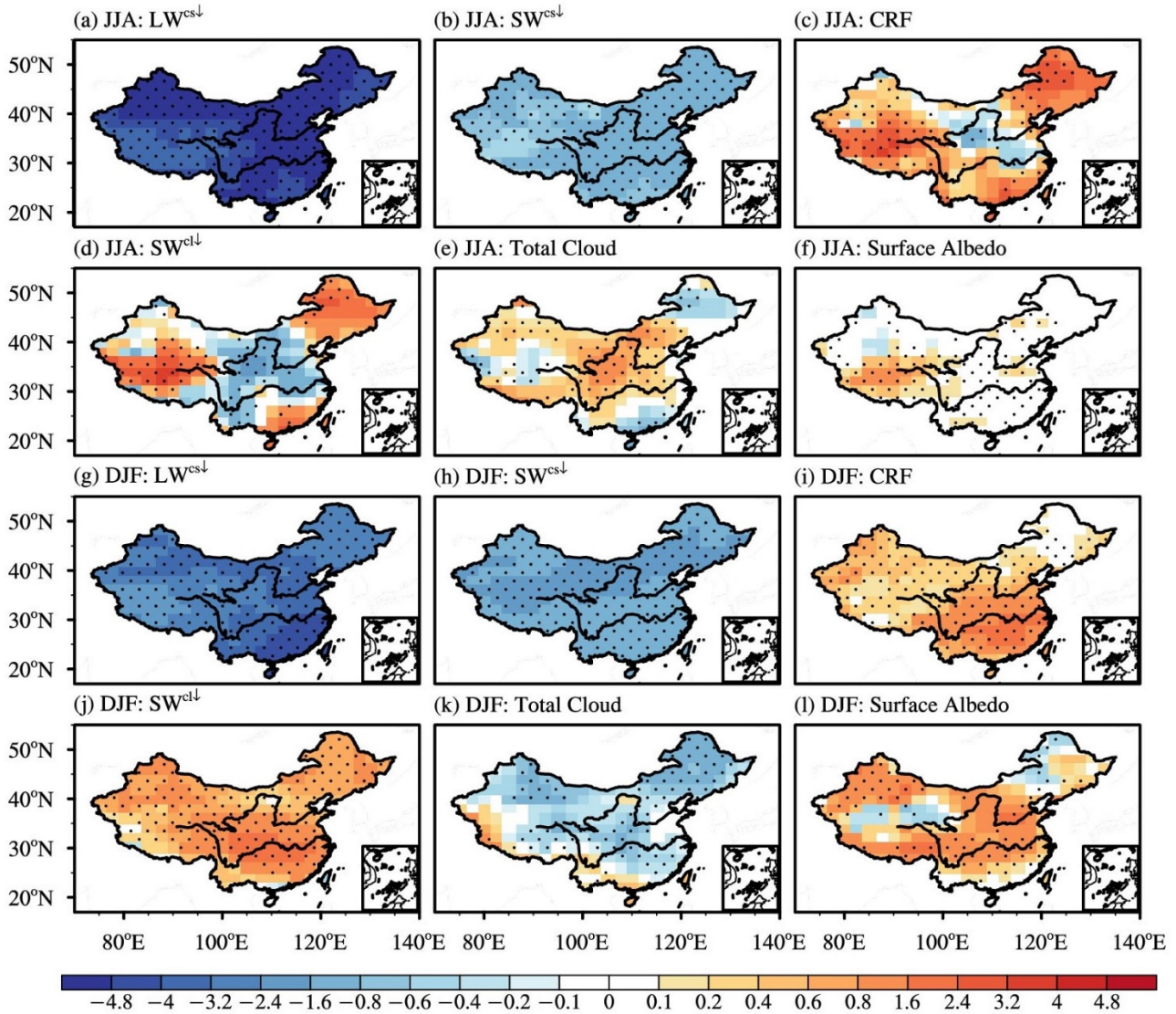
556

557 **Figure 6.** Spatial patterns of surface air temperature differences (units: °C) between G4 and RCP4.5
 558 over China during the period of 2030–2069 in summer for (a–f) individual models and (g) the multi-
 559 model mean. The dots in (a–f) indicate areas where are statistically significant at the 90% confidence
 560 level. The dots in (g) indicate areas where at least two-thirds of models share the same sign with the
 561 multi-model mean.



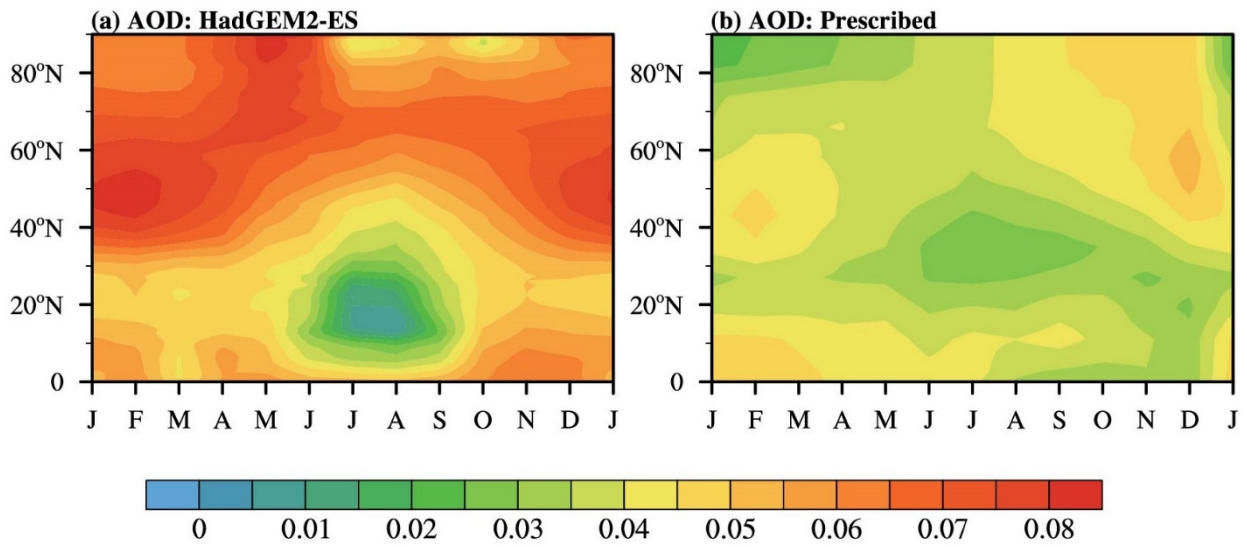
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565 **Figure 8.** Regionally averaged SAI-induced changes in surface air temperature (T) and relevant terms
 566 over China during the period of 2030–2069 (units: $^{\circ}\text{C}$). The terms include surface air temperature
 567 changes due to (a) downward net surface radiation change ($d_{R^{\downarrow}}$), surface latent (d_{LH}) and sensible
 568 (d_{SH}) heat flux changes, heat storage change (d_Q), residual term change (Res), (b) downward clear-
 569 sky surface longwave ($d_{LW^{cs\downarrow}}$) and shortwave ($d_{SW^{cs\downarrow}}$) radiation changes, surface albedo feedback
 570 change (d_{SAF}) and surface cloud radiative forcing change (d_{CRF} ; including shortwave ($d_{SW^{cl\downarrow}}$)
 571 and longwave ($d_{LW^{cl\downarrow}}$) forcing changes). The error bars represent minimum and maximum values,
 572 and the boxes represent interquartile ranges among models. The middle lines present multi-model
 573 means. The red and blue bars represent values in summer and winter, respectively.



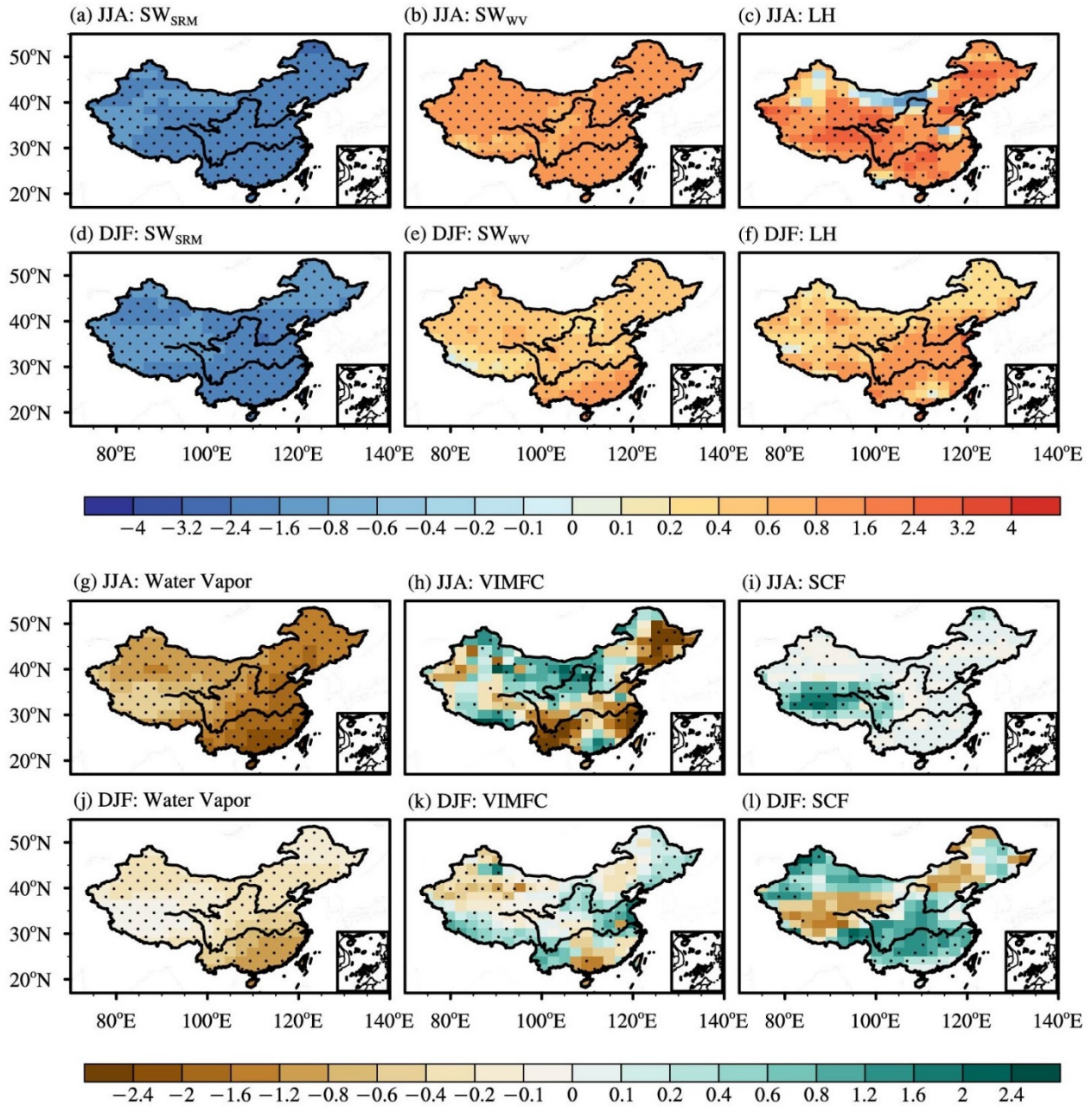
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575 **Figure 9.** Spatial patterns of differences between G4 and RCP4.5 over China for the multi-model mean
 576 in summer (JJA) and winter (DJF): (a, g) downward clear-sky surface longwave radiation ($LW^{cs\downarrow}$); (b,
 577 h) downward clear-sky surface shortwave radiation ($SW^{cs\downarrow}$); (c, i) surface cloud radiative forcing; (d,
 578 j) downward shortwave radiative effect of clouds ($SW^{cl\downarrow}$); (e, k) total cloud cover (units: %); (f, l)
 579 surface albedo (units: %) during the period of 2030–2069. Flux is in $W\ m^{-2}$. The dots indicate areas
 580 where at least two-thirds of models share the same sign with the multi-model mean.



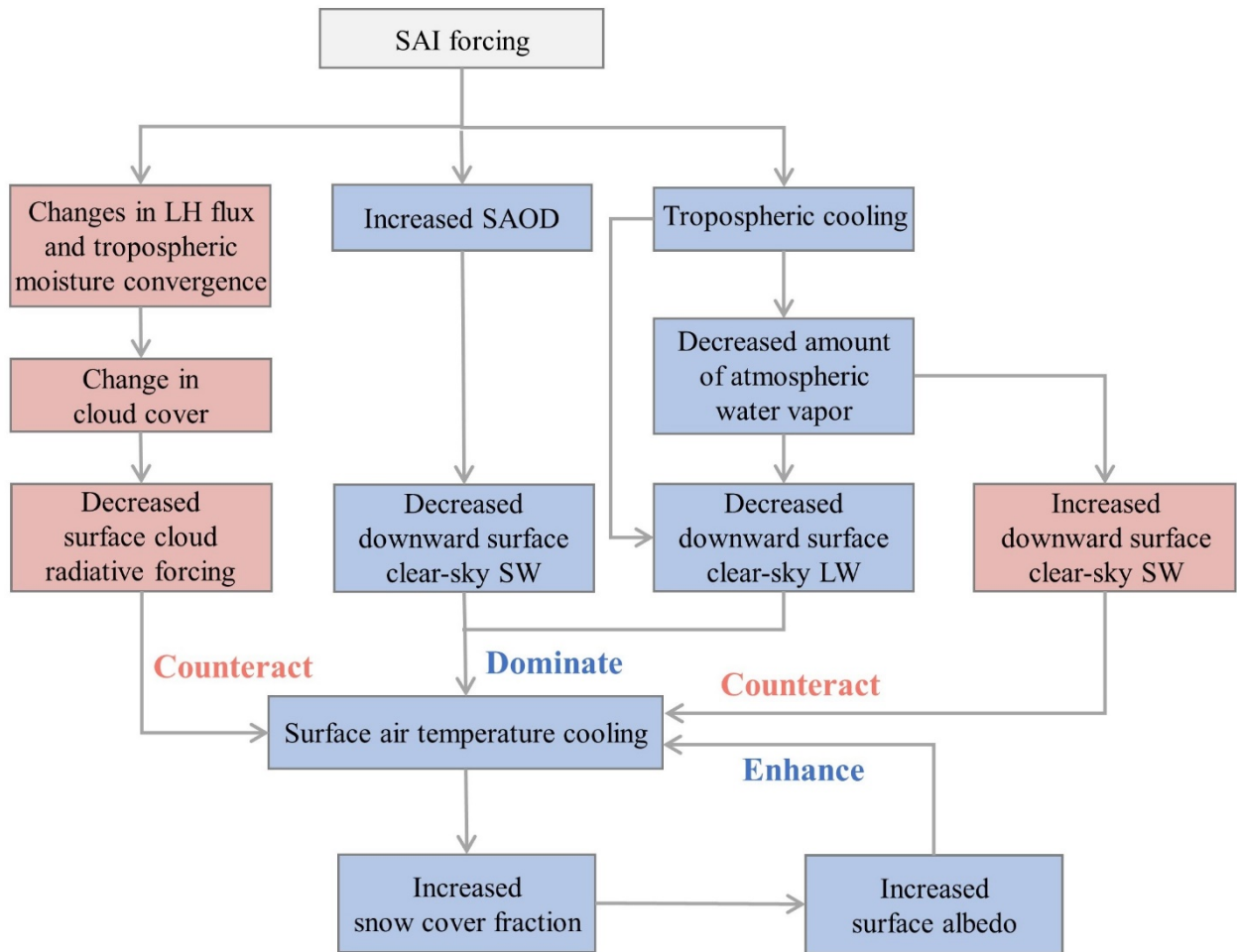
581

582 **Figure 10.** Latitudinal distributions of the calculated (a, for HadGEM2-ES) and prescribed (b, for
 583 BNU-ESM, CNRM-ESM1, and the MIROC-based models) changes in SAOD at 550 nm caused by
 584 SAI in G4 experiment over the Northern Hemisphere during the period of 2030–2069.



585

586 **Figure 11.** Same as Figure 9, but for the shortwave radiative effects of (a, d) solar radiation scattering
 587 change (SW_{SRM}) and (b, e) atmospheric water vapor amount change (SW_{WV}), (c, f) latent heat flux
 588 (LH), (g, j) column-integrated water vapor (units: kg m^{-2}), (h, k) vertically integrated moisture flux
 589 convergence (VIMFC; units: 0.1 mm d^{-1}), and (i, l) snow cover fraction (SCF; units: %). Flux is in W
 590 m^{-2} and defined positive downward.



591

592 **Figure 12.** Schematic diagram illustrating how the relevant physical processes impact the downward

593 surface radiation changes over China in response to the SAI forcing in the G4 experiment.