

1 **Impact of stratospheric aerosol intervention geoengineering on**  
2 **surface air temperature in China: A surface energy budget**  
3 **perspective**

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11 **Abstract.** Stratospheric aerosol intervention (SAI) geoengineering is a proposed scheme to counteract  
12 anthropogenic global warming, but the climate response to SAI, with great regional disparities, remains  
13 uncertain. In this study, we use Geoengineering Model Intercomparison Project G4 experiment  
14 simulations from six models that counteract anthropogenic forcing under medium-low emissions  
15 (RCP4.5) by injecting a certain amount of SO<sub>2</sub> into the stratosphere every year, to investigate the  
16 surface air temperature response to SAI geoengineering over China. We have found that SAI leads to  
17 surface cooling over China during the last 40 years of injection simulation (2030–2069), which varies  
18 among models, regions and seasons. Decreased tropospheric temperature and water vapor and  
19 increased stratospheric aerosols induce robust decreases in downward clear-sky longwave and  
20 shortwave radiation fluxes at the surface respectively, dominating the temperature change over China.  
21 Changes in cloud effective forcing and surface albedo feedback also relate to the temperature response,  
22 but with large spatial and seasonal variations. We find that the increased summer cloud cover and  
23 winter surface albedo lead to strong cooling, while the decreased summer cloud cover and winter  
24 surface albedo lead to weak cooling or even insignificant warming for the certain subregions and  
25 models. Our results suggest that cloud and land surface processes in models dominate the spatial  
26 pattern of SAI-induced surface air temperature change over China.

## 27 **1 Introduction**

28 The increasing anthropogenic greenhouse gas (GHG) concentrations since the industrial  
29 revolution have led to global warming. Although the international community has realized the risk of  
30 global warming and attempted to reduce GHG emissions, global GHG emissions still show a  
31 continuous increase (United Nations Environment Programme, 2020). The “2°C global temperature  
32 target” in the Paris Agreements will be unachievable if the current increasing emission trend persists  
33 (e.g., Robiou du Pont and Meinshausen 2018). Solar radiation modification (SRM), which refers to a  
34 range of measures adjusting the Earth’s radiative balance, is considered as an option to counteract  
35 anthropogenic global warming. Various specific techniques have been proposed to perform SRM  
36 geoengineering, such as injecting sulfate aerosols into the stratosphere (Budyko, 1977), placing shields  
37 or deflectors in space (Seifritz, 1989), brightening marine clouds (Latham, 1990), and thinning cirrus  
38 clouds (Mitchell and Finnegan, 2009). The method of injecting sulfate aerosols or their precursors into

39 the stratosphere, also known as stratospheric aerosol intervention (SAI) geoengineering, is designed to  
40 cool the surface by using these aerosols to reflect and scatter solar radiation (Crutzen, 2006; Wigley,  
41 2006). As a proposed scheme, SAI has attracted great attention recently due to its assumed  
42 technological feasibility (e.g., Irvine et al., 2016).

43 SRM geoengineering has not been implemented in reality because of its potential risks and  
44 immature technology. The primary means of recognizing the climate response to geoengineering is  
45 simulating via general circulation models (GCMs). However, the results from early simulations could  
46 not be proved robust due to the differences in experimental schemes. The Geoengineering Model  
47 Intercomparison Project (GeoMIP) has been proposed to address that issue (Kravitz et al., 2011; 2015).  
48 To date, the GeoMIP has designed 12 experiments, including solar dimming, stratospheric aerosol  
49 intervention, marine cloud brightening, and cirrus thinning geoengineering in Coupled Model  
50 Intercomparison Project Phases 5 and 6 (CMIP5 and CMIP6). The GeoMIP provides detailed  
51 guidelines for each model and experiment and calls for all the modeling groups worldwide to become  
52 involved and share their simulations. A total of 19 GCMs have participated in the GeoMIP to date.  
53 More detailed information is accessible from the GeoMIP website  
54 (<http://climate.envsci.rutgers.edu/GeoMIP/>).

55 Previous studies have indicated that SRM geoengineering could counteract or even reverse  
56 anthropogenic global warming and reduce sea ice melting and thermosteric sea-level rise, as well as  
57 decrease the frequency and intensity of extreme temperature and precipitation events (Rasch et al.,  
58 2008; Robock et al., 2015; Irvine et al., 2016; Ji et al., 2018; Jones et al., 2018). It might also come  
59 with risks. For instance, SRM geoengineering would reduce the global mean precipitation and  
60 monsoon precipitation and slow the hydrological cycle if it is used to offset the GHG-induced global  
61 warming (Bala et al., 2008; Tilmes et al., 2013; Sun et al., 2020). SRM would not mitigate the  
62 continued ocean acidification caused by CO<sub>2</sub> emissions (Caldeira et al., 2013). The sudden termination  
63 of geoengineering would lead to a more rapid increase in temperature than the non-geoengineered case  
64 (Matthews and Caldeira, 2007; Jones et al., 2013). The severity of the termination effect depends on  
65 the magnitude of geoengineering deployment. Moreover, the SAI-induced heterogeneous chemistry  
66 and stratospheric circulation changes might cause stratospheric ozone depletion and thus increase  
67 ultraviolet radiation (UV) at the surface (Tilmes et al., 2008, 2022; VisioniEastham et al., 202118).

68 The An appropriate SRM geoengineering strategy might lead to global cooling and benefit most

69 regions (Irvine et al., 2019). However, it was still a concern that some regions might face greater  
70 climatic impacts or risks under SRM forcing (Ricke et al., 2013; Kravitz et al., 2014). For example,  
71 Robock et al. (2008) indicated that the weakening of the Asian and African summer monsoons caused  
72 by the injected stratospheric aerosols over the Arctic would decrease cloudiness and in turn warm the  
73 surface over northern Africa and India. In addition to the effect of cloudiness, changes in atmospheric  
74 moisture and surface conditions caused by SAI also impact surface air temperature (Kashimura et al.,  
75 2017). As the largest developing country in the world, China plays an important role in combating  
76 climate change. China’s attitude to SAI is crucial to the international geoengineering research  
77 community. Considering the combined effect of the Tibetan Plateau and the East Asian monsoon, the  
78 climate over China would be strongly influenced by SAI. Large volcanic eruptions, which inject  
79 massive volcanic aerosols into the stratosphere, are considered a natural analog to SAI geoengineering  
80 (Trenberth and Dai, 2007). The 1815 Mt. Tambora eruption led to the “year without a summer” over  
81 China (e.g., Raible et al., 2016). But the volcanic eruption is not a perfect analog. This is because the  
82 sulfate aerosols from massive volcanic eruptions only last for 2–3 years, while the SAI-induced  
83 aerosols are continuously replenished for decades or centuries (Duan et al., 2019). So far, few studies  
84 have studied the temperature response to SAI geoengineering over China explicitly (Cao et al., 2015).

85 In this study, we investigate the impact of the SAI geoengineering on the surface air temperature  
86 over China and the underlying physical processes from a surface energy perspective. Section 2  
87 provides a brief introduction to the experiments, model data, and decomposition method of surface air  
88 temperature change. Section 3 evaluates the ability of models to reproduce the climatological  
89 temperature over China in summer and winter. Section 4 presents the summer and winter temperature  
90 changes and associated reasons over China in response to SAI geoengineering, and we also analyze  
91 the physical processes responsible for the SAI-induced temperature changes over China. Conclusions  
92 and discussion are presented in Sect. 5.

## 93 **2 Experiments, data, and methods**

### 94 **2.1 Experiments**

95 We use the G4 experiment from the first phase of the GeoMIP (Kravitz et al., 2011). As a SAI-

96 based geoengineering experiment, G4 is designed to inject SO<sub>2</sub> into the low-level equatorial  
97 stratosphere at a consistent rate of 5 Tg per year under the background scenario of Representative  
98 Concentration Pathway 4.5 (RCP4.5) (Taylor et al., 2012). This injection rate is equivalent to a case in  
99 which the 1991 Mt. Pinatubo eruption occurred every four years (Bluth et al., 1992). The injection  
100 period is from 2020 to 2069, and then the experiment continues to run until 2089 to examine the  
101 termination effect (Jones et al., 2013). The RCP4.5 simulation for the same period is used as a baseline  
102 (non-geoengineered) state. In addition, the historical simulation for 1986–2005 is applied to evaluate  
103 the ability of the selected models to reproduce the climatology of surface air temperature over China.

## 104 **2.2 Data**

105 A total of 12 GCMs participated in the G4 experiment (Kravitz et al., 2013a). However, some  
106 models should not be considered in this study due to their known issues. For instance, CSIRO-Mk3L-  
107 1-2 runs G4 by directly reducing solar irradiance rather than injecting stratospheric aerosols; GISS-  
108 E2-R shows an inconsistency between G4/RCP4.5 and historical experiments; IPSL-CM5A-LR and  
109 NorESM1-M have errors in the longwave treatment of the sulfate aerosol; GEOSCCM and ULAQ use  
110 prescribed sea surface temperatures. Simulations from the other six models are applied for analyses.  
111 Monthly datasets are used and calculated as the averages in summer (June–July–August, JJA) and  
112 winter (December–January–February, DJF). The CN05.1 observation dataset (Wu and Gao, 2013) is  
113 used to evaluate the ability of models to reproduce the climatology of temperature over China. All the  
114 observations and model outputs are interpolated to a common grid with a mid-range horizontal  
115 resolution (2.5° longitude by 2° latitude).

116 A brief description of the selected models is illustrated in Table 1. In addition to differences in the  
117 physical and chemical modules related to sulfate aerosol particles, the models have different SO<sub>2</sub>  
118 injection treatments. For HadGEM2-ES, the CLASSIC aerosol module (Bellouin et al., 2011) used in  
119 the stratosphere makes it possible to handle the injections of SO<sub>2</sub>, allowing HadGEM2-ES to ~~finish~~  
120 perform a complete simulation including the generation and transportation of stratospheric sulfate  
121 aerosols. The injection point is located on the equator (0° longitude), and the injection altitude ranges  
122 from 16 to 25 km. For CanESM2, the stratospheric aerosol optical depth (SAOD) caused by SAI is  
123 prescribed as a consistent value. For other models (BNU-ESM, CNRM-ESM1, MIROC-ESM and  
124 MIROC-ESM-CHEM), the prescribed distribution of SAOD, according to Sato (2006), is used to drive

125 the G4 experiment. Besides, MIROC-ESM-CHEM calculates the surface density of sulfate aerosols  
 126 by using the CHASER atmospheric chemistry module (Sudo et al., 2002; Kravitz et al., 2013a).

### 127 **2.3 Decomposition method for SAI-induced surface air temperature change**

128 Surface air temperature is a widely used variable in climate studies. Change in surface air  
 129 temperature is associated with three components: surface vertical energy fluxes (including radiative  
 130 and heat fluxes), horizontal temperature advection, and adiabatic warming or cooling (Gong et al.,  
 131 2017). In this study, the SAI-induced changes in surface temperature and surface air temperature are  
 132 strongly coupled in China during 2030–2069 (the correlation coefficients are higher than 0.98 and 0.99  
 133 in summer and winter, respectively; Fig. 1). Thus, the surface vertical energy fluxes are considered to  
 134 be the main factor affecting temperature change under SAI forcing.

135 According to the decomposition method based on the surface energy budget proposed by Lu and  
 136 Cai (2009), the surface air temperature change caused by SAI can be written as:

$$137 \quad \Delta T = \frac{\Delta R^\downarrow + \Delta LH + \Delta SH + \Delta Q}{4\sigma \bar{T}_s^3} + \text{Res} \quad (1)$$

138 where  $\Delta$  represents the difference between G4 and RCP4.5, the overbar represents the climatological  
 139 value of RCP4.5,  $R^\downarrow$  is the downward net radiation at the surface, LH and SH are surface sensible and  
 140 latent heat fluxes respectively,  $Q$  is surface heat storage,  $T_s$  is surface temperature, and  $\sigma$  is the Stefan-  
 141 Boltzmann constant. Res represents the difference between changes in surface air temperature and  
 142 surface temperature. In order to quantitatively separate the radiative effects of clouds and surface  
 143 albedo, the  $\Delta R^\downarrow$  can be decomposed as follow:

$$144 \quad \Delta R^\downarrow = \Delta LW^{cs\downarrow} + (1 - \bar{\alpha}) \Delta SW^{cs\downarrow} + \Delta SAF + \Delta CRF \quad (2)$$

$$145 \quad \Delta SAF = -(\Delta SW^{as\downarrow} + \overline{SW^{as\downarrow}}) \Delta \alpha \quad (3)$$

$$146 \quad \Delta CRF = (1 - \bar{\alpha}) \Delta SW^{cl\downarrow} + \Delta LW^{cl\downarrow} \quad (4)$$

147 In Eqs. (2)–(4),  $SW^{as\downarrow}$  represents downward surface shortwave radiation in all-sky conditions,  
 148  $SW^{cs\downarrow}$  and  $LW^{cs\downarrow}$  represent downward surface shortwave and longwave radiations in clear-sky  
 149 conditions respectively,  $SW^{cl\downarrow}$  and  $LW^{cl\downarrow}$  represent downward shortwave and longwave radiative  
 150 effects of clouds (all-sky radiations minus clear-sky radiations) respectively, and  $\alpha$  represents surface

151 albedo (the ratio of solar radiation reflected to the atmosphere at the surface). SAF is surface albedo  
 152 feedback, and CRF is cloud radiative forcing. Under SAI forcing, both the changes in atmospheric  
 153 reflection and atmospheric absorption affect the  $SW^{cs\downarrow}$ . We assume that the clear-sky atmospheric  
 154 reflection change is only affected by atmospheric water vapor amount, and the clear-sky atmospheric  
 155 absorption change is only affected by the aerosol scattering effect. As detailed by Kashimura et al.  
 156 (2017), the change in  $SW^{cs\downarrow}$  can be further decomposed as:

$$157 \quad \Delta SW^{cs\downarrow} \approx \Delta SW_{SRM} + \Delta SW_{WV} \quad (5)$$

$$158 \quad \Delta SW_{SRM} = SW^{cs\downarrow}(F_{G4}^{cs}, A_{RCP}^{cs}) - \overline{SW^{cs\downarrow}} \quad (6)$$

$$159 \quad \Delta SW_{WV} = SW^{cs\downarrow}(F_{RCP}^{cs}, A_{G4}^{cs}) - \overline{SW^{cs\downarrow}} \quad (7)$$

160 where  $F$  is the fraction of solar radiation reflected by the atmosphere, and  $A$  is the fraction of absorption  
 161 during solar radiation passing through the atmosphere.  $SW_{SRM}$  and  $SW_{WV}$  represent the effects of solar  
 162 radiation scattering and atmospheric water vapor amount, respectively. Although the  $SW^{cs\downarrow}$  change is  
 163 not precisely equal to the sum of changes in  $SW_{SRM}$  and  $SW_{WV}$  due to the assumption of a single-layer  
 164 model (Donohoe and Battisti, 2011), this method is effective when analyzing the surface shortwave  
 165 radiation change in response to SAI (Kashimura et al., 2017).

### 166 **3 Evaluation of the models**

167 The ability of the models to reproduce the surface air temperature over China is evaluated first.  
 168 As shown in Fig. 2, the spatial correlation coefficient (SCC), standard deviation (SD), and centered  
 169 root-mean-square error (CRMSE) between the observation and the historical simulation for  
 170 climatological temperature over China during 1986–2005 are calculated and illustrated in a Taylor  
 171 diagram (Taylor, 2001). The SCCs of the models range from 0.85 to 0.95 (0.94 in multi-model mean)  
 172 in summer and from 0.91 to 0.96 (0.96 in multi-model mean) in winter. All the SCCs are statistically  
 173 significant at the 99% level, meaning that the simulated temperature is in good agreement with the  
 174 observed temperature. The normalized SDs range from 0.81 to 1.33 in summer (0.99 in multi-model  
 175 mean) and from 1.03 to 1.23 (1.08 in multi-model mean) in winter. This result indicates that all  
 176 selected models overestimate the spatial variability of the winter temperature in China. The CRMSEs  
 177 are 0.34–0.53 (0.35 in multi-model mean) for summer and 0.32–0.46 (0.31 in multi-model mean) for

178 winter. Taken together, the simulations of summer and winter temperatures by selected models are  
179 reliable over China. The multi-model mean results outperform most individual models for the  
180 temperature climatology over China both in summer and winter, which is consistent with previous  
181 findings (e.g., Jiang et al., 2016).

182 The observed spatial patterns of summer and winter temperature climatology over China show  
183 a general decrease from south to north, and the lowest values mainly occur in the Tibetan Plateau  
184 (Figs. 3a, d). These features can be well reproduced by all models and their mean (Figs. 3b, e).  
185 Compared to the observation, the simulated temperature is generally overestimated in summer but  
186 underestimated in winter over China according to the regionally averaged values. In summer, warm  
187 biases occur in most of eastern China, especially in northeastern China (Fig. 3c). In winter, however,  
188 the underestimation of temperature exists at the national scale, with a regionally averaged cold bias  
189 of 1.79°C in multi-model mean (Fig. 3f). Substantial cold biases occur over the Tarim Basin and the  
190 Tibetan Plateau, which are associated with regional topography. Most of the above biases are  
191 consistent among individual models, with the averaged model consistency of 76% over China in both  
192 summer and winter.

## 193 **4 Results**

### 194 **4.1 Changes in surface air temperature over China**

195 Figures 4 and 5 show the temporal evolution of surface air temperature changes in the G4  
196 experiment and RCP4.5 scenario relative to the present climatology (1986–2005) over China. Both the  
197 summer and winter temperatures in G4 increase over time, although they are colder than those in  
198 RCP4.5. Positive values occur throughout the whole G4 simulation period, excluding several years in  
199 winter. This indicates that although the injection of 5 Tg SO<sub>2</sub> per year leads to a surface cooling over  
200 China, the climatological temperature in G4 is still higher than the present level. Considering that the  
201 feedback response timescale of diffusive ocean heat uptake in climate models is approximately ten  
202 years (Jarvis, 2011), the simulation representing the last 40 years of injection (2030–2069) is used to  
203 examine the temperature response to SAI over China, as done by Kravitz et al. (2013b) and Tilmes et  
204 al. (2013). During this period, the warming trends over all of China in G4 among models are 0.21–



205 0.43°C decade<sup>-1</sup> in summer and 0.30–0.59°C decade<sup>-1</sup> in winter. It can be seen that the warming trend  
206 difference between G4 and RCP4.5 is small, and this is expected because of the similar trend of  
207 radiative forcing variation in the two experiments during 2030–2069. The regionally averaged  
208 temperature over China is decreased by 0.24–0.96°C (0.64°C in the multi-model mean) in summer and  
209 0.30–1.52°C (0.80°C in the multi-model mean) in winter due to SAI forcing. Although the magnitude  
210 of SAI-induced temperature change varies across models and seasons, the cooling response is  
211 consistent among models over China. The winter cooling is stronger than the summer level in all  
212 models. Additionally, the result shows the strongest SAI-induced cooling occurs in HadGEM2-ES in  
213 both summer and winter.

214 The spatial pattern of the temperature difference between G4 and RCP4.5 over China is illustrated  
215 in Figs. 6 and 7. The multi-model results show a robust and coherent cooling in both summer and  
216 winter. Strong cooling with magnitudes greater than 0.8°C mainly occurs over high-latitude regions,  
217 including northwestern and central China. For the individual models, the SAI-induced temperature  
218 changes are negative and significant almost everywhere over China except for in MIROC-ESM and  
219 MIROC-ESM-CHEM. SAI leads to the temperature increases over the upper reaches of the Yellow  
220 River and the middle and upper reaches of the Yangtze River in MIROC-ESM in winter, and over  
221 northeastern and southeastern China in MIROC-ESM-CHEM in summer, respectively (Figs. 6f and  
222 7e). These increases are weak and insignificant. The physical processes responsible for SAI-induced  
223 cooling or warming will be discussed in the subsequent sections.

## 224 **4.2 Decomposition of SAI-induced temperature change**

225 We decompose the SAI-induced change in surface air temperature over China by utilizing Eqs.  
226 (1)–(4). The regionally averaged value of each term is illustrated in Fig. 8. It can be seen that SAI  
227 decreases downward net surface radiation fluxes, leading to a surface cooling of 0.30–1.45°C in  
228 summer and 0.48–2.10°C in winter over China. These decreases are partly compensated by decreased  
229 nonradiative fluxes, especially the decreased LH. The contributions of SH,  $Q$ , and Res are relatively  
230 small (Fig. 8a). The decomposition of downward surface radiation shows the decreases in  $SW^{cs\downarrow}$  and  
231  $LW^{cs\downarrow}$  in all models. The reduced  $LW^{cs\downarrow}$  dominates the deficient downward net surface radiation and  
232 decreases the temperature with magnitudes of 0.38–1.33°C in summer and 0.25–1.38°C in winter. The  
233 reduced  $SW^{cs\downarrow}$  also contributes to the surface cooling, with magnitudes of 0.04–0.33°C in summer and

234 0.13–0.41°C in winter. The winter decrease in  $SW^{cs\downarrow}$  is stronger than the summer one in most models.  
235 Besides, the inter-model differences in CRF and SAF changes are relatively substantial. The area-  
236 averaged results illustrate that the changes in CRF and SAF have negative and positive contributions  
237 to the SAI-induced cooling over China in most models, respectively (Fig. 8b).

238 The spatial patterns of SAI-induced changes in key energy-related variables over China are  
239 illustrated in Fig. 9. Under SAI forcing, changes in atmospheric temperature and water vapor lead to a  
240 general decrease in the  $LW^{cs\downarrow}$ . The  $SW^{cs\downarrow}$ , primarily related to the solar radiation scattering effect by  
241 stratospheric sulfate aerosol particles, also exhibits a coherent reduction over China. The spatial pattern  
242 of temperature change over China is primarily determined by  $SW^{cl\downarrow}$  and surface albedo changes. In  
243 summer, most models exhibit increases in cloud amount, especially over northwestern and central  
244 China. The resultant decreased  $SW^{cl\downarrow}$  leads to strong cooling over these regions. Conversely,  
245 northeastern and southeastern China show increased  $SW^{cl\downarrow}$  and relatively weak cooling (Fig. 9d). In  
246 MIROC-ESM-CHEM, the excessive  $SW^{cl\downarrow}$  (up to  $8 \text{ W m}^{-2}$ ) offsets the clear-sky radiative effects and  
247 causes abnormal warming over most regions of eastern China (Fig. S1a). In summer, the surface albedo  
248 change due to SAI over China is relatively small. The increased surface albedo mainly occurs in the  
249 Tibetan Plateau, which contributes to local surface cooling (Fig. 9f). This may help to explain why the  
250 cloud effect is not a primary factor of temperature change over the Tibetan Plateau in summer.

251 In winter, a robust and coherent SAI-induced reduction in cloud cover is found over China (Fig.  
252 9k). This reduction leads to a general increase in  $SW^{cl\downarrow}$ , causing the weak cooling south of the Yangtze  
253 River valley. In other areas of China, however, the change in surface albedo is the primary factor  
254 affecting the spatial pattern of temperature response under SAI forcing. The increased surface albedo  
255 leads to strong cooling, especially over northwestern and central China. However, the decreased  
256 surface albedo is found over the upper reaches of the Yellow River and the middle and upper reaches  
257 of the Yangtze River in MIROC-ESM with magnitudes greater than 3%, which results in the abnormal  
258 winter warming mentioned above (Fig. S1d). Taken together, the increased summer cloud cover and  
259 winter surface albedo lead to strong cooling, while the decreased summer cloud cover and winter  
260 surface albedo result in weak cooling, or even warming for the certain subregions and models, for  
261 instance eastern China in MIROC-ESM-CHEM and the upper reaches of the Yellow River and the  
262 middle and upper reaches of the Yangtze River in MIROC-ESM.

### 263 4.3 Physical processes responsible for SAI-induced temperature changes

264 Previous studies have illustrated that the SAI reduces the tropospheric temperature and  
265 atmospheric water vapor amount on a global scale (Kashimura et al., 2017; Vioni et al., 2018). In  
266 China, these reductions cause the decreased  $LW^{cs\downarrow}$ , contributing to the surface cooling primarily. We  
267 further address the potential reasons for the  $SW^{cs\downarrow}$  change by using the aforementioned decomposition  
268 method. The atmospheric reflection of solar radiation increases after sulfate aerosols injection. In our  
269 study, the effect of aerosols scattering on shortwave radiation is represented as  $SW_{SRM}$ , which can be  
270 measured by the change in SAOD. As shown in Fig. 10, the latitudinal distributions of the calculated  
271 (used in HadGEM2-ES) and prescribed (used in BNU-ESM, CNRM-ESM1 and the MIROC-based  
272 models) SAOD changes caused by SAI in G4 display a coherent increase over China. The distribution  
273 in CanESM2 is not shown because it is a constant field according to the experimental design. The  
274 SAOD change in HadGEM2-ES is unavailable. Total aerosol optical depth is therefore considered as  
275 a reasonable alternative variable for SAOD (e.g., Bellouin et al., 2011). The national-scale increased  
276 SAOD results in a robust decrease in  $SW_{SRM}$  (Figs. 11a, d), contributing to the surface cooling with  
277 magnitudes of 0.21–0.54°C in summer and 0.26–0.69°C in winter. Besides, the deficit in column-  
278 integrated water vapor reduces the atmospheric absorption of solar radiation. The resultant increased  
279 SW ( $SW_{WV}$ ) counterbalance 37–81% and 11–48% of the reductions in  $SW_{SRM}$  over China in summer  
280 and winter, respectively (Figs. 11b, e). This is the main reason why the SAI-induced winter cooling is  
281 severer than the summer level.

282 As discussed in Sect. 4.2, the spatial patterns of summer and winter temperature changes over  
283 China are mainly determined by the  $SW^{cl\downarrow}$  and surface albedo, respectively. Generally, the SAI-induced  
284 decrease in LH flux reduces the low cloud cover, resulting in the positive change in  $SW^{cl\downarrow}$  (Figs. 11c,  
285 f). Through this process, the significantly decreased LH over northeastern and southeastern China  
286 causes the abnormal summer warming in MIROC-ESM-CHEM (Fig. S1c). However, in summer, the  
287 effect of LH is partly offset by the SAI-induced moisture convergence at the troposphere in most  
288 models. The resultant increased cloud cover enhances the surface cooling over northwestern and  
289 central China (Fig. 11h). The change in surface albedo is closely related to land surface conditions.  
290 The SAI-induced cooling can be amplified by increased snow cover or sea ice (e.g., Schmidt et al.,  
291 2012). Considering surface albedo can be reasonably described as a linear function of snow cover

292 fraction (Qu and Hall, 2007; Li et al., 2016), we further investigate the spatial pattern of changes in  
293 snow cover fraction, and find that matches with surface albedo over China (Figs. 11i, l; note that model  
294 data are not available for HadGEM2-ES). Under SAI forcing, the increased snow cover mainly occurs  
295 over the Tibetan Plateau in summer, and over northwestern and central China in winter. The enlarged  
296 snow cover fraction gives rise to SW decrease at the surface, which in turn has a positive feedback on  
297 surface cooling. Furthermore, the SAI-induced abnormal winter warming in MIROC-ESM is also  
298 associated with the decreased snow cover over the upper reaches of the Yellow River and the middle  
299 and upper reaches of the Yangtze River (Fig. S1e).

## 300 **5 Conclusions and discussion**

301 We analyze the surface air temperature response to SAI forcing over China based on the  
302 simulations of the G4 experiment and RCP4.5 scenario by using six GCMs (BNU-ESM, CanESM2,  
303 CNRM-ESM1, HadGEM2-ES, MIROC-ESM and MIROC-ESM-CHEM). We also discuss the  
304 physical processes involved in the temperature response from a surface energy budget perspective. The  
305 main conclusions are summarized as follows.

306 (1) All selected models can well reproduce the present climatological surface air temperature over  
307 China in both summer and winter. Although the SAI in the G4 experiment leads to a surface cooling  
308 over China, the climatological temperature in G4 is still higher than the present level. During the  
309 simulation period of 2030–2069, SAI leads to a national-scale cooling over China in all models.  
310 Regionally, the multi-model mean cooling is  $0.64^{\circ}\text{C}$  in summer and  $0.80^{\circ}\text{C}$  in winter, respectively. The  
311 SAI-induced temperature change varies among models, regions and seasons.

312 (2) The decomposition of temperature change based on the surface energy budget indicates that  
313 the SAI-induced surface cooling over China is dominated by the robust decrease in downward clear-  
314 sky radiation fluxes (particularly in downward clear-sky longwave radiation flux), and associated with  
315 the changes in cloud effective forcing and surface albedo feedback. The shortwave radiative effect of  
316 clouds and the surface albedo feedback determine the spatial pattern of temperature change, which are  
317 somewhat model-dependent and display a level of regional and seasonal discrepancies.

318 (3) Under SAI forcing, the decreased downward clear-sky longwave radiation is mainly due to  
319 the decreased tropospheric temperature and water vapor amount, and the decreased downward clear-

320 sky shortwave radiation is mainly contributed by the aerosol scattering effect over China. The  
321 decreased latent heat flux generally reduces the cloud cover over China, but the change in summer  
322 cloud cover is closely associated with the anomalous tropospheric moisture flux convergence. The  
323 negative surface albedo feedback related to increased snow cover fraction also amplifies the surface  
324 cooling, especially over the Tibetan Plateau in summer, and over northwestern and central China in  
325 winter. The results above are summarized schematically in Fig. 12.

326 Finally, equatorial stratospheric SO<sub>2</sub> injection has been proposed as a convenient and efficient  
327 strategy of SAI geoengineering because the note that equatorial stratospheric sulfate aerosol  
328 geoengineering can induce global cooling through the transport of Brewer-Dobson large-scale  
329 atmospheric circulation can transport sulfate aerosols around the globe automatically. But it, and also  
330 leads to regional inequities in the temperature response due to the strong confinement of the Brewer-  
331 Dobson circulation (Kravitz et al., 2016) complicated processes of aerosol microphysics and  
332 stratospheric transport (Kravitz et al., 2019). This means that some areas will face more severe climatic  
333 disasters if this kind of geoengineering is implemented. To solve this issue, certain SAI experiments  
334 based on the injection at multiple locations have been proposed, such as the stratospheric aerosol  
335 geoengineering large ensemble project (GLENS) using CESM1(WACCM) (Tilmes et al., 2018;  
336 Kravitz et al., 2019). In addition, the uncertainty of the regional climate response to SAI is closely  
337 related to the reliability of the models (Irvine et al., 2016). It has been indicated that the CMIP6 GCMs  
338 perform better in simulating the temperature over China than their CMIP5 counterparts (Jiang et al.,  
339 2020). Therefore, the climate response to SAI geoengineering over China based on state-of-the-art  
340 GCM experiments merits further study.

341 *Code and data availability.* The dataset used in this study can be accessed with the following links:  
342 <https://esgf-node.llnl.gov/search/cmip5/>.

343 *Author contributions.* Dabang Jiang and Zhaochen Liu designed and performed the research. Zhaochen  
344 Liu and Xianmei Lang analyzed the data. Zhaochen Liu and Dabang Jiang wrote the manuscript. All  
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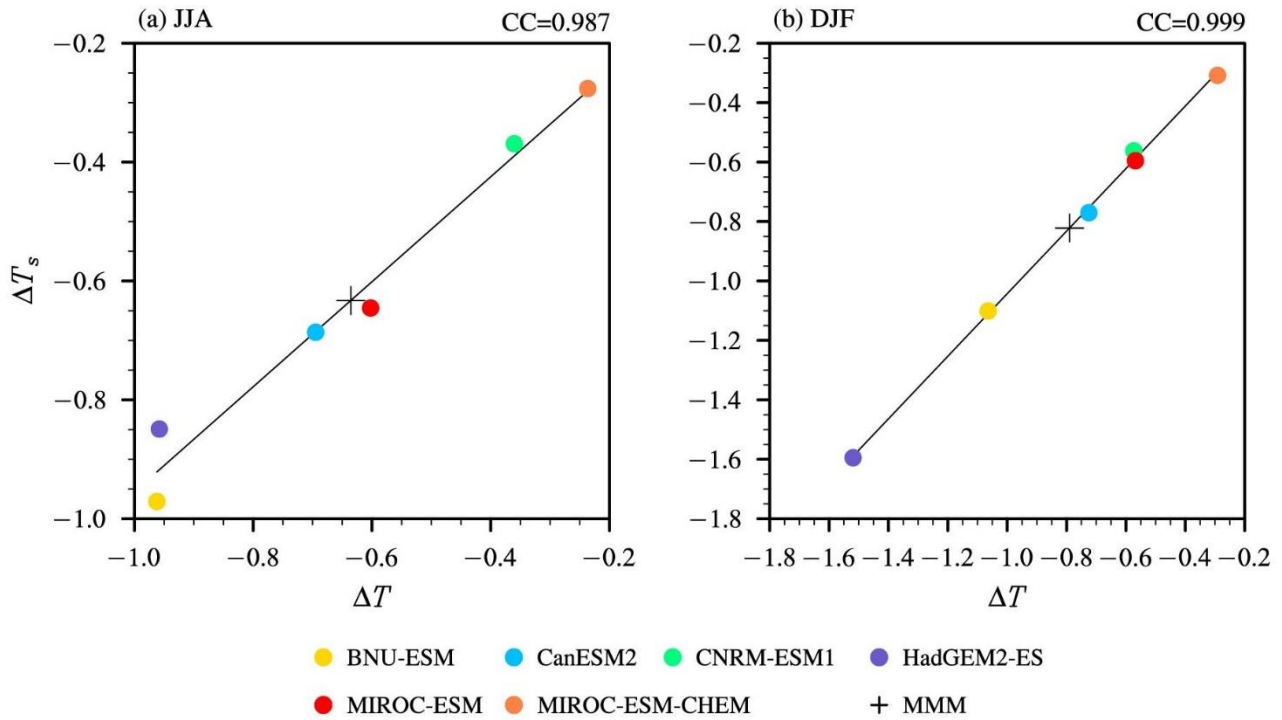
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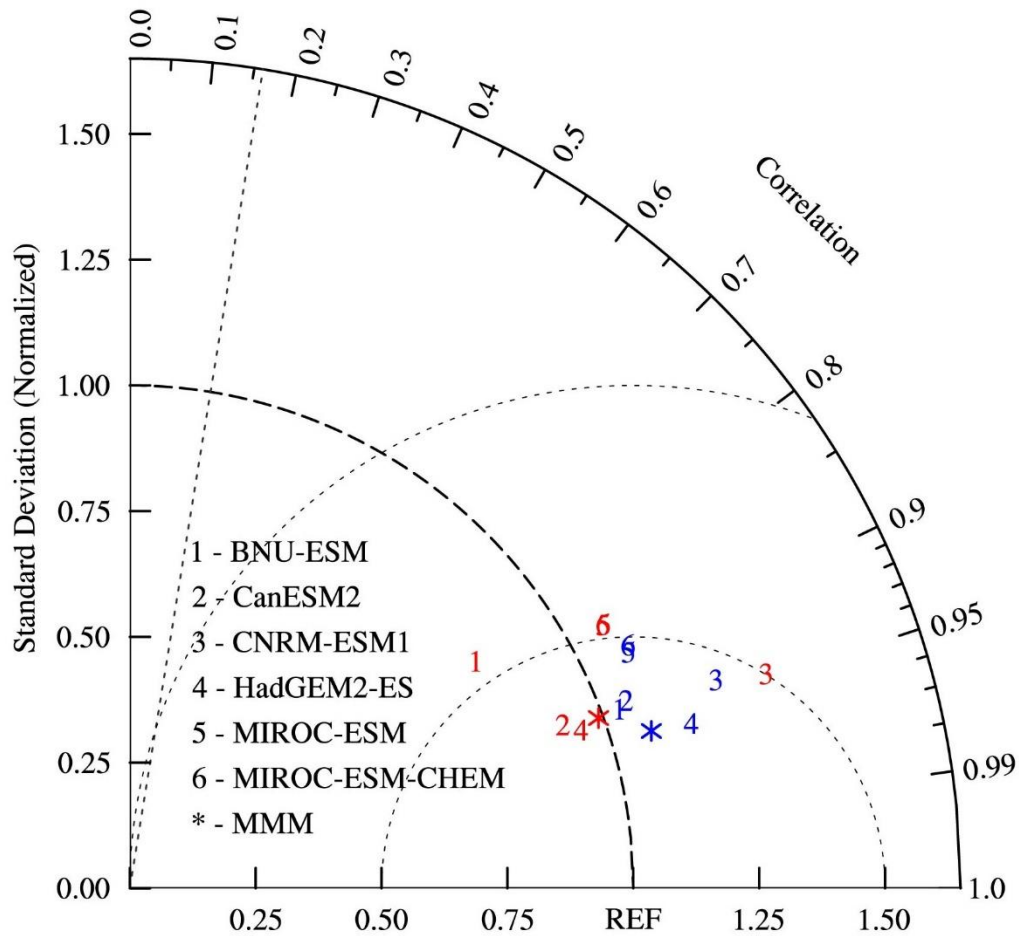
**Table 1.** Main features of climate models used in this study.

Model	Atmospheric resolution (longitude, latitude, and vertical levels)	Ensemble number	Stratospheric aerosol	Reference
BNU-ESM	$\sim 2.8^\circ \times \sim 2.8^\circ$ , L26	1	Prescribed	Ji et al., 2014
CanESM2	$\sim 2.8^\circ \times \sim 2.8^\circ$ , L35	3	Uniform	Arora et al., 2011
CNRM-ESM1	$\sim 1.4^\circ \times \sim 1.4^\circ$ , L31	2	Prescribed	S��ferian et al., 2016
HadGEM2-ES	$1.875^\circ \times 1.25^\circ$ , L38	3	Generated from SO <sub>2</sub> injection	Collins et al., 2011
MIROC-ESM	$\sim 2.8^\circ \times \sim 2.8^\circ$ , L80	1	Prescribed	Watanabe et al., 2011
MIROC-ESM-CHEM	$\sim 2.8^\circ \times \sim 2.8^\circ$ , L80	1	Prescribed	Watanabe et al., 2011



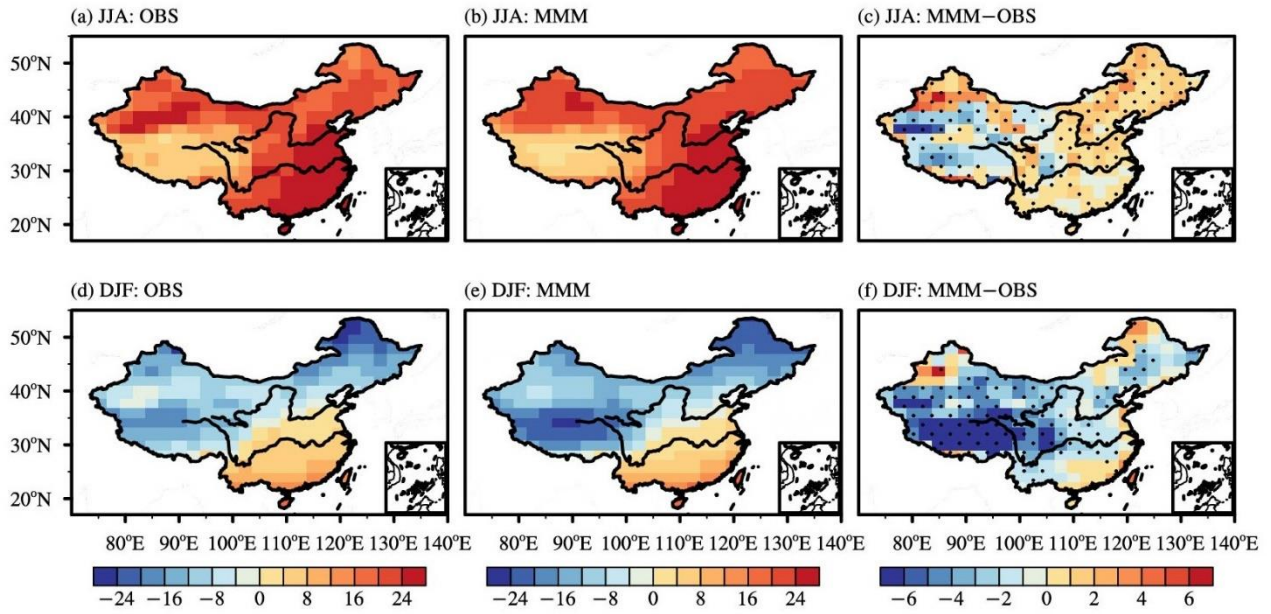
542

543 **Figure 1.** Scatter plots of relationship between changes in surface air temperature ( $T$ ) and surface  
 544 temperature ( $T_s$ ) over China due to SAI forcing during the period of 2030–2069 in (a) summer (JJA)  
 545 and (b) winter (DJF), and CC is their correlation coefficient. Scatters and cross represent individual  
 546 models and their mean, respectively.



547

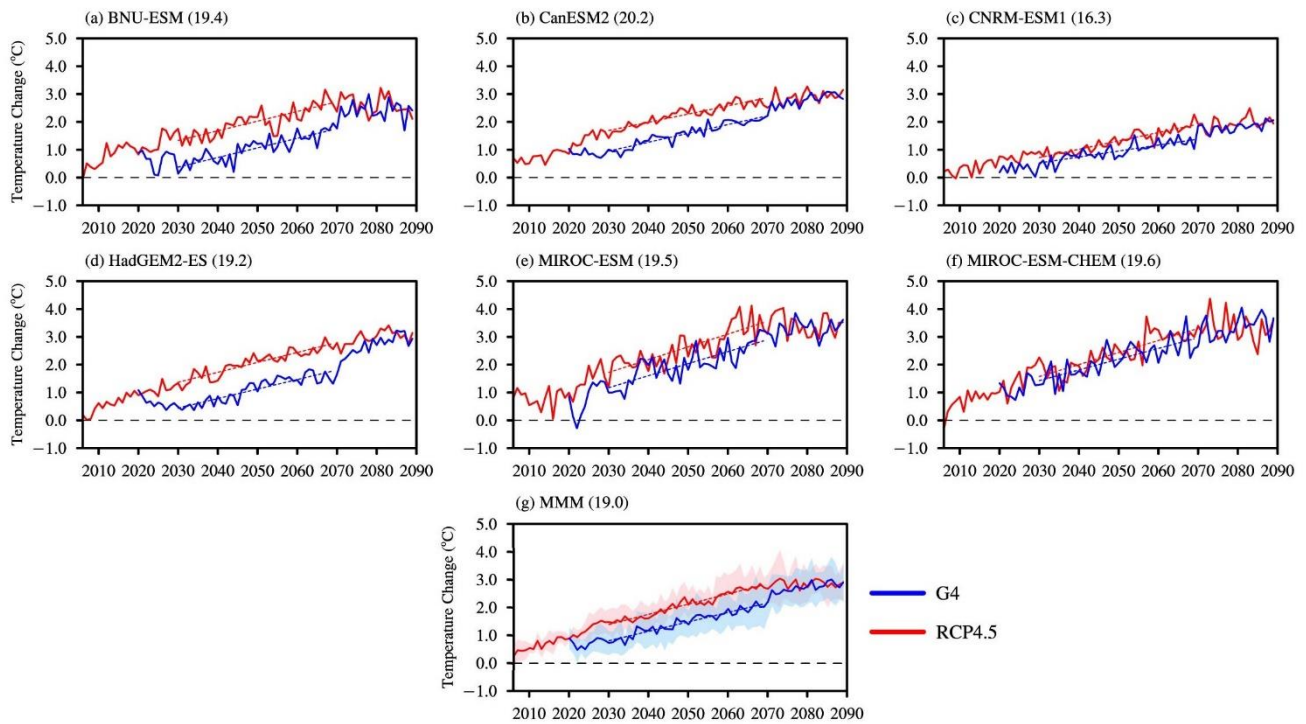
548 **Figure 2.** Taylor diagram of climatological summer and winter temperatures over China between the  
 549 historical simulations in selected models and observation during the period of 1986–2005. Numbers  
 550 represent individual models, and asterisks represent the multi-model mean. Red and blue represent  
 551 summer and winter, respectively. The dotted straight line shows the 99% confidence level determined  
 552 from the two-tailed Student's *t*-test.



553

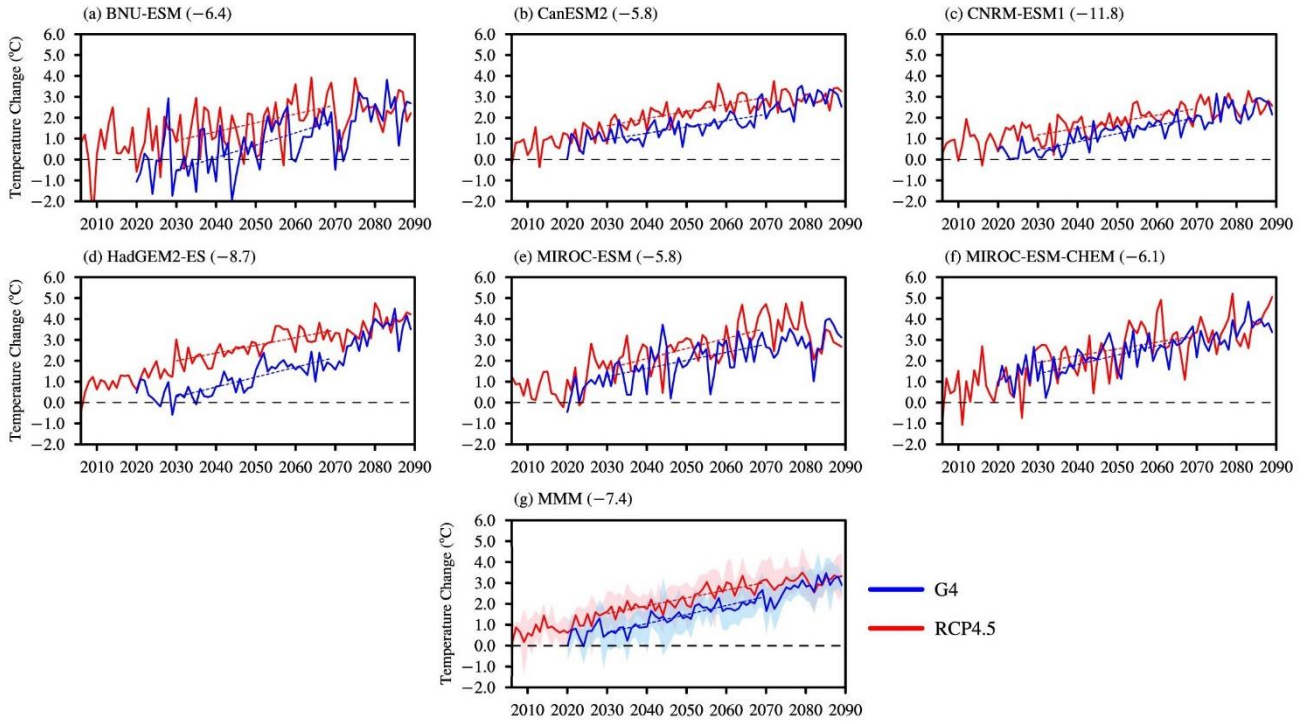
554 **Figure 3.** Spatial patterns of surface air temperature climatology (units: °C) over China as obtained  
 555 from observation (left column; OBS), the multi-model mean (middle column; MMM), and the  
 556 difference between multi-model mean and observation (right column; MMM-OBS) during the period  
 557 of 1986–2005 in summer (JJA) and winter (DJF). The dots in the right column indicate areas where at  
 558 least two-thirds of models share the same sign of the bias.





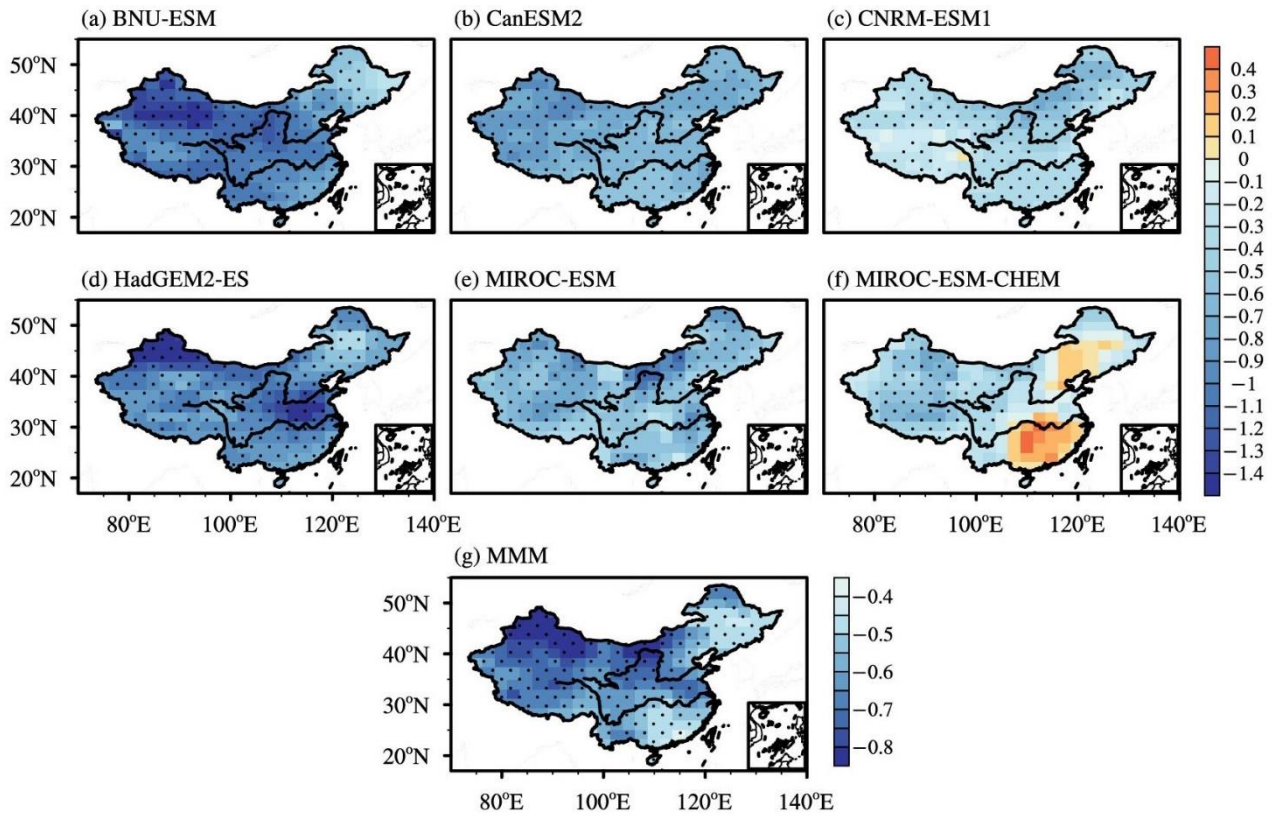
559

560 **Figure 4.** Time series of regionally averaged surface air temperature (units: °C) over China in the G4  
 561 experiment (solid blue lines) and RCP4.5 scenario (solid red lines) in summer. The values are obtained  
 562 by subtracting the present climatology (mean of 1986–2005; represented in parentheses) in the  
 563 historical experiment. Red and blue dashed lines represent the linear trends of G4 and RCP4.5  
 564 simulations during the period of 2030–2069, respectively. The multi-model mean (MMM) is  
 565 represented at the bottom, with the shading indicating one inter-model standard deviation.



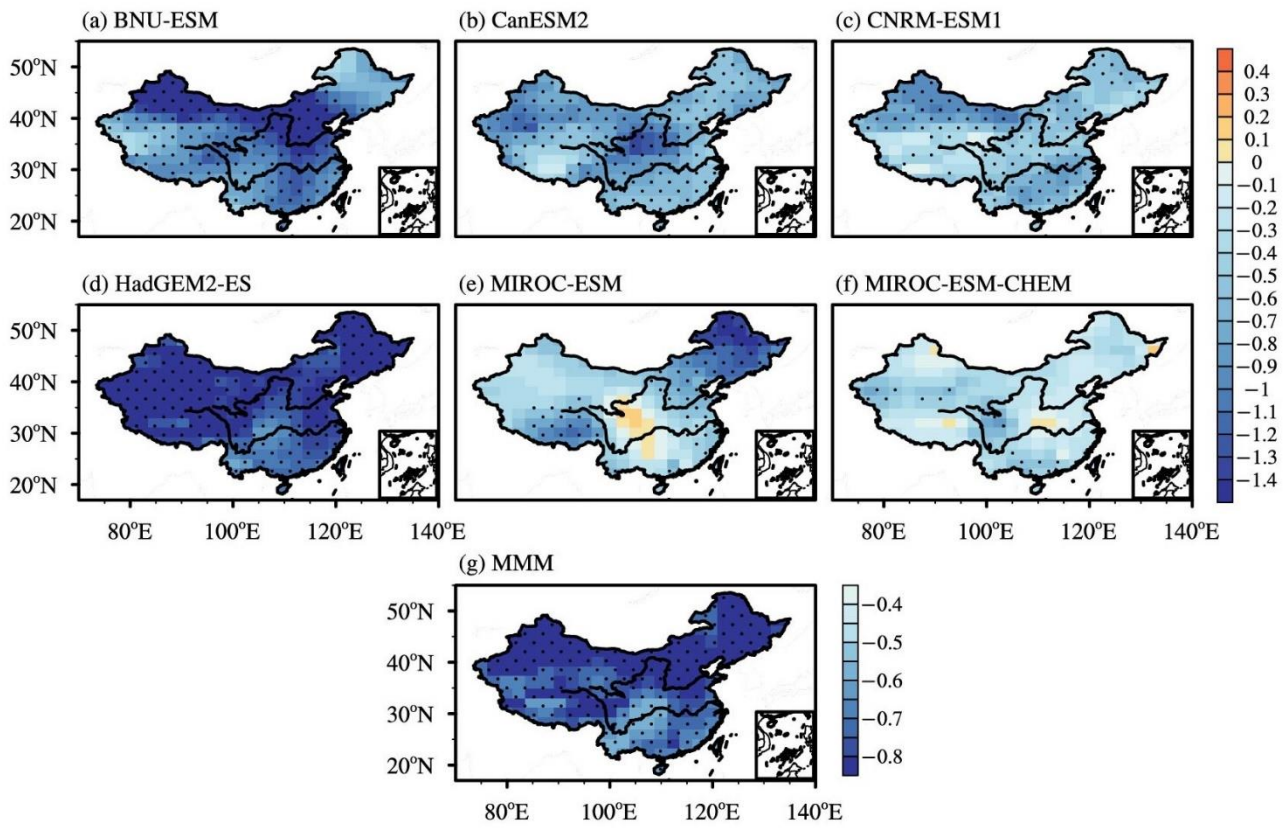
566

567 **Figure 5.** Same as Figure 4, but in winter.



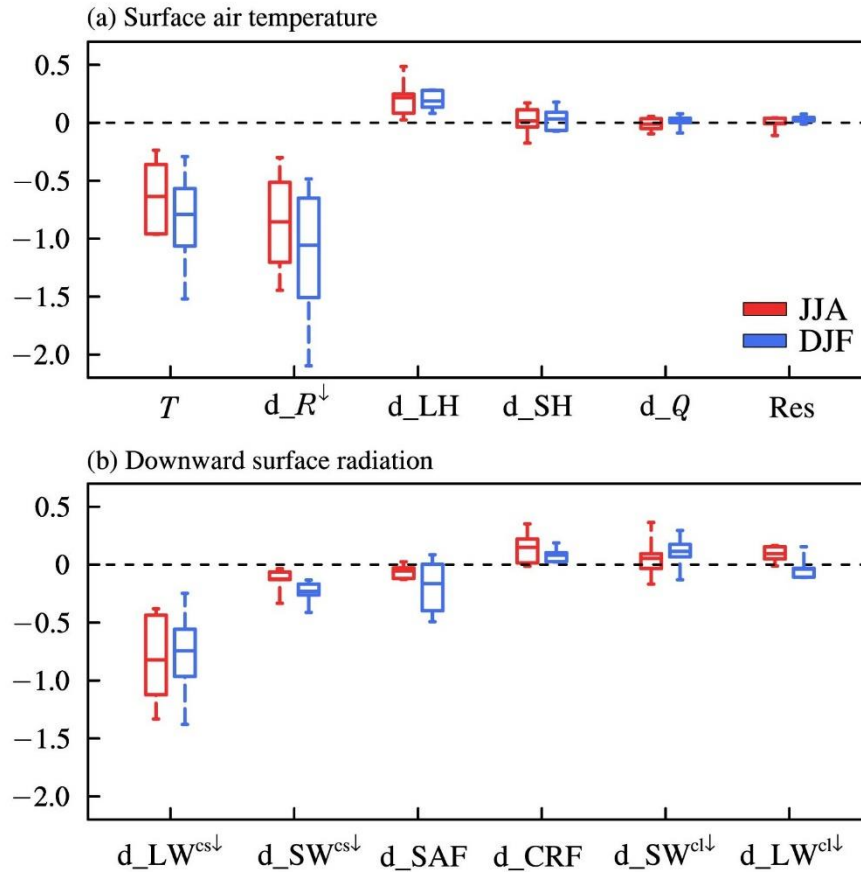
568

569 **Figure 6.** Spatial patterns of surface air temperature differences (units: °C) between G4 and RCP4.5  
 570 over China during the period of 2030–2069 in summer for (a–f) individual models and (g) the multi-  
 571 model mean. The dots in (a–f) indicate areas where are statistically significant at the 90% confidence  
 572 level. The dots in (g) indicate areas where at least two-thirds of models share the same sign with the  
 573 multi-model mean.



574

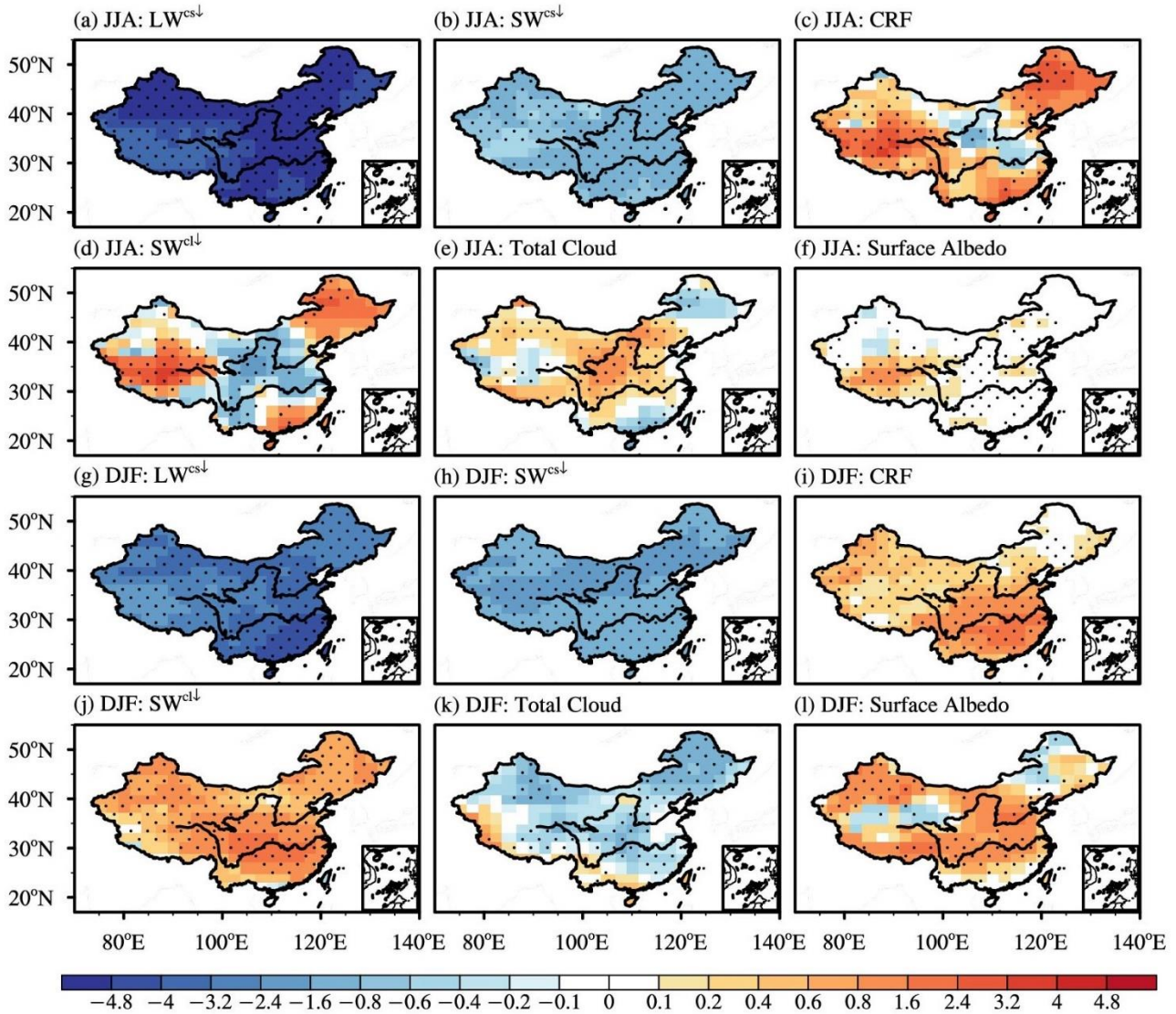
575 **Figure 7.** Same as Figure 6, but in winter.



576

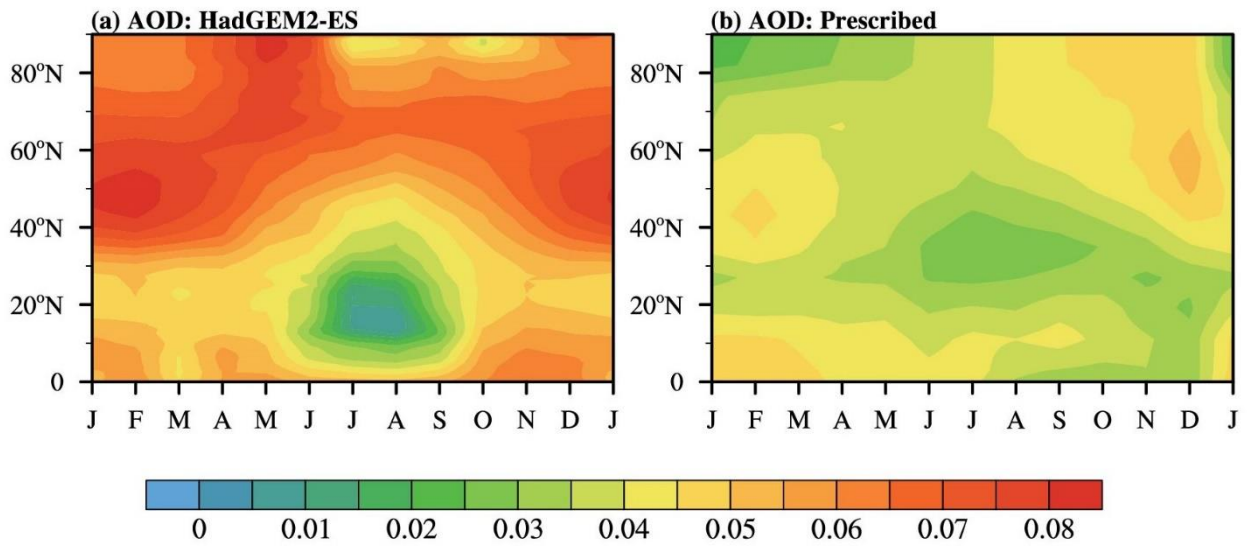
577 **Figure 8.** Regionally averaged SAI-induced changes in surface air temperature ( $T$ ) and relevant terms  
 578 over China during the period of 2030–2069 (units:  $^{\circ}\text{C}$ ). The terms include surface air temperature  
 579 changes due to (a) downward net surface radiation change ( $d_{R^{\downarrow}}$ ), surface latent ( $d_{LH}$ ) and sensible  
 580 ( $d_{SH}$ ) heat flux changes, heat storage change ( $d_Q$ ), residual term change (Res), (b) downward clear-  
 581 sky surface longwave ( $d_{LW}^{cs\downarrow}$ ) and shortwave ( $d_{SW}^{cs\downarrow}$ ) radiation changes, surface albedo feedback  
 582 change ( $d_{SAF}$ ) and surface cloud radiative forcing change ( $d_{CRF}$ ; including shortwave ( $d_{SW}^{cl\downarrow}$ )  
 583 and longwave ( $d_{LW}^{cl\downarrow}$ ) forcing changes). The error bars represent minimum and maximum values,  
 584 and the boxes represent interquartile ranges among models. The middle lines present multi-model  
 585 means. The red and blue bars represent values in summer and winter, respectively.





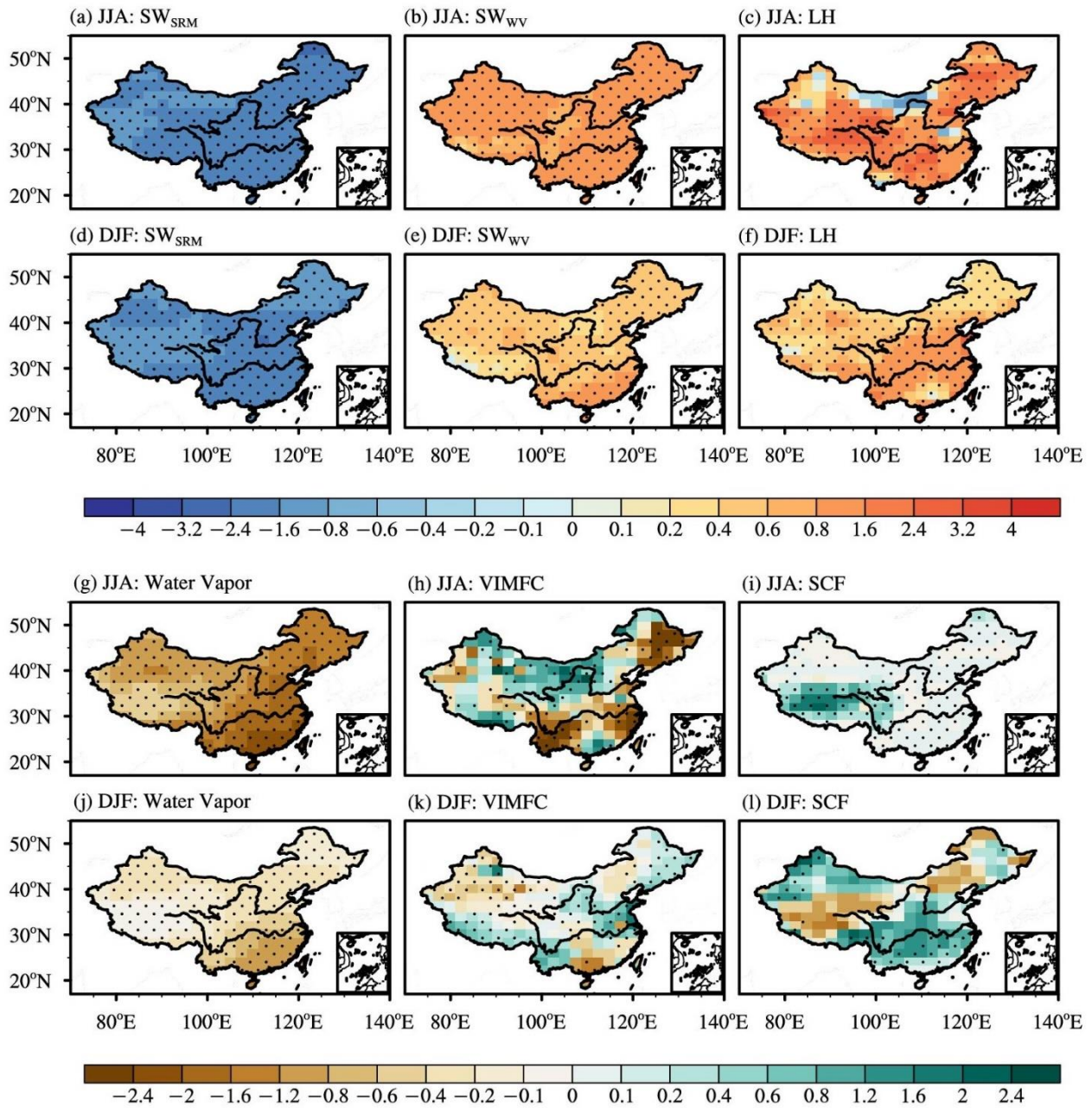
586

587 **Figure 9.** Spatial patterns of differences between G4 and RCP4.5 over China for the multi-model mean  
 588 in summer (JJA) and winter (DJF): (a, g) downward clear-sky surface longwave radiation ( $LW^{cs\downarrow}$ ); (b,  
 589 h) downward clear-sky surface shortwave radiation ( $SW^{cs\downarrow}$ ); (c, i) surface cloud radiative forcing; (d,  
 590 j) downward shortwave radiative effect of clouds ( $SW^{cl\downarrow}$ ); (e, k) total cloud cover (units: %); (f, l)  
 591 surface albedo (units: %) during the period of 2030–2069. Flux is in  $W\ m^{-2}$ . The dots indicate areas  
 592 where at least two-thirds of models share the same sign with the multi-model mean.



593

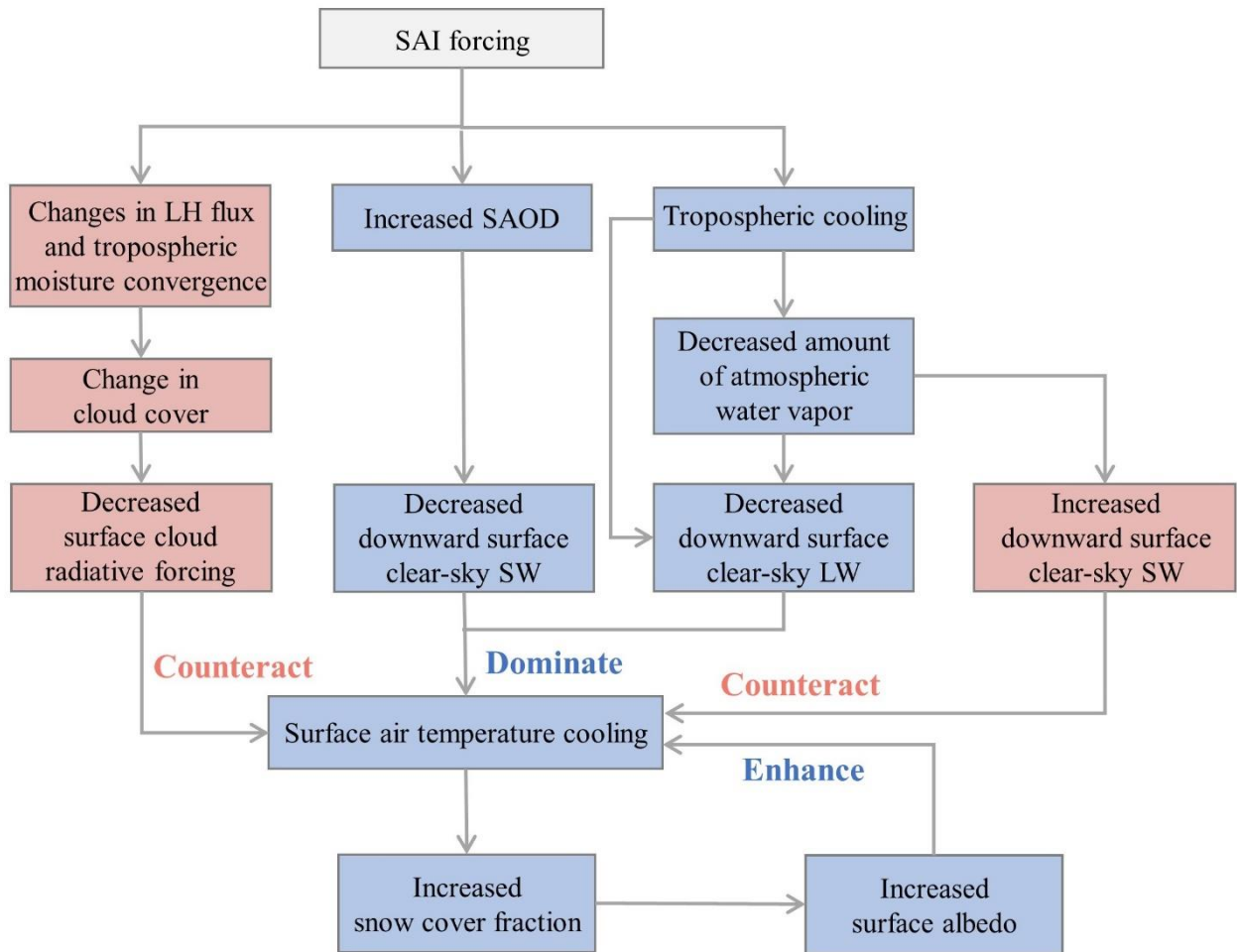
594 **Figure 10.** Latitudinal distributions of the calculated (a, for HadGEM2-ES) and prescribed (b, for  
 595 BNU-ESM, CNRM-ESM1, and the MIROC-based models) changes in SAOD at 550 nm caused by  
 596 SAI in G4 experiment over the Northern Hemisphere during the period of 2030–2069.



597

598 **Figure 11.** Same as Figure 9, but for the shortwave radiative effects of (a, d) solar radiation scattering  
 599 change ( $SW_{SRM}$ ) and (b, e) atmospheric water vapor amount change ( $SW_{WV}$ ), (c, f) latent heat flux  
 600 (LH), (g, j) column-integrated water vapor (units:  $\text{kg m}^{-2}$ ), (h, k) vertically integrated moisture flux  
 601 convergence (VIMFC; units:  $0.1 \text{ mm d}^{-1}$ ), and (i, l) snow cover fraction (SCF; units: %). Flux is in  $\text{W}$   
 602  $\text{m}^{-2}$  and defined positive downward.





603

604 **Figure 12.** Schematic diagram illustrating how the relevant physical processes impact the downward

605 surface radiation changes over China in response to the SAI forcing in the G4 experiment.