



1 **Eastward-propagating planetary wave in the polar**
2 **middle atmosphere**

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8



9 **Abstract.** We presented the global variations of the eastward propagating
10 wavenumber 1 (E1), 2 (E2), 3 (E3), and 4 (E4) planetary waves (PWs) and
11 their diagnostic results in the polar middle atmosphere, using MERRA-2
12 temperature and wind datasets in 2019. It is clearly shown that the eastward
13 wave modes exist during winter periods with westward background wind
14 in both hemispheres. The maximum wave amplitudes in the southern
15 hemisphere (SH) are slightly larger and lie lower than those in the northern
16 hemisphere (NH). It is also found that the wave perturbations peak at lower
17 latitudes with smaller amplitude as the wavenumber increases. The period
18 of the E1 mode varies from 3 to 5 days in both hemispheres, while the
19 period of E2 mode is slightly longer in the NH (48 h) than in the SH (40
20 h). The periods of the E3 are ~30 h in both SH and NH, and the period of
21 E4 is ~24 h. Though the wave periods become shorter as the wavenumber
22 increases, their mean phase speeds are relatively stable, which are ~53, ~58,
23 ~55, and ~52 m/s at 70° latitudes for W1, W2, W3, and W4, respectively.
24 The eastward PWs occur earlier with increasing zonal wavenumber, which
25 agrees well with the seasonal variations of the background zonal wind
26 through the generation of critical layers. Diagnostic analysis also shows
27 that the mean flow instability in the upper stratosphere and upper
28 mesosphere may both contribute to the amplification of the eastward PWs.



29 **1 Introduction**

30 Large amplitude planetary waves are dominant in the stratosphere,
31 mesosphere, and lower thermosphere region and their interaction with
32 zonal mean winds is the primary driving force of atmospheric dynamics.
33 In addition, sudden stratospheric warmings (SSWs) and quasi-biennial
34 oscillation (QBO) events can dynamically couple the entire atmosphere
35 from the lower atmosphere to the ionosphere (Li et al., 2020; Yamazaki et
36 al., 2020; Yadav et al., 2019; Matthias and Ern, 2018; Stray et al., 2015).
37 Westward propagating planetary wave is one of the prominent features
38 during austral and boreal summer periods. Westward quasi-2-day waves
39 (Q2DWs) are the most obvious representative waves and one of the most
40 investigated phenomena by planetary wave observations. Most previous
41 studies focused on westward propagating Q2DWs, including zonal
42 wavenumbers of 2 (W2), 3 (W3), and 4 (W4) modes (Lainer et al., 2018;
43 Gu et al., 2018c; Wang et al., 2017; Pancheva et al., 2017; Gu et al., 2016a;
44 Gu et al., 2016b; Lilienthal and Jacobi, 2015; Gu et al., 2013; Limpasuvan
45 and Wu, 2009; Salby, 1981). The seasonal variations of the occurrence date,
46 peak amplitude, and wave period for eastward Q2DWs are rarely studied
47 (Gu et al., 2017; Lu et al., 2013; Alexander and Shepherd, 2010; Venne and
48 Stanford, 2010; Merzlyakov and Pancheva, 2007; Palo et al., 2007;
49 Sandford et al., 2007; Manney et al., 1993).

50 Q2DWs usually maximize after the summer solstice in middle



51 latitudes. The largest wave amplitudes are observed near the mesopause in
52 January–February in the Southern Hemisphere (SH), while in the Northern
53 Hemisphere (NH) in July–August (Tunbridge et al., 2011). The W3 and
54 W4 Q2DWs reach a maximum amplitude during austral and boreal
55 summer periods in the mesosphere and lower thermosphere, respectively.
56 The westward Q2DWs activity has an obvious seasonal variation (Liu et
57 al., 2019; Gu et al., 2018d; Rao et al., 2016). Tunbridge et al. (2011) have
58 long-term observed Q2DW in the NH and SH, and found that the W3 is
59 generally stronger than those of the other two modes in the SH, reaching
60 an amplitude of 12 K, while the W4 is stronger than W3 in the NH and can
61 reach 4 K. W4 is generally longer lived than W3, and W4 is still observed
62 after W3 has ended. The results of Liu and H.-L. (2004) show that the wave
63 source, instability, critical layer and mean zonal wind structure are the
64 primary reasons for the seasonal variation of Q2DWs. Gu et al. (2018d)
65 have the long-term observation of satellite datasets in the SH and found
66 that the strongest events of W2, W3, and W4 are delayed with increasing
67 the zonal wavenumber and would be confused during the SSWs period.
68 Then the wave period of W3 primarily fluctuated in 45–52 h, while the W4
69 varies is concentrated between 41–56 h, and the W2 is primarily distributed
70 in 45–48 h. In addition, W2 can be observed in global satellite datasets,
71 showing weaker amplitude in the NH and SH (Meek et al., 1996). The
72 propagation and amplification of Q2DWs are primarily dominated by



73 instability, refractive index, and critical layer, while the variation of
74 background wind may cause different zonal wavenumber events (Gu et al.,
75 2016a; Gu et al., 2016b). Xiong et al. (2018) studied variations in Q2DWs
76 activity during the SSWs period and found that W1 was generated by the
77 nonlinear interaction between SPW2 and W3. Gu et al. (2018c) found that
78 the coupling between the NH and SH enhanced the summer easterly during
79 SSWs and promoted the nonlinear interaction between W3 and SPW1
80 during the SSWs period.

81 Recent studies have found significant eastward planetary waves
82 activity in the polar stratosphere and mesosphere regions, with near 2 and
83 4 days in the wave periods (Gu et al., 2017; Venne and Stanford, 2010;
84 Merzlyakov and Pancheva, 2007; Sandford et al., 2007; Coy et al., 2003;
85 Manney et al., 1993). Planetary waves with zonal wavenumbers -1 (E1)
86 and -2 (E2) correspond to 4- and 2-day waves, respectively. In addition, the
87 planetary waves of 1.2-day with wavenumber -3 (E3) and 0.8-day with
88 wavenumber -4 (E4) have been found same phase speeds as the 2- and 4-
89 day waves (Manney et al., 1993). This series of eastward propagating
90 planetary waves have a significant effect on the thermal and dynamic
91 structure of the polar stratosphere, resulting in significant wind and
92 temperature variations in the polar stratosphere (Venne and Stanford, 2010;
93 Coy et al., 2003). Palo et al. (1999) demonstrated a series of nonlinear
94 interactions between the migrating tides and Q2DWs. Further research,



95 Palo et al. (2007) presented evidence that the eastward Q2DW was coupled
96 by the nonlinear planetary wave and the tides in the mesosphere and lower
97 thermosphere.

98 Merzlyakov & Pancheva. (2007) analyzed and studied satellite
99 datasets and they observed the eastward propagating wave with the zonal
100 wave numbers -1 and -2 in February 2004, while E1 and E2 events with
101 wave periods within 1.5-5 days. They found that the direction of EP fluxes
102 for eastward planetary waves is from the upper layer to the lower
103 atmosphere, suggesting that the upper atmosphere has a dynamic influence
104 on the lower atmosphere. Sandford et al. (2007) reported a significant
105 Q2DW fluctuation in the polar mesosphere with a zonal wavenumber of -
106 2 (E2). They found that variations of mean zonal wind during a major SSW
107 period can affect the propagation of polar E2. In addition, they believe that
108 E2 fluctuation is representative in the mesosphere and caused by the
109 instabilities in the polar night jet. Gu et al. (2017) proposed that the
110 amplitude of E2 can reach 10K, 20 m/s, and 30 m/s in temperature, zonal
111 wind, and meridional wind in the austral winter period, while the amplitude
112 of E2 decreases by near two-thirds in the boreal winter period. Lu et al.
113 (2013) found that the propagation height of eastward planetary waves was
114 limited to the winter high latitudes, which may be caused by the negative
115 refractive index of 45°S at the equator, thus preventing the planetary wave
116 propagation to the low latitudes. They believe that the instability region at



117 50-60°S may be induced by the stratospheric polar night jet and/or the
118 "double-jet" structure.

119 The second modern retrospective research and application analysis
120 (MERRA-2) datasets are used to investigate the eastward propagation
121 wave characteristics during 2019 in the polar stratospheric and
122 mesospheric region, including zonal wavenumbers -1 (E1), -2 (E2), -3 (E3)
123 and -4 (E4). Particularly, our study is to explore the variation of occurrence
124 date, peak amplitude, and wave period for eastward wave and the role of
125 instability, background wind structure, and critical layer for the eastward
126 wave propagation and amplification. The remaining parts of this paper are
127 organized as follows. Section 2, the data and methods used in our study are
128 described. Section 3 analyzes the global latitude-temporal variation
129 structure of eastward waves in the winter of 2019. The amplification and
130 propagation features of different wavenumber events for eastward
131 planetary waves in the NH and SH are investigated in Section 3.1 and 3.2,
132 respectively. Section 3.3 will compare and analyze eastward waves in the
133 NH and SH. Section 4 summarizes our research results.

134 **2 Data and Analysis**

135 The least-square method is applied to each time window to extract the
136 E1-, E2-, E3-, and E4-wave, with 10-day, 6-day, 4-day, and 4-day, and is
137 used by us to determine the amplitude (Gu et al., 2013). This method has
138 previously been used successfully to identify planetary waves from



139 satellite measurements (Gu et al., 2018a; Gu et al., 2018b; Gu et al., 2018c;
140 Gu et al., 2018d).

$$141 \quad y = A \cos[2\pi(\sigma \cdot t + s \cdot \lambda)] + B \sin[2\pi(\sigma \cdot t + s \cdot \lambda)] + C \quad (1)$$

142 The least-squares method is used to fit the parameters of (A , B and C).

143 Where (σ , t , s and λ) are the frequency, zonal wavenumber, UT time, and
144 longitudes. The amplitude R of wavenumber could be expressed as

$$145 \quad R^2 = \sqrt{A^2 + B^2}.$$

146 The second modern retrospective research and application analysis
147 (MERRA-2) data is a set of long-term atmospheric reanalysis datasets
148 started by NASA in 1980, and now using an upgraded version of the
149 Goddard Earth Observing System Model, Version 5 (GEOS-5) data
150 assimilation system. MERRA-2 includes updates to the model (Molod et
151 al., 2015; Molod et al., 2012) and the Global Statistical Interpolation (GSI)
152 analysis scheme of Wu et al. (2002). The MERRA-2 data includes various
153 meteorological variables such as net radiation, temperature, relative
154 humidity, wind speed, etc. The MERRA-2 data covers the world, with a
155 spatial resolution of $0.5^\circ \times 0.625^\circ$ and a temporal resolution of 1 hour. This
156 kind of meteorological data is widely used to detect the middle atmosphere
157 such as the planetary wave in the polar atmosphere, global thermal tides,
158 climate variability, and aerosol (Sun et al., 2020; Ukhov et al., 2020; Bali
159 et al., 2019; Lu et al., 2013). These studies indicate that MERRA-2 data
160 can be used in our research with high authenticity. The MERRA-2 datasets



161 are used to obtain the variation in background wind, instability, refractive
162 index, and critical layer, and explore the rules of eastward planetary waves
163 propagation and amplification through diagnostic analysis.

164 The critical layer will absorb or reflect planetary waves during upward
165 propagation from the lower atmosphere. The planetary wave will be
166 amplified from the reflection process after gaining sufficient energy in the
167 instability region. This shows that the critical layer plays an important role
168 in regulating the amplification and propagation of planetary waves (Gu et
169 al., 2016a; Gu et al., 2016b; Liu and H.-L., 2004). The
170 baroclinic/barotropic instability in the atmospheric space structure is
171 caused by the simultaneous equalization of the negative latitude gradient
172 and the quasi-geostrophic potential vorticity (\bar{q}_φ). Where (Ω) denote the
173 angular speed of the Earth's rotation, and the latitude and zonal mean zonal
174 wind are represented by (φ and \bar{u}), in the second part, the (a) represents
175 the Earth radius, in the last part, (ρ , f , and N) denote the background air
176 density, Coriolis parameter, and buoyancy frequency, respectively. The
177 vertical and latitudinal gradients are represented by subscripts (z and φ).

$$178 \quad \bar{q}_\varphi = 2\Omega \cos \varphi - \left(\frac{(\bar{u} \cos \varphi)_\varphi}{a \cos \varphi} \right) - \frac{a}{\rho} \left(\frac{f^2}{N^2} \rho \bar{u}_z \right)_z \quad (2)$$

179 Andrews et al. (1987) define the Eliassen-Palm (EP) flux vectors (F)
180 to show the properties of planetary wave propagation, calculated as follows:



$$F = \rho a \cos \varphi \left[\begin{array}{c} \frac{\overline{u'_z v' \theta'}}{\theta'_z} - \overline{v' u'} \\ \left[f - \frac{(\overline{u' \cos \varphi})_\varphi}{a \cos \varphi} \right] \frac{\overline{v' \theta'}}{\theta'_z} - \overline{w' u'} \end{array} \right] \quad (3)$$

Where u' and v' are the planetary wave perturbations in the zonal and meridional wind, θ' and w' represent potential temperature and vertical wind, respectively. The planetary wave propagation is only favorable where the square of refractive index m^2 was positive:

$$m^2 = \frac{\overline{q_\varphi}}{a(\overline{u} - c)} - \frac{s^2}{(a \cos \varphi)^2} - \frac{f^2}{4N^2 H^2} \quad (4)$$

Where (s) denote the zonal wavenumber, the phase speed is represented by (c), and the (H) represents the scale height. The square of the refractive index is taken as the waveguide of planetary waves.

3 Results and Discussion

The global temporal-latitude variation structures of E1, E2, E3, and E4 extracted from the 2019 MERRA-2 temperature datasets using time windows of 10-, 6-, 4- and 4-days respectively are shown in Figure 1. The mean temperature amplitudes of E1, E2, E3, and E4 at 55.4km during periods of 3~5-, 1.5~2.5-, 1~1.5-, and 0.9~1.1-days are shown in Figure 1a, 1b, 1c, and 1d. The eastward wave modes during winter periods in the SH and NH are characterized by obvious seasonal variations. In addition, the E1, E2 (E3), and E4 reach the maximum amplitudes at 50-80°(S/N). In the SH, the strongest events of E1 and E2 occur on days 209-218 and 167-172, while those of E3 and E4 occur on 151-154 and 139-142 days. This shows



201 that the occurrence date of maximum amplitude occurs earlier with
202 increasing zonal wavenumber. In addition, the maximum amplitudes of E1,
203 E2, E3, and E4 are 6.0K, 4.2K, 3.6K, and 2.4K, indicating that the peak
204 amplitudes decrease with increasing zonal wavenumber. In the NH, the
205 occurrence dates of the strongest events of E1, E2, E3, and E4 are days 41-
206 50, 69-74, 35-38, and 63-66, and the corresponding peak amplitudes are
207 5.5K, 3.8K, 2.8K, and 1.2K. It is found that the peak amplitude also
208 decreases with increasing zonal wavenumber in the NH, but their
209 occurrence dates vary irregularly. In addition, the E4 is weak in the NH and
210 almost impossible to find, so W4 is out of the discussion in the NH. Figure
211 2 shows that the 2019 zonal mean zonal wind variations at 70°S and 70°N.
212 It is clearly shown that the eastward wave modes exist during winter
213 periods with westward background wind in both hemispheres.

214 **3.1 In the Southern Hemisphere**

215 Figure 3 shows that the E1, E2 (E3), and E4 are distributed in ~70-
216 80°S, ~60-70°S, and ~50-60°S at ~48.2km, respectively. E1 events occur
217 on days 161-170, 187-196, 211-220, and 231-240, respectively, and the
218 maximum fluctuation on days 211-220 is ~8.5K, as shown in Figure 3a. In
219 addition, the wave period of E1 decreased from the maximum ~106 h (days
220 187-196) to ~69 h (days 211-220), indicating the instability of the E1 wave
221 period. E2 events occur on days 139-144, 173-178, 187-192, and 219-224,
222 and the maximum amplitude of ~7.8K occurs on days 219-224, and the



223 wave period of E2 is stable approximate 40 h (Figure 3b). The strongest E3
224 event occurs on days 151-154, and the rest are distributed on days 141-144,
225 201-204, 209-202, and shows E3 wave period approximate 29 h, as shown
226 in Figure 3c. From Figure 3d, E4 events are distributed on days 127-130,
227 145-148, 161-164, 213-216, with a weak amplitude of about $\sim 3K$, while
228 the wave period is stable at near 24 h. In addition, we found that the
229 planetary waves E1, E2, E3, and E4 have similar phase speeds.

230 The spectra, spatial structures of temperature, zonal and meridional
231 wind, and diagnostic analysis of E1 are extracted from the corresponding
232 two representative events, as shown in Figure 4. The E1 planetary wave
233 has a wave period of ~ 106 h and ~ 69 h on days 187-196 and 211-220
234 (Figures 4a and 4b). The temperature spatial structure of E1 events presents
235 an obvious dual structure of amplitudes at ~ 50 km and ~ 60 km, as displayed
236 in Figures 4c and 4d. The strongest temperature amplitude of E1 occurs at
237 ~ 50 km and ~ 70 - 80° S with an amplitude of $\sim 10K$ on the days 211-220, and
238 the other peak is $\sim 9K$ (60km). The temperature amplitude of $\sim 9K$ occurs
239 on days 187-196, and the rest is $\sim 7K$ (60km). The spatial structures of zonal
240 wind and meridional wind of E1 are shown in Figures 4e, 4f, 4g, and 4h.
241 The maximum amplitudes of zonal and meridional winds occur at $\sim 60^\circ$ S
242 and ~ 60 km, and $\sim 80^\circ$ S and ~ 60 km. The amplitude of zonal and meridional
243 wind reaches ~ 14 m/s and ~ 20 m/s, and ~ 10 m/s and ~ 17 m/s on days 187-
244 196 and 211-220, respectively. From Figure 4i, E1 EP flux presents two



245 directions of propagation. It is clear that the E1 is more favorable to
246 propagate in the winter hemisphere and is dramatically amplified by the
247 mean flow instabilities and appropriate background winds at polar and
248 middle latitudes between ~ 40 and ~ 80 km, where the former propagate to
249 the upper atmosphere and the latter to the lower atmosphere. In addition,
250 E1 is amplified by wave-mean flow interactions near its critical layer (106
251 h). The strong instability and weak background wind and positive
252 refractive index region provide sufficient energy for the upward EP flux to
253 propagate and amplify. However, the downward EP flux is propagated and
254 amplified by the interaction of the critical layer in the positive refractive
255 index region, where the strong background wind and weak instability
256 provide sufficient energy. In addition, both upward and downward EP
257 fluxes eventually propagate toward the equator at ~ 50 km. Figure 4j shows
258 that EP flux propagates downward and amplifies after the interaction of the
259 critical layer (~ 69 h), which strong instability and strong background wind
260 provide energy, and ultimately point towards the equator. We believe that
261 the weak background wind and strong instability in the polar region
262 promote the upward propagation and amplification of the EP flux. In
263 addition, the strong background wind and weak instability in the middle
264 latitudes are not conducive to the downward propagation and amplification
265 of the EP flux. In other words, instability and appropriate background wind
266 play a dominant role in the propagation and amplification of the E1.



267 From Figures 5a and 5b, the wave periods of E2 planetary waves are
268 38 h and 39 h on days 173-178 and 219-224. The temperature spatial
269 structure of E2 events shows an obvious amplitude dual structure (Figures
270 5c and 5d). The maximum temperature amplitude of the E2 event on days
271 219-224 and 173-178 is $\sim 9\text{K}$ ($\sim 50\text{km}$) and $\sim 7\text{K}$ ($\sim 50\text{km}$). The spatial
272 structures of zonal wind and meridional wind of E2 are shown in Figures
273 5e, 5f, 5g, and 5h. The maximum amplitudes of zonal and meridional winds
274 occur at $\sim 60^\circ\text{S}$ and $\sim 60\text{km}$, and $\sim 80^\circ\text{S}$ and $\sim 60\text{km}$. The maximum
275 amplitude of zonal wind reaches $\sim 10\text{ m/s}$ and $\sim 20\text{ m/s}$, while the
276 meridional wind is slightly stronger than the zonal wind, which reaches
277 $\sim 13\text{ m/s}$ and $\sim 27\text{ m/s}$ on days 173-178 and 219-224. From Figures 5i and
278 5j, it is clear that the E2 is more favorable to propagate in the SH winter
279 and is dramatically amplified by the mean flow instabilities at middle-high
280 latitudes between $\sim 40\text{km}$ and $\sim 80\text{km}$. Then turns to the equator at $\sim 50\text{km}$.
281 We find that the background wind in Figure 5j is weaker than that in Figure
282 5i, but the instability is stronger. This finding indicates that E2 has
283 absorbed sufficient energy to be amplified under the background
284 conditions during days 219-224 (Figure 5j).

285 Figures 6a and 6b show that the E3 planetary waves have wave
286 periods of $\sim 29\text{ h}$ on days 151-154 and 201-204. The temperature spatial
287 structure of E3 events presents a dual structure, as shown in Figures 6c and
288 6d. The maximum temperature amplitude of E3 is $\sim 7\text{K}$ ($\sim 50\text{km}$) on days



289 151-154, and the other peak is $\sim 5\text{K}$ ($\sim 60\text{km}$). The temperature amplitude
290 of E3 is $\sim 5\text{K}$ ($\sim 50, \sim 60\text{km}$) on days 201-204. The spatial structures of zonal
291 wind and meridional wind of E3 are shown in Figures 6e, 6f, 6g, and 6h.
292 The maximum amplitudes of zonal and meridional winds occur at $\sim 50^\circ\text{S}$
293 and $\sim 60\text{km}$, and $\sim 60^\circ\text{S}$ and $\sim 60\text{km}$. The maximum zonal wind is $\sim 10\text{ m/s}$,
294 while the meridional wind is slightly stronger than the zonal wind at ~ 15
295 m/s . The EP flux of E3 is similar to that of E2. We find that instability at
296 mid-high latitudes between ~ 50 and $\sim 70\text{km}$ dramatically amplifies the E3
297 propagation and that the interaction near the critical layer enhances the
298 process (Figures 6i and 6j). It is worth noting that the region of background
299 wind at $\sim 50\text{-}60^\circ\text{S}$ and $\sim 60\text{-}70\text{km}$ is similar during days 151-154 and 201-
300 204, while the former is strong instability and the latter is weak instability.
301 This finding indicates that the strong instability provides sufficient energy
302 for the amplification for E3 propagation on days 151-154.

303 The wave period of E4 reaches $\sim 25\text{ h}$ and $\sim 21\text{h}$ during days 127-130
304 and 213-216 in Figures 7a and 7b. The maximum temperature amplitude
305 of E4 occurs on days 127-130, reaching $\sim 4\text{K}$ ($\sim 50\text{km}$), the other peak is
306 $\sim 3\text{K}$ ($\sim 60\text{km}$). The temperature amplitude is $\sim 3\text{K}$ ($\sim 50, \sim 60\text{ km}$) on days
307 213-216, as displayed in Figure 7d. The spatial structures of zonal wind
308 and meridional wind of E4 are shown in Figures 7e, 7f, 7g, and 7h. The
309 maximum amplitudes of zonal and meridional winds occur at $\sim 50^\circ\text{S}$ and
310 $\sim 60\text{km}$, and $\sim 60^\circ\text{S}$ and $\sim 60\text{km}$. The maximum zonal wind is $\sim 8\text{ m/s}$, while



311 the meridional wind is slightly stronger than the zonal wind at ~ 10 m/s. We
312 find that the instability in the mid-high latitudes between ~ 50 and ~ 70 km
313 and the interaction near the critical layer greatly enhance the propagation
314 and amplification of E4 EP flux, as shown in Figures 7i and 7j. The weak
315 background wind and strong instability appear on days 127-130, while the
316 strong background wind and weak instability appear on days 213-216. This
317 finding indicates that E4 is difficult to obtain sufficient energy to be
318 amplified under background conditions during days 213-216. The
319 amplitude on 127-130 days is stronger.

320 **3.2 In the Northern Hemisphere**

321 Figure 8 shows that the E1 and E2 (E3) are distributed at ~ 70 - 80° N,
322 and ~ 60 - 70° N at ~ 59.2 km, respectively. E1 events occur on days 25-34,
323 41-50, and 339-348 respectively, in which the maximum temperature
324 amplitude of days 41-50 reaches ~ 8 K, as shown in Figure 8a. In addition,
325 the wave periods of E1 decreased from a maximum of ~ 118 h (days 25-34)
326 to ~ 80 h (days 41-50), indicating that the wave period of E1 is unstable in
327 the NH. Clearly, the E2 events occur on days 25-30, 69-74, 317-322, and
328 341-346, of which the corresponding wave periods are ~ 36 , ~ 53 , ~ 52 , and
329 ~ 48 h, and the maximum temperature amplitude reaches ~ 7 K on days 69-
330 74, as shown in Figure 8b. Figure 8c shows two E3 events. The strongest
331 temperature amplitude of E3 occurs on days 35-38 and reaches ~ 3 K, and
332 the other one occurs on days 53-56. The wave period of E3 is relatively



333 stable at ~ 29 h and ~ 27 h. We did not study the E4 event in the NH, because
334 E4 is weak.

335 The spectra, spatial structures of temperature, zonal and meridional
336 wind, and diagnostic analysis of E1 are extracted from the corresponding
337 representative events, as shown in Figure 9. From Figures 9a and 9b, the
338 wave periods of planetary E1 waves on days 25-34 and 41-50 are ~ 118 h
339 and ~ 80 h, respectively. The temperature spatial structure of the E1 event
340 presents an obvious dual amplitude structure (~ 60 km, ~ 70 km). From
341 Figure 9d, the strongest temperature amplitude of E1 appears at ~ 60 km on
342 days 41-50, with an amplitude of ~ 10 K, and the rest peak is ~ 8 K (~ 70 km).
343 The temperature amplitude of ~ 7 K appears on days 25-34, and the rest is
344 ~ 4 K (~ 70 km), as shown in Figure 9c. The spatial structures of zonal wind
345 and meridional wind of E1 are shown in Figures 9e, 9f, 9g, and 9h. The
346 maximum amplitudes of zonal and meridional winds occur at $\sim 50^\circ$ N and
347 ~ 70 km, and $\sim 80^\circ$ N and ~ 70 km. The maximum zonal wind is ~ 14 m/s,
348 while the meridional wind is slightly stronger than the zonal wind at ~ 18
349 m/s. The results show that E1 is more conducive to the propagation in
350 winter in the NH, and the instability at mid-latitude between ~ 60 and ~ 80
351 km and the interaction near the critical layer dramatically amplify the
352 propagation of E1, which eventually turns toward the equator in the Arctic
353 (Figures 9i and 9j). Obviously, the instability on days 25-34 and 41-50 is
354 relatively weak, but the former has stronger background winds. This



355 finding indicates that the background condition on days 41-50 is conducive
356 to the propagation and amplification for E1.

357 From Figures 10a and 10b, the wave period of E2 on days 25-30 and
358 69-74 reaches ~ 36 h and ~ 53 h. The maximum temperature amplitude of E2
359 appears on days 69-74, reaches ~ 10 K (~ 60 km), and the other peak is ~ 7 K
360 (~ 70 km). The maximum temperature amplitude is ~ 5 K (~ 60 km) during
361 days 25-30, and another peak is ~ 4 K (~ 70 km). The spatial structures of
362 zonal wind and meridional wind of E2 are shown in Figures 10e, 10f, 10g,
363 and 10h. The maximum amplitudes of zonal and meridional winds occur
364 at $\sim 50^\circ$ N and ~ 70 km, and $\sim 60^\circ$ N and ~ 70 km. The maximum zonal and
365 meridional winds are ~ 7 (~ 18) m/s on days 35-30 (69-74). Clearly, the
366 instability at a mid-high latitude between ~ 40 and ~ 80 km and the
367 interaction near the critical layer dramatically amplify the propagation of
368 E2, which eventually turns toward the equator, as displayed in Figures 10i
369 and 10j. Obviously, the temperature amplitude is stronger on days 69-74,
370 which indicates that E2 obtains sufficient energy for amplification under
371 the background condition.

372 The E3 planetary waves have wave periods of ~ 29 h and ~ 27 h on days
373 35-38 and 53-56, as shown in Figures 11a, and 11b. Clearly, from Figures
374 11c, and 11d, the maximum temperature amplitude of E3 is ~ 6 K (~ 70 km)
375 on days 35-38, and another peak is ~ 5 K (~ 60 km). The peak temperature
376 amplitude is ~ 4 K (~ 60 , ~ 70 km) on days 53-56. The spatial structures of



377 zonal wind and meridional wind of E3 are shown in Figures 11e, 11f, 11g,
378 and 11h. The maximum amplitudes of zonal and meridional winds occur
379 at $\sim 40^\circ\text{N}$ and $\sim 70\text{km}$, and $\sim 50^\circ\text{N}$ and $\sim 70\text{km}$. The maximum zonal and
380 meridional winds are ~ 15 (~ 7) and ~ 22 (~ 13) m/s on days 35-38 (53-56).
381 Obviously, the instability at mid-latitude between ~ 60 and ~ 70 km and the
382 interaction near the critical layer dramatically amplify the propagation of
383 E3, as shown in Figures 11i and 11j. The background wind is similar on
384 days 35-38 and 53-56, and the former is more unstable. This finding
385 indicates that the E3 in propagation is more likely to get sufficient energy
386 to be amplified on days 35-38.

387 **3.3 Comparison between SH and NH**

388 We find that the observed latitude and maximum amplitude for
389 eastward planetary waves (E1, E2, E3, E4) decrease and weaken with
390 increasing zonal wavenumber in the SH, reaching $\sim 70\text{-}80^\circ\text{S}$, $\sim 60\text{-}70^\circ\text{S}$,
391 $\sim 60\text{-}70^\circ\text{S}$, and $\sim 50\text{-}60^\circ\text{S}$, and $\sim 10\text{K}$, $\sim 9\text{K}$, $\sim 6\text{K}$, and $\sim 3\text{K}$, respectively. In
392 addition, the occurrence date is earlier with increasing zonal wavenumber.
393 The temperature spatial structure shows a dual-peak structure (~ 50 and
394 $\sim 60\text{km}$), primarily located at $\sim 50\text{km}$. The maximum zonal wind amplitudes
395 of E1 and E2, E3 and E4 are almost the equivalents, which are ~ 20 m/s and
396 ~ 10 m/s respectively. The maximum meridional wind amplitude of E1, E2,
397 E3 and E4 are ~ 17 m/s, ~ 27 m/s, ~ 16 m/s, and ~ 11 m/s respectively. The
398 wave period of E1 tends to get shorter from 5 to 3 days, while E2 and E3



399 are close to ~ 40 h and ~ 30 h, while E4 remains at ~ 24 h. E1, E2, E3, and
400 E4 are more favorable to propagation in the SH winter and are dramatically
401 amplified by the mean flow instabilities at middle latitudes between ~ 40
402 and ~ 70 km. In addition, E1 upward propagating EP flux may be influenced
403 by the instability and background wind at the Antarctic ~ 50 km.

404 The observed latitudes of E1, E2 (E3) decrease with increasing
405 wavenumber in the NH, which are ~ 70 - 80° N, ~ 60 - 70° N, and ~ 60 - 70° N.
406 The temperature spatial structure of E1, E2, and E3 presents a dual-peak
407 structure, primarily located at ~ 70 km, reaches ~ 10 K, ~ 9 K, and ~ 6 K. The
408 maximum zonal wind amplitude appears at ~ 50 - 80° N and ~ 60 km. E1, E2,
409 E3, and E4 are almost the equivalent, which is ~ 18 m/s respectively. The
410 maximum meridional wind amplitude appears at ~ 50 - 80° N and ~ 60 km.
411 The maximum amplitudes of E1, E2, and E3 are ~ 22 m/s, ~ 18 m/s, and ~ 22
412 m/s respectively. The wave period of E1 tends to be shorter from 5-3 days,
413 and E2 and E3 are close to ~ 48 h and ~ 30 h. In addition, E1, E2, and E3
414 are more favorable to propagation in the NH winter and are dramatically
415 amplified by the mean flow instabilities at middle latitudes between ~ 40
416 and ~ 70 km.

417 **4 Summary and Conclusions**

418 We present for the first time an extensive study of the global variation
419 for eastward planetary wave activity, including zonal wave numbers of -1
420 (E1), -2 (E2), -3 (E3), -4 (E4), in the stratosphere and mesosphere using



421 the MERRA-2 temperature and wind observations in 2019. The
422 temperature and wind amplitudes and wave periods of each event were
423 determined by 2-D least-squares fitting. Our study includes the spatial and
424 temporal behaviors of the eastward planetary waves in both hemispheres
425 with a comprehensive diagnostic analysis on their propagation and
426 amplification. The key findings of the study are summarized as follows:

427 1. The latitudes for the maximum (temperature, zonal and meridional
428 wind) amplitudes of E1, E2, E3, and E4 decrease with increasing
429 wavenumber in the SH and NH. The E1, E2, E3, and E4 events occur
430 earlier with increasing zonal wavenumber in the SH. In addition, eastward
431 wave modes exist during summer periods with westward background wind
432 in both hemispheres.

433 2. The temperature spatial structures of E1, E2, E3, and E4 present a
434 double-peak structure, which is located at ~50km and ~60km in SH,
435 ~60km, and ~70km in SH. In addition, the lower peak is usually larger than
436 the higher one.

437 3. The maximum (temperature, zonal and meridional wind) amplitudes of
438 E1, E2, and E3 decrease with increasing zonal wavenumber in the SH and
439 NH. The maximum temperature amplitudes in the SH are slightly larger
440 and lie lower than those in the NH. In addition, the meridional wind
441 amplitudes are slightly larger than the zonal wind in the SH and NH.

442 4. The period of the E1 mode varies from 3 to 5 days in both hemispheres,



443 while the period of E2 mode is slightly longer in the NH (48 h) than in the
444 SH (40 h). The periods of E3 are ~30 h in both SH and NH, and the period
445 of E4 is ~24 h.

446 5. The eastward planetary wave is more favorable to propagate in the
447 winter hemisphere and is dramatically amplified by the mean flow
448 instabilities and appropriate background winds at polar and middle
449 latitudes between ~40 and ~80 km. Furthermore, the amplification of
450 planetary waves through wave-mean flow interaction most easily occurs
451 near its critical layer. In addition, the direction of EP flux ultimately points
452 towards the equator.

453 6. The strong instability and appropriate background wind in the lower
454 layer of the Antarctic region may provide sufficient energy to promote the
455 E1 propagation and amplification to the upper layer.

456 This study demonstrates how the background zonal wind in the polar
457 middle atmosphere affects the dynamics of eastward planetary waves in
458 the polar middle atmosphere.

459



460 *Data availability.* MERRA-2 data are available at <http://disc.gsfc.nasa.gov>.

461

462 *Author contributions.* LT carried out the data processing and analysis and
463 wrote the manuscript. SYG and XKD contributed to reviewing the article.

464

465 *Competing interests.* The authors declare that they have no conflict of
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467

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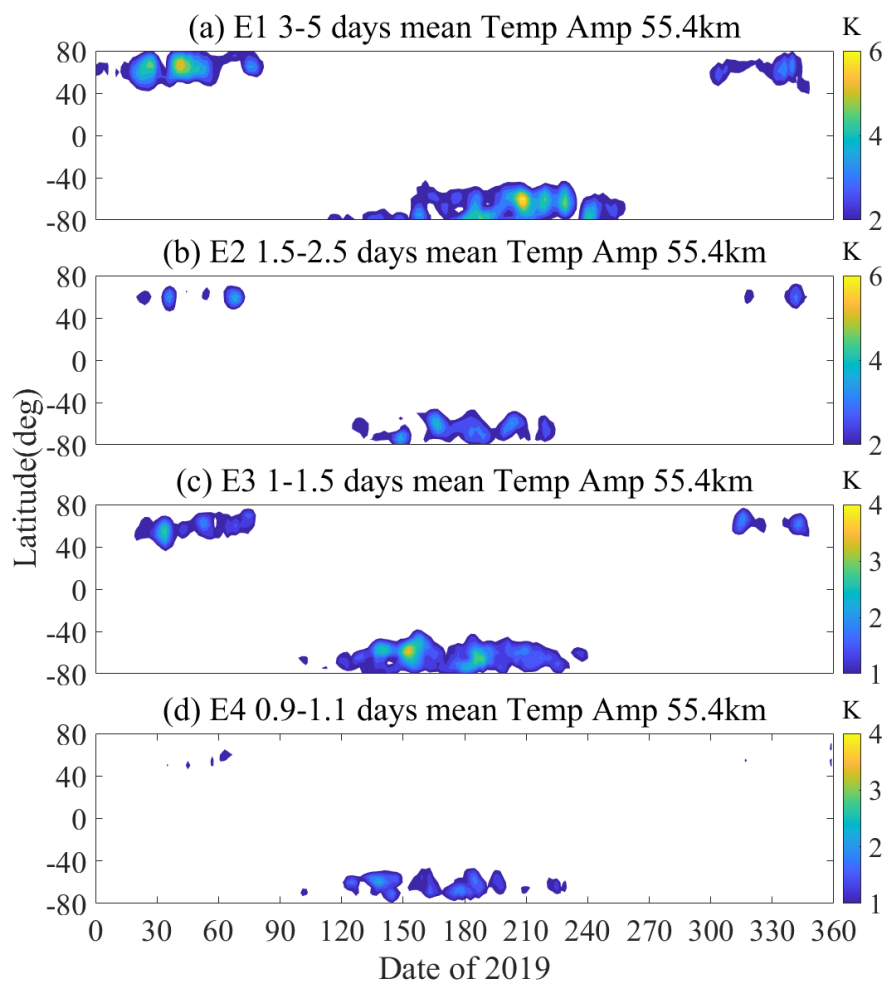
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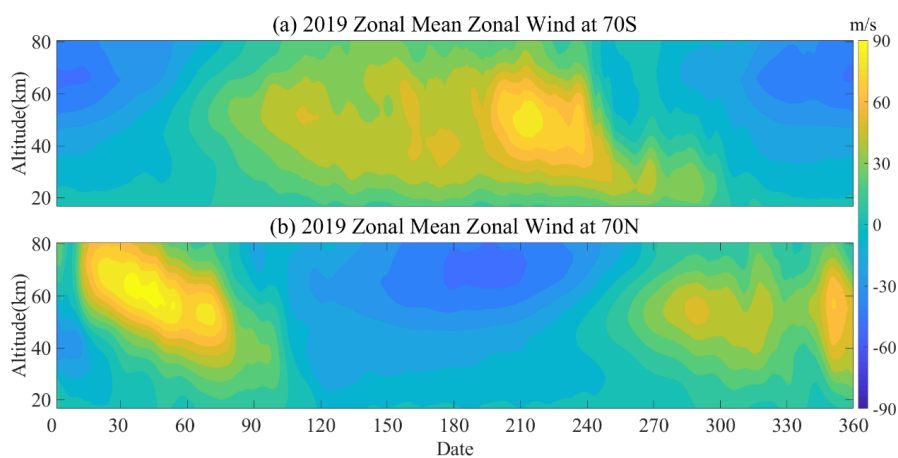
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591

592 **Figure 1.** The global latitude-temporal variation structures of the (a) E1, (b) E2, (c) E3,

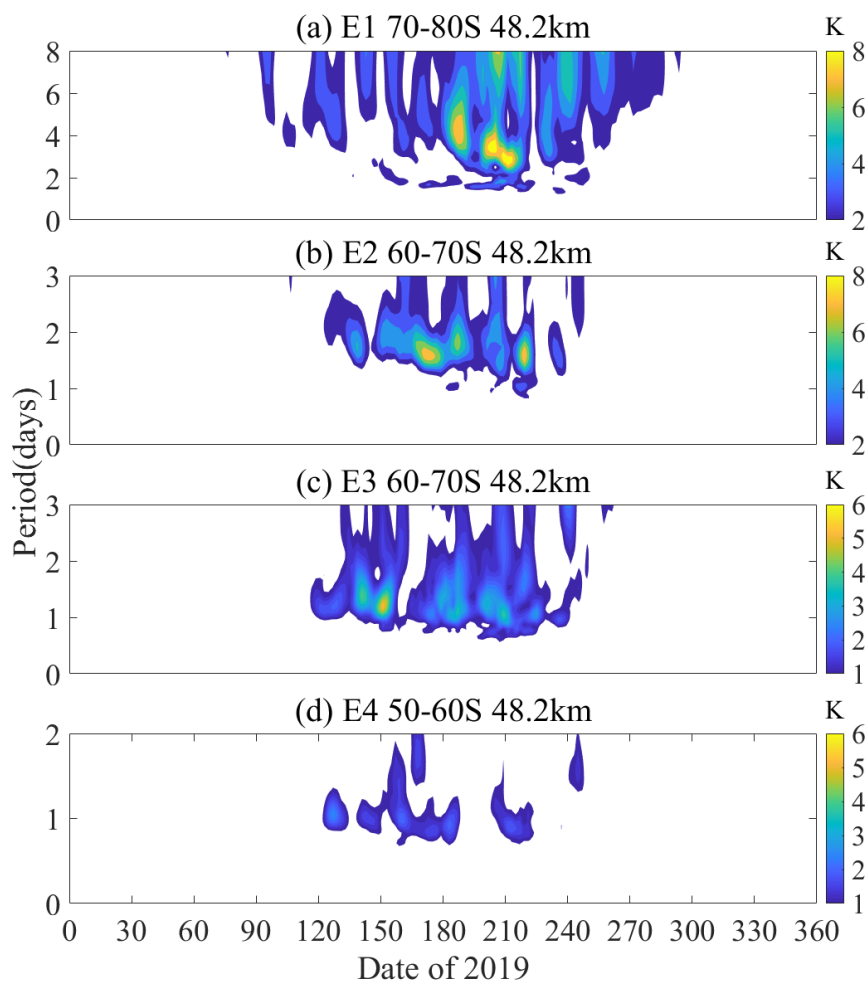
593 (d) E4 planetary waves during 2019.



594

595 **Figure 2.** The zonal mean zonal wind variations of the (a) the 70S and (b) 70N during

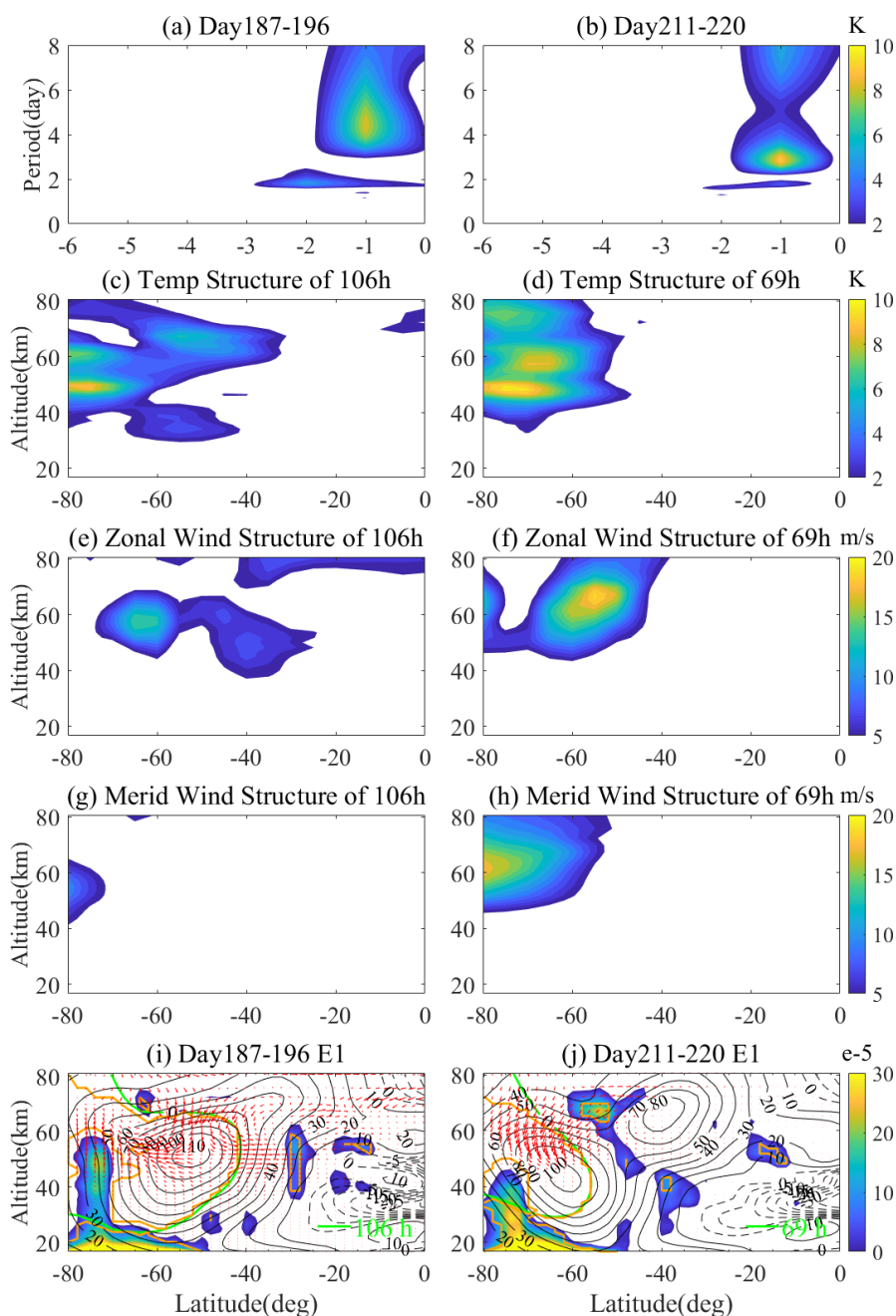
596 2019.



597

598 **Figure 3.** The temporal variations of the (a) E1, (b) E2, (c) E3, (d) E4 QTDWs during

599 2019 austral winter period.

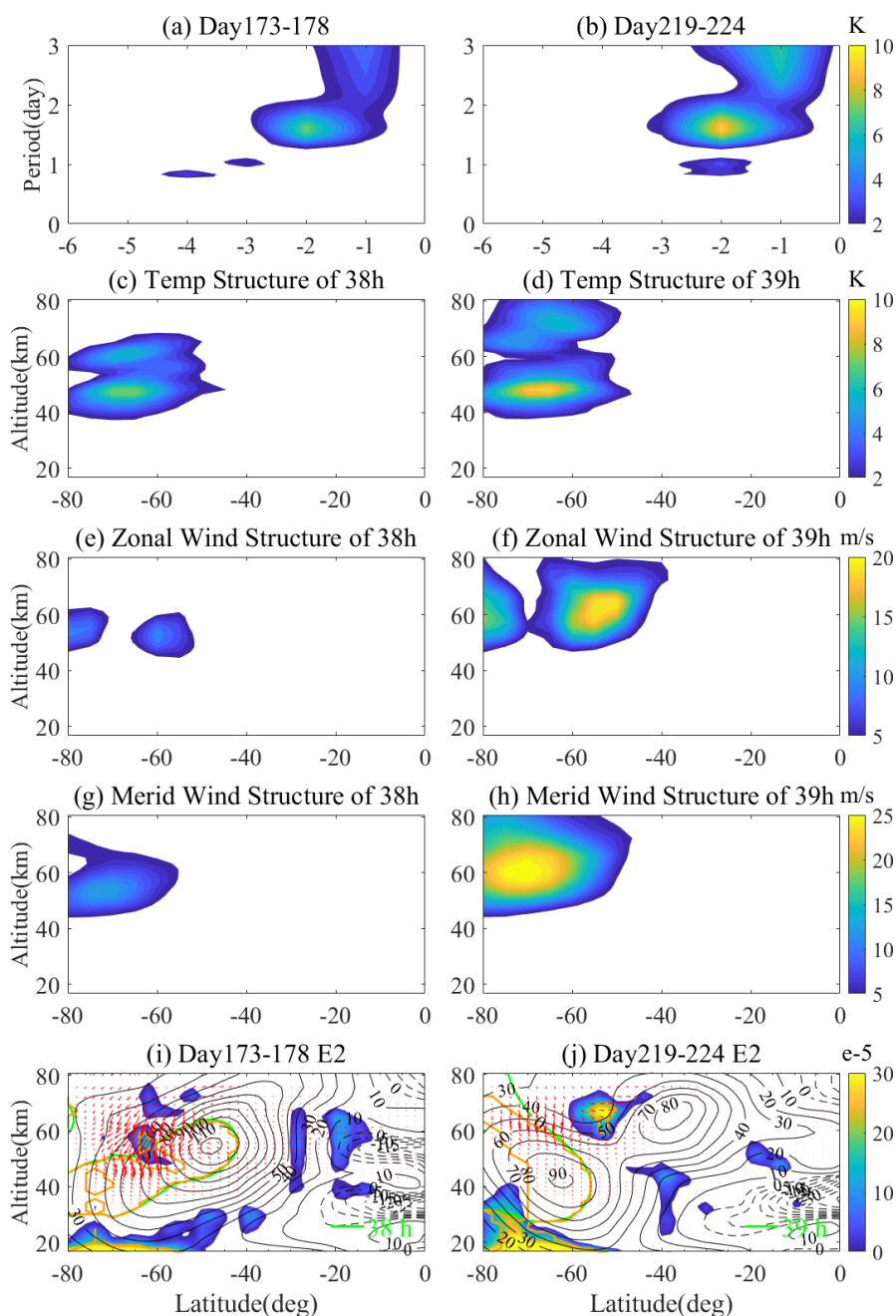


600

601 **Figure 4.** The (a, b) spectra, (c, d) temperature spatial structures, (e, f) zonal wind
602 spatial structures, (g, h) meridional wind spatial structures, and (i, j) diagnostic analysis

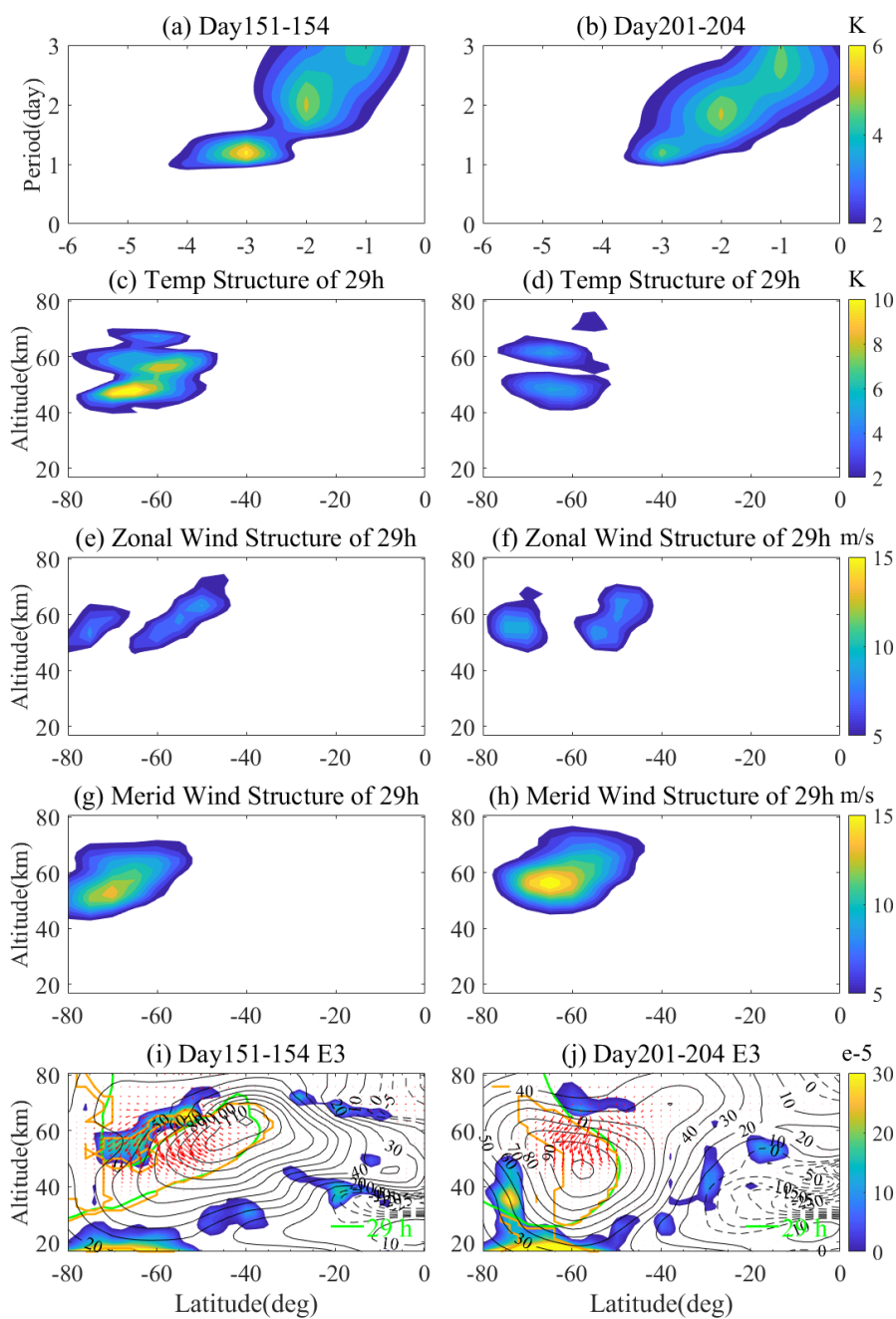


603 of the E1 typical events during 2019 austral winter period. The MERRA-2 temperature
604 data observations at 48.2km and 70-80°S during days 187–196 (Figure 4a), 211–220
605 (Figure 4d) are utilized, respectively. The instability (blue shaded region), EP fluxes
606 (red arrow), and critical layers (green line) for E1 typical event. The green line
607 represents critical layers of the E1 with the natural period. Regions enclosed by orange
608 solid lines are characterized by the positive refractive index for the E1.



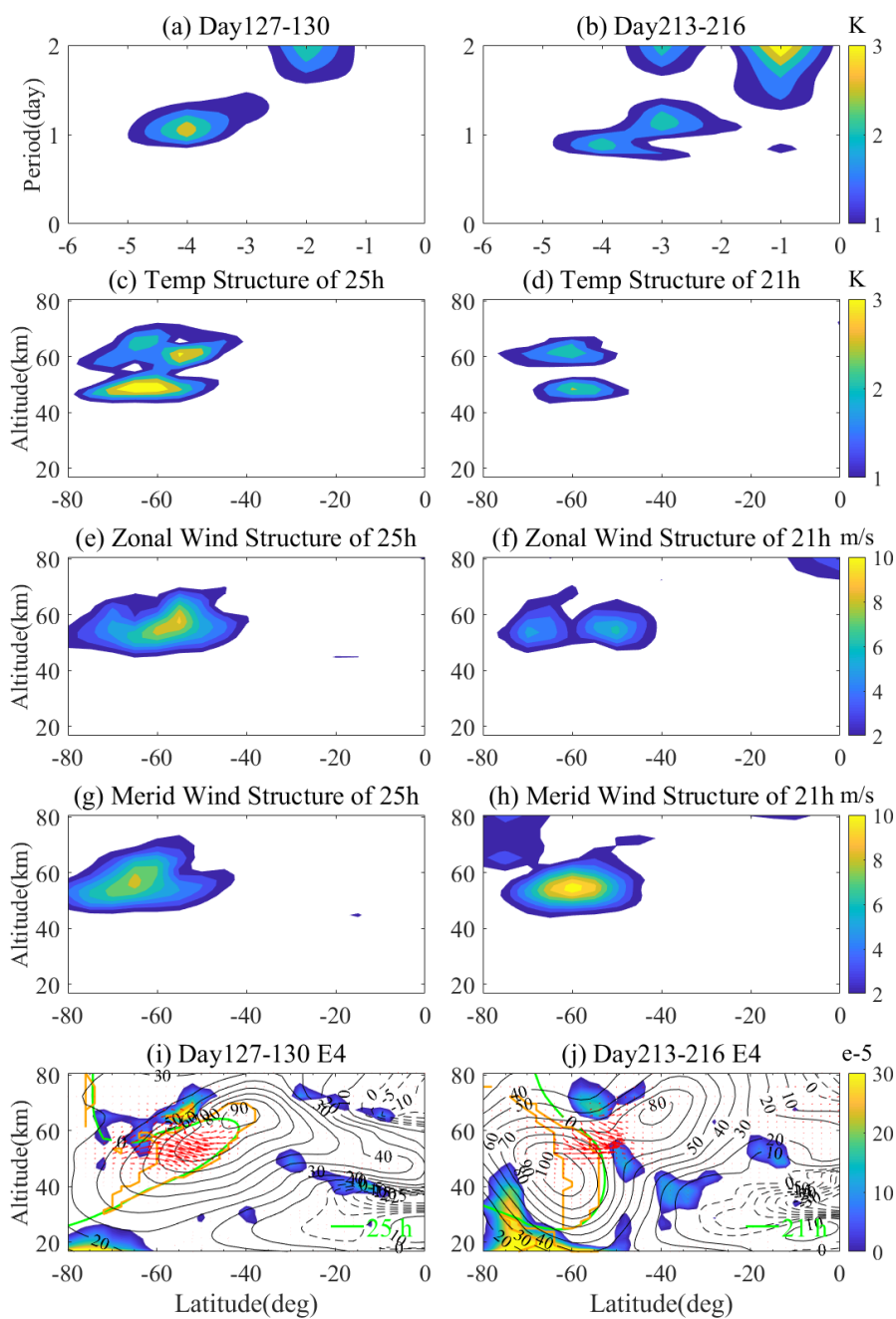
609

610 **Figure 5.** The same as Figure 4 but for the E2 during the 2019 austral winter period.



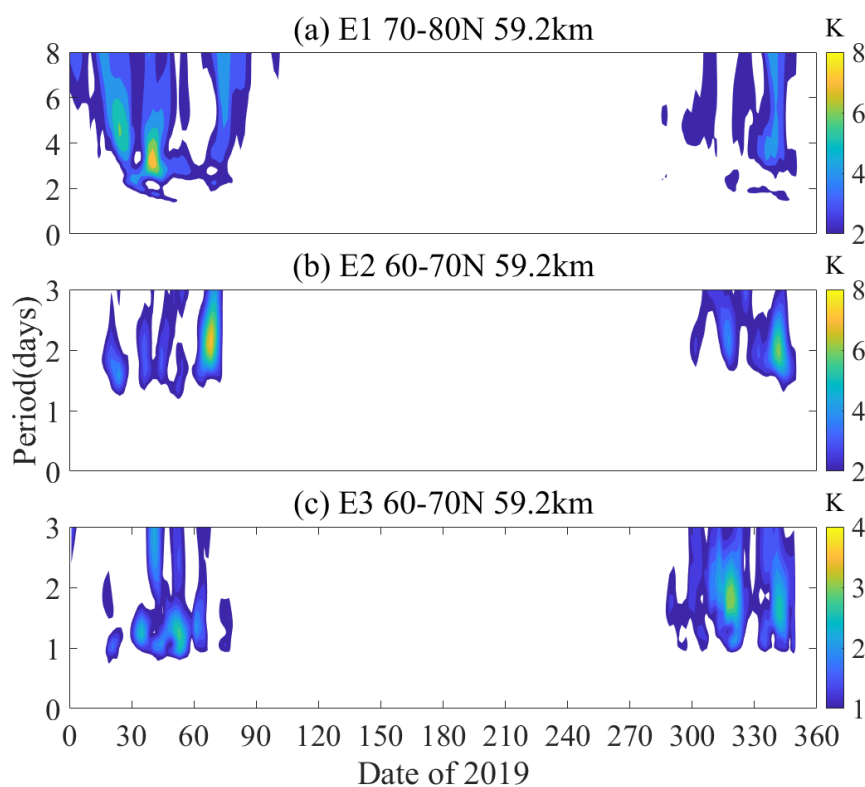
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612 **Figure 6.** The same as Figure 4 but for the E3 during the 2019 austral winter period.



613

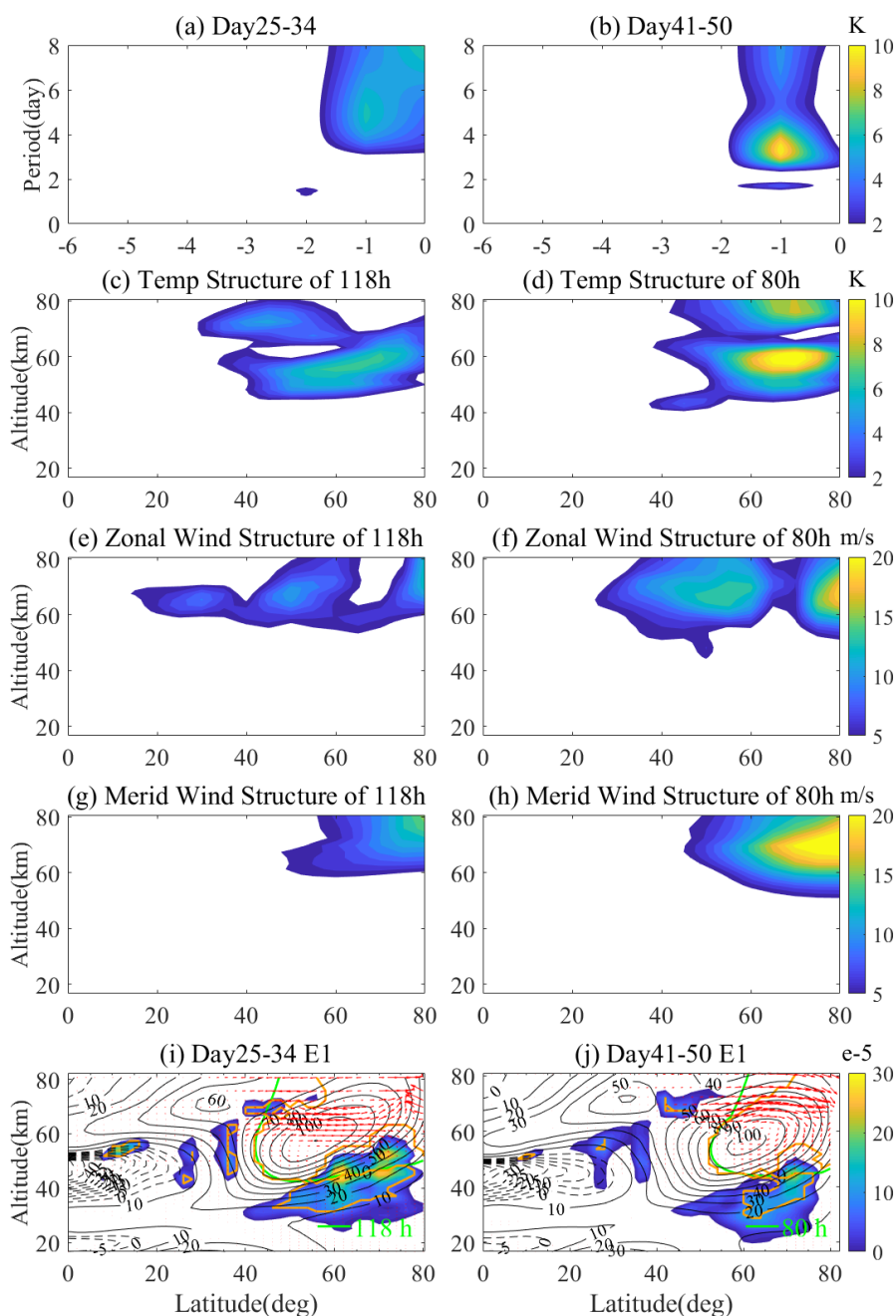
614 **Figure 7.** The same as Figure 4 but for the E4 during the 2019 austral winter period.



615

616 **Figure 8.** The temporal variations of the (a) E1, (b) E2, and (c) E3 QTDWs during the

617 2019 boreal winter period.

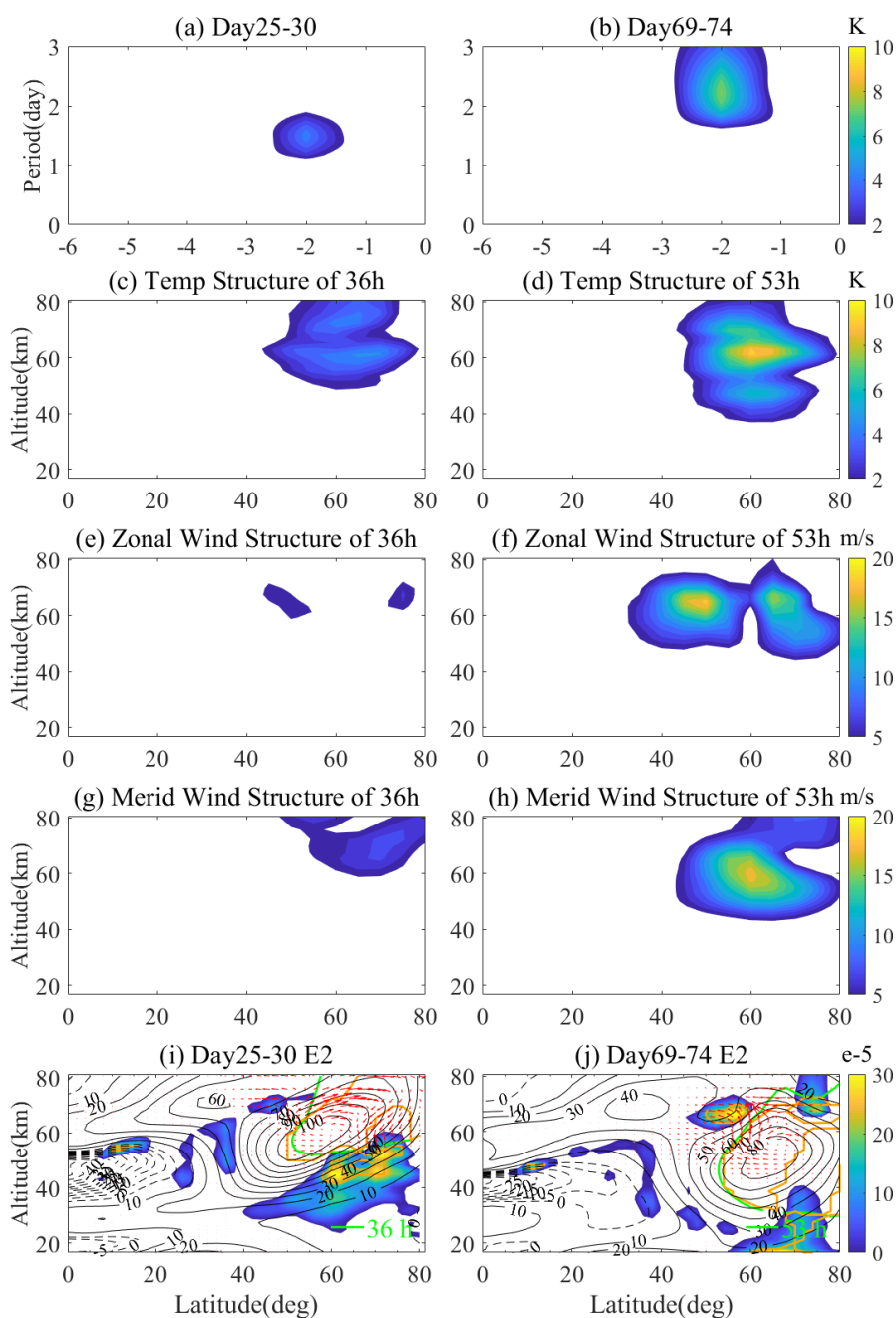


618

619 **Figure 9.** he (a, b) spectra, (c, d) temperature spatial structures, (e, f) zonal wind spatial
620 structures, (g, h) meridional wind spatial structures, and (i, j) diagnostic analysis of the



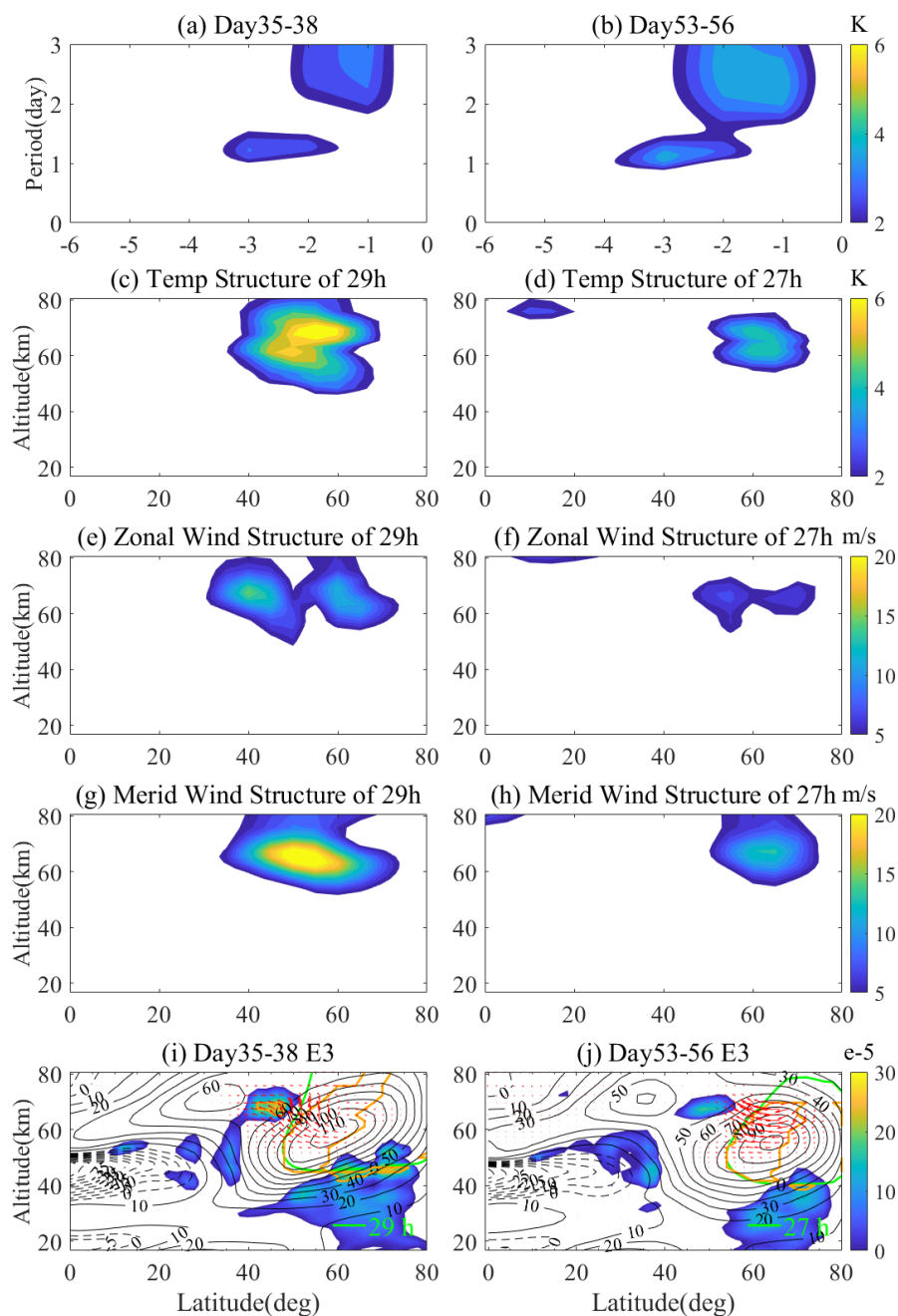
621 E1 typical events during 2019 boreal winter period. The E1 events at 48.2km and 70-
622 80°N were obtained from the MERRA-2 reanalysis.



623



624 **Figure 10.** The same as Figure 9 but for the E2 during the 2019 boreal winter period.



625

626 **Figure 11.** The same as Figure 9 but for the E3 during the 2019 boreal winter period.